

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	7047.00		10.00DR	
18OCT17	B 3 18JAN18 DMA EL	7047.50		15.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 11 DEC17 II		320.150	25.85DR	
	Commission				50.85DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	6007.50	5993.00		362.50DR
18JUL17	1 18OCT17	6002.00	5993.00		225.00DR
18JUL17	1 18OCT17	6002.00	5987.00		375.00DR
18JUL17	1 18OCT17	6007.50	5987.00		512.50DR
18JUL17	1 18OCT17	6007.50	5987.00		512.50DR
09AUG17	1 18OCT17	6005.00	6449.00		11,100.00CR
09AUG17	1 18OCT17	6005.00	6449.00		11,100.00CR
09AUG17	1 18OCT17	6007.00	6449.00		11,050.00CR
09AUG17	1 18OCT17	6007.50	6449.00		11,037.50CR
09AUG17	1 18OCT17	6007.50	6449.00		11,037.50CR
09AUG17	1 18OCT17	6004.50	6449.00		11,112.50CR
09AUG17	1 18OCT17	6004.50	6449.00		11,112.50CR
09AUG17	2 18OCT17	6004.50	6449.00		22,225.00CR
09AUG17	1 18OCT17	6004.50	6449.00		11,112.50CR
09AUG17	1 18OCT17	6004.00	6449.00		11,125.00CR
09AUG17	1 18OCT17	6004.00	6449.00		11,125.00CR
09AUG17	1 18OCT17	6005.00	6449.00		11,100.00CR
09AUG17	1 18OCT17	6004.50	6449.00		11,112.50CR
09AUG17	1 18OCT17	6004.50	6449.00		11,112.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				188,402.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				164,475.00CR
	COMMISSIONS				50.85DR
	CARRIED FORWARD BALANCE				23,977.85DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 5 18JAN18 TTR EL	7012.00		25.00DR	
18OCT17	B 1 18JAN18 TTR EL	7037.00		5.00DR	
18OCT17	B 4 18JAN18 TTR EL	7037.50		20.00DR	
18OCT17	B 5 18JAN18 TTR EL	6995.00		25.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 4 DEC17 TTR II		318.550	9.40DR	
18OCT17	S 7 DEC17 TTR II		318.500	16.45DR	
18OCT17	S 11 DEC17 TTR II		319.650	25.85DR	
18OCT17	S 11 DEC17 TTR II		317.550	25.85DR	
	Commission				152.55DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				174,849.99DR
	COMMISSIONS				152.55DR
	CARRIED FORWARD BALANCE				175,002.54DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 7012.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7013.00			5.00DR	
18OCT17	B 3 18JAN18 DMA EL 7009.00			15.00DR	
18OCT17	B 2 18JAN18 DMA EL 7008.00			10.00DR	
18OCT17	B 3 18JAN18 DMA EL 7011.00			15.00DR	
18OCT17	B 2 18JAN18 DMA EL 7004.50			10.00DR	
18OCT17	B 3 18JAN18 DMA EL 6986.00			15.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 5 DEC17 II 318.600		318.600	11.75DR	
18OCT17	S 6 DEC17 II 318.400		318.400	14.10DR	
18OCT17	S 1 DEC17 II 318.550		318.550	2.35DR	
18OCT17	S 6 DEC17 II 318.350		318.350	14.10DR	
18OCT17	S 4 DEC17 II 318.500		318.500	9.40DR	
18OCT17	S 4 MAR18 II 321.150		321.150	9.40DR	
18OCT17	S 5 MAR18 II 320.150		320.150	11.75DR	
18OCT17	S 2 MAR18 II 319.250		319.250	4.70DR	
18OCT17	S 4 MAR18 II 319.300		319.300	9.40DR	
18OCT17	B 4 MAY18 II 322.350	322.350		9.40DR	
	Commission				171.35DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17 5980.00	5980.00	5991.00		275.00CR
18JUL17	1 18OCT17 5980.00	5980.00	5991.00		275.00CR
09AUG17	1 18OCT17 6006.00	6006.00	6449.00		11,075.00CR
09AUG17	1 18OCT17 6007.00	6007.00	6449.00		11,050.00CR
09AUG17	1 18OCT17 6008.00	6008.00	6449.00		11,025.00CR
09AUG17	1 18OCT17 6008.00	6008.00	6449.00		11,025.00CR
09AUG17	1 18OCT17 6004.00	6004.00	6449.00		11,125.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				96,748.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				55,850.00CR
	COMMISSIONS				171.35DR
	CARRIED FORWARD BALANCE				41,069.35DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 7022.50			5.00DR	
18OCT17	B 2 18JAN18 DMA EL 7025.00			10.00DR	
18OCT17	B 1 18JAN18 DMA EL 7019.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7022.00			5.00DR	
18OCT17	B 2 18JAN18 DMA EL 6988.50			10.00DR	
18OCT17	B 3 18JAN18 DMA EL 6988.00			15.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 2 DEC17 II 319.150			4.70DR	
18OCT17	S 2 DEC17 II 319.050			4.70DR	
18OCT17	S 2 DEC17 II 319.000			4.70DR	
18OCT17	S 2 DEC17 II 318.900			4.70DR	
18OCT17	S 3 DEC17 II 319.100			7.05DR	
18OCT17	S 1 DEC17 II 317.450			2.35DR	
18OCT17	S 2 DEC17 II 317.550			4.70DR	
18OCT17	S 8 DEC17 II 317.500			18.80DR	
	Commission				101.70DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17 5996.00 5991.00				125.00DR
18JUL17	1 18OCT17 6004.50 5991.00				337.50DR
18JUL17	1 18OCT17 5995.00 5991.50				87.50DR
18JUL17	1 18OCT17 5993.00 5991.50				37.50DR
10AUG17	1 18OCT17 5996.00 6416.25				10,506.25CR
10AUG17	1 18OCT17 6011.00 6416.25				10,131.25CR
10AUG17	1 18OCT17 6007.00 6416.25				10,231.25CR
10AUG17	1 18OCT17 6007.50 6416.25				10,218.75CR
10AUG17	1 18OCT17 6007.50 6416.25				10,218.75CR
10AUG17	1 18OCT17 6007.50 6416.25				10,218.75CR
10AUG17	1 18OCT17 6005.00 6416.25				10,281.25CR
10AUG17	4 18OCT17 6005.00 6416.25				41,125.00CR
10AUG17	1 18OCT17 6005.00 6416.25				10,281.25CR
10AUG17	1 18OCT17 6004.50 6416.25				10,293.75CR
10AUG17	1 18OCT17 6005.50 6416.25				10,268.75CR
10AUG17	1 18OCT17 6007.00 6416.25				10,231.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				73,892.25DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				153,418.75CR
	COMMISSIONS				101.70DR
	CARRIED FORWARD BALANCE				79,424.80CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 3 18JAN18 TTR EL	6989.50		15.00DR	
18OCT17	B 2 18JAN18 TTR EL	6988.50		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	6986.00		10.00DR	
18OCT17	B 1 18JAN18 DMA DD	6989.00		5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 9 DEC17 TTR II		317.500	21.15DR	
18OCT17	S 4 DEC17 TTR II		317.400	9.40DR	
18OCT17	S 3 DEC17 TTR II		317.550	7.05DR	
18OCT17	S 3 DEC17 TTR II		317.600	7.05DR	
18OCT17	B 1 DEC17 TTR II	317.600		2.35DR	
	Commission				87.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	317.600	317.500		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				136.29DR
	SETTLEMENTS				
	CMX Copper Futures				25.00DR
	COMMISSIONS				87.00DR
	CARRIED FORWARD BALANCE				248.29DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		695,297.97DR
	SETTLEMENTS		
	CMX Copper Futures		25.00DR
	LME Copper Grade A 25 tonnes. This Futures		373,743.75CR
	COMMISSIONS		563.45DR
	CARRIED FORWARD BALANCE		322,142.67DR

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SAP0025N

18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	4 18OCT17	6007.50	6416.25		40,875.00CR
10AUG17	1 18OCT17	6007.50	6416.25		10,218.75CR
10AUG17	5 18OCT17	6003.50	6416.25		51,593.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				405,528.45DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				102,687.50CR
	CARRIED FORWARD BALANCE				302,840.95DR

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SAP0025T

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
18OCT17	B	5	18JAN18	DMA	EL	7042.00		25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7065.00		25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7074.50		25.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7070.50	25.00DR	
18OCT17	B	1	18JAN18	DMA	EL	7069.50		5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	7070.50		5.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7063.50	25.00DR	
18OCT17	S	1	18JAN18	DMA	EL		7065.50	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		7069.50	5.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7011.00	25.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7011.50	25.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7033.50	25.00DR	
18OCT17	B	15	18JAN18	DMA	EL	7019.50		75.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7020.50		25.00DR	
18OCT17	B	2	18JAN18	DMA	EL	7015.00		10.00DR	
18OCT17	B	3	18JAN18	DMA	EL	7015.50		15.00DR	
18OCT17	B	10	18JAN18	DMA	EL	7036.00		50.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7038.00		25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7040.00		25.00DR	
18OCT17	B	10	18JAN18	DMA	EL	7041.50		50.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7017.50	25.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7025.00	25.00DR	
18OCT17	S	4	18JAN18	DMA	EL		7035.50	20.00DR	
18OCT17	S	11	18JAN18	DMA	EL		7036.00	55.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7048.00	25.00DR	
18OCT17	S	1	18JAN18	DMA	EL		7051.50	5.00DR	
18OCT17	S	6	18JAN18	DMA	EL		7052.00	30.00DR	
18OCT17	S	2	18JAN18	DMA	EL		7052.50	10.00DR	
18OCT17	S	1	18JAN18	DMA	EL		7053.00	5.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7049.00	25.00DR	
18OCT17	B	6	18JAN18	DMA	EL	6992.50		30.00DR	
18OCT17	B	9	18JAN18	DMA	EL	6993.00		45.00DR	
18OCT17	B	10	18JAN18	DMA	EL	6992.00		50.00DR	
18OCT17	B	5	18JAN18	DMA	EL	6999.50		25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	6988.00		25.00DR	
	CMX Copper 25,000 CMX								
18OCT17	S	11	DEC17		GX		319.900	25.52DR	
18OCT17	B	11	DEC17		GX	320.550		25.52DR	
18OCT17	S	19	DEC17		GX		320.800	44.08DR	
18OCT17	S	3	DEC17		GX		320.900	6.96DR	
18OCT17	S	11	DEC17		GX		321.400	25.52DR	
18OCT17	B	5	DEC17		GX	321.150		11.60DR	
18OCT17	B	4	DEC17		GX	321.050		9.28DR	
18OCT17	B	2	DEC17		GX	320.850		4.64DR	
18OCT17	B	11	DEC17		GX	320.750		25.52DR	
18OCT17	B	11	DEC17		GX	318.400		25.52DR	
18OCT17	B	11	DEC17		GX	318.350		25.52DR	
18OCT17	B	11	DEC17		GX	319.400		25.52DR	
18OCT17	S	11	DEC17		GX		318.950	25.52DR	
18OCT17	S	4	DEC17		GX		318.850	9.28DR	
18OCT17	S	28	DEC17		GX		318.900	64.96DR	
18OCT17	S	1	DEC17		GX		319.050	2.32DR	
18OCT17	S	11	DEC17		GX		318.700	25.52DR	
18OCT17	B	11	DEC17		GX	319.850		25.52DR	
18OCT17	B	22	DEC17		GX	319.750		51.04DR	
18OCT17	S	22	DEC17		GX		317.700	51.04DR	
18OCT17	S	1	DEC17		GX		317.750	2.32DR	
18OCT17	S	1	DEC17		GX		317.800	2.32DR	
18OCT17	S	11	DEC17		GX		318.000	25.52DR	
18OCT17	S	4	DEC17		GX		317.450	9.28DR	
18OCT17	S	5	DEC17		GX		317.500	11.60DR	

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18OCT17	S 1 DEC17 GX		317.550	2.32DR	
18OCT17	S 32 DEC17 GX		317.650	74.24DR	
	Commission				1,533.00DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
18OCT17	11 DEC17	320.550	319.900		1,787.50DR
18OCT17	5 DEC17	321.150	320.800		437.50DR
18OCT17	2 DEC17	321.050	320.800		125.00DR
18OCT17	2 DEC17	321.050	320.800		125.00DR
18OCT17	1 DEC17	320.850	320.800		12.50DR
18OCT17	1 DEC17	320.850	320.800		12.50DR
18OCT17	8 DEC17	320.750	320.800		100.00CR
18OCT17	3 DEC17	320.750	320.900		112.50CR
18OCT17	11 DEC17	318.400	321.400		8,250.00CR
18OCT17	11 DEC17	318.350	318.950		1,650.00CR
18OCT17	11 DEC17	319.400	318.900		1,375.00DR
18OCT17	11 DEC17	319.850	318.900		2,612.50DR
18OCT17	3 DEC17	319.750	318.900		637.50DR
18OCT17	4 DEC17	319.750	318.850		900.00DR
18OCT17	3 DEC17	319.750	318.900		637.50DR
18OCT17	1 DEC17	319.750	319.050		175.00DR
18OCT17	11 DEC17	319.750	318.700		2,887.50DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	2 18OCT17	6004.50	5989.50		750.00DR
18JUL17	1 18OCT17	6004.50	5989.50		375.00DR
18JUL17	1 18OCT17	6004.50	5989.50		375.00DR
18JUL17	1 18OCT17	6004.50	5989.50		375.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				579,121.72DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,875.00DR
	CMX Copper Futures				1,612.50DR
	COMMISSIONS				1,533.00DR
	CARRIED FORWARD BALANCE				584,142.22DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 5 18JAN18 DMA EL	7041.00		25.00DR	
18OCT17	B 2 18JAN18 DMA EL	7054.50		10.00DR	
18OCT17	B 3 18JAN18 DMA EL	7055.00		15.00DR	
18OCT17	B 4 18JAN18 DMA EL	7070.00		20.00DR	
18OCT17	B 1 18JAN18 DMA EL	7070.50		5.00DR	
18OCT17	B 1 18JAN18 DMA EL	7074.00		5.00DR	
18OCT17	B 4 18JAN18 DMA EL	7074.50		20.00DR	
18OCT17	S 10 18JAN18 DMA EL		7072.00	50.00DR	
18OCT17	S 5 18JAN18 DMA EL		7065.00	25.00DR	
18OCT17	B 5 18JAN18 DMA EL	7017.00		25.00DR	
18OCT17	B 5 18JAN18 DMA EL	6990.00		25.00DR	
18OCT17	B 1 18JAN18 DMA EL	6999.50		5.00DR	
18OCT17	B 4 18JAN18 DMA EL	7000.00		20.00DR	
18OCT17	B 5 18JAN18 DMA EL	6989.50		25.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 11 DEC17 GX		320.450	25.52DR	
18OCT17	S 3 DEC17 GX		319.950	6.96DR	
18OCT17	S 9 DEC17 GX		319.850	20.88DR	
18OCT17	B 1 DEC17 GX	319.850		2.32DR	
18OCT17	S 11 DEC17 GX		321.200	25.52DR	
18OCT17	S 11 DEC17 GX		321.400	25.52DR	
18OCT17	B 22 DEC17 GX	321.200		51.04DR	
18OCT17	B 11 DEC17 GX	320.850		25.52DR	
18OCT17	S 3 DEC17 GX		318.800	6.96DR	
18OCT17	S 8 DEC17 GX		318.750	18.56DR	
18OCT17	S 11 DEC17 GX		318.000	25.52DR	
18OCT17	S 22 DEC17 GX		317.550	51.04DR	
	Commission				560.36DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	319.850	320.450		150.00CR
18OCT17	10 DEC17	321.200	320.450		1,875.00DR
18OCT17	1 DEC17	321.200	319.950		312.50DR
18OCT17	1 DEC17	321.200	319.950		312.50DR
18OCT17	1 DEC17	321.200	319.850		337.50DR
18OCT17	1 DEC17	321.200	319.950		312.50DR
18OCT17	8 DEC17	321.200	319.850		2,700.00DR
18OCT17	1 DEC17	320.850	321.200		87.50CR
18OCT17	10 DEC17	320.850	321.200		875.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5998.50	5986.00		312.50DR
18JUL17	1 18OCT17	5998.50	5987.00		287.50DR
18JUL17	1 18OCT17	5998.50	5985.50		325.00DR
18JUL17	1 18OCT17	5998.50	5980.50		450.00DR
18JUL17	1 18OCT17	5999.00	5982.00		425.00DR
20JUL17	1 18OCT17	5960.70	5988.50		695.00CR
20JUL17	1 18OCT17	5960.70	5988.00		682.50CR
20JUL17	1 18OCT17	5960.70	5988.00		682.50CR
20JUL17	2 18OCT17	5960.70	5988.00		1,365.00CR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				431,162.18DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,625.00CR
	CMX Copper Futures				4,737.50DR
	COMMISSIONS				560.36DR
	CARRIED FORWARD BALANCE				434,835.04DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

18th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
18OCT17	B	3	18JAN18	DMA	EL	7039.00		15.00DR	
18OCT17	B	2	18JAN18	DMA	EL	7040.50		10.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7042.00		25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7065.00		25.00DR	
18OCT17	S	5	18JAN18	DMA	EL		7049.00	25.00DR	
18OCT17	B	5	18JAN18	DMA	EL	7028.00		25.00DR	
18OCT17	B	2	18JAN18	DMA	EL	6990.00		10.00DR	
18OCT17	B	3	18JAN18	DMA	EL	6994.00		15.00DR	
	CMX Copper 25,000 CMX								
18OCT17	S	11	DEC17		GX		319.850	25.52DR	
18OCT17	S	1	DEC17		GX		319.800	2.32DR	
18OCT17	S	10	DEC17		GX		319.700	23.20DR	
18OCT17	S	6	DEC17		GX		321.100	13.92DR	
18OCT17	S	5	DEC17		GX		321.150	11.60DR	
18OCT17	B	7	DEC17		GX	320.050		16.24DR	
18OCT17	B	4	DEC17		GX	320.100		9.28DR	
18OCT17	S	11	DEC17		GX		318.950	25.52DR	
18OCT17	B	11	DEC17		GX	319.000		25.52DR	
18OCT17	S	11	DEC17		GX		318.900	25.52DR	
18OCT17	B	11	DEC17		GX	318.100		25.52DR	
18OCT17	S	1	DEC17		GX		318.150	2.32DR	
18OCT17	S	10	DEC17		GX		318.100	23.20DR	
18OCT17	S	4	DEC17		GX		317.600	9.28DR	
18OCT17	S	7	DEC17		GX		317.750	16.24DR	
	Commission								405.20DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
18OCT17		7	DEC17			320.050	319.850		350.00DR
18OCT17		1	DEC17			320.100	319.850		62.50DR
18OCT17		1	DEC17			320.100	319.700		100.00DR
18OCT17		2	DEC17			320.100	319.850		125.00DR
18OCT17		1	DEC17			319.000	319.850		212.50CR
18OCT17		1	DEC17			319.000	319.800		200.00CR
18OCT17		9	DEC17			319.000	319.700		1,575.00CR
18OCT17		1	DEC17			318.100	321.150		762.50CR
18OCT17		2	DEC17			318.100	321.100		1,500.00CR
18OCT17		1	DEC17			318.100	321.150		762.50CR
18OCT17		3	DEC17			318.100	321.100		2,250.00CR
18OCT17		1	DEC17			318.100	321.150		762.50CR
18OCT17		1	DEC17			318.100	321.100		750.00CR
18OCT17		2	DEC17			318.100	321.150		1,525.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								496,404.68DR
	SETTLEMENTS								
	CMX Copper Futures								9,662.50CR
	COMMISSIONS								405.20DR
	CARRIED FORWARD BALANCE								487,147.38DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025W
18th October 2017
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 5 18JAN18 DMA EL 7041.50			25.00DR	
18OCT17	B 4 18JAN18 DMA EL 7000.00			20.00DR	
18OCT17	B 5 18JAN18 DMA EL 6994.50			25.00DR	
18OCT17	B 1 18JAN18 DMA EL 6995.00			5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 11 DEC17 GX 319.900			25.52DR	
18OCT17	S 4 DEC17 GX 318.000			9.28DR	
18OCT17	S 4 DEC17 GX 317.950			9.28DR	
18OCT17	S 14 DEC17 GX 317.750			32.48DR	
	Commission				151.56DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				313,087.90DR
	COMMISSIONS				151.56DR
	CARRIED FORWARD BALANCE				313,239.46DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPAB025

18th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,439,688.93DR
	SETTLEMENTS		
	CMX Copper Futures		3,312.50CR
	LME Copper Grade A 25 tonnes. This Futures		102,437.50CR
	COMMISSIONS		2,650.12DR
	CARRIED FORWARD BALANCE		3,336,589.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		7021.50	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	6994.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	6993.50		5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	B 2 DEC17 TTR GX	318.850		4.60DR	
18OCT17	S 4 DEC17 TTR GX		317.650	9.20DR	
	Commission				28.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	2 DEC17	318.850	317.650		600.00DR
18OCT17	2 DEC17	292.800	317.650		12,425.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5994.00	5997.50		87.50CR
18JUL17	1 18OCT17	5992.50	5988.00		112.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,601.46DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				25.00DR
	CMX Copper Futures				11,825.00CR
	COMMISSIONS				28.80DR
	CARRIED FORWARD BALANCE				51,830.26DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP038AA

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5998.50	5997.50		25.00DR
18JUL17	1 18OCT17	5992.50	5998.00		137.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				28,921.17DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				112.50CR
	CARRIED FORWARD BALANCE				28,808.67DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
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SAPAB038

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		92,607.63DR
	SETTLEMENTS		
	CMX Copper Futures		11,825.00CR
	LME Copper Grade A 25 tonnes. This Futures		87.50CR
	COMMISSIONS		28.80DR
	CARRIED FORWARD BALANCE		80,723.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089E
18th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29SEP17	1 180CT17	6001.00	6447.25		11,156.25CR
29SEP17	2 180CT17	6004.00	6447.25		22,162.50CR
29SEP17	1 180CT17	6006.50	6447.25		11,018.75CR
29SEP17	2 180CT17	6006.50	6447.25		22,037.50CR
29SEP17	4 180CT17	6007.00	6447.25		44,025.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				51,532.60DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				110,400.00CR
	CARRIED FORWARD BALANCE				58,867.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017

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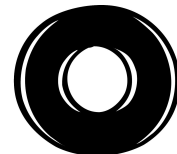
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	7030.00		10.00DR	
18OCT17	B 1 18JAN18 TTR EL	7031.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7037.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7025.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7021.00	5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 6 DEC17 TTR GX		319.300	13.50DR	
18OCT17	S 1 DEC17 TTR GX		319.150	2.25DR	
18OCT17	B 2 DEC17 TTR GX	319.600		4.50DR	
18OCT17	B 2 DEC17 TTR GX	319.100		4.50DR	
18OCT17	B 2 DEC17 TTR GX	319.000		4.50DR	
18OCT17	B 1 DEC17 TTR GX	319.550		2.25DR	
	Commission				61.50DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	2 DEC17	319.600	319.300		150.00DR
18OCT17	2 DEC17	319.100	319.300		100.00CR
18OCT17	2 DEC17	319.000	319.300		150.00CR
18OCT17	1 DEC17	319.550	319.150		100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				67,652.41DR
	COMMISSIONS				61.50DR
	CARRIED FORWARD BALANCE				67,713.91DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB089

18th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,028,464.78CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		110,400.00CR
	COMMISSIONS		61.50DR
	CARRIED FORWARD BALANCE		2,138,803.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT

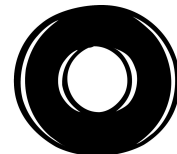


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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
05OCT17	2 18OCT17	5982.00	6667.75		34,287.50CR
05OCT17	1 18OCT17	5982.00	6667.75		17,143.75CR
05OCT17	1 18OCT17	5987.00	6667.75		17,018.75CR
05OCT17	1 18OCT17	5986.00	6667.75		17,043.75CR
05OCT17	1 18OCT17	5988.00	6667.75		16,993.75CR
05OCT17	2 18OCT17	5978.50	6667.75		34,462.50CR
05OCT17	1 18OCT17	5981.00	6667.75		17,168.75CR
05OCT17	1 18OCT17	5983.00	6667.75		17,118.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				118,897.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				171,237.50CR
	CARRIED FORWARD BALANCE				52,340.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		118,897.00DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		171,237.50CR
	CARRIED FORWARD BALANCE		52,340.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUL17	1 180CT17	6003.50	6329.80		8,157.50CR
26JUL17	1 180CT17	6002.50	6329.80		8,182.50CR
26JUL17	1 180CT17	6004.50	6329.80		8,132.50CR
26JUL17	1 180CT17	6004.00	6329.80		8,145.00CR
26JUL17	1 180CT17	6005.00	6329.80		8,120.00CR
26JUL17	1 180CT17	6004.00	6329.80		8,145.00CR
26JUL17	1 180CT17	6007.00	6329.80		8,070.00CR
26JUL17	1 180CT17	6007.00	6329.80		8,070.00CR
26JUL17	1 180CT17	6009.00	6329.80		8,020.00CR
26JUL17	1 180CT17	6008.00	6329.80		8,045.00CR
26JUL17	1 180CT17	6008.00	6329.80		8,045.00CR
26JUL17	1 180CT17	6006.00	6329.80		8,095.00CR
26JUL17	1 180CT17	6005.50	6329.80		8,107.50CR
26JUL17	1 180CT17	6006.00	6329.80		8,095.00CR
26JUL17	1 180CT17	6006.00	6329.80		8,095.00CR
26JUL17	1 180CT17	6012.00	6329.80		7,945.00CR
26JUL17	1 180CT17	6011.50	6329.80		7,957.50CR
26JUL17	1 180CT17	6013.50	6329.80		7,907.50CR
26JUL17	1 180CT17	6013.00	6329.80		7,920.00CR
26JUL17	1 180CT17	6014.00	6329.80		7,895.00CR
26JUL17	1 180CT17	6008.00	6329.80		8,045.00CR
26JUL17	1 180CT17	6007.00	6329.80		8,070.00CR
26JUL17	1 180CT17	6007.00	6329.80		8,070.00CR
26JUL17	1 180CT17	6006.50	6329.80		8,082.50CR
26JUL17	1 180CT17	6006.00	6329.80		8,095.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				553,406.40DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				201,512.50CR
	CARRIED FORWARD BALANCE				351,893.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097D

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>BUSINESS DONE TODAY</u> CMX Silver 5,000 CMX S 10 DEC17 Commission		1704.5	23.50DR	23.50DR
	<u>SETTLEMENTS</u> CMX Silver 5,000 CMX				
18OCT17	5 DEC17	1702.0	1704.5		625.00CR
18OCT17	5 DEC17	1702.0	1704.5		625.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,433.50CR
	SETTLEMENTS				
	CMX Silver Futures				1,250.00CR
	COMMISSIONS				23.50DR
	CARRIED FORWARD BALANCE				92,660.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	S 2 18JAN18 TTR EL		7011.50	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		7011.00	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		7012.00	4.65DR	
18OCT17	S 3 18JAN18 TTR EL		6999.00	13.95DR	
18OCT17	S 2 18JAN18 TTR EL		7000.00	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		7031.50	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		7029.50	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		7028.00	9.30DR	
18OCT17	S 3 18JAN18 TTR EL		7030.50	13.95DR	
18OCT17	S 1 18JAN18 TTR EL		7027.50	4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7030.50		4.65DR	
18OCT17	S 1 18JAN18 TTR EL		7025.50	4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7021.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7024.00		4.65DR	
18OCT17	S 1 18JAN18 TTR EL		7014.00	4.65DR	
18OCT17	S 4 18JAN18 TTR EL		7032.50	18.60DR	
18OCT17	S 2 18JAN18 TTR EL		7034.50	9.30DR	
18OCT17	B 4 18JAN18 TTR EL	7013.50		18.60DR	
18OCT17	B 1 18JAN18 TTR EL	7013.00		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7012.50		9.30DR	
18OCT17	B 4 18JAN18 TTR EL	7014.50		18.60DR	
18OCT17	B 6 18JAN18 TTR EL	7014.00		27.90DR	
18OCT17	B 2 18JAN18 TTR EL	7017.50		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		7013.00	4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7028.50		9.30DR	
18OCT17	B 3 18JAN18 TTR EL	7027.50		13.95DR	
18OCT17	S 1 18JAN18 TTR EL		7033.00	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		7034.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		7031.00	9.30DR	
18OCT17	S 4 18JAN18 TTR EL		7043.00	18.60DR	
18OCT17	S 1 18JAN18 TTR EL		7042.00	4.65DR	
18OCT17	S 4 18JAN18 TTR EL		7040.50	18.60DR	
18OCT17	S 1 18JAN18 TTR EL		7038.50	4.65DR	
18OCT17	S 6 18JAN18 TTR EL		7032.00	27.90DR	
18OCT17	S 1 18JAN18 TTR EL		7021.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		7022.00	4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7009.50		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	7007.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7006.00		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7010.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7009.00		9.30DR	
18OCT17	B 7 18JAN18 TTR EL	7008.00		32.55DR	
18OCT17	B 2 18JAN18 TTR EL	7004.00		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	7005.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7003.50		4.65DR	
18OCT17	S 1 18JAN18 TTR EL		6994.50	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	7013.50		4.65DR	
18OCT17	B 3 18JAN18 TTR EL	7004.50		13.95DR	
18OCT17	B 3 18JAN18 TTR EL	6992.50		13.95DR	
18OCT17	B 1 18JAN18 TTR EL	6993.50		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	6996.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	7004.50		4.65DR	
	CMX Copper 25,000 CMX				
18OCT17	B 6 DEC17 TTR GX	318.400		14.10DR	
18OCT17	B 5 DEC17 TTR GX	318.450		11.75DR	
18OCT17	B 4 DEC17 TTR GX	317.850		9.40DR	
18OCT17	B 4 DEC17 TTR GX	317.900		9.40DR	
18OCT17	B 3 DEC17 TTR GX	317.950		7.05DR	
18OCT17	B 4 DEC17 TTR GX	319.200		9.40DR	
18OCT17	B 9 DEC17 TTR GX	319.300		21.15DR	
18OCT17	B 2 DEC17 TTR GX	319.150		4.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	2	DEC17	TTR	GX		318.900	4.70DR	
18OCT17	S	2	DEC17	TTR	GX		319.050	4.70DR	
18OCT17	S	2	DEC17	TTR	GX		318.950	4.70DR	
18OCT17	B	2	DEC17	TTR	GX	318.950		4.70DR	
18OCT17	B	4	DEC17	TTR	GX	318.600		9.40DR	
18OCT17	S	7	DEC17	TTR	GX		318.550	16.45DR	
18OCT17	S	12	DEC17	TTR	GX		318.650	28.20DR	
18OCT17	S	20	DEC17	TTR	GX		318.600	47.00DR	
18OCT17	S	5	DEC17	TTR	GX		318.800	11.75DR	
18OCT17	S	6	DEC17	TTR	GX		319.250	14.10DR	
18OCT17	S	5	DEC17	TTR	GX		319.200	11.75DR	
18OCT17	B	6	DEC17	TTR	GX	319.450		14.10DR	
18OCT17	B	4	DEC17	TTR	GX	319.500		9.40DR	
18OCT17	B	3	DEC17	TTR	GX	319.900		7.05DR	
18OCT17	B	8	DEC17	TTR	GX	319.850		18.80DR	
18OCT17	B	2	DEC17	TTR	GX	319.800		4.70DR	
18OCT17	B	6	DEC17	TTR	GX	319.750		14.10DR	
18OCT17	B	3	DEC17	TTR	GX	319.650		7.05DR	
18OCT17	B	15	DEC17	TTR	GX	319.400		35.25DR	
18OCT17	B	15	DEC17	TTR	GX	319.350		35.25DR	
18OCT17	B	2	DEC17	TTR	GX	318.900		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	318.850		4.70DR	
18OCT17	S	2	DEC17	TTR	GX		318.300	4.70DR	
18OCT17	S	4	DEC17	TTR	GX		318.250	9.40DR	
18OCT17	S	2	DEC17	TTR	GX		318.450	4.70DR	
18OCT17	S	8	DEC17	TTR	GX		318.400	18.80DR	
18OCT17	S	16	DEC17	TTR	GX		318.350	37.60DR	
18OCT17	S	4	DEC17	TTR	GX		318.150	9.40DR	
18OCT17	S	12	DEC17	TTR	GX		318.200	28.20DR	
18OCT17	S	2	DEC17	TTR	GX		317.650	4.70DR	
18OCT17	S	6	DEC17	TTR	GX		317.700	14.10DR	
18OCT17	S	3	DEC17	TTR	GX		317.750	7.05DR	
	Commission								1,035.70DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
18OCT17		1	DEC17			318.400	318.900		125.00CR
18OCT17		1	DEC17			318.400	318.900		125.00CR
18OCT17		2	DEC17			318.400	319.050		325.00CR
18OCT17		2	DEC17			318.400	318.950		275.00CR
18OCT17		2	DEC17			318.450	318.600		75.00CR
18OCT17		1	DEC17			318.450	318.600		37.50CR
18OCT17		1	DEC17			318.450	318.650		50.00CR
18OCT17		1	DEC17			318.450	318.600		37.50CR
18OCT17		1	DEC17			317.850	318.650		200.00CR
18OCT17		3	DEC17			317.850	318.600		562.50CR
18OCT17		2	DEC17			317.900	318.600		350.00CR
18OCT17		1	DEC17			317.900	318.600		175.00CR
18OCT17		1	DEC17			317.950	318.600		162.50CR
18OCT17		1	DEC17			317.900	318.650		187.50CR
18OCT17		2	DEC17			317.950	318.650		350.00CR
18OCT17		1	DEC17			319.300	318.650		162.50DR
18OCT17		2	DEC17			319.350	318.600		375.00DR
18OCT17		1	DEC17			319.300	318.650		162.50DR
18OCT17		1	DEC17			319.200	318.650		137.50DR
18OCT17		1	DEC17			319.200	318.600		150.00DR
18OCT17		2	DEC17			319.300	318.600		350.00DR
18OCT17		2	DEC17			319.400	318.600		400.00DR
18OCT17		1	DEC17			319.300	318.550		187.50DR
18OCT17		1	DEC17			319.400	318.550		212.50DR
18OCT17		2	DEC17			319.400	318.550		425.00DR
18OCT17		1	DEC17			319.200	318.550		162.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	319.300	318.550		187.50DR
18OCT17	1 DEC17	319.200	318.550		162.50DR
18OCT17	1 DEC17	319.300	318.650		162.50DR
18OCT17	1 DEC17	319.300	318.650		162.50DR
18OCT17	1 DEC17	319.300	318.650		162.50DR
18OCT17	1 DEC17	319.150	318.650		125.00DR
18OCT17	1 DEC17	319.150	318.600		137.50DR
18OCT17	1 DEC17	318.950	318.600		87.50DR
18OCT17	1 DEC17	318.950	318.800		37.50DR
18OCT17	4 DEC17	318.600	318.800		200.00CR
18OCT17	2 DEC17	319.400	319.250		75.00DR
18OCT17	2 DEC17	319.400	319.250		75.00DR
18OCT17	2 DEC17	319.450	319.250		100.00DR
18OCT17	2 DEC17	319.500	319.200		150.00DR
18OCT17	3 DEC17	319.350	319.200		112.50DR
18OCT17	1 DEC17	319.350	318.400		237.50DR
18OCT17	1 DEC17	319.400	318.400		250.00DR
18OCT17	1 DEC17	319.350	318.400		237.50DR
18OCT17	1 DEC17	319.400	318.400		250.00DR
18OCT17	2 DEC17	319.400	318.300		550.00DR
18OCT17	4 DEC17	319.450	318.250		1,200.00DR
18OCT17	2 DEC17	319.500	318.200		650.00DR
18OCT17	3 DEC17	319.350	318.200		862.50DR
18OCT17	2 DEC17	319.850	318.400		725.00DR
18OCT17	2 DEC17	319.850	318.450		700.00DR
18OCT17	2 DEC17	319.900	318.400		750.00DR
18OCT17	1 DEC17	319.850	318.350		375.00DR
18OCT17	1 DEC17	319.900	318.350		387.50DR
18OCT17	3 DEC17	319.850	318.350		1,125.00DR
18OCT17	4 DEC17	319.750	318.350		1,400.00DR
18OCT17	2 DEC17	319.800	318.350		725.00DR
18OCT17	2 DEC17	319.750	318.350		700.00DR
18OCT17	3 DEC17	319.650	318.350		975.00DR
18OCT17	1 DEC17	319.400	318.150		312.50DR
18OCT17	2 DEC17	319.350	318.150		600.00DR
18OCT17	1 DEC17	319.400	318.150		312.50DR
18OCT17	2 DEC17	319.350	318.200		575.00DR
18OCT17	1 DEC17	319.350	318.200		287.50DR
18OCT17	2 DEC17	318.900	318.200		350.00DR
18OCT17	2 DEC17	318.850	318.200		325.00DR
TOTALS					
BROUGHT FORWARD BALANCE					645,474.30CR
SETTLEMENTS					
CMX Copper Futures					16,087.50DR
COMMISSIONS					1,035.70DR
CARRIED FORWARD BALANCE					628,351.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

18th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
18OCT17	B	2	18JAN18	TTR	EL	7054.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7054.00		4.65DR	
18OCT17	B	6	18JAN18	TTR	EL	7053.00		27.90DR	
18OCT17	B	2	18JAN18	TTR	EL	7052.00		9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7052.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7004.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		6991.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		6992.00	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		6998.00	13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		6998.50	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		6999.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7001.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7001.50	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7000.50	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		6999.00	13.95DR	
18OCT17	S	5	18JAN18	TTR	EL		7000.00	23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7000.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	6999.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	6999.50		9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7006.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7007.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7029.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7028.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7026.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7023.50		4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7031.50	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		7030.50	13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		7029.50	4.65DR	
18OCT17	B	5	18JAN18	TTR	EL	7031.00		23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7042.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7042.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	7033.50		13.95DR	
18OCT17	B	2	18JAN18	TTR	EL	7037.00		9.30DR	
18OCT17	B	4	18JAN18	TTR	EL	7032.50		18.60DR	
18OCT17	B	4	18JAN18	TTR	EL	7025.00		18.60DR	
18OCT17	B	1	18JAN18	TTR	EL	7018.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7013.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7022.50		4.65DR	
18OCT17	S	13	18JAN18	TTR	EL		7030.00	60.45DR	
18OCT17	B	1	18JAN18	TTR	EL	7028.50		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7019.00	4.65DR	
18OCT17	S	4	18JAN18	TTR	EL		7018.00	18.60DR	
18OCT17	B	7	18JAN18	TTR	EL	7015.00		32.55DR	
18OCT17	B	5	18JAN18	TTR	EL	7017.00		23.25DR	
18OCT17	B	5	18JAN18	TTR	EL	7017.50		23.25DR	
18OCT17	B	2	18JAN18	TTR	EL	7018.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7019.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	7020.00		13.95DR	
18OCT17	B	2	18JAN18	TTR	EL	7016.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7016.00		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7010.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7011.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7012.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	7014.50		13.95DR	
18OCT17	B	2	18JAN18	TTR	EL	7015.50		9.30DR	
18OCT17	B	5	18JAN18	TTR	EL	7013.00		23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7011.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7010.50		9.30DR	
18OCT17	S	6	18JAN18	TTR	EL		7022.50	27.90DR	
18OCT17	S	2	18JAN18	TTR	EL		7023.50	9.30DR	
18OCT17	S	8	18JAN18	TTR	EL		7028.50	37.20DR	
18OCT17	S	6	18JAN18	TTR	EL		7031.00	27.90DR	

Group No: SAPAB097

Page 1 of 8

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	7	18JAN18	TTR	EL		7035.50	32.55DR	
18OCT17	B	1	18JAN18	TTR	EL	7039.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7040.50		9.30DR	
18OCT17	B	2	18JAN18	DMA	EL	6999.00		9.30DR	
18OCT17	S	1	18JAN18	DMA	EL		7005.50	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		7001.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		7000.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	7002.50		4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		7033.00	4.65DR	
18OCT17	B	4	18JAN18	TTR	EL	7041.50		18.60DR	
18OCT17	B	1	18JAN18	TTR	EL	7045.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7040.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7036.50		4.65DR	
18OCT17	B	8	18JAN18	TTR	EL	7035.00		37.20DR	
18OCT17	B	7	18JAN18	TTR	EL	7034.00		32.55DR	
18OCT17	B	7	18JAN18	TTR	EL	7038.00		32.55DR	
18OCT17	B	1	18JAN18	TTR	EL	7035.50		4.65DR	
18OCT17	B	4	18JAN18	TTR	EL	7036.00		18.60DR	
18OCT17	B	4	18JAN18	TTR	EL	7033.00		18.60DR	
18OCT17	B	4	18JAN18	TTR	EL	7027.50		18.60DR	
18OCT17	B	1	18JAN18	TTR	EL	7027.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7025.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7023.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	7022.00		13.95DR	
18OCT17	B	4	18JAN18	TTR	EL	7024.50		18.60DR	
18OCT17	S	2	18JAN18	TTR	EL		7018.50	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		7019.50	13.95DR	
18OCT17	S	5	18JAN18	TTR	EL		7033.00	23.25DR	
18OCT17	S	1	18JAN18	TTR	EL		7037.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7038.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7044.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7046.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7045.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7039.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7037.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7041.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7043.00		9.30DR	
18OCT17	B	6	18JAN18	TTR	EL	7044.50		27.90DR	
18OCT17	B	2	18JAN18	TTR	EL	7038.50		9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		7046.00	13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		7043.50	4.65DR	
18OCT17	S	3	18JAN18	TTR	EL		7045.00	13.95DR	
18OCT17	S	2	18JAN18	TTR	EL		7044.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7048.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7051.00	4.65DR	
18OCT17	S	4	18JAN18	TTR	EL		7050.50	18.60DR	
18OCT17	S	1	18JAN18	TTR	EL		7042.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7041.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7039.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7038.00	4.65DR	
18OCT17	S	4	18JAN18	TTR	EL		7040.50	18.60DR	
18OCT17	S	1	18JAN18	TTR	EL		7040.00	4.65DR	
18OCT17	S	10	18JAN18	TTR	EL		7032.00	46.50DR	
18OCT17	S	4	18JAN18	TTR	EL		7029.00	18.60DR	
18OCT17	S	2	18JAN18	TTR	EL		7027.50	9.30DR	
18OCT17	S	6	18JAN18	TTR	EL		7028.00	27.90DR	
18OCT17	S	4	18JAN18	TTR	EL		7024.00	18.60DR	
18OCT17	S	2	18JAN18	TTR	EL		7025.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7024.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7026.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7026.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7021.50	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7027.00	9.30DR	
18OCT17	S	6	18JAN18	TTR	EL		7023.00	27.90DR	
18OCT17	S	8	18JAN18	TTR	EL		7022.00	37.20DR	
18OCT17	S	4	18JAN18	TTR	EL		7021.00	18.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	2	18JAN18	TTR	EL		7012.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7012.00	4.65DR	
18OCT17	S	6	18JAN18	TTR	EL		7011.50	27.90DR	
18OCT17	B	1	18JAN18	TTR	EL	7012.50		4.65DR	
18OCT17	B	12	18JAN18	TTR	EL	7009.00		55.80DR	
18OCT17	B	4	18JAN18	TTR	EL	7009.50		18.60DR	
18OCT17	B	3	18JAN18	TTR	EL	7014.00		13.95DR	
18OCT17	B	2	18JAN18	TTR	EL	7008.50		9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		7008.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7009.50	4.65DR	
18OCT17	B	4	18JAN18	TTR	EL	7008.00		18.60DR	
18OCT17	B	3	18JAN18	TTR	EL	7007.50		13.95DR	
18OCT17	B	3	18JAN18	TTR	EL	7006.50		13.95DR	
18OCT17	B	5	18JAN18	TTR	EL	7005.50		23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7006.00		4.65DR	
18OCT17	B	4	18JAN18	TTR	EL	7003.00		18.60DR	
18OCT17	B	2	18JAN18	TTR	EL	7004.00		9.30DR	
18OCT17	S	1	18JAN18	DMA	EL		7046.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	7010.00		4.65DR	
18OCT17	B	4	18JAN18	TTR	EL	7004.50		18.60DR	
18OCT17	B	4	18JAN18	TTR	EL	7005.00		18.60DR	
18OCT17	B	2	18JAN18	TTR	EL	6989.50		9.30DR	
18OCT17	B	3	18JAN18	TTR	EL	6989.00		13.95DR	
CMX Copper 25,000 CMX									
18OCT17	S	7	DEC17	TTR	GX		320.350	16.45DR	
18OCT17	S	15	DEC17	TTR	GX		320.400	35.25DR	
18OCT17	B	1	DEC17	TTR	GX	318.100		2.35DR	
18OCT17	B	7	DEC17	TTR	GX	317.550		16.45DR	
18OCT17	B	2	DEC17	TTR	GX	317.800		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	318.000		4.70DR	
18OCT17	B	7	DEC17	TTR	GX	317.850		16.45DR	
18OCT17	B	14	DEC17	TTR	GX	317.900		32.90DR	
18OCT17	B	13	DEC17	TTR	GX	317.950		30.55DR	
18OCT17	B	9	DEC17	TTR	GX	318.150		21.15DR	
18OCT17	S	3	DEC17	TTR	GX		317.900	7.05DR	
18OCT17	S	10	DEC17	TTR	GX		317.950	23.50DR	
18OCT17	B	2	DEC17	TTR	GX	318.250		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	318.300		4.70DR	
18OCT17	S	11	DEC17	TTR	GX		319.350	25.85DR	
18OCT17	S	18	DEC17	TTR	GX		319.450	42.30DR	
18OCT17	S	2	DEC17	TTR	GX		318.900	4.70DR	
18OCT17	B	14	DEC17	TTR	GX	318.800		32.90DR	
18OCT17	B	8	DEC17	TTR	GX	318.700		18.80DR	
18OCT17	S	14	DEC17	TTR	GX		318.750	32.90DR	
18OCT17	S	12	DEC17	TTR	GX		318.800	28.20DR	
18OCT17	S	7	DEC17	TTR	GX		318.850	16.45DR	
18OCT17	S	13	DEC17	TTR	GX		318.700	30.55DR	
18OCT17	S	21	DEC17	TTR	GX		318.650	49.35DR	
18OCT17	S	18	DEC17	TTR	GX		318.600	42.30DR	
18OCT17	S	4	DEC17	TTR	GX		318.500	9.40DR	
18OCT17	S	6	DEC17	TTR	GX		319.150	14.10DR	
18OCT17	B	15	DEC17	TTR	GX	319.400		35.25DR	
18OCT17	B	2	DEC17	TTR	GX	319.600		4.70DR	
18OCT17	S	6	DEC17	TTR	GX		319.800	14.10DR	
18OCT17	S	11	DEC17	TTR	GX		319.650	25.85DR	
18OCT17	S	13	DEC17	TTR	GX		319.550	30.55DR	
18OCT17	S	17	DEC17	TTR	GX		319.600	39.95DR	
18OCT17	S	20	DEC17	TTR	GX		319.500	47.00DR	
18OCT17	S	8	DEC17	TTR	GX		319.250	18.80DR	
18OCT17	S	18	DEC17	TTR	GX		319.100	42.30DR	
18OCT17	S	6	DEC17	TTR	GX		319.050	14.10DR	
18OCT17	S	4	DEC17	TTR	GX		319.000	9.40DR	
18OCT17	S	5	DEC17	TTR	GX		318.950	11.75DR	
18OCT17	S	10	DEC17	TTR	GX		319.200	23.50DR	
18OCT17	B	4	DEC17	TTR	GX	318.750		9.40DR	
18OCT17	B	11	DEC17	TTR	GX	319.500		25.85DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

18th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	2	DEC17	TTR	GX	319.550		4.70DR	
18OCT17	S	2	DEC17	TTR	GX		320.200	4.70DR	
18OCT17	S	5	DEC17	TTR	GX		320.100	11.75DR	
18OCT17	S	16	DEC17	TTR	GX		319.700	37.60DR	
18OCT17	S	8	DEC17	TTR	GX		319.850	18.80DR	
18OCT17	S	4	DEC17	TTR	GX		319.950	9.40DR	
18OCT17	S	11	DEC17	TTR	GX		320.050	25.85DR	
18OCT17	S	17	DEC17	TTR	GX		319.750	39.95DR	
18OCT17	B	11	DEC17	TTR	GX	320.000		25.85DR	
18OCT17	B	5	DEC17	TTR	GX	319.950		11.75DR	
18OCT17	B	4	DEC17	TTR	GX	319.900		9.40DR	
18OCT17	B	7	DEC17	TTR	GX	320.050		16.45DR	
18OCT17	B	11	DEC17	TTR	GX	320.200		25.85DR	
18OCT17	B	2	DEC17	TTR	GX	319.850		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	319.750		4.70DR	
18OCT17	B	15	DEC17	TTR	GX	319.700		35.25DR	
18OCT17	B	5	DEC17	TTR	GX	319.650		11.75DR	
18OCT17	B	20	DEC17	TTR	GX	319.350		47.00DR	
18OCT17	B	31	DEC17	TTR	GX	319.300		72.85DR	
18OCT17	B	24	DEC17	TTR	GX	319.250		56.40DR	
18OCT17	B	32	DEC17	TTR	GX	319.200		75.20DR	
18OCT17	B	6	DEC17	TTR	GX	319.000		14.10DR	
18OCT17	B	25	DEC17	TTR	GX	319.050		58.75DR	
18OCT17	B	15	DEC17	TTR	GX	318.900		35.25DR	
18OCT17	B	17	DEC17	TTR	GX	318.950		39.95DR	
18OCT17	B	23	DEC17	TTR	GX	319.150		54.05DR	
18OCT17	B	6	DEC17	TTR	GX	319.100		14.10DR	
18OCT17	B	13	DEC17	TTR	GX	318.850		30.55DR	
18OCT17	B	3	DEC17	TTR	GX	318.500		7.05DR	
18OCT17	B	5	DEC17	TTR	GX	318.450		11.75DR	
18OCT17	S	9	DEC17	TTR	GX		318.450	21.15DR	
18OCT17	S	10	DEC17	TTR	GX		318.550	23.50DR	
18OCT17	B	2	DEC17	TTR	GX	318.350		4.70DR	
18OCT17	S	17	DEC17	TTR	GX		318.350	39.95DR	
18OCT17	S	4	DEC17	TTR	GX		318.300	9.40DR	
18OCT17	S	3	DEC17	TTR	GX		318.100	7.05DR	
18OCT17	S	6	DEC17	TTR	GX		318.150	14.10DR	
18OCT17	S	19	DEC17	TTR	GX		318.200	44.65DR	
18OCT17	S	21	DEC17	TTR	GX		318.250	49.35DR	
18OCT17	S	34	DEC17	TTR	GX		318.400	79.90DR	
18OCT17	S	4	DEC17	TTR	GX		317.600	9.40DR	
18OCT17	S	7	DEC17	TTR	GX		317.550	16.45DR	
Commission									3,939.50DR
SETTLEMENTS									
CMX Copper 25,000 CMX									
18OCT17		7	DEC17			318.800	320.400		2,800.00CR
18OCT17		3	DEC17			318.800	320.350		1,162.50CR
18OCT17		1	DEC17			318.800	320.350		387.50CR
18OCT17		1	DEC17			318.100	320.350		562.50CR
18OCT17		2	DEC17			318.150	320.350		1,100.00CR
18OCT17		1	DEC17			318.150	320.400		562.50CR
18OCT17		1	DEC17			317.900	320.400		625.00CR
18OCT17		4	DEC17			317.900	320.400		2,500.00CR
18OCT17		2	DEC17			317.550	320.400		1,425.00CR
18OCT17		2	DEC17			317.550	317.950		200.00CR
18OCT17		2	DEC17			317.550	317.900		175.00CR
18OCT17		1	DEC17			317.550	317.950		100.00CR
18OCT17		2	DEC17			317.800	317.950		75.00CR
18OCT17		1	DEC17			317.900	317.900		0.00
18OCT17		1	DEC17			317.900	317.950		12.50CR
18OCT17		4	DEC17			317.850	317.950		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

