

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 2 19JAN18 DMA EL 6948.00	6948.00		10.00DR	
19OCT17	B 2 19JAN18 DMA EL 6945.00	6945.00		10.00DR	
19OCT17	B 1 19JAN18 DMA EL 6941.50	6941.50		5.00DR	
	CMX Copper 25,000 CMX				
19OCT17	S 5 DEC17 II 315.650		315.650	11.75DR	
19OCT17	S 4 DEC17 II 315.500		315.500	9.40DR	
19OCT17	S 2 DEC17 II 315.350		315.350	4.70DR	
	Commission				50.85DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,977.85DR
	COMMISSIONS				50.85DR
	CARRIED FORWARD BALANCE				24,028.70DR

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SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0011F 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 2 19JAN18 DMA EL		7001.50	10.00DR	
19OCT17	B 2 19JAN18 DMA EL	6993.00		10.00DR	
	CMX Copper 25,000 CMX				
19OCT17	B 5 DEC17 II	317.950		11.75DR	
19OCT17	S 5 DEC17 II		317.700	11.75DR	
	Commission				43.50DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	5 DEC17	317.950	317.700		312.50DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5989.00	5983.00		150.00DR
19JUL17	1 19OCT17	5989.50	5983.00		162.50DR
19JUL17	1 19OCT17	5989.50	5970.50		475.00DR
19JUL17	1 19OCT17	5989.50	5973.50		400.00DR
19JUL17	1 19OCT17	5989.00	5970.00		475.00DR
19JUL17	1 19OCT17	6001.00	5969.50		787.50DR
19JUL17	1 19OCT17	6001.00	5969.00		800.00DR
19JUL17	1 19OCT17	5988.00	5969.00		475.00DR
19JUL17	1 19OCT17	5988.00	5968.00		500.00DR
19JUL17	1 19OCT17	5988.00	5965.00		575.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				41,069.35DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,800.00DR
	CMX Copper Futures				312.50DR
	COMMISSIONS				43.50DR
	CARRIED FORWARD BALANCE				46,225.35DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5990.00	6000.50		262.50CR
19JUL17	1 19OCT17	5990.00	5972.50		437.50DR
19JUL17	1 19OCT17	5990.00	5972.50		437.50DR
19JUL17	1 19OCT17	5990.00	5963.50		662.50DR
19JUL17	1 19OCT17	5990.00	5963.50		662.50DR
19JUL17	1 19OCT17	5992.00	5964.00		700.00DR
10AUG17	1 19OCT17	5992.00	6415.25		10,581.25CR
10AUG17	1 19OCT17	5997.50	6415.25		10,443.75CR
10AUG17	1 19OCT17	5999.00	6415.25		10,406.25CR
10AUG17	1 19OCT17	5993.50	6415.25		10,543.75CR
10AUG17	1 19OCT17	5995.00	6415.25		10,506.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				123,502.71DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				49,843.75CR
	CARRIED FORWARD BALANCE				73,658.96DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	7004.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	7007.00		5.00DR	
	CMX Copper 25,000 CMX				
19OCT17	S 2 DEC17 TTR II		318.150	4.70DR	
19OCT17	S 2 DEC17 TTR II		318.300	4.70DR	
	Commission				19.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				248.29DR
	COMMISSIONS				19.40DR
	CARRIED FORWARD BALANCE				267.69DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		322,142.67DR
	SETTLEMENTS		
	CMX Copper Futures		312.50DR
	LME Copper Grade A 25 tonnes. This Futures		45,043.75CR
	COMMISSIONS		113.75DR
	CARRIED FORWARD BALANCE		277,525.17DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6003.00	5968.00		875.00DR
19JUL17	1 19OCT17	6003.00	5968.00		875.00DR
19JUL17	2 19OCT17	6003.00	5967.00		1,800.00DR
19JUL17	1 19OCT17	6003.00	5967.00		900.00DR
23AUG17	1 19OCT17	6001.00	6554.00		13,825.00CR
23AUG17	4 19OCT17	6001.00	6554.00		55,300.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				468,434.59DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				64,675.00CR
	CARRIED FORWARD BALANCE				403,759.59DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	4 19OCT17	5959.70	5968.00		830.00CR
20JUL17	1 19OCT17	5959.70	5968.00		207.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				302,840.95DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,037.50CR
	CARRIED FORWARD BALANCE				301,803.45DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 2 19JAN18 DMA EL		6977.00	10.00DR	
19OCT17	S 2 19JAN18 DMA EL		6978.00	10.00DR	
19OCT17	S 1 19JAN18 DMA EL		6978.50	5.00DR	
19OCT17	S 5 19JAN18 DMA EL		6996.00	25.00DR	
19OCT17	S 5 19JAN18 DMA EL		6998.50	25.00DR	
19OCT17	S 2 19JAN18 DMA EL		6975.00	10.00DR	
19OCT17	S 1 19JAN18 DMA EL		6984.50	5.00DR	
19OCT17	S 1 19JAN18 DMA EL		6987.50	5.00DR	
19OCT17	S 2 19JAN18 DMA EL		6990.00	10.00DR	
19OCT17	S 2 19JAN18 DMA EL		6994.50	10.00DR	
19OCT17	S 7 19JAN18 DMA EL		6995.50	35.00DR	
19OCT17	S 2 19JAN18 DMA EL		6986.00	10.00DR	
19OCT17	S 1 19JAN18 DMA EL		6987.00	5.00DR	
19OCT17	S 7 19JAN18 DMA EL		6990.50	35.00DR	
19OCT17	B 5 19JAN18 DMA EL	6941.50		25.00DR	
19OCT17	S 5 19JAN18 DMA EL		6954.00	25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6940.50		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6942.50		25.00DR	
19OCT17	S 4 19JAN18 DMA EL		6958.50	20.00DR	
19OCT17	S 1 19JAN18 DMA EL		6959.00	5.00DR	
19OCT17	S 5 19JAN18 DMA EL		6960.50	25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6976.50		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6966.00		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6971.00		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6971.50		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6969.00		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6972.00		25.00DR	
19OCT17	B 5 19JAN18 DMA EL	6973.00		25.00DR	
	CMX Copper 25,000 CMX				
19OCT17	S 1 DEC17 GX		317.600	2.32DR	
19OCT17	B 4 DEC17 GX	317.450		9.28DR	
19OCT17	B 3 DEC17 GX	317.250		6.96DR	
19OCT17	S 1 DEC17 GX		317.450	2.32DR	
19OCT17	B 3 DEC17 GX	317.500		6.96DR	
19OCT17	B 5 DEC17 GX	317.350		11.60DR	
19OCT17	B 4 DEC17 GX	316.750		9.28DR	
19OCT17	B 11 DEC17 GX	317.100		25.52DR	
19OCT17	S 6 DEC17 GX		317.200	13.92DR	
19OCT17	B 11 DEC17 GX	316.850		25.52DR	
19OCT17	B 11 DEC17 GX	317.400		25.52DR	
19OCT17	B 33 DEC17 GX	317.700		76.56DR	
19OCT17	B 11 DEC17 GX	317.800		25.52DR	
19OCT17	B 11 DEC17 GX	315.800		25.52DR	
19OCT17	S 11 DEC17 GX		315.300	25.52DR	
19OCT17	S 22 DEC17 GX		315.400	51.04DR	
19OCT17	B 11 DEC17 GX	316.000		25.52DR	
19OCT17	B 9 DEC17 GX	316.100		20.88DR	
19OCT17	B 1 DEC17 GX	316.200		2.32DR	
19OCT17	S 10 DEC17 GX		317.000	23.20DR	
19OCT17	S 5 DEC17 GX		316.400	11.60DR	
19OCT17	S 3 DEC17 GX		316.450	6.96DR	
19OCT17	S 1 DEC17 GX		316.500	2.32DR	
19OCT17	S 2 DEC17 GX		316.550	4.64DR	
19OCT17	S 11 DEC17 GX		316.800	25.52DR	
19OCT17	S 11 DEC17 GX		316.650	25.52DR	
19OCT17	S 33 DEC17 GX		316.700	76.56DR	
19OCT17	B 11 DEC17 GX	316.700		25.52DR	
19OCT17	S 11 DEC17 GX		316.750	25.52DR	
	Commission				1,144.44DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	317.250	317.600		87.50CR
19OCT17	1 DEC17	317.450	317.450		0.00
19OCT17	3 DEC17	317.450	317.200		187.50DR
19OCT17	2 DEC17	317.250	317.200		25.00DR
19OCT17	1 DEC17	317.350	317.200		37.50DR
19OCT17	1 DEC17	317.350	315.400		487.50DR
19OCT17	1 DEC17	317.500	315.400		525.00DR
19OCT17	5 DEC17	317.400	315.400		2,500.00DR
19OCT17	2 DEC17	317.500	315.400		1,050.00DR
19OCT17	2 DEC17	317.350	315.400		975.00DR
19OCT17	1 DEC17	317.350	315.300		512.50DR
19OCT17	4 DEC17	316.750	315.300		1,450.00DR
19OCT17	6 DEC17	316.850	315.300		2,325.00DR
19OCT17	1 DEC17	316.850	315.400		362.50DR
19OCT17	2 DEC17	316.850	315.400		725.00DR
19OCT17	8 DEC17	317.100	315.400		3,400.00DR
19OCT17	3 DEC17	317.100	317.000		75.00DR
19OCT17	1 DEC17	316.850	317.000		37.50CR
19OCT17	1 DEC17	316.850	317.000		37.50CR
19OCT17	2 DEC17	317.400	317.000		200.00DR
19OCT17	3 DEC17	317.400	317.000		300.00DR
19OCT17	1 DEC17	317.400	316.700		175.00DR
19OCT17	10 DEC17	317.700	316.700		2,500.00DR
19OCT17	4 DEC17	317.700	316.400		1,300.00DR
19OCT17	1 DEC17	317.700	316.400		325.00DR
19OCT17	3 DEC17	317.700	316.450		937.50DR
19OCT17	1 DEC17	317.700	316.500		300.00DR
19OCT17	2 DEC17	317.700	316.550		575.00DR
19OCT17	11 DEC17	317.700	316.700		2,750.00DR
19OCT17	1 DEC17	317.700	316.800		225.00DR
19OCT17	7 DEC17	317.800	316.800		1,750.00DR
19OCT17	2 DEC17	317.800	316.800		500.00DR
19OCT17	1 DEC17	317.800	316.800		250.00DR
19OCT17	1 DEC17	317.800	316.650		287.50DR
19OCT17	10 DEC17	315.800	316.650		2,125.00CR
19OCT17	1 DEC17	315.800	316.700		225.00CR
19OCT17	10 DEC17	316.000	316.700		1,750.00CR
19OCT17	1 DEC17	316.000	316.750		187.50CR
19OCT17	9 DEC17	316.100	316.750		1,462.50CR
19OCT17	1 DEC17	316.200	316.750		137.50CR
19OCT17	11 DEC17	316.700	307.550		25,162.50DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6003.50	5996.50		175.00DR
19JUL17	2 19OCT17	6003.50	5996.50		350.00DR
19JUL17	1 19OCT17	6004.50	5998.50		150.00DR
19JUL17	1 19OCT17	6004.50	5998.00		162.50DR
20JUL17	1 19OCT17	5959.70	5988.00		707.50CR
20JUL17	1 19OCT17	5959.70	5988.00		707.50CR
20JUL17	3 19OCT17	5959.70	5988.00		2,122.50CR
20JUL17	1 19OCT17	5959.70	5972.50		320.00CR
20JUL17	4 19OCT17	5959.70	5972.50		1,280.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				584,142.22DR
	SETTLEMENTS				

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Copper Grade A 25 tonnes. This Futures				4,300.00CR
	CMX Copper Futures				46,125.00DR
	COMMISSIONS				1,144.44DR
	CARRIED FORWARD BALANCE				627,111.66DR

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	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	S	3	19JAN18	DMA	EL		6975.00	15.00DR	
19OCT17	S	2	19JAN18	DMA	EL		6978.50	10.00DR	
19OCT17	S	1	19JAN18	DMA	EL		6993.00	5.00DR	
19OCT17	S	1	19JAN18	DMA	EL		6994.50	5.00DR	
19OCT17	S	5	19JAN18	DMA	EL		6996.50	25.00DR	
19OCT17	S	3	19JAN18	DMA	EL		6998.00	15.00DR	
19OCT17	S	4	19JAN18	DMA	EL		6999.00	20.00DR	
19OCT17	S	5	19JAN18	DMA	EL		6991.00	25.00DR	
19OCT17	S	1	19JAN18	DMA	EL		6995.50	5.00DR	
19OCT17	B	1	19JAN18	DMA	EL	6944.50		5.00DR	
19OCT17	B	4	19JAN18	DMA	EL	6945.00		20.00DR	
19OCT17	S	5	19JAN18	DMA	EL		6957.00	25.00DR	
19OCT17	B	1	19JAN18	DMA	EL	6967.50		5.00DR	
19OCT17	B	4	19JAN18	DMA	EL	6968.00		20.00DR	
19OCT17	B	1	19JAN18	DMA	EL	6971.50		5.00DR	
19OCT17	B	4	19JAN18	DMA	EL	6972.00		20.00DR	
19OCT17	B	5	19JAN18	DMA	EL	6972.50		25.00DR	
19OCT17	S	5	19JAN18	DMA	EL		6972.50	25.00DR	
19OCT17	B	10	19JAN18	DMA	EL	6970.00		50.00DR	
	CMX Copper 25,000 CMX								
19OCT17	B	7	DEC17		GX	316.800		16.24DR	
19OCT17	B	4	DEC17		GX	316.950		9.28DR	
19OCT17	B	11	DEC17		GX	317.500		25.52DR	
19OCT17	B	6	DEC17		GX	317.650		13.92DR	
19OCT17	B	11	DEC17		GX	317.700		25.52DR	
19OCT17	S	2	DEC17		GX		317.700	4.64DR	
19OCT17	B	7	DEC17		GX	317.850		16.24DR	
19OCT17	B	11	DEC17		GX	317.800		25.52DR	
19OCT17	S	11	DEC17		GX		315.500	25.52DR	
19OCT17	B	11	DEC17		GX	315.950		25.52DR	
19OCT17	S	11	DEC17		GX		316.500	25.52DR	
19OCT17	S	15	DEC17		GX		316.750	34.80DR	
19OCT17	S	7	DEC17		GX		316.700	16.24DR	
19OCT17	S	11	DEC17		GX		316.650	25.52DR	
19OCT17	S	11	DEC17		GX		316.600	25.52DR	
19OCT17	B	11	DEC17		GX	316.700		25.52DR	
	Commission								666.04DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
19OCT17		1	DEC17			316.800	317.700		225.00CR
19OCT17		1	DEC17			316.800	317.700		225.00CR
19OCT17		4	DEC17			316.800	315.500		1,300.00DR
19OCT17		1	DEC17			316.800	315.500		325.00DR
19OCT17		4	DEC17			316.950	315.500		1,450.00DR
19OCT17		2	DEC17			317.500	315.500		1,000.00DR
19OCT17		1	DEC17			317.500	316.500		250.00DR
19OCT17		6	DEC17			317.500	316.500		1,500.00DR
19OCT17		1	DEC17			317.500	316.500		250.00DR
19OCT17		1	DEC17			317.500	316.500		250.00DR
19OCT17		2	DEC17			317.650	316.500		575.00DR
19OCT17		2	DEC17			317.650	316.750		450.00DR
19OCT17		3	DEC17			317.700	316.750		712.50DR
19OCT17		1	DEC17			317.650	316.750		225.00DR
19OCT17		2	DEC17			317.700	316.750		475.00DR
19OCT17		1	DEC17			317.650	316.750		225.00DR
19OCT17		3	DEC17			317.700	316.750		712.50DR
19OCT17		3	DEC17			317.700	316.750		712.50DR

Group No: SAPAB025

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	7 DEC17	317.800	316.700		1,925.00DR
19OCT17	1 DEC17	317.800	316.650		287.50DR
19OCT17	3 DEC17	317.850	316.650		900.00DR
19OCT17	1 DEC17	317.800	316.650		287.50DR
19OCT17	3 DEC17	317.850	316.650		900.00DR
19OCT17	1 DEC17	317.800	316.650		287.50DR
19OCT17	1 DEC17	317.850	316.650		300.00DR
19OCT17	1 DEC17	317.800	316.650		287.50DR
19OCT17	11 DEC17	315.950	316.600		1,787.50CR
19OCT17	4 DEC17	316.700	308.050		8,650.00DR
19OCT17	4 DEC17	316.700	308.000		8,700.00DR
19OCT17	3 DEC17	316.700	308.000		6,525.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6003.50	5994.50		225.00DR
19JUL17	1 19OCT17	6003.50	5994.00		237.50DR
19JUL17	1 19OCT17	6003.50	5994.00		237.50DR
19JUL17	1 19OCT17	6003.50	5995.00		212.50DR
19JUL17	1 19OCT17	6003.50	5995.50		200.00DR
20JUL17	1 19OCT17	5959.70	5987.00		682.50CR
20JUL17	1 19OCT17	5959.70	5987.00		682.50CR
20JUL17	1 19OCT17	5959.70	5987.00		682.50CR
20JUL17	1 19OCT17	5959.70	5987.00		682.50CR
20JUL17	1 19OCT17	5959.70	5987.00		682.50CR
20JUL17	1 19OCT17	5959.70	5970.00		257.50CR
20JUL17	4 19OCT17	5959.70	5970.00		1,030.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				434,835.04DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,587.50CR
	CMX Copper Futures				37,225.00DR
	COMMISSIONS				666.04DR
	CARRIED FORWARD BALANCE				469,138.58DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

19th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		6996.00	5.00DR	
19OCT17	S 5 19JAN18 DMA EL		6998.50	25.00DR	
19OCT17	S 10 19JAN18 DMA EL		6986.00	50.00DR	
19OCT17	S 4 19JAN18 DMA EL		6990.00	20.00DR	
19OCT17	S 10 19JAN18 DMA EL		6986.50	50.00DR	
19OCT17	B 5 19JAN18 DMA EL	6949.00		25.00DR	
19OCT17	B 1 19JAN18 DMA EL	6951.00		5.00DR	
19OCT17	S 5 19JAN18 DMA EL		6949.50	25.00DR	
19OCT17	S 1 19JAN18 DMA EL		6950.00	5.00DR	
19OCT17	S 5 19JAN18 DMA EL		6958.50	25.00DR	
19OCT17	B 1 19JAN18 DMA EL	6968.50		5.00DR	
19OCT17	B 4 19JAN18 DMA EL	6971.50		20.00DR	
19OCT17	S 1 19JAN18 DMA EL		6970.50	5.00DR	
19OCT17	B 5 19JAN18 DMA EL	6970.00		25.00DR	
19OCT17	B 3 19JAN18 DMA EL	6971.00		15.00DR	
19OCT17	B 8 19JAN18 DMA EL	6973.00		40.00DR	
19OCT17	B 5 19JAN18 DMA EL	6976.00		25.00DR	
19OCT17	S 5 19JAN18 DMA EL		6975.00	25.00DR	
19OCT17	S 2 19JAN18 DMA EL		6970.00	10.00DR	
19OCT17	B 7 19JAN18 DMA EL	6974.50		35.00DR	
	CMX Copper 25,000 CMX				
19OCT17	B 33 DEC17 GX	317.250		76.56DR	
19OCT17	B 11 DEC17 GX	317.150		25.52DR	
19OCT17	B 11 DEC17 GX	317.400		25.52DR	
19OCT17	B 11 DEC17 GX	317.800		25.52DR	
19OCT17	S 11 DEC17 GX		315.750	25.52DR	
19OCT17	B 11 DEC17 GX	315.600		25.52DR	
19OCT17	B 11 DEC17 GX	315.950		25.52DR	
19OCT17	S 11 DEC17 GX		316.900	25.52DR	
19OCT17	S 11 DEC17 GX		316.650	25.52DR	
19OCT17	S 15 DEC17 GX		316.750	34.80DR	
19OCT17	S 18 DEC17 GX		316.800	41.76DR	
	Commission				797.28DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	11 DEC17	317.250	315.750		4,125.00DR
19OCT17	11 DEC17	317.250	316.750		1,375.00DR
19OCT17	11 DEC17	317.250	316.900		962.50DR
19OCT17	11 DEC17	317.150	316.800		962.50DR
19OCT17	2 DEC17	317.400	316.650		375.00DR
19OCT17	9 DEC17	317.400	316.650		1,687.50DR
19OCT17	3 DEC17	317.800	316.750		787.50DR
19OCT17	1 DEC17	317.800	316.750		262.50DR
19OCT17	7 DEC17	317.800	316.800		1,750.00DR
19OCT17	2 DEC17	315.600	307.950		3,825.00DR
19OCT17	4 DEC17	315.600	308.150		7,450.00DR
19OCT17	4 DEC17	315.600	309.400		6,200.00DR
19OCT17	1 DEC17	315.600	309.450		1,537.50DR
19OCT17	2 DEC17	315.950	309.400		3,275.00DR
19OCT17	9 DEC17	315.950	309.400		14,737.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				487,147.38DR
	SETTLEMENTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

19th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Copper Futures				49,312.50DR
	COMMISSIONS				797.28DR
	CARRIED FORWARD BALANCE				537,257.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025W 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 2 19JAN18 DMA EL		6988.00	10.00DR	
19OCT17	S 3 19JAN18 DMA EL		6989.00	15.00DR	
19OCT17	B 5 19JAN18 DMA EL	6970.00		25.00DR	
	CMX Copper 25,000 CMX				
19OCT17	B 4 DEC17 GX	317.300		9.28DR	
19OCT17	B 7 DEC17 GX	317.450		16.24DR	
19OCT17	S 11 DEC17 GX		316.650	25.52DR	
	Commission				101.04DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	317.300	316.650		162.50DR
19OCT17	2 DEC17	317.300	316.650		325.00DR
19OCT17	1 DEC17	317.300	316.650		162.50DR
19OCT17	7 DEC17	317.450	316.650		1,400.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				313,239.46DR
	SETTLEMENTS				
	CMX Copper Futures				2,050.00DR
	COMMISSIONS				101.04DR
	CARRIED FORWARD BALANCE				315,390.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB025

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,336,589.05DR
	SETTLEMENTS		
	CMX Copper Futures		134,712.50DR
	LME Copper Grade A 25 tonnes. This Futures		73,600.00CR
	COMMISSIONS		2,708.80DR
	CARRIED FORWARD BALANCE		3,400,410.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0038A 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL		6974.50	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		6971.50	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		6953.00	5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6933.50		5.00DR	
	CMX Copper 25,000 CMX				
19OCT17	B 2 DEC17 TTR GX	316.650		4.60DR	
19OCT17	B 2 DEC17 TTR GX	316.550		4.60DR	
19OCT17	B 2 DEC17 TTR GX	315.500		4.60DR	
19OCT17	S 2 DEC17 TTR GX		315.050	4.60DR	
	Commission				38.40DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	2 DEC17	316.650	315.050		800.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5985.50	5999.50		350.00CR
19JUL17	1 19OCT17	5991.00	6002.50		287.50CR
21JUL17	1 19OCT17	6005.20	5985.00		505.00DR
21JUL17	1 19OCT17	6005.20	5973.00		805.00DR
21JUL17	1 19OCT17	6005.20	5973.50		792.50DR
21JUL17	1 19OCT17	6005.20	5968.50		917.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				51,830.26DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				2,382.50DR
	CMX Copper Futures				800.00DR
	COMMISSIONS				38.40DR
	CARRIED FORWARD BALANCE				55,051.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP038AA 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 190CT17	5985.50	6002.00		412.50CR
19JUL17	1 190CT17	5991.00	6002.50		287.50CR
21JUL17	1 190CT17	6005.20	5987.00		455.00DR
21JUL17	1 190CT17	6005.20	5978.50		667.50DR
21JUL17	1 190CT17	6005.20	5973.50		792.50DR
21JUL17	1 190CT17	6005.20	5968.50		917.50DR
21JUL17	1 190CT17	6005.20	5969.50		892.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				28,808.67DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,025.00DR
	CARRIED FORWARD BALANCE				31,833.67DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB038

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		80,723.93DR
	SETTLEMENTS		
	CMX Copper Futures		800.00DR
	LME Copper Grade A 25 tonnes. This Futures		5,407.50DR
	COMMISSIONS		38.40DR
	CARRIED FORWARD BALANCE		86,969.83DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

19th October 2017

COB GLOBAL

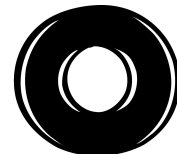
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
24JUL17	1 19OCT17	6026.70	5968.50		1,455.00DR
24JUL17	1 19OCT17	6026.70	5968.50		1,455.00DR
24JUL17	1 19OCT17	6026.70	5969.50		1,430.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				179,447.80CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,340.00DR
	CARRIED FORWARD BALANCE				175,107.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB086

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		166,287.41CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		4,340.00DR
	CARRIED FORWARD BALANCE		161,947.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097D

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
19OCT17	S 5 DEC17 GX		1278.6	11.75DR	
19OCT17	S 2 DEC17 GX		1279.4	4.70DR	
19OCT17	S 13 DEC17 GX		1279.2	30.55DR	
19OCT17	S 5 DEC17 GX		1279.6	11.75DR	
19OCT17	S 5 DEC17 GX		1286.4	11.75DR	
19OCT17	S 5 DEC17 GX		1286.6	11.75DR	
	Commission				82.25DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	5 DEC17	1282.1	1278.6		1,750.00DR
19OCT17	5 DEC17	1282.2	1279.2		1,500.00DR
19OCT17	7 DEC17	1282.1	1279.2		2,030.00DR
19OCT17	2 DEC17	1282.1	1279.4		540.00DR
19OCT17	1 DEC17	1282.1	1279.2		290.00DR
19OCT17	3 DEC17	1281.8	1279.6		660.00DR
19OCT17	1 DEC17	1281.9	1279.6		230.00DR
19OCT17	1 DEC17	1281.8	1279.6		220.00DR
19OCT17	5 DEC17	1285.9	1286.4		250.00CR
19OCT17	5 DEC17	1286.8	1286.6		100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				92,660.00CR
	SETTLEMENTS				
	CMX Gold Futures				7,070.00DR
	COMMISSIONS				82.25DR
	CARRIED FORWARD BALANCE				85,507.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097E
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
30AUG17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 19OCT17	5980.50	6755.00		19,362.50CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 5,622.25CR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 19,362.50CR CARRIED FORWARD BALANCE 24,984.75CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

19th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	S	2	19JAN18	TTR	EL		6981.50	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		6983.00	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		6988.00	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		6991.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6992.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6993.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7004.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7002.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7006.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7005.50	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		7004.00	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		7002.00	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		7003.00	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		7000.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7001.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6997.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6996.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6995.50	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		6998.50	9.30DR	
19OCT17	S	2	19JAN18	TTR	EL		6939.00	9.30DR	
19OCT17	S	3	19JAN18	TTR	EL		6939.50	13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	6953.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6955.00		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	6954.00		13.95DR	
19OCT17	B	3	19JAN18	TTR	EL	6943.00		13.95DR	
19OCT17	B	3	19JAN18	TTR	EL	6945.00		13.95DR	
19OCT17	B	3	19JAN18	TTR	EL	6944.00		13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	6944.50		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	6957.00		13.95DR	
19OCT17	B	4	19JAN18	TTR	EL	6956.00		18.60DR	
19OCT17	B	1	19JAN18	TTR	EL	6952.50		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		6997.00	4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	6959.00		9.30DR	
19OCT17	S	4	19JAN18	TTR	EL		6952.50	18.60DR	
19OCT17	S	1	19JAN18	TTR	EL		6955.00	4.65DR	
	CMX Copper 25,000 CMX								
19OCT17	B	4	DEC17	TTR	GX	317.050		9.40DR	
19OCT17	B	2	DEC17	TTR	GX	317.150		4.70DR	
19OCT17	B	5	DEC17	TTR	GX	317.400		11.75DR	
19OCT17	B	2	DEC17	TTR	GX	317.550		4.70DR	
19OCT17	B	2	DEC17	TTR	GX	317.600		4.70DR	
19OCT17	B	2	DEC17	TTR	GX	317.650		4.70DR	
19OCT17	B	6	DEC17	TTR	GX	318.200		14.10DR	
19OCT17	B	4	DEC17	TTR	GX	318.100		9.40DR	
19OCT17	B	7	DEC17	TTR	GX	318.050		16.45DR	
19OCT17	B	1	DEC17	TTR	GX	317.950		2.35DR	
19OCT17	B	1	DEC17	TTR	GX	317.900		2.35DR	
19OCT17	B	5	DEC17	TTR	GX	318.000		11.75DR	
19OCT17	B	6	DEC17	TTR	GX	317.800		14.10DR	
19OCT17	B	2	DEC17	TTR	GX	317.700		4.70DR	
19OCT17	B	6	DEC17	TTR	GX	317.850		14.10DR	
19OCT17	B	3	DEC17	TTR	GX	315.100		7.05DR	
19OCT17	B	8	DEC17	TTR	GX	315.150		18.80DR	
19OCT17	S	4	DEC17	TTR	GX		315.900	9.40DR	
19OCT17	S	9	DEC17	TTR	GX		315.400	21.15DR	
19OCT17	S	4	DEC17	TTR	GX		315.500	9.40DR	
19OCT17	S	9	DEC17	TTR	GX		315.450	21.15DR	
19OCT17	S	4	DEC17	TTR	GX		316.150	9.40DR	
19OCT17	S	4	DEC17	TTR	GX		316.050	9.40DR	
19OCT17	S	9	DEC17	TTR	GX		316.000	21.15DR	
19OCT17	S	4	DEC17	TTR	GX		315.950	9.40DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

19th October 2017

COB GLOBAL

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	S 8 DEC17 TTR GX		315.850	18.80DR	
19OCT17	B 4 DEC17 TTR GX	315.750		9.40DR	
19OCT17	B 4 DEC17 TTR GX	315.800		9.40DR	
19OCT17	B 3 DEC17 TTR GX	315.900		7.05DR	
	Commission				589.20DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
19OCT17	2 DEC17	317.050	315.850		600.00DR
19OCT17	2 DEC17	317.050	315.900		575.00DR
19OCT17	2 DEC17	317.150	315.950		600.00DR
19OCT17	1 DEC17	317.400	315.900		375.00DR
19OCT17	1 DEC17	317.400	315.900		375.00DR
19OCT17	1 DEC17	317.400	315.850		387.50DR
19OCT17	2 DEC17	317.400	315.850		775.00DR
19OCT17	2 DEC17	317.550	315.400		1,075.00DR
19OCT17	2 DEC17	317.600	315.400		1,100.00DR
19OCT17	2 DEC17	317.650	315.450		1,100.00DR
19OCT17	2 DEC17	318.200	315.400		1,400.00DR
19OCT17	3 DEC17	318.050	315.400		1,987.50DR
19OCT17	4 DEC17	318.200	315.500		2,700.00DR
19OCT17	1 DEC17	318.100	315.450		662.50DR
19OCT17	3 DEC17	318.100	315.450		1,987.50DR
19OCT17	3 DEC17	318.000	315.450		1,912.50DR
19OCT17	4 DEC17	318.050	316.150		1,900.00DR
19OCT17	1 DEC17	317.950	316.000		487.50DR
19OCT17	1 DEC17	317.900	316.000		475.00DR
19OCT17	2 DEC17	318.000	316.050		975.00DR
19OCT17	1 DEC17	317.800	316.000		450.00DR
19OCT17	2 DEC17	317.800	316.000		900.00DR
19OCT17	2 DEC17	317.800	316.050		875.00DR
19OCT17	1 DEC17	317.850	316.000		462.50DR
19OCT17	1 DEC17	317.800	316.000		450.00DR
19OCT17	2 DEC17	317.700	316.000		850.00DR
19OCT17	2 DEC17	317.850	315.950		950.00DR
19OCT17	3 DEC17	317.850	315.850		1,500.00DR
19OCT17	3 DEC17	315.100	307.700		5,550.00DR
19OCT17	8 DEC17	315.150	307.700		14,900.00DR
19OCT17	2 DEC17	315.800	308.050		3,875.00DR
19OCT17	4 DEC17	315.750	309.250		6,500.00DR
19OCT17	1 DEC17	315.800	309.250		1,637.50DR
19OCT17	1 DEC17	315.800	309.200		1,650.00DR
19OCT17	3 DEC17	315.900	309.200		5,025.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				628,351.10CR
	SETTLEMENTS				
	CMX Copper Futures				67,025.00DR
	COMMISSIONS				589.20DR
	CARRIED FORWARD BALANCE				560,736.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	S	1	19JAN18	TTR	EL		7008.50	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		7005.50	9.30DR	
19OCT17	S	2	19JAN18	TTR	EL		7006.50	9.30DR	
19OCT17	S	4	19JAN18	TTR	EL		7007.50	18.60DR	
19OCT17	S	4	19JAN18	TTR	EL		7004.50	18.60DR	
19OCT17	S	1	19JAN18	TTR	EL		7003.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		7002.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6997.00	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		6998.00	9.30DR	
19OCT17	S	2	19JAN18	TTR	EL		6999.50	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		6993.50	4.65DR	
19OCT17	S	4	19JAN18	TTR	EL		6992.50	18.60DR	
19OCT17	S	1	19JAN18	TTR	EL		6970.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6949.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6938.50		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6940.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6939.50		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6939.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	6953.00		9.30DR	
19OCT17	B	3	19JAN18	TTR	EL	6953.50		13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	6954.50		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	6944.00		13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	6951.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6959.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6958.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6956.00		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6969.00	4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6968.50		9.30DR	
19OCT17	S	6	19JAN18	TTR	EL		6967.50	27.90DR	
19OCT17	S	1	19JAN18	TTR	EL		6963.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6964.50	4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6957.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6959.50		4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		6949.50	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		6952.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6951.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6953.50	4.65DR	
19OCT17	S	3	19JAN18	TTR	EL		6962.50	13.95DR	
19OCT17	S	1	19JAN18	TTR	EL		6959.00	4.65DR	
19OCT17	S	3	19JAN18	TTR	EL		6960.50	13.95DR	
19OCT17	S	4	19JAN18	TTR	EL		6954.50	18.60DR	
19OCT17	S	2	19JAN18	TTR	EL		6954.00	9.30DR	
19OCT17	S	3	19JAN18	TTR	EL		6950.00	13.95DR	
19OCT17	S	1	19JAN18	TTR	EL		6946.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6945.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6942.00		4.65DR	
19OCT17	B	5	19JAN18	TTR	EL	6945.00		23.25DR	
19OCT17	B	3	19JAN18	TTR	EL	6946.00		13.95DR	
19OCT17	B	2	19JAN18	TTR	EL	6943.50		9.30DR	
19OCT17	B	3	19JAN18	TTR	EL	6943.00		13.95DR	
19OCT17	B	7	19JAN18	TTR	EL	6942.50		32.55DR	
19OCT17	B	3	19JAN18	TTR	EL	6944.50		13.95DR	
19OCT17	S	1	19JAN18	DMA	EL		6969.50	4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	6948.50		9.30DR	
	CMX Copper 25,000 CMX								
19OCT17	B	3	DEC17	TTR	GX	318.200		7.05DR	
19OCT17	B	7	DEC17	TTR	GX	318.300		16.45DR	
19OCT17	B	10	DEC17	TTR	GX	318.250		23.50DR	
19OCT17	B	5	DEC17	TTR	GX	318.100		11.75DR	
19OCT17	B	3	DEC17	TTR	GX	318.150		7.05DR	
19OCT17	B	5	DEC17	TTR	GX	318.050		11.75DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	2	DEC17	TTR	GX	317.800		4.70DR	
19OCT17	B	4	DEC17	TTR	GX	317.850		9.40DR	
19OCT17	B	1	DEC17	TTR	GX	317.950		2.35DR	
19OCT17	B	4	DEC17	TTR	GX	317.900		9.40DR	
19OCT17	B	2	DEC17	TTR	GX	317.650		4.70DR	
19OCT17	B	1	DEC17	TTR	GX	317.600		2.35DR	
19OCT17	B	8	DEC17	TTR	GX	317.550		18.80DR	
19OCT17	B	2	DEC17	TTR	GX	316.550		4.70DR	
19OCT17	B	5	DEC17	TTR	GX	316.400		11.75DR	
19OCT17	B	2	DEC17	TTR	GX	315.600		4.70DR	
19OCT17	S	4	DEC17	TTR	GX		315.250	9.40DR	
19OCT17	S	9	DEC17	TTR	GX		315.200	21.15DR	
19OCT17	S	2	DEC17	TTR	GX		315.900	4.70DR	
19OCT17	S	4	DEC17	TTR	GX		315.850	9.40DR	
19OCT17	S	7	DEC17	TTR	GX		315.800	16.45DR	
19OCT17	S	2	DEC17	TTR	GX		315.750	4.70DR	
19OCT17	S	2	DEC17	TTR	GX		316.100	4.70DR	
19OCT17	S	1	DEC17	TTR	GX		315.950	2.35DR	
19OCT17	S	4	DEC17	TTR	GX		316.000	9.40DR	
19OCT17	B	4	DEC17	TTR	GX	316.500		9.40DR	
19OCT17	S	2	DEC17	TTR	GX		316.550	4.70DR	
19OCT17	S	2	DEC17	TTR	GX		316.400	4.70DR	
19OCT17	B	12	DEC17	TTR	GX	316.450		28.20DR	
19OCT17	B	3	DEC17	TTR	GX	316.300		7.05DR	
19OCT17	S	4	DEC17	TTR	GX		316.050	9.40DR	
19OCT17	B	11	DEC17	TTR	GX	315.650		25.85DR	
19OCT17	B	2	DEC17	TTR	GX	315.750		4.70DR	
19OCT17	B	6	DEC17	TTR	GX	316.200		14.10DR	
19OCT17	B	3	DEC17	TTR	GX	316.000		7.05DR	
19OCT17	B	2	DEC17	TTR	GX	316.150		4.70DR	
19OCT17	B	4	DEC17	TTR	GX	316.050		9.40DR	
19OCT17	B	6	DEC17	TTR	GX	315.850		14.10DR	
19OCT17	B	4	DEC17	TTR	GX	315.900		9.40DR	
19OCT17	B	5	DEC17	TTR	GX	315.800		11.75DR	
19OCT17	B	2	DEC17	TTR	GX	315.550		4.70DR	
19OCT17	B	3	DEC17	TTR	GX	315.500		7.05DR	
19OCT17	S	4	DEC17	TTR	GX		315.700	9.40DR	
19OCT17	S	2	DEC17	TTR	GX		315.650	4.70DR	
19OCT17	S	2	DEC17	TTR	GX		315.350	4.70DR	
19OCT17	S	11	DEC17	TTR	GX		315.450	25.85DR	
19OCT17	S	2	DEC17	TTR	GX		315.550	4.70DR	
19OCT17	S	11	DEC17	TTR	GX		315.500	25.85DR	
19OCT17	S	34	DEC17	TTR	GX		315.400	79.90DR	
Commission									1,075.50DR
SETTLEMENTS									
CMX Copper 25,000 CMX									
19OCT17		2	DEC17			318.100	315.200		1,450.00DR
19OCT17		2	DEC17			318.250	315.250		1,500.00DR
19OCT17		1	DEC17			318.300	315.200		775.00DR
19OCT17		2	DEC17			318.300	315.250		1,525.00DR
19OCT17		1	DEC17			318.300	315.200		775.00DR
19OCT17		2	DEC17			318.250	315.200		1,525.00DR
19OCT17		1	DEC17			318.200	315.200		750.00DR
19OCT17		2	DEC17			318.200	315.200		1,500.00DR
19OCT17		2	DEC17			318.250	315.900		1,175.00DR
19OCT17		2	DEC17			318.250	315.800		1,225.00DR
19OCT17		3	DEC17			318.300	315.800		1,875.00DR
19OCT17		1	DEC17			318.250	315.800		612.50DR
19OCT17		1	DEC17			318.250	315.850		600.00DR
19OCT17		3	DEC17			318.100	315.850		1,687.50DR
19OCT17		1	DEC17			318.150	315.800		587.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 DEC17	318.150	315.400		687.50DR
19OCT17	1 DEC17	318.150	315.400		687.50DR
19OCT17	5 DEC17	318.050	315.400		3,312.50DR
19OCT17	1 DEC17	317.900	315.400		625.00DR
19OCT17	1 DEC17	317.850	315.400		612.50DR
19OCT17	1 DEC17	317.850	315.400		612.50DR
19OCT17	1 DEC17	317.900	315.400		625.00DR
19OCT17	1 DEC17	317.800	315.400		600.00DR
19OCT17	1 DEC17	317.800	315.400		600.00DR
19OCT17	1 DEC17	317.850	315.400		612.50DR
19OCT17	1 DEC17	317.850	315.400		612.50DR
19OCT17	1 DEC17	317.900	315.400		625.00DR
19OCT17	1 DEC17	317.950	315.400		637.50DR
19OCT17	1 DEC17	317.900	315.450		612.50DR
19OCT17	2 DEC17	317.650	315.450		1,100.00DR
19OCT17	4 DEC17	317.550	315.450		2,100.00DR
19OCT17	1 DEC17	317.550	315.500		512.50DR
19OCT17	1 DEC17	317.600	315.500		525.00DR
19OCT17	3 DEC17	317.550	315.500		1,537.50DR
19OCT17	2 DEC17	316.550	315.500		525.00DR
19OCT17	2 DEC17	316.400	315.750		325.00DR
19OCT17	1 DEC17	316.400	316.000		100.00DR
19OCT17	1 DEC17	316.450	316.000		112.50DR
19OCT17	2 DEC17	316.400	316.100		150.00DR
19OCT17	2 DEC17	316.450	316.050		200.00DR
19OCT17	1 DEC17	316.450	316.000		112.50DR
19OCT17	1 DEC17	315.600	315.950		87.50CR
19OCT17	1 DEC17	315.600	316.000		100.00CR
19OCT17	2 DEC17	316.500	316.550		25.00CR
19OCT17	2 DEC17	316.500	316.400		50.00DR
19OCT17	2 DEC17	316.450	316.050		200.00DR
19OCT17	2 DEC17	316.450	315.700		375.00DR
19OCT17	1 DEC17	316.450	315.700		187.50DR
19OCT17	1 DEC17	316.450	315.700		187.50DR
19OCT17	2 DEC17	316.450	315.650		400.00DR
19OCT17	2 DEC17	316.300	315.400		450.00DR
19OCT17	1 DEC17	316.300	315.400		225.00DR
19OCT17	3 DEC17	315.650	315.400		187.50DR
19OCT17	1 DEC17	315.650	315.500		37.50DR
19OCT17	2 DEC17	315.650	315.350		150.00DR
19OCT17	4 DEC17	315.650	315.450		200.00DR
19OCT17	1 DEC17	315.650	315.550		25.00DR
19OCT17	1 DEC17	315.850	315.550		75.00DR
19OCT17	1 DEC17	315.850	315.500		87.50DR
19OCT17	2 DEC17	315.750	315.500		125.00DR
19OCT17	2 DEC17	315.800	315.400		200.00DR
19OCT17	2 DEC17	315.850	315.400		225.00DR
19OCT17	6 DEC17	316.200	315.400		1,200.00DR
19OCT17	1 DEC17	316.000	315.400		150.00DR
19OCT17	1 DEC17	316.000	307.450		2,137.50DR
19OCT17	1 DEC17	316.000	307.350		2,162.50DR
19OCT17	1 DEC17	316.050	307.350		2,175.00DR
19OCT17	1 DEC17	316.050	307.300		2,187.50DR
19OCT17	2 DEC17	316.150	307.850		4,150.00DR
19OCT17	2 DEC17	316.050	307.850		4,100.00DR
19OCT17	2 DEC17	315.900	307.850		4,025.00DR
19OCT17	1 DEC17	315.850	307.800		2,012.50DR
19OCT17	1 DEC17	315.850	307.850		2,000.00DR
19OCT17	2 DEC17	315.900	307.850		4,025.00DR
19OCT17	1 DEC17	315.800	307.850		1,987.50DR
19OCT17	2 DEC17	315.800	307.550		4,125.00DR
19OCT17	2 DEC17	315.550	307.550		4,000.00DR
19OCT17	3 DEC17	315.500	307.550		5,962.50DR
TOTALS					

