

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011F

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 5 24JAN18 DMA EL 7099.00			25.00DR	
24OCT17	S 5 24JAN18 DMA EL 7090.00		7090.00	25.00DR	
24OCT17	S 2 24JAN18 DMA EL 7093.50		7093.50	10.00DR	
24OCT17	S 3 24JAN18 DMA EL 7092.50		7092.50	15.00DR	
	CMX Copper 25,000 CMX				
24OCT17	S 5 DEC17 GX 322.500		322.500	11.75DR	
24OCT17	S 6 DEC17 GX 322.550		322.550	14.10DR	
24OCT17	B 5 DEC17 GX 322.100	322.100		11.75DR	
24OCT17	B 2 DEC17 GX 322.250	322.250		4.70DR	
24OCT17	B 6 DEC17 GX 322.050	322.050		14.10DR	
24OCT17	S 3 DEC17 GX 322.100		322.100	7.05DR	
24OCT17	B 1 DEC17 GX 321.800	321.800		2.35DR	
24OCT17	B 5 MAR18 GX 323.900	323.900		11.75DR	
24OCT17	B 6 MAR18 GX 323.850	323.850		14.10DR	
	Commission				166.65DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	5 DEC17 322.100 322.500	322.100	322.500		500.00CR
24OCT17	2 DEC17 322.250 322.550	322.250	322.550		150.00CR
24OCT17	4 DEC17 322.050 322.550	322.050	322.550		500.00CR
24OCT17	2 DEC17 322.050 322.100	322.050	322.100		25.00CR
24OCT17	1 DEC17 321.800 322.100	321.800	322.100		75.00CR
24OCT17	1 MAR18 323.900 307.950	323.900	307.950		3,987.50DR
24OCT17	4 MAR18 323.900 307.850	323.900	307.850		16,050.00DR
24OCT17	5 MAR18 323.850 295.250	323.850	295.250		35,750.00DR
24OCT17	1 MAR18 323.850 295.250	323.850	295.250		7,150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				24,193.40DR
	SETTLEMENTS				
	CMX Copper Futures				61,687.50DR
	COMMISSIONS				166.65DR
	CARRIED FORWARD BALANCE				86,047.55DR

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SAP0011G

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 240CT17	6416.16	6021.00		9,879.00DR
10AUG17	1 240CT17	6416.16	6014.00		10,054.00DR
10AUG17	1 240CT17	6416.16	6014.00		10,054.00DR
10AUG17	1 240CT17	6416.16	6010.00		10,154.00DR
10AUG17	1 240CT17	6416.16	6021.00		9,879.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				127,231.05CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				50,020.00DR
	CARRIED FORWARD BALANCE				77,211.05CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		7036.00	5.00DR	
24OCT17	S 2 24JAN18 DMA EL		7037.00	10.00DR	
24OCT17	S 2 24JAN18 DMA EL		7037.50	10.00DR	
	CMX Copper 25,000 CMX				
24OCT17	B 2 DEC17 GX 319.500			4.70DR	
24OCT17	B 6 DEC17 GX 319.550			14.10DR	
24OCT17	B 1 DEC17 GX 319.800			2.35DR	
	Commission				46.15DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	2 DEC17	319.550	297.950		10,800.00DR
24OCT17	2 DEC17	319.500	297.950		10,775.00DR
24OCT17	1 DEC17	319.550	297.950		5,400.00DR
24OCT17	1 DEC17	319.550	298.100		5,362.50DR
24OCT17	2 DEC17	319.550	298.050		10,750.00DR
24OCT17	1 DEC17	319.800	298.100		5,425.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				154.20DR
	SETTLEMENTS				
	CMX Copper Futures				48,512.50DR
	COMMISSIONS				46.15DR
	CARRIED FORWARD BALANCE				48,712.85DR

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SAP0011M

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	7102.00		5.00DR	
24OCT17	B 4 24JAN18 DMA EL	7103.00		20.00DR	
	CMX Copper 25,000 CMX				
24OCT17	S 8 DEC17 GX		322.650	18.80DR	
24OCT17	S 3 DEC17 GX		323.050	7.05DR	
24OCT17	B 3 DEC17 GX	323.050		7.05DR	
24OCT17	S 1 DEC17 GX		322.500	2.35DR	
24OCT17	S 2 DEC17 GX		322.750	4.70DR	
	Commission				64.95DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	3 DEC17	323.050	322.650		300.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,815.35DR
	SETTLEMENTS				
	CMX Copper Futures				300.00DR
	COMMISSIONS				64.95DR
	CARRIED FORWARD BALANCE				15,180.30DR

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SAP0011N

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	7100.00		5.00DR	
24OCT17	B 4 24JAN18 DMA EL	7104.00		20.00DR	
	CMX Copper 25,000 CMX				
24OCT17	S 4 DEC17 GX		322.750	9.40DR	
24OCT17	S 4 DEC17 GX		322.700	9.40DR	
24OCT17	S 3 DEC17 GX		322.550	7.05DR	
	Commission				50.85DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				22,555.12DR
	COMMISSIONS				50.85DR
	CARRIED FORWARD BALANCE				22,605.97DR

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SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		133,320.41DR
	SETTLEMENTS		
	CMX Copper Futures		110,500.00DR
	LME Copper Grade A 25 tonnes. This Futures		50,020.00DR
	COMMISSIONS		328.60DR
	CARRIED FORWARD BALANCE		294,169.01DR

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SAP0025L
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	2 24OCT17	6027.50	5997.00		1,525.00DR
24JUL17	1 24OCT17	6027.50	5997.00		762.50DR
24JUL17	1 24OCT17	6027.50	5997.00		762.50DR
24JUL17	1 24OCT17	6027.50	5997.00		762.50DR
24JUL17	5 24OCT17	6027.00	5998.50		3,562.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				475,606.79DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				7,375.00DR
	CARRIED FORWARD BALANCE				482,981.79DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6029.00	6001.00		700.00DR
24JUL17	1 24OCT17	6029.50	6000.00		737.50DR
24JUL17	1 24OCT17	6029.50	6004.50		625.00DR
24JUL17	1 24OCT17	6029.00	6004.50		612.50DR
24JUL17	1 24OCT17	6029.00	6004.50		612.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				504,769.44DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,287.50DR
	CARRIED FORWARD BALANCE				508,056.94DR

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SAP0025N

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6001.00	5998.00		75.00DR
24JUL17	2 24OCT17	6001.00	5998.00		150.00DR
24JUL17	1 24OCT17	6001.00	5998.00		75.00DR
24JUL17	1 24OCT17	6001.00	5998.00		75.00DR
24JUL17	1 24OCT17	6029.00	5996.00		825.00DR
24JUL17	2 24OCT17	6029.00	5996.00		1,650.00DR
24JUL17	2 24OCT17	6029.00	5996.00		1,650.00DR
23AUG17	4 24OCT17	6029.00	6555.33		52,633.00CR
23AUG17	1 24OCT17	6029.00	6555.33		13,158.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				361,118.50DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				61,291.25CR
	CARRIED FORWARD BALANCE				299,827.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00250

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 24OCT17	6416.16	6000.00		10,404.00DR
10AUG17	1 24OCT17	6416.16	6000.00		10,404.00DR
10AUG17	1 24OCT17	6416.16	6001.00		10,379.00DR
10AUG17	2 24OCT17	6416.16	6004.00		20,608.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				246,109.48DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				51,795.00DR
	CARRIED FORWARD BALANCE				297,904.48DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0025T

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	7	24JAN18	DMA	EL	7020.00		35.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7039.50		5.00DR	
24OCT17	B	4	24JAN18	DMA	EL	7040.00		20.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7029.50	10.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7062.50	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7064.00	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7075.00	25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7081.00		25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7082.00	25.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7089.00	10.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7089.50	10.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7090.00	5.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7094.00	25.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7086.50		10.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7090.50		5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7091.00		5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7091.50		5.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7092.50		10.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7093.50		5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7094.00		5.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7094.50		10.00DR	
24OCT17	B	7	24JAN18	DMA	EL	7096.50		35.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7099.50		5.00DR	
24OCT17	B	6	24JAN18	DMA	EL	7100.50		30.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7102.00		5.00DR	
24OCT17	B	6	24JAN18	DMA	EL	7104.00		30.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7104.50		5.00DR	
24OCT17	B	3	24JAN18	DMA	EL	7105.00		15.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7105.50		25.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7106.00		5.00DR	
24OCT17	B	3	24JAN18	DMA	EL	7106.50		15.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7110.00		10.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7101.00	10.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7106.00	10.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7113.00	10.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7119.50	25.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7077.50		5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7084.00		5.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7098.00		25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7105.50	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7094.50	25.00DR	
24OCT17	S	3	24JAN18	DMA	EL		7100.00	15.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7093.50	25.00DR	
24OCT17	S	7	24JAN18	DMA	EL		7030.00	35.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7032.00	25.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7045.00	5.00DR	
	CMX Copper 25,000 CMX								
24OCT17	B	1	DEC17		GX	319.250		2.32DR	
24OCT17	S	1	DEC17		GX		319.750	2.32DR	
24OCT17	B	1	DEC17		GX	319.350		2.32DR	
24OCT17	B	1	DEC17		GX	319.500		2.32DR	
24OCT17	S	15	DEC17		GX		318.850	34.80DR	
24OCT17	S	11	DEC17		GX		319.800	25.52DR	
24OCT17	B	11	DEC17		GX	320.650		25.52DR	
24OCT17	S	10	DEC17		GX		322.750	23.20DR	
24OCT17	S	11	DEC17		GX		323.150	25.52DR	
24OCT17	S	11	DEC17		GX		323.100	25.52DR	
24OCT17	B	2	DEC17		GX	322.450		4.64DR	
24OCT17	B	11	DEC17		GX	322.700		25.52DR	
24OCT17	S	12	DEC17		GX		322.800	27.84DR	
24OCT17	B	1	DEC17		GX	321.700		2.32DR	

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B 5 DEC17 GX	322.600		11.60DR	
24OCT17	B 1 DEC17 GX	322.300		2.32DR	
24OCT17	B 1 DEC17 GX	321.750		2.32DR	
24OCT17	S 11 DEC17 GX		322.450	25.52DR	
24OCT17	B 1 DEC17 GX	322.050		2.32DR	
24OCT17	S 1 DEC17 GX		321.650	2.32DR	
24OCT17	B 6 DEC17 GX	322.200		13.92DR	
24OCT17	B 17 DEC17 GX	322.100		39.44DR	
24OCT17	B 18 DEC17 GX	319.200		41.76DR	
24OCT17	B 11 DEC17 GX	319.300		25.52DR	
24OCT17	B 2 DEC17 GX	319.850		4.64DR	
	Commission				1,126.36DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	319.250	319.750		125.00CR
24OCT17	1 DEC17	319.350	318.850		125.00DR
24OCT17	1 DEC17	319.500	318.850		162.50DR
24OCT17	7 DEC17	319.200	318.850		612.50DR
24OCT17	6 DEC17	320.650	318.850		2,700.00DR
24OCT17	5 DEC17	320.650	319.800		1,062.50DR
24OCT17	2 DEC17	322.450	319.800		1,325.00DR
24OCT17	4 DEC17	322.700	319.800		2,900.00DR
24OCT17	7 DEC17	322.700	322.750		87.50CR
24OCT17	1 DEC17	322.200	322.750		137.50CR
24OCT17	1 DEC17	321.700	322.750		262.50CR
24OCT17	1 DEC17	322.600	322.800		50.00CR
24OCT17	1 DEC17	322.600	323.150		137.50CR
24OCT17	1 DEC17	322.600	322.750		37.50CR
24OCT17	2 DEC17	322.600	323.150		275.00CR
24OCT17	1 DEC17	322.300	323.150		212.50CR
24OCT17	1 DEC17	321.750	323.150		350.00CR
24OCT17	1 DEC17	322.050	323.150		275.00CR
24OCT17	1 DEC17	322.200	323.100		225.00CR
24OCT17	4 DEC17	322.200	323.150		950.00CR
24OCT17	1 DEC17	322.100	323.150		262.50CR
24OCT17	5 DEC17	322.100	323.100		1,250.00CR
24OCT17	5 DEC17	322.100	323.100		1,250.00CR
24OCT17	6 DEC17	322.100	322.800		1,050.00CR
24OCT17	5 DEC17	319.200	322.800		4,500.00CR
24OCT17	1 DEC17	319.200	322.450		812.50CR
24OCT17	5 DEC17	319.200	322.450		4,062.50CR
24OCT17	5 DEC17	319.300	322.450		3,937.50CR
24OCT17	1 DEC17	319.300	321.650		587.50CR
24OCT17	5 DEC17	319.300	308.800		13,125.00DR
24OCT17	2 DEC17	319.850	308.900		5,475.00DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 24OCT17	6416.16	6001.50		10,366.50DR
10AUG17	2 24OCT17	6416.16	6001.50		20,733.00DR
10AUG17	1 24OCT17	6416.16	6001.50		10,366.50DR
10AUG17	1 24OCT17	6416.16	6001.50		10,366.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				702,935.75DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				51,832.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Copper Futures				6,650.00DR
	COMMISSIONS				1,126.36DR
	CARRIED FORWARD BALANCE				762,544.61DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

24th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	5	24JAN18	DMA	EL	7040.00		25.00DR	
24OCT17	B	3	24JAN18	DMA	EL	7041.50		15.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7043.00		10.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7050.00		25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7051.50		25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7065.50	25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7101.00		25.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7104.00		5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7105.00		5.00DR	
24OCT17	B	7	24JAN18	DMA	EL	7102.00		35.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7096.00	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7098.50	5.00DR	
24OCT17	B	3	24JAN18	DMA	EL	7100.50		15.00DR	
24OCT17	B	3	24JAN18	DMA	EL	7105.50		15.00DR	
24OCT17	B	2	24JAN18	DMA	EL	7099.50		10.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7023.50	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7024.50	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7025.00	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7030.00	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7031.50	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7036.00	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7037.50	5.00DR	
24OCT17	S	18	24JAN18	DMA	EL		7037.00	90.00DR	
24OCT17	S	6	24JAN18	DMA	EL		7035.00	30.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7035.00		5.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7043.00	25.00DR	
	CMX Copper 25,000 CMX								
24OCT17	S	11	DEC17		GX		319.750	25.52DR	
24OCT17	S	11	DEC17		GX		319.800	25.52DR	
24OCT17	S	22	DEC17		GX		320.250	51.04DR	
24OCT17	B	11	DEC17		GX	320.750		25.52DR	
24OCT17	S	11	DEC17		GX		322.700	25.52DR	
24OCT17	S	33	DEC17		GX		322.550	76.56DR	
24OCT17	B	2	DEC17		GX	322.350		4.64DR	
24OCT17	B	3	DEC17		GX	322.300		6.96DR	
24OCT17	S	4	DEC17		GX		322.450	9.28DR	
24OCT17	S	1	DEC17		GX		322.400	2.32DR	
24OCT17	B	2	DEC17		GX	319.350		4.64DR	
24OCT17	B	2	DEC17		GX	319.250		4.64DR	
24OCT17	B	7	DEC17		GX	319.000		16.24DR	
24OCT17	B	2	DEC17		GX	319.650		4.64DR	
24OCT17	B	2	DEC17		GX	319.500		4.64DR	
24OCT17	B	40	DEC17		GX	319.550		92.80DR	
24OCT17	B	11	DEC17		GX	319.450		25.52DR	
24OCT17	B	10	DEC17		GX	319.800		23.20DR	
24OCT17	B	1	DEC17		GX	319.850		2.32DR	
	Commission								861.52DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
24OCT17		11	DEC17			320.750	319.750		2,750.00DR
24OCT17		2	DEC17			322.350	319.800		1,275.00DR
24OCT17		3	DEC17			322.300	319.800		1,875.00DR
24OCT17		2	DEC17			319.350	319.800		225.00CR
24OCT17		2	DEC17			319.250	319.800		275.00CR
24OCT17		2	DEC17			319.000	319.800		400.00CR
24OCT17		5	DEC17			319.000	320.250		1,562.50CR
24OCT17		1	DEC17			319.500	320.250		187.50CR

Group No: SAPAB025

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

24th October 2017

COB GLOBAL

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London W1J 7NJ UK
+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC17	319.550	320.250		350.00CR
24OCT17	2 DEC17	319.650	320.250		300.00CR
24OCT17	5 DEC17	319.550	320.250		875.00CR
24OCT17	1 DEC17	319.500	320.250		187.50CR
24OCT17	6 DEC17	319.550	320.250		1,050.00CR
24OCT17	5 DEC17	319.550	322.550		3,750.00CR
24OCT17	6 DEC17	319.550	322.550		4,500.00CR
24OCT17	5 DEC17	319.550	322.700		3,937.50CR
24OCT17	6 DEC17	319.550	322.700		4,725.00CR
24OCT17	5 DEC17	319.550	322.550		3,750.00CR
24OCT17	11 DEC17	319.450	322.550		8,525.00CR
24OCT17	6 DEC17	319.800	322.550		4,125.00CR
24OCT17	2 DEC17	319.800	322.450		1,325.00CR
24OCT17	2 DEC17	319.800	322.450		1,325.00CR
24OCT17	1 DEC17	319.850	322.400		637.50CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	5 24OCT17	6416.16	6001.00		51,895.00DR
10AUG17	5 24OCT17	6416.16	6001.00		51,895.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				490,648.64DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				103,790.00DR
	CMX Copper Futures				36,112.50CR
	COMMISSIONS				861.52DR
	CARRIED FORWARD BALANCE				559,187.66DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025V 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	5	24JAN18	DMA	EL	7022.00		25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7049.00		25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7097.50		25.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7102.00		5.00DR	
24OCT17	B	4	24JAN18	DMA	EL	7102.50		20.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7095.50	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7097.00	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7039.50	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7025.00	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7029.50	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7030.00	25.00DR	
24OCT17	S	5	24JAN18	DMA	EL		7044.00	25.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7040.00		25.00DR	
	CMX Copper 25,000 CMX								
24OCT17	S	11	DEC17		GX		318.950	25.52DR	
24OCT17	S	11	DEC17		GX		320.200	25.52DR	
24OCT17	S	11	DEC17		GX		322.400	25.52DR	
24OCT17	S	11	DEC17		GX		322.450	25.52DR	
24OCT17	B	22	DEC17		GX	322.200		51.04DR	
24OCT17	B	11	DEC17		GX	319.350		25.52DR	
24OCT17	B	11	DEC17		GX	319.050		25.52DR	
24OCT17	B	22	DEC17		GX	319.200		51.04DR	
24OCT17	S	11	DEC17		GX		319.750	25.52DR	
24OCT17	B	11	DEC17		GX	319.850		25.52DR	
	Commission								606.24DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
24OCT17		11	DEC17			322.200	318.950		8,937.50DR
24OCT17		11	DEC17			322.200	320.200		5,500.00DR
24OCT17		11	DEC17			319.350	322.400		8,387.50CR
24OCT17		11	DEC17			319.050	322.450		9,350.00CR
24OCT17		8	DEC17			319.200	319.750		1,100.00CR
24OCT17		3	DEC17			319.200	319.750		412.50CR
24OCT17		2	DEC17			319.200	309.000		5,100.00DR
24OCT17		9	DEC17			319.200	308.950		23,062.50DR
24OCT17		2	DEC17			319.850	308.950		5,450.00DR
24OCT17		7	DEC17			319.850	308.650		19,600.00DR
24OCT17		1	DEC17			319.850	308.450		2,850.00DR
24OCT17		1	DEC17			319.850	308.450		2,850.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								592,568.14DR
	SETTLEMENTS								
	CMX Copper Futures								54,100.00DR
	COMMISSIONS								606.24DR
	CARRIED FORWARD BALANCE								647,274.38DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	1	24JAN18	DMA	EL	7088.50		5.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7101.00		25.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7092.50		5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7082.00	5.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7082.50	5.00DR	
24OCT17	B	5	24JAN18	DMA	EL	7100.00		25.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7037.00	5.00DR	
24OCT17	B	1	24JAN18	DMA	EL	7032.00		5.00DR	
	CMX Copper 25,000 CMX								
24OCT17	S	11	DEC17		GX		322.600	25.52DR	
24OCT17	S	11	DEC17		GX		322.550	25.52DR	
24OCT17	S	2	DEC17		GX		322.150	4.64DR	
24OCT17	S	3	DEC17		GX		322.050	6.96DR	
24OCT17	S	1	DEC17		GX		322.850	2.32DR	
24OCT17	B	1	DEC17		GX	322.800		2.32DR	
24OCT17	S	1	DEC17		GX		321.950	2.32DR	
24OCT17	B	1	DEC17		GX	322.400		2.32DR	
24OCT17	S	1	DEC17		GX		322.200	2.32DR	
24OCT17	B	6	DEC17		GX	321.450		13.92DR	
24OCT17	S	1	DEC17		GX		320.450	2.32DR	
24OCT17	B	1	DEC17		GX	320.650		2.32DR	
24OCT17	S	1	DEC17		GX		320.250	2.32DR	
24OCT17	B	1	DEC17		GX	319.800		2.32DR	
24OCT17	B	1	DEC17		GX	318.950		2.32DR	
24OCT17	S	2	DEC17		GX		319.050	4.64DR	
24OCT17	B	1	DEC17		GX	319.050		2.32DR	
24OCT17	S	1	DEC17		GX		318.500	2.32DR	
24OCT17	B	1	DEC17		GX	319.150		2.32DR	
24OCT17	S	1	DEC17		GX		319.200	2.32DR	
24OCT17	S	1	DEC17		GX		319.450	2.32DR	
24OCT17	B	2	DEC17		GX	319.550		4.64DR	
24OCT17	B	2	DEC17		GX	319.450		4.64DR	
24OCT17	S	2	DEC17		GX		319.350	4.64DR	
	Commission								209.92DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
24OCT17		5	DEC17			321.450	322.600		1,437.50CR
24OCT17		1	DEC17			322.800	322.600		50.00DR
24OCT17		1	DEC17			322.400	322.600		50.00CR
24OCT17		1	DEC17			321.450	322.600		287.50CR
24OCT17		1	DEC17			320.650	322.600		487.50CR
24OCT17		1	DEC17			319.800	322.600		700.00CR
24OCT17		1	DEC17			318.950	322.600		912.50CR
24OCT17		1	DEC17			319.050	322.550		875.00CR
24OCT17		1	DEC17			319.150	322.550		850.00CR
24OCT17		1	DEC17			319.450	322.550		775.00CR
24OCT17		2	DEC17			319.550	322.550		1,500.00CR
24OCT17		1	DEC17			319.450	322.550		775.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								354,791.52DR
	SETTLEMENTS								
	CMX Copper Futures								8,600.00CR
	COMMISSIONS								209.92DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

24th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				346,401.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB025

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,728,548.26DR
	SETTLEMENTS		
	CMX Copper Futures		16,037.50DR
	LME Copper Grade A 25 tonnes. This Futures		156,788.75DR
	COMMISSIONS		2,804.04DR
	CARRIED FORWARD BALANCE		3,904,178.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038A

24th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 2 21DEC17 IFC UD	7027.25		5.40DR	
24OCT17	S 1 18JAN18 IFC UD		7035.30	2.70DR	
24OCT17	S 1 23JAN18 IFC UD		7035.20	2.70DR	
	Commission				10.80DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
27JUL17	1 24OCT17	6329.34	5998.50		8,271.00DR
27JUL17	1 24OCT17	6329.34	5995.00		8,358.50DR
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	Sep 17 Rebate Entry				45.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,078.72DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				16,629.50DR
	CASH PAYMENTS/RECEIPTS				45.00DR
	COMMISSIONS				10.80DR
	CARRIED FORWARD BALANCE				58,764.02DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP038AA

24th October 2017

COB GLOBAL

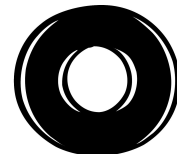
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
27JUL17	1 240CT17	6329.34	5998.50		8,271.00DR
27JUL17	1 240CT17	6329.34	5995.00		8,358.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				28,676.17DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				16,629.50DR
	CARRIED FORWARD BALANCE				45,305.67DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB038

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		70,839.89DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		33,259.00DR
	CASH PAYMENTS/RECEIPTS		45.00DR
	COMMISSIONS		10.80DR
	CARRIED FORWARD BALANCE		104,154.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054M

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
15AUG17	1 240CT17	6370.53	6007.00		9,088.25DR
15AUG17	1 240CT17	6370.53	6006.00		9,113.25DR
15AUG17	1 240CT17	6370.53	6010.00		9,013.25DR
15AUG17	1 240CT17	6370.53	6006.00		9,113.25DR
15AUG17	1 240CT17	6370.53	6010.00		9,013.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				304,158.80DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				45,341.25DR
	CARRIED FORWARD BALANCE				349,500.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB054

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		304,158.80DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		45,341.25DR
	CARRIED FORWARD BALANCE		349,500.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

24th October 2017

COB GLOBAL

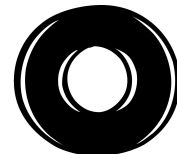
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
27JUL17	1 240CT17	6329.34	6003.50		8,146.00DR
27JUL17	1 240CT17	6329.34	6004.50		8,121.00DR
27JUL17	1 240CT17	6329.34	6004.50		8,121.00DR
27JUL17	1 240CT17	6329.34	6003.50		8,146.00DR
27JUL17	1 240CT17	6329.34	6001.00		8,208.50DR
27JUL17	2 240CT17	6329.34	6000.00		16,467.00DR
27JUL17	11 240CT17	6329.34	6000.00		90,568.50DR
27JUL17	3 240CT17	6329.34	5999.00		24,775.50DR
27JUL17	4 240CT17	6329.34	6000.00		32,934.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				189,901.55CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				205,487.50DR
	CARRIED FORWARD BALANCE				15,585.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB086

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		176,741.16CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		205,487.50DR
	CARRIED FORWARD BALANCE		28,746.34DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29AUG17	1 240CT17	6781.12	5993.00		19,703.00DR
29AUG17	1 240CT17	6781.12	5993.50		19,690.50DR
29AUG17	1 240CT17	6781.12	5993.00		19,703.00DR
29AUG17	1 240CT17	6781.12	5993.50		19,690.50DR
29AUG17	6 240CT17	6781.12	5993.00		118,218.00DR
29AUG17	1 240CT17	6781.12	5998.50		19,565.50DR
29AUG17	1 240CT17	6781.12	5999.00		19,553.00DR
29AUG17	1 240CT17	6781.12	5999.50		19,540.50DR
29AUG17	1 240CT17	6781.12	6000.00		19,528.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,496,918.59CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				275,192.00DR
	CARRIED FORWARD BALANCE				2,221,726.59CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089E

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
14AUG17	4 24OCT17	6389.62	6001.50		38,812.00DR
14AUG17	1 24OCT17	6389.62	6001.50		9,703.00DR
14AUG17	2 24OCT17	6389.62	5999.50		19,506.00DR
14AUG17	3 24OCT17	6389.62	5998.50		29,334.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				58,495.05CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				97,355.00DR
	CARRIED FORWARD BALANCE				38,859.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
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SAPAB089

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,197,387.11CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		372,547.00DR
	CARRIED FORWARD BALANCE		1,824,840.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
27JUL17	1 240CT17	6329.14	6000.50		8,216.00DR
27JUL17	1 240CT17	6329.14	5998.50		8,266.00DR
27JUL17	1 240CT17	6329.14	5996.00		8,328.50DR
27JUL17	1 240CT17	6329.14	5993.00		8,403.50DR
27JUL17	1 240CT17	6329.14	5991.00		8,453.50DR
27JUL17	1 240CT17	6329.14	5991.00		8,453.50DR
27JUL17	1 240CT17	6329.14	5991.00		8,453.50DR
27JUL17	1 240CT17	6329.14	5991.00		8,453.50DR
27JUL17	1 240CT17	6329.14	5992.00		8,428.50DR
27JUL17	1 240CT17	6329.14	6011.00		7,953.50DR
27JUL17	1 240CT17	6329.14	6011.00		7,953.50DR
27JUL17	1 240CT17	6329.14	6012.50		7,916.00DR
27JUL17	1 240CT17	6329.14	6014.00		7,878.50DR
27JUL17	1 240CT17	6329.14	6011.50		7,941.00DR
27JUL17	1 240CT17	6329.14	6012.00		7,928.50DR
27JUL17	1 240CT17	6329.14	6011.00		7,953.50DR
27JUL17	1 240CT17	6329.14	6012.00		7,928.50DR
27JUL17	1 240CT17	6329.14	6013.00		7,903.50DR
27JUL17	1 240CT17	6329.14	6014.50		7,866.00DR
27JUL17	1 240CT17	6329.14	6026.00		7,578.50DR
27JUL17	1 240CT17	6329.14	6022.00		7,678.50DR
27JUL17	1 240CT17	6329.14	6023.00		7,653.50DR
27JUL17	1 240CT17	6329.14	6021.50		7,691.00DR
27JUL17	1 240CT17	6329.14	6020.50		7,716.00DR
27JUL17	1 240CT17	6329.14	6021.50		7,691.00DR
27JUL17	1 240CT17	6329.14	6021.50		7,691.00DR
27JUL17	1 240CT17	6329.14	6021.50		7,691.00DR
27JUL17	1 240CT17	6329.14	6022.00		7,678.50DR
27JUL17	1 240CT17	6329.14	6022.00		7,678.50DR
27JUL17	1 240CT17	6329.14	6002.00		8,178.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				84,645.25DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				239,605.00DR
	CARRIED FORWARD BALANCE				324,250.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097K

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6000.00	6000.50		12.50CR
24JUL17	1 24OCT17	6000.00	6008.00		200.00CR
24JUL17	2 24OCT17	6000.00	6028.00		1,400.00CR
24JUL17	1 24OCT17	6000.50	6028.00		687.50CR
24JUL17	1 24OCT17	6027.50	6028.00		12.50CR
24JUL17	1 24OCT17	6016.00	6028.00		300.00CR
24JUL17	2 24OCT17	6016.00	6028.00		600.00CR
24JUL17	1 24OCT17	6018.50	6028.00		237.50CR
24JUL17	1 24OCT17	6019.50	6029.00		237.50CR
24JUL17	2 24OCT17	6020.50	6025.50		250.00CR
24JUL17	1 24OCT17	6021.50	6025.50		100.00CR
24JUL17	1 24OCT17	6021.50	6025.50		100.00CR
24JUL17	1 24OCT17	6021.50	6022.50		25.00CR
24JUL17	1 24OCT17	6021.50	6020.00		37.50DR
24JUL17	1 24OCT17	6021.50	6021.00		12.50DR
24JUL17	3 24OCT17	6022.50	6021.00		112.50DR
24JUL17	1 24OCT17	6022.00	6018.50		87.50DR
24JUL17	1 24OCT17	6020.50	6018.50		50.00DR
24JUL17	1 24OCT17	6020.50	6018.50		50.00DR
24JUL17	1 24OCT17	6020.50	6018.00		62.50DR
24JUL17	1 24OCT17	6020.50	6015.50		125.00DR
24JUL17	1 24OCT17	6022.50	6015.50		175.00DR
24JUL17	2 24OCT17	6022.50	6016.50		300.00DR
24JUL17	1 24OCT17	6022.50	6015.50		175.00DR
24JUL17	1 24OCT17	6023.50	6017.50		150.00DR
24JUL17	1 24OCT17	6023.50	6017.50		150.00DR
24JUL17	1 24OCT17	6023.50	6015.00		212.50DR
24JUL17	2 24OCT17	6022.00	6015.00		350.00DR
24JUL17	1 24OCT17	6021.50	6018.50		75.00DR
24JUL17	1 24OCT17	6022.50	6026.50		100.00CR
24JUL17	1 24OCT17	6022.50	6025.50		75.00CR
24JUL17	1 24OCT17	6023.50	6026.50		75.00CR
24JUL17	1 24OCT17	6021.50	6025.50		100.00CR
24JUL17	1 24OCT17	6021.50	6026.50		125.00CR
24JUL17	1 24OCT17	6021.50	6026.50		125.00CR
24JUL17	3 24OCT17	6021.50	6026.50		375.00CR
24JUL17	1 24OCT17	6021.50	6023.50		50.00CR
24JUL17	1 24OCT17	6022.50	6021.00		37.50DR
24JUL17	1 24OCT17	6026.00	6021.00		125.00DR
24JUL17	1 24OCT17	6026.00	6017.50		212.50DR
24JUL17	1 24OCT17	6026.00	6019.00		175.00DR
24JUL17	1 24OCT17	6026.00	6029.50		87.50CR
24JUL17	1 24OCT17	6026.00	6027.00		25.00CR
24JUL17	1 24OCT17	6025.50	6027.00		37.50CR
24JUL17	1 24OCT17	6026.00	6027.00		25.00CR
27JUL17	1 24OCT17	6329.14	6026.00		7,578.50DR
27JUL17	1 24OCT17	6329.14	6026.50		7,566.00DR
27JUL17	1 24OCT17	6329.14	6027.00		7,553.50DR
27JUL17	1 24OCT17	6329.14	6022.00		7,678.50DR
27JUL17	1 24OCT17	6329.14	6017.50		7,791.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				605,998.75DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				35,480.00DR
	CARRIED FORWARD BALANCE				641,478.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0097K

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097L

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6021.50	6007.50		350.00DR
24JUL17	1 24OCT17	6020.00	6007.50		312.50DR
24JUL17	1 24OCT17	6020.00	5990.50		737.50DR
24JUL17	1 24OCT17	6020.00	6002.50		437.50DR
24JUL17	1 24OCT17	6021.50	6009.00		312.50DR
24JUL17	1 24OCT17	6023.00	6009.00		350.00DR
24JUL17	1 24OCT17	6021.00	6015.00		150.00DR
24JUL17	1 24OCT17	6021.00	6024.00		75.00CR
24JUL17	1 24OCT17	6020.00	6024.50		112.50CR
24JUL17	1 24OCT17	6015.50	6028.00		312.50CR
24JUL17	1 24OCT17	6012.50	6028.00		387.50CR
24JUL17	1 24OCT17	6012.50	6028.50		400.00CR
24JUL17	1 24OCT17	6016.00	6028.50		312.50CR
24JUL17	4 24OCT17	6017.00	6029.00		1,200.00CR
24JUL17	1 24OCT17	6017.00	6016.00		25.00DR
24JUL17	1 24OCT17	6016.00	6014.50		37.50DR
24JUL17	1 24OCT17	6017.00	6014.50		62.50DR
24JUL17	2 24OCT17	6022.00	6015.50		325.00DR
24JUL17	1 24OCT17	6027.00	6015.50		287.50DR
24JUL17	1 24OCT17	6026.00	6015.50		262.50DR
24JUL17	1 24OCT17	6026.00	6016.50		237.50DR
24JUL17	2 24OCT17	6026.00	6025.50		25.00DR
24JUL17	1 24OCT17	6027.00	6025.50		37.50DR
24JUL17	1 24OCT17	6016.00	6019.00		75.00CR
24JUL17	1 24OCT17	6031.50	6026.50		125.00DR
24JUL17	1 24OCT17	6032.50	6026.50		150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				695,296.25DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,350.00DR
	CARRIED FORWARD BALANCE				696,646.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	40	10JAN18	IFC	UD	7035.07		108.00DR	
24OCT17	S	40	11JAN18	IFC	UD		7035.34	108.00DR	
24OCT17	S	45	11JAN18	IFC	UD		7035.54	121.50DR	
24OCT17	S	20	12JAN18	IFC	UD		7036.00	54.00DR	
24OCT17	S	30	16JAN18	IFC	UD		7037.50	81.00DR	
24OCT17	B	95	19JAN18	IFC	UD	7035.20		256.50DR	
24OCT17	B	2	24JAN18	TTR	EL	7102.50		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7101.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7100.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7105.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7105.00		9.30DR	
24OCT17	B	3	24JAN18	TTR	EL	7104.50		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7093.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7093.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7088.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7092.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7090.00		9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7087.00	4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7094.50		13.95DR	
24OCT17	B	5	24JAN18	TTR	EL	7083.00		23.25DR	
24OCT17	B	5	24JAN18	TTR	EL	7080.00		23.25DR	
24OCT17	S	1	24JAN18	DMA	EL		7102.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7097.00	4.65DR	
24OCT17	S	8	24JAN18	TTR	EL		7095.50	37.20DR	
24OCT17	S	2	24JAN18	TTR	EL		7096.00	9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		7093.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7091.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7093.50	4.65DR	
24OCT17	S	7	24JAN18	TTR	EL		7094.50	32.55DR	
24OCT17	B	3	24JAN18	TTR	EL	7095.50		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7084.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7082.50		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7057.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7061.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7060.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7059.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7053.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7050.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7048.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7042.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7038.50	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7040.50	13.95DR	
24OCT17	S	5	24JAN18	TTR	EL		7023.00	23.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7016.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7013.50	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7014.50	13.95DR	
24OCT17	S	5	24JAN18	TTR	EL		7015.00	23.25DR	
24OCT17	S	5	24JAN18	TTR	EL		7030.00	23.25DR	
24OCT17	S	2	24JAN18	TTR	EL		7031.50	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7028.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7029.00	13.95DR	
24OCT17	B	1	24JAN18	DMA	EL	7061.50		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7039.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7042.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.50	4.65DR	
	CMX Copper 25,000 CMX								
24OCT17	S	1	DEC17	TTR	GX		322.600	2.35DR	
24OCT17	S	5	DEC17	TTR	GX		322.550	11.75DR	
24OCT17	S	2	DEC17	TTR	GX		322.500	4.70DR	
24OCT17	S	3	DEC17	TTR	GX		322.450	7.05DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

24th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	2	DEC17	TTR	GX		322.750	4.70DR	
24OCT17	S	9	DEC17	TTR	GX		322.700	21.15DR	
24OCT17	S	4	DEC17	TTR	GX		322.200	9.40DR	
24OCT17	S	2	DEC17	TTR	GX		322.000	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		322.150	2.35DR	
24OCT17	S	6	DEC17	TTR	GX		322.250	14.10DR	
24OCT17	S	5	DEC17	TTR	GX		322.300	11.75DR	
24OCT17	S	11	DEC17	TTR	GX		321.700	25.85DR	
24OCT17	S	11	DEC17	TTR	GX		321.550	25.85DR	
24OCT17	B	2	DEC17	TTR	GX	322.250		4.70DR	
24OCT17	B	22	DEC17	TTR	GX	322.200		51.70DR	
24OCT17	B	2	DEC17	TTR	GX	322.000		4.70DR	
24OCT17	B	7	DEC17	TTR	GX	322.100		16.45DR	
24OCT17	B	13	DEC17	TTR	GX	322.150		30.55DR	
24OCT17	B	2	DEC17	TTR	GX	322.300		4.70DR	
24OCT17	S	2	DEC17	TTR	GX		321.950	4.70DR	
24OCT17	S	6	DEC17	TTR	GX		321.800	14.10DR	
24OCT17	S	2	DEC17	TTR	GX		321.650	4.70DR	
24OCT17	B	2	DEC17	TTR	GX	320.450		4.70DR	
24OCT17	S	6	DEC17	TTR	GX		320.700	14.10DR	
24OCT17	S	3	DEC17	TTR	GX		320.750	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		320.650	4.70DR	
24OCT17	S	2	DEC17	TTR	GX		320.300	4.70DR	
24OCT17	S	4	DEC17	TTR	GX		320.200	9.40DR	
24OCT17	S	2	DEC17	TTR	GX		320.050	4.70DR	
24OCT17	S	3	DEC17	TTR	GX		319.800	7.05DR	
24OCT17	B	2	DEC17	TTR	GX	319.650		4.70DR	
24OCT17	B	3	DEC17	TTR	GX	319.350		7.05DR	
24OCT17	B	11	DEC17	TTR	GX	318.900		25.85DR	
24OCT17	B	2	DEC17	TTR	GX	318.550		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	318.600		4.70DR	
24OCT17	B	7	DEC17	TTR	GX	318.500		16.45DR	
24OCT17	B	11	DEC17	TTR	GX	318.800		25.85DR	
24OCT17	B	2	DEC17	TTR	GX	319.250		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	319.300		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	319.150		4.70DR	
24OCT17	B	16	DEC17	TTR	GX	319.200		37.60DR	
24OCT17	B	6	DEC17	TTR	GX	319.750		14.10DR	
24OCT17	B	4	DEC17	TTR	GX	319.700		9.40DR	
24OCT17	B	2	DEC17	TTR	GX	319.600		4.70DR	
24OCT17	B	5	DEC17	TTR	GX	319.550		11.75DR	
	Commission								1,736.60DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
24OCT17		2	DEC17			322.200	322.550		175.00CR
24OCT17		1	DEC17			322.200	322.600		100.00CR
24OCT17		1	DEC17			322.200	322.550		87.50CR
24OCT17		2	DEC17			322.200	322.550		175.00CR
24OCT17		2	DEC17			322.200	322.500		150.00CR
24OCT17		3	DEC17			322.200	322.450		187.50CR
24OCT17		2	DEC17			322.150	322.750		300.00CR
24OCT17		5	DEC17			322.150	322.700		687.50CR
24OCT17		1	DEC17			322.150	322.700		137.50CR
24OCT17		3	DEC17			322.150	322.700		412.50CR
24OCT17		2	DEC17			322.250	322.200		25.00DR
24OCT17		2	DEC17			322.200	322.200		0.00
24OCT17		2	DEC17			322.200	321.800		200.00DR
24OCT17		2	DEC17			322.200	322.000		100.00DR
24OCT17		1	DEC17			322.200	322.150		12.50DR
24OCT17		2	DEC17			322.200	321.800		200.00DR
24OCT17		1	DEC17			322.100	322.250		37.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC17	322.200	322.250		25.00CR
24OCT17	1 DEC17	322.100	322.250		37.50CR
24OCT17	2 DEC17	322.100	322.250		75.00CR
24OCT17	2 DEC17	322.000	322.300		150.00CR
24OCT17	3 DEC17	322.100	322.300		150.00CR
24OCT17	2 DEC17	322.150	321.700		225.00DR
24OCT17	2 DEC17	322.300	321.700		300.00DR
24OCT17	2 DEC17	320.450	321.700		625.00CR
24OCT17	3 DEC17	319.750	321.700		1,462.50CR
24OCT17	1 DEC17	319.750	321.700		487.50CR
24OCT17	1 DEC17	319.650	321.700		512.50CR
24OCT17	1 DEC17	319.650	321.550		475.00CR
24OCT17	2 DEC17	319.700	321.550		925.00CR
24OCT17	3 DEC17	319.350	321.550		1,650.00CR
24OCT17	5 DEC17	318.900	321.550		3,312.50CR
24OCT17	2 DEC17	318.900	321.950		1,525.00CR
24OCT17	2 DEC17	318.900	321.800		1,450.00CR
24OCT17	2 DEC17	318.900	321.650		1,375.00CR
24OCT17	2 DEC17	318.550	320.700		1,075.00CR
24OCT17	2 DEC17	318.600	320.700		1,050.00CR
24OCT17	2 DEC17	318.500	320.700		1,100.00CR
24OCT17	3 DEC17	318.500	320.750		1,687.50CR
24OCT17	2 DEC17	318.500	320.650		1,075.00CR
24OCT17	2 DEC17	318.800	320.300		750.00CR
24OCT17	4 DEC17	318.800	320.200		1,400.00CR
24OCT17	2 DEC17	318.800	320.050		625.00CR
24OCT17	2 DEC17	318.800	319.800		500.00CR
24OCT17	1 DEC17	318.800	319.800		250.00CR
24OCT17	1 DEC17	319.200	323.950		1,187.50CR
24OCT17	1 DEC17	319.250	323.950		1,175.00CR
24OCT17	1 DEC17	319.200	323.150		987.50CR
24OCT17	1 DEC17	319.250	323.150		975.00CR
24OCT17	2 DEC17	319.200	323.200		2,000.00CR
24OCT17	2 DEC17	319.200	323.500		2,150.00CR
24OCT17	2 DEC17	319.200	323.450		2,125.00CR
24OCT17	1 DEC17	319.200	322.800		900.00CR
24OCT17	2 DEC17	319.300	322.800		1,750.00CR
24OCT17	1 DEC17	319.150	322.800		912.50CR
24OCT17	1 DEC17	319.150	323.900		1,187.50CR
24OCT17	3 DEC17	319.200	323.900		3,525.00CR
24OCT17	1 DEC17	319.200	323.900		1,175.00CR
24OCT17	1 DEC17	319.200	323.900		1,175.00CR
24OCT17	1 DEC17	319.200	323.750		1,137.50CR
24OCT17	1 DEC17	319.200	323.850		1,162.50CR
24OCT17	2 DEC17	319.550	319.200		175.00DR
24OCT17	1 DEC17	319.750	319.150		150.00DR
24OCT17	1 DEC17	319.750	319.200		137.50DR
24OCT17	2 DEC17	319.700	319.150		275.00DR
24OCT17	1 DEC17	319.600	319.150		112.50DR
24OCT17	2 DEC17	319.550	319.150		200.00DR
24OCT17	1 DEC17	319.600	319.150		112.50DR
24OCT17	1 DEC17	319.550	319.150		100.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				243,106.32CR
	SETTLEMENTS				
	CMX Copper Futures				47,400.00CR
	COMMISSIONS				1,736.60DR
	CARRIED FORWARD BALANCE				288,769.72CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	40	10JAN18	IFC	UD	7035.07		108.00DR	
24OCT17	S	40	11JAN18	IFC	UD		7035.34	108.00DR	
24OCT17	S	60	11JAN18	IFC	UD		7035.54	162.00DR	
24OCT17	S	5	12JAN18	IFC	UD		7036.00	13.50DR	
24OCT17	S	50	16JAN18	IFC	UD		7037.50	135.00DR	
24OCT17	B	20	17JAN18	IFC	UD	7037.20		54.00DR	
24OCT17	S	5	18JAN18	IFC	UD		7035.50	13.50DR	
24OCT17	B	15	19JAN18	IFC	UD	7035.20		40.50DR	
24OCT17	B	85	23JAN18	IFC	UD	7035.60		229.50DR	
24OCT17	B	6	24JAN18	TTR	EL	7098.50		27.90DR	
24OCT17	B	1	24JAN18	TTR	EL	7105.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7104.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7101.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7100.50		4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7108.00		13.95DR	
24OCT17	B	2	24JAN18	TTR	EL	7105.50		9.30DR	
24OCT17	S	4	24JAN18	TTR	EL		7099.00	18.60DR	
24OCT17	B	4	24JAN18	TTR	EL	7094.00		18.60DR	
24OCT17	B	4	24JAN18	TTR	EL	7090.00		18.60DR	
24OCT17	B	1	24JAN18	TTR	EL	7091.00		4.65DR	
24OCT17	B	5	24JAN18	TTR	EL	7076.50		23.25DR	
24OCT17	B	4	24JAN18	TTR	EL	7075.50		18.60DR	
24OCT17	B	1	24JAN18	TTR	EL	7079.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7078.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7076.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7083.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7086.00	4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7077.50		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7081.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7089.50		9.30DR	
24OCT17	S	4	24JAN18	TTR	EL		7091.00	18.60DR	
24OCT17	S	1	24JAN18	TTR	EL		7091.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7097.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7092.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7089.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7094.50	13.95DR	
24OCT17	S	7	24JAN18	TTR	EL		7094.00	32.55DR	
24OCT17	B	2	24JAN18	TTR	EL	7092.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7084.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7086.00		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7082.00		4.65DR	
24OCT17	B	8	24JAN18	TTR	EL	7083.50		37.20DR	
24OCT17	B	2	24JAN18	TTR	EL	7087.50		9.30DR	
24OCT17	B	4	24JAN18	TTR	EL	7085.00		18.60DR	
24OCT17	B	6	24JAN18	TTR	EL	7081.50		27.90DR	
24OCT17	S	2	24JAN18	TTR	EL		7077.00	9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		7098.00	9.30DR	
24OCT17	B	5	24JAN18	TTR	EL	7093.00		23.25DR	
24OCT17	B	2	24JAN18	TTR	EL	7095.00		9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		7100.50	9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7097.00		4.65DR	
24OCT17	B	9	24JAN18	TTR	EL	7099.00		41.85DR	
24OCT17	B	4	24JAN18	TTR	EL	7098.00		18.60DR	
24OCT17	B	1	24JAN18	TTR	EL	7103.50		4.65DR	
24OCT17	B	10	24JAN18	TTR	EL	7104.00		46.50DR	
24OCT17	B	4	24JAN18	TTR	EL	7103.00		18.60DR	
24OCT17	S	3	24JAN18	DMA	EL		7094.50	13.95DR	
24OCT17	S	4	24JAN18	DMA	EL		7094.00	18.60DR	
24OCT17	B	1	24JAN18	DMA	EL	7105.00		4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		7087.50	4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	7087.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7107.00		4.65DR	

Group No: SAPAB097

Page 1 of 7

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	24JAN18	TTR	EL	7109.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7108.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7109.00		4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7107.50		13.95DR	
24OCT17	B	2	24JAN18	TTR	EL	7106.00		9.30DR	
24OCT17	B	9	24JAN18	TTR	EL	7100.00		41.85DR	
24OCT17	B	3	24JAN18	TTR	EL	7101.00		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7099.50		4.65DR	
24OCT17	S	5	24JAN18	TTR	EL		7098.50	23.25DR	
24OCT17	S	7	24JAN18	TTR	EL		7097.50	32.55DR	
24OCT17	S	3	24JAN18	TTR	EL		7093.50	13.95DR	
24OCT17	S	16	24JAN18	TTR	EL		7093.00	74.40DR	
24OCT17	S	3	24JAN18	TTR	EL		7092.50	13.95DR	
24OCT17	S	7	24JAN18	TTR	EL		7095.00	32.55DR	
24OCT17	S	15	24JAN18	TTR	EL		7095.50	69.75DR	
24OCT17	S	2	24JAN18	TTR	EL		7096.50	9.30DR	
24OCT17	S	8	24JAN18	TTR	EL		7096.00	37.20DR	
24OCT17	B	5	24JAN18	TTR	EL	7090.50		23.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7060.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7061.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7062.50	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7052.50		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7056.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7056.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7059.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7056.50		4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7057.50		13.95DR	
24OCT17	B	4	24JAN18	TTR	EL	7059.50		18.60DR	
24OCT17	B	1	24JAN18	TTR	EL	7058.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7056.00		9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7051.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7025.50		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7028.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7026.50		4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7010.50	9.30DR	
24OCT17	S	3	24JAN18	TTR	EL		7009.50	13.95DR	
24OCT17	S	5	24JAN18	TTR	EL		7023.00	23.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7019.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7018.50	13.95DR	
24OCT17	S	1	24JAN18	TTR	EL		7017.50	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7029.00	9.30DR	
24OCT17	S	8	24JAN18	TTR	EL		7026.50	37.20DR	
24OCT17	S	1	24JAN18	TTR	EL		7027.50	4.65DR	
24OCT17	S	23	24JAN18	TTR	EL		7030.00	106.95DR	
24OCT17	S	2	24JAN18	TTR	EL		7027.00	9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7029.00		9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		7029.50	9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7033.00		9.30DR	
24OCT17	B	3	24JAN18	TTR	EL	7033.50		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7037.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7036.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7034.00		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7032.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7030.50		4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		7091.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7033.00	4.65DR	
24OCT17	S	5	24JAN18	TTR	EL		7042.00	23.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7038.50	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7037.50	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7036.00	13.95DR	
24OCT17	S	3	24JAN18	TTR	EL		7036.50	13.95DR	
24OCT17	B	2	24JAN18	TTR	EL	7040.00		9.30DR	
24OCT17	B	3	24JAN18	TTR	EL	7040.50		13.95DR	
24OCT17	B	2	24JAN18	TTR	EL	7041.50		9.30DR	
24OCT17	B	3	24JAN18	TTR	EL	7042.00		13.95DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0097R