18th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	2 DEC17	317.950	318.100		75.00CR
18OCT17	2 DEC17	317.950	319.250		650.00CR
18OCT17	1 DEC17	317.950	319.150		300.00CR
18OCT17	1 DEC17	317.950	319.200		312.50CR
18OCT17	2 DEC17	318.000	319.150		575.00CR
18OCT17	1 DEC17	317.900	318.950		262.50CR
18OCT17	1 DEC17	317.950	318.950		250.00CR
18OCT17	1 DEC17	317.950	319.000		262.50CR
18OCT17	2 DEC17	317.900	319.000		550.00CR
18OCT17	1 DEC17	317.900	319.350		362.50CR
18OCT17	1 DEC17	317.850	319.350		375.00CR
18OCT17	1 DEC17	317.900	319.350		362.50CR
18OCT17	2 DEC17	317.850	319.350		750.00CR
18OCT17	2 DEC17	317.900	319.350		725.00CR
18OCT17	3 DEC17	317.950	319.350		1,050.00CR
18OCT17	1 DEC17	317.950	319.350		350.00CR
18OCT17	1 DEC17	317.950	319.450		375.00CR
18OCT17	6 DEC17	318.150	319.450		1,950.00CR
18OCT17	2 DEC17	318.250	319.500		625.00CR
18OCT17	2 DEC17	318.300	319.500		600.00CR
18OCT17	4 DEC17	319.250	319.500		250.00CR
18OCT17	1 DEC17	319.200	319.500		75.00CR
18OCT17	4 DEC17	319.200	319.850		650.00CR
18OCT17	1 DEC17	319.200	319.800		150.00CR
18OCT17	2 DEC17	319.200	319.850		325.00CR
18OCT17	2 DEC17	319.150	319.800		325.00CR
18OCT17	2 DEC17	319.200	319.750		275.00CR
18OCT17	1 DEC17	319.200	319.800		150.00CR
18OCT17	2 DEC17	319.200	319.750		275.00CR
18OCT17	2 DEC17	319.200	319.750		275.00CR
18OCT17	1 DEC17	319.300	319.750		112.50CR
18OCT17	1 DEC17	319.300	319.700		100.00CR
18OCT17	2 DEC17	319.300	319.650		175.00CR
18OCT17	2 DEC17	319.350	319.650		150.00CR
18OCT17	3 DEC17	319.250	319.650		300.00CR
18OCT17	3 DEC17	319.300	319.600		225.00CR
18OCT17	2 DEC17	319.300	319.550		125.00CR
18OCT17	3 DEC17	319.250	319.600		262.50CR
18OCT17	1 DEC17	319.300	319.600		75.00CR
18OCT17	5 DEC17	319.200	319.600		500.00CR
18OCT17	2 DEC17	319.250	319.500		125.00CR
18OCT17	2 DEC17	319.200	319.450		125.00CR
18OCT17	2 DEC17	319.250	319.550		150.00CR
18OCT17	2 DEC17	319.250	319.500		125.00CR
18OCT17	1 DEC17	319.250	319.650		100.00CR
18OCT17	1 DEC17	319.250	319.600		87.50CR
18OCT17	1 DEC17	319.250	319.650		100.00CR
18OCT17	2 DEC17	319.300	319.450		75.00CR
18OCT17	2 DEC17	319.300	319.450		75.00CR
18OCT17	2 DEC17	319.150	319.500		175.00CR
18OCT17	5 DEC17	319.150	319.450		375.00CR
18OCT17	2 DEC17	319.150	319.200		25.00CR
18OCT17	2 DEC17	319.150	319.100		25.00DR
18OCT17	2 DEC17	319.300	319.100		100.00DR
18OCT17	2 DEC17	319.200	319.100		50.00DR
18OCT17	3 DEC17	319.500	319.100		300.00DR
18OCT17	2 DEC17	318.750	318.400		175.00DR
18OCT17	4 DEC17	319.350	318.400		950.00DR
18OCT17	1 DEC17	319.400	318.400		250.00DR
18OCT17	3 DEC17	319.400	318.400		750.00DR
18OCT17	3 DEC17	319.350	318.400		712.50DR
18OCT17	5 DEC17	319.500	318.400		1,375.00DR
18OCT17	1 DEC17	319.500	318.350		287.50DR
18OCT17	3 DEC17	319.400	318.350		787.50DR
18OCT17	2 DEC17	319.400	318.600		400.00DR
18OCT17	2 DEC17	319.350	318.600		375.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	4 DEC17	319.350	318.650		700.00DR
18OCT17	3 DEC17	319.350	318.800		412.50DR
18OCT17	2 DEC17	319.400	318.800		300.00DR
18OCT17	2 DEC17	319.250	318.750		250.00DR
18OCT17	2 DEC17	319.250	318.850		200.00DR
18OCT17	2 DEC17	319.400	318.900		250.00DR
18OCT17	2 DEC17	319.300	319.100		100.00DR
18OCT17	1 DEC17	319.050	319.100		12.50CR
18OCT17	2 DEC17	319.050	318.550		250.00DR
18OCT17	2 DEC17	318.900	318.600		150.00DR
18OCT17	2 DEC17	318.900	318.650		125.00DR
18OCT17	2 DEC17	318.900	318.700		100.00DR
18OCT17	2 DEC17	318.950	318.650		150.00DR
18OCT17	2 DEC17	318.950	319.050		50.00CR
18OCT17	1 DEC17	318.950	319.050		25.00CR
18OCT17	1 DEC17	318.950	319.200		62.50CR
18OCT17	1 DEC17	319.000	319.050		12.50CR
18OCT17	1 DEC17	319.000	319.200		50.00CR
18OCT17	1 DEC17	318.950	319.050		25.00CR
18OCT17	1 DEC17	318.950	318.650		75.00DR
18OCT17	3 DEC17	318.900	318.650		187.50DR
18OCT17	2 DEC17	318.900	318.700		100.00DR
18OCT17	2 DEC17	318.900	318.750		75.00DR
18OCT17	2 DEC17	318.800	318.750		25.00DR
18OCT17	1 DEC17	318.800	318.700		25.00DR
18OCT17	1 DEC17	318.700	318.700		0.00
18OCT17	1 DEC17	318.700	318.750		12.50CR
18OCT17	1 DEC17	318.700	318.750		12.50CR
18OCT17	5 DEC17	318.700	318.750		62.50CR
18OCT17	1 DEC17	318.950	318.750		50.00DR
18OCT17	5 DEC17	318.950	318.800		187.50DR
18OCT17	2 DEC17	318.950	318.800		75.00DR
18OCT17	2 DEC17	319.150	318.850		150.00DR
18OCT17	1 DEC17	319.150	318.850		75.00DR
18OCT17	1 DEC17	319.200	318.850		87.50DR
18OCT17	1 DEC17	319.200	318.850		87.50DR
18OCT17	1 DEC17	319.200	318.650		137.50DR
18OCT17	1 DEC17	319.050	318.650		100.00DR
18OCT17	1 DEC17	319.050	318.700		87.50DR
18OCT17	1 DEC17	319.300	318.700		150.00DR
18OCT17	1 DEC17	319.300	318.700		150.00DR
18OCT17	2 DEC17	319.400	318.700		350.00DR
18OCT17	2 DEC17	319.600	318.700		450.00DR
18OCT17	2 DEC17	318.750	318.450		150.00DR
18OCT17	1 DEC17	319.000	318.450		137.50DR
18OCT17	1 DEC17	319.000	318.400		150.00DR
18OCT17	1 DEC17	319.050	318.400		162.50DR
18OCT17	1 DEC17	319.050	318.450		150.00DR
18OCT17	2 DEC17	319.050	318.400		325.00DR
18OCT17	2 DEC17	319.050	318.500		275.00DR
18OCT17	2 DEC17	319.050	318.600		225.00DR
18OCT17	2 DEC17	319.050	318.650		200.00DR
18OCT17	1 DEC17	319.050	318.600		112.50DR
18OCT17	1 DEC17	319.050	318.650		100.00DR
18OCT17	1 DEC17	319.050	318.600		112.50DR
18OCT17	1 DEC17	319.200	318.600		150.00DR
18OCT17	1 DEC17	319.200	318.650		137.50DR
18OCT17	2 DEC17	319.500	318.650		425.00DR
18OCT17	1 DEC17	319.650	318.650		250.00DR
18OCT17	1 DEC17	319.650	318.550		275.00DR
18OCT17	1 DEC17	319.550	318.600		237.50DR
18OCT17	1 DEC17	319.550	318.600		237.50DR
18OCT17	1 DEC17	320.000	318.600		350.00DR
18OCT17	2 DEC17	320.000	318.550		725.00DR
18OCT17	2 DEC17	320.000	318.550		725.00DR
18OCT17	2 DEC17	320.000	318.600		700.00DR

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	320.000	318.600		350.00DR
18OCT17	1 DEC17	320.000	318.550		362.50DR
18OCT17	1 DEC17	320.050	318.600		362.50DR
18OCT17	1 DEC17	320.050	318.400		412.50DR
18OCT17	1 DEC17	320.000	318.450		387.50DR
18OCT17	1 DEC17	319.950	318.500		362.50DR
18OCT17	1 DEC17	320.000	318.500		375.00DR
18OCT17	1 DEC17	319.950	318.450		375.00DR
18OCT17	1 DEC17	319.950	318.400		387.50DR
18OCT17	1 DEC17	319.950	318.450		375.00DR
18OCT17	1 DEC17	319.950	318.400		387.50DR
18OCT17	1 DEC17	319.900	318.400		375.00DR
18OCT17	3 DEC17	319.900	319.150		562.50DR
18OCT17	2 DEC17	320.050	319.700		175.00DR
18OCT17	2 DEC17	320.050	319.750		150.00DR
18OCT17	1 DEC17	320.050	319.800		62.50DR
18OCT17	1 DEC17	320.200	319.800		100.00DR
18OCT17	2 DEC17	320.200	319.950		125.00DR
18OCT17	1 DEC17	320.200	319.700		125.00DR
18OCT17	1 DEC17	320.200	319.700		125.00DR
18OCT17	2 DEC17	320.200	319.750		225.00DR
18OCT17	2 DEC17	320.200	319.650		275.00DR
18OCT17	2 DEC17	320.200	319.550		325.00DR
18OCT17	2 DEC17	319.850	319.550		150.00DR
18OCT17	2 DEC17	319.750	319.550		100.00DR
18OCT17	3 DEC17	319.700	319.550		112.50DR
18OCT17	9 DEC17	319.700	319.700		0.00
18OCT17	3 DEC17	319.700	319.600		75.00DR
18OCT17	1 DEC17	319.650	319.600		12.50DR
18OCT17	2 DEC17	319.650	319.500		75.00DR
18OCT17	3 DEC17	319.300	319.500		150.00CR
18OCT17	1 DEC17	319.300	319.250		12.50DR
18OCT17	1 DEC17	319.350	319.250		25.00DR
18OCT17	1 DEC17	319.350	319.250		25.00DR
18OCT17	1 DEC17	319.300	319.200		25.00DR
18OCT17	1 DEC17	319.300	319.250		12.50DR
18OCT17	1 DEC17	319.300	319.200		25.00DR
18OCT17	2 DEC17	319.300	319.250		25.00DR
18OCT17	2 DEC17	319.300	319.100		100.00DR
18OCT17	1 DEC17	319.250	319.100		37.50DR
18OCT17	3 DEC17	319.200	319.100		75.00DR
18OCT17	1 DEC17	319.150	319.050		25.00DR
18OCT17	1 DEC17	319.150	318.950		50.00DR
18OCT17	1 DEC17	319.000	319.000		0.00
18OCT17	1 DEC17	319.000	318.950		12.50DR
18OCT17	1 DEC17	319.100	318.950		37.50DR
18OCT17	1 DEC17	319.100	319.200		25.00CR
18OCT17	2 DEC17	319.050	319.200		75.00CR
18OCT17	2 DEC17	319.050	320.200		575.00CR
18OCT17	2 DEC17	319.150	320.100		475.00CR
18OCT17	1 DEC17	319.150	320.050		225.00CR
18OCT17	3 DEC17	319.050	320.050		750.00CR
18OCT17	1 DEC17	318.900	320.050		287.50CR
18OCT17	1 DEC17	318.850	320.050		300.00CR
18OCT17	1 DEC17	318.900	320.050		287.50CR
18OCT17	1 DEC17	318.950	320.050		275.00CR
18OCT17	2 DEC17	319.100	320.100		500.00CR
18OCT17	1 DEC17	319.150	320.100		237.50CR
18OCT17	1 DEC17	319.150	319.700		137.50CR
18OCT17	1 DEC17	319.100	319.700		150.00CR
18OCT17	1 DEC17	319.100	319.750		162.50CR
18OCT17	3 DEC17	318.850	319.750		675.00CR
18OCT17	2 DEC17	318.850	319.850		500.00CR
18OCT17	2 DEC17	318.850	319.950		550.00CR
18OCT17	3 DEC17	318.850	320.050		900.00CR
18OCT17	2 DEC17	318.850	319.750		450.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097R

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	318.450	318.400		12.50DR
18OCT17	3 DEC17	318.500	318.400		75.00DR
18OCT17	2 DEC17	318.450	318.450		0.00
18OCT17	2 DEC17	318.450	318.550		50.00CR
18OCT17	2 DEC17	318.350	318.200		75.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				331,504.77CR
	SETTLEMENTS				
	CMX Copper Futures				10,525.00CR
	COMMISSIONS				3,939.50DR
	CARRIED FORWARD BALANCE				338,090.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB097

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,082,930.26CR
	SETTLEMENTS		
	CMX Copper Futures		5,562.50DR
	CMX Silver Futures		1,250.00CR
	LME Copper Grade A 25 tonnes. This Futures		201,512.50CR
	COMMISSIONS		4,998.70DR
	CARRIED FORWARD BALANCE		2,275,131.56CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0101A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	S 10 02NOV17 SGL UD		6982.58	27.00DR	
18OCT17	S 10 02NOV17 SGL UD		6997.58	27.00DR	
18OCT17	S 10 02NOV17 SGL UD		6996.08	27.00DR	
18OCT17	S 10 02NOV17 SGL UD		6993.33	27.00DR	
18OCT17	B 10 02NOV17 JPM UD	6977.00		27.00DR	
18OCT17	B 10 02NOV17 JPM UD	6989.00		27.00DR	
18OCT17	B 10 02NOV17 JPM UD	6991.00		27.00DR	
18OCT17	B 10 02NOV17 JPM UD	6992.00		27.00DR	
18OCT17	B 10 18JAN18 SGL UD	7014.40		27.00DR	
18OCT17	B 10 18JAN18 SGL UD	7028.40		27.00DR	
18OCT17	B 10 18JAN18 SGL UD	7026.90		27.00DR	
18OCT17	B 10 18JAN18 SGL UD	7024.15		27.00DR	
18OCT17	S 10 18JAN18 JPM UD		7009.47	27.00DR	
18OCT17	S 10 18JAN18 JPM UD		7021.47	27.00DR	
18OCT17	S 10 18JAN18 JPM UD		7023.47	27.00DR	
18OCT17	S 10 18JAN18 JPM UD		7024.47	27.00DR	
	CMX Copper 25,000 CMX				
18OCT17	B 20 DEC17 GX	318.450		47.00DR	
18OCT17	S 20 MAR18 GX		320.350	47.00DR	
	Commission				526.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	12 DEC17	318.450	291.000		82,350.00DR
18OCT17	8 DEC17	318.450	291.000		54,900.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,980,271.15CR
	SETTLEMENTS				
	CMX Copper Futures				137,250.00DR
	COMMISSIONS				526.00DR
	CARRIED FORWARD BALANCE				3,842,495.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB101

18th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,980,271.15CR
	SETTLEMENTS		
	CMX Copper Futures		137,250.00DR
	COMMISSIONS		526.00DR
	CARRIED FORWARD BALANCE		3,842,495.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118E

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	6011.00	5986.00		625.00DR
18JUL17	1 18OCT17	6003.50	5986.00		437.50DR
18JUL17	1 18OCT17	6001.00	5985.50		387.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				76,340.33CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,450.00DR
	CARRIED FORWARD BALANCE				74,890.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
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SAPAB118

18th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		170,737.98CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		1,450.00DR
	CARRIED FORWARD BALANCE		169,287.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119A
18th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	7051.00		5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 2 DEC17 TTR II		320.250	2.06DR	
	Commission				7.06DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				194,363.62DR
	COMMISSIONS				7.06DR
	CARRIED FORWARD BALANCE				194,370.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
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SAPAB119

18th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		194,363.62DR
	COMMISSIONS		7.06DR
	CARRIED FORWARD BALANCE		194,370.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT

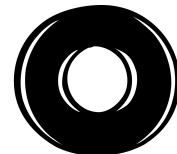


SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0122A 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5994.50	5991.00		87.50DR
18JUL17	2 18OCT17	5997.00	5989.00		400.00DR
18JUL17	1 18OCT17	5999.00	5988.00		275.00DR
18JUL17	1 18OCT17	5998.00	5988.50		237.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				381,403.25CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,000.00DR
	CARRIED FORWARD BALANCE				380,403.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122 ARBS
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPAB122

18th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		381,403.25CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		1,000.00DR
	CARRIED FORWARD BALANCE		380,403.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
18OCT17	B	2	18JAN18	TTR	EL	7050.00		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7049.50		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7052.00		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7051.50		4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		6991.50	4.75DR	
18OCT17	S	3	18JAN18	TTR	EL		6990.50	14.25DR	
18OCT17	S	2	18JAN18	TTR	EL		6987.00	9.50DR	
18OCT17	S	5	18JAN18	TTR	EL		6988.00	23.75DR	
18OCT17	S	3	18JAN18	TTR	EL		6992.50	14.25DR	
18OCT17	S	1	18JAN18	TTR	EL		6995.50	4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		6997.00	9.50DR	
18OCT17	S	1	18JAN18	TTR	EL		6998.50	4.75DR	
18OCT17	S	4	18JAN18	TTR	EL		6998.00	19.00DR	
18OCT17	S	4	18JAN18	TTR	EL		6999.50	19.00DR	
18OCT17	S	5	18JAN18	TTR	EL		6999.00	23.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7000.00	9.50DR	
18OCT17	S	1	18JAN18	TTR	EL		7004.00	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7013.50	4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7020.50	9.50DR	
18OCT17	S	1	18JAN18	TTR	EL		7019.00	4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7026.00		4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7008.00	9.50DR	
18OCT17	S	1	18JAN18	TTR	EL		7008.50	4.75DR	
18OCT17	S	5	18JAN18	TTR	EL		7009.00	23.75DR	
18OCT17	S	8	18JAN18	TTR	EL		7011.50	38.00DR	
18OCT17	B	1	18JAN18	TTR	EL	7041.00		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7042.00		4.75DR	
18OCT17	B	3	18JAN18	TTR	EL	7031.00		14.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7019.00		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7007.00		9.50DR	
18OCT17	B	4	18JAN18	TTR	EL	7011.50		19.00DR	
18OCT17	B	2	18JAN18	TTR	EL	7011.00		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7020.00		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7021.00		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7021.50		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7022.50		9.50DR	
18OCT17	B	5	18JAN18	TTR	EL	7024.50		23.75DR	
18OCT17	B	6	18JAN18	TTR	EL	7025.00		28.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7027.00		4.75DR	
18OCT17	B	7	18JAN18	TTR	EL	7023.50		33.25DR	
18OCT17	S	4	18JAN18	TTR	EL		7032.50	19.00DR	
18OCT17	S	1	18JAN18	TTR	EL		7033.50	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7034.50	4.75DR	
18OCT17	B	7	18JAN18	TTR	EL	7010.00		33.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7017.00		4.75DR	
18OCT17	B	3	18JAN18	TTR	EL	7015.00		14.25DR	
18OCT17	B	6	18JAN18	TTR	EL	7012.50		28.50DR	
18OCT17	B	6	18JAN18	TTR	EL	7010.50		28.50DR	
18OCT17	B	5	18JAN18	TTR	EL	7014.00		23.75DR	
18OCT17	B	4	18JAN18	TTR	EL	7013.50		19.00DR	
18OCT17	B	3	18JAN18	TTR	EL	7017.50		14.25DR	
18OCT17	S	1	18JAN18	TTR	EL		7022.50	4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7027.00	9.50DR	
18OCT17	S	1	18JAN18	DMA	EL		7000.00	4.75DR	
18OCT17	S	1	18JAN18	DMA	EL		7011.50	4.75DR	
18OCT17	B	1	18JAN18	DMA	EL	7021.50		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7033.00		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7035.00		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7036.00		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7036.50		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7019.50		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7018.50		9.50DR	

Group No: SAPAB127

Page 1 of 8

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	1	18JAN18	TTR	EL	7020.50		4.75DR	
18OCT17	B	4	18JAN18	TTR	EL	7016.00		19.00DR	
18OCT17	S	3	18JAN18	TTR	EL		7010.00	14.25DR	
18OCT17	S	5	18JAN18	TTR	EL		7010.50	23.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7013.00	9.50DR	
18OCT17	S	4	18JAN18	TTR	EL		7012.00	19.00DR	
18OCT17	S	1	18JAN18	TTR	EL		7018.50	4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7021.00	9.50DR	
18OCT17	S	3	18JAN18	TTR	EL		7022.00	14.25DR	
18OCT17	S	1	18JAN18	TTR	EL		7038.50	4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7056.00		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7058.00		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7053.50		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7047.00		9.50DR	
18OCT17	B	4	18JAN18	TTR	EL	7048.00		19.00DR	
18OCT17	B	2	18JAN18	TTR	EL	7046.50		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7045.50		9.50DR	
18OCT17	B	3	18JAN18	TTR	EL	7045.00		14.25DR	
18OCT17	B	1	18JAN18	TTR	EL	7047.50		4.75DR	
18OCT17	B	8	18JAN18	TTR	EL	7046.00		38.00DR	
18OCT17	B	2	18JAN18	TTR	EL	7044.50		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7043.00		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7039.00		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7042.50		4.75DR	
18OCT17	B	1	18JAN18	TTR	EL	7043.50		4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7037.00	9.50DR	
18OCT17	S	1	18JAN18	TTR	EL		7035.00	4.75DR	
18OCT17	S	3	18JAN18	TTR	EL		7036.00	14.25DR	
18OCT17	S	1	18JAN18	TTR	EL		7040.50	4.75DR	
18OCT17	S	2	18JAN18	TTR	EL		7041.50	9.50DR	
18OCT17	S	2	18JAN18	TTR	EL		7027.50	9.50DR	
18OCT17	S	5	18JAN18	TTR	EL		7028.50	23.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7029.50	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7031.00	4.75DR	
18OCT17	S	4	18JAN18	TTR	EL		7030.00	19.00DR	
18OCT17	S	4	18JAN18	TTR	EL		7030.50	19.00DR	
18OCT17	S	3	18JAN18	TTR	EL		7031.50	14.25DR	
18OCT17	S	2	18JAN18	TTR	EL		7039.50	9.50DR	
18OCT17	S	2	18JAN18	TTR	EL		7046.00	9.50DR	
18OCT17	S	8	18JAN18	TTR	EL		7044.00	38.00DR	
18OCT17	S	1	18JAN18	TTR	EL		7048.50	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7047.50	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7051.00	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7051.50	4.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7043.00	4.75DR	
18OCT17	S	5	18JAN18	TTR	EL		7042.00	23.75DR	
18OCT17	S	1	18JAN18	TTR	EL		7032.00	4.75DR	
18OCT17	S	5	18JAN18	TTR	EL		7029.00	23.75DR	
18OCT17	S	6	18JAN18	TTR	EL		7028.00	28.50DR	
18OCT17	S	3	18JAN18	TTR	EL		7023.00	14.25DR	
18OCT17	S	3	18JAN18	TTR	EL		7021.50	14.25DR	
18OCT17	B	2	18JAN18	TTR	EL	7016.50		9.50DR	
18OCT17	B	1	18JAN18	TTR	EL	7014.50		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7015.50		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7004.00		9.50DR	
18OCT17	B	4	18JAN18	TTR	EL	7003.50		19.00DR	
18OCT17	B	1	18JAN18	TTR	EL	7002.00		4.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7003.00		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7008.50		9.50DR	
18OCT17	B	9	18JAN18	TTR	EL	7009.50		42.75DR	
18OCT17	B	2	18JAN18	TTR	EL	7005.50		9.50DR	
18OCT17	B	2	18JAN18	TTR	EL	7005.00		9.50DR	
18OCT17	S	1	18JAN18	DMA	EL		7024.00	4.75DR	
18OCT17	S	1	18JAN18	DMA	EL		7042.00	4.75DR	
18OCT17	S	1	18JAN18	DMA	EL		7041.50	4.75DR	
18OCT17	B	8	18JAN18	TTR	EL	7004.50		38.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	8	18JAN18	TTR	EL	7009.00		38.00DR	
18OCT17	B	11	18JAN18	TTR	EL	7008.00		52.25DR	
18OCT17	B	5	18JAN18	TTR	EL	7006.50		23.75DR	
18OCT17	B	6	18JAN18	TTR	EL	7007.50		28.50DR	
18OCT17	B	3	18JAN18	TTR	EL	6989.00		14.25DR	
18OCT17	B	2	18JAN18	DMA	EL	7003.50		9.50DR	
18OCT17	B	1	18JAN18	DMA	EL	7003.00		4.75DR	
18OCT17	B	1	18JAN18	DMA	EL	7007.50		4.75DR	
	CMX Copper 25,000 CMX								
18OCT17	S	9	DEC17	TTR	GX		320.250	21.60DR	
18OCT17	S	4	DEC17	TTR	GX		320.350	9.60DR	
18OCT17	S	1	DEC17	TTR	GX		319.750	2.40DR	
18OCT17	B	1	DEC17	TTR	GX	319.100		2.40DR	
18OCT17	B	6	DEC17	TTR	GX	317.550		14.40DR	
18OCT17	B	3	DEC17	TTR	GX	317.500		7.20DR	
18OCT17	B	4	DEC17	TTR	GX	317.450		9.60DR	
18OCT17	B	4	DEC17	TTR	GX	317.350		9.60DR	
18OCT17	B	4	DEC17	TTR	GX	317.300		9.60DR	
18OCT17	B	7	DEC17	TTR	GX	317.400		16.80DR	
18OCT17	B	4	DEC17	TTR	GX	317.750		9.60DR	
18OCT17	B	16	DEC17	TTR	GX	317.800		38.40DR	
18OCT17	B	14	DEC17	TTR	GX	317.900		33.60DR	
18OCT17	B	8	DEC17	TTR	GX	317.850		19.20DR	
18OCT17	B	4	DEC17	TTR	GX	317.950		9.60DR	
18OCT17	B	3	DEC17	TTR	GX	318.150		7.20DR	
18OCT17	B	4	DEC17	TTR	GX	318.250		9.60DR	
18OCT17	B	6	DEC17	TTR	GX	318.300		14.40DR	
18OCT17	S	4	DEC17	TTR	GX		319.850	9.60DR	
18OCT17	S	2	DEC17	TTR	GX		319.300	4.80DR	
18OCT17	S	5	DEC17	TTR	GX		319.400	12.00DR	
18OCT17	S	3	DEC17	TTR	GX		318.850	7.20DR	
18OCT17	S	15	DEC17	TTR	GX		319.100	36.00DR	
18OCT17	S	2	DEC17	TTR	GX		319.200	4.80DR	
18OCT17	S	10	DEC17	TTR	GX		319.050	24.00DR	
18OCT17	S	13	DEC17	TTR	GX		318.950	31.20DR	
18OCT17	S	11	DEC17	TTR	GX		319.000	26.40DR	
18OCT17	B	4	DEC17	TTR	GX	319.450		9.60DR	
18OCT17	B	2	DEC17	TTR	GX	319.500		4.80DR	
18OCT17	S	13	DEC17	TTR	GX		318.550	31.20DR	
18OCT17	S	11	DEC17	TTR	GX		318.500	26.40DR	
18OCT17	B	2	DEC17	TTR	GX	319.000		4.80DR	
18OCT17	B	5	DEC17	TTR	GX	319.150		12.00DR	
18OCT17	S	2	DEC17	TTR	GX		319.500	4.80DR	
18OCT17	S	9	DEC17	TTR	GX		319.550	21.60DR	
18OCT17	S	6	DEC17	TTR	GX		318.900	14.40DR	
18OCT17	S	10	DEC17	TTR	GX		318.800	24.00DR	
18OCT17	S	6	DEC17	TTR	GX		318.700	14.40DR	
18OCT17	S	9	DEC17	TTR	GX		318.750	21.60DR	
18OCT17	B	4	DEC17	TTR	GX	318.550		9.60DR	
18OCT17	B	6	DEC17	TTR	GX	318.350		14.40DR	
18OCT17	B	6	DEC17	TTR	GX	318.500		14.40DR	
18OCT17	B	14	DEC17	TTR	GX	318.450		33.60DR	
18OCT17	B	29	DEC17	TTR	GX	318.400		69.60DR	
18OCT17	B	8	DEC17	TTR	GX	318.800		19.20DR	
18OCT17	B	1	DEC17	TTR	GX	319.050		2.40DR	
18OCT17	S	4	DEC17	TTR	GX		320.550	9.60DR	
18OCT17	S	2	DEC17	TTR	GX		320.650	4.80DR	
18OCT17	S	2	DEC17	TTR	GX		320.500	4.80DR	
18OCT17	S	2	DEC17	TTR	GX		320.450	4.80DR	
18OCT17	S	4	DEC17	TTR	GX		320.200	9.60DR	
18OCT17	S	4	DEC17	TTR	GX		320.000	9.60DR	
18OCT17	S	14	DEC17	TTR	GX		320.050	33.60DR	
18OCT17	S	12	DEC17	TTR	GX		320.150	28.80DR	
18OCT17	S	21	DEC17	TTR	GX		320.100	50.40DR	
18OCT17	S	2	DEC17	TTR	GX		319.800	4.80DR	
18OCT17	S	2	DEC17	TTR	GX		319.650	4.80DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	2	DEC17	TTR	GX		319.950	4.80DR	
18OCT17	S	3	DEC17	TTR	GX		319.900	7.20DR	
18OCT17	B	4	DEC17	TTR	GX	319.550		9.60DR	
18OCT17	B	9	DEC17	TTR	GX	319.600		21.60DR	
18OCT17	B	2	DEC17	TTR	GX	319.750		4.80DR	
18OCT17	B	17	DEC17	TTR	GX	319.300		40.80DR	
18OCT17	B	14	DEC17	TTR	GX	319.350		33.60DR	
18OCT17	B	10	DEC17	TTR	GX	320.000		24.00DR	
18OCT17	B	5	DEC17	TTR	GX	319.950		12.00DR	
18OCT17	B	10	DEC17	TTR	GX	319.900		24.00DR	
18OCT17	B	1	DEC17	TTR	GX	320.050		2.40DR	
18OCT17	B	4	DEC17	TTR	GX	320.150		9.60DR	
18OCT17	B	4	DEC17	TTR	GX	320.200		9.60DR	
18OCT17	B	1	DEC17	TTR	GX	320.350		2.40DR	
18OCT17	B	1	DEC17	TTR	GX	320.300		2.40DR	
18OCT17	B	3	DEC17	TTR	GX	320.400		7.20DR	
18OCT17	B	2	DEC17	TTR	GX	320.250		4.80DR	
18OCT17	B	13	DEC17	TTR	GX	319.800		31.20DR	
18OCT17	B	7	DEC17	TTR	GX	319.850		16.80DR	
18OCT17	B	8	DEC17	TTR	GX	319.400		19.20DR	
18OCT17	B	16	DEC17	TTR	GX	319.250		38.40DR	
18OCT17	B	21	DEC17	TTR	GX	319.200		50.40DR	
18OCT17	B	8	DEC17	TTR	GX	318.950		19.20DR	
18OCT17	B	17	DEC17	TTR	GX	318.900		40.80DR	
18OCT17	S	14	DEC17	TTR	GX		318.600	33.60DR	
18OCT17	S	20	DEC17	TTR	GX		318.650	48.00DR	
18OCT17	S	3	DEC17	TTR	GX		318.100	7.20DR	
18OCT17	S	29	DEC17	TTR	GX		318.450	69.60DR	
18OCT17	S	6	DEC17	TTR	GX		318.250	14.40DR	
18OCT17	S	35	DEC17	TTR	GX		318.400	84.00DR	
18OCT17	S	1	DEC17	TTR	GX		317.900	2.40DR	
18OCT17	S	25	DEC17	TTR	GX		318.150	60.00DR	
18OCT17	S	24	DEC17	TTR	GX		318.200	57.60DR	
18OCT17	S	15	DEC17	TTR	GX		318.300	36.00DR	
18OCT17	S	47	DEC17	TTR	GX		318.350	112.80DR	
18OCT17	S	4	DEC17	TTR	GX		317.500	9.60DR	
18OCT17	S	3	DEC17	TTR	GX		317.450	7.20DR	
	Commission								3,613.15DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
18OCT17		6	DEC17			318.400	320.150		2,625.00CR
18OCT17		2	DEC17			318.400	320.250		925.00CR
18OCT17		2	DEC17			318.450	320.250		900.00CR
18OCT17		1	DEC17			319.100	320.250		287.50CR
18OCT17		1	DEC17			317.900	320.250		587.50CR
18OCT17		1	DEC17			317.900	320.250		587.50CR
18OCT17		2	DEC17			317.450	320.250		1,400.00CR
18OCT17		2	DEC17			317.550	320.350		1,400.00CR
18OCT17		1	DEC17			317.550	320.350		700.00CR
18OCT17		1	DEC17			317.550	320.350		700.00CR
18OCT17		2	DEC17			317.550	320.200		1,325.00CR
18OCT17		1	DEC17			317.500	319.750		562.50CR
18OCT17		2	DEC17			317.500	319.100		800.00CR
18OCT17		2	DEC17			317.450	319.850		1,200.00CR
18OCT17		2	DEC17			317.350	319.850		1,250.00CR
18OCT17		2	DEC17			317.350	319.400		1,025.00CR
18OCT17		2	DEC17			317.300	319.300		1,000.00CR
18OCT17		2	DEC17			317.300	319.400		1,050.00CR
18OCT17		1	DEC17			317.400	319.400		500.00CR
18OCT17		1	DEC17			317.400	318.900		375.00CR
18OCT17		1	DEC17			317.400	318.950		387.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	2 DEC17	317.400	319.100		850.00CR
18OCT17	2 DEC17	317.400	319.050		825.00CR
18OCT17	2 DEC17	317.750	318.950		600.00CR
18OCT17	2 DEC17	317.750	319.000		625.00CR
18OCT17	3 DEC17	317.800	319.000		900.00CR
18OCT17	1 DEC17	317.800	318.900		275.00CR
18OCT17	1 DEC17	317.800	318.850		262.50CR
18OCT17	2 DEC17	317.800	318.650		425.00CR
18OCT17	2 DEC17	317.800	318.550		375.00CR
18OCT17	1 DEC17	317.800	318.500		175.00CR
18OCT17	1 DEC17	317.850	318.500		162.50CR
18OCT17	1 DEC17	317.850	318.400		137.50CR
18OCT17	2 DEC17	317.900	318.400		250.00CR
18OCT17	3 DEC17	317.800	318.350		412.50CR
18OCT17	3 DEC17	317.800	318.300		375.00CR
18OCT17	2 DEC17	317.850	318.350		250.00CR
18OCT17	2 DEC17	317.900	318.350		225.00CR
18OCT17	1 DEC17	317.900	318.450		137.50CR
18OCT17	1 DEC17	317.850	318.450		150.00CR
18OCT17	3 DEC17	317.900	318.450		412.50CR
18OCT17	1 DEC17	317.850	318.500		162.50CR
18OCT17	3 DEC17	317.900	318.450		412.50CR
18OCT17	1 DEC17	317.900	318.400		125.00CR
18OCT17	1 DEC17	317.850	318.450		150.00CR
18OCT17	1 DEC17	317.850	318.400		137.50CR
18OCT17	2 DEC17	317.950	318.450		250.00CR
18OCT17	2 DEC17	317.950	318.500		275.00CR
18OCT17	3 DEC17	318.150	318.450		225.00CR
18OCT17	2 DEC17	318.300	318.500		100.00CR
18OCT17	2 DEC17	318.300	318.850		275.00CR
18OCT17	2 DEC17	318.350	318.900		275.00CR
18OCT17	2 DEC17	318.400	318.950		275.00CR
18OCT17	1 DEC17	318.500	319.000		125.00CR
18OCT17	1 DEC17	318.550	319.000		112.50CR
18OCT17	1 DEC17	318.550	318.950		100.00CR
18OCT17	1 DEC17	318.800	318.950		37.50CR
18OCT17	1 DEC17	318.900	318.950		12.50CR
18OCT17	1 DEC17	318.800	319.050		62.50CR
18OCT17	1 DEC17	318.900	319.050		37.50CR
18OCT17	2 DEC17	318.900	319.000		50.00CR
18OCT17	4 DEC17	318.800	319.100		300.00CR
18OCT17	1 DEC17	318.900	319.100		50.00CR
18OCT17	1 DEC17	318.950	319.100		37.50CR
18OCT17	1 DEC17	318.900	319.050		37.50CR
18OCT17	1 DEC17	318.400	319.050		162.50CR
18OCT17	2 DEC17	318.400	319.100		350.00CR
18OCT17	2 DEC17	318.400	319.050		325.00CR
18OCT17	1 DEC17	318.400	319.100		175.00CR
18OCT17	2 DEC17	318.450	319.100		325.00CR
18OCT17	2 DEC17	318.250	319.200		475.00CR
18OCT17	1 DEC17	318.250	318.950		175.00CR
18OCT17	1 DEC17	318.250	319.050		200.00CR
18OCT17	1 DEC17	318.300	319.050		187.50CR
18OCT17	1 DEC17	318.300	318.950		162.50CR
18OCT17	1 DEC17	318.350	318.950		150.00CR
18OCT17	1 DEC17	318.350	319.000		162.50CR
18OCT17	2 DEC17	318.400	318.950		275.00CR
18OCT17	1 DEC17	318.400	319.000		150.00CR
18OCT17	3 DEC17	318.450	318.550		75.00CR
18OCT17	1 DEC17	318.400	318.550		37.50CR
18OCT17	1 DEC17	318.400	318.550		37.50CR
18OCT17	1 DEC17	318.450	318.550		25.00CR
18OCT17	1 DEC17	318.450	318.500		12.50CR
18OCT17	1 DEC17	319.150	318.500		162.50DR
18OCT17	1 DEC17	319.150	318.400		187.50DR
18OCT17	1 DEC17	319.200	318.400		200.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	319.200	318.350		212.50DR
18OCT17	1 DEC17	319.300	318.350		237.50DR
18OCT17	1 DEC17	319.300	318.400		225.00DR
18OCT17	2 DEC17	319.300	318.400		450.00DR
18OCT17	3 DEC17	319.350	318.400		712.50DR
18OCT17	2 DEC17	319.400	318.400		500.00DR
18OCT17	2 DEC17	319.250	318.400		425.00DR
18OCT17	1 DEC17	319.300	318.400		225.00DR
18OCT17	1 DEC17	319.300	318.350		237.50DR
18OCT17	1 DEC17	319.200	318.350		212.50DR
18OCT17	1 DEC17	319.250	318.350		225.00DR
18OCT17	2 DEC17	319.300	318.350		475.00DR
18OCT17	2 DEC17	319.400	318.350		525.00DR
18OCT17	1 DEC17	319.300	318.350		237.50DR
18OCT17	1 DEC17	319.300	318.350		237.50DR
18OCT17	1 DEC17	319.300	318.400		225.00DR
18OCT17	1 DEC17	319.200	318.450		187.50DR
18OCT17	1 DEC17	319.250	318.450		200.00DR
18OCT17	1 DEC17	319.200	318.400		200.00DR
18OCT17	1 DEC17	319.400	318.400		250.00DR
18OCT17	1 DEC17	319.400	318.350		262.50DR
18OCT17	1 DEC17	319.450	318.350		275.00DR
18OCT17	1 DEC17	319.450	318.450		250.00DR
18OCT17	2 DEC17	319.450	318.400		525.00DR
18OCT17	2 DEC17	319.500	318.400		550.00DR
18OCT17	2 DEC17	318.900	318.400		250.00DR
18OCT17	2 DEC17	319.000	318.450		275.00DR
18OCT17	2 DEC17	318.950	318.400		275.00DR
18OCT17	2 DEC17	319.200	318.450		375.00DR
18OCT17	3 DEC17	319.150	318.450		525.00DR
18OCT17	2 DEC17	318.400	318.750		175.00CR
18OCT17	2 DEC17	318.400	318.700		150.00CR
18OCT17	2 DEC17	318.400	318.600		100.00CR
18OCT17	2 DEC17	318.550	318.650		50.00CR
18OCT17	1 DEC17	318.400	318.650		62.50CR
18OCT17	1 DEC17	318.350	318.650		75.00CR
18OCT17	1 DEC17	318.350	318.650		75.00CR
18OCT17	1 DEC17	318.500	318.650		37.50CR
18OCT17	3 DEC17	318.500	318.600		75.00CR
18OCT17	1 DEC17	318.450	318.600		37.50CR
18OCT17	1 DEC17	318.500	318.550		12.50CR
18OCT17	1 DEC17	318.450	318.550		25.00CR
18OCT17	1 DEC17	318.450	318.600		37.50CR
18OCT17	2 DEC17	318.450	318.550		50.00CR
18OCT17	1 DEC17	318.400	318.550		37.50CR
18OCT17	2 DEC17	318.800	318.600		100.00DR
18OCT17	2 DEC17	318.900	318.450		225.00DR
18OCT17	1 DEC17	318.900	318.500		100.00DR
18OCT17	1 DEC17	319.050	318.500		137.50DR
18OCT17	2 DEC17	320.000	318.450		775.00DR
18OCT17	1 DEC17	320.250	318.650		400.00DR
18OCT17	2 DEC17	320.200	318.650		775.00DR
18OCT17	1 DEC17	319.600	318.650		237.50DR
18OCT17	2 DEC17	319.600	318.600		500.00DR
18OCT17	1 DEC17	319.600	318.650		237.50DR
18OCT17	2 DEC17	319.550	318.650		450.00DR
18OCT17	2 DEC17	319.550	318.800		375.00DR
18OCT17	3 DEC17	319.600	318.800		600.00DR
18OCT17	2 DEC17	319.600	318.750		425.00DR
18OCT17	2 DEC17	319.750	318.700		525.00DR
18OCT17	1 DEC17	319.800	318.750		262.50DR
18OCT17	1 DEC17	319.800	318.700		275.00DR
18OCT17	2 DEC17	319.800	318.800		500.00DR
18OCT17	3 DEC17	319.800	318.750		787.50DR
18OCT17	2 DEC17	319.200	319.500		150.00CR
18OCT17	4 DEC17	319.250	319.550		300.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	2 DEC17	319.200	319.550		175.00CR
18OCT17	1 DEC17	319.250	319.550		75.00CR
18OCT17	1 DEC17	319.200	319.550		87.50CR
18OCT17	1 DEC17	319.250	319.550		75.00CR
18OCT17	2 DEC17	319.200	318.900		150.00DR
18OCT17	2 DEC17	319.200	318.800		200.00DR
18OCT17	1 DEC17	319.200	318.800		100.00DR
18OCT17	1 DEC17	319.200	318.700		125.00DR
18OCT17	2 DEC17	319.250	318.400		425.00DR
18OCT17	1 DEC17	319.300	318.600		175.00DR
18OCT17	1 DEC17	319.300	318.650		162.50DR
18OCT17	1 DEC17	319.250	318.750		125.00DR
18OCT17	1 DEC17	319.250	320.550		325.00CR
18OCT17	3 DEC17	319.350	320.550		900.00CR
18OCT17	2 DEC17	319.350	320.650		650.00CR
18OCT17	2 DEC17	319.300	320.500		600.00CR
18OCT17	1 DEC17	319.200	320.450		312.50CR
18OCT17	1 DEC17	319.350	320.450		275.00CR
18OCT17	1 DEC17	319.300	320.100		200.00CR
18OCT17	1 DEC17	319.350	320.100		187.50CR
18OCT17	1 DEC17	319.300	320.150		212.50CR
18OCT17	1 DEC17	319.350	320.150		200.00CR
18OCT17	2 DEC17	319.350	320.200		425.00CR
18OCT17	1 DEC17	319.350	320.050		175.00CR
18OCT17	3 DEC17	320.000	320.050		37.50CR
18OCT17	5 DEC17	320.000	320.100		125.00CR
18OCT17	3 DEC17	319.950	320.100		112.50CR
18OCT17	2 DEC17	319.900	320.050		75.00CR
18OCT17	2 DEC17	319.950	320.050		50.00CR
18OCT17	3 DEC17	319.900	320.050		112.50CR
18OCT17	2 DEC17	319.900	320.100		100.00CR
18OCT17	3 DEC17	319.900	320.000		75.00CR
18OCT17	1 DEC17	320.050	320.000		12.50DR
18OCT17	3 DEC17	320.150	320.050		75.00DR
18OCT17	1 DEC17	320.200	320.150		12.50DR
18OCT17	1 DEC17	320.150	320.150		0.00
18OCT17	1 DEC17	320.200	320.150		12.50DR
18OCT17	1 DEC17	320.400	320.150		62.50DR
18OCT17	1 DEC17	320.400	320.100		75.00DR
18OCT17	1 DEC17	320.350	320.100		62.50DR
18OCT17	1 DEC17	320.300	320.100		50.00DR
18OCT17	1 DEC17	320.400	320.100		75.00DR
18OCT17	1 DEC17	320.250	320.100		37.50DR
18OCT17	2 DEC17	319.850	320.100		125.00CR
18OCT17	2 DEC17	319.800	320.100		150.00CR
18OCT17	2 DEC17	319.800	319.800		0.00
18OCT17	2 DEC17	319.800	319.650		75.00DR
18OCT17	2 DEC17	319.850	319.950		50.00CR
18OCT17	3 DEC17	319.850	319.900		37.50CR
18OCT17	2 DEC17	319.400	318.600		400.00DR
18OCT17	2 DEC17	319.250	318.650		300.00DR
18OCT17	2 DEC17	319.200	318.650		275.00DR
18OCT17	4 DEC17	318.950	318.200		750.00DR
18OCT17	1 DEC17	318.950	318.250		175.00DR
18OCT17	2 DEC17	318.900	318.150		375.00DR
18OCT17	2 DEC17	318.900	318.200		350.00DR
18OCT17	2 DEC17	318.900	318.150		375.00DR
TOTALS					
BROUGHT FORWARD BALANCE					428,700.85CR
SETTLEMENTS					
CMX Copper Futures					18,862.50CR
COMMISSIONS					3,613.15DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0127A
18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				443,950.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT

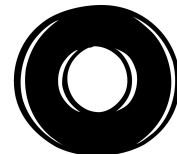


SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0127F 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		7052.50	4.75DR	
18OCT17	B 1 18JAN18 TTR EL	7037.50		4.75DR	
18OCT17	B 1 18JAN18 TTR EL	6993.00		4.75DR	
18OCT17	B 1 18JAN18 TTR EL	6988.00		4.75DR	
	CMX Copper 25,000 CMX				
18OCT17	B 3 DEC17 TTR GX	320.450		7.20DR	
18OCT17	S 2 DEC17 TTR GX		319.650	4.80DR	
18OCT17	S 2 DEC17 TTR GX		317.500	4.80DR	
18OCT17	S 2 DEC17 TTR GX		317.550	4.80DR	
	Commission				40.60DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	2 DEC17	320.450	319.650		400.00DR
18OCT17	1 DEC17	320.450	317.500		737.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				240,604.09CR
	SETTLEMENTS				
	CMX Copper Futures				1,137.50DR
	COMMISSIONS				40.60DR
	CARRIED FORWARD BALANCE				239,425.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB127

18th Oct 2017

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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		834,474.54CR
	SETTLEMENTS		
	CMX Copper Futures		17,725.00CR
	COMMISSIONS		3,653.75DR
	CARRIED FORWARD BALANCE		848,545.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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HEMANT PARIKH

SAPCASH
18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>CASH PAYMENTS</u> CASH PAYMENT				2,839,914.59DR
18OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0094 Fund Withdrawal 18/10/17				1,000,000.00CR
18OCT17	SAP0104 Fund Withdrawal 18/10/17				2,000,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,322,949.54CR
	CASH PAYMENTS/RECEIPTS				160,085.41CR
	CARRIED FORWARD BALANCE				4,483,034.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
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18th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		8,483,294.54CR
	CASH PAYMENTS/RECEIPTS		160,085.41CR
	CARRIED FORWARD BALANCE		8,643,379.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0009

18th October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>FX TRANSACTION</u> FX 129.27 USD SOLD	0.0000	1.1752		110.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				110.00DR
	FX TRANSACTION				110.00CR
	CARRIED FORWARD BALANCE				0.00

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>FX TRANSACTION</u> FX 110.00 EUR BOUGHT	1.1752	0.0000		129.27DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				480.23CR
	FX TRANSACTION				129.27DR
	CARRIED FORWARD BALANCE				350.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0009
SUITE A, 105 PICCADILLY
LONDON
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SAPG0009

18th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		110.00DR
	FX TRANSACTION		110.00CR
	CARRIED FORWARD BALANCE		0.00

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		480.23CR
	FX TRANSACTION		129.27DR
	CARRIED FORWARD BALANCE		350.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011J
18th October 2017
COB GLOBAL

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>BUSINESS DONE TODAY</u> Euro-Bund 1,000 ERX B 5 DEC17 TTR EL Commission	162.170		5.15DR	5.15DR
	<u>SETTLEMENTS</u> Euro-Bund 1,000 ERX				
18OCT17	2 DEC17	162.170	160.440		3,460.00DR
18OCT17	1 DEC17	162.170	161.280		890.00DR
18OCT17	2 DEC17	162.170	161.220		1,900.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,130.62CR
	SETTLEMENTS				
	Euro-Bund Futures				6,250.00DR
	COMMISSIONS				5.15DR
	CARRIED FORWARD BALANCE				6,875.47CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>BUSINESS DONE TODAY</u> CBT 30 Year Us Treasury Bonds 1,000 CBT B 3 DEC17 TTR II Commission	153.0100		6.00DR	6.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				133,709.47CR
	COMMISSIONS				6.00DR
	CARRIED FORWARD BALANCE				133,703.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011P

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 1 18JAN18 TTR EL		2140.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2130.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2131.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2127.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2115.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2123.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2125.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2121.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2120.00		5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		2500.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2490.50	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2486.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2479.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2471.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2502.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2495.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	2478.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2518.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2520.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2525.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2517.50	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	3090.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3089.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3072.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3070.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3060.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3066.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3128.00	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3075.00	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3100.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3124.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3105.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3116.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3117.50		5.00DR	
	Commission				170.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				814.80DR
	COMMISSIONS				170.00DR
	CARRIED FORWARD BALANCE				984.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
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SAPG0011