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097R
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				338,090.27CR
	SETTLEMENTS				
	CMX Copper Futures				85,900.00DR
	COMMISSIONS				1,075.50DR
	CARRIED FORWARD BALANCE				251,114.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
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LONDON
W1J 7NJ

SAPAB097

19th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,275,131.56CR
	SETTLEMENTS		
	CMX Copper Futures		152,925.00DR
	CMX Gold Futures		7,070.00DR
	LME Copper Grade A 25 tonnes. This Futures		19,362.50CR
	COMMISSIONS		1,746.95DR
	CARRIED FORWARD BALANCE		2,132,752.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118E
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
13OCT17	1 19OCT17	6857.70	5974.00		22,092.50DR
13OCT17	1 19OCT17	6857.70	5966.50		22,280.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				74,890.33CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				44,372.50DR
	CARRIED FORWARD BALANCE				30,517.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB118

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		169,287.98CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		44,372.50DR
	CARRIED FORWARD BALANCE		124,915.48CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	S	1	19JAN18	TTR	EL		6983.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6988.50	4.75DR	
19OCT17	S	3	19JAN18	TTR	EL		6985.00	14.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6985.50	4.75DR	
19OCT17	S	3	19JAN18	TTR	EL		6986.00	14.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6986.50	4.75DR	
19OCT17	S	7	19JAN18	TTR	EL		6987.00	33.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6988.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6996.00	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6995.50	9.50DR	
19OCT17	S	1	19JAN18	TTR	EL		6989.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6990.00	4.75DR	
19OCT17	S	3	19JAN18	TTR	EL		6991.00	14.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6993.00	4.75DR	
19OCT17	S	4	19JAN18	TTR	EL		6994.50	19.00DR	
19OCT17	S	2	19JAN18	TTR	EL		7000.00	9.50DR	
19OCT17	S	1	19JAN18	TTR	EL		7005.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		7008.00	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		7007.00	9.50DR	
19OCT17	S	2	19JAN18	TTR	EL		7004.50	9.50DR	
19OCT17	S	2	19JAN18	TTR	EL		7005.50	9.50DR	
19OCT17	S	4	19JAN18	TTR	EL		7003.50	19.00DR	
19OCT17	S	1	19JAN18	TTR	EL		7000.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6994.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6997.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6998.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6999.50	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6993.50	9.50DR	
19OCT17	S	4	19JAN18	TTR	EL		6991.50	19.00DR	
19OCT17	S	8	19JAN18	TTR	EL		6992.50	38.00DR	
19OCT17	S	5	19JAN18	TTR	EL		6967.50	23.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6972.00	9.50DR	
19OCT17	S	1	19JAN18	TTR	EL		6977.50	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6983.50	9.50DR	
19OCT17	S	3	19JAN18	TTR	EL		6981.50	14.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6979.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6980.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6968.50	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6971.50	9.50DR	
19OCT17	S	1	19JAN18	TTR	EL		6968.00	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6952.50	4.75DR	
19OCT17	S	2	19JAN18	TTR	EL		6955.00	9.50DR	
19OCT17	S	1	19JAN18	TTR	EL		6948.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6932.50	4.75DR	
19OCT17	S	1	19JAN18	TTR	EL		6926.00	4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6930.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6935.00		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6934.00		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6912.00		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6913.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6913.00		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6912.50		4.75DR	
19OCT17	B	2	19JAN18	TTR	EL	6917.50		9.50DR	
19OCT17	B	1	19JAN18	TTR	EL	6919.50		4.75DR	
19OCT17	B	2	19JAN18	TTR	EL	6920.00		9.50DR	
19OCT17	B	2	19JAN18	TTR	EL	6918.00		9.50DR	
19OCT17	B	4	19JAN18	TTR	EL	6918.50		19.00DR	
19OCT17	B	4	19JAN18	TTR	EL	6919.00		19.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6916.50		4.75DR	
19OCT17	B	6	19JAN18	TTR	EL	6914.00		28.50DR	
19OCT17	S	1	19JAN18	TTR	EL		6938.50	4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6938.00		4.75DR	

Group No: SAPAB127

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	S	2	19JAN18	TTR	EL		6945.00	9.50DR	
19OCT17	S	3	19JAN18	TTR	EL		6946.00	14.25DR	
19OCT17	S	2	19JAN18	TTR	EL		6939.00	9.50DR	
19OCT17	S	4	19JAN18	TTR	EL		6939.50	19.00DR	
19OCT17	S	3	19JAN18	TTR	EL		6944.00	14.25DR	
19OCT17	B	1	19JAN18	TTR	EL	6945.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6951.50		4.75DR	
19OCT17	B	3	19JAN18	TTR	EL	6952.00		14.25DR	
19OCT17	B	2	19JAN18	TTR	EL	6952.50		9.50DR	
19OCT17	B	2	19JAN18	TTR	EL	6951.00		9.50DR	
19OCT17	B	1	19JAN18	TTR	EL	6948.00		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6947.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6938.50		4.75DR	
19OCT17	B	2	19JAN18	TTR	EL	6937.00		9.50DR	
19OCT17	B	2	19JAN18	TTR	EL	6939.50		9.50DR	
19OCT17	B	5	19JAN18	TTR	EL	6940.00		23.75DR	
19OCT17	B	2	19JAN18	TTR	EL	6942.00		9.50DR	
19OCT17	B	2	19JAN18	TTR	EL	6950.50		9.50DR	
19OCT17	B	4	19JAN18	TTR	EL	6948.50		19.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6944.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6943.50		4.75DR	
19OCT17	B	1	19JAN18	TTR	EL	6947.00		4.75DR	
19OCT17	B	4	19JAN18	TTR	EL	6946.50		19.00DR	
19OCT17	B	18	19JAN18	TTR	EL	6945.00		85.50DR	
19OCT17	B	6	19JAN18	TTR	EL	6944.00		28.50DR	
19OCT17	B	1	19JAN18	TTR	EL	6942.50		4.75DR	
19OCT17	B	2	19JAN18	DMA	EL	6920.00		9.50DR	
19OCT17	S	1	19JAN18	DMA	EL		7007.00	4.75DR	
19OCT17	B	1	19JAN18	DMA	EL	6945.50		4.75DR	
19OCT17	B	1	19JAN18	DMA	EL	6948.50		4.75DR	
	CMX Copper 25,000 CMX								
19OCT17	B	1	DEC17	TTR	GX	317.200		2.40DR	
19OCT17	B	5	DEC17	TTR	GX	317.350		12.00DR	
19OCT17	B	9	DEC17	TTR	GX	317.250		21.60DR	
19OCT17	B	19	DEC17	TTR	GX	317.300		45.60DR	
19OCT17	B	3	DEC17	TTR	GX	317.400		7.20DR	
19OCT17	B	3	DEC17	TTR	GX	317.750		7.20DR	
19OCT17	B	2	DEC17	TTR	GX	317.950		4.80DR	
19OCT17	B	6	DEC17	TTR	GX	318.100		14.40DR	
19OCT17	B	6	DEC17	TTR	GX	318.250		14.40DR	
19OCT17	B	5	DEC17	TTR	GX	318.200		12.00DR	
19OCT17	B	7	DEC17	TTR	GX	318.150		16.80DR	
19OCT17	B	4	DEC17	TTR	GX	318.050		9.60DR	
19OCT17	B	15	DEC17	TTR	GX	317.650		36.00DR	
19OCT17	B	5	DEC17	TTR	GX	317.700		12.00DR	
19OCT17	B	4	DEC17	TTR	GX	317.800		9.60DR	
19OCT17	B	2	DEC17	TTR	GX	317.850		4.80DR	
19OCT17	B	7	DEC17	TTR	GX	317.900		16.80DR	
19OCT17	B	13	DEC17	TTR	GX	317.500		31.20DR	
19OCT17	B	18	DEC17	TTR	GX	317.550		43.20DR	
19OCT17	B	7	DEC17	TTR	GX	317.600		16.80DR	
19OCT17	B	6	DEC17	TTR	GX	316.400		14.40DR	
19OCT17	B	5	DEC17	TTR	GX	316.450		12.00DR	
19OCT17	B	4	DEC17	TTR	GX	316.650		9.60DR	
19OCT17	B	1	DEC17	TTR	GX	316.950		2.40DR	
19OCT17	B	1	DEC17	TTR	GX	316.900		2.40DR	
19OCT17	B	7	DEC17	TTR	GX	317.150		16.80DR	
19OCT17	B	2	DEC17	TTR	GX	317.100		4.80DR	
19OCT17	B	2	DEC17	TTR	GX	317.000		4.80DR	
19OCT17	B	7	DEC17	TTR	GX	317.050		16.80DR	
19OCT17	B	4	DEC17	TTR	GX	316.600		9.60DR	
19OCT17	B	4	DEC17	TTR	GX	316.500		9.60DR	
19OCT17	B	3	DEC17	TTR	GX	315.800		7.20DR	
19OCT17	B	2	DEC17	TTR	GX	315.850		4.80DR	
19OCT17	B	2	DEC17	TTR	GX	315.900		4.80DR	
19OCT17	B	2	DEC17	TTR	GX	315.600		4.80DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	2	DEC17	TTR	GX	314.950		4.80DR	
19OCT17	B	3	DEC17	TTR	GX	314.650		7.20DR	
19OCT17	S	2	DEC17	TTR	GX		314.850	4.80DR	
19OCT17	S	2	DEC17	TTR	GX		315.000	4.80DR	
19OCT17	S	2	DEC17	TTR	GX		314.950	4.80DR	
19OCT17	S	9	DEC17	TTR	GX		314.050	21.60DR	
19OCT17	S	1	DEC17	TTR	GX		313.950	2.40DR	
19OCT17	S	3	DEC17	TTR	GX		314.000	7.20DR	
19OCT17	S	4	DEC17	TTR	GX		314.200	9.60DR	
19OCT17	S	17	DEC17	TTR	GX		314.300	40.80DR	
19OCT17	S	5	DEC17	TTR	GX		314.350	12.00DR	
19OCT17	S	11	DEC17	TTR	GX		314.100	26.40DR	
19OCT17	S	12	DEC17	TTR	GX		314.250	28.80DR	
19OCT17	B	1	DEC17	TTR	GX	315.200		2.40DR	
19OCT17	B	6	DEC17	TTR	GX	315.450		14.40DR	
19OCT17	B	5	DEC17	TTR	GX	315.500		12.00DR	
19OCT17	B	13	DEC17	TTR	GX	315.150		31.20DR	
19OCT17	B	6	DEC17	TTR	GX	315.350		14.40DR	
19OCT17	S	3	DEC17	TTR	GX		315.800	7.20DR	
19OCT17	S	6	DEC17	TTR	GX		315.850	14.40DR	
19OCT17	S	4	DEC17	TTR	GX		315.150	9.60DR	
19OCT17	S	3	DEC17	TTR	GX		315.200	7.20DR	
19OCT17	S	14	DEC17	TTR	GX		315.250	33.60DR	
19OCT17	S	6	DEC17	TTR	GX		315.350	14.40DR	
19OCT17	S	8	DEC17	TTR	GX		315.750	19.20DR	
19OCT17	S	12	DEC17	TTR	GX		315.600	28.80DR	
19OCT17	S	10	DEC17	TTR	GX		315.650	24.00DR	
19OCT17	S	6	DEC17	TTR	GX		315.550	14.40DR	
19OCT17	S	24	DEC17	TTR	GX		315.450	57.60DR	
19OCT17	S	38	DEC17	TTR	GX		315.500	91.20DR	
19OCT17	S	5	DEC17	TTR	GX		315.400	12.00DR	
	Commission								1,996.40DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
19OCT17		2	DEC17			317.150	314.850		1,150.00DR
19OCT17		2	DEC17			317.350	315.000		1,175.00DR
19OCT17		2	DEC17			317.300	314.950		1,175.00DR
19OCT17		2	DEC17			317.300	314.000		1,650.00DR
19OCT17		3	DEC17			317.300	314.100		2,400.00DR
19OCT17		4	DEC17			317.250	314.100		3,150.00DR
19OCT17		1	DEC17			317.200	314.050		787.50DR
19OCT17		1	DEC17			317.250	314.050		800.00DR
19OCT17		2	DEC17			317.250	314.050		1,600.00DR
19OCT17		2	DEC17			317.350	314.050		1,650.00DR
19OCT17		1	DEC17			317.300	314.050		812.50DR
19OCT17		1	DEC17			317.350	314.050		825.00DR
19OCT17		1	DEC17			317.300	314.050		812.50DR
19OCT17		1	DEC17			317.300	313.950		837.50DR
19OCT17		1	DEC17			317.250	314.000		812.50DR
19OCT17		3	DEC17			317.300	314.200		2,325.00DR
19OCT17		1	DEC17			317.250	314.200		762.50DR
19OCT17		4	DEC17			317.300	314.250		3,050.00DR
19OCT17		2	DEC17			317.300	314.300		1,500.00DR
19OCT17		4	DEC17			317.500	314.250		3,250.00DR
19OCT17		1	DEC17			317.550	314.250		825.00DR
19OCT17		1	DEC17			317.550	314.300		812.50DR
19OCT17		1	DEC17			317.650	314.300		837.50DR
19OCT17		2	DEC17			317.650	314.250		1,700.00DR
19OCT17		1	DEC17			317.650	314.300		837.50DR
19OCT17		2	DEC17			317.800	314.300		1,750.00DR
19OCT17		2	DEC17			317.750	314.300		1,725.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	2 DEC17	317.700	314.300		1,700.00DR
19OCT17	1 DEC17	317.600	314.300		825.00DR
19OCT17	2 DEC17	317.650	314.300		1,675.00DR
19OCT17	1 DEC17	317.650	314.350		825.00DR
19OCT17	1 DEC17	317.600	314.350		812.50DR
19OCT17	1 DEC17	317.400	314.350		762.50DR
19OCT17	1 DEC17	317.500	314.350		787.50DR
19OCT17	1 DEC17	317.550	314.300		812.50DR
19OCT17	1 DEC17	317.400	314.300		775.00DR
19OCT17	1 DEC17	317.550	314.300		812.50DR
19OCT17	1 DEC17	317.400	314.350		762.50DR
19OCT17	1 DEC17	317.500	314.100		850.00DR
19OCT17	2 DEC17	317.500	314.100		1,700.00DR
19OCT17	1 DEC17	317.500	314.100		850.00DR
19OCT17	1 DEC17	317.500	314.250		812.50DR
19OCT17	1 DEC17	317.500	315.150		587.50DR
19OCT17	2 DEC17	317.550	315.550		1,000.00DR
19OCT17	2 DEC17	317.550	315.600		975.00DR
19OCT17	1 DEC17	317.550	315.500		512.50DR
19OCT17	1 DEC17	317.750	315.500		562.50DR
19OCT17	2 DEC17	318.100	315.600		1,250.00DR
19OCT17	1 DEC17	317.900	315.750		537.50DR
19OCT17	1 DEC17	317.650	315.750		475.00DR
19OCT17	1 DEC17	317.900	315.800		525.00DR
19OCT17	2 DEC17	317.650	315.800		925.00DR
19OCT17	3 DEC17	317.650	315.850		1,350.00DR
19OCT17	2 DEC17	317.950	315.850		1,050.00DR
19OCT17	1 DEC17	317.900	315.850		512.50DR
19OCT17	4 DEC17	318.100	315.750		2,350.00DR
19OCT17	2 DEC17	318.250	315.600		1,325.00DR
19OCT17	2 DEC17	318.200	315.650		1,275.00DR
19OCT17	1 DEC17	318.250	315.650		650.00DR
19OCT17	3 DEC17	318.250	315.600		1,987.50DR
19OCT17	1 DEC17	318.200	315.650		637.50DR
19OCT17	1 DEC17	318.200	315.650		637.50DR
19OCT17	1 DEC17	318.200	315.550		662.50DR
19OCT17	1 DEC17	318.150	315.600		637.50DR
19OCT17	2 DEC17	318.150	315.200		1,475.00DR
19OCT17	2 DEC17	318.150	315.250		1,450.00DR
19OCT17	2 DEC17	318.150	315.150		1,500.00DR
19OCT17	1 DEC17	318.050	315.150		725.00DR
19OCT17	1 DEC17	318.050	315.200		712.50DR
19OCT17	2 DEC17	318.050	315.250		1,400.00DR
19OCT17	2 DEC17	317.900	315.250		1,325.00DR
19OCT17	1 DEC17	317.700	315.250		612.50DR
19OCT17	1 DEC17	317.650	315.250		600.00DR
19OCT17	1 DEC17	317.700	315.250		612.50DR
19OCT17	1 DEC17	317.650	315.250		600.00DR
19OCT17	1 DEC17	317.700	315.250		612.50DR
19OCT17	1 DEC17	317.800	315.250		637.50DR
19OCT17	1 DEC17	317.800	315.250		637.50DR
19OCT17	1 DEC17	317.850	315.250		650.00DR
19OCT17	1 DEC17	317.850	315.350		625.00DR
19OCT17	2 DEC17	317.900	315.350		1,275.00DR
19OCT17	2 DEC17	317.600	315.350		1,125.00DR
19OCT17	1 DEC17	317.550	315.350		550.00DR
19OCT17	2 DEC17	317.550	315.750		900.00DR
19OCT17	2 DEC17	317.550	315.650		950.00DR
19OCT17	1 DEC17	317.550	315.550		500.00DR
19OCT17	1 DEC17	317.550	315.500		512.50DR
19OCT17	2 DEC17	317.500	315.600		950.00DR
19OCT17	1 DEC17	317.600	315.650		487.50DR
19OCT17	2 DEC17	317.550	315.650		950.00DR
19OCT17	2 DEC17	317.600	315.450		1,075.00DR
19OCT17	4 DEC17	316.400	315.450		950.00DR
19OCT17	2 DEC17	316.400	315.450		475.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	5 DEC17	316.450	315.450		1,250.00DR
19OCT17	1 DEC17	316.650	315.450		300.00DR
19OCT17	1 DEC17	316.650	315.500		287.50DR
19OCT17	2 DEC17	316.650	315.500		575.00DR
19OCT17	1 DEC17	316.950	315.500		362.50DR
19OCT17	1 DEC17	316.900	315.500		350.00DR
19OCT17	2 DEC17	317.150	315.550		800.00DR
19OCT17	2 DEC17	317.150	315.450		850.00DR
19OCT17	1 DEC17	317.150	315.500		412.50DR
19OCT17	1 DEC17	317.050	315.500		387.50DR
19OCT17	2 DEC17	317.050	315.450		800.00DR
19OCT17	1 DEC17	317.050	315.500		387.50DR
19OCT17	2 DEC17	317.100	315.500		800.00DR
19OCT17	2 DEC17	317.000	315.500		750.00DR
19OCT17	3 DEC17	317.050	315.500		1,162.50DR
19OCT17	2 DEC17	316.500	315.500		500.00DR
19OCT17	2 DEC17	316.600	315.500		550.00DR
19OCT17	2 DEC17	316.600	315.500		550.00DR
19OCT17	1 DEC17	316.500	315.500		250.00DR
19OCT17	1 DEC17	316.500	315.450		262.50DR
19OCT17	3 DEC17	315.800	315.500		225.00DR
19OCT17	2 DEC17	315.850	315.500		175.00DR
19OCT17	2 DEC17	315.900	315.500		200.00DR
19OCT17	2 DEC17	315.600	315.500		50.00DR
19OCT17	2 DEC17	314.950	315.450		250.00CR
19OCT17	2 DEC17	314.650	315.500		425.00CR
19OCT17	1 DEC17	314.650	315.450		200.00CR
19OCT17	1 DEC17	315.200	315.450		62.50CR
19OCT17	1 DEC17	315.150	315.450		75.00CR
19OCT17	2 DEC17	315.450	315.500		25.00CR
19OCT17	2 DEC17	315.500	315.400		50.00DR
19OCT17	2 DEC17	315.450	315.400		25.00DR
19OCT17	1 DEC17	315.450	315.400		12.50DR
19OCT17	1 DEC17	315.450	308.100		1,837.50DR
19OCT17	3 DEC17	315.500	308.100		5,550.00DR
19OCT17	3 DEC17	315.150	308.150		5,250.00DR
19OCT17	2 DEC17	315.150	308.100		3,525.00DR
19OCT17	2 DEC17	315.150	308.150		3,500.00DR
19OCT17	2 DEC17	315.150	308.200		3,475.00DR
19OCT17	1 DEC17	315.150	308.150		1,750.00DR
19OCT17	2 DEC17	315.150	308.200		3,475.00DR
19OCT17	5 DEC17	315.350	308.200		8,937.50DR
19OCT17	1 DEC17	315.350	308.300		1,762.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				443,950.20CR
	SETTLEMENTS				
	CMX Copper Futures				149,425.00DR
	COMMISSIONS				1,996.40DR
	CARRIED FORWARD BALANCE				292,528.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017

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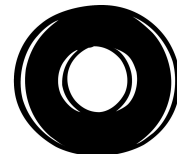
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	6931.50		4.75DR	
19OCT17	B 1 19JAN18 TTR EL	6911.50		4.75DR	
	CMX Copper 25,000 CMX				
19OCT17	S 1 DEC17 TTR GX		314.800	2.40DR	
19OCT17	S 1 DEC17 TTR GX		314.850	2.40DR	
19OCT17	S 2 DEC17 TTR GX		314.000	4.80DR	
19OCT17	S 1 DEC17 TTR GX		314.750	2.40DR	
	Commission				21.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				239,425.99CR
	COMMISSIONS				21.50DR
	CARRIED FORWARD BALANCE				239,404.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB127

19th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		848,545.79CR
	SETTLEMENTS		
	CMX Copper Futures		149,425.00DR
	COMMISSIONS		2,017.90DR
	CARRIED FORWARD BALANCE		697,102.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th October 2017

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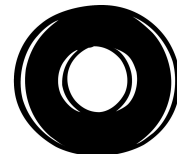
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> CME Euro FX 125,000 CME S 1 DEC17 Commission GX		1.18810	0.15DR	0.15DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 106,157.78CR COMMISSIONS 0.15DR CARRIED FORWARD BALANCE 106,157.63CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		8,643,379.95CR
	COMMISSIONS		0.15DR
	CARRIED FORWARD BALANCE		8,643,379.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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AUTHORISED AND REGULATED BY THE
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Euro-Bund 1,000 ERX				
19OCT17	B 5 DEC17 TTR EL	162.230		5.15DR	
19OCT17	B 5 DEC17 TTR EL	162.240		5.15DR	
	Commission				10.30DR
	<u>SETTLEMENTS</u>				
	Euro-Bund 1,000 ERX				
19OCT17	1 DEC17	162.230	161.080		1,150.00DR
19OCT17	1 DEC17	162.230	161.080		1,150.00DR
19OCT17	2 DEC17	162.230	161.460		1,540.00DR
19OCT17	1 DEC17	162.230	162.440		210.00CR
19OCT17	1 DEC17	162.240	162.440		200.00CR
19OCT17	2 DEC17	162.240	162.500		520.00CR
19OCT17	2 DEC17	162.240	162.580		680.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,875.47CR
	SETTLEMENTS				
	Euro-Bund Futures				2,230.00DR
	COMMISSIONS				10.30DR
	CARRIED FORWARD BALANCE				4,635.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
19OCT17	S 5 DEC17 TTR GX		153.1600	10.00DR	
19OCT17	S 5 DEC17 TTR GX		153.1700	10.00DR	
19OCT17	S 5 DEC17 TTR GX		153.1900	10.00DR	
	CBT 10 Year Treasury Notes 1,000 CBT				
19OCT17	S 2 DEC17 TTR GX		125.1150	4.00DR	
	Commission				34.00DR
	<u>SETTLEMENTS</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
19OCT17	1 DEC17	152.3000	153.1600		562.50CR
19OCT17	2 DEC17	152.0600	153.1600		2,625.00CR
19OCT17	2 DEC17	152.1100	153.1600		2,312.50CR
19OCT17	1 DEC17	152.1100	153.1700		1,187.50CR
19OCT17	1 DEC17	152.1500	153.1700		1,062.50CR
19OCT17	1 DEC17	152.1500	153.1700		1,062.50CR
19OCT17	1 DEC17	152.1100	153.1700		1,187.50CR
19OCT17	1 DEC17	153.2700	153.1700		312.50DR
19OCT17	1 DEC17	153.2700	153.1900		250.00DR
19OCT17	1 DEC17	153.2400	153.1900		156.25DR
19OCT17	3 DEC17	153.0100	153.1900		1,687.50CR
	CBT 10 Year Treasury Notes 1,000 CBT				
19OCT17	2 DEC17	125.0750	125.1150		250.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				133,703.47CR
	SETTLEMENTS				
	CBT 30 Year Us Treasury Bonds Futures				10,968.75CR
	CBT 10 Year Treasury Notes Futures				250.00CR
	COMMISSIONS				34.00DR
	CARRIED FORWARD BALANCE				144,888.22CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
19OCT17	S	1	19JAN18	TTR	EL		2126.00	5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2127.00	5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2137.50	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2142.50		5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2141.50	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2151.50		5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
19OCT17	B	1	19JAN18	TTR	EL	2499.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2491.00		5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2492.00	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2492.50		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2485.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2478.50		5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2478.50	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2486.50		5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2486.50	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
19OCT17	S	1	19JAN18	TTR	EL		3109.00	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	3097.50		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	3096.00		5.00DR	
19OCT17	S	1	19JAN18	TTR	EL		3103.00	5.00DR	
19OCT17	S	1	19JAN18	DMA	EL		3105.50	5.00DR	
	Commission								100.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								984.80DR
	COMMISSIONS								100.00DR
	CARRIED FORWARD BALANCE								1,084.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,835.49CR
	SETTLEMENTS		
	Euro-Bund Futures		2,230.00DR
	COMMISSIONS		10.30DR
	CARRIED FORWARD BALANCE		2,595.19CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,234,939.18CR
	SETTLEMENTS		
	CBT 10 Year Treasury Notes Futures		250.00CR
	CBT 30 Year Us Treasury Bonds Futures		10,968.75CR
	COMMISSIONS		134.00DR
	CARRIED FORWARD BALANCE		1,246,023.93CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0023A
19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> CME E-mini S&P 500 EOM Options 50 CME S 50 NOV17 1900.00 Put GX Commission		0.50	75.00DR	1,250.00CR 75.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 51,009.58CR OPTION PREMIUMS 1,250.00CR COMMISSIONS 75.00DR CARRIED FORWARD BALANCE 52,184.58CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0023C 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	B 1 DEC17 GX	51.55		2.40DR	
19OCT17	S 1 JAN18 GX		51.76	2.40DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	S 1 JAN19 GX		3.26900	2.40DR	
19OCT17	B 1 MAY19 GX	2.74000		2.40DR	
	Commission				9.60DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 DEC17	51.55	52.41		860.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,217.20CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				860.00CR
	COMMISSIONS				9.60DR
	CARRIED FORWARD BALANCE				89,067.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
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19th Oct 2017

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ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		139,153.21CR
	OPTION PREMIUMS		1,250.00CR
	SETTLEMENTS		
	NYM Light Sweet Crude Oil Futures		860.00CR
	COMMISSIONS		84.60DR
	CARRIED FORWARD BALANCE		141,178.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0024A
19th October 2017
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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 NOV17 6100.0 Put DMA EL Commission		1.0	62.50DR	500.00CR 62.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 10,482.80CR OPTION PREMIUMS 500.00CR COMMISSIONS 62.50DR CARRIED FORWARD BALANCE 10,920.30CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 NOV17 6100.0 Put DMA EL Commission		1.0	62.50DR	500.00CR 62.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 122,997.00CR OPTION PREMIUMS 500.00CR COMMISSIONS 62.50DR CARRIED FORWARD BALANCE 123,434.50CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		133,479.80CR
	OPTION PREMIUMS		1,000.00CR
	COMMISSIONS		125.00DR
	CARRIED FORWARD BALANCE		134,354.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10AUG17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 19OCT17	6415.45	5984.50		10,773.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 139,439.88DR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 10,773.75DR CARRIED FORWARD BALANCE 150,213.63DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
19OCT17	S	1	DEC17	55.00	Call	GX		0.43	2.32DR	430.00CR
19OCT17	B	1	DEC17	57.00	Call	GX	0.17		2.32DR	170.00DR
19OCT17	B	1	DEC17	55.00	Call	GX	0.44		2.32DR	440.00DR
19OCT17	B	1	DEC17	55.00	Call	GX	0.30		2.32DR	300.00DR
19OCT17	S	1	DEC17	57.00	Call	GX		0.13	2.32DR	130.00CR
19OCT17	S	4	DEC17	59.50	Call	GX		0.05	9.28DR	200.00CR
19OCT17	B	5	DEC17	54.00	Call	GX	0.47		11.60DR	2,350.00DR
19OCT17	S	8	DEC17	55.00	Call	GX		0.29	18.56DR	2,320.00CR
	Commission									51.04DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
19OCT17		1	DEC17	57.00	Call		0.17	0.13		0.00
19OCT17		1	DEC17	55.00	Call		0.44	0.43		0.00
19OCT17		1	DEC17	55.00	Call		0.30	0.29		0.00
19OCT17		1	DEC17	55.00	Call		0.43	0.29		0.00
19OCT17		2	DEC17	55.00	Call		0.43	0.29		0.00
19OCT17		1	DEC17	55.00	Call		0.43	0.29		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									1,429.42CR
	OPTION PREMIUMS									180.00DR
	COMMISSIONS									51.04DR
	CARRIED FORWARD BALANCE									1,198.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025P 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>							
	LME Aluminium 25 Tonnes. This is an LME registered client contract.							
19OCT17	B	2	19JAN18	CQG	EL	2125.50		
19OCT17	S	2	19JAN18	CQG	EL		2137.00	
19OCT17	B	2	19JAN18	CQG	EL	2141.00		
19OCT17	S	2	19JAN18	CQG	EL		2149.50	
	LME Zinc 25 tonnes. This is an LME registered client contract							
19OCT17	B	4	19JAN18	CQG	EL	3114.00		
	Commission						20.00DR	60.00DR
	<u>TOTALS</u>							
	BROUGHT FORWARD BALANCE							1,310.14DR
	COMMISSIONS							60.00DR
	CARRIED FORWARD BALANCE							1,370.14DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025Z

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	S 25 DEC17 60.00 Call GX		0.04	58.00DR	1,000.00CR
19OCT17	S 10 DEC17 46.00 Put GX		0.13	23.20DR	1,300.00CR
19OCT17	B 1 DEC17 54.00 Call GX	0.46		2.32DR	460.00DR
19OCT17	S 25 DEC17 43.00 Put GX		0.04	58.00DR	1,000.00CR
19OCT17	B 4 DEC17 49.00 Put GX	0.47		9.28DR	1,880.00DR
19OCT17	B 1 DEC17 49.00 Put GX	0.46		2.32DR	460.00DR
19OCT17	S 1 DEC17 54.00 Call GX		0.47	2.32DR	470.00CR
19OCT17	S 2 DEC17 57.00 Call GX		0.11	4.64DR	220.00CR
19OCT17	S 8 DEC17 57.00 Call GX		0.12	18.56DR	960.00CR
19OCT17	B 1 DEC17 54.00 Call GX	0.48		2.32DR	480.00DR
19OCT17	B 4 DEC17 54.00 Call GX	0.47		9.28DR	1,880.00DR
	Commission				190.24DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 DEC17 54.00 Call	0.47	0.47		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,690.57CR
	OPTION PREMIUMS				210.00DR
	COMMISSIONS				190.24DR
	CARRIED FORWARD BALANCE				1,290.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0025

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		632,940.79CR
	OPTION PREMIUMS		390.00DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		10,773.75DR
	COMMISSIONS		301.28DR
	CARRIED FORWARD BALANCE		621,475.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0030A
19th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 NOV17 6100.0 Put DMA EL Commission		1.0	62.50DR	500.00CR 62.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 16,998.30DR OPTION PREMIUMS 500.00CR COMMISSIONS 62.50DR CARRIED FORWARD BALANCE 16,560.80DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

COB Global

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		16,998.30DR
	OPTION PREMIUMS		500.00CR
	COMMISSIONS		62.50DR
	CARRIED FORWARD BALANCE		16,560.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
19OCT17	B 5 OCT17 EL 10188.00			8.65DR	
19OCT17	B 5 OCT17 EL 10186.50			8.65DR	
19OCT17	B 5 OCT17 EL 10187.00			8.65DR	
19OCT17	B 5 OCT17 EL 10186.00			8.65DR	
19OCT17	B 5 OCT17 EL 10171.00			8.65DR	
19OCT17	B 5 OCT17 EL 10191.50			8.65DR	
19OCT17	B 6 OCT17 EL 10192.00			10.38DR	
19OCT17	S 1 OCT17 EL		10192.50	1.73DR	
19OCT17	S 5 OCT17 EL		10193.00	8.65DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	B 1 DEC17 TTR II 51.37			1.22DR	
19OCT17	S 1 DEC17 TTR II		51.38	1.22DR	
19OCT17	S 1 DEC17 TTR II		51.39	1.22DR	
19OCT17	S 1 DEC17 TTR II		51.42	1.22DR	
19OCT17	B 1 DEC17 TTR II 51.40			1.22DR	
19OCT17	B 1 DEC17 TTR II 51.52			1.22DR	
19OCT17	B 1 DEC17 TTR II 51.51			1.22DR	
19OCT17	S 1 DEC17 TTR II		51.56	1.22DR	
19OCT17	B 1 DEC17 TTR II 51.50			1.22DR	
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 TTR II		1289.0	1.58DR	
19OCT17	S 1 DEC17 TTR II		1289.1	1.58DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	B 1 NOV17 TTR II 2.84500			1.22DR	
19OCT17	B 1 NOV17 TTR II 2.84600			1.22DR	
19OCT17	B 1 NOV17 TTR II 2.84700			1.22DR	
19OCT17	B 2 NOV17 TTR II 2.84900			2.44DR	
	CMX Silver 5,000 CMX				
19OCT17	S 2 DEC17 TTR II		1706.0	3.16DR	
19OCT17	S 2 DEC17 TTR II		1706.5	3.16DR	
19OCT17	S 2 DEC17 TTR II		1707.0	3.16DR	
	Commission				102.38DR
	<u>SETTLEMENTS</u>				
	CNX Nifty (India) Index 2 SIM				
19OCT17	1 OCT17	10192.00	10192.50		1.00CR
19OCT17	1 OCT17	10188.00	10193.00		10.00CR
19OCT17	1 OCT17	10186.50	10193.00		13.00CR
19OCT17	3 OCT17	10188.00	10193.00		30.00CR
19OCT17	1 OCT17	10188.00	10175.00		26.00DR
19OCT17	4 OCT17	10186.50	10174.50		96.00DR
19OCT17	3 OCT17	10187.00	10174.50		75.00DR
19OCT17	1 OCT17	10187.00	10175.00		24.00DR
19OCT17	2 OCT17	10186.00	10175.00		44.00DR
19OCT17	1 OCT17	10186.00	10175.50		21.00DR
19OCT17	1 OCT17	10187.00	10176.00		22.00DR
19OCT17	1 OCT17	10186.00	10177.00		18.00DR
19OCT17	1 OCT17	10186.00	10176.00		20.00DR
19OCT17	5 OCT17	10171.00	10177.00		60.00CR
19OCT17	1 OCT17	10191.50	10177.00		29.00DR
19OCT17	2 OCT17	10191.50	10177.50		56.00DR
19OCT17	2 OCT17	10191.50	10178.00		54.00DR
19OCT17	2 OCT17	10192.00	10178.00		56.00DR
19OCT17	3 OCT17	10192.00	10177.50		87.00DR
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 DEC17	51.37	51.38		10.00CR
19OCT17	1 DEC17	51.40	51.39		10.00DR
19OCT17	1 DEC17	51.52	51.42		100.00DR