24th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	2	24JAN18	TTR	EL	7044.00		9.30DR	
24OCT17	B	6	24JAN18	TTR	EL	7045.00		27.90DR	
24OCT17	B	2	24JAN18	DMA	EL	7040.00		9.30DR	
	CMX Copper 25,000 CMX								
24OCT17	B	4	DEC17	TTR	GX	322.650		9.40DR	
24OCT17	S	2	DEC17	TTR	GX		322.700	4.70DR	
24OCT17	B	3	DEC17	TTR	GX	322.400		7.05DR	
24OCT17	S	6	DEC17	TTR	GX		322.200	14.10DR	
24OCT17	S	2	DEC17	TTR	GX		321.350	4.70DR	
24OCT17	S	15	DEC17	TTR	GX		321.400	35.25DR	
24OCT17	B	4	DEC17	TTR	GX	321.800		9.40DR	
24OCT17	S	7	DEC17	TTR	GX		321.500	16.45DR	
24OCT17	B	19	DEC17	TTR	GX	322.150		44.65DR	
24OCT17	S	5	DEC17	TTR	GX		321.700	11.75DR	
24OCT17	S	10	DEC17	TTR	GX		321.450	23.50DR	
24OCT17	S	6	DEC17	TTR	GX		321.600	14.10DR	
24OCT17	S	13	DEC17	TTR	GX		321.650	30.55DR	
24OCT17	S	7	DEC17	TTR	GX		321.800	16.45DR	
24OCT17	S	7	DEC17	TTR	GX		321.900	16.45DR	
24OCT17	S	17	DEC17	TTR	GX		321.750	39.95DR	
24OCT17	B	2	DEC17	TTR	GX	321.500		4.70DR	
24OCT17	B	16	DEC17	TTR	GX	322.350		37.60DR	
24OCT17	B	11	DEC17	TTR	GX	322.050		25.85DR	
24OCT17	S	18	DEC17	TTR	GX		322.150	42.30DR	
24OCT17	S	4	DEC17	TTR	GX		322.300	9.40DR	
24OCT17	S	4	DEC17	TTR	GX		322.350	9.40DR	
24OCT17	S	20	DEC17	TTR	GX		322.650	47.00DR	
24OCT17	S	9	DEC17	TTR	GX		322.750	21.15DR	
24OCT17	S	6	DEC17	TTR	GX		322.900	14.10DR	
24OCT17	S	16	DEC17	TTR	GX		322.850	37.60DR	
24OCT17	S	8	DEC17	TTR	GX		322.600	18.80DR	
24OCT17	S	22	DEC17	TTR	GX		322.500	51.70DR	
24OCT17	B	6	DEC17	TTR	GX	322.450		14.10DR	
24OCT17	S	16	DEC17	TTR	GX		322.400	37.60DR	
24OCT17	S	50	DEC17	TTR	GX		322.450	117.50DR	
24OCT17	B	14	DEC17	TTR	GX	322.000		32.90DR	
24OCT17	S	7	DEC17	TTR	GX		321.950	16.45DR	
24OCT17	B	60	DEC17	TTR	GX	322.100		141.00DR	
24OCT17	B	10	DEC17	TTR	GX	322.300		23.50DR	
24OCT17	B	23	DEC17	TTR	GX	322.250		54.05DR	
24OCT17	B	62	DEC17	TTR	GX	322.200		145.70DR	
24OCT17	S	7	DEC17	TTR	GX		322.100	16.45DR	
24OCT17	S	3	DEC17	TTR	GX		322.000	7.05DR	
24OCT17	S	16	DEC17	TTR	GX		322.050	37.60DR	
24OCT17	B	2	DEC17	TTR	GX	321.600		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	320.750		4.70DR	
24OCT17	B	1	DEC17	TTR	GX	320.600		2.35DR	
24OCT17	B	8	DEC17	TTR	GX	320.650		18.80DR	
24OCT17	S	2	DEC17	TTR	GX		320.450	4.70DR	
24OCT17	S	7	DEC17	TTR	GX		320.500	16.45DR	
24OCT17	S	6	DEC17	TTR	GX		320.550	14.10DR	
24OCT17	S	4	DEC17	TTR	GX		320.650	9.40DR	
24OCT17	S	3	DEC17	TTR	GX		320.600	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		319.150	4.70DR	
24OCT17	B	2	DEC17	TTR	GX	318.350		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	318.400		4.70DR	
24OCT17	B	7	DEC17	TTR	GX	318.300		16.45DR	
24OCT17	B	11	DEC17	TTR	GX	318.900		25.85DR	
24OCT17	B	2	DEC17	TTR	GX	318.750		4.70DR	
24OCT17	B	9	DEC17	TTR	GX	318.700		21.15DR	
24OCT17	B	4	DEC17	TTR	GX	319.100		9.40DR	
24OCT17	B	4	DEC17	TTR	GX	319.250		9.40DR	
24OCT17	B	48	DEC17	TTR	GX	319.200		112.80DR	
24OCT17	S	2	DEC17	TTR	GX		319.100	4.70DR	
24OCT17	B	10	DEC17	TTR	GX	319.150		23.50DR	
24OCT17	B	15	DEC17	TTR	GX	319.050		35.25DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	2	DEC17	TTR	GX		319.550	4.70DR	
24OCT17	S	2	DEC17	TTR	GX		319.600	4.70DR	
24OCT17	S	13	DEC17	TTR	GX		319.450	30.55DR	
24OCT17	S	1	DEC17	TTR	GX		319.350	2.35DR	
24OCT17	S	6	DEC17	TTR	GX		319.400	14.10DR	
24OCT17	B	2	DEC17	TTR	GX	319.400		4.70DR	
24OCT17	B	15	DEC17	TTR	GX	319.550		35.25DR	
24OCT17	B	18	DEC17	TTR	GX	319.500		42.30DR	
24OCT17	S	4	DEC17	TTR	GX		319.700	9.40DR	
24OCT17	S	8	DEC17	TTR	GX		319.800	18.80DR	
24OCT17	S	14	DEC17	TTR	GX		319.750	32.90DR	
24OCT17	S	4	DEC17	TTR	GX		319.900	9.40DR	
24OCT17	S	14	DEC17	TTR	GX		319.950	32.90DR	
	Commission								4,420.15DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
24OCT17		1	DEC17			322.650	322.450		50.00DR
24OCT17		1	DEC17			322.650	322.450		50.00DR
24OCT17		2	DEC17			322.650	322.450		100.00DR
24OCT17		3	DEC17			322.350	322.450		75.00CR
24OCT17		1	DEC17			322.350	322.450		25.00CR
24OCT17		1	DEC17			322.350	322.450		25.00CR
24OCT17		3	DEC17			322.400	322.450		37.50CR
24OCT17		2	DEC17			321.800	322.450		325.00CR
24OCT17		2	DEC17			321.800	322.450		325.00CR
24OCT17		1	DEC17			322.100	322.450		87.50CR
24OCT17		1	DEC17			322.100	322.450		87.50CR
24OCT17		4	DEC17			322.000	322.450		450.00CR
24OCT17		4	DEC17			322.000	322.450		450.00CR
24OCT17		1	DEC17			322.050	322.450		100.00CR
24OCT17		1	DEC17			322.000	322.450		112.50CR
24OCT17		1	DEC17			322.050	322.450		100.00CR
24OCT17		2	DEC17			322.100	322.450		175.00CR
24OCT17		1	DEC17			322.150	322.450		75.00CR
24OCT17		1	DEC17			322.150	322.400		62.50CR
24OCT17		1	DEC17			322.100	322.400		75.00CR
24OCT17		1	DEC17			322.100	322.650		137.50CR
24OCT17		2	DEC17			322.150	322.650		250.00CR
24OCT17		2	DEC17			322.100	322.500		200.00CR
24OCT17		1	DEC17			322.150	322.500		87.50CR
24OCT17		3	DEC17			322.150	322.500		262.50CR
24OCT17		1	DEC17			322.150	322.700		137.50CR
24OCT17		1	DEC17			322.250	322.700		112.50CR
24OCT17		1	DEC17			322.250	322.750		125.00CR
24OCT17		1	DEC17			322.250	322.750		125.00CR
24OCT17		1	DEC17			322.200	322.850		162.50CR
24OCT17		1	DEC17			322.250	322.850		150.00CR
24OCT17		2	DEC17			322.250	322.850		300.00CR
24OCT17		1	DEC17			322.200	322.850		162.50CR
24OCT17		1	DEC17			322.250	322.850		150.00CR
24OCT17		1	DEC17			322.100	322.850		187.50CR
24OCT17		2	DEC17			322.100	322.850		375.00CR
24OCT17		3	DEC17			322.100	322.850		562.50CR
24OCT17		5	DEC17			322.100	322.750		812.50CR
24OCT17		4	DEC17			322.050	322.650		600.00CR
24OCT17		2	DEC17			322.050	322.650		300.00CR
24OCT17		1	DEC17			322.050	322.150		25.00CR
24OCT17		1	DEC17			322.150	322.150		0.00
24OCT17		5	DEC17			322.150	322.200		62.50CR
24OCT17		1	DEC17			322.200	322.200		0.00
24OCT17		4	DEC17			322.200	322.050		150.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	4 DEC17	322.150	322.050		100.00DR
24OCT17	3 DEC17	322.100	322.100		0.00
24OCT17	2 DEC17	322.100	321.400		350.00DR
24OCT17	3 DEC17	322.100	321.450		487.50DR
24OCT17	1 DEC17	322.100	321.350		187.50DR
24OCT17	1 DEC17	322.100	321.450		162.50DR
24OCT17	1 DEC17	322.100	321.350		187.50DR
24OCT17	2 DEC17	321.500	321.400		50.00DR
24OCT17	1 DEC17	322.350	321.400		237.50DR
24OCT17	4 DEC17	322.350	321.400		950.00DR
24OCT17	3 DEC17	322.350	321.400		712.50DR
24OCT17	3 DEC17	322.350	321.400		712.50DR
24OCT17	2 DEC17	322.300	321.450		425.00DR
24OCT17	3 DEC17	322.300	321.500		600.00DR
24OCT17	1 DEC17	322.300	321.450		212.50DR
24OCT17	1 DEC17	322.250	321.450		200.00DR
24OCT17	1 DEC17	322.250	321.650		150.00DR
24OCT17	1 DEC17	322.200	321.650		137.50DR
24OCT17	1 DEC17	322.200	321.700		125.00DR
24OCT17	2 DEC17	322.200	321.700		250.00DR
24OCT17	2 DEC17	322.200	321.600		300.00DR
24OCT17	2 DEC17	322.200	321.600		300.00DR
24OCT17	3 DEC17	322.200	321.500		525.00DR
24OCT17	1 DEC17	322.250	321.500		187.50DR
24OCT17	2 DEC17	322.250	321.650		300.00DR
24OCT17	2 DEC17	322.050	321.950		50.00DR
24OCT17	2 DEC17	322.450	321.950		250.00DR
24OCT17	1 DEC17	322.450	321.950		125.00DR
24OCT17	1 DEC17	322.450	322.150		75.00DR
24OCT17	1 DEC17	322.450	322.150		75.00DR
24OCT17	1 DEC17	322.450	322.150		75.00DR
24OCT17	1 DEC17	322.250	322.150		25.00DR
24OCT17	5 DEC17	322.250	321.750		625.00DR
24OCT17	5 DEC17	322.200	321.750		562.50DR
24OCT17	1 DEC17	322.200	321.700		125.00DR
24OCT17	1 DEC17	322.100	321.700		100.00DR
24OCT17	7 DEC17	322.100	321.650		787.50DR
24OCT17	2 DEC17	322.100	321.450		325.00DR
24OCT17	2 DEC17	322.100	321.600		250.00DR
24OCT17	3 DEC17	322.100	321.800		225.00DR
24OCT17	2 DEC17	322.100	321.650		225.00DR
24OCT17	2 DEC17	322.200	321.750		225.00DR
24OCT17	3 DEC17	322.200	321.750		337.50DR
24OCT17	4 DEC17	322.200	321.800		400.00DR
24OCT17	2 DEC17	322.250	321.900		175.00DR
24OCT17	4 DEC17	322.200	321.900		300.00DR
24OCT17	1 DEC17	322.200	321.900		75.00DR
24OCT17	2 DEC17	322.100	321.750		175.00DR
24OCT17	1 DEC17	322.100	322.150		12.50CR
24OCT17	2 DEC17	322.100	322.050		25.00DR
24OCT17	1 DEC17	322.100	322.150		12.50CR
24OCT17	3 DEC17	322.100	322.150		37.50CR
24OCT17	2 DEC17	322.100	322.150		25.00CR
24OCT17	3 DEC17	322.000	322.150		112.50CR
24OCT17	2 DEC17	322.000	322.150		75.00CR
24OCT17	2 DEC17	322.100	322.300		100.00CR
24OCT17	2 DEC17	322.200	322.300		50.00CR
24OCT17	4 DEC17	322.300	322.450		150.00CR
24OCT17	1 DEC17	322.250	322.450		50.00CR
24OCT17	1 DEC17	322.250	322.450		50.00CR
24OCT17	1 DEC17	322.250	322.400		37.50CR
24OCT17	6 DEC17	322.200	322.400		300.00CR
24OCT17	4 DEC17	322.200	322.350		150.00CR
24OCT17	1 DEC17	322.200	322.450		62.50CR
24OCT17	1 DEC17	322.200	322.400		50.00CR
24OCT17	3 DEC17	322.200	322.450		187.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC17	322.200	322.450		62.50CR
24OCT17	2 DEC17	322.200	322.400		100.00CR
24OCT17	3 DEC17	322.200	322.450		187.50CR
24OCT17	1 DEC17	322.200	322.650		112.50CR
24OCT17	2 DEC17	321.600	322.650		525.00CR
24OCT17	4 DEC17	320.650	322.650		2,000.00CR
24OCT17	1 DEC17	320.750	322.650		475.00CR
24OCT17	1 DEC17	320.750	322.650		475.00CR
24OCT17	1 DEC17	320.600	322.650		512.50CR
24OCT17	1 DEC17	320.650	322.650		500.00CR
24OCT17	3 DEC17	320.650	322.600		1,462.50CR
24OCT17	1 DEC17	319.150	322.600		862.50CR
24OCT17	1 DEC17	319.150	322.500		837.50CR
24OCT17	1 DEC17	318.350	322.600		1,062.50CR
24OCT17	1 DEC17	318.350	322.500		1,037.50CR
24OCT17	5 DEC17	318.300	322.500		5,250.00CR
24OCT17	2 DEC17	318.400	322.500		2,050.00CR
24OCT17	1 DEC17	318.300	322.500		1,050.00CR
24OCT17	1 DEC17	318.300	322.500		1,050.00CR
24OCT17	1 DEC17	318.900	322.750		962.50CR
24OCT17	1 DEC17	318.900	322.900		1,000.00CR
24OCT17	1 DEC17	318.900	322.750		962.50CR
24OCT17	1 DEC17	318.900	322.900		1,000.00CR
24OCT17	2 DEC17	318.900	322.850		1,975.00CR
24OCT17	4 DEC17	318.900	322.900		4,000.00CR
24OCT17	1 DEC17	318.900	322.850		987.50CR
24OCT17	1 DEC17	318.750	322.850		1,025.00CR
24OCT17	1 DEC17	318.750	322.600		962.50CR
24OCT17	2 DEC17	318.700	322.600		1,950.00CR
24OCT17	2 DEC17	318.700	322.500		1,900.00CR
24OCT17	2 DEC17	318.700	322.450		1,875.00CR
24OCT17	3 DEC17	318.700	322.500		2,850.00CR
24OCT17	2 DEC17	319.050	322.400		1,675.00CR
24OCT17	2 DEC17	319.100	322.400		1,650.00CR
24OCT17	2 DEC17	319.200	322.450		1,625.00CR
24OCT17	2 DEC17	319.200	321.950		1,375.00CR
24OCT17	2 DEC17	319.100	322.050		1,475.00CR
24OCT17	2 DEC17	319.150	322.000		1,425.00CR
24OCT17	1 DEC17	319.150	322.050		725.00CR
24OCT17	1 DEC17	319.200	322.050		712.50CR
24OCT17	3 DEC17	319.200	322.100		2,175.00CR
24OCT17	1 DEC17	319.250	322.100		712.50CR
24OCT17	1 DEC17	319.250	322.000		687.50CR
24OCT17	2 DEC17	319.250	322.050		1,400.00CR
24OCT17	2 DEC17	319.200	320.550		675.00CR
24OCT17	2 DEC17	319.200	320.450		625.00CR
24OCT17	1 DEC17	319.200	320.500		325.00CR
24OCT17	2 DEC17	319.200	320.550		675.00CR
24OCT17	6 DEC17	319.200	320.500		1,950.00CR
24OCT17	2 DEC17	319.200	320.550		675.00CR
24OCT17	4 DEC17	319.200	320.650		1,450.00CR
24OCT17	3 DEC17	319.200	320.600		1,050.00CR
24OCT17	2 DEC17	319.200	319.150		25.00DR
24OCT17	2 DEC17	319.200	319.100		50.00DR
24OCT17	9 DEC17	319.200	319.450		562.50CR
24OCT17	2 DEC17	319.200	319.550		175.00CR
24OCT17	2 DEC17	319.200	319.400		100.00CR
24OCT17	1 DEC17	319.200	319.600		100.00CR
24OCT17	1 DEC17	319.050	319.600		137.50CR
24OCT17	1 DEC17	319.050	319.450		100.00CR
24OCT17	3 DEC17	319.150	319.450		225.00CR
24OCT17	2 DEC17	319.150	319.400		125.00CR
24OCT17	1 DEC17	319.050	319.350		75.00CR
24OCT17	2 DEC17	319.050	319.400		175.00CR
24OCT17	2 DEC17	319.050	319.700		325.00CR
24OCT17	4 DEC17	319.050	319.750		700.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC17	319.050	319.700		325.00CR
24OCT17	1 DEC17	319.400	319.750		87.50CR
24OCT17	1 DEC17	319.400	319.750		87.50CR
24OCT17	4 DEC17	319.550	319.750		200.00CR
24OCT17	7 DEC17	319.550	319.800		437.50CR
24OCT17	1 DEC17	319.500	319.800		75.00CR
24OCT17	1 DEC17	319.500	319.750		62.50CR
24OCT17	1 DEC17	319.550	319.750		50.00CR
24OCT17	2 DEC17	319.550	319.750		100.00CR
24OCT17	1 DEC17	319.550	319.900		87.50CR
24OCT17	1 DEC17	319.500	319.900		100.00CR
24OCT17	3 DEC17	319.500	319.950		337.50CR
24OCT17	2 DEC17	319.500	319.900		200.00CR
24OCT17	4 DEC17	319.500	319.950		450.00CR
24OCT17	2 DEC17	319.500	319.950		225.00CR
24OCT17	2 DEC17	319.500	319.950		225.00CR
24OCT17	2 DEC17	319.500	319.950		225.00CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					150,498.54DR
SETTLEMENTS					
CMX Copper Futures					65,650.00CR
COMMISSIONS					4,420.15DR
CARRIED FORWARD BALANCE					89,268.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
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SAPAB097

24th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,608,815.69CR
	SETTLEMENTS		
	CMX Copper Futures		113,050.00CR
	LME Copper Grade A 25 tonnes. This Futures		276,435.00DR
	COMMISSIONS		6,156.75DR
	CARRIED FORWARD BALANCE		1,439,273.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 2 24JAN18 DMA EL		7085.50	10.00DR	
	CMX Copper 25,000 CMX				
24OCT17	B 4 DEC17 GX	319.100		9.40DR	
24OCT17	B 1 DEC17 GX	319.600		2.35DR	
24OCT17	B 2 DEC17 GX	319.500		4.70DR	
24OCT17	B 37 DEC17 GX	319.550		86.95DR	
24OCT17	S 1 MAR18 GX		321.550	2.35DR	
24OCT17	S 2 MAR18 GX		321.450	4.70DR	
24OCT17	S 37 MAR18 GX		321.500	86.95DR	
	Commission				207.40DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	4 DEC17	319.100	289.950		29,150.00DR
24OCT17	18 DEC17	319.550	289.950		133,200.00DR
24OCT17	2 DEC17	319.550	289.950		14,800.00DR
24OCT17	1 DEC17	319.500	289.950		7,387.50DR
24OCT17	1 DEC17	319.600	289.950		7,412.50DR
24OCT17	5 DEC17	319.550	289.950		37,000.00DR
24OCT17	10 DEC17	319.550	289.950		74,000.00DR
24OCT17	1 DEC17	319.500	289.950		7,387.50DR
24OCT17	2 DEC17	319.550	289.950		14,800.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,864,079.15CR
	SETTLEMENTS				
	CMX Copper Futures				325,137.50DR
	COMMISSIONS				207.40DR
	CARRIED FORWARD BALANCE				3,538,734.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB101

24th Oct 2017

COB Global

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,864,079.15CR
	SETTLEMENTS		
	CMX Copper Futures		325,137.50DR
	COMMISSIONS		207.40DR
	CARRIED FORWARD BALANCE		3,538,734.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103K

24th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 240CT17	5994.00	5989.00		125.00DR
15SEP17	1 240CT17	6482.95	5987.00		12,398.75DR
15SEP17	1 240CT17	6482.95	5990.50		12,311.25DR
15SEP17	1 240CT17	6482.95	5989.00		12,348.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				40,135.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				37,183.75DR
	CARRIED FORWARD BALANCE				77,318.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB103

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		40,135.00DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		37,183.75DR
	CARRIED FORWARD BALANCE		77,318.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0107B

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6005.50	6005.50		0.00
11AUG17	3 24OCT17	6404.72	6005.50		29,941.50DR
11AUG17	1 24OCT17	6404.72	6006.00		9,968.00DR
11AUG17	1 24OCT17	6404.72	6006.00		9,968.00DR
11AUG17	2 24OCT17	6404.72	6002.00		20,136.00DR
11AUG17	1 24OCT17	6404.72	6002.00		10,068.00DR
11AUG17	1 24OCT17	6404.72	6002.00		10,068.00DR
11AUG17	1 24OCT17	6404.72	6002.00		10,068.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				239,755.19DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				100,217.50DR
	CARRIED FORWARD BALANCE				339,972.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB107

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		239,755.19DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		100,217.50DR
	CARRIED FORWARD BALANCE		339,972.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118E

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6025.00	6019.00		150.00DR
24JUL17	1 24OCT17	6017.00	6016.00		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				41,897.17DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				175.00DR
	CARRIED FORWARD BALANCE				42,072.17DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB118

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		46,242.08CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		175.00DR
	CARRIED FORWARD BALANCE		46,067.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 35 10JAN18 IFC UD 7035.07			94.50DR	
24OCT17	S 35 11JAN18 IFC UD		7035.34	94.50DR	
24OCT17	S 125 11JAN18 IFC UD		7035.54	337.50DR	
24OCT17	S 35 12JAN18 IFC UD		7036.00	94.50DR	
24OCT17	S 50 16JAN18 IFC UD		7037.50	135.00DR	
24OCT17	S 45 18JAN18 IFC UD		7035.50	121.50DR	
24OCT17	B 40 19JAN18 IFC UD	7035.20		108.00DR	
24OCT17	B 215 23JAN18 IFC UD	7035.60		580.50DR	
24OCT17	B 2 24JAN18 TTR EL	7098.50		9.50DR	
24OCT17	B 7 24JAN18 TTR EL	7099.50		33.25DR	
24OCT17	B 1 24JAN18 TTR EL	7104.00		4.75DR	
24OCT17	B 7 24JAN18 TTR EL	7107.00		33.25DR	
24OCT17	B 3 24JAN18 TTR EL	7108.00		14.25DR	
24OCT17	B 1 24JAN18 TTR EL	7106.50		4.75DR	
24OCT17	B 2 24JAN18 TTR EL	7114.50		9.50DR	
24OCT17	B 1 24JAN18 TTR EL	7111.00		4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7103.00		4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7098.50	4.75DR	
24OCT17	S 2 24JAN18 TTR EL		7097.50	9.50DR	
24OCT17	B 2 24JAN18 TTR EL	7083.50		9.50DR	
24OCT17	B 1 24JAN18 TTR EL	7084.50		4.75DR	
24OCT17	B 2 24JAN18 TTR EL	7088.00		9.50DR	
24OCT17	B 1 24JAN18 TTR EL	7100.00		4.75DR	
24OCT17	B 2 24JAN18 TTR EL	7102.50		9.50DR	
24OCT17	B 4 24JAN18 TTR EL	7099.00		19.00DR	
24OCT17	B 1 24JAN18 TTR EL	7103.50		4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7094.00		4.75DR	
24OCT17	B 5 24JAN18 TTR EL	7093.50		23.75DR	
24OCT17	B 11 24JAN18 TTR EL	7095.50		52.25DR	
24OCT17	B 3 24JAN18 TTR EL	7096.50		14.25DR	
24OCT17	B 14 24JAN18 TTR EL	7097.00		66.50DR	
24OCT17	B 1 24JAN18 TTR EL	7097.50		4.75DR	
24OCT17	B 4 24JAN18 TTR EL	7098.00		19.00DR	
24OCT17	B 1 24JAN18 TTR EL	7104.50		4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7089.50		4.75DR	
24OCT17	B 6 24JAN18 TTR EL	7088.50		28.50DR	
24OCT17	B 1 24JAN18 TTR EL	7091.00		4.75DR	
24OCT17	B 3 24JAN18 TTR EL	7093.00		14.25DR	
24OCT17	S 1 24JAN18 TTR EL		7092.50	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7091.50	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7094.00	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7096.00	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7097.00	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7084.50	4.75DR	
24OCT17	S 1 24JAN18 DMA EL		7095.00	4.75DR	
24OCT17	B 1 24JAN18 DMA EL	7102.50		4.75DR	
24OCT17	B 1 24JAN18 DMA EL	7105.00		4.75DR	
24OCT17	S 1 24JAN18 DMA EL		7097.00	4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7109.50		4.75DR	
24OCT17	B 2 24JAN18 TTR EL	7108.50		9.50DR	
24OCT17	S 1 24JAN18 TTR EL		7090.00	4.75DR	
24OCT17	B 4 24JAN18 TTR EL	7090.00		19.00DR	
24OCT17	B 2 24JAN18 TTR EL	7085.50		9.50DR	
24OCT17	B 1 24JAN18 TTR EL	7077.50		4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7062.50	4.75DR	
24OCT17	S 2 24JAN18 TTR EL		7061.50	9.50DR	
24OCT17	S 2 24JAN18 TTR EL		7060.50	9.50DR	
24OCT17	B 1 24JAN18 TTR EL	7063.50		4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7067.00	4.75DR	
24OCT17	S 3 24JAN18 TTR EL		7068.00	14.25DR	
24OCT17	S 2 24JAN18 TTR EL		7065.00	9.50DR	
24OCT17	S 5 24JAN18 TTR EL		7066.00	23.75DR	

Group No: SAPAB127

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	24JAN18	TTR	EL	7037.00		4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7034.50	4.75DR	
24OCT17	S	3	24JAN18	TTR	EL		7035.00	14.25DR	
24OCT17	S	3	24JAN18	TTR	EL		7036.00	14.25DR	
24OCT17	S	3	24JAN18	TTR	EL		7040.50	14.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7028.00	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7027.50	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7027.00	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7024.50	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7031.00	4.75DR	
24OCT17	S	2	24JAN18	TTR	EL		7028.50	9.50DR	
24OCT17	S	2	24JAN18	TTR	EL		7026.00	9.50DR	
24OCT17	S	1	24JAN18	TTR	EL		7010.50	4.75DR	
24OCT17	S	4	24JAN18	TTR	EL		7009.50	19.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7021.50	4.75DR	
24OCT17	S	4	24JAN18	TTR	EL		7022.00	19.00DR	
24OCT17	S	3	24JAN18	TTR	EL		7021.00	14.25DR	
24OCT17	S	1	24JAN18	TTR	EL		7020.00	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7019.00	4.75DR	
24OCT17	S	1	24JAN18	TTR	EL		7013.50	4.75DR	
24OCT17	S	2	24JAN18	TTR	EL		7014.50	9.50DR	
24OCT17	S	4	24JAN18	TTR	EL		7025.50	19.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7029.00	4.75DR	
24OCT17	S	3	24JAN18	TTR	EL		7030.00	14.25DR	
24OCT17	S	4	24JAN18	TTR	EL		7030.50	19.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7031.50	4.75DR	
24OCT17	S	8	24JAN18	TTR	EL		7029.50	38.00DR	
24OCT17	S	3	24JAN18	TTR	EL		7034.00	14.25DR	
24OCT17	S	1	24JAN18	DMA	EL		7033.50	4.75DR	
24OCT17	S	4	24JAN18	TTR	EL		7038.50	19.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7032.50		4.75DR	
CMX Copper 25,000 CMX									
24OCT17	S	1	DEC17	TTR	GX		322.500	2.40DR	
24OCT17	S	2	DEC17	TTR	GX		322.650	4.80DR	
24OCT17	S	12	DEC17	TTR	GX		322.800	28.80DR	
24OCT17	S	6	DEC17	TTR	GX		322.750	14.40DR	
24OCT17	S	1	DEC17	TTR	GX		323.150	2.40DR	
24OCT17	S	4	DEC17	TTR	GX		323.100	9.60DR	
24OCT17	S	2	DEC17	TTR	GX		322.950	4.80DR	
24OCT17	B	2	DEC17	TTR	GX	322.400		4.80DR	
24OCT17	B	4	DEC17	TTR	GX	322.350		9.60DR	
24OCT17	S	2	DEC17	TTR	GX		321.700	4.80DR	
24OCT17	S	3	DEC17	TTR	GX		322.100	7.20DR	
24OCT17	S	22	DEC17	TTR	GX		322.450	52.80DR	
24OCT17	S	8	DEC17	TTR	GX		322.600	19.20DR	
24OCT17	S	7	DEC17	TTR	GX		322.200	16.80DR	
24OCT17	S	11	DEC17	TTR	GX		322.300	26.40DR	
24OCT17	S	21	DEC17	TTR	GX		322.250	50.40DR	
24OCT17	S	7	DEC17	TTR	GX		322.550	16.80DR	
24OCT17	S	15	DEC17	TTR	GX		322.400	36.00DR	
24OCT17	S	30	DEC17	TTR	GX		322.350	72.00DR	
24OCT17	S	3	DEC17	TTR	GX		322.700	7.20DR	
24OCT17	S	12	DEC17	TTR	GX		321.950	28.80DR	
24OCT17	S	6	DEC17	TTR	GX		321.900	14.40DR	
24OCT17	S	16	DEC17	TTR	GX		322.150	38.40DR	
24OCT17	B	2	DEC17	TTR	GX	322.100		4.80DR	
24OCT17	B	3	DEC17	TTR	GX	322.050		7.20DR	
24OCT17	B	4	DEC17	TTR	GX	322.200		9.60DR	
24OCT17	B	2	DEC17	TTR	GX	322.250		4.80DR	
24OCT17	B	2	DEC17	TTR	GX	322.300		4.80DR	
24OCT17	B	2	DEC17	TTR	GX	322.150		4.80DR	
24OCT17	S	7	DEC17	TTR	GX		321.750	16.80DR	
24OCT17	S	1	DEC17	TTR	GX		321.650	2.40DR	
24OCT17	B	1	DEC17	TTR	GX	321.900		2.40DR	
24OCT17	S	2	DEC17	TTR	GX		322.900	4.80DR	
24OCT17	S	8	DEC17	TTR	GX		322.850	19.20DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	2	DEC17	TTR	GX	322.000		4.80DR	
24OCT17	S	4	DEC17	TTR	GX		322.050	9.60DR	
24OCT17	S	4	DEC17	TTR	GX		322.000	9.60DR	
24OCT17	S	4	DEC17	TTR	GX		321.500	9.60DR	
24OCT17	S	1	DEC17	TTR	GX		321.850	2.40DR	
24OCT17	B	2	DEC17	TTR	GX	321.800		4.80DR	
24OCT17	B	4	DEC17	TTR	GX	320.650		9.60DR	
24OCT17	B	2	DEC17	TTR	GX	320.600		4.80DR	
24OCT17	B	5	DEC17	TTR	GX	320.700		12.00DR	
24OCT17	S	2	DEC17	TTR	GX		320.850	4.80DR	
24OCT17	B	4	DEC17	TTR	GX	320.950		9.60DR	
24OCT17	B	2	DEC17	TTR	GX	321.000		4.80DR	
24OCT17	B	14	DEC17	TTR	GX	320.850		33.60DR	
24OCT17	B	1	DEC17	TTR	GX	320.800		2.40DR	
24OCT17	B	3	DEC17	TTR	GX	320.900		7.20DR	
24OCT17	S	3	DEC17	TTR	GX		319.650	7.20DR	
24OCT17	B	2	DEC17	TTR	GX	319.600		4.80DR	
24OCT17	B	5	DEC17	TTR	GX	319.750		12.00DR	
24OCT17	B	2	DEC17	TTR	GX	319.650		4.80DR	
24OCT17	B	6	DEC17	TTR	GX	319.700		14.40DR	
24OCT17	B	1	DEC17	TTR	GX	318.950		2.40DR	
24OCT17	B	6	DEC17	TTR	GX	318.350		14.40DR	
24OCT17	B	5	DEC17	TTR	GX	318.300		12.00DR	
24OCT17	B	4	DEC17	TTR	GX	318.900		9.60DR	
24OCT17	B	11	DEC17	TTR	GX	318.850		26.40DR	
24OCT17	B	4	DEC17	TTR	GX	318.800		9.60DR	
24OCT17	B	3	DEC17	TTR	GX	318.750		7.20DR	
24OCT17	B	4	DEC17	TTR	GX	318.500		9.60DR	
24OCT17	B	2	DEC17	TTR	GX	318.550		4.80DR	
24OCT17	B	4	DEC17	TTR	GX	319.100		9.60DR	
24OCT17	B	4	DEC17	TTR	GX	319.000		9.60DR	
24OCT17	B	7	DEC17	TTR	GX	319.050		16.80DR	
24OCT17	B	17	DEC17	TTR	GX	319.250		40.80DR	
24OCT17	B	10	DEC17	TTR	GX	319.150		24.00DR	
24OCT17	B	19	DEC17	TTR	GX	319.200		45.60DR	
24OCT17	B	4	DEC17	TTR	GX	319.300		9.60DR	
24OCT17	B	3	DEC17	TTR	GX	319.350		7.20DR	
24OCT17	B	6	DEC17	TTR	GX	319.500		14.40DR	
24OCT17	B	9	DEC17	TTR	GX	319.450		21.60DR	
24OCT17	B	5	DEC17	TTR	GX	319.400		12.00DR	
	Commission								3,540.90DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
24OCT17		1	DEC17			322.350	322.800		112.50CR
24OCT17		1	DEC17			322.400	322.800		100.00CR
24OCT17		1	DEC17			322.350	322.800		112.50CR
24OCT17		1	DEC17			322.400	322.800		100.00CR
24OCT17		2	DEC17			322.350	322.750		200.00CR
24OCT17		1	DEC17			322.100	322.800		175.00CR
24OCT17		1	DEC17			322.100	322.800		175.00CR
24OCT17		1	DEC17			322.050	322.600		137.50CR
24OCT17		1	DEC17			322.050	322.550		125.00CR
24OCT17		1	DEC17			322.050	322.500		112.50CR
24OCT17		1	DEC17			322.150	322.400		62.50CR
24OCT17		1	DEC17			322.200	322.400		50.00CR
24OCT17		1	DEC17			322.200	322.450		62.50CR
24OCT17		1	DEC17			322.200	322.450		62.50CR
24OCT17		1	DEC17			322.200	322.400		50.00CR
24OCT17		1	DEC17			322.250	322.400		37.50CR
24OCT17		1	DEC17			322.250	322.450		50.00CR
24OCT17		1	DEC17			322.300	322.450		37.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

24th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC17	322.300	322.450		37.50CR
24OCT17	1 DEC17	322.150	322.450		75.00CR
24OCT17	1 DEC17	321.800	322.450		162.50CR
24OCT17	1 DEC17	321.900	322.450		137.50CR
24OCT17	2 DEC17	322.000	322.450		225.00CR
24OCT17	1 DEC17	321.800	322.400		150.00CR
24OCT17	1 DEC17	320.700	322.400		425.00CR
24OCT17	1 DEC17	320.700	322.450		437.50CR
24OCT17	4 DEC17	320.650	322.450		1,800.00CR
24OCT17	2 DEC17	320.600	322.450		925.00CR
24OCT17	1 DEC17	320.700	322.450		437.50CR
24OCT17	1 DEC17	320.700	322.450		437.50CR
24OCT17	2 DEC17	320.850	322.650		900.00CR
24OCT17	2 DEC17	320.850	322.600		875.00CR
24OCT17	1 DEC17	320.850	322.600		437.50CR
24OCT17	1 DEC17	320.850	322.750		475.00CR
24OCT17	1 DEC17	320.850	322.750		475.00CR
24OCT17	4 DEC17	320.850	322.800		1,950.00CR
24OCT17	2 DEC17	320.900	322.800		950.00CR
24OCT17	3 DEC17	320.950	322.850		1,425.00CR
24OCT17	1 DEC17	321.000	322.850		462.50CR
24OCT17	1 DEC17	320.950	322.850		475.00CR
24OCT17	1 DEC17	321.000	322.850		462.50CR
24OCT17	2 DEC17	320.850	322.750		950.00CR
24OCT17	1 DEC17	320.850	323.150		575.00CR
24OCT17	1 DEC17	320.800	323.100		575.00CR
24OCT17	1 DEC17	320.900	323.100		550.00CR
24OCT17	2 DEC17	319.400	323.100		1,850.00CR
24OCT17	2 DEC17	319.450	322.950		1,750.00CR
24OCT17	2 DEC17	319.450	322.600		1,575.00CR
24OCT17	2 DEC17	319.450	321.700		1,125.00CR
24OCT17	4 DEC17	319.500	321.750		2,250.00CR
24OCT17	1 DEC17	319.500	321.950		612.50CR
24OCT17	2 DEC17	319.600	321.950		1,175.00CR
24OCT17	2 DEC17	319.700	321.950		1,125.00CR
24OCT17	3 DEC17	319.750	321.950		1,650.00CR
24OCT17	1 DEC17	319.700	321.900		550.00CR
24OCT17	2 DEC17	319.750	321.900		1,075.00CR
24OCT17	2 DEC17	319.650	322.050		1,200.00CR
24OCT17	3 DEC17	319.700	322.100		1,800.00CR
24OCT17	2 DEC17	319.450	321.950		1,250.00CR
24OCT17	2 DEC17	319.000	321.900		1,450.00CR
24OCT17	5 DEC17	319.150	322.150		3,750.00CR
24OCT17	2 DEC17	319.200	322.150		1,475.00CR
24OCT17	2 DEC17	319.050	322.450		1,700.00CR
24OCT17	1 DEC17	318.950	322.550		900.00CR
24OCT17	1 DEC17	319.000	322.550		887.50CR
24OCT17	1 DEC17	319.150	322.550		850.00CR
24OCT17	2 DEC17	319.250	322.550		1,650.00CR
24OCT17	2 DEC17	319.250	322.400		1,575.00CR
24OCT17	2 DEC17	319.200	322.400		1,600.00CR
24OCT17	2 DEC17	319.250	322.600		1,675.00CR
24OCT17	3 DEC17	319.300	322.300		2,250.00CR
24OCT17	4 DEC17	319.200	322.300		3,100.00CR
24OCT17	2 DEC17	319.250	322.250		1,500.00CR
24OCT17	2 DEC17	319.100	322.250		1,575.00CR
24OCT17	1 DEC17	319.050	322.250		800.00CR
24OCT17	2 DEC17	319.050	322.350		1,650.00CR
24OCT17	2 DEC17	318.300	322.350		2,025.00CR
24OCT17	6 DEC17	318.350	322.350		6,000.00CR
24OCT17	1 DEC17	318.300	322.300		1,000.00CR
24OCT17	1 DEC17	318.300	322.350		1,012.50CR
24OCT17	1 DEC17	318.300	322.250		987.50CR
24OCT17	4 DEC17	318.850	322.250		3,400.00CR
24OCT17	2 DEC17	318.900	322.250		1,675.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC17	318.900	322.350		1,725.00CR
24OCT17	1 DEC17	318.850	322.350		875.00CR
24OCT17	1 DEC17	318.850	322.300		862.50CR
24OCT17	5 DEC17	318.850	322.250		4,250.00CR
24OCT17	1 DEC17	318.800	322.250		862.50CR
24OCT17	3 DEC17	318.800	322.200		2,550.00CR
24OCT17	2 DEC17	318.750	322.200		1,725.00CR
24OCT17	1 DEC17	318.750	322.150		850.00CR
24OCT17	1 DEC17	318.500	322.200		925.00CR
24OCT17	1 DEC17	318.500	322.150		912.50CR
24OCT17	1 DEC17	318.500	322.200		925.00CR
24OCT17	1 DEC17	318.500	322.300		950.00CR
24OCT17	1 DEC17	318.550	322.300		937.50CR
24OCT17	1 DEC17	318.550	322.350		950.00CR
24OCT17	2 DEC17	319.100	322.350		1,625.00CR
24OCT17	1 DEC17	319.050	322.350		825.00CR
24OCT17	1 DEC17	319.000	322.350		837.50CR
24OCT17	1 DEC17	319.050	322.350		825.00CR
24OCT17	2 DEC17	319.200	322.250		1,525.00CR
24OCT17	1 DEC17	319.250	322.250		750.00CR
24OCT17	1 DEC17	319.250	322.350		775.00CR
24OCT17	4 DEC17	319.200	322.350		3,150.00CR
24OCT17	2 DEC17	319.150	322.350		1,600.00CR
24OCT17	1 DEC17	319.200	322.350		787.50CR
24OCT17	1 DEC17	319.200	322.550		837.50CR
24OCT17	3 DEC17	319.250	322.400		2,362.50CR
24OCT17	1 DEC17	319.150	322.400		812.50CR
24OCT17	1 DEC17	319.250	322.350		775.00CR
24OCT17	1 DEC17	319.250	322.400		787.50CR
24OCT17	1 DEC17	319.250	322.350		775.00CR
24OCT17	1 DEC17	319.250	322.700		862.50CR
24OCT17	1 DEC17	319.150	322.700		887.50CR
24OCT17	1 DEC17	319.200	322.700		875.00CR
24OCT17	2 DEC17	319.200	322.150		1,475.00CR
24OCT17	1 DEC17	319.300	322.000		675.00CR
24OCT17	1 DEC17	319.350	322.000		662.50CR
24OCT17	2 DEC17	319.350	321.950		1,300.00CR
24OCT17	1 DEC17	319.500	321.750		562.50CR
24OCT17	1 DEC17	319.450	321.900		612.50CR
24OCT17	3 DEC17	319.400	322.150		2,062.50CR
24OCT17	1 DEC17	318.650	322.150		875.00CR
TOTALS					
BROUGHT FORWARD BALANCE					395,703.65DR
SETTLEMENTS					
CMX Copper Futures					131,662.50CR
COMMISSIONS					3,540.90DR
CARRIED FORWARD BALANCE					267,582.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0127B

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>INTER ACCOUNT TRANSFER</u> Sep 17 Rebate Entry				400.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				165,132.10CR
	CASH PAYMENTS/RECEIPTS				400.00DR
	CARRIED FORWARD BALANCE				164,732.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127F

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		7029.00	4.75DR	
24OCT17	S 1 24JAN18 TTR EL		7063.00	4.75DR	
24OCT17	S 2 24JAN18 TTR EL		7065.00	9.50DR	
24OCT17	S 1 24JAN18 TTR EL		7066.50	4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7101.50		4.75DR	
24OCT17	B 1 24JAN18 TTR EL	7110.00		4.75DR	
24OCT17	B 3 24JAN18 TTR EL	7109.00		14.25DR	
	CMX Copper 25,000 CMX				
24OCT17	B 2 DEC17 TTR GX	319.150		4.80DR	
24OCT17	B 2 DEC17 TTR GX	320.750		4.80DR	
24OCT17	B 3 DEC17 TTR GX	320.900		7.20DR	
24OCT17	B 4 DEC17 TTR GX	320.800		9.60DR	
24OCT17	S 2 DEC17 TTR GX		322.600	4.80DR	
24OCT17	S 3 DEC17 TTR GX		322.950	7.20DR	
24OCT17	S 6 DEC17 TTR GX		323.000	14.40DR	
	Commission				100.30DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	2 DEC17	319.150	322.600		1,725.00CR
24OCT17	1 DEC17	320.750	323.000		562.50CR
24OCT17	1 DEC17	320.750	322.950		550.00CR
24OCT17	1 DEC17	320.800	322.950		537.50CR
24OCT17	1 DEC17	320.800	323.000		550.00CR
24OCT17	1 DEC17	320.900	323.000		525.00CR
24OCT17	1 DEC17	320.800	323.000		550.00CR
24OCT17	1 DEC17	320.900	322.950		512.50CR
24OCT17	1 DEC17	320.900	323.000		525.00CR
24OCT17	1 DEC17	320.800	323.000		550.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				225,885.39CR
	SETTLEMENTS				
	CMX Copper Futures				6,587.50CR
	COMMISSIONS				100.30DR
	CARRIED FORWARD BALANCE				232,372.59CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127 ARBS
SUITE A, 105 PICCADILLY
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SAPAB127

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,686.16DR
	SETTLEMENTS		
	CMX Copper Futures		138,250.00CR
	CASH PAYMENTS/RECEIPTS		400.00DR
	COMMISSIONS		3,641.20DR
	CARRIED FORWARD BALANCE		129,522.64CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH
24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>CASH PAYMENTS</u> CASH PAYMENT				860,146.07DR
24OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0015 Fund Withdrawal 24/10/17				125.57CR
24OCT17	SAP0054 Fund Withdrawal 24/10/17				200,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,483,034.95CR
	CASH PAYMENTS/RECEIPTS				660,020.50DR
	CARRIED FORWARD BALANCE				3,823,014.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
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W1J 7NJ
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SAPCSH

24th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,483,034.95CR
	CASH PAYMENTS/RECEIPTS		660,020.50DR
	CARRIED FORWARD BALANCE		3,823,014.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
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W1J 7NJ
UK
HEMANT PARIKH

SAP0001
24th October 2017
COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	SAP0015 REMITTANCE CHARGE				20.00CR
24OCT17	Sep 17 Rebate Entry				29.00CR
24OCT17	Sep 17 Rebate Entry				48.00CR
24OCT17	Sep 17 Rebate Entry				416.00CR
24OCT17	Sep 17 Rebate Entry				105.50CR
24OCT17	Sep 17 Rebate Entry				40.50CR
24OCT17	Sep 17 Rebate Entry				555.00CR
24OCT17	Sep 17 Rebate Entry				400.00CR
24OCT17	Sep 17 Rebate Entry				19.38CR
24OCT17	Sep 17 Rebate Entry				45.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,365,856.97CR
	CASH PAYMENTS/RECEIPTS				1,678.38CR
	CARRIED FORWARD BALANCE				4,367,535.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Suite A, 105 Piccadilly
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HEMANT PARIKH

SAP0001A

24th October 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> CME Sterling 62,500 CME B 2 DEC17 Commission GX	1.31750		0.30DR	0.30DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				106,031.88CR
	COMMISSIONS				0.30DR
	CARRIED FORWARD BALANCE				106,031.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
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SAPG0001

24th Oct 2017

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ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,473,872.66CR
	CASH PAYMENTS/RECEIPTS		1,678.38CR
	COMMISSIONS		0.30DR
	CARRIED FORWARD BALANCE		4,475,550.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011J

24th October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> Euro-Bobl 1,000 ERX B 5 DEC17 TTR EL Commission	131.250		5.15DR	5.15DR
24OCT17	<u>SETTLEMENTS</u> Euro-Bobl 1,000 ERX 5 DEC17	131.250	131.430		900.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 4,533.20CR SETTLEMENTS Euro-Bobl Futures 900.00CR COMMISSIONS 5.15DR CARRIED FORWARD BALANCE 5,428.05CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0011P 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
24OCT17	S	2	24JAN18	TTR	EL		2493.50	10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2507.50		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2496.50	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2501.00		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2508.00	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2478.50		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
24OCT17	B	2	24JAN18	TTR	EL	3152.50		10.00DR	
24OCT17	S	1	24JAN18	TTR	EL		3162.50	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	3159.00		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		3154.00	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	3168.50		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		3168.50	5.00DR	
	Commission								70.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								1,131.80DR
	COMMISSIONS								70.00DR
	CARRIED FORWARD BALANCE								1,201.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
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SAPG0011