18th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		11,090.64CR
	SETTLEMENTS		
	Euro-Bund Futures		6,250.00DR
	COMMISSIONS		5.15DR
	CARRIED FORWARD BALANCE		4,835.49CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,235,115.18CR
	COMMISSIONS		176.00DR
	CARRIED FORWARD BALANCE		1,234,939.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023A
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	ODAX OPTION 5 ERX				
18OCT17	S 100 OCT17 12300.00 Put DMA EL		1.00	150.00DR	500.00CR
	Dow Jones EURO Stoxx 50 10 ERX				
18OCT17	S 100 OCT17 3425.00 Put DMA EL		0.30	150.00DR	300.00CR
18OCT17	S 100 OCT17 3450.00 Put DMA EL		0.40	150.00DR	400.00CR
	Commission				450.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,056.57CR
	OPTION PREMIUMS				1,200.00CR
	COMMISSIONS				450.00DR
	CARRIED FORWARD BALANCE				8,806.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0023C

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	ODAX OPTION 5 ERX				
18OCT17	S 1 MAR18 13800.00 Call DMA EL		111.00	1.50DR	555.00CR
18OCT17	B 1 MAR18 13800.00 Call DMA EL	102.00		1.50DR	510.00DR
	Commission				3.00DR
	<u>SETTLEMENTS</u>				
	ODAX OPTION 5 ERX				
18OCT17	1 MAR18 13800.00 Call	102.00	111.00		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				24,833.68CR
	OPTION PREMIUMS				45.00CR
	COMMISSIONS				3.00DR
	CARRIED FORWARD BALANCE				24,875.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
18OCT17	B 1 DEC18 DMA EL 51.82			1.65DR	
18OCT17	S 1 DEC19 DMA EL		50.55	1.65DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 DEC17 GX		52.30	2.40DR	
18OCT17	S 2 JUN18 GX		52.53	4.80DR	
18OCT17	B 1 DEC18 GX 51.79			2.40DR	
18OCT17	B 3 DEC18 GX 51.80			7.20DR	
18OCT17	B 2 DEC18 GX 51.81			4.80DR	
18OCT17	S 2 JUN19 GX		51.05	4.80DR	
18OCT17	S 1 DEC19 GX		50.52	2.40DR	
	CMX Gold 100 CMX				
18OCT17	B 1 DEC17 1315.0 Call GX 6.7			2.40DR	670.00DR
18OCT17	S 1 DEC17 1260.0 Put GX		7.9	2.40DR	790.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 GX 2.91300			2.40DR	
18OCT17	S 1 NOV17 GX 2.91900		2.91900	2.40DR	
18OCT17	B 1 NOV17 GX 2.91600			2.40DR	
18OCT17	B 1 NOV17 GX 2.90300			2.40DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	S 1 DEC18 DMA EL		55.92	1.65DR	
18OCT17	B 1 DEC19 DMA EL 54.80			1.65DR	
	Commission				49.80DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
18OCT17	1 DEC18 51.82	51.10			720.00DR
18OCT17	1 DEC19 49.75	50.55			800.00CR
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 DEC18 51.79	52.09			300.00CR
18OCT17	1 DEC18 51.80	52.16			360.00CR
18OCT17	2 DEC18 51.80	52.29			980.00CR
18OCT17	1 DEC18 51.81	52.09			280.00CR
18OCT17	1 DEC18 51.81	52.07			260.00CR
18OCT17	1 JUN19 50.02	51.05			1,030.00CR
18OCT17	1 JUN19 51.36	51.05			310.00DR
18OCT17	1 DEC19 50.90	50.52			380.00DR
	CMX Gold 100 CMX				
18OCT17	1 DEC17 1315.0 Call 6.7	8.9			0.00
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	1 NOV17 2.91300	2.91900			60.00CR
	IEU Brent Crude 1,000 IPE				
18OCT17	1 DEC18 54.96	55.92			960.00CR
18OCT17	1 DEC19 54.80	54.02			780.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				85,307.00CR
	OPTION PREMIUMS				120.00CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				60.00CR
	IEU Brent Crude Futures				180.00CR
	NYM Light Sweet Crude Oil Futures				2,520.00CR
	IEU WTI Crude Oil Futures Futures				80.00CR
	COMMISSIONS				49.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				88,217.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0023

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		32,890.25CR
	OPTION PREMIUMS		1,245.00CR
	COMMISSIONS		453.00DR
	CARRIED FORWARD BALANCE		33,682.25CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		136,243.01CR
	OPTION PREMIUMS		120.00CR
	SETTLEMENTS		
	IEU Brent Crude Futures		180.00CR
	IEU WTI Crude Oil Futures Futures		80.00CR
	NYM Henry Hub Natural Gas Futures		60.00CR
	NYM Light Sweet Crude Oil Futures		2,520.00CR
	COMMISSIONS		49.80DR
	CARRIED FORWARD BALANCE		139,153.21CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025A 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	B 5 NOV17 GX	52.01		11.60DR	
18OCT17	B 1 NOV17 GX	51.98		2.32DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	3109.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3112.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3123.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3121.50		10.00DR	
	Commission				53.92DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	52.01	50.38		1,630.00DR
18OCT17	1 NOV17	52.01	50.47		1,540.00DR
18OCT17	2 NOV17	52.01	50.48		3,060.00DR
18OCT17	1 NOV17	52.01	50.45		1,560.00DR
18OCT17	1 NOV17	51.98	50.46		1,520.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				130,075.96DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				9,310.00DR
	COMMISSIONS				53.92DR
	CARRIED FORWARD BALANCE				139,439.88DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
18OCT17	NYM Light Sweet Crude Oil 1,000 NYM S 4 NOV17 GX		52.01	9.28DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	3070.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3129.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3114.50		10.00DR	
	Commission				39.28DR
	<u>SETTLEMENTS</u>				
18OCT17	NYM Light Sweet Crude Oil 1,000 NYM 4 NOV17	49.72	52.01		9,160.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 18OCT17	6004.00	6416.25		10,306.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				84,872.31DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				10,306.25CR
	NYM Light Sweet Crude Oil Futures				9,160.00CR
	COMMISSIONS				39.28DR
	CARRIED FORWARD BALANCE				65,445.34DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL 3109.00	3109.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL 3105.50	3105.50		10.00DR	
18OCT17	B 2 18JAN18 DMA EL 3093.50	3093.50		10.00DR	
18OCT17	B 2 18JAN18 DMA EL 3115.00	3115.00		10.00DR	
	Commission				40.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				103,856.79DR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				103,896.79DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025D

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		7023.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		7026.00	5.00DR	
	CMX Gold 100 CMX				
18OCT17	B 1 DEC17 GX	1281.4		2.32DR	
18OCT17	S 1 DEC17 GX		1283.1	2.32DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	3070.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3119.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3103.00		10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3115.00		10.00DR	
	Commission				54.64DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
18OCT17	1 DEC17	1281.4	1283.1		170.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 18OCT17	5975.00	6416.25		11,031.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				94,568.59DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				11,031.25CR
	CMX Gold Futures				170.00CR
	COMMISSIONS				54.64DR
	CARRIED FORWARD BALANCE				83,421.98DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025J
18th October 2017
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	B 5 DEC17 49.00 Put GX	0.37		11.60DR	1,850.00DR
18OCT17	S 25 DEC17 43.00 Put GX		0.03	58.00DR	750.00CR
18OCT17	S 10 DEC17 46.00 Put GX		0.10	23.20DR	1,000.00CR
18OCT17	S 25 DEC17 61.00 Call GX		0.04	58.00DR	1,000.00CR
18OCT17	B 4 DEC17 55.00 Call GX	0.43		9.28DR	1,720.00DR
18OCT17	S 12 DEC17 59.00 Call GX		0.08	27.84DR	960.00CR
	Commission				187.92DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,477.34CR
	OPTION PREMIUMS				140.00CR
	COMMISSIONS				187.92DR
	CARRIED FORWARD BALANCE				1,429.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025P

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 2 18JAN18 CQG EL		2126.00	10.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 CQG EL		3113.50	10.00DR	
18OCT17	S 2 18JAN18 CQG EL		3115.50	10.00DR	
	Commission				30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,280.14DR
	COMMISSIONS				30.00DR
	CARRIED FORWARD BALANCE				1,310.14DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		611,849.05CR
	OPTION PREMIUMS		140.00CR
	SETTLEMENTS		
	CMX Gold Futures		170.00CR
	LME Copper Grade A 25 tonnes. This Futures		21,337.50CR
	NYM Light Sweet Crude Oil Futures		150.00DR
	COMMISSIONS		405.76DR
	CARRIED FORWARD BALANCE		632,940.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CNX Nifty (India) Index 2 SIM				
18OCT17	B 2 OCT17 EL	10249.50		3.46DR	
18OCT17	B 8 OCT17 EL	10243.00		13.84DR	
18OCT17	B 5 OCT17 EL	10231.00		8.65DR	
18OCT17	B 5 OCT17 EL	10229.50		8.65DR	
18OCT17	B 9 OCT17 EL	10222.50		15.57DR	
18OCT17	B 5 OCT17 EL	10222.00		8.65DR	
18OCT17	S 1 OCT17 EL		10221.00	1.73DR	
18OCT17	B 1 OCT17 EL	10220.00		1.73DR	
18OCT17	B 1 OCT17 EL	10223.00		1.73DR	
18OCT17	B 8 OCT17 EL	10224.00		13.84DR	
18OCT17	S 1 OCT17 EL		10223.00	1.73DR	
18OCT17	S 1 OCT17 EL		10210.00	1.73DR	
18OCT17	S 10 OCT17 EL		10250.50	17.30DR	
18OCT17	S 10 OCT17 EL		10249.00	17.30DR	
18OCT17	S 3 OCT17 EL		10252.00	5.19DR	
18OCT17	S 7 OCT17 EL		10251.00	12.11DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	B 1 NOV17 TTR II	52.08		1.22DR	
18OCT17	B 5 NOV17 TTR II	52.09		6.10DR	
18OCT17	B 1 NOV17 TTR II	52.01		1.22DR	
18OCT17	B 1 NOV17 TTR II	52.12		1.22DR	
18OCT17	B 3 NOV17 TTR II	52.15		3.66DR	
18OCT17	B 2 NOV17 TTR II	52.16		2.44DR	
18OCT17	S 1 NOV17 TTR II		52.10	1.22DR	
18OCT17	S 2 NOV17 TTR II		52.05	2.44DR	
18OCT17	S 1 NOV17 TTR II		52.06	1.22DR	
18OCT17	S 1 NOV17 TTR II		52.08	1.22DR	
18OCT17	S 5 NOV17 TTR II		52.09	6.10DR	
18OCT17	B 1 NOV17 TTR II	52.28		1.22DR	
18OCT17	B 1 NOV17 TTR II	52.29		1.22DR	
18OCT17	B 1 NOV17 TTR II	52.30		1.22DR	
18OCT17	B 1 NOV17 TTR II	52.14		1.22DR	
18OCT17	S 1 NOV17 TTR II		52.00	1.22DR	
18OCT17	S 1 NOV17 TTR II		51.79	1.22DR	
18OCT17	B 1 NOV17 TTR II	51.78		1.22DR	
18OCT17	S 1 NOV17 TTR II		52.01	1.22DR	
18OCT17	S 1 NOV17 TTR II		52.03	1.22DR	
18OCT17	B 6 NOV17 TTR II	52.02		7.32DR	
18OCT17	B 10 NOV17 TTR II	52.04		12.20DR	
18OCT17	B 13 NOV17 TTR II	52.03		15.86DR	
18OCT17	S 15 NOV17 TTR II		51.96	18.30DR	
18OCT17	B 1 NOV17 TTR II	51.97		1.22DR	
18OCT17	B 20 NOV17 TTR II	52.05		24.40DR	
18OCT17	S 1 DEC17 TTR II		52.45	1.22DR	
18OCT17	S 1 DEC17 TTR II		52.47	1.22DR	
18OCT17	S 2 DEC17 TTR II		52.49	2.44DR	
18OCT17	S 2 DEC17 TTR II		52.46	2.44DR	
18OCT17	S 1 DEC17 TTR II		52.54	1.22DR	
18OCT17	S 1 DEC17 TTR II		52.41	1.22DR	
18OCT17	S 4 DEC17 TTR II		52.30	4.88DR	
18OCT17	S 2 DEC17 TTR II		52.31	2.44DR	
18OCT17	S 3 DEC17 TTR II		52.26	3.66DR	
18OCT17	S 1 DEC17 TTR II		52.22	1.22DR	
18OCT17	S 1 DEC17 TTR II		52.23	1.22DR	
18OCT17	S 3 DEC17 TTR II		52.24	3.66DR	
18OCT17	B 1 DEC17 TTR II	52.18		1.22DR	
18OCT17	S 1 DEC17 TTR II		52.17	1.22DR	
18OCT17	S 4 DEC17 TTR II		52.18	4.88DR	
	CMX Gold 100 CMX				
18OCT17	B 3 DEC17 TTR II	1287.2		4.74DR	
18OCT17	S 1 DEC17 TTR II		1281.8	1.58DR	
18OCT17	S 1 DEC17 TTR II		1283.6	1.58DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
NYM Henry Hub Natural Gas 10,000 NYM					
18OCT17	S 1 NOV17 TTR II		2.91300	1.22DR	
18OCT17	B 1 NOV17 TTR II	2.85900		1.22DR	
18OCT17	B 1 NOV17 TTR II	2.86000		1.22DR	
18OCT17	B 10 NOV17 TTR II	2.86600		12.20DR	
NYM Heating Oil 42,000 NYM					
18OCT17	B 1 NOV17 TTR II	179.30		1.22DR	
18OCT17	B 1 NOV17 TTR II	179.37		1.22DR	
CMX Silver 5,000 CMX					
18OCT17	S 1 DEC17 TTR II		1702.5	1.58DR	
18OCT17	S 1 DEC17 TTR II		1703.0	1.58DR	
	Commission				315.07DR
SETTLEMENTS					
CNX Nifty (India) Index 2 SIM					
18OCT17	1 OCT17	10243.00	10221.00		44.00DR
18OCT17	1 OCT17	10243.00	10223.00		40.00DR
18OCT17	1 OCT17	10243.00	10210.00		66.00DR
18OCT17	1 OCT17	10243.00	10250.50		15.00CR
18OCT17	2 OCT17	10249.50	10250.50		4.00CR
18OCT17	4 OCT17	10243.00	10250.50		60.00CR
18OCT17	1 OCT17	10231.00	10249.00		36.00CR
18OCT17	3 OCT17	10231.00	10250.50		117.00CR
18OCT17	1 OCT17	10231.00	10249.00		36.00CR
18OCT17	5 OCT17	10229.50	10249.00		195.00CR
18OCT17	1 OCT17	10222.00	10249.00		54.00CR
18OCT17	2 OCT17	10222.50	10249.00		106.00CR
18OCT17	2 OCT17	10222.50	10252.00		118.00CR
18OCT17	2 OCT17	10222.50	10251.00		114.00CR
18OCT17	1 OCT17	10222.50	10252.00		59.00CR
18OCT17	2 OCT17	10222.50	10251.00		114.00CR
18OCT17	3 OCT17	10222.00	10251.00		174.00CR
18OCT17	4 OCT17	10224.00	10173.00		408.00DR
18OCT17	1 OCT17	10224.00	10173.50		101.00DR
18OCT17	2 OCT17	10224.00	10174.00		200.00DR
18OCT17	1 OCT17	10222.00	10174.00		96.00DR
18OCT17	1 OCT17	10220.00	10173.50		93.00DR
18OCT17	1 OCT17	10223.00	10174.50		97.00DR
18OCT17	1 OCT17	10224.00	10174.50		99.00DR
NYM Light Sweet Crude Oil 1,000 NYM					
18OCT17	1 NOV17	52.08	52.05		30.00DR
18OCT17	1 NOV17	52.09	52.10		10.00CR
18OCT17	1 NOV17	52.09	52.05		40.00DR
18OCT17	1 NOV17	52.09	52.06		30.00DR
18OCT17	1 NOV17	52.09	52.09		0.00
18OCT17	1 NOV17	52.09	52.09		0.00
18OCT17	1 NOV17	52.01	52.09		80.00CR
18OCT17	1 NOV17	52.03	52.09		60.00CR
18OCT17	1 NOV17	52.12	52.08		40.00DR
18OCT17	1 NOV17	52.15	52.09		60.00DR
18OCT17	1 NOV17	52.16	52.00		160.00DR
18OCT17	1 NOV17	52.15	51.79		360.00DR
18OCT17	1 NOV17	52.15	52.01		140.00DR
18OCT17	1 NOV17	52.16	52.03		130.00DR
18OCT17	1 NOV17	52.02	51.96		60.00DR
18OCT17	1 NOV17	52.04	51.96		80.00DR
18OCT17	1 NOV17	52.03	51.96		70.00DR
18OCT17	1 NOV17	52.04	51.96		80.00DR
18OCT17	1 NOV17	52.03	51.96		70.00DR
18OCT17	1 NOV17	52.28	51.96		320.00DR
18OCT17	1 NOV17	52.29	51.96		330.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 NOV17	52.30	51.96		340.00DR
18OCT17	1 NOV17	52.14	51.96		180.00DR
18OCT17	1 NOV17	51.78	51.96		180.00CR
18OCT17	2 NOV17	52.04	51.96		160.00DR
18OCT17	1 NOV17	52.04	51.96		80.00DR
18OCT17	2 NOV17	52.02	51.96		120.00DR
18OCT17	1 NOV17	52.02	50.53		1,490.00DR
18OCT17	1 NOV17	52.02	50.51		1,510.00DR
18OCT17	1 NOV17	52.02	50.60		1,420.00DR
18OCT17	1 NOV17	52.03	50.63		1,400.00DR
18OCT17	1 NOV17	52.03	51.04		990.00DR
18OCT17	1 NOV17	52.03	50.94		1,090.00DR
18OCT17	1 NOV17	52.03	50.91		1,120.00DR
18OCT17	1 NOV17	52.03	51.57		460.00DR
18OCT17	3 NOV17	52.03	51.68		1,050.00DR
18OCT17	1 NOV17	52.03	51.67		360.00DR
18OCT17	1 NOV17	52.04	51.66		380.00DR
18OCT17	1 NOV17	52.05	51.66		390.00DR
18OCT17	1 NOV17	52.04	51.64		400.00DR
18OCT17	1 NOV17	52.05	51.64		410.00DR
18OCT17	1 NOV17	52.05	51.62		430.00DR
18OCT17	1 NOV17	52.05	51.30		750.00DR
18OCT17	1 NOV17	52.05	51.21		840.00DR
18OCT17	1 NOV17	52.04	51.23		810.00DR
18OCT17	1 NOV17	52.05	51.19		860.00DR
18OCT17	1 NOV17	52.04	51.19		850.00DR
18OCT17	1 NOV17	52.04	52.17		130.00CR
18OCT17	1 NOV17	52.03	52.16		130.00CR
18OCT17	1 NOV17	51.97	52.16		190.00CR
18OCT17	1 NOV17	52.05	52.14		90.00CR
18OCT17	1 NOV17	52.05	52.32		270.00CR
18OCT17	1 NOV17	52.05	52.20		150.00CR
18OCT17	1 NOV17	52.05	52.09		40.00CR
18OCT17	1 NOV17	52.05	52.10		50.00CR
18OCT17	1 NOV17	52.05	52.21		160.00CR
18OCT17	1 NOV17	52.05	52.13		80.00CR
18OCT17	1 NOV17	52.05	52.14		90.00CR
18OCT17	1 NOV17	52.05	52.15		100.00CR
18OCT17	1 NOV17	52.05	52.11		60.00CR
18OCT17	1 NOV17	52.05	52.15		100.00CR
18OCT17	1 NOV17	52.05	52.08		30.00CR
18OCT17	1 NOV17	52.05	52.01		40.00DR
18OCT17	1 NOV17	52.05	51.72		330.00DR
18OCT17	1 DEC17	52.18	52.49		310.00CR
CMX Gold 100 CMX					
18OCT17	1 DEC17	1287.2	1281.8		540.00DR
18OCT17	1 DEC17	1287.2	1283.6		360.00DR
NYM Henry Hub Natural Gas 10,000 NYM					
18OCT17	1 NOV17	2.85900	2.91300		540.00CR
NYM Heating Oil 42,000 NYM					
18OCT17	1 NOV17	179.30	178.05		525.00DR
18OCT17	1 NOV17	179.37	177.60		743.40DR
CMX Silver 5,000 CMX					
18OCT17	1 DEC17	1741.0	1702.5		1,925.00DR
18OCT17	1 DEC17	1741.0	1703.0		1,900.00DR
TOTALS					
BROUGHT FORWARD BALANCE					5,895.30CR
SETTLEMENTS					
CMX Silver Futures					3,825.00DR
NYM Henry Hub Natural Gas Futures					540.00CR
NYM Heating Oil Futures					1,268.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Gold Futures				900.00DR
	NYM Light Sweet Crude Oil Futures				17,950.00DR
	CNX Nifty (India) Index Futures				42.00DR
	COMMISSIONS				315.07DR
	CARRIED FORWARD BALANCE				17,865.17DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		11715.00	4.60DR	
18OCT17	S 1 18JAN18 DMA EL		11720.00	4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11805.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11810.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11815.00		4.60DR	
18OCT17	S 1 18JAN18 DMA EL		11730.00	4.60DR	
18OCT17	S 1 18JAN18 DMA EL		11840.00	4.60DR	
18OCT17	S 1 18JAN18 DMA EL		11855.00	4.60DR	
18OCT17	B 2 18JAN18 DMA EL	11755.00		9.20DR	
18OCT17	B 1 18JAN18 DMA EL	11780.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11795.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11730.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11740.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11650.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11665.00		4.60DR	
18OCT17	B 1 18JAN18 DMA EL	11630.00		4.60DR	
18OCT17	B 2 18JAN18 DMA EL	11635.00		9.20DR	
	Commission				87.40DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2285.50	2285.50		0.00
18JUL17	1 18OCT17	2289.50	2272.00		437.50DR
18JUL17	1 18OCT17	2290.00	2297.50		187.50CR
19JUL17	1 18OCT17	2290.00	2217.30		1,817.50DR
19JUL17	2 18OCT17	2292.00	2217.30		3,735.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
25OCT16	3 18OCT17	10223.50	10343.00		2,151.00CR
18JUL17	1 18OCT17	9650.00	9610.00		240.00DR
18JUL17	1 18OCT17	9650.00	9635.00		90.00DR
18JUL17	1 18OCT17	9585.00	9635.00		300.00CR
18JUL17	1 18OCT17	9575.00	9640.00		390.00CR
18JUL17	1 18OCT17	9575.00	9640.00		390.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2800.00	2786.50		337.50DR
18JUL17	1 18OCT17	2801.00	2798.00		75.00DR
18JUL17	1 18OCT17	2796.50	2799.00		62.50CR
18JUL17	1 18OCT17	2796.00	2802.50		162.50CR
18JUL17	1 18OCT17	2795.50	2802.50		175.00CR
18JUL17	1 18OCT17	2793.00	2804.50		287.50CR
18JUL17	1 18OCT17	2791.50	2801.50		250.00CR
18JUL17	1 18OCT17	2799.50	2803.50		100.00CR
18JUL17	1 18OCT17	2799.50	2804.00		112.50CR
18JUL17	1 18OCT17	2801.00	2784.00		425.00DR
18JUL17	1 18OCT17	2800.00	2784.00		400.00DR
18JUL17	1 18OCT17	2797.00	2784.00		325.00DR
18JUL17	1 18OCT17	2785.00	2784.00		25.00DR
18JUL17	1 18OCT17	2785.00	2785.00		0.00
18JUL17	1 18OCT17	2783.00	2791.00		200.00CR
18JUL17	1 18OCT17	2778.00	2791.50		337.50CR
18JUL17	1 18OCT17	2784.50	2787.50		75.00CR
18JUL17	1 18OCT17	2823.00	2787.50		887.50DR
18JUL17	1 18OCT17	2823.00	2786.50		912.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034H

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2823.00	2792.00		775.00DR
18JUL17	1 18OCT17	2822.50	2789.50		825.00DR
18JUL17	1 18OCT17	2800.00	2823.00		575.00CR
24JUL17	1 18OCT17	2789.00	2824.00		875.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,895.10DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,802.50DR
	LME Zinc 25 tonnes. This is an LME Futures				1,775.00DR
	LME Nickel 6 tonnes. This is an LME Futures				2,901.00CR
	COMMISSIONS				87.40DR
	CARRIED FORWARD BALANCE				12,659.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034L

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
18OCT17	S 9 DEC17 CQG II		98.4850	13.50DR	
18OCT17	S 11 DEC17 CQG II		98.4900	16.50DR	
18OCT17	B 9 DEC18 CQG II	98.0400		13.50DR	
18OCT17	B 11 DEC18 CQG II	98.0450		16.50DR	
	Commission				60.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				103,926.30DR
	COMMISSIONS				60.00DR
	CARRIED FORWARD BALANCE				103,986.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0034Q 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU Gas Oil 100 IPE				
18OCT17	B 1 NOV17 TTR EL	533.750		1.58DR	
18OCT17	B 1 NOV17 TTR EL	534.000		1.58DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	S 2 DEC17 TTR EL		57.88	3.16DR	
18OCT17	S 2 DEC17 TTR EL		57.93	3.16DR	
	Commission				9.48DR
	<u>SETTLEMENTS</u>				
	IEU Gas Oil 100 IPE				
18OCT17	1 NOV17	533.750	529.250		450.00DR
18OCT17	1 NOV17	534.000	526.750		725.00DR
	IEU Brent Crude 1,000 IPE				
18OCT17	2 DEC17	56.80	57.88		2,160.00CR
18OCT17	1 DEC17	56.55	57.93		1,380.00CR
18OCT17	1 DEC17	56.55	57.93		1,380.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,190,502.08DR
	SETTLEMENTS				
	IEU Brent Crude Futures				4,920.00CR
	IEU Gas Oil Futures				1,175.00DR
	COMMISSIONS				9.48DR
	CARRIED FORWARD BALANCE				1,186,766.56DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0034

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		509,284.97CR
	SETTLEMENTS		
	CMX Gold Futures		900.00DR
	CMX Silver Futures		3,825.00DR
	CNX Nifty (India) Index Futures		42.00DR
	IEU Brent Crude Futures		4,920.00CR
	IEU Gas Oil Futures		1,175.00DR
	LME Lead 25 tonnes. This is an Futures		5,802.50DR
	LME Nickel 6 tonnes. This is an LME Futures		2,901.00CR
	LME Zinc 25 tonnes. This is an LME Futures		1,775.00DR
	NYM Heating Oil Futures		1,268.40DR
	NYM Henry Hub Natural Gas Futures		540.00CR
	NYM Light Sweet Crude Oil Futures		17,950.00DR
	COMMISSIONS		471.95DR
	CARRIED FORWARD BALANCE		484,436.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Gold 1,000 TCM				
18OCT17	S 6 AUG18 TTR EL		4638	1,740DR	
18OCT17	S 9 AUG18 TTR EL		4632	2,610DR	
	Commission				4,350DR
	<u>SETTLEMENTS</u>				
	Gold 1,000 TCM				
18OCT17	6 AUG18	4667	4638		174,000DR
18OCT17	9 AUG18	4667	4632		315,000DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,931,095CR
	SETTLEMENTS				
	Gold Futures				489,000DR
	COMMISSIONS				4,350DR
	CARRIED FORWARD BALANCE				10,437,745CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
18OCT17	S 1 DEC17 TTR GX		1290.0	2.30DR	
18OCT17	B 2 DEC17 TTR GX	1283.0		4.60DR	
	Commission				6.90DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
18OCT17	1 DEC17	1283.0	1290.0		700.00CR
18OCT17	1 DEC17	1283.0	1304.6		2,160.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				79,251.40DR
	SETTLEMENTS				
	CMX Gold Futures				2,860.00CR
	COMMISSIONS				6.90DR
	CARRIED FORWARD BALANCE				76,398.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP00380

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
18OCT17	B 2 DEC18 TTR EL 51.85			3.36DR	
18OCT17	B 2 DEC18 TTR EL 52.02			3.36DR	
18OCT17	B 6 DEC18 TTR EL 51.84			10.08DR	
18OCT17	B 2 DEC18 TTR EL 51.82			3.36DR	
18OCT17	B 2 DEC18 TTR EL 51.83			3.36DR	
18OCT17	S 2 JUN19 TTR EL 51.12		51.12	3.36DR	
18OCT17	S 2 JUN19 TTR EL 51.14		51.14	3.36DR	
18OCT17	S 2 DEC19 TTR EL 50.57		50.57	3.36DR	
18OCT17	S 2 DEC19 TTR EL 50.78		50.78	3.36DR	
18OCT17	S 2 DEC19 TTR EL 50.64		50.64	3.36DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	S 4 DEC18 TTR EL 55.94		55.94	6.72DR	
18OCT17	S 2 DEC18 TTR EL 56.15		56.15	3.36DR	
18OCT17	S 2 DEC18 TTR EL 55.89		55.89	3.36DR	
18OCT17	S 6 DEC18 TTR EL 55.92		55.92	10.08DR	
18OCT17	B 2 JUN19 TTR EL 55.26	55.26		3.36DR	
18OCT17	B 2 JUN19 TTR EL 55.25	55.25		3.36DR	
18OCT17	B 2 DEC19 TTR EL 54.82	54.82		3.36DR	
18OCT17	B 2 DEC19 TTR EL 55.02	55.02		3.36DR	
18OCT17	B 2 DEC19 TTR EL 54.79	54.79		3.36DR	
	Commission				80.64DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
18OCT17	1 JUN19 51.15	51.15	51.12		30.00DR
18OCT17	1 JUN19 51.15	51.15	51.12		30.00DR
18OCT17	1 JUN19 51.12	51.12	51.14		20.00CR
18OCT17	1 JUN19 51.05	51.05	51.14		90.00CR
	IEU Brent Crude 1,000 IPE				
18OCT17	1 JUN19 55.26	55.26	55.36		100.00CR
18OCT17	1 JUN19 55.26	55.26	55.38		120.00CR
18OCT17	1 JUN19 55.25	55.25	55.35		100.00CR
18OCT17	1 JUN19 55.25	55.25	55.25		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				69,431.73CR
	SETTLEMENTS				
	IEU Brent Crude Futures				320.00CR
	IEU WTI Crude Oil Futures Futures				50.00CR
	COMMISSIONS				80.64DR
	CARRIED FORWARD BALANCE				69,721.09CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
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SAPG0038

18th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		10,931,095CR
	SETTLEMENTS		
	Gold Futures		489,000DR
	COMMISSIONS		4,350DR
	CARRIED FORWARD BALANCE		10,437,745CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		228,896.08CR
	SETTLEMENTS		
	CMX Gold Futures		2,860.00CR
	IEU Brent Crude Futures		320.00CR
	IEU WTI Crude Oil Futures Futures		50.00CR
	COMMISSIONS		87.54DR
	CARRIED FORWARD BALANCE		232,038.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
11SEP17	1 18OCT17	2265.00	2257.80		180.00DR
11SEP17	1 18OCT17	2265.00	2257.80		180.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				965.05CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				360.00DR
	CARRIED FORWARD BALANCE				605.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 3 18JAN18 DMA EL	2504.00		15.00DR	
18OCT17	B 1 18JAN18 DMA EL	2479.50		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		3067.00	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3067.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3068.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3069.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3070.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3072.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3074.50	5.00DR	
18OCT17	S 4 18JAN18 DMA EL		3075.00	20.00DR	
18OCT17	S 1 18JAN18 DMA EL		3091.00	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3092.00	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3092.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3094.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3087.00	5.00DR	
18OCT17	S 2 18JAN18 DMA EL		3088.50	10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3087.00		10.00DR	
18OCT17	S 1 18JAN18 DMA EL		3095.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3110.50	5.00DR	
18OCT17	S 2 18JAN18 DMA EL		3111.00	10.00DR	
18OCT17	S 1 18JAN18 DMA EL		3112.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3119.50	5.00DR	
18OCT17	S 2 18JAN18 DMA EL		3095.00	10.00DR	
18OCT17	B 2 18JAN18 DMA EL	3086.50		10.00DR	
18OCT17	S 2 18JAN18 DMA EL		3115.00	10.00DR	
18OCT17	S 1 18JAN18 DMA EL		3109.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3113.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3114.50	5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3124.50	5.00DR	
	Commission				200.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5985.50	5986.00		12.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
31JUL17	1 18OCT17	10212.50	9650.00		3,375.00DR
31JUL17	1 18OCT17	10212.50	9650.00		3,375.00DR
31JUL17	1 18OCT17	10212.50	9670.00		3,255.00DR
31JUL17	1 18OCT17	10212.50	9670.00		3,255.00DR
31JUL17	1 18OCT17	10212.50	9670.00		3,255.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2793.50	2794.00		12.50CR
18JUL17	1 18OCT17	2798.00	2794.00		100.00DR
18JUL17	1 18OCT17	2796.00	2794.00		50.00DR
18JUL17	1 18OCT17	2795.50	2789.50		150.00DR
18JUL17	1 18OCT17	2795.50	2790.00		137.50DR
18JUL17	1 18OCT17	2795.50	2790.00		137.50DR
18JUL17	1 18OCT17	2795.50	2784.50		275.00DR
18JUL17	1 18OCT17	2791.50	2788.50		75.00DR
18JUL17	1 18OCT17	2791.50	2792.00		12.50CR
18JUL17	1 18OCT17	2792.00	2792.00		0.00

Group No: SAPG0045

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2792.00	2791.50		12.50DR
18JUL17	1 18OCT17	2793.50	2791.50		50.00DR
18JUL17	1 18OCT17	2793.00	2792.00		25.00DR
18JUL17	1 18OCT17	2791.00	2792.00		25.00CR
18JUL17	1 18OCT17	2790.50	2793.00		62.50CR
18JUL17	1 18OCT17	2790.00	2792.50		62.50CR
18JUL17	1 18OCT17	2792.50	2792.50		0.00
18JUL17	1 18OCT17	2791.50	2792.50		25.00CR
18JUL17	1 18OCT17	2791.50	2796.00		112.50CR
18JUL17	1 18OCT17	2792.50	2796.00		87.50CR
18JUL17	1 18OCT17	2792.50	2796.50		100.00CR
18JUL17	1 18OCT17	2777.50	2796.50		475.00CR
18JUL17	1 18OCT17	2778.50	2796.50		450.00CR
18JUL17	1 18OCT17	2778.50	2796.50		450.00CR
18JUL17	1 18OCT17	2779.00	2794.00		375.00CR
18JUL17	1 18OCT17	2780.00	2794.00		350.00CR
18JUL17	1 18OCT17	2781.00	2794.00		325.00CR
18JUL17	1 18OCT17	2781.00	2789.00		200.00CR
18JUL17	1 18OCT17	2779.00	2789.00		250.00CR
18JUL17	1 18OCT17	2779.00	2789.50		262.50CR
18JUL17	1 18OCT17	2784.50	2790.50		150.00CR
21JUL17	1 18OCT17	2755.70	2790.50		870.00CR
21JUL17	1 18OCT17	2755.70	2790.50		870.00CR
21JUL17	1 18OCT17	2755.70	2789.50		845.00CR
21JUL17	1 18OCT17	2755.70	2789.50		845.00CR
21JUL17	1 18OCT17	2755.70	2790.00		857.50CR
21JUL17	1 18OCT17	2755.70	2789.50		845.00CR
21JUL17	1 18OCT17	2755.70	2789.50		845.00CR
21JUL17	1 18OCT17	2755.70	2790.00		857.50CR
21JUL17	1 18OCT17	2755.70	2789.50		845.00CR
21JUL17	1 18OCT17	2755.70	2790.00		857.50CR
21JUL17	1 18OCT17	2755.70	2790.00		857.50CR
TOTALS					
BROUGHT FORWARD BALANCE					157,405.21CR
SETTLEMENTS					
LME Copper Grade A 25 tonnes. This Futures					12.50CR
LME Zinc 25 tonnes. This is an LME Futures					10,480.00CR
LME Nickel 6 tonnes. This is an LME Futures					16,515.00DR
COMMISSIONS					200.00DR
CARRIED FORWARD BALANCE					151,182.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 1 18JAN18 TTR EL		2117.50	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	7045.50		5.00DR	
18OCT17	B 2 18JAN18 TTR EL	7043.00		10.00DR	
18OCT17	B 1 18JAN18 TTR EL	7041.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7037.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7033.50		5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	11795.00		5.00DR	
18OCT17	B 2 18JAN18 TTR EL	11800.00		10.00DR	
18OCT17	B 1 18JAN18 TTR EL	11805.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	11810.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	11820.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11835.00	5.00DR	
18OCT17	B 3 18JAN18 TTR EL	11850.00		15.00DR	
18OCT17	S 1 18JAN18 TTR EL		11845.00	5.00DR	
18OCT17	S 2 18JAN18 TTR EL		11775.00	10.00DR	
18OCT17	S 1 18JAN18 TTR EL		11720.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11735.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11740.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11715.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11690.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11670.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11650.00	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	20040.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	3092.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3069.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3107.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3103.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3129.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3114.50	5.00DR	
	Commission				170.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,458.90DR
	COMMISSIONS				170.00DR
	CARRIED FORWARD BALANCE				14,628.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0045

18th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		832,178.57CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		12.50CR
	LME Lead 25 tonnes. This is an Futures		360.00DR
	LME Nickel 6 tonnes. This is an LME Futures		16,515.00DR
	LME Zinc 25 tonnes. This is an LME Futures		10,480.00CR
	COMMISSIONS		370.00DR
	CARRIED FORWARD BALANCE		825,426.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
18OCT17	S 1 DEC17 1285.0 Put GX		18.4	2.35DR	1,840.00CR
18OCT17	S 2 DEC17 1280.0 Put GX		15.9	4.70DR	3,180.00CR
	Commission				7.05DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				184,602.85CR
	OPTION PREMIUMS				5,020.00CR
	COMMISSIONS				7.05DR
	CARRIED FORWARD BALANCE				189,615.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 CQG GX	2.86100		2.35DR	
18OCT17	B 2 NOV17 CQG GX	2.85900		4.70DR	
18OCT17	B 1 NOV17 CQG GX	2.86500		2.35DR	
18OCT17	B 4 NOV17 CQG GX	2.86600		9.40DR	
18OCT17	B 1 NOV17 CQG GX	2.86700		2.35DR	
	Commission				21.15DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				122,648.16CR
	COMMISSIONS				21.15DR
	CARRIED FORWARD BALANCE				122,627.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
18OCT17	B 3 DEC17 GX 1284.9	1284.9		7.05DR	
18OCT17	B 1 DEC17 GX 1284.5	1284.5		2.35DR	
18OCT17	B 2 DEC17 GX 1283.8	1283.8		4.70DR	
18OCT17	S 1 DEC17 GX 1282.3		1282.3	2.35DR	
	Commission				16.45DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
18OCT17	1 DEC17 1284.9	1284.9	1282.3		260.00DR
18OCT17	1 DEC17 1284.9	1284.9	1286.8		190.00CR
18OCT17	1 DEC17 1284.9	1284.9	1287.1		220.00CR
18OCT17	1 DEC17 1284.5	1284.5	1287.0		250.00CR
18OCT17	1 DEC17 1283.8	1283.8	1287.0		320.00CR
18OCT17	1 DEC17 1283.8	1283.8	1287.1		330.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				138,022.75CR
	SETTLEMENTS				
	CMX Gold Futures				1,050.00CR
	COMMISSIONS				16.45DR
	CARRIED FORWARD BALANCE				139,056.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 CQG GX 320.450			2.35DR	
18OCT17	B 1 DEC17 CQG GX 320.400			2.35DR	
18OCT17	B 2 DEC17 CQG GX 320.350			4.70DR	
18OCT17	S 1 DEC17 CQG GX		318.650	2.35DR	
18OCT17	S 3 DEC17 CQG GX		318.750	7.05DR	
18OCT17	S 1 DEC17 CQG GX		318.900	2.35DR	
18OCT17	B 1 DEC17 CQG GX 317.700			2.35DR	
18OCT17	B 1 DEC17 CQG GX 317.600			2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 CQG GX 2.88400			2.35DR	
18OCT17	B 1 NOV17 CQG GX 2.89300			2.35DR	
18OCT17	B 1 NOV17 CQG GX 2.89100			2.35DR	
18OCT17	B 1 NOV17 CQG GX 2.89000			2.35DR	
18OCT17	B 1 NOV17 CQG GX 2.88900			2.35DR	
18OCT17	B 3 NOV17 CQG GX 2.88800			7.05DR	
18OCT17	B 4 NOV17 CQG GX 2.88700			9.40DR	
18OCT17	B 4 NOV17 CQG GX 2.88600			9.40DR	
18OCT17	B 3 NOV17 CQG GX 2.88300			7.05DR	
18OCT17	B 1 NOV17 CQG GX 2.88100			2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 5 02NOV17 SGL UD 3163.15			13.50DR	
18OCT17	B 2 02NOV17 SGL UD 3089.15			5.40DR	
18OCT17	B 1 02NOV17 SGL UD 3090.01			2.70DR	
18OCT17	B 2 02NOV17 SGL UD 3084.65			5.40DR	
18OCT17	B 1 18JAN18 CQG EL 3051.00			5.00DR	
18OCT17	B 1 18JAN18 CQG EL 3083.50			5.00DR	
18OCT17	B 1 18JAN18 CQG EL 3082.00			5.00DR	
18OCT17	B 2 18JAN18 CQG EL 3075.50			10.00DR	
18OCT17	B 1 18JAN18 CQG EL 3088.00			5.00DR	
18OCT17	B 2 18JAN18 CQG EL 3102.00			10.00DR	
18OCT17	B 1 18JAN18 CQG EL 3106.50			5.00DR	
18OCT17	B 1 18JAN18 CQG EL 3107.00			5.00DR	
18OCT17	B 1 18JAN18 CQG EL 3109.00			5.00DR	
18OCT17	S 5 18JAN18 SGL UD 3125.35			13.50DR	
18OCT17	S 2 18JAN18 SGL UD 3069.35			5.40DR	
18OCT17	S 1 18JAN18 SGL UD 3066.35			2.70DR	
18OCT17	S 2 18JAN18 SGL UD 3064.85			5.40DR	
18OCT17	B 3 18JAN18 CQG EL 3115.00			15.00DR	
	Commission				196.85DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17 320.450 318.750			425.00DR	
18OCT17	1 DEC17 320.350 318.650			425.00DR	
18OCT17	1 DEC17 320.400 318.750			412.50DR	
18OCT17	1 DEC17 320.350 318.750			400.00DR	
18OCT17	1 DEC17 317.700 318.900			300.00CR	
18OCT17	1 DEC17 317.600 308.500			2,275.00DR	
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				59,434.85DR
	SETTLEMENTS				
	CMX Copper Futures				3,637.50DR
	COMMISSIONS				196.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0054H

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				63,269.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
18OCT17	S 1 MAR18 CQG GX		321.050	2.35DR	
18OCT17	S 1 MAR18 CQG GX		321.200	2.35DR	
	Commission				4.70DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2791.50	2793.80		57.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				192,708.20CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				57.50CR
	COMMISSIONS				4.70DR
	CARRIED FORWARD BALANCE				192,761.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	B 3 NOV17 TTR GX 52.14			7.05DR	
18OCT17	S 3 DEC17 TTR GX		52.48	7.05DR	
	Commission				14.10DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	52.14	50.39		1,750.00DR
18OCT17	2 NOV17	52.14	50.46		3,360.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2285.50	2266.50		475.00DR
28JUL17	1 18OCT17	2285.50	2319.55		851.25CR
28JUL17	1 18OCT17	2275.50	2319.55		1,101.25CR
28JUL17	1 18OCT17	2274.50	2319.55		1,126.25CR
28JUL17	1 18OCT17	2275.50	2319.55		1,101.25CR
28JUL17	12 18OCT17	2308.70	2319.55		3,255.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2791.50	2802.50		275.00CR
18JUL17	1 18OCT17	2780.50	2784.50		100.00CR
28JUL17	1 18OCT17	2775.75	2794.50		468.75CR
28JUL17	1 18OCT17	2775.75	2794.00		456.25CR
28JUL17	1 18OCT17	2775.75	2793.50		443.75CR
28JUL17	1 18OCT17	2775.75	2792.50		418.75CR
28JUL17	12 18OCT17	2775.75	2801.25		7,650.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				339,135.66CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				6,960.00CR
	LME Zinc 25 tonnes. This is an LME Futures				9,812.50CR
	NYM Light Sweet Crude Oil Futures				5,110.00DR
	COMMISSIONS				14.10DR
	CARRIED FORWARD BALANCE				350,784.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,027,201.42CR
	OPTION PREMIUMS		5,020.00CR
	SETTLEMENTS		
	CMX Copper Futures		3,637.50DR
	CMX Gold Futures		1,050.00CR
	LME Lead 25 tonnes. This is an Futures		6,960.00CR
	LME Zinc 25 tonnes. This is an LME Futures		9,870.00CR
	NYM Light Sweet Crude Oil Futures		5,110.00DR
	COMMISSIONS		260.30DR
	CARRIED FORWARD BALANCE		1,041,093.62CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 1 18JAN18 TTR EL		2118.00	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	7042.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7065.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7049.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7058.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7044.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		6987.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		6991.00	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		2473.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 3 18JAN18 TTR EL	11775.00		15.00DR	
18OCT17	B 3 18JAN18 TTR EL	11770.00		15.00DR	
18OCT17	B 1 18JAN18 TTR EL	11780.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	11820.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	11825.00		5.00DR	
18OCT17	B 4 18JAN18 TTR EL	11830.00		20.00DR	
18OCT17	B 1 18JAN18 TTR EL	11835.00		5.00DR	
18OCT17	B 4 18JAN18 TTR EL	11840.00		20.00DR	
18OCT17	B 5 18JAN18 TTR EL	11850.00		25.00DR	
18OCT17	S 1 18JAN18 TTR EL		11805.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11790.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11775.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11780.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11750.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		11735.00	5.00DR	
18OCT17	S 2 18JAN18 TTR EL		11740.00	10.00DR	
18OCT17	S 1 18JAN18 TTR EL		11715.00	5.00DR	
18OCT17	S 4 18JAN18 TTR EL		11720.00	20.00DR	
18OCT17	S 3 18JAN18 TTR EL		11710.00	15.00DR	
	CMX Silver 5,000 CMX				
18OCT17	S 1 DEC17 TTR GX		1705.0	2.30DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	20120.00		5.00DR	
18OCT17	B 1 18JAN18 DMA EL	20025.00		5.00DR	
	Commission				252.30DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
18OCT17	1 DEC17	1709.0	1705.0		200.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				82,786.77CR
	SETTLEMENTS				
	CMX Silver Futures				200.00DR
	COMMISSIONS				252.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				82,334.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000 NYM								
18OCT17	S	1	NOV17	TTR	GX		52.05	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.06	2.30DR	
18OCT17	S	3	NOV17	TTR	GX		52.01	6.90DR	
18OCT17	S	1	NOV17	TTR	GX		52.02	2.30DR	
18OCT17	S	5	NOV17	TTR	GX		52.03	11.50DR	
18OCT17	S	12	NOV17	TTR	GX		52.04	27.60DR	
18OCT17	S	1	NOV17	TTR	GX		52.15	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.16	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.14	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.09	2.30DR	
18OCT17	B	2	NOV17	TTR	GX	52.02		4.60DR	
18OCT17	S	1	NOV17	TTR	GX		52.08	2.30DR	
18OCT17	B	1	NOV17	TTR	GX	52.09		2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.07	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.10	2.30DR	
18OCT17	S	1	NOV17	TTR	GX		52.11	2.30DR	
18OCT17	B	1	NOV17	TTR	GX	52.07		2.30DR	
18OCT17	B	2	NOV17	TTR	GX	52.08		4.60DR	
18OCT17	B	7	NOV17	TTR	GX	52.05		16.10DR	
18OCT17	B	6	NOV17	TTR	GX	52.06		13.80DR	
18OCT17	B	8	NOV17	TTR	GX	52.04		18.40DR	
18OCT17	B	4	NOV17	TTR	GX	52.03		9.20DR	
18OCT17	S	1	DEC17	TTR	GX		52.32	2.30DR	
18OCT17	B	1	DEC17	TTR	GX	52.28		2.30DR	
18OCT17	S	1	DEC17	TTR	GX		52.33	2.30DR	
18OCT17	S	1	DEC17	TTR	GX		52.35	2.30DR	
18OCT17	S	2	DEC17	TTR	GX		52.40	4.60DR	
18OCT17	S	1	DEC17	TTR	GX		52.43	2.30DR	
18OCT17	S	1	DEC17	TTR	GX		52.51	2.30DR	
18OCT17	S	6	DEC17	TTR	GX		52.47	13.80DR	
18OCT17	S	5	DEC17	TTR	GX		52.49	11.50DR	
18OCT17	S	2	DEC17	TTR	GX		52.46	4.60DR	
18OCT17	S	1	DEC17	TTR	GX		52.48	2.30DR	
18OCT17	B	1	DEC17	TTR	GX	52.07		2.30DR	
18OCT17	B	1	DEC17	TTR	GX	51.97		2.30DR	
18OCT17	B	1	DEC17	TTR	GX	51.95		2.30DR	
18OCT17	B	1	DEC17	TTR	GX	51.96		2.30DR	
18OCT17	B	2	DEC17	TTR	GX	52.23		4.60DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
18OCT17	S	1	18JAN18	TTR	EL		2491.50	5.00DR	
18OCT17	S	3	18JAN18	TTR	EL		2491.00	15.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2490.50	5.00DR	
18OCT17	B	3	18JAN18	TTR	EL	2480.00		15.00DR	
18OCT17	B	2	18JAN18	TTR	EL	2478.50		10.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2489.50	5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2473.00		5.00DR	
18OCT17	S	2	18JAN18	TTR	EL		2506.00	10.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2508.00	5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2474.00		5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2473.50		5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2472.50		5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		2500.00	5.00DR	
18OCT17	B	2	18JAN18	DMA	EL	2476.00		10.00DR	
18OCT17	S	2	18JAN18	TTR	EL		2504.00	10.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2505.00	5.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2504.50	5.00DR	
18OCT17	S	2	18JAN18	TTR	EL		2506.50	10.00DR	
18OCT17	S	1	18JAN18	TTR	EL		2512.50	5.00DR	
18OCT17	B	2	18JAN18	TTR	EL	2509.00		10.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2510.50		5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	2510.00		5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