Group No: SAPG0034

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034E

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 DEC17	51.51	51.56		50.00CR
19OCT17	1 DEC17	51.50	52.45		950.00CR
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1289.1	1289.0		10.00DR
19OCT17	1 DEC17	1298.1	1289.1		900.00DR
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17	1719.5	1706.0		675.00DR
19OCT17	1 DEC17	1718.5	1706.5		600.00DR
19OCT17	1 DEC17	1716.0	1706.0		500.00DR
19OCT17	1 DEC17	1715.0	1706.5		425.00DR
19OCT17	1 DEC17	1712.5	1707.0		275.00DR
19OCT17	1 DEC17	1713.5	1707.0		325.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				17,865.17DR
	SETTLEMENTS				
	CMX Silver Futures				2,800.00DR
	CMX Gold Futures				910.00DR
	NYM Light Sweet Crude Oil Futures				900.00CR
	CNX Nifty (India) Index Futures				514.00DR
	COMMISSIONS				102.38DR
	CARRIED FORWARD BALANCE				21,291.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034G
19th October 2017
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> CBT Mini-Sized Dow 5 CBT S 6 DEC17 II Commission		23013.00	9.00DR	9.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 1,274,715.96CR COMMISSIONS 9.00DR CARRIED FORWARD BALANCE 1,274,706.96CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		11640.00	4.60DR	
19OCT17	S 1 19JAN18 DMA EL		11720.00	4.60DR	
19OCT17	S 2 19JAN18 DMA EL		11725.00	9.20DR	
19OCT17	B 1 19JAN18 DMA EL	11670.00		4.60DR	
19OCT17	S 2 19JAN18 DMA EL		11680.00	9.20DR	
19OCT17	S 1 19JAN18 DMA EL		11695.00	4.60DR	
19OCT17	S 2 19JAN18 DMA EL		11685.00	9.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	3107.50		4.60DR	
	Commission				50.60DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2248.00	2269.50		537.50CR
19JUL17	1 19OCT17	2247.00	2217.50		737.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9690.00	9735.00		270.00CR
19JUL17	1 19OCT17	9685.00	9760.00		450.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2781.00	2773.50		187.50DR
19JUL17	1 19OCT17	2779.50	2773.50		150.00DR
19JUL17	1 19OCT17	2779.50	2778.00		37.50DR
19JUL17	1 19OCT17	2775.00	2778.50		87.50CR
19JUL17	1 19OCT17	2773.50	2779.50		150.00CR
19JUL17	1 19OCT17	2772.00	2784.00		300.00CR
19JUL17	1 19OCT17	2773.00	2783.50		262.50CR
19JUL17	1 19OCT17	2772.50	2785.50		325.00CR
24JUL17	2 19OCT17	2782.00	2787.30		265.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,659.00DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				200.00DR
	LME Zinc 25 tonnes. This is an LME Futures				1,015.00CR
	LME Nickel 6 tonnes. This is an LME Futures				720.00CR
	COMMISSIONS				50.60DR
	CARRIED FORWARD BALANCE				11,174.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034L

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
19OCT17	B 57 DEC17 CQG II	98.4950		85.50DR	
19OCT17	B 23 DEC17 CQG II	98.5000		34.50DR	
19OCT17	S 10 DEC18 CQG II		98.0650	15.00DR	
19OCT17	S 20 DEC18 CQG II		98.0700	30.00DR	
19OCT17	S 38 DEC18 CQG II		98.0750	57.00DR	
19OCT17	S 19 DEC18 CQG II		98.0800	28.50DR	
19OCT17	S 3 DEC18 CQG II		98.0850	4.50DR	
19OCT17	S 20 DEC18 CQG II		98.0900	30.00DR	
19OCT17	S 30 DEC19 CQG II		97.8850	45.00DR	
19OCT17	B 30 DEC20 CQG II	97.7650		45.00DR	
	Commission				375.00DR
	<u>SETTLEMENTS</u>				
	CME Eurodollar 2,500 CME				
19OCT17	4 DEC17	98.4950	98.4950		0.00
19OCT17	11 DEC17	98.4950	98.4950		0.00
19OCT17	3 DEC17	98.4950	98.4950		0.00
19OCT17	1 DEC17	98.4950	98.4950		0.00
19OCT17	1 DEC17	98.4950	98.4950		0.00
19OCT17	20 DEC17	98.4950	98.4950		0.00
19OCT17	4 DEC17	98.4950	98.4900		50.00DR
19OCT17	13 DEC17	98.4950	98.4900		162.50DR
19OCT17	3 DEC17	98.5000	98.4900		75.00DR
19OCT17	3 DEC17	98.5000	98.4850		112.50DR
19OCT17	5 DEC17	98.5000	98.4850		187.50DR
19OCT17	1 DEC17	98.5000	98.4850		37.50DR
19OCT17	2 DEC17	98.5000	98.4900		50.00DR
19OCT17	3 DEC17	98.5000	98.4900		75.00DR
19OCT17	5 DEC17	98.5000	98.4900		125.00DR
19OCT17	1 DEC17	98.5000	98.4900		25.00DR
19OCT17	10 DEC18	98.0800	98.0650		375.00DR
19OCT17	11 DEC18	98.0800	98.0700		275.00DR
19OCT17	4 DEC18	98.0800	98.0700		100.00DR
19OCT17	3 DEC18	98.0800	98.0700		75.00DR
19OCT17	2 DEC18	98.0800	98.0700		50.00DR
19OCT17	20 DEC18	98.0800	98.0750		250.00DR
19OCT17	10 DEC18	98.0750	98.0750		0.00
19OCT17	2 DEC18	98.0700	98.0800		50.00CR
19OCT17	8 DEC18	98.0700	98.0750		100.00CR
19OCT17	10 DEC18	98.0700	98.0800		250.00CR
19OCT17	3 DEC18	98.0550	98.0800		187.50CR
19OCT17	4 DEC18	98.0550	98.0800		250.00CR
19OCT17	3 DEC18	98.0550	98.0850		225.00CR
19OCT17	3 DEC18	98.0400	98.0900		375.00CR
19OCT17	2 DEC18	98.0400	98.0900		250.00CR
19OCT17	3 DEC18	98.0400	98.0900		375.00CR
19OCT17	1 DEC18	98.0400	98.0900		125.00CR
19OCT17	2 DEC18	98.0450	98.0900		225.00CR
19OCT17	3 DEC18	98.0450	98.0900		337.50CR
19OCT17	5 DEC18	98.0450	98.0900		562.50CR
19OCT17	1 DEC18	98.0450	98.0900		112.50CR
19OCT17	3 DEC19	97.9300	97.8850		337.50DR
19OCT17	4 DEC19	97.9300	97.8850		450.00DR
19OCT17	3 DEC19	97.9300	97.8850		337.50DR
19OCT17	2 DEC19	97.9150	97.8850		150.00DR
19OCT17	10 DEC19	97.9150	97.8850		750.00DR
19OCT17	8 DEC19	97.9150	97.8850		600.00DR
19OCT17	3 DEC20	97.7650	97.8000		262.50CR
19OCT17	7 DEC20	97.7650	97.8000		612.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034L

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	2 DEC20	97.7650	97.7900		125.00CR
19OCT17	1 DEC20	97.7650	97.7900		62.50CR
19OCT17	9 DEC20	97.7650	97.7900		562.50CR
19OCT17	8 DEC20	97.7650	97.7900		500.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				103,986.30DR
	SETTLEMENTS				
	CME Eurodollar Futures				900.00CR
	COMMISSIONS				375.00DR
	CARRIED FORWARD BALANCE				103,461.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034Q
19th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
19OCT17	CNX Nifty (India) Index 2 SIM				
	B 4 OCT17 EL	10183.00		6.92DR	
19OCT17	CBT 2 Year Treasury Notes 2,000 CBT				
	S 10 DEC17 TTR II		107.2300	15.00DR	
	Commission				21.92DR
	<u>SETTLEMENTS</u>				
	CBT 2 Year Treasury Notes 2,000 CBT				
19OCT17	1 DEC17	107.2275	107.2300		15.62CR
19OCT17	4 DEC17	107.2275	107.2300		62.48CR
19OCT17	5 DEC17	107.2175	107.2300		390.60CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,186,766.56DR
	SETTLEMENTS				
	CBT 2 Year Treasury Notes Futures				468.70CR
	COMMISSIONS				21.92DR
	CARRIED FORWARD BALANCE				1,186,319.78DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		484,436.12CR
	SETTLEMENTS		
	CBT 2 Year Treasury Notes Futures		468.70CR
	CME Eurodollar Futures		900.00CR
	CMX Gold Futures		910.00DR
	CMX Silver Futures		2,800.00DR
	CNX Nifty (India) Index Futures		514.00DR
	LME Lead 25 tonnes. This is an Futures		200.00DR
	LME Nickel 6 tonnes. This is an LME Futures		720.00CR
	LME Zinc 25 tonnes. This is an LME Futures		1,015.00CR
	NYM Light Sweet Crude Oil Futures		900.00CR
	COMMISSIONS		558.90DR
	CARRIED FORWARD BALANCE		483,456.92CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 TTR GX		1287.0	2.30DR	
19OCT17	S 2 DEC17 TTR GX		1287.1	4.60DR	
19OCT17	S 1 DEC17 TTR GX		1287.7	2.30DR	
	Commission				9.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				76,398.30DR
	COMMISSIONS				9.20DR
	CARRIED FORWARD BALANCE				76,407.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP00380

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
19OCT17	B 2 DEC18 TTR EL 51.22			3.36DR	
19OCT17	B 1 DEC18 TTR EL 51.28			1.68DR	
19OCT17	S 2 JUN19 TTR EL		50.63	3.36DR	
19OCT17	S 1 JUN19 TTR EL		50.69	1.68DR	
19OCT17	B 1 JUN19 TTR EL 50.63			1.68DR	
19OCT17	B 3 JUN19 TTR EL 50.68			5.04DR	
19OCT17	B 2 DEC19 TTR EL 50.29			3.36DR	
19OCT17	S 1 DEC19 TTR EL		50.30	1.68DR	
19OCT17	S 2 DEC19 TTR EL		50.32	3.36DR	
19OCT17	S 1 DEC19 TTR EL		50.35	1.68DR	
19OCT17	S 2 DEC20 TTR EL		49.84	3.36DR	
	IEU Brent Crude 1,000 IPE				
19OCT17	S 2 DEC18 TTR EL		55.39	3.36DR	
19OCT17	S 1 DEC18 TTR EL		55.43	1.68DR	
19OCT17	B 2 JUN19 TTR EL 54.84			3.36DR	
19OCT17	B 1 JUN19 TTR EL 54.87			1.68DR	
19OCT17	S 1 JUN19 TTR EL		54.87	1.68DR	
19OCT17	S 1 JUN19 TTR EL		54.82	1.68DR	
19OCT17	S 2 JUN19 TTR EL		54.90	3.36DR	
19OCT17	S 2 DEC19 TTR EL		54.55	3.36DR	
19OCT17	B 1 DEC19 TTR EL 54.53			1.68DR	
19OCT17	B 1 DEC19 TTR EL 54.54			1.68DR	
19OCT17	B 2 DEC19 TTR EL 54.56			3.36DR	
19OCT17	B 2 DEC20 TTR EL 54.40			3.36DR	
	Commission				60.48DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
19OCT17	1 JUN19	50.68	50.63		50.00DR
19OCT17	1 JUN19	50.63	50.63		0.00
19OCT17	1 JUN19	50.68	50.69		10.00CR
19OCT17	1 DEC19	50.29	50.32		30.00CR
19OCT17	1 DEC19	50.29	50.30		10.00CR
19OCT17	1 DEC20	49.94	49.84		100.00DR
19OCT17	1 DEC20	49.81	49.84		30.00CR
	IEU Brent Crude 1,000 IPE				
19OCT17	1 JUN19	54.84	54.87		30.00CR
19OCT17	1 JUN19	54.84	54.82		20.00DR
19OCT17	1 JUN19	54.87	54.90		30.00CR
19OCT17	1 DEC19	54.53	54.55		20.00CR
19OCT17	1 DEC19	54.54	54.55		10.00CR
19OCT17	1 DEC20	54.40	54.58		180.00CR
19OCT17	1 DEC20	54.40	54.27		130.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				69,721.09CR
	SETTLEMENTS				
	IEU Brent Crude Futures				120.00CR
	IEU WTI Crude Oil Futures Futures				70.00DR
	COMMISSIONS				60.48DR
	CARRIED FORWARD BALANCE				69,710.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		232,038.54CR
	SETTLEMENTS		
	IEU Brent Crude Futures		120.00CR
	IEU WTI Crude Oil Futures Futures		70.00DR
	COMMISSIONS		69.68DR
	CARRIED FORWARD BALANCE		232,018.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045B

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
11SEP17	1 19OCT17	2245.00	2257.68		317.00CR
11SEP17	1 19OCT17	2245.00	2257.68		317.00CR
11SEP17	2 19OCT17	2245.00	2257.68		634.00CR
11SEP17	4 19OCT17	2216.00	2257.68		4,168.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				605.05CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,436.00CR
	CARRIED FORWARD BALANCE				6,041.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1934.00		493.75CR
31JUL17	1 19OCT17	1914.25	1934.00		493.75CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
31JUL17	1 19OCT17	1914.25	1936.00		543.75CR
31JUL17	1 19OCT17	1914.25	1936.00		543.75CR
31JUL17	1 19OCT17	1914.25	1934.50		506.25CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6014.00	6013.00		25.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2240.00	2217.00		575.00DR
19JUL17	1 19OCT17	2240.00	2217.50		562.50DR
19JUL17	1 19OCT17	2240.00	2216.50		587.50DR
19JUL17	1 19OCT17	2240.00	2213.00		675.00DR
19JUL17	1 19OCT17	2239.50	2212.50		675.00DR
19JUL17	1 19OCT17	2239.50	2211.50		700.00DR
19JUL17	1 19OCT17	2243.00	2210.00		825.00DR
19JUL17	1 19OCT17	2243.50	2210.00		837.50DR
19JUL17	1 19OCT17	2243.50	2210.00		837.50DR
19JUL17	1 19OCT17	2243.00	2210.00		825.00DR
31JUL17	1 19OCT17	2243.00	2330.75		2,193.75CR
31JUL17	1 19OCT17	2242.50	2330.75		2,206.25CR
31JUL17	1 19OCT17	2232.50	2330.75		2,456.25CR
31JUL17	1 19OCT17	2228.50	2330.75		2,556.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9650.00	9755.00		630.00CR
19JUL17	1 19OCT17	9635.00	9740.00		630.00CR
19JUL17	1 19OCT17	9710.00	9735.00		150.00CR
19JUL17	1 19OCT17	9710.00	9735.00		150.00CR
19JUL17	1 19OCT17	9725.00	9760.00		210.00CR
19JUL17	1 19OCT17	9675.00	9755.00		480.00CR
19JUL17	1 19OCT17	9700.00	9750.00		300.00CR
19JUL17	1 19OCT17	9675.00	9755.00		480.00CR
19JUL17	1 19OCT17	9675.00	9755.00		480.00CR
19JUL17	1 19OCT17	9675.00	9755.00		480.00CR
19JUL17	1 19OCT17	9675.00	9755.00		480.00CR
19JUL17	1 19OCT17	9650.00	9755.00		630.00CR
19JUL17	1 19OCT17	9645.00	9755.00		660.00CR
19JUL17	1 19OCT17	9640.00	9755.00		690.00CR
19JUL17	1 19OCT17	9640.00	9770.00		780.00CR
19JUL17	1 19OCT17	9635.00	9765.00		780.00CR
31JUL17	1 19OCT17	9650.00	10211.77		3,370.62CR
31JUL17	1 19OCT17	9650.00	10211.77		3,370.62CR
31JUL17	1 19OCT17	9645.00	10211.77		3,400.62CR
31JUL17	1 19OCT17	9650.00	10211.77		3,370.62CR
31JUL17	1 19OCT17	9645.00	10211.77		3,400.62CR

Group No: SAPG0045

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2774.00	2796.50		562.50CR
19JUL17	1 19OCT17	2773.50	2796.00		562.50CR
19JUL17	1 19OCT17	2773.50	2796.00		562.50CR
19JUL17	1 19OCT17	2773.00	2796.00		575.00CR
19JUL17	1 19OCT17	2773.50	2781.50		200.00CR
19JUL17	1 19OCT17	2773.50	2779.00		137.50CR
19JUL17	1 19OCT17	2773.50	2779.50		150.00CR
19JUL17	1 19OCT17	2773.50	2779.50		150.00CR
19JUL17	1 19OCT17	2767.00	2779.50		312.50CR
19JUL17	1 19OCT17	2767.00	2779.50		312.50CR
19JUL17	1 19OCT17	2767.00	2788.50		537.50CR
19JUL17	1 19OCT17	2767.00	2789.00		550.00CR
19JUL17	1 19OCT17	2767.00	2789.50		562.50CR
19JUL17	1 19OCT17	2794.00	2789.50		112.50DR
19JUL17	1 19OCT17	2784.50	2789.50		125.00CR
19JUL17	1 19OCT17	2774.50	2789.50		375.00CR
19JUL17	1 19OCT17	2774.00	2790.50		412.50CR
19JUL17	1 19OCT17	2773.50	2790.50		425.00CR
19JUL17	1 19OCT17	2767.00	2790.50		587.50CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2766.00	2800.13		853.25CR
27JUL17	1 19OCT17	2773.50	2800.13		665.75CR
27JUL17	1 19OCT17	2773.50	2800.13		665.75CR
27JUL17	1 19OCT17	2773.50	2800.13		665.75CR
27JUL17	1 19OCT17	2773.00	2800.13		678.25CR
27JUL17	1 19OCT17	2738.00	2800.13		1,553.25CR
27JUL17	1 19OCT17	2738.00	2800.13		1,553.25CR
27JUL17	1 19OCT17	2737.50	2800.13		1,565.75CR
27JUL17	1 19OCT17	2737.50	2800.13		1,565.75CR
27JUL17	1 19OCT17	2737.50	2800.13		1,565.75CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					151,182.71CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					2,312.50CR
LME Copper Grade A 25 tonnes. This Futures					25.00DR
LME Zinc 25 tonnes. This is an LME Futures					23,252.00CR
LME Nickel 6 tonnes. This is an LME Futures					24,923.10CR
LME Aluminium 25 Tonnes. This is an Futures					9,162.50CR
CARRIED FORWARD BALANCE					210,807.81CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045H

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6007.50	5967.50		1,000.00DR
19JUL17	1 19OCT17	6007.50	5967.50		1,000.00DR
19JUL17	1 19OCT17	6008.00	5967.00		1,025.00DR
19JUL17	1 19OCT17	6007.00	5967.00		1,000.00DR
19JUL17	1 19OCT17	6006.50	5967.50		975.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,233.65DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				5,000.00DR
	CARRIED FORWARD BALANCE				13,233.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	B 1 19JAN18 TTR EL	2122.00		5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	6974.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6972.50		5.00DR	
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 TTR GX		1280.5	2.55DR	
19OCT17	S 1 DEC17 TTR GX		1289.4	2.55DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 2 19JAN18 TTR EL	11660.00		10.00DR	
19OCT17	B 1 19JAN18 TTR EL	11670.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11665.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11695.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11745.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11720.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11775.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11760.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11770.00		5.00DR	
	CMX Silver 5,000 CMX				
19OCT17	S 1 DEC17 TTR GX		1722.5	2.55DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	19855.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL		3110.00	5.00DR	
19OCT17	B 1 19JAN18 TTR EL	3094.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	3097.00		5.00DR	
	Commission				92.65DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1285.9	1280.5		540.00DR
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17	1702.0	1722.5		1,025.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,628.90DR
	SETTLEMENTS				
	CMX Silver Futures				1,025.00CR
	CMX Gold Futures				540.00DR
	COMMISSIONS				92.65DR
	CARRIED FORWARD BALANCE				14,236.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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19th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		825,426.07CR
	SETTLEMENTS		
	CMX Gold Futures		540.00DR
	CMX Silver Futures		1,025.00CR
	LME Aluminium 25 Tonnes. This is an Futures		9,162.50CR
	LME Copper Grade A 25 tonnes. This Futures		5,025.00DR
	LME Lead 25 tonnes. This is an Futures		7,748.50CR
	LME Nickel 6 tonnes. This is an LME Futures		24,923.10CR
	LME Zinc 25 tonnes. This is an LME Futures		23,252.00CR
	COMMISSIONS		92.65DR
	CARRIED FORWARD BALANCE		885,879.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
19OCT17	S 3 DEC17 GX		1.18875	7.50DR	
19OCT17	S 4 DEC17 GX		1.18870	10.00DR	
19OCT17	S 41 DEC17 GX		1.18880	102.50DR	
19OCT17	S 1 DEC17 GX		1.18900	2.50DR	
19OCT17	S 7 DEC17 GX		1.18865	17.50DR	
19OCT17	S 6 DEC17 GX		1.18860	15.00DR	
	Commission				155.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				189,615.80CR
	COMMISSIONS				155.00DR
	CARRIED FORWARD BALANCE				189,460.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
19OCT17	S 1 FEB18 CQG GX		1291.4	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1291.1	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1292.7	2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	B 1 NOV17 CQG GX	2.84600		2.35DR	
19OCT17	B 2 NOV17 CQG GX	2.84700		4.70DR	
	Commission				14.10DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				122,627.01CR
	COMMISSIONS				14.10DR
	CARRIED FORWARD BALANCE				122,612.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0054H

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
19OCT17	B 1 DEC17 CQG GX 315.400	315.400		2.35DR	
19OCT17	B 1 DEC17 355.000 Call CQG GX 0.650	0.650		2.35DR	162.50DR
19OCT17	S 1 DEC17 355.000 Call CQG GX 0.550		0.550	2.35DR	137.50CR
19OCT17	B 2 DEC17 350.000 Call CQG GX 0.850	0.850		4.70DR	425.00DR
19OCT17	B 2 DEC17 355.000 Call CQG GX 0.700	0.700		4.70DR	350.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	S 1 NOV17 CQG GX 2.88700		2.88700	2.35DR	
	CMX Silver 5,000 CMX				
19OCT17	B 1 DEC17 CQG GX 1697.5	1697.5		2.35DR	
19OCT17	B 2 DEC17 CQG GX 1699.0	1699.0		4.70DR	
19OCT17	B 1 DEC17 CQG GX 1701.0	1701.0		2.35DR	
19OCT17	B 1 DEC17 CQG GX 1700.0	1700.0		2.35DR	
19OCT17	S 2 DEC17 CQG GX 1706.5		1706.5	4.70DR	
19OCT17	S 3 DEC17 CQG GX 1707.0		1707.0	7.05DR	
19OCT17	S 1 DEC17 CQG GX 1706.0		1706.0	2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 3 02NOV17 SGL UD 3146.67	3146.67		8.10DR	
19OCT17	S 3 18JAN18 SGL UD 3104.87		3104.87	8.10DR	
	Commission				60.85DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17 315.400	315.400	307.800		1,900.00DR
19OCT17	1 DEC17 355.000 Call 0.650	0.650	0.550		0.00
19OCT17	1 DEC17 350.000 Call 0.850	0.850	1.300		0.00
19OCT17	1 DEC17 350.000 Call 0.850	0.850	1.300		0.00
19OCT17	1 DEC17 355.000 Call 0.700	0.700	0.950		0.00
19OCT17	1 DEC17 355.000 Call 0.700	0.700	0.950		0.00
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	1 NOV17 2.88700	2.88700	2.88700		0.00
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17 1697.5	1697.5	1707.0		475.00CR
19OCT17	1 DEC17 1699.0	1699.0	1706.5		375.00CR
19OCT17	1 DEC17 1699.0	1699.0	1707.0		400.00CR
19OCT17	1 DEC17 1701.0	1701.0	1706.5		275.00CR
19OCT17	1 DEC17 1700.0	1700.0	1707.0		350.00CR
19OCT17	1 DEC17 1721.5	1721.5	1706.0		775.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,269.20DR
	OPTION PREMIUMS				800.00DR
	SETTLEMENTS				
	CMX Silver Futures				1,100.00CR
	CMX Copper Futures				1,900.00DR
	COMMISSIONS				60.85DR
	CARRIED FORWARD BALANCE				64,930.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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backoffice@sapiencap.com

SAP0054I
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
19OCT17	S 2 DEC17 CQG GX		1.18805	5.00DR	
	CMX Gold 100 CMX				
19OCT17	S 1 FEB18 CQG GX		1291.2	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1290.6	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1291.3	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1292.8	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1293.0	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1293.1	2.35DR	
19OCT17	S 1 FEB18 CQG GX		1293.3	2.35DR	
	Commission				21.45DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				192,761.00CR
	COMMISSIONS				21.45DR
	CARRIED FORWARD BALANCE				192,739.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
19OCT17	B 2 DEC17 TTR GX 314.350	314.350		4.70DR	
19OCT17	B 1 DEC17 TTR GX 314.250	314.250		2.35DR	
19OCT17	S 3 DEC17 TTR GX		316.500	7.05DR	
	CMX Gold 100 CMX				
19OCT17	B 1 DEC17 TTR GX 1287.4	1287.4		2.35DR	
19OCT17	B 1 DEC17 TTR GX 1287.2	1287.2		2.35DR	
19OCT17	B 1 DEC17 TTR GX 1287.8	1287.8		2.35DR	
19OCT17	B 2 DEC17 TTR GX 1287.6	1287.6		4.70DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	B 3 NOV17 TTR GX 2.83900	2.83900		7.05DR	
	CMX Silver 5,000 CMX				
19OCT17	S 1 DEC17 TTR GX		1697.0	2.35DR	
19OCT17	B 1 DEC17 TTR GX 1697.0	1697.0		2.35DR	
19OCT17	B 2 DEC17 TTR GX 1697.5	1697.5		4.70DR	
19OCT17	B 3 DEC17 TTR GX 1700.5	1700.5		7.05DR	
19OCT17	B 1 DEC17 TTR GX 1701.0	1701.0		2.35DR	
19OCT17	B 1 DEC17 TTR GX 1700.0	1700.0		2.35DR	
	Commission				54.05DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	314.350	316.500		537.50CR
19OCT17	1 DEC17	314.350	316.500		537.50CR
19OCT17	1 DEC17	314.250	316.500		562.50CR
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1287.4	1352.8		6,540.00CR
19OCT17	1 DEC17	1287.2	1352.8		6,560.00CR
19OCT17	1 DEC17	1287.8	1311.7		2,390.00CR
19OCT17	1 DEC17	1287.6	1316.1		2,850.00CR
19OCT17	1 DEC17	1287.6	1287.0		60.00DR
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17	1697.0	1697.0		0.00
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2237.00	2245.00		200.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
28JUL17	1 19OCT17	2788.00	2774.55		336.25DR
28JUL17	1 19OCT17	2786.50	2774.55		298.75DR
28JUL17	1 19OCT17	2780.50	2774.55		148.75DR
28JUL17	1 19OCT17	2773.50	2774.55		26.25CR
28JUL17	12 19OCT17	2800.33	2774.55		7,734.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				350,784.06CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				200.00CR
	LME Zinc 25 tonnes. This is an LME Futures				8,491.50DR
	CMX Copper Futures				1,637.50CR
	CMX Gold Futures				18,280.00CR
	COMMISSIONS				54.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0054K

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				362,356.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0054

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,041,093.62CR
	OPTION PREMIUMS		800.00DR
	SETTLEMENTS		
	CMX Copper Futures		262.50DR
	CMX Gold Futures		18,280.00CR
	CMX Silver Futures		1,100.00CR
	LME Lead 25 tonnes. This is an Futures		200.00CR
	LME Zinc 25 tonnes. This is an LME Futures		8,491.50DR
	COMMISSIONS		305.45DR
	CARRIED FORWARD BALANCE		1,050,814.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0072B
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 NOV17 6100.0 Put DMA EL Commission		1.0	62.50DR	500.00CR 62.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 64,360.40CR OPTION PREMIUMS 500.00CR COMMISSIONS 62.50DR CARRIED FORWARD BALANCE 64,797.90CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0072

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		64,360.40CR
	OPTION PREMIUMS		500.00CR
	COMMISSIONS		62.50DR
	CARRIED FORWARD BALANCE		64,797.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	7010.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6964.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6951.50		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6973.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6972.50		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	6972.00		5.00DR	
	CMX Gold 100 CMX				
19OCT17	S 2 DEC17 TTR GX		1287.8	4.60DR	
19OCT17	S 1 DEC17 TTR GX		1289.2	2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	11740.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11750.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11755.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11760.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11675.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11690.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11720.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11715.00		5.00DR	
19OCT17	B 2 19JAN18 TTR EL	11745.00		10.00DR	
	CMX Silver 5,000 CMX				
19OCT17	S 1 DEC17 TTR GX		1712.5	2.30DR	
19OCT17	S 1 DEC17 TTR GX		1716.5	2.30DR	
19OCT17	S 1 DEC17 TTR GX		1719.5	2.30DR	
19OCT17	S 1 DEC17 TTR GX		1726.0	2.30DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	19835.00		5.00DR	
	Commission				101.10DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	2 DEC17	1291.3	1287.8		700.00DR
19OCT17	1 DEC17	1291.4	1289.2		220.00DR
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17	1700.5	1712.5		600.00CR
19OCT17	1 DEC17	1703.5	1716.5		650.00CR
19OCT17	1 DEC17	1703.5	1719.5		800.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	1 19OCT17	5996.50	6473.50		11,925.00CR
08AUG17	1 19OCT17	5996.00	6473.50		11,937.50CR
08AUG17	1 19OCT17	5996.00	6473.50		11,937.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				82,334.47CR
	SETTLEMENTS				
	CMX Silver Futures				2,050.00CR
	LME Copper Grade A 25 tonnes. This Futures				35,800.00CR
	CMX Gold Futures				920.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				101.10DR
	CARRIED FORWARD BALANCE				119,163.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 2 19JAN18 TTR EL		2135.50	10.00DR	
19OCT17	S 2 19JAN18 TTR EL		2138.50	10.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	B 5 DEC17 TTR GX	51.41		11.50DR	
19OCT17	B 2 DEC17 TTR GX	51.40		4.60DR	
19OCT17	S 1 DEC17 TTR GX		51.52	2.30DR	
19OCT17	S 1 DEC17 TTR GX		51.61	2.30DR	
19OCT17	B 7 DEC17 TTR GX	51.51		16.10DR	
19OCT17	B 1 DEC17 TTR GX	51.50		2.30DR	
19OCT17	B 1 DEC17 TTR GX	51.46		2.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL		2487.50	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		2479.00	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		2485.00	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		2486.00	5.00DR	
19OCT17	S 1 19JAN18 TTR EL		2484.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 2 19JAN18 TTR EL		3095.00	10.00DR	
	Commission				96.40DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 DEC17	51.41	51.52		110.00CR
19OCT17	1 DEC17	51.41	51.61		200.00CR
19OCT17	2 DEC17	51.41	52.47		2,120.00CR
19OCT17	1 DEC17	51.41	52.47		1,060.00CR
19OCT17	1 DEC17	51.40	52.49		1,090.00CR
19OCT17	1 DEC17	51.40	52.51		1,110.00CR
19OCT17	2 DEC17	51.51	52.49		1,960.00CR
19OCT17	1 DEC17	51.51	52.49		980.00CR
19OCT17	2 DEC17	51.51	52.47		1,920.00CR
19OCT17	1 DEC17	51.51	52.46		950.00CR
19OCT17	1 DEC17	51.51	52.49		980.00CR
19OCT17	1 DEC17	51.50	52.46		960.00CR
19OCT17	1 DEC17	51.46	52.48		1,020.00CR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1926.00	1927.50		37.50CR
19JUL17	1 19OCT17	1926.00	1928.50		62.50CR
19JUL17	1 19OCT17	1926.00	1931.50		137.50CR
19JUL17	1 19OCT17	1931.00	1931.00		0.00
19JUL17	1 19OCT17	1932.50	1931.00		37.50DR
19JUL17	1 19OCT17	1931.50	1934.50		75.00CR
19JUL17	1 19OCT17	1930.00	1935.00		125.00CR
19JUL17	1 19OCT17	1929.00	1934.50		137.50CR
19JUL17	1 19OCT17	1936.00	1934.50		37.50DR
19JUL17	1 19OCT17	1936.50	1936.00		12.50DR
19JUL17	1 19OCT17	1936.00	1935.50		12.50DR
19JUL17	1 19OCT17	1936.50	1937.50		25.00CR
19JUL17	1 19OCT17	1937.00	1932.00		125.00DR
19JUL17	1 19OCT17	1936.00	1934.00		50.00DR
19JUL17	1 19OCT17	1936.00	1937.00		25.00CR

Group No: SAPG0083

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	1935.50	1928.50		175.00DR
19JUL17	1 19OCT17	1933.50	1928.50		125.00DR
19JUL17	1 19OCT17	1933.50	1924.00		237.50DR
05SEP17	1 19OCT17	1932.00	2085.80		3,845.00CR
05SEP17	1 19OCT17	1932.50	2085.80		3,832.50CR
05SEP17	1 19OCT17	1937.50	2085.80		3,707.50CR
05SEP17	1 19OCT17	1935.50	2085.80		3,757.50CR
05SEP17	3 19OCT17	1934.00	2085.80		11,385.00CR
05SEP17	1 19OCT17	1932.50	2085.80		3,832.50CR
05SEP17	1 19OCT17	1933.50	2085.80		3,807.50CR
05SEP17	1 19OCT17	1929.00	2085.80		3,920.00CR
05SEP17	1 19OCT17	1928.00	2085.80		3,945.00CR
05SEP17	1 19OCT17	1924.50	2085.80		4,032.50CR
05SEP17	1 19OCT17	1922.50	2085.80		4,082.50CR
05SEP17	1 19OCT17	1922.00	2085.80		4,095.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2263.00	2260.50		62.50DR
19JUL17	1 19OCT17	2263.00	2273.00		250.00CR
19JUL17	1 19OCT17	2264.50	2275.00		262.50CR
19JUL17	1 19OCT17	2265.50	2274.50		225.00CR
19JUL17	1 19OCT17	2258.00	2268.50		262.50CR
19JUL17	1 19OCT17	2259.00	2240.50		462.50DR
19JUL17	1 19OCT17	2258.50	2222.00		912.50DR
19JUL17	1 19OCT17	2258.00	2217.50		1,012.50DR
19JUL17	1 19OCT17	2256.50	2217.50		975.00DR
05SEP17	1 19OCT17	2248.00	2318.30		1,757.50CR
05SEP17	1 19OCT17	2241.00	2318.30		1,932.50CR
05SEP17	1 19OCT17	2242.00	2318.30		1,907.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9695.00	9755.00		360.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2789.00	2778.50		262.50DR
19JUL17	1 19OCT17	2791.00	2778.00		325.00DR
19JUL17	1 19OCT17	2784.00	2778.00		150.00DR
19JUL17	1 19OCT17	2783.00	2778.00		125.00DR
19JUL17	1 19OCT17	2780.50	2783.50		75.00CR
19JUL17	1 19OCT17	2779.00	2789.50		262.50CR
19JUL17	1 19OCT17	2778.50	2782.50		100.00CR
19JUL17	1 19OCT17	2778.00	2783.50		137.50CR
19JUL17	1 19OCT17	2777.50	2782.50		125.00CR
19JUL17	1 19OCT17	2777.50	2783.50		150.00CR
19JUL17	1 19OCT17	2781.50	2783.50		50.00CR
19JUL17	1 19OCT17	2782.00	2784.00		50.00CR
19JUL17	1 19OCT17	2782.50	2783.50		25.00CR
19JUL17	1 19OCT17	2782.50	2785.50		75.00CR
19JUL17	1 19OCT17	2782.50	2785.00		62.50CR
19JUL17	1 19OCT17	2781.00	2786.00		125.00CR
19JUL17	1 19OCT17	2781.00	2791.50		262.50CR
19JUL17	1 19OCT17	2781.00	2795.00		350.00CR
19JUL17	1 19OCT17	2779.50	2795.00		387.50CR
19JUL17	1 19OCT17	2780.00	2798.00		450.00CR
19JUL17	1 19OCT17	2774.00	2798.00		600.00CR
19JUL17	1 19OCT17	2773.50	2798.00		612.50CR
19JUL17	1 19OCT17	2773.00	2798.00		625.00CR
19JUL17	1 19OCT17	2773.50	2798.00		612.50CR
19JUL17	1 19OCT17	2773.00	2798.00		625.00CR
19JUL17	1 19OCT17	2773.50	2796.50		575.00CR
19JUL17	1 19OCT17	2772.50	2783.00		262.50CR
19JUL17	1 19OCT17	2772.50	2773.50		25.00CR
19JUL17	1 19OCT17	2772.00	2768.00		100.00DR
19JUL17	1 19OCT17	2772.50	2769.00		87.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	2772.00	2771.00		25.00DR
19JUL17	1 19OCT17	2779.00	2770.50		212.50DR
19JUL17	1 19OCT17	2774.00	2770.50		87.50DR
19JUL17	1 19OCT17	2766.50	2772.50		150.00CR
19JUL17	1 19OCT17	2757.00	2771.00		350.00CR
19JUL17	1 19OCT17	2757.00	2744.50		312.50DR
19JUL17	1 19OCT17	2754.00	2735.00		475.00DR
05SEP17	1 19OCT17	2754.50	3137.00		9,562.50CR
05SEP17	1 19OCT17	2747.00	3137.00		9,750.00CR
05SEP17	1 19OCT17	2746.00	3137.00		9,775.00CR
05SEP17	1 19OCT17	2745.50	3137.00		9,787.50CR
05SEP17	1 19OCT17	2737.50	3137.00		9,987.50CR
05SEP17	1 19OCT17	2739.00	3137.00		9,950.00CR
05SEP17	1 19OCT17	2737.00	3137.00		10,000.00CR
TOTALS					
BROUGHT FORWARD BALANCE					207,289.55CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					3,172.50CR
LME Zinc 25 tonnes. This is an LME Futures					73,775.00CR
LME Nickel 6 tonnes. This is an LME Futures					360.00CR
NYM Light Sweet Crude Oil Futures					14,460.00CR
LME Aluminium 25 Tonnes. This is an Futures					54,055.00CR
COMMISSIONS					96.40DR
CARRIED FORWARD BALANCE					353,015.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> LME Tin 5 tonnes. This is an LME registered client contract S 1 19JAN18 DMA EL Commission		20045.00	5.00DR	5.00DR
19JUL17	<u>MATCH OUTS</u> LME Tin 5 tonnes. This is an LME registered client contract 1 19OCT17	20100.00	20085.00		75.00DR
19SEP17	1 19OCT17	20728.00	20090.00		3,190.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 21,593.58CR SETTLEMENTS LME Tin 5 tonnes. This is an LME Futures 3,265.00DR COMMISSIONS 5.00DR CARRIED FORWARD BALANCE 18,323.58CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		20100.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	20015.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19975.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	20200.00		5.00DR	
	Commission				20.00DR
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20055.00		225.00DR
19JUL17	1 19OCT17	20130.00	20145.00		75.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,890.32CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00DR
	COMMISSIONS				20.00DR
	CARRIED FORWARD BALANCE				8,720.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME E-Mini S&P 500 50 CME				
19OCT17	S 2 DEC17 TTR GX		2546.25	4.50DR	
19OCT17	S 3 DEC17 TTR GX		2545.75	6.75DR	
	Commission				11.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,783.11CR
	COMMISSIONS				11.25DR
	CARRIED FORWARD BALANCE				43,771.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
19OCT17	S 2 DEC17 TTR GX		1.18650	4.50DR	
19OCT17	B 2 DEC17 TTR GX	1.18660		4.50DR	
	CBT Mini-Sized Dow 5 CBT				
19OCT17	B 2 DEC17 TTR GX	23001.00		4.50DR	
	CME E-Mini S&P 500 50 CME				
19OCT17	B 3 DEC17 TTR GX	2547.00		6.75DR	
	Commission				20.25DR
	<u>SETTLEMENTS</u>				
	CME Euro FX 125,000 CME				
19OCT17	2 DEC17	1.18660	1.18650		25.00DR
	CBT Mini-Sized Dow 5 CBT				
19OCT17	2 DEC17	23001.00	22987.00		140.00DR
	CME E-Mini S&P 500 50 CME				
19OCT17	3 DEC17	2547.00	2503.25		6,562.50DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1924.50	1934.50		250.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19SEP17	1 19OCT17	2773.50	3107.25		8,343.75CR
19SEP17	1 19OCT17	2769.00	3107.25		8,456.25CR
19SEP17	1 19OCT17	2767.50	3107.25		8,493.75CR
19SEP17	1 19OCT17	2768.00	3107.25		8,481.25CR
19SEP17	3 19OCT17	2738.00	3107.25		27,693.75CR
19SEP17	1 19OCT17	2738.50	3107.25		9,218.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,625.16CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				70,687.50CR
	CME E-Mini S&P 500 Futures				6,562.50DR
	CBT Mini-Sized Dow Futures				140.00DR
	LME Aluminium 25 Tonnes. This is an Futures				250.00CR
	CME Euro FX Futures				25.00DR
	COMMISSIONS				20.25DR
	CARRIED FORWARD BALANCE				107,814.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19SEP17	1 19OCT17	20729.00	19990.00		3,695.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				11,373.10CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,695.00DR
	CARRIED FORWARD BALANCE				7,678.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		329,772.49CR
	SETTLEMENTS		
	CBT Mini-Sized Dow Futures		140.00DR
	CME E-Mini S&P 500 Futures		6,562.50DR
	CME Euro FX Futures		25.00DR
	CMX Gold Futures		920.00DR
	CMX Silver Futures		2,050.00CR
	LME Aluminium 25 Tonnes. This is an Futures		54,305.00CR
	LME Copper Grade A 25 tonnes. This Futures		35,800.00CR
	LME Lead 25 tonnes. This is an Futures		3,172.50CR
	LME Nickel 6 tonnes. This is an LME Futures		360.00CR
	LME Tin 5 tonnes. This is an LME Futures		7,110.00DR
	LME Zinc 25 tonnes. This is an LME Futures		144,462.50CR
	NYM Light Sweet Crude Oil Futures		14,460.00CR
	COMMISSIONS		254.00DR
	CARRIED FORWARD BALANCE		569,370.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1936.00	1928.00		200.00DR
19JUL17	1 19OCT17	1937.00	1929.00		200.00DR
19JUL17	2 19OCT17	1937.00	1925.00		600.00DR
19JUL17	1 19OCT17	1933.50	1932.50		25.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6002.50	5999.00		87.50DR
19JUL17	1 19OCT17	6013.00	5999.50		337.50DR
19JUL17	1 19OCT17	6006.00	5999.50		162.50DR
19JUL17	1 19OCT17	6007.50	5999.50		200.00DR
19JUL17	1 19OCT17	6007.00	5999.50		187.50DR
19JUL17	1 19OCT17	5998.00	6001.00		75.00CR
19JUL17	1 19OCT17	5998.00	5998.50		12.50CR
19JUL17	1 19OCT17	5998.00	5987.00		275.00DR
19JUL17	1 19OCT17	5996.00	5989.00		175.00DR
19JUL17	1 19OCT17	5996.50	5994.50		50.00DR
24JUL17	1 19OCT17	5996.50	6026.50		750.00CR
24JUL17	1 19OCT17	5996.50	6026.50		750.00CR
24JUL17	2 19OCT17	5996.50	6026.50		1,500.00CR
24JUL17	1 19OCT17	5997.50	6026.50		725.00CR
24JUL17	1 19OCT17	6000.00	6026.50		662.50CR
07AUG17	1 19OCT17	5996.50	6407.50		10,275.00CR
07AUG17	1 19OCT17	5996.50	6407.50		10,275.00CR
07AUG17	1 19OCT17	5992.50	6407.50		10,375.00CR
07AUG17	1 19OCT17	5992.50	6407.50		10,375.00CR
07AUG17	1 19OCT17	5992.00	6407.50		10,387.50CR
07AUG17	1 19OCT17	5991.00	6407.50		10,412.50CR
07AUG17	1 19OCT17	5989.50	6407.50		10,450.00CR
08AUG17	2 19OCT17	5989.00	6473.50		24,225.00CR
08AUG17	1 19OCT17	5987.50	6473.50		12,150.00CR
08AUG17	1 19OCT17	5988.00	6473.50		12,137.50CR
08AUG17	3 19OCT17	5996.50	6473.50		35,775.00CR
08AUG17	1 19OCT17	5971.50	6473.50		12,550.00CR
08AUG17	1 19OCT17	5969.00	6473.50		12,612.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	2 19OCT17	2267.00	2275.00		400.00CR
19JUL17	2 19OCT17	2267.50	2275.00		375.00CR
19JUL17	2 19OCT17	2266.50	2263.50		150.00DR
19JUL17	1 19OCT17	2267.50	2263.00		112.50DR
19JUL17	1 19OCT17	2267.50	2273.00		137.50CR
19JUL17	1 19OCT17	2266.00	2272.50		162.50CR
19JUL17	3 19OCT17	2262.00	2272.50		787.50CR
19JUL17	1 19OCT17	2268.00	2272.50		112.50CR
19JUL17	1 19OCT17	2267.50	2272.50		125.00CR
19JUL17	1 19OCT17	2267.50	2264.00		87.50DR
19JUL17	1 19OCT17	2267.50	2274.00		162.50CR
24JUL17	1 19OCT17	2267.50	2259.18		208.00DR
24JUL17	4 19OCT17	2271.50	2259.18		1,232.00DR
24JUL17	1 19OCT17	2245.50	2259.18		342.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	1 19OCT17	2245.50	2259.18		342.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	2 19OCT17	2246.00	2259.18		659.00CR
24JUL17	1 19OCT17	2246.50	2259.18		317.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	1 19OCT17	2245.50	2259.18		342.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	1 19OCT17	2243.00	2259.18		404.50CR
24JUL17	1 19OCT17	2238.00	2259.18		529.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086C