24th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,261.33CR
	SETTLEMENTS		
	Euro-Bobl Futures		900.00CR
	COMMISSIONS		5.15DR
	CARRIED FORWARD BALANCE		2,156.18CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,285,417.07CR
	COMMISSIONS		70.00DR
	CARRIED FORWARD BALANCE		1,285,347.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0015
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	SAP0015 Fund Withdrawal 24/10/17				125.57DR
24OCT17	SAP0015 REMITTANCE CHARGE				20.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				145.57CR
	CASH PAYMENTS/RECEIPTS				145.57DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0015
SUITE A, 105 PICCADILLY
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SAPG0015

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Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		145.57CR
	CASH PAYMENTS/RECEIPTS		145.57DR
	CARRIED FORWARD BALANCE		0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
24OCT17	S 2 DEC17 DMA EL		51.92	3.30DR	
24OCT17	S 1 DEC17 DMA EL		51.90	1.65DR	
24OCT17	B 2 DEC18 DMA EL	51.43		3.30DR	
24OCT17	B 1 DEC18 DMA EL	51.41		1.65DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 1 DEC17 GX	52.48		2.40DR	
24OCT17	S 1 JAN18 GX		52.67	2.40DR	
24OCT17	S 1 JUL18 GX		52.55	2.40DR	
24OCT17	B 1 AUG18 GX	52.41		2.40DR	
24OCT17	S 1 AUG18 GX		52.43	2.40DR	
24OCT17	B 1 SEP18 GX	52.29		2.40DR	
24OCT17	S 1 DEC18 GX		51.93	2.40DR	
24OCT17	B 1 DEC19 GX	50.70		2.40DR	
	CMX Gold 100 CMX				
24OCT17	S 1 DEC17 1300.0 Call GX		8.1	2.40DR	810.00CR
24OCT17	B 1 DEC17 1300.0 Call GX	7.4		2.40DR	740.00DR
24OCT17	S 1 DEC17 1260.0 Put GX		7.1	2.40DR	710.00CR
24OCT17	S 1 DEC17 1260.0 Put GX		7.2	2.40DR	720.00CR
	IEU Brent Crude 1,000 IPE				
24OCT17	B 1 DEC17 DMA EL	57.44		1.65DR	
24OCT17	B 1 DEC17 DMA EL	57.43		1.65DR	
24OCT17	B 1 DEC17 DMA EL	57.38		1.65DR	
24OCT17	S 1 DEC18 DMA EL		55.74	1.65DR	
24OCT17	S 1 DEC18 DMA EL		55.73	1.65DR	
24OCT17	S 1 DEC18 DMA EL		55.69	1.65DR	
	Commission				48.60DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
24OCT17	1 DEC17	51.04	51.92		880.00CR
24OCT17	1 DEC17	50.93	51.92		990.00CR
24OCT17	1 DEC18	51.43	51.21		220.00DR
24OCT17	1 DEC18	51.43	50.38		1,050.00DR
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17	52.48	51.91		570.00DR
24OCT17	1 JUL18	51.45	52.55		1,100.00CR
24OCT17	1 AUG18	52.41	52.43		20.00CR
24OCT17	1 DEC18	50.91	51.93		1,020.00CR
	CMX Gold 100 CMX				
24OCT17	1 DEC17 1300.0 Call	7.4	8.1		0.00
	IEU Brent Crude 1,000 IPE				
24OCT17	1 DEC17	57.44	56.88		560.00DR
24OCT17	1 DEC17	57.43	56.85		580.00DR
24OCT17	1 DEC18	55.22	55.74		520.00CR
24OCT17	1 DEC18	54.34	55.73		1,390.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				83,437.95CR
	OPTION PREMIUMS				1,500.00CR
	SETTLEMENTS				
	IEU Brent Crude Futures				770.00CR
	NYM Light Sweet Crude Oil Futures				1,570.00CR
	IEU WTI Crude Oil Futures Futures				600.00CR
	COMMISSIONS				48.60DR

Group No: SAPG0023

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				87,829.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
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SAPG0023

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		135,528.96CR
	OPTION PREMIUMS		1,500.00CR
	SETTLEMENTS		
	IEU Brent Crude Futures		770.00CR
	IEU WTI Crude Oil Futures Futures		600.00CR
	NYM Light Sweet Crude Oil Futures		1,570.00CR
	COMMISSIONS		48.60DR
	CARRIED FORWARD BALANCE		139,920.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025A
24th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10AUG17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 24OCT17	6416.16	6002.00		10,354.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 116,640.47DR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 10,354.00DR CARRIED FORWARD BALANCE 126,994.47DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025B

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 240CT17	6416.16	5995.00		10,529.00DR
10AUG17	1 240CT17	6416.16	5995.00		10,529.00DR
10AUG17	1 240CT17	6415.96	5998.50		10,436.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,152.19DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				31,494.50DR
	CARRIED FORWARD BALANCE				43,646.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0025D

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 240CT17	6416.16	5999.50		10,416.50DR
10AUG17	1 240CT17	6415.96	6029.00		9,674.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				100,299.27DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				20,090.50DR
	CARRIED FORWARD BALANCE				120,389.77DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025J

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	S 1 DEC17 GX		51.98	2.32DR	
24OCT17	B 1 DEC17 GX	51.95		2.32DR	
24OCT17	B 3 DEC17 55.00 Call GX	0.23		6.96DR	690.00DR
24OCT17	S 1 DEC17 54.00 Call GX		0.39	2.32DR	390.00CR
24OCT17	S 1 DEC17 54.00 Call GX		0.40	2.32DR	400.00CR
24OCT17	S 2 DEC17 46.00 Put GX		0.08	4.64DR	160.00CR
24OCT17	B 5 DEC17 57.00 Call GX	0.11		11.60DR	550.00DR
24OCT17	S 1 DEC17 54.00 Call GX		0.53	2.32DR	530.00CR
24OCT17	S 6 DEC17 46.00 Put GX		0.07	13.92DR	420.00CR
24OCT17	B 8 DEC17 43.00 Put GX	0.02		18.56DR	160.00DR
24OCT17	B 1 DEC17 42.00 Put GX	0.01		2.32DR	10.00DR
24OCT17	B 1 DEC17 57.00 Call GX	0.13		2.32DR	130.00DR
24OCT17	S 9 DEC17 60.00 Call GX		0.04	20.88DR	360.00CR
	Commission				92.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17	51.95	51.98		30.00CR
24OCT17	8 DEC17 43.00 Put	0.02	0.03		0.00
24OCT17	1 DEC17 42.00 Put	0.01	0.03		0.00
24OCT17	5 DEC17 57.00 Call	0.11	0.10		0.00
24OCT17	1 DEC17 57.00 Call	0.13	0.10		0.00
24OCT17	3 DEC17 55.00 Call	0.23	0.29		0.00
24OCT17	1 DEC17 54.00 Call	0.47	0.39		0.00
24OCT17	1 DEC17 54.00 Call	0.47	0.40		0.00
24OCT17	1 DEC17 54.00 Call	0.47	0.53		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,403.88CR
	OPTION PREMIUMS				720.00CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				30.00CR
	COMMISSIONS				92.80DR
	CARRIED FORWARD BALANCE				2,061.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025Z

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 3 DEC17 57.00 Call GX	0.13		6.96DR	390.00DR
24OCT17	S 2 DEC17 46.00 Put GX		0.07	4.64DR	140.00CR
24OCT17	S 1 DEC17 49.00 Put GX		0.22	2.32DR	220.00CR
24OCT17	B 4 DEC17 57.00 Call GX	0.12		9.28DR	480.00DR
24OCT17	S 1 DEC17 54.00 Call GX		0.60	2.32DR	600.00CR
24OCT17	B 1 DEC17 46.00 Put GX	0.05		2.32DR	50.00DR
24OCT17	S 14 DEC17 60.00 Call GX		0.04	32.48DR	560.00CR
	Commission				60.32DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17 46.00 Put	0.05	0.07		0.00
24OCT17	1 DEC17 54.00 Call	0.47	0.60		0.00
24OCT17	2 DEC17 57.00 Call	0.13	0.11		0.00
24OCT17	1 DEC17 57.00 Call	0.13	0.12		0.00
24OCT17	4 DEC17 57.00 Call	0.12	0.12		0.00
24OCT17	1 DEC17 49.00 Put	0.47	0.22		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,117.13CR
	OPTION PREMIUMS				600.00CR
	COMMISSIONS				60.32DR
	CARRIED FORWARD BALANCE				2,656.81CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0025

24th Oct 2017

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Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		646,031.98CR
	OPTION PREMIUMS		1,320.00CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		61,939.00DR
	NYM Light Sweet Crude Oil Futures		30.00CR
	COMMISSIONS		153.12DR
	CARRIED FORWARD BALANCE		585,289.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
24OCT17	S 5 OCT17 EL		10195.00	8.65DR	
24OCT17	B 5 OCT17 EL	10189.50		8.65DR	
24OCT17	B 1 OCT17 EL	10213.50		1.73DR	
24OCT17	B 1 OCT17 EL	10217.50		1.73DR	
24OCT17	B 1 OCT17 EL	10206.50		1.73DR	
24OCT17	S 10 NOV17 EL		10238.00	17.30DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	S 2 DEC17 TTR II		51.86	2.44DR	
24OCT17	B 1 DEC17 TTR II	51.82		1.22DR	
24OCT17	S 1 DEC17 TTR II		51.60	1.22DR	
24OCT17	B 4 DEC17 TTR II	51.58		4.88DR	
24OCT17	B 3 DEC17 TTR II	51.57		3.66DR	
24OCT17	B 1 DEC17 TTR II	51.59		1.22DR	
24OCT17	B 1 DEC17 TTR II	51.60		1.22DR	
24OCT17	S 1 DEC17 TTR II		51.94	1.22DR	
24OCT17	B 1 DEC17 TTR II	52.01		1.22DR	
24OCT17	S 1 DEC17 TTR II		51.99	1.22DR	
24OCT17	S 3 DEC17 TTR II		52.00	3.66DR	
24OCT17	S 3 DEC17 TTR II		51.98	3.66DR	
24OCT17	S 1 DEC17 TTR II		51.95	1.22DR	
24OCT17	S 6 DEC17 TTR II		51.97	7.32DR	
24OCT17	S 1 DEC17 TTR II		52.09	1.22DR	
24OCT17	S 1 DEC17 TTR II		52.14	1.22DR	
24OCT17	S 1 DEC17 TTR II		52.19	1.22DR	
24OCT17	B 1 DEC17 TTR II	52.34		1.22DR	
24OCT17	S 1 DEC17 TTR II		52.33	1.22DR	
24OCT17	S 4 DEC17 TTR II		52.30	4.88DR	
24OCT17	B 1 DEC17 TTR II	52.32		1.22DR	
24OCT17	S 1 DEC17 TTR II		52.27	1.22DR	
24OCT17	S 2 DEC17 TTR II		52.21	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.15	1.22DR	
24OCT17	S 3 DEC17 TTR II		52.16	3.66DR	
24OCT17	S 1 DEC17 TTR II		52.18	1.22DR	
24OCT17	S 2 DEC17 TTR II		52.20	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.25	1.22DR	
24OCT17	S 5 DEC17 TTR II		52.29	6.10DR	
24OCT17	S 1 DEC17 TTR II		52.31	1.22DR	
24OCT17	S 2 DEC17 TTR II		52.23	2.44DR	
24OCT17	S 3 DEC17 TTR II		52.24	3.66DR	
24OCT17	S 2 DEC17 TTR II		52.34	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.36	1.22DR	
24OCT17	S 1 DEC17 TTR II		52.28	1.22DR	
24OCT17	S 2 DEC17 TTR II		52.26	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.04	1.22DR	
24OCT17	S 1 DEC17 TTR II		51.91	1.22DR	
24OCT17	B 1 DEC17 TTR II	51.94		1.22DR	
24OCT17	B 1 DEC17 TTR II	52.28		1.22DR	
24OCT17	S 2 DEC17 TTR II		52.32	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.35	1.22DR	
24OCT17	B 1 DEC17 TTR II	52.40		1.22DR	
24OCT17	B 1 DEC17 TTR II	52.46		1.22DR	
24OCT17	S 1 DEC17 TTR II		52.49	1.22DR	
24OCT17	S 2 DEC17 TTR II		52.38	2.44DR	
24OCT17	S 1 DEC17 TTR II		52.45	1.22DR	
24OCT17	S 1 DEC17 TTR II		52.46	1.22DR	
	CMX Gold 100 CMX				
24OCT17	B 1 DEC17 TTR II	1284.4		1.58DR	
24OCT17	B 1 DEC17 TTR II	1283.4		1.58DR	
24OCT17	B 7 DEC17 TTR II	1283.3		11.06DR	
24OCT17	B 2 DEC17 TTR II	1283.2		3.16DR	
24OCT17	B 1 DEC17 TTR II	1280.5		1.58DR	
24OCT17	B 1 DEC17 TTR II	1279.5		1.58DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

24th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	2	DEC17	TTR	II	1279.4		3.16DR	
24OCT17	B	2	DEC17	TTR	II	1279.2		3.16DR	
24OCT17	B	3	DEC17	TTR	II	1279.6		4.74DR	
24OCT17	S	3	DEC17	TTR	II		1279.7	4.74DR	
24OCT17	S	1	DEC17	TTR	II		1279.8	1.58DR	
24OCT17	S	1	DEC17	TTR	II		1279.4	1.58DR	
24OCT17	S	1	DEC17	TTR	II		1279.5	1.58DR	
24OCT17	S	1	DEC17	TTR	II		1277.6	1.58DR	
24OCT17	S	10	DEC17	TTR	II		1278.1	15.80DR	
24OCT17	S	1	DEC17	TTR	II		1278.9	1.58DR	
24OCT17	S	2	DEC17	TTR	II		1278.6	3.16DR	
24OCT17	B	2	DEC17	TTR	II	1278.0		3.16DR	
24OCT17	B	1	DEC17	TTR	II	1277.8		1.58DR	
24OCT17	S	1	DEC17	TTR	II		1276.8	1.58DR	
24OCT17	S	2	DEC17	TTR	II		1277.5	3.16DR	
24OCT17	B	1	DEC17	TTR	II	1275.4		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1276.7		1.58DR	
24OCT17	B	3	DEC17	TTR	II	1276.8		4.74DR	
24OCT17	B	1	DEC17	TTR	II	1277.1		1.58DR	
24OCT17	B	6	DEC17	TTR	II	1277.0		9.48DR	
24OCT17	B	3	DEC17	TTR	II	1276.9		4.74DR	
24OCT17	S	2	DEC17	TTR	II		1277.9	3.16DR	
24OCT17	S	2	DEC17	TTR	II		1278.0	3.16DR	
24OCT17	S	5	DEC17	TTR	II		1278.2	7.90DR	
24OCT17	S	5	DEC17	TTR	II		1278.3	7.90DR	
NYM Henry Hub Natural Gas 10,000 NYM									
24OCT17	B	1	NOV17	TTR	II	2.99800		1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.99800	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.97000	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.96800	1.22DR	
24OCT17	S	2	NOV17	TTR	II		2.97400	2.44DR	
24OCT17	S	1	NOV17	TTR	II		2.97200	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.97500	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.98000	1.22DR	
24OCT17	B	1	NOV17	TTR	II	2.98000		1.22DR	
24OCT17	S	4	NOV17	TTR	II		2.98300	4.88DR	
24OCT17	S	3	NOV17	TTR	II		2.98400	3.66DR	
24OCT17	S	1	NOV17	TTR	II		2.98700	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.98800	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.99000	1.22DR	
24OCT17	S	2	NOV17	TTR	II		2.97900	2.44DR	
24OCT17	S	1	NOV17	TTR	II		2.97800	1.22DR	
24OCT17	S	1	NOV17	TTR	II		2.98100	1.22DR	
NYM Heating Oil 42,000 NYM									
24OCT17	B	1	DEC17	TTR	II	178.03		1.22DR	
CMX Silver 5,000 CMX									
24OCT17	B	1	DEC17	TTR	II	1710.0		1.58DR	
24OCT17	B	3	DEC17	TTR	II	1705.5		4.74DR	
24OCT17	B	3	DEC17	TTR	II	1705.0		4.74DR	
24OCT17	B	1	DEC17	TTR	II	1704.5		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1703.0		1.58DR	
24OCT17	B	2	DEC17	TTR	II	1700.5		3.16DR	
24OCT17	B	1	DEC17	TTR	II	1700.0		1.58DR	
24OCT17	B	2	DEC17	TTR	II	1701.5		3.16DR	
24OCT17	B	1	DEC17	TTR	II	1701.0		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1695.5		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1696.5		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1698.0		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1696.0		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1694.5		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1691.5		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1692.0		1.58DR	
24OCT17	B	1	DEC17	TTR	II	1689.5		1.58DR	
24OCT17	B	2	DEC17	TTR	II	1690.0		3.16DR	
24OCT17	S	1	DEC17	TTR	II		1696.0	1.58DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S 1 DEC17 TTR II		1694.0	1.58DR	
24OCT17	S 3 DEC17 TTR II		1694.5	4.74DR	
24OCT17	S 2 DEC17 TTR II		1695.5	3.16DR	
24OCT17	S 2 DEC17 TTR II		1695.0	3.16DR	
	Commission				341.33DR
	SETTLEMENTS				
	CNX Nifty (India) Index 2 SIM				
24OCT17	5 OCT17	10189.50	10195.00		55.00CR
24OCT17	1 OCT17	10213.50	10179.50		68.00DR
24OCT17	1 OCT17	10217.50	10179.50		76.00DR
24OCT17	1 OCT17	10206.50	10179.00		55.00DR
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17	51.82	51.86		40.00CR
24OCT17	1 DEC17	51.57	51.86		290.00CR
24OCT17	1 DEC17	51.58	51.60		20.00CR
24OCT17	1 DEC17	51.58	51.94		360.00CR
24OCT17	1 DEC17	51.58	51.98		400.00CR
24OCT17	1 DEC17	51.58	52.00		420.00CR
24OCT17	1 DEC17	51.57	52.00		430.00CR
24OCT17	1 DEC17	51.57	51.99		420.00CR
24OCT17	1 DEC17	51.59	52.00		410.00CR
24OCT17	1 DEC17	51.60	51.98		380.00CR
24OCT17	1 DEC17	52.01	51.98		30.00DR
24OCT17	1 DEC17	52.34	51.97		370.00DR
24OCT17	1 DEC17	52.32	51.97		350.00DR
24OCT17	1 DEC17	51.94	51.97		30.00CR
24OCT17	1 DEC17	52.28	51.97		310.00DR
24OCT17	1 DEC17	52.40	51.95		450.00DR
24OCT17	1 DEC17	52.46	51.97		490.00DR
	CMX Gold 100 CMX				
24OCT17	1 DEC17	1284.4	1279.7		470.00DR
24OCT17	1 DEC17	1283.4	1279.7		370.00DR
24OCT17	1 DEC17	1283.3	1279.7		360.00DR
24OCT17	1 DEC17	1283.3	1279.8		350.00DR
24OCT17	1 DEC17	1283.2	1279.4		380.00DR
24OCT17	1 DEC17	1283.3	1279.5		380.00DR
24OCT17	3 DEC17	1283.3	1278.1		1,560.00DR
24OCT17	1 DEC17	1283.3	1278.1		520.00DR
24OCT17	1 DEC17	1283.2	1278.1		510.00DR
24OCT17	1 DEC17	1280.5	1278.1		240.00DR
24OCT17	1 DEC17	1279.6	1278.1		150.00DR
24OCT17	1 DEC17	1279.5	1278.1		140.00DR
24OCT17	1 DEC17	1279.4	1278.1		130.00DR
24OCT17	1 DEC17	1279.4	1277.5		190.00DR
24OCT17	1 DEC17	1279.2	1277.6		160.00DR
24OCT17	1 DEC17	1279.2	1278.3		90.00DR
24OCT17	1 DEC17	1279.6	1278.3		130.00DR
24OCT17	1 DEC17	1279.6	1278.2		140.00DR
24OCT17	1 DEC17	1278.0	1278.2		20.00CR
24OCT17	1 DEC17	1278.0	1278.1		10.00CR
24OCT17	1 DEC17	1277.8	1278.2		40.00CR
24OCT17	1 DEC17	1275.4	1278.9		350.00CR
24OCT17	1 DEC17	1276.7	1278.6		190.00CR
24OCT17	1 DEC17	1277.0	1278.6		160.00CR
24OCT17	1 DEC17	1277.0	1276.8		20.00DR
24OCT17	1 DEC17	1277.0	1277.5		50.00CR
24OCT17	1 DEC17	1277.0	1278.0		100.00CR
24OCT17	1 DEC17	1277.0	1277.9		90.00CR
24OCT17	1 DEC17	1276.9	1277.9		100.00CR
24OCT17	1 DEC17	1276.9	1278.0		110.00CR
24OCT17	1 DEC17	1276.8	1278.2		140.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC17	1276.8	1278.3		150.00CR
24OCT17	1 DEC17	1276.8	1278.2		140.00CR
24OCT17	1 DEC17	1277.1	1278.3		120.00CR
24OCT17	1 DEC17	1277.0	1278.3		130.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17	2.99800	2.99800		0.00
24OCT17	1 NOV17	2.98000	2.97000		100.00DR
24OCT17	1 NOV17	2.86600	2.97900		1,130.00CR
24OCT17	1 NOV17	2.86600	2.96800		1,020.00CR
24OCT17	1 NOV17	2.86600	2.97400		1,080.00CR
24OCT17	1 NOV17	2.84500	2.97400		1,290.00CR
24OCT17	1 NOV17	2.84900	2.97200		1,230.00CR
24OCT17	1 NOV17	2.84600	2.97500		1,290.00CR
24OCT17	1 NOV17	2.84700	2.98000		1,330.00CR
24OCT17	1 NOV17	2.84900	2.98300		1,340.00CR
24OCT17	1 NOV17	2.87000	2.98300		1,130.00CR
24OCT17	1 NOV17	2.87000	2.98400		1,140.00CR
24OCT17	1 NOV17	2.87100	2.98300		1,120.00CR
24OCT17	1 NOV17	2.87200	2.98400		1,120.00CR
24OCT17	1 NOV17	2.87000	2.98300		1,130.00CR
24OCT17	1 NOV17	2.86800	2.98400		1,160.00CR
24OCT17	1 NOV17	2.86900	2.98700		1,180.00CR
24OCT17	1 NOV17	2.86900	2.98800		1,190.00CR
24OCT17	1 NOV17	2.86800	2.99000		1,220.00CR
24OCT17	1 NOV17	2.86800	2.97900		1,110.00CR
24OCT17	1 NOV17	2.86600	2.97800		1,120.00CR
24OCT17	1 NOV17	2.86700	2.98100		1,140.00CR
	NYM Heating Oil 42,000 NYM				
24OCT17	1 DEC17	178.03	178.89		361.20CR
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17	1710.0	1694.5		775.00DR
24OCT17	1 DEC17	1705.0	1695.5		475.00DR
24OCT17	1 DEC17	1705.5	1696.0		475.00DR
24OCT17	1 DEC17	1705.5	1694.5		550.00DR
24OCT17	1 DEC17	1705.0	1694.0		550.00DR
24OCT17	1 DEC17	1705.5	1694.5		550.00DR
24OCT17	1 DEC17	1705.0	1695.0		500.00DR
24OCT17	1 DEC17	1704.5	1695.5		450.00DR
24OCT17	1 DEC17	1703.0	1695.0		400.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				10,753.98DR
	SETTLEMENTS				
	CMX Silver Futures				4,725.00DR
	NYM Henry Hub Natural Gas Futures				23,370.00CR
	NYM Heating Oil Futures				361.20CR
	CMX Gold Futures				4,390.00DR
	NYM Light Sweet Crude Oil Futures				1,200.00CR
	CNX Nifty (India) Index Futures				144.00DR
	COMMISSIONS				341.33DR
	CARRIED FORWARD BALANCE				4,576.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034G

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 2 20DEC17 IFC UD		2150.25	5.40DR	
24OCT17	B 2 17JAN18 IFC UD	2155.20		5.40DR	
24OCT17	S 2 24JAN18 DMA EL		2162.50	9.20DR	
24OCT17	B 2 24JAN18 DMA EL	2156.00		9.20DR	
24OCT17	S 2 24JAN18 DMA EL		2162.00	9.20DR	
	CME E-MINI S&P 500 Week 4 Options 50 CME				
24OCT17	S 4 OCT17 2550.00 Put II		2.50	6.00DR	500.00CR
24OCT17	S 4 OCT17 2550.00 Put II		2.55	6.00DR	510.00CR
	CMX Gold 100 CMX				
24OCT17	S 2 DEC17 II		1280.6	3.16DR	
24OCT17	B 1 DEC17 II	1279.3		1.58DR	
	CME E-Mini S&P 500 50 CME				
24OCT17	S 1 DEC17 II		2565.25	1.50DR	
24OCT17	S 1 DEC17 II		2564.00	1.50DR	
24OCT17	B 2 DEC17 II	2567.50		3.00DR	
24OCT17	B 2 DEC17 II	2568.25		3.00DR	
24OCT17	S 4 DEC17 II		2567.25	6.00DR	
	CBT 2 Year Treasury Notes 2,000 CBT				
24OCT17	S 5 DEC17 II		107.2200	7.50DR	
	Commission				77.64DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
24OCT17	1 DEC17	1279.3	1280.6		130.00CR
24OCT17	1 DEC17	1276.0	1280.6		460.00CR
	CME E-Mini S&P 500 50 CME				
24OCT17	1 DEC17	2567.50	2565.25		112.50DR
24OCT17	1 DEC17	2567.50	2564.00		175.00DR
24OCT17	2 DEC17	2568.25	2567.25		100.00DR
	CBT 2 Year Treasury Notes 2,000 CBT				
24OCT17	5 DEC17	107.2275	107.2200		234.40DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 24OCT17	1913.00	1947.00		850.00CR
26JUL17	1 24OCT17	1913.00	1947.00		850.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2779.00	2795.50		412.50CR
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	Sep 17 Rebate Entry				29.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,271,171.95CR
	OPTION PREMIUMS				1,010.00CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				412.50CR
	CME E-Mini S&P 500 Futures				387.50DR
	CMX Gold Futures				590.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034G

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Aluminium 25 Tonnes. This is an Futures				1,700.00CR
	CBT 2 Year Treasury Notes Futures				234.40DR
	CASH PAYMENTS/RECEIPTS				29.00DR
	COMMISSIONS				77.64DR
	CARRIED FORWARD BALANCE				1,274,155.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 9 18JAN18 IFC UD	2467.13		24.30DR	
24OCT17	S 9 19JAN18 IFC UD		2466.55	24.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 9 18JAN18 IFC UD		11993.29	24.30DR	
24OCT17	B 9 19JAN18 IFC UD	11994.57		24.30DR	
24OCT17	B 1 24JAN18 DMA EL	11930.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	11945.00		4.60DR	
24OCT17	S 1 24JAN18 DMA EL		11985.00	4.60DR	
24OCT17	S 1 24JAN18 DMA EL		11990.00	4.60DR	
24OCT17	S 2 24JAN18 DMA EL		11995.00	9.20DR	
24OCT17	S 1 24JAN18 DMA EL		12035.00	4.60DR	
24OCT17	S 1 24JAN18 DMA EL		12050.00	4.60DR	
24OCT17	S 1 24JAN18 DMA EL		12060.00	4.60DR	
24OCT17	S 2 24JAN18 DMA EL		12045.00	9.20DR	
24OCT17	B 1 24JAN18 DMA EL	11955.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	11925.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	11970.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	11920.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	11935.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	12025.00		4.60DR	
24OCT17	B 1 24JAN18 DMA EL	12030.00		4.60DR	
	Commission				180.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUL17	1 24OCT17	9995.00	9775.00		1,320.00DR
26JUL17	1 24OCT17	9995.00	9775.00		1,320.00DR
26JUL17	1 24OCT17	9995.00	9760.00		1,410.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2775.50	2788.50		325.00CR
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	Sep 17 Rebate Entry				48.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,454.40DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				325.00CR
	LME Nickel 6 tonnes. This is an LME Futures				4,050.00DR
	CASH PAYMENTS/RECEIPTS				48.00DR
	COMMISSIONS				180.00DR
	CARRIED FORWARD BALANCE				14,407.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034L

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
24OCT17	S 10 DEC19 CQG II		97.8600	15.00DR	
24OCT17	B 10 DEC20 CQG II	97.7200		15.00DR	
	CME E-Mini S&P 500 50 CME				
24OCT17	B 1 DEC17 CQG II	2565.75		1.50DR	
	Commission				31.50DR
	<u>SETTLEMENTS</u>				
	CME E-Mini S&P 500 50 CME				
24OCT17	1 DEC17	2565.75	2492.00		3,687.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				90,032.30DR
	SETTLEMENTS				
	CME E-Mini S&P 500 Futures				3,687.50DR
	COMMISSIONS				31.50DR
	CARRIED FORWARD BALANCE				93,751.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034Q

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
24OCT17	IEU Gas Oil 100 IPE				
	B 1 DEC17 TTR EL	520.750		1.58DR	
24OCT17	IEU Brent Crude 1,000 IPE				
	S 2 JAN18 TTR EL		57.09	3.16DR	
24OCT17	CBT 30 Year Us Treasury Bonds 1,000 CBT				
	B 1 DEC17 TTR II	151.2600		1.50DR	
24OCT17			151.1700	1.50DR	
24OCT17	CBT 10 Year Treasury Notes 1,000 CBT				
	S 1 DEC17 TTR II		124.2200	1.50DR	
24OCT17	CBT 2 Year Treasury Notes 2,000 CBT				
	S 1 DEC17 TTR II		107.2200	1.50DR	
24OCT17		107.2225		1.50DR	
24OCT17	ICE US Dollar Index 1,000 FIN				
	B 1 DEC17 TTR EL	93.805		2.30DR	
	Commission				14.54DR
	<u>SETTLEMENTS</u>				
24OCT17	IEU Gas Oil 100 IPE				
	1 DEC17	520.750	522.250		150.00CR
24OCT17	IEU Brent Crude 1,000 IPE				
	2 JAN18	56.83	57.09		520.00CR
24OCT17	CBT 30 Year Us Treasury Bonds 1,000 CBT				
	1 DEC17	151.2600	151.1700		281.25DR
24OCT17	CBT 2 Year Treasury Notes 2,000 CBT				
	1 DEC17	107.2225	107.2200		15.63DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,181,215.46DR
	SETTLEMENTS				
	IEU Brent Crude Futures				520.00CR
	CBT 30 Year Us Treasury Bonds Futures				281.25DR
	CBT 2 Year Treasury Notes Futures				15.63DR
	IEU Gas Oil Futures				150.00CR
	COMMISSIONS				14.54DR
	CARRIED FORWARD BALANCE				1,180,856.88DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		507,614.02CR
	OPTION PREMIUMS		1,010.00CR
	SETTLEMENTS		
	CBT 2 Year Treasury Notes Futures		250.03DR
	CBT 30 Year Us Treasury Bonds Futures		281.25DR
	CME E-Mini S&P 500 Futures		4,075.00DR
	CMX Gold Futures		3,800.00DR
	CMX Silver Futures		4,725.00DR
	CNX Nifty (India) Index Futures		144.00DR
	IEU Brent Crude Futures		520.00CR
	IEU Gas Oil Futures		150.00CR
	LME Aluminium 25 Tonnes. This is an Futures		1,700.00CR
	LME Nickel 6 tonnes. This is an LME Futures		4,050.00DR
	LME Zinc 25 tonnes. This is an LME Futures		737.50CR
	NYM Heating Oil Futures		361.20CR
	NYM Henry Hub Natural Gas Futures		23,370.00CR
	NYM Light Sweet Crude Oil Futures		1,200.00CR
	CASH PAYMENTS/RECEIPTS		77.00DR
	COMMISSIONS		645.01DR
	CARRIED FORWARD BALANCE		518,615.43CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

24th October 2017

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AUTHORISED AND REGULATED BY THE
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JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> Gold 1,000 TCM B 9 AUG18 TTR EL Commission	4660		2,610DR	2,610DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 10,251,885CR COMMISSIONS 2,610DR CARRIED FORWARD BALANCE 10,249,275CR				

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 1 DEC17 TTR GX S 1 DEC17 TTR GX S 1 DEC17 TTR GX CME Japanese Yen 12,500,000 CME B 1 DEC17 TTR GX B 1 DEC17 TTR GX B 1 DEC17 TTR GX Commission		1282.7 1282.2 1282.3	2.30DR 2.30DR 2.30DR 2.30DR 2.30DR 2.30DR	13.80DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 76,448.90DR COMMISSIONS 13.80DR CARRIED FORWARD BALANCE 76,462.70DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038K

24th October 2017

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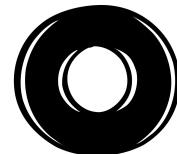
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>INTER ACCOUNT TRANSFER</u> Sep 17 Rebate Entry				19.38DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,044.12DR
	CASH PAYMENTS/RECEIPTS				19.38DR
	CARRIED FORWARD BALANCE				1,063.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		10,251,885CR
	COMMISSIONS		2,610DR
	CARRIED FORWARD BALANCE		10,249,275CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		227,581.88CR
	CASH PAYMENTS/RECEIPTS		19.38DR
	COMMISSIONS		13.80DR
	CARRIED FORWARD BALANCE		227,548.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045B

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> LME Lead 25 tonnes. This is an LME registered client contract B 2 24JAN18 DMA EL Commission	2497.00		10.00DR	10.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 673.95DR COMMISSIONS 10.00DR CARRIED FORWARD BALANCE 683.95DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL 2473.00			5.00DR	
24OCT17	B 3 24JAN18 DMA EL 2471.00			15.00DR	
24OCT17	B 4 24JAN18 DMA EL 2471.50			20.00DR	
24OCT17	B 5 24JAN18 DMA EL 2472.00			25.00DR	
24OCT17	B 3 24JAN18 DMA EL 2474.50			15.00DR	
24OCT17	B 4 24JAN18 DMA EL 2473.50			20.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL 3157.50			5.00DR	
24OCT17	S 1 24JAN18 DMA EL 3157.50		3157.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL 3165.50		3157.50	5.00DR	
24OCT17	B 1 24JAN18 DMA EL 3165.50			5.00DR	
	Commission				120.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 24OCT17	1911.50	1915.61		102.75CR
31JUL17	1 24OCT17	1912.50	1915.61		77.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9755.00	9735.00		120.00DR
24JUL17	1 24OCT17	9765.00	9730.00		210.00DR
31JUL17	1 24OCT17	10214.12	9730.00		2,904.72DR
31JUL17	1 24OCT17	10214.12	9720.00		2,964.72DR
31JUL17	1 24OCT17	10214.12	9755.00		2,754.72DR
31JUL17	1 24OCT17	10214.12	9755.00		2,754.72DR
31JUL17	1 24OCT17	10214.12	9750.00		2,784.72DR
31JUL17	1 24OCT17	10214.12	9760.00		2,724.72DR
31JUL17	1 24OCT17	10214.12	9760.00		2,724.72DR
31JUL17	1 24OCT17	10214.12	9765.00		2,694.72DR
31JUL17	1 24OCT17	10214.12	9765.00		2,694.72DR
31JUL17	1 24OCT17	10214.12	9765.00		2,694.72DR
31JUL17	1 24OCT17	10214.12	9765.00		2,694.72DR
08AUG17	1 24OCT17	10624.93	9770.00		5,129.58DR
08AUG17	1 24OCT17	10624.93	9770.00		5,129.58DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
27JUL17	1 24OCT17	2779.00	2800.30		532.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				167,225.35CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				532.50CR
	LME Nickel 6 tonnes. This is an LME Futures				40,981.08DR
	LME Aluminium 25 Tonnes. This is an Futures				180.50CR
	COMMISSIONS				120.00DR
	CARRIED FORWARD BALANCE				126,837.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	B 1 24JAN18 TTR EL	2139.50		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		2149.50	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	7049.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7094.50		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7107.50		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7099.50		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7096.50		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7118.00		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		7035.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	11975.00		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		11975.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		11970.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		11985.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		12000.00	5.00DR	
	CMX Silver 5,000 CMX				
24OCT17	B 2 DEC17 TTR GX	1695.0		5.10DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		19760.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		3174.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3173.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3176.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3171.00	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	3165.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	3169.00		5.00DR	
	Commission				110.10DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17	1695.0	1703.5		425.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18AUG17	1 24OCT17	6477.58	6025.50		11,302.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,714.70DR
	SETTLEMENTS				
	CMX Silver Futures				425.00CR
	LME Copper Grade A 25 tonnes. This Futures				11,302.00DR
	COMMISSIONS				110.10DR
	CARRIED FORWARD BALANCE				24,701.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0045I

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



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24th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		826,729.68CR
	SETTLEMENTS		
	CMX Silver Futures		425.00CR
	LME Aluminium 25 Tonnes. This is an Futures		180.50CR
	LME Copper Grade A 25 tonnes. This Futures		11,302.00DR
	LME Nickel 6 tonnes. This is an LME Futures		40,981.08DR
	LME Zinc 25 tonnes. This is an LME Futures		532.50CR
	COMMISSIONS		240.10DR
	CARRIED FORWARD BALANCE		775,344.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0054 Fund Withdrawal 24/10/17				200,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				68,207.00DR
	CASH PAYMENTS/RECEIPTS				200,000.00DR
	CARRIED FORWARD BALANCE				268,207.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
24OCT17	S 3 DEC17 GX		1.17925	7.50DR	
24OCT17	S 15 DEC17 GX		1.17940	37.50DR	
24OCT17	S 18 DEC17 GX		1.17935	45.00DR	
24OCT17	S 2 DEC17 GX		1.17950	5.00DR	
	Commission				95.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				189,255.70CR
	COMMISSIONS				95.00DR
	CARRIED FORWARD BALANCE				189,160.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
24OCT17	S 1 FEB18 CQG GX		1285.1	2.35DR	
24OCT17	S 1 FEB18 CQG GX		1285.2	2.35DR	
24OCT17	S 1 FEB18 CQG GX		1284.1	2.35DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 CQG GX	1696.0		2.35DR	
	Commission				9.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				139,199.71CR
	COMMISSIONS				9.40DR
	CARRIED FORWARD BALANCE				139,190.31CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
24OCT17	B 2 DEC17 GX 1705.0			4.70DR	
24OCT17	B 1 DEC17 GX 1706.0			2.35DR	
24OCT17	B 1 DEC17 GX 1695.5			2.35DR	
24OCT17	B 1 DEC17 GX 1696.5			2.35DR	
24OCT17	B 1 DEC17 GX 1695.0			2.35DR	
24OCT17	B 2 DEC17 GX 1696.0			4.70DR	
24OCT17	S 2 DEC17 GX 1694.5		1694.5	4.70DR	
24OCT17	S 6 DEC17 GX 1695.0		1695.0	14.10DR	
	Commission				37.60DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17 1705.0	1705.0	1694.5		525.00DR
24OCT17	1 DEC17 1705.0	1705.0	1694.5		525.00DR
24OCT17	1 DEC17 1706.0	1706.0	1695.0		550.00DR
24OCT17	1 DEC17 1696.0	1696.0	1695.0		50.00DR
24OCT17	1 DEC17 1695.5	1695.5	1695.0		25.00DR
24OCT17	1 DEC17 1696.5	1696.5	1695.0		75.00DR
24OCT17	1 DEC17 1695.0	1695.0	1695.0		0.00
24OCT17	1 DEC17 1696.0	1696.0	1695.0		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				140,921.60CR
	SETTLEMENTS				
	CMX Silver Futures				1,800.00DR
	COMMISSIONS				37.60DR
	CARRIED FORWARD BALANCE				139,084.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC backoffice@sapiencap.com	SAP0054H 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	S 2 DEC17 CQG GX		52.27	4.70DR	
24OCT17	S 1 DEC17 CQG GX		52.39	2.35DR	
	CMX Copper 25,000 CMX				
24OCT17	S 1 DEC17 CQG GX		322.800	2.35DR	
24OCT17	S 2 DEC17 CQG GX		322.750	4.70DR	
24OCT17	S 1 DEC17 CQG GX		322.550	2.35DR	
24OCT17	B 1 DEC17 CQG GX	322.300		2.35DR	
24OCT17	B 1 DEC17 CQG GX	321.900		2.35DR	
24OCT17	B 2 DEC17 CQG GX	321.550		4.70DR	
24OCT17	S 1 DEC17 CQG GX		322.350	2.35DR	
24OCT17	B 1 DEC17 CQG GX	319.100		2.35DR	
24OCT17	B 1 DEC17 CQG GX	319.350		2.35DR	
24OCT17	B 1 DEC17 CQG GX	319.450		2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 3 NOV17 CQG GX		2.97800	7.05DR	
24OCT17	S 1 NOV17 CQG GX		2.98100	2.35DR	
24OCT17	B 1 NOV17 CQG GX	2.99100		2.35DR	
24OCT17	B 3 NOV17 CQG GX	2.99000		7.05DR	
24OCT17	S 1 NOV17 CQG GX		3.00100	2.35DR	
24OCT17	S 2 NOV17 CQG GX		3.00200	4.70DR	
24OCT17	S 1 NOV17 CQG GX		3.00300	2.35DR	
	CMX Silver 5,000 CMX				
24OCT17	S 2 DEC17 CQG GX		1715.0	4.70DR	
24OCT17	B 2 DEC17 CQG GX	1705.0		4.70DR	
	Commission				72.85DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	322.300	322.800		125.00CR
24OCT17	1 DEC17	321.900	322.750		212.50CR
24OCT17	1 DEC17	321.550	322.750		300.00CR
24OCT17	1 DEC17	321.550	322.550		250.00CR
24OCT17	1 DEC17	319.100	322.350		812.50CR
24OCT17	1 DEC17	319.350	307.800		2,887.50DR
24OCT17	1 DEC17	319.450	308.300		2,787.50DR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17	2.99000	2.97800		120.00DR
24OCT17	1 NOV17	2.99000	2.97800		120.00DR
24OCT17	1 NOV17	2.99100	2.97800		130.00DR
24OCT17	1 NOV17	2.99000	2.98100		90.00DR
	CMX Silver 5,000 CMX				
24OCT17	2 DEC17	1705.0	1715.0		1,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,272.80DR
	SETTLEMENTS				
	CMX Silver Futures				1,000.00CR
	NYM Henry Hub Natural Gas Futures				460.00DR
	CMX Copper Futures				3,975.00DR
	COMMISSIONS				72.85DR
	CARRIED FORWARD BALANCE				45,780.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0054H

24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
24OCT17	S 1 MAR18 CQG GX		324.350	2.35DR	
24OCT17	S 1 MAR18 CQG GX		324.650	2.35DR	
24OCT17	S 2 MAR18 CQG GX		324.400	4.70DR	
24OCT17	S 1 MAR18 CQG GX		324.100	2.35DR	
24OCT17	S 1 MAR18 CQG GX		323.900	2.35DR	
24OCT17	S 1 MAR18 CQG GX		324.450	2.35DR	
24OCT17	S 1 MAR18 CQG GX		324.150	2.35DR	
	Commission				18.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				207,211.75CR
	COMMISSIONS				18.80DR
	CARRIED FORWARD BALANCE				207,192.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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24th October 2017

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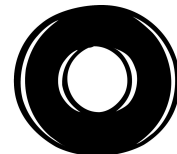
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
24OCT17	S 3 DEC17 TTR GX		319.450	7.05DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 3 NOV17 TTR GX		2.99200	7.05DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 TTR GX	1693.5		2.35DR	
24OCT17	B 1 DEC17 TTR GX	1695.5		2.35DR	
	Commission				18.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	320.600	319.450		287.50DR
24OCT17	2 DEC17	317.100	319.450		1,175.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	3 NOV17	2.83900	2.99200		4,590.00CR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2256.00	2254.00		50.00DR
24JUL17	1 24OCT17	2258.00	2256.00		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				366,264.41CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				4,590.00CR
	LME Lead 25 tonnes. This is an Futures				100.00DR
	CMX Copper Futures				887.50CR
	COMMISSIONS				18.80DR
	CARRIED FORWARD BALANCE				371,623.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,107,875.07CR
	SETTLEMENTS		
	CMX Copper Futures		3,087.50DR
	CMX Silver Futures		800.00DR
	LME Lead 25 tonnes. This is an Futures		100.00DR
	NYM Henry Hub Natural Gas Futures		4,130.00CR
	CASH PAYMENTS/RECEIPTS		200,000.00DR
	COMMISSIONS		252.45DR
	CARRIED FORWARD BALANCE		907,765.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	B 1 24JAN18 TTR EL	2139.50		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		2160.50	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	7055.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7094.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7097.50		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	7099.00		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		7026.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		7036.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		7040.00	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	2475.00		5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 2 24JAN18 TTR EL		11980.00	10.00DR	
24OCT17	S 4 24JAN18 TTR EL		11985.00	20.00DR	
24OCT17	S 1 24JAN18 TTR EL		11990.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		11995.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		11980.00	5.00DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 TTR GX	1694.0		2.30DR	
24OCT17	B 2 DEC17 TTR GX	1696.5		4.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	3155.50		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3173.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3168.50	5.00DR	
	Commission				116.90DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17	1694.0	1726.0		1,600.00CR
24OCT17	1 DEC17	1696.5	1701.5		250.00CR
24OCT17	1 DEC17	1696.5	1707.0		525.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	2 24OCT17	6474.27	6015.00		22,963.50DR
08AUG17	1 24OCT17	6474.27	6017.00		11,431.75DR
08AUG17	1 24OCT17	6474.27	6027.00		11,181.75DR
08AUG17	1 24OCT17	6474.27	6026.00		11,206.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
08AUG17	1 24OCT17	9630.00	10623.93		5,963.58CR
08AUG17	1 24OCT17	9640.00	10623.93		5,903.58CR
08AUG17	1 24OCT17	9655.00	10623.93		5,813.58CR
08AUG17	1 24OCT17	9675.00	10623.93		5,693.58CR
08AUG17	1 24OCT17	9680.00	10623.93		5,663.58CR
	LME Tin 5 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083GA

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
08AUG17	1 24OCT17	20250.00	20222.20		139.00DR
08AUG17	1 24OCT17	20200.00	20223.20		116.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				87,225.01CR
	SETTLEMENTS				
	CMX Silver Futures				2,375.00CR
	LME Tin 5 tonnes. This is an LME Futures				23.00DR
	LME Copper Grade A 25 tonnes. This Futures				56,783.75DR
	LME Nickel 6 tonnes. This is an LME Futures				29,037.90CR
	COMMISSIONS				116.90DR
	CARRIED FORWARD BALANCE				61,714.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
24OCT17	B	2	24JAN18	TTR	EL	2146.00		10.00DR	
24OCT17	S	2	24JAN18	TTR	EL		2153.50	10.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2161.00	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2157.00		5.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
24OCT17	S	5	DEC17	TTR	GX		51.98	11.50DR	
24OCT17	S	1	DEC17	TTR	GX		51.93	2.30DR	
24OCT17	B	1	DEC17	TTR	GX	51.85		2.30DR	
24OCT17	B	5	DEC17	TTR	GX	51.83		11.50DR	
24OCT17	B	6	DEC17	TTR	GX	51.82		13.80DR	
24OCT17	B	1	DEC17	TTR	GX	51.75		2.30DR	
24OCT17	B	2	DEC17	TTR	GX	51.81		4.60DR	
24OCT17	B	1	DEC17	TTR	GX	51.58		2.30DR	
24OCT17	S	1	DEC17	TTR	GX		51.64	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		51.66	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		51.85	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		51.87	2.30DR	
24OCT17	S	2	DEC17	TTR	GX		51.97	4.60DR	
24OCT17	S	1	DEC17	TTR	GX		51.96	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		51.99	2.30DR	
24OCT17	S	2	DEC17	TTR	GX		52.00	4.60DR	
24OCT17	S	1	DEC17	TTR	GX		52.15	2.30DR	
24OCT17	S	2	DEC17	TTR	GX		52.14	4.60DR	
24OCT17	S	1	DEC17	TTR	GX		52.13	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.18	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.31	2.30DR	
24OCT17	S	2	DEC17	TTR	GX		52.32	4.60DR	
24OCT17	S	5	DEC17	TTR	GX		52.28	11.50DR	
24OCT17	S	9	DEC17	TTR	GX		52.30	20.70DR	
24OCT17	B	1	DEC17	TTR	GX	52.31		2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.27	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.22	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.20	2.30DR	
24OCT17	S	3	DEC17	TTR	GX		52.25	6.90DR	
24OCT17	S	2	DEC17	TTR	GX		52.40	4.60DR	
24OCT17	S	2	DEC17	TTR	GX		52.29	4.60DR	
24OCT17	B	1	DEC17	TTR	GX	52.03		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	51.97		2.30DR	
24OCT17	B	2	DEC17	TTR	GX	51.86		4.60DR	
24OCT17	B	1	DEC17	TTR	GX	51.84		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	51.80		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	51.88		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	52.11		2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.19	2.30DR	
24OCT17	B	2	DEC17	TTR	GX	52.32		4.60DR	
24OCT17	S	1	DEC17	TTR	GX		52.33	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.35	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.34	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.43	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.37	2.30DR	
24OCT17	S	2	DEC17	TTR	GX		52.42	4.60DR	
24OCT17	S	1	DEC17	TTR	GX		52.45	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.46	2.30DR	
24OCT17	S	1	DEC17	TTR	GX		52.48	2.30DR	
24OCT17	B	1	DEC17	TTR	GX	52.42		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	52.44		2.30DR	
24OCT17	B	1	DEC17	TTR	GX	52.50		2.30DR	
24OCT17	B	10	DEC17	TTR	GX	52.49		23.00DR	
24OCT17	B	5	DEC17	TTR	GX	52.48		11.50DR	
24OCT17	S	3	DEC17	TTR	GX		52.50	6.90DR	
24OCT17	S	1	DEC17	TTR	GX		52.49	2.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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24OCT17	B 8 DEC17 TTR GX	52.47		18.40DR	
24OCT17	B 10 DEC17 TTR GX	52.46		23.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 2 24JAN18 TTR EL	7092.50		10.00DR	
24OCT17	S 1 24JAN18 TTR EL		7099.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		7092.00	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		2497.50	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	2498.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	2500.00		5.00DR	
24OCT17	S 2 24JAN18 TTR EL		2500.00	10.00DR	
24OCT17	S 1 24JAN18 TTR EL		2512.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		2508.00	5.00DR	
24OCT17	B 2 24JAN18 TTR EL	2509.50		10.00DR	
24OCT17	B 1 24JAN18 TTR EL	2512.50		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		2516.00	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	2508.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	2504.00		5.00DR	
24OCT17	S 1 24JAN18 DMA EL		2509.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		11975.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		11990.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		12030.00	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	11960.00		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	11930.00		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	11980.00		5.00DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 TTR GX	1693.5		2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	3164.00		5.00DR	
24OCT17	S 3 24JAN18 TTR EL		3179.50	15.00DR	
24OCT17	S 1 24JAN18 TTR EL		3193.50	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		3167.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3196.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3191.50	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	3175.00		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3173.50	5.00DR	
24OCT17	B 1 24JAN18 TTR EL	3175.50		5.00DR	
24OCT17	B 1 24JAN18 TTR EL	3163.50		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	3173.50		5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3170.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3169.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3169.50	5.00DR	
24OCT17	B 2 24JAN18 TTR EL	3169.00		10.00DR	
24OCT17	B 1 24JAN18 TTR EL	3169.50		5.00DR	
24OCT17	B 2 24JAN18 TTR EL	3170.00		10.00DR	
24OCT17	S 1 24JAN18 TTR EL		3171.00	5.00DR	
24OCT17	B 2 24JAN18 TTR EL	3173.00		10.00DR	
24OCT17	B 2 24JAN18 TTR EL	3173.50		10.00DR	
24OCT17	S 1 24JAN18 TTR EL		3175.50	5.00DR	
24OCT17	S 1 24JAN18 TTR EL		3175.00	5.00DR	
	Commission				582.10DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP083XA