18th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B 2 18JAN18 TTR EL LME Zinc 25 tonnes. This is an LME registered client contract	2511.50		10.00DR	
18OCT17	S 1 18JAN18 TTR EL		3088.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3087.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3070.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3064.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3062.00	5.00DR	
18OCT17	S 2 18JAN18 TTR EL		3060.50	10.00DR	
18OCT17	S 1 18JAN18 TTR EL		3060.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3046.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3050.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3051.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3084.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3083.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3088.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3092.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3091.50		5.00DR	
18OCT17	S 1 18JAN18 DMA EL		3083.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3115.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		3110.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3113.00		5.00DR	
18OCT17	B 2 18JAN18 TTR EL	3115.00		10.00DR	
18OCT17	B 1 18JAN18 DMA EL	3114.50		5.00DR	
	Commission				492.00DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	52.04	52.05		10.00CR
18OCT17	1 NOV17	52.04	52.06		20.00CR
18OCT17	1 NOV17	52.03	52.04		10.00CR
18OCT17	2 NOV17	52.02	52.04		40.00CR
18OCT17	1 NOV17	52.04	52.04		0.00
18OCT17	1 NOV17	52.08	52.04		40.00DR
18OCT17	1 NOV17	52.09	52.01		80.00DR
18OCT17	2 NOV17	52.03	52.04		20.00CR
18OCT17	1 NOV17	52.06	52.04		20.00DR
18OCT17	1 NOV17	52.06	52.04		20.00DR
18OCT17	1 NOV17	52.07	52.04		30.00DR
18OCT17	1 NOV17	52.06	52.01		50.00DR
18OCT17	1 NOV17	52.08	52.01		70.00DR
18OCT17	1 NOV17	52.06	52.02		40.00DR
18OCT17	5 NOV17	52.05	52.03		100.00DR
18OCT17	1 NOV17	52.05	52.04		10.00DR
18OCT17	1 NOV17	52.05	52.04		10.00DR
18OCT17	1 NOV17	52.06	52.15		90.00CR
18OCT17	1 NOV17	52.06	52.16		100.00CR
18OCT17	1 NOV17	52.04	52.14		100.00CR
18OCT17	1 NOV17	52.04	52.09		50.00CR
18OCT17	1 NOV17	52.04	52.08		40.00CR
18OCT17	1 NOV17	52.04	52.07		30.00CR
18OCT17	1 NOV17	52.04	52.10		60.00CR
18OCT17	1 NOV17	52.03	52.11		80.00CR
18OCT17	1 DEC17	52.28	52.32		40.00CR
18OCT17	1 DEC17	52.07	52.33		260.00CR
18OCT17	1 DEC17	51.97	52.35		380.00CR
18OCT17	1 DEC17	51.95	52.40		450.00CR
18OCT17	1 DEC17	51.96	52.40		440.00CR
18OCT17	1 DEC17	52.23	52.43		200.00CR
18OCT17	1 DEC17	52.23	52.47		240.00CR
	MATCH OUTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17	1907.00	1905.50		37.50DR
18JUL17	1 18OCT17	1903.00	1908.50		137.50CR
05SEP17	1 18OCT17	2086.25	1914.00		4,306.25DR
05SEP17	1 18OCT17	2086.25	1914.00		4,306.25DR
05SEP17	1 18OCT17	2086.25	1918.00		4,206.25DR
05SEP17	1 18OCT17	2086.25	1920.50		4,143.75DR
05SEP17	1 18OCT17	2086.25	1923.00		4,081.25DR
05SEP17	1 18OCT17	2086.25	1923.00		4,081.25DR
05SEP17	2 18OCT17	2086.25	1924.00		8,112.50DR
05SEP17	1 18OCT17	2086.25	1915.50		4,268.75DR
05SEP17	1 18OCT17	2086.25	1924.50		4,043.75DR
05SEP17	1 18OCT17	2086.25	1931.50		3,868.75DR
05SEP17	2 18OCT17	2086.25	1930.00		7,812.50DR
05SEP17	1 18OCT17	2086.25	1931.00		3,881.25DR
05SEP17	1 18OCT17	2086.25	1931.00		3,881.25DR
05SEP17	1 18OCT17	2086.25	1931.00		3,881.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2300.50	2304.00		87.50CR
18JUL17	1 18OCT17	2300.50	2285.50		375.00DR
18JUL17	1 18OCT17	2301.50	2268.50		825.00DR
18JUL17	1 18OCT17	2302.50	2274.50		700.00DR
18JUL17	1 18OCT17	2302.00	2274.50		687.50DR
18JUL17	1 18OCT17	2287.00	2274.50		312.50DR
18JUL17	1 18OCT17	2289.50	2274.50		375.00DR
05SEP17	1 18OCT17	2318.75	2274.50		1,106.25DR
05SEP17	1 18OCT17	2318.75	2272.50		1,156.25DR
05SEP17	1 18OCT17	2318.75	2274.50		1,106.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9660.00	9635.00		150.00DR
18JUL17	1 18OCT17	9650.00	9645.00		30.00DR
18JUL17	1 18OCT17	9655.00	9645.00		60.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2822.00	2819.00		75.00DR
18JUL17	1 18OCT17	2823.00	2818.00		125.00DR
18JUL17	1 18OCT17	2823.00	2818.50		112.50DR
18JUL17	1 18OCT17	2821.50	2819.00		62.50DR
18JUL17	1 18OCT17	2822.00	2823.00		25.00CR
18JUL17	1 18OCT17	2821.00	2815.00		150.00DR
18JUL17	1 18OCT17	2822.50	2815.00		187.50DR
18JUL17	1 18OCT17	2806.50	2815.50		225.00CR
18JUL17	1 18OCT17	2803.00	2815.00		300.00CR
18JUL17	1 18OCT17	2801.00	2815.00		350.00CR
18JUL17	1 18OCT17	2800.50	2799.50		25.00DR
18JUL17	1 18OCT17	2801.00	2800.00		25.00DR
18JUL17	1 18OCT17	2800.00	2801.00		25.00CR
18JUL17	1 18OCT17	2798.50	2801.50		75.00CR
18JUL17	1 18OCT17	2797.50	2802.00		112.50CR
18JUL17	1 18OCT17	2800.50	2804.00		87.50CR
18JUL17	1 18OCT17	2801.00	2804.00		75.00CR
18JUL17	1 18OCT17	2801.50	2804.00		62.50CR
18JUL17	1 18OCT17	2801.50	2795.00		162.50DR
18JUL17	1 18OCT17	2801.50	2803.50		50.00CR
18JUL17	1 18OCT17	2801.50	2804.50		75.00CR
18JUL17	1 18OCT17	2801.00	2801.00		0.00
18JUL17	1 18OCT17	2801.00	2771.00		750.00DR
18JUL17	1 18OCT17	2796.50	2783.00		337.50DR
18JUL17	1 18OCT17	2796.00	2783.00		325.00DR
18JUL17	1 18OCT17	2795.50	2783.00		312.50DR
18JUL17	1 18OCT17	2792.00	2783.50		212.50DR

Group No: SAPG0083

Page 3 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2792.00	2783.50		212.50DR
18JUL17	1 18OCT17	2796.50	2784.00		312.50DR
18JUL17	1 18OCT17	2794.50	2784.00		262.50DR
18JUL17	1 18OCT17	2796.50	2784.00		312.50DR
18JUL17	1 18OCT17	2799.00	2786.00		325.00DR
18JUL17	1 18OCT17	2801.00	2785.50		387.50DR
18JUL17	1 18OCT17	2800.00	2782.50		437.50DR
18JUL17	1 18OCT17	2796.00	2781.00		375.00DR
18JUL17	1 18OCT17	2795.50	2781.00		362.50DR
18JUL17	1 18OCT17	2795.50	2784.00		287.50DR
18JUL17	1 18OCT17	2792.00	2784.00		200.00DR
18JUL17	1 18OCT17	2792.00	2784.00		200.00DR
18JUL17	1 18OCT17	2791.50	2782.00		237.50DR
18JUL17	1 18OCT17	2785.50	2784.00		37.50DR
18JUL17	1 18OCT17	2782.00	2784.50		62.50CR
18JUL17	1 18OCT17	2782.50	2792.50		250.00CR
18JUL17	1 18OCT17	2783.50	2792.50		225.00CR
18JUL17	1 18OCT17	2783.00	2792.00		225.00CR
18JUL17	1 18OCT17	2782.00	2791.00		225.00CR
18JUL17	1 18OCT17	2779.50	2792.50		325.00CR
18JUL17	1 18OCT17	2777.50	2792.50		375.00CR
18JUL17	1 18OCT17	2779.00	2790.50		287.50CR
18JUL17	1 18OCT17	2780.50	2787.00		162.50CR
18JUL17	1 18OCT17	2780.50	2790.00		237.50CR
18JUL17	2 18OCT17	2779.50	2791.50		600.00CR
18JUL17	1 18OCT17	2779.00	2792.50		337.50CR
18JUL17	1 18OCT17	2779.00	2781.00		50.00CR
18JUL17	1 18OCT17	2778.00	2783.00		125.00CR
18JUL17	1 18OCT17	2777.50	2781.00		87.50CR
18JUL17	1 18OCT17	2777.50	2790.00		312.50CR
18JUL17	1 18OCT17	2777.50	2788.00		262.50CR
18JUL17	1 18OCT17	2777.50	2787.00		237.50CR
18JUL17	1 18OCT17	2773.50	2787.50		350.00CR
18JUL17	1 18OCT17	2772.00	2788.00		400.00CR
18JUL17	1 18OCT17	2770.50	2789.00		462.50CR
18JUL17	1 18OCT17	2771.50	2794.00		562.50CR
18JUL17	1 18OCT17	2771.50	2795.00		587.50CR
18JUL17	1 18OCT17	2821.00	2793.00		700.00DR
18JUL17	1 18OCT17	2810.50	2796.00		362.50DR
18JUL17	1 18OCT17	2800.50	2794.00		162.50DR
18JUL17	1 18OCT17	2801.50	2794.00		187.50DR
18JUL17	1 18OCT17	2793.00	2795.50		62.50CR
05SEP17	1 18OCT17	2772.50	3137.80		9,132.50CR
05SEP17	1 18OCT17	2780.00	3137.80		8,945.00CR
05SEP17	1 18OCT17	2777.00	3137.80		9,020.00CR
TOTALS					
BROUGHT FORWARD BALANCE					250,015.30CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					6,556.25DR
LME Zinc 25 tonnes. This is an LME Futures					27,147.50CR
LME Nickel 6 tonnes. This is an LME Futures					240.00DR
NYM Light Sweet Crude Oil Futures					2,190.00CR
LME Aluminium 25 Tonnes. This is an Futures					64,775.00DR
COMMISSIONS					492.00DR
CARRIED FORWARD BALANCE					207,289.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YL
18th October 2017
COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		3058.00	5.00DR	
18OCT17	B 1 18JAN18 DMA EL	3046.00		5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2272.50	2285.50		325.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2786.00	2786.50		12.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,768.83CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				325.00CR
	LME Zinc 25 tonnes. This is an LME Futures				12.50CR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				10,096.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YO

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 20130.00	20130.00		5.00DR	
18OCT17	B 1 18JAN18 DMA EL 20080.00	20080.00		5.00DR	
18OCT17	S 1 18JAN18 DMA EL		20085.00	5.00DR	
	Commission				15.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,608.58CR
	COMMISSIONS				15.00DR
	CARRIED FORWARD BALANCE				21,593.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083ZC
18th October 2017
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19950.00	19950.00		0.00
18JUL17	1 18OCT17	20035.00	20065.00		150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,740.32CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00CR
	CARRIED FORWARD BALANCE				8,890.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 TTR EL		3065.50	10.00DR	
18OCT17	B 1 18JAN18 TTR EL	3043.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	3052.00		5.00DR	
	Commission				20.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,803.11CR
	COMMISSIONS				20.00DR
	CARRIED FORWARD BALANCE				43,783.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Sterling 62,500 CME				
18OCT17	B 3 DEC17 TTR GX 1.32130			6.75DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL 2504.00			5.00DR	
18OCT17	B 1 18JAN18 TTR EL 2503.50			5.00DR	
18OCT17	S 2 18JAN18 TTR EL 2491.00		2491.00	10.00DR	
18OCT17	B 2 18JAN18 TTR EL 2471.00			10.00DR	
18OCT17	S 2 18JAN18 TTR EL 2504.00		2504.00	10.00DR	
	CBT Mini-Sized Dow 5 CBT				
18OCT17	S 2 DEC17 TTR GX 22987.00		22987.00	4.50DR	
	CME E-Mini S&P 500 50 CME				
18OCT17	B 1 DEC17 TTR GX 2557.75			2.25DR	
18OCT17	S 1 DEC17 TTR GX 2557.75		2557.75	2.25DR	
18OCT17	B 1 DEC17 TTR GX 2557.25			2.25DR	
18OCT17	S 1 DEC17 TTR GX 2557.25		2557.25	2.25DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL 11715.00		11715.00	5.00DR	
18OCT17	S 2 18JAN18 TTR EL 11800.00		11800.00	10.00DR	
18OCT17	S 1 18JAN18 TTR EL 11795.00		11795.00	5.00DR	
18OCT17	B 1 18JAN18 TTR EL 11715.00			5.00DR	
18OCT17	B 1 18JAN18 TTR EL 11640.00			5.00DR	
18OCT17	B 2 18JAN18 TTR EL 11635.00			10.00DR	
18OCT17	S 1 18JAN18 TTR EL 11655.00		11655.00	5.00DR	
18OCT17	B 1 18JAN18 DMA EL 11670.00			5.00DR	
	Commission				110.25DR
	<u>SETTLEMENTS</u>				
	CME Sterling 62,500 CME				
18OCT17	3 DEC17 1.32130		1.33090		1,800.00CR
	CME E-Mini S&P 500 50 CME				
18OCT17	1 DEC17 2557.75		2557.75		0.00
18OCT17	1 DEC17 2557.25		2557.25		0.00
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17 1901.50		1915.00		337.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17 9715.00		9595.00		720.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17 2793.00		2796.50		87.50CR
18JUL17	1 18OCT17 2795.50		2796.50		25.00CR
18JUL17	1 18OCT17 2778.50		2792.50		350.00CR
18JUL17	1 18OCT17 2778.50		2791.00		312.50CR
18JUL17	1 18OCT17 2777.00		2791.00		350.00CR
18JUL17	1 18OCT17 2777.00		2788.50		287.50CR
18JUL17	1 18OCT17 2778.00		2784.00		150.00CR
18JUL17	1 18OCT17 2778.00		2788.00		250.00CR
19SEP17	2 18OCT17 3109.25		2791.00		15,912.50DR
19SEP17	2 18OCT17 3109.25		2790.50		15,937.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				72,355.41CR
	SETTLEMENTS				
	CME Sterling Futures				1,800.00CR
	LME Zinc 25 tonnes. This is an LME Futures				30,037.50DR
	LME Nickel 6 tonnes. This is an LME Futures				720.00DR
	LME Aluminium 25 Tonnes. This is an Futures				337.50CR
	COMMISSIONS				110.25DR
	CARRIED FORWARD BALANCE				43,625.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
18JUL17	LME Nickel 6 tonnes. This is an LME registered client contract 1 18OCT17	9755.00	9735.00		120.00DR
19SEP17	LME Tin 5 tonnes. This is an LME registered client contract 1 18OCT17	20015.00	20730.00		3,575.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,918.10CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,575.00CR
	LME Nickel 6 tonnes. This is an LME Futures				120.00DR
	CARRIED FORWARD BALANCE				11,373.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0083

18th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		397,783.29CR
	SETTLEMENTS		
	CME Sterling Futures		1,800.00CR
	CMX Silver Futures		200.00DR
	LME Aluminium 25 Tonnes. This is an Futures		64,437.50DR
	LME Lead 25 tonnes. This is an Futures		6,231.25DR
	LME Nickel 6 tonnes. This is an LME Futures		1,080.00DR
	LME Tin 5 tonnes. This is an LME Futures		3,725.00CR
	LME Zinc 25 tonnes. This is an LME Futures		2,877.50DR
	NYM Light Sweet Crude Oil Futures		2,190.00CR
	COMMISSIONS		899.55DR
	CARRIED FORWARD BALANCE		329,772.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 180CT17	1911.70	1921.00		232.50CR
24JUL17	1 180CT17	1911.70	1923.00		282.50CR
24JUL17	1 180CT17	1911.70	1925.50		345.00CR
24JUL17	1 180CT17	1911.70	1926.50		370.00CR
24JUL17	1 180CT17	1911.70	1931.00		482.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 180CT17	6012.00	6002.00		250.00DR
18JUL17	1 180CT17	5988.00	6001.00		325.00CR
18JUL17	1 180CT17	5995.50	5998.50		75.00CR
18JUL17	1 180CT17	5996.00	6001.50		137.50CR
18JUL17	1 180CT17	5995.50	6011.00		387.50CR
18JUL17	2 180CT17	5996.00	6011.00		750.00CR
18JUL17	1 180CT17	5995.50	5985.00		262.50DR
18JUL17	1 180CT17	5995.00	5997.00		50.00CR
18JUL17	1 180CT17	5994.50	6004.50		250.00CR
18JUL17	1 180CT17	5999.00	6004.50		137.50CR
18JUL17	1 180CT17	6005.50	6004.50		25.00DR
18JUL17	2 180CT17	6005.50	6005.50		0.00
24JUL17	1 180CT17	6027.70	6005.50		555.00DR
24JUL17	1 180CT17	6027.70	6005.00		567.50DR
24JUL17	1 180CT17	6027.70	6006.00		542.50DR
24JUL17	1 180CT17	6027.70	6005.50		555.00DR
24JUL17	1 180CT17	6027.70	6005.50		555.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2304.00	2296.00		200.00DR
18JUL17	1 180CT17	2304.00	2296.00		200.00DR
18JUL17	1 180CT17	2303.50	2297.00		162.50DR
18JUL17	1 180CT17	2304.00	2296.00		200.00DR
18JUL17	1 180CT17	2305.50	2303.00		62.50DR
18JUL17	2 180CT17	2306.50	2301.50		250.00DR
18JUL17	1 180CT17	2307.00	2304.00		75.00DR
18JUL17	1 180CT17	2272.00	2303.50		787.50CR
18JUL17	1 180CT17	2272.00	2303.50		787.50CR
18JUL17	1 180CT17	2272.00	2303.50		787.50CR
18JUL17	1 180CT17	2273.00	2303.50		762.50CR
18JUL17	2 180CT17	2272.50	2276.00		175.00CR
18JUL17	1 180CT17	2270.00	2275.00		125.00CR
18JUL17	1 180CT17	2269.50	2275.00		137.50CR
18JUL17	1 180CT17	2270.50	2275.00		112.50CR
24JUL17	1 180CT17	2270.50	2259.05		286.25DR
24JUL17	1 180CT17	2275.00	2259.05		398.75DR
24JUL17	3 180CT17	2274.50	2259.05		1,158.75DR
24JUL17	1 180CT17	2270.50	2259.05		286.25DR
24JUL17	2 180CT17	2270.50	2259.05		572.50DR
24JUL17	1 180CT17	2272.00	2259.05		323.75DR
24JUL17	1 180CT17	2265.00	2259.05		148.75DR
24JUL17	1 180CT17	2265.00	2259.05		148.75DR
24JUL17	1 180CT17	2265.00	2259.05		148.75DR
24JUL17	1 180CT17	2265.00	2259.05		148.75DR
24JUL17	1 180CT17	2266.50	2259.05		186.25DR
24JUL17	1 180CT17	2266.50	2259.05		186.25DR
24JUL17	2 180CT17	2266.50	2259.05		372.50DR
24JUL17	1 180CT17	2272.00	2259.05		323.75DR
24JUL17	1 180CT17	2272.50	2259.05		336.25DR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086C
18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				1,902,387.99CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,501.25DR
	LME Copper Grade A 25 tonnes. This Futures				1,200.00DR
	LME Aluminium 25 Tonnes. This is an Futures				1,712.50CR
	CARRIED FORWARD BALANCE				1,900,399.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086D

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5980.00	5994.00		350.00CR
18JUL17	1 18OCT17	5980.00	5996.00		400.00CR
18JUL17	1 18OCT17	5980.00	5990.00		250.00CR
18JUL17	1 18OCT17	5999.00	5998.50		12.50DR
18JUL17	1 18OCT17	6006.00	5999.00		175.00DR
18JUL17	1 18OCT17	6002.00	6007.50		137.50CR
18JUL17	1 18OCT17	6005.50	6009.50		100.00CR
18JUL17	1 18OCT17	6006.00	6009.50		87.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,832.90CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,137.50CR
	CARRIED FORWARD BALANCE				15,970.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 180CT17	5985.00	5988.00		75.00CR
18JUL17	1 180CT17	5980.00	5994.00		350.00CR
18JUL17	1 180CT17	5980.00	5990.00		250.00CR
18JUL17	1 180CT17	5980.00	5993.00		325.00CR
18JUL17	1 180CT17	5972.00	6009.00		925.00CR
24JUL17	1 180CT17	6027.50	6008.00		487.50DR
24JUL17	1 180CT17	6027.50	5977.00		1,262.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,003.20CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				175.00CR
	CARRIED FORWARD BALANCE				6,178.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5980.00	5993.00		325.00CR
18JUL17	1 18OCT17	5980.00	5997.00		425.00CR
07AUG17	1 18OCT17	6408.50	6009.00		9,987.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19940.00	19950.00		50.00CR
18JUL17	1 18OCT17	19970.00	19940.00		150.00DR
18JUL17	1 18OCT17	19945.00	19970.00		125.00CR
18JUL17	1 18OCT17	20000.00	19990.00		50.00DR
18JUL17	1 18OCT17	20000.00	19995.00		25.00DR
18JUL17	1 18OCT17	20050.00	20045.00		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				26,789.80CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				75.00DR
	LME Copper Grade A 25 tonnes. This Futures				9,237.50DR
	CARRIED FORWARD BALANCE				17,477.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 180CT17	5982.00	5987.00		125.00CR
18JUL17	1 180CT17	5980.00	5991.00		275.00CR
18JUL17	1 180CT17	5980.00	5991.00		275.00CR
18JUL17	1 180CT17	5980.00	5993.00		325.00CR
18JUL17	1 180CT17	5980.00	6005.00		625.00CR
18JUL17	1 180CT17	5971.00	6010.00		975.00CR
24JUL17	1 180CT17	6027.50	6005.00		562.50DR
24JUL17	1 180CT17	6027.50	5976.00		1,287.50DR
24JUL17	1 180CT17	6027.50	5980.00		1,187.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,962.65CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				437.50DR
	CARRIED FORWARD BALANCE				8,525.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5980.00	5993.00		325.00CR
18JUL17	1 18OCT17	5980.00	5996.00		400.00CR
18JUL17	1 18OCT17	5980.00	5996.50		412.50CR
24JUL17	1 18OCT17	6027.50	6008.00		487.50DR
24JUL17	1 18OCT17	6027.50	6006.00		537.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19930.00	19945.00		75.00CR
18JUL17	1 18OCT17	19990.00	19995.00		25.00CR
18JUL17	1 18OCT17	20040.00	20080.00		200.00CR
18JUL17	1 18OCT17	20045.00	20090.00		225.00CR
18JUL17	1 18OCT17	20035.00	20090.00		275.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2801.00	2793.50		187.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				36,344.25CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				800.00CR
	LME Copper Grade A 25 tonnes. This Futures				112.50CR
	LME Zinc 25 tonnes. This is an LME Futures				187.50DR
	CARRIED FORWARD BALANCE				37,069.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086I
18th October 2017
COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5979.00	5989.50		262.50CR
18JUL17	1 18OCT17	5980.00	5989.50		237.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				458.70DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				500.00CR
	CARRIED FORWARD BALANCE				41.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0086

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,205,090.10CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		1,712.50CR
	LME Copper Grade A 25 tonnes. This Futures		8,950.00DR
	LME Lead 25 tonnes. This is an Futures		2,501.25DR
	LME Tin 5 tonnes. This is an LME Futures		725.00CR
	LME Zinc 25 tonnes. This is an LME Futures		187.50DR
	CARRIED FORWARD BALANCE		1,195,888.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
18OCT17	B 5 DEC17 GX	317.500		9.90DR	
18OCT17	S 5 DEC17 GX		317.650	9.90DR	
	CME Euro FX 125,000 CME				
18OCT17	S 5 DEC17 GX		1.18235	9.65DR	
	CME Sterling 62,500 CME				
18OCT17	S 2 DEC17 GX		1.32230	3.86DR	
	CMX Gold 100 CMX				
18OCT17	B 5 DEC17 GX	1281.7		9.90DR	
18OCT17	S 5 DEC17 GX		1283.1	9.90DR	
18OCT17	S 5 DEC17 1250.0 Put GX		5.3	9.90DR	2,650.00CR
	Commission				63.01DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	5 DEC17	317.500	317.650		187.50CR
	CME Euro FX 125,000 CME				
18OCT17	5 DEC17	1.17870	1.18235		2,281.25CR
	CME Sterling 62,500 CME				
18OCT17	2 DEC17	1.32190	1.32230		50.00CR
	CMX Gold 100 CMX				
18OCT17	5 DEC17	1281.7	1283.1		700.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,302.41CR
	OPTION PREMIUMS				2,650.00CR
	SETTLEMENTS				
	CME Sterling Futures				50.00CR
	CMX Copper Futures				187.50CR
	CMX Gold Futures				700.00CR
	CME Euro FX Futures				2,281.25CR
	COMMISSIONS				63.01DR
	CARRIED FORWARD BALANCE				69,108.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
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W1J 7NJ

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		63,302.41CR
	OPTION PREMIUMS		2,650.00CR
	SETTLEMENTS		
	CME Euro FX Futures		2,281.25CR
	CME Sterling Futures		50.00CR
	CMX Copper Futures		187.50CR
	CMX Gold Futures		700.00CR
	COMMISSIONS		63.01DR
	CARRIED FORWARD BALANCE		69,108.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0094 Fund Withdrawal 18/10/17				1,000,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,234,201.73CR
	CASH PAYMENTS/RECEIPTS				1,000,000.00DR
	CARRIED FORWARD BALANCE				5,234,201.73CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	B 1 02NOV17 IFC UD 2102.00			2.70DR	
18OCT17	S 1 12JAN18 IFC UD 2120.05			2.70DR	
18OCT17	B 1 18JAN18 DMA EL 2125.00			4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 11 29DEC17 IFC UD 2520.16			29.70DR	
18OCT17	B 4 10JAN18 IFC UD 2522.47			10.80DR	
18OCT17	B 7 11JAN18 IFC UD 2522.64			18.90DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	S 13 02NOV17 IFC UD 11596.33			35.10DR	
18OCT17	B 1 04JAN18 IFC UD 11640.79			2.70DR	
18OCT17	B 6 12JAN18 IFC UD 11647.07			16.20DR	
18OCT17	S 1 18JAN18 DMA EL 11795.00			4.65DR	
18OCT17	S 4 18JAN18 DMA EL 11800.00			18.60DR	
18OCT17	S 1 18JAN18 DMA EL 11805.00			4.65DR	
18OCT17	B 6 18JAN18 IFC UD 11651.00			16.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL 3087.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3087.50			4.65DR	
18OCT17	S 2 18JAN18 DMA EL 3088.00			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3094.00			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3104.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3105.00			4.65DR	
18OCT17	S 2 18JAN18 DMA EL 3107.00			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3110.50			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3112.00			4.65DR	
18OCT17	S 3 18JAN18 DMA EL 3116.50			13.95DR	
18OCT17	S 2 18JAN18 DMA EL 3119.00			9.30DR	
18OCT17	S 3 18JAN18 DMA EL 3121.50			13.95DR	
18OCT17	S 1 18JAN18 DMA EL 3122.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3122.50			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3126.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3130.00			4.65DR	
18OCT17	S 2 18JAN18 DMA EL 3131.00			9.30DR	
18OCT17	S 5 18JAN18 DMA EL 3086.50			23.25DR	
18OCT17	S 2 18JAN18 DMA EL 3126.50			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3127.00			9.30DR	
18OCT17	S 3 18JAN18 DMA EL 3128.00			13.95DR	
18OCT17	S 2 18JAN18 DMA EL 3128.50			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3130.50			4.65DR	
18OCT17	S 4 18JAN18 DMA EL 3131.50			18.60DR	
18OCT17	S 1 18JAN18 DMA EL 3135.50			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3095.50			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3133.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3115.50			4.65DR	
18OCT17	S 6 18JAN18 DMA EL 3116.00			27.90DR	
18OCT17	S 1 18JAN18 DMA EL 3117.00			4.65DR	
18OCT17	S 3 18JAN18 DMA EL 3115.00			13.95DR	
	Commission				441.90DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17	1906.00	1930.80		620.00CR

Group No: SAPG0094

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 180CT17	1905.00	1930.80		645.00CR
18JUL17	1 180CT17	1904.50	1930.80		657.50CR
18JUL17	1 180CT17	1900.50	1930.80		757.50CR
18JUL17	1 180CT17	1900.00	1930.80		770.00CR
18JUL17	1 180CT17	1899.50	1930.80		782.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2279.50	2275.35		103.75DR
18JUL17	1 180CT17	2280.50	2275.35		128.75DR
18JUL17	1 180CT17	2279.50	2275.35		103.75DR
18JUL17	1 180CT17	2282.00	2275.35		166.25DR
18JUL17	1 180CT17	2282.00	2275.35		166.25DR
18JUL17	1 180CT17	2279.50	2275.35		103.75DR
18JUL17	1 180CT17	2278.00	2275.35		66.25DR
18JUL17	1 180CT17	2278.00	2275.35		66.25DR
18JUL17	1 180CT17	2279.50	2274.80		117.50DR
18JUL17	1 180CT17	2280.50	2274.80		142.50DR
18JUL17	1 180CT17	2279.50	2274.80		117.50DR
18JUL17	1 180CT17	2279.50	2274.80		117.50DR
18JUL17	1 180CT17	2278.00	2274.80		80.00DR
18JUL17	1 180CT17	2276.00	2274.80		30.00DR
18JUL17	1 180CT17	2275.00	2274.80		5.00DR
18JUL17	1 180CT17	2274.00	2274.80		20.00CR
18JUL17	1 180CT17	2274.00	2274.80		20.00CR
18JUL17	1 180CT17	2278.50	2274.80		92.50DR
18JUL17	1 180CT17	2279.00	2274.80		105.00DR
19JUL17	1 180CT17	2269.00	2217.30		1,292.50DR
19JUL17	1 180CT17	2265.50	2217.30		1,205.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
23AUG17	1 180CT17	9655.00	11637.50		11,895.00CR
23AUG17	1 180CT17	9655.00	11637.50		11,895.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2795.50	2778.86		416.00DR
18JUL17	1 180CT17	2794.00	2778.86		378.50DR
18JUL17	1 180CT17	2793.00	2778.86		353.50DR
18JUL17	1 180CT17	2779.50	2778.86		16.00DR
18JUL17	1 180CT17	2779.50	2778.86		16.00DR
18JUL17	1 180CT17	2778.50	2778.86		9.00CR
18JUL17	1 180CT17	2780.00	2778.86		28.50DR
18JUL17	1 180CT17	2777.00	2778.86		46.50CR
18JUL17	1 180CT17	2777.50	2778.86		34.00CR
18JUL17	1 180CT17	2776.50	2778.86		59.00CR
18JUL17	1 180CT17	2778.00	2793.80		395.00CR
18JUL17	1 180CT17	2776.00	2793.80		445.00CR
18JUL17	1 180CT17	2776.00	2793.80		445.00CR
18JUL17	1 180CT17	2821.50	2793.80		692.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				193,364.45CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				4,170.00DR
	LME Zinc 25 tonnes. This is an LME Futures				467.50DR
	LME Nickel 6 tonnes. This is an LME Futures				23,790.00CR
	LME Aluminium 25 Tonnes. This is an Futures				4,232.50CR
	COMMISSIONS				441.90DR
	CARRIED FORWARD BALANCE				216,307.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0094A

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		2510.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2511.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2515.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2516.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	S 3 18JAN18 DMA EL		11810.00	13.95DR	
18OCT17	S 5 18JAN18 DMA EL		11815.00	23.25DR	
18OCT17	S 1 18JAN18 DMA EL		11820.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 43 02NOV17 IFC UD	3161.00		116.10DR	
18OCT17	S 43 12JAN18 IFC UD		3126.35	116.10DR	
18OCT17	B 1 18JAN18 DMA EL	3089.50		4.65DR	
18OCT17	B 6 18JAN18 DMA EL	3090.00		27.90DR	
18OCT17	S 1 18JAN18 DMA EL		3088.50	4.65DR	
18OCT17	S 4 18JAN18 DMA EL		3091.50	18.60DR	
18OCT17	S 3 18JAN18 DMA EL		3094.00	13.95DR	
18OCT17	B 1 18JAN18 DMA EL	3088.00		4.65DR	
18OCT17	B 2 18JAN18 DMA EL	3089.00		9.30DR	
18OCT17	B 1 18JAN18 DMA EL	3097.50		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	3100.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3098.50	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	3099.50		9.30DR	
18OCT17	B 1 18JAN18 DMA EL	3045.50		4.65DR	
	Commission				404.25DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17	1904.00	1930.80		670.00CR
18JUL17	1 18OCT17	1904.00	1930.80		670.00CR
18JUL17	1 18OCT17	1904.50	1930.80		657.50CR
18JUL17	1 18OCT17	1903.50	1930.80		682.50CR
18JUL17	1 18OCT17	1901.50	1930.80		732.50CR
18JUL17	1 18OCT17	1900.50	1930.80		757.50CR
18JUL17	1 18OCT17	1900.00	1930.80		770.00CR
18JUL17	1 18OCT17	1903.50	1930.80		682.50CR
18JUL17	1 18OCT17	1902.50	1930.80		707.50CR
18JUL17	1 18OCT17	1902.00	1930.80		720.00CR
18JUL17	1 18OCT17	1902.00	1930.80		720.00CR
18JUL17	1 18OCT17	1903.00	1930.80		695.00CR
21JUL17	1 18OCT17	1902.00	1915.25		331.25CR
21JUL17	1 18OCT17	1901.00	1915.25		356.25CR
21JUL17	1 18OCT17	1900.50	1915.25		368.75CR
21JUL17	1 18OCT17	1901.50	1915.25		343.75CR
21JUL17	1 18OCT17	1901.50	1915.25		343.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2282.00	2274.80		180.00DR
18JUL17	1 18OCT17	2287.50	2274.80		317.50DR
18JUL17	1 18OCT17	2282.00	2274.80		180.00DR
18JUL17	1 18OCT17	2282.00	2271.00		275.00DR
18JUL17	1 18OCT17	2279.50	2298.50		475.00CR
18JUL17	1 18OCT17	2278.50	2299.50		525.00CR
18JUL17	1 18OCT17	2277.00	2300.00		575.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017

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18JUL17	1 18OCT17	2276.50	2293.50		425.00CR
19JUL17	1 18OCT17	2272.50	2217.30		1,380.00DR
19JUL17	1 18OCT17	2268.00	2217.30		1,267.50DR
19JUL17	1 18OCT17	2269.00	2217.30		1,292.50DR
19JUL17	1 18OCT17	2301.50	2217.30		2,105.00DR
19JUL17	1 18OCT17	2302.00	2217.30		2,117.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
22AUG17	1 18OCT17	9645.00	11395.50		10,503.00CR
05SEP17	1 18OCT17	9645.00	12047.00		14,412.00CR
05SEP17	1 18OCT17	9580.00	12047.00		14,802.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2771.00	2795.00		600.00CR
18JUL17	1 18OCT17	2779.50	2793.80		357.50CR
18JUL17	1 18OCT17	2801.00	2793.80		180.00DR
18JUL17	1 18OCT17	2800.00	2793.80		155.00DR
18JUL17	1 18OCT17	2800.50	2793.80		167.50DR
18JUL17	1 18OCT17	2800.00	2793.80		155.00DR
18JUL17	1 18OCT17	2798.00	2793.80		105.00DR
18JUL17	1 18OCT17	2795.50	2793.80		42.50DR
18JUL17	1 18OCT17	2795.00	2793.80		30.00DR
18JUL17	1 18OCT17	2796.50	2793.80		67.50DR
18JUL17	1 18OCT17	2795.50	2793.80		42.50DR
18JUL17	1 18OCT17	2795.00	2793.80		30.00DR
18JUL17	1 18OCT17	2792.00	2793.80		45.00CR
18JUL17	1 18OCT17	2793.00	2793.80		20.00CR
18JUL17	1 18OCT17	2792.00	2793.80		45.00CR
18JUL17	1 18OCT17	2780.50	2793.80		332.50CR
18JUL17	1 18OCT17	2779.00	2793.80		370.00CR
18JUL17	1 18OCT17	2778.00	2793.80		395.00CR
18JUL17	1 18OCT17	2779.50	2793.80		357.50CR
18JUL17	1 18OCT17	2779.00	2793.80		370.00CR
18JUL17	1 18OCT17	2776.50	2793.80		432.50CR
18JUL17	1 18OCT17	2777.00	2793.80		420.00CR
18JUL17	1 18OCT17	2777.00	2793.80		420.00CR
18JUL17	1 18OCT17	2778.00	2793.80		395.00CR
18JUL17	1 18OCT17	2776.50	2793.80		432.50CR
18JUL17	1 18OCT17	2777.50	2793.80		407.50CR
18JUL17	1 18OCT17	2778.00	2793.80		395.00CR
18JUL17	1 18OCT17	2780.00	2793.80		345.00CR
18JUL17	1 18OCT17	2779.50	2793.80		357.50CR
18JUL17	1 18OCT17	2778.00	2793.80		395.00CR
18JUL17	1 18OCT17	2776.50	2793.80		432.50CR
18JUL17	1 18OCT17	2776.50	2794.50		450.00CR
18JUL17	1 18OCT17	2775.50	2795.00		487.50CR
18JUL17	1 18OCT17	2774.50	2794.00		487.50CR
18JUL17	1 18OCT17	2773.50	2794.50		525.00CR
18JUL17	1 18OCT17	2775.00	2795.00		500.00CR
19JUL17	1 18OCT17	2773.50	2747.55		648.75DR
19JUL17	1 18OCT17	2773.00	2747.55		636.25DR
19JUL17	1 18OCT17	2771.00	2747.55		586.25DR
19JUL17	1 18OCT17	2771.50	2747.55		598.75DR
19JUL17	1 18OCT17	2771.50	2747.55		598.75DR
19JUL17	1 18OCT17	2771.50	2747.55		598.75DR
19JUL17	1 18OCT17	2771.50	2747.55		598.75DR
19JUL17	1 18OCT17	2771.50	2747.55		598.75DR
21JUL17	1 18OCT17	2772.50	2755.50		425.00DR
21JUL17	1 18OCT17	2774.00	2755.50		462.50DR
21JUL17	1 18OCT17	2772.00	2755.50		412.50DR
21JUL17	1 18OCT17	2781.00	2755.50		637.50DR
21JUL17	1 18OCT17	2780.00	2755.50		612.50DR
21JUL17	1 18OCT17	2780.50	2755.50		625.00DR
21JUL17	1 18OCT17	2779.50	2755.50		600.00DR
21JUL17	1 18OCT17	2799.50	2755.50		1,100.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094E
18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
21JUL17	1 18OCT17	2800.00	2755.50		1,112.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				224,637.50CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				7,115.00DR
	LME Zinc 25 tonnes. This is an LME Futures				2,052.50DR
	LME Nickel 6 tonnes. This is an LME Futures				39,717.00CR
	LME Aluminium 25 Tonnes. This is an Futures				10,208.75CR
	COMMISSIONS				404.25DR
	CARRIED FORWARD BALANCE				264,991.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 1 18JAN18 DMA EL		2131.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2131.50	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		2511.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2516.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2517.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2512.50	4.65DR	
	CMX Silver 5,000 CMX				
18OCT17	B 1 DEC17 GX 1702.5			2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		3085.00	4.65DR	
	Commission				34.90DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 18OCT17	1914.95	1918.50		88.75CR
31JUL17	1 18OCT17	1914.95	1921.50		163.75CR
31JUL17	1 18OCT17	1914.75	1924.00		231.25CR
31JUL17	1 18OCT17	1914.75	1933.00		456.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
31JUL17	1 18OCT17	2274.50	2331.30		1,420.00CR
31JUL17	1 18OCT17	2274.00	2331.30		1,432.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,264.69CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,852.50CR
	LME Aluminium 25 Tonnes. This is an Futures				940.00CR
	COMMISSIONS				34.90DR
	CARRIED FORWARD BALANCE				50,022.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 180CT17	1917.00	1917.00		0.00
18JUL17	1 180CT17	1917.00	1917.00		0.00
18JUL17	1 180CT17	1917.00	1917.00		0.00
18JUL17	2 180CT17	1917.50	1917.00		25.00DR
21JUL17	3 180CT17	1917.50	1915.05		183.75DR
21JUL17	2 180CT17	1917.00	1915.05		97.50DR
21JUL17	1 180CT17	1917.00	1915.05		48.75DR
21JUL17	1 180CT17	1917.00	1915.05		48.75DR
21JUL17	3 180CT17	1917.00	1915.05		146.25DR
21JUL17	5 180CT17	1922.00	1915.05		868.75DR
21JUL17	5 180CT17	1922.00	1915.05		868.75DR
21JUL17	10 180CT17	1922.00	1915.05		1,737.50DR
21JUL17	8 180CT17	1923.50	1915.05		1,690.00DR
21JUL17	2 180CT17	1924.00	1915.05		447.50DR
21JUL17	10 180CT17	1926.00	1915.05		2,737.50DR
21JUL17	10 180CT17	1925.50	1915.05		2,612.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	2 180CT17	5998.00	6001.50		175.00CR
18JUL17	1 180CT17	5998.00	6001.50		87.50CR
18JUL17	1 180CT17	5998.00	6001.50		87.50CR
18JUL17	1 180CT17	5998.00	6001.50		87.50CR
03AUG17	1 180CT17	6349.20	6004.00		8,630.00DR
03AUG17	1 180CT17	6349.20	6004.00		8,630.00DR
03AUG17	1 180CT17	6349.20	6004.00		8,630.00DR
03AUG17	1 180CT17	6349.20	6004.00		8,630.00DR
03AUG17	1 180CT17	6349.20	6004.00		8,630.00DR
03AUG17	1 180CT17	6349.20	6000.50		8,717.50DR
03AUG17	1 180CT17	6349.20	6000.50		8,717.50DR
03AUG17	2 180CT17	6349.20	6000.50		17,435.00DR
03AUG17	1 180CT17	6349.20	6000.50		8,717.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				391,082.17CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				86,300.00DR
	LME Aluminium 25 Tonnes. This is an Futures				11,512.50DR
	CARRIED FORWARD BALANCE				293,269.67CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094K

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 NOV17 TTR GX		52.09	2.35DR	
18OCT17	B 1 NOV17 TTR GX	51.91		2.35DR	
18OCT17	S 1 DEC17 TTR GX		52.40	2.35DR	
18OCT17	S 1 DEC17 TTR GX		52.41	2.35DR	
18OCT17	S 1 DEC17 TTR GX		52.39	2.35DR	
18OCT17	S 2 DEC17 TTR GX		52.50	4.70DR	
18OCT17	S 1 DEC17 TTR GX		52.52	2.35DR	
18OCT17	S 2 DEC17 TTR GX		52.47	4.70DR	
18OCT17	B 1 DEC17 TTR GX	52.01		2.35DR	
18OCT17	B 1 DEC17 TTR GX	51.97		2.35DR	
18OCT17	B 1 DEC17 TTR GX	52.25		2.35DR	
18OCT17	B 1 DEC17 TTR GX	52.27		2.35DR	
	CMX Copper 25,000 CMX				
18OCT17	S 1 DEC17 TTR GX		318.950	2.35DR	
18OCT17	S 1 DEC17 TTR GX		319.000	2.35DR	
18OCT17	B 1 DEC17 TTR GX	317.600		2.35DR	
	Commission				39.95DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	51.91	52.09		180.00CR
18OCT17	1 DEC17	52.01	52.47		460.00CR
18OCT17	1 DEC17	51.97	52.50		530.00CR
18OCT17	1 DEC17	52.25	52.40		150.00CR
18OCT17	1 DEC17	52.27	52.41		140.00CR
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	317.600	318.950		337.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,050.90DR
	SETTLEMENTS				
	CMX Copper Futures				337.50CR
	NYM Light Sweet Crude Oil Futures				1,460.00CR
	COMMISSIONS				39.95DR
	CARRIED FORWARD BALANCE				7,293.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094L

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	7071.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7045.50		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	7049.00		4.65DR	
18OCT17	S 1 18JAN18 TTR EL		6984.00	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		2507.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	11775.00		9.30DR	
18OCT17	S 1 18JAN18 TTR EL		11800.00	4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11730.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11820.00		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	11840.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11850.00		9.30DR	
18OCT17	S 1 18JAN18 TTR EL		11755.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		11720.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		11695.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		11715.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		11705.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		11705.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	19970.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20040.00	4.65DR	
	Commission				102.30DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17	1926.00	1917.00		225.00DR
18JUL17	1 18OCT17	1931.50	1910.00		537.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 18OCT17	5995.00	5989.50		137.50DR
19SEP17	1 18OCT17	6508.75	6005.00		12,593.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2273.00	2284.50		287.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2781.50	2789.00		187.50CR
13SEP17	1 18OCT17	2784.00	3019.25		5,881.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				97,200.69CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				287.50CR
	LME Copper Grade A 25 tonnes. This Futures				12,731.25DR
	LME Zinc 25 tonnes. This is an LME Futures				6,068.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Aluminium 25 Tonnes. This is an Futures				762.50DR
	COMMISSIONS				102.30DR
	CARRIED FORWARD BALANCE				89,960.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