19th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 19OCT17	2237.50	2259.18		542.00CR
24JUL17	2 19OCT17	2238.00	2259.18		1,059.00CR
24JUL17	2 19OCT17	2230.50	2259.18		1,434.00CR
24JUL17	1 19OCT17	2228.00	2259.18		779.50CR
24JUL17	1 19OCT17	2228.50	2259.18		767.00CR
24JUL17	1 19OCT17	2226.50	2259.18		817.00CR
24JUL17	1 19OCT17	2226.00	2259.18		829.50CR
24JUL17	1 19OCT17	2245.50	2259.38		347.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,900,399.24CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				11,301.50CR
	LME Copper Grade A 25 tonnes. This Futures				185,000.00CR
	LME Aluminium 25 Tonnes. This is an Futures				1,025.00DR
	CARRIED FORWARD BALANCE				2,095,675.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6000.50	6014.50		350.00CR
19JUL17	1 19OCT17	5997.00	6014.50		437.50CR
19JUL17	1 19OCT17	5997.00	6014.50		437.50CR
19JUL17	1 19OCT17	5997.00	5996.50		12.50DR
19JUL17	1 19OCT17	5984.00	5995.00		275.00CR
19JUL17	1 19OCT17	5982.50	5996.00		337.50CR
19JUL17	1 19OCT17	5983.00	5980.00		75.00DR
19JUL17	1 19OCT17	5986.00	5983.00		75.00DR
19JUL17	1 19OCT17	5985.00	5989.50		112.50CR
19JUL17	1 19OCT17	5982.50	5993.50		275.00CR
19JUL17	1 19OCT17	6012.00	5970.00		1,050.00DR
24JUL17	1 19OCT17	6026.50	6011.00		387.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,970.40CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				625.00CR
	CARRIED FORWARD BALANCE				16,595.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6018.50	6015.00		87.50DR
19JUL17	1 19OCT17	5997.00	6014.50		437.50CR
19JUL17	1 19OCT17	5997.00	6016.00		475.00CR
19JUL17	1 19OCT17	5997.00	5997.50		12.50CR
19JUL17	1 19OCT17	5990.00	5987.50		62.50DR
19JUL17	1 19OCT17	5989.00	5984.00		125.00DR
19JUL17	1 19OCT17	5989.00	5985.00		100.00DR
19JUL17	1 19OCT17	5991.50	5979.00		312.50DR
19JUL17	1 19OCT17	5982.00	6014.50		812.50CR
19JUL17	1 19OCT17	6016.00	6014.00		50.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20135.00	20090.00		225.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,178.20CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				225.00DR
	LME Copper Grade A 25 tonnes. This Futures				1,000.00CR
	CARRIED FORWARD BALANCE				6,953.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5996.00	6015.00		475.00CR
19JUL17	1 19OCT17	6002.00	6015.00		325.00CR
19JUL17	1 19OCT17	5994.50	5994.00		12.50DR
07AUG17	1 19OCT17	6407.50	5980.00		10,687.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2246.00	2229.00		425.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9650.00	9650.00		0.00
19JUL17	1 19OCT17	9650.00	9650.00		0.00
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20080.00		100.00DR
19JUL17	1 19OCT17	20065.00	20065.00		0.00
19JUL17	1 19OCT17	20070.00	20095.00		125.00CR
19JUL17	1 19OCT17	20090.00	20100.00		50.00CR
19JUL17	1 19OCT17	20110.00	20050.00		300.00DR
19JUL17	1 19OCT17	20060.00	20125.00		325.00CR
19JUL17	1 19OCT17	20100.00	20110.00		50.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,477.30CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00CR
	LME Lead 25 tonnes. This is an Futures				425.00DR
	LME Copper Grade A 25 tonnes. This Futures				9,900.00DR
	CARRIED FORWARD BALANCE				7,302.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5997.00	5994.00		75.00DR
19JUL17	1 19OCT17	5997.00	5994.00		75.00DR
19JUL17	1 19OCT17	6000.00	5994.00		150.00DR
19JUL17	1 19OCT17	5995.00	6009.00		350.00CR
19JUL17	1 19OCT17	5989.00	6014.00		625.00CR
19JUL17	1 19OCT17	5990.00	6017.00		675.00CR
19JUL17	1 19OCT17	5991.00	6014.00		575.00CR
24JUL17	1 19OCT17	5985.00	6026.30		1,032.50CR
24JUL17	1 19OCT17	5985.00	6026.30		1,032.50CR
24JUL17	1 19OCT17	6015.00	6026.30		282.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,525.15CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,272.50CR
	CARRIED FORWARD BALANCE				12,797.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0086H 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5998.00	6018.00		500.00CR
19JUL17	1 19OCT17	5997.00	6015.00		450.00CR
19JUL17	1 19OCT17	5991.50	5996.50		125.00CR
19JUL17	1 19OCT17	5989.00	5989.50		12.50CR
24JUL17	1 19OCT17	6026.50	5984.00		1,062.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2228.00	2233.00		125.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20105.00		25.00CR
19JUL17	1 19OCT17	20070.00	20075.00		25.00CR
19JUL17	1 19OCT17	20070.00	20110.00		200.00CR
19JUL17	1 19OCT17	20075.00	20135.00		300.00CR
19JUL17	1 19OCT17	20130.00	20135.00		25.00CR
19JUL17	1 19OCT17	20065.00	20095.00		150.00CR
19JUL17	1 19OCT17	20070.00	20095.00		125.00CR
19JUL17	1 19OCT17	20050.00	20095.00		225.00CR
19JUL17	1 19OCT17	20070.00	20115.00		225.00CR
19JUL17	1 19OCT17	20065.00	20090.00		125.00CR
19JUL17	1 19OCT17	20030.00	20120.00		450.00CR
19JUL17	1 19OCT17	20095.00	20120.00		125.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,069.25CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,000.00CR
	LME Lead 25 tonnes. This is an Futures				125.00CR
	LME Copper Grade A 25 tonnes. This Futures				25.00CR
	CARRIED FORWARD BALANCE				39,219.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0086

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,195,888.85CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		1,025.00DR
	LME Copper Grade A 25 tonnes. This Futures		181,022.50CR
	LME Lead 25 tonnes. This is an Futures		11,001.50CR
	LME Tin 5 tonnes. This is an LME Futures		1,925.00CR
	CARRIED FORWARD BALANCE		1,388,812.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0088A 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
19OCT17	CME E-MINI S&P 500 Week 4 Options 50 CME				
	S 5 OCT17 2530.00 Put GX		6.25		1,562.50CR
19OCT17	CME Sterling 62,500 CME				
	B 2 DEC17 GX	1.31790		3.86DR	
19OCT17	CMX Gold 100 CMX				
	S 5 NOV17 1300.0 Call GX		3.6	9.90DR	1,800.00CR
19OCT17	S 5 DEC17 GX		1287.9	9.90DR	
19OCT17	S 5 DEC17 GX		1291.3	9.90DR	
19OCT17	B 5 DEC17 GX	1289.6		9.90DR	
19OCT17	CME E-Mini S&P 500 50 CME				
	B 5 DEC17 GX	2548.25		7.55DR	
19OCT17	S 5 DEC17 GX		2549.75	7.55DR	
	Commission				58.56DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	5 DEC17	1289.6	1287.9		850.00DR
19OCT17	3 DEC17	1293.3	1291.3		600.00DR
19OCT17	1 DEC17	1293.3	1291.3		200.00DR
19OCT17	1 DEC17	1293.3	1291.3		200.00DR
19OCT17	CME E-Mini S&P 500 50 CME				
	5 DEC17	2548.25	2549.75		375.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				69,108.15CR
	OPTION PREMIUMS				3,362.50CR
	SETTLEMENTS				
	CME E-Mini S&P 500 Futures				375.00CR
	CMX Gold Futures				1,850.00DR
	COMMISSIONS				58.56DR
	CARRIED FORWARD BALANCE				70,937.09CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0088

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		69,108.15CR
	OPTION PREMIUMS		3,362.50CR
	SETTLEMENTS		
	CME E-Mini S&P 500 Futures		375.00CR
	CMX Gold Futures		1,850.00DR
	COMMISSIONS		58.56DR
	CARRIED FORWARD BALANCE		70,937.09CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 2 19JAN18 DMA EL		2141.00	9.30DR	
19OCT17	S 1 19JAN18 DMA EL		2142.00	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	S 7 09JAN18 IFC UD		2469.31	18.90DR	
19OCT17	B 3 11JAN18 IFC UD	2469.81		8.10DR	
19OCT17	B 3 12JAN18 IFC UD	2469.96		8.10DR	
19OCT17	B 1 16JAN18 IFC UD	2470.55		2.70DR	
19OCT17	B 1 19JAN18 DMA EL	2490.50		4.65DR	
19OCT17	S 1 19JAN18 DMA EL		2479.50	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 10 02NOV17 IFC UD		3154.00	27.00DR	
19OCT17	S 2 04JAN18 IFC UD		3120.68	5.40DR	
19OCT17	S 19 05JAN18 IFC UD		3120.41	51.30DR	
19OCT17	S 9 09JAN18 IFC UD		3119.86	24.30DR	
19OCT17	B 2 10JAN18 IFC UD	3119.79		5.40DR	
19OCT17	S 8 11JAN18 IFC UD		3119.32	21.60DR	
19OCT17	S 4 12JAN18 IFC UD		3119.05	10.80DR	
19OCT17	B 40 18JAN18 IFC UD	3114.70		108.00DR	
19OCT17	B 10 19JAN18 IFC UD	3114.20		27.00DR	
	Commission				341.85DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 19OCT17	1918.50	1911.58		173.00DR
24JUL17	1 19OCT17	1916.50	1911.58		123.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
21JUL17	1 19OCT17	2239.63	2218.50		528.25DR
21JUL17	1 19OCT17	2239.63	2218.50		528.25DR
21JUL17	1 19OCT17	2239.63	2217.50		553.25DR
21JUL17	1 19OCT17	2239.63	2210.50		728.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2774.00	2792.50		462.50CR
19JUL17	1 19OCT17	2773.00	2795.00		550.00CR
19JUL17	1 19OCT17	2772.00	2796.50		612.50CR
19JUL17	1 19OCT17	2773.50	2797.00		587.50CR
19JUL17	1 19OCT17	2766.00	2773.50		187.50CR
19JUL17	1 19OCT17	2768.00	2739.00		725.00DR
19JUL17	1 19OCT17	2768.00	2740.00		700.00DR
21JUL17	1 19OCT17	2774.00	2753.50		512.50DR
21JUL17	1 19OCT17	2770.50	2753.50		425.00DR
21JUL17	1 19OCT17	2767.00	2753.50		337.50DR
21JUL17	1 19OCT17	2759.50	2753.50		150.00DR
21JUL17	1 19OCT17	2756.00	2753.50		62.50DR
24JUL17	1 19OCT17	2756.50	2787.50		775.00CR
24JUL17	1 19OCT17	2747.00	2787.50		1,012.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				216,307.55CR

Group No: SAPG0094

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A
19th October 2017
COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,338.00DR
	LME Zinc 25 tonnes. This is an LME Futures				1,275.00CR
	LME Aluminium 25 Tonnes. This is an Futures				296.00DR
	COMMISSIONS				341.85DR
	CARRIED FORWARD BALANCE				214,606.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 3 22DEC17 IFC UD	2466.65		8.10DR	
19OCT17	S 3 11JAN18 IFC UD		2469.41	8.10DR	
19OCT17	S 4 12JAN18 IFC UD		2469.76	10.80DR	
19OCT17	B 4 18JAN18 IFC UD	2470.45		10.80DR	
19OCT17	B 1 19JAN18 DMA EL	2480.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2479.50		4.65DR	
19OCT17	B 2 19JAN18 DMA EL	2480.00		9.30DR	
19OCT17	B 1 19JAN18 DMA EL	2481.00		4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	S 4 15DEC17 IFC UD		11713.67	10.80DR	
19OCT17	S 5 29DEC17 IFC UD		11724.07	13.50DR	
19OCT17	B 9 18JAN18 IFC UD	11740.50		24.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 19 03JAN18 IFC UD	3120.95		51.30DR	
19OCT17	B 12 04JAN18 IFC UD	3120.68		32.40DR	
19OCT17	B 4 09JAN18 IFC UD	3119.86		10.80DR	
19OCT17	S 12 11JAN18 IFC UD		3119.12	32.40DR	
19OCT17	S 19 17JAN18 IFC UD		3118.30	51.30DR	
19OCT17	S 4 18JAN18 IFC UD		3114.30	10.80DR	
	Commission				298.65DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1923.00	1936.00		325.00CR
19JUL17	1 19OCT17	1926.00	1935.00		225.00CR
19JUL17	1 19OCT17	1926.00	1935.00		225.00CR
19JUL17	1 19OCT17	1925.50	1935.00		237.50CR
19JUL17	1 19OCT17	1927.00	1935.00		200.00CR
19JUL17	1 19OCT17	1926.50	1935.00		212.50CR
19JUL17	1 19OCT17	1926.50	1936.00		237.50CR
19JUL17	1 19OCT17	1927.00	1936.00		225.00CR
21JUL17	1 19OCT17	1915.33	1937.50		554.25CR
21JUL17	1 19OCT17	1915.33	1937.00		541.75CR
21JUL17	1 19OCT17	1915.33	1936.00		516.75CR
21JUL17	1 19OCT17	1915.33	1936.50		529.25CR
21JUL17	1 19OCT17	1915.33	1920.00		116.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2254.00	2217.50		912.50DR
19JUL17	1 19OCT17	2254.00	2217.50		912.50DR
19JUL17	1 19OCT17	2254.00	2217.50		912.50DR
19JUL17	1 19OCT17	2256.00	2217.50		962.50DR
19JUL17	1 19OCT17	2254.50	2217.50		925.00DR
19JUL17	1 19OCT17	2254.50	2213.00		1,037.50DR
19JUL17	1 19OCT17	2255.50	2212.00		1,087.50DR
19JUL17	1 19OCT17	2257.00	2260.50		87.50CR
19JUL17	1 19OCT17	2240.00	2261.00		525.00CR
21JUL17	1 19OCT17	2240.00	2239.63		9.25DR
21JUL17	1 19OCT17	2244.00	2239.63		109.25DR
21JUL17	1 19OCT17	2244.00	2239.63		109.25DR
21JUL17	1 19OCT17	2241.50	2239.63		46.75DR
21JUL17	1 19OCT17	2237.00	2239.63		65.75CR
21JUL17	1 19OCT17	2262.50	2239.63		571.75DR

Group No: SAPG0094

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094E 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
21JUL17	1 19OCT17 LME Zinc 25 tonnes. This is an LME registered client contract	2261.50	2239.63		546.75DR
19JUL17	1 19OCT17	2770.00	2735.00		875.00DR
19JUL17	1 19OCT17	2769.00	2735.00		850.00DR
19JUL17	1 19OCT17	2767.50	2735.00		812.50DR
19JUL17	1 19OCT17	2765.50	2795.50		750.00CR
19JUL17	1 19OCT17	2759.00	2795.50		912.50CR
19JUL17	1 19OCT17	2756.00	2796.00		1,000.00CR
19JUL17	1 19OCT17	2758.00	2796.50		962.50CR
19JUL17	1 19OCT17	2755.00	2796.00		1,025.00CR
19JUL17	1 19OCT17	2755.50	2748.00		187.50DR
19JUL17	1 19OCT17	2748.50	2739.00		237.50DR
19JUL17	1 19OCT17	2747.00	2739.00		200.00DR
19JUL17	1 19OCT17	2744.00	2739.00		125.00DR
19JUL17	1 19OCT17	2744.00	2735.00		225.00DR
19JUL17	1 19OCT17	2742.50	2735.00		187.50DR
19JUL17	1 19OCT17	2743.00	2735.00		200.00DR
21JUL17	1 19OCT17	2741.50	2753.50		300.00CR
21JUL17	1 19OCT17	2737.50	2753.50		400.00CR
21JUL17	1 19OCT17	2737.50	2753.50		400.00CR
TOTALS					
BROUGHT FORWARD BALANCE					264,991.50CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					7,464.75DR
LME Zinc 25 tonnes. This is an LME Futures					1,850.00CR
LME Aluminium 25 Tonnes. This is an Futures					4,146.25CR
COMMISSIONS					298.65DR
CARRIED FORWARD BALANCE					263,224.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094H

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 1 19JAN18 DMA EL		2137.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		2142.50	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	2481.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2484.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2486.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2482.00		4.65DR	
	Commission				27.90DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1921.00	1934.00		325.00CR
19JUL17	1 19OCT17	1921.50	1935.50		350.00CR
19JUL17	1 19OCT17	1921.00	1939.00		450.00CR
31JUL17	1 19OCT17	1914.25	1920.50		156.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
31JUL17	1 19OCT17	2227.50	2330.75		2,581.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2766.50	2735.00		787.50DR
19JUL17	1 19OCT17	2757.00	2735.00		550.00DR
19JUL17	1 19OCT17	2753.00	2737.00		400.00DR
21JUL17	1 19OCT17	2735.50	2753.30		445.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				50,022.29CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,581.25CR
	LME Zinc 25 tonnes. This is an LME Futures				1,292.50DR
	LME Aluminium 25 Tonnes. This is an Futures				1,281.25CR
	COMMISSIONS				27.90DR
	CARRIED FORWARD BALANCE				52,564.39CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094J

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 1 DEC17 Commission GX		1287.2	2.35DR	2.35DR
19OCT17	<u>SETTLEMENTS</u> CMX Gold 100 CMX 1 DEC17	1285.0	1287.2		220.00CR
	<u>MATCH OUTS</u> LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	8 19OCT17	1933.00	1914.93		3,614.00DR
21JUL17	8 19OCT17	1939.00	1914.93		4,814.00DR
21JUL17	2 19OCT17	1939.00	1914.93		1,203.50DR
21JUL17	3 19OCT17	1938.50	1914.93		1,767.75DR
21JUL17	2 19OCT17	1938.50	1914.93		1,178.50DR
21JUL17	1 19OCT17	1933.50	1914.93		464.25DR
21JUL17	2 19OCT17	1933.50	1914.93		928.50DR
21JUL17	1 19OCT17	1933.50	1914.93		464.25DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	2 19OCT17	1934.00	1914.93		476.75DR
21JUL17	2 19OCT17	1934.00	1914.93		953.50DR
21JUL17	5 19OCT17	1934.00	1914.93		2,383.75DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	2 19OCT17	1934.00	1914.93		953.50DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	4 19OCT17	1934.00	1914.93		1,907.00DR
21JUL17	1 19OCT17	1934.00	1914.93		476.75DR
21JUL17	1 19OCT17	1933.50	1914.93		464.25DR
21JUL17	2 19OCT17	1933.50	1914.93		928.50DR
21JUL17	1 19OCT17	1933.50	1914.93		464.25DR
21JUL17	2 19OCT17	1933.50	1914.93		928.50DR
21JUL17	2 19OCT17	1933.50	1914.93		928.50DR
21JUL17	2 19OCT17	1933.50	1914.93		928.50DR
21JUL17	8 19OCT17	1933.00	1914.93		3,614.00DR
21JUL17	1 19OCT17	1928.00	1914.93		326.75DR
21JUL17	4 19OCT17	1928.00	1914.93		1,307.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6001.50	6000.50		25.00DR
19JUL17	1 19OCT17	6001.00	6000.50		12.50DR
19JUL17	1 19OCT17	6001.00	6000.50		12.50DR
19JUL17	1 19OCT17	6001.50	6000.50		25.00DR
19JUL17	1 19OCT17	6001.50	6000.50		25.00DR
19JUL17	1 19OCT17	6001.50	6000.50		25.00DR
19JUL17	1 19OCT17	6001.50	6000.50		25.00DR
19JUL17	1 19OCT17	6001.00	6000.50		12.50DR
19JUL17	1 19OCT17	6001.00	6000.50		12.50DR
19JUL17	1 19OCT17	6001.00	6000.50		12.50DR
03AUG17	9 19OCT17	6348.00	6000.00		78,300.00DR
03AUG17	1 19OCT17	6348.00	6000.00		8,700.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				293,269.67CR
	SETTLEMENTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094J

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Copper Grade A 25 tonnes. This Futures				87,187.50DR
	CMX Gold Futures				220.00CR
	LME Aluminium 25 Tonnes. This is an Futures				33,860.00DR
	COMMISSIONS				2.35DR
	CARRIED FORWARD BALANCE				172,439.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094K
19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> NYM Light Sweet Crude Oil 1,000 NYM B 1 DEC17 TTR GX Commission	51.43		2.35DR	2.35DR
19OCT17	<u>SETTLEMENTS</u> NYM Light Sweet Crude Oil 1,000 NYM 1 DEC17	51.43	52.39		960.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 7,293.35DR SETTLEMENTS NYM Light Sweet Crude Oil Futures 960.00CR COMMISSIONS 2.35DR CARRIED FORWARD BALANCE 6,335.70DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

19th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL 6994.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 7005.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 7010.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 6951.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 6973.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 6975.00			4.65DR	
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 TTR GX 1280.0			2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL 11635.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 11755.00			4.65DR	
19OCT17	B 2 19JAN18 TTR EL 11745.00			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 11675.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 11720.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 11750.00			4.65DR	
19OCT17	B 2 19JAN18 TTR EL 11770.00			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 11735.00			4.65DR	
	CMX Silver 5,000 CMX				
19OCT17	S 1 DEC17 TTR GX 1706.5			2.35DR	
19OCT17	S 1 DEC17 TTR GX 1714.0			2.35DR	
19OCT17	S 1 DEC17 TTR GX 1718.5			2.35DR	
19OCT17	S 1 DEC17 TTR GX 1722.5			2.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL 19870.00			4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL 3118.50			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 3095.50			4.65DR	
	Commission				100.10DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1286.5	1280.0		650.00DR
	CMX Silver 5,000 CMX				
19OCT17	1 DEC17	1707.0	1706.5		25.00DR
19OCT17	1 DEC17	1703.5	1714.0		525.00CR
19OCT17	1 DEC17	1702.0	1718.5		825.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6008.00	5999.50		212.50DR
19JUL17	1 19OCT17	5997.00	5999.50		62.50CR
19JUL17	1 19OCT17	5996.00	5999.50		87.50CR
21JUL17	2 19OCT17	6005.20	5999.50		285.00DR
21JUL17	1 19OCT17	6005.20	6000.50		117.50DR
19SEP17	2 19OCT17	6005.20	6508.75		25,177.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2240.00	2217.50		562.50DR
	LME Nickel 6 tonnes. This is an LME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094L
19th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
19JUL17	1 19OCT17	9740.00	9720.00		120.00DR
05OCT17	1 19OCT17	10461.04	9725.00		4,416.24DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2790.50	2779.00		287.50DR
19JUL17	1 19OCT17	2775.50	2791.00		387.50CR
19JUL17	1 19OCT17	2738.00	2772.00		850.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				89,960.89CR
	SETTLEMENTS				
	CMX Silver Futures				1,325.00CR
	LME Lead 25 tonnes. This is an Futures				562.50DR
	LME Copper Grade A 25 tonnes. This Futures				24,712.50CR
	LME Zinc 25 tonnes. This is an LME Futures				950.00CR
	LME Nickel 6 tonnes. This is an LME Futures				4,536.24DR
	CMX Gold Futures				650.00DR
	COMMISSIONS				100.10DR
	CARRIED FORWARD BALANCE				111,099.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	B 1 DEC17 TTR GX 51.36			2.35DR	
19OCT17	S 3 DEC17 TTR GX		51.41	7.05DR	
19OCT17	S 3 DEC17 TTR GX		51.42	7.05DR	
19OCT17	S 1 DEC17 TTR GX		51.43	2.35DR	
19OCT17	B 1 DEC17 TTR GX 51.40			2.35DR	
19OCT17	S 1 DEC17 TTR GX		51.53	2.35DR	
19OCT17	B 1 DEC17 TTR GX 51.49			2.35DR	
19OCT17	S 1 DEC17 TTR GX		51.49	2.35DR	
19OCT17	B 1 DEC17 TTR GX 51.57			2.35DR	
19OCT17	S 1 DEC17 TTR GX		51.55	2.35DR	
19OCT17	S 1 DEC17 TTR GX 51.57			2.35DR	
19OCT17	B 1 DEC17 TTR GX 51.52			2.35DR	
19OCT17	B 1 DEC17 TTR GX 51.46			2.35DR	
19OCT17	B 3 DEC17 TTR GX 51.48			7.05DR	
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 TTR GX		1289.0	2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	B 4 NOV17 TTR GX 2.84700			9.40DR	
19OCT17	B 4 NOV17 TTR GX 2.84600			9.40DR	
19OCT17	B 1 NOV17 TTR GX 2.84500			2.35DR	
19OCT17	B 1 NOV17 TTR GX 2.85300			2.35DR	
19OCT17	B 1 NOV17 TTR GX 2.85200			2.35DR	
19OCT17	S 1 NOV17 TTR GX		2.85400	2.35DR	
19OCT17	S 1 NOV17 TTR GX		2.85800	2.35DR	
19OCT17	S 3 NOV17 TTR GX		2.85700	7.05DR	
19OCT17	S 1 NOV17 TTR GX		2.85300	2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL		11680.00	4.65DR	
19OCT17	S 1 19JAN18 TTR EL		11685.00	4.65DR	
	CMX Silver 5,000 CMX				
19OCT17	B 1 DEC17 TTR GX 1700.0			2.35DR	
19OCT17	S 1 DEC17 TTR GX		1705.0	2.35DR	
19OCT17	S 1 DEC17 TTR GX		1706.5	2.35DR	
19OCT17	B 1 DEC17 TTR GX 1706.5			2.35DR	
19OCT17	S 1 DEC17 TTR GX		1706.0	2.35DR	
	Commission				110.35DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 DEC17	51.36	51.41		50.00CR
19OCT17	1 DEC17	51.40	51.41		10.00CR
19OCT17	1 DEC17	51.49	51.42		70.00DR
19OCT17	1 DEC17	51.57	51.42		150.00DR
19OCT17	1 DEC17	51.52	51.41		110.00DR
19OCT17	1 DEC17	51.48	51.42		60.00DR
19OCT17	1 DEC17	51.48	51.43		50.00DR
19OCT17	1 DEC17	51.46	51.53		70.00CR
19OCT17	1 DEC17	51.48	51.49		10.00CR
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1305.7	1289.0		1,670.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	1 NOV17	2.84600	2.85700		110.00CR
19OCT17	1 NOV17	2.84700	2.85700		100.00CR
19OCT17	1 NOV17	2.84700	2.85400		70.00CR
19OCT17	1 NOV17	2.84600	2.85800		120.00CR
19OCT17	1 NOV17	2.84600	2.85700		110.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094M

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 NOV17 CMX Silver 5,000 CMX	2.84700	2.85300		60.00CR
19OCT17	1 DEC17	1700.0	1705.0		250.00CR
19OCT17	1 DEC17	1706.5	1706.5		0.00
19OCT17	1 DEC17	1705.0	1706.0		50.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				42,936.07DR
	SETTLEMENTS				
	CMX Silver Futures				300.00CR
	NYM Henry Hub Natural Gas Futures				570.00CR
	CMX Gold Futures				1,670.00DR
	NYM Light Sweet Crude Oil Futures				300.00DR
	COMMISSIONS				110.35DR
	CARRIED FORWARD BALANCE				44,146.42DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 2 19JAN18 TTR EL 7009.00			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 7008.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 7010.00			4.65DR	
19OCT17	B 2 19JAN18 TTR EL 6959.50			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 6961.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 6961.50			4.65DR	
19OCT17	B 2 19JAN18 TTR EL 6952.00			9.30DR	
19OCT17	B 2 19JAN18 TTR EL 6973.00			9.30DR	
19OCT17	B 2 19JAN18 TTR EL 6975.50			9.30DR	
19OCT17	B 2 19JAN18 TTR EL 6974.50			9.30DR	
19OCT17	B 2 19JAN18 TTR EL 6973.50			9.30DR	
	CMX Gold 100 CMX				
19OCT17	S 2 DEC17 TTR GX 1280.0			4.70DR	
19OCT17	S 2 DEC17 TTR GX 1287.8			4.70DR	
19OCT17	S 2 DEC17 TTR GX 1289.2			4.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL 11730.00			4.65DR	
19OCT17	B 1 19JAN18 TTR EL 11760.00			4.65DR	
19OCT17	B 4 19JAN18 TTR EL 11735.00			18.60DR	
19OCT17	B 2 19JAN18 TTR EL 11690.00			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 11720.00			4.65DR	
19OCT17	S 1 19JAN18 TTR EL 11720.00		11720.00	4.65DR	
19OCT17	B 4 19JAN18 TTR EL 11755.00			18.60DR	
19OCT17	B 2 19JAN18 TTR EL 11750.00			9.30DR	
19OCT17	B 1 19JAN18 TTR EL 11740.00			4.65DR	
19OCT17	B 5 19JAN18 TTR EL 11745.00			23.25DR	
	CMX Silver 5,000 CMX				
19OCT17	S 2 DEC17 TTR GX 1712.5			4.70DR	
19OCT17	S 2 DEC17 TTR GX 1716.5			4.70DR	
19OCT17	S 2 DEC17 TTR GX 1719.5			4.70DR	
19OCT17	S 1 DEC17 TTR GX 1724.0			2.35DR	
19OCT17	S 1 DEC17 TTR GX 1725.5			2.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 2 19JAN18 TTR EL 19840.00			9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 2 19JAN18 TTR EL 3101.50			9.30DR	
	Commission				237.50DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
19OCT17	2 DEC17 1295.4	1280.0			3,080.00DR
19OCT17	2 DEC17 1292.4	1287.8			920.00DR
19OCT17	2 DEC17 1286.2	1289.2			600.00CR
	CMX Silver 5,000 CMX				
19OCT17	2 DEC17 1700.5	1712.5			1,200.00CR
19OCT17	2 DEC17 1703.5	1716.5			1,300.00CR
19OCT17	2 DEC17 1704.0	1719.5			1,550.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP00940 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	5999.50	6001.00		37.50CR
19JUL17	2 19OCT17	5999.50	6001.00		75.00CR
19JUL17	3 19OCT17	5996.50	6000.50		300.00CR
19JUL17	2 19OCT17	5996.00	6000.00		200.00CR
19JUL17	1 19OCT17	5996.50	6000.00		87.50CR
19JUL17	1 19OCT17	5996.00	5999.50		87.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				150,654.08CR
	SETTLEMENTS				
	CMX Silver Futures				4,050.00CR
	LME Copper Grade A 25 tonnes. This Futures				787.50CR
	CMX Gold Futures				3,400.00DR
	COMMISSIONS				237.50DR
	CARRIED FORWARD BALANCE				151,854.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094Q 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	6923.50		4.65DR	
19OCT17	S 1 19JAN18 DMA EL		6938.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		20075.00	4.65DR	
19OCT17	S 3 19JAN18 DMA EL		19950.00	13.95DR	
19OCT17	S 1 19JAN18 DMA EL		19955.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	20010.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	20105.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	20020.00		4.65DR	
19OCT17	S 2 19JAN18 DMA EL		19840.00	9.30DR	
19OCT17	S 1 19JAN18 DMA EL		19800.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19820.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19830.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19840.00		4.65DR	
19OCT17	B 3 19JAN18 DMA EL	19880.00		13.95DR	
19OCT17	S 1 19JAN18 DMA EL		19945.00	4.65DR	
19OCT17	S 2 19JAN18 DMA EL		19895.00	9.30DR	
	Commission				102.30DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2217.50	2218.50		25.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20120.00		100.00CR
19JUL17	1 19OCT17	20080.00	20110.00		150.00CR
19JUL17	1 19OCT17	20050.00	20125.00		375.00CR
08AUG17	1 19OCT17	20226.80	20075.00		759.00DR
08AUG17	1 19OCT17	20226.80	20105.00		609.00DR
08AUG17	1 19OCT17	20226.80	20085.00		709.00DR
08AUG17	1 19OCT17	20226.80	20095.00		659.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2739.50	2748.50		225.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,714.30CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,111.00DR
	LME Lead 25 tonnes. This is an Futures				25.00CR
	LME Zinc 25 tonnes. This is an LME Futures				225.00CR
	COMMISSIONS				102.30DR
	CARRIED FORWARD BALANCE				28,751.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

19th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	6918.00		4.65DR	
19OCT17	S 1 19JAN18 DMA EL		6930.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		11695.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		11725.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		11790.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	11730.00		4.65DR	
19OCT17	B 2 19JAN18 DMA EL	11755.00		9.30DR	
19OCT17	S 1 19JAN18 DMA EL		11775.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		11780.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	11750.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	11745.00		4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		20095.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		19995.00	4.65DR	
19OCT17	S 2 19JAN18 DMA EL		20000.00	9.30DR	
19OCT17	S 1 19JAN18 DMA EL		20010.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19960.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19985.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	20115.00		4.65DR	
19OCT17	B 2 19JAN18 DMA EL	19900.00		9.30DR	
19OCT17	S 1 19JAN18 DMA EL		19975.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19855.00		4.65DR	
19OCT17	S 1 19JAN18 DMA EL		19985.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL	19840.00		4.65DR	
19OCT17	B 2 19JAN18 DMA EL	19835.00		9.30DR	
19OCT17	B 3 19JAN18 DMA EL	19850.00		13.95DR	
19OCT17	S 1 19JAN18 DMA EL		19875.00	4.65DR	
19OCT17	S 2 19JAN18 DMA EL		19880.00	9.30DR	
19OCT17	S 2 19JAN18 DMA EL		19865.00	9.30DR	
19OCT17	S 6 19JAN18 DMA EL		19870.00	27.90DR	
19OCT17	B 1 19JAN18 DMA EL	19830.00		4.65DR	
19OCT17	B 3 19JAN18 DMA EL	19870.00		13.95DR	
	Commission				213.90DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9730.00	9745.00		90.00CR
19JUL17	1 19OCT17	9740.00	9765.00		150.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20055.00	20055.00		0.00
19JUL17	1 19OCT17	20055.00	20105.00		250.00CR
19JUL17	1 19OCT17	20045.00	20045.00		0.00
19JUL17	1 19OCT17	20055.00	20145.00		450.00CR
19JUL17	1 19OCT17	20055.00	20090.00		175.00CR
19JUL17	1 19OCT17	19990.00	20090.00		500.00CR
19JUL17	1 19OCT17	20085.00	20095.00		50.00CR
19JUL17	1 19OCT17	20035.00	20110.00		375.00CR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094R