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC17	51.82	51.97		150.00CR
24OCT17	1 DEC17	51.85	51.98		130.00CR
24OCT17	4 DEC17	51.83	51.98		600.00CR
24OCT17	1 DEC17	51.83	51.93		100.00CR
24OCT17	1 DEC17	51.82	51.64		180.00DR
24OCT17	1 DEC17	51.82	51.66		160.00DR
24OCT17	1 DEC17	51.82	51.85		30.00CR
24OCT17	1 DEC17	51.82	51.87		50.00CR
24OCT17	1 DEC17	51.82	51.97		150.00CR
24OCT17	1 DEC17	51.75	51.96		210.00CR
24OCT17	1 DEC17	51.81	51.99		180.00CR
24OCT17	1 DEC17	51.81	52.00		190.00CR
24OCT17	1 DEC17	51.58	52.00		420.00CR
24OCT17	1 DEC17	52.31	52.15		160.00DR
24OCT17	1 DEC17	52.03	52.14		110.00CR
24OCT17	1 DEC17	51.97	52.14		170.00CR
24OCT17	1 DEC17	51.86	52.13		270.00CR
24OCT17	1 DEC17	51.86	52.18		320.00CR
24OCT17	1 DEC17	51.84	52.30		460.00CR
24OCT17	1 DEC17	51.80	52.30		500.00CR
24OCT17	1 DEC17	51.88	52.30		420.00CR
24OCT17	1 DEC17	52.11	52.31		200.00CR
24OCT17	2 DEC17	52.32	52.32		0.00
24OCT17	1 DEC17	52.42	52.30		120.00DR
24OCT17	1 DEC17	52.44	52.30		140.00DR
24OCT17	1 DEC17	52.46	52.30		160.00DR
24OCT17	1 DEC17	52.47	52.29		180.00DR
24OCT17	2 DEC17	52.47	52.28		380.00DR
24OCT17	2 DEC17	52.47	52.25		440.00DR
24OCT17	1 DEC17	52.47	52.28		190.00DR
24OCT17	2 DEC17	52.46	52.28		360.00DR
24OCT17	3 DEC17	52.46	52.30		480.00DR
24OCT17	1 DEC17	52.46	52.27		190.00DR
24OCT17	1 DEC17	52.47	52.22		250.00DR
24OCT17	1 DEC17	52.49	52.20		290.00DR
24OCT17	1 DEC17	52.49	52.25		240.00DR
24OCT17	2 DEC17	52.49	52.40		180.00DR
24OCT17	1 DEC17	52.49	52.29		200.00DR
24OCT17	1 DEC17	52.50	52.19		310.00DR
24OCT17	1 DEC17	52.49	52.33		160.00DR
24OCT17	1 DEC17	52.49	52.35		140.00DR
24OCT17	1 DEC17	52.49	52.34		150.00DR
24OCT17	1 DEC17	52.49	52.42		70.00DR
24OCT17	1 DEC17	52.49	52.43		60.00DR
24OCT17	1 DEC17	52.48	52.37		110.00DR
24OCT17	1 DEC17	52.48	52.42		60.00DR
24OCT17	1 DEC17	52.48	52.45		30.00DR
24OCT17	1 DEC17	52.48	52.46		20.00DR
24OCT17	1 DEC17	52.48	52.48		0.00
24OCT17	1 DEC17	52.47	52.50		30.00CR
24OCT17	1 DEC17	52.46	52.50		40.00CR
24OCT17	1 DEC17	52.46	52.50		40.00CR
24OCT17	1 DEC17	52.46	52.49		30.00CR
MATCH OUTS					
LME Aluminium 25 Tonnes. This is an LME registered client contract.					
24JUL17	1 24OCT17	1921.50	1923.00		37.50CR
24JUL17	1 24OCT17	1921.00	1923.50		62.50CR
24JUL17	1 24OCT17	1914.50	1922.00		187.50CR
LME Copper Grade A 25 tonnes. This is a LME registered client contract					
24JUL17	1 24OCT17	6025.00	5999.00		650.00DR
24JUL17	1 24OCT17	6020.00	5995.50		612.50DR
24JUL17	1 24OCT17	6020.50	6028.00		187.50CR

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	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2258.00	2255.00		75.00DR
24JUL17	1 24OCT17	2259.00	2255.00		100.00DR
24JUL17	1 24OCT17	2259.00	2255.50		87.50DR
24JUL17	1 24OCT17	2259.50	2255.00		112.50DR
24JUL17	1 24OCT17	2257.50	2253.50		100.00DR
24JUL17	1 24OCT17	2260.50	2256.00		112.50DR
24JUL17	1 24OCT17	2258.50	2257.00		37.50DR
24JUL17	1 24OCT17	2262.00	2257.50		112.50DR
05SEP17	1 24OCT17	2261.00	2319.63		1,465.75CR
05SEP17	1 24OCT17	2258.50	2319.63		1,528.25CR
05SEP17	1 24OCT17	2257.00	2319.63		1,565.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9755.00	9690.00		390.00DR
24JUL17	1 24OCT17	9745.00	9735.00		60.00DR
24JUL17	1 24OCT17	9760.00	9750.00		60.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2779.50	2797.50		450.00CR
24JUL17	1 24OCT17	2778.50	2795.00		412.50CR
24JUL17	1 24OCT17	2782.50	2795.50		325.00CR
24JUL17	1 24OCT17	2779.50	2789.00		237.50CR
24JUL17	1 24OCT17	2784.50	2788.00		87.50CR
24JUL17	1 24OCT17	2778.00	2783.00		125.00CR
24JUL17	1 24OCT17	2785.00	2781.00		100.00DR
24JUL17	1 24OCT17	2777.50	2777.00		12.50DR
24JUL17	1 24OCT17	2779.00	2779.00		0.00
24JUL17	1 24OCT17	2782.00	2777.00		125.00DR
24JUL17	1 24OCT17	2775.00	2777.50		62.50CR
24JUL17	1 24OCT17	2773.50	2773.50		0.00
24JUL17	1 24OCT17	2773.50	2773.50		0.00
24JUL17	1 24OCT17	2775.50	2774.00		37.50DR
24JUL17	1 24OCT17	2774.50	2775.00		12.50CR
24JUL17	1 24OCT17	2775.50	2775.00		12.50DR
24JUL17	1 24OCT17	2787.50	2776.50		275.00DR
24JUL17	1 24OCT17	2787.50	2777.00		262.50DR
24JUL17	1 24OCT17	2786.50	2778.00		212.50DR
24JUL17	1 24OCT17	2787.00	2778.50		212.50DR
24JUL17	1 24OCT17	2784.50	2778.50		150.00DR
24JUL17	1 24OCT17	2790.00	2778.00		300.00DR
24JUL17	1 24OCT17	2780.00	2778.50		37.50DR
24JUL17	1 24OCT17	2780.00	2780.50		12.50CR
05SEP17	1 24OCT17	3136.86	2781.00		8,896.50DR
05SEP17	1 24OCT17	3136.86	2781.00		8,896.50DR
05SEP17	1 24OCT17	3136.86	2787.50		8,734.00DR
05SEP17	2 24OCT17	3136.86	2787.50		17,468.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				244,581.03CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				3,822.25CR
	LME Copper Grade A 25 tonnes. This Futures				1,075.00DR
	LME Zinc 25 tonnes. This is an LME Futures				44,007.50DR
	LME Nickel 6 tonnes. This is an LME Futures				510.00DR
	NYM Light Sweet Crude Oil Futures				610.00DR
	LME Aluminium 25 Tonnes. This is an Futures				287.50CR
	COMMISSIONS				582.10DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				201,906.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YL

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	7095.00		5.00DR	
24OCT17	S 1 24JAN18 DMA EL		7088.00	5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2248.50	2253.00		112.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2775.50	2780.50		125.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,776.33CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				112.50CR
	LME Zinc 25 tonnes. This is an LME Futures				125.00CR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				15,003.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YO

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> LME Tin 5 tonnes. This is an LME registered client contract S 1 24JAN18 DMA EL Commission		19640.00	5.00DR	5.00DR
19SEP17	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract 1 24OCT17	11095.75	9675.00		8,524.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 30,484.83CR SETTLEMENTS LME Nickel 6 tonnes. This is an LME Futures 8,524.50DR COMMISSIONS 5.00DR CARRIED FORWARD BALANCE 21,955.33CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083ZC

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19700.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19715.00		5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20255.00	20245.00		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,825.32CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				50.00DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				8,765.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZG

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 2 24JAN18 TTR EL 7094.00			10.00DR	
24OCT17	S 1 24JAN18 TTR EL 7094.00		7094.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL 7083.50		7083.50	5.00DR	
	CMX Copper 25,000 CMX				
24OCT17	B 4 DEC17 TTR GX 322.200			9.20DR	
24OCT17	S 2 DEC17 TTR GX 322.350		322.350	4.60DR	
24OCT17	S 1 DEC17 TTR GX 322.300		322.300	2.30DR	
24OCT17	S 1 DEC17 TTR GX 322.250		322.250	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL 3163.00			5.00DR	
24OCT17	B 3 24JAN18 TTR EL 3163.50			15.00DR	
24OCT17	S 4 24JAN18 TTR EL 3168.00		3168.00	20.00DR	
	Commission				78.40DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17 322.200 322.350	322.200	322.350		37.50CR
24OCT17	1 DEC17 322.200 322.350	322.200	322.350		37.50CR
24OCT17	1 DEC17 322.200 322.300	322.200	322.300		25.00CR
24OCT17	1 DEC17 322.200 322.250	322.200	322.250		12.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				36,891.13CR
	SETTLEMENTS				
	CMX Copper Futures				112.50CR
	COMMISSIONS				78.40DR
	CARRIED FORWARD BALANCE				36,925.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
24OCT17	CMX Copper 25,000 CMX				
	B 1 DEC17 TTR GX 319.300			2.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL 2504.00			5.00DR	
24OCT17	B 1 24JAN18 TTR EL 2470.00			5.00DR	
24OCT17	S 1 24JAN18 TTR EL 2474.00		2474.00	5.00DR	
24OCT17	S 1 24JAN18 TTR EL 2473.50		2473.50	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 2 24JAN18 TTR EL 11985.00		11985.00	10.00DR	
24OCT17	B 1 24JAN18 TTR EL 12030.00			5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 4 24JAN18 TTR EL 3164.50			20.00DR	
24OCT17	S 1 24JAN18 TTR EL 3168.00		3168.00	5.00DR	
24OCT17	S 2 24JAN18 TTR EL 3167.50		3167.50	10.00DR	
24OCT17	S 1 24JAN18 DMA EL 3167.50		3167.50	5.00DR	
	Commission				77.30DR
	<u>SETTLEMENTS</u>				
24OCT17	CMX Copper 25,000 CMX				
	1 DEC17 319.300	322.200			725.00CR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19SEP17	1 24OCT17 11094.75	9740.00			8,128.50DR
19SEP17	1 24OCT17 11094.75	9765.00			7,978.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17 2788.00	2772.50			387.50DR
24JUL17	1 24OCT17 2788.00	2777.00			275.00DR
19SEP17	2 24OCT17 3106.84	2787.00			15,992.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				98,036.67CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				16,654.50DR
	CMX Copper Futures				725.00CR
	LME Nickel 6 tonnes. This is an LME Futures				16,107.00DR
	COMMISSIONS				77.30DR
	CARRIED FORWARD BALANCE				65,922.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZU 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> LME Tin 5 tonnes. This is an LME registered client contract B 1 24JAN18 DMA EL Commission	19715.00		5.00DR	5.00DR
19SEP17	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract 1 24OCT17	11094.75	9760.00		8,008.50DR
24JUL17	LME Tin 5 tonnes. This is an LME registered client contract 1 24OCT17	20150.00	20165.00		75.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 7,589.60CR SETTLEMENTS LME Tin 5 tonnes. This is an LME Futures 75.00CR LME Nickel 6 tonnes. This is an LME Futures 8,008.50DR COMMISSIONS 5.00DR CARRIED FORWARD BALANCE 348.90DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		429,196.79CR
	SETTLEMENTS		
	CMX Copper Futures		837.50CR
	CMX Silver Futures		2,375.00CR
	LME Aluminium 25 Tonnes. This is an Futures		287.50CR
	LME Copper Grade A 25 tonnes. This Futures		57,858.75DR
	LME Lead 25 tonnes. This is an Futures		3,934.75CR
	LME Nickel 6 tonnes. This is an LME Futures		4,112.10DR
	LME Tin 5 tonnes. This is an LME Futures		2.00CR
	LME Zinc 25 tonnes. This is an LME Futures		60,537.00DR
	NYM Light Sweet Crude Oil Futures		610.00DR
	COMMISSIONS		884.70DR
	CARRIED FORWARD BALANCE		312,630.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
07AUG17	2 24OCT17	1918.00	1960.68		2,134.00CR
07AUG17	1 24OCT17	1913.00	1960.68		1,192.00CR
07AUG17	1 24OCT17	1913.00	1960.68		1,192.00CR
07AUG17	1 24OCT17	1913.00	1960.68		1,192.00CR
07AUG17	2 24OCT17	1911.00	1960.68		2,484.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6022.00	5994.00		700.00DR
24JUL17	1 24OCT17	6020.50	5994.00		662.50DR
24JUL17	2 24OCT17	6016.50	5995.00		1,075.00DR
07AUG17	1 24OCT17	6408.41	5995.50		10,322.75DR
07AUG17	5 24OCT17	6408.41	5995.00		51,676.25DR
07AUG17	1 24OCT17	6408.41	5996.00		10,310.25DR
07AUG17	2 24OCT17	6408.41	5996.00		20,620.50DR
07AUG17	1 24OCT17	6408.41	5995.50		10,322.75DR
07AUG17	1 24OCT17	6408.41	5999.00		10,235.25DR
07AUG17	2 24OCT17	6408.41	6028.00		19,020.50DR
07AUG17	3 24OCT17	6408.41	6022.50		28,943.25DR
07AUG17	1 24OCT17	6408.41	6015.50		9,822.75DR
07AUG17	1 24OCT17	6408.41	6023.00		9,635.25DR
07AUG17	1 24OCT17	6408.41	6026.00		9,560.25DR
07AUG17	1 24OCT17	6408.41	6026.00		9,560.25DR
07AUG17	1 24OCT17	6408.41	6027.00		9,535.25DR
07AUG17	1 24OCT17	6408.41	6027.00		9,535.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2250.00	2256.50		162.50CR
24JUL17	1 24OCT17	2245.50	2257.50		300.00CR
07AUG17	1 24OCT17	2358.35	2247.00		2,783.75DR
07AUG17	3 24OCT17	2358.35	2246.50		8,388.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18AUG17	1 24OCT17	9675.00	10967.19		7,753.14CR
18AUG17	1 24OCT17	9690.00	10967.19		7,663.14CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,292,948.24CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				10,710.00DR
	LME Copper Grade A 25 tonnes. This Futures				221,538.00DR
	LME Nickel 6 tonnes. This is an LME Futures				15,416.28CR
	LME Aluminium 25 Tonnes. This is an Futures				8,194.00CR
	CARRIED FORWARD BALANCE				2,084,310.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086D

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	5993.00	5995.00		50.00CR
24JUL17	1 24OCT17	6025.00	6026.00		25.00CR
24JUL17	1 24OCT17	6027.00	6026.00		25.00DR
24JUL17	1 24OCT17	6027.00	6026.00		25.00DR
24JUL17	1 24OCT17	6027.00	6026.00		25.00DR
24JUL17	1 24OCT17	6026.50	6023.00		87.50DR
24JUL17	1 24OCT17	6026.50	6023.00		87.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20160.00	20145.00		75.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,552.90CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				75.00DR
	LME Copper Grade A 25 tonnes. This Futures				175.00DR
	CARRIED FORWARD BALANCE				16,302.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086E

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6017.00	6028.00		275.00CR
24JUL17	1 24OCT17	6026.00	6029.00		75.00CR
24JUL17	1 24OCT17	6016.00	6028.00		300.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07AUG17	1 24OCT17	10394.70	9640.00		4,528.20DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20125.00	20160.00		175.00CR
24JUL17	1 24OCT17	20125.00	20140.00		75.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,164.60CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				250.00CR
	LME Copper Grade A 25 tonnes. This Futures				650.00CR
	LME Nickel 6 tonnes. This is an LME Futures				4,528.20DR
	CARRIED FORWARD BALANCE				9,536.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086F

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	5991.00	6005.00		350.00CR
24JUL17	1 24OCT17	6000.00	6029.00		725.00CR
07AUG17	1 24OCT17	6026.00	6408.01		9,550.25CR
07AUG17	1 24OCT17	6017.00	6408.01		9,775.25CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20155.00	20125.00		150.00DR
24JUL17	1 24OCT17	20135.00	20150.00		75.00CR
24JUL17	1 24OCT17	20160.00	20175.00		75.00CR
24JUL17	1 24OCT17	20160.00	20190.00		150.00CR
24JUL17	1 24OCT17	20175.00	20195.00		100.00CR
24JUL17	1 24OCT17	20245.00	20245.00		0.00
24JUL17	1 24OCT17	20230.00	20225.00		25.00DR
24JUL17	1 24OCT17	20255.00	20240.00		75.00DR
24JUL17	1 24OCT17	20075.00	20125.00		250.00CR
24JUL17	1 24OCT17	20075.00	20160.00		425.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,352.30CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				825.00CR
	LME Copper Grade A 25 tonnes. This Futures				20,400.50CR
	CARRIED FORWARD BALANCE				26,577.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	5991.00	6025.00		850.00CR
24JUL17	1 24OCT17	6000.00	6025.00		625.00CR
24JUL17	1 24OCT17	6020.00	6024.00		100.00CR
24JUL17	1 24OCT17	6020.00	6026.00		150.00CR
07AUG17	1 24OCT17	6020.00	6408.01		9,700.25CR
07AUG17	1 24OCT17	6022.00	6408.21		9,655.25CR
07AUG17	1 24OCT17	6005.00	6408.21		10,080.25CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20130.00	20160.00		150.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2782.00	2775.00		175.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,702.35DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00CR
	LME Copper Grade A 25 tonnes. This Futures				31,160.75CR
	LME Zinc 25 tonnes. This is an LME Futures				175.00DR
	CARRIED FORWARD BALANCE				14,433.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6000.00	5996.00		100.00DR
24JUL17	1 24OCT17	6004.00	5996.00		200.00DR
24JUL17	1 24OCT17	6004.50	5996.00		212.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20155.00	20145.00		50.00DR
24JUL17	1 24OCT17	20230.00	20245.00		75.00CR
24JUL17	1 24OCT17	20140.00	20110.00		150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,104.25CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				125.00DR
	LME Copper Grade A 25 tonnes. This Futures				512.50DR
	CARRIED FORWARD BALANCE				41,466.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,556,806.36CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		8,194.00CR
	LME Copper Grade A 25 tonnes. This Futures		170,014.25DR
	LME Lead 25 tonnes. This is an Futures		10,710.00DR
	LME Nickel 6 tonnes. This is an LME Futures		10,888.08CR
	LME Tin 5 tonnes. This is an LME Futures		1,025.00CR
	LME Zinc 25 tonnes. This is an LME Futures		175.00DR
	CARRIED FORWARD BALANCE		1,396,014.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
24OCT17	S 2 DEC17 GX		321.800	3.96DR	
24OCT17	S 4 DEC17 GX		322.450	7.92DR	
24OCT17	B 6 DEC17 GX	319.200		11.88DR	
24OCT17	S 2 DEC17 GX		320.050	3.96DR	
	CME Euro FX 125,000 CME				
24OCT17	S 2 DEC17 GX		1.17975	3.86DR	
24OCT17	S 2 DEC17 GX		1.18020	3.86DR	
	CME E-MINI S&P 500 Week 4 Options 50 CME				
24OCT17	S 5 OCT17 2575.00 Call GX		3.00		750.00CR
	CME Sterling 62,500 CME				
24OCT17	B 2 DEC17 GX	1.31730		3.86DR	
24OCT17	B 2 DEC17 GX	1.31570		3.86DR	
	CMX Gold 100 CMX				
24OCT17	B 5 DEC17 GX	1277.6		9.90DR	
24OCT17	S 5 DEC17 GX		1281.5	9.90DR	
24OCT17	S 5 DEC17 1260.0 Put GX		7.4	9.90DR	3,700.00CR
	CME Japanese Yen 12,500,000 CME				
24OCT17	B 2 DEC17 GX	87.960		3.86DR	
	Commission				76.72DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	2 DEC17	319.200	321.800		1,300.00CR
24OCT17	4 DEC17	319.200	322.450		3,250.00CR
	CME Euro FX 125,000 CME				
24OCT17	2 DEC17	1.18000	1.17975		62.50DR
24OCT17	2 DEC17	1.17615	1.18020		1,012.50CR
	CMX Gold 100 CMX				
24OCT17	5 DEC17	1277.6	1281.5		1,950.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				78,639.93CR
	OPTION PREMIUMS				4,450.00CR
	SETTLEMENTS				
	CMX Copper Futures				4,550.00CR
	CMX Gold Futures				1,950.00CR
	CME Euro FX Futures				950.00CR
	COMMISSIONS				76.72DR
	CARRIED FORWARD BALANCE				90,463.21CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
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LONDON
W1J 7NJ

SAPG0088

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		79,116.38CR
	OPTION PREMIUMS		4,450.00CR
	SETTLEMENTS		
	CME Euro FX Futures		950.00CR
	CMX Copper Futures		4,550.00CR
	CMX Gold Futures		1,950.00CR
	COMMISSIONS		76.72DR
	CARRIED FORWARD BALANCE		90,939.66CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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24th October 2017

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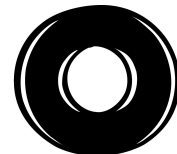
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
24OCT17	S 1 DEC17 1280.0 Put CQG GX		14.8	2.25DR	1,480.00CR
24OCT17	S 1 DEC17 1280.0 Call CQG GX		14.5	2.25DR	1,450.00CR
	Commission				4.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				250,925.45DR
	OPTION PREMIUMS				2,930.00CR
	COMMISSIONS				4.50DR
	CARRIED FORWARD BALANCE				247,999.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0089
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		94,337.48CR
	OPTION PREMIUMS		2,930.00CR
	COMMISSIONS		4.50DR
	CARRIED FORWARD BALANCE		97,262.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 1 24JAN18 DMA EL		2163.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2156.00	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2156.50	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		2162.00	4.65DR	
24OCT17	S 4 24JAN18 DMA EL		2162.50	18.60DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 2 24JAN18 DMA EL	2502.00		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	2497.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	2500.00		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	2466.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2467.50		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 5 02NOV17 IFC UD		3233.50	13.50DR	
24OCT17	B 1 10JAN18 IFC UD	3185.20		2.70DR	
24OCT17	B 4 18JAN18 IFC UD	3180.20		10.80DR	
24OCT17	B 1 24JAN18 DMA EL	3159.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3163.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3165.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3166.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3167.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3174.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3180.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3186.50	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		3159.00	9.30DR	
24OCT17	B 1 24JAN18 DMA EL	3160.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3190.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3190.50	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		3191.00	9.30DR	
24OCT17	S 2 24JAN18 DMA EL		3191.50	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		3193.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3194.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3195.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3164.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3169.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3171.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3169.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3170.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3174.00	4.65DR	
	Commission				226.95DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
25JUL17	1 24OCT17	1929.99	1915.00		374.75DR
25JUL17	1 24OCT17	1929.99	1922.00		199.75DR
25JUL17	1 24OCT17	1929.99	1923.00		174.75DR
25JUL17	1 24OCT17	1929.99	1915.00		374.75DR
25JUL17	1 24OCT17	1929.99	1916.00		349.75DR
26JUL17	1 24OCT17	1941.77	1915.00		669.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
25JUL17	1 24OCT17	10005.83	9770.00		1,414.98DR
25JUL17	1 24OCT17	10005.83	9770.00		1,414.98DR

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094A

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
25JUL17	1 24OCT17 LME Zinc 25 tonnes. This is an LME registered client contract	10005.83	9770.00		1,414.98DR
24JUL17	1 24OCT17	2771.00	2777.50		162.50CR
24JUL17	1 24OCT17	2768.50	2779.50		275.00CR
24JUL17	1 24OCT17	2768.50	2787.50		475.00CR
24JUL17	1 24OCT17	2783.00	2777.00		150.00DR
25JUL17	1 24OCT17	2836.03	2776.50		1,488.25DR
25JUL17	1 24OCT17	2836.03	2777.00		1,475.75DR
25JUL17	1 24OCT17	2836.03	2777.50		1,463.25DR
25JUL17	1 24OCT17	2836.03	2778.00		1,450.75DR
25JUL17	1 24OCT17	2836.03	2777.50		1,463.25DR
TOTALS					
BROUGHT FORWARD BALANCE					220,860.27CR
SETTLEMENTS					
LME Zinc 25 tonnes. This is an LME Futures					6,578.75DR
LME Nickel 6 tonnes. This is an LME Futures					4,244.94DR
LME Aluminium 25 Tonnes. This is an Futures					2,143.00DR
COMMISSIONS					226.95DR
CARRIED FORWARD BALANCE					207,666.63CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 24OCT17	1912.50	1924.50		300.00CR
24JUL17	1 24OCT17	1912.20	1923.00		270.00CR
24JUL17	1 24OCT17	1912.20	1923.00		270.00CR
24JUL17	1 24OCT17	1912.20	1922.00		245.00CR
24JUL17	1 24OCT17	1912.50	1914.00		37.50CR
24JUL17	1 24OCT17	1912.50	1915.50		75.00CR
24JUL17	1 24OCT17	1912.50	1915.00		62.50CR
24JUL17	1 24OCT17	1913.50	1915.00		37.50CR
24JUL17	1 24OCT17	1913.00	1915.00		50.00CR
26JUL17	1 24OCT17	1941.77	1915.00		669.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
25JUL17	1 24OCT17	2251.00	2317.63		1,665.75CR
27JUL17	1 24OCT17	2251.00	2308.53		1,438.25CR
27JUL17	1 24OCT17	2251.00	2308.53		1,438.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9655.00	9765.00		660.00CR
05SEP17	1 24OCT17	9625.00	12051.23		14,557.38CR
05SEP17	1 24OCT17	9620.00	12051.23		14,587.38CR
05SEP17	1 24OCT17	9655.00	12051.23		14,377.38CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2775.50	2783.00		187.50CR
24JUL17	1 24OCT17	2775.00	2779.00		100.00CR
24JUL17	1 24OCT17	2773.00	2785.50		312.50CR
24JUL17	1 24OCT17	2773.50	2779.50		150.00CR
24JUL17	1 24OCT17	2773.00	2779.50		162.50CR
24JUL17	1 24OCT17	2767.50	2780.50		325.00CR
24JUL17	1 24OCT17	2769.00	2776.50		187.50CR
24JUL17	1 24OCT17	2768.50	2776.00		187.50CR
24JUL17	1 24OCT17	2771.50	2777.50		150.00CR
24JUL17	1 24OCT17	2771.50	2779.00		187.50CR
24JUL17	1 24OCT17	2784.00	2780.00		100.00DR
24JUL17	1 24OCT17	2785.00	2779.00		150.00DR
24JUL17	1 24OCT17	2785.00	2778.50		162.50DR
25JUL17	1 24OCT17	2836.03	2777.50		1,463.25DR
25JUL17	1 24OCT17	2836.03	2778.50		1,438.25DR
25JUL17	1 24OCT17	2836.03	2780.50		1,388.25DR
25JUL17	1 24OCT17	2836.03	2781.00		1,375.75DR
25JUL17	1 24OCT17	2836.03	2781.50		1,363.25DR
25JUL17	1 24OCT17	2836.03	2780.00		1,400.75DR
25JUL17	1 24OCT17	2836.03	2780.50		1,388.25DR
25JUL17	1 24OCT17	2836.03	2782.00		1,350.75DR
25JUL17	1 24OCT17	2836.03	2796.00		1,000.75DR
25JUL17	1 24OCT17	2836.03	2796.00		1,000.75DR
27JUL17	1 24OCT17	2800.70	2795.50		130.00DR
27JUL17	1 24OCT17	2800.70	2796.00		117.50DR
27JUL17	1 24OCT17	2800.70	2795.00		142.50DR
27JUL17	1 24OCT17	2800.70	2793.50		180.00DR
27JUL17	1 24OCT17	2800.70	2794.00		167.50DR
27JUL17	1 24OCT17	2800.70	2792.00		217.50DR
27JUL17	1 24OCT17	2800.70	2791.00		242.50DR
27JUL17	1 24OCT17	2800.70	2782.50		455.00DR
27JUL17	1 24OCT17	2800.70	2786.50		355.00DR
27JUL17	1 24OCT17	2800.70	2786.50		355.00DR
27JUL17	1 24OCT17	2800.70	2785.50		380.00DR
27JUL17	1 24OCT17	2800.70	2785.00		392.50DR
27JUL17	1 24OCT17	2800.70	2785.50		380.00DR
27JUL17	1 24OCT17	2800.70	2785.50		380.00DR

Group No: SAPG0094

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
31JUL17	1 24OCT17	2793.45	2786.50		173.75DR
31JUL17	1 24OCT17	2793.45	2783.00		261.25DR
31JUL17	1 24OCT17	2793.45	2784.00		236.25DR
31JUL17	1 24OCT17	2793.45	2783.00		261.25DR
31JUL17	1 24OCT17	2793.45	2784.00		236.25DR
02AUG17	1 24OCT17	2799.00	2787.00		300.00DR
02AUG17	1 24OCT17	2799.00	2786.50		312.50DR
02AUG17	2 24OCT17	2799.00	2807.71		435.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				301,711.77CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				4,542.25CR
	LME Zinc 25 tonnes. This is an LME Futures				16,873.25DR
	LME Nickel 6 tonnes. This is an LME Futures				44,182.14CR
	LME Aluminium 25 Tonnes. This is an Futures				678.25CR
	CARRIED FORWARD BALANCE				334,241.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 2 16JAN18 IFC UD		2154.79	5.40DR	
24OCT17	B 2 18JAN18 IFC UD	2155.45		5.40DR	
24OCT17	S 1 24JAN18 DMA EL		2162.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2163.50	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2141.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2153.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2153.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2152.50	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2162.00		4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 4 18JAN18 IFC UD	2467.13		10.80DR	
24OCT17	S 4 19JAN18 IFC UD		2466.55	10.80DR	
24OCT17	B 1 24JAN18 DMA EL	2502.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2497.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 2 09JAN18 IFC UD	3185.50		5.40DR	
24OCT17	S 2 10JAN18 IFC UD		3184.80	5.40DR	
24OCT17	S 1 16JAN18 IFC UD		3183.50	2.70DR	
24OCT17	B 1 18JAN18 IFC UD	3180.20		2.70DR	
24OCT17	S 1 24JAN18 DMA EL		3165.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3167.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3192.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3155.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3171.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3170.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3173.00		4.65DR	
	Commission				127.65DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 24OCT17	1911.00	1915.61		115.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2776.00	2776.50		12.50CR
24JUL17	1 24OCT17	2777.00	2777.00		0.00
24JUL17	1 24OCT17	2777.00	2777.00		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				44,955.94CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				12.50CR
	LME Aluminium 25 Tonnes. This is an Futures				115.25CR
	COMMISSIONS				127.65DR
	CARRIED FORWARD BALANCE				44,956.04CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

24th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000 NYM								
24OCT17	B	1	DEC17	TTR	GX	51.80		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	51.79		2.35DR	
24OCT17	B	2	DEC17	TTR	GX	51.75		4.70DR	
24OCT17	B	1	DEC17	TTR	GX	51.56		2.35DR	
24OCT17	B	7	DEC17	TTR	GX	51.59		16.45DR	
24OCT17	B	4	DEC17	TTR	GX	51.58		9.40DR	
24OCT17	S	1	DEC17	TTR	GX		51.89	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		51.94	2.35DR	
24OCT17	S	2	DEC17	TTR	GX		51.77	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		51.80	2.35DR	
24OCT17	S	3	DEC17	TTR	GX		51.79	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		51.86	4.70DR	
24OCT17	S	3	DEC17	TTR	GX		51.99	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		52.01	4.70DR	
24OCT17	S	3	DEC17	TTR	GX		51.97	7.05DR	
24OCT17	S	6	DEC17	TTR	GX		51.96	14.10DR	
24OCT17	S	4	DEC17	TTR	GX		51.95	9.40DR	
24OCT17	B	1	DEC17	TTR	GX	51.95		2.35DR	
24OCT17	S	2	DEC17	TTR	GX		51.98	4.70DR	
24OCT17	S	4	DEC17	TTR	GX		52.00	9.40DR	
24OCT17	S	3	DEC17	TTR	GX		52.02	7.05DR	
24OCT17	S	1	DEC17	TTR	GX		52.10	2.35DR	
24OCT17	S	2	DEC17	TTR	GX		52.09	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		52.14	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		52.15	2.35DR	
24OCT17	S	3	DEC17	TTR	GX		52.17	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		52.16	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		52.18	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		52.19	2.35DR	
24OCT17	S	2	DEC17	TTR	GX		52.32	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		52.30	2.35DR	
24OCT17	B	2	DEC17	TTR	GX	52.19		4.70DR	
24OCT17	S	3	DEC17	TTR	GX		52.20	7.05DR	
24OCT17	S	1	DEC17	TTR	GX		52.27	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		52.28	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		52.44	2.35DR	
24OCT17	B	1	DEC17	TTR	GX	52.20		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	52.13		2.35DR	
24OCT17	B	4	DEC17	TTR	GX	52.00		9.40DR	
24OCT17	B	1	DEC17	TTR	GX	51.97		2.35DR	
24OCT17	B	4	DEC17	TTR	GX	52.01		9.40DR	
24OCT17	B	1	DEC17	TTR	GX	51.99		2.35DR	
24OCT17	B	4	DEC17	TTR	GX	51.81		9.40DR	
24OCT17	B	4	DEC17	TTR	GX	51.85		9.40DR	
24OCT17	B	3	DEC17	TTR	GX	51.83		7.05DR	
24OCT17	B	5	DEC17	TTR	GX	51.84		11.75DR	
24OCT17	B	4	DEC17	TTR	GX	51.86		9.40DR	
24OCT17	B	3	DEC17	TTR	GX	51.92		7.05DR	
24OCT17	B	2	DEC17	TTR	GX	52.10		4.70DR	
24OCT17	B	1	DEC17	TTR	GX	52.27		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	52.34		2.35DR	
24OCT17	B	3	DEC17	TTR	GX	52.33		7.05DR	
24OCT17	B	1	DEC17	TTR	GX	52.32		2.35DR	
24OCT17	S	4	DEC17	TTR	GX		52.33	9.40DR	
24OCT17	S	2	DEC17	TTR	GX		52.36	4.70DR	
24OCT17	S	2	DEC17	TTR	GX		52.37	4.70DR	
24OCT17	S	5	DEC17	TTR	GX		52.35	11.75DR	
24OCT17	S	2	DEC17	TTR	GX		52.50	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		52.51	2.35DR	
24OCT17	S	4	DEC17	TTR	GX		52.39	9.40DR	
24OCT17	S	1	DEC17	TTR	GX		52.43	2.35DR	
24OCT17	S	2	DEC17	TTR	GX		52.46	4.70DR	
24OCT17	S	2	DEC17	TTR	GX		52.47	4.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	2	DEC17	TTR	GX		52.49	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		52.45	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		52.40	2.35DR	
24OCT17	S	4	DEC17	TTR	GX		52.41	9.40DR	
24OCT17	S	3	DEC17	TTR	GX		52.42	7.05DR	
24OCT17	B	1	DEC17	TTR	GX	52.49		2.35DR	
24OCT17	B	10	DEC17	TTR	GX	52.48		23.50DR	
24OCT17	B	8	DEC17	TTR	GX	52.47		18.80DR	
24OCT17	B	13	DEC17	TTR	GX	52.46		30.55DR	
	CMX Copper 25,000 CMX								
24OCT17	B	2	DEC17	TTR	GX	322.850		4.70DR	
24OCT17	B	3	DEC17	TTR	GX	322.800		7.05DR	
24OCT17	S	2	DEC17	TTR	GX		322.800	4.70DR	
24OCT17	S	1	DEC17	TTR	GX		322.750	2.35DR	
24OCT17	B	1	DEC17	TTR	GX	322.650		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	322.350		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	321.900		2.35DR	
24OCT17	S	1	DEC17	TTR	GX		322.450	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		321.700	2.35DR	
24OCT17	B	1	DEC17	TTR	GX	321.550		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	320.950		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	320.600		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	320.550		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	319.550		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	318.400		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	318.250		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	318.450		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	318.750		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	319.950		2.35DR	
24OCT17	S	1	DEC17	TTR	GX		319.800	2.35DR	
	CMX Gold 100 CMX								
24OCT17	B	1	DEC17	TTR	GX	1284.1		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1282.9		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1280.8		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1279.2		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1276.9		2.35DR	
24OCT17	S	1	DEC17	TTR	GX		1278.6	2.35DR	
24OCT17	S	1	DEC17	TTR	GX		1278.0	2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1277.9		2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
24OCT17	S	1	24JAN18	TTR	EL		11980.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		12015.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		12025.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		12030.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		12040.00	13.95DR	
24OCT17	S	1	24JAN18	TTR	EL		12045.00	4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		12035.00	13.95DR	
	CMX Silver 5,000 CMX								
24OCT17	B	2	DEC17	TTR	GX	1706.0		4.70DR	
24OCT17	B	1	DEC17	TTR	GX	1705.0		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1705.5		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1703.0		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1701.5		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1694.0		2.35DR	
24OCT17	B	3	DEC17	TTR	GX	1696.5		7.05DR	
24OCT17	B	1	DEC17	TTR	GX	1696.0		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1693.5		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1690.0		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1690.5		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1694.5		2.35DR	
24OCT17	S	3	DEC17	TTR	GX		1695.0	7.05DR	
24OCT17	S	1	DEC17	TTR	GX		1696.0	2.35DR	
24OCT17	S	5	DEC17	TTR	GX		1694.5	11.75DR	
	Commission								

629.20DR

Group No: SAPG0094

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17	51.81	51.89		80.00CR
24OCT17	1 DEC17	51.81	51.94		130.00CR
24OCT17	1 DEC17	51.80	51.95		150.00CR
24OCT17	1 DEC17	51.79	51.96		170.00CR
24OCT17	1 DEC17	51.75	52.02		270.00CR
24OCT17	1 DEC17	51.75	52.02		270.00CR
24OCT17	1 DEC17	51.56	51.77		210.00CR
24OCT17	1 DEC17	51.59	51.77		180.00CR
24OCT17	1 DEC17	51.59	51.80		210.00CR
24OCT17	2 DEC17	51.59	51.79		400.00CR
24OCT17	1 DEC17	51.59	51.79		200.00CR
24OCT17	2 DEC17	51.59	51.86		540.00CR
24OCT17	1 DEC17	51.58	51.99		410.00CR
24OCT17	1 DEC17	51.58	52.01		430.00CR
24OCT17	1 DEC17	51.58	51.99		410.00CR
24OCT17	1 DEC17	51.58	52.00		420.00CR
24OCT17	1 DEC17	51.95	51.99		40.00CR
24OCT17	1 DEC17	52.19	52.00		190.00DR
24OCT17	1 DEC17	52.19	52.01		180.00DR
24OCT17	1 DEC17	52.20	52.00		200.00DR
24OCT17	1 DEC17	52.13	51.97		160.00DR
24OCT17	1 DEC17	52.01	51.97		40.00DR
24OCT17	1 DEC17	52.00	51.95		50.00DR
24OCT17	1 DEC17	52.01	51.96		50.00DR
24OCT17	1 DEC17	52.00	51.97		30.00DR
24OCT17	1 DEC17	52.00	51.98		20.00DR
24OCT17	1 DEC17	52.01	51.96		50.00DR
24OCT17	1 DEC17	52.00	51.96		40.00DR
24OCT17	1 DEC17	51.97	51.96		10.00DR
24OCT17	1 DEC17	52.01	51.96		50.00DR
24OCT17	1 DEC17	51.99	51.95		40.00DR
24OCT17	1 DEC17	51.85	51.95		100.00CR
24OCT17	1 DEC17	51.85	51.98		130.00CR
24OCT17	1 DEC17	51.86	52.00		140.00CR
24OCT17	1 DEC17	51.85	52.02		170.00CR
24OCT17	1 DEC17	51.83	52.10		270.00CR
24OCT17	2 DEC17	51.81	52.09		560.00CR
24OCT17	1 DEC17	51.84	52.14		300.00CR
24OCT17	1 DEC17	51.84	52.15		310.00CR
24OCT17	1 DEC17	51.84	52.17		330.00CR
24OCT17	1 DEC17	51.83	52.17		340.00CR
24OCT17	1 DEC17	51.85	52.17		320.00CR
24OCT17	1 DEC17	51.84	52.16		320.00CR
24OCT17	1 DEC17	51.86	52.16		300.00CR
24OCT17	1 DEC17	51.83	52.20		370.00CR
24OCT17	1 DEC17	51.84	52.20		360.00CR
24OCT17	1 DEC17	51.86	52.18		320.00CR
24OCT17	1 DEC17	51.86	52.19		330.00CR
24OCT17	1 DEC17	51.92	52.33		410.00CR
24OCT17	1 DEC17	51.92	52.32		400.00CR
24OCT17	1 DEC17	51.92	52.32		400.00CR
24OCT17	1 DEC17	52.10	52.30		200.00CR
24OCT17	1 DEC17	52.10	52.20		100.00CR
24OCT17	1 DEC17	52.27	52.27		0.00
24OCT17	1 DEC17	52.33	52.28		50.00DR
24OCT17	1 DEC17	52.34	52.44		100.00CR
24OCT17	1 DEC17	52.33	52.37		40.00CR
24OCT17	1 DEC17	52.33	52.36		30.00CR
24OCT17	1 DEC17	52.32	52.35		30.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC17	52.47	52.33		280.00DR
24OCT17	1 DEC17	52.48	52.33		150.00DR
24OCT17	1 DEC17	52.48	52.35		130.00DR
24OCT17	1 DEC17	52.47	52.35		120.00DR
24OCT17	1 DEC17	52.46	52.35		110.00DR
24OCT17	1 DEC17	52.46	52.36		100.00DR
24OCT17	1 DEC17	52.46	52.37		90.00DR
24OCT17	1 DEC17	52.46	52.35		110.00DR
24OCT17	1 DEC17	52.49	52.50		10.00CR
24OCT17	1 DEC17	52.48	52.50		20.00CR
24OCT17	1 DEC17	52.48	52.51		30.00CR
24OCT17	1 DEC17	52.48	52.42		60.00DR
24OCT17	1 DEC17	52.48	52.39		90.00DR
24OCT17	2 DEC17	52.48	52.39		180.00DR
24OCT17	1 DEC17	52.47	52.39		80.00DR
24OCT17	1 DEC17	52.48	52.41		70.00DR
24OCT17	1 DEC17	52.48	52.42		60.00DR
24OCT17	1 DEC17	52.47	52.43		40.00DR
24OCT17	1 DEC17	52.46	52.46		0.00
24OCT17	1 DEC17	52.46	52.47		10.00CR
24OCT17	1 DEC17	52.46	52.46		0.00
24OCT17	1 DEC17	52.46	52.47		10.00CR
24OCT17	2 DEC17	52.47	52.49		40.00CR
24OCT17	1 DEC17	52.47	52.45		20.00DR
24OCT17	1 DEC17	52.46	52.40		60.00DR
24OCT17	3 DEC17	52.46	52.41		150.00DR
24OCT17	1 DEC17	52.46	52.42		40.00DR
CMX Copper 25,000 CMX					
24OCT17	2 DEC17	322.850	322.800		25.00DR
24OCT17	1 DEC17	322.800	322.750		12.50DR
24OCT17	1 DEC17	322.800	322.450		87.50DR
24OCT17	1 DEC17	322.800	321.700		275.00DR
24OCT17	1 DEC17	322.650	319.800		712.50DR
24OCT17	1 DEC17	322.350	319.000		837.50DR
24OCT17	1 DEC17	321.900	318.800		775.00DR
24OCT17	1 DEC17	321.550	318.700		712.50DR
24OCT17	1 DEC17	320.950	318.750		550.00DR
CMX Gold 100 CMX					
24OCT17	1 DEC17	1284.1	1278.6		550.00DR
24OCT17	1 DEC17	1282.9	1278.0		490.00DR
CMX Silver 5,000 CMX					
24OCT17	1 DEC17	1706.0	1695.0		550.00DR
24OCT17	1 DEC17	1706.0	1695.0		550.00DR
24OCT17	1 DEC17	1705.0	1695.0		500.00DR
24OCT17	1 DEC17	1705.5	1696.0		475.00DR
24OCT17	1 DEC17	1703.0	1694.5		425.00DR
24OCT17	1 DEC17	1701.5	1694.5		350.00DR
24OCT17	1 DEC17	1694.0	1694.5		25.00CR
24OCT17	1 DEC17	1696.5	1694.5		100.00DR
24OCT17	1 DEC17	1696.5	1694.5		100.00DR
24OCT17	1 DEC17	1696.5	1711.5		750.00CR
24OCT17	1 DEC17	1696.0	1711.0		750.00CR
24OCT17	1 DEC17	1693.5	1709.5		800.00CR
24OCT17	1 DEC17	1690.0	1709.0		950.00CR
24OCT17	1 DEC17	1690.5	1711.0		1,025.00CR
TOTALS					
BROUGHT FORWARD BALANCE					3,191.15CR
SETTLEMENTS					
CMX Silver Futures					1,250.00CR
CMX Copper Futures					3,987.50DR
CMX Gold Futures					1,040.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	NYM Light Sweet Crude Oil Futures				8,220.00CR
	COMMISSIONS				629.20DR
	CARRIED FORWARD BALANCE				7,004.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 6 08NOV17 IFC UD		2142.75	16.20DR	
24OCT17	S 3 16NOV17 IFC UD		2144.70	8.10DR	
24OCT17	B 1 07DEC17 IFC UD	2149.14		2.70DR	
24OCT17	B 8 08DEC17 IFC UD	2149.34		21.60DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	7069.00		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	7092.00		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	7106.50		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	7099.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	7100.00		4.65DR	
24OCT17	S 1 24JAN18 TTR EL		7026.50	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		7039.50	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 02NOV17 IFC US	2460.18		2.70DR	
24OCT17	S 1 03NOV17 IFC US		2460.16	2.70DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 TTR GX	1693.5		2.35DR	
24OCT17	B 1 DEC17 TTR GX	1696.0		2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		3160.50	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		3169.00	4.65DR	
	Commission				100.55DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17	1693.5	1700.5		350.00CR
24OCT17	1 DEC17	1696.0	1706.5		525.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	5995.00	5994.00		25.00DR
08AUG17	1 24OCT17	6474.27	5995.00		11,981.75DR
08AUG17	1 24OCT17	6474.27	5999.00		11,881.75DR
08AUG17	1 24OCT17	6474.27	6016.00		11,456.75DR
08AUG17	1 24OCT17	6474.27	6009.00		11,631.75DR
08AUG17	1 24OCT17	6474.27	6015.50		11,469.25DR
08AUG17	1 24OCT17	6474.27	6027.00		11,181.75DR
08AUG17	1 24OCT17	6474.27	6027.50		11,169.25DR
09AUG17	3 24OCT17	6474.27	6448.51		1,932.00DR
19SEP17	2 24OCT17	6512.75	6448.51		3,212.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2252.00	2245.50		162.50DR
13SEP17	1 24OCT17	2272.19	2256.50		392.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9530.00	9625.00		570.00CR
08AUG17	1 24OCT17	9630.00	10623.93		5,963.58CR
08AUG17	1 24OCT17	9640.00	10623.93		5,903.58CR
08AUG17	1 24OCT17	9630.00	10623.93		5,963.58CR