18th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	NYM Light Sweet Crude Oil 1,000 NYM								
18OCT17	S	1	NOV17	TTR	GX		52.16	2.35DR	
18OCT17	B	1	NOV17	TTR	GX	52.10		2.35DR	
18OCT17	S	2	NOV17	TTR	GX		51.99	4.70DR	
18OCT17	S	6	NOV17	TTR	GX		52.09	14.10DR	
18OCT17	B	2	NOV17	TTR	GX	52.07		4.70DR	
18OCT17	S	1	NOV17	TTR	GX		52.11	2.35DR	
18OCT17	S	1	NOV17	TTR	GX		52.13	2.35DR	
18OCT17	S	6	NOV17	TTR	GX		52.12	14.10DR	
18OCT17	B	1	NOV17	TTR	GX	52.08		2.35DR	
18OCT17	S	9	NOV17	TTR	GX		52.10	21.15DR	
18OCT17	B	1	NOV17	TTR	GX	52.26		2.35DR	
18OCT17	B	1	NOV17	TTR	GX	52.24		2.35DR	
18OCT17	B	2	NOV17	TTR	GX	52.19		4.70DR	
18OCT17	B	2	NOV17	TTR	GX	52.13		4.70DR	
18OCT17	B	1	NOV17	TTR	GX	52.14		2.35DR	
18OCT17	B	10	NOV17	TTR	GX	52.15		23.50DR	
18OCT17	B	3	NOV17	TTR	GX	52.21		7.05DR	
18OCT17	B	3	NOV17	TTR	GX	52.23		7.05DR	
18OCT17	B	1	NOV17	TTR	GX	52.25		2.35DR	
18OCT17	S	2	NOV17	TTR	GX		52.26	4.70DR	
18OCT17	B	2	NOV17	TTR	GX	52.17		4.70DR	
18OCT17	B	4	NOV17	TTR	GX	52.20		9.40DR	
18OCT17	S	2	NOV17	TTR	GX		52.19	4.70DR	
18OCT17	B	1	NOV17	TTR	GX	52.32		2.35DR	
18OCT17	B	3	NOV17	TTR	GX	52.29		7.05DR	
18OCT17	S	9	NOV17	TTR	GX		52.24	21.15DR	
18OCT17	S	2	NOV17	TTR	GX		52.22	4.70DR	
18OCT17	S	2	NOV17	TTR	GX		52.18	4.70DR	
18OCT17	S	1	NOV17	TTR	GX		52.20	2.35DR	
18OCT17	B	7	NOV17	TTR	GX	52.28		16.45DR	
18OCT17	S	4	NOV17	TTR	GX		52.21	9.40DR	
18OCT17	S	8	NOV17	TTR	GX		52.25	18.80DR	
18OCT17	S	4	NOV17	TTR	GX		52.08	9.40DR	
18OCT17	S	1	NOV17	TTR	GX		51.97	2.35DR	
18OCT17	S	1	NOV17	TTR	GX		51.98	2.35DR	
18OCT17	B	1	NOV17	TTR	GX	51.93		2.35DR	
18OCT17	S	4	NOV17	TTR	GX		52.06	9.40DR	
18OCT17	S	6	NOV17	TTR	GX		52.07	14.10DR	
18OCT17	S	1	NOV17	TTR	GX		52.03	2.35DR	
18OCT17	S	1	NOV17	TTR	GX		52.02	2.35DR	
18OCT17	B	7	NOV17	TTR	GX	51.88		16.45DR	
18OCT17	B	4	NOV17	TTR	GX	51.98		9.40DR	
18OCT17	B	2	NOV17	TTR	GX	51.94		4.70DR	
18OCT17	S	1	NOV17	TTR	GX		51.90	2.35DR	
18OCT17	B	2	NOV17	TTR	GX	51.89		4.70DR	
18OCT17	B	4	NOV17	TTR	GX	51.84		9.40DR	
18OCT17	B	3	NOV17	TTR	GX	51.92		7.05DR	
18OCT17	S	1	NOV17	TTR	GX		51.76	2.35DR	
18OCT17	B	2	NOV17	TTR	GX	51.78		4.70DR	
18OCT17	B	1	NOV17	TTR	GX	51.74		2.35DR	
18OCT17	B	1	NOV17	TTR	GX	51.81		2.35DR	
18OCT17	B	3	NOV17	TTR	GX	51.80		7.05DR	
18OCT17	B	2	NOV17	TTR	GX	51.79		4.70DR	
18OCT17	B	6	NOV17	TTR	GX	51.86		14.10DR	
18OCT17	B	1	NOV17	TTR	GX	51.72		2.35DR	
18OCT17	B	2	NOV17	TTR	GX	51.73		4.70DR	
18OCT17	B	1	NOV17	TTR	GX	51.71		2.35DR	
18OCT17	B	1	NOV17	TTR	GX	51.70		2.35DR	
18OCT17	S	1	NOV17	TTR	GX		51.94	2.35DR	
18OCT17	B	3	NOV17	TTR	GX	51.83		7.05DR	
18OCT17	B	3	NOV17	TTR	GX	51.82		7.05DR	
18OCT17	S	2	NOV17	TTR	GX		51.85	4.70DR	
18OCT17	S	5	NOV17	TTR	GX		51.86	11.75DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	2	NOV17	TTR	GX		51.91	4.70DR	
18OCT17	B	3	NOV17	TTR	GX	51.91		7.05DR	
18OCT17	S	1	NOV17	TTR	GX		51.93	2.35DR	
18OCT17	B	7	NOV17	TTR	GX	51.97		16.45DR	
18OCT17	S	9	NOV17	TTR	GX		52.05	21.15DR	
18OCT17	B	3	NOV17	TTR	GX	52.04		7.05DR	
18OCT17	B	4	NOV17	TTR	GX	52.09		9.40DR	
18OCT17	S	7	NOV17	TTR	GX		52.04	16.45DR	
18OCT17	B	3	NOV17	TTR	GX	52.00		7.05DR	
18OCT17	B	5	NOV17	TTR	GX	52.02		11.75DR	
18OCT17	B	2	NOV17	TTR	GX	52.03		4.70DR	
18OCT17	B	2	NOV17	TTR	GX	52.05		4.70DR	
18OCT17	B	2	NOV17	TTR	GX	52.01		4.70DR	
18OCT17	S	17	NOV17	TTR	GX		52.01	39.95DR	
18OCT17	S	3	NOV17	TTR	GX		52.00	7.05DR	
18OCT17	B	2	NOV17	TTR	GX	51.95		4.70DR	
18OCT17	S	2	NOV17	TTR	GX		51.95	4.70DR	
18OCT17	B	1	DEC17	TTR	GX	52.31		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.35	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.36	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.33	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.49	2.35DR	
18OCT17	B	1	DEC17	TTR	GX	52.47		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.50	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.42	2.35DR	
18OCT17	S	2	DEC17	TTR	GX		52.38	4.70DR	
18OCT17	S	5	DEC17	TTR	GX		52.48	11.75DR	
18OCT17	S	1	DEC17	TTR	GX		52.45	2.35DR	
18OCT17	B	1	DEC17	TTR	GX	52.45		2.35DR	
18OCT17	S	2	DEC17	TTR	GX		52.46	4.70DR	
18OCT17	S	6	DEC17	TTR	GX		52.47	14.10DR	
18OCT17	B	1	DEC17	TTR	GX	52.35		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.37	2.35DR	
18OCT17	B	1	DEC17	TTR	GX	52.16		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	52.07		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.11	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.25	2.35DR	
18OCT17	S	2	DEC17	TTR	GX		52.28	4.70DR	
18OCT17	S	1	DEC17	TTR	GX		52.27	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.26	2.35DR	
18OCT17	B	2	DEC17	TTR	GX	52.24		4.70DR	
18OCT17	B	1	DEC17	TTR	GX	52.23		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		52.24	2.35DR	
18OCT17	B	3	DEC17	TTR	GX	52.18		7.05DR	
18OCT17	B	1	DEC17	TTR	GX	52.17		2.35DR	
CMX Copper 25,000 CMX									
18OCT17	B	1	DEC17	TTR	GX	320.300		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	320.400		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		319.000	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		318.950	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		318.450	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		318.350	2.35DR	
18OCT17	S	2	DEC17	TTR	GX		318.400	4.70DR	
18OCT17	S	1	DEC17	TTR	GX		318.550	2.35DR	
18OCT17	S	2	DEC17	TTR	GX		318.600	4.70DR	
18OCT17	S	2	DEC17	TTR	GX		319.150	4.70DR	
18OCT17	S	2	DEC17	TTR	GX		319.250	4.70DR	
18OCT17	S	1	DEC17	TTR	GX		319.450	2.35DR	
18OCT17	S	2	DEC17	TTR	GX		319.400	4.70DR	
18OCT17	S	2	DEC17	TTR	GX		319.350	4.70DR	
18OCT17	B	1	DEC17	TTR	GX	318.500		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	318.450		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	318.400		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	318.100		2.35DR	
18OCT17	B	2	DEC17	TTR	GX	318.050		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	317.950		4.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	2	DEC17	TTR	GX	318.350		4.70DR	
18OCT17	B	1	DEC17	TTR	GX	317.400		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	317.250		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	317.200		2.35DR	
18OCT17	B	2	DEC17	TTR	GX	317.600		4.70DR	
	CMX Gold 100 CMX								
18OCT17	S	1	DEC17	TTR	GX		1288.2	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1285.4	2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1286.1		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1284.1		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1282.2		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1282.4	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1282.8	2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
18OCT17	S	2	18JAN18	TTR	EL		11805.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		11800.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11815.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11755.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11730.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11710.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11670.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11645.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11630.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	11635.00		13.95DR	
	CMX Silver 5,000 CMX								
18OCT17	S	1	DEC17	TTR	GX		1707.5	2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1701.5		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1698.5		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1698.0		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1705.0	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1703.0	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1702.0	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1703.5	2.35DR	
	Commission								871.20DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
18OCT17		1	NOV17			52.09	52.04		50.00DR
18OCT17		1	NOV17			52.10	52.08		20.00DR
18OCT17		1	NOV17			52.07	52.08		10.00CR
18OCT17		1	NOV17			52.07	52.09		20.00CR
18OCT17		1	NOV17			52.08	52.10		20.00CR
18OCT17		1	NOV17			52.28	52.10		180.00DR
18OCT17		1	NOV17			52.26	52.10		160.00DR
18OCT17		1	NOV17			52.24	52.10		140.00DR
18OCT17		1	NOV17			52.21	52.16		50.00DR
18OCT17		1	NOV17			52.19	52.10		90.00DR
18OCT17		1	NOV17			52.19	52.07		120.00DR
18OCT17		1	NOV17			52.15	52.09		60.00DR
18OCT17		1	NOV17			52.15	52.09		60.00DR
18OCT17		1	NOV17			52.15	52.05		100.00DR
18OCT17		1	NOV17			52.13	52.05		80.00DR
18OCT17		1	NOV17			52.13	51.99		140.00DR
18OCT17		1	NOV17			52.15	51.99		160.00DR
18OCT17		1	NOV17			52.15	52.01		140.00DR
18OCT17		1	NOV17			52.15	52.04		110.00DR
18OCT17		1	NOV17			52.15	52.05		100.00DR
18OCT17		1	NOV17			52.14	52.05		90.00DR
18OCT17		1	NOV17			52.15	52.05		100.00DR
18OCT17		1	NOV17			52.15	52.05		100.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 NOV17	52.15	52.00		150.00DR
18OCT17	1 NOV17	52.20	52.00		200.00DR
18OCT17	1 NOV17	52.20	52.01		190.00DR
18OCT17	1 NOV17	52.21	52.04		170.00DR
18OCT17	1 NOV17	52.20	52.04		160.00DR
18OCT17	1 NOV17	52.21	52.05		160.00DR
18OCT17	1 NOV17	52.23	52.04		190.00DR
18OCT17	1 NOV17	52.23	52.06		170.00DR
18OCT17	1 NOV17	52.23	52.06		170.00DR
18OCT17	1 NOV17	52.25	52.06		190.00DR
18OCT17	1 NOV17	52.17	52.07		100.00DR
18OCT17	1 NOV17	52.17	52.07		100.00DR
18OCT17	1 NOV17	52.20	52.08		120.00DR
18OCT17	1 NOV17	52.32	52.09		230.00DR
18OCT17	1 NOV17	52.29	52.09		200.00DR
18OCT17	1 NOV17	52.29	52.09		200.00DR
18OCT17	1 NOV17	52.29	52.11		180.00DR
18OCT17	1 NOV17	52.28	52.10		180.00DR
18OCT17	1 NOV17	52.28	52.07		210.00DR
18OCT17	2 NOV17	52.28	52.10		360.00DR
18OCT17	1 NOV17	52.28	52.12		160.00DR
18OCT17	1 NOV17	52.28	52.12		160.00DR
18OCT17	1 NOV17	51.98	52.12		140.00CR
18OCT17	1 NOV17	51.89	52.13		240.00CR
18OCT17	1 NOV17	51.91	52.12		210.00CR
18OCT17	1 NOV17	51.93	52.12		190.00CR
18OCT17	1 NOV17	51.92	52.12		200.00CR
18OCT17	1 NOV17	51.97	52.05		80.00CR
18OCT17	1 NOV17	51.97	52.10		130.00CR
18OCT17	1 NOV17	51.97	52.19		220.00CR
18OCT17	1 NOV17	51.95	52.26		310.00CR
18OCT17	1 NOV17	51.97	52.26		290.00CR
18OCT17	1 NOV17	51.98	52.18		200.00CR
18OCT17	1 NOV17	51.98	52.21		230.00CR
18OCT17	1 NOV17	51.97	52.22		250.00CR
18OCT17	1 NOV17	51.91	52.25		340.00CR
18OCT17	1 NOV17	51.88	52.24		360.00CR
18OCT17	1 NOV17	51.88	52.19		310.00CR
18OCT17	1 NOV17	51.88	52.21		330.00CR
18OCT17	2 NOV17	51.88	52.24		720.00CR
18OCT17	2 NOV17	51.88	52.24		720.00CR
18OCT17	1 NOV17	51.86	52.24		380.00CR
18OCT17	1 NOV17	51.86	52.25		390.00CR
18OCT17	1 NOV17	51.86	52.24		380.00CR
18OCT17	1 NOV17	51.86	52.25		390.00CR
18OCT17	1 NOV17	51.86	52.25		390.00CR
18OCT17	1 NOV17	51.98	52.24		260.00CR
18OCT17	2 NOV17	51.94	52.25		620.00CR
18OCT17	1 NOV17	51.92	52.24		320.00CR
18OCT17	1 NOV17	51.89	52.25		360.00CR
18OCT17	1 NOV17	51.84	52.22		380.00CR
18OCT17	1 NOV17	51.84	52.18		340.00CR
18OCT17	1 NOV17	51.84	52.20		360.00CR
18OCT17	1 NOV17	51.84	52.21		370.00CR
18OCT17	1 NOV17	51.92	52.21		290.00CR
18OCT17	1 NOV17	51.97	52.25		280.00CR
18OCT17	1 NOV17	51.82	52.07		250.00CR
18OCT17	1 NOV17	51.78	52.08		300.00CR
18OCT17	1 NOV17	51.80	51.97		170.00CR
18OCT17	1 NOV17	51.83	51.98		150.00CR
18OCT17	1 NOV17	51.83	51.91		80.00CR
18OCT17	1 NOV17	51.80	52.06		260.00CR
18OCT17	1 NOV17	51.78	52.07		290.00CR
18OCT17	1 NOV17	51.74	52.03		290.00CR
18OCT17	1 NOV17	51.81	52.02		210.00CR
18OCT17	1 NOV17	51.80	51.90		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 NOV17	51.79	51.76		30.00DR
18OCT17	1 NOV17	51.79	51.94		150.00CR
18OCT17	1 NOV17	51.86	51.86		0.00
18OCT17	1 NOV17	51.72	51.85		130.00CR
18OCT17	1 NOV17	51.73	51.85		120.00CR
18OCT17	1 NOV17	51.73	51.86		130.00CR
18OCT17	1 NOV17	51.71	51.86		150.00CR
18OCT17	1 NOV17	51.70	51.86		160.00CR
18OCT17	1 NOV17	51.82	51.86		40.00CR
18OCT17	1 NOV17	51.83	51.91		80.00CR
18OCT17	1 NOV17	51.82	51.93		110.00CR
18OCT17	1 NOV17	51.91	52.05		140.00CR
18OCT17	1 NOV17	51.97	52.04		70.00CR
18OCT17	1 NOV17	52.04	52.04		0.00
18OCT17	2 NOV17	52.04	52.01		60.00DR
18OCT17	1 NOV17	52.00	52.01		10.00CR
18OCT17	1 NOV17	52.00	52.01		10.00CR
18OCT17	3 NOV17	52.09	52.01		240.00DR
18OCT17	1 NOV17	52.05	52.01		40.00DR
18OCT17	1 NOV17	52.02	52.01		10.00DR
18OCT17	1 NOV17	52.03	52.01		20.00DR
18OCT17	1 NOV17	52.00	52.01		10.00CR
18OCT17	2 NOV17	52.02	52.01		20.00DR
18OCT17	1 NOV17	52.02	52.01		10.00DR
18OCT17	1 NOV17	52.02	52.01		10.00DR
18OCT17	1 NOV17	52.03	52.00		30.00DR
18OCT17	1 NOV17	52.05	51.95		100.00DR
18OCT17	1 NOV17	52.01	51.95		60.00DR
18OCT17	1 NOV17	52.01	50.62		1,390.00DR
18OCT17	1 NOV17	51.95	50.63		1,320.00DR
18OCT17	1 DEC17	52.31	52.35		40.00CR
18OCT17	1 DEC17	52.47	52.36		110.00DR
18OCT17	1 DEC17	52.45	52.33		120.00DR
18OCT17	1 DEC17	52.35	52.38		30.00CR
18OCT17	1 DEC17	52.16	52.47		310.00CR
18OCT17	1 DEC17	52.18	52.49		310.00CR
18OCT17	1 DEC17	52.18	52.48		300.00CR
18OCT17	1 DEC17	52.07	52.48		410.00CR
18OCT17	1 DEC17	52.24	52.47		230.00CR
18OCT17	1 DEC17	52.24	52.48		240.00CR
18OCT17	1 DEC17	52.23	52.47		240.00CR
18OCT17	1 DEC17	52.18	52.50		320.00CR
18OCT17	1 DEC17	52.17	52.42		250.00CR
CMX Copper 25,000 CMX					
18OCT17	1 DEC17	320.300	319.250		262.50DR
18OCT17	1 DEC17	320.400	319.000		350.00DR
18OCT17	1 DEC17	318.500	319.150		162.50CR
18OCT17	1 DEC17	318.350	318.950		150.00CR
18OCT17	1 DEC17	318.450	318.450		0.00
18OCT17	1 DEC17	318.400	318.350		12.50DR
18OCT17	1 DEC17	317.950	318.400		112.50CR
18OCT17	1 DEC17	318.100	318.600		125.00CR
18OCT17	1 DEC17	318.050	318.400		87.50CR
18OCT17	1 DEC17	318.050	318.550		125.00CR
18OCT17	1 DEC17	317.950	318.600		162.50CR
18OCT17	1 DEC17	318.350	319.150		200.00CR
18OCT17	1 DEC17	317.400	319.400		500.00CR
18OCT17	1 DEC17	317.250	319.250		500.00CR
18OCT17	1 DEC17	317.200	319.450		562.50CR
18OCT17	1 DEC17	317.600	319.350		437.50CR
18OCT17	1 DEC17	317.600	319.400		450.00CR
CMX Gold 100 CMX					
18OCT17	1 DEC17	1286.1	1288.2		210.00CR
18OCT17	1 DEC17	1284.1	1285.4		130.00CR
18OCT17	1 DEC17	1282.2	1282.4		20.00CR
18OCT17	1 DEC17	1305.7	1282.8		2,290.00DR

Group No: SAPG0094

Page 5 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Silver 5,000 CMX				
18OCT17	1 DEC17	1701.5	1707.5		300.00CR
18OCT17	1 DEC17	1698.5	1705.0		325.00CR
18OCT17	1 DEC17	1698.0	1703.0		250.00CR
18OCT17	1 DEC17	1707.0	1702.0		250.00DR
18OCT17	1 DEC17	1707.5	1703.5		200.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				51,059.87DR
	SETTLEMENTS				
	CMX Silver Futures				425.00CR
	CMX Copper Futures				2,950.00CR
	CMX Gold Futures				1,930.00DR
	NYM Light Sweet Crude Oil Futures				7,550.00CR
	COMMISSIONS				871.20DR
	CARRIED FORWARD BALANCE				42,936.07DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

18th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 2 18JAN18 TTR EL		2118.00	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	7027.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7065.00		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	7050.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	7049.50		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7057.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7042.00		9.30DR	
18OCT17	S 2 18JAN18 TTR EL		6986.50	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		6984.00	9.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 TTR EL		2473.00	9.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 3 18JAN18 TTR EL	11785.00		13.95DR	
18OCT17	S 1 18JAN18 TTR EL		11780.00	4.65DR	
18OCT17	B 4 18JAN18 TTR EL	11770.00		18.60DR	
18OCT17	B 16 18JAN18 TTR EL	11775.00		74.40DR	
18OCT17	S 2 18JAN18 TTR EL		11790.00	9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11820.00		9.30DR	
18OCT17	B 4 18JAN18 TTR EL	11830.00		18.60DR	
18OCT17	B 4 18JAN18 TTR EL	11840.00		18.60DR	
18OCT17	B 4 18JAN18 TTR EL	11850.00		18.60DR	
18OCT17	S 2 18JAN18 TTR EL		11815.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		11810.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		11750.00	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		11755.00	4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11735.00		4.65DR	
18OCT17	S 4 18JAN18 TTR EL		11735.00	18.60DR	
18OCT17	S 4 18JAN18 TTR EL		11720.00	18.60DR	
	CMX Silver 5,000 CMX				
18OCT17	S 2 DEC17 TTR GX		1705.0	4.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	20020.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20020.00		4.65DR	
	Commission				358.10DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
18OCT17	1 DEC17	1710.0	1705.0		250.00DR
18OCT17	1 DEC17	1710.0	1705.0		250.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				151,512.18CR
	SETTLEMENTS				
	CMX Silver Futures				500.00DR
	COMMISSIONS				358.10DR
	CARRIED FORWARD BALANCE				150,654.08CR

Group No: SAPG0094

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP00940

18th October 2017

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

18th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	7023.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		7023.00	4.65DR	
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 GX	318.950		2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	11600.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		11615.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 DMA EL		20150.00	9.30DR	
18OCT17	B 2 18JAN18 DMA EL	20050.00		9.30DR	
18OCT17	B 1 18JAN18 DMA EL	20005.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19930.00		4.65DR	
18OCT17	S 2 18JAN18 DMA EL		19950.00	9.30DR	
18OCT17	B 2 18JAN18 DMA EL	20055.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20055.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20080.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20075.00		4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20040.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20000.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20025.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20015.00		4.65DR	
18OCT17	S 4 18JAN18 DMA EL		20070.00	18.60DR	
18OCT17	B 1 18JAN18 DMA EL	19935.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19965.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20005.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20075.00	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20065.00		9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		3116.00	4.65DR	
	Commission				155.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	318.950	321.450		625.00CR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2270.00	2293.00		575.00CR
20JUL17	1 18OCT17	2213.45	2292.00		1,963.75CR
	LME Tin 5 tonnes. This is an LME registered client contract				
08AUG17	1 18OCT17	20229.00	19970.00		1,295.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2796.50	2784.00		312.50DR
08AUG17	1 18OCT17	2798.50	2924.30		3,145.00CR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094Q
18th October 2017
COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				26,168.85CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,295.00DR
	LME Lead 25 tonnes. This is an Futures				2,538.75CR
	LME Zinc 25 tonnes. This is an LME Futures				2,832.50CR
	CMX Copper Futures				625.00CR
	COMMISSIONS				155.80DR
	CARRIED FORWARD BALANCE				30,714.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		20415.00	4.65DR	
18OCT17	B 5 18JAN18 DMA EL	20380.00		23.25DR	
18OCT17	S 1 18JAN18 DMA EL		20430.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20185.00		4.65DR	
18OCT17	S 2 18JAN18 DMA EL		20195.00	9.30DR	
18OCT17	B 1 18JAN18 DMA EL	20205.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20095.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20120.00		4.65DR	
18OCT17	S 3 18JAN18 DMA EL		20120.00	13.95DR	
18OCT17	S 1 18JAN18 DMA EL		20140.00	4.65DR	
18OCT17	S 3 18JAN18 DMA EL		20150.00	13.95DR	
18OCT17	B 1 18JAN18 DMA EL	20050.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20060.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19960.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19970.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19950.00		4.65DR	
18OCT17	S 2 18JAN18 DMA EL		20395.00	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20370.00	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20000.00		9.30DR	
18OCT17	S 2 18JAN18 DMA EL		20095.00	9.30DR	
18OCT17	S 2 18JAN18 DMA EL		20135.00	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20050.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20040.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20075.00		4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20100.00		9.30DR	
18OCT17	B 2 18JAN18 DMA EL	20065.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20100.00	4.65DR	
	Commission				195.30DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2267.50	2286.00		462.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9625.00	9650.00		150.00CR
18JUL17	1 18OCT17	9750.00	9735.00		90.00DR
18JUL17	1 18OCT17	9740.00	9760.00		120.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19930.00	19910.00		100.00DR
18JUL17	1 18OCT17	19930.00	19950.00		100.00CR
18JUL17	1 18OCT17	20060.00	19950.00		550.00DR
20JUL17	1 18OCT17	20091.00	19965.00		630.00DR
20JUL17	1 18OCT17	20091.00	20035.00		280.00DR
08AUG17	1 18OCT17	20228.00	20040.00		940.00DR
08AUG17	1 18OCT17	20228.00	20010.00		1,090.00DR
08AUG17	1 18OCT17	20228.00	19950.00		1,390.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2786.00	2784.00		50.00DR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				38,003.43CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,880.00DR
	LME Lead 25 tonnes. This is an Futures				462.50CR
	LME Zinc 25 tonnes. This is an LME Futures				50.00DR
	LME Nickel 6 tonnes. This is an LME Futures				180.00CR
	COMMISSIONS				195.30DR
	CARRIED FORWARD BALANCE				33,520.63CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 GX 318.600			2.35DR	
18OCT17	B 2 DEC17 GX 318.700			4.70DR	
18OCT17	B 2 DEC17 GX 319.500			4.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL 2510.50			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 2511.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 2512.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 2512.50			4.65DR	
	CMX Silver 5,000 CMX				
18OCT17	B 2 DEC17 GX 1697.5			4.70DR	
18OCT17	B 1 DEC17 GX 1697.0			2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 7 02NOV17 IFC UD 3161.00			18.90DR	
18OCT17	S 5 16JAN18 IFC UD 3126.07			13.50DR	
18OCT17	S 2 18JAN18 DMA EL 3088.50			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3076.00			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3074.00			4.65DR	
18OCT17	S 3 18JAN18 DMA EL 3076.50			13.95DR	
18OCT17	S 1 18JAN18 DMA EL 3071.50			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3051.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3051.50			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3052.00			4.65DR	
18OCT17	B 2 18JAN18 DMA EL 3053.50			9.30DR	
18OCT17	S 5 18JAN18 DMA EL 3041.50			23.25DR	
18OCT17	B 5 18JAN18 DMA EL 3069.00			23.25DR	
18OCT17	B 2 18JAN18 DMA EL 3070.00			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3069.50			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3070.50			4.65DR	
18OCT17	B 2 18JAN18 DMA EL 3086.50			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3087.00			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3123.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3124.00			4.65DR	
18OCT17	S 1 18JAN18 DMA EL 3128.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3126.50			4.65DR	
18OCT17	S 3 18JAN18 DMA EL 3070.00			13.95DR	
18OCT17	S 1 18JAN18 DMA EL 3089.00			4.65DR	
18OCT17	S 2 18JAN18 DMA EL 3071.00			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3072.00			9.30DR	
18OCT17	S 2 18JAN18 DMA EL 3127.50			9.30DR	
18OCT17	S 1 18JAN18 DMA EL 3128.50			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3122.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3108.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3104.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3106.00			4.65DR	
18OCT17	B 4 18JAN18 DMA EL 3123.00			18.60DR	
18OCT17	B 1 18JAN18 DMA EL 3113.00			4.65DR	
18OCT17	B 1 18JAN18 DMA EL 3118.00			4.65DR	
18OCT17	B 2 18JAN18 DMA EL 3116.00			9.30DR	
18OCT17	S 2 18JAN18 IFC UD 3120.80			5.40DR	
18OCT17	B 1 18JAN18 DMA EL 3114.50			4.65DR	
18OCT17	B 5 18JAN18 DMA EL 3115.00			23.25DR	
	Commission				382.10DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	318.700	319.250		137.50CR

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	318.600	319.200		150.00CR
18OCT17	1 DEC17	318.700	320.250		387.50CR
18OCT17	1 DEC17	319.500	320.250		187.50CR
18OCT17	1 DEC17	319.500	320.550		262.50CR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 18OCT17	1941.00	1908.50		812.50DR
26JUL17	1 18OCT17	1941.00	1908.50		812.50DR
26JUL17	1 18OCT17	1941.00	1931.00		250.00DR
26JUL17	1 18OCT17	1941.00	1931.00		250.00DR
26JUL17	1 18OCT17	1941.00	1930.00		275.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2271.50	2290.00		462.50CR
18JUL17	1 18OCT17	2271.50	2286.00		362.50CR
18JUL17	1 18OCT17	2272.00	2287.50		387.50CR
18JUL17	1 18OCT17	2272.50	2270.00		62.50DR
18JUL17	1 18OCT17	2265.50	2274.50		225.00CR
26JUL17	1 18OCT17	2313.20	2275.00		955.00DR
26JUL17	1 18OCT17	2313.20	2275.00		955.00DR
26JUL17	1 18OCT17	2313.20	2276.00		930.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUL17	1 18OCT17	10131.00	9635.00		2,976.00DR
27JUL17	1 18OCT17	10131.00	9640.00		2,946.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2793.00	2795.50		62.50CR
18JUL17	1 18OCT17	2802.00	2793.00		225.00DR
18JUL17	1 18OCT17	2793.00	2795.50		62.50CR
18JUL17	1 18OCT17	2792.50	2795.50		75.00CR
18JUL17	1 18OCT17	2794.50	2795.00		12.50CR
26JUL17	1 18OCT17	2809.00	2789.50		487.50DR
26JUL17	1 18OCT17	2809.00	2789.50		487.50DR
26JUL17	1 18OCT17	2809.00	2788.50		512.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				96,581.17CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,465.00DR
	LME Zinc 25 tonnes. This is an LME Futures				1,500.00DR
	CMX Copper Futures				1,125.00CR
	LME Nickel 6 tonnes. This is an LME Futures				5,922.00DR
	LME Aluminium 25 Tonnes. This is an Futures				2,400.00DR
	COMMISSIONS				382.10DR
	CARRIED FORWARD BALANCE				86,037.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094U

18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 6 16JAN18 IFC UD		2121.25	16.20DR	
18OCT17	B 6 17JAN18 IFC UD	2121.70		16.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 12JAN18 IFC UD	3126.55		5.40DR	
18OCT17	S 2 16JAN18 IFC UD		3126.07	5.40DR	
18OCT17	S 1 18JAN18 DMA EL		3079.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3082.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3081.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3083.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3089.50	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	3114.00		4.65DR	
18OCT17	B 2 18JAN18 DMA EL	3115.00		9.30DR	
18OCT17	B 1 18JAN18 DMA EL	3116.50		4.65DR	
	Commission				85.05DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2797.00	2793.00		100.00DR
18JUL17	1 18OCT17	2796.50	2793.00		87.50DR
18JUL17	1 18OCT17	2795.00	2794.00		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,206.99CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				212.50DR
	COMMISSIONS				85.05DR
	CARRIED FORWARD BALANCE				16,909.44CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094X

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 180CT17	1918.50	1915.50		75.00DR
18JUL17	1 180CT17	1918.50	1913.50		125.00DR
18JUL17	1 180CT17	1918.50	1914.00		112.50DR
18JUL17	1 180CT17	1918.50	1912.50		150.00DR
18JUL17	1 180CT17	1917.50	1912.50		125.00DR
18JUL17	2 180CT17	1923.00	1912.50		525.00DR
18JUL17	1 180CT17	1923.00	1913.00		250.00DR
18JUL17	1 180CT17	1923.00	1913.00		250.00DR
18JUL17	1 180CT17	1923.00	1913.00		250.00DR
18JUL17	1 180CT17	1923.00	1907.00		400.00DR
18JUL17	1 180CT17	1923.00	1921.00		50.00DR
19JUL17	1 180CT17	1923.00	1920.05		73.75DR
19JUL17	3 180CT17	1923.00	1920.05		221.25DR
19JUL17	2 180CT17	1923.00	1920.05		147.50DR
19JUL17	1 180CT17	1923.50	1920.05		86.25DR
19JUL17	4 180CT17	1923.00	1920.05		295.00DR
19JUL17	7 180CT17	1923.00	1920.05		516.25DR
19JUL17	3 180CT17	1922.00	1920.05		146.25DR
19JUL17	1 180CT17	1922.00	1920.05		48.75DR
19JUL17	1 180CT17	1922.50	1920.05		61.25DR
19JUL17	4 180CT17	1922.00	1920.05		195.00DR
19JUL17	1 180CT17	1922.00	1920.05		48.75DR
19JUL17	3 180CT17	1922.50	1920.05		183.75DR
19JUL17	1 180CT17	1923.00	1920.05		73.75DR
19JUL17	3 180CT17	1917.00	1920.05		228.75CR
19JUL17	1 180CT17	1923.00	1920.05		73.75DR
19JUL17	4 180CT17	1924.50	1920.05		445.00DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1925.50	1920.05		136.25DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	2 180CT17	1926.00	1920.05		297.50DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	1 180CT17	1926.00	1920.05		148.75DR
19JUL17	3 180CT17	1926.00	1920.05		446.25DR
27JUL17	1 180CT17	1936.50	1920.05		411.25DR
28JUL17	3 180CT17	1904.50	1920.05		1,166.25CR
10AUG17	1 180CT17	2033.95	1920.05		2,847.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 180CT17	5997.50	6002.50		125.00CR
18JUL17	1 180CT17	6013.00	6010.00		75.00DR
18JUL17	2 180CT17	5981.00	5997.00		800.00CR
18JUL17	1 180CT17	5991.00	5987.50		87.50DR
18JUL17	1 180CT17	5991.50	5996.50		125.00CR
18JUL17	1 180CT17	5994.50	5996.50		50.00CR
18JUL17	1 180CT17	5996.00	5996.50		12.50CR
18JUL17	1 180CT17	5995.00	6003.50		212.50CR
18JUL17	1 180CT17	5994.00	5998.00		100.00CR
18JUL17	1 180CT17	6002.00	6003.00		25.00CR
18JUL17	1 180CT17	6004.00	6011.50		187.50CR
18JUL17	1 180CT17	5993.50	6007.00		337.50CR
28JUL17	1 180CT17	6324.50	6009.00		7,887.50DR
10AUG17	1 180CT17	6324.50	6416.05		2,288.75CR
15AUG17	1 180CT17	6416.25	6369.80		1,161.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2281.00	2293.00		300.00CR
18JUL17	1 18OCT17	2280.00	2291.50		287.50CR
18JUL17	1 18OCT17	2277.50	2287.50		250.00CR
18JUL17	1 18OCT17	2272.50	2287.00		362.50CR
18JUL17	1 18OCT17	2270.00	2283.00		325.00CR
18JUL17	1 18OCT17	2271.50	2269.00		62.50DR
18JUL17	1 18OCT17	2271.50	2269.50		50.00DR
18JUL17	1 18OCT17	2271.50	2269.00		62.50DR
18JUL17	1 18OCT17	2272.00	2268.00		100.00DR
18JUL17	1 18OCT17	2274.00	2274.50		12.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9630.00	9590.00		240.00DR
18JUL17	1 18OCT17	9635.00	9590.00		270.00DR
18JUL17	1 18OCT17	9640.00	9640.00		0.00
18JUL17	1 18OCT17	9640.00	9740.00		600.00CR
18JUL17	2 18OCT17	9640.00	9765.00		1,500.00CR
18JUL17	1 18OCT17	9630.00	9770.00		840.00CR
18JUL17	1 18OCT17	9660.00	9765.00		630.00CR
18JUL17	2 18OCT17	9670.00	9715.00		540.00CR
18JUL17	1 18OCT17	9680.00	9715.00		210.00CR
18JUL17	1 18OCT17	9675.00	9730.00		330.00CR
19JUL17	1 18OCT17	9781.00	9651.00		780.00DR
28JUL17	1 18OCT17	9781.00	10199.50		2,511.00CR
28JUL17	1 18OCT17	10200.50	10199.50		6.00DR
03AUG17	2 18OCT17	10200.50	10371.50		2,052.00CR
10AUG17	2 18OCT17	10972.50	10371.50		7,212.00DR
10AUG17	1 18OCT17	10972.50	10371.50		3,606.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19950.00	20045.00		475.00CR
18JUL17	1 18OCT17	19915.00	20030.00		575.00CR
18JUL17	1 18OCT17	19975.00	20000.00		125.00CR
18JUL17	1 18OCT17	19975.00	20000.00		125.00CR
18JUL17	1 18OCT17	20000.00	20005.00		25.00CR
27JUL17	1 18OCT17	20000.00	20690.00		3,450.00CR
27JUL17	1 18OCT17	20045.00	20690.00		3,225.00CR
27JUL17	1 18OCT17	20030.00	20690.00		3,300.00CR
27JUL17	1 18OCT17	20095.00	20690.00		2,975.00CR
28JUL17	1 18OCT17	20095.00	20625.00		2,650.00CR
28JUL17	1 18OCT17	19990.00	20625.00		3,175.00CR
28JUL17	1 18OCT17	20065.00	20625.00		2,800.00CR
28JUL17	1 18OCT17	20060.00	20625.00		2,825.00CR
08AUG17	1 18OCT17	20050.00	20228.00		890.00CR
08AUG17	1 18OCT17	20040.00	20228.00		940.00CR
10AUG17	1 18OCT17	20035.00	20340.00		1,525.00CR
10AUG17	1 18OCT17	20035.00	20340.00		1,525.00CR
17AUG17	1 18OCT17	20050.00	20240.00		950.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2825.00	2799.00		650.00DR
18JUL17	1 18OCT17	2798.50	2790.50		200.00DR
18JUL17	1 18OCT17	2797.00	2791.00		150.00DR
18JUL17	1 18OCT17	2792.50	2791.00		37.50DR
18JUL17	1 18OCT17	2788.00	2801.50		337.50CR
18JUL17	1 18OCT17	2778.00	2795.00		425.00CR
18JUL17	1 18OCT17	2774.50	2788.50		350.00CR
18JUL17	1 18OCT17	2797.50	2788.00		237.50DR
18JUL17	1 18OCT17	2776.00	2788.00		300.00CR
18JUL17	1 18OCT17	2778.00	2788.00		250.00CR
18JUL17	1 18OCT17	2783.00	2787.50		112.50CR
18JUL17	1 18OCT17	2786.00	2787.50		37.50CR
18JUL17	1 18OCT17	2785.50	2787.00		37.50CR
18JUL17	1 18OCT17	2785.50	2787.00		37.50CR

Group No: SAPG0094

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 180CT17	2783.00	2787.00		100.00CR
18JUL17	1 180CT17	2780.00	2786.50		162.50CR
18JUL17	1 180CT17	2781.50	2786.50		125.00CR
18JUL17	1 180CT17	2782.00	2786.50		112.50CR
18JUL17	1 180CT17	2780.50	2786.50		150.00CR
18JUL17	1 180CT17	2784.50	2784.50		0.00
18JUL17	1 180CT17	2784.00	2791.00		175.00CR
18JUL17	1 180CT17	2788.00	2791.00		75.00CR
18JUL17	1 180CT17	2788.50	2791.50		75.00CR
18JUL17	1 180CT17	2783.50	2791.00		187.50CR
18JUL17	1 180CT17	2792.00	2787.00		125.00DR
18JUL17	1 180CT17	2783.50	2795.00		287.50CR
18JUL17	1 180CT17	2784.00	2795.00		275.00CR
18JUL17	1 180CT17	2782.00	2793.00		275.00CR
19JUL17	1 180CT17	2784.50	2747.55		923.75DR
28JUL17	1 180CT17	2809.00	2775.75		831.25DR
28JUL17	1 180CT17	2809.00	2775.75		831.25DR
03AUG17	1 180CT17	2789.50	2775.75		343.75DR
10AUG17	1 180CT17	2789.50	2935.55		3,651.25CR
15AUG17	1 180CT17	2935.95	2957.50		538.75CR
TOTALS					
BROUGHT FORWARD BALANCE					256,272.29CR
SETTLEMENTS					
LME Tin 5 tonnes. This is an LME Futures					31,555.00CR
LME Lead 25 tonnes. This is an Futures					1,262.50CR
LME Copper Grade A 25 tonnes. This Futures					4,947.50DR
LME Zinc 25 tonnes. This is an LME Futures					3,747.50CR
LME Nickel 6 tonnes. This is an LME Futures					2,901.00DR
LME Aluminium 25 Tonnes. This is an Futures					9,011.25DR
CARRIED FORWARD BALANCE					275,977.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 4 18JAN18 TTR EL		2132.50	18.60DR	
18OCT17	S 4 18JAN18 TTR EL		2132.00	18.60DR	
18OCT17	S 2 18JAN18 TTR EL		2131.50	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		2127.00	4.65DR	
18OCT17	B 2 18JAN18 TTR EL	2124.50		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	2124.00		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	2121.50		4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	7029.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7039.50		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7040.00		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	7043.50		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	7049.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7007.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7036.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7037.00		9.30DR	
18OCT17	B 4 18JAN18 TTR EL	7044.50		18.60DR	
18OCT17	B 2 18JAN18 TTR EL	7049.50		9.30DR	
18OCT17	S 1 18JAN18 TTR EL		7007.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		6989.50	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		6991.00	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		6992.00	9.30DR	
18OCT17	B 1 18JAN18 TTR EL	6995.50		4.65DR	
	CMX Copper 25,000 CMX				
18OCT17	B 4 MAR18 TTR GX	321.600		9.40DR	
18OCT17	B 1 MAR18 TTR GX	321.900		2.35DR	
18OCT17	B 4 MAR18 TTR GX	322.900		9.40DR	
18OCT17	B 4 MAR18 TTR GX	322.850		9.40DR	
18OCT17	B 1 MAR18 TTR GX	323.100		2.35DR	
18OCT17	B 1 MAR18 TTR GX	323.000		2.35DR	
18OCT17	B 1 MAR18 TTR GX	323.150		2.35DR	
18OCT17	B 3 MAR18 TTR GX	323.050		7.05DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	11770.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11780.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11775.00		9.30DR	
18OCT17	B 6 18JAN18 TTR EL	11785.00		27.90DR	
18OCT17	B 9 18JAN18 TTR EL	11795.00		41.85DR	
18OCT17	B 2 18JAN18 TTR EL	11760.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11790.00		9.30DR	
18OCT17	B 14 18JAN18 TTR EL	11800.00		65.10DR	
18OCT17	S 1 18JAN18 TTR EL		11705.00	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		11715.00	9.30DR	
18OCT17	S 4 18JAN18 TTR EL		11700.00	18.60DR	
18OCT17	S 4 18JAN18 TTR EL		11725.00	18.60DR	
18OCT17	S 1 18JAN18 DMA EL		11705.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 TTR EL		20455.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		20470.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20420.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20005.00		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	3094.50		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	3071.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	3096.00		4.65DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AB

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B 3 18JAN18 TTR EL	3127.00		13.95DR	
18OCT17	B 3 18JAN18 TTR EL	3126.00		13.95DR	
	Commission				542.20DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
18OCT17	4 MAR18	321.600	323.000		1,400.00CR
18OCT17	1 MAR18	321.900	323.200		325.00CR
18OCT17	1 MAR18	322.850	323.400		137.50CR
18OCT17	1 MAR18	322.850	323.350		125.00CR
18OCT17	2 MAR18	322.900	322.750		75.00DR
18OCT17	1 MAR18	322.900	322.700		50.00DR
18OCT17	1 MAR18	323.050	322.700		87.50DR
18OCT17	1 MAR18	322.900	322.700		50.00DR
18OCT17	1 MAR18	322.850	322.800		12.50DR
18OCT17	1 MAR18	322.850	322.800		12.50DR
18OCT17	1 MAR18	323.100	322.800		75.00DR
18OCT17	1 MAR18	323.000	322.950		12.50DR
18OCT17	1 MAR18	323.050	322.950		25.00DR
18OCT17	1 MAR18	323.150	322.950		50.00DR
18OCT17	1 MAR18	323.050	322.500		137.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				180,998.53CR
	SETTLEMENTS				
	CMX Copper Futures				1,400.00CR
	COMMISSIONS				542.20DR
	CARRIED FORWARD BALANCE				181,856.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>BUSINESS DONE TODAY</u> CMX Copper 25,000 CMX B 1 DEC17 Commission	317.600		2.35DR	2.35DR
18OCT17	<u>SETTLEMENTS</u> CMX Copper 25,000 CMX 1 DEC17	317.600	319.150		387.50CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 26,048.00CR SETTLEMENTS CMX Copper Futures 387.50CR COMMISSIONS 2.35DR CARRIED FORWARD BALANCE 26,433.15CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AX

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	B 15 02NOV17 IFC UD 2102.00			40.50DR	
18OCT17	S 2 29DEC17 IFC UD		2116.30	5.40DR	
18OCT17	S 5 11JAN18 IFC UD		2119.80	13.50DR	
18OCT17	S 5 16JAN18 IFC UD		2121.05	13.50DR	
18OCT17	B 1 18JAN18 DMA EL	2125.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	2126.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	2126.50		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	2128.00		4.65DR	
18OCT17	B 3 18JAN18 DMA EL	2127.50		13.95DR	
18OCT17	S 3 18JAN18 IFC UD		2120.80	8.10DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		2510.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2511.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2518.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		2512.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	S 2 02NOV17 IFC UD		11596.33	5.40DR	
18OCT17	B 2 12JAN18 IFC UD	11647.07		5.40DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	3087.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3086.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3087.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3117.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3120.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3127.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3126.00	4.65DR	
18OCT17	S 2 18JAN18 DMA EL		3127.50	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		3128.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3125.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3124.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3123.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3125.50	4.65DR	
18OCT17	S 2 18JAN18 DMA EL		3115.00	9.30DR	
18OCT17	S 2 18JAN18 DMA EL		3115.50	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		3116.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3110.50	4.65DR	
	Commission				235.95DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				109,258.03CR
	COMMISSIONS				235.95DR
	CARRIED FORWARD BALANCE				109,022.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

18th October 2017

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
18OCT17	S	2	11DEC17	IFC	UD		2113.59	5.40DR	
18OCT17	S	3	14DEC17	IFC	UD		2114.41	8.10DR	
18OCT17	B	5	15DEC17	IFC	UD	2114.88		13.50DR	
18OCT17	S	2	18DEC17	IFC	UD		2115.50	5.40DR	
18OCT17	B	2	19DEC17	IFC	UD	2115.70		5.40DR	
18OCT17	S	1	20DEC17	IFC	UD		2115.50	2.70DR	
18OCT17	B	1	21DEC17	IFC	UD	2115.70		2.70DR	
18OCT17	B	1	27DEC17	IFC	UD	2116.21		2.70DR	
18OCT17	S	1	28DEC17	IFC	UD		2116.36	2.70DR	
18OCT17	S	1	28DEC17	IFC	UD		2116.16	2.70DR	
18OCT17	B	1	09JAN18	IFC	UD	2119.48		2.70DR	
18OCT17	S	7	12JAN18	IFC	UD		2120.25	18.90DR	
18OCT17	S	1	12JAN18	IFC	UD		2120.05	2.70DR	
18OCT17	B	8	16JAN18	IFC	UD	2121.45		21.60DR	
18OCT17	S	1	18JAN18	TTR	EL		2145.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	2142.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	2131.00		9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		2134.00	13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		2133.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	2132.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	2133.00		9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		2131.50	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		2131.00	13.95DR	
18OCT17	B	1	18JAN18	TTR	EL	2127.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	2126.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2127.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2126.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2116.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2115.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	2118.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		2132.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		2118.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2119.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		2115.00	9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		2117.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		2124.00	4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
18OCT17	B	1	07DEC17	IFC	UD	6974.33		2.70DR	
18OCT17	S	1	12DEC17	IFC	UD		6976.71	2.70DR	
18OCT17	S	7	13DEC17	IFC	UD		6977.42	18.90DR	
18OCT17	S	3	14DEC17	IFC	UD		6977.94	8.10DR	
18OCT17	S	2	15DEC17	IFC	UD		6978.45	5.40DR	
18OCT17	B	6	18DEC17	IFC	UD	6980.20		16.20DR	
18OCT17	B	4	19DEC17	IFC	UD	6980.20		10.80DR	
18OCT17	B	1	20DEC17	IFC	UD	6980.20		2.70DR	
18OCT17	B	1	21DEC17	IFC	UD	6979.20		2.70DR	
18OCT17	B	8	22DEC17	IFC	UD	6978.00		21.60DR	
18OCT17	S	6	27DEC17	IFC	UD		6980.60	16.20DR	
18OCT17	S	1	28DEC17	IFC	UD		6981.12	2.70DR	
18OCT17	S	8	28DEC17	IFC	UD		6980.92	21.60DR	
18OCT17	B	7	09JAN18	IFC	UD	6987.55		18.90DR	
18OCT17	S	6	10JAN18	IFC	UD		6987.87	16.20DR	
18OCT17	B	6	12JAN18	IFC	UD	6989.10		16.20DR	
18OCT17	S	10	16JAN18	IFC	UD		6990.98	27.00DR	
18OCT17	B	10	17JAN18	IFC	UD	6991.70		27.00DR	
18OCT17	B	1	18JAN18	TTR	EL	7028.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7025.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7024.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7027.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7054.00		4.65DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

18th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	2	18JAN18	TTR	EL	7065.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7065.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7068.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7069.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7027.00		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7011.50		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	7011.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7006.50		9.30DR	
18OCT17	B	3	18JAN18	TTR	EL	7050.00		13.95DR	
18OCT17	B	2	18JAN18	TTR	EL	7049.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7049.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7059.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7056.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7044.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7047.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7045.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7045.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7043.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7042.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7041.50		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	7036.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7028.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7031.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7034.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	7032.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	7035.00		4.65DR	
18OCT17	B	6	18JAN18	TTR	EL	7037.50		27.90DR	
18OCT17	B	1	18JAN18	TTR	EL	7039.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	7038.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7017.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7015.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7016.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		7010.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7007.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		7006.00	4.65DR	
18OCT17	B	2	18JAN18	DMA	EL	7049.00		9.30DR	
18OCT17	S	1	18JAN18	DMA	EL		7010.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		7009.50	9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		6987.50	9.30DR	
18OCT17	S	4	18JAN18	TTR	EL		6984.00	18.60DR	
18OCT17	S	4	18JAN18	TTR	EL		6990.50	18.60DR	
18OCT17	B	2	18JAN18	TTR	EL	6998.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	6995.00		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	6995.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	6996.00		4.65DR	
CMX Copper 25,000 CMX									
18OCT17	B	1	DEC17	TTR	GX	319.200		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	319.900		2.35DR	
18OCT17	B	2	DEC17	TTR	GX	320.800		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	320.950		4.70DR	
18OCT17	B	2	DEC17	TTR	GX	321.250		4.70DR	
18OCT17	B	1	DEC17	TTR	GX	321.100		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	319.950		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	320.200		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	319.700		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	319.250		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	319.650		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	320.100		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		317.500	2.35DR	
18OCT17	B	2	MAR18	TTR	GX	322.000		4.70DR	
18OCT17	B	6	MAR18	TTR	GX	322.100		14.10DR	
18OCT17	B	1	MAR18	TTR	GX	321.850		2.35DR	
18OCT17	B	1	MAR18	TTR	GX	322.700		2.35DR	
CMX Gold 100 CMX									
18OCT17	B	1	DEC17	TTR	GX	1287.8		2.35DR	
18OCT17	B	1	DEC17	TTR	GX	1287.1		2.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	1	DEC17	TTR	GX	1285.9		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1284.0	2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1283.6	2.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
18OCT17	B	2	12JAN18	IFC	UD	2522.62		5.40DR	
18OCT17	S	2	16JAN18	IFC	UD		2523.12	5.40DR	
18OCT17	S	1	18JAN18	TTR	EL		2474.50	4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	2474.50		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	2480.50		9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		2490.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	2490.50		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		2522.00	4.65DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
18OCT17	S	3	19DEC17	IFC	UD		11627.33	8.10DR	
18OCT17	B	3	20DEC17	IFC	UD	11629.00		8.10DR	
18OCT17	S	4	21DEC17	IFC	UD		11628.79	10.80DR	
18OCT17	S	3	22DEC17	IFC	UD		11629.57	8.10DR	
18OCT17	S	24	10JAN18	IFC	UD		11644.50	64.80DR	
18OCT17	B	26	12JAN18	IFC	UD	11647.07		70.20DR	
18OCT17	B	3	16JAN18	IFC	UD	11650.21		8.10DR	
18OCT17	B	2	17JAN18	IFC	UD	11651.00		5.40DR	
18OCT17	B	1	18JAN18	TTR	EL	11735.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	11780.00		9.30DR	
18OCT17	B	2	18JAN18	TTR	EL	11710.00		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	11715.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	11760.00		9.30DR	
18OCT17	B	3	18JAN18	TTR	EL	11805.00		13.95DR	
18OCT17	B	40	18JAN18	TTR	EL	11800.00		186.00DR	
18OCT17	S	1	18JAN18	TTR	EL		11800.00	4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	11770.00		13.95DR	
18OCT17	S	2	18JAN18	TTR	EL		11795.00	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		11820.00	13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		11815.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		11805.00	9.30DR	
18OCT17	B	2	18JAN18	DMA	EL	11805.00		9.30DR	
18OCT17	B	12	18JAN18	TTR	EL	11785.00		55.80DR	
18OCT17	B	2	18JAN18	TTR	EL	11705.00		9.30DR	
18OCT17	B	6	18JAN18	TTR	EL	11790.00		27.90DR	
18OCT17	B	12	18JAN18	TTR	EL	11820.00		55.80DR	
18OCT17	B	6	18JAN18	TTR	EL	11825.00		27.90DR	
18OCT17	B	13	18JAN18	TTR	EL	11830.00		60.45DR	
18OCT17	B	6	18JAN18	TTR	EL	11835.00		27.90DR	
18OCT17	B	33	18JAN18	TTR	EL	11840.00		153.45DR	
18OCT17	B	5	18JAN18	TTR	EL	11845.00		23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	11855.00		4.65DR	
18OCT17	B	20	18JAN18	TTR	EL	11850.00		93.00DR	
18OCT17	S	1	18JAN18	TTR	EL		11840.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		11810.00	4.65DR	
18OCT17	B	8	18JAN18	TTR	EL	11795.00		37.20DR	
18OCT17	S	1	18JAN18	TTR	EL		11775.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		11770.00	9.30DR	
18OCT17	B	11	18JAN18	TTR	EL	11775.00		51.15DR	
18OCT17	B	5	18JAN18	TTR	EL	11810.00		23.25DR	
18OCT17	B	2	18JAN18	TTR	EL	11765.00		9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		11755.00	9.30DR	
18OCT17	S	11	18JAN18	TTR	EL		11750.00	51.15DR	
18OCT17	B	2	18JAN18	TTR	EL	11720.00		9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		11740.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		11745.00	4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	11725.00		9.30DR	
18OCT17	S	4	18JAN18	TTR	EL		11725.00	18.60DR	
18OCT17	S	5	18JAN18	TTR	EL		11715.00	23.25DR	
18OCT17	S	5	18JAN18	TTR	EL		11720.00	23.25DR	

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	1	18JAN18	TTR	EL		11690.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		11710.00	9.30DR	
18OCT17	S	4	18JAN18	TTR	EL		11695.00	18.60DR	
18OCT17	S	2	18JAN18	TTR	EL		11700.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		11680.00	4.65DR	
18OCT17	S	9	18JAN18	TTR	EL		11705.00	41.85DR	
18OCT17	B	2	18JAN18	TTR	EL	11640.00		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	11635.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11630.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	11620.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		11670.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		11640.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		11645.00	9.30DR	
18OCT17	S	4	18JAN18	TTR	EL		11650.00	18.60DR	
	CMX Silver 5,000 CMX								
18OCT17	B	1	DEC17	TTR	GX	1704.0		2.35DR	
18OCT17	S	1	DEC17	TTR	GX		1702.5	2.35DR	
18OCT17	S	3	DEC17	TTR	GX		1703.0	7.05DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
18OCT17	B	1	13DEC17	IFC	UD	20119.38		2.70DR	
18OCT17	B	1	15DEC17	IFC	UD	20115.63		2.70DR	
18OCT17	B	1	18DEC17	IFC	UD	20113.75		2.70DR	
18OCT17	S	1	19DEC17	IFC	UD		20110.88	2.70DR	
18OCT17	S	2	20DEC17	IFC	UD		20109.00	5.40DR	
18OCT17	B	1	22DEC17	IFC	UD	20106.88		2.70DR	
18OCT17	B	1	27DEC17	IFC	UD	20105.31		2.70DR	
18OCT17	B	1	28DEC17	IFC	UD	20103.75		2.70DR	
18OCT17	S	1	09JAN18	IFC	UD		20091.81	2.70DR	
18OCT17	S	2	12JAN18	IFC	UD		20087.13	5.40DR	
18OCT17	S	1	18JAN18	TTR	EL		20435.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20450.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20490.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20465.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20470.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20485.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20475.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20350.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20415.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20195.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	19950.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	19940.00		9.30DR	
18OCT17	B	5	18JAN18	TTR	EL	19925.00		23.25DR	
18OCT17	B	1	18JAN18	TTR	EL	19935.00		4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20425.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20440.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20470.00	4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		20480.00	9.30DR	
18OCT17	S	3	18JAN18	DMA	EL		20475.00	13.95DR	
18OCT17	S	1	18JAN18	DMA	EL		20465.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20395.00		4.65DR	
18OCT17	B	3	18JAN18	DMA	EL	20070.00		13.95DR	
18OCT17	B	1	18JAN18	TTR	EL	19945.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		19985.00	4.65DR	
18OCT17	B	7	18JAN18	TTR	EL	19970.00		32.55DR	
18OCT17	B	1	18JAN18	TTR	EL	20000.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20110.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20120.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20080.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20090.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20095.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20070.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		20115.00	9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	20080.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20085.00	4.65DR	