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				33,520.63CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,800.00CR
	LME Nickel 6 tonnes. This is an LME Futures				240.00CR
	COMMISSIONS				213.90DR
	CARRIED FORWARD BALANCE				35,346.73CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094S

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 1 19JAN18 DMA EL		2135.50	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		2136.50	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		2137.50	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	2482.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2483.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2484.50		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	2485.50		4.65DR	
	CMX Silver 5,000 CMX				
19OCT17	B 1 DEC17 GX	1700.0		2.35DR	
19OCT17	B 1 DEC17 GX	1700.5		2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 7 02NOV17 IFC UD	3154.00		18.90DR	
19OCT17	S 6 16JAN18 IFC UD		3118.57	16.20DR	
19OCT17	B 1 19JAN18 DMA EL	3093.00		4.65DR	
19OCT17	S 1 19JAN18 IFC UD		3113.80	2.70DR	
	Commission				79.70DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1920.50	1933.50		325.00CR
19JUL17	1 19OCT17	1919.00	1934.50		387.50CR
19JUL17	1 19OCT17	1919.00	1933.50		362.50CR
19JUL17	1 19OCT17	1919.00	1935.50		412.50CR
19JUL17	1 19OCT17	1920.50	1936.00		387.50CR
26JUL17	1 19OCT17	1940.70	1936.00		117.50DR
26JUL17	1 19OCT17	1940.70	1925.00		392.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	6011.00	6018.00		175.00CR
19JUL17	1 19OCT17	6013.00	6018.50		137.50CR
19JUL17	1 19OCT17	6012.00	6018.00		150.00CR
19JUL17	1 19OCT17	6006.00	6012.00		150.00CR
19JUL17	1 19OCT17	6004.50	6012.00		187.50CR
19JUL17	1 19OCT17	5986.00	5993.50		187.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2241.00	2211.50		737.50DR
26JUL17	1 19OCT17	2241.00	2312.25		1,781.25CR
26JUL17	1 19OCT17	2238.50	2312.25		1,843.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUL17	1 19OCT17	10131.00	9755.00		2,256.00DR
27JUL17	1 19OCT17	10131.00	9755.00		2,256.00DR
27JUL17	1 19OCT17	10131.00	9760.00		2,226.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2797.50	2735.00		1,562.50DR
19JUL17	1 19OCT17	2796.00	2735.00		1,525.00DR
19JUL17	1 19OCT17	2795.50	2795.50		0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	2794.00	2795.50		37.50CR
19JUL17	1 19OCT17	2793.00	2795.50		62.50CR
19JUL17	1 19OCT17	2773.50	2795.50		550.00CR
19JUL17	1 19OCT17	2774.00	2795.50		537.50CR
19JUL17	1 19OCT17	2773.50	2767.50		150.00DR
19JUL17	1 19OCT17	2766.00	2734.50		787.50DR
19JUL17	1 19OCT17	2766.00	2734.50		787.50DR
19JUL17	1 19OCT17	2766.50	2735.00		787.50DR
19JUL17	1 19OCT17	2767.00	2735.50		787.50DR
26JUL17	1 19OCT17	2773.50	2806.80		832.50CR
26JUL17	1 19OCT17	2773.50	2806.80		832.50CR
26JUL17	1 19OCT17	2774.00	2806.80		820.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				86,037.07CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,887.50CR
	LME Copper Grade A 25 tonnes. This Futures				987.50CR
	LME Zinc 25 tonnes. This is an LME Futures				2,715.00DR
	LME Nickel 6 tonnes. This is an LME Futures				6,738.00DR
	LME Aluminium 25 Tonnes. This is an Futures				1,365.00CR
	COMMISSIONS				79.70DR
	CARRIED FORWARD BALANCE				81,744.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094U 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 5 02NOV17 IFC UD	3154.00		13.50DR	
19OCT17	S 5 16JAN18 IFC UD		3118.57	13.50DR	
19OCT17	B 1 19JAN18 DMA EL	3096.50		4.65DR	
	Commission				31.65DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 19OCT17	1940.50	1920.00		512.50DR
26JUL17	1 19OCT17	1940.50	1922.00		462.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUL17	1 19OCT17	2222.50	2312.25		2,243.75CR
26JUL17	1 19OCT17	2222.50	2312.25		2,243.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2745.00	2737.00		200.00DR
19JUL17	1 19OCT17	2742.50	2738.00		112.50DR
26JUL17	1 19OCT17	2737.00	2807.00		1,750.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,909.44CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				4,487.50CR
	LME Zinc 25 tonnes. This is an LME Futures				1,437.50CR
	LME Aluminium 25 Tonnes. This is an Futures				975.00DR
	COMMISSIONS				31.65DR
	CARRIED FORWARD BALANCE				21,827.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094V

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	ICE Cotton No.2 500 FIN				
19OCT17	B 4 MAR18 EL 67.30000			11.92DR	
19OCT17	B 8 MAR18 EL 67.31000			23.84DR	
19OCT17	B 3 MAR18 EL 67.32000			8.94DR	
19OCT17	B 3 MAR18 EL 67.33000			8.94DR	
19OCT17	B 6 MAR18 EL 67.34000			17.88DR	
19OCT17	B 5 MAR18 EL 67.24000			14.90DR	
19OCT17	B 6 MAR18 EL 67.29000			17.88DR	
19OCT17	B 15 MAR18 EL 67.00000			44.70DR	
19OCT17	S 4 MAY18 EL 68.34000		68.34000	11.92DR	
19OCT17	S 7 MAY18 EL 68.35000		68.35000	20.86DR	
19OCT17	S 6 MAY18 EL 68.36000		68.36000	17.88DR	
19OCT17	S 3 MAY18 EL 68.37000		68.37000	8.94DR	
19OCT17	S 6 MAY18 EL 68.38000		68.38000	17.88DR	
19OCT17	S 5 MAY18 EL 68.28000		68.28000	14.90DR	
19OCT17	S 4 MAY18 EL 68.33000		68.33000	11.92DR	
19OCT17	S 15 MAY18 EL 68.04000		68.04000	44.70DR	
	Commission				298.00DR
	<u>SETTLEMENTS</u>				
	ICE Cotton No.2 500 FIN				
19OCT17	1 MAR18 67.29000	68.02000			365.00CR
19OCT17	1 MAR18 67.30000	68.00000			350.00CR
19OCT17	1 MAR18 67.30000	68.00000			350.00CR
19OCT17	1 MAR18 67.31000	67.88000			285.00CR
19OCT17	1 MAR18 67.31000	67.88000			285.00CR
19OCT17	1 MAR18 67.32000	67.88000			280.00CR
19OCT17	1 MAR18 67.31000	67.88000			285.00CR
19OCT17	1 MAR18 67.30000	67.88000			290.00CR
19OCT17	1 MAR18 67.34000	67.88000			270.00CR
19OCT17	1 MAR18 67.31000	67.88000			285.00CR
19OCT17	1 MAR18 67.34000	67.88000			270.00CR
19OCT17	2 MAR18 67.34000	67.88000			540.00CR
19OCT17	1 MAR18 67.30000	67.93000			315.00CR
19OCT17	1 MAR18 67.34000	67.93000			295.00CR
19OCT17	1 MAR18 67.33000	67.93000			300.00CR
19OCT17	3 MAR18 67.31000	67.93000			930.00CR
19OCT17	1 MAR18 67.29000	67.93000			320.00CR
19OCT17	1 MAR18 67.33000	67.87000			270.00CR
19OCT17	1 MAR18 67.32000	67.87000			275.00CR
19OCT17	1 MAR18 67.31000	67.87000			280.00CR
19OCT17	1 MAR18 67.32000	67.87000			275.00CR
19OCT17	1 MAR18 67.33000	68.02000			345.00CR
19OCT17	1 MAR18 67.34000	68.02000			340.00CR
19OCT17	2 MAR18 67.24000	68.02000			780.00CR
19OCT17	2 MAR18 67.24000	68.02000			780.00CR
19OCT17	1 MAR18 67.24000	68.35000			555.00CR
19OCT17	1 MAR18 67.29000	68.35000			530.00CR
19OCT17	1 MAR18 67.29000	68.35000			530.00CR
19OCT17	1 MAR18 67.29000	68.38000			545.00CR
19OCT17	1 MAR18 67.29000	67.15000			70.00DR
19OCT17	1 MAR18 67.00000	67.14000			70.00CR
19OCT17	1 MAR18 67.00000	67.29000			145.00CR
19OCT17	1 MAR18 67.00000	67.29000			145.00CR
19OCT17	1 MAR18 67.00000	67.29000			145.00CR
19OCT17	1 MAR18 67.00000	67.28000			140.00CR
19OCT17	1 MAR18 67.00000	67.28000			140.00CR
19OCT17	1 MAR18 67.00000	67.29000			145.00CR
19OCT17	1 MAR18 67.00000	67.29000			145.00CR
19OCT17	1 MAR18 67.00000	67.28000			140.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094V

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 MAR18	67.00000	67.29000		145.00CR
19OCT17	1 MAR18	67.00000	67.29000		145.00CR
19OCT17	1 MAR18	67.00000	67.29000		145.00CR
19OCT17	2 MAR18	67.00000	67.29000		290.00CR
19OCT17	1 MAR18	67.00000	67.29000		145.00CR
19OCT17	1 MAY18	68.41000	68.35000		30.00DR
19OCT17	1 MAY18	68.39000	68.34000		25.00DR
19OCT17	1 MAY18	68.39000	68.35000		20.00DR
19OCT17	1 MAY18	68.26000	68.35000		45.00CR
19OCT17	1 MAY18	68.26000	68.36000		50.00CR
19OCT17	1 MAY18	68.26000	68.35000		45.00CR
19OCT17	1 MAY18	68.26000	68.35000		45.00CR
19OCT17	1 MAY18	68.26000	68.34000		40.00CR
19OCT17	1 MAY18	68.26000	68.38000		60.00CR
19OCT17	1 MAY18	68.26000	68.38000		60.00CR
19OCT17	1 MAY18	68.26000	68.35000		45.00CR
19OCT17	1 MAY18	68.26000	68.38000		60.00CR
19OCT17	1 MAY18	68.26000	68.38000		60.00CR
19OCT17	1 MAY18	68.26000	68.34000		10.00CR
19OCT17	1 MAY18	68.32000	68.38000		30.00CR
19OCT17	1 MAY18	68.32000	68.37000		25.00CR
19OCT17	2 MAY18	68.32000	68.36000		40.00CR
19OCT17	1 MAY18	68.32000	68.36000		20.00CR
19OCT17	1 MAY18	68.32000	68.34000		10.00CR
19OCT17	1 MAY18	68.23000	68.37000		70.00CR
19OCT17	1 MAY18	68.23000	68.36000		65.00CR
19OCT17	1 MAY18	68.23000	68.35000		60.00CR
19OCT17	1 MAY18	68.23000	68.36000		65.00CR
19OCT17	1 MAY18	68.38000	68.37000		5.00DR
19OCT17	1 MAY18	68.38000	68.38000		0.00
19OCT17	2 MAY18	68.38000	68.28000		100.00DR
19OCT17	2 MAY18	68.38000	68.28000		100.00DR
19OCT17	1 MAY18	68.71000	68.28000		215.00DR
19OCT17	1 MAY18	68.71000	68.33000		190.00DR
19OCT17	1 MAY18	68.71000	68.33000		190.00DR
19OCT17	1 MAY18	68.74000	68.33000		205.00DR
19OCT17	1 MAY18	67.50000	68.33000		415.00CR
19OCT17	1 MAY18	67.51000	68.04000		265.00CR
19OCT17	1 MAY18	67.65000	68.04000		195.00CR
19OCT17	1 MAY18	67.65000	68.04000		195.00CR
19OCT17	1 MAY18	67.64000	68.04000		200.00CR
19OCT17	1 MAY18	67.65000	68.04000		195.00CR
19OCT17	1 MAY18	67.64000	68.04000		200.00CR
19OCT17	1 MAY18	67.65000	68.04000		195.00CR
19OCT17	1 MAY18	67.64000	68.04000		200.00CR
19OCT17	1 MAY18	67.65000	68.04000		195.00CR
19OCT17	2 MAY18	67.65000	68.04000		390.00CR
19OCT17	2 MAY18	67.65000	68.04000		390.00CR
19OCT17	2 MAY18	67.65000	68.04000		390.00CR
TOTALS					
BROUGHT FORWARD BALANCE					44,022.44DR
SETTLEMENTS					
ICE Cotton No.2 Futures					16,785.00CR
COMMISSIONS					298.00DR
CARRIED FORWARD BALANCE					27,535.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

19th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1934.50	1930.00		112.50DR
19JUL17	1 19OCT17	1935.00	1927.50		187.50DR
19JUL17	1 19OCT17	1935.50	1934.00		37.50DR
27JUL17	1 19OCT17	1935.50	1935.80		7.50CR
27JUL17	4 19OCT17	1934.00	1935.80		180.00CR
27JUL17	1 19OCT17	1934.00	1935.80		45.00CR
27JUL17	1 19OCT17	1930.00	1935.80		145.00CR
28JUL17	1 19OCT17	1925.00	1903.80		530.00DR
28JUL17	1 19OCT17	1932.00	1903.80		705.00DR
28JUL17	1 19OCT17	1915.50	1903.80		292.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5995.00	5998.50		87.50CR
19JUL17	1 19OCT17	6008.00	5998.50		237.50DR
19JUL17	1 19OCT17	6016.00	5994.00		550.00DR
19JUL17	1 19OCT17	6007.50	5998.50		225.00DR
19JUL17	1 19OCT17	5993.50	5999.00		137.50CR
19JUL17	1 19OCT17	6003.00	5999.50		87.50DR
19JUL17	1 19OCT17	6005.00	5999.50		137.50DR
19JUL17	1 19OCT17	6000.00	5999.50		12.50DR
19JUL17	1 19OCT17	5991.50	5999.50		200.00CR
19JUL17	1 19OCT17	6001.00	6000.00		25.00DR
19JUL17	1 19OCT17	5996.50	6001.00		112.50CR
19JUL17	1 19OCT17	5995.50	6001.50		150.00CR
19JUL17	1 19OCT17	6005.00	5994.00		275.00DR
19JUL17	1 19OCT17	5997.00	6014.50		437.50CR
19JUL17	1 19OCT17	5996.50	6018.00		537.50CR
19JUL17	1 19OCT17	6000.00	6008.00		200.00CR
19JUL17	1 19OCT17	5999.50	6007.50		200.00CR
19JUL17	1 19OCT17	5999.50	6008.50		225.00CR
19JUL17	1 19OCT17	5992.50	6008.00		387.50CR
19JUL17	1 19OCT17	5994.00	6008.00		350.00CR
19JUL17	1 19OCT17	5993.50	6008.00		362.50CR
19JUL17	1 19OCT17	5991.50	5974.50		425.00DR
19JUL17	1 19OCT17	5993.00	5975.00		450.00DR
27JUL17	1 19OCT17	5998.50	6329.00		8,262.50CR
28JUL17	1 19OCT17	5997.50	6323.30		8,145.00CR
28JUL17	1 19OCT17	5992.00	6323.30		8,282.50CR
28JUL17	2 19OCT17	5991.00	6323.30		16,615.00CR
28JUL17	1 19OCT17	5989.50	6323.30		8,345.00CR
28JUL17	2 19OCT17	5989.50	6323.30		16,690.00CR
28JUL17	1 19OCT17	5975.00	6323.30		8,707.50CR
09AUG17	1 19OCT17	5978.50	6447.80		11,732.50CR
10AUG17	1 19OCT17	5978.50	6415.05		10,913.75CR
10AUG17	1 19OCT17	5977.50	6415.05		10,938.75CR
10AUG17	2 19OCT17	5973.50	6415.05		22,077.50CR
10AUG17	1 19OCT17	5972.00	6415.05		11,076.25CR
15AUG17	1 19OCT17	5972.00	6368.80		9,920.00CR
15AUG17	1 19OCT17	5971.50	6368.80		9,932.50CR
06SEP17	1 19OCT17	6348.20	6883.80		13,390.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2242.00	2245.50		87.50CR
19JUL17	1 19OCT17	2243.00	2245.00		50.00CR
19JUL17	1 19OCT17	2245.50	2242.50		75.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9765.00	9735.00		180.00DR
19JUL17	1 19OCT17	9730.00	9735.00		30.00CR
19JUL17	1 19OCT17	9735.00	9715.00		120.00DR

Group No: SAPG0094

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	9710.00	9710.00		0.00
19JUL17	1 19OCT17	9730.00	9690.00		240.00DR
19JUL17	1 19OCT17	9720.00	9680.00		240.00DR
19JUL17	1 19OCT17	9730.00	9680.00		300.00DR
19JUL17	1 19OCT17	9670.00	9680.00		60.00CR
27JUL17	1 19OCT17	10131.00	9680.00		2,706.00DR
27JUL17	1 19OCT17	10131.00	9680.00		2,706.00DR
27JUL17	3 19OCT17	10131.00	9695.00		7,848.00DR
27JUL17	1 19OCT17	10131.00	9695.00		2,616.00DR
27JUL17	1 19OCT17	10131.00	9705.00		2,556.00DR
27JUL17	1 19OCT17	10131.00	9720.00		2,466.00DR
27JUL17	1 19OCT17	10131.00	9715.00		2,496.00DR
28JUL17	1 19OCT17	10200.56	9715.00		2,913.36DR
28JUL17	1 19OCT17	10200.56	9765.00		2,613.36DR
28JUL17	1 19OCT17	10200.56	9770.00		2,583.36DR
28JUL17	1 19OCT17	10200.56	9770.00		2,583.36DR
03AUG17	1 19OCT17	10373.28	9775.00		3,589.68DR
03AUG17	1 19OCT17	10373.28	9735.00		3,829.68DR
03AUG17	1 19OCT17	10373.28	9690.00		4,099.68DR
03AUG17	1 19OCT17	10373.28	9700.00		4,039.68DR
03AUG17	1 19OCT17	10373.28	9680.00		4,159.68DR
10AUG17	1 19OCT17	10973.09	9670.00		7,818.54DR
10AUG17	1 19OCT17	10973.09	9655.00		7,908.54DR
10AUG17	2 19OCT17	10973.09	9655.00		15,817.08DR
10AUG17	2 19OCT17	10973.09	9650.00		15,877.08DR
10AUG17	1 19OCT17	10973.09	9650.00		7,938.54DR
10AUG17	1 19OCT17	10973.09	9655.00		7,908.54DR
10AUG17	4 19OCT17	10973.09	10130.00		20,234.16DR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20065.00	20050.00		75.00DR
19JUL17	1 19OCT17	20070.00	20065.00		25.00DR
19JUL17	1 19OCT17	20105.00	20050.00		275.00DR
27JUL17	1 19OCT17	20685.29	20120.00		2,826.45DR
28JUL17	1 19OCT17	20622.43	20030.00		2,962.15DR
08AUG17	1 19OCT17	20227.80	20100.00		639.00DR
10AUG17	1 19OCT17	20340.12	20120.00		1,100.60DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2778.50	2778.00		12.50DR
19JUL17	1 19OCT17	2778.00	2791.00		325.00CR
19JUL17	1 19OCT17	2790.00	2798.00		200.00CR
19JUL17	1 19OCT17	2794.50	2776.00		462.50DR
19JUL17	1 19OCT17	2773.00	2776.00		75.00CR
19JUL17	1 19OCT17	2775.00	2776.00		25.00CR
19JUL17	1 19OCT17	2773.00	2775.50		62.50CR
19JUL17	1 19OCT17	2775.00	2775.50		12.50CR
19JUL17	1 19OCT17	2773.50	2774.00		12.50CR
19JUL17	1 19OCT17	2773.50	2774.00		12.50CR
19JUL17	1 19OCT17	2775.50	2774.00		37.50DR
19JUL17	1 19OCT17	2772.00	2775.50		87.50CR
19JUL17	1 19OCT17	2773.50	2773.50		0.00
19JUL17	1 19OCT17	2776.50	2758.00		462.50DR
19JUL17	1 19OCT17	2775.50	2774.00		37.50DR
19JUL17	1 19OCT17	2776.00	2774.00		50.00DR
19JUL17	1 19OCT17	2763.50	2737.00		662.50DR
27JUL17	1 19OCT17	2748.00	2800.13		1,303.25CR
03AUG17	1 19OCT17	2773.50	2788.55		376.25CR
03AUG17	1 19OCT17	2774.00	2788.55		363.75CR
03AUG17	1 19OCT17	2777.00	2788.55		288.75CR
10AUG17	1 19OCT17	2743.50	2934.80		4,782.50CR
10AUG17	1 19OCT17	2743.50	2934.80		4,782.50CR
15AUG17	1 19OCT17	2736.00	2956.75		5,518.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094X 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				275,977.54CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				7,903.20DR
	LME Lead 25 tonnes. This is an Futures				62.50CR
	LME Copper Grade A 25 tonnes. This Futures				175,991.25CR
	LME Zinc 25 tonnes. This is an LME Futures				16,503.25CR
	LME Nickel 6 tonnes. This is an LME Futures				138,298.32DR
	LME Aluminium 25 Tonnes. This is an Futures				1,487.50DR
	CARRIED FORWARD BALANCE				320,845.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
19OCT17	B	1	19JAN18	TTR	EL	2124.00		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		2137.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	2140.50		4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	B	2	19JAN18	TTR	EL	7007.50		9.30DR	
19OCT17	B	4	19JAN18	TTR	EL	7007.00		18.60DR	
19OCT17	B	2	19JAN18	TTR	EL	6981.50		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	7004.50		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	7005.00		4.65DR	
19OCT17	B	7	19JAN18	TTR	EL	7009.00		32.55DR	
19OCT17	B	2	19JAN18	TTR	EL	7011.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6967.50		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6973.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6971.50		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	6974.00		13.95DR	
19OCT17	B	2	19JAN18	TTR	EL	6975.50		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6974.50		4.65DR	
19OCT17	B	8	19JAN18	TTR	EL	6975.00		37.20DR	
19OCT17	B	2	19JAN18	TTR	EL	6972.00		9.30DR	
19OCT17	B	1	19JAN18	DMA	EL	6975.00		4.65DR	
	CMX Gold 100 CMX								
19OCT17	S	3	DEC17	TTR	GX		1279.2	7.05DR	
19OCT17	S	4	DEC17	TTR	GX		1279.5	9.40DR	
19OCT17	S	2	DEC17	TTR	GX		1279.7	4.70DR	
19OCT17	S	6	DEC17	TTR	GX		1279.6	14.10DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
19OCT17	B	1	19JAN18	TTR	EL	11700.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	11695.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	11690.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	11780.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	11660.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	11680.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	11770.00		9.30DR	
19OCT17	B	6	19JAN18	TTR	EL	11750.00		27.90DR	
19OCT17	B	8	19JAN18	TTR	EL	11745.00		37.20DR	
19OCT17	B	4	19JAN18	TTR	EL	11735.00		18.60DR	
19OCT17	B	10	19JAN18	TTR	EL	11740.00		46.50DR	
	CMX Silver 5,000 CMX								
19OCT17	S	1	DEC17	TTR	GX		1718.5	2.35DR	
19OCT17	S	3	DEC17	TTR	GX		1725.0	7.05DR	
19OCT17	S	1	DEC17	TTR	GX		1724.5	2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
19OCT17	B	2	19JAN18	TTR	EL	3112.00		9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		3094.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3094.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3097.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3095.00	4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		3095.00	4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	3112.50		13.95DR	
19OCT17	B	4	19JAN18	TTR	EL	3118.00		18.60DR	
19OCT17	B	3	19JAN18	TTR	EL	3119.50		13.95DR	
19OCT17	B	4	19JAN18	TTR	EL	3111.50		18.60DR	
19OCT17	B	7	19JAN18	TTR	EL	3113.00		32.55DR	
19OCT17	B	4	19JAN18	TTR	EL	3114.00		18.60DR	
19OCT17	B	4	19JAN18	TTR	EL	3113.50		18.60DR	
19OCT17	B	13	19JAN18	TTR	EL	3114.50		60.45DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP094AB 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	4	19JAN18	TTR	EL	3115.00		18.60DR	
19OCT17	B	3	19JAN18	TTR	EL	3116.00		13.95DR	
19OCT17	B	5	19JAN18	TTR	EL	3117.00		23.25DR	
19OCT17	B	6	19JAN18	TTR	EL	3116.50		27.90DR	
19OCT17	B	1	19JAN18	DMA	EL	3114.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	3115.00		4.65DR	
	Commission								753.80DR
	SETTLEMENTS								
	CMX Gold 100 CMX								
19OCT17		2	DEC17			1287.6	1279.2		1,680.00DR
19OCT17		1	DEC17			1287.6	1279.2		840.00DR
19OCT17		1	DEC17			1287.6	1279.6		800.00DR
19OCT17		1	DEC17			1287.6	1279.5		810.00DR
19OCT17		3	DEC17			1286.8	1279.5		2,190.00DR
19OCT17		2	DEC17			1286.5	1279.6		1,380.00DR
19OCT17		1	DEC17			1286.6	1279.6		700.00DR
19OCT17		1	DEC17			1286.6	1279.7		690.00DR
19OCT17		1	DEC17			1285.7	1279.7		600.00DR
19OCT17		1	DEC17			1285.7	1279.6		610.00DR
19OCT17		1	DEC17			1285.6	1279.6		600.00DR
	TOTALS								
	BROUGHT FORWARD BALANCE								181,856.33CR
	SETTLEMENTS								
	CMX Gold Futures								10,900.00DR
	COMMISSIONS								753.80DR
	CARRIED FORWARD BALANCE								170,202.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK
19th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
19OCT17	S 1 DEC17 GX		315.350	2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
19OCT17	B 1 NOV17 GX	2.85500		2.35DR	
19OCT17	B 1 NOV17 GX	2.84400		2.35DR	
19OCT17	B 2 NOV17 GX	2.84700		4.70DR	
	Commission				11.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				26,433.15CR
	COMMISSIONS				11.75DR
	CARRIED FORWARD BALANCE				26,421.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
19OCT17	B	1	29DEC17	IFC	UD	2467.69		2.70DR	
19OCT17	S	1	10JAN18	IFC	UD		2469.26	2.70DR	
19OCT17	B	1	19JAN18	DMA	EL	2479.50		4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	2477.00		9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
19OCT17	B	16	10JAN18	IFC	UD	3119.59		43.20DR	
19OCT17	S	2	11JAN18	IFC	UD		3119.12	5.40DR	
19OCT17	B	15	12JAN18	IFC	UD	3119.05		40.50DR	
19OCT17	S	12	16JAN18	IFC	UD		3118.57	32.40DR	
19OCT17	S	17	17JAN18	IFC	UD		3118.30	45.90DR	
19OCT17	S	11	17JAN18	IFC	UD		3118.50	29.70DR	
19OCT17	B	11	18JAN18	IFC	UD	3114.70		29.70DR	
	Commission								246.15DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								109,022.08CR
	COMMISSIONS								246.15DR
	CARRIED FORWARD BALANCE								108,775.93CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP094AY

19th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
19OCT17	S	4	12JAN18	IFC	UD		2152.75	10.80DR	
19OCT17	B	4	16JAN18	IFC	UD	2153.95		10.80DR	
19OCT17	B	1	19JAN18	TTR	EL	2120.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	2124.50		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	2123.50		13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	2127.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	2129.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	2130.00		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		2136.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		2135.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		2154.50	4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	B	2	12JAN18	IFC	UD	6968.50		5.40DR	
19OCT17	S	2	16JAN18	IFC	UD		6969.30	5.40DR	
19OCT17	S	12	16JAN18	IFC	UD		6969.50	32.40DR	
19OCT17	B	9	17JAN18	IFC	UD	6969.50		24.30DR	
19OCT17	B	12	17JAN18	IFC	UD	6969.70		32.40DR	
19OCT17	S	9	18JAN18	IFC	UD		6968.05	24.30DR	
19OCT17	B	1	19JAN18	TTR	EL	7000.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	7001.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	7003.50		4.65DR	
19OCT17	B	4	19JAN18	TTR	EL	7005.00		18.60DR	
19OCT17	B	1	19JAN18	TTR	EL	7003.00		4.65DR	
19OCT17	B	4	19JAN18	TTR	EL	7009.00		18.60DR	
19OCT17	B	4	19JAN18	TTR	EL	7007.50		18.60DR	
19OCT17	B	1	19JAN18	TTR	EL	6996.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	7011.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	7010.50		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	7010.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	7009.50		9.30DR	
19OCT17	B	5	19JAN18	TTR	EL	7006.50		23.25DR	
19OCT17	B	1	19JAN18	TTR	EL	7004.50		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	7006.00		9.30DR	
19OCT17	B	5	19JAN18	TTR	EL	7007.00		23.25DR	
19OCT17	B	1	19JAN18	TTR	EL	6990.00		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL	6993.00	6992.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6996.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6945.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6955.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	7007.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6963.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6964.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6959.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6954.50		4.65DR	
19OCT17	S	5	19JAN18	TTR	EL		6950.00	23.25DR	
19OCT17	S	1	19JAN18	TTR	EL		6954.50	4.65DR	
19OCT17	S	3	19JAN18	TTR	EL		6954.00	13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	6952.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6952.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6942.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6956.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	6958.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	6966.50		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	6966.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	6965.00		9.30DR	
19OCT17	B	3	19JAN18	TTR	EL	6978.00		13.95DR	
19OCT17	B	2	19JAN18	TTR	EL	6976.50		9.30DR	
19OCT17	B	1	19JAN18	DMA	EL	6969.50		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	6973.50		13.95DR	

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	1	19JAN18	TTR	EL	6970.00		4.65DR	
19OCT17	B	7	19JAN18	TTR	EL	6974.00		32.55DR	
19OCT17	S	1	19JAN18	TTR	EL		6971.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6970.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6976.00		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		6975.00	4.65DR	
19OCT17	B	4	19JAN18	TTR	EL	6972.50		18.60DR	
19OCT17	B	6	19JAN18	TTR	EL	6973.00		27.90DR	
19OCT17	S	1	19JAN18	TTR	EL		6972.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	6974.50		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		6974.50	4.65DR	
	CMX Copper 25,000 CMX								
19OCT17	B	1	DEC17	TTR	GX	317.200		2.35DR	
19OCT17	B	2	DEC17	TTR	GX	318.350		4.70DR	
19OCT17	B	1	DEC17	TTR	GX	316.550		2.35DR	
19OCT17	B	1	DEC17	TTR	GX	316.400		2.35DR	
19OCT17	B	3	DEC17	TTR	GX	316.850		7.05DR	
19OCT17	B	1	DEC17	TTR	GX	316.900		2.35DR	
19OCT17	S	1	DEC17	TTR	GX		316.850	2.35DR	
	CMX Gold 100 CMX								
19OCT17	S	1	DEC17	TTR	GX		1282.8	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1278.9	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1279.6	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1279.5	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1279.7	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1280.0	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1279.8	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1280.1	2.35DR	
19OCT17	S	2	DEC17	TTR	GX		1286.4	4.70DR	
19OCT17	S	1	DEC17	TTR	GX		1287.3	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1287.8	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1290.7	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1290.6	2.35DR	
19OCT17	B	1	DEC17	TTR	GX	1290.6		2.35DR	
19OCT17	S	2	DEC17	TTR	GX		1291.1	4.70DR	
19OCT17	S	2	DEC17	TTR	GX		1289.1	4.70DR	
19OCT17	S	4	DEC17	TTR	GX		1289.2	9.40DR	
19OCT17	S	2	DEC17	TTR	GX		1289.7	4.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
19OCT17	S	1	19JAN18	TTR	EL		2480.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		2477.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	2488.50		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	2478.00		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		2478.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
19OCT17	B	2	11JAN18	IFC	UD	11734.29		5.40DR	
19OCT17	B	12	12JAN18	IFC	UD	11735.07		32.40DR	
19OCT17	B	3	16JAN18	IFC	UD	11738.21		8.10DR	
19OCT17	S	7	17JAN18	IFC	UD		11738.00	18.90DR	
19OCT17	B	4	17JAN18	IFC	UD	11739.00		10.80DR	
19OCT17	S	14	18JAN18	IFC	UD		11738.50	37.80DR	
19OCT17	B	1	19JAN18	TTR	EL	11700.00		4.65DR	
19OCT17	B	6	19JAN18	TTR	EL	11690.00		27.90DR	
19OCT17	B	2	19JAN18	TTR	EL	11780.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	11775.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	11735.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	11725.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	11670.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	11665.00		9.30DR	
19OCT17	B	3	19JAN18	TTR	EL	11660.00		13.95DR	
19OCT17	B	1	19JAN18	TTR	EL	11675.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	11695.00		9.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	3	19JAN18	TTR	EL	11730.00		13.95DR	
19OCT17	B	4	19JAN18	TTR	EL	11715.00		18.60DR	
19OCT17	B	5	19JAN18	TTR	EL	11770.00		23.25DR	
19OCT17	B	3	19JAN18	DMA	EL	11655.00		13.95DR	
19OCT17	B	3	19JAN18	TTR	EL	11765.00		13.95DR	
19OCT17	B	12	19JAN18	TTR	EL	11760.00		55.80DR	
19OCT17	B	4	19JAN18	TTR	EL	11755.00		18.60DR	
19OCT17	B	8	19JAN18	TTR	EL	11750.00		37.20DR	
19OCT17	B	12	19JAN18	TTR	EL	11740.00		55.80DR	
19OCT17	B	7	19JAN18	TTR	EL	11745.00		32.55DR	
19OCT17	S	1	19JAN18	TTR	EL		11740.00	4.65DR	
19OCT17	S	4	19JAN18	TTR	EL		11745.00	18.60DR	
19OCT17	S	1	19JAN18	TTR	EL		11750.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		11765.00	4.65DR	
	CMX Silver 5,000 CMX								
19OCT17	B	1	DEC17	TTR	GX	1697.5		2.35DR	
19OCT17	S	2	DEC17	TTR	GX		1709.5	4.70DR	
19OCT17	S	1	DEC17	TTR	GX		1710.0	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1710.5	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1711.5	2.35DR	
19OCT17	S	6	DEC17	TTR	GX		1714.0	14.10DR	
19OCT17	S	1	DEC17	TTR	GX		1715.0	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1716.0	2.35DR	
19OCT17	S	3	DEC17	TTR	GX		1715.5	7.05DR	
19OCT17	S	1	DEC17	TTR	GX		1716.5	2.35DR	
19OCT17	S	2	DEC17	TTR	GX		1718.5	4.70DR	
19OCT17	S	1	DEC17	TTR	GX		1719.0	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1720.0	2.35DR	
19OCT17	S	3	DEC17	TTR	GX		1720.5	7.05DR	
19OCT17	S	1	DEC17	TTR	GX		1722.0	2.35DR	
19OCT17	S	1	DEC17	TTR	GX		1722.5	2.35DR	
19OCT17	S	3	DEC17	TTR	GX		1723.5	7.05DR	
19OCT17	S	2	DEC17	TTR	GX		1726.0	4.70DR	
19OCT17	B	1	DEC17	TTR	GX	1724.5		2.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
19OCT17	S	3	12JAN18	IFC	UD		19858.75	8.10DR	
19OCT17	S	1	17JAN18	IFC	UD		19855.00	2.70DR	
19OCT17	B	4	18JAN18	IFC	UD	19853.50		10.80DR	
19OCT17	B	3	19JAN18	TTR	EL	19900.00		13.95DR	
19OCT17	S	1	19JAN18	DMA	EL		19985.00	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	19890.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	19885.00		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	19850.00		4.65DR	
19OCT17	B	3	19JAN18	TTR	EL	19870.00		13.95DR	
19OCT17	B	2	19JAN18	TTR	EL	19865.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	19860.00		9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		19860.00	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		19865.00	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		19890.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		19840.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		19845.00	4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	19835.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	19840.00		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
19OCT17	B	2	11JAN18	IFC	UD	3119.32		5.40DR	
19OCT17	S	2	16JAN18	IFC	UD		3118.57	5.40DR	
19OCT17	S	3	17JAN18	IFC	UD		3118.50	8.10DR	
19OCT17	B	3	18JAN18	IFC	UD	3114.70		8.10DR	
19OCT17	S	1	19JAN18	TTR	EL		3119.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3114.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3115.50	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3105.50	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		3106.00	9.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

19th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	1	19JAN18	TTR	EL	3105.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	3111.50		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	3105.50		4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3091.00	4.65DR	
19OCT17	S	2	19JAN18	TTR	EL		3093.50	9.30DR	
19OCT17	S	1	19JAN18	TTR	EL		3095.00	4.65DR	
19OCT17	S	1	19JAN18	TTR	EL		3095.50	4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	3097.00		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	3092.50		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	3097.50		4.65DR	
19OCT17	B	2	19JAN18	TTR	EL	3098.00		9.30DR	
19OCT17	B	1	19JAN18	TTR	EL	3101.50		4.65DR	
19OCT17	B	1	19JAN18	TTR	EL	3103.00		4.65DR	
19OCT17	B	5	19JAN18	TTR	EL	3102.00		23.25DR	
19OCT17	S	1	19JAN18	DMA	EL		3094.50	4.65DR	
	Commission								1,676.60DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
19OCT17		1	DEC17			317.200	316.850		87.50DR
19OCT17		2	DEC17			318.350	311.650		3,350.00DR
19OCT17		1	DEC17			316.550	313.300		812.50DR
19OCT17		1	DEC17			316.400	323.000		1,650.00CR
19OCT17		1	DEC17			316.850	323.050		1,550.00CR
19OCT17		1	DEC17			316.850	322.900		1,512.50CR
19OCT17		1	DEC17			316.850	323.200		1,587.50CR
19OCT17		1	DEC17			316.900	323.200		1,575.00CR
	CMX Gold 100 CMX								
19OCT17		1	DEC17			1290.6	1282.8		780.00DR
	CMX Silver 5,000 CMX								
19OCT17		1	DEC17			1697.5	1709.5		600.00CR
19OCT17		1	DEC17			1724.5	1709.5		750.00DR
19OCT17		1	DEC17			1703.5	1710.0		325.00CR
19OCT17		1	DEC17			1704.0	1710.5		325.00CR
19OCT17		1	DEC17			1703.5	1711.5		400.00CR
19OCT17		2	DEC17			1702.5	1714.0		1,150.00CR
19OCT17		1	DEC17			1702.0	1714.0		600.00CR
19OCT17		2	DEC17			1700.5	1714.0		1,350.00CR
19OCT17		1	DEC17			1699.5	1714.0		725.00CR
19OCT17		1	DEC17			1700.0	1715.0		750.00CR
19OCT17		1	DEC17			1700.5	1715.5		750.00CR
19OCT17		1	DEC17			1701.5	1715.5		700.00CR
19OCT17		1	DEC17			1701.5	1716.0		725.00CR
19OCT17		1	DEC17			1701.0	1715.5		725.00CR
19OCT17		1	DEC17			1701.0	1716.5		775.00CR
19OCT17		1	DEC17			1701.0	1718.5		875.00CR
19OCT17		1	DEC17			1702.0	1718.5		825.00CR
	TOTALS								
	BROUGHT FORWARD BALANCE								177,835.76CR
	SETTLEMENTS								
	CMX Silver Futures								10,850.00CR
	CMX Copper Futures								3,625.00CR
	CMX Gold Futures								780.00DR
	COMMISSIONS								1,676.60DR
	CARRIED FORWARD BALANCE								189,854.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP094AY