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08AUG17	1 24OCT17	9630.00	10623.93		5,963.58CR
08AUG17	1 24OCT17	9635.00	10623.93		5,933.58CR
08AUG17	1 24OCT17	9640.00	10623.93		5,903.58CR
08AUG17	2 24OCT17	9655.00	10623.93		11,627.16CR
08AUG17	1 24OCT17	9675.00	10623.93		5,693.58CR
09AUG17	1 24OCT17	10752.00	10623.93		768.42DR
13OCT17	1 24OCT17	10752.00	11613.76		5,170.56CR
13OCT17	3 24OCT17	10752.00	11614.76		15,529.68CR
	LME Tin 5 tonnes. This is an LME registered client contract				
08AUG17	1 24OCT17	20250.00	20223.20		134.00DR
08AUG17	1 24OCT17	20250.00	20223.20		134.00DR
08AUG17	1 24OCT17	20155.00	20223.20		341.00CR
08AUG17	1 24OCT17	20075.00	20223.20		741.00CR
08AUG17	1 24OCT17	20220.00	20222.20		11.00CR
09AUG17	1 24OCT17	20224.75	20222.20		12.75DR
13SEP17	1 24OCT17	20223.75	20564.00		1,701.25CR
13SEP17	1 24OCT17	20223.75	20565.00		1,706.25CR
13SEP17	1 24OCT17	20223.75	20565.00		1,706.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2759.50	2780.50		525.00CR
13SEP17	1 24OCT17	2776.50	3018.23		6,043.25CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					76,864.15CR
SETTLEMENTS					
CMX Silver Futures					875.00CR
LME Tin 5 tonnes. This is an LME Futures					5,926.00CR
LME Lead 25 tonnes. This is an Futures					554.75DR
LME Copper Grade A 25 tonnes. This Futures					85,941.25DR
LME Zinc 25 tonnes. This is an LME Futures					6,568.25CR
LME Nickel 6 tonnes. This is an LME Futures					73,454.04CR
COMMISSIONS					100.55DR
CARRIED FORWARD BALANCE					77,090.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094M

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 1 DEC17 TTR GX Commission		1277.9	2.35DR	2.35DR
24OCT17	<u>SETTLEMENTS</u> CMX Gold 100 CMX 1 DEC17	1285.7	1277.9		780.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE SETTLEMENTS CMX Gold Futures COMMISSIONS CARRIED FORWARD BALANCE				40,124.87DR 780.00DR 2.35DR 40,907.22DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	B 2 24JAN18 TTR EL	2140.00		9.30DR	
24OCT17	S 2 24JAN18 TTR EL		2160.50	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 2 24JAN18 TTR EL	7048.50		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7098.00		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7105.50		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7095.00		9.30DR	
24OCT17	S 2 24JAN18 TTR EL		7029.50	9.30DR	
24OCT17	B 1 24JAN18 TTR EL	7036.00		4.65DR	
24OCT17	S 3 24JAN18 TTR EL		7036.00	13.95DR	
24OCT17	S 2 24JAN18 TTR EL		7040.00	9.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		2481.00	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		2480.50	4.65DR	
24OCT17	B 1 24JAN18 TTR EL	2469.00		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	2469.50		4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		11975.00	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		12030.00	4.65DR	
24OCT17	S 2 24JAN18 TTR EL		12005.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		11990.00	9.30DR	
24OCT17	S 4 24JAN18 TTR EL		11985.00	18.60DR	
	CMX Silver 5,000 CMX				
24OCT17	B 2 DEC17 TTR GX	1694.0		4.70DR	
24OCT17	B 2 DEC17 TTR GX	1696.0		4.70DR	
24OCT17	B 2 DEC17 TTR GX	1696.5		4.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 2 24JAN18 TTR EL		3175.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		3168.50	9.30DR	
24OCT17	B 2 24JAN18 TTR EL	3166.00		9.30DR	
	Commission				200.10DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17	1694.0	1724.0		1,500.00CR
24OCT17	1 DEC17	1694.0	1725.5		1,575.00CR
24OCT17	2 DEC17	1696.0	1701.5		550.00CR
24OCT17	2 DEC17	1696.5	1707.0		1,050.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	2 24OCT17	6474.27	6015.50		22,938.50DR
08AUG17	1 24OCT17	6474.27	6016.00		11,456.75DR
08AUG17	2 24OCT17	6474.27	6012.00		23,113.50DR
08AUG17	1 24OCT17	6474.27	6025.00		11,231.75DR
08AUG17	1 24OCT17	6474.27	6024.50		11,244.25DR
08AUG17	1 24OCT17	6474.27	6025.50		11,219.25DR
08AUG17	1 24OCT17	6474.27	6026.00		11,206.75DR
	LME Nickel 6 tonnes. This is an LME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
08AUG17	1 24OCT17	9625.00	10623.93		5,993.58CR
08AUG17	1 24OCT17	9620.00	10623.93		6,023.58CR
08AUG17	1 24OCT17	9640.00	10623.93		5,903.58CR
08AUG17	1 24OCT17	9640.00	10623.93		5,903.58CR
08AUG17	1 24OCT17	9645.00	10623.93		5,873.58CR
08AUG17	1 24OCT17	9650.00	10623.93		5,843.58CR
08AUG17	1 24OCT17	9655.00	10623.93		5,813.58CR
08AUG17	1 24OCT17	9675.00	10623.93		5,693.58CR
08AUG17	1 24OCT17	9670.00	10623.93		5,723.58CR
08AUG17	1 24OCT17	9655.00	10623.93		5,813.58CR
	LME Tin 5 tonnes. This is an LME registered client contract				
08AUG17	1 24OCT17	20255.00	20223.20		159.00DR
08AUG17	1 24OCT17	20250.00	20223.20		134.00DR
08AUG17	1 24OCT17	20160.00	20223.20		316.00CR
08AUG17	1 24OCT17	20220.00	20223.20		16.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				148,874.08CR
	SETTLEMENTS				
	CMX Silver Futures				4,675.00CR
	LME Tin 5 tonnes. This is an LME Futures				39.00CR
	LME Copper Grade A 25 tonnes. This Futures				102,410.75DR
	LME Nickel 6 tonnes. This is an LME Futures				58,585.80CR
	COMMISSIONS				200.10DR
	CARRIED FORWARD BALANCE				109,563.03CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094Q 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
24JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 24OCT17	2245.50	2256.50		275.00CR
24JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 24OCT17	2776.50	2779.00		62.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33,437.70CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				275.00CR
	LME Zinc 25 tonnes. This is an LME Futures				62.50CR
	CARRIED FORWARD BALANCE				33,775.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		7090.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	7094.00		4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		11920.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	11950.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	11970.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	11995.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	12000.00		9.30DR	
24OCT17	S 4 24JAN18 DMA EL		11960.00	18.60DR	
24OCT17	S 1 24JAN18 DMA EL		11975.00	4.65DR	
24OCT17	B 2 24JAN18 DMA EL	11980.00		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	12030.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		12070.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		12080.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		12045.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		12075.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	11940.00		4.65DR	
24OCT17	B 3 24JAN18 DMA EL	12025.00		13.95DR	
24OCT17	S 1 24JAN18 DMA EL		12040.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19655.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19785.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19815.00	4.65DR	
24OCT17	B 3 24JAN18 DMA EL	19710.00		13.95DR	
24OCT17	B 3 24JAN18 DMA EL	19750.00		13.95DR	
24OCT17	S 3 24JAN18 DMA EL		19750.00	13.95DR	
24OCT17	S 4 24JAN18 DMA EL		19700.00	18.60DR	
24OCT17	B 2 24JAN18 DMA EL	19680.00		9.30DR	
24OCT17	S 2 24JAN18 DMA EL		19725.00	9.30DR	
24OCT17	B 3 24JAN18 DMA EL	19705.00		13.95DR	
24OCT17	B 2 24JAN18 DMA EL	19670.00		9.30DR	
24OCT17	S 1 24JAN18 DMA EL		19670.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19745.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19720.00		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	3151.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3161.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3175.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	4.65DR	
	Commission				265.05DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6016.00	6022.00		150.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
08AUG17	1 24OCT17	2252.50	2380.35		3,196.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9760.00	9725.00		210.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 24OCT17	9750.00	9760.00		60.00CR
08AUG17	1 24OCT17	10623.93	9760.00		5,183.58DR
08AUG17	1 24OCT17	10623.93	9775.00		5,093.58DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20230.00	20230.00		0.00
24JUL17	1 24OCT17	20230.00	20225.00		25.00DR
24JUL17	1 24OCT17	20225.00	20250.00		125.00CR
24JUL17	1 24OCT17	20225.00	20160.00		325.00DR
24JUL17	1 24OCT17	20160.00	20170.00		50.00CR
24JUL17	1 24OCT17	20160.00	20195.00		175.00CR
24JUL17	1 24OCT17	20160.00	20230.00		350.00CR
08AUG17	1 24OCT17	20223.20	20230.00		34.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
08AUG17	1 24OCT17	2923.80	2783.00		3,520.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,945.21CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				384.00CR
	LME Lead 25 tonnes. This is an Futures				3,196.25CR
	LME Copper Grade A 25 tonnes. This Futures				150.00CR
	LME Zinc 25 tonnes. This is an LME Futures				3,520.00DR
	LME Nickel 6 tonnes. This is an LME Futures				10,427.16DR
	COMMISSIONS				265.05DR
	CARRIED FORWARD BALANCE				32,463.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094S

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 1 19JAN18 IFC UD		2154.75	2.70DR	
24OCT17	B 1 23JAN18 IFC UD	2155.15		2.70DR	
24OCT17	S 1 24JAN18 DMA EL		2161.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2162.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2163.50	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2161.50	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		2151.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2153.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2154.00	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2152.50	9.30DR	
	CMX Copper 25,000 CMX				
24OCT17	S 1 DEC17 GX		322.850	2.35DR	
24OCT17	S 2 DEC17 GX		322.800	4.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 8 02NOV17 IFC UD	2460.18		21.60DR	
24OCT17	B 4 18JAN18 IFC UD	2467.13		10.80DR	
24OCT17	S 2 19JAN18 IFC UD		2466.75	5.40DR	
24OCT17	S 6 19JAN18 IFC UD		2466.55	16.20DR	
24OCT17	B 2 23JAN18 IFC UD	2467.95		5.40DR	
24OCT17	B 2 24JAN18 DMA EL	2506.00		9.30DR	
24OCT17	S 1 24JAN18 DMA EL		2510.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2511.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2511.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2508.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2514.00	4.65DR	
24OCT17	B 2 24JAN18 DMA EL	2504.50		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	2498.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2495.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	2497.50		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	2498.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2499.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2496.00		4.65DR	
24OCT17	S 6 24JAN18 IFC UD		2467.80	16.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	3162.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3163.50	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		3165.50	9.30DR	
24OCT17	S 2 24JAN18 DMA EL		3166.00	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		3168.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3174.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3192.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3192.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3155.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3156.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3154.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3155.00		4.65DR	
24OCT17	S 3 24JAN18 DMA EL		3169.50	13.95DR	
24OCT17	B 2 24JAN18 DMA EL	3174.00		9.30DR	
24OCT17	B 2 24JAN18 DMA EL	3175.50		9.30DR	
24OCT17	B 2 24JAN18 DMA EL	3175.00		9.30DR	
24OCT17	S 1 24JAN18 DMA EL		3170.00	4.65DR	
	Commission				325.20DR
	<u>MATCH OUTS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 24OCT17	1912.50	1921.00		212.50CR
24JUL17	1 24OCT17	1912.50	1921.50		225.00CR
24JUL17	1 24OCT17	1912.50	1922.50		250.00CR
26JUL17	1 24OCT17	1915.00	1941.37		659.25CR
26JUL17	1 24OCT17	1915.00	1941.37		659.25CR
26JUL17	1 24OCT17	1913.00	1941.37		709.25CR
26JUL17	1 24OCT17	1911.50	1941.37		746.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2258.00	2258.00		0.00
24JUL17	1 24OCT17	2258.00	2260.00		50.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2770.50	2777.50		175.00CR
24JUL17	1 24OCT17	2776.00	2783.00		175.00CR
24JUL17	1 24OCT17	2775.50	2783.50		200.00CR
24JUL17	1 24OCT17	2775.50	2787.50		300.00CR
24JUL17	1 24OCT17	2775.00	2777.50		62.50CR
24JUL17	1 24OCT17	2776.50	2777.50		25.00CR
24JUL17	1 24OCT17	2777.00	2777.50		12.50CR
24JUL17	1 24OCT17	2774.50	2777.50		75.00CR
24JUL17	1 24OCT17	2770.50	2778.50		200.00CR
24JUL17	1 24OCT17	2777.50	2779.50		50.00CR
26JUL17	1 24OCT17	2807.71	2779.00		717.75DR
26JUL17	1 24OCT17	2807.71	2787.00		517.75DR
26JUL17	1 24OCT17	2807.71	2786.50		530.25DR
27JUL17	1 24OCT17	2800.50	2786.50		350.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				84,043.63CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				50.00CR
	LME Zinc 25 tonnes. This is an LME Futures				840.75DR
	LME Aluminium 25 Tonnes. This is an Futures				3,462.00CR
	COMMISSIONS				325.20DR
	CARRIED FORWARD BALANCE				86,389.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094U

24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 1 24JAN18 DMA EL		2160.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2161.00	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 8 02NOV17 IFC UD	2460.18		21.60DR	
24OCT17	B 1 24JAN18 DMA EL	2488.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2488.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2472.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2473.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2475.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2477.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2471.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2476.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2473.50		4.65DR	
24OCT17	S 8 24JAN18 IFC UD		2467.80	21.60DR	
	CMX Silver 5,000 CMX				
24OCT17	B 2 DEC17 GX	1694.0		4.70DR	
24OCT17	B 1 DEC17 GX	1693.5		2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 18JAN18 IFC UD	3180.00		2.70DR	
24OCT17	S 1 19JAN18 IFC UD		3177.80	2.70DR	
24OCT17	S 2 24JAN18 DMA EL		3166.00	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		3166.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3177.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3190.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3192.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3194.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3166.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3167.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3169.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3171.50		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	3172.50		9.30DR	
24OCT17	B 2 24JAN18 DMA EL	3174.00		9.30DR	
	Commission				181.20DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 24OCT17	1911.00	1923.50		312.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2775.50	2779.50		100.00CR
24JUL17	1 24OCT17	2774.00	2777.00		75.00CR
24JUL17	1 24OCT17	2771.50	2776.00		112.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				20,876.72CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				287.50CR
	LME Aluminium 25 Tonnes. This is an Futures				312.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094U

24th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				181.20DR
	CARRIED FORWARD BALANCE				21,295.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094V

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> LME Aluminium 25 Tonnes. This is an LME registered client contract. S 1 24JAN18 DMA EL Commission		2155.50	4.65DR	4.65DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 21,746.94DR COMMISSIONS 4.65DR CARRIED FORWARD BALANCE 21,751.59DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094X

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	4 24OCT17	1912.50	1913.50		100.00CR
08AUG17	1 24OCT17	1912.00	2027.00		2,875.00CR
08AUG17	1 24OCT17	1910.00	2027.00		2,925.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	5991.00	5992.50		37.50CR
24JUL17	1 24OCT17	6008.50	5989.00		487.50DR
24JUL17	1 24OCT17	5995.50	5988.50		175.00DR
24JUL17	1 24OCT17	5995.00	5994.00		25.00DR
24JUL17	1 24OCT17	5995.50	5994.00		37.50DR
24JUL17	1 24OCT17	5991.50	5994.50		75.00CR
24JUL17	1 24OCT17	6000.00	5995.50		112.50DR
24JUL17	1 24OCT17	5989.00	5994.50		137.50CR
24JUL17	1 24OCT17	6020.00	5994.00		650.00DR
27JUL17	1 24OCT17	6329.34	5994.50		8,371.00DR
27JUL17	1 24OCT17	6329.34	5995.00		8,358.50DR
27JUL17	1 24OCT17	6329.34	5995.50		8,346.00DR
27JUL17	1 24OCT17	6329.34	5997.50		8,296.00DR
27JUL17	1 24OCT17	6329.34	5997.50		8,296.00DR
27JUL17	1 24OCT17	6329.34	5999.50		8,246.00DR
27JUL17	1 24OCT17	6329.34	5999.00		8,258.50DR
27JUL17	1 24OCT17	6329.34	5998.50		8,271.00DR
27JUL17	1 24OCT17	6329.34	6022.00		7,683.50DR
27JUL17	1 24OCT17	6329.34	6018.00		7,783.50DR
27JUL17	1 24OCT17	6329.34	6019.00		7,758.50DR
27JUL17	1 24OCT17	6329.34	6023.00		7,658.50DR
27JUL17	1 24OCT17	6329.34	6011.50		7,946.00DR
27JUL17	1 24OCT17	6329.34	6021.50		7,696.00DR
28JUL17	1 24OCT17	6323.93	6021.50		7,560.75DR
28JUL17	1 24OCT17	6323.93	6021.00		7,573.25DR
03AUG17	1 24OCT17	6348.91	6019.00		8,247.75DR
08AUG17	1 24OCT17	6474.07	6019.00		11,376.75DR
09AUG17	1 24OCT17	6448.71	6019.00		10,742.75DR
10AUG17	1 24OCT17	6415.96	6019.00		9,924.00DR
10AUG17	3 24OCT17	6415.96	6016.50		29,959.50DR
10AUG17	1 24OCT17	6415.96	6019.50		9,911.50DR
10AUG17	1 24OCT17	6415.96	6018.00		9,949.00DR
10AUG17	1 24OCT17	6415.96	6018.00		9,949.00DR
10AUG17	1 24OCT17	6415.96	6026.50		9,736.50DR
17AUG17	1 24OCT17	6481.58	6026.00		11,389.50DR
17AUG17	1 24OCT17	6481.58	6026.00		11,389.50DR
17AUG17	1 24OCT17	6481.58	6026.00		11,389.50DR
17AUG17	1 24OCT17	6481.58	6027.50		11,352.00DR
22AUG17	2 24OCT17	6570.33	6028.00		27,116.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2257.50	2239.50		450.00DR
24JUL17	1 24OCT17	2258.00	2255.50		62.50DR
28JUL17	1 24OCT17	2256.50	2319.63		1,578.25CR
08AUG17	1 24OCT17	2256.00	2380.35		3,108.75CR
08AUG17	1 24OCT17	2244.50	2380.35		3,396.25CR
08AUG17	1 24OCT17	2244.50	2380.35		3,396.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9600.00	9595.00		30.00DR
24JUL17	1 24OCT17	9640.00	9620.00		120.00DR
24JUL17	1 24OCT17	9600.00	9620.00		120.00CR
24JUL17	1 24OCT17	9555.00	9615.00		360.00CR
24JUL17	1 24OCT17	9615.00	9625.00		60.00CR
24JUL17	2 24OCT17	9640.00	9615.00		300.00DR

Group No: SAPG0094

Page 1 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 24OCT17	9640.00	9620.00		120.00DR
24JUL17	1 24OCT17	9640.00	9625.00		90.00DR
24JUL17	1 24OCT17	9635.00	9620.00		90.00DR
24JUL17	1 24OCT17	9635.00	9625.00		60.00DR
24JUL17	1 24OCT17	9615.00	9625.00		60.00CR
24JUL17	1 24OCT17	9615.00	9625.00		60.00CR
24JUL17	1 24OCT17	9620.00	9625.00		30.00CR
24JUL17	1 24OCT17	9625.00	9625.00		0.00
24JUL17	1 24OCT17	9625.00	9630.00		30.00CR
24JUL17	2 24OCT17	9630.00	9630.00		0.00
24JUL17	1 24OCT17	9615.00	9635.00		120.00CR
24JUL17	3 24OCT17	9625.00	9635.00		180.00CR
24JUL17	1 24OCT17	9620.00	9660.00		240.00CR
24JUL17	1 24OCT17	9620.00	9710.00		540.00CR
24JUL17	2 24OCT17	9620.00	9720.00		1,200.00CR
24JUL17	2 24OCT17	9615.00	9720.00		1,260.00CR
24JUL17	1 24OCT17	9610.00	9715.00		630.00CR
24JUL17	1 24OCT17	9610.00	9630.00		120.00CR
24JUL17	1 24OCT17	9625.00	9730.00		630.00CR
24JUL17	1 24OCT17	9640.00	9750.00		660.00CR
24JUL17	1 24OCT17	9645.00	9760.00		690.00CR
24JUL17	1 24OCT17	9645.00	9755.00		660.00CR
24JUL17	1 24OCT17	9655.00	9755.00		600.00CR
24JUL17	1 24OCT17	9650.00	9755.00		630.00CR
24JUL17	1 24OCT17	9650.00	9755.00		630.00CR
24JUL17	1 24OCT17	9650.00	9770.00		720.00CR
24JUL17	1 24OCT17	9660.00	9775.00		690.00CR
24JUL17	1 24OCT17	9665.00	9770.00		630.00CR
24JUL17	1 24OCT17	9665.00	9765.00		600.00CR
24JUL17	1 24OCT17	9665.00	9755.00		540.00CR
24JUL17	1 24OCT17	9665.00	9760.00		570.00CR
27JUL17	2 24OCT17	9665.00	10130.00		5,580.00CR
27JUL17	1 24OCT17	9665.00	10130.00		2,790.00CR
27JUL17	1 24OCT17	9655.00	10130.00		2,850.00CR
27JUL17	2 24OCT17	9680.00	10130.00		5,400.00CR
27JUL17	2 24OCT17	9670.00	10130.00		5,520.00CR
27JUL17	1 24OCT17	9660.00	10130.00		2,820.00CR
27JUL17	1 24OCT17	9720.00	10130.00		2,460.00CR
28JUL17	1 24OCT17	9720.00	10199.83		2,878.98CR
28JUL17	1 24OCT17	9720.00	10199.83		2,878.98CR
28JUL17	1 24OCT17	9720.00	10199.83		2,878.98CR
03AUG17	2 24OCT17	9750.00	10376.17		7,514.04CR
03AUG17	1 24OCT17	9750.00	10376.17		3,757.02CR
03AUG17	1 24OCT17	9750.00	10376.17		3,757.02CR
10AUG17	2 24OCT17	9760.00	10975.02		14,580.24CR
10AUG17	1 24OCT17	9760.00	10975.02		7,290.12CR
10AUG17	2 24OCT17	9755.00	10975.02		14,640.24CR
10AUG17	1 24OCT17	9765.00	10975.02		7,260.12CR
10AUG17	1 24OCT17	9765.00	10975.02		7,260.12CR
10AUG17	2 24OCT17	9781.00	10975.02		14,328.24CR
10AUG17	1 24OCT17	10200.83	10975.02		4,645.14CR
15AUG17	2 24OCT17	10337.25	10975.02		7,653.24CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20255.00	20245.00		50.00DR
24JUL17	1 24OCT17	20245.00	20145.00		500.00DR
27JUL17	1 24OCT17	20255.00	20666.14		2,055.70CR
27JUL17	1 24OCT17	20175.00	20667.14		2,460.70CR
27JUL17	1 24OCT17	20150.00	20667.14		2,585.70CR
27JUL17	1 24OCT17	20135.00	20667.14		2,660.70CR
28JUL17	1 24OCT17	20140.00	20610.71		2,353.55CR
28JUL17	1 24OCT17	20145.00	20610.71		2,328.55CR
28JUL17	1 24OCT17	20255.00	20610.71		1,778.55CR
28JUL17	1 24OCT17	20245.00	20610.71		1,828.55CR
28JUL17	1 24OCT17	20245.00	20610.71		1,828.55CR
28JUL17	1 24OCT17	20245.00	20610.71		1,828.55CR

Group No: SAPG0094

Page 2 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07AUG17	1 24OCT17	20245.00	20621.43		1,882.15CR
07AUG17	1 24OCT17	20225.00	20621.43		1,982.15CR
07AUG17	2 24OCT17	20195.00	20621.43		4,264.30CR
08AUG17	1 24OCT17	20130.00	20222.20		461.00CR
10AUG17	1 24OCT17	20130.00	20335.47		1,027.35CR
10AUG17	2 24OCT17	20075.00	20336.47		2,614.70CR
17AUG17	1 24OCT17	20075.00	20235.00		800.00CR
17AUG17	1 24OCT17	20075.00	20236.00		805.00CR
17AUG17	1 24OCT17	20075.00	20236.00		805.00CR
17AUG17	1 24OCT17	20140.00	20236.00		480.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2745.00	2773.50		712.50CR
24JUL17	1 24OCT17	2767.50	2783.50		400.00CR
24JUL17	1 24OCT17	2775.50	2783.50		200.00CR
24JUL17	1 24OCT17	2779.00	2785.50		162.50CR
24JUL17	1 24OCT17	2778.00	2785.50		187.50CR
24JUL17	1 24OCT17	2771.50	2777.50		150.00CR
24JUL17	1 24OCT17	2779.50	2777.00		62.50DR
24JUL17	1 24OCT17	2777.00	2773.00		100.00DR
24JUL17	1 24OCT17	2775.00	2776.00		25.00CR
24JUL17	1 24OCT17	2778.50	2775.50		75.00DR
24JUL17	1 24OCT17	2778.50	2784.50		150.00CR
24JUL17	2 24OCT17	2778.50	2784.50		300.00CR
24JUL17	1 24OCT17	2777.50	2785.50		200.00CR
24JUL17	1 24OCT17	2775.50	2786.00		262.50CR
24JUL17	1 24OCT17	2784.00	2786.00		50.00CR
24JUL17	1 24OCT17	2784.00	2786.00		50.00CR
24JUL17	1 24OCT17	2784.00	2786.00		50.00CR
24JUL17	1 24OCT17	2784.00	2787.00		75.00CR
08AUG17	1 24OCT17	2923.80	2784.00		3,495.00DR
10AUG17	1 24OCT17	2934.96	2800.50		3,361.50DR
15AUG17	1 24OCT17	2934.96	2956.54		539.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				272,260.72CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				36,280.75CR
	LME Lead 25 tonnes. This is an Futures				10,967.00CR
	LME Copper Grade A 25 tonnes. This Futures				311,774.25DR
	LME Zinc 25 tonnes. This is an LME Futures				3,579.50DR
	LME Nickel 6 tonnes. This is an LME Futures				141,192.48CR
	LME Aluminium 25 Tonnes. This is an Futures				5,900.00CR
	CARRIED FORWARD BALANCE				151,247.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	2	24JAN18	TTR	EL	7052.50		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7054.00		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7055.00		9.30DR	
24OCT17	B	4	24JAN18	TTR	EL	7053.50		18.60DR	
24OCT17	B	2	24JAN18	TTR	EL	7094.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7095.00		4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	7096.50		13.95DR	
24OCT17	B	3	24JAN18	TTR	EL	7098.50		13.95DR	
24OCT17	B	3	24JAN18	TTR	EL	7102.50		13.95DR	
24OCT17	B	3	24JAN18	TTR	EL	7099.50		13.95DR	
24OCT17	B	3	24JAN18	TTR	EL	7099.00		13.95DR	
24OCT17	B	1	24JAN18	TTR	EL	7095.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7115.00		4.65DR	
24OCT17	S	3	24JAN18	TTR	EL		7027.00	13.95DR	
24OCT17	S	3	24JAN18	TTR	EL		7029.50	13.95DR	
24OCT17	S	3	24JAN18	TTR	EL		7032.00	13.95DR	
24OCT17	S	1	24JAN18	TTR	EL		7035.00	4.65DR	
24OCT17	S	5	24JAN18	TTR	EL		7036.50	23.25DR	
24OCT17	S	3	24JAN18	TTR	EL		7035.50	13.95DR	
24OCT17	S	3	24JAN18	TTR	EL		7039.50	13.95DR	
24OCT17	S	3	24JAN18	TTR	EL		7041.50	13.95DR	
	CMX Copper 25,000 CMX								
24OCT17	S	2	MAR18	TTR	GX		321.550	4.70DR	
24OCT17	S	5	MAR18	TTR	GX		321.650	11.75DR	
24OCT17	S	6	MAR18	TTR	GX		321.700	14.10DR	
24OCT17	S	3	MAR18	TTR	GX		321.600	7.05DR	
24OCT17	S	5	MAR18	TTR	GX		321.450	11.75DR	
24OCT17	S	3	MAR18	TTR	GX		321.400	7.05DR	
24OCT17	S	7	MAR18	TTR	GX		321.500	16.45DR	
24OCT17	S	5	MAR18	TTR	GX		321.250	11.75DR	
24OCT17	S	3	MAR18	TTR	GX		321.200	7.05DR	
24OCT17	B	1	MAR18	TTR	GX	321.750		2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
24OCT17	S	1	24JAN18	TTR	EL		11970.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		11975.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		11990.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		11995.00	9.30DR	
	CMX Silver 5,000 CMX								
24OCT17	B	5	DEC17	TTR	GX	1702.5		11.75DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
24OCT17	B	5	24JAN18	TTR	EL	3162.00		23.25DR	
24OCT17	B	3	24JAN18	TTR	EL	3163.00		13.95DR	
24OCT17	B	5	24JAN18	TTR	EL	3161.50		23.25DR	
24OCT17	B	2	24JAN18	TTR	EL	3162.50		9.30DR	
24OCT17	B	3	24JAN18	TTR	EL	3164.50		13.95DR	
24OCT17	B	2	24JAN18	TTR	EL	3163.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	3155.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3152.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3156.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	3158.00		9.30DR	
24OCT17	B	7	24JAN18	TTR	EL	3157.50		32.55DR	
24OCT17	B	1	24JAN18	TTR	EL	3159.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	3159.00		9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		3166.50	9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		3153.00	9.30DR	
	Commission								561.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

24th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
24OCT17	CMX Copper 25,000 CMX 1 MAR18	321.750	321.450		75.00DR
24OCT17	CMX Silver 5,000 CMX 1 DEC17	1702.5	1718.5		800.00CR
24OCT17	1 DEC17	1702.5	1725.0		1,125.00CR
24OCT17	2 DEC17	1702.5	1725.0		2,250.00CR
24OCT17	1 DEC17	1702.5	1724.5		1,100.00CR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03AUG17	1 24OCT17	6348.71	5996.00		8,817.75DR
03AUG17	1 24OCT17	6348.71	5996.50		8,805.25DR
03AUG17	1 24OCT17	6348.71	5997.50		8,780.25DR
03AUG17	1 24OCT17	6348.71	6019.50		8,230.25DR
03AUG17	1 24OCT17	6348.71	6026.50		8,055.25DR
03AUG17	1 24OCT17	6348.71	6023.50		8,130.25DR
03AUG17	1 24OCT17	6348.71	6025.00		8,092.75DR
03AUG17	4 24OCT17	6348.71	6025.50		32,321.00DR
03AUG17	1 24OCT17	6348.71	6025.50		8,080.25DR
03AUG17	1 24OCT17	6348.71	6025.00		8,092.75DR
03AUG17	2 24OCT17	6348.71	6025.50		16,160.50DR
03AUG17	1 24OCT17	6348.71	6026.00		8,067.75DR
03AUG17	1 24OCT17	6348.71	6026.00		8,067.75DR
03AUG17	1 24OCT17	6348.71	6027.00		8,042.75DR
03AUG17	1 24OCT17	6348.71	6026.00		8,067.75DR
03AUG17	1 24OCT17	6348.71	6027.00		8,042.75DR
03AUG17	1 24OCT17	6348.71	6027.00		8,042.75DR
03AUG17	1 24OCT17	6348.71	6026.50		8,055.25DR
03AUG17	1 24OCT17	6348.71	6025.50		8,080.25DR
	TOTALS				
	BROUGHT FORWARD BALANCE				135,832.43CR
	SETTLEMENTS				
	CMX Silver Futures				5,275.00CR
	LME Copper Grade A 25 tonnes. This Futures				188,033.25DR
	CMX Copper Futures				75.00DR
	COMMISSIONS				561.45DR
	CARRIED FORWARD BALANCE				47,562.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
24OCT17	B 1 DEC17 GX 319.200			2.35DR	
24OCT17	B 1 DEC17 GX 319.100			2.35DR	
24OCT17	B 1 DEC17 GX 319.350			2.35DR	
24OCT17	S 1 DEC17 GX 319.150			2.35DR	
	Commission				9.40DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17 319.200	319.150			12.50DR
24OCT17	1 DEC17 319.100	319.600			125.00CR
24OCT17	1 DEC17 319.350	319.250			25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,371.05CR
	SETTLEMENTS				
	CMX Copper Futures				87.50CR
	COMMISSIONS				9.40DR
	CARRIED FORWARD BALANCE				31,449.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 20 04DEC17 IFC UD		2148.34	54.00DR	
24OCT17	S 1 24JAN18 DMA EL		2145.00	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2146.00	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		2148.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2149.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2154.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2160.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2163.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2165.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2157.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2159.00	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2157.50	9.30DR	
24OCT17	S 2 24JAN18 DMA EL		2158.50	9.30DR	
24OCT17	S 1 24JAN18 DMA EL		2154.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2153.00	4.65DR	
24OCT17	S 2 24JAN18 DMA EL		2153.50	9.30DR	
24OCT17	S 3 24JAN18 DMA EL		2155.50	13.95DR	
24OCT17	S 1 24JAN18 DMA EL		2156.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	2157.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2156.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2158.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		2159.50	4.65DR	
24OCT17	S 3 24JAN18 DMA EL		2161.50	13.95DR	
24OCT17	S 2 24JAN18 DMA EL		2161.00	9.30DR	
24OCT17	S 2 24JAN18 DMA EL		2162.00	9.30DR	
24OCT17	B 1 24JAN18 DMA EL	2161.50		4.65DR	
24OCT17	B 20 24JAN18 IFC UD	2155.20		54.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	2499.50		4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		11985.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 10 04DEC17 IFC UD		3201.50	27.00DR	
24OCT17	B 7 18JAN18 IFC UD	3180.20		18.90DR	
24OCT17	B 3 23JAN18 IFC UD	3179.20		8.10DR	
24OCT17	B 1 24JAN18 DMA EL	3159.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3157.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3173.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3177.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3182.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3159.50	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3192.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3172.50		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3169.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3170.50	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3173.00		4.65DR	
24OCT17	B 4 24JAN18 DMA EL	3173.50		18.60DR	
24OCT17	S 1 24JAN18 DMA EL		3175.00	4.65DR	
	Commission				413.10DR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AX

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				107,861.02CR
	COMMISSIONS				413.10DR
	CARRIED FORWARD BALANCE				107,447.92CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
24OCT17	B	2	28DEC17	IFC	UD	2151.11		5.40DR	
24OCT17	S	2	09JAN18	IFC	UD		2153.11	5.40DR	
24OCT17	S	1	09JAN18	IFC	UD		2153.31	2.70DR	
24OCT17	S	1	12JAN18	IFC	UD		2153.93	2.70DR	
24OCT17	B	1	16JAN18	IFC	UD	2154.99		2.70DR	
24OCT17	B	5	17JAN18	IFC	UD	2155.00		13.50DR	
24OCT17	B	1	18JAN18	IFC	UD	2155.45		2.70DR	
24OCT17	S	1	19JAN18	IFC	UD		2154.55	2.70DR	
24OCT17	S	4	23JAN18	IFC	UD		2154.75	10.80DR	
24OCT17	B	1	24JAN18	TTR	EL	2139.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	2139.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	2136.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	2137.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	2144.00		4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	2140.50		4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		2155.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		2153.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		2154.00	9.30DR	
24OCT17	B	6	24JAN18	TTR	EL	2149.50		27.90DR	
24OCT17	S	2	24JAN18	TTR	EL		2154.50	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		2156.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2159.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2161.50	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	2159.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2159.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2161.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2162.00	4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	S	1	10JAN18	IFC	UD		7035.07	2.70DR	
24OCT17	S	2	11JAN18	IFC	UD		7035.54	5.40DR	
24OCT17	B	2	12JAN18	IFC	UD	7036.20		5.40DR	
24OCT17	S	9	16JAN18	IFC	UD		7037.50	24.30DR	
24OCT17	B	10	17JAN18	IFC	UD	7037.20		27.00DR	
24OCT17	B	3	17JAN18	IFC	UD	7037.00		8.10DR	
24OCT17	S	2	18JAN18	IFC	UD		7035.50	5.40DR	
24OCT17	S	3	18JAN18	IFC	UD		7035.30	8.10DR	
24OCT17	B	2	23JAN18	IFC	UD	7035.60		5.40DR	
24OCT17	B	1	24JAN18	TTR	EL	7043.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7043.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7045.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7047.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7049.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7053.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7057.50	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7056.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7068.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7082.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7092.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7094.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7096.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7107.50		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7104.00		9.30DR	
24OCT17	B	6	24JAN18	TTR	EL	7105.00		27.90DR	
24OCT17	B	1	24JAN18	TTR	EL	7096.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7114.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7114.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7112.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7103.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7101.00		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7100.00		4.65DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	24JAN18	TTR	EL	7097.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7099.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7099.00		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7095.50		9.30DR	
24OCT17	B	2	24JAN18	TTR	EL	7104.50		9.30DR	
24OCT17	B	1	24JAN18	TTR	EL	7117.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7106.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7109.00		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	7102.00		9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7106.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7107.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7078.50		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7079.00	4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	7101.00		4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	7105.50		4.65DR	
24OCT17	B	2	24JAN18	DMA	EL	7103.50		9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7095.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7096.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7093.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7090.50		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7089.00		4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7063.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7035.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	7014.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7024.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7025.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7025.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7028.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7029.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7036.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		7034.50	9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		7038.50	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		7040.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.50	4.65DR	
24OCT17	S	4	24JAN18	TTR	EL		7036.50	18.60DR	
24OCT17	S	4	24JAN18	TTR	EL		7035.50	18.60DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7040.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7039.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		7043.00	4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		7040.00	4.65DR	
CMX Copper 25,000 CMX									
24OCT17	B	1	DEC17	TTR	GX	319.850		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	321.600		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	322.700		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	322.550		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	323.100		2.35DR	
24OCT17	S	1	DEC17	TTR	GX		319.750	2.35DR	
CMX Gold 100 CMX									
24OCT17	B	1	DEC17	TTR	GX	1279.1		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1275.6		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1275.1		2.35DR	
LME Lead 25 tonnes. This is an LME registered client contract									
24OCT17	B	1	16JAN18	IFC	UD	2467.36		2.70DR	
24OCT17	B	1	17JAN18	IFC	UD	2467.50		2.70DR	
24OCT17	S	1	19JAN18	IFC	UD		2466.55	2.70DR	
24OCT17	S	1	23JAN18	IFC	UD		2467.55	2.70DR	
24OCT17	B	1	24JAN18	TTR	EL	2497.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2498.50	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	2469.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		2473.00	4.65DR	
LME Nickel 6 tonnes. This is an LME registered client contract									

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	2	22DEC17	IFC	UD		11972.57	5.40DR	
24OCT17	S	1	28DEC17	IFC	UD		11977.26	2.70DR	
24OCT17	B	3	12JAN18	IFC	UD	11990.00		8.10DR	
24OCT17	B	4	12JAN18	IFC	UD	11989.00		10.80DR	
24OCT17	S	2	16JAN18	IFC	UD		11991.20	5.40DR	
24OCT17	B	5	16JAN18	IFC	UD	11992.20		13.50DR	
24OCT17	S	1	17JAN18	IFC	UD		11992.00	2.70DR	
24OCT17	B	6	17JAN18	IFC	UD	11993.00		16.20DR	
24OCT17	S	12	18JAN18	IFC	UD		11992.29	32.40DR	
24OCT17	S	7	18JAN18	IFC	UD		11993.29	18.90DR	
24OCT17	B	7	23JAN18	IFC	UD	11995.71		18.90DR	
24OCT17	B	1	24JAN18	TTR	EL	11960.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		11960.00	4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	11995.00		9.30DR	
24OCT17	S	2	24JAN18	TTR	EL		12015.00	9.30DR	
24OCT17	S	5	24JAN18	TTR	EL		11975.00	23.25DR	
24OCT17	B	1	24JAN18	TTR	EL	11970.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	11985.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	11905.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		11935.00	4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		11920.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	11935.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		11955.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		11965.00	9.30DR	
24OCT17	S	17	24JAN18	TTR	EL		11970.00	79.05DR	
24OCT17	S	7	24JAN18	TTR	EL		11980.00	32.55DR	
24OCT17	S	7	24JAN18	TTR	EL		11985.00	32.55DR	
24OCT17	S	5	24JAN18	TTR	EL		11995.00	23.25DR	
24OCT17	S	4	24JAN18	TTR	EL		12000.00	18.60DR	
24OCT17	S	1	24JAN18	DMA	EL		11980.00	4.65DR	
CMX Silver 5,000 CMX									
24OCT17	S	2	DEC17	TTR	GX		1710.5	4.70DR	
24OCT17	S	3	DEC17	TTR	GX		1713.5	7.05DR	
24OCT17	S	2	DEC17	TTR	GX		1714.5	4.70DR	
24OCT17	B	1	DEC17	TTR	GX	1702.0		2.35DR	
24OCT17	B	1	DEC17	TTR	GX	1701.5		2.35DR	
24OCT17	B	2	DEC17	TTR	GX	1699.5		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	1698.0		4.70DR	
24OCT17	B	2	DEC17	TTR	GX	1696.0		4.70DR	
24OCT17	B	1	DEC17	TTR	GX	1693.0		2.35DR	
24OCT17	B	3	DEC17	TTR	GX	1695.0		7.05DR	
24OCT17	B	3	DEC17	TTR	GX	1694.0		7.05DR	
24OCT17	B	1	DEC17	TTR	GX	1695.5		2.35DR	
24OCT17	B	5	DEC17	TTR	GX	1694.5		11.75DR	
LME Tin 5 tonnes. This is an LME registered client contract									
24OCT17	S	1	24JAN18	TTR	EL		19735.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		19720.00	4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		19675.00	4.65DR	
LME Zinc 25 tonnes. This is an LME registered client contract									
24OCT17	S	2	16JAN18	IFC	UD		3183.50	5.40DR	
24OCT17	B	2	18JAN18	IFC	UD	3180.20		5.40DR	
24OCT17	B	1	24JAN18	TTR	EL	3160.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3159.00	4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	3162.00		13.95DR	
24OCT17	B	3	24JAN18	TTR	EL	3163.00		13.95DR	
24OCT17	B	6	24JAN18	TTR	EL	3162.50		27.90DR	
24OCT17	B	3	24JAN18	TTR	EL	3164.00		13.95DR	
24OCT17	B	4	24JAN18	TTR	EL	3164.50		18.60DR	
24OCT17	B	1	24JAN18	TTR	EL	3165.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3154.50		4.65DR	
24OCT17	B	2	24JAN18	TTR	EL	3159.50		9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		3160.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3161.00	4.65DR	

Group No: SAPG0094

Page 3 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	1	24JAN18	DMA	EL		3160.00	4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	3159.50		4.65DR	
24OCT17	B	1	24JAN18	DMA	EL	3165.00		4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3187.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3194.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3191.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		3186.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		3184.50	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		3185.00	9.30DR	
24OCT17	S	4	24JAN18	TTR	EL		3184.00	18.60DR	
24OCT17	S	1	24JAN18	TTR	EL		3183.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3181.00	4.65DR	
24OCT17	S	8	24JAN18	TTR	EL		3178.00	37.20DR	
24OCT17	S	2	24JAN18	TTR	EL		3177.50	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		3177.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		3179.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		3178.50	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3175.00		4.65DR	
24OCT17	B	3	24JAN18	TTR	EL	3176.00		13.95DR	
24OCT17	B	10	24JAN18	TTR	EL	3174.50		46.50DR	
24OCT17	B	11	24JAN18	TTR	EL	3175.50		51.15DR	
24OCT17	S	1	24JAN18	TTR	EL		3174.50	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3176.00	4.65DR	
24OCT17	S	2	24JAN18	TTR	EL		3169.00	9.30DR	
24OCT17	S	1	24JAN18	TTR	EL		3153.00	4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3168.00		4.65DR	
24OCT17	B	1	24JAN18	TTR	EL	3168.50		4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		3178.00	4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		3174.00	4.65DR	
24OCT17	S	1	24JAN18	DMA	EL		3155.00	4.65DR	
24OCT17	S	1	24JAN18	TTR	EL		3172.50	4.65DR	
	Commission								1,662.70DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
24OCT17		1	DEC17			319.850	319.750		25.00DR
24OCT17		1	DEC17			321.600	322.850		312.50CR
24OCT17		1	DEC17			322.700	322.850		37.50CR
24OCT17		1	DEC17			322.550	323.150		150.00CR
24OCT17		1	DEC17			323.100	323.150		12.50CR
	CMX Gold 100 CMX								
24OCT17		1	DEC17			1279.1	1305.7		2,660.00CR
24OCT17		1	DEC17			1275.6	1306.0		3,040.00CR
24OCT17		1	DEC17			1275.1	1278.9		380.00CR
	CMX Silver 5,000 CMX								
24OCT17		1	DEC17			1702.0	1710.5		425.00CR
24OCT17		1	DEC17			1701.5	1710.5		450.00CR
24OCT17		1	DEC17			1699.5	1713.5		700.00CR
24OCT17		1	DEC17			1699.5	1713.5		700.00CR
24OCT17		1	DEC17			1698.0	1713.5		775.00CR
24OCT17		1	DEC17			1698.0	1714.5		825.00CR
24OCT17		1	DEC17			1696.0	1714.5		925.00CR
24OCT17		1	DEC17			1696.0	1701.0		250.00CR
24OCT17		1	DEC17			1695.0	1701.5		325.00CR
24OCT17		1	DEC17			1694.5	1702.0		375.00CR
24OCT17		1	DEC17			1694.5	1703.5		450.00CR
24OCT17		1	DEC17			1694.0	1705.0		550.00CR
24OCT17		1	DEC17			1694.0	1705.5		575.00CR
24OCT17		1	DEC17			1694.5	1705.0		525.00CR
24OCT17		1	DEC17			1693.0	1705.0		600.00CR
24OCT17		2	DEC17			1695.0	1708.0		1,300.00CR
24OCT17		1	DEC17			1694.5	1708.5		700.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AY

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC17	1694.0	1708.5		725.00CR
24OCT17	1 DEC17	1695.5	1708.5		650.00CR
24OCT17	1 DEC17	1694.5	1709.0		725.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				210,362.76CR
	SETTLEMENTS				
	CMX Silver Futures				12,550.00CR
	CMX Copper Futures				487.50CR
	CMX Gold Futures				6,080.00CR
	COMMISSIONS				1,662.70DR
	CARRIED FORWARD BALANCE				227,817.56CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
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SAPG0094

24th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,700,698.47CR
	SETTLEMENTS		
	CMX Copper Futures		3,487.50DR
	CMX Gold Futures		4,260.00CR
	CMX Silver Futures		24,625.00CR
	LME Aluminium 25 Tonnes. This is an Futures		8,325.00CR
	LME Copper Grade A 25 tonnes. This Futures		688,009.50DR
	LME Lead 25 tonnes. This is an Futures		18,475.75CR
	LME Nickel 6 tonnes. This is an LME Futures		302,742.36CR
	LME Tin 5 tonnes. This is an LME Futures		42,629.75CR
	LME Zinc 25 tonnes. This is an LME Futures		24,461.50DR
	NYM Light Sweet Crude Oil Futures		8,220.00CR
	COMMISSIONS		4,709.55DR
	CARRIED FORWARD BALANCE		6,389,308.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		7103.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	3183.50		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3185.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	3190.00		9.30DR	
24OCT17	B 1 24JAN18 DMA EL	3191.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3193.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	3194.00		9.30DR	
24OCT17	B 3 24JAN18 DMA EL	3191.50		13.95DR	
24OCT17	B 3 24JAN18 DMA EL	3192.00		13.95DR	
24OCT17	B 4 24JAN18 DMA EL	3192.50		18.60DR	
24OCT17	B 1 24JAN18 DMA EL	3183.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	3190.50		4.65DR	
	Commission				97.65DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,354.60CR
	COMMISSIONS				97.65DR
	CARRIED FORWARD BALANCE				4,256.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
18SEP17	1 24OCT17	11191.14	9725.00		8,796.84DR
18SEP17	1 24OCT17	11191.14	9770.00		8,526.84DR
	LME Tin 5 tonnes. This is an LME registered client contract				
05OCT17	1 24OCT17	20260.00	21096.00		4,180.00CR
05OCT17	1 24OCT17	20155.00	21096.00		4,705.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,596.89DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				8,885.00CR
	LME Nickel 6 tonnes. This is an LME Futures				17,323.68DR
	CARRIED FORWARD BALANCE				46,035.57DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 8 24JAN18 DMA EL 3191.00			37.20DR	
24OCT17	B 8 24JAN18 DMA EL 3190.50			37.20DR	
24OCT17	B 2 24JAN18 DMA EL 3190.00			9.30DR	
24OCT17	B 2 24JAN18 DMA EL 3189.50			9.30DR	
	Commission				93.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				93,621.15CR
	COMMISSIONS				93.00DR
	CARRIED FORWARD BALANCE				93,528.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	B 2 24JAN18 TTR EL	2137.50		9.30DR	
24OCT17	S 2 24JAN18 TTR EL		2150.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		2160.50	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 TTR EL	7049.00		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	7049.50		4.65DR	
24OCT17	B 2 24JAN18 TTR EL	7055.00		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7096.50		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7097.50		9.30DR	
24OCT17	B 2 24JAN18 TTR EL	7114.00		9.30DR	
24OCT17	B 2 24JAN18 DMA EL	7100.00		9.30DR	
24OCT17	S 2 24JAN18 TTR EL		7025.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		7028.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		7036.50	9.30DR	
	CMX Gold 100 CMX				
24OCT17	B 2 DEC17 II	1277.3		3.86DR	
24OCT17	B 2 DEC17 II	1276.4		3.86DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 2 24JAN18 TTR EL		11975.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		11970.00	9.30DR	
24OCT17	S 2 24JAN18 TTR EL		11980.00	9.30DR	
24OCT17	S 1 24JAN18 TTR EL		11985.00	4.65DR	
	CMX Silver 5,000 CMX				
24OCT17	S 2 DEC17 II		1713.5	3.86DR	
24OCT17	B 2 DEC17 II	1694.5		3.86DR	
24OCT17	B 1 DEC17 II	1695.5		1.93DR	
24OCT17	B 3 DEC17 II	1695.0		5.79DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 2 24JAN18 DMA EL		19715.00	9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL		3175.50	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		3174.50	4.65DR	
24OCT17	S 1 24JAN18 TTR EL		3172.50	4.65DR	
24OCT17	B 1 24JAN18 TTR EL	3163.50		4.65DR	
24OCT17	B 1 24JAN18 TTR EL	3165.00		4.65DR	
24OCT17	B 2 24JAN18 TTR EL	3169.50		9.30DR	
24OCT17	B 1 24JAN18 TTR EL	3169.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		3173.00	4.65DR	
	Commission				218.46DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
24OCT17	2 DEC17	1277.3	1283.4		1,220.00CR
	CMX Silver 5,000 CMX				
24OCT17	2 DEC17	1694.5	1713.5		1,900.00CR
24OCT17	1 DEC17	1695.5	1723.5		1,400.00CR
24OCT17	1 DEC17	1695.0	1723.5		1,425.00CR
24OCT17	2 DEC17	1695.0	1719.0		2,400.00CR
	<u>MATCH OUTS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096Y