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18OCT17	B	1	18JAN18	TTR	EL	20090.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20160.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20100.00		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	20075.00		13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		20030.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20045.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		20045.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20030.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20035.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	20005.00		4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		20125.00	9.30DR	
18OCT17	B	1	18JAN18	DMA	EL	20095.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20085.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		20085.00	9.30DR	
18OCT17	B	1	18JAN18	DMA	EL	20045.00		4.65DR	
18OCT17	S	3	18JAN18	TTR	EL		20065.00	13.95DR	
18OCT17	B	2	18JAN18	DMA	EL	20100.00		9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
18OCT17	S	2	11DEC17	IFC	UD		3136.50	5.40DR	
18OCT17	S	1	12DEC17	IFC	UD		3136.36	2.70DR	
18OCT17	S	1	14DEC17	IFC	UD		3136.07	2.70DR	
18OCT17	B	3	15DEC17	IFC	UD	3136.13		8.10DR	
18OCT17	S	2	18DEC17	IFC	UD		3135.79	5.40DR	
18OCT17	B	1	19DEC17	IFC	UD	3135.84		2.70DR	
18OCT17	B	2	20DEC17	IFC	UD	3135.70		5.40DR	
18OCT17	B	1	11JAN18	IFC	UD	3126.82		2.70DR	
18OCT17	S	1	12JAN18	IFC	UD		3126.35	2.70DR	
18OCT17	B	2	18JAN18	TTR	EL	3082.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	3092.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3093.00		4.65DR	
18OCT17	B	2	18JAN18	TTR	EL	3094.50		9.30DR	
18OCT17	B	1	18JAN18	TTR	EL	3095.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3095.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3088.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3091.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3089.50		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3089.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3082.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3074.50		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3073.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3078.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3070.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3069.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3099.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3053.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3051.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3046.00		4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3050.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3056.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3069.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3131.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3129.50	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		3093.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		3098.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		3095.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3130.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3129.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		3127.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		3130.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3126.00	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		3123.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		3124.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3120.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3116.50	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		3116.00	9.30DR	

Group No: SAPG0094

Page 5 of 7

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	5	18JAN18	TTR	EL	3115.00		23.25DR	
18OCT17	B	9	18JAN18	TTR	EL	3113.00		41.85DR	
18OCT17	S	2	18JAN18	TTR	EL		3111.50	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		3110.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3107.50	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3106.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3103.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3103.50	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3120.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3120.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3119.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3129.00		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3129.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3131.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3126.50		4.65DR	
18OCT17	B	3	18JAN18	TTR	EL	3127.00		13.95DR	
18OCT17	S	1	18JAN18	TTR	EL		3118.50	4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		3117.00	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		3119.50	13.95DR	
18OCT17	S	2	18JAN18	TTR	EL		3121.00	9.30DR	
18OCT17	S	2	18JAN18	TTR	EL		3113.00	9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		3112.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		3104.00	4.65DR	
18OCT17	S	5	18JAN18	TTR	EL		3122.00	23.25DR	
18OCT17	S	3	18JAN18	TTR	EL		3122.50	13.95DR	
18OCT17	S	2	18JAN18	TTR	EL		3115.50	9.30DR	
18OCT17	S	3	18JAN18	TTR	EL		3114.50	13.95DR	
	Commission								3,371.70DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
18OCT17		1	DEC17			319.200	317.500		425.00DR
18OCT17		1	DEC17			319.900	302.550		4,337.50DR
18OCT17		2	DEC17			320.800	302.550		9,125.00DR
18OCT17		1	DEC17			320.950	302.550		2,975.00DR
18OCT17		1	DEC17			320.950	302.800		4,537.50DR
18OCT17		1	DEC17			321.250	309.650		2,900.00DR
18OCT17		1	DEC17			321.250	309.450		2,950.00DR
18OCT17		1	DEC17			321.100	309.200		2,975.00DR
18OCT17		1	DEC17			319.950	308.900		2,762.50DR
18OCT17		1	DEC17			320.200	308.700		2,875.00DR
18OCT17		1	DEC17			319.700	308.700		2,750.00DR
18OCT17		1	DEC17			319.250	313.250		1,500.00DR
18OCT17		1	DEC17			319.650	313.250		1,600.00DR
18OCT17		1	DEC17			320.100	312.800		1,825.00DR
18OCT17		2	MAR18			322.000	323.200		600.00CR
18OCT17		2	MAR18			322.100	323.400		650.00CR
18OCT17		2	MAR18			322.100	322.750		325.00CR
18OCT17		1	MAR18			322.100	322.700		150.00CR
18OCT17		1	MAR18			322.100	322.700		150.00CR
18OCT17		1	MAR18			321.850	322.700		212.50CR
18OCT17		1	MAR18			322.700	322.700		0.00
	CMX Gold 100 CMX								
18OCT17		1	DEC17			1287.8	1284.0		380.00DR
18OCT17		1	DEC17			1287.1	1283.6		350.00DR
18OCT17		1	DEC17			1285.9	1302.5		1,660.00CR
	CMX Silver 5,000 CMX								
18OCT17		1	DEC17			1704.0	1702.5		75.00DR
18OCT17		1	DEC17			1709.5	1703.0		325.00DR
18OCT17		1	DEC17			1708.0	1703.0		250.00DR
18OCT17		1	DEC17			1706.5	1703.0		175.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AY
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				224,177.46CR
	SETTLEMENTS				
	CMX Silver Futures				825.00DR
	CMX Copper Futures				43,075.00DR
	CMX Gold Futures				930.00CR
	COMMISSIONS				3,371.70DR
	CARRIED FORWARD BALANCE				177,835.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		7,735,292.63CR
	SETTLEMENTS		
	CMX Copper Futures		36,250.00DR
	CMX Gold Futures		1,000.00DR
	CMX Silver Futures		900.00DR
	LME Aluminium 25 Tonnes. This is an Futures		8,305.00DR
	LME Copper Grade A 25 tonnes. This Futures		103,978.75DR
	LME Lead 25 tonnes. This is an Futures		5,346.25DR
	LME Nickel 6 tonnes. This is an LME Futures		54,864.00CR
	LME Tin 5 tonnes. This is an LME Futures		25,380.00CR
	LME Zinc 25 tonnes. This is an LME Futures		8,366.25CR
	NYM Light Sweet Crude Oil Futures		9,010.00CR
	CASH PAYMENTS/RECEIPTS		1,000,000.00DR
	COMMISSIONS		7,223.05DR
	CARRIED FORWARD BALANCE		6,669,909.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 4 18JAN18 DMA EL	2470.50		18.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		3092.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3093.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3096.50	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	3079.50		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	3067.50		4.65DR	
18OCT17	B 2 18JAN18 DMA EL	3040.00		9.30DR	
18OCT17	B 1 18JAN18 DMA EL	3040.50		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3049.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3052.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3071.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3076.50	4.65DR	
18OCT17	S 5 18JAN18 DMA EL		3085.50	23.25DR	
18OCT17	S 1 18JAN18 DMA EL		3082.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3047.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3082.50	4.65DR	
18OCT17	S 2 18JAN18 DMA EL		3085.00	9.30DR	
	Commission				120.90DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2772.00	2773.50		37.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,655.05DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				37.50CR
	COMMISSIONS				120.90DR
	CARRIED FORWARD BALANCE				42,738.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 2 18JAN18 TTR EL		2115.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		2125.50	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 TTR EL	7053.50		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7059.50		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7050.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7056.00		9.30DR	
18OCT17	B 4 18JAN18 TTR EL	7044.50		18.60DR	
18OCT17	B 2 18JAN18 TTR EL	7042.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	7038.00		9.30DR	
18OCT17	S 2 18JAN18 TTR EL		7011.00	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		7008.00	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		6988.50	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		6983.50	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		7007.50	4.65DR	
	CMX Gold 100 CMX				
18OCT17	B 2 DEC17 II	1286.2		3.86DR	
18OCT17	S 2 DEC17 II		1282.2	3.86DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	11770.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11775.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11780.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	11795.00		4.65DR	
18OCT17	B 2 18JAN18 TTR EL	11805.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11810.00		9.30DR	
18OCT17	B 4 18JAN18 TTR EL	11800.00		18.60DR	
18OCT17	B 2 18JAN18 TTR EL	11820.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11825.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11830.00		9.30DR	
18OCT17	B 6 18JAN18 TTR EL	11840.00		27.90DR	
18OCT17	B 2 18JAN18 TTR EL	11835.00		9.30DR	
18OCT17	B 2 18JAN18 TTR EL	11845.00		9.30DR	
18OCT17	B 8 18JAN18 TTR EL	11850.00		37.20DR	
18OCT17	S 4 18JAN18 TTR EL		11750.00	18.60DR	
18OCT17	S 4 18JAN18 TTR EL		11720.00	18.60DR	
18OCT17	S 2 18JAN18 TTR EL		11725.00	9.30DR	
18OCT17	S 4 18JAN18 TTR EL		11735.00	18.60DR	
18OCT17	S 2 18JAN18 TTR EL		11740.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		11715.00	9.30DR	
18OCT17	S 1 18JAN18 TTR EL		11700.00	4.65DR	
18OCT17	S 1 18JAN18 TTR EL		11695.00	4.65DR	
18OCT17	S 2 18JAN18 TTR EL		11690.00	9.30DR	
18OCT17	S 2 18JAN18 TTR EL		11655.00	9.30DR	
18OCT17	S 3 18JAN18 TTR EL		11650.00	13.95DR	
18OCT17	S 1 18JAN18 DMA EL		11670.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 TTR EL		20455.00	9.30DR	
18OCT17	B 2 18JAN18 TTR EL	20100.00		9.30DR	
18OCT17	B 1 18JAN18 TTR EL	20025.00		4.65DR	
18OCT17	B 1 18JAN18 TTR EL	20045.00		4.65DR	
18OCT17	B 4 18JAN18 TTR EL	20000.00		18.60DR	
18OCT17	S 2 18JAN18 TTR EL		20100.00	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20095.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20100.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0096Y 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	2	18JAN18	TTR	EL	3070.00		9.30DR	
18OCT17	S	1	18JAN18	TTR	EL		3114.00	4.65DR	
18OCT17	S	1	18JAN18	TTR	EL		3113.00	4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3129.50		4.65DR	
18OCT17	B	1	18JAN18	TTR	EL	3131.50		4.65DR	
18OCT17	S	2	18JAN18	TTR	EL		3123.00	9.30DR	
	Commission								537.82DR
	SETTLEMENTS								
18OCT17	CMX Gold 100 CMX 2 DEC17					1286.2	1282.2		800.00DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
18JUL17		2	18OCT17			1924.50	1927.00		125.00CR
18JUL17		1	18OCT17			1926.00	1927.00		25.00CR
19JUL17		1	18OCT17			1925.50	1920.05		136.25DR
19JUL17		1	18OCT17			1925.50	1920.05		136.25DR
	LME Tin 5 tonnes. This is an LME registered client contract								
18JUL17		1	18OCT17			19990.00	20000.00		50.00CR
27JUL17		1	18OCT17			20690.00	20005.00		3,425.00DR
	TOTALS								
	BROUGHT FORWARD BALANCE								94,109.27CR
	SETTLEMENTS								
	LME Tin 5 tonnes. This is an LME Futures								3,375.00DR
	CMX Gold Futures								800.00DR
	LME Aluminium 25 Tonnes. This is an Futures								122.50DR
	COMMISSIONS								537.82DR
	CARRIED FORWARD BALANCE								89,273.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AA

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 18OCT17	1941.00	1924.00		425.00DR
26JUL17	1 18OCT17	1941.00	1924.00		425.00DR
26JUL17	1 18OCT17	1941.00	1924.00		425.00DR
26JUL17	1 18OCT17	1941.00	1924.00		425.00DR
26JUL17	1 18OCT17	1941.00	1931.00		250.00DR
26JUL17	1 18OCT17	1941.00	1931.00		250.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUL17	1 18OCT17	10043.00	9635.00		2,448.00DR
26JUL17	1 18OCT17	10043.00	9635.00		2,448.00DR
26JUL17	1 18OCT17	10043.00	9630.00		2,478.00DR
26JUL17	1 18OCT17	10043.00	9635.00		2,448.00DR
26JUL17	1 18OCT17	10043.00	9640.00		2,418.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2771.00	2784.50		337.50CR
18JUL17	1 18OCT17	2771.00	2788.50		437.50CR
18JUL17	1 18OCT17	2771.00	2788.50		437.50CR
18JUL17	1 18OCT17	2771.50	2793.00		537.50CR
18JUL17	1 18OCT17	2771.50	2792.00		512.50CR
18JUL17	1 18OCT17	2771.50	2792.50		525.00CR
18JUL17	1 18OCT17	2770.50	2792.50		550.00CR
18JUL17	1 18OCT17	2770.50	2794.50		600.00CR
18JUL17	1 18OCT17	2773.50	2794.00		512.50CR
18JUL17	1 18OCT17	2776.00	2794.00		450.00CR
18JUL17	1 18OCT17	2776.00	2793.50		437.50CR
18JUL17	1 18OCT17	2774.50	2793.50		475.00CR
18JUL17	1 18OCT17	2775.50	2793.50		450.00CR
18JUL17	1 18OCT17	2773.00	2792.50		487.50CR
18JUL17	1 18OCT17	2772.50	2792.50		500.00CR
18JUL17	1 18OCT17	2774.50	2792.00		437.50CR
18JUL17	1 18OCT17	2774.50	2792.00		437.50CR
18JUL17	1 18OCT17	2774.00	2792.00		450.00CR
26JUL17	1 18OCT17	2809.00	2792.00		425.00DR
26JUL17	1 18OCT17	2809.00	2789.00		500.00DR
26JUL17	1 18OCT17	2809.00	2790.00		475.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,250.87CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				7,175.00CR
	LME Nickel 6 tonnes. This is an LME Futures				12,240.00DR
	LME Aluminium 25 Tonnes. This is an Futures				2,200.00DR
	CARRIED FORWARD BALANCE				23,985.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AB

18th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2270.00	2293.00		575.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2798.00	2784.00		350.00DR
27JUL17	1 18OCT17	2780.00	2801.05		526.25CR
27JUL17	1 18OCT17	2780.00	2801.05		526.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,474.25DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				575.00CR
	LME Zinc 25 tonnes. This is an LME Futures				702.50CR
	CARRIED FORWARD BALANCE				12,196.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AC
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
18JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 18OCT17	2273.00	2285.00		300.00CR
20JUL17	LME Tin 5 tonnes. This is an LME registered client contract 1 18OCT17	20091.00	19960.00		655.00DR
18JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 18OCT17	2785.50	2787.50		50.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,671.35DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				655.00DR
	LME Lead 25 tonnes. This is an Futures				300.00CR
	LME Zinc 25 tonnes. This is an LME Futures				50.00CR
	CARRIED FORWARD BALANCE				21,976.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

18th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Nickel 6 tonnes. This is an LME registered client contract								
18OCT17	B	3	18JAN18	DMA	EL	11800.00		13.95DR	
18OCT17	S	3	18JAN18	DMA	EL		11795.00	13.95DR	
18OCT17	B	1	18JAN18	DMA	EL	11790.00		4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		11790.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	11785.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	11780.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		11805.00	9.30DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
18OCT17	S	5	18JAN18	DMA	EL		20450.00	23.25DR	
18OCT17	B	1	18JAN18	DMA	EL	20505.00		4.65DR	
18OCT17	B	2	18JAN18	DMA	EL	20330.00		9.30DR	
18OCT17	B	1	18JAN18	DMA	EL	20345.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20350.00		4.65DR	
18OCT17	S	4	18JAN18	DMA	EL		20340.00	18.60DR	
18OCT17	B	2	18JAN18	DMA	EL	20360.00		9.30DR	
18OCT17	B	3	18JAN18	DMA	EL	20375.00		13.95DR	
18OCT17	B	1	18JAN18	DMA	EL	20385.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20395.00		4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20380.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20390.00	4.65DR	
18OCT17	S	3	18JAN18	DMA	EL		20410.00	13.95DR	
18OCT17	B	3	18JAN18	DMA	EL	20380.00		13.95DR	
18OCT17	S	3	18JAN18	DMA	EL		20385.00	13.95DR	
18OCT17	S	5	18JAN18	DMA	EL		20415.00	23.25DR	
18OCT17	S	5	18JAN18	DMA	EL		20250.00	23.25DR	
18OCT17	B	3	18JAN18	DMA	EL	20185.00		13.95DR	
18OCT17	B	1	18JAN18	DMA	EL	20205.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		20220.00	9.30DR	
18OCT17	B	1	18JAN18	DMA	EL	20130.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		20140.00	9.30DR	
18OCT17	B	3	18JAN18	DMA	EL	20050.00		13.95DR	
18OCT17	S	1	18JAN18	DMA	EL		20010.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20020.00	4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20025.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20000.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20030.00		4.65DR	
18OCT17	S	2	18JAN18	DMA	EL		20045.00	9.30DR	
18OCT17	B	1	18JAN18	DMA	EL	19945.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	19985.00		4.65DR	
18OCT17	B	2	18JAN18	DMA	EL	19990.00		9.30DR	
18OCT17	S	3	18JAN18	DMA	EL		19950.00	13.95DR	
18OCT17	S	3	18JAN18	DMA	EL		19985.00	13.95DR	
18OCT17	B	1	18JAN18	DMA	EL	19925.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	19930.00		4.65DR	
18OCT17	B	3	18JAN18	DMA	EL	20370.00		13.95DR	
18OCT17	B	1	18JAN18	DMA	EL	20470.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20320.00		4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20465.00		4.65DR	
18OCT17	B	3	18JAN18	DMA	EL	20475.00		13.95DR	
18OCT17	S	1	18JAN18	DMA	EL		20320.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	19965.00		4.65DR	
18OCT17	B	7	18JAN18	DMA	EL	19970.00		32.55DR	
18OCT17	S	3	18JAN18	DMA	EL		20090.00	13.95DR	
18OCT17	S	1	18JAN18	DMA	EL		20095.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20085.00		4.65DR	
18OCT17	S	1	18JAN18	DMA	EL		20160.00	4.65DR	
18OCT17	B	1	18JAN18	DMA	EL	20070.00		4.65DR	
18OCT17	B	2	18JAN18	DMA	EL	20015.00		9.30DR	
18OCT17	S	2	18JAN18	DMA	EL		20120.00	9.30DR	
18OCT17	B	4	18JAN18	DMA	EL	20035.00		18.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S 2 18JAN18 DMA EL		20115.00	9.30DR	
18OCT17	B 2 18JAN18 DMA EL	20125.00		9.30DR	
18OCT17	S 2 18JAN18 DMA EL		20100.00	9.30DR	
18OCT17	S 3 18JAN18 DMA EL		20110.00	13.95DR	
18OCT17	B 1 18JAN18 DMA EL	20145.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20055.00	4.65DR	
	Commission				599.85DR
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2270.00	2285.00		375.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9630.00	9645.00		90.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	20010.00	20045.00		175.00CR
18JUL17	1 18OCT17	19910.00	19925.00		75.00CR
18JUL17	1 18OCT17	19920.00	19925.00		25.00CR
18JUL17	1 18OCT17	19920.00	19915.00		25.00DR
18JUL17	1 18OCT17	19920.00	19950.00		150.00CR
18JUL17	1 18OCT17	19950.00	19960.00		50.00CR
18JUL17	1 18OCT17	19970.00	19995.00		125.00CR
18JUL17	1 18OCT17	19980.00	19995.00		75.00CR
18JUL17	1 18OCT17	20000.00	20040.00		200.00CR
18JUL17	1 18OCT17	20050.00	20040.00		50.00DR
18JUL17	1 18OCT17	20045.00	20040.00		25.00DR
18JUL17	1 18OCT17	20100.00	20040.00		300.00DR
18JUL17	1 18OCT17	20100.00	20050.00		250.00DR
18JUL17	1 18OCT17	20010.00	20050.00		200.00CR
18JUL17	1 18OCT17	20010.00	20050.00		200.00CR
18JUL17	1 18OCT17	20030.00	20045.00		75.00CR
20JUL17	1 18OCT17	20005.00	20089.00		420.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2785.50	2784.50		25.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				33,348.68DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,120.00CR
	LME Lead 25 tonnes. This is an Futures				375.00CR
	LME Zinc 25 tonnes. This is an LME Futures				25.00DR
	LME Nickel 6 tonnes. This is an LME Futures				90.00CR
	COMMISSIONS				599.85DR
	CARRIED FORWARD BALANCE				32,388.53DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AE

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	11815.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		11805.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	20375.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20415.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20290.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20205.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20235.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20230.00	4.65DR	
18OCT17	S 2 18JAN18 DMA EL		19985.00	9.30DR	
18OCT17	B 1 18JAN18 DMA EL	19935.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20440.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		19930.00	4.65DR	
18OCT17	B 3 18JAN18 DMA EL	19955.00		13.95DR	
18OCT17	S 1 18JAN18 DMA EL		19965.00	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	19970.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20110.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20020.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20045.00	4.65DR	
18OCT17	S 3 18JAN18 DMA EL		20050.00	13.95DR	
18OCT17	B 1 18JAN18 DMA EL	20035.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20020.00	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20025.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		20120.00	4.65DR	
18OCT17	B 2 18JAN18 DMA EL	20040.00		9.30DR	
18OCT17	S 1 18JAN18 DMA EL		19935.00	4.65DR	
	Commission				153.45DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9765.00	9735.00		180.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	19930.00	19965.00		175.00CR
18JUL17	1 18OCT17	20050.00	20045.00		25.00DR
18JUL17	1 18OCT17	20030.00	20040.00		50.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,701.23DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				200.00CR
	LME Nickel 6 tonnes. This is an LME Futures				180.00DR
	COMMISSIONS				153.45DR
	CARRIED FORWARD BALANCE				8,834.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AF

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
06OCT17	1 18OCT17	2274.50	2519.50		6,125.00CR
06OCT17	1 18OCT17	2274.50	2519.50		6,125.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9755.00	9640.00		690.00DR
20JUL17	1 18OCT17	9497.00	9725.00		1,368.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	20000.00	20025.00		125.00CR
06OCT17	1 18OCT17	20005.00	20660.00		3,275.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06OCT17	2 18OCT17	3274.50	2794.50		24,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				450.73CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,400.00CR
	LME Lead 25 tonnes. This is an Futures				12,250.00CR
	LME Zinc 25 tonnes. This is an LME Futures				24,000.00DR
	LME Nickel 6 tonnes. This is an LME Futures				678.00CR
	CARRIED FORWARD BALANCE				7,221.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AG

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 180CT17	1926.00	1916.50		237.50DR
18JUL17	1 180CT17	1925.50	1916.50		225.00DR
18JUL17	1 180CT17	1925.50	1916.50		225.00DR
24JUL17	3 180CT17	1911.50	1914.00		187.50CR
24JUL17	3 180CT17	1911.50	1916.50		375.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
20JUL17	2 180CT17	20040.00	20090.00		500.00CR
24JUL17	1 180CT17	20035.00	20195.00		800.00CR
27JUL17	1 180CT17	20040.00	20690.00		3,250.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2787.00	2791.00		100.00CR
18JUL17	1 180CT17	2787.00	2791.50		112.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,881.61CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,550.00CR
	LME Zinc 25 tonnes. This is an LME Futures				212.50CR
	LME Aluminium 25 Tonnes. This is an Futures				125.00DR
	CARRIED FORWARD BALANCE				23,519.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AH

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 DMA EL		3080.00	9.30DR	
18OCT17	S 2 18JAN18 DMA EL		3080.50	9.30DR	
18OCT17	S 1 18JAN18 DMA EL		3084.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3081.50	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3096.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3107.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3112.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		3083.00	4.65DR	
	Commission				46.50DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2779.00	2794.00		375.00CR
18JUL17	1 18OCT17	2779.00	2794.00		375.00CR
18JUL17	1 18OCT17	2778.50	2794.50		400.00CR
18JUL17	1 18OCT17	2777.50	2794.50		425.00CR
18JUL17	1 18OCT17	2777.00	2795.00		450.00CR
18JUL17	1 18OCT17	2769.50	2794.00		612.50CR
18JUL17	1 18OCT17	2770.00	2794.00		600.00CR
18JUL17	1 18OCT17	2771.00	2794.00		575.00CR
18JUL17	1 18OCT17	2772.00	2793.50		537.50CR
18JUL17	1 18OCT17	2773.50	2793.50		500.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				50,630.82CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				4,850.00CR
	COMMISSIONS				46.50DR
	CARRIED FORWARD BALANCE				55,434.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,176,175.49CR
	SETTLEMENTS		
	CMX Gold Futures		800.00DR
	LME Aluminium 25 Tonnes. This is an Futures		2,447.50DR
	LME Lead 25 tonnes. This is an Futures		13,500.00CR
	LME Nickel 6 tonnes. This is an LME Futures		11,652.00DR
	LME Tin 5 tonnes. This is an LME Futures		5,240.00CR
	LME Zinc 25 tonnes. This is an LME Futures		10,997.50DR
	COMMISSIONS		1,458.52DR
	CARRIED FORWARD BALANCE		1,167,559.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103A

18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 02NOV17 SGL UD 3110.00	3110.00		2.70DR	
18OCT17	B 1 02NOV17 SGL UD 3107.76	3107.76		2.70DR	
18OCT17	B 1 18JAN18 CQG EL 3083.00	3083.00		5.30DR	
18OCT17	B 1 18JAN18 CQG EL 3077.00	3077.00		5.30DR	
18OCT17	S 1 18JAN18 SGL UD		3086.85	2.70DR	
18OCT17	S 1 18JAN18 SGL UD		3084.85	2.70DR	
	Commission				21.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				771,917.37CR
	COMMISSIONS				21.40DR
	CARRIED FORWARD BALANCE				771,895.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103B

18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	B 2 NOV17 GX 52.04			5.30DR	
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 GX 318.600			2.65DR	
18OCT17	B 1 DEC17 GX 318.500			2.65DR	
18OCT17	B 1 DEC17 GX 318.650			2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 GX 2.89500			2.65DR	
18OCT17	B 1 NOV17 GX 2.89200			2.65DR	
18OCT17	B 1 NOV17 GX 2.86600			2.65DR	
18OCT17	B 1 NOV17 GX 2.86700			2.65DR	
18OCT17	B 1 NOV17 GX 2.86900			2.65DR	
	Commission				26.50DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17 52.04	52.16			120.00CR
18OCT17	1 NOV17 52.04	52.15			110.00CR
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17 318.600	300.150			4,612.50DR
18OCT17	1 DEC17 318.500	302.400			4,025.00DR
18OCT17	1 DEC17 318.650	303.100			3,887.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				75,506.25CR
	SETTLEMENTS				
	CMX Copper Futures				12,525.00DR
	NYM Light Sweet Crude Oil Futures				230.00CR
	COMMISSIONS				26.50DR
	CARRIED FORWARD BALANCE				63,184.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103C

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 NOV17 CQG GX		52.03	2.65DR	
18OCT17	S 1 NOV17 CQG GX		52.04	2.65DR	
18OCT17	S 1 NOV17 CQG GX		52.07	2.65DR	
18OCT17	B 6 NOV17 CQG GX	52.04		15.90DR	
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 CQG GX	317.550		2.65DR	
18OCT17	B 1 DEC17 CQG GX	317.650		2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 CQG GX	2.87200		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86500		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86100		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86200		2.65DR	
	Commission				39.75DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	52.04	52.03		10.00DR
18OCT17	1 NOV17	52.04	52.04		0.00
18OCT17	1 NOV17	52.04	52.07		30.00CR
18OCT17	1 NOV17	52.04	52.16		120.00CR
18OCT17	1 NOV17	52.04	52.16		120.00CR
18OCT17	1 NOV17	52.04	52.13		90.00CR
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	317.550	313.050		1,125.00DR
18OCT17	1 DEC17	317.650	323.050		1,350.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				60,778.60CR
	SETTLEMENTS				
	CMX Copper Futures				225.00CR
	NYM Light Sweet Crude Oil Futures				350.00CR
	COMMISSIONS				39.75DR
	CARRIED FORWARD BALANCE				61,313.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0103D 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 NOV17 CQG GX		52.07	2.65DR	
18OCT17	B 4 NOV17 CQG GX	52.04		10.60DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 CQG GX	2.90400		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.90300		2.65DR	
	Commission				18.55DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	52.04	52.07		30.00CR
18OCT17	1 NOV17	52.04	52.11		70.00CR
18OCT17	1 NOV17	52.04	52.17		130.00CR
18OCT17	1 NOV17	52.04	52.07		30.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				113,487.00CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				260.00CR
	COMMISSIONS				18.55DR
	CARRIED FORWARD BALANCE				113,728.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 2483.50			5.30DR	
18OCT17	B 2 18JAN18 DMA EL 2469.00			10.60DR	
18OCT17	B 1 18JAN18 DMA EL 2468.00			5.30DR	
18OCT17	B 1 18JAN18 DMA EL 2470.50			5.30DR	
18OCT17	S 1 18JAN18 DMA EL 2507.50		2507.50	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 2508.50		2508.50	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 2501.50		2501.50	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 2504.00		2504.00	5.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL 3089.50		3089.50	5.30DR	
18OCT17	B 1 18JAN18 DMA EL 3091.50	3091.50		5.30DR	
18OCT17	B 1 18JAN18 DMA EL 3096.50	3096.50		5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3075.00		3075.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3075.50		3075.50	5.30DR	
18OCT17	B 1 18JAN18 DMA EL 3089.00	3089.00		5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3086.50		3086.50	5.30DR	
18OCT17	B 1 18JAN18 DMA EL 3081.50	3081.50		5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3059.00		3059.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3062.50		3062.50	5.30DR	
18OCT17	S 2 18JAN18 DMA EL 3063.50		3063.50	10.60DR	
18OCT17	B 1 18JAN18 DMA EL 3086.50	3086.50		5.30DR	
18OCT17	B 2 18JAN18 DMA EL 3087.50	3087.50		10.60DR	
18OCT17	B 1 18JAN18 DMA EL 3088.50	3088.50		5.30DR	
18OCT17	S 4 18JAN18 DMA EL 3088.00		3088.00	21.20DR	
18OCT17	S 3 18JAN18 DMA EL 3087.50		3087.50	15.90DR	
18OCT17	B 2 18JAN18 DMA EL 3098.00	3098.00		10.60DR	
18OCT17	S 1 18JAN18 DMA EL 3089.00		3089.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL 3090.00		3090.00	5.30DR	
	Commission				190.80DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	1 18OCT17	1915.45	1921.00		138.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
21JUL17	1 18OCT17	2799.50	2755.50		1,100.00DR
21JUL17	1 18OCT17	2801.50	2755.50		1,150.00DR
21JUL17	1 18OCT17	2799.50	2755.50		1,100.00DR
21JUL17	1 18OCT17	2801.50	2755.50		1,150.00DR
21JUL17	1 18OCT17	2797.00	2755.50		1,037.50DR
21JUL17	1 18OCT17	2799.50	2755.50		1,100.00DR
21JUL17	1 18OCT17	2800.50	2755.50		1,125.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				54,614.82CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				7,762.50DR
	LME Aluminium 25 Tonnes. This is an Futures				138.75CR
	COMMISSIONS				190.80DR
	CARRIED FORWARD BALANCE				46,800.27CR

Group No: SAPG0103

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .
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SAP0103F
18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 2 18JAN18 DMA EL		3087.50	10.60DR	
18OCT17	S 1 18JAN18 DMA EL		3088.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3089.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3090.50	5.30DR	
18OCT17	S 2 18JAN18 DMA EL		3073.00	10.60DR	
18OCT17	S 1 18JAN18 DMA EL		3073.50	5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3099.50		5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3088.50	5.30DR	
18OCT17	S 2 18JAN18 DMA EL		3094.00	10.60DR	
18OCT17	S 1 18JAN18 DMA EL		3095.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3100.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3106.00	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3110.50	5.30DR	
18OCT17	S 1 18JAN18 DMA EL		3112.00	5.30DR	
18OCT17	S 2 18JAN18 DMA EL		3087.00	10.60DR	
18OCT17	S 1 18JAN18 DMA EL		3089.50	5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3130.00		5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3115.50		5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3117.50		5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3117.00		5.30DR	
18OCT17	S 2 18JAN18 DMA EL		3115.00	10.60DR	
18OCT17	S 1 18JAN18 DMA EL		3116.00	5.30DR	
	Commission				143.10DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	1 18OCT17	1915.45	1914.00		36.25DR
21JUL17	1 18OCT17	1915.45	1911.00		111.25DR
21JUL17	1 18OCT17	1915.45	1911.00		111.25DR
21JUL17	1 18OCT17	1915.45	1911.50		98.75DR
21JUL17	1 18OCT17	1915.45	1912.00		86.25DR
21JUL17	1 18OCT17	1915.45	1913.00		61.25DR
21JUL17	1 18OCT17	1915.45	1913.50		48.75DR
21JUL17	1 18OCT17	1915.45	1914.00		36.25DR
21JUL17	1 18OCT17	1915.45	1914.00		36.25DR
21JUL17	1 18OCT17	1915.45	1914.00		36.25DR
21JUL17	1 18OCT17	1915.45	1914.00		36.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2279.00	2272.00		175.00DR
18JUL17	1 18OCT17	2279.50	2276.00		87.50DR
18JUL17	1 18OCT17	2279.00	2273.00		150.00DR
18JUL17	1 18OCT17	2270.00	2272.50		62.50CR
18JUL17	1 18OCT17	2270.50	2273.00		62.50CR
18JUL17	1 18OCT17	2270.00	2273.50		87.50CR
18JUL17	1 18OCT17	2270.00	2272.00		50.00CR
18JUL17	1 18OCT17	2270.00	2272.00		50.00CR
18JUL17	1 18OCT17	2269.50	2272.00		62.50CR
21JUL17	2 18OCT17	2239.75	2272.00		1,612.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9740.00	9640.00		600.00DR
21JUL17	1 18OCT17	9522.00	9645.00		738.00CR
21JUL17	1 18OCT17	9522.00	9650.00		768.00CR
21JUL17	1 18OCT17	9522.00	9650.00		768.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

18th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
21JUL17	1 180CT17	9522.00	9730.00		1,248.00CR
21JUL17	1 180CT17	9522.00	9730.00		1,248.00CR
21JUL17	1 180CT17	9522.00	9740.00		1,308.00CR
21JUL17	1 180CT17	9522.00	9735.00		1,278.00CR
21JUL17	1 180CT17	9522.00	9740.00		1,308.00CR
21JUL17	1 180CT17	9522.00	9765.00		1,458.00CR
21JUL17	1 180CT17	9522.00	9760.00		1,428.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2794.00	2791.50		62.50DR
18JUL17	1 180CT17	2794.00	2791.50		62.50DR
18JUL17	1 180CT17	2793.50	2791.50		50.00DR
18JUL17	1 180CT17	2790.50	2791.00		12.50CR
18JUL17	1 180CT17	2778.00	2791.00		325.00CR
18JUL17	1 180CT17	2778.00	2790.00		300.00CR
18JUL17	1 180CT17	2778.00	2791.50		337.50CR
18JUL17	1 180CT17	2777.50	2792.50		375.00CR
18JUL17	1 180CT17	2780.50	2793.00		312.50CR
18JUL17	1 180CT17	2778.00	2793.00		375.00CR
18JUL17	1 180CT17	2781.00	2793.00		300.00CR
18JUL17	1 180CT17	2781.00	2796.00		375.00CR
21JUL17	1 180CT17	2755.70	2794.00		957.50CR
21JUL17	1 180CT17	2755.70	2795.00		982.50CR
21JUL17	1 180CT17	2755.70	2795.50		995.00CR
21JUL17	1 180CT17	2755.70	2794.00		957.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				363,824.74CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,575.00CR
	LME Zinc 25 tonnes. This is an LME Futures				6,430.00CR
	LME Nickel 6 tonnes. This is an LME Futures				10,950.00CR
	LME Aluminium 25 Tonnes. This is an Futures				698.75DR
	COMMISSIONS				143.10DR
	CARRIED FORWARD BALANCE				381,937.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103H

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 NOV17 CQG GX		52.25	2.65DR	
18OCT17	S 1 NOV17 CQG GX		52.23	2.65DR	
18OCT17	B 1 NOV17 CQG GX	51.92		2.65DR	
18OCT17	B 1 NOV17 CQG GX	51.78		2.65DR	
	CMX Copper 25,000 CMX				
18OCT17	S 1 DEC17 CQG GX		318.600	2.65DR	
18OCT17	S 1 DEC17 CQG GX		318.900	2.65DR	
18OCT17	S 1 DEC17 CQG GX		319.400	2.65DR	
18OCT17	S 2 DEC17 CQG GX		319.300	5.30DR	
18OCT17	B 1 DEC17 CQG GX	318.450		2.65DR	
18OCT17	B 1 DEC17 CQG GX	319.200		2.65DR	
18OCT17	B 1 DEC17 CQG GX	317.450		2.65DR	
18OCT17	B 1 DEC17 CQG GX	317.550		2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 CQG GX	2.91300		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.91900		2.65DR	
18OCT17	B 2 NOV17 CQG GX	2.90800		5.30DR	
18OCT17	B 1 NOV17 CQG GX	2.90600		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.90500		2.65DR	
18OCT17	B 2 NOV17 CQG GX	2.90000		5.30DR	
18OCT17	B 1 NOV17 CQG GX	2.88500		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.89900		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.88700		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.88000		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.88100		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.87100		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86400		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86300		2.65DR	
18OCT17	B 1 NOV17 CQG GX	2.86600		2.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 02NOV17 SGL UD	3095.51		2.70DR	
18OCT17	B 2 02NOV17 SGL UD	3101.01		5.40DR	
18OCT17	B 1 18JAN18 CQG EL	3088.50		5.30DR	
18OCT17	B 1 18JAN18 CQG EL	3081.00		5.30DR	
18OCT17	B 1 18JAN18 CQG EL	3077.00		5.30DR	
18OCT17	S 1 18JAN18 SGL UD		3072.35	2.70DR	
18OCT17	S 2 18JAN18 SGL UD		3078.10	5.40DR	
	Commission				111.60DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	1 NOV17	51.92	52.25		330.00CR
18OCT17	1 NOV17	51.78	52.23		450.00CR
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	318.450	318.600		37.50CR
18OCT17	1 DEC17	319.200	318.900		75.00DR
18OCT17	1 DEC17	317.450	319.400		487.50CR
18OCT17	1 DEC17	317.550	319.300		437.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				83,947.35CR
	SETTLEMENTS				
	CMX Copper Futures				887.50CR
	NYM Light Sweet Crude Oil Futures				780.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103H

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				111.60DR
	CARRIED FORWARD BALANCE				85,503.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 02NOV17 SGL UD	3095.51		2.70DR	
18OCT17	B 2 02NOV17 SGL UD	3101.01		5.40DR	
18OCT17	B 1 18JAN18 DMA EL	3086.50		5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3085.00		5.30DR	
18OCT17	B 1 18JAN18 DMA EL	3072.00		5.30DR	
18OCT17	S 1 18JAN18 SGL UD		3072.35	2.70DR	
18OCT17	S 2 18JAN18 SGL UD		3078.10	5.40DR	
	Commission				32.10DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2275.00	2288.00		325.00CR
07SEP17	1 18OCT17	2324.20	2285.00		980.00DR
07SEP17	1 18OCT17	2324.20	2275.50		1,217.50DR
07SEP17	1 18OCT17	2324.20	2292.50		792.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2796.50	2795.00		37.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,104.24CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,665.00DR
	LME Zinc 25 tonnes. This is an LME Futures				37.50DR
	COMMISSIONS				32.10DR
	CARRIED FORWARD BALANCE				88,369.64CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
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SAPG0103

18th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,796,113.32CR
	SETTLEMENTS		
	CMX Copper Futures		11,412.50DR
	LME Aluminium 25 Tonnes. This is an Futures		560.00DR
	LME Lead 25 tonnes. This is an Futures		1,090.00DR
	LME Nickel 6 tonnes. This is an LME Futures		10,950.00CR
	LME Zinc 25 tonnes. This is an LME Futures		1,370.00DR
	NYM Light Sweet Crude Oil Futures		1,620.00CR
	COMMISSIONS		583.80DR
	CARRIED FORWARD BALANCE		1,793,667.02CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0104

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0104A to SAP0104				973.87CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE CASH PAYMENTS/RECEIPTS CARRIED FORWARD BALANCE				
					973.87DR 973.87CR 0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0104A

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
18OCT17	SAP0104 Fund Withdrawal 18/10/17				2,000,000.00DR
18OCT17	SAP0104A to SAP0104				973.87DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,590,254.47CR
	CASH PAYMENTS/RECEIPTS				2,000,973.87DR
	CARRIED FORWARD BALANCE				589,280.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0104

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,589,280.60CR
	CASH PAYMENTS/RECEIPTS		2,000,000.00DR
	CARRIED FORWARD BALANCE		589,280.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	B 1 18JAN18 OBJ EL	2124.50		4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2125.00	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 OBJ EL	11785.00		4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11720.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11725.00	4.30DR	
18OCT17	S 6 18JAN18 OBJ EL		11800.00	25.80DR	
18OCT17	B 1 18JAN18 OBJ EL	11745.00		4.30DR	
18OCT17	B 2 18JAN18 OBJ EL	11700.00		8.60DR	
18OCT17	S 1 18JAN18 OBJ EL		11780.00	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL		11795.00	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL		11830.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11845.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11855.00	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	11770.00		4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	11755.00		4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11765.00	4.30DR	
18OCT17	B 2 18JAN18 OBJ EL	11735.00		8.60DR	
18OCT17	B 1 18JAN18 OBJ EL	11720.00		4.30DR	
18OCT17	B 3 18JAN18 OBJ EL	11725.00		12.90DR	
18OCT17	B 2 18JAN18 OBJ EL	11705.00		8.60DR	
18OCT17	B 1 18JAN18 OBJ EL	11690.00		4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	11695.00		4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	11680.00		4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	11670.00		4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		11655.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 OBJ EL		3075.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3075.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3074.00	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	3090.50		4.30DR	
18OCT17	B 1 18JAN18 OBJ EL	3101.00		4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3080.00	4.30DR	
18OCT17	B 1 18JAN18 DMA EL	3100.00		4.30DR	
18OCT17	B 1 18JAN18 DMA EL	3087.50		4.30DR	
	Commission				189.20DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2272.50	2275.50		75.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9575.00	9600.00		150.00CR
18JUL17	1 18OCT17	9555.00	9600.00		270.00CR
18JUL17	1 18OCT17	9650.00	9610.00		240.00DR
18JUL17	1 18OCT17	9645.00	9620.00		150.00DR
18JUL17	1 18OCT17	9695.00	9640.00		330.00DR
18JUL17	1 18OCT17	9720.00	9645.00		450.00DR
18JUL17	1 18OCT17	9725.00	9650.00		450.00DR
18JUL17	1 18OCT17	9715.00	9635.00		480.00DR
01AUG17	1 18OCT17	10284.50	9645.00		3,837.00DR
01AUG17	1 18OCT17	10284.50	9705.00		3,477.00DR
01AUG17	1 18OCT17	10284.50	9745.00		3,237.00DR

Group No: SAPG0112

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
01AUG17	1 18OCT17 LME Zinc 25 tonnes. This is an LME registered client contract	10284.50	9750.00		3,207.00DR
18JUL17	1 18OCT17	2822.00	2782.50		987.50DR
18JUL17	1 18OCT17	2821.00	2781.50		987.50DR
18JUL17	1 18OCT17	2822.00	2783.00		975.00DR
18JUL17	1 18OCT17	2800.00	2788.50		287.50DR
18JUL17	1 18OCT17	2800.00	2784.00		400.00DR
18JUL17	1 18OCT17	2800.00	2781.50		462.50DR
18JUL17	1 18OCT17	2788.50	2786.00		62.50DR
18JUL17	1 18OCT17	2794.00	2788.50		137.50DR
18JUL17	1 18OCT17	2789.00	2788.50		12.50DR
18JUL17	1 18OCT17	2786.50	2790.00		87.50CR
18JUL17	1 18OCT17	2778.00	2794.00		400.00CR
18JUL17	1 18OCT17	2777.00	2795.00		450.00CR
18JUL17	1 18OCT17	2810.00	2792.50		437.50DR
18JUL17	1 18OCT17	2793.00	2792.50		12.50DR
24JUL17	1 18OCT17	2789.00	2793.50		112.50CR
24JUL17	1 18OCT17	2789.00	2795.50		162.50CR
24JUL17	1 18OCT17	2789.00	2796.00		175.00CR
24JUL17	1 18OCT17	2789.00	2797.00		200.00CR
24JUL17	1 18OCT17	2789.00	2795.50		162.50CR
24JUL17	1 18OCT17	2789.00	2794.50		137.50CR
24JUL17	1 18OCT17	2789.00	2793.50		112.50CR
24JUL17	1 18OCT17	2789.00	2794.00		125.00CR
24JUL17	1 18OCT17	2789.00	2794.50		137.50CR
24JUL17	1 18OCT17	2789.00	2793.00		100.00CR
TOTALS					
BROUGHT FORWARD BALANCE					745,145.96CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					75.00CR
LME Zinc 25 tonnes. This is an LME Futures					2,400.00DR
LME Nickel 6 tonnes. This is an LME Futures					15,438.00DR
COMMISSIONS					189.20DR
CARRIED FORWARD BALANCE					727,193.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 18OCT17	1906.00	1907.00		25.00CR
18JUL17	1 18OCT17	1905.50	1909.00		87.50CR
18JUL17	1 18OCT17	1904.00	1931.00		675.00CR
19JUL17	1 18OCT17	1904.50	1920.05		388.75CR
19JUL17	1 18OCT17	1900.00	1920.05		501.25CR
19JUL17	1 18OCT17	1929.50	1920.05		236.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2295.00	2304.00		225.00CR
18JUL17	2 18OCT17	2285.50	2289.50		200.00CR
18JUL17	1 18OCT17	2285.50	2288.50		75.00CR
18JUL17	1 18OCT17	2286.50	2284.00		62.50DR
18JUL17	1 18OCT17	2286.50	2272.50		350.00DR
18JUL17	1 18OCT17	2285.00	2269.00		400.00DR
18JUL17	1 18OCT17	2287.50	2269.50		450.00DR
18JUL17	1 18OCT17	2288.00	2274.00		350.00DR
18JUL17	1 18OCT17	2289.00	2274.00		375.00DR
18JUL17	1 18OCT17	2288.50	2274.00		362.50DR
18JUL17	1 18OCT17	2287.50	2274.50		325.00DR
18JUL17	1 18OCT17	2289.00	2274.50		362.50DR
18JUL17	1 18OCT17	2289.00	2275.00		350.00DR
18JUL17	1 18OCT17	2288.50	2276.50		300.00DR
18JUL17	1 18OCT17	2285.00	2278.00		175.00DR
18JUL17	1 18OCT17	2293.00	2277.50		387.50DR
18JUL17	1 18OCT17	2292.50	2278.00		362.50DR
18JUL17	1 18OCT17	2290.00	2273.00		425.00DR
18JUL17	1 18OCT17	2281.00	2267.50		337.50DR
18JUL17	1 18OCT17	2279.00	2273.00		150.00DR
18JUL17	1 18OCT17	2280.00	2271.50		212.50DR
18JUL17	1 18OCT17	2287.50	2275.00		312.50DR
18JUL17	1 18OCT17	2288.00	2274.00		350.00DR
18JUL17	1 18OCT17	2281.50	2275.00		162.50DR
18JUL17	1 18OCT17	2279.00	2277.00		50.00DR
18JUL17	1 18OCT17	2279.00	2276.50		62.50DR
19JUL17	1 18OCT17	2278.50	2217.30		1,530.00DR
19JUL17	1 18OCT17	2269.50	2217.30		1,305.00DR
19JUL17	1 18OCT17	2271.00	2217.30		1,342.50DR
19JUL17	1 18OCT17	2268.00	2217.30		1,267.50DR
24JUL17	1 18OCT17	2270.00	2259.25		268.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2805.00	2818.50		337.50CR
18JUL17	3 18OCT17	2800.00	2818.50		1,387.50CR
18JUL17	1 18OCT17	2800.00	2822.50		562.50CR
18JUL17	1 18OCT17	2800.00	2825.00		625.00CR
18JUL17	1 18OCT17	2799.50	2825.00		637.50CR
18JUL17	1 18OCT17	2800.50	2825.00		612.50CR
18JUL17	2 18OCT17	2800.00	2815.00		750.00CR
18JUL17	1 18OCT17	2801.00	2815.50		362.50CR
18JUL17	1 18OCT17	2798.50	2799.00		12.50CR
18JUL17	1 18OCT17	2798.50	2800.00		37.50CR
18JUL17	1 18OCT17	2797.00	2800.50		87.50CR
18JUL17	1 18OCT17	2797.00	2801.50		112.50CR
18JUL17	1 18OCT17	2800.00	2801.50		37.50CR
18JUL17	1 18OCT17	2800.00	2802.50		62.50CR
18JUL17	1 18OCT17	2799.00	2802.50		87.50CR
18JUL17	2 18OCT17	2795.50	2802.00		325.00CR
18JUL17	2 18OCT17	2791.50	2802.00		525.00CR
18JUL17	1 18OCT17	2791.00	2802.50		287.50CR
18JUL17	1 18OCT17	2791.00	2803.50		312.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2792.00	2803.50		287.50CR
18JUL17	2 18OCT17	2791.50	2803.50		600.00CR
18JUL17	1 18OCT17	2793.00	2797.50		112.50CR
18JUL17	1 18OCT17	2792.00	2795.50		87.50CR
18JUL17	1 18OCT17	2791.50	2802.50		275.00CR
18JUL17	1 18OCT17	2791.50	2802.50		275.00CR
18JUL17	1 18OCT17	2792.50	2803.50		275.00CR
18JUL17	1 18OCT17	2791.50	2803.50		300.00CR
18JUL17	1 18OCT17	2791.50	2803.50		300.00CR
18JUL17	1 18OCT17	2790.50	2803.50		325.00CR
18JUL17	1 18OCT17	2789.00	2803.50		362.50CR
18JUL17	1 18OCT17	2791.50	2804.50		325.00CR
18JUL17	1 18OCT17	2794.00	2800.50		162.50CR
18JUL17	1 18OCT17	2793.50	2776.50		425.00DR
18JUL17	1 18OCT17	2793.00	2776.50		412.50DR
18JUL17	1 18OCT17	2792.50	2779.50		325.00DR
18JUL17	1 18OCT17	2796.00	2780.50		387.50DR
18JUL17	1 18OCT17	2795.00	2783.50		287.50DR
18JUL17	1 18OCT17	2795.00	2784.00		275.00DR
18JUL17	1 18OCT17	2792.50	2784.50		200.00DR
18JUL17	1 18OCT17	2792.50	2788.00		112.50DR
18JUL17	1 18OCT17	2792.50	2788.00		112.50DR
18JUL17	1 18OCT17	2794.50	2788.00		162.50DR
18JUL17	1 18OCT17	2794.50	2788.00		162.50DR
18JUL17	1 18OCT17	2796.50	2788.00		212.50DR
18JUL17	1 18OCT17	2800.50	2788.50		300.00DR
18JUL17	1 18OCT17	2800.00	2783.00		425.00DR
18JUL17	1 18OCT17	2797.00	2784.00		325.00DR
18JUL17	1 18OCT17	2795.50	2784.00		287.50DR
18JUL17	1 18OCT17	2793.50	2786.50		175.00DR
18JUL17	1 18OCT17	2793.00	2786.50		162.50DR
18JUL17	1 18OCT17	2790.00	2787.50		62.50DR
18JUL17	1 18OCT17	2789.50	2787.00		62.50DR
18JUL17	1 18OCT17	2789.00	2787.00		50.00DR
18JUL17	1 18OCT17	2787.50	2787.50		0.00
18JUL17	1 18OCT17	2786.50	2792.00		137.50CR
18JUL17	1 18OCT17	2785.50	2792.00		162.50CR
18JUL17	1 18OCT17	2785.50	2792.00		162.50CR
18JUL17	1 18OCT17	2784.50	2787.50		75.00CR
18JUL17	1 18OCT17	2779.00	2786.50		187.50CR
18JUL17	1 18OCT17	2779.00	2788.00		225.00CR
18JUL17	1 18OCT17	2779.00	2790.00		275.00CR
18JUL17	1 18OCT17	2778.00	2790.50		312.50CR
18JUL17	1 18OCT17	2778.50	2791.00		312.50CR
18JUL17	1 18OCT17	2779.00	2787.00		200.00CR
18JUL17	1 18OCT17	2777.50	2784.50		175.00CR
18JUL17	1 18OCT17	2774.00	2786.00		300.00CR
18JUL17	1 18OCT17	2810.00	2788.00		550.00DR
18JUL17	1 18OCT17	2809.50	2788.00		537.50DR
18JUL17	1 18OCT17	2798.00	2788.00		250.00DR
18JUL17	1 18OCT17	2793.50	2788.00		137.50DR
18JUL17	1 18OCT17	2793.50	2784.50		225.00DR
18JUL17	1 18OCT17	2793.50	2784.50		225.00DR
18JUL17	1 18OCT17	2784.00	2784.00		0.00
18JUL17	1 18OCT17	2789.50	2791.50		50.00CR
18JUL17	1 18OCT17	2779.00	2791.50		312.50CR
18JUL17	1 18OCT17	2779.00	2792.50		337.50CR
18JUL17	1 18OCT17	2779.00	2793.50		362.50CR
19JUL17	1 18OCT17	2775.50	2747.55		698.75DR
19JUL17	1 18OCT17	2775.50	2747.55		698.75DR
19JUL17	1 18OCT17	2780.00	2747.55		811.25DR
19JUL17	1 18OCT17	2794.50	2747.55		1,173.75DR
19JUL17	1 18OCT17	2793.00	2747.55		1,136.25DR
TOTALS					