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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19th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,669,909.83CR
	SETTLEMENTS		
	CMX Copper Futures		3,625.00CR
	CMX Gold Futures		17,180.00DR
	CMX Silver Futures		16,525.00CR
	ICE Cotton No.2 Futures		16,785.00CR
	LME Aluminium 25 Tonnes. This is an Futures		29,826.00DR
	LME Copper Grade A 25 tonnes. This Futures		115,291.25CR
	LME Lead 25 tonnes. This is an Futures		321.50DR
	LME Nickel 6 tonnes. This is an LME Futures		149,332.56DR
	LME Tin 5 tonnes. This is an LME Futures		8,214.20DR
	LME Zinc 25 tonnes. This is an LME Futures		18,233.25CR
	NYM Henry Hub Natural Gas Futures		570.00CR
	NYM Light Sweet Crude Oil Futures		660.00CR
	COMMISSIONS		4,534.90DR
	CARRIED FORWARD BALANCE		6,632,190.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

19th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	1 19OCT17	2770.50	3127.30		8,920.00CR
26SEP17	1 19OCT17	2760.50	3127.30		9,170.00CR
26SEP17	1 19OCT17	2750.50	3127.30		9,420.00CR
26SEP17	1 19OCT17	2740.50	3127.30		9,670.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,738.45DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				37,180.00CR
	CARRIED FORWARD BALANCE				5,558.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
18SEP17	LME Lead 25 tonnes. This is an LME registered client contract 1 19OCT17	2241.50	2373.54		3,301.00CR
24JUL17	LME Nickel 6 tonnes. This is an LME registered client contract 1 19OCT17	9777.00	9700.00		462.00DR
18SEP17	1 19OCT17	11186.86	9700.00		8,921.16DR
18SEP17	1 19OCT17	11186.86	9650.00		9,221.16DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 19OCT17	20110.00	20180.00		350.00CR
05OCT17	1 19OCT17	20120.00	21114.00		4,970.00CR
05OCT17	1 19OCT17	20085.00	21114.00		5,145.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
05OCT17	1 19OCT17	3327.50	2773.00		13,862.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				20,593.67DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				10,465.00CR
	LME Lead 25 tonnes. This is an Futures				3,301.00CR
	LME Zinc 25 tonnes. This is an LME Futures				13,862.50DR
	LME Nickel 6 tonnes. This is an LME Futures				18,604.32DR
	CARRIED FORWARD BALANCE				39,294.49DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096S

19th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	1 190CT17	2250.00	2482.18		5,804.50CR
26SEP17	1 190CT17	2240.00	2482.18		6,054.50CR
26SEP17	1 190CT17	2220.50	2482.18		6,542.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				81,188.15CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				18,401.00CR
	CARRIED FORWARD BALANCE				99,589.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 2 12JAN18 IFC UD		2152.75	5.40DR	
19OCT17	B 2 16JAN18 IFC UD	2153.95		5.40DR	
19OCT17	B 2 19JAN18 TTR EL	2122.00		9.30DR	
19OCT17	B 1 19JAN18 TTR EL	2125.00		4.65DR	
19OCT17	B 1 19JAN18 TTR EL	2125.50		4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	S 28 11JAN18 IFC UD		6968.25	75.60DR	
19OCT17	B 28 12JAN18 IFC UD	6968.70		75.60DR	
19OCT17	S 32 16JAN18 IFC UD		6969.50	86.40DR	
19OCT17	B 8 17JAN18 IFC UD	6969.50		21.60DR	
19OCT17	B 32 17JAN18 IFC UD	6969.70		86.40DR	
19OCT17	S 8 18JAN18 IFC UD		6968.05	21.60DR	
19OCT17	B 2 19JAN18 TTR EL	7007.50		9.30DR	
19OCT17	B 4 19JAN18 TTR EL	7008.50		18.60DR	
19OCT17	B 2 19JAN18 TTR EL	7010.50		9.30DR	
19OCT17	B 2 19JAN18 TTR EL	6966.50		9.30DR	
19OCT17	B 2 19JAN18 TTR EL	6970.50		9.30DR	
19OCT17	B 2 19JAN18 TTR EL	6973.00		9.30DR	
19OCT17	B 2 19JAN18 TTR EL	6974.00		9.30DR	
19OCT17	B 1 19JAN18 TTR EL	6974.50		4.65DR	
19OCT17	B 1 19JAN18 TTR EL	6976.00		4.65DR	
	CMX Gold 100 CMX				
19OCT17	S 1 DEC17 II		1280.3	1.93DR	
19OCT17	S 2 DEC17 II		1280.5	3.86DR	
19OCT17	S 1 DEC17 II		1280.1	1.93DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	S 8 10JAN18 IFC UD		11733.50	21.60DR	
19OCT17	B 8 12JAN18 IFC UD	11736.07		21.60DR	
19OCT17	B 7 12JAN18 IFC UD	11735.07		18.90DR	
19OCT17	B 23 16JAN18 IFC UD	11738.21		62.10DR	
19OCT17	S 24 17JAN18 IFC UD		11738.00	64.80DR	
19OCT17	S 6 18JAN18 IFC UD		11738.50	16.20DR	
19OCT17	B 2 19JAN18 TTR EL	11690.00		9.30DR	
19OCT17	B 1 19JAN18 TTR EL	11765.00		4.65DR	
19OCT17	B 1 19JAN18 DMA EL	11650.00		4.65DR	
19OCT17	B 1 19JAN18 TTR EL	11740.00		4.65DR	
19OCT17	B 1 19JAN18 TTR EL	11670.00		4.65DR	
19OCT17	B 2 19JAN18 TTR EL	11665.00		9.30DR	
19OCT17	B 3 19JAN18 TTR EL	11695.00		13.95DR	
19OCT17	B 4 19JAN18 TTR EL	11745.00		18.60DR	
19OCT17	B 2 19JAN18 TTR EL	11760.00		9.30DR	
19OCT17	B 4 19JAN18 TTR EL	11755.00		18.60DR	
19OCT17	B 1 19JAN18 TTR EL	11735.00		4.65DR	
19OCT17	B 4 19JAN18 TTR EL	11750.00		18.60DR	
	CMX Silver 5,000 CMX				
19OCT17	S 2 DEC17 II		1710.0	3.86DR	
19OCT17	S 2 DEC17 II		1714.0	3.86DR	
19OCT17	S 2 DEC17 II		1718.0	3.86DR	
19OCT17	S 2 DEC17 II		1723.5	3.86DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 2 19JAN18 TTR EL	19870.00		9.30DR	
19OCT17	B 2 19JAN18 DMA EL	19835.00		9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 09JAN18 IFC UD		3119.86	2.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

19th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B	1	12JAN18	IFC	UD	3119.25		2.70DR	
19OCT17	S	2	19JAN18	TTR	EL		3119.00	9.30DR	
19OCT17	S	2	19JAN18	TTR	EL		3114.50	9.30DR	
19OCT17	S	2	19JAN18	TTR	EL		3115.00	9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3111.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3112.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3111.50		9.30DR	
19OCT17	S	4	19JAN18	TTR	EL		3094.00	18.60DR	
19OCT17	S	1	19JAN18	TTR	EL		3097.00	4.65DR	
19OCT17	S	3	19JAN18	TTR	EL		3097.50	13.95DR	
19OCT17	B	2	19JAN18	TTR	EL	3094.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3092.50		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3096.00		9.30DR	
19OCT17	B	2	19JAN18	TTR	EL	3097.00		9.30DR	
	Commission								983.76DR
	<u>SETTLEMENTS</u>								
	CMX Gold 100 CMX								
19OCT17		1	DEC17			1286.3	1280.5		580.00DR
19OCT17		1	DEC17			1286.3	1280.3		600.00DR
19OCT17		1	DEC17			1285.1	1280.5		460.00DR
19OCT17		1	DEC17			1285.1	1280.1		500.00DR
	CMX Silver 5,000 CMX								
19OCT17		2	DEC17			1701.5	1710.0		850.00CR
19OCT17		2	DEC17			1701.5	1714.0		1,250.00CR
19OCT17		2	DEC17			1701.0	1718.0		1,700.00CR
	<u>MATCH OUTS</u>								
	LME Nickel 6 tonnes. This is an LME registered client contract								
20JUL17		3	19OCT17			9496.00	9725.00		4,122.00CR
20JUL17		1	19OCT17			9496.00	9725.00		1,374.00CR
20JUL17		1	19OCT17			9496.00	9705.00		1,254.00CR
20JUL17		1	19OCT17			9496.00	9710.00		1,284.00CR
20JUL17		1	19OCT17			9496.00	9645.00		894.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								89,273.95CR
	SETTLEMENTS								
	CMX Silver Futures								3,800.00CR
	LME Nickel 6 tonnes. This is an LME Futures								8,928.00CR
	CMX Gold Futures								2,140.00DR
	COMMISSIONS								983.76DR
	CARRIED FORWARD BALANCE								98,878.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AA

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 19OCT17	1931.00	1940.30		232.50CR
26JUL17	1 19OCT17	1931.00	1940.30		232.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,985.87CR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				465.00CR
	CARRIED FORWARD BALANCE				24,450.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AC 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20105.00		25.00CR
19JUL17	1 19OCT17	20055.00	20095.00		200.00CR
06OCT17	1 19OCT17	20656.50	20090.00		2,832.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,976.35DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,607.50DR
	CARRIED FORWARD BALANCE				24,583.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Nickel 6 tonnes. This is an LME registered client contract								
19OCT17	B	3	19JAN18	DMA	EL	11775.00		13.95DR	
19OCT17	S	3	19JAN18	DMA	EL		11745.00	13.95DR	
19OCT17	S	2	19JAN18	DMA	EL		11765.00	9.30DR	
19OCT17	S	1	19JAN18	DMA	EL		11780.00	4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	11755.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	11770.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	11760.00		4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
19OCT17	B	4	19JAN18	DMA	EL	20050.00		18.60DR	
19OCT17	S	1	19JAN18	DMA	EL		20080.00	4.65DR	
19OCT17	S	2	19JAN18	DMA	EL		20060.00	9.30DR	
19OCT17	S	1	19JAN18	DMA	EL		20075.00	4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	19955.00		9.30DR	
19OCT17	B	1	19JAN18	DMA	EL	19960.00		4.65DR	
19OCT17	B	5	19JAN18	DMA	EL	20000.00		23.25DR	
19OCT17	S	1	19JAN18	DMA	EL		20100.00	4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	20035.00		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		20050.00	4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		19950.00	4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		19925.00	4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	19875.00		9.30DR	
19OCT17	S	1	19JAN18	DMA	EL		19890.00	4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	19880.00		4.65DR	
19OCT17	S	4	19JAN18	DMA	EL		20000.00	18.60DR	
19OCT17	B	1	19JAN18	DMA	EL	20045.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	20085.00		4.65DR	
19OCT17	S	2	19JAN18	DMA	EL		19965.00	9.30DR	
19OCT17	S	1	19JAN18	DMA	EL		20010.00	4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		20085.00	4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		20115.00	4.65DR	
19OCT17	B	3	19JAN18	DMA	EL	19900.00		13.95DR	
19OCT17	S	1	19JAN18	DMA	EL		19895.00	4.65DR	
19OCT17	S	2	19JAN18	DMA	EL		19910.00	9.30DR	
19OCT17	S	2	19JAN18	DMA	EL		19935.00	9.30DR	
19OCT17	B	2	19JAN18	DMA	EL	19910.00		9.30DR	
19OCT17	B	1	19JAN18	DMA	EL	19860.00		4.65DR	
19OCT17	B	2	19JAN18	DMA	EL	19865.00		9.30DR	
19OCT17	B	2	19JAN18	DMA	EL	19870.00		9.30DR	
19OCT17	S	1	19JAN18	DMA	EL		19885.00	4.65DR	
19OCT17	B	6	19JAN18	DMA	EL	19840.00		27.90DR	
19OCT17	S	4	19JAN18	DMA	EL		19830.00	18.60DR	
19OCT17	S	3	19JAN18	DMA	EL		19810.00	13.95DR	
19OCT17	B	3	19JAN18	DMA	EL	19820.00		13.95DR	
19OCT17	B	3	19JAN18	DMA	EL	19830.00		13.95DR	
19OCT17	S	3	19JAN18	DMA	EL		19835.00	13.95DR	
19OCT17	B	2	19JAN18	DMA	EL	19850.00		9.30DR	
19OCT17	B	3	19JAN18	DMA	EL	19855.00		13.95DR	
19OCT17	S	1	19JAN18	DMA	EL		19875.00	4.65DR	
19OCT17	S	3	19JAN18	DMA	EL		19880.00	13.95DR	
19OCT17	B	1	19JAN18	DMA	EL	19845.00		4.65DR	
19OCT17	S	5	19JAN18	DMA	EL		19840.00	23.25DR	
19OCT17	S	3	19JAN18	DMA	EL		19870.00	13.95DR	
	Commission								483.60DR
	<u>MATCH OUTS</u>								
	LME Lead 25 tonnes. This is an								

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

19th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME registered client contract				
19JUL17	1 19OCT17	2228.50	2229.50		25.00CR
19JUL17	1 19OCT17	2230.00	2229.50		12.50DR
19JUL17	1 19OCT17	2230.00	2219.00		275.00DR
19JUL17	1 19OCT17	2213.00	2219.00		150.00CR
06OCT17	1 19OCT17	2213.00	2519.71		7,667.75CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20100.00	20075.00		125.00DR
19JUL17	1 19OCT17	20070.00	20115.00		225.00CR
19JUL17	1 19OCT17	20070.00	20105.00		175.00CR
19JUL17	1 19OCT17	20055.00	20055.00		0.00
19JUL17	1 19OCT17	20055.00	20070.00		75.00CR
19JUL17	3 19OCT17	20055.00	20105.00		750.00CR
19JUL17	1 19OCT17	20055.00	20105.00		250.00CR
19JUL17	1 19OCT17	20055.00	20050.00		25.00DR
19JUL17	1 19OCT17	20045.00	20040.00		25.00DR
19JUL17	1 19OCT17	20095.00	20040.00		275.00DR
19JUL17	1 19OCT17	20075.00	20075.00		0.00
19JUL17	1 19OCT17	20115.00	20100.00		75.00DR
19JUL17	1 19OCT17	20125.00	20110.00		75.00DR
19JUL17	1 19OCT17	20135.00	20130.00		25.00DR
19JUL17	1 19OCT17	20135.00	20145.00		50.00CR
19JUL17	1 19OCT17	20090.00	20145.00		275.00CR
19JUL17	1 19OCT17	20060.00	20115.00		275.00CR
19JUL17	1 19OCT17	20060.00	20105.00		225.00CR
19JUL17	1 19OCT17	20100.00	20085.00		75.00DR
19JUL17	1 19OCT17	20115.00	20095.00		100.00DR
06OCT17	1 19OCT17	20656.50	20095.00		2,807.50DR
06OCT17	1 19OCT17	20656.50	20095.00		2,807.50DR
06OCT17	1 19OCT17	20656.50	20095.00		2,807.50DR
06OCT17	1 19OCT17	20656.50	20095.00		2,807.50DR
06OCT17	1 19OCT17	20656.50	20110.00		2,732.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06OCT17	1 19OCT17	3270.50	2758.50		12,800.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				32,388.53DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				12,462.50DR
	LME Lead 25 tonnes. This is an Futures				7,555.25CR
	LME Zinc 25 tonnes. This is an LME Futures				12,800.00DR
	COMMISSIONS				483.60DR
	CARRIED FORWARD BALANCE				50,579.38DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AE 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Tin 5 tonnes. This is an LME registered client contract								
19OCT17	B	1	19JAN18	DMA	EL	20015.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	19955.00		4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	20000.00		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		20050.00	4.65DR	
19OCT17	B	1	19JAN18	DMA	EL	20040.00		4.65DR	
19OCT17	S	1	19JAN18	DMA	EL		19990.00	4.65DR	
19OCT17	S	3	19JAN18	DMA	EL		20000.00	13.95DR	
19OCT17	B	1	19JAN18	DMA	EL	20010.00		4.65DR	
	Commission								46.50DR
	<u>MATCH OUTS</u>								
	LME Nickel 6 tonnes. This is an LME registered client contract								
19JUL17		1	19OCT17			9775.00	9775.00		0.00
	LME Tin 5 tonnes. This is an LME registered client contract								
19JUL17		1	19OCT17			20045.00	20105.00		300.00CR
19JUL17		1	19OCT17			20055.00	20105.00		250.00CR
19JUL17		1	19OCT17			20100.00	20100.00		0.00
19JUL17		1	19OCT17			20110.00	20135.00		125.00CR
06OCT17		1	19OCT17			20656.50	20145.00		2,557.50DR
06OCT17		1	19OCT17			20656.50	20145.00		2,557.50DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								8,834.68DR
	SETTLEMENTS								
	LME Tin 5 tonnes. This is an LME Futures								4,440.00DR
	COMMISSIONS								46.50DR
	CARRIED FORWARD BALANCE								13,321.18DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AF
19th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		19995.00	4.65DR	
19OCT17	S 1 19JAN18 DMA EL		20030.00	4.65DR	
	Commission				9.30DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 19OCT17	5967.50	5964.50		75.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	20115.00	19990.00		625.00DR
19JUL17	1 19OCT17	20100.00	20140.00		200.00CR
06OCT17	1 19OCT17	20657.50	20095.00		2,812.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,221.27DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,237.50DR
	LME Copper Grade A 25 tonnes. This Futures				75.00DR
	COMMISSIONS				9.30DR
	CARRIED FORWARD BALANCE				10,543.07DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AG

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 19OCT17	1926.50	1916.80		242.50DR
20JUL17	3 19OCT17	1928.00	1916.80		840.00DR
20JUL17	1 19OCT17	1927.00	1916.80		255.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 19OCT17	6000.00	5959.30		1,017.50DR
20JUL17	1 19OCT17	5993.00	5959.30		842.50DR
24JUL17	1 19OCT17	5995.50	6026.50		775.00CR
24JUL17	1 19OCT17	5997.50	6026.50		725.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
20JUL17	1 19OCT17	20081.00	20050.00		155.00DR
20JUL17	1 19OCT17	20081.00	20035.00		230.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,519.11CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				385.00DR
	LME Copper Grade A 25 tonnes. This Futures				360.00DR
	LME Aluminium 25 Tonnes. This is an Futures				1,337.50DR
	CARRIED FORWARD BALANCE				21,436.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0096

19th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,167,559.97CR
	SETTLEMENTS		
	CMX Gold Futures		2,140.00DR
	CMX Silver Futures		3,800.00CR
	LME Aluminium 25 Tonnes. This is an Futures		872.50DR
	LME Copper Grade A 25 tonnes. This Futures		435.00DR
	LME Lead 25 tonnes. This is an Futures		29,257.25CR
	LME Nickel 6 tonnes. This is an LME Futures		9,676.32DR
	LME Tin 5 tonnes. This is an LME Futures		12,667.50DR
	LME Zinc 25 tonnes. This is an LME Futures		10,517.50CR
	COMMISSIONS		1,523.16DR
	CARRIED FORWARD BALANCE		1,183,820.24CR

The Sapien logo, featuring the word "sapien" in a bold, dark blue, lowercase sans-serif font. A small yellow triangle is positioned above the letter 'i'.

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
12SEP17	1 190CT17	2243.50	2291.88		1,209.50CR
12SEP17	1 190CT17	2243.50	2291.88		1,209.50CR
12SEP17	2 190CT17	2243.50	2291.88		2,419.00CR
12SEP17	1 190CT17	2243.50	2291.88		1,209.50CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	2 190CT17	2244.00	2291.88		2,394.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	1 190CT17	2244.00	2291.88		1,197.00CR
12SEP17	2 190CT17	2243.50	2291.88		2,419.00CR
12SEP17	3 190CT17	2243.50	2291.88		3,628.50CR
12SEP17	3 190CT17	2243.50	2291.88		3,628.50CR
12SEP17	2 190CT17	2244.00	2291.88		2,394.00CR
12SEP17	2 190CT17	2244.00	2291.88		2,394.00CR
12SEP17	3 190CT17	2243.50	2291.88		3,628.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
12SEP17	1 190CT17	3054.75	2773.50		7,031.25DR
12SEP17	1 190CT17	3054.75	2774.00		7,018.75DR
12SEP17	2 190CT17	3054.75	2774.00		14,037.50DR
12SEP17	3 190CT17	3054.75	2773.50		21,093.75DR
12SEP17	1 190CT17	3054.75	2773.50		7,031.25DR
12SEP17	1 190CT17	3054.75	2773.50		7,031.25DR
12SEP17	1 190CT17	3054.75	2773.50		7,031.25DR
12SEP17	3 190CT17	3054.75	2773.50		21,093.75DR
12SEP17	4 190CT17	3054.75	2774.00		28,075.00DR
12SEP17	4 190CT17	3054.75	2774.00		28,075.00DR
12SEP17	4 190CT17	3054.75	2774.00		28,075.00DR
12SEP17	3 190CT17	3054.75	2774.00		21,056.25DR
12SEP17	1 190CT17	3054.75	2774.00		7,018.75DR
12SEP17	1 190CT17	3054.75	2774.00		7,018.75DR
12SEP17	5 190CT17	3054.75	2774.00		35,093.75DR
12SEP17	1 190CT17	3054.75	2774.00		7,018.75DR
12SEP17	1 190CT17	3054.75	2774.00		7,018.75DR
12SEP17	2 190CT17	3054.75	2775.50		13,962.50DR
12SEP17	1 190CT17	3054.75	2775.50		6,981.25DR
	TOTALS				
	BROUGHT FORWARD BALANCE				634,996.45CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				48,080.00CR
	LME Zinc 25 tonnes. This is an LME Futures				280,762.50DR
	CARRIED FORWARD BALANCE				402,313.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0097J

19th October 2017

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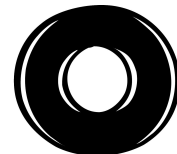
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0097

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		634,996.45CR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		48,080.00CR
	LME Zinc 25 tonnes. This is an LME Futures		280,762.50DR
	CARRIED FORWARD BALANCE		402,313.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103C
19th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	<u>BUSINESS DONE TODAY</u> CMX Silver 5,000 CMX S 1 DEC17 CQG GX Commission		1707.0	2.65DR	2.65DR
19OCT17	<u>SETTLEMENTS</u> CMX Silver 5,000 CMX 1 DEC17	1714.5	1707.0		375.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 61,313.85CR SETTLEMENTS CMX Silver Futures 375.00DR COMMISSIONS 2.65DR CARRIED FORWARD BALANCE 60,936.20CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103F

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
19OCT17	S 1 DEC17 GX		315.850	2.65DR	
19OCT17	B 1 DEC17 GX	315.650		2.65DR	
	Commission				5.30DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	315.650	315.850		50.00CR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2738.00	2735.00		75.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,800.27CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				75.00DR
	CMX Copper Futures				50.00CR
	COMMISSIONS				5.30DR
	CARRIED FORWARD BALANCE				46,769.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 1 19JAN18 DMA EL		2138.00	5.30DR	
	CMX Silver 5,000 CMX				
19OCT17	B 1 DEC17 GX	1700.5		2.65DR	
19OCT17	B 2 DEC17 GX	1701.0		5.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 5 02NOV17 IFC UD	3154.00		13.50DR	
19OCT17	B 2 19JAN18 DMA EL	3097.50		10.60DR	
19OCT17	B 3 19JAN18 DMA EL	3094.50		15.90DR	
19OCT17	S 5 19JAN18 IFC UD		3113.80	13.50DR	
	Commission				66.75DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1918.00	1933.50		387.50CR
19JUL17	1 19OCT17	1916.50	1936.50		500.00CR
19JUL17	1 19OCT17	1915.50	1937.50		550.00CR
26JUL17	1 19OCT17	1940.50	1937.00		87.50DR
26JUL17	1 19OCT17	1940.50	1938.50		50.00DR
26JUL17	1 19OCT17	1940.50	1922.00		462.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2248.00	2211.00		925.00DR
19JUL17	1 19OCT17	2247.50	2211.00		912.50DR
21JUL17	1 19OCT17	2242.00	2239.43		64.25DR
21JUL17	1 19OCT17	2238.00	2239.43		35.75CR
21JUL17	1 19OCT17	2238.00	2239.63		40.75CR
21JUL17	1 19OCT17	2237.50	2239.63		53.25CR
21JUL17	1 19OCT17	2245.00	2239.63		134.25DR
21JUL17	1 19OCT17	2231.00	2239.63		215.75CR
21JUL17	1 19OCT17	2228.00	2239.63		290.75CR
21JUL17	1 19OCT17	2229.00	2239.63		265.75CR
21JUL17	1 19OCT17	2228.50	2239.63		278.25CR
26JUL17	1 19OCT17	2228.50	2312.25		2,093.75CR
26JUL17	1 19OCT17	2227.50	2312.25		2,118.75CR
26JUL17	1 19OCT17	2264.00	2312.25		1,206.25CR
26JUL17	1 19OCT17	2264.00	2312.25		1,206.25CR
26JUL17	1 19OCT17	2262.50	2312.25		1,243.75CR
26JUL17	1 19OCT17	2263.50	2312.25		1,218.75CR
26JUL17	1 19OCT17	2262.50	2312.25		1,243.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2743.50	2735.00		212.50DR
19JUL17	1 19OCT17	2773.00	2735.00		950.00DR
19JUL17	1 19OCT17	2773.50	2735.00		962.50DR
19JUL17	1 19OCT17	2772.50	2738.00		862.50DR
21JUL17	1 19OCT17	2773.00	2753.50		487.50DR
21JUL17	1 19OCT17	2772.50	2753.50		475.00DR
21JUL17	1 19OCT17	2773.50	2753.50		500.00DR
26JUL17	1 19OCT17	2766.50	2807.00		1,012.50CR
26JUL17	1 19OCT17	2766.00	2807.00		1,025.00CR
26JUL17	1 19OCT17	2766.50	2807.00		1,012.50CR
26JUL17	1 19OCT17	2767.50	2807.00		987.50CR
26JUL17	1 19OCT17	2743.50	2807.00		1,587.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103G
19th October 2017
COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUL17	1 19OCT17	2743.00	2807.00		1,600.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 381,937.89CR SETTLEMENTS LME Lead 25 tonnes. This is an Futures 9,475.50CR LME Zinc 25 tonnes. This is an LME Futures 2,775.00CR LME Aluminium 25 Tonnes. This is an Futures 837.50CR COMMISSIONS 66.75DR CARRIED FORWARD BALANCE 394,959.14CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103H
19th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
19OCT17	CMX Copper 25,000 CMX				
	B 1 DEC17 CQG GX 315.400			2.65DR	
19OCT17	NYM Henry Hub Natural Gas 10,000 NYM				
	S 1 NOV17 CQG GX 2.89400			2.65DR	
	Commission				5.30DR
	<u>SETTLEMENTS</u>				
19OCT17	CMX Copper 25,000 CMX				
	1 DEC17 315.400	309.500			1,475.00DR
19OCT17	NYM Henry Hub Natural Gas 10,000 NYM				
	1 NOV17 2.91300	2.89400			190.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				85,503.25CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				190.00DR
	CMX Copper Futures				1,475.00DR
	COMMISSIONS				5.30DR
	CARRIED FORWARD BALANCE				83,832.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 1 05JAN18 IFC UD		2151.10	2.70DR	
19OCT17	S 1 10JAN18 IFC UD		2152.25	2.70DR	
19OCT17	B 2 12JAN18 IFC UD	2152.95		5.40DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 10JAN18 IFC UD	2469.46		2.70DR	
19OCT17	S 1 11JAN18 IFC UD		2469.41	2.70DR	
19OCT17	B 1 12JAN18 IFC UD	2469.76		2.70DR	
19OCT17	S 1 16JAN18 IFC UD		2470.15	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 02NOV17 SGL UD	3131.63		2.70DR	
19OCT17	B 2 02NOV17 SGL UD	3133.38		5.40DR	
19OCT17	B 1 02NOV17 SGL UD	3134.63		2.70DR	
19OCT17	B 1 19JAN18 DMA EL	3098.00		5.30DR	
19OCT17	B 1 19JAN18 DMA EL	3095.00		5.30DR	
19OCT17	B 2 19JAN18 DMA EL	3096.00		10.60DR	
19OCT17	S 1 19JAN18 SGL UD		3089.85	2.70DR	
19OCT17	S 2 19JAN18 SGL UD		3091.60	5.40DR	
19OCT17	S 1 19JAN18 SGL UD		3092.85	2.70DR	
	Commission				64.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,369.64CR
	COMMISSIONS				64.40DR
	CARRIED FORWARD BALANCE				88,305.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
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SAPG0103

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,793,667.02CR
	SETTLEMENTS		
	CMX Copper Futures		1,425.00DR
	CMX Silver Futures		375.00DR
	LME Aluminium 25 Tonnes. This is an Futures		837.50CR
	LME Lead 25 tonnes. This is an Futures		9,475.50CR
	LME Zinc 25 tonnes. This is an LME Futures		2,700.00CR
	NYM Henry Hub Natural Gas Futures		190.00DR
	COMMISSIONS		144.40DR
	CARRIED FORWARD BALANCE		1,804,545.62CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

19th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 OBJ EL	11650.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	11660.00		4.30DR	
	Commission				8.60DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2259.50	2273.00		337.50CR
24JUL17	1 19OCT17	2258.00	2259.38		34.50CR
24JUL17	1 19OCT17	2257.00	2259.38		59.50CR
24JUL17	1 19OCT17	2253.50	2259.38		147.00CR
24JUL17	2 19OCT17	2254.00	2259.38		269.00CR
24JUL17	1 19OCT17	2255.00	2259.38		109.50CR
24JUL17	1 19OCT17	2256.00	2259.38		84.50CR
24JUL17	1 19OCT17	2257.00	2259.38		59.50CR
24JUL17	1 19OCT17	2256.50	2259.38		72.00CR
24JUL17	1 19OCT17	2255.50	2259.38		97.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	9695.00	9765.00		420.00CR
24JUL17	1 19OCT17	9700.00	9776.00		456.00CR
24JUL17	1 19OCT17	9700.00	9776.00		456.00CR
24JUL17	1 19OCT17	9710.00	9776.00		396.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2778.50	2797.00		462.50CR
19JUL17	1 19OCT17	2782.00	2764.00		450.00DR
24JUL17	1 19OCT17	2780.50	2787.30		170.00CR
24JUL17	1 19OCT17	2779.00	2787.30		207.50CR
24JUL17	1 19OCT17	2772.00	2787.30		382.50CR
24JUL17	1 19OCT17	2773.00	2787.30		357.50CR
24JUL17	1 19OCT17	2772.00	2787.30		382.50CR
24JUL17	1 19OCT17	2770.50	2787.30		420.00CR
24JUL17	1 19OCT17	2775.00	2787.30		307.50CR
24JUL17	1 19OCT17	2768.50	2787.30		470.00CR
24JUL17	1 19OCT17	2765.00	2787.30		557.50CR
24JUL17	2 19OCT17	2764.50	2787.30		1,140.00CR
24JUL17	1 19OCT17	2767.50	2787.30		495.00CR
24JUL17	1 19OCT17	2760.50	2787.30		670.00CR
24JUL17	1 19OCT17	2755.00	2787.30		807.50CR
24JUL17	1 19OCT17	2751.00	2787.30		907.50CR
24JUL17	1 19OCT17	2753.00	2787.30		857.50CR
24JUL17	1 19OCT17	2756.00	2787.30		782.50CR
25JUL17	1 19OCT17	2746.00	2823.00		1,925.00CR
25JUL17	1 19OCT17	2766.00	2823.00		1,425.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				727,193.76CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,270.00CR
	LME Zinc 25 tonnes. This is an LME Futures				12,277.50CR
	LME Nickel 6 tonnes. This is an LME Futures				1,728.00CR
	COMMISSIONS				8.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				742,460.66CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1932.00	1938.00		150.00CR
19JUL17	1 19OCT17	1922.00	1932.00		250.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2263.50	2268.50		125.00CR
19JUL17	1 19OCT17	2263.50	2271.00		187.50CR
19JUL17	1 19OCT17	2264.50	2271.50		175.00CR
19JUL17	1 19OCT17	2265.50	2271.50		150.00CR
19JUL17	1 19OCT17	2265.50	2272.00		162.50CR
19JUL17	1 19OCT17	2261.50	2272.00		262.50CR
19JUL17	1 19OCT17	2262.00	2273.00		275.00CR
19JUL17	1 19OCT17	2261.50	2273.00		287.50CR
19JUL17	1 19OCT17	2260.00	2275.00		375.00CR
19JUL17	1 19OCT17	2260.00	2268.50		212.50CR
19JUL17	1 19OCT17	2258.50	2265.00		162.50CR
19JUL17	1 19OCT17	2257.00	2243.00		350.00DR
19JUL17	1 19OCT17	2258.50	2243.50		375.00DR
19JUL17	1 19OCT17	2257.50	2226.00		787.50DR
19JUL17	1 19OCT17	2256.00	2227.50		712.50DR
19JUL17	1 19OCT17	2254.50	2221.00		837.50DR
19JUL17	1 19OCT17	2254.50	2220.50		850.00DR
19JUL17	1 19OCT17	2254.50	2217.00		937.50DR
19JUL17	1 19OCT17	2254.00	2228.00		650.00DR
19JUL17	1 19OCT17	2256.50	2212.00		1,112.50DR
19JUL17	1 19OCT17	2256.00	2212.00		1,100.00DR
19JUL17	1 19OCT17	2256.00	2213.00		1,075.00DR
19JUL17	1 19OCT17	2255.00	2213.00		1,050.00DR
19JUL17	1 19OCT17	2256.00	2211.00		1,125.00DR
19JUL17	1 19OCT17	2254.50	2211.00		1,087.50DR
24JUL17	1 19OCT17	2249.50	2259.38		247.00CR
24JUL17	2 19OCT17	2245.00	2259.38		719.00CR
24JUL17	1 19OCT17	2274.00	2259.38		365.50DR
24JUL17	2 19OCT17	2240.50	2259.38		944.00CR
24JUL17	1 19OCT17	2232.50	2259.38		672.00CR
24JUL17	1 19OCT17	2228.50	2259.38		772.00CR
24JUL17	1 19OCT17	2230.00	2259.38		734.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2791.00	2779.00		300.00DR
19JUL17	1 19OCT17	2789.50	2779.50		250.00DR
19JUL17	1 19OCT17	2783.00	2781.00		50.00DR
19JUL17	1 19OCT17	2781.50	2783.00		37.50CR
19JUL17	1 19OCT17	2781.50	2784.00		62.50CR
19JUL17	1 19OCT17	2781.50	2784.00		62.50CR
19JUL17	1 19OCT17	2781.00	2783.00		50.00CR
19JUL17	1 19OCT17	2781.00	2784.50		87.50CR
19JUL17	1 19OCT17	2780.50	2787.00		162.50CR
19JUL17	1 19OCT17	2779.50	2787.00		187.50CR
19JUL17	1 19OCT17	2779.50	2789.00		237.50CR
19JUL17	1 19OCT17	2778.50	2789.50		275.00CR
19JUL17	1 19OCT17	2778.00	2789.00		275.00CR
19JUL17	1 19OCT17	2778.00	2789.50		287.50CR
19JUL17	1 19OCT17	2782.00	2789.50		187.50CR
19JUL17	1 19OCT17	2782.00	2790.50		212.50CR
19JUL17	2 19OCT17	2780.50	2789.50		450.00CR
19JUL17	1 19OCT17	2779.50	2789.50		250.00CR
19JUL17	2 19OCT17	2779.00	2789.50		525.00CR
19JUL17	1 19OCT17	2779.50	2789.50		250.00CR
19JUL17	1 19OCT17	2778.50	2781.50		75.00CR
19JUL17	1 19OCT17	2778.50	2782.50		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	2778.00	2782.50		112.50CR
19JUL17	1 19OCT17	2778.00	2783.00		125.00CR
19JUL17	1 19OCT17	2780.00	2796.50		412.50CR
19JUL17	1 19OCT17	2780.00	2796.50		412.50CR
19JUL17	1 19OCT17	2780.00	2796.00		400.00CR
19JUL17	1 19OCT17	2780.00	2795.50		387.50CR
19JUL17	1 19OCT17	2782.50	2796.00		337.50CR
19JUL17	1 19OCT17	2784.00	2796.00		300.00CR
19JUL17	1 19OCT17	2780.00	2796.00		400.00CR
19JUL17	1 19OCT17	2772.50	2783.00		262.50CR
19JUL17	1 19OCT17	2772.50	2766.00		162.50DR
19JUL17	1 19OCT17	2775.50	2777.50		50.00CR
19JUL17	1 19OCT17	2775.00	2777.50		62.50CR
19JUL17	1 19OCT17	2774.50	2767.50		175.00DR
19JUL17	1 19OCT17	2773.50	2758.50		375.00DR
19JUL17	1 19OCT17	2773.50	2760.00		337.50DR
19JUL17	1 19OCT17	2775.00	2762.00		325.00DR
19JUL17	1 19OCT17	2775.00	2763.00		300.00DR
19JUL17	1 19OCT17	2775.00	2763.50		287.50DR
19JUL17	1 19OCT17	2773.00	2764.00		225.00DR
19JUL17	1 19OCT17	2773.00	2765.00		200.00DR
19JUL17	1 19OCT17	2773.00	2749.50		587.50DR
19JUL17	1 19OCT17	2781.00	2740.50		1,012.50DR
19JUL17	1 19OCT17	2781.00	2740.50		1,012.50DR
19JUL17	1 19OCT17	2780.50	2738.50		1,050.00DR
19JUL17	1 19OCT17	2765.00	2738.50		662.50DR
19JUL17	1 19OCT17	2767.50	2740.00		687.50DR
19JUL17	1 19OCT17	2767.50	2740.00		687.50DR
19JUL17	1 19OCT17	2760.50	2734.50		650.00DR
19JUL17	1 19OCT17	2758.00	2741.00		425.00DR
19JUL17	1 19OCT17	2758.00	2741.00		425.00DR
19JUL17	1 19OCT17	2751.50	2741.00		262.50DR
19JUL17	1 19OCT17	2751.50	2734.50		425.00DR
24JUL17	1 19OCT17	2753.00	2787.50		862.50CR
24JUL17	1 19OCT17	2758.00	2787.50		737.50CR
24JUL17	1 19OCT17	2767.50	2787.50		500.00CR
24JUL17	1 19OCT17	2767.50	2787.50		500.00CR
24JUL17	1 19OCT17	2766.00	2787.50		537.50CR
24JUL17	1 19OCT17	2745.00	2787.50		1,062.50CR
24JUL17	1 19OCT17	2743.50	2787.50		1,100.00CR
24JUL17	1 19OCT17	2743.00	2787.50		1,112.50CR
24JUL17	1 19OCT17	2743.00	2787.50		1,112.50CR
24JUL17	1 19OCT17	2744.00	2787.50		1,087.50CR
25JUL17	1 19OCT17	2738.00	2823.00		2,125.00CR
25JUL17	3 19OCT17	2737.50	2823.00		6,412.50CR
TOTALS					
BROUGHT FORWARD BALANCE					949,496.77DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					5,952.00DR
LME Zinc 25 tonnes. This is an LME Futures					13,312.50CR
LME Aluminium 25 Tonnes. This is an Futures					400.00CR
CARRIED FORWARD BALANCE					941,736.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