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
27JUL17	LME Tin 5 tonnes. This is an LME 1 24OCT17	20255.00	20666.14		2,055.70CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				85,226.87CR
	SETTLEMENTS				
	CMX Silver Futures				7,125.00CR
	LME Tin 5 tonnes. This is an LME Futures				2,055.70CR
	CMX Gold Futures				1,220.00CR
	COMMISSIONS				218.46DR
	CARRIED FORWARD BALANCE				95,409.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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24th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 24OCT17	1923.00	1941.37		459.25CR
26JUL17	1 24OCT17	1923.00	1941.37		459.25CR
26JUL17	1 24OCT17	1916.50	1941.37		621.75CR
26JUL17	1 24OCT17	1911.00	1941.37		759.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9635.00	9720.00		510.00CR
24JUL17	1 24OCT17	9725.00	9760.00		210.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2778.50	2787.00		212.50CR
24JUL17	1 24OCT17	2778.00	2787.00		225.00CR
24JUL17	1 24OCT17	2777.50	2787.50		250.00CR
24JUL17	1 24OCT17	2776.00	2787.50		287.50CR
16AUG17	1 24OCT17	2775.50	3117.96		8,561.50CR
16AUG17	1 24OCT17	2775.50	3117.96		8,561.50CR
16AUG17	1 24OCT17	2775.50	3117.96		8,561.50CR
16AUG17	1 24OCT17	2780.00	3117.96		8,449.00CR
16AUG17	1 24OCT17	2777.50	3117.96		8,511.50CR
16AUG17	1 24OCT17	2777.50	3117.96		8,511.50CR
16AUG17	1 24OCT17	2774.00	3117.96		8,599.00CR
16AUG17	2 24OCT17	2784.00	3117.96		16,698.00CR
16AUG17	1 24OCT17	2783.00	3117.96		8,374.00CR
16AUG17	1 24OCT17	2783.00	3117.96		8,374.00CR
16AUG17	1 24OCT17	2783.50	3117.96		8,361.50CR
16AUG17	1 24OCT17	2783.50	3117.96		8,361.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				70,147.37CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				110,899.50CR
	LME Nickel 6 tonnes. This is an LME Futures				720.00CR
	LME Aluminium 25 Tonnes. This is an Futures				2,299.50CR
	CARRIED FORWARD BALANCE				184,066.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AB

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2248.50	2255.00		162.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2777.00	2780.00		75.00CR
24JUL17	1 24OCT17	2777.00	2776.00		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,239.50DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				162.50CR
	LME Zinc 25 tonnes. This is an LME Futures				50.00CR
	CARRIED FORWARD BALANCE				9,027.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AC 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19750.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19725.00		4.65DR	
	Commission				9.30DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2244.00	2256.00		300.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2776.00	2774.50		37.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,615.90DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				300.00CR
	LME Zinc 25 tonnes. This is an LME Futures				37.50DR
	COMMISSIONS				9.30DR
	CARRIED FORWARD BALANCE				15,362.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

24th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	19735.00		4.65DR	
24OCT17	B 2 24JAN18 DMA EL	19755.00		9.30DR	
24OCT17	S 2 24JAN18 DMA EL		19740.00	9.30DR	
24OCT17	B 3 24JAN18 DMA EL	19710.00		13.95DR	
24OCT17	B 1 24JAN18 DMA EL	19720.00		4.65DR	
24OCT17	S 3 24JAN18 DMA EL		19720.00	13.95DR	
24OCT17	S 1 24JAN18 DMA EL		19735.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19725.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19730.00		4.65DR	
24OCT17	S 3 24JAN18 DMA EL		19725.00	13.95DR	
24OCT17	S 1 24JAN18 DMA EL		19730.00	4.65DR	
24OCT17	S 4 24JAN18 DMA EL		19700.00	18.60DR	
24OCT17	B 3 24JAN18 DMA EL	19705.00		13.95DR	
24OCT17	B 3 24JAN18 DMA EL	19760.00		13.95DR	
24OCT17	B 5 24JAN18 DMA EL	19750.00		23.25DR	
24OCT17	S 3 24JAN18 DMA EL		19745.00	13.95DR	
24OCT17	S 8 24JAN18 DMA EL		19750.00	37.20DR	
24OCT17	B 1 24JAN18 DMA EL	19640.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19675.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19715.00		4.65DR	
24OCT17	B 3 24JAN18 DMA EL	19745.00		13.95DR	
24OCT17	S 1 24JAN18 DMA EL		19640.00	4.65DR	
	Commission				241.80DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 24OCT17	6024.00	6021.00		75.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2250.50	2251.50		25.00CR
24JUL17	1 24OCT17	2258.50	2249.00		237.50DR
24JUL17	1 24OCT17	2253.00	2256.50		87.50CR
24JUL17	1 24OCT17	2250.50	2253.50		75.00CR
06OCT17	1 24OCT17	2243.00	2520.73		6,943.25CR
06OCT17	1 24OCT17	2243.00	2520.73		6,943.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9640.00	9620.00		120.00DR
24JUL17	1 24OCT17	9640.00	9620.00		120.00DR
24JUL17	1 24OCT17	9685.00	9660.00		150.00DR
24JUL17	1 24OCT17	9695.00	9685.00		60.00DR
24JUL17	1 24OCT17	9760.00	9725.00		210.00DR
06OCT17	1 24OCT17	10530.32	9735.00		4,771.92DR
06OCT17	1 24OCT17	10530.32	9760.00		4,621.92DR
06OCT17	1 24OCT17	10530.32	9770.00		4,561.92DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20155.00	20180.00		125.00CR
24JUL17	1 24OCT17	20155.00	20130.00		125.00DR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20130.00	20160.00		150.00CR
24JUL17	1 24OCT17	20175.00	20220.00		225.00CR
24JUL17	1 24OCT17	20175.00	20220.00		225.00CR
24JUL17	1 24OCT17	20170.00	20140.00		150.00DR

Group No: SAPG0096

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	2 24OCT17	20155.00	20150.00		50.00DR
24JUL17	1 24OCT17	20180.00	20135.00		225.00DR
24JUL17	1 24OCT17	20155.00	20135.00		100.00DR
24JUL17	2 24OCT17	20160.00	20125.00		350.00DR
24JUL17	1 24OCT17	20200.00	20125.00		375.00DR
24JUL17	1 24OCT17	20200.00	20130.00		350.00DR
24JUL17	1 24OCT17	20245.00	20130.00		575.00DR
24JUL17	1 24OCT17	20245.00	20180.00		325.00DR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20125.00	20220.00		475.00CR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20130.00	20220.00		450.00CR
24JUL17	1 24OCT17	20130.00	20160.00		150.00CR
24JUL17	1 24OCT17	20255.00	20160.00		475.00DR
24JUL17	1 24OCT17	20260.00	20250.00		50.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2778.00	2778.00		0.00
24JUL17	1 24OCT17	2777.00	2778.00		25.00CR
24JUL17	1 24OCT17	2772.00	2789.00		425.00CR
24JUL17	1 24OCT17	2777.50	2784.00		162.50CR
24JUL17	1 24OCT17	2778.00	2782.50		112.50CR
24JUL17	1 24OCT17	2776.50	2773.50		75.00DR
24JUL17	1 24OCT17	2773.50	2774.00		12.50CR
06OCT17	1 24OCT17	3261.83	2775.00		12,170.75DR
06OCT17	1 24OCT17	3261.83	2776.00		12,145.75DR
	TOTALS				
	BROUGHT FORWARD BALANCE				19,517.53DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				900.00CR
	LME Lead 25 tonnes. This is an Futures				13,836.50CR
	LME Copper Grade A 25 tonnes. This Futures				75.00DR
	LME Zinc 25 tonnes. This is an LME Futures				23,654.00DR
	LME Nickel 6 tonnes. This is an LME Futures				14,615.76DR
	COMMISSIONS				241.80DR
	CARRIED FORWARD BALANCE				43,367.59DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AE

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19720.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19720.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19745.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19750.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19690.00	4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19700.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19670.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19715.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19700.00		4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19705.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19740.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19755.00		4.65DR	
	Commission				55.80DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2263.00	2253.50		237.50DR
12OCT17	1 24OCT17	2247.50	2548.00		7,512.50CR
12OCT17	1 24OCT17	2520.73	2548.00		681.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	2 24OCT17	9750.00	9770.00		240.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20130.00	20125.00		25.00DR
24JUL17	1 24OCT17	20130.00	20125.00		25.00DR
24JUL17	1 24OCT17	20235.00	20225.00		50.00DR
24JUL17	1 24OCT17	20145.00	20165.00		100.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2773.00	2792.50		487.50CR
06OCT17	1 24OCT17	3261.83	2775.00		12,170.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,852.83DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				
	LME Lead 25 tonnes. This is an Futures				7,956.75CR
	LME Zinc 25 tonnes. This is an LME Futures				11,683.25DR
	LME Nickel 6 tonnes. This is an LME Futures				240.00CR
	COMMISSIONS				55.80DR
	CARRIED FORWARD BALANCE				5,395.13DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AF 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		12045.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	19750.00		4.65DR	
	Commission				9.30DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	1 24OCT17	6631.25	6033.50		14,943.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
06OCT17	1 24OCT17	10530.32	9765.00		4,591.92DR
06OCT17	1 24OCT17	10530.32	9775.00		4,531.92DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20130.00	20205.00		375.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,232.73CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				375.00CR
	LME Copper Grade A 25 tonnes. This Futures				14,943.75DR
	LME Nickel 6 tonnes. This is an LME Futures				9,123.84DR
	COMMISSIONS				9.30DR
	CARRIED FORWARD BALANCE				22,469.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AG

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
11AUG17	1 24OCT17	6404.52	6024.50		9,500.50DR
11AUG17	1 24OCT17	6404.52	6024.50		9,500.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUL17	1 24OCT17	9535.00	10130.00		3,570.00CR
27JUL17	3 24OCT17	9540.00	10130.00		10,620.00CR
04AUG17	1 24OCT17	9540.00	10245.63		4,233.78CR
04AUG17	1 24OCT17	9540.00	10245.63		4,233.78CR
04AUG17	1 24OCT17	9535.00	10245.63		4,263.78CR
04AUG17	2 24OCT17	9615.00	10245.63		7,567.56CR
04AUG17	2 24OCT17	9655.00	10245.63		7,087.56CR
04AUG17	1 24OCT17	9760.00	10245.63		2,913.78CR
04AUG17	1 24OCT17	9760.00	10245.63		2,913.78CR
04AUG17	1 24OCT17	9760.00	10245.63		2,913.78CR
04AUG17	1 24OCT17	9760.00	10245.63		2,913.78CR
	LME Tin 5 tonnes. This is an LME registered client contract				
04AUG17	1 24OCT17	20120.00	20530.00		2,050.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,601.11CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,050.00CR
	LME Copper Grade A 25 tonnes. This Futures				19,001.00DR
	LME Nickel 6 tonnes. This is an LME Futures				53,231.58CR
	CARRIED FORWARD BALANCE				48,881.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AH

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
24OCT17	B 2 DEC17 II	1690.0		3.86DR	
24OCT17	B 1 DEC17 II	1691.0		1.93DR	
24OCT17	B 1 DEC17 II	1691.5		1.93DR	
24OCT17	B 1 DEC17 II	1692.0		1.93DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		3190.50	4.65DR	14.30DR
	Commission				
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				54,544.98CR
	COMMISSIONS				14.30DR
	CARRIED FORWARD BALANCE				54,530.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0096

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		975,714.47CR
	SETTLEMENTS		
	CMX Gold Futures		1,220.00CR
	CMX Silver Futures		7,125.00CR
	LME Aluminium 25 Tonnes. This is an Futures		2,299.50CR
	LME Copper Grade A 25 tonnes. This Futures		34,019.75DR
	LME Lead 25 tonnes. This is an Futures		22,255.75CR
	LME Nickel 6 tonnes. This is an LME Futures		13,128.30CR
	LME Tin 5 tonnes. This is an LME Futures		14,265.70CR
	LME Zinc 25 tonnes. This is an LME Futures		75,574.75CR
	COMMISSIONS		739.61DR
	CARRIED FORWARD BALANCE		1,076,824.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0097J 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
12SEP17	1 24OCT17	2261.00	2293.56		814.00CR
12SEP17	2 24OCT17	2261.00	2293.56		1,628.00CR
12SEP17	1 24OCT17	2262.00	2293.56		789.00CR
12SEP17	1 24OCT17	2262.00	2293.56		789.00CR
12SEP17	1 24OCT17	2261.00	2293.56		814.00CR
12SEP17	1 24OCT17	2261.00	2293.56		814.00CR
12SEP17	1 24OCT17	2261.00	2293.56		814.00CR
12SEP17	2 24OCT17	2261.00	2293.56		1,628.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	2 24OCT17	3054.81	2786.50		13,415.50DR
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	1 24OCT17	3054.81	2785.50		6,732.75DR
12SEP17	2 24OCT17	3054.81	2785.50		13,465.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				401,263.95CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				8,090.00CR
	LME Zinc 25 tonnes. This is an LME Futures				67,277.50DR
	CARRIED FORWARD BALANCE				342,076.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0097

24th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		401,263.95CR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		8,090.00CR
	LME Zinc 25 tonnes. This is an LME Futures		67,277.50DR
	CARRIED FORWARD BALANCE		342,076.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103B

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>BUSINESS DONE TODAY</u> CMX Silver 5,000 CMX B 1 DEC17 Commission GX	1705.0		2.65DR	2.65DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 70,106.80CR COMMISSIONS 2.65DR CARRIED FORWARD BALANCE 70,104.15CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103C

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 1 DEC17 CQG GX 51.59	51.59		2.65DR	
24OCT17	B 2 DEC17 CQG GX 51.58	51.58		5.30DR	
24OCT17	S 1 DEC17 CQG GX		51.98	2.65DR	
24OCT17	S 1 DEC17 CQG GX		51.99	2.65DR	
24OCT17	S 1 DEC17 CQG GX		52.02	2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 1 NOV17 CQG GX		2.97200	2.65DR	
24OCT17	S 2 NOV17 CQG GX		2.97300	5.30DR	
24OCT17	S 1 NOV17 CQG GX		2.98100	2.65DR	
24OCT17	S 1 NOV17 CQG GX		2.98400	2.65DR	
	Commission				29.15DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17	51.59	51.98		390.00CR
24OCT17	1 DEC17	51.58	51.99		410.00CR
24OCT17	1 DEC17	51.58	52.02		440.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17	2.86100	2.97200		1,110.00CR
24OCT17	1 NOV17	2.86200	2.97300		1,110.00CR
24OCT17	1 NOV17	2.87000	2.97300		1,030.00CR
24OCT17	1 NOV17	2.86700	2.98100		1,140.00CR
24OCT17	1 NOV17	2.86600	2.98400		1,180.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				65,339.35CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				5,570.00CR
	NYM Light Sweet Crude Oil Futures				1,240.00CR
	COMMISSIONS				29.15DR
	CARRIED FORWARD BALANCE				72,120.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103D

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 1 DEC17 CQG GX 51.59	51.59		2.65DR	
24OCT17	B 1 DEC17 CQG GX 51.58	51.58		2.65DR	
24OCT17	S 1 DEC17 CQG GX 51.98		51.98	2.65DR	
24OCT17	S 1 DEC17 CQG GX 52.14		52.14	2.65DR	
24OCT17	S 1 DEC17 CQG GX 52.31		52.31	2.65DR	
	CMX Copper 25,000 CMX				
24OCT17	S 1 DEC17 CQG GX 322.600		322.600	2.65DR	
24OCT17	B 1 DEC17 CQG GX 321.500	321.500		2.65DR	
24OCT17	S 1 DEC17 CQG GX 322.450		322.450	2.65DR	
24OCT17	B 1 DEC17 CQG GX 319.550	319.550		2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 1 NOV17 CQG GX 2.97600		2.97600	2.65DR	
24OCT17	S 1 NOV17 CQG GX 2.98100		2.98100	2.65DR	
24OCT17	S 1 NOV17 CQG GX 2.98800		2.98800	2.65DR	
	CMX Silver 5,000 CMX				
24OCT17	B 1 DEC17 CQG GX 1706.5	1706.5		2.65DR	
	Commission				34.45DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC17 51.59 51.98	51.59	51.98		390.00CR
24OCT17	1 DEC17 51.58 52.14	51.58	52.14		560.00CR
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17 321.500 322.600	321.500	322.600		275.00CR
24OCT17	1 DEC17 319.550 322.450	319.550	322.450		725.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17 2.92300 2.97600	2.92300	2.97600		530.00CR
24OCT17	1 NOV17 2.90400 2.98100	2.90400	2.98100		770.00CR
24OCT17	1 NOV17 2.90300 2.98800	2.90300	2.98800		850.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				117,100.30CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				2,150.00CR
	CMX Copper Futures				1,000.00CR
	NYM Light Sweet Crude Oil Futures				950.00CR
	COMMISSIONS				34.45DR
	CARRIED FORWARD BALANCE				121,165.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	B 1 24JAN18 DMA EL	2142.50		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2143.50		5.30DR	
24OCT17	S 1 24JAN18 DMA EL		2157.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		2158.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		2159.50	5.30DR	
24OCT17	S 3 24JAN18 DMA EL		2158.50	15.90DR	
24OCT17	S 1 24JAN18 DMA EL		2154.50	5.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	2504.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2505.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2505.50		5.30DR	
24OCT17	B 2 24JAN18 DMA EL	2506.50		10.60DR	
24OCT17	B 1 24JAN18 DMA EL	2471.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2471.50		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2472.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2473.50		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	2474.00		5.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	3156.50		5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3156.00	5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3160.00		5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3157.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3158.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3159.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3159.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3162.00	5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3149.50		5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3167.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3167.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3163.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3165.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3165.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3166.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3171.50	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		3179.00	5.30DR	
24OCT17	S 2 24JAN18 DMA EL		3179.50	10.60DR	
24OCT17	S 1 24JAN18 DMA EL		3192.50	5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3172.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3154.50		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3155.00		5.30DR	
24OCT17	B 1 24JAN18 DMA EL	3152.50		5.30DR	
24OCT17	B 3 24JAN18 DMA EL	3155.50		15.90DR	
24OCT17	S 1 24JAN18 DMA EL		3155.00	5.30DR	
24OCT17	B 2 24JAN18 DMA EL	3171.50		10.60DR	
24OCT17	B 4 24JAN18 DMA EL	3173.50		21.20DR	
24OCT17	B 2 24JAN18 DMA EL	3175.00		10.60DR	
	Commission				291.50DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 24OCT17	1913.00	1913.00		0.00
24JUL17	1 24OCT17	1912.50	1913.00		12.50CR
24JUL17	1 24OCT17	1913.00	1909.50		87.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103F

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUL17	1 24OCT17	1914.50	1941.37		671.75CR
26JUL17	1 24OCT17	1912.50	1941.37		721.75CR
26JUL17	1 24OCT17	1910.00	1941.37		784.25CR
26JUL17	1 24OCT17	1910.00	1941.37		784.25CR
26JUL17	1 24OCT17	1909.50	1941.37		796.75CR
26JUL17	1 24OCT17	1910.50	1941.37		771.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,197.32CR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				4,455.50CR
	COMMISSIONS				291.50DR
	CARRIED FORWARD BALANCE				47,361.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
24OCT17	B	16	02NOV17	IFC	UD	2460.18		43.20DR	
24OCT17	B	2	24JAN18	DMA	EL	2506.00		10.60DR	
24OCT17	B	1	24JAN18	DMA	EL	2504.50		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2486.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2487.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2489.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2471.50		5.30DR	
24OCT17	B	5	24JAN18	DMA	EL	2472.00		26.50DR	
24OCT17	B	1	24JAN18	DMA	EL	2472.50		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2473.00		5.30DR	
24OCT17	B	2	24JAN18	DMA	EL	2473.50		10.60DR	
24OCT17	B	1	24JAN18	DMA	EL	2474.50		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2475.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	2476.00		5.30DR	
24OCT17	S	16	24JAN18	IFC	UD		2467.80	43.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
24OCT17	S	1	24JAN18	DMA	EL		3156.00	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3157.50	5.30DR	
24OCT17	B	2	24JAN18	DMA	EL	3152.00		10.60DR	
24OCT17	S	2	24JAN18	DMA	EL		3166.50	10.60DR	
24OCT17	S	1	24JAN18	DMA	EL		3168.00	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3163.50	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3164.00	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3164.50	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3165.00	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3166.00	5.30DR	
24OCT17	S	1	24JAN18	DMA	EL		3176.00	5.30DR	
24OCT17	S	2	24JAN18	DMA	EL		3176.50	10.60DR	
24OCT17	S	2	24JAN18	DMA	EL		3179.00	10.60DR	
24OCT17	B	2	24JAN18	DMA	EL	3155.00		10.60DR	
24OCT17	B	1	24JAN18	DMA	EL	3155.50		5.30DR	
24OCT17	B	2	24JAN18	DMA	EL	3156.00		10.60DR	
24OCT17	B	1	24JAN18	DMA	EL	3157.50		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	3175.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	3175.50		5.30DR	
24OCT17	B	3	24JAN18	DMA	EL	3176.50		15.90DR	
24OCT17	B	1	24JAN18	DMA	EL	3178.00		5.30DR	
24OCT17	B	1	24JAN18	DMA	EL	3177.00		5.30DR	
	Commission								346.10DR
	<u>MATCH OUTS</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26JUL17		1	24OCT17			1916.50	1941.37		621.75CR
26JUL17		1	24OCT17			1916.50	1941.37		621.75CR
26JUL17		1	24OCT17			1916.50	1941.37		621.75CR
26JUL17		1	24OCT17			1911.00	1941.37		759.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract								
26JUL17		1	24OCT17			10041.67	9770.00		1,630.02DR
26JUL17		1	24OCT17			10041.67	9775.00		1,600.02DR
26JUL17		1	24OCT17			10041.67	9770.00		1,630.02DR
	LME Zinc 25 tonnes. This is an LME registered client contract								
24JUL17		1	24OCT17			2774.50	2793.50		475.00CR

Group No: SAPG0103

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 24OCT17	2773.50	2794.50		525.00CR
24JUL17	1 24OCT17	2773.50	2795.00		537.50CR
24JUL17	1 24OCT17	2774.50	2796.00		537.50CR
24JUL17	1 24OCT17	2774.50	2796.00		537.50CR
24JUL17	1 24OCT17	2774.50	2780.50		150.00CR
24JUL17	1 24OCT17	2774.50	2780.50		150.00CR
24JUL17	1 24OCT17	2785.00	2779.00		150.00DR
24JUL17	1 24OCT17	2784.50	2779.00		137.50DR
24JUL17	1 24OCT17	2784.00	2779.50		112.50DR
24JUL17	1 24OCT17	2784.00	2779.50		112.50DR
24JUL17	1 24OCT17	2784.00	2786.50		62.50CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					375,642.89CR
SETTLEMENTS					
LME Zinc 25 tonnes. This is an LME Futures					2,462.50CR
LME Nickel 6 tonnes. This is an LME Futures					4,860.00DR
LME Aluminium 25 Tonnes. This is an Futures					2,624.50CR
COMMISSIONS					346.10DR
CARRIED FORWARD BALANCE					375,523.73CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0103H 24th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	S 1 DEC17 CQG GX		52.29	2.65DR	
24OCT17	S 1 DEC17 CQG GX		52.26	2.65DR	
24OCT17	S 1 DEC17 CQG GX		52.38	2.65DR	
24OCT17	S 1 DEC17 CQG GX		52.40	2.65DR	
	CMX Copper 25,000 CMX				
24OCT17	B 1 DEC17 CQG GX	322.200		2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 1 NOV17 CQG GX		2.99300	2.65DR	
24OCT17	S 1 NOV17 CQG GX		2.99900	2.65DR	
24OCT17	B 2 NOV17 CQG GX	2.96300		5.30DR	
	Commission				23.85DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	322.200	319.300		725.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17	2.96300	2.99300		300.00CR
24OCT17	1 NOV17	2.96300	2.99900		360.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				100,187.00CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				660.00CR
	CMX Copper Futures				725.00DR
	COMMISSIONS				23.85DR
	CARRIED FORWARD BALANCE				100,098.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 2 04DEC17 SGL UD		2148.70	5.40DR	
24OCT17	S 1 24JAN18 DMA EL		2165.00	5.30DR	
24OCT17	S 1 24JAN18 DMA EL		2158.00	5.30DR	
24OCT17	B 2 24JAN18 SGL UD	2156.40		5.40DR	
	Commission				21.40DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
07SEP17	1 24OCT17	2260.00	2325.12		1,628.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	9610.00	9615.00		30.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2781.00	2787.80		170.00CR
24JUL17	1 24OCT17	2783.00	2787.80		120.00CR
24JUL17	1 24OCT17	2780.50	2787.80		182.50CR
24JUL17	1 24OCT17	2781.00	2787.80		170.00CR
24JUL17	1 24OCT17	2787.00	2787.80		20.00CR
24JUL17	1 24OCT17	2783.00	2784.50		37.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,277.84CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,628.00CR
	LME Zinc 25 tonnes. This is an LME Futures				700.00CR
	LME Nickel 6 tonnes. This is an LME Futures				30.00CR
	COMMISSIONS				21.40DR
	CARRIED FORWARD BALANCE				97,614.44CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0103

24th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,818,147.54CR
	SETTLEMENTS		
	CMX Copper Futures		275.00CR
	LME Aluminium 25 Tonnes. This is an Futures		7,080.00CR
	LME Lead 25 tonnes. This is an Futures		1,628.00CR
	LME Nickel 6 tonnes. This is an LME Futures		4,830.06DR
	LME Zinc 25 tonnes. This is an LME Futures		3,162.50CR
	NYM Henry Hub Natural Gas Futures		8,380.00CR
	NYM Light Sweet Crude Oil Futures		2,190.00CR
	COMMISSIONS		749.10DR
	CARRIED FORWARD BALANCE		1,835,283.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 1 24JAN18 OBJ EL		2154.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2158.50	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2160.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2158.50		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 OBJ EL	2474.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2476.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2473.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2472.00	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	B 3 12JAN18 IFC UD	11989.00		8.10DR	
24OCT17	B 2 16JAN18 IFC UD	11992.20		5.40DR	
24OCT17	S 3 17JAN18 IFC UD		11992.00	8.10DR	
24OCT17	S 2 18JAN18 IFC UD		11992.29	5.40DR	
24OCT17	S 9 19JAN18 IFC UD		11993.57	24.30DR	
24OCT17	B 9 23JAN18 IFC UD	11995.71		24.30DR	
24OCT17	B 1 24JAN18 OBJ EL	11980.00		4.30DR	
24OCT17	S 2 24JAN18 OBJ EL		11995.00	8.60DR	
24OCT17	S 1 24JAN18 OBJ EL		12005.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		12010.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	12000.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	11985.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		12015.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	12020.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	11950.00		4.30DR	
24OCT17	B 2 24JAN18 OBJ EL	11920.00		8.60DR	
24OCT17	B 1 24JAN18 DMA EL	11910.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		11970.00	4.30DR	
24OCT17	S 2 24JAN18 OBJ EL		11990.00	8.60DR	
	Commission				178.80DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 24OCT17	1918.50	1912.50		150.00DR
24JUL17	1 24OCT17	1920.50	1912.00		212.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2255.00	2260.00		125.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
01AUG17	1 24OCT17	10286.86	9610.00		4,061.16DR
01AUG17	1 24OCT17	10286.86	9640.00		3,881.16DR
01AUG17	1 24OCT17	10286.86	9650.00		3,821.16DR
01AUG17	1 24OCT17	10286.86	9655.00		3,791.16DR
01AUG17	1 24OCT17	10286.86	9685.00		3,611.16DR
01AUG17	1 24OCT17	10286.86	9695.00		3,551.16DR
01AUG17	1 24OCT17	10286.86	9700.00		3,521.16DR
01AUG17	1 24OCT17	10286.86	9710.00		3,461.16DR
01AUG17	1 24OCT17	10286.86	9725.00		3,371.16DR
01AUG17	1 24OCT17	10286.86	9655.00		3,791.16DR
	LME Zinc 25 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

24th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 24OCT17	2784.00	2782.50		37.50DR
24JUL17	1 24OCT17	2776.50	2784.50		200.00CR
24JUL17	1 24OCT17	2778.50	2785.00		162.50CR
24JUL17	1 24OCT17	2777.00	2778.00		25.00CR
24JUL17	1 24OCT17	2790.00	2778.50		287.50DR
24JUL17	1 24OCT17	2787.00	2774.00		325.00DR
24JUL17	1 24OCT17	2783.50	2775.00		212.50DR
24JUL17	1 24OCT17	2782.00	2778.50		87.50DR
24JUL17	1 24OCT17	2788.50	2779.00		237.50DR
24JUL17	1 24OCT17	2788.00	2781.50		162.50DR
24JUL17	1 24OCT17	2788.00	2781.50		162.50DR
25JUL17	1 24OCT17	2824.00	2781.00		1,075.00DR
25JUL17	1 24OCT17	2824.00	2787.00		925.00DR
25JUL17	1 24OCT17	2823.90	2787.00		922.50DR
TOTALS					
BROUGHT FORWARD BALANCE					721,615.18CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					125.00CR
LME Zinc 25 tonnes. This is an LME Futures					4,047.50DR
LME Nickel 6 tonnes. This is an LME Futures					36,861.60DR
LME Aluminium 25 Tonnes. This is an Futures					362.50DR
COMMISSIONS					178.80DR
CARRIED FORWARD BALANCE					680,289.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2262.50	2255.50		175.00DR
24JUL17	1 24OCT17	2255.50	2255.00		12.50DR
24JUL17	1 24OCT17	2255.50	2255.00		12.50DR
24JUL17	1 24OCT17	2253.00	2255.00		50.00CR
24JUL17	1 24OCT17	2252.00	2264.50		312.50CR
24JUL17	1 24OCT17	2251.00	2265.50		362.50CR
24JUL17	1 24OCT17	2251.00	2262.00		275.00CR
24JUL17	1 24OCT17	2258.50	2262.50		100.00CR
24JUL17	1 24OCT17	2253.50	2263.00		237.50CR
24JUL17	1 24OCT17	2254.00	2263.50		237.50CR
24JUL17	1 24OCT17	2252.50	2256.50		100.00CR
24JUL17	1 24OCT17	2248.00	2251.00		75.00CR
26JUL17	1 24OCT17	2328.00	2252.50		1,887.50DR
26JUL17	1 24OCT17	2328.00	2256.00		1,800.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2777.50	2785.50		200.00CR
24JUL17	1 24OCT17	2783.50	2792.00		212.50CR
24JUL17	1 24OCT17	2780.50	2796.00		387.50CR
24JUL17	1 24OCT17	2778.50	2797.50		475.00CR
24JUL17	1 24OCT17	2778.50	2780.50		50.00CR
24JUL17	1 24OCT17	2785.00	2774.50		262.50DR
24JUL17	1 24OCT17	2778.50	2774.00		112.50DR
24JUL17	1 24OCT17	2780.50	2776.50		100.00DR
24JUL17	1 24OCT17	2781.50	2775.50		150.00DR
24JUL17	1 24OCT17	2781.50	2773.50		200.00DR
24JUL17	1 24OCT17	2781.50	2778.50		75.00DR
24JUL17	1 24OCT17	2780.50	2777.00		87.50DR
24JUL17	1 24OCT17	2781.50	2772.50		225.00DR
24JUL17	1 24OCT17	2782.00	2772.50		237.50DR
24JUL17	2 24OCT17	2774.00	2774.50		25.00CR
24JUL17	1 24OCT17	2778.50	2773.00		137.50DR
24JUL17	1 24OCT17	2776.00	2773.00		75.00DR
24JUL17	1 24OCT17	2774.50	2773.00		37.50DR
24JUL17	1 24OCT17	2773.50	2774.00		12.50CR
24JUL17	1 24OCT17	2775.00	2773.00		50.00DR
24JUL17	1 24OCT17	2776.00	2774.00		50.00DR
24JUL17	1 24OCT17	2777.50	2774.50		75.00DR
24JUL17	1 24OCT17	2784.00	2773.50		262.50DR
24JUL17	1 24OCT17	2768.50	2773.50		125.00CR
24JUL17	1 24OCT17	2782.50	2775.00		187.50DR
24JUL17	1 24OCT17	2782.50	2775.50		175.00DR
26JUL17	1 24OCT17	2807.71	2780.50		680.25DR
26JUL17	1 24OCT17	2807.71	2780.50		680.25DR
26JUL17	1 24OCT17	2807.71	2777.00		767.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				952,596.27DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,137.50DR
	LME Zinc 25 tonnes. This is an LME Futures				3,140.75DR
	CARRIED FORWARD BALANCE				957,874.52DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 1 28DEC17 IFC UD		2151.11	2.70DR	
24OCT17	B 1 17JAN18 IFC UD	2155.20		2.70DR	
24OCT17	S 16 18JAN18 IFC UD		2155.25	43.20DR	
24OCT17	B 16 19JAN18 IFC UD	2154.95		43.20DR	
24OCT17	B 2 24JAN18 OBJ EL	2146.50		8.60DR	
24OCT17	S 1 24JAN18 OBJ EL		2147.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2153.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2159.00	4.30DR	
24OCT17	S 2 24JAN18 OBJ EL		2163.00	8.60DR	
24OCT17	S 1 24JAN18 OBJ EL		2163.50	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2160.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2158.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2157.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2151.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2147.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2143.50		4.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 16JAN18 IFC UD	7037.50		2.70DR	
24OCT17	S 1 17JAN18 IFC UD		7036.80	2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 2 11JAN18 IFC UD	2466.67		5.40DR	
24OCT17	B 3 12JAN18 IFC UD	2466.81		8.10DR	
24OCT17	B 16 16JAN18 IFC UD	2467.36		43.20DR	
24OCT17	S 21 17JAN18 IFC UD		2467.30	56.70DR	
24OCT17	S 1 17JAN18 IFC UD		2467.50	2.70DR	
24OCT17	B 1 18JAN18 IFC UD	2467.33		2.70DR	
24OCT17	B 2 18JAN18 IFC UD	2467.13		5.40DR	
24OCT17	S 2 19JAN18 IFC UD		2466.55	5.40DR	
24OCT17	B 1 24JAN18 OBJ EL	2497.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2507.50		4.30DR	
24OCT17	B 3 24JAN18 OBJ EL	2506.00		12.90DR	
24OCT17	B 1 24JAN18 OBJ EL	2504.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2496.50	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2498.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2512.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2513.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2514.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2514.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2512.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2517.50	4.30DR	
24OCT17	B 2 24JAN18 OBJ EL	2505.50		8.60DR	
24OCT17	S 1 24JAN18 DMA EL		2512.00	4.30DR	
24OCT17	B 2 24JAN18 OBJ EL	2506.50		8.60DR	
24OCT17	B 2 24JAN18 OBJ EL	2495.00		8.60DR	
24OCT17	B 1 24JAN18 OBJ EL	2489.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2471.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2468.00	4.30DR	
24OCT17	B 1 24JAN18 DMA EL	2493.00		4.30DR	
24OCT17	B 1 24JAN18 DMA EL	2492.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2473.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2472.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2469.00		4.30DR	
24OCT17	S 3 24JAN18 OBJ EL		2475.00	12.90DR	
24OCT17	S 2 24JAN18 OBJ EL		2474.00	8.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 3 11JAN18 IFC UD	3184.50		8.10DR	
24OCT17	B 1 12JAN18 IFC UD	3184.00		2.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	16JAN18	IFC	UD	3183.50		2.70DR	
24OCT17	S	5	17JAN18	IFC	UD		3182.80	13.50DR	
24OCT17	S	2	17JAN18	IFC	UD		3183.00	5.40DR	
24OCT17	S	2	19JAN18	IFC	UD		3178.00	5.40DR	
24OCT17	B	4	23JAN18	IFC	UD	3179.20		10.80DR	
24OCT17	S	1	24JAN18	OBJ	EL		3155.00	4.30DR	
24OCT17	S	1	24JAN18	OBJ	EL		3156.50	4.30DR	
24OCT17	B	1	24JAN18	OBJ	EL	3154.00		4.30DR	
24OCT17	B	2	24JAN18	OBJ	EL	3155.00		8.60DR	
24OCT17	B	1	24JAN18	OBJ	EL	3156.50		4.30DR	
24OCT17	B	2	24JAN18	OBJ	EL	3165.00		8.60DR	
24OCT17	S	3	24JAN18	OBJ	EL		3166.50	12.90DR	
24OCT17	S	1	24JAN18	OBJ	EL		3164.50	4.30DR	
24OCT17	S	2	24JAN18	OBJ	EL		3165.00	8.60DR	
24OCT17	S	1	24JAN18	OBJ	EL		3181.00	4.30DR	
24OCT17	B	1	24JAN18	DMA	EL	3159.00		4.30DR	
24OCT17	B	1	24JAN18	OBJ	EL	3160.00		4.30DR	
24OCT17	B	1	24JAN18	OBJ	EL	3159.00		4.30DR	
24OCT17	B	3	24JAN18	OBJ	EL	3153.50		12.90DR	
24OCT17	B	1	24JAN18	OBJ	EL	3153.00		4.30DR	
24OCT17	B	2	24JAN18	OBJ	EL	3175.50		8.60DR	
	Commission								585.00DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
24JUL17		1	24OCT17			1921.50	1914.50		175.00DR
24JUL17		1	24OCT17			1921.50	1914.00		187.50DR
24JUL17		1	24OCT17			1921.50	1914.00		187.50DR
24JUL17		1	24OCT17			1921.50	1914.00		187.50DR
26JUL17		1	24OCT17			1921.50	1947.00		637.50CR
26JUL17		2	24OCT17			1920.50	1947.00		1,325.00CR
26JUL17		1	24OCT17			1917.00	1947.00		750.00CR
26JUL17		1	24OCT17			1913.50	1947.00		837.50CR
26JUL17		2	24OCT17			1912.00	1947.00		1,750.00CR
26JUL17		2	24OCT17			1913.00	1947.00		1,700.00CR
26JUL17		1	24OCT17			1910.50	1947.00		912.50CR
26JUL17		1	24OCT17			1912.50	1947.00		862.50CR
26JUL17		1	24OCT17			1913.00	1947.00		850.00CR
17OCT17		1	24OCT17			1913.00	2117.75		5,118.75CR
17OCT17		5	24OCT17			1913.00	2117.75		25,593.75CR
17OCT17		2	24OCT17			1904.69	2117.75		10,653.00CR
	LME Lead 25 tonnes. This is an LME registered client contract								
24JUL17		1	24OCT17			2260.50	2256.50		100.00DR
24JUL17		1	24OCT17			2259.50	2255.00		112.50DR
24JUL17		1	24OCT17			2260.00	2256.00		100.00DR
24JUL17		1	24OCT17			2259.00	2256.00		75.00DR
24JUL17		1	24OCT17			2262.00	2255.50		162.50DR
24JUL17		1	24OCT17			2258.50	2259.50		25.00CR
24JUL17		1	24OCT17			2255.00	2264.50		237.50CR
24JUL17		1	24OCT17			2258.50	2264.50		150.00CR
24JUL17		1	24OCT17			2258.50	2262.00		87.50CR
24JUL17		1	24OCT17			2252.50	2263.00		262.50CR
24JUL17		1	24OCT17			2257.50	2263.00		137.50CR
24JUL17		1	24OCT17			2257.50	2263.50		150.00CR
24JUL17		1	24OCT17			2257.50	2259.50		50.00CR
24JUL17		1	24OCT17			2257.00	2260.50		87.50CR
24JUL17		1	24OCT17			2259.50	2256.50		75.00DR
24JUL17		1	24OCT17			2262.00	2249.50		312.50DR
24JUL17		1	24OCT17			2259.00	2249.50		237.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 24OCT17	2257.50	2249.50		200.00DR
24JUL17	1 24OCT17	2258.00	2250.50		187.50DR
24JUL17	1 24OCT17	2259.00	2251.00		200.00DR
24JUL17	1 24OCT17	2258.00	2252.00		150.00DR
24JUL17	1 24OCT17	2259.50	2252.50		175.00DR
24JUL17	1 24OCT17	2258.00	2255.00		75.00DR
24JUL17	1 24OCT17	2249.00	2256.00		175.00CR
24JUL17	1 24OCT17	2252.00	2255.00		75.00CR
24JUL17	1 24OCT17	2247.50	2252.50		125.00CR
25JUL17	1 24OCT17	2257.00	2293.00		900.00CR
26JUL17	3 24OCT17	2328.00	2293.00		2,625.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2780.00	2777.50		62.50DR
24JUL17	1 24OCT17	2773.50	2777.50		100.00CR
24JUL17	1 24OCT17	2773.50	2778.50		125.00CR
24JUL17	1 24OCT17	2778.50	2778.50		0.00
24JUL17	1 24OCT17	2776.00	2780.00		100.00CR
24JUL17	1 24OCT17	2775.00	2779.50		112.50CR
24JUL17	1 24OCT17	2773.50	2785.50		300.00CR
24JUL17	1 24OCT17	2775.00	2785.50		262.50CR
24JUL17	1 24OCT17	2774.50	2794.00		487.50CR
24JUL17	1 24OCT17	2777.00	2795.50		462.50CR
24JUL17	1 24OCT17	2787.50	2795.50		200.00CR
24JUL17	1 24OCT17	2782.50	2777.50		125.00DR
24JUL17	1 24OCT17	2783.00	2775.50		187.50DR
24JUL17	1 24OCT17	2779.50	2781.00		37.50CR
24JUL17	1 24OCT17	2787.50	2781.50		150.00DR
24JUL17	1 24OCT17	2777.00	2784.00		175.00CR
24JUL17	1 24OCT17	2772.50	2787.00		362.50CR
24JUL17	1 24OCT17	2775.50	2787.00		287.50CR
24JUL17	1 24OCT17	2775.00	2787.50		312.50CR
26JUL17	1 24OCT17	2775.00	2807.71		817.75CR
26JUL17	2 24OCT17	2823.90	2807.71		809.50DR
01AUG17	5 24OCT17	2823.90	2777.75		5,768.75DR
TOTALS					
BROUGHT FORWARD BALANCE					31,762.06DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					2,325.00DR
LME Zinc 25 tonnes. This is an LME Futures					2,960.50DR
LME Aluminium 25 Tonnes. This is an Futures					50,253.00CR
COMMISSIONS					585.00DR
CARRIED FORWARD BALANCE					12,620.44CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24OCT17	S 5 18JAN18 IFC UD		2155.25	13.50DR	
24OCT17	B 5 19JAN18 IFC UD	2154.95		13.50DR	
24OCT17	S 1 24JAN18 OBJ EL		2153.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2159.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2159.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2150.50		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2150.50	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2141.00		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
24OCT17	B 11 16JAN18 IFC UD	2467.36		29.70DR	
24OCT17	S 8 17JAN18 IFC UD		2467.30	21.60DR	
24OCT17	S 3 18JAN18 IFC UD		2466.93	8.10DR	
24OCT17	B 1 24JAN18 OBJ EL	2506.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2504.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2513.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2514.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2514.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2510.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2507.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2517.00	4.30DR	
24OCT17	B 2 24JAN18 OBJ EL	2505.50		8.60DR	
24OCT17	B 1 24JAN18 DMA EL	2504.50		4.30DR	
24OCT17	S 1 24JAN18 DMA EL		2500.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2503.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	2499.50		4.30DR	
24OCT17	B 3 24JAN18 OBJ EL	2498.50		12.90DR	
24OCT17	B 1 24JAN18 OBJ EL	2492.00		4.30DR	
24OCT17	B 1 24JAN18 DMA EL	2492.50		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		2474.00	4.30DR	
24OCT17	S 3 24JAN18 OBJ EL		2473.50	12.90DR	
24OCT17	S 1 24JAN18 DMA EL		2471.50	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 11 17JAN18 IFC UD		3183.00	29.70DR	
24OCT17	B 11 18JAN18 IFC UD	3180.20		29.70DR	
24OCT17	B 1 24JAN18 OBJ EL	3153.50		4.30DR	
24OCT17	B 2 24JAN18 OBJ EL	3165.00		8.60DR	
24OCT17	S 1 24JAN18 OBJ EL		3166.50	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		3166.00	4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		3174.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	3174.00		4.30DR	
24OCT17	S 1 24JAN18 OBJ EL		3192.00	4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	3170.50		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	3168.00		4.30DR	
24OCT17	B 1 24JAN18 OBJ EL	3169.00		4.30DR	
24OCT17	S 2 24JAN18 OBJ EL		3155.50	8.60DR	
	Commission				330.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,913.42DR
	COMMISSIONS				330.70DR
	CARRIED FORWARD BALANCE				4,244.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112G

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		716,222.03CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		49,890.50CR
	LME Lead 25 tonnes. This is an Futures		4,337.50DR
	LME Nickel 6 tonnes. This is an LME Futures		36,861.60DR
	LME Zinc 25 tonnes. This is an LME Futures		10,148.75DR
	COMMISSIONS		1,094.50DR
	CARRIED FORWARD BALANCE		713,670.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118A

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	B 1 26OCT17 IFC US	3245.50		2.70DR	
24OCT17	S 1 01NOV17 IFC US		3235.70	2.70DR	
	Commission				5.40DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
20OCT17	1 24OCT17	11670.50	9725.00		11,673.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
20OCT17	1 24OCT17	20260.00	19657.00		3,015.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				49,458.35DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,015.00DR
	LME Nickel 6 tonnes. This is an LME Futures				11,673.00DR
	COMMISSIONS				5.40DR
	CARRIED FORWARD BALANCE				64,151.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118C

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	19750.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19745.00	4.65DR	
	Commission				9.30DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				888.68DR
	COMMISSIONS				9.30DR
	CARRIED FORWARD BALANCE				897.98DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19685.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19720.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19725.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19745.00		4.65DR	
24OCT17	S 1 24JAN18 DMA EL		19750.00	4.65DR	
24OCT17	B 1 24JAN18 DMA EL	19695.00		4.65DR	
	Commission				27.90DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2248.50	2256.50		200.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	20235.00	20225.00		50.00DR
24JUL17	1 24OCT17	20230.00	20245.00		75.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 24OCT17	2778.50	2778.00		12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,957.77DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				25.00CR
	LME Lead 25 tonnes. This is an Futures				200.00CR
	LME Zinc 25 tonnes. This is an LME Futures				12.50DR
	COMMISSIONS				27.90DR
	CARRIED FORWARD BALANCE				12,773.17DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
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SAPG0118