TRADING ADVICE AND SETTLEMENT STATEMENT



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18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				942,118.02DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				11,888.75DR
	LME Zinc 25 tonnes. This is an LME Futures				3,068.75CR
	LME Aluminium 25 Tonnes. This is an Futures				1,441.25CR
	CARRIED FORWARD BALANCE				949,496.77DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0112D

18th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	B 8 18JAN18 OBJ EL 2133.00			34.40DR	
18OCT17	B 2 18JAN18 OBJ EL 2131.50			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 2124.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2122.00			4.30DR	
18OCT17	B 1 18JAN18 DMA EL 2133.00			4.30DR	
18OCT17	B 1 18JAN18 DMA EL 2132.50			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 2115.50			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 2117.00			4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 2 18JAN18 OBJ EL 2503.50			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 2479.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2474.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2474.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2471.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2470.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2467.00			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 2465.00			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 2467.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2471.00			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2494.50		2494.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2502.00		2502.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2505.00		2505.00	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL 2505.50		2505.50	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL 2506.00		2506.00	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL 2510.50		2510.50	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL 2508.00		2508.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2509.50		2509.50	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL 2507.00		2507.00	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL 2504.00		2504.00	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2480.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2477.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2477.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2473.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2472.50			4.30DR	
18OCT17	S 2 18JAN18 OBJ EL 2491.50		2491.50	8.60DR	
18OCT17	S 2 18JAN18 OBJ EL 2489.00		2489.00	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL 2490.50		2490.50	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL 2489.50		2489.50	8.60DR	
18OCT17	B 1 18JAN18 DMA EL 2482.50			4.30DR	
18OCT17	B 1 18JAN18 DMA EL 2481.50			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2499.50		2499.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2500.00		2500.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2500.50		2500.50	4.30DR	
18OCT17	S 3 18JAN18 OBJ EL 2501.00		2501.00	12.90DR	
18OCT17	S 1 18JAN18 OBJ EL 2503.00		2503.00	4.30DR	
18OCT17	S 3 18JAN18 OBJ EL 2503.50		2503.50	12.90DR	
18OCT17	S 3 18JAN18 OBJ EL 2507.50		2507.50	12.90DR	
18OCT17	S 1 18JAN18 OBJ EL 2508.50		2508.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2509.00		2509.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL 2528.50		2528.50	4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 2518.00			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 2517.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2517.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2510.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2509.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2511.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2510.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2507.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2506.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2506.00			4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

18th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	1	18JAN18	OBJ	EL	2505.50		4.30DR	
18OCT17	B	2	18JAN18	OBJ	EL	2508.50		8.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
18OCT17	B	1	18JAN18	OBJ	EL	3088.50		4.30DR	
18OCT17	S	4	18JAN18	OBJ	EL		3071.50	17.20DR	
18OCT17	S	1	18JAN18	OBJ	EL		3072.00	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3076.00	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3079.50	4.30DR	
18OCT17	B	3	18JAN18	OBJ	EL	3090.00		12.90DR	
18OCT17	B	2	18JAN18	OBJ	EL	3091.00		8.60DR	
18OCT17	B	1	18JAN18	OBJ	EL	3099.00		4.30DR	
18OCT17	B	2	18JAN18	OBJ	EL	3101.50		8.60DR	
18OCT17	B	1	18JAN18	OBJ	EL	3101.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3100.50		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3098.50		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3046.50		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3046.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3045.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3043.50		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3041.00		4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3055.00	4.30DR	
18OCT17	S	2	18JAN18	OBJ	EL		3059.00	8.60DR	
18OCT17	S	1	18JAN18	OBJ	EL		3062.00	4.30DR	
18OCT17	S	4	18JAN18	OBJ	EL		3061.50	17.20DR	
18OCT17	S	7	18JAN18	OBJ	EL		3061.00	30.10DR	
18OCT17	S	1	18JAN18	OBJ	EL		3076.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3078.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3086.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3094.50	4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3087.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3085.50		4.30DR	
18OCT17	B	1	18JAN18	DMA	EL	3090.00		4.30DR	
18OCT17	S	1	18JAN18	DMA	EL		3073.50	4.30DR	
18OCT17	B	4	18JAN18	DMA	EL	3090.50		17.20DR	
18OCT17	B	1	18JAN18	DMA	EL	3046.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3103.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3103.50		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3100.00		4.30DR	
18OCT17	B	2	18JAN18	OBJ	EL	3104.50		8.60DR	
18OCT17	B	1	18JAN18	OBJ	EL	3104.00		4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3122.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3125.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3127.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3124.00	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3128.50	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3127.00	4.30DR	
18OCT17	S	1	18JAN18	OBJ	EL		3126.50	4.30DR	
18OCT17	S	3	18JAN18	OBJ	EL		3130.00	12.90DR	
18OCT17	B	1	18JAN18	OBJ	EL	3116.00		4.30DR	
18OCT17	B	1	18JAN18	OBJ	EL	3117.00		4.30DR	
18OCT17	B	1	18JAN18	DMA	EL	3116.00		4.30DR	
18OCT17	B	3	18JAN18	DMA	EL	3115.00		12.90DR	
	Commission								688.00DR
	<u>MATCH OUTS</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
18JUL17		1	18OCT17			1900.00	1906.50		162.50CR
24JUL17		1	18OCT17			1911.50	1907.50		100.00DR
24JUL17		1	18OCT17			1911.50	1908.00		87.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

18th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 180CT17	1911.50	1905.00		162.50DR
24JUL17	1 180CT17	1911.50	1907.00		112.50DR
24JUL17	1 180CT17	1911.50	1916.50		125.00CR
24JUL17	2 180CT17	1911.50	1917.00		275.00CR
24JUL17	1 180CT17	1911.50	1917.50		150.00CR
24JUL17	1 180CT17	1911.50	1919.00		187.50CR
24JUL17	1 180CT17	1911.50	1918.50		175.00CR
24JUL17	1 180CT17	1911.50	1920.00		212.50CR
24JUL17	1 180CT17	1911.50	1921.50		250.00CR
24JUL17	1 180CT17	1911.50	1927.00		387.50CR
24JUL17	1 180CT17	1911.50	1927.50		400.00CR
24JUL17	1 180CT17	1911.50	1931.50		500.00CR
24JUL17	1 180CT17	1911.50	1931.50		500.00CR
24JUL17	1 180CT17	1911.50	1930.50		475.00CR
24JUL17	1 180CT17	1911.50	1930.50		475.00CR
24JUL17	1 180CT17	1911.50	1930.50		475.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2285.00	2292.00		175.00CR
18JUL17	1 180CT17	2286.50	2294.50		200.00CR
18JUL17	2 180CT17	2291.50	2284.00		375.00DR
18JUL17	1 180CT17	2293.00	2284.00		225.00DR
18JUL17	1 180CT17	2290.00	2285.00		125.00DR
18JUL17	1 180CT17	2290.00	2285.00		125.00DR
18JUL17	1 180CT17	2290.00	2282.00		200.00DR
18JUL17	1 180CT17	2287.50	2280.00		187.50DR
18JUL17	1 180CT17	2283.50	2273.00		262.50DR
18JUL17	1 180CT17	2283.50	2273.00		262.50DR
18JUL17	1 180CT17	2281.00	2272.50		212.50DR
18JUL17	1 180CT17	2281.00	2272.50		212.50DR
18JUL17	1 180CT17	2279.00	2273.00		150.00DR
18JUL17	1 180CT17	2278.50	2277.50		25.00DR
18JUL17	1 180CT17	2275.00	2273.50		37.50DR
18JUL17	1 180CT17	2281.50	2273.00		212.50DR
18JUL17	1 180CT17	2278.50	2273.00		137.50DR
18JUL17	1 180CT17	2270.00	2273.00		75.00CR
18JUL17	1 180CT17	2274.00	2273.00		25.00DR
18JUL17	1 180CT17	2272.50	2276.00		87.50CR
18JUL17	2 180CT17	2267.00	2274.50		375.00CR
18JUL17	1 180CT17	2266.00	2274.50		212.50CR
18JUL17	1 180CT17	2268.00	2272.00		100.00CR
18JUL17	1 180CT17	2266.00	2272.50		162.50CR
24JUL17	1 180CT17	2259.25	2272.50		331.25CR
24JUL17	1 180CT17	2259.25	2273.50		356.25CR
24JUL17	1 180CT17	2259.25	2274.00		368.75CR
24JUL17	1 180CT17	2259.25	2273.00		343.75CR
24JUL17	1 180CT17	2259.25	2275.00		393.75CR
24JUL17	1 180CT17	2259.25	2217.30		1,048.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 180CT17	2822.00	2815.50		162.50DR
18JUL17	1 180CT17	2822.00	2811.00		275.00DR
18JUL17	1 180CT17	2822.00	2804.00		450.00DR
18JUL17	1 180CT17	2822.50	2782.50		1,000.00DR
18JUL17	1 180CT17	2823.50	2783.50		1,000.00DR
18JUL17	1 180CT17	2823.50	2783.50		1,000.00DR
18JUL17	1 180CT17	2822.00	2783.50		962.50DR
18JUL17	1 180CT17	2810.00	2783.50		662.50DR
18JUL17	1 180CT17	2811.00	2784.50		662.50DR
18JUL17	1 180CT17	2810.50	2784.50		650.00DR
18JUL17	1 180CT17	2811.00	2785.50		637.50DR
18JUL17	1 180CT17	2799.50	2785.50		350.00DR
18JUL17	1 180CT17	2800.00	2785.50		362.50DR
18JUL17	1 180CT17	2800.00	2785.50		362.50DR
18JUL17	1 180CT17	2800.00	2786.00		350.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 18OCT17	2800.00	2786.50		337.50DR
18JUL17	1 18OCT17	2799.50	2788.00		287.50DR
18JUL17	1 18OCT17	2798.50	2788.00		262.50DR
18JUL17	1 18OCT17	2798.50	2787.00		287.50DR
18JUL17	1 18OCT17	2798.00	2786.00		300.00DR
18JUL17	1 18OCT17	2797.00	2782.50		362.50DR
18JUL17	1 18OCT17	2801.50	2783.00		462.50DR
18JUL17	1 18OCT17	2801.50	2781.00		512.50DR
18JUL17	1 18OCT17	2795.50	2780.50		375.00DR
18JUL17	2 18OCT17	2795.50	2782.00		675.00DR
18JUL17	1 18OCT17	2795.50	2786.00		237.50DR
18JUL17	1 18OCT17	2795.00	2786.50		212.50DR
18JUL17	1 18OCT17	2795.50	2788.50		175.00DR
18JUL17	1 18OCT17	2792.00	2784.00		200.00DR
18JUL17	1 18OCT17	2792.00	2786.00		150.00DR
18JUL17	1 18OCT17	2791.50	2788.00		87.50DR
18JUL17	1 18OCT17	2791.50	2788.50		75.00DR
18JUL17	1 18OCT17	2791.50	2787.00		112.50DR
18JUL17	2 18OCT17	2791.00	2787.00		200.00DR
18JUL17	2 18OCT17	2792.00	2791.50		25.00DR
18JUL17	1 18OCT17	2791.50	2792.00		12.50CR
18JUL17	1 18OCT17	2794.00	2793.50		12.50DR
18JUL17	1 18OCT17	2792.50	2792.00		12.50DR
18JUL17	1 18OCT17	2795.00	2785.50		237.50DR
18JUL17	1 18OCT17	2795.00	2785.50		237.50DR
18JUL17	1 18OCT17	2792.50	2786.00		162.50DR
18JUL17	1 18OCT17	2800.50	2786.00		362.50DR
18JUL17	1 18OCT17	2800.00	2786.50		337.50DR
18JUL17	1 18OCT17	2797.00	2784.50		312.50DR
18JUL17	1 18OCT17	2793.00	2784.00		225.00DR
18JUL17	1 18OCT17	2785.50	2784.00		37.50DR
18JUL17	1 18OCT17	2785.00	2782.00		75.00DR
18JUL17	1 18OCT17	2779.50	2784.00		112.50CR
18JUL17	1 18OCT17	2778.50	2781.50		75.00CR
18JUL17	1 18OCT17	2779.00	2781.50		62.50CR
18JUL17	1 18OCT17	2779.00	2786.00		175.00CR
18JUL17	1 18OCT17	2778.00	2793.50		387.50CR
18JUL17	1 18OCT17	2777.50	2786.50		225.00CR
18JUL17	1 18OCT17	2777.50	2787.50		250.00CR
18JUL17	1 18OCT17	2777.50	2787.50		250.00CR
18JUL17	1 18OCT17	2777.50	2790.50		325.00CR
18JUL17	1 18OCT17	2809.00	2790.50		462.50DR
18JUL17	1 18OCT17	2798.00	2791.50		162.50DR
18JUL17	1 18OCT17	2793.50	2793.50		0.00
18JUL17	1 18OCT17	2793.50	2795.50		50.00CR
18JUL17	1 18OCT17	2793.50	2795.00		37.50CR
19JUL17	1 18OCT17	2793.50	2747.55		1,148.75DR
19JUL17	1 18OCT17	2777.50	2747.55		748.75DR
24JUL17	2 18OCT17	2789.00	2747.55		2,072.50DR
24JUL17	2 18OCT17	2789.00	2747.55		2,072.50DR

TOTALS

BROUGHT FORWARD BALANCE

39,924.65DR

SETTLEMENTS

LME Lead 25 tonnes. This is an Futures

642.50DR

LME Zinc 25 tonnes. This is an LME Futures

20,942.50DR

LME Aluminium 25 Tonnes. This is an Futures

4,287.50CR

COMMISSIONS

688.00DR

CARRIED FORWARD BALANCE

57,910.15DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	B 1 18JAN18 OBJ EL 2132.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2131.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2124.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2118.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2115.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2117.50			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2119.00	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 OBJ EL 2502.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2491.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2474.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2474.00			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2482.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2481.00	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2480.00			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 2479.50			8.60DR	
18OCT17	S 2 18JAN18 OBJ EL		2510.00	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL		2499.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2477.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2475.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2484.00			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2489.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2488.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2510.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2519.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		2527.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 2516.00			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 2514.00			8.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 OBJ EL		3092.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3087.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3083.00			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3080.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3070.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3071.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3070.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3069.50			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3065.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3090.00			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 3101.00			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 3101.50			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3082.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3077.50	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL		3074.50	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL		3067.00	4.30DR	
18OCT17	S 2 18JAN18 OBJ EL		3062.00	8.60DR	
18OCT17	S 1 18JAN18 OBJ EL		3060.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3052.00			4.30DR	
18OCT17	B 2 18JAN18 OBJ EL 3046.50			8.60DR	
18OCT17	B 1 18JAN18 OBJ EL 3041.00			4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3040.50			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3061.50	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3065.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3066.50	4.30DR	
18OCT17	B 1 18JAN18 OBJ EL 3081.00			4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3087.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3088.00	4.30DR	
18OCT17	S 1 18JAN18 OBJ EL		3095.50	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112G

18th October 2017

COB GLOBAL

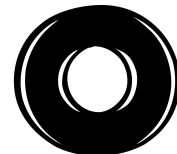
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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted	
18OCT17	B	1	18JAN18	OBJ	EL	3096.50		4.30DR	374.10DR	
18OCT17	B	1	18JAN18	OBJ	EL	3087.50		4.30DR		
18OCT17	S	1	18JAN18	OBJ	EL		3091.50	4.30DR		
18OCT17	S	6	18JAN18	OBJ	EL		3091.00	25.80DR		
18OCT17	S	1	18JAN18	OBJ	EL		3090.50	4.30DR		
18OCT17	S	1	18JAN18	OBJ	EL		3089.50	4.30DR		
18OCT17	S	1	18JAN18	OBJ	EL		3114.50	4.30DR		
18OCT17	S	1	18JAN18	OBJ	EL		3120.00	4.30DR		
18OCT17	S	2	18JAN18	OBJ	EL		3122.50	8.60DR		
18OCT17	B	1	18JAN18	DMA	EL	3089.00		4.30DR		
18OCT17	S	1	18JAN18	DMA	EL		3076.00	4.30DR		
18OCT17	S	1	18JAN18	DMA	EL		3090.00	4.30DR		
18OCT17	B	1	18JAN18	OBJ	EL	3130.50		4.30DR		
18OCT17	B	1	18JAN18	OBJ	EL	3129.50		4.30DR		
18OCT17	B	1	18JAN18	OBJ	EL	3114.50		4.30DR		
18OCT17	S	1	18JAN18	OBJ	EL		3121.50	4.30DR		
18OCT17	B	1	18JAN18	DMA	EL	3111.00		4.30DR		
18OCT17	B	1	18JAN18	OBJ	EL	3115.00		4.30DR		
	Commission									
	TOTALS									
	BROUGHT FORWARD BALANCE					3,146.84DR				
	COMMISSIONS					374.10DR				
	CARRIED FORWARD BALANCE					3,520.94DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		742,835.05CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		5,728.75CR
	LME Lead 25 tonnes. This is an Futures		12,456.25DR
	LME Nickel 6 tonnes. This is an LME Futures		15,438.00DR
	LME Zinc 25 tonnes. This is an LME Futures		20,273.75DR
	COMMISSIONS		1,251.30DR
	CARRIED FORWARD BALANCE		699,144.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0113A
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 5 DEC17 1250.0 Put GX Commission		5.2	11.75DR	2,600.00CR 11.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 27,971.98CR OPTION PREMIUMS 2,600.00CR COMMISSIONS 11.75DR CARRIED FORWARD BALANCE 30,560.23CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0113

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		27,971.98CR
	OPTION PREMIUMS		2,600.00CR
	COMMISSIONS		11.75DR
	CARRIED FORWARD BALANCE		30,560.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118C

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		20210.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20125.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		19965.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20035.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		19930.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19945.00		4.65DR	
	Commission				27.90DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				851.48DR
	COMMISSIONS				27.90DR
	CARRIED FORWARD BALANCE				879.38DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL	19990.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		19950.00	4.65DR	
18OCT17	S 1 18JAN18 DMA EL		19965.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	19970.00		4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20040.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20010.00	4.65DR	
18OCT17	B 1 18JAN18 DMA EL	20020.00		4.65DR	
18OCT17	S 1 18JAN18 DMA EL		20025.00	4.65DR	
	Commission				37.20DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2275.00	2286.00		275.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	9650.00	9645.00		30.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	20050.00	20045.00		25.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 18OCT17	2786.00	2791.00		125.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,961.27DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				25.00DR
	LME Lead 25 tonnes. This is an Futures				275.00CR
	LME Zinc 25 tonnes. This is an LME Futures				125.00CR
	LME Nickel 6 tonnes. This is an LME Futures				30.00DR
	COMMISSIONS				37.20DR
	CARRIED FORWARD BALANCE				19,653.47DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0118

18th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		60,314.15DR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		275.00CR
	LME Nickel 6 tonnes. This is an LME Futures		30.00DR
	LME Tin 5 tonnes. This is an LME Futures		25.00DR
	LME Zinc 25 tonnes. This is an LME Futures		125.00CR
	COMMISSIONS		65.10DR
	CARRIED FORWARD BALANCE		60,034.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18OCT17	S 2 18JAN18 TTR EL		2126.00	10.00DR	
18OCT17	S 1 18JAN18 DMA EL		2127.50	5.00DR	
18OCT17	S 2 18JAN18 TTR EL		2116.00	10.00DR	
18OCT17	B 1 18JAN18 TTR EL	2121.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2123.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		2124.50	5.00DR	
18OCT17	S 2 18JAN18 TTR EL		2124.00	10.00DR	
18OCT17	B 2 18JAN18 TTR EL	2117.50		10.00DR	
18OCT17	B 1 18JAN18 TTR EL	2117.00		5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 TTR EL	7029.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7028.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7070.50		5.00DR	
18OCT17	B 3 18JAN18 TTR EL	7069.00		15.00DR	
18OCT17	B 1 18JAN18 TTR EL	7069.50		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7067.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7021.50		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7010.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7011.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7010.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7005.00	5.00DR	
18OCT17	B 3 18JAN18 TTR EL	7034.00		15.00DR	
18OCT17	B 1 18JAN18 TTR EL	7033.50		5.00DR	
18OCT17	B 1 18JAN18 DMA EL	7035.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	7041.50		5.00DR	
18OCT17	B 2 18JAN18 TTR EL	7059.00		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	7046.00		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	7043.50		10.00DR	
18OCT17	B 4 18JAN18 TTR EL	7043.00		20.00DR	
18OCT17	B 2 18JAN18 TTR EL	7042.00		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	7045.00		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	7042.50		10.00DR	
18OCT17	B 2 18JAN18 TTR EL	7036.00		10.00DR	
18OCT17	B 1 18JAN18 TTR EL	7033.00		5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7013.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7012.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7007.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		7003.00	5.00DR	
18OCT17	S 3 18JAN18 TTR EL		7004.50	15.00DR	
18OCT17	S 1 18JAN18 TTR EL		7008.50	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		6984.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		6983.00	5.00DR	
18OCT17	S 1 18JAN18 TTR EL		6986.50	5.00DR	
18OCT17	B 1 18JAN18 TTR EL	6993.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	6995.00		5.00DR	
18OCT17	B 1 18JAN18 TTR EL	6995.50		5.00DR	
18OCT17	S 1 18JAN18 DMA EL		7004.50	5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	B 1 DEC17 TTR II	317.800		1.03DR	
18OCT17	B 1 DEC17 TTR II	317.600		1.03DR	
	CMX Gold 100 CMX				
18OCT17	B 1 DEC17 TTR II	1286.9		1.03DR	
18OCT17	B 1 DEC17 TTR II	1286.7		1.03DR	
18OCT17	S 1 DEC17 TTR II		1283.2	1.03DR	
18OCT17	S 1 DEC17 TTR II		1283.4	1.03DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
18OCT17	B 1 NOV17 TTR II	2.90600		1.03DR	
18OCT17	B 6 NOV17 TTR II	2.89700		6.18DR	
18OCT17	B 1 NOV17 TTR II	2.89000		1.03DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	1	NOV17	TTR	II	2.88700		1.03DR	
18OCT17	B	1	NOV17	TTR	II	2.88500		1.03DR	
18OCT17	B	1	NOV17	TTR	II	2.88400		1.03DR	
18OCT17	B	2	NOV17	TTR	II	2.88900		2.06DR	
18OCT17	B	2	NOV17	TTR	II	2.88600		2.06DR	
18OCT17	B	3	NOV17	TTR	II	2.89100		3.09DR	
18OCT17	B	1	NOV17	TTR	II	2.90200		1.03DR	
18OCT17	B	1	NOV17	TTR	II	2.90300		1.03DR	
18OCT17	B	2	NOV17	TTR	II	2.86200		2.06DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
18OCT17	S	1	18JAN18	TTR	EL		2473.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
18OCT17	B	1	18JAN18	TTR	EL	11840.00		5.00DR	
18OCT17	B	2	18JAN18	TTR	EL	11845.00		10.00DR	
18OCT17	B	4	18JAN18	TTR	EL	11850.00		20.00DR	
18OCT17	S	2	18JAN18	TTR	EL		11755.00	10.00DR	
18OCT17	S	3	18JAN18	TTR	EL		11750.00	15.00DR	
18OCT17	S	1	18JAN18	TTR	EL		11725.00	5.00DR	
18OCT17	S	1	18JAN18	TTR	EL		11745.00	5.00DR	
	CMX Silver 5,000 CMX								
18OCT17	B	1	DEC17	TTR	II	1702.5		1.03DR	
18OCT17	B	2	DEC17	TTR	II	1699.0		2.06DR	
18OCT17	B	1	DEC17	TTR	II	1699.5		1.03DR	
18OCT17	B	2	DEC17	TTR	II	1697.5		2.06DR	
18OCT17	B	1	DEC17	TTR	II	1698.5		1.03DR	
18OCT17	S	1	DEC17	TTR	II		1695.5	1.03DR	
18OCT17	S	3	DEC17	TTR	II		1695.0	3.09DR	
18OCT17	S	1	DEC17	TTR	II		1700.5	1.03DR	
18OCT17	S	1	DEC17	TTR	II		1700.0	1.03DR	
18OCT17	S	1	DEC17	TTR	II		1704.5	1.03DR	
18OCT17	S	1	DEC17	TTR	II		1703.5	1.03DR	
18OCT17	S	1	DEC17	TTR	II		1704.0	1.03DR	
18OCT17	S	6	DEC17	TTR	II		1701.0	6.18DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
18OCT17	S	1	18JAN18	TTR	EL		20465.00	5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	20050.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
18OCT17	B	1	18JAN18	TTR	EL	3087.00		5.00DR	
18OCT17	B	1	18JAN18	TTR	EL	3087.50		5.00DR	
18OCT17	B	2	18JAN18	TTR	EL	3088.00		10.00DR	
18OCT17	B	1	18JAN18	TTR	EL	3094.50		5.00DR	
18OCT17	B	3	18JAN18	TTR	EL	3067.00		15.00DR	
18OCT17	S	3	18JAN18	TTR	EL		3115.50	15.00DR	
18OCT17	S	3	18JAN18	TTR	EL		3109.00	15.00DR	
18OCT17	B	4	18JAN18	TTR	EL	3107.00		20.00DR	
18OCT17	B	4	18JAN18	TTR	EL	3126.50		20.00DR	
18OCT17	S	4	18JAN18	TTR	EL		3127.00	20.00DR	
18OCT17	S	1	18JAN18	DMA	EL		3115.00	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		3109.00	5.00DR	
18OCT17	S	1	18JAN18	TTR	EL		3111.00	5.00DR	
18OCT17	S	1	18JAN18	TTR	EL		3114.50	5.00DR	
18OCT17	S	2	18JAN18	TTR	EL		3116.00	10.00DR	
	Commission								
									626.50DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119B

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC17	317.800	324.000		1,550.00CR
18OCT17	1 DEC17	317.600	324.750		1,787.50CR
	CMX Gold 100 CMX				
18OCT17	1 DEC17	1286.9	1283.2		370.00DR
18OCT17	1 DEC17	1286.7	1283.4		330.00DR
	CMX Silver 5,000 CMX				
18OCT17	1 DEC17	1702.5	1695.0		375.00DR
18OCT17	1 DEC17	1699.0	1695.0		200.00DR
18OCT17	1 DEC17	1699.0	1695.5		175.00DR
18OCT17	1 DEC17	1699.5	1695.0		225.00DR
18OCT17	1 DEC17	1697.5	1701.0		175.00CR
18OCT17	1 DEC17	1697.5	1701.0		175.00CR
18OCT17	1 DEC17	1698.5	1701.0		125.00CR
18OCT17	1 DEC17	1681.0	1700.5		975.00CR
18OCT17	1 DEC17	1681.0	1700.0		950.00CR
18OCT17	1 DEC17	1681.0	1701.0		1,000.00CR
18OCT17	1 DEC17	1681.0	1704.5		1,175.00CR
18OCT17	1 DEC17	1681.0	1703.5		1,125.00CR
18OCT17	1 DEC17	1680.5	1704.0		1,175.00CR
18OCT17	1 DEC17	1674.0	1701.0		1,350.00CR
18OCT17	1 DEC17	1672.5	1701.0		1,425.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				271,244.43CR
	SETTLEMENTS				
	CMX Silver Futures				8,675.00CR
	CMX Copper Futures				3,337.50CR
	CMX Gold Futures				700.00DR
	COMMISSIONS				626.50DR
	CARRIED FORWARD BALANCE				281,930.43CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
18OCT17	S 1 DEC17 CQG II		116.7750	1.02DR	
18OCT17	B 1 FEB18 CQG II	120.6750		1.02DR	
18OCT17	B 1 FEB18 CQG II	120.6250		1.02DR	
18OCT17	S 1 APR18 CQG II		121.1250	1.02DR	
	CME Feeder Cattle 500 CME				
18OCT17	B 1 NOV17 CQG II	150.9000		1.02DR	
18OCT17	S 1 NOV17 CQG II		151.8500	1.02DR	
18OCT17	S 1 JAN18 CQG II		148.8000	1.02DR	
18OCT17	S 1 JAN18 CQG II		148.7750	1.02DR	
18OCT17	B 2 JAN18 CQG II	149.8750		2.04DR	
18OCT17	B 1 MAR18 CQG II	145.9750		1.02DR	
18OCT17	S 1 MAR18 CQG II		146.9000	1.02DR	
	IEU Gas Oil 100 IPE				
18OCT17	B 3 MAR18 CQG EL	524.000		3.21DR	
18OCT17	B 5 MAR18 CQG EL	522.750		5.35DR	
18OCT17	S 3 APR18 CQG EL		521.500	3.21DR	
18OCT17	S 13 APR18 CQG EL		520.250	13.91DR	
18OCT17	B 4 APR18 CQG EL	519.750		4.28DR	
18OCT17	B 8 MAY18 CQG EL	518.500		8.56DR	
18OCT17	S 4 MAY18 CQG EL		518.000	4.28DR	
18OCT17	S 4 JUN18 CQG EL		519.750	4.28DR	
18OCT17	B 4 JUL18 CQG EL	519.250		4.28DR	
	CME Lean Hog 400 CME				
18OCT17	S 1 DEC17 CQG II		63.6500	1.02DR	
18OCT17	B 2 FEB18 CQG II	67.9750		2.04DR	
18OCT17	S 1 APR18 CQG II		71.8500	1.02DR	
	Commission				67.68DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
18OCT17	1 DEC17	116.9750	116.7750		80.00DR
18OCT17	1 FEB18	120.6750	121.2000		210.00CR
18OCT17	1 FEB18	120.6250	121.1500		210.00CR
18OCT17	1 APR18	121.5500	121.1250		170.00DR
	CME Feeder Cattle 500 CME				
18OCT17	1 NOV17	150.9000	151.8500		475.00CR
18OCT17	1 JAN18	149.8750	148.8000		537.50DR
18OCT17	1 JAN18	149.8750	148.7750		550.00DR
18OCT17	1 MAR18	145.9750	146.9000		462.50CR
	IEU Gas Oil 100 IPE				
18OCT17	3 MAR18	524.000	507.750		4,875.00DR
18OCT17	1 MAR18	522.750	507.750		1,500.00DR
18OCT17	4 MAR18	522.750	510.000		5,100.00DR
18OCT17	3 APR18	519.750	521.500		525.00CR
18OCT17	1 APR18	519.750	520.250		50.00CR
18OCT17	4 APR18	517.500	520.250		1,100.00CR
18OCT17	4 APR18	517.500	520.250		1,100.00CR
18OCT17	3 APR18	517.500	520.250		825.00CR
18OCT17	1 APR18	517.500	520.250		275.00CR
18OCT17	4 MAY18	518.500	518.000		200.00DR
18OCT17	4 JUL18	519.250	504.250		6,000.00DR
	CME Lean Hog 400 CME				
18OCT17	1 DEC17	61.8750	63.6500		710.00CR
18OCT17	1 FEB18	67.9750	67.6750		120.00DR
18OCT17	1 FEB18	67.9750	67.6750		120.00DR
18OCT17	1 APR18	72.0500	71.8500		80.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119C
18th October 2017
COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				24,406.20CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				150.00DR
	CME Cattle Futures				170.00CR
	IEU Gas Oil Futures				13,800.00DR
	CME Lean Hog Futures				390.00CR
	COMMISSIONS				67.68DR
	CARRIED FORWARD BALANCE				10,948.52CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
18OCT17	S 1 DEC17 CQG II		114.6500	1.02DR	
18OCT17	B 1 DEC17 CQG II	114.6500		1.02DR	
18OCT17	S 1 FEB18 CQG II		119.7000	1.02DR	
18OCT17	B 1 FEB18 CQG II	119.3500		1.02DR	
18OCT17	S 2 FEB18 CQG II		120.6750	2.04DR	
18OCT17	B 1 FEB18 CQG II	120.7750		1.02DR	
18OCT17	B 1 FEB18 CQG II	120.6250		1.02DR	
18OCT17	B 1 APR18 CQG II	120.4250		1.02DR	
18OCT17	B 1 APR18 CQG II	120.1750		1.02DR	
18OCT17	S 1 APR18 CQG II		120.1000	1.02DR	
18OCT17	B 2 APR18 CQG II	119.9000		2.04DR	
18OCT17	B 1 APR18 CQG II	119.8500		1.02DR	
18OCT17	B 1 APR18 CQG II	119.8250		1.02DR	
18OCT17	S 1 APR18 CQG II		120.0750	1.02DR	
18OCT17	S 1 APR18 CQG II		120.0500	1.02DR	
18OCT17	S 1 APR18 CQG II		121.1250	1.02DR	
18OCT17	S 2 JUN18 CQG II		113.0000	2.04DR	
18OCT17	S 1 JUN18 CQG II		113.2000	1.02DR	
18OCT17	S 1 JUN18 CQG II		113.1250	1.02DR	
18OCT17	B 1 JUN18 CQG II	114.0250		1.02DR	
18OCT17	S 1 JUN18 CQG II		114.1000	1.02DR	
18OCT17	B 2 JUN18 CQG II	114.0000		2.04DR	
18OCT17	S 1 JUN18 CQG II		114.0750	1.02DR	
18OCT17	S 1 JUN18 CQG II		114.1250	1.02DR	
18OCT17	B 4 AUG18 CQG II	110.5500		4.08DR	
18OCT17	B 1 AUG18 CQG II	110.4500		1.02DR	
18OCT17	B 1 AUG18 CQG II	111.2750		1.02DR	
18OCT17	S 4 OCT18 CQG II		110.9250	4.08DR	
	CME Feeder Cattle 500 CME				
18OCT17	S 1 NOV17 CQG II		152.0750	1.02DR	
18OCT17	B 1 NOV17 CQG II	152.0500		1.02DR	
18OCT17	B 1 NOV17 CQG II	151.5250		1.02DR	
18OCT17	S 1 NOV17 CQG II		151.2000	1.02DR	
18OCT17	B 1 NOV17 CQG II	151.3250		1.02DR	
18OCT17	B 1 JAN18 CQG II	149.9500		1.02DR	
18OCT17	S 1 JAN18 CQG II		149.9000	1.02DR	
18OCT17	S 1 JAN18 CQG II		148.7500	1.02DR	
18OCT17	S 1 JAN18 CQG II		148.7750	1.02DR	
18OCT17	B 1 JAN18 CQG II	148.9500		1.02DR	
18OCT17	B 1 JAN18 CQG II	149.1500		1.02DR	
18OCT17	S 1 JAN18 CQG II		149.5750	1.02DR	
18OCT17	B 1 JAN18 CQG II	149.1000		1.02DR	
18OCT17	S 1 JAN18 CQG II		149.1000	1.02DR	
18OCT17	S 1 JAN18 CQG II		149.2500	1.02DR	
18OCT17	S 1 JAN18 CQG II		150.2750	1.02DR	
18OCT17	S 1 JAN18 CQG II		149.3750	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.7750	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.7250	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.7000	1.02DR	
18OCT17	B 2 MAR18 CQG II	145.9750		2.04DR	
18OCT17	S 1 MAR18 CQG II		146.2500	1.02DR	
18OCT17	S 1 MAR18 CQG II		146.4750	1.02DR	
18OCT17	B 1 MAR18 CQG II	146.7000		1.02DR	
18OCT17	B 1 MAR18 CQG II	146.3000		1.02DR	
18OCT17	B 1 MAR18 CQG II	147.3000		1.02DR	
18OCT17	B 2 MAR18 CQG II	146.4500		2.04DR	
18OCT17	B 1 MAR18 CQG II	146.8250		1.02DR	
18OCT17	B 1 APR18 CQG II	147.1500		1.02DR	
18OCT17	B 1 APR18 CQG II	147.1250		1.02DR	
18OCT17	B 1 APR18 CQG II	146.5000		1.02DR	
18OCT17	B 1 MAY18 CQG II	146.1000		1.02DR	
18OCT17	B 1 MAY18 CQG II	146.0500		1.02DR	
18OCT17	S 1 MAY18 CQG II		145.9750	1.02DR	

Group No: SAPG0119

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

18th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S 1 MAY18 CQG II		145.2000	1.02DR	
18OCT17	S 1 MAY18 CQG II		145.0750	1.02DR	
18OCT17	S 1 MAY18 CQG II		145.5500	1.02DR	
18OCT17	S 1 MAY18 CQG II		145.5750	1.02DR	
	CME Lean Hog 400 CME				
18OCT17	B 1 JUN18 CQG II 79.9000	79.9000		1.02DR	
18OCT17	S 1 JUN18 CQG II		79.9750	1.02DR	
18OCT17	S 1 AUG18 CQG II		79.8000	1.02DR	
18OCT17	B 1 AUG18 CQG II 79.8250	79.8250		1.02DR	
	Commission				83.64DR
	SETTLEMENTS				
	CME Cattle 400 CME				
18OCT17	1 DEC17	114.6500	114.6500		0.00
18OCT17	1 FEB18	119.3500	119.7000		140.00CR
18OCT17	1 FEB18	120.7750	120.6750		40.00DR
18OCT17	1 FEB18	120.6250	120.6750		20.00CR
18OCT17	1 APR18	120.4250	120.1000		130.00DR
18OCT17	1 APR18	120.1750	120.0750		40.00DR
18OCT17	1 APR18	119.9000	120.0500		60.00CR
18OCT17	1 APR18	119.9000	121.1250		490.00CR
18OCT17	1 APR18	119.8500	122.1250		910.00CR
18OCT17	1 APR18	119.8250	122.1500		930.00CR
18OCT17	1 JUN18	114.0000	113.0000		400.00DR
18OCT17	1 JUN18	114.0250	113.0000		410.00DR
18OCT17	1 JUN18	114.0000	113.2000		320.00DR
18OCT17	1 JUN18	115.3250	113.1250		880.00DR
18OCT17	1 JUN18	115.3500	114.1000		500.00DR
18OCT17	1 JUN18	115.0250	114.0750		380.00DR
18OCT17	1 JUN18	114.5250	114.1250		160.00DR
18OCT17	1 AUG18	110.5500	113.3250		1,110.00CR
18OCT17	1 AUG18	110.5500	113.3250		1,110.00CR
18OCT17	1 AUG18	110.5500	112.2000		660.00CR
18OCT17	1 AUG18	110.5500	112.0750		610.00CR
18OCT17	1 AUG18	110.4500	112.0000		620.00CR
18OCT17	1 AUG18	111.2750	111.7500		190.00CR
18OCT17	1 OCT18	112.0500	110.9250		450.00DR
18OCT17	1 OCT18	111.3500	110.9250		170.00DR
18OCT17	1 OCT18	113.1000	110.9250		870.00DR
18OCT17	1 OCT18	112.6750	110.9250		700.00DR
	CME Feeder Cattle 500 CME				
18OCT17	1 NOV17	152.0500	152.0750		12.50CR
18OCT17	1 NOV17	151.5250	151.2000		162.50DR
18OCT17	1 JAN18	149.9500	149.9000		25.00DR
18OCT17	1 JAN18	148.9500	148.7500		100.00DR
18OCT17	1 JAN18	149.1500	148.7750		187.50DR
18OCT17	1 JAN18	149.1000	149.5750		237.50CR
18OCT17	1 MAR18	145.9750	147.7750		900.00CR
18OCT17	1 MAR18	145.9750	147.7250		875.00CR
18OCT17	1 MAR18	146.7000	147.7000		500.00CR
18OCT17	1 MAR18	146.3000	146.2500		25.00DR
18OCT17	1 MAR18	146.4500	146.4750		12.50CR
18OCT17	1 APR18	147.1500	149.5250		1,187.50CR
18OCT17	1 APR18	147.1250	149.7250		1,300.00CR
18OCT17	1 APR18	146.5000	147.7000		600.00CR
18OCT17	1 MAY18	146.1000	145.9750		62.50DR
18OCT17	1 MAY18	146.0500	145.2000		425.00DR
18OCT17	1 MAY18	149.4250	145.0750		2,175.00DR
18OCT17	1 MAY18	150.0250	145.5500		2,237.50DR
18OCT17	1 MAY18	149.8250	145.5750		2,125.00DR
	CME Lean Hog 400 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119D
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 JUN18	79.9000	79.9750		30.00CR
18OCT17	1 AUG18	79.8250	79.8000		10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				443.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,900.00DR
	CME Cattle Futures				1,400.00CR
	CME Lean Hog Futures				20.00CR
	COMMISSIONS				83.64DR
	CARRIED FORWARD BALANCE				1,007.14DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
18OCT17	B 1 DEC17 CQG II 115.1500			1.02DR	
18OCT17	S 1 DEC17 CQG II		114.7250	1.02DR	
18OCT17	S 1 FEB18 CQG II		119.7000	1.02DR	
18OCT17	B 1 FEB18 CQG II	119.3750		1.02DR	
18OCT17	B 1 APR18 CQG II	119.8500		1.02DR	
18OCT17	S 1 APR18 CQG II		120.6000	1.02DR	
18OCT17	S 1 APR18 CQG II		120.9000	1.02DR	
18OCT17	S 1 JUN18 CQG II		113.6500	1.02DR	
18OCT17	S 1 JUN18 CQG II		113.1500	1.02DR	
18OCT17	B 1 JUN18 CQG II	113.6500		1.02DR	
18OCT17	B 1 JUN18 CQG II	113.7000		1.02DR	
18OCT17	B 1 AUG18 CQG II	110.9500		1.02DR	
18OCT17	S 1 AUG18 CQG II		110.3250	1.02DR	
18OCT17	B 1 AUG18 CQG II	110.5500		1.02DR	
18OCT17	B 1 OCT18 CQG II	110.7500		1.02DR	
18OCT17	S 1 OCT18 CQG II		110.9250	1.02DR	
	CME Feeder Cattle 500 CME				
18OCT17	B 1 NOV17 CQG II	152.1000		1.02DR	
18OCT17	S 1 NOV17 CQG II		152.3750	1.02DR	
18OCT17	B 1 NOV17 CQG II	151.1000		1.02DR	
18OCT17	S 1 JAN18 CQG II		150.1750	1.02DR	
18OCT17	B 1 JAN18 CQG II	150.3750		1.02DR	
18OCT17	S 1 JAN18 CQG II		149.8500	1.02DR	
18OCT17	S 1 JAN18 CQG II		149.0750	1.02DR	
18OCT17	S 1 JAN18 CQG II		150.1250	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.6750	1.02DR	
18OCT17	B 1 MAR18 CQG II	147.1500		1.02DR	
18OCT17	B 1 MAR18 CQG II	147.2250		1.02DR	
18OCT17	B 2 APR18 CQG II	147.1000		2.04DR	
18OCT17	B 1 APR18 CQG II	145.8000		1.02DR	
18OCT17	S 1 MAY18 CQG II		145.9250	1.02DR	
18OCT17	S 1 MAY18 CQG II		144.7250	1.02DR	
	CME Lean Hog 400 CME				
18OCT17	S 1 DEC17 CQG II		63.1000	1.02DR	
18OCT17	B 1 DEC17 CQG II	63.0000		1.02DR	
18OCT17	B 1 DEC17 CQG II	63.1500		1.02DR	
18OCT17	S 1 DEC17 CQG II		63.6250	1.02DR	
18OCT17	S 1 DEC17 CQG II		63.6750	1.02DR	
18OCT17	B 1 FEB18 CQG II	67.6000		1.02DR	
18OCT17	S 1 FEB18 CQG II		67.7500	1.02DR	
18OCT17	S 2 FEB18 CQG II		67.8500	2.04DR	
18OCT17	B 1 FEB18 CQG II	67.9000		1.02DR	
18OCT17	B 3 FEB18 CQG II	67.9750		3.06DR	
18OCT17	B 1 APR18 CQG II	71.5750		1.02DR	
18OCT17	S 1 APR18 CQG II		71.5000	1.02DR	
18OCT17	S 1 APR18 CQG II		71.6500	1.02DR	
	Commission				48.96DR
	SETTLEMENTS				
	CME Cattle 400 CME				
18OCT17	1 DEC17	115.1500	114.7250		170.00DR
18OCT17	1 FEB18	119.3750	119.7000		130.00CR
18OCT17	1 APR18	119.8500	120.6000		300.00CR
18OCT17	1 JUN18	113.6500	113.6500		0.00
18OCT17	1 JUN18	113.7000	113.1500		220.00DR
18OCT17	1 AUG18	110.9500	110.3250		250.00DR
18OCT17	1 OCT18	110.7500	110.9250		70.00CR
	CME Feeder Cattle 500 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 NOV17	152.1000	152.3750		137.50CR
18OCT17	1 JAN18	150.3750	150.1750		100.00DR
18OCT17	1 MAR18	147.1500	147.6750		262.50CR
18OCT17	1 APR18	147.1000	149.6000		1,250.00CR
18OCT17	1 APR18	147.1000	149.5750		1,237.50CR
18OCT17	1 APR18	145.8000	149.5750		1,887.50CR
18OCT17	1 MAY18	148.3500	145.9250		1,212.50DR
18OCT17	1 MAY18	148.2750	144.7250		1,775.00DR
	CME Lean Hog 400 CME				
18OCT17	1 DEC17	63.0000	63.1000		40.00CR
18OCT17	1 DEC17	63.1500	63.6250		190.00CR
18OCT17	1 DEC17	61.0000	63.6750		1,070.00CR
18OCT17	1 FEB18	67.6000	67.7500		60.00CR
18OCT17	1 FEB18	67.9750	67.8500		50.00DR
18OCT17	1 FEB18	67.9000	67.8500		20.00DR
18OCT17	1 FEB18	67.9750	66.8500		450.00DR
18OCT17	1 FEB18	67.9750	66.8750		440.00DR
18OCT17	1 APR18	71.5750	71.5000		30.00DR
18OCT17	1 APR18	71.7250	71.6500		30.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				4,317.12DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,687.50CR
	CME Cattle Futures				140.00DR
	CME Lean Hog Futures				340.00CR
	COMMISSIONS				48.96DR
	CARRIED FORWARD BALANCE				2,478.58DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 7012.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7020.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7015.50			5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 1 DEC17 II 318.550			1.03DR	
18OCT17	S 1 DEC17 DMA II 318.600			1.03DR	
18OCT17	S 2 DEC17 II 318.900			2.06DR	
18OCT17	S 2 DEC17 DMA II 318.750			2.06DR	
18OCT17	B 1 MAR18 DMA II 320.050			1.03DR	
18OCT17	S 1 MAR18 II 320.000			1.03DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL 3049.00			5.00DR	
18OCT17	S 1 18JAN18 DMA EL 3079.00			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 3042.00			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 3072.00			5.00DR	
	Commission				43.24DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17 311.550 318.550				1,750.00CR
18OCT17	1 DEC17 311.550 318.600				1,762.50CR
18OCT17	1 DEC17 315.250 318.900				912.50CR
18OCT17	1 DEC17 320.300 318.900				350.00DR
18OCT17	1 DEC17 324.300 318.750				1,387.50DR
18OCT17	1 DEC17 325.100 318.750				1,587.50DR
18OCT17	1 MAR18 320.050 320.000				12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,946.84CR
	SETTLEMENTS				
	CMX Copper Futures				1,087.50CR
	COMMISSIONS				43.24DR
	CARRIED FORWARD BALANCE				18,991.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119G 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	7010.00		10.00DR	
18OCT17	B 1 18JAN18 DMA EL	7004.00		5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 4 DEC17 II		318.500	4.12DR	
18OCT17	S 2 DEC17 II		318.150	2.06DR	
	Commission				21.18DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17	315.200	318.500		825.00CR
18OCT17	2 DEC17	315.450	318.500		1,525.00CR
18OCT17	1 DEC17	315.450	318.500		762.50CR
18OCT17	1 DEC17	315.450	318.150		675.00CR
18OCT17	1 DEC17	318.550	318.150		100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,872.76CR
	SETTLEMENTS				
	CMX Copper Futures				3,687.50CR
	COMMISSIONS				21.18DR
	CARRIED FORWARD BALANCE				17,539.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119H