19th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 1 19JAN18 OBJ EL		2135.50	4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2136.00	4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2136.50	4.30DR	
19OCT17	S 6 19JAN18 OBJ EL		2137.00	25.80DR	
19OCT17	S 2 19JAN18 OBJ EL		2138.00	8.60DR	
19OCT17	S 2 19JAN18 OBJ EL		2141.50	8.60DR	
19OCT17	S 3 19JAN18 OBJ EL		2140.50	12.90DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 OBJ EL	2502.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2480.50		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2479.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2478.50		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2478.00		4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2481.50	4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2485.50		4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2486.50	4.30DR	
19OCT17	S 2 19JAN18 OBJ EL		2488.00	8.60DR	
19OCT17	B 1 19JAN18 OBJ EL	2475.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2474.50		4.30DR	
19OCT17	B 2 19JAN18 OBJ EL	2475.50		8.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 OBJ EL	3097.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3096.00		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3094.50		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3098.50		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3100.00		4.30DR	
19OCT17	B 5 19JAN18 OBJ EL	3099.00		21.50DR	
	Commission				172.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19JUL17	1 19OCT17	1928.50	1922.00		162.50DR
19JUL17	1 19OCT17	1933.00	1925.00		200.00DR
24JUL17	1 19OCT17	1932.00	1911.38		515.50DR
24JUL17	1 19OCT17	1932.00	1911.38		515.50DR
24JUL17	1 19OCT17	1937.00	1911.38		640.50DR
24JUL17	1 19OCT17	1931.50	1911.38		503.00DR
24JUL17	1 19OCT17	1931.50	1911.38		503.00DR
24JUL17	1 19OCT17	1933.00	1911.38		540.50DR
24JUL17	1 19OCT17	1932.50	1911.38		528.00DR
24JUL17	1 19OCT17	1933.50	1911.38		553.00DR
24JUL17	1 19OCT17	1932.00	1911.38		515.50DR
24JUL17	1 19OCT17	1930.50	1911.38		478.00DR
24JUL17	2 19OCT17	1928.00	1911.38		831.00DR
24JUL17	1 19OCT17	1928.00	1911.38		415.50DR
24JUL17	1 19OCT17	1927.00	1911.38		390.50DR
24JUL17	1 19OCT17	1924.50	1911.38		328.00DR
24JUL17	1 19OCT17	1922.00	1911.38		265.50DR
24JUL17	1 19OCT17	1918.50	1911.38		178.00DR
24JUL17	1 19OCT17	1918.00	1911.38		165.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2263.50	2272.00		212.50CR

Group No: SAPG0112

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	2263.50	2269.50		150.00CR
19JUL17	1 19OCT17	2263.50	2269.50		150.00CR
19JUL17	1 19OCT17	2263.50	2245.50		450.00DR
19JUL17	1 19OCT17	2262.00	2243.50		462.50DR
19JUL17	1 19OCT17	2259.50	2229.00		762.50DR
19JUL17	1 19OCT17	2258.00	2231.00		675.00DR
19JUL17	1 19OCT17	2253.00	2220.50		812.50DR
19JUL17	1 19OCT17	2252.50	2221.00		787.50DR
19JUL17	1 19OCT17	2254.00	2214.50		987.50DR
19JUL17	1 19OCT17	2254.00	2214.50		987.50DR
19JUL17	1 19OCT17	2257.00	2213.50		1,087.50DR
19JUL17	1 19OCT17	2257.00	2216.50		1,012.50DR
19JUL17	1 19OCT17	2256.50	2217.00		987.50DR
19JUL17	1 19OCT17	2255.50	2218.00		937.50DR
19JUL17	1 19OCT17	2255.00	2218.50		912.50DR
19JUL17	1 19OCT17	2254.50	2219.00		887.50DR
19JUL17	1 19OCT17	2254.50	2216.50		950.00DR
19JUL17	1 19OCT17	2254.00	2211.50		1,062.50DR
19JUL17	1 19OCT17	2253.00	2211.00		1,050.00DR
19JUL17	1 19OCT17	2251.00	2211.00		1,000.00DR
24JUL17	1 19OCT17	2249.50	2259.18		242.00CR
24JUL17	1 19OCT17	2247.00	2259.18		304.50CR
24JUL17	1 19OCT17	2247.00	2259.18		304.50CR
24JUL17	1 19OCT17	2245.50	2259.18		342.00CR
24JUL17	2 19OCT17	2244.00	2259.18		759.00CR
24JUL17	1 19OCT17	2241.50	2259.18		442.00CR
24JUL17	1 19OCT17	2241.50	2259.18		442.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	2 19OCT17	2246.00	2259.18		659.00CR
24JUL17	1 19OCT17	2243.50	2259.18		392.00CR
24JUL17	2 19OCT17	2243.00	2259.18		809.00CR
24JUL17	1 19OCT17	2246.50	2259.18		317.00CR
24JUL17	1 19OCT17	2246.00	2259.18		329.50CR
24JUL17	1 19OCT17	2245.50	2259.18		342.00CR
24JUL17	1 19OCT17	2242.00	2259.18		429.50CR
24JUL17	1 19OCT17	2241.50	2259.18		442.00CR
24JUL17	1 19OCT17	2244.00	2259.18		379.50CR
24JUL17	1 19OCT17	2243.00	2259.38		409.50CR
24JUL17	2 19OCT17	2244.00	2259.38		769.00CR
24JUL17	2 19OCT17	2244.50	2259.38		744.00CR
24JUL17	1 19OCT17	2244.50	2259.38		372.00CR
24JUL17	1 19OCT17	2240.00	2259.38		484.50CR
24JUL17	1 19OCT17	2227.50	2259.38		797.00CR
24JUL17	1 19OCT17	2226.00	2259.38		834.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17	2796.50	2779.00		437.50DR
19JUL17	1 19OCT17	2798.00	2779.00		475.00DR
19JUL17	1 19OCT17	2781.50	2778.00		87.50DR
19JUL17	1 19OCT17	2781.50	2791.50		250.00CR
19JUL17	1 19OCT17	2781.50	2786.50		125.00CR
19JUL17	1 19OCT17	2780.50	2782.50		50.00CR
19JUL17	1 19OCT17	2780.50	2783.00		62.50CR
19JUL17	2 19OCT17	2779.00	2782.50		175.00CR
19JUL17	1 19OCT17	2778.50	2782.50		100.00CR
19JUL17	1 19OCT17	2778.50	2782.50		100.00CR
19JUL17	1 19OCT17	2778.50	2785.50		175.00CR
19JUL17	1 19OCT17	2777.50	2786.50		225.00CR
19JUL17	1 19OCT17	2782.00	2785.50		87.50CR
19JUL17	1 19OCT17	2780.50	2785.50		125.00CR
19JUL17	1 19OCT17	2780.50	2785.50		125.00CR
19JUL17	1 19OCT17	2779.00	2786.00		175.00CR
19JUL17	1 19OCT17	2779.00	2788.00		225.00CR
19JUL17	1 19OCT17	2780.00	2788.00		200.00CR
19JUL17	1 19OCT17	2780.00	2789.50		237.50CR

Group No: SAPG0112

Page 2 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 19OCT17	2780.50	2789.50		225.00CR
19JUL17	1 19OCT17	2780.00	2789.50		237.50CR
19JUL17	1 19OCT17	2780.00	2794.00		350.00CR
19JUL17	1 19OCT17	2780.00	2796.50		412.50CR
19JUL17	1 19OCT17	2778.00	2796.00		450.00CR
19JUL17	1 19OCT17	2776.00	2796.00		500.00CR
19JUL17	1 19OCT17	2776.00	2796.50		512.50CR
19JUL17	1 19OCT17	2772.50	2796.00		587.50CR
19JUL17	1 19OCT17	2772.50	2797.00		612.50CR
19JUL17	1 19OCT17	2772.50	2797.50		625.00CR
19JUL17	1 19OCT17	2772.50	2786.50		350.00CR
19JUL17	1 19OCT17	2773.00	2775.00		50.00CR
19JUL17	1 19OCT17	2773.00	2775.00		50.00CR
19JUL17	1 19OCT17	2772.00	2775.00		75.00CR
19JUL17	1 19OCT17	2771.50	2777.00		137.50CR
19JUL17	1 19OCT17	2771.50	2777.50		150.00CR
19JUL17	1 19OCT17	2773.00	2776.50		87.50CR
19JUL17	1 19OCT17	2773.00	2773.50		12.50CR
19JUL17	1 19OCT17	2773.00	2756.00		425.00DR
19JUL17	1 19OCT17	2772.00	2757.50		362.50DR
19JUL17	1 19OCT17	2772.50	2759.50		325.00DR
19JUL17	1 19OCT17	2772.50	2759.50		325.00DR
19JUL17	1 19OCT17	2772.00	2760.00		300.00DR
19JUL17	1 19OCT17	2770.50	2759.50		275.00DR
19JUL17	1 19OCT17	2771.50	2760.00		287.50DR
19JUL17	1 19OCT17	2774.00	2760.50		337.50DR
19JUL17	1 19OCT17	2774.00	2761.00		325.00DR
19JUL17	1 19OCT17	2781.00	2761.00		500.00DR
19JUL17	1 19OCT17	2773.50	2760.50		325.00DR
19JUL17	1 19OCT17	2773.50	2762.00		287.50DR
19JUL17	1 19OCT17	2768.50	2763.00		137.50DR
19JUL17	1 19OCT17	2765.00	2765.00		0.00
19JUL17	1 19OCT17	2767.00	2750.00		425.00DR
19JUL17	1 19OCT17	2767.00	2757.00		250.00DR
19JUL17	1 19OCT17	2755.00	2740.50		362.50DR
19JUL17	1 19OCT17	2753.50	2740.50		325.00DR
19JUL17	1 19OCT17	2753.50	2737.00		412.50DR
19JUL17	1 19OCT17	2751.50	2736.00		387.50DR
19JUL17	1 19OCT17	2746.00	2736.00		250.00DR
19JUL17	1 19OCT17	2767.00	2736.00		775.00DR
19JUL17	1 19OCT17	2766.00	2735.50		762.50DR
19JUL17	1 19OCT17	2774.00	2735.50		962.50DR
19JUL17	1 19OCT17	2774.00	2741.00		825.00DR
19JUL17	1 19OCT17	2737.00	2741.00		100.00CR
24JUL17	1 19OCT17	2737.50	2787.30		1,245.00CR
24JUL17	1 19OCT17	2739.00	2787.30		1,207.50CR
24JUL17	1 19OCT17	2739.00	2787.30		1,207.50CR
24JUL17	1 19OCT17	2737.50	2787.30		1,245.00CR
TOTALS					
BROUGHT FORWARD BALANCE					57,910.15DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					3,295.00DR
LME Zinc 25 tonnes. This is an LME Futures					1,917.50CR
LME Aluminium 25 Tonnes. This is an Futures					8,229.00DR
COMMISSIONS					172.00DR
CARRIED FORWARD BALANCE					67,688.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112G
19th October 2017
COB GLOBAL

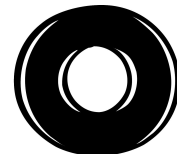
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19OCT17	S 2 19JAN18 OBJ EL		2136.00	8.60DR	
19OCT17	S 2 19JAN18 OBJ EL		2137.00	8.60DR	
19OCT17	S 1 19JAN18 OBJ EL		2136.50	4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2141.00	4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	2140.00		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 OBJ EL	2478.50		4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		2483.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 OBJ EL	3099.50		4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3098.00		4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		3103.50	4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		3104.50	4.30DR	
19OCT17	B 1 19JAN18 OBJ EL	3095.50		4.30DR	
19OCT17	S 1 19JAN18 OBJ EL		3100.00	4.30DR	
	Commission				64.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,520.94DR
	COMMISSIONS				64.50DR
	CARRIED FORWARD BALANCE				3,585.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

19th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		699,144.50CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		7,829.00DR
	LME Lead 25 tonnes. This is an Futures		7,977.00DR
	LME Nickel 6 tonnes. This is an LME Futures		1,728.00CR
	LME Zinc 25 tonnes. This is an LME Futures		27,507.50CR
	COMMISSIONS		245.10DR
	CARRIED FORWARD BALANCE		712,328.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	1 19OCT17	2241.50	2475.00		5,837.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	1 19OCT17	11702.50	9695.00		12,045.00DR
17OCT17	1 19OCT17	11702.50	9695.00		12,045.00DR
17OCT17	1 19OCT17	11702.50	9650.00		12,315.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	1 19OCT17	20125.00	20429.00		1,520.00CR
17OCT17	1 19OCT17	20120.00	20429.00		1,545.00CR
17OCT17	1 19OCT17	20080.00	20429.00		1,745.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	1 19OCT17	3109.75	2770.00		8,493.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				39,501.40DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,810.00CR
	LME Lead 25 tonnes. This is an Futures				5,837.50CR
	LME Zinc 25 tonnes. This is an LME Futures				8,493.75DR
	LME Nickel 6 tonnes. This is an LME Futures				36,405.00DR
	CARRIED FORWARD BALANCE				73,752.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

19th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL 20010.00			4.65DR	
19OCT17	S 2 19JAN18 DMA EL 20000.00		20000.00	9.30DR	
19OCT17	B 1 19JAN18 DMA EL 20000.00			4.65DR	
19OCT17	S 1 19JAN18 DMA EL 20030.00		20030.00	4.65DR	
19OCT17	B 1 19JAN18 DMA EL 20030.00			4.65DR	
	Commission				27.90DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17 2220.00	2220.00	2227.50		187.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17 20055.00	20055.00	20105.00		250.00CR
19JUL17	1 19OCT17 20100.00	20100.00	20135.00		175.00CR
17OCT17	1 19OCT17 20429.00	20429.00	20095.00		1,670.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19JUL17	1 19OCT17 2758.00	2758.00	2748.00		250.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,653.47DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,245.00DR
	LME Lead 25 tonnes. This is an Futures				187.50CR
	LME Zinc 25 tonnes. This is an LME Futures				250.00DR
	COMMISSIONS				27.90DR
	CARRIED FORWARD BALANCE				20,988.87DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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W1J 7NJ

SAPG0118

19th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		60,034.25DR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		6,025.00CR
	LME Nickel 6 tonnes. This is an LME Futures		36,405.00DR
	LME Tin 5 tonnes. This is an LME Futures		3,565.00CR
	LME Zinc 25 tonnes. This is an LME Futures		8,743.75DR
	COMMISSIONS		27.90DR
	CARRIED FORWARD BALANCE		95,620.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

19th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
19OCT17	B	1	19JAN18	TTR	EL	2121.50		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2122.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2125.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2123.00		5.00DR	
19OCT17	B	2	19JAN18	TTR	EL	2124.50		10.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2129.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2129.50		5.00DR	
19OCT17	S	3	19JAN18	TTR	EL		2135.00	15.00DR	
19OCT17	S	1	19JAN18	TTR	EL		2133.50	5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2141.50		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	2141.00		5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
19OCT17	B	3	19JAN18	TTR	EL	7007.00		15.00DR	
19OCT17	B	2	19JAN18	TTR	EL	7006.50		10.00DR	
19OCT17	B	1	19JAN18	TTR	EL	7006.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6996.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6978.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6993.50		5.00DR	
19OCT17	B	2	19JAN18	TTR	EL	6962.50		10.00DR	
19OCT17	B	2	19JAN18	TTR	EL	6963.50		10.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6956.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6978.50		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6979.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6975.00		5.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6977.50		5.00DR	
19OCT17	B	4	19JAN18	TTR	EL	6970.00		20.00DR	
19OCT17	B	3	19JAN18	TTR	EL	6970.50		15.00DR	
19OCT17	B	1	19JAN18	TTR	EL	6972.50		5.00DR	
	CMX Copper 25,000 CMX								
19OCT17	B	4	DEC17	TTR	II	315.650		4.12DR	
19OCT17	B	1	DEC17	TTR	II	316.200		1.03DR	
19OCT17	B	1	DEC17	TTR	II	315.000		1.03DR	
19OCT17	B	1	DEC17	TTR	II	315.350		1.03DR	
19OCT17	B	1	DEC17	TTR	II	315.400		1.03DR	
19OCT17	B	2	DEC17	TTR	II	315.450		2.06DR	
19OCT17	B	1	DEC17	TTR	II	315.500		1.03DR	
19OCT17	B	2	DEC17	TTR	II	315.550		2.06DR	
	CMX Gold 100 CMX								
19OCT17	S	3	DEC17	TTR	II		1282.5	3.09DR	
19OCT17	S	1	DEC17	TTR	II		1282.4	1.03DR	
19OCT17	S	1	DEC17	TTR	II		1289.9	1.03DR	
19OCT17	S	1	DEC17	TTR	II		1289.3	1.03DR	
19OCT17	S	1	DEC17	TTR	II		1290.3	1.03DR	
19OCT17	S	1	DEC17	TTR	II		1290.6	1.03DR	
19OCT17	S	3	DEC17	TTR	II		1290.7	3.09DR	
19OCT17	S	3	DEC17	TTR	II		1290.9	3.09DR	
19OCT17	S	6	DEC17	TTR	II		1290.8	6.18DR	
19OCT17	S	1	DEC17	TTR	II		1291.1	1.03DR	
19OCT17	S	4	DEC17	TTR	II		1291.0	4.12DR	
	NYM Henry Hub Natural Gas 10,000 NYM								
19OCT17	B	1	NOV17	TTR	II	2.85700		1.03DR	
19OCT17	B	1	NOV17	TTR	II	2.85900		1.03DR	
19OCT17	B	3	NOV17	TTR	II	2.85600		3.09DR	
19OCT17	B	3	NOV17	TTR	II	2.85300		3.09DR	
19OCT17	B	2	NOV17	TTR	II	2.84400		2.06DR	
19OCT17	B	1	NOV17	TTR	II	2.84600		1.03DR	
19OCT17	B	3	NOV17	TTR	II	2.84700		3.09DR	
	LME Lead 25 tonnes. This is an LME registered client contract								

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B 5 02NOV17 SGL UD	2468.75		13.50DR	
19OCT17	S 1 19JAN18 TTR EL		2481.00	5.00DR	
19OCT17	B 1 19JAN18 TTR EL	2477.50		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	2477.00		5.00DR	
19OCT17	B 2 19JAN18 TTR EL	2480.00		10.00DR	
19OCT17	B 1 19JAN18 TTR EL	2489.50		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	2487.00		5.00DR	
19OCT17	B 1 19JAN18 DMA EL	2478.00		5.00DR	
19OCT17	S 5 19JAN18 SGL UD		2478.85	13.50DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	11655.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11690.00		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	11770.00		5.00DR	
19OCT17	B 2 19JAN18 TTR EL	11740.00		10.00DR	
	CMX Silver 5,000 CMX				
19OCT17	B 4 DEC17 TTR II	1697.5		4.12DR	
19OCT17	B 1 DEC17 TTR II	1699.0		1.03DR	
19OCT17	B 2 DEC17 TTR II	1700.0		2.06DR	
19OCT17	B 3 DEC17 TTR II	1699.5		3.09DR	
19OCT17	B 1 DEC17 TTR II	1700.5		1.03DR	
19OCT17	S 12 DEC17 TTR II		1706.5	12.36DR	
19OCT17	S 3 DEC17 TTR II		1707.0	3.09DR	
19OCT17	S 3 DEC17 TTR II		1708.0	3.09DR	
19OCT17	S 1 DEC17 TTR II		1705.5	1.03DR	
19OCT17	S 4 DEC17 TTR II		1706.0	4.12DR	
19OCT17	S 5 DEC17 TTR II		1707.5	5.15DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 TTR EL	19870.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 TTR EL		3108.50	5.00DR	
19OCT17	B 1 19JAN18 TTR EL	3110.50		5.00DR	
19OCT17	B 1 19JAN18 TTR EL	3092.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		3094.50	5.00DR	
19OCT17	B 3 19JAN18 TTR EL	3116.50		15.00DR	
19OCT17	B 1 19JAN18 TTR EL	3117.00		5.00DR	
	Commission				430.73DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	315.650	324.950		2,325.00CR
19OCT17	1 DEC17	315.650	325.200		2,387.50CR
19OCT17	1 DEC17	315.650	324.600		2,237.50CR
19OCT17	1 DEC17	315.650	324.500		2,212.50CR
19OCT17	1 DEC17	316.200	325.000		2,200.00CR
19OCT17	1 DEC17	315.550	325.650		2,525.00CR
19OCT17	1 DEC17	315.000	325.700		2,675.00CR
19OCT17	1 DEC17	315.450	325.650		2,550.00CR
19OCT17	1 DEC17	315.350	324.000		2,162.50CR
19OCT17	1 DEC17	315.400	323.100		1,925.00CR
19OCT17	1 DEC17	315.450	324.000		2,137.50CR
19OCT17	1 DEC17	315.500	324.050		2,137.50CR
19OCT17	1 DEC17	315.550	323.300		1,937.50CR
	CMX Gold 100 CMX				
19OCT17	1 DEC17	1283.7	1282.5		120.00DR
19OCT17	1 DEC17	1295.6	1282.5		1,310.00DR
19OCT17	1 DEC17	1286.9	1282.5		440.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 DEC17	1285.7	1282.4		330.00DR
19OCT17	1 DEC17	1285.7	1289.9		420.00CR
19OCT17	1 DEC17	1285.9	1289.3		340.00CR
19OCT17	1 DEC17	1285.9	1290.3		440.00CR
19OCT17	1 DEC17	1285.9	1290.6		470.00CR
19OCT17	1 DEC17	1286.0	1290.7		470.00CR
19OCT17	2 DEC17	1285.9	1290.7		960.00CR
19OCT17	2 DEC17	1286.1	1290.9		960.00CR
19OCT17	1 DEC17	1286.3	1290.9		460.00CR
19OCT17	1 DEC17	1286.1	1291.0		490.00CR
19OCT17	1 DEC17	1286.5	1291.0		450.00CR
19OCT17	3 DEC17	1286.6	1290.8		1,260.00CR
19OCT17	1 DEC17	1286.8	1290.8		400.00CR
19OCT17	1 DEC17	1286.6	1290.8		420.00CR
19OCT17	1 DEC17	1286.5	1290.8		430.00CR
19OCT17	1 DEC17	1286.5	1291.1		460.00CR
19OCT17	1 DEC17	1286.2	1291.0		480.00CR
19OCT17	1 DEC17	1286.6	1291.0		440.00CR
CMX Silver 5,000 CMX					
19OCT17	1 DEC17	1697.5	1706.0		425.00CR
19OCT17	1 DEC17	1697.5	1706.5		450.00CR
19OCT17	1 DEC17	1697.5	1706.0		425.00CR
19OCT17	1 DEC17	1697.5	1706.5		450.00CR
19OCT17	1 DEC17	1699.0	1707.0		400.00CR
19OCT17	1 DEC17	1699.5	1707.0		375.00CR
19OCT17	2 DEC17	1700.0	1706.5		650.00CR
19OCT17	2 DEC17	1699.5	1706.5		700.00CR
19OCT17	1 DEC17	1700.5	1706.5		300.00CR
19OCT17	1 DEC17	1669.5	1706.5		1,850.00CR
19OCT17	1 DEC17	1678.5	1706.5		1,400.00CR
19OCT17	2 DEC17	1678.0	1706.5		2,850.00CR
19OCT17	1 DEC17	1677.5	1706.5		1,450.00CR
19OCT17	1 DEC17	1674.5	1707.0		1,625.00CR
19OCT17	1 DEC17	1673.5	1707.5		1,700.00CR
19OCT17	1 DEC17	1673.5	1708.0		1,725.00CR
19OCT17	1 DEC17	1674.0	1708.0		1,700.00CR
19OCT17	1 DEC17	1673.5	1708.0		1,725.00CR
19OCT17	1 DEC17	1673.0	1707.5		1,725.00CR
19OCT17	1 DEC17	1672.5	1707.5		1,750.00CR
19OCT17	1 DEC17	1674.5	1707.5		1,650.00CR
19OCT17	1 DEC17	1674.0	1705.5		1,575.00CR
19OCT17	1 DEC17	1673.5	1706.0		1,625.00CR
19OCT17	1 DEC17	1673.5	1706.0		1,625.00CR
19OCT17	1 DEC17	1673.5	1707.5		1,700.00CR
TOTALS					
BROUGHT FORWARD BALANCE					281,930.43CR
SETTLEMENTS					
CMX Silver Futures					31,850.00CR
CMX Copper Futures					29,412.50CR
CMX Gold Futures					7,150.00CR
COMMISSIONS					430.73DR
CARRIED FORWARD BALANCE					349,912.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 DEC17 CQG II 116.4750			1.02DR	
19OCT17	S 2 FEB18 CQG II 120.7250		120.7250	2.04DR	
19OCT17	S 1 APR18 CQG II 120.9250		120.9250	1.02DR	
19OCT17	B 1 APR18 CQG II 121.2750			1.02DR	
19OCT17	B 1 JUN18 CQG II 113.6750			1.02DR	
19OCT17	B 1 JUN18 CQG II 113.7000			1.02DR	
19OCT17	S 1 AUG18 CQG II 111.0000		111.0000	1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	S 1 NOV17 CQG II 151.9500		151.9500	1.02DR	
19OCT17	B 2 JAN18 CQG II 149.8750			2.04DR	
19OCT17	S 1 MAR18 CQG II 146.9000		146.9000	1.02DR	
19OCT17	S 1 MAR18 CQG II 147.5250		147.5250	1.02DR	
19OCT17	B 2 APR18 CQG II 147.0500			2.04DR	
19OCT17	S 1 MAY18 CQG II 146.2000		146.2000	1.02DR	
	IEU Gas Oil 100 IPE				
19OCT17	B 4 JUN18 CQG EL 513.000			4.28DR	
19OCT17	S 4 JUL18 CQG EL 512.750		512.750	4.28DR	
19OCT17	B 4 JUL18 CQG EL 511.750			4.28DR	
19OCT17	B 8 AUG18 CQG EL 511.000			8.56DR	
19OCT17	S 4 AUG18 CQG EL 511.750		511.750	4.28DR	
19OCT17	S 8 SEP18 CQG EL 510.750		510.750	8.56DR	
	CME Lean Hog 400 CME				
19OCT17	S 1 JUN18 CQG II 80.1000		80.1000	1.02DR	
19OCT17	B 1 JUL18 CQG II 80.2000			1.02DR	
	IEU Brent Crude 1,000 IPE				
19OCT17	B 1 FEB18 CQG EL 56.71			1.07DR	
19OCT17	B 2 FEB18 CQG EL 56.70			2.14DR	
19OCT17	B 1 FEB18 CQG EL 56.85			1.07DR	
19OCT17	B 1 FEB18 CQG EL 56.98			1.07DR	
19OCT17	S 2 APR18 CQG EL 56.40		56.40	2.14DR	
19OCT17	S 2 APR18 CQG EL 56.38		56.38	2.14DR	
19OCT17	S 2 APR18 CQG EL 56.39		56.39	2.14DR	
19OCT17	S 2 APR18 CQG EL 56.52		56.52	2.14DR	
19OCT17	S 1 APR18 CQG EL 56.66		56.66	1.07DR	
19OCT17	S 1 APR18 CQG EL 56.65		56.65	1.07DR	
19OCT17	B 2 JUN18 CQG EL 56.14			2.14DR	
19OCT17	B 1 JUN18 CQG EL 56.13			1.07DR	
19OCT17	B 1 JUN18 CQG EL 56.25			1.07DR	
19OCT17	B 1 JUN18 CQG EL 56.38			1.07DR	
	Commission				74.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
19OCT17	1 APR18 121.2750 120.9250	121.2750	120.9250		140.00DR
	CME Feeder Cattle 500 CME				
19OCT17	1 NOV17 153.6750 151.9500	153.6750	151.9500		862.50DR
19OCT17	2 JAN18 149.8750 151.7000	149.8750	151.7000		1,825.00CR
19OCT17	1 MAR18 149.0250 146.9000	149.0250	146.9000		1,062.50DR
	IEU Gas Oil 100 IPE				
19OCT17	4 JUN18 513.000 521.500	513.000	521.500		3,400.00CR
19OCT17	4 JUL18 511.750 512.750	511.750	512.750		400.00CR
19OCT17	4 AUG18 511.000 511.750	511.000	511.750		300.00CR
19OCT17	1 SEP18 520.250 510.750	520.250	510.750		950.00DR
19OCT17	2 SEP18 520.250 510.750	520.250	510.750		1,900.00DR
19OCT17	1 SEP18 518.750 510.750	518.750	510.750		800.00DR
	CME Lean Hog 400 CME				
19OCT17	1 JUN18 80.1250 80.1000	80.1250	80.1000		10.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

19th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 JUL18 IEU Brent Crude 1,000 IPE	80.2000	80.5750		150.00CR
19OCT17	1 FEB18	56.71	57.49		780.00CR
19OCT17	1 FEB18	56.70	57.64		940.00CR
19OCT17	1 FEB18	56.70	57.73		1,030.00CR
19OCT17	1 FEB18	56.85	57.17		320.00CR
19OCT17	1 FEB18	56.98	56.79		190.00DR
19OCT17	1 APR18	57.18	56.40		780.00DR
19OCT17	1 APR18	57.17	56.39		780.00DR
19OCT17	1 APR18	57.30	56.38		920.00DR
19OCT17	1 APR18	57.31	56.40		910.00DR
19OCT17	1 APR18	57.31	56.38		930.00DR
19OCT17	1 APR18	57.37	56.39		980.00DR
19OCT17	1 APR18	56.82	56.52		300.00DR
19OCT17	1 APR18	56.84	56.52		320.00DR
19OCT17	1 APR18	56.45	56.66		210.00CR
19OCT17	1 APR18	56.42	56.65		230.00CR
19OCT17	1 JUN18	56.14	56.95		810.00CR
19OCT17	1 JUN18	56.14	57.06		920.00CR
19OCT17	1 JUN18	56.13	57.06		930.00CR
19OCT17	1 JUN18	56.25	56.61		360.00CR
19OCT17	1 JUN18	56.38	56.21		170.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				10,948.52CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				100.00DR
	CME Cattle Futures				140.00DR
	IEU Brent Crude Futures				250.00CR
	IEU Gas Oil Futures				450.00CR
	CME Lean Hog Futures				140.00CR
	COMMISSIONS				74.00DR
	CARRIED FORWARD BALANCE				11,474.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

19th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 APR18 CQG II 121.0500			1.02DR	
19OCT17	S 1 JUN18 CQG II 113.9000			1.02DR	
19OCT17	B 1 AUG18 CQG II 110.9500			1.02DR	
19OCT17	S 2 OCT18 CQG II 111.2750			2.04DR	
19OCT17	B 1 DEC18 CQG II 112.0000			1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	S 1 NOV17 CQG II 151.8750			1.02DR	
19OCT17	S 1 NOV17 CQG II 152.8750			1.02DR	
19OCT17	S 1 NOV17 CQG II 152.7750			1.02DR	
19OCT17	B 2 JAN18 CQG II 149.7750			2.04DR	
19OCT17	B 2 JAN18 CQG II 151.3500			2.04DR	
19OCT17	B 2 JAN18 CQG II 151.2500			2.04DR	
19OCT17	S 1 MAR18 CQG II 146.8750			1.02DR	
19OCT17	S 1 MAR18 CQG II 148.2750			1.02DR	
19OCT17	S 1 MAR18 CQG II 148.2000			1.02DR	
19OCT17	B 1 APR18 CQG II 147.0000			1.02DR	
19OCT17	S 1 MAY18 CQG II 146.2000			1.02DR	
	CME Lean Hog 400 CME				
19OCT17	S 1 JUN18 CQG II 80.2750			1.02DR	
19OCT17	B 1 AUG18 CQG II 79.9500			1.02DR	
	Commission				22.44DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
19OCT17	1 APR18 121.0500 121.8750				330.00CR
19OCT17	1 JUN18 114.5000 113.9000				240.00DR
19OCT17	1 AUG18 110.9500 111.7500				320.00CR
19OCT17	1 OCT18 112.5000 111.2750				490.00DR
19OCT17	1 OCT18 112.4000 111.2750				450.00DR
19OCT17	1 DEC18 112.0000 112.3250				130.00CR
	CME Feeder Cattle 500 CME				
19OCT17	1 NOV17 154.8500 151.8750				1,487.50DR
19OCT17	1 NOV17 154.5500 152.8750				837.50DR
19OCT17	1 NOV17 151.3250 152.7750				725.00CR
19OCT17	1 JAN18 149.7750 152.8000				1,512.50CR
19OCT17	1 JAN18 149.7750 152.6250				1,425.00CR
19OCT17	1 JAN18 151.3500 149.1000				1,125.00DR
19OCT17	1 JAN18 151.3500 149.2500				1,050.00DR
19OCT17	1 JAN18 151.2500 150.2750				487.50DR
19OCT17	1 JAN18 151.2500 149.3750				937.50DR
19OCT17	1 MAR18 147.3000 146.8750				212.50DR
19OCT17	1 MAR18 146.4500 148.2750				912.50CR
19OCT17	1 MAR18 146.8250 148.2000				687.50CR
19OCT17	1 APR18 147.0000 147.6750				337.50CR
19OCT17	1 MAY18 148.4500 146.2000				1,125.00DR
	CME Lean Hog 400 CME				
19OCT17	1 JUN18 80.1000 80.2750				70.00CR
19OCT17	1 AUG18 79.9500 79.9250				10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,007.14DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,662.50DR
	CME Cattle Futures				400.00DR
	CME Lean Hog Futures				60.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

19th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				22.44DR
	CARRIED FORWARD BALANCE				3,032.08DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 APR18 CQG II 121.0250			1.02DR	
19OCT17	B 1 JUN18 CQG II 113.3500			1.02DR	
19OCT17	S 1 JUN18 CQG II		113.9750	1.02DR	
19OCT17	S 1 AUG18 CQG II		110.6500	1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	S 1 NOV17 CQG II		152.1750	1.02DR	
19OCT17	B 1 NOV17 CQG II 151.6750			1.02DR	
19OCT17	B 1 NOV17 CQG II 151.4000			1.02DR	
19OCT17	S 1 NOV17 CQG II		151.6250	1.02DR	
19OCT17	B 1 JAN18 CQG II 150.0750			1.02DR	
19OCT17	B 1 JAN18 CQG II 149.6500			1.02DR	
19OCT17	B 1 JAN18 CQG II 150.0000			1.02DR	
19OCT17	S 1 JAN18 CQG II		149.8500	1.02DR	
19OCT17	S 1 JAN18 CQG II		149.8750	1.02DR	
19OCT17	B 1 JAN18 CQG II 149.9500			1.02DR	
19OCT17	S 1 MAR18 CQG II		146.7500	1.02DR	
19OCT17	S 1 MAR18 CQG II		147.1750	1.02DR	
	Commission				16.32DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
19OCT17	1 APR18	121.0250	120.9000		50.00DR
19OCT17	1 JUN18	113.3500	113.9750		250.00CR
19OCT17	1 AUG18	110.5500	110.6500		40.00CR
	CME Feeder Cattle 500 CME				
19OCT17	1 NOV17	151.6750	152.1750		250.00CR
19OCT17	1 NOV17	151.4000	151.6250		112.50CR
19OCT17	1 JAN18	150.0750	149.8500		112.50DR
19OCT17	1 JAN18	149.6500	149.8750		112.50CR
19OCT17	1 JAN18	150.0000	149.8500		75.00DR
19OCT17	1 JAN18	149.9500	149.0750		437.50DR
19OCT17	1 MAR18	150.0500	146.7500		1,650.00DR
19OCT17	1 MAR18	147.2250	147.1750		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,478.58DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,825.00DR
	CME Cattle Futures				240.00CR
	COMMISSIONS				16.32DR
	CARRIED FORWARD BALANCE				4,079.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	6912.50		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		6917.50	5.00DR	
	Commission				10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,991.10CR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				18,981.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119H

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	S 1 19JAN18 DMA EL		2479.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	2483.00		5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	20070.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		20200.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	19900.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19970.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	19915.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19910.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	19840.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19835.00	5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19870.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	19825.00		5.00DR	
	Commission				60.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,214.88CR
	COMMISSIONS				60.00DR
	CARRIED FORWARD BALANCE				2,154.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119J 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
19OCT17	B 1 DEC17 II	313.900		1.03DR	
19OCT17	S 1 DEC17 II		315.400	1.03DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	2479.50		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		2479.00	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
19OCT17	B 1 19JAN18 DMA EL	20015.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		20000.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	20000.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19980.00	5.00DR	
19OCT17	B 1 19JAN18 DMA EL	19965.00		5.00DR	
19OCT17	S 1 19JAN18 DMA EL		19950.00	5.00DR	
19OCT17	S 2 19JAN18 DMA EL		19800.00	10.00DR	
19OCT17	B 3 19JAN18 DMA EL	19830.00		15.00DR	
19OCT17	S 1 19JAN18 DMA EL		19890.00	5.00DR	
	Commission				72.06DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
19OCT17	1 DEC17	313.900	315.400		375.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,446.38CR
	SETTLEMENTS				
	CMX Copper Futures				375.00CR
	COMMISSIONS				72.06DR
	CARRIED FORWARD BALANCE				19,749.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

19th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	S 1 APR18 CQG II		121.0500	1.02DR	
19OCT17	B 2 JUN18 CQG II	113.8250		2.04DR	
19OCT17	S 1 AUG18 CQG II		111.1000	1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	B 1 NOV17 CQG II	151.9000		1.02DR	
19OCT17	S 1 NOV17 CQG II		151.9000	1.02DR	
19OCT17	B 1 NOV17 CQG II	151.5000		1.02DR	
19OCT17	S 1 NOV17 CQG II		151.6500	1.02DR	
19OCT17	S 1 NOV17 CQG II		151.6250	1.02DR	
19OCT17	S 1 NOV17 CQG II		151.9500	1.02DR	
19OCT17	S 1 JAN18 CQG II		149.9750	1.02DR	
19OCT17	B 1 JAN18 CQG II	150.0000		1.02DR	
19OCT17	S 1 JAN18 CQG II		149.6500	1.02DR	
19OCT17	B 1 JAN18 CQG II	149.8500		1.02DR	
19OCT17	B 1 JAN18 CQG II	150.5500		1.02DR	
19OCT17	B 1 JAN18 CQG II	150.0250		1.02DR	
19OCT17	B 2 JAN18 CQG II	150.3000		2.04DR	
19OCT17	S 1 MAR18 CQG II		147.6500	1.02DR	
19OCT17	S 1 MAR18 CQG II		147.2000	1.02DR	
	CME Lean Hog 400 CME				
19OCT17	S 1 JUN18 CQG II		80.4000	1.02DR	
19OCT17	S 1 JUN18 CQG II		80.2750	1.02DR	
19OCT17	S 1 JUN18 CQG II		79.8500	1.02DR	
19OCT17	S 1 JUN18 CQG II		80.0750	1.02DR	
19OCT17	S 1 JUN18 CQG II		80.1250	1.02DR	
19OCT17	B 2 JUL18 CQG II	80.0000		2.04DR	
19OCT17	B 1 JUL18 CQG II	80.2000		1.02DR	
19OCT17	B 1 JUL18 CQG II	80.1750		1.02DR	
19OCT17	B 1 AUG18 CQG II	79.9750		1.02DR	
19OCT17	B 1 AUG18 CQG II	79.7250		1.02DR	
19OCT17	S 1 AUG18 CQG II		79.3000	1.02DR	
	Commission				32.64DR
	<u>SETTLEMENTS</u>				
	CME Feeder Cattle 500 CME				
19OCT17	1 NOV17	151.9000	151.9000		0.00
19OCT17	1 NOV17	151.5000	151.6500		75.00CR
19OCT17	1 NOV17	154.8750	151.6250		1,625.00DR
19OCT17	1 NOV17	152.4750	151.9500		262.50DR
19OCT17	1 JAN18	150.0000	149.9750		12.50DR
19OCT17	1 JAN18	149.8500	149.6500		100.00DR
19OCT17	1 JAN18	150.5500	153.0750		1,262.50CR
19OCT17	1 JAN18	150.0250	152.9250		1,450.00CR
19OCT17	1 JAN18	150.3000	150.5250		112.50CR
19OCT17	1 JAN18	150.3000	150.5250		112.50CR
19OCT17	1 MAR18	149.9750	147.6500		1,162.50DR
19OCT17	1 MAR18	147.9500	147.2000		375.00DR
	CME Lean Hog 400 CME				
19OCT17	1 JUN18	80.3000	80.4000		40.00CR
19OCT17	1 JUN18	80.0000	80.2750		110.00CR
19OCT17	1 JUN18	79.9500	79.8500		40.00DR
19OCT17	1 JUL18	80.0000	80.6250		250.00CR
19OCT17	1 JUL18	80.0000	80.4250		170.00CR
19OCT17	1 AUG18	79.9750	79.3000		270.00DR
19OCT17	1 AUG18	79.7250	79.8000		30.00CR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				892.42DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				525.00DR
	CME Lean Hog Futures				290.00CR
	COMMISSIONS				32.64DR
	CARRIED FORWARD BALANCE				1,160.06DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119L