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		63,304.80DR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		200.00CR
	LME Nickel 6 tonnes. This is an LME Futures		11,673.00DR
	LME Tin 5 tonnes. This is an LME Futures		2,990.00DR
	LME Zinc 25 tonnes. This is an LME Futures		12.50DR
	COMMISSIONS		42.60DR
	CARRIED FORWARD BALANCE		77,822.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
24OCT17	B	1	24JAN18	TTR	EL	2136.50		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2139.00		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2139.50		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2143.00	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2150.00	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2150.50	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	2141.50		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2154.00	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2154.50	5.00DR	
24OCT17	S	2	24JAN18	TTR	EL		2159.00	10.00DR	
24OCT17	S	1	24JAN18	TTR	EL		2162.00	5.00DR	
24OCT17	S	4	24JAN18	TTR	EL		2162.50	20.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
24OCT17	B	1	24JAN18	TTR	EL	7046.00		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7045.00		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7043.50		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7041.50		10.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7043.00		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7048.00		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7047.00		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7048.50		10.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7049.50		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7054.50		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7053.50		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7094.50		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7104.00		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7103.50		5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7103.00		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7105.00		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7100.50		5.00DR	
24OCT17	B	3	24JAN18	TTR	EL	7098.00		15.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7108.50		5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7114.50		10.00DR	
24OCT17	B	5	24JAN18	TTR	EL	7099.00		25.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7098.50		10.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7097.00		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7094.00	5.00DR	
24OCT17	B	2	24JAN18	TTR	EL	7100.00		10.00DR	
24OCT17	B	9	24JAN18	TTR	EL	7099.50		45.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7116.00		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7088.00	5.00DR	
24OCT17	S	3	24JAN18	TTR	EL		7091.50	15.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7069.50	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7037.00	5.00DR	
24OCT17	B	1	24JAN18	TTR	EL	7020.00		5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7015.00	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7026.00	5.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7030.50	5.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7027.50	10.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7032.00	5.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7031.50	10.00DR	
24OCT17	S	1	24JAN18	TTR	EL		7036.00	5.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7037.50	10.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7036.50	10.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7035.00	10.00DR	
24OCT17	S	5	24JAN18	TTR	EL		7035.50	25.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7034.50	10.00DR	
24OCT17	S	2	24JAN18	TTR	EL		7033.00	10.00DR	
24OCT17	S	1	24JAN18	DMA	EL		7037.00	5.00DR	
24OCT17	S	2	24JAN18	DMA	EL		7040.00	10.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Gold 100 CMX				
24OCT17	B 1 DEC17 TTR II 1275.6			1.03DR	
24OCT17	B 1 DEC17 TTR II 1275.4			1.03DR	
24OCT17	B 1 DEC17 TTR II 1275.3			1.03DR	
24OCT17	B 1 DEC17 TTR II 1275.1			1.03DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	S 1 NOV17 TTR II 2.98100			1.03DR	
	CMX Silver 5,000 CMX				
24OCT17	S 1 DEC17 TTR II 1712.5			1.03DR	
24OCT17	S 1 DEC17 TTR II 1714.0			1.03DR	
24OCT17	B 2 DEC17 TTR II 1706.5			2.06DR	
24OCT17	B 4 DEC17 TTR II 1706.0			4.12DR	
24OCT17	B 1 DEC17 TTR II 1700.5			1.03DR	
24OCT17	B 1 DEC17 TTR II 1699.5			1.03DR	
24OCT17	B 1 DEC17 TTR II 1698.5			1.03DR	
24OCT17	B 3 DEC17 TTR II 1698.0			3.09DR	
24OCT17	B 1 DEC17 TTR II 1697.5			1.03DR	
24OCT17	B 1 DEC17 TTR II 1697.0			1.03DR	
24OCT17	B 1 DEC17 TTR II 1695.0			1.03DR	
24OCT17	B 3 DEC17 TTR II 1694.5			3.09DR	
24OCT17	B 3 DEC17 TTR II 1694.0			3.09DR	
24OCT17	B 5 DEC17 TTR II 1696.0			5.15DR	
24OCT17	B 2 DEC17 TTR II 1691.0			2.06DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL 19720.00			5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 TTR EL 3177.50			5.00DR	
24OCT17	S 1 24JAN18 TTR EL 3177.00			5.00DR	
24OCT17	S 2 24JAN18 TTR EL 3176.50			10.00DR	
24OCT17	S 1 24JAN18 TTR EL 3175.50			5.00DR	
24OCT17	S 1 24JAN18 TTR EL 3176.00			5.00DR	
24OCT17	S 1 24JAN18 TTR EL 3175.00			5.00DR	
24OCT17	S 1 24JAN18 DMA EL 3176.00			5.00DR	
24OCT17	S 1 24JAN18 DMA EL 3173.50			5.00DR	
	Commission				591.05DR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas 10,000 NYM				
24OCT17	1 NOV17 2.89700 2.98100				840.00CR
	CMX Silver 5,000 CMX				
24OCT17	1 DEC17 1706.5 1712.5				300.00CR
24OCT17	1 DEC17 1706.5 1714.0				375.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				389,804.99CR
	SETTLEMENTS				
	CMX Silver Futures				675.00CR
	NYM Henry Hub Natural Gas Futures				840.00CR
	COMMISSIONS				591.05DR
	CARRIED FORWARD BALANCE				390,728.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	IEU Gas Oil 100 IPE				
24OCT17	S 4 FEB18 CQG EL		522.000	4.28DR	
24OCT17	B 4 MAR18 CQG EL	522.000		4.28DR	
24OCT17	B 4 MAR18 CQG EL	515.000		4.28DR	
24OCT17	B 4 MAR18 CQG EL	515.250		4.28DR	
24OCT17	B 4 MAR18 CQG EL	520.750		4.28DR	
24OCT17	S 4 APR18 CQG EL		520.500	4.28DR	
24OCT17	S 8 APR18 CQG EL		513.750	8.56DR	
24OCT17	S 4 APR18 CQG EL		513.500	4.28DR	
24OCT17	S 4 APR18 CQG EL		514.000	4.28DR	
24OCT17	B 4 MAY18 CQG EL	512.750		4.28DR	
24OCT17	B 4 MAY18 CQG EL	512.500		4.28DR	
24OCT17	B 4 MAY18 CQG EL	514.500		4.28DR	
24OCT17	S 4 MAY18 CQG EL		522.250	4.28DR	
24OCT17	S 4 JUN18 CQG EL		513.250	4.28DR	
24OCT17	B 4 JUN18 CQG EL	520.750		4.28DR	
24OCT17	S 4 JUN18 CQG EL		520.750	4.28DR	
24OCT17	B 4 JUL18 CQG EL	520.250		4.28DR	
	CME Lean Hog 400 CME				
24OCT17	B 1 APR18 CQG II	72.7000		1.02DR	
24OCT17	B 3 JUN18 CQG II	80.6750		3.06DR	
24OCT17	S 1 JUN18 CQG II		80.7250	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.7500	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.7750	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.9000	1.02DR	
24OCT17	B 2 JUL18 CQG II	80.6750		2.04DR	
24OCT17	S 4 JUL18 CQG II		80.6750	4.08DR	
24OCT17	B 2 JUL18 CQG II	80.7250		2.04DR	
24OCT17	S 1 JUL18 CQG II		80.7750	1.02DR	
24OCT17	S 1 AUG18 CQG II		79.8000	1.02DR	
24OCT17	B 1 AUG18 CQG II	79.8000		1.02DR	
24OCT17	S 1 AUG18 CQG II		79.9000	1.02DR	
24OCT17	B 2 AUG18 CQG II	79.9500		2.04DR	
	IEU Brent Crude 1,000 IPE				
24OCT17	B 2 APR18 CQG EL	57.19		2.14DR	
24OCT17	S 1 JUN18 CQG EL		56.92	1.07DR	
24OCT17	S 3 JUN18 CQG EL		56.93	3.21DR	
24OCT17	B 1 AUG18 CQG EL	56.62		1.07DR	
24OCT17	B 1 AUG18 CQG EL	56.63		1.07DR	
	Commission				108.04DR
	SETTLEMENTS				
	IEU Gas Oil 100 IPE				
24OCT17	3 FEB18	509.750	522.000		3,675.00CR
24OCT17	1 FEB18	509.750	522.000		1,225.00CR
24OCT17	4 MAR18	522.000	509.750		4,900.00DR
24OCT17	1 MAR18	515.000	509.750		525.00DR
24OCT17	1 MAR18	515.000	509.750		525.00DR
24OCT17	2 MAR18	515.000	509.500		1,100.00DR
24OCT17	4 MAR18	515.250	520.250		2,000.00CR
24OCT17	2 MAR18	520.750	520.250		100.00DR
24OCT17	1 MAR18	520.750	520.250		50.00DR
24OCT17	1 MAR18	520.750	520.250		50.00DR
24OCT17	4 APR18	517.500	520.500		1,200.00CR
24OCT17	2 APR18	519.750	513.500		1,250.00DR
24OCT17	2 APR18	519.750	513.750		1,200.00DR
24OCT17	1 APR18	519.750	513.750		600.00DR
24OCT17	3 APR18	519.750	513.750		1,800.00DR
24OCT17	2 APR18	519.750	513.750		1,200.00DR
24OCT17	2 APR18	519.750	513.500		1,250.00DR

Group No: SAPG0119

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119C

24th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 MAY18	512.500	522.250		1,950.00CR
24OCT17	1 MAY18	512.750	522.250		950.00CR
24OCT17	1 MAY18	512.750	522.250		950.00CR
24OCT17	2 MAY18	512.750	518.500		1,150.00CR
24OCT17	2 MAY18	512.500	518.500		1,200.00CR
24OCT17	3 JUN18	520.750	513.250		2,250.00DR
24OCT17	1 JUN18	520.750	513.250		750.00DR
24OCT17	3 JUL18	520.250	504.250		4,800.00DR
24OCT17	1 JUL18	520.250	504.250		1,600.00DR
	CME Lean Hog 400 CME				
24OCT17	1 APR18	72.7000	72.9000		80.00CR
24OCT17	1 JUN18	80.6750	80.7250		20.00CR
24OCT17	1 JUN18	80.6750	80.7500		30.00CR
24OCT17	1 JUN18	80.6750	80.7750		40.00CR
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.7250	80.6750		20.00DR
24OCT17	1 JUL18	80.7250	80.6750		20.00DR
24OCT17	1 JUL18	80.6000	80.7750		70.00CR
24OCT17	1 AUG18	79.8000	79.8000		0.00
24OCT17	1 AUG18	79.9500	79.9000		20.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				8,211.80CR
	SETTLEMENTS				
	IEU Gas Oil Futures				9,650.00DR
	CME Lean Hog Futures				180.00CR
	COMMISSIONS				108.04DR
	CARRIED FORWARD BALANCE				1,366.24DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

24th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	CME Cattle 400 CME								
24OCT17	B	1	DEC17	CQG	II	118.8000		1.02DR	
24OCT17	S	1	DEC17	CQG	II		119.6000	1.02DR	
24OCT17	B	1	DEC17	CQG	II	119.5500		1.02DR	
24OCT17	S	1	DEC17	CQG	II		119.5750	1.02DR	
24OCT17	S	1	FEB18	CQG	II		122.5750	1.02DR	
24OCT17	B	1	FEB18	CQG	II	122.6250		1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.0500	1.02DR	
24OCT17	B	1	FEB18	CQG	II	123.0750		1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.2750	1.02DR	
24OCT17	S	2	FEB18	CQG	II		123.4500	2.04DR	
24OCT17	B	1	FEB18	CQG	II	123.6250		1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.5500	1.02DR	
24OCT17	B	2	FEB18	CQG	II	124.2250		2.04DR	
24OCT17	B	1	FEB18	CQG	II	124.4000		1.02DR	
24OCT17	S	1	FEB18	CQG	II		124.4000	1.02DR	
24OCT17	B	1	FEB18	CQG	II	124.4250		1.02DR	
24OCT17	B	1	APR18	CQG	II	122.7000		1.02DR	
24OCT17	S	1	APR18	CQG	II		122.8500	1.02DR	
24OCT17	B	1	APR18	CQG	II	123.2250		1.02DR	
24OCT17	S	1	APR18	CQG	II		123.3000	1.02DR	
24OCT17	B	1	APR18	CQG	II	123.4500		1.02DR	
24OCT17	B	1	APR18	CQG	II	123.5750		1.02DR	
24OCT17	S	2	APR18	CQG	II		123.9750	2.04DR	
24OCT17	B	1	APR18	CQG	II	124.1500		1.02DR	
24OCT17	S	1	APR18	CQG	II		124.4250	1.02DR	
24OCT17	B	1	JUN18	CQG	II	115.2750		1.02DR	
24OCT17	B	1	JUN18	CQG	II	116.2250		1.02DR	
24OCT17	S	1	JUN18	CQG	II		116.3500	1.02DR	
24OCT17	S	1	JUN18	CQG	II		116.9500	1.02DR	
24OCT17	S	1	JUN18	CQG	II		116.9250	1.02DR	
24OCT17	B	1	JUN18	CQG	II	117.0250		1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.5250	1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.5750	1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.7000	1.02DR	
24OCT17	B	2	AUG18	CQG	II	113.3500		2.04DR	
24OCT17	B	1	AUG18	CQG	II	113.4500		1.02DR	
24OCT17	B	1	AUG18	CQG	II	113.9750		1.02DR	
24OCT17	B	1	OCT18	CQG	II	112.9000		1.02DR	
24OCT17	B	1	OCT18	CQG	II	113.0000		1.02DR	
24OCT17	S	1	OCT18	CQG	II		113.6000	1.02DR	
24OCT17	S	1	OCT18	CQG	II		113.6250	1.02DR	
24OCT17	S	1	OCT18	CQG	II		113.7500	1.02DR	
24OCT17	S	1	DEC18	CQG	II		113.9500	1.02DR	
24OCT17	S	1	DEC18	CQG	II		114.8750	1.02DR	
24OCT17	B	1	FEB19	CQG	II	114.1750		1.02DR	
24OCT17	B	1	FEB19	CQG	II	115.0000		1.02DR	
	CME Feeder Cattle 500 CME								
24OCT17	S	1	NOV17	CQG	II		153.2500	1.02DR	
24OCT17	S	1	NOV17	CQG	II		153.0000	1.02DR	
24OCT17	S	1	NOV17	CQG	II		152.9750	1.02DR	
24OCT17	B	1	NOV17	CQG	II	154.4250		1.02DR	
24OCT17	B	1	NOV17	CQG	II	154.3250		1.02DR	
24OCT17	B	1	NOV17	CQG	II	154.8250		1.02DR	
24OCT17	S	1	NOV17	CQG	II		155.5250	1.02DR	
24OCT17	B	2	NOV17	CQG	II	155.3250		2.04DR	
24OCT17	S	1	NOV17	CQG	II		155.7250	1.02DR	
24OCT17	B	2	NOV17	CQG	II	155.7000		2.04DR	
24OCT17	S	1	NOV17	CQG	II		155.8000	1.02DR	
24OCT17	S	1	NOV17	CQG	II		155.9750	1.02DR	
24OCT17	B	1	NOV17	CQG	II	156.1500		1.02DR	
24OCT17	S	1	NOV17	CQG	II		156.3250	1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.1500		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.3500		1.02DR	

Group No: SAPG0119

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	JAN18	CQG	II	151.1250		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.0750		1.02DR	
24OCT17	S	1	JAN18	CQG	II		152.7750	1.02DR	
24OCT17	S	1	JAN18	CQG	II		152.6750	1.02DR	
24OCT17	S	1	JAN18	CQG	II		153.2500	1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.2500	1.02DR	
24OCT17	B	1	JAN18	CQG	II	154.0750		1.02DR	
24OCT17	S	2	JAN18	CQG	II		153.9250	2.04DR	
24OCT17	B	1	JAN18	CQG	II	154.3500		1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.3500	1.02DR	
24OCT17	B	1	JAN18	CQG	II	154.4750		1.02DR	
24OCT17	B	1	JAN18	CQG	II	154.6750		1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.9000	1.02DR	
24OCT17	B	1	JAN18	CQG	II	155.1250		1.02DR	
24OCT17	S	1	MAR18	CQG	II		148.4750	1.02DR	
24OCT17	S	1	MAR18	CQG	II		148.5250	1.02DR	
24OCT17	B	1	MAR18	CQG	II	148.4750		1.02DR	
24OCT17	S	1	MAR18	CQG	II		151.4000	1.02DR	
24OCT17	S	1	MAR18	CQG	II		151.7750	1.02DR	
24OCT17	B	1	MAR18	CQG	II	152.0750		1.02DR	
24OCT17	B	1	MAR18	CQG	II	152.0250		1.02DR	
24OCT17	B	1	APR18	CQG	II	148.3000		1.02DR	
24OCT17	S	1	APR18	CQG	II		148.2250	1.02DR	
24OCT17	B	1	MAY18	CQG	II	149.8500		1.02DR	
24OCT17	B	1	MAY18	CQG	II	150.2000		1.02DR	
24OCT17	S	2	MAY18	CQG	II		150.7250	2.04DR	
CME Lean Hog 400 CME									
24OCT17	B	5	JUN18	CQG	II	80.6750		5.10DR	
24OCT17	S	1	JUN18	CQG	II		80.7000	1.02DR	
24OCT17	S	1	JUN18	CQG	II		80.9000	1.02DR	
24OCT17	S	5	JUL18	CQG	II		80.6750	5.10DR	
24OCT17	B	1	JUL18	CQG	II	80.6750		1.02DR	
24OCT17	B	1	AUG18	CQG	II	79.9500		1.02DR	
Commission									112.20DR
SETTLEMENTS									
CME Cattle 400 CME									
24OCT17		1	DEC17			118.8000	119.6000		320.00CR
24OCT17		1	DEC17			119.5500	119.5750		10.00CR
24OCT17		1	FEB18			122.6250	122.5750		20.00DR
24OCT17		1	FEB18			123.0750	123.0500		10.00DR
24OCT17		1	FEB18			123.6250	123.2750		140.00DR
24OCT17		1	FEB18			124.2250	123.4500		310.00DR
24OCT17		1	FEB18			124.2250	123.4500		310.00DR
24OCT17		1	FEB18			124.4000	123.5500		340.00DR
24OCT17		1	FEB18			124.4250	124.4000		10.00DR
24OCT17		1	APR18			122.7000	122.8500		60.00CR
24OCT17		1	APR18			123.2250	123.3000		30.00CR
24OCT17		1	APR18			123.4500	123.9750		210.00CR
24OCT17		1	APR18			123.5750	123.9750		160.00CR
24OCT17		1	APR18			124.1500	124.4250		110.00CR
24OCT17		1	JUN18			115.2750	116.3500		430.00CR
24OCT17		1	JUN18			116.2250	116.9500		290.00CR
24OCT17		1	JUN18			117.0250	116.9250		40.00DR
24OCT17		1	AUG18			113.3500	112.5250		330.00DR
24OCT17		1	AUG18			113.3500	112.5750		310.00DR
24OCT17		1	AUG18			113.4500	112.7000		300.00DR
24OCT17		1	AUG18			113.9750	111.8500		850.00DR
24OCT17		1	OCT18			112.9000	113.6000		280.00CR
24OCT17		1	OCT18			113.0000	113.6250		250.00CR
24OCT17		1	OCT18			112.2250	113.7500		610.00CR
CME Feeder Cattle 500 CME									

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 NOV17	154.4250	153.2500		587.50DR
24OCT17	1 NOV17	154.3250	153.0000		662.50DR
24OCT17	1 NOV17	154.8250	152.9750		925.00DR
24OCT17	1 NOV17	155.7000	155.5250		87.50DR
24OCT17	1 NOV17	155.3250	155.7250		200.00CR
24OCT17	1 NOV17	155.3250	155.8000		237.50CR
24OCT17	1 NOV17	155.7000	155.9750		137.50CR
24OCT17	1 NOV17	156.1500	156.3250		87.50CR
24OCT17	1 JAN18	151.1500	152.7750		812.50CR
24OCT17	1 JAN18	151.3500	152.6750		662.50CR
24OCT17	1 JAN18	151.1250	153.2500		1,062.50CR
24OCT17	1 JAN18	151.0750	154.2500		1,587.50CR
24OCT17	1 JAN18	154.0750	153.9250		75.00DR
24OCT17	1 JAN18	154.3500	153.9250		212.50DR
24OCT17	1 JAN18	154.4750	154.3500		62.50DR
24OCT17	1 JAN18	154.6750	154.9000		112.50CR
24OCT17	1 JAN18	155.1250	151.1500		1,987.50DR
24OCT17	1 MAR18	148.4750	148.4750		0.00
24OCT17	1 MAR18	152.0750	148.5250		1,775.00DR
24OCT17	1 MAR18	152.0250	151.4000		312.50DR
24OCT17	1 MAR18	147.5750	151.7750		2,100.00CR
24OCT17	1 APR18	148.3000	148.2250		37.50DR
24OCT17	1 MAY18	149.8500	150.7250		437.50CR
24OCT17	1 MAY18	150.2000	150.7250		262.50CR
	CME Lean Hog 400 CME				
24OCT17	1 JUN18	80.6750	80.7000		10.00CR
24OCT17	1 JUN18	80.6750	80.9000		90.00CR
24OCT17	1 JUN18	80.6750	80.4250		100.00DR
24OCT17	1 JUN18	80.6750	80.4250		100.00DR
24OCT17	1 JUN18	80.6750	80.5250		60.00DR
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.5000	80.6750		70.00CR
24OCT17	1 JUL18	80.5000	80.6750		70.00CR
24OCT17	1 JUL18	80.5750	80.6750		40.00CR
24OCT17	1 JUL18	80.6000	80.6750		30.00CR
	INTER ACCOUNT TRANSFER				
24OCT17	Sep 17 Rebate Entry				416.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				3,138.28DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				975.00CR
	CME Cattle Futures				210.00DR
	CME Lean Hog Futures				50.00CR
	CASH PAYMENTS/RECEIPTS				416.00DR
	COMMISSIONS				112.20DR
	CARRIED FORWARD BALANCE				2,851.48DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

24th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
24OCT17	S 1 DEC17 CQG II		117.6250	1.02DR	
24OCT17	B 1 FEB18 CQG II	122.2500		1.02DR	
24OCT17	S 1 FEB18 CQG II		123.4500	1.02DR	
24OCT17	B 1 FEB18 CQG II	123.5250		1.02DR	
24OCT17	S 1 FEB18 CQG II		123.6750	1.02DR	
24OCT17	B 1 FEB18 CQG II	123.6250		1.02DR	
24OCT17	S 1 FEB18 CQG II		124.1000	1.02DR	
24OCT17	B 1 FEB18 CQG II	124.2250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.2500	1.02DR	
24OCT17	B 1 APR18 CQG II	123.3500		1.02DR	
24OCT17	B 1 APR18 CQG II	123.5000		1.02DR	
24OCT17	B 1 APR18 CQG II	123.4250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.4500	1.02DR	
24OCT17	B 1 APR18 CQG II	123.5500		1.02DR	
24OCT17	S 1 APR18 CQG II		123.5500	1.02DR	
24OCT17	B 1 APR18 CQG II	123.9250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.9750	1.02DR	
24OCT17	B 1 JUN18 CQG II	115.7750		1.02DR	
24OCT17	S 1 JUN18 CQG II		116.0500	1.02DR	
24OCT17	S 1 JUN18 CQG II		116.1750	1.02DR	
	CME Feeder Cattle 500 CME				
24OCT17	S 1 NOV17 CQG II		153.1000	1.02DR	
24OCT17	B 1 NOV17 CQG II	153.5000		1.02DR	
24OCT17	B 1 NOV17 CQG II	154.8000		1.02DR	
24OCT17	B 1 NOV17 CQG II	156.3000		1.02DR	
24OCT17	S 1 NOV17 CQG II		156.3500	1.02DR	
24OCT17	B 1 JAN18 CQG II	151.1500		1.02DR	
24OCT17	S 1 JAN18 CQG II		151.7500	1.02DR	
24OCT17	S 1 JAN18 CQG II		153.2250	1.02DR	
24OCT17	S 1 JAN18 CQG II		154.5500	1.02DR	
24OCT17	S 1 JAN18 CQG II		155.0500	1.02DR	
24OCT17	B 1 JAN18 CQG II	155.1000		1.02DR	
24OCT17	S 1 MAR18 CQG II		152.1250	1.02DR	
24OCT17	B 1 MAR18 CQG II	151.9000		1.02DR	
24OCT17	B 1 MAR18 CQG II	151.8000		1.02DR	
24OCT17	B 1 APR18 CQG II	151.6750		1.02DR	
24OCT17	S 1 APR18 CQG II		151.5000	1.02DR	
	CME Lean Hog 400 CME				
24OCT17	S 1 DEC17 CQG II		63.1250	1.02DR	
24OCT17	S 1 DEC17 CQG II		63.4250	1.02DR	
24OCT17	S 1 DEC17 CQG II		63.7250	1.02DR	
24OCT17	S 1 DEC17 CQG II		64.0500	1.02DR	
24OCT17	B 1 DEC17 CQG II	63.9750		1.02DR	
24OCT17	B 1 DEC17 CQG II	64.0250		1.02DR	
24OCT17	B 1 FEB18 CQG II	67.8750		1.02DR	
24OCT17	B 2 FEB18 CQG II	68.0250		2.04DR	
24OCT17	B 1 FEB18 CQG II	68.2500		1.02DR	
24OCT17	B 1 FEB18 CQG II	68.5500		1.02DR	
24OCT17	S 1 FEB18 CQG II		68.4250	1.02DR	
24OCT17	S 1 FEB18 CQG II		68.6250	1.02DR	
24OCT17	S 1 APR18 CQG II		72.6250	1.02DR	
24OCT17	B 2 JUN18 CQG II	80.6750		2.04DR	
24OCT17	S 2 JUN18 CQG II		80.6500	2.04DR	
24OCT17	S 2 JUL18 CQG II		80.6750	2.04DR	
24OCT17	B 2 JUL18 CQG II	80.6500		2.04DR	
24OCT17	B 1 JUL18 CQG II	80.7000		1.02DR	
24OCT17	S 1 AUG18 CQG II		79.9000	1.02DR	
	Commission				61.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
24OCT17	1 DEC17	115.8250	117.6250		720.00CR
24OCT17	1 FEB18	122.2500	123.4500		480.00CR
24OCT17	1 FEB18	123.5250	123.6750		60.00CR
24OCT17	1 FEB18	123.6250	124.1000		190.00CR
24OCT17	1 FEB18	124.2250	120.5500		1,470.00DR
24OCT17	1 APR18	123.3500	123.2500		40.00DR
24OCT17	1 APR18	123.5000	123.4500		20.00DR
24OCT17	1 APR18	123.4250	123.5500		50.00CR
24OCT17	1 APR18	123.5500	123.9750		170.00CR
24OCT17	1 APR18	123.9250	121.6250		920.00DR
24OCT17	1 JUN18	115.7750	116.0500		110.00CR
	CME Feeder Cattle 500 CME				
24OCT17	1 NOV17	153.5000	153.1000		200.00DR
24OCT17	1 NOV17	154.8000	156.3500		775.00CR
24OCT17	1 JAN18	151.1500	151.7500		300.00CR
24OCT17	1 JAN18	155.1000	153.2250		937.50DR
24OCT17	1 MAR18	151.9000	152.1250		112.50CR
24OCT17	1 APR18	151.6750	151.5000		87.50DR
	CME Lean Hog 400 CME				
24OCT17	1 DEC17	63.9750	63.1250		340.00DR
24OCT17	1 DEC17	64.0250	63.4250		240.00DR
24OCT17	1 DEC17	63.5000	63.7250		90.00CR
24OCT17	1 FEB18	67.8750	68.4250		220.00CR
24OCT17	1 FEB18	68.0250	68.6250		240.00CR
24OCT17	1 FEB18	68.0250	68.1750		60.00CR
24OCT17	1 JUN18	80.6750	80.6500		10.00DR
24OCT17	1 JUN18	80.6750	80.6500		10.00DR
24OCT17	1 JUL18	80.6500	80.6750		10.00CR
24OCT17	1 JUL18	80.6500	80.6750		10.00CR
24OCT17	1 AUG18	79.4750	79.9000		170.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				3,903.38DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				37.50DR
	CME Cattle Futures				670.00DR
	CME Lean Hog Futures				200.00CR
	COMMISSIONS				61.20DR
	CARRIED FORWARD BALANCE				4,472.08DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		7096.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		7100.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7099.00		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7102.00		5.00DR	
	CMX Copper 25,000 CMX				
24OCT17	B 2 DEC17 DMA II	322.300		2.06DR	
24OCT17	B 2 DEC17 II	322.550		2.06DR	
24OCT17	S 4 DEC17 II		322.400	4.12DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	11910.00		5.00DR	
24OCT17	S 1 24JAN18 DMA EL		11935.00	5.00DR	
	Commission				38.24DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	2 DEC17	322.300	322.400		50.00CR
24OCT17	2 DEC17	322.550	322.400		75.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,981.24CR
	SETTLEMENTS				
	CMX Copper Futures				25.00DR
	COMMISSIONS				38.24DR
	CARRIED FORWARD BALANCE				15,918.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	S 2 24JAN18 DMA EL		7090.00	10.00DR	
24OCT17	S 1 24JAN18 DMA EL		7105.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7100.50		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7061.00		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7060.00		5.00DR	
	CMX Copper 25,000 CMX				
24OCT17	B 1 DEC17 DMA II	321.950		1.03DR	
24OCT17	B 1 DEC17 DMA II	322.050		1.03DR	
24OCT17	B 1 DEC17 DMA II	322.800		1.03DR	
24OCT17	B 1 DEC17 DMA II	322.500		1.03DR	
24OCT17	B 1 DEC17 DMA II	322.750		1.03DR	
24OCT17	B 1 DEC17 DMA II	322.750		1.03DR	
24OCT17	S 2 DEC17 DMA II		322.500	2.06DR	
24OCT17	S 2 DEC17 DMA II		320.700	2.06DR	
24OCT17	S 2 DEC17 DMA II		320.650	2.06DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	B 2 24JAN18 DMA EL	19700.00		10.00DR	
24OCT17	S 1 24JAN18 DMA EL		19745.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		19650.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		19815.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19715.00		5.00DR	
24OCT17	S 1 24JAN18 DMA EL		19810.00	5.00DR	
24OCT17	S 1 24JAN18 DMA EL		19725.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19675.00		5.00DR	
24OCT17	S 2 24JAN18 DMA EL		19700.00	10.00DR	
24OCT17	S 1 24JAN18 DMA EL		19665.00	5.00DR	
24OCT17	S 2 24JAN18 DMA EL		19670.00	10.00DR	
24OCT17	B 1 24JAN18 DMA EL	19710.00		5.00DR	
24OCT17	B 3 24JAN18 DMA EL	19770.00		15.00DR	
24OCT17	B 1 24JAN18 DMA EL	19745.00		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19720.00		5.00DR	
	Commission				142.36DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	321.950	322.500		137.50CR
24OCT17	1 DEC17	322.050	322.500		112.50CR
24OCT17	1 DEC17	322.800	320.700		525.00DR
24OCT17	1 DEC17	322.500	320.700		450.00DR
24OCT17	1 DEC17	322.750	320.650		525.00DR
24OCT17	1 DEC17	322.750	320.650		525.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,243.66CR
	SETTLEMENTS				
	CMX Copper Futures				1,775.00DR
	COMMISSIONS				142.36DR
	CARRIED FORWARD BALANCE				673.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119I
24th October 2017
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24OCT17	B 1 24JAN18 DMA EL	7029.00		5.00DR	
24OCT17	B 1 24JAN18 DMA EL	7030.00		5.00DR	
	CMX Copper 25,000 CMX				
24OCT17	S 2 DEC17 DMA II		319.200	2.06DR	
24OCT17	S 2 DEC17 DMA II		319.000	2.06DR	
24OCT17	B 1 DEC17 DMA II	318.600		1.03DR	
24OCT17	S 1 DEC17 II		319.400	1.03DR	
	Commission				16.18DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
24OCT17	1 DEC17	318.600	319.200		150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,516.98CR
	SETTLEMENTS				
	CMX Copper Futures				150.00CR
	COMMISSIONS				16.18DR
	CARRIED FORWARD BALANCE				19,650.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		11990.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	12035.00		5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
24OCT17	S 1 24JAN18 DMA EL		19685.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19810.00		5.00DR	
24OCT17	S 1 24JAN18 DMA EL		19740.00	5.00DR	
24OCT17	B 1 24JAN18 DMA EL	19760.00		5.00DR	
	Commission				30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,303.28CR
	COMMISSIONS				30.00DR
	CARRIED FORWARD BALANCE				18,273.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
24OCT17	S 1 FEB18 CQG II		123.4750	1.02DR	
24OCT17	S 1 FEB18 CQG II		123.4250	1.02DR	
24OCT17	B 1 FEB18 CQG II	123.4500		1.02DR	
24OCT17	B 1 FEB18 CQG II	123.5750		1.02DR	
24OCT17	S 1 FEB18 CQG II		123.5000	1.02DR	
24OCT17	B 1 FEB18 CQG II	123.8750		1.02DR	
24OCT17	B 1 FEB18 CQG II	124.2250		1.02DR	
24OCT17	S 1 FEB18 CQG II		124.2500	1.02DR	
24OCT17	B 1 APR18 CQG II	122.1750		1.02DR	
24OCT17	S 1 APR18 CQG II		122.0250	1.02DR	
24OCT17	B 1 APR18 CQG II	122.4000		1.02DR	
24OCT17	B 1 APR18 CQG II	122.6500		1.02DR	
24OCT17	S 1 APR18 CQG II		122.6250	1.02DR	
24OCT17	B 1 APR18 CQG II	123.6000		1.02DR	
24OCT17	B 1 APR18 CQG II	123.5250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.5250	1.02DR	
24OCT17	S 1 APR18 CQG II		123.4500	1.02DR	
24OCT17	B 1 APR18 CQG II	123.4000		1.02DR	
24OCT17	S 1 APR18 CQG II		123.7250	1.02DR	
24OCT17	S 1 APR18 CQG II		123.9750	1.02DR	
24OCT17	B 1 APR18 CQG II	123.9000		1.02DR	
24OCT17	B 1 APR18 CQG II	123.8500		1.02DR	
24OCT17	S 1 JUN18 CQG II		114.8500	1.02DR	
24OCT17	B 1 JUN18 CQG II	114.7000		1.02DR	
24OCT17	S 1 JUN18 CQG II		115.0500	1.02DR	
24OCT17	S 1 JUN18 CQG II		115.2500	1.02DR	
24OCT17	B 1 JUN18 CQG II	115.2500		1.02DR	
24OCT17	S 2 JUN18 CQG II		116.7000	2.04DR	
24OCT17	S 1 AUG18 CQG II		112.7250	1.02DR	
24OCT17	B 1 AUG18 CQG II	112.9750		1.02DR	
24OCT17	S 1 AUG18 CQG II		113.0250	1.02DR	
24OCT17	B 1 AUG18 CQG II	113.3500		1.02DR	
24OCT17	B 1 AUG18 CQG II	113.4750		1.02DR	
24OCT17	B 1 AUG18 CQG II	113.9000		1.02DR	
24OCT17	B 1 OCT18 CQG II	113.0000		1.02DR	
24OCT17	S 1 OCT18 CQG II		113.2750	1.02DR	
24OCT17	B 1 OCT18 CQG II	113.3000		1.02DR	
24OCT17	S 1 OCT18 CQG II		113.6000	1.02DR	
24OCT17	S 1 OCT18 CQG II		113.7500	1.02DR	
	CME Feeder Cattle 500 CME				
24OCT17	B 1 NOV17 CQG II	153.5000		1.02DR	
24OCT17	S 1 NOV17 CQG II		153.5750	1.02DR	
24OCT17	S 1 NOV17 CQG II		155.8000	1.02DR	
24OCT17	B 2 NOV17 CQG II	155.8250		2.04DR	
24OCT17	S 1 NOV17 CQG II		155.6500	1.02DR	
24OCT17	B 1 NOV17 CQG II	155.0750		1.02DR	
24OCT17	S 1 NOV17 CQG II		155.6250	1.02DR	
24OCT17	S 1 JAN18 CQG II		151.7250	1.02DR	
24OCT17	B 1 JAN18 CQG II	151.8250		1.02DR	
24OCT17	S 2 JAN18 CQG II		154.3000	2.04DR	
24OCT17	B 1 JAN18 CQG II	154.2000		1.02DR	
24OCT17	S 1 JAN18 CQG II		153.7000	1.02DR	
24OCT17	B 2 JAN18 CQG II	154.2750		2.04DR	
	CME Lean Hog 400 CME				
24OCT17	S 1 APR18 CQG II		73.2750	1.02DR	
24OCT17	S 1 APR18 CQG II		73.3750	1.02DR	
24OCT17	B 4 JUN18 CQG II	80.6750		4.08DR	
24OCT17	S 1 JUN18 CQG II		80.7250	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.6250	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.6750	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.9000	1.02DR	
24OCT17	S 1 JUN18 CQG II		80.8500	1.02DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

24th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	B	1	JUN18	CQG	II	80.9500		1.02DR	
24OCT17	B	1	JUN18	CQG	II	80.9750		1.02DR	
24OCT17	B	1	JUN18	CQG	II	81.0500		1.02DR	
24OCT17	S	1	JUN18	CQG	II		81.0250	1.02DR	
24OCT17	S	4	JUL18	CQG	II		80.6750	4.08DR	
24OCT17	B	1	JUL18	CQG	II	80.6250		1.02DR	
24OCT17	B	3	JUL18	CQG	II	80.6750		3.06DR	
24OCT17	B	1	JUL18	CQG	II	80.7000		1.02DR	
24OCT17	B	1	JUL18	CQG	II	80.7750		1.02DR	
24OCT17	B	1	JUL18	CQG	II	80.9000		1.02DR	
24OCT17	S	1	AUG18	CQG	II		79.8000	1.02DR	
24OCT17	S	1	AUG18	CQG	II		79.8750	1.02DR	
24OCT17	B	1	AUG18	CQG	II	79.9500		1.02DR	
24OCT17	S	1	AUG18	CQG	II		79.9750	1.02DR	
	IEU Brent Crude 1,000 IPE								
24OCT17	S	2	APR18	CQG	EL		57.47	2.14DR	
24OCT17	B	2	APR18	CQG	EL	57.51		2.14DR	
24OCT17	B	2	MAY18	CQG	EL	57.34		2.14DR	
24OCT17	S	2	MAY18	CQG	EL		57.38	2.14DR	
	Commission								96.28DR
	SETTLEMENTS								
	CME Cattle 400 CME								
24OCT17		1	FEB18			123.4500	123.4750		10.00CR
24OCT17		1	FEB18			123.5750	123.4250		60.00DR
24OCT17		1	FEB18			123.8750	123.5000		150.00DR
24OCT17		1	FEB18			124.2250	124.2500		10.00CR
24OCT17		1	APR18			122.1750	122.0250		60.00DR
24OCT17		1	APR18			122.4000	122.6250		90.00CR
24OCT17		1	APR18			122.6500	123.5250		350.00CR
24OCT17		1	APR18			123.6000	123.4500		60.00DR
24OCT17		1	APR18			123.5250	123.7250		80.00CR
24OCT17		1	APR18			123.4000	123.9750		230.00CR
24OCT17		1	APR18			123.9000	121.0500		1,140.00DR
24OCT17		1	APR18			123.8500	121.4000		980.00DR
24OCT17		1	JUN18			114.7000	114.8500		60.00CR
24OCT17		1	JUN18			115.2500	115.0500		80.00DR
24OCT17		1	JUN18			113.8250	115.2500		570.00CR
24OCT17		1	JUN18			113.8250	116.7000		1,150.00CR
24OCT17		1	JUN18			114.3000	116.7000		960.00CR
24OCT17		1	AUG18			112.9750	112.7250		100.00DR
24OCT17		1	AUG18			113.3500	113.0250		130.00DR
24OCT17		1	AUG18			113.4750	111.1000		950.00DR
24OCT17		1	AUG18			113.9000	111.7000		880.00DR
24OCT17		1	OCT18			113.0000	113.2750		110.00CR
24OCT17		1	OCT18			113.3000	113.6000		120.00CR
24OCT17		1	OCT18			112.2250	113.7500		610.00CR
	CME Feeder Cattle 500 CME								
24OCT17		1	NOV17			153.5000	153.5750		37.50CR
24OCT17		1	NOV17			155.8250	155.8000		12.50DR
24OCT17		1	NOV17			155.8250	155.6500		87.50DR
24OCT17		1	NOV17			155.0750	155.6250		275.00CR
24OCT17		1	JAN18			151.8250	151.7250		50.00DR
24OCT17		1	JAN18			154.2750	154.3000		12.50CR
24OCT17		1	JAN18			154.2000	154.3000		50.00CR
24OCT17		1	JAN18			154.2750	153.7000		287.50DR
	CME Lean Hog 400 CME								
24OCT17		1	JUN18			80.6750	80.7250		20.00CR
24OCT17		1	JUN18			80.6750	80.6250		20.00DR
24OCT17		1	JUN18			80.6750	80.6750		0.00
24OCT17		1	JUN18			80.6750	80.9000		90.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119K

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 JUN18	80.9500	80.8500		40.00DR
24OCT17	1 JUN18	80.9750	81.0250		20.00CR
24OCT17	1 JUN18	81.0500	80.1250		370.00DR
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.6250	80.6750		20.00CR
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.7000	80.4500		100.00DR
24OCT17	1 JUL18	80.7750	80.5500		90.00DR
24OCT17	1 AUG18	79.9500	79.8000		60.00DR
24OCT17	1 AUG18	79.3000	79.8750		230.00CR
24OCT17	1 AUG18	79.4750	79.9750		200.00CR
	IEU Brent Crude 1,000 IPE				
24OCT17	1 APR18	57.51	57.47		40.00DR
24OCT17	1 APR18	57.51	57.47		40.00DR
24OCT17	1 MAY18	57.34	57.38		40.00CR
24OCT17	1 MAY18	57.34	57.38		40.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,272.96DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				62.50DR
	CME Cattle Futures				240.00DR
	IEU Brent Crude Futures				
	CME Lean Hog Futures				100.00DR
	COMMISSIONS				96.28DR
	CARRIED FORWARD BALANCE				1,771.74DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119L

24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 1 JUN18 CQG II 52.44			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.24			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.33			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.30			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.26			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.41			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.63			1.03DR	
24OCT17	S 2 DEC18 CQG II		51.63	2.06DR	
24OCT17	S 1 DEC18 CQG II		51.48	1.03DR	
24OCT17	S 1 DEC18 CQG II		51.50	1.03DR	
24OCT17	S 3 DEC18 CQG II		51.57	3.09DR	
24OCT17	S 1 DEC18 CQG II		51.54	1.03DR	
24OCT17	S 2 DEC18 CQG II		51.56	2.06DR	
24OCT17	S 2 DEC18 CQG II		51.53	2.06DR	
24OCT17	S 3 DEC18 CQG II		51.69	3.09DR	
24OCT17	S 2 DEC18 CQG II		51.86	2.06DR	
24OCT17	B 2 JUN19 CQG II	50.83		2.06DR	
24OCT17	B 1 JUN19 CQG II	50.78		1.03DR	
24OCT17	B 2 JUN19 CQG II	50.82		2.06DR	
24OCT17	B 1 JUN19 CQG II	50.81		1.03DR	
24OCT17	B 3 JUN19 CQG II	50.95		3.09DR	
24OCT17	B 1 DEC19 CQG II	50.46		1.03DR	
24OCT17	B 1 DEC19 CQG II	50.38		1.03DR	
24OCT17	S 1 DEC19 CQG II		50.39	1.03DR	
24OCT17	S 1 DEC19 CQG II		50.42	1.03DR	
24OCT17	B 1 DEC19 CQG II	50.42		1.03DR	
24OCT17	S 1 DEC19 CQG II		50.54	1.03DR	
24OCT17	B 1 DEC19 CQG II	50.65		1.03DR	
	IEU Brent Crude 1,000 IPE				
24OCT17	S 2 FEB18 CQG EL		57.56	2.14DR	
24OCT17	S 1 FEB18 CQG EL		57.58	1.07DR	
24OCT17	S 2 FEB18 CQG EL		57.59	2.14DR	
24OCT17	S 1 FEB18 CQG EL		57.83	1.07DR	
24OCT17	S 4 FEB18 CQG EL		58.01	4.28DR	
24OCT17	S 2 MAR18 CQG EL		56.73	2.14DR	
24OCT17	S 1 MAR18 CQG EL		56.93	1.07DR	
24OCT17	S 2 MAR18 CQG EL		56.92	2.14DR	
24OCT17	S 1 MAR18 CQG EL		57.88	1.07DR	
24OCT17	B 4 APR18 CQG EL	57.25		4.28DR	
24OCT17	B 1 APR18 CQG EL	57.30		1.07DR	
24OCT17	B 1 APR18 CQG EL	57.27		1.07DR	
24OCT17	B 1 APR18 CQG EL	57.29		1.07DR	
24OCT17	B 3 APR18 CQG EL	57.28		3.21DR	
24OCT17	B 2 APR18 CQG EL	57.49		2.14DR	
24OCT17	B 8 APR18 CQG EL	57.68		8.56DR	
24OCT17	B 2 MAY18 CQG EL	57.60		2.14DR	
24OCT17	S 3 JUN18 CQG EL		56.41	3.21DR	
24OCT17	B 3 JUN18 CQG EL	56.90		3.21DR	
24OCT17	S 1 JUN18 CQG EL		56.86	1.07DR	
24OCT17	S 3 JUN18 CQG EL		56.78	3.21DR	
24OCT17	S 1 JUN18 CQG EL		56.54	1.07DR	
24OCT17	S 1 JUN18 CQG EL		56.51	1.07DR	
24OCT17	B 4 JUN18 CQG EL	56.38		4.28DR	
24OCT17	B 1 JUN18 CQG EL	56.46		1.07DR	
24OCT17	B 2 JUN18 CQG EL	56.56		2.14DR	
24OCT17	B 4 JUN18 CQG EL	56.55		4.28DR	
24OCT17	B 2 JUN18 CQG EL	56.77		2.14DR	
24OCT17	S 2 JUN18 CQG EL		56.98	2.14DR	
24OCT17	S 1 JUN18 CQG EL		57.03	1.07DR	
24OCT17	S 1 JUN18 CQG EL		57.02	1.07DR	
24OCT17	S 1 JUN18 CQG EL		57.01	1.07DR	
24OCT17	S 1 JUN18 CQG EL		57.04	1.07DR	
24OCT17	S 3 JUN18 CQG EL		57.18	3.21DR	

Group No: SAPG0119

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

24th October 2017

COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S 1 JUN18 CQG EL		57.20	1.07DR	
24OCT17	S 2 JUN18 CQG EL		57.21	2.14DR	
24OCT17	B 1 JUN18 CQG EL	57.23		1.07DR	
24OCT17	B 1 JUN18 CQG EL	57.22		1.07DR	
24OCT17	B 3 JUN18 CQG EL	57.26		3.21DR	
24OCT17	S 4 JUN18 CQG EL		57.41	4.28DR	
24OCT17	S 1 JUL18 CQG EL		57.30	1.07DR	
24OCT17	S 2 SEP18 CQG EL		55.97	2.14DR	
24OCT17	S 1 SEP18 CQG EL		56.13	1.07DR	
24OCT17	S 2 SEP18 CQG EL		56.12	2.14DR	
24OCT17	B 5 DEC18 CQG EL	55.55		5.35DR	
24OCT17	S 3 DEC18 CQG EL		55.99	3.21DR	
24OCT17	B 2 DEC18 CQG EL	55.95		2.14DR	
24OCT17	B 5 DEC18 CQG EL	55.88		5.35DR	
24OCT17	B 1 DEC18 CQG EL	55.69		1.07DR	
24OCT17	B 1 DEC18 CQG EL	55.68		1.07DR	
24OCT17	B 1 DEC18 CQG EL	55.67		1.07DR	
24OCT17	B 1 DEC18 CQG EL	55.66		1.07DR	
24OCT17	S 1 DEC18 CQG EL		55.61	1.07DR	
24OCT17	S 1 DEC18 CQG EL		55.64	1.07DR	
24OCT17	S 2 DEC18 CQG EL		55.90	2.14DR	
24OCT17	S 3 DEC18 CQG EL		56.00	3.21DR	
24OCT17	B 2 DEC18 CQG EL	56.13		2.14DR	
24OCT17	B 8 DEC18 CQG EL	56.23		8.56DR	
24OCT17	B 2 DEC18 CQG EL	56.24		2.14DR	
24OCT17	S 3 DEC18 CQG EL		56.27	3.21DR	
24OCT17	S 2 DEC18 CQG EL		56.26	2.14DR	
24OCT17	S 2 DEC18 CQG EL		56.32	2.14DR	
24OCT17	S 3 DEC18 CQG EL		56.31	3.21DR	
24OCT17	S 2 JUN19 CQG EL		55.60	2.14DR	
24OCT17	S 1 JUN19 CQG EL		55.61	1.07DR	
24OCT17	B 2 JUN19 CQG EL	55.63		2.14DR	
24OCT17	B 1 JUN19 CQG EL	55.62		1.07DR	
24OCT17	S 2 DEC19 CQG EL		54.64	2.14DR	
24OCT17	B 2 DEC19 CQG EL	54.97		2.14DR	
24OCT17	S 1 DEC19 CQG EL		54.95	1.07DR	
24OCT17	S 2 DEC19 CQG EL		54.91	2.14DR	
24OCT17	S 1 DEC19 CQG EL		54.76	1.07DR	
24OCT17	S 1 DEC19 CQG EL		54.75	1.07DR	
24OCT17	B 1 DEC19 CQG EL	54.71		1.07DR	
24OCT17	B 1 DEC19 CQG EL	55.01		1.07DR	
24OCT17	S 1 DEC19 CQG EL		55.11	1.07DR	
24OCT17	S 2 DEC19 CQG EL		55.18	2.14DR	
24OCT17	B 2 DEC19 CQG EL	55.24		2.14DR	
	Commission				212.40DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	1 DEC19	50.46	50.39		70.00DR
24OCT17	1 DEC19	50.38	50.42		40.00CR
24OCT17	1 DEC19	50.42	50.54		120.00CR
24OCT17	1 DEC19	50.65	50.61		40.00DR
	IEU Brent Crude 1,000 IPE				
24OCT17	1 FEB18	56.66	57.56		900.00CR
24OCT17	1 FEB18	56.67	57.56		890.00CR
24OCT17	1 FEB18	56.67	57.59		920.00CR
24OCT17	1 FEB18	56.69	57.58		890.00CR
24OCT17	1 FEB18	56.69	57.59		900.00CR
24OCT17	1 FEB18	57.14	57.83		690.00CR
24OCT17	2 FEB18	57.14	58.01		1,740.00CR
24OCT17	1 FEB18	57.14	58.01		870.00CR
24OCT17	1 FEB18	57.14	58.01		870.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 MAR18	56.66	56.73		140.00CR
24OCT17	1 MAR18	56.48	56.92		440.00CR
24OCT17	1 MAR18	56.49	56.93		440.00CR
24OCT17	1 MAR18	56.49	56.92		430.00CR
24OCT17	1 MAR18	56.70	57.88		1,180.00CR
24OCT17	1 APR18	57.25	56.43		820.00DR
24OCT17	1 APR18	57.25	56.43		820.00DR
24OCT17	1 APR18	57.25	56.45		800.00DR
24OCT17	1 APR18	57.25	56.45		800.00DR
24OCT17	1 APR18	57.28	56.44		840.00DR
24OCT17	1 APR18	57.30	56.44		860.00DR
24OCT17	1 APR18	57.27	56.46		810.00DR
24OCT17	1 APR18	57.29	56.46		830.00DR
24OCT17	1 APR18	57.28	56.46		820.00DR
24OCT17	1 APR18	57.28	56.46		820.00DR
24OCT17	1 APR18	57.49	56.89		600.00DR
24OCT17	1 APR18	57.49	56.89		600.00DR
24OCT17	2 APR18	57.68	56.89		1,580.00DR
24OCT17	1 APR18	57.68	56.89		790.00DR
24OCT17	1 APR18	57.68	56.89		790.00DR
24OCT17	2 APR18	57.68	56.89		1,580.00DR
24OCT17	2 APR18	57.68	56.89		1,580.00DR
24OCT17	1 MAY18	57.60	56.50		1,100.00DR
24OCT17	1 MAY18	57.60	56.52		1,080.00DR
24OCT17	3 JUN18	56.90	56.41		1,470.00DR
24OCT17	1 JUN18	56.38	56.86		480.00CR
24OCT17	1 JUN18	56.38	56.78		400.00CR
24OCT17	2 JUN18	56.38	56.78		800.00CR
24OCT17	1 JUN18	56.46	56.54		80.00CR
24OCT17	1 JUN18	56.55	56.51		40.00DR
24OCT17	1 JUN18	56.56	56.98		420.00CR
24OCT17	1 JUN18	56.55	56.98		430.00CR
24OCT17	1 JUN18	56.55	57.03		480.00CR
24OCT17	1 JUN18	56.56	57.02		460.00CR
24OCT17	1 JUN18	56.55	57.01		460.00CR
24OCT17	1 JUN18	56.77	57.04		270.00CR
24OCT17	1 JUN18	56.77	57.18		410.00CR
24OCT17	1 JUN18	57.23	57.18		50.00DR
24OCT17	1 JUN18	57.22	57.18		40.00DR
24OCT17	1 JUN18	57.26	57.21		50.00DR
24OCT17	1 JUN18	57.26	57.20		60.00DR
24OCT17	1 JUN18	57.26	57.21		50.00DR
24OCT17	1 JUL18	56.26	57.30		1,040.00CR
24OCT17	1 SEP18	55.97	55.97		0.00
24OCT17	1 SEP18	55.97	55.97		0.00
24OCT17	1 SEP18	55.78	56.13		350.00CR
24OCT17	1 SEP18	55.78	56.12		340.00CR
24OCT17	1 SEP18	55.79	56.12		330.00CR
24OCT17	2 DEC18	55.55	56.00		900.00CR
24OCT17	3 DEC18	55.55	55.99		1,320.00CR
24OCT17	1 DEC18	55.95	55.61		340.00DR
24OCT17	1 DEC18	55.95	55.64		310.00DR
24OCT17	2 DEC18	55.88	55.90		40.00CR
24OCT17	1 DEC18	55.88	56.00		120.00CR
24OCT17	2 DEC18	55.88	56.27		780.00CR
24OCT17	1 DEC18	55.69	56.27		580.00CR
24OCT17	1 DEC18	55.68	56.26		580.00CR
24OCT17	1 DEC18	55.67	56.26		590.00CR
24OCT17	1 DEC18	55.66	56.31		650.00CR
24OCT17	1 DEC18	56.13	56.32		190.00CR
24OCT17	1 DEC18	56.13	56.32		190.00CR
24OCT17	1 DEC18	56.23	56.31		80.00CR
24OCT17	1 DEC18	56.23	56.31		80.00CR
24OCT17	1 JUN19	55.63	55.60		30.00DR
24OCT17	1 JUN19	55.63	55.60		30.00DR
24OCT17	1 JUN19	55.62	55.61		10.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119L

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 DEC19	54.97	54.64		660.00DR
24OCT17	1 DEC19	54.71	54.95		240.00CR
24OCT17	1 DEC19	55.01	54.91		100.00DR
24OCT17	1 DEC19	55.24	54.91		330.00DR
24OCT17	1 DEC19	55.24	54.76		480.00DR
24OCT17	1 DEC19	54.70	54.75		50.00CR
24OCT17	1 DEC19	54.48	55.11		630.00CR
24OCT17	1 DEC19	54.59	55.18		590.00CR
24OCT17	1 DEC19	54.57	55.18		610.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				196,694.76DR
	SETTLEMENTS				
	IEU Brent Crude Futures				4,300.00CR
	NYM Light Sweet Crude Oil Futures				50.00CR
	COMMISSIONS				212.40DR
	CARRIED FORWARD BALANCE				192,557.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	CME Cattle 400 CME								
24OCT17	S	1	DEC17	CQG	II		117.1000	1.02DR	
24OCT17	B	1	DEC17	CQG	II	116.8750		1.02DR	
24OCT17	B	1	DEC17	CQG	II	116.7000		1.02DR	
24OCT17	S	1	DEC17	CQG	II		116.6250	1.02DR	
24OCT17	B	1	DEC17	CQG	II	116.8500		1.02DR	
24OCT17	S	1	DEC17	CQG	II		117.0500	1.02DR	
24OCT17	S	1	DEC17	CQG	II		117.8000	1.02DR	
24OCT17	B	1	DEC17	CQG	II	117.6750		1.02DR	
24OCT17	S	1	DEC17	CQG	II		118.0250	1.02DR	
24OCT17	S	1	DEC17	CQG	II		119.6500	1.02DR	
24OCT17	B	1	FEB18	CQG	II	121.6750		1.02DR	
24OCT17	S	1	FEB18	CQG	II		121.7000	1.02DR	
24OCT17	B	1	FEB18	CQG	II	121.8750		1.02DR	
24OCT17	S	2	FEB18	CQG	II		121.7250	2.04DR	
24OCT17	S	1	FEB18	CQG	II		121.6250	1.02DR	
24OCT17	B	1	FEB18	CQG	II	121.6000		1.02DR	
24OCT17	S	1	FEB18	CQG	II		121.7750	1.02DR	
24OCT17	B	1	FEB18	CQG	II	121.9000		1.02DR	
24OCT17	S	1	FEB18	CQG	II		121.9250	1.02DR	
24OCT17	B	1	FEB18	CQG	II	122.3500		1.02DR	
24OCT17	B	1	FEB18	CQG	II	122.1500		1.02DR	
24OCT17	S	1	FEB18	CQG	II		122.5000	1.02DR	
24OCT17	B	1	FEB18	CQG	II	122.8250		1.02DR	
24OCT17	S	1	FEB18	CQG	II		122.9250	1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.4500	1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.6250	1.02DR	
24OCT17	S	1	FEB18	CQG	II		123.3750	1.02DR	
24OCT17	B	1	FEB18	CQG	II	123.4750		1.02DR	
24OCT17	B	2	FEB18	CQG	II	123.5000		2.04DR	
24OCT17	B	2	FEB18	CQG	II	124.4750		2.04DR	
24OCT17	B	1	APR18	CQG	II	121.9750		1.02DR	
24OCT17	S	1	APR18	CQG	II		122.0500	1.02DR	
24OCT17	B	1	APR18	CQG	II	122.0000		1.02DR	
24OCT17	B	1	APR18	CQG	II	122.0250		1.02DR	
24OCT17	S	1	APR18	CQG	II		122.6500	1.02DR	
24OCT17	B	1	APR18	CQG	II	123.1250		1.02DR	
24OCT17	B	1	APR18	CQG	II	123.5750		1.02DR	
24OCT17	B	1	APR18	CQG	II	123.7000		1.02DR	
24OCT17	B	1	APR18	CQG	II	123.4250		1.02DR	
24OCT17	S	1	APR18	CQG	II		123.3750	1.02DR	
24OCT17	S	1	APR18	CQG	II		123.4250	1.02DR	
24OCT17	S	1	APR18	CQG	II		123.4500	1.02DR	
24OCT17	B	1	APR18	CQG	II	124.1750		1.02DR	
24OCT17	S	1	APR18	CQG	II		124.2000	1.02DR	
24OCT17	B	1	APR18	CQG	II	124.3000		1.02DR	
24OCT17	S	1	JUN18	CQG	II		114.6750	1.02DR	
24OCT17	B	1	JUN18	CQG	II	114.9000		1.02DR	
24OCT17	B	1	JUN18	CQG	II	115.2500		1.02DR	
24OCT17	S	2	JUN18	CQG	II		116.9000	2.04DR	
24OCT17	S	1	JUN18	CQG	II		116.9500	1.02DR	
24OCT17	S	1	JUN18	CQG	II		117.0000	1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.0500	1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.1250	1.02DR	
24OCT17	B	1	AUG18	CQG	II	112.3500		1.02DR	
24OCT17	S	1	AUG18	CQG	II		112.4750	1.02DR	
24OCT17	B	1	AUG18	CQG	II	113.5250		1.02DR	
24OCT17	S	1	AUG18	CQG	II		113.9250	1.02DR	
24OCT17	B	1	AUG18	CQG	II	113.8500		1.02DR	
24OCT17	B	1	AUG18	CQG	II	114.0250		1.02DR	
24OCT17	B	1	AUG18	CQG	II	114.0000		1.02DR	
24OCT17	B	1	OCT18	CQG	II	112.4250		1.02DR	
24OCT17	S	1	OCT18	CQG	II		112.7500	1.02DR	
24OCT17	B	1	OCT18	CQG	II	112.8500		1.02DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	1	OCT18	CQG	II		113.9750	1.02DR	
24OCT17	B	1	OCT18	CQG	II	114.1500		1.02DR	
24OCT17	S	1	OCT18	CQG	II		114.1250	1.02DR	
24OCT17	S	1	DEC18	CQG	II		114.8500	1.02DR	
24OCT17	B	2	DEC18	CQG	II	115.0250		2.04DR	
24OCT17	B	1	FEB19	CQG	II	115.0000		1.02DR	
24OCT17	S	2	FEB19	CQG	II		115.2000	2.04DR	
	CME Feeder Cattle 500 CME								
24OCT17	B	1	NOV17	CQG	II	153.3250		1.02DR	
24OCT17	S	1	NOV17	CQG	II		153.4250	1.02DR	
24OCT17	S	1	NOV17	CQG	II		153.2500	1.02DR	
24OCT17	S	2	NOV17	CQG	II		153.1000	2.04DR	
24OCT17	S	2	NOV17	CQG	II		153.1500	2.04DR	
24OCT17	B	1	NOV17	CQG	II	152.8250		1.02DR	
24OCT17	B	1	NOV17	CQG	II	152.9000		1.02DR	
24OCT17	B	1	NOV17	CQG	II	152.7250		1.02DR	
24OCT17	B	2	NOV17	CQG	II	153.0000		2.04DR	
24OCT17	B	1	NOV17	CQG	II	153.1750		1.02DR	
24OCT17	B	1	NOV17	CQG	II	153.9000		1.02DR	
24OCT17	B	1	NOV17	CQG	II	154.2500		1.02DR	
24OCT17	B	1	NOV17	CQG	II	155.7000		1.02DR	
24OCT17	B	1	NOV17	CQG	II	156.0500		1.02DR	
24OCT17	S	1	NOV17	CQG	II		155.8000	1.02DR	
24OCT17	S	1	NOV17	CQG	II		156.3750	1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.2000		1.02DR	
24OCT17	S	1	JAN18	CQG	II		151.4250	1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.4750		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.3000		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.1500		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.1750		1.02DR	
24OCT17	B	1	JAN18	CQG	II	151.2500		1.02DR	
24OCT17	S	1	JAN18	CQG	II		150.9750	1.02DR	
24OCT17	S	2	JAN18	CQG	II		151.1000	2.04DR	
24OCT17	S	1	JAN18	CQG	II		150.9500	1.02DR	
24OCT17	S	1	JAN18	CQG	II		151.2500	1.02DR	
24OCT17	S	1	JAN18	CQG	II		151.3750	1.02DR	
24OCT17	S	1	JAN18	CQG	II		152.1500	1.02DR	
24OCT17	S	1	JAN18	CQG	II		152.6000	1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.1750	1.02DR	
24OCT17	B	1	JAN18	CQG	II	154.4000		1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.7250	1.02DR	
24OCT17	B	1	JAN18	CQG	II	154.4250		1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.5500	1.02DR	
24OCT17	S	1	JAN18	CQG	II		154.5750	1.02DR	
24OCT17	B	1	JAN18	CQG	II	155.1000		1.02DR	
24OCT17	S	1	MAR18	CQG	II		151.7000	1.02DR	
24OCT17	B	2	MAR18	CQG	II	151.8000		2.04DR	
	CME Lean Hog 400 CME								
24OCT17	S	1	DEC17	CQG	II		63.9750	1.02DR	
24OCT17	B	1	DEC17	CQG	II	64.2500		1.02DR	
24OCT17	B	1	FEB18	CQG	II	68.5000		1.02DR	
24OCT17	S	1	FEB18	CQG	II		68.7250	1.02DR	
24OCT17	S	1	APR18	CQG	II		72.5250	1.02DR	
24OCT17	B	3	JUN18	CQG	II	80.6750		3.06DR	
24OCT17	S	1	JUN18	CQG	II		80.6250	1.02DR	
24OCT17	B	1	JUN18	CQG	II	80.5750		1.02DR	
24OCT17	B	1	JUN18	CQG	II	80.8250		1.02DR	
24OCT17	S	4	JUL18	CQG	II		80.6750	4.08DR	
24OCT17	B	1	JUL18	CQG	II	80.6750		1.02DR	
24OCT17	S	1	JUL18	CQG	II		80.7500	1.02DR	
24OCT17	B	1	AUG18	CQG	II	79.8000		1.02DR	
24OCT17	B	1	AUG18	CQG	II	80.0000		1.02DR	
24OCT17	S	1	DEC18	CQG	II		62.4750	1.02DR	
	NYM Heating Oil 42,000 NYM								
24OCT17	B	1	JAN18	CQG	II	178.91		1.03DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S 1 JAN18 CQG II		179.35	1.03DR	
24OCT17	S 1 FEB18 CQG II		178.71	1.03DR	
24OCT17	B 1 FEB18 CQG II	179.13		1.03DR	
24OCT17	S 1 MAY18 CQG II		175.85	1.03DR	
24OCT17	B 1 MAY18 CQG II	175.88		1.03DR	
24OCT17	B 1 JUN18 CQG II	175.19		1.03DR	
24OCT17	S 1 JUN18 CQG II		175.28	1.03DR	
	CME Random Length Lumber 110 CME				
24OCT17	B 1 NOV17 CQG II	440.00		1.02DR	
24OCT17	S 1 NOV17 CQG II		438.90	1.02DR	
24OCT17	B 1 NOV17 CQG II	440.50		1.02DR	
24OCT17	S 1 NOV17 CQG II		440.50	1.02DR	
24OCT17	S 1 JAN18 CQG II		435.90	1.02DR	
24OCT17	B 1 JAN18 CQG II	432.40		1.02DR	
24OCT17	S 1 JAN18 CQG II		432.90	1.02DR	
24OCT17	S 1 JAN18 CQG II		434.70	1.02DR	
24OCT17	B 1 JAN18 CQG II	433.50		1.02DR	
24OCT17	B 1 JAN18 CQG II	431.60		1.02DR	
24OCT17	S 1 JAN18 CQG II		433.70	1.02DR	
24OCT17	B 1 JAN18 CQG II	433.60		1.02DR	
24OCT17	B 1 MAR18 CQG II	421.40		1.02DR	
24OCT17	S 1 MAR18 CQG II		419.00	1.02DR	
24OCT17	B 1 MAR18 CQG II	420.40		1.02DR	
24OCT17	S 1 MAR18 CQG II		419.40	1.02DR	
	CBT Oats 5,000 CBT				
24OCT17	B 1 MAR18 CQG II	2.75750		1.27DR	
24OCT17	S 1 MAY18 CQG II		2.78000	1.27DR	
	NYM RBOB Reformulated Gasoline Futures				
24OCT17	S 1 DEC17 CQG II		164.5200	1.03DR	
24OCT17	B 1 JAN18 CQG II	162.5600		1.03DR	
24OCT17	B 1 JAN18 CQG II	163.2400		1.03DR	
24OCT17	S 1 JAN18 CQG II		161.6100	1.03DR	
24OCT17	S 1 JAN18 CQG II		161.6300	1.03DR	
24OCT17	B 1 JAN18 CQG II	164.2100		1.03DR	
24OCT17	S 1 FEB18 CQG II		163.5300	1.03DR	
24OCT17	B 1 FEB18 CQG II	161.8700		1.03DR	
24OCT17	B 1 FEB18 CQG II	161.9100		1.03DR	
24OCT17	S 1 FEB18 CQG II		164.4500	1.03DR	
24OCT17	S 1 MAR18 CQG II		163.9700	1.03DR	
24OCT17	B 1 MAR18 CQG II	166.8500		1.03DR	
24OCT17	B 1 APR18 CQG II	180.6100		1.03DR	
24OCT17	S 1 APR18 CQG II		183.5400	1.03DR	
	White Sugar 50 LDN				
24OCT17	S 1 MAR18 CQG EL		373.30	0.92DR	
24OCT17	B 1 MAR18 CQG EL	375.90		0.92DR	
24OCT17	B 1 MAY18 CQG EL	378.60		0.92DR	
24OCT17	S 1 MAY18 CQG EL		381.80	0.92DR	
	Commission				188.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
24OCT17	1 DEC17	116.8750	117.1000		90.00CR
24OCT17	1 DEC17	116.7000	116.6250		30.00DR
24OCT17	1 DEC17	116.8500	117.0500		80.00CR
24OCT17	1 DEC17	117.6750	117.8000		50.00CR
24OCT17	1 DEC17	116.7500	118.0250		510.00CR
24OCT17	1 DEC17	116.7750	119.6500		1,150.00CR
24OCT17	1 FEB18	121.6750	121.7000		10.00CR
24OCT17	1 FEB18	121.8750	121.7250		60.00DR
24OCT17	1 FEB18	121.6000	121.7250		50.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 FEB18	121.9000	121.6250		110.00DR
24OCT17	1 FEB18	122.3500	121.7750		230.00DR
24OCT17	1 FEB18	122.1500	121.9250		90.00DR
24OCT17	1 FEB18	122.8250	122.5000		130.00DR
24OCT17	1 FEB18	123.4750	122.9250		220.00DR
24OCT17	1 FEB18	123.5000	123.4500		20.00DR
24OCT17	1 FEB18	123.5000	123.6250		50.00CR
24OCT17	1 FEB18	124.4750	123.3750		440.00DR
24OCT17	1 FEB18	124.4750	121.4500		1,210.00DR
24OCT17	1 APR18	121.9750	122.0500		30.00CR
24OCT17	1 APR18	122.0000	122.6500		260.00CR
24OCT17	1 APR18	122.0250	123.3750		540.00CR
24OCT17	1 APR18	123.1250	123.4250		120.00CR
24OCT17	1 APR18	123.5750	123.4500		50.00DR
24OCT17	1 APR18	123.7000	124.2000		200.00CR
24OCT17	1 APR18	123.4250	121.7000		690.00DR
24OCT17	1 APR18	124.1750	121.9500		890.00DR
24OCT17	1 JUN18	114.9000	114.6750		90.00DR
24OCT17	1 JUN18	115.2500	116.9000		660.00CR
24OCT17	1 JUN18	113.2750	116.9000		1,450.00CR
24OCT17	1 JUN18	113.4250	116.9500		1,410.00CR
24OCT17	1 JUN18	114.4000	117.0000		1,040.00CR
24OCT17	1 AUG18	112.3500	112.0500		120.00DR
24OCT17	1 AUG18	113.5250	112.1250		560.00DR
24OCT17	1 AUG18	113.8500	112.4750		550.00DR
24OCT17	1 AUG18	114.0250	113.9250		40.00DR
24OCT17	1 AUG18	114.0000	111.7250		910.00DR
24OCT17	1 OCT18	112.4250	112.7500		130.00CR
24OCT17	1 OCT18	112.8500	113.9750		450.00CR
24OCT17	1 OCT18	114.1500	114.1250		10.00DR
24OCT17	1 DEC18	115.0250	114.8500		70.00DR
24OCT17	1 DEC18	115.0250	113.1000		770.00DR
24OCT17	1 FEB19	115.0000	115.2000		80.00CR
24OCT17	1 FEB19	114.7500	115.2000		180.00CR
CME Feeder Cattle 500 CME					
24OCT17	1 NOV17	153.3250	153.1500		87.50DR
24OCT17	1 NOV17	153.0000	153.4250		212.50CR
24OCT17	1 NOV17	152.8250	153.2500		212.50CR
24OCT17	1 NOV17	152.9000	153.1000		100.00CR
24OCT17	1 NOV17	152.7250	153.1000		187.50CR
24OCT17	1 NOV17	153.0000	153.1500		75.00CR
24OCT17	1 NOV17	153.1750	155.8000		1,312.50CR
24OCT17	1 NOV17	153.9000	156.3750		1,237.50CR
24OCT17	1 JAN18	151.2000	151.4250		112.50CR
24OCT17	1 JAN18	151.4750	151.1000		187.50DR
24OCT17	1 JAN18	151.3000	150.9750		162.50DR
24OCT17	1 JAN18	151.1500	151.1000		25.00DR
24OCT17	1 JAN18	151.1750	150.9500		112.50DR
24OCT17	1 JAN18	151.2500	151.2500		0.00
24OCT17	1 JAN18	154.4000	151.3750		1,512.50DR
24OCT17	1 JAN18	154.4250	152.1500		1,137.50DR
24OCT17	1 JAN18	155.1000	152.6000		1,250.00DR
24OCT17	1 MAR18	151.8000	151.7000		50.00DR
CME Lean Hog 400 CME					
24OCT17	1 DEC17	64.2500	63.9750		110.00DR
24OCT17	1 FEB18	68.5000	68.7250		90.00CR
24OCT17	1 JUN18	80.6750	80.6250		20.00DR
24OCT17	1 JUN18	80.6750	80.3750		120.00DR
24OCT17	1 JUN18	80.6750	80.5500		50.00DR
24OCT17	1 JUN18	80.5750	80.6000		10.00CR
24OCT17	1 JUN18	80.8250	80.6000		90.00DR
24OCT17	1 JUL18	80.6750	80.6750		0.00
24OCT17	1 JUL18	80.5000	80.6750		70.00CR
24OCT17	1 JUL18	80.5500	80.6750		50.00CR
24OCT17	1 JUL18	80.4250	80.6750		100.00CR
24OCT17	1 JUL18	80.6500	80.7500		40.00CR

Group No: SAPG0119

Page 4 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 DEC18 NYM Heating Oil 42,000 NYM	61.8750	62.4750		240.00CR
24OCT17	1 JAN18	178.91	179.35		184.80CR
24OCT17	1 FEB18	179.13	178.71		176.40DR
24OCT17	1 MAY18	175.88	175.85		12.60DR
24OCT17	1 JUN18 CME Random Length Lumber 110 CME	175.19	175.28		37.80CR
24OCT17	1 NOV17	440.00	438.90		121.00DR
24OCT17	1 NOV17	440.50	440.50		0.00
24OCT17	1 JAN18	432.40	435.90		385.00CR
24OCT17	1 JAN18	433.50	432.90		66.00DR
24OCT17	1 JAN18	431.60	434.70		341.00CR
24OCT17	1 JAN18	433.60	433.70		11.00CR
24OCT17	1 MAR18	421.40	419.00		264.00DR
24OCT17	1 MAR18 NYM RBOB Reformulated Gasoline Futures	420.40	419.40		110.00DR
24OCT17	1 JAN18	162.5600	161.6100		399.00DR
24OCT17	1 JAN18	163.2400	161.6300		676.20DR
24OCT17	1 FEB18	161.8700	163.5300		697.20CR
24OCT17	1 FEB18	161.9100	164.4500		1,066.80CR
24OCT17	1 MAR18	166.8500	163.9700		1,209.60DR
24OCT17	1 APR18 White Sugar 50 LDN	180.6100	183.5400		1,230.60CR
24OCT17	1 MAR18	375.90	373.30		130.00DR
24OCT17	1 MAY18	378.60	381.80		160.00CR
	INTER ACCOUNT TRANSFER				
24OCT17	Sep 17 Rebate Entry				555.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,288.10DR
	SETTLEMENTS				
	White Sugar Futures				30.00CR
	CME Feeder Cattle Futures				1,075.00DR
	CME Cattle Futures				1,250.00CR
	NYM Heating Oil Futures				33.60CR
	NYM RBOB Reformulated Gasoline Futu Futures				709.80CR
	CME Random Length Lumber Futures				176.00CR
	CME Lean Hog Futures				210.00CR
	CASH PAYMENTS/RECEIPTS				555.00DR
	COMMISSIONS				188.00DR
	CARRIED FORWARD BALANCE				696.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119N
24th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
24OCT17	B 1 JUN18 CQG II	80.6750		1.02DR	
24OCT17	S 1 JUL18 CQG II		80.6750	1.02DR	
	Commission				2.04DR
	<u>SETTLEMENTS</u>				
	CME Lean Hog 400 CME				
24OCT17	1 JUL18	80.2750	80.6750		160.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,554.24DR
	SETTLEMENTS				
	CME Lean Hog Futures				160.00CR
	COMMISSIONS				2.04DR
	CARRIED FORWARD BALANCE				1,396.28DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP01190

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
24OCT17	S 25 DEC17 CQG II		319.250	25.75DR	
24OCT17	B 25 MAY18 CQG II	322.350		25.75DR	
	Commission				51.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				556.50DR
	COMMISSIONS				51.50DR
	CARRIED FORWARD BALANCE				608.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
24OCT17	S 1 FEB18 CQG II		123.4500	1.02DR	
24OCT17	S 1 FEB18 CQG II		123.4750	1.02DR	
24OCT17	B 2 FEB18 CQG II	123.5250		2.04DR	
24OCT17	B 1 FEB18 CQG II	124.2750		1.02DR	
24OCT17	S 1 FEB18 CQG II		124.4500	1.02DR	
24OCT17	B 1 APR18 CQG II	122.6250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.2500	1.02DR	
24OCT17	B 2 APR18 CQG II	123.5000		2.04DR	
24OCT17	S 2 APR18 CQG II		123.4500	2.04DR	
24OCT17	S 1 APR18 CQG II		124.0250	1.02DR	
24OCT17	B 1 APR18 CQG II	124.2000		1.02DR	
24OCT17	S 1 JUN18 CQG II		115.2250	1.02DR	
24OCT17	B 1 JUN18 CQG II	116.0000		1.02DR	
24OCT17	S 1 JUN18 CQG II		116.9750	1.02DR	
24OCT17	B 1 AUG18 CQG II	114.0000		1.02DR	
	CME Feeder Cattle 500 CME				
24OCT17	B 1 NOV17 CQG II	154.8500		1.02DR	
24OCT17	S 1 NOV17 CQG II		155.3250	1.02DR	
24OCT17	B 1 NOV17 CQG II	155.7000		1.02DR	
24OCT17	S 1 NOV17 CQG II		155.7750	1.02DR	
24OCT17	S 1 JAN18 CQG II		153.2500	1.02DR	
24OCT17	B 1 JAN18 CQG II	153.7750		1.02DR	
24OCT17	S 1 JAN18 CQG II		154.2000	1.02DR	
24OCT17	B 1 JAN18 CQG II	154.3250		1.02DR	
	Commission				26.52DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
24OCT17	1 FEB18	123.5250	123.4500		30.00DR
24OCT17	1 FEB18	123.5250	123.4750		20.00DR
24OCT17	1 FEB18	124.2750	124.4500		70.00CR
24OCT17	1 APR18	122.6250	123.2500		250.00CR
24OCT17	1 APR18	123.5000	123.4500		20.00DR
24OCT17	1 APR18	123.5000	123.4500		20.00DR
24OCT17	1 APR18	124.2000	124.0250		70.00DR
24OCT17	1 JUN18	116.0000	115.2250		310.00DR
	CME Feeder Cattle 500 CME				
24OCT17	1 NOV17	154.8500	155.3250		237.50CR
24OCT17	1 NOV17	155.7000	155.7750		37.50CR
24OCT17	1 JAN18	153.7750	153.2500		262.50DR
24OCT17	1 JAN18	154.3250	154.2000		62.50DR
	<u>INTER ACCOUNT TRANSFER</u>				
24OCT17	Sep 17 Rebate Entry				105.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,046.40DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				50.00DR
	CME Cattle Futures				150.00DR
	CASH PAYMENTS/RECEIPTS				105.50DR
	COMMISSIONS				26.52DR
	CARRIED FORWARD BALANCE				3,378.42DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0119P

24th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119Q
24th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	<u>INTER ACCOUNT TRANSFER</u> Sep 17 Rebate Entry				40.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				872.62CR
	CASH PAYMENTS/RECEIPTS				40.50DR
	CARRIED FORWARD BALANCE				832.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

24th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
24OCT17	S 1 DEC17 CQG II		116.8250	1.02DR	
24OCT17	B 1 DEC17 CQG II	118.2000		1.02DR	
24OCT17	B 1 DEC17 CQG II	119.5250		1.02DR	
24OCT17	S 1 DEC17 CQG II		119.4750	1.02DR	
24OCT17	S 1 DEC17 CQG II		119.5000	1.02DR	
24OCT17	B 1 FEB18 CQG II	121.7750		1.02DR	
24OCT17	S 1 FEB18 CQG II		123.0250	1.02DR	
24OCT17	S 1 FEB18 CQG II		123.5000	1.02DR	
24OCT17	B 1 FEB18 CQG II	123.5250		1.02DR	
24OCT17	S 1 FEB18 CQG II		124.5000	1.02DR	
24OCT17	B 1 FEB18 CQG II	124.5000		1.02DR	
24OCT17	B 1 FEB18 CQG II	124.5750		1.02DR	
24OCT17	B 1 APR18 CQG II	123.5250		1.02DR	
24OCT17	S 1 APR18 CQG II		123.4500	1.02DR	
24OCT17	S 1 JUN18 CQG II		117.0000	1.02DR	
24OCT17	S 1 AUG18 CQG II		112.7750	1.02DR	
24OCT17	B 1 AUG18 CQG II	113.5500		1.02DR	
24OCT17	B 1 AUG18 CQG II	114.0250		1.02DR	
24OCT17	B 1 OCT18 CQG II	113.0750		1.02DR	
24OCT17	S 1 OCT18 CQG II		113.9500	1.02DR	
	CME Feeder Cattle 500 CME				
24OCT17	S 1 NOV17 CQG II		155.5250	1.02DR	
24OCT17	B 1 NOV17 CQG II	155.7500		1.02DR	
24OCT17	S 1 NOV17 CQG II		155.3000	1.02DR	
24OCT17	B 1 NOV17 CQG II	156.3250		1.02DR	
24OCT17	B 1 JAN18 CQG II	154.0750		1.02DR	
24OCT17	S 1 JAN18 CQG II		154.2750	1.02DR	
24OCT17	B 1 JAN18 CQG II	153.9250		1.02DR	
24OCT17	S 1 JAN18 CQG II		155.1500	1.02DR	
	IEU Gas Oil 100 IPE				
24OCT17	B 5 APR18 CQG EL	513.500		5.35DR	
24OCT17	S 5 APR18 CQG EL		519.000	5.35DR	
24OCT17	B 5 APR18 CQG EL	519.750		5.35DR	
24OCT17	S 5 MAY18 CQG EL		512.500	5.35DR	
24OCT17	S 5 MAY18 CQG EL		514.500	5.35DR	
24OCT17	B 5 MAY18 CQG EL	517.750		5.35DR	
24OCT17	S 5 MAY18 CQG EL		518.500	5.35DR	
24OCT17	B 5 JUN18 CQG EL	513.250		5.35DR	
24OCT17	S 5 JUN18 CQG EL		521.250	5.35DR	
24OCT17	B 5 JUL18 CQG EL	520.750		5.35DR	
	IEU Brent Crude 1,000 IPE				
24OCT17	B 5 APR18 CQG EL	57.18		5.35DR	
24OCT17	S 5 JUN18 CQG EL		56.88	5.35DR	
24OCT17	S 5 JUN18 CQG EL		56.92	5.35DR	
24OCT17	B 5 AUG18 CQG EL	56.58		5.35DR	
	ICE Sugar No.11 1,120 FIN				
24OCT17	S 2 MAR18 CQG EL		14.05	4.68DR	
24OCT17	S 1 MAR18 CQG EL		14.20	2.34DR	
24OCT17	B 1 MAR18 CQG EL	14.17		2.34DR	
24OCT17	S 1 MAR18 CQG EL		14.24	2.34DR	
24OCT17	B 1 MAR18 CQG EL	14.18		2.34DR	
	Commission				117.50DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
24OCT17	1 DEC17	118.2000	116.8250		550.00DR
24OCT17	1 DEC17	119.5250	119.4750		20.00DR
24OCT17	1 DEC17	116.7250	119.5000		1,110.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

24th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	1 FEB18	121.7750	123.0250		500.00CR
24OCT17	1 FEB18	123.5250	123.5000		10.00DR
24OCT17	1 FEB18	124.5000	124.5000		0.00
24OCT17	1 FEB18	124.5750	121.5500		1,210.00DR
24OCT17	1 APR18	123.5250	123.4500		30.00DR
24OCT17	1 AUG18	113.5500	112.7750		310.00DR
24OCT17	1 OCT18	113.0750	113.9500		350.00CR
	CME Feeder Cattle 500 CME				
24OCT17	1 NOV17	155.7500	155.5250		112.50DR
24OCT17	1 NOV17	156.3250	155.3000		512.50DR
24OCT17	1 JAN18	154.0750	154.2750		100.00CR
24OCT17	1 JAN18	153.9250	155.1500		612.50CR
	IEU Gas Oil 100 IPE				
24OCT17	1 APR18	513.500	519.000		550.00CR
24OCT17	2 APR18	513.500	519.000		1,100.00CR
24OCT17	1 APR18	513.500	519.000		550.00CR
24OCT17	1 APR18	513.500	519.000		550.00CR
24OCT17	2 MAY18	517.750	512.500		1,050.00DR
24OCT17	1 MAY18	517.750	512.500		525.00DR
24OCT17	2 MAY18	517.750	512.500		1,050.00DR
24OCT17	3 JUN18	513.250	521.250		2,400.00CR
24OCT17	1 JUN18	513.250	521.250		800.00CR
24OCT17	1 JUN18	513.250	521.250		800.00CR
	ICE Sugar No.11 1,120 FIN				
24OCT17	1 MAR18	14.17	14.05		134.40DR
24OCT17	1 MAR18	14.18	14.05		145.60DR
24OCT17	1 MAR18	13.85	14.20		392.00CR
24OCT17	1 MAR18	13.85	14.24		436.80CR
	TOTALS				
	BROUGHT FORWARD BALANCE				4,263.69DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				87.50CR
	CME Cattle Futures				170.00DR
	IEU Gas Oil Futures				4,125.00CR
	ICE Sugar No.11 Futures				548.80CR
	COMMISSIONS				117.50DR
	CARRIED FORWARD BALANCE				210.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

24th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	B 1 JUN18 CQG II 52.52			1.03DR	
24OCT17	S 3 JUN18 CQG II 52.44		52.44	3.09DR	
24OCT17	B 3 JUN18 CQG II 52.44			3.09DR	
24OCT17	B 1 JUN18 CQG II 52.30			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.25			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.39			1.03DR	
24OCT17	B 1 JUN18 CQG II 52.63			1.03DR	
24OCT17	S 2 DEC18 CQG II 51.71		51.71	2.06DR	
24OCT17	B 5 DEC18 CQG II 51.62			5.15DR	
24OCT17	S 5 DEC18 CQG II 51.64		51.64	5.15DR	
24OCT17	S 1 DEC18 CQG II 51.55		51.55	1.03DR	
24OCT17	S 3 DEC18 CQG II 51.54		51.54	3.09DR	
24OCT17	S 2 DEC18 CQG II 51.68		51.68	2.06DR	
24OCT17	S 1 DEC18 CQG II 51.66		51.66	1.03DR	
24OCT17	S 1 DEC18 CQG II 51.86		51.86	1.03DR	
24OCT17	S 1 DEC18 CQG II 51.87		51.87	1.03DR	
24OCT17	B 3 JUN19 CQG II 50.94			3.09DR	
24OCT17	B 1 DEC19 CQG II 50.54			1.03DR	
24OCT17	S 2 DEC19 CQG II 50.48		50.48	2.06DR	
24OCT17	B 2 DEC19 CQG II 50.50			2.06DR	
24OCT17	B 1 DEC19 CQG II 50.42			1.03DR	
24OCT17	B 1 DEC19 CQG II 50.45			1.03DR	
24OCT17	S 1 DEC19 CQG II 50.54		50.54	1.03DR	
24OCT17	B 1 DEC19 CQG II 50.66			1.03DR	
	IEU Brent Crude 1,000 IPE				
24OCT17	S 2 FEB18 CQG EL 57.56		57.56	2.14DR	
24OCT17	S 1 FEB18 CQG EL 57.58		57.58	1.07DR	
24OCT17	S 1 FEB18 CQG EL 57.59		57.59	1.07DR	
24OCT17	S 1 FEB18 CQG EL 57.63			1.07DR	
24OCT17	S 2 MAR18 CQG EL 56.73		56.73	2.14DR	
24OCT17	S 2 MAR18 CQG EL 56.92		56.92	2.14DR	
24OCT17	S 1 MAR18 CQG EL 56.93		56.93	1.07DR	
24OCT17	S 2 MAR18 CQG EL 57.88		57.88	2.14DR	
24OCT17	B 4 APR18 CQG EL 57.25			4.28DR	
24OCT17	B 1 APR18 CQG EL 57.27			1.07DR	
24OCT17	B 1 APR18 CQG EL 57.29			1.07DR	
24OCT17	B 2 APR18 CQG EL 57.28			2.14DR	
24OCT17	B 1 APR18 CQG EL 57.33			1.07DR	
24OCT17	B 1 APR18 CQG EL 57.32			1.07DR	
24OCT17	B 4 MAY18 CQG EL 57.60			4.28DR	
24OCT17	S 3 JUN18 CQG EL 56.40		56.40	3.21DR	
24OCT17	B 3 JUN18 CQG EL 56.90			3.21DR	
24OCT17	S 1 JUN18 CQG EL 56.96		56.96	1.07DR	
24OCT17	S 3 JUN18 CQG EL 56.78		56.78	3.21DR	
24OCT17	B 2 JUN18 CQG EL 56.60			2.14DR	
24OCT17	S 1 JUN18 CQG EL 56.59		56.59	1.07DR	
24OCT17	S 2 JUN18 CQG EL 56.58		56.58	2.14DR	
24OCT17	B 4 JUN18 CQG EL 56.38			4.28DR	
24OCT17	S 1 JUN18 CQG EL 56.49		56.49	1.07DR	
24OCT17	B 3 JUN18 CQG EL 56.47			3.21DR	
24OCT17	B 3 JUN18 CQG EL 56.55			3.21DR	
24OCT17	B 3 JUN18 CQG EL 56.56			3.21DR	
24OCT17	S 2 JUN18 CQG EL 56.98		56.98	2.14DR	
24OCT17	S 1 JUN18 CQG EL 57.02		57.02	1.07DR	
24OCT17	S 1 JUN18 CQG EL 57.01		57.01	1.07DR	
24OCT17	S 1 JUN18 CQG EL 57.06		57.06	1.07DR	
24OCT17	S 1 JUN18 CQG EL 57.04		57.04	1.07DR	
24OCT17	S 3 JUN18 CQG EL 57.18		57.18	3.21DR	
24OCT17	B 1 JUN18 CQG EL 57.22			1.07DR	
24OCT17	B 2 JUN18 CQG EL 57.26			2.14DR	
24OCT17	B 3 JUN18 CQG EL 57.31			3.21DR	
24OCT17	S 2 JUL18 CQG EL 57.30		57.30	2.14DR	
24OCT17	S 2 SEP18 CQG EL 55.97		55.97	2.14DR	

Group No: SAPG0119

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

24th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	S	1	SEP18	CQG	EL		56.12	1.07DR	
24OCT17	S	2	SEP18	CQG	EL		56.13	2.14DR	
24OCT17	B	3	DEC18	CQG	EL	55.54		3.21DR	
24OCT17	B	2	DEC18	CQG	EL	55.55		2.14DR	
24OCT17	S	2	DEC18	CQG	EL		56.00	2.14DR	
24OCT17	S	3	DEC18	CQG	EL		55.99	3.21DR	
24OCT17	B	2	DEC18	CQG	EL	56.05		2.14DR	
24OCT17	B	5	DEC18	CQG	EL	55.88		5.35DR	
24OCT17	S	2	DEC18	CQG	EL		55.74	2.14DR	
24OCT17	B	4	DEC18	CQG	EL	55.73		4.28DR	
24OCT17	B	2	DEC18	CQG	EL	55.66		2.14DR	
24OCT17	S	5	DEC18	CQG	EL		55.65	5.35DR	
24OCT17	B	2	DEC18	CQG	EL	56.13		2.14DR	
24OCT17	B	5	DEC18	CQG	EL	56.23		5.35DR	
24OCT17	S	2	DEC18	CQG	EL		56.26	2.14DR	
24OCT17	S	2	DEC18	CQG	EL		56.31	2.14DR	
24OCT17	S	1	DEC18	CQG	EL		56.32	1.07DR	
24OCT17	S	5	DEC18	CQG	EL		56.37	5.35DR	
24OCT17	S	2	DEC19	CQG	EL		54.64	2.14DR	
24OCT17	B	2	DEC19	CQG	EL	54.97		2.14DR	
24OCT17	S	1	DEC19	CQG	EL		55.04	1.07DR	
24OCT17	S	2	DEC19	CQG	EL		54.91	2.14DR	
24OCT17	S	1	DEC19	CQG	EL		54.81	1.07DR	
24OCT17	S	1	DEC19	CQG	EL		54.74	1.07DR	
24OCT17	B	2	DEC19	CQG	EL	54.75		2.14DR	
24OCT17	S	1	DEC19	CQG	EL		55.11	1.07DR	
24OCT17	S	2	DEC19	CQG	EL		55.18	2.14DR	
24OCT17	B	1	DEC19	CQG	EL	55.17		1.07DR	
24OCT17	B	1	DEC19	CQG	EL	55.24		1.07DR	
24OCT17	B	2	DEC19	CQG	EL	55.27		2.14DR	
Commission									197.26DR
SETTLEMENTS									
NYM Light Sweet Crude Oil 1,000 NYM									
24OCT17		1	JUN18			52.52	52.44		80.00DR
24OCT17		2	JUN18			52.44	52.44		0.00
24OCT17		1	JUN18			52.44	51.94		500.00DR
24OCT17		1	JUN18			52.30	51.94		360.00DR
24OCT17		1	JUN18			52.25	51.94		310.00DR
24OCT17		2	DEC18			51.62	51.71		180.00CR
24OCT17		1	DEC18			51.62	51.64		20.00CR
24OCT17		2	DEC18			51.62	51.64		40.00CR
24OCT17		1	DEC18			51.27	51.64		370.00CR
24OCT17		1	DEC18			51.26	51.64		380.00CR
24OCT17		2	DEC18			51.26	51.54		560.00CR
24OCT17		1	DEC19			50.54	50.48		60.00DR
24OCT17		1	DEC19			50.50	50.48		20.00DR
24OCT17		1	DEC19			50.50	50.54		40.00CR
24OCT17		1	DEC19			50.42	50.39		30.00DR
24OCT17		1	DEC19			50.45	50.32		130.00DR
24OCT17		1	DEC19			50.66	50.32		340.00DR
IEU Brent Crude 1,000 IPE									
24OCT17		2	FEB18			56.67	57.56		1,780.00CR
24OCT17		1	FEB18			56.69	57.58		890.00CR
24OCT17		1	FEB18			56.69	57.59		900.00CR
24OCT17		1	FEB18			56.69	57.63		940.00CR
24OCT17		2	MAR18			56.66	56.73		140.00CR
24OCT17		2	MAR18			56.49	56.92		860.00CR
24OCT17		1	MAR18			56.48	56.93		450.00CR
24OCT17		1	MAR18			56.73	57.88		1,150.00CR
24OCT17		1	MAR18			56.70	57.88		1,180.00CR
24OCT17		1	APR18			57.25	56.44		810.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

24th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24OCT17	2 APR18	57.25	56.44		1,620.00DR
24OCT17	1 APR18	57.25	56.46		790.00DR
24OCT17	1 APR18	57.27	56.46		810.00DR
24OCT17	1 APR18	57.28	56.46		820.00DR
24OCT17	1 APR18	57.29	56.46		830.00DR
24OCT17	1 APR18	57.28	56.46		820.00DR
24OCT17	1 APR18	57.33	56.46		870.00DR
24OCT17	1 MAY18	57.60	56.53		1,070.00DR
24OCT17	1 MAY18	57.60	56.52		1,080.00DR
24OCT17	1 MAY18	57.60	56.50		1,100.00DR
24OCT17	1 MAY18	57.60	56.50		1,100.00DR
24OCT17	3 JUN18	56.90	56.40		1,500.00DR
24OCT17	1 JUN18	56.60	56.96		360.00CR
24OCT17	1 JUN18	56.60	56.78		180.00CR
24OCT17	1 JUN18	56.38	56.78		400.00CR
24OCT17	1 JUN18	56.38	56.78		400.00CR
24OCT17	1 JUN18	56.38	56.59		210.00CR
24OCT17	1 JUN18	56.38	56.58		200.00CR
24OCT17	1 JUN18	56.47	56.58		110.00CR
24OCT17	1 JUN18	56.47	56.49		20.00CR
24OCT17	1 JUN18	56.47	56.98		510.00CR
24OCT17	1 JUN18	56.55	56.98		430.00CR
24OCT17	1 JUN18	56.55	57.02		470.00CR
24OCT17	1 JUN18	56.55	57.01		460.00CR
24OCT17	1 JUN18	56.56	57.06		500.00CR
24OCT17	1 JUN18	56.56	57.04		480.00CR
24OCT17	1 JUN18	56.56	57.18		620.00CR
24OCT17	1 JUN18	57.22	57.18		40.00DR
24OCT17	1 JUN18	57.26	57.18		80.00DR
24OCT17	1 JUN18	57.26	56.29		970.00DR
24OCT17	1 JUN18	57.31	56.29		1,020.00DR
24OCT17	1 JUN18	57.31	56.29		1,020.00DR
24OCT17	1 JUN18	57.31	56.52		790.00DR
24OCT17	1 JUL18	56.26	57.30		1,040.00CR
24OCT17	1 JUL18	56.24	57.30		1,060.00CR
24OCT17	1 SEP18	55.97	55.97		0.00
24OCT17	1 SEP18	55.97	55.97		0.00
24OCT17	1 SEP18	55.79	56.12		330.00CR
24OCT17	2 SEP18	55.79	56.13		680.00CR
24OCT17	2 DEC18	55.54	56.00		920.00CR
24OCT17	1 DEC18	55.54	55.99		450.00CR
24OCT17	2 DEC18	55.55	55.99		880.00CR
24OCT17	1 DEC18	56.05	55.74		310.00DR
24OCT17	1 DEC18	56.05	55.74		310.00DR
24OCT17	2 DEC18	55.88	55.65		460.00DR
24OCT17	1 DEC18	55.88	55.65		230.00DR
24OCT17	1 DEC18	55.88	55.65		230.00DR
24OCT17	1 DEC18	55.73	56.26		530.00CR
24OCT17	1 DEC18	55.73	56.26		530.00CR
24OCT17	2 DEC18	55.73	56.31		1,160.00CR
24OCT17	1 DEC18	55.66	56.32		660.00CR
24OCT17	1 DEC18	55.66	56.37		710.00CR
24OCT17	1 DEC18	56.13	56.37		240.00CR
24OCT17	1 DEC18	56.13	56.37		240.00CR
24OCT17	2 DEC18	56.23	56.37		280.00CR
24OCT17	2 DEC19	54.97	54.64		660.00DR
24OCT17	1 DEC19	54.75	55.04		290.00CR
24OCT17	1 DEC19	54.75	54.91		160.00CR
24OCT17	1 DEC19	55.17	54.91		260.00DR
24OCT17	1 DEC19	55.24	54.81		430.00DR
24OCT17	1 DEC19	55.27	54.74		530.00DR
24OCT17	1 DEC19	55.27	55.11		160.00DR
24OCT17	1 DEC19	54.49	55.18		690.00CR
24OCT17	1 DEC19	54.59	55.18		590.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S
24th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				112,192.38DR
	SETTLEMENTS				
	IEU Brent Crude Futures				4,130.00CR
	NYM Light Sweet Crude Oil Futures				240.00DR
	COMMISSIONS				197.26DR
	CARRIED FORWARD BALANCE				108,499.64DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119T

24th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
24OCT17	S 1 FEB18 CQG GX		123.2500	1.02DR	
24OCT17	B 1 FEB18 CQG GX	123.3000		1.02DR	
24OCT17	S 1 FEB18 CQG GX		123.4750	1.02DR	
24OCT17	B 1 FEB18 CQG GX	123.4750		1.02DR	
24OCT17	S 1 APR18 CQG GX		123.4750	1.02DR	
24OCT17	B 1 APR18 CQG GX	123.5000		1.02DR	
24OCT17	S 1 APR18 CQG GX		123.3750	1.02DR	
24OCT17	S 1 JUN18 CQG GX		116.0750	1.02DR	
24OCT17	B 1 JUN18 CQG GX	116.2500		1.02DR	
24OCT17	B 1 AUG18 CQG GX	113.2500		1.02DR	
24OCT17	S 1 AUG18 CQG GX		113.5250	1.02DR	
	CME Lean Hog 400 CME				
24OCT17	B 1 JUN18 CQG GX	80.7750		1.02DR	
24OCT17	S 1 JUL18 CQG GX		80.7250	1.02DR	
	Commission				14.28DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
24OCT17	1 FEB18	123.3000	123.2500		20.00DR
24OCT17	1 FEB18	123.4750	123.4750		0.00
24OCT17	1 APR18	123.4750	123.4750		0.00
24OCT17	1 APR18	123.5000	123.3750		50.00DR
24OCT17	1 JUN18	116.2500	116.0750		70.00DR
24OCT17	1 AUG18	113.2500	113.5250		110.00CR
	CME Lean Hog 400 CME				
24OCT17	1 JUN18	80.7750	80.5000		110.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				238.44DR
	SETTLEMENTS				
	CME Cattle Futures				30.00DR
	CME Lean Hog Futures				110.00DR
	COMMISSIONS				14.28DR
	CARRIED FORWARD BALANCE				392.72DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

24th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,087,151.29CR
	SETTLEMENTS		
	CME Cattle Futures		220.00DR
	CME Feeder Cattle Futures		162.50DR
	CME Lean Hog Futures		590.00CR
	CME Random Length Lumber Futures		176.00CR
	CMX Copper Futures		1,650.00DR
	CMX Silver Futures		675.00CR
	ICE Sugar No.11 Futures		548.80CR
	IEU Brent Crude Futures		8,430.00CR
	IEU Gas Oil Futures		5,525.00DR
	NYM Heating Oil Futures		33.60CR
	NYM Henry Hub Natural Gas Futures		840.00CR
	NYM Light Sweet Crude Oil Futures		190.00DR
	NYM RBOB Reformulated Gasoline Futu Futures		709.80CR
	White Sugar Futures		30.00CR
	CASH PAYMENTS/RECEIPTS		1,117.00DR
	COMMISSIONS		2,005.05DR
	CARRIED FORWARD BALANCE		1,088,314.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0122B

24th October 2017

COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
24OCT17	S 5 DEC17 52.00 Call TTR GX		1.36	11.50DR	6,800.00CR
24OCT17	S 5 DEC17 52.00 Put TTR GX		1.12	11.50DR	5,600.00CR
24OCT17	S 5 DEC17 49.00 Put TTR GX		0.26	11.50DR	1,300.00CR
24OCT17	S 5 DEC17 55.00 Call TTR GX		0.32	11.50DR	1,600.00CR
24OCT17	B 20 DEC17 48.00 Put TTR GX	0.16		46.00DR	3,200.00DR
24OCT17	B 20 DEC17 56.00 Call TTR GX	0.20		46.00DR	4,000.00DR
	Commission				138.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				130,513.96CR
	OPTION PREMIUMS				8,100.00CR
	COMMISSIONS				138.00DR
	CARRIED FORWARD BALANCE				138,475.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

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24th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		135,167.26CR
	OPTION PREMIUMS		8,100.00CR
	COMMISSIONS		138.00DR
	CARRIED FORWARD BALANCE		143,129.26CR