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	S 1 JUN18 CQG II		51.99	1.03DR	
19OCT17	S 2 JUN18 CQG II		51.82	2.06DR	
19OCT17	S 1 JUN18 CQG II		51.90	1.03DR	
19OCT17	S 7 JUN18 CQG II		51.93	7.21DR	
19OCT17	S 2 JUN18 CQG II		51.91	2.06DR	
19OCT17	B 2 DEC18 CQG II	51.29		2.06DR	
19OCT17	B 1 DEC18 CQG II	51.30		1.03DR	
19OCT17	B 3 DEC18 CQG II	51.38		3.09DR	
19OCT17	B 3 DEC18 CQG II	51.23		3.09DR	
19OCT17	B 2 DEC18 CQG II	51.22		2.06DR	
19OCT17	B 1 DEC18 CQG II	51.21		1.03DR	
19OCT17	B 5 DEC18 CQG II	51.28		5.15DR	
19OCT17	B 9 DEC18 CQG II	51.27		9.27DR	
19OCT17	B 3 DEC18 CQG II	51.26		3.09DR	
19OCT17	B 1 DEC18 CQG II	51.31		1.03DR	
19OCT17	S 1 JUN19 CQG II		50.65	1.03DR	
19OCT17	S 3 JUN19 CQG II		50.75	3.09DR	
19OCT17	S 1 JUN19 CQG II		50.64	1.03DR	
19OCT17	S 2 JUN19 CQG II		50.63	2.06DR	
19OCT17	S 2 JUN19 CQG II		50.61	2.06DR	
19OCT17	S 1 JUN19 CQG II		50.62	1.03DR	
19OCT17	S 7 JUN19 CQG II		50.66	7.21DR	
19OCT17	B 1 DEC19 CQG II	50.24		1.03DR	
19OCT17	B 1 DEC19 CQG II	50.33		1.03DR	
19OCT17	B 1 DEC19 CQG II	50.25		1.03DR	
19OCT17	B 1 DEC19 CQG II	50.22		1.03DR	
19OCT17	S 1 DEC19 CQG II		50.30	1.03DR	
19OCT17	S 2 DEC19 CQG II		50.32	2.06DR	
19OCT17	S 1 DEC19 CQG II		50.31	1.03DR	
	CME Lean Hog 400 CME				
19OCT17	B 1 JUN18 CQG II	80.4750		1.02DR	
19OCT17	S 1 JUN18 CQG II		79.7500	1.02DR	
19OCT17	S 2 JUL18 CQG II		80.7750	2.04DR	
19OCT17	B 2 JUL18 CQG II	79.9750		2.04DR	
19OCT17	B 1 AUG18 CQG II	80.0000		1.02DR	
19OCT17	S 1 AUG18 CQG II		79.2750	1.02DR	
	IEU Brent Crude 1,000 IPE				
19OCT17	B 3 JUN18 CQG EL	56.71		3.21DR	
19OCT17	B 1 JUN18 CQG EL	56.70		1.07DR	
19OCT17	B 3 JUN18 CQG EL	56.68		3.21DR	
19OCT17	B 1 JUN18 CQG EL	56.63		1.07DR	
19OCT17	S 3 JUN18 CQG EL		56.24	3.21DR	
19OCT17	S 3 JUN18 CQG EL		56.28	3.21DR	
19OCT17	S 5 JUN18 CQG EL		56.26	5.35DR	
19OCT17	S 2 JUN18 CQG EL		56.27	2.14DR	
19OCT17	S 2 JUN18 CQG EL		56.36	2.14DR	
19OCT17	S 4 JUN18 CQG EL		56.35	4.28DR	
19OCT17	S 1 JUN18 CQG EL		56.30	1.07DR	
19OCT17	S 5 JUN18 CQG EL		56.29	5.35DR	
19OCT17	B 2 JUN18 CQG EL	56.17		2.14DR	
19OCT17	B 1 JUN18 CQG EL	56.18		1.07DR	
19OCT17	B 2 JUN18 CQG EL	56.16		2.14DR	
19OCT17	S 5 DEC18 CQG EL		55.87	5.35DR	
19OCT17	S 5 DEC18 CQG EL		55.85	5.35DR	
19OCT17	S 4 DEC18 CQG EL		55.86	4.28DR	
19OCT17	S 3 DEC18 CQG EL		55.80	3.21DR	
19OCT17	B 3 DEC18 CQG EL	55.45		3.21DR	
19OCT17	B 6 DEC18 CQG EL	55.49		6.42DR	
19OCT17	B 3 DEC18 CQG EL	55.48		3.21DR	
19OCT17	B 3 DEC18 CQG EL	55.46		3.21DR	
19OCT17	B 4 DEC18 CQG EL	55.44		4.28DR	
19OCT17	B 5 DEC18 CQG EL	55.38		5.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

19th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	B 8 DEC18 CQG EL	55.47		8.56DR	
19OCT17	B 13 DEC18 CQG EL	55.57		13.91DR	
19OCT17	B 2 DEC18 CQG EL	55.52		2.14DR	
19OCT17	B 1 DEC18 CQG EL	55.53		1.07DR	
19OCT17	B 4 DEC18 CQG EL	55.56		4.28DR	
19OCT17	B 6 DEC18 CQG EL	55.51		6.42DR	
19OCT17	S 2 DEC18 CQG EL		55.36	2.14DR	
19OCT17	S 2 DEC18 CQG EL		55.42	2.14DR	
19OCT17	S 1 DEC18 CQG EL		55.43	1.07DR	
19OCT17	S 2 DEC18 CQG EL		55.41	2.14DR	
19OCT17	S 2 DEC18 CQG EL		55.39	2.14DR	
19OCT17	B 4 JUN19 CQG EL	55.22		4.28DR	
19OCT17	B 1 JUN19 CQG EL	55.23		1.07DR	
19OCT17	B 3 JUN19 CQG EL	55.16		3.21DR	
19OCT17	S 5 JUN19 CQG EL		54.91	5.35DR	
19OCT17	S 3 JUN19 CQG EL		54.89	3.21DR	
19OCT17	S 5 JUN19 CQG EL		54.80	5.35DR	
19OCT17	S 6 JUN19 CQG EL		54.92	6.42DR	
19OCT17	S 3 JUN19 CQG EL		55.04	3.21DR	
19OCT17	S 2 JUN19 CQG EL		55.01	2.14DR	
19OCT17	S 1 JUN19 CQG EL		55.00	1.07DR	
19OCT17	S 7 JUN19 CQG EL		55.05	7.49DR	
19OCT17	S 4 JUN19 CQG EL		55.03	4.28DR	
19OCT17	B 2 DEC19 CQG EL	54.79		2.14DR	
19OCT17	S 1 DEC19 CQG EL		54.75	1.07DR	
19OCT17	S 2 DEC19 CQG EL		54.50	2.14DR	
19OCT17	B 1 DEC19 CQG EL	54.67		1.07DR	
19OCT17	S 2 DEC19 CQG EL		54.67	2.14DR	
19OCT17	B 3 DEC19 CQG EL	54.70		3.21DR	
19OCT17	B 1 DEC19 CQG EL	54.48		1.07DR	
19OCT17	B 1 DEC19 CQG EL	54.59		1.07DR	
19OCT17	B 3 DEC19 CQG EL	54.57		3.21DR	
19OCT17	B 2 DEC19 CQG EL	54.53		2.14DR	
	Commission				275.08DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	1 JUN18	51.55	51.91		360.00CR
19OCT17	1 JUN18	51.55	51.99		440.00CR
19OCT17	1 JUN18	51.55	51.82		270.00CR
19OCT17	1 JUN18	52.04	51.82		220.00DR
19OCT17	2 DEC18	51.29	51.26		60.00DR
19OCT17	1 DEC18	51.30	51.27		30.00DR
19OCT17	2 DEC18	51.38	51.19		380.00DR
19OCT17	1 DEC18	51.38	51.20		180.00DR
19OCT17	2 DEC18	51.23	51.20		60.00DR
19OCT17	1 DEC18	51.23	51.20		30.00DR
19OCT17	1 DEC18	51.22	51.20		20.00DR
19OCT17	1 DEC18	51.22	51.20		20.00DR
19OCT17	1 DEC18	51.21	51.56		350.00CR
19OCT17	1 JUN19	50.72	50.65		70.00DR
19OCT17	1 JUN19	50.72	50.66		60.00DR
19OCT17	1 JUN19	50.72	50.66		60.00DR
19OCT17	2 JUN19	50.83	50.75		160.00DR
19OCT17	1 JUN19	50.83	50.75		80.00DR
19OCT17	1 JUN19	50.66	50.63		30.00DR
19OCT17	1 JUN19	50.66	50.64		20.00DR
19OCT17	1 JUN19	50.68	50.63		50.00DR
19OCT17	2 JUN19	50.68	50.61		140.00DR
19OCT17	1 JUN19	50.72	50.62		100.00DR
19OCT17	1 DEC19	50.24	50.30		60.00CR
19OCT17	1 DEC19	50.33	50.32		10.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 DEC19	50.25	50.32		70.00CR
19OCT17	1 DEC19	50.22	50.31		90.00CR
	CME Lean Hog 400 CME				
19OCT17	1 JUN18	80.4750	79.7500		290.00DR
19OCT17	1 JUL18	79.9750	80.7750		320.00CR
19OCT17	1 JUL18	79.9750	80.7750		320.00CR
19OCT17	1 AUG18	80.0000	79.2750		290.00DR
	IEU Brent Crude 1,000 IPE				
19OCT17	1 JUN18	56.71	56.24		470.00DR
19OCT17	1 JUN18	56.71	56.24		470.00DR
19OCT17	1 JUN18	56.71	56.24		470.00DR
19OCT17	1 JUN18	56.70	56.28		420.00DR
19OCT17	1 JUN18	56.68	56.28		400.00DR
19OCT17	1 JUN18	56.68	56.29		390.00DR
19OCT17	1 JUN18	56.68	56.28		400.00DR
19OCT17	1 JUN18	56.63	56.26		370.00DR
19OCT17	1 JUN18	56.16	56.26		100.00CR
19OCT17	2 JUN18	56.17	56.26		180.00CR
19OCT17	1 JUN18	56.18	56.26		80.00CR
19OCT17	1 JUN18	56.16	56.27		110.00CR
19OCT17	1 JUN18	56.73	56.27		460.00DR
19OCT17	1 JUN18	56.73	56.36		370.00DR
19OCT17	1 JUN18	56.73	56.36		370.00DR
19OCT17	1 JUN18	56.73	56.35		380.00DR
19OCT17	1 JUN18	56.73	56.35		380.00DR
19OCT17	1 JUN18	56.83	56.35		480.00DR
19OCT17	1 JUN18	56.82	56.35		470.00DR
19OCT17	1 DEC18	55.46	55.87		410.00CR
19OCT17	1 DEC18	55.46	55.87		410.00CR
19OCT17	1 DEC18	55.45	55.86		410.00CR
19OCT17	1 DEC18	55.45	55.87		420.00CR
19OCT17	1 DEC18	55.45	55.86		410.00CR
19OCT17	1 DEC18	55.49	55.87		380.00CR
19OCT17	1 DEC18	55.49	55.86		370.00CR
19OCT17	1 DEC18	55.48	55.87		390.00CR
19OCT17	1 DEC18	55.48	55.85		370.00CR
19OCT17	2 DEC18	55.49	55.85		720.00CR
19OCT17	1 DEC18	55.49	55.85		360.00CR
19OCT17	1 DEC18	55.49	55.85		360.00CR
19OCT17	1 DEC18	55.48	55.86		380.00CR
19OCT17	1 DEC18	55.46	55.80		340.00CR
19OCT17	1 DEC18	55.47	55.80		330.00CR
19OCT17	1 DEC18	55.47	55.80		330.00CR
19OCT17	1 DEC18	55.44	55.36		80.00DR
19OCT17	1 DEC18	55.44	55.36		80.00DR
19OCT17	2 DEC18	55.44	55.42		40.00DR
19OCT17	1 DEC18	55.38	55.43		50.00CR
19OCT17	1 DEC18	55.38	55.41		30.00CR
19OCT17	1 DEC18	55.38	55.41		30.00CR
19OCT17	1 DEC18	55.38	55.39		10.00CR
19OCT17	1 DEC18	55.38	55.39		10.00CR
19OCT17	1 DEC18	55.47	55.88		410.00CR
19OCT17	2 DEC18	55.47	55.85		760.00CR
19OCT17	3 DEC18	55.47	55.85		1,140.00CR
19OCT17	1 DEC18	55.56	55.85		290.00CR
19OCT17	1 DEC18	55.56	55.85		290.00CR
19OCT17	1 DEC18	55.57	55.85		280.00CR
19OCT17	1 DEC18	55.57	55.85		280.00CR
19OCT17	1 DEC18	55.57	55.86		290.00CR
19OCT17	1 DEC18	55.57	55.86		290.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.91		340.00CR
19OCT17	2 DEC18	55.57	55.91		680.00CR
19OCT17	1 JUN19	55.22	54.91		310.00DR

Group No: SAPG0119

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

19th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 JUN19	55.22	54.91		310.00DR
19OCT17	1 JUN19	55.22	54.91		310.00DR
19OCT17	1 JUN19	55.22	54.91		310.00DR
19OCT17	1 JUN19	55.23	54.91		320.00DR
19OCT17	1 JUN19	55.16	54.89		270.00DR
19OCT17	1 JUN19	55.16	54.89		270.00DR
19OCT17	1 JUN19	55.16	54.89		270.00DR
19OCT17	1 JUN19	55.22	54.80		420.00DR
19OCT17	2 JUN19	55.23	54.80		860.00DR
19OCT17	2 JUN19	55.20	54.80		800.00DR
19OCT17	1 JUN19	55.20	54.92		280.00DR
19OCT17	1 JUN19	55.20	54.92		280.00DR
19OCT17	1 JUN19	55.20	54.92		280.00DR
19OCT17	1 JUN19	55.24	54.92		320.00DR
19OCT17	1 JUN19	55.25	54.92		330.00DR
19OCT17	1 JUN19	55.25	54.92		330.00DR
19OCT17	1 JUN19	55.23	55.03		200.00DR
19OCT17	2 JUN19	55.23	55.03		400.00DR
19OCT17	1 DEC19	54.79	54.75		40.00DR
19OCT17	1 DEC19	54.79	54.50		290.00DR
19OCT17	1 DEC19	54.53	54.50		30.00DR
19OCT17	1 DEC19	54.57	54.67		100.00CR
19OCT17	1 DEC19	54.57	54.67		100.00CR
19OCT17	1 DEC19	54.70	54.59		110.00DR
19OCT17	1 DEC19	54.70	54.36		340.00DR
19OCT17	1 DEC19	54.67	54.15		520.00DR
TOTALS					
BROUGHT FORWARD BALANCE					195,217.36DR
SETTLEMENTS					
IEU Brent Crude Futures					1,380.00DR
NYM Light Sweet Crude Oil Futures					140.00DR
CME Lean Hog Futures					60.00CR
COMMISSIONS					275.08DR
CARRIED FORWARD BALANCE					196,952.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119M 19th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 DEC18 CQG II	112.0000		1.02DR	
19OCT17	S 1 FEB19 CQG II		112.2500	1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	S 1 MAR18 CQG II		147.6500	1.02DR	
19OCT17	B 2 APR18 CQG II	147.1750		2.04DR	
19OCT17	S 1 MAY18 CQG II		146.3000	1.02DR	
	CBT Oats 5,000 CBT				
19OCT17	S 1 MAR18 CQG II		2.72000	1.27DR	
19OCT17	B 1 MAY18 CQG II	2.74000		1.27DR	
	Commission				8.66DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
19OCT17	1 DEC18	112.0000	114.0000		800.00CR
19OCT17	1 FEB19	114.7500	112.2500		1,000.00DR
	CME Feeder Cattle 500 CME				
19OCT17	1 MAR18	150.0250	147.6500		1,187.50DR
19OCT17	1 APR18	147.1750	151.3750		2,100.00CR
19OCT17	1 APR18	147.1750	148.9750		900.00CR
19OCT17	1 MAY18	148.3250	146.3000		1,012.50DR
	CBT Oats 5,000 CBT				
19OCT17	1 MAR18	2.64500	2.72000		375.00CR
19OCT17	1 MAY18	2.74000	2.69000		250.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,822.24DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				800.00CR
	CME Cattle Futures				200.00DR
	CBT Oats Futures				125.00CR
	COMMISSIONS				8.66DR
	CARRIED FORWARD BALANCE				1,105.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119N

19th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 DEC17 CQG II 116.2500			1.02DR	
19OCT17	S 2 FEB18 CQG II 120.5000			2.04DR	
19OCT17	B 1 APR18 CQG II 121.0500			1.02DR	
19OCT17	B 1 APR18 CQG II 121.1250			1.02DR	
19OCT17	S 1 JUN18 CQG II 114.1000			1.02DR	
	CME Feeder Cattle 500 CME				
19OCT17	S 1 NOV17 CQG II 151.6250			1.02DR	
19OCT17	S 2 NOV17 CQG II 151.9500			2.04DR	
19OCT17	B 1 JAN18 CQG II 149.9750			1.02DR	
19OCT17	B 1 JAN18 CQG II 150.0750			1.02DR	
19OCT17	B 4 JAN18 CQG II 150.3000			4.08DR	
19OCT17	S 1 MAR18 CQG II 147.0250			1.02DR	
19OCT17	S 2 MAR18 CQG II 147.2000			2.04DR	
	CME Lean Hog 400 CME				
19OCT17	S 1 APR18 CQG II 72.3000			1.02DR	
19OCT17	S 1 APR18 CQG II 72.4750			1.02DR	
19OCT17	S 1 MAY18 CQG II 77.0000			1.02DR	
19OCT17	B 1 JUN18 CQG II 80.4250			1.02DR	
19OCT17	B 1 JUN18 CQG II 80.2000			1.02DR	
19OCT17	S 1 JUN18 CQG II 79.8750			1.02DR	
19OCT17	B 1 JUN18 CQG II 80.2500			1.02DR	
19OCT17	S 1 JUN18 CQG II 80.1500			1.02DR	
19OCT17	B 2 JUL18 CQG II 80.0000			2.04DR	
19OCT17	B 1 JUL18 CQG II 80.2750			1.02DR	
19OCT17	S 1 AUG18 CQG II 79.3000			1.02DR	
	ICE Frozen Concentrated OJ 150 FIN				
19OCT17	S 1 NOV17 CQG EL 153.15			2.34DR	
19OCT17	B 1 JAN18 CQG EL 152.80			2.34DR	
	Commission				35.28DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
19OCT17	1 DEC17 116.2500 116.3750				50.00CR
19OCT17	1 FEB18 120.3000 120.5000				80.00CR
19OCT17	1 FEB18 120.6000 120.5000				40.00DR
19OCT17	1 APR18 121.0500 121.7750				290.00CR
19OCT17	1 APR18 121.1250 121.3000				70.00CR
19OCT17	1 JUN18 115.0000 114.1000				360.00DR
	CME Feeder Cattle 500 CME				
19OCT17	1 NOV17 153.8250 151.6250				1,100.00DR
19OCT17	1 NOV17 154.7750 151.9500				1,412.50DR
19OCT17	1 NOV17 152.2500 151.9500				150.00DR
19OCT17	1 JAN18 149.9750 151.7000				862.50CR
19OCT17	1 JAN18 150.0750 151.7000				812.50CR
19OCT17	1 JAN18 150.3000 152.7750				1,237.50CR
19OCT17	1 JAN18 150.3000 152.7750				1,237.50CR
19OCT17	1 JAN18 150.3000 150.2000				50.00DR
19OCT17	1 JAN18 150.3000 150.1750				62.50DR
19OCT17	1 MAR18 149.0000 147.0250				987.50DR
19OCT17	1 MAR18 150.0000 147.2000				1,400.00DR
19OCT17	1 MAR18 147.9250 147.2000				362.50DR
	CME Lean Hog 400 CME				
19OCT17	1 MAY18 77.2500 77.0000				100.00DR
19OCT17	1 JUN18 80.4250 79.8750				220.00DR
19OCT17	1 JUN18 80.2000 80.1500				20.00DR
19OCT17	1 JUL18 80.0000 80.5500				220.00CR
19OCT17	1 JUL18 80.0000 80.5500				220.00CR
19OCT17	1 AUG18 79.9750 79.3000				270.00DR

Group No: SAPG0119

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	ICE Frozen Concentrated OJ 150 FIN				
19OCT17	1 NOV17	157.30	153.15		622.50DR
19OCT17	1 JAN18	152.80	156.85		607.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				343.12CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,375.00DR
	CME Cattle Futures				90.00CR
	ICE Frozen Concentrated OJ Futures				15.00DR
	CME Lean Hog Futures				170.00DR
	COMMISSIONS				35.28DR
	CARRIED FORWARD BALANCE				1,162.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119P

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
19OCT17	B 1 DEC17 CQG II	64.1750		1.02DR	
19OCT17	S 1 FEB18 CQG II		68.2750	1.02DR	
	Commission				2.04DR
	<u>SETTLEMENTS</u>				
	CME Lean Hog 400 CME				
19OCT17	1 DEC17	64.1750	63.6250		220.00DR
19OCT17	1 FEB18	67.9500	68.2750		130.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,995.80DR
	SETTLEMENTS				
	CME Lean Hog Futures				90.00DR
	COMMISSIONS				2.04DR
	CARRIED FORWARD BALANCE				3,087.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119Q
19th October 2017
COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
19OCT17	B 1 DEC17 CQG II	115.7750		1.02DR	
19OCT17	S 1 FEB18 CQG II		120.1750	1.02DR	
19OCT17	S 1 FEB18 CQG II		120.2000	1.02DR	
19OCT17	B 1 APR18 CQG II	120.7250		1.02DR	
	Commission				4.08DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,984.86CR
	COMMISSIONS				4.08DR
	CARRIED FORWARD BALANCE				1,980.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Feeder Cattle 500 CME				
19OCT17	B 1 NOV17 CQG II 151.5250			1.02DR	
19OCT17	S 1 JAN18 CQG II 149.9500		149.9500	1.02DR	
19OCT17	S 1 JAN18 CQG II 149.9000		149.9000	1.02DR	
19OCT17	B 2 MAR18 CQG II 147.1500			2.04DR	
19OCT17	S 1 MAR18 CQG II 147.5750		147.5750	1.02DR	
19OCT17	S 1 MAR18 CQG II 147.0250		147.0250	1.02DR	
19OCT17	S 1 APR18 CQG II 146.8000		146.8000	1.02DR	
19OCT17	B 2 APR18 CQG II 147.0750			2.04DR	
19OCT17	B 1 APR18 CQG II 146.5500			1.02DR	
19OCT17	B 1 APR18 CQG II 146.5250			1.02DR	
19OCT17	S 1 MAY18 CQG II 146.2250		146.2250	1.02DR	
19OCT17	S 1 MAY18 CQG II 145.7000		145.7000	1.02DR	
	IEU Gas Oil 100 IPE				
19OCT17	B 5 APR18 CQG EL 517.250			5.35DR	
19OCT17	S 5 MAY18 CQG EL 515.750		515.750	5.35DR	
19OCT17	B 5 JUN18 CQG EL 513.000			5.35DR	
19OCT17	S 5 JUL18 CQG EL 512.750		512.750	5.35DR	
19OCT17	B 5 SEP18 CQG EL 512.500			5.35DR	
19OCT17	S 5 OCT18 CQG EL 512.500		512.500	5.35DR	
	CME Lean Hog 400 CME				
19OCT17	S 1 JUN18 CQG II 79.8000		79.8000	1.02DR	
19OCT17	B 1 JUL18 CQG II 80.0500			1.02DR	
19OCT17	B 1 JUL18 CQG II 80.0250			1.02DR	
19OCT17	S 1 AUG18 CQG II 79.3250		79.3250	1.02DR	
	IEU Brent Crude 1,000 IPE				
19OCT17	B 4 FEB18 CQG EL 56.84			4.28DR	
19OCT17	S 8 APR18 CQG EL 56.59		56.59	8.56DR	
19OCT17	B 4 JUN18 CQG EL 56.34			4.28DR	
	Commission				67.58DR
	<u>SETTLEMENTS</u>				
	CME Feeder Cattle 500 CME				
19OCT17	1 MAR18 147.1500	147.1500	147.5750		212.50CR
19OCT17	1 MAR18 147.1500	147.1500	147.0250		62.50DR
19OCT17	1 APR18 147.0750	147.0750	146.8000		137.50DR
	IEU Gas Oil 100 IPE				
19OCT17	1 APR18 517.250	517.250	524.500		725.00CR
19OCT17	1 APR18 517.250	517.250	524.500		725.00CR
19OCT17	2 APR18 517.250	517.250	524.500		1,450.00CR
19OCT17	1 APR18 517.250	517.250	524.500		725.00CR
19OCT17	1 MAY18 522.500	522.500	515.750		675.00DR
19OCT17	1 MAY18 522.500	522.500	515.750		675.00DR
19OCT17	2 MAY18 522.500	522.500	515.750		1,350.00DR
19OCT17	1 MAY18 522.500	522.500	515.750		675.00DR
19OCT17	5 JUN18 513.000	513.000	518.000		2,500.00CR
19OCT17	5 JUL18 519.000	519.000	512.750		3,125.00DR
	CME Lean Hog 400 CME				
19OCT17	1 JUN18 79.4750	79.4750	79.8000		130.00CR
19OCT17	1 JUL18 80.0500	80.0500	80.0500		0.00
19OCT17	1 JUL18 80.0250	80.0250	80.0500		10.00CR
	IEU Brent Crude 1,000 IPE				
19OCT17	2 FEB18 56.84	56.84	57.52		1,360.00CR
19OCT17	1 FEB18 56.84	56.84	57.51		670.00CR
19OCT17	1 FEB18 56.84	56.84	57.52		680.00CR
19OCT17	1 APR18 57.18	57.18	56.59		590.00DR
19OCT17	1 APR18 57.18	57.18	56.59		590.00DR
19OCT17	2 APR18 57.18	57.18	56.59		1,180.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119R

19th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	1 APR18	57.14	56.59		550.00DR
19OCT17	1 APR18	57.14	56.59		550.00DR
19OCT17	2 APR18	56.75	56.59		320.00DR
19OCT17	1 JUN18	56.34	56.94		600.00CR
19OCT17	1 JUN18	56.34	56.94		600.00CR
19OCT17	2 JUN18	56.34	56.53		380.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,201.95DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				12.50CR
	IEU Brent Crude Futures				510.00CR
	IEU Gas Oil Futures				375.00DR
	CME Lean Hog Futures				140.00CR
	COMMISSIONS				67.58DR
	CARRIED FORWARD BALANCE				2,982.03DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
19OCT17	S 1 JUN18 CQG II		51.99	1.03DR	
19OCT17	S 1 JUN18 CQG II		51.90	1.03DR	
19OCT17	S 3 JUN18 CQG II		51.93	3.09DR	
19OCT17	S 1 JUN18 CQG II		51.91	1.03DR	
19OCT17	B 3 DEC18 CQG II	51.38		3.09DR	
19OCT17	B 2 DEC18 CQG II	51.27		2.06DR	
19OCT17	B 5 DEC18 CQG II	51.28		5.15DR	
19OCT17	B 1 DEC18 CQG II	51.26		1.03DR	
19OCT17	B 1 DEC18 CQG II	51.31		1.03DR	
19OCT17	S 3 JUN19 CQG II		50.75	3.09DR	
19OCT17	B 1 DEC19 CQG II	50.33		1.03DR	
19OCT17	S 2 DEC19 CQG II		50.32	2.06DR	
19OCT17	S 2 DEC19 CQG II		50.31	2.06DR	
	CME Lean Hog 400 CME				
19OCT17	B 1 JUN18 CQG II	80.3000		1.02DR	
19OCT17	S 1 JUN18 CQG II		79.7750	1.02DR	
19OCT17	S 2 JUL18 CQG II		80.6500	2.04DR	
19OCT17	B 1 JUL18 CQG II	80.0000		1.02DR	
19OCT17	B 1 JUL18 CQG II	80.0250		1.02DR	
19OCT17	B 1 AUG18 CQG II	79.9500		1.02DR	
19OCT17	S 1 AUG18 CQG II		79.3250	1.02DR	
	IEU Brent Crude 1,000 IPE				
19OCT17	B 7 JUN18 CQG EL	56.68		7.49DR	
19OCT17	B 1 JUN18 CQG EL	56.43		1.07DR	
19OCT17	S 2 JUN18 CQG EL		56.10	2.14DR	
19OCT17	S 2 JUN18 CQG EL		56.17	2.14DR	
19OCT17	S 3 JUN18 CQG EL		56.24	3.21DR	
19OCT17	S 1 JUN18 CQG EL		56.42	1.07DR	
19OCT17	S 3 JUN18 CQG EL		56.26	3.21DR	
19OCT17	S 1 JUN18 CQG EL		56.25	1.07DR	
19OCT17	S 2 JUN18 CQG EL		56.27	2.14DR	
19OCT17	S 1 JUN18 CQG EL		56.39	1.07DR	
19OCT17	S 4 JUN18 CQG EL		56.35	4.28DR	
19OCT17	S 1 JUN18 CQG EL		56.30	1.07DR	
19OCT17	S 1 JUN18 CQG EL		56.34	1.07DR	
19OCT17	S 3 JUN18 CQG EL		56.29	3.21DR	
19OCT17	B 1 JUN18 CQG EL	56.16		1.07DR	
19OCT17	B 3 JUN18 CQG EL	56.19		3.21DR	
19OCT17	B 1 JUN18 CQG EL	56.18		1.07DR	
19OCT17	S 7 DEC18 CQG EL		55.86	7.49DR	
19OCT17	S 7 DEC18 CQG EL		55.85	7.49DR	
19OCT17	S 2 DEC18 CQG EL		55.63	2.14DR	
19OCT17	S 1 DEC18 CQG EL		55.64	1.07DR	
19OCT17	B 7 DEC18 CQG EL	55.32		7.49DR	
19OCT17	B 2 DEC18 CQG EL	55.42		2.14DR	
19OCT17	B 2 DEC18 CQG EL	55.46		2.14DR	
19OCT17	B 3 DEC18 CQG EL	55.45		3.21DR	
19OCT17	B 1 DEC18 CQG EL	55.62		1.07DR	
19OCT17	B 2 DEC18 CQG EL	55.63		2.14DR	
19OCT17	B 3 DEC18 CQG EL	55.38		3.21DR	
19OCT17	B 4 DEC18 CQG EL	55.44		4.28DR	
19OCT17	B 2 DEC18 CQG EL	55.43		2.14DR	
19OCT17	B 6 DEC18 CQG EL	55.47		6.42DR	
19OCT17	B 2 DEC18 CQG EL	55.60		2.14DR	
19OCT17	B 5 DEC18 CQG EL	55.57		5.35DR	
19OCT17	B 2 DEC18 CQG EL	55.52		2.14DR	
19OCT17	B 1 DEC18 CQG EL	55.53		1.07DR	
19OCT17	B 8 DEC18 CQG EL	55.56		8.56DR	
19OCT17	B 5 DEC18 CQG EL	55.51		5.35DR	
19OCT17	S 2 DEC18 CQG EL		55.37	2.14DR	
19OCT17	S 3 DEC18 CQG EL		55.44	3.21DR	
19OCT17	S 2 DEC18 CQG EL		55.43	2.14DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
19OCT17	S	2	DEC18	CQG	EL		55.41	2.14DR	
19OCT17	B	5	JUN19	CQG	EL	55.21		5.35DR	
19OCT17	B	2	JUN19	CQG	EL	55.00		2.14DR	
19OCT17	B	1	JUN19	CQG	EL	55.03		1.07DR	
19OCT17	S	5	JUN19	CQG	EL		54.74	5.35DR	
19OCT17	S	3	JUN19	CQG	EL		54.80	3.21DR	
19OCT17	S	2	JUN19	CQG	EL		54.84	2.14DR	
19OCT17	S	6	JUN19	CQG	EL		54.92	6.42DR	
19OCT17	S	1	JUN19	CQG	EL		55.07	1.07DR	
19OCT17	S	1	JUN19	CQG	EL		55.06	1.07DR	
19OCT17	S	6	JUN19	CQG	EL		55.04	6.42DR	
19OCT17	S	2	JUN19	CQG	EL		55.01	2.14DR	
19OCT17	S	1	JUN19	CQG	EL		55.00	1.07DR	
19OCT17	S	6	JUN19	CQG	EL		55.05	6.42DR	
19OCT17	B	2	DEC19	CQG	EL	54.80		2.14DR	
19OCT17	S	1	DEC19	CQG	EL		54.59	1.07DR	
19OCT17	S	2	DEC19	CQG	EL		54.50	2.14DR	
19OCT17	B	1	DEC19	CQG	EL	54.68		1.07DR	
19OCT17	B	1	DEC19	CQG	EL	54.73		1.07DR	
19OCT17	B	1	DEC19	CQG	EL	54.67		1.07DR	
19OCT17	B	1	DEC19	CQG	EL	54.72		1.07DR	
19OCT17	S	2	DEC19	CQG	EL		54.67	2.14DR	
19OCT17	B	1	DEC19	CQG	EL	54.49		1.07DR	
19OCT17	B	2	DEC19	CQG	EL	54.59		2.14DR	
19OCT17	B	3	DEC19	CQG	EL	54.57		3.21DR	
	Commission								223.26DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
19OCT17		1	JUN18			52.04	51.99		50.00DR
19OCT17		1	DEC18			51.38	51.56		180.00CR
19OCT17		1	DEC18			51.38	51.56		180.00CR
19OCT17		1	DEC18			51.38	51.56		180.00CR
19OCT17		1	JUN19			50.71	50.75		40.00CR
19OCT17		1	JUN19			50.71	50.75		40.00CR
19OCT17		1	JUN19			50.71	50.75		40.00CR
19OCT17		1	DEC19			50.33	50.31		20.00DR
	CME Lean Hog 400 CME								
19OCT17		1	JUN18			80.3000	79.7750		210.00DR
19OCT17		1	JUL18			80.0000	80.6500		260.00CR
19OCT17		1	JUL18			80.0250	80.6500		250.00CR
19OCT17		1	AUG18			79.9500	79.3250		250.00DR
	IEU Brent Crude 1,000 IPE								
19OCT17		1	JUN18			56.68	56.10		580.00DR
19OCT17		1	JUN18			56.68	56.10		580.00DR
19OCT17		2	JUN18			56.68	56.17		1,020.00DR
19OCT17		3	JUN18			56.68	56.24		1,320.00DR
19OCT17		1	JUN18			56.43	56.42		10.00DR
19OCT17		1	JUN18			56.16	56.26		100.00CR
19OCT17		2	JUN18			56.19	56.26		140.00CR
19OCT17		1	JUN18			56.19	56.25		60.00CR
19OCT17		1	JUN18			56.18	56.27		90.00CR
19OCT17		1	JUN18			56.73	56.27		460.00DR
19OCT17		1	JUN18			56.73	56.39		340.00DR
19OCT17		2	JUN18			56.73	56.35		760.00DR
19OCT17		1	JUN18			56.85	56.35		500.00DR
19OCT17		1	JUN18			56.83	56.35		480.00DR
19OCT17		1	JUN18			56.82	56.30		520.00DR
19OCT17		4	DEC18			55.32	55.86		2,160.00CR
19OCT17		2	DEC18			55.32	55.85		1,060.00CR
19OCT17		1	DEC18			55.32	55.85		530.00CR
19OCT17		2	DEC18			55.42	55.86		880.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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19OCT17	1 DEC18	55.46	55.86		400.00CR
19OCT17	1 DEC18	55.46	55.85		390.00CR
19OCT17	1 DEC18	55.45	55.85		400.00CR
19OCT17	2 DEC18	55.45	55.85		800.00CR
19OCT17	1 DEC18	55.62	55.63		10.00CR
19OCT17	1 DEC18	55.63	55.63		0.00
19OCT17	1 DEC18	55.63	55.64		10.00CR
19OCT17	1 DEC18	55.44	55.37		70.00DR
19OCT17	1 DEC18	55.44	55.37		70.00DR
19OCT17	1 DEC18	55.44	55.44		0.00
19OCT17	2 DEC18	55.38	55.44		120.00CR
19OCT17	1 DEC18	55.38	55.43		50.00CR
19OCT17	1 DEC18	55.44	55.43		10.00DR
19OCT17	1 DEC18	55.43	55.41		20.00DR
19OCT17	1 DEC18	55.43	55.41		20.00DR
19OCT17	2 DEC18	55.47	55.85		760.00CR
19OCT17	1 DEC18	55.47	55.85		380.00CR
19OCT17	1 DEC18	55.47	55.85		380.00CR
19OCT17	1 DEC18	55.47	55.85		380.00CR
19OCT17	1 DEC18	55.47	55.85		380.00CR
19OCT17	1 DEC18	55.57	55.85		280.00CR
19OCT17	1 DEC18	55.60	55.85		250.00CR
19OCT17	1 DEC18	55.60	55.85		250.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.57	55.93		360.00CR
19OCT17	1 DEC18	55.56	55.92		360.00CR
19OCT17	1 DEC18	55.56	55.92		360.00CR
19OCT17	3 DEC18	55.56	55.91		1,050.00CR
19OCT17	3 JUN19	55.21	54.74		1,410.00DR
19OCT17	2 JUN19	55.21	54.74		940.00DR
19OCT17	1 JUN19	55.00	55.05		50.00CR
19OCT17	1 JUN19	55.00	55.05		50.00CR
19OCT17	1 JUN19	55.03	55.05		20.00CR
19OCT17	3 JUN19	55.20	54.80		1,200.00DR
19OCT17	2 JUN19	55.20	54.84		720.00DR
19OCT17	2 JUN19	55.25	54.92		660.00DR
19OCT17	1 JUN19	55.25	54.92		330.00DR
19OCT17	1 JUN19	55.25	54.92		330.00DR
19OCT17	1 JUN19	55.24	54.92		320.00DR
19OCT17	1 JUN19	55.24	54.92		320.00DR
19OCT17	1 JUN19	55.22	55.04		180.00DR
19OCT17	1 JUN19	55.22	55.07		150.00DR
19OCT17	1 JUN19	55.22	55.06		160.00DR
19OCT17	1 DEC19	54.80	54.59		210.00DR
19OCT17	1 DEC19	54.80	54.50		300.00DR
19OCT17	1 DEC19	54.68	54.50		180.00DR
19OCT17	1 DEC19	54.57	54.67		100.00CR
19OCT17	1 DEC19	54.57	54.67		100.00CR
19OCT17	1 DEC19	54.73	54.61		120.00DR
19OCT17	1 DEC19	54.67	54.58		90.00DR
19OCT17	1 DEC19	54.72	54.14		580.00DR
TOTALS					
BROUGHT FORWARD BALANCE					111,692.16DR
SETTLEMENTS					
IEU Brent Crude Futures					1,170.00DR
NYM Light Sweet Crude Oil Futures					590.00CR
CME Lean Hog Futures					50.00CR
COMMISSIONS					223.26DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				112,445.4200

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0119

19th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		974,700.95CR
	SETTLEMENTS		
	CBT Oats Futures		125.00CR
	CME Cattle Futures		410.00DR
	CME Feeder Cattle Futures		4,675.00DR
	CME Lean Hog Futures		480.00CR
	CMX Copper Futures		29,787.50CR
	CMX Gold Futures		7,150.00CR
	CMX Silver Futures		31,850.00CR
	ICE Frozen Concentrated OJ Futures		15.00DR
	IEU Brent Crude Futures		1,790.00DR
	IEU Gas Oil Futures		75.00CR
	NYM Light Sweet Crude Oil Futures		450.00CR
	COMMISSIONS		1,334.17DR
	CARRIED FORWARD BALANCE		1,036,394.28CR