18th October 2017

COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 2 18JAN18 DMA EL	7000.00		10.00DR	
18OCT17	B 1 18JAN18 DMA EL	7010.00		5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 2 DEC17 DMA II		317.950	2.06DR	
18OCT17	S 2 DEC17 DMA II		317.950	2.06DR	
18OCT17	S 2 DEC17 DMA II		318.400	2.06DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL		20110.00	5.00DR	
18OCT17	B 1 18JAN18 DMA EL	20075.00		5.00DR	
	Commission				31.18DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	2 DEC17	319.100	317.950		575.00DR
18OCT17	2 DEC17	319.050	317.950		550.00DR
18OCT17	2 DEC17	321.500	318.400		1,550.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,921.06CR
	SETTLEMENTS				
	CMX Copper Futures				2,675.00DR
	COMMISSIONS				31.18DR
	CARRIED FORWARD BALANCE				2,214.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119I
18th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Tin 5 tonnes. This is an LME registered client contract								
18OCT17	S	2	18JAN18	DMA	EL		20450.00	10.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20460.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20400.00		5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20125.00		5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20115.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20055.00		5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	19975.00		5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20050.00	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20025.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	19925.00		5.00DR	
18OCT17	B	2	18JAN18	DMA	EL	20420.00		10.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20105.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20025.00		5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20020.00		5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20030.00		5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20030.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20085.00		5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		19965.00	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20020.00	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20045.00	5.00DR	
18OCT17	S	1	18JAN18	DMA	EL		20145.00	5.00DR	
18OCT17	B	1	18JAN18	DMA	EL	20145.00		5.00DR	
	Commission								120.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								24,656.20CR
	COMMISSIONS								120.00DR
	CARRIED FORWARD BALANCE								24,536.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18OCT17	B 1 18JAN18 DMA EL 7005.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7010.50			5.00DR	
18OCT17	B 1 18JAN18 DMA EL 7013.50			5.00DR	
	CMX Copper 25,000 CMX				
18OCT17	S 2 DEC17 II 318.500			2.06DR	
18OCT17	S 2 DEC17 II 318.200			2.06DR	
18OCT17	S 2 DEC17 DMA II 318.650			2.06DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
18OCT17	S 1 18JAN18 DMA EL 20425.00		20370.00	5.00DR	
18OCT17	B 1 18JAN18 DMA EL 20425.00			5.00DR	
	Commission				31.18DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
18OCT17	1 DEC17 311.700	318.500			1,700.00CR
18OCT17	1 DEC17 311.700	318.500			1,700.00CR
18OCT17	1 DEC17 311.700	318.200			1,625.00CR
18OCT17	1 DEC17 312.500	318.200			1,425.00CR
18OCT17	1 DEC17 322.550	318.650			975.00DR
18OCT17	1 DEC17 324.250	318.650			1,400.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,402.56CR
	SETTLEMENTS				
	CMX Copper Futures				4,075.00CR
	COMMISSIONS				31.18DR
	CARRIED FORWARD BALANCE				19,446.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	CME Cattle 400 CME								
18OCT17	B	1	APR18	CQG	II	120.7000		1.02DR	
18OCT17	S	1	APR18	CQG	II		120.5500	1.02DR	
18OCT17	B	1	APR18	CQG	II	120.4500		1.02DR	
18OCT17	S	1	APR18	CQG	II		120.3250	1.02DR	
18OCT17	B	1	APR18	CQG	II	119.9000		1.02DR	
18OCT17	S	1	APR18	CQG	II		119.8750	1.02DR	
18OCT17	B	1	APR18	CQG	II	120.0250		1.02DR	
18OCT17	S	1	JUN18	CQG	II		113.5750	1.02DR	
18OCT17	B	1	JUN18	CQG	II	113.4000		1.02DR	
18OCT17	S	1	JUN18	CQG	II		113.2500	1.02DR	
18OCT17	S	1	JUN18	CQG	II		113.0000	1.02DR	
18OCT17	B	2	JUN18	CQG	II	113.1250		2.04DR	
18OCT17	S	1	JUN18	CQG	II		113.2750	1.02DR	
	CME Feeder Cattle 500 CME								
18OCT17	S	1	NOV17	CQG	II		152.1750	1.02DR	
18OCT17	S	1	NOV17	CQG	II		152.0500	1.02DR	
18OCT17	B	2	NOV17	CQG	II	152.0250		2.04DR	
18OCT17	S	1	NOV17	CQG	II		152.0000	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.2750		1.02DR	
18OCT17	S	1	NOV17	CQG	II		151.3500	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.4000		1.02DR	
18OCT17	S	1	NOV17	CQG	II		151.2250	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.1250		1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.4500		1.02DR	
18OCT17	S	1	NOV17	CQG	II		150.9750	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.5250		1.02DR	
18OCT17	S	1	NOV17	CQG	II		151.8250	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.8750		1.02DR	
18OCT17	S	1	NOV17	CQG	II		151.6500	1.02DR	
18OCT17	B	1	NOV17	CQG	II	151.4250		1.02DR	
18OCT17	S	1	NOV17	CQG	II		151.9250	1.02DR	
18OCT17	B	2	JAN18	CQG	II	150.0000		2.04DR	
18OCT17	S	1	JAN18	CQG	II		150.0750	1.02DR	
18OCT17	S	1	JAN18	CQG	II		150.0500	1.02DR	
18OCT17	S	1	JAN18	CQG	II		149.9750	1.02DR	
18OCT17	B	1	JAN18	CQG	II	149.9750		1.02DR	
18OCT17	B	1	JAN18	CQG	II	149.9000		1.02DR	
18OCT17	B	1	JAN18	CQG	II	149.9500		1.02DR	
18OCT17	S	1	JAN18	CQG	II		149.1750	1.02DR	
18OCT17	B	2	JAN18	CQG	II	149.1500		2.04DR	
18OCT17	S	1	JAN18	CQG	II		149.2000	1.02DR	
18OCT17	S	1	JAN18	CQG	II		149.2250	1.02DR	
18OCT17	B	1	JAN18	CQG	II	149.0750		1.02DR	
18OCT17	S	1	JAN18	CQG	II		148.9250	1.02DR	
18OCT17	B	1	JAN18	CQG	II	148.9500		1.02DR	
18OCT17	S	3	JAN18	CQG	II		149.8750	3.06DR	
18OCT17	S	1	JAN18	CQG	II		149.5500	1.02DR	
18OCT17	B	2	JAN18	CQG	II	149.8000		2.04DR	
18OCT17	S	1	JAN18	CQG	II		149.9000	1.02DR	
18OCT17	B	1	JAN18	CQG	II	149.6750		1.02DR	
18OCT17	S	2	JAN18	CQG	II		149.4750	2.04DR	
18OCT17	B	1	JAN18	CQG	II	149.9250		1.02DR	
18OCT17	B	1	JAN18	CQG	II	150.2000		1.02DR	
18OCT17	S	1	MAR18	CQG	II		147.3750	1.02DR	
18OCT17	B	1	MAR18	CQG	II	147.4750		1.02DR	
18OCT17	B	1	MAR18	CQG	II	147.2750		1.02DR	
18OCT17	S	1	MAR18	CQG	II		147.3250	1.02DR	
18OCT17	B	1	MAR18	CQG	II	147.1750		1.02DR	
18OCT17	S	1	MAR18	CQG	II		146.5000	1.02DR	
18OCT17	B	1	MAR18	CQG	II	146.4500		1.02DR	
18OCT17	B	1	MAR18	CQG	II	147.0000		1.02DR	
18OCT17	S	2	MAR18	CQG	II		147.2000	2.04DR	
	CME Lean Hog 400 CME								

Group No: SAPG0119

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

18th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S 1 DEC17 CQG II		62.8000	1.02DR	
18OCT17	B 1 DEC17 CQG II	62.7750		1.02DR	
18OCT17	B 1 DEC17 CQG II	63.1250		1.02DR	
18OCT17	S 1 DEC17 CQG II		63.5250	1.02DR	
18OCT17	S 1 DEC17 CQG II		63.6250	1.02DR	
18OCT17	B 1 FEB18 CQG II	67.5500		1.02DR	
18OCT17	S 1 FEB18 CQG II		67.5000	1.02DR	
18OCT17	S 1 FEB18 CQG II		67.8250	1.02DR	
18OCT17	S 1 FEB18 CQG II		67.8500	1.02DR	
18OCT17	B 2 FEB18 CQG II	67.9250		2.04DR	
18OCT17	B 2 FEB18 CQG II	67.9500		2.04DR	
18OCT17	B 1 APR18 CQG II	71.5750		1.02DR	
18OCT17	S 1 APR18 CQG II		71.5500	1.02DR	
18OCT17	S 1 APR18 CQG II		71.7250	1.02DR	
18OCT17	B 1 JUN18 CQG II	80.3000		1.02DR	
18OCT17	B 1 JUN18 CQG II	80.0000		1.02DR	
18OCT17	B 1 JUN18 CQG II	79.9500		1.02DR	
18OCT17	S 2 JUL18 CQG II		80.6250	2.04DR	
18OCT17	S 1 JUL18 CQG II		80.4250	1.02DR	
18OCT17	B 1 JUL18 CQG II	80.3250		1.02DR	
18OCT17	B 1 AUG18 CQG II	79.9500		1.02DR	
18OCT17	S 1 AUG18 CQG II		79.8500	1.02DR	
18OCT17	S 1 AUG18 CQG II		79.8000	1.02DR	
	Commission				97.92DR
	SETTLEMENTS				
	CME Cattle 400 CME				
18OCT17	1 APR18	120.7000	120.5500		60.00DR
18OCT17	1 APR18	120.4500	120.3250		50.00DR
18OCT17	1 APR18	119.9000	119.8750		10.00DR
18OCT17	1 APR18	120.0250	121.9250		760.00CR
18OCT17	1 JUN18	113.4000	113.5750		70.00CR
18OCT17	1 JUN18	113.1250	113.2500		50.00CR
18OCT17	1 JUN18	113.1250	113.0000		50.00DR
18OCT17	1 JUN18	115.0500	113.2750		710.00DR
	CME Feeder Cattle 500 CME				
18OCT17	1 NOV17	152.0250	152.1750		75.00CR
18OCT17	1 NOV17	152.0250	152.0500		12.50CR
18OCT17	1 NOV17	151.2750	152.0000		362.50CR
18OCT17	1 NOV17	151.4000	151.3500		25.00DR
18OCT17	1 NOV17	151.1250	151.2250		50.00CR
18OCT17	1 NOV17	151.4500	150.9750		237.50DR
18OCT17	1 NOV17	151.5250	151.8250		150.00CR
18OCT17	1 NOV17	151.8750	151.6500		112.50DR
18OCT17	1 NOV17	151.4250	151.9250		250.00CR
18OCT17	1 JAN18	150.0000	150.0750		37.50CR
18OCT17	1 JAN18	150.0000	150.0500		25.00CR
18OCT17	1 JAN18	149.9750	149.9750		0.00
18OCT17	1 JAN18	149.9000	149.8750		12.50DR
18OCT17	1 JAN18	149.9500	149.8750		37.50DR
18OCT17	1 JAN18	149.8000	149.1750		312.50DR
18OCT17	1 JAN18	149.1500	149.2000		25.00CR
18OCT17	1 JAN18	149.1500	149.2250		37.50CR
18OCT17	1 JAN18	149.0750	148.9250		75.00DR
18OCT17	1 JAN18	148.9500	149.4750		262.50CR
18OCT17	1 JAN18	149.8000	149.8750		37.50CR
18OCT17	1 JAN18	149.6750	149.5500		62.50DR
18OCT17	1 JAN18	149.9250	149.9000		12.50DR
18OCT17	1 JAN18	150.2000	149.4750		362.50DR
18OCT17	1 MAR18	147.4750	147.3750		50.00DR
18OCT17	1 MAR18	147.2750	147.3250		25.00CR
18OCT17	1 MAR18	147.1750	147.2000		12.50CR

Group No: SAPG0119

Page 2 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 MAR18	146.4500	146.5000		25.00CR
18OCT17	1 MAR18	147.0000	147.2000		100.00CR
	CME Lean Hog 400 CME				
18OCT17	1 DEC17	62.7750	62.8000		10.00CR
18OCT17	1 DEC17	63.1250	63.5250		160.00CR
18OCT17	1 DEC17	61.7250	63.6250		760.00CR
18OCT17	1 FEB18	67.5500	67.5000		20.00DR
18OCT17	1 FEB18	67.9250	67.8250		40.00DR
18OCT17	1 FEB18	67.9250	67.8500		30.00DR
18OCT17	1 FEB18	67.9500	66.8000		460.00DR
18OCT17	1 FEB18	67.9500	66.8000		460.00DR
18OCT17	1 APR18	71.5750	71.5500		10.00DR
18OCT17	1 APR18	71.6000	71.7250		50.00CR
18OCT17	1 JUL18	80.3250	80.6250		120.00CR
18OCT17	1 AUG18	79.9500	79.8500		40.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,022.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				187.50CR
	CME Cattle Futures				
	CME Lean Hog Futures				40.00CR
	COMMISSIONS				97.92DR
	CARRIED FORWARD BALANCE				892.42DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 JUN18 CQG II		52.65	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.64	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.35	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.27	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.24	1.03DR	
18OCT17	S 2 JUN18 CQG II		52.21	2.06DR	
18OCT17	S 2 JUN18 CQG II		52.40	2.06DR	
18OCT17	S 4 JUN18 CQG II		52.53	4.12DR	
18OCT17	S 1 JUN18 CQG II		52.50	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.55	1.03DR	
18OCT17	B 1 DEC18 CQG II	51.93		1.03DR	
18OCT17	B 2 DEC18 CQG II	51.92		2.06DR	
18OCT17	B 3 DEC18 CQG II	51.90		3.09DR	
18OCT17	B 2 DEC18 CQG II	51.60		2.06DR	
18OCT17	B 2 DEC18 CQG II	51.56		2.06DR	
18OCT17	B 4 DEC18 CQG II	51.54		4.12DR	
18OCT17	B 4 DEC18 CQG II	51.68		4.12DR	
18OCT17	B 2 DEC18 CQG II	51.67		2.06DR	
18OCT17	B 4 DEC18 CQG II	51.82		4.12DR	
18OCT17	B 4 DEC18 CQG II	51.83		4.12DR	
18OCT17	B 2 DEC18 CQG II	51.80		2.06DR	
18OCT17	B 3 DEC18 CQG II	51.84		3.09DR	
18OCT17	S 2 JUN19 CQG II		51.18	2.06DR	
18OCT17	S 2 JUN19 CQG II		51.15	2.06DR	
18OCT17	S 2 JUN19 CQG II		51.17	2.06DR	
18OCT17	S 3 JUN19 CQG II		51.12	3.09DR	
18OCT17	B 2 DEC19 CQG II	50.65		2.06DR	
18OCT17	S 1 DEC19 CQG II		50.50	1.03DR	
18OCT17	S 1 DEC19 CQG II		50.44	1.03DR	
18OCT17	S 1 DEC19 CQG II		50.39	1.03DR	
18OCT17	S 2 DEC19 CQG II		50.38	2.06DR	
18OCT17	S 2 DEC19 CQG II		50.45	2.06DR	
18OCT17	S 2 DEC19 CQG II		50.61	2.06DR	
18OCT17	S 2 DEC19 CQG II		50.63	2.06DR	
18OCT17	S 1 DEC19 CQG II		50.58	1.03DR	
18OCT17	B 1 DEC19 CQG II	50.62		1.03DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	S 3 JUN18 CQG EL		56.90	3.21DR	
18OCT17	B 3 JUN18 CQG EL	56.85		3.21DR	
18OCT17	S 1 JUN18 CQG EL		57.10	1.07DR	
18OCT17	S 2 JUN18 CQG EL		57.06	2.14DR	
18OCT17	B 3 JUN18 CQG EL	56.56		3.21DR	
18OCT17	B 1 JUN18 CQG EL	56.62		1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.57		1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.51		1.07DR	
18OCT17	B 2 JUN18 CQG EL	56.46		2.14DR	
18OCT17	B 2 JUN18 CQG EL	56.60		2.14DR	
18OCT17	B 3 JUN18 CQG EL	56.79		3.21DR	
18OCT17	B 2 JUN18 CQG EL	56.78		2.14DR	
18OCT17	B 5 JUN18 CQG EL	56.73		5.35DR	
18OCT17	B 1 JUN18 CQG EL	56.83		1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.82		1.07DR	
18OCT17	B 5 DEC18 CQG EL	55.89		5.35DR	
18OCT17	S 3 DEC18 CQG EL		55.90	3.21DR	
18OCT17	S 2 DEC18 CQG EL		55.89	2.14DR	
18OCT17	B 1 DEC18 CQG EL	56.10		1.07DR	
18OCT17	B 2 DEC18 CQG EL	56.05		2.14DR	
18OCT17	B 2 DEC18 CQG EL	56.07		2.14DR	
18OCT17	S 3 DEC18 CQG EL		55.63	3.21DR	
18OCT17	S 2 DEC18 CQG EL		55.64	2.14DR	
18OCT17	S 2 DEC18 CQG EL		55.70	2.14DR	
18OCT17	S 2 DEC18 CQG EL		55.66	2.14DR	
18OCT17	S 2 DEC18 CQG EL		55.59	2.14DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

18th October 2017

COB GLOBAL

Sapien Capital Limited

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London W1J 7NJ UK

+44 (0) 20 7340 9081

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	S	4	DEC18	CQG	EL		55.56	4.28DR	
18OCT17	S	2	DEC18	CQG	EL		55.72	2.14DR	
18OCT17	S	2	DEC18	CQG	EL		55.68	2.14DR	
18OCT17	S	11	DEC18	CQG	EL		55.88	11.77DR	
18OCT17	S	9	DEC18	CQG	EL		55.85	9.63DR	
18OCT17	S	2	DEC18	CQG	EL		55.86	2.14DR	
18OCT17	S	3	DEC18	CQG	EL		55.93	3.21DR	
18OCT17	S	3	DEC18	CQG	EL		55.91	3.21DR	
18OCT17	B	1	JUN19	CQG	EL	55.22		1.07DR	
18OCT17	B	5	JUN19	CQG	EL	55.20		5.35DR	
18OCT17	B	1	JUN19	CQG	EL	55.24		1.07DR	
18OCT17	B	2	JUN19	CQG	EL	55.25		2.14DR	
18OCT17	B	5	JUN19	CQG	EL	55.23		5.35DR	
18OCT17	S	2	DEC19	CQG	EL		54.75	2.14DR	
18OCT17	S	2	DEC19	CQG	EL		54.93	2.14DR	
18OCT17	B	2	DEC19	CQG	EL	54.58		2.14DR	
18OCT17	B	1	DEC19	CQG	EL	54.66		1.07DR	
18OCT17	B	1	DEC19	CQG	EL	54.63		1.07DR	
18OCT17	B	1	DEC19	CQG	EL	54.54		1.07DR	
18OCT17	B	2	DEC19	CQG	EL	54.52		2.14DR	
18OCT17	B	2	DEC19	CQG	EL	54.64		2.14DR	
18OCT17	S	1	DEC19	CQG	EL		54.78	1.07DR	
18OCT17	B	6	DEC19	CQG	EL	54.80		6.42DR	
18OCT17	B	1	DEC19	CQG	EL	54.78		1.07DR	
18OCT17	S	1	DEC19	CQG	EL		54.80	1.07DR	
18OCT17	S	1	DEC19	CQG	EL		54.79	1.07DR	
	Commission								213.26DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
18OCT17		1	JUN18			50.55	52.65		2,100.00CR
18OCT17		1	JUN18			50.47	52.64		2,170.00CR
18OCT17		1	JUN18			50.70	52.35		1,650.00CR
18OCT17		1	JUN18			50.64	52.27		1,630.00CR
18OCT17		1	JUN18			50.63	52.24		1,610.00CR
18OCT17		1	JUN18			51.72	52.21		490.00CR
18OCT17		1	JUN18			51.63	52.21		580.00CR
18OCT17		2	JUN18			51.63	52.40		1,540.00CR
18OCT17		1	JUN18			51.63	52.53		900.00CR
18OCT17		1	JUN18			51.61	52.53		920.00CR
18OCT17		2	JUN18			51.66	52.53		1,740.00CR
18OCT17		1	JUN18			51.66	52.50		840.00CR
18OCT17		1	JUN18			51.56	52.55		990.00CR
18OCT17		1	DEC18			51.93	50.20		1,730.00DR
18OCT17		1	DEC18			51.92	50.19		1,730.00DR
18OCT17		1	DEC18			51.92	50.20		1,720.00DR
18OCT17		1	DEC18			51.90	50.34		1,560.00DR
18OCT17		1	DEC18			51.90	50.34		1,560.00DR
18OCT17		1	DEC18			51.90	50.34		1,560.00DR
18OCT17		1	DEC18			51.68	50.28		1,400.00DR
18OCT17		1	DEC18			51.68	50.30		1,380.00DR
18OCT17		1	DEC18			51.60	50.42		1,180.00DR
18OCT17		1	DEC18			51.60	50.51		1,090.00DR
18OCT17		1	DEC18			51.56	50.48		1,080.00DR
18OCT17		1	DEC18			51.56	50.48		1,080.00DR
18OCT17		1	DEC18			51.54	50.43		1,110.00DR
18OCT17		1	DEC18			51.54	50.43		1,110.00DR
18OCT17		2	DEC18			51.54	50.42		2,240.00DR
18OCT17		1	DEC18			51.67	50.42		1,250.00DR
18OCT17		1	DEC18			51.68	51.33		350.00DR
18OCT17		1	DEC18			51.68	51.33		350.00DR
18OCT17		1	DEC18			51.67	51.23		440.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	2 DEC18	51.82	51.23		1,180.00DR
18OCT17	1 DEC18	51.82	51.23		590.00DR
18OCT17	1 DEC18	51.82	51.23		590.00DR
18OCT17	2 DEC18	51.83	51.23		1,200.00DR
18OCT17	2 DEC18	51.83	51.23		1,200.00DR
18OCT17	1 DEC18	51.80	51.20		600.00DR
18OCT17	1 DEC18	51.80	51.20		600.00DR
18OCT17	3 DEC18	51.84	51.26		1,740.00DR
18OCT17	1 JUN19	50.17	51.18		1,010.00CR
18OCT17	1 JUN19	50.14	51.18		1,040.00CR
18OCT17	1 JUN19	50.11	51.17		1,060.00CR
18OCT17	1 JUN19	50.10	51.15		1,050.00CR
18OCT17	1 JUN19	50.08	51.15		1,070.00CR
18OCT17	1 JUN19	50.08	51.17		1,090.00CR
18OCT17	1 JUN19	50.08	51.12		1,040.00CR
18OCT17	1 JUN19	50.72	51.12		400.00CR
18OCT17	1 JUN19	50.72	51.12		400.00CR
18OCT17	1 DEC19	50.65	50.50		150.00DR
18OCT17	1 DEC19	50.65	50.44		210.00DR
18OCT17	1 DEC19	50.62	50.39		230.00DR
18OCT17	1 DEC19	49.68	50.38		700.00CR
18OCT17	1 DEC19	50.48	50.38		100.00DR
18OCT17	1 DEC19	50.30	50.45		150.00CR
18OCT17	1 DEC19	50.36	50.45		90.00CR
18OCT17	1 DEC19	50.36	50.61		250.00CR
IEU Brent Crude 1,000 IPE					
18OCT17	1 JUN18	56.85	56.90		50.00CR
18OCT17	2 JUN18	56.85	56.90		100.00CR
18OCT17	1 JUN18	56.56	57.10		540.00CR
18OCT17	2 JUN18	56.56	57.06		1,000.00CR
18OCT17	1 JUN18	56.62	54.58		2,040.00DR
18OCT17	1 JUN18	56.57	54.57		2,000.00DR
18OCT17	1 JUN18	56.51	54.57		1,940.00DR
18OCT17	1 JUN18	56.46	54.84		1,620.00DR
18OCT17	1 JUN18	56.46	54.84		1,620.00DR
18OCT17	1 JUN18	56.60	54.87		1,730.00DR
18OCT17	1 JUN18	56.60	54.75		1,850.00DR
18OCT17	1 JUN18	56.79	54.65		2,140.00DR
18OCT17	1 JUN18	56.79	56.95		160.00CR
18OCT17	1 JUN18	56.79	56.68		110.00DR
18OCT17	1 JUN18	56.78	56.69		90.00DR
18OCT17	1 JUN18	56.78	56.69		90.00DR
18OCT17	1 DEC18	55.89	55.89		0.00
18OCT17	2 DEC18	55.89	55.90		20.00CR
18OCT17	1 DEC18	55.89	55.90		10.00CR
18OCT17	1 DEC18	55.89	55.89		0.00
18OCT17	1 DEC18	56.10	55.63		470.00DR
18OCT17	2 DEC18	56.05	55.63		840.00DR
18OCT17	2 DEC18	56.07	55.64		860.00DR
18OCT17	1 DEC18	54.26	55.70		1,440.00CR
18OCT17	1 DEC18	54.22	55.70		1,480.00CR
18OCT17	1 DEC18	54.22	55.66		1,440.00CR
18OCT17	1 DEC18	54.14	55.66		1,520.00CR
18OCT17	1 DEC18	54.12	55.59		1,470.00CR
18OCT17	1 DEC18	54.45	55.59		1,140.00CR
18OCT17	2 DEC18	54.45	55.56		2,220.00CR
18OCT17	1 DEC18	55.23	55.56		330.00CR
18OCT17	1 DEC18	55.58	55.56		20.00DR
18OCT17	1 DEC18	55.57	55.72		150.00CR
18OCT17	1 DEC18	55.73	55.72		10.00DR
18OCT17	1 DEC18	56.14	55.68		460.00DR
18OCT17	1 DEC18	56.02	55.68		340.00DR
18OCT17	1 DEC18	56.02	55.88		140.00DR
18OCT17	1 DEC18	56.02	55.88		140.00DR
18OCT17	1 DEC18	55.80	55.88		80.00CR
18OCT17	1 DEC18	55.80	55.88		80.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119L

18th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC18	55.86	55.88		20.00CR
18OCT17	1 DEC18	55.76	55.88		120.00CR
18OCT17	1 DEC18	55.75	55.88		130.00CR
18OCT17	1 DEC18	55.74	55.88		140.00CR
18OCT17	1 DEC18	55.75	55.88		130.00CR
18OCT17	1 DEC18	55.75	55.88		130.00CR
18OCT17	1 DEC19	54.80	54.75		50.00DR
18OCT17	1 DEC19	54.80	54.75		50.00DR
18OCT17	2 DEC19	54.58	54.93		700.00CR
18OCT17	1 DEC19	54.66	54.78		120.00CR
18OCT17	1 DEC19	54.63	54.80		170.00CR
18OCT17	1 DEC19	54.54	54.79		250.00CR
18OCT17	1 DEC19	54.52	53.76		760.00DR
18OCT17	1 DEC19	54.52	54.41		110.00DR
18OCT17	1 DEC19	54.64	54.41		230.00DR
18OCT17	1 DEC19	54.64	54.26		380.00DR
18OCT17	1 DEC19	54.80	54.28		520.00DR
18OCT17	1 DEC19	54.80	54.27		530.00DR
18OCT17	1 DEC19	54.80	54.24		560.00DR
18OCT17	1 DEC19	54.80	54.64		160.00DR
18OCT17	1 DEC19	54.78	54.66		120.00DR
TOTALS					
BROUGHT FORWARD BALANCE					182,364.10DR
SETTLEMENTS					
IEU Brent Crude Futures					6,840.00DR
NYM Light Sweet Crude Oil Futures					5,800.00DR
COMMISSIONS					213.26DR
CARRIED FORWARD BALANCE					195,217.36DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
18OCT17	S 1 DEC17 CQG II		116.8500	1.02DR	
18OCT17	B 2 FEB18 CQG II	120.7500		2.04DR	
18OCT17	S 1 APR18 CQG II		121.2500	1.02DR	
	CME Feeder Cattle 500 CME				
18OCT17	S 2 NOV17 CQG II		151.9500	2.04DR	
18OCT17	S 1 NOV17 CQG II		151.9000	1.02DR	
18OCT17	S 1 NOV17 CQG II		151.8250	1.02DR	
18OCT17	B 4 JAN18 CQG II	149.9750		4.08DR	
18OCT17	B 2 JAN18 CQG II	149.9250		2.04DR	
18OCT17	B 1 JAN18 CQG II	149.8500		1.02DR	
18OCT17	B 1 JAN18 CQG II	149.8750		1.02DR	
18OCT17	S 1 MAR18 CQG II		147.6750	1.02DR	
18OCT17	S 2 MAR18 CQG II		147.0000	2.04DR	
18OCT17	S 1 MAR18 CQG II		146.9500	1.02DR	
18OCT17	S 1 MAR18 CQG II		146.9000	1.02DR	
18OCT17	B 2 APR18 CQG II	147.1250		2.04DR	
18OCT17	S 1 MAY18 CQG II		145.9750	1.02DR	
	Commission				24.48DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
18OCT17	1 DEC17	116.9500	116.8500		40.00DR
18OCT17	1 FEB18	120.7500	121.0000		100.00CR
18OCT17	1 FEB18	120.7500	121.1000		140.00CR
18OCT17	1 APR18	121.9750	121.2500		290.00DR
	CME Feeder Cattle 500 CME				
18OCT17	1 NOV17	155.7000	151.9500		1,875.00DR
18OCT17	1 NOV17	155.2750	151.9500		1,662.50DR
18OCT17	1 NOV17	154.6000	151.9000		1,350.00DR
18OCT17	1 NOV17	154.7500	151.8250		1,462.50DR
18OCT17	1 JAN18	149.9750	153.9250		1,975.00CR
18OCT17	1 JAN18	149.9750	153.8750		1,950.00CR
18OCT17	1 JAN18	149.9750	153.8750		1,950.00CR
18OCT17	1 JAN18	149.9750	153.8750		1,950.00CR
18OCT17	1 JAN18	149.9250	152.7750		1,425.00CR
18OCT17	1 JAN18	149.9250	152.7250		1,400.00CR
18OCT17	1 JAN18	149.8500	152.6000		1,375.00CR
18OCT17	1 JAN18	149.8750	152.7250		1,425.00CR
18OCT17	1 MAR18	151.9750	147.6750		2,150.00DR
18OCT17	1 MAR18	149.4500	147.0000		1,225.00DR
18OCT17	1 MAR18	148.6500	147.0000		825.00DR
18OCT17	1 MAR18	149.2750	146.9500		1,162.50DR
18OCT17	1 MAR18	149.7500	146.9000		1,425.00DR
18OCT17	1 APR18	147.1250	150.9000		1,887.50CR
18OCT17	1 APR18	147.1250	151.2000		2,037.50CR
18OCT17	1 MAY18	148.3250	145.9750		1,175.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,770.26DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				3,062.50CR
	CME Cattle Futures				90.00DR
	COMMISSIONS				24.48DR
	CARRIED FORWARD BALANCE				1,822.24DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119M

18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
18OCT17	S 1 DEC17 CQG II		114.9250	1.02DR	
18OCT17	B 1 DEC17 CQG II	116.8750		1.02DR	
18OCT17	S 1 DEC17 CQG II		116.3750	1.02DR	
18OCT17	B 1 FEB18 CQG II	119.2250		1.02DR	
18OCT17	B 1 FEB18 CQG II	119.2000		1.02DR	
18OCT17	S 1 FEB18 CQG II		120.0000	1.02DR	
18OCT17	S 2 FEB18 CQG II		120.7250	2.04DR	
18OCT17	B 2 FEB18 CQG II	120.3000		2.04DR	
18OCT17	B 1 FEB18 CQG II	120.6000		1.02DR	
18OCT17	B 1 APR18 CQG II	119.8750		1.02DR	
18OCT17	S 1 APR18 CQG II		120.0000	1.02DR	
18OCT17	B 1 APR18 CQG II	120.6500		1.02DR	
18OCT17	B 1 APR18 CQG II	121.2750		1.02DR	
18OCT17	S 1 APR18 CQG II		121.1000	1.02DR	
18OCT17	S 1 APR18 CQG II		120.8250	1.02DR	
18OCT17	S 1 APR18 CQG II		121.3000	1.02DR	
18OCT17	S 2 JUN18 CQG II		113.1000	2.04DR	
18OCT17	S 1 JUN18 CQG II		113.3250	1.02DR	
18OCT17	B 1 JUN18 CQG II	113.9000		1.02DR	
18OCT17	B 1 JUN18 CQG II	113.9250		1.02DR	
18OCT17	B 1 AUG18 CQG II	110.5250		1.02DR	
18OCT17	B 1 AUG18 CQG II	110.6250		1.02DR	
18OCT17	S 1 AUG18 CQG II		111.1250	1.02DR	
	ICE Cotton No.2 500 FIN				
18OCT17	S 1 MAR18 CQG EL		67.37000	2.34DR	
18OCT17	B 1 MAY18 CQG EL	68.34000		2.34DR	
18OCT17	B 1 MAY18 CQG EL	68.27000		2.34DR	
18OCT17	S 1 JUL18 CQG EL		68.98000	2.34DR	
	CME Feeder Cattle 500 CME				
18OCT17	S 1 NOV17 CQG II		151.9250	1.02DR	
18OCT17	B 1 NOV17 CQG II	151.0250		1.02DR	
18OCT17	B 1 NOV17 CQG II	152.2500		1.02DR	
18OCT17	B 1 JAN18 CQG II	149.7500		1.02DR	
18OCT17	B 1 JAN18 CQG II	149.7250		1.02DR	
18OCT17	S 2 JAN18 CQG II		148.9000	2.04DR	
18OCT17	S 1 JAN18 CQG II		150.2000	1.02DR	
18OCT17	S 1 JAN18 CQG II		150.1750	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.7000	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.6250	1.02DR	
18OCT17	S 1 MAR18 CQG II		147.1000	1.02DR	
18OCT17	B 1 MAR18 CQG II	146.0750		1.02DR	
18OCT17	B 1 MAR18 CQG II	147.2250		1.02DR	
18OCT17	B 1 APR18 CQG II	147.1250		1.02DR	
18OCT17	B 2 APR18 CQG II	147.0750		2.04DR	
18OCT17	S 1 MAY18 CQG II		145.9500	1.02DR	
	CME Lean Hog 400 CME				
18OCT17	S 1 DEC17 CQG II		62.5500	1.02DR	
18OCT17	B 1 DEC17 CQG II	63.0500		1.02DR	
18OCT17	S 1 DEC17 CQG II		63.5000	1.02DR	
18OCT17	B 2 FEB18 CQG II	67.3750		2.04DR	
18OCT17	S 2 FEB18 CQG II		67.7250	2.04DR	
18OCT17	B 2 FEB18 CQG II	67.9000		2.04DR	
18OCT17	S 1 APR18 CQG II		71.4750	1.02DR	
18OCT17	B 1 APR18 CQG II	71.4750		1.02DR	
18OCT17	S 2 APR18 CQG II		71.5250	2.04DR	
18OCT17	B 1 JUN18 CQG II	80.2000		1.02DR	
18OCT17	B 1 JUN18 CQG II	79.9250		1.02DR	
18OCT17	B 1 JUN18 CQG II	80.0000		1.02DR	
18OCT17	S 1 JUN18 CQG II		80.3000	1.02DR	
18OCT17	S 2 JUL18 CQG II		80.5500	2.04DR	
18OCT17	B 1 AUG18 CQG II	79.9250		1.02DR	
18OCT17	S 1 AUG18 CQG II		79.9000	1.02DR	

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B 1 AUG18 CQG II	79.9750		1.02DR	
	CME Random Length Lumber 110 CME				
18OCT17	S 1 NOV17 CQG II		423.10	1.02DR	
18OCT17	B 1 NOV17 CQG II	422.40		1.02DR	
18OCT17	S 1 NOV17 CQG II		423.60	1.02DR	
18OCT17	B 1 JAN18 CQG II	410.10		1.02DR	
18OCT17	B 1 JAN18 CQG II	410.00		1.02DR	
18OCT17	S 1 JAN18 CQG II		411.30	1.02DR	
18OCT17	B 1 JAN18 CQG II	412.20		1.02DR	
18OCT17	S 1 MAR18 CQG II		395.80	1.02DR	
	ICE Frozen Concentrated OJ 150 FIN				
18OCT17	S 1 NOV17 CQG EL		151.55	2.34DR	
18OCT17	S 1 JAN18 CQG EL		151.70	2.34DR	
18OCT17	B 1 JAN18 CQG EL	151.30		2.34DR	
18OCT17	B 1 MAR18 CQG EL	151.60		2.34DR	
	Commission				94.20DR
	SETTLEMENTS				
	CME Cattle 400 CME				
18OCT17	1 DEC17	116.8750	114.9250		780.00DR
18OCT17	1 FEB18	119.2250	120.0000		310.00CR
18OCT17	1 FEB18	119.2000	120.7250		610.00CR
18OCT17	1 FEB18	120.3000	120.7250		170.00CR
18OCT17	1 APR18	119.8750	120.0000		50.00CR
18OCT17	1 APR18	120.6500	121.1000		180.00CR
18OCT17	1 APR18	121.2750	120.8250		180.00DR
18OCT17	1 JUN18	113.9000	113.1000		320.00DR
18OCT17	1 JUN18	113.9250	113.1000		330.00DR
18OCT17	1 JUN18	115.0000	113.3250		670.00DR
18OCT17	1 AUG18	110.5250	111.1250		240.00CR
18OCT17	1 AUG18	110.6250	112.3000		670.00CR
	ICE Cotton No.2 500 FIN				
18OCT17	1 MAR18	67.49000	67.37000		60.00DR
18OCT17	1 MAY18	68.34000	68.95000		305.00CR
18OCT17	1 MAY18	68.27000	68.17000		50.00DR
18OCT17	1 JUL18	68.79000	68.98000		95.00CR
	CME Feeder Cattle 500 CME				
18OCT17	1 NOV17	151.0250	151.9250		450.00CR
18OCT17	1 JAN18	149.7500	148.9000		425.00DR
18OCT17	1 JAN18	149.7250	148.9000		412.50DR
18OCT17	1 MAR18	146.0750	147.7000		812.50CR
18OCT17	1 MAR18	147.2250	147.6250		200.00CR
18OCT17	1 MAR18	151.9000	147.1000		2,400.00DR
18OCT17	1 APR18	147.1250	149.4750		1,175.00CR
18OCT17	1 APR18	147.0750	149.9250		1,425.00CR
18OCT17	1 APR18	147.0750	147.5250		225.00CR
18OCT17	1 MAY18	148.3000	145.9500		1,175.00DR
	CME Lean Hog 400 CME				
18OCT17	1 DEC17	63.0500	62.5500		200.00DR
18OCT17	1 DEC17	63.7250	63.5000		90.00DR
18OCT17	1 FEB18	67.3750	67.7250		140.00CR
18OCT17	1 FEB18	67.3750	67.7250		140.00CR
18OCT17	1 FEB18	67.9000	68.3250		170.00CR
18OCT17	1 FEB18	67.9000	68.4750		230.00CR
18OCT17	1 APR18	71.4750	71.4750		0.00
18OCT17	1 APR18	72.2500	71.5250		290.00DR
18OCT17	1 JUN18	80.2000	80.3000		40.00CR
18OCT17	1 JUN18	79.9250	79.7250		80.00DR
18OCT17	1 JUN18	80.0000	80.3250		130.00CR
18OCT17	1 AUG18	79.9250	79.9000		10.00DR
	CME Random Length Lumber 110 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119N

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 NOV17	422.40	423.10		77.00CR
18OCT17	1 NOV17	431.40	423.60		858.00DR
18OCT17	1 JAN18	410.10	411.30		132.00CR
18OCT17	1 JAN18	410.00	418.30		913.00CR
18OCT17	1 JAN18	412.20	418.80		726.00CR
18OCT17	1 MAR18	405.00	395.80		1,012.00DR
	ICE Frozen Concentrated OJ 150 FIN				
18OCT17	1 NOV17	157.40	151.55		877.50DR
18OCT17	1 JAN18	151.30	151.70		60.00CR
18OCT17	1 MAR18	151.60	150.70		135.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,116.82CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				125.00DR
	CME Cattle Futures				50.00DR
	ICE Cotton No.2 Futures				290.00CR
	ICE Frozen Concentrated OJ Futures				952.50DR
	CME Random Length Lumber Futures				22.00DR
	CME Lean Hog Futures				180.00CR
	COMMISSIONS				94.20DR
	CARRIED FORWARD BALANCE				343.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119P

18th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
18OCT17	S 1 APR18 CQG II		120.5250	1.02DR	
18OCT17	S 1 APR18 CQG II		120.4250	1.02DR	
18OCT17	B 1 APR18 CQG II	119.8500		1.02DR	
18OCT17	S 1 APR18 CQG II		121.0750	1.02DR	
18OCT17	B 1 JUN18 CQG II	113.3750		1.02DR	
18OCT17	B 1 JUN18 CQG II	113.2500		1.02DR	
18OCT17	S 1 JUN18 CQG II		113.1250	1.02DR	
18OCT17	B 1 JUN18 CQG II	113.8750		1.02DR	
	CME Lean Hog 400 CME				
18OCT17	S 1 DEC17 CQG II		63.6250	1.02DR	
18OCT17	B 1 FEB18 CQG II	67.9500		1.02DR	
	Commission				10.20DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
18OCT17	1 APR18	119.8500	120.5250		270.00CR
18OCT17	1 APR18	121.6000	120.4250		470.00DR
18OCT17	1 JUN18	113.3750	113.1250		100.00DR
18OCT17	1 JUN18	113.2500	114.6750		570.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,255.60DR
	SETTLEMENTS				
	CME Cattle Futures				270.00CR
	COMMISSIONS				10.20DR
	CARRIED FORWARD BALANCE				2,995.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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18th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
18OCT17	S 1 DEC17 CQG II		114.7500	1.02DR	
18OCT17	S 1 DEC17 CQG II		114.6000	1.02DR	
18OCT17	S 1 DEC17 CQG II		114.9750	1.02DR	
18OCT17	B 1 DEC17 CQG II	114.9000		1.02DR	
18OCT17	B 1 FEB18 CQG II	119.5250		1.02DR	
18OCT17	B 1 FEB18 CQG II	119.3500		1.02DR	
18OCT17	B 2 FEB18 CQG II	119.2500		2.04DR	
18OCT17	S 2 FEB18 CQG II		119.2000	2.04DR	
18OCT17	S 1 APR18 CQG II		120.0000	1.02DR	
18OCT17	B 1 APR18 CQG II	119.9750		1.02DR	
	CME Lean Hog 400 CME				
18OCT17	S 1 DEC17 CQG II		63.6750	1.02DR	
18OCT17	B 2 FEB18 CQG II	68.0000		2.04DR	
18OCT17	S 1 APR18 CQG II		71.9250	1.02DR	
	Commission				16.32DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
18OCT17	1 DEC17	114.9000	114.7500		60.00DR
18OCT17	1 DEC17	116.9750	114.6000		950.00DR
18OCT17	1 DEC17	116.8250	114.9750		740.00DR
18OCT17	1 FEB18	119.5250	119.2000		130.00DR
18OCT17	1 FEB18	119.3500	119.2000		60.00DR
18OCT17	1 FEB18	119.2500	120.9250		670.00CR
18OCT17	1 FEB18	119.2500	120.8500		640.00CR
18OCT17	1 APR18	119.9750	120.0000		10.00CR
	CME Lean Hog 400 CME				
18OCT17	1 DEC17	61.8250	63.6750		740.00CR
18OCT17	1 FEB18	68.0000	67.3000		280.00DR
18OCT17	1 FEB18	68.0000	67.3000		280.00DR
18OCT17	1 APR18	71.8000	71.9250		50.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,391.18CR
	SETTLEMENTS				
	CME Cattle Futures				620.00DR
	CME Lean Hog Futures				230.00CR
	COMMISSIONS				16.32DR
	CARRIED FORWARD BALANCE				1,984.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

18th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
18OCT17	B 1 DEC17 CQG II	115.3000		1.02DR	
18OCT17	S 1 DEC17 CQG II		114.7750	1.02DR	
18OCT17	S 2 FEB18 CQG II		119.7500	2.04DR	
18OCT17	B 2 FEB18 CQG II	119.1250		2.04DR	
18OCT17	B 1 APR18 CQG II	120.4250		1.02DR	
18OCT17	S 1 APR18 CQG II		120.3000	1.02DR	
18OCT17	S 1 APR18 CQG II		119.8500	1.02DR	
18OCT17	B 2 JUN18 CQG II	113.0750		2.04DR	
18OCT17	S 1 AUG18 CQG II		110.3500	1.02DR	
	CME Feeder Cattle 500 CME				
18OCT17	S 1 JAN18 CQG II		149.8500	1.02DR	
18OCT17	S 1 JAN18 CQG II		149.9000	1.02DR	
18OCT17	B 2 JAN18 CQG II	150.1000		2.04DR	
18OCT17	B 1 JAN18 CQG II	150.2000		1.02DR	
18OCT17	B 1 MAR18 CQG II	146.9250		1.02DR	
18OCT17	B 1 MAR18 CQG II	146.9750		1.02DR	
18OCT17	S 2 MAR18 CQG II		147.1000	2.04DR	
18OCT17	S 1 MAR18 CQG II		147.2000	1.02DR	
	IEU Gas Oil 100 IPE				
18OCT17	S 5 JUN18 CQG EL		519.500	5.35DR	
18OCT17	B 5 JUL18 CQG EL	519.000		5.35DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	B 1 FEB18 CQG EL	57.58		1.07DR	
18OCT17	S 1 APR18 CQG EL		57.18	1.07DR	
18OCT17	S 1 APR18 CQG EL		57.24	1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.92		1.07DR	
	ICE Sugar No.11 1,120 FIN				
18OCT17	S 1 MAR18 CQG EL		14.08	2.34DR	
18OCT17	S 1 MAR18 CQG EL		14.13	2.34DR	
	Commission				42.10DR
	SETTLEMENTS				
	CME Cattle 400 CME				
18OCT17	1 DEC17	115.3000	114.7750		210.00DR
18OCT17	1 FEB18	119.1250	119.7500		250.00CR
18OCT17	1 FEB18	119.1250	119.7500		250.00CR
18OCT17	1 APR18	120.4250	120.3000		50.00DR
18OCT17	1 APR18	120.2500	119.8500		160.00DR
18OCT17	1 JUN18	113.0750	113.7250		260.00CR
18OCT17	1 JUN18	113.0750	115.9750		1,160.00CR
18OCT17	1 AUG18	113.0000	110.3500		1,060.00DR
	CME Feeder Cattle 500 CME				
18OCT17	1 JAN18	150.1000	149.8500		125.00DR
18OCT17	1 JAN18	150.1000	149.9000		100.00DR
18OCT17	1 JAN18	150.2000	153.8000		1,800.00CR
18OCT17	1 MAR18	146.9250	147.1000		87.50CR
18OCT17	1 MAR18	146.9750	147.1000		62.50CR
	IEU Brent Crude 1,000 IPE				
18OCT17	1 FEB18	57.58	57.52		60.00DR
18OCT17	1 APR18	57.18	57.18		0.00
18OCT17	1 APR18	57.17	57.24		70.00CR
18OCT17	1 JUN18	56.92	56.93		10.00CR
	ICE Sugar No.11 1,120 FIN				
18OCT17	1 MAR18	14.01	14.08		78.40CR
18OCT17	1 MAR18	14.02	14.13		123.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119R
18th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,546.45DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,725.00CR
	CME Cattle Futures				440.00CR
	IEU Brent Crude Futures				20.00CR
	ICE Sugar No.11 Futures				201.60CR
	COMMISSIONS				42.10DR
	CARRIED FORWARD BALANCE				3,201.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
18OCT17	S 1 JUN18 CQG II		52.54	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.63	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.62	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.27	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.24	1.03DR	
18OCT17	S 1 JUN18 CQG II		52.22	1.03DR	
18OCT17	B 2 DEC18 CQG II	51.81		2.06DR	
18OCT17	B 1 DEC18 CQG II	51.93		1.03DR	
18OCT17	B 2 DEC18 CQG II	51.91		2.06DR	
18OCT17	B 3 DEC18 CQG II	51.89		3.09DR	
18OCT17	B 2 DEC18 CQG II	51.60		2.06DR	
18OCT17	B 2 DEC18 CQG II	51.56		2.06DR	
18OCT17	B 2 DEC18 CQG II	51.55		2.06DR	
18OCT17	S 1 JUN19 CQG II		51.19	1.03DR	
18OCT17	S 1 JUN19 CQG II		51.17	1.03DR	
18OCT17	S 1 JUN19 CQG II		51.18	1.03DR	
18OCT17	S 3 JUN19 CQG II		51.15	3.09DR	
18OCT17	S 1 DEC19 CQG II		50.54	1.03DR	
18OCT17	B 1 DEC19 CQG II	50.66		1.03DR	
18OCT17	B 1 DEC19 CQG II	50.63		1.03DR	
18OCT17	S 1 DEC19 CQG II		50.44	1.03DR	
18OCT17	S 2 DEC19 CQG II		50.39	2.06DR	
	CMX Copper 25,000 CMX				
18OCT17	B 25 MAR18 CQG II	319.650		25.75DR	
18OCT17	S 25 MAY18 CQG II		320.900	25.75DR	
	IEU Brent Crude 1,000 IPE				
18OCT17	S 3 JUN18 CQG EL		56.90	3.21DR	
18OCT17	B 3 JUN18 CQG EL	56.84		3.21DR	
18OCT17	S 1 JUN18 CQG EL		57.11	1.07DR	
18OCT17	S 2 JUN18 CQG EL		57.10	2.14DR	
18OCT17	B 1 JUN18 CQG EL	56.57		1.07DR	
18OCT17	B 3 JUN18 CQG EL	56.63		3.21DR	
18OCT17	B 1 JUN18 CQG EL	56.51		1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.46		1.07DR	
18OCT17	B 4 JUN18 CQG EL	56.73		4.28DR	
18OCT17	B 2 JUN18 CQG EL	56.85		2.14DR	
18OCT17	B 1 JUN18 CQG EL	56.83		1.07DR	
18OCT17	B 1 JUN18 CQG EL	56.82		1.07DR	
18OCT17	S 1 DEC18 CQG EL		55.87	1.07DR	
18OCT17	B 5 DEC18 CQG EL	55.89		5.35DR	
18OCT17	S 3 DEC18 CQG EL		55.89	3.21DR	
18OCT17	S 3 DEC18 CQG EL		55.88	3.21DR	
18OCT17	B 2 DEC18 CQG EL	56.11		2.14DR	
18OCT17	B 3 DEC18 CQG EL	56.10		3.21DR	
18OCT17	S 2 DEC18 CQG EL		55.66	2.14DR	
18OCT17	S 5 DEC18 CQG EL		55.70	5.35DR	
18OCT17	S 2 DEC18 CQG EL		55.59	2.14DR	
18OCT17	S 2 DEC18 CQG EL		55.55	2.14DR	
18OCT17	S 9 DEC18 CQG EL		55.85	9.63DR	
18OCT17	S 4 DEC18 CQG EL		55.93	4.28DR	
18OCT17	S 2 DEC18 CQG EL		55.92	2.14DR	
18OCT17	S 3 DEC18 CQG EL		55.91	3.21DR	
18OCT17	B 5 JUN19 CQG EL	55.20		5.35DR	
18OCT17	B 4 JUN19 CQG EL	55.25		4.28DR	
18OCT17	B 2 JUN19 CQG EL	55.24		2.14DR	
18OCT17	B 3 JUN19 CQG EL	55.22		3.21DR	
18OCT17	B 1 DEC19 CQG EL	54.74		1.07DR	
18OCT17	S 2 DEC19 CQG EL		54.75	2.14DR	
18OCT17	B 2 DEC19 CQG EL	54.79		2.14DR	
18OCT17	S 1 DEC19 CQG EL		54.97	1.07DR	
18OCT17	S 1 DEC19 CQG EL		54.98	1.07DR	
18OCT17	B 3 DEC19 CQG EL	54.63		3.21DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	B	1	DEC19	CQG	EL	54.54		1.07DR	
18OCT17	B	1	DEC19	CQG	EL	54.50		1.07DR	
18OCT17	S	1	DEC19	CQG	EL		54.80	1.07DR	
18OCT17	S	1	DEC19	CQG	EL		54.81	1.07DR	
18OCT17	S	1	DEC19	CQG	EL		54.78	1.07DR	
	Commission								189.32DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
18OCT17		1	JUN18			51.61	52.54		930.00CR
18OCT17		1	JUN18			51.61	52.63		1,020.00CR
18OCT17		1	JUN18			51.61	52.62		1,010.00CR
18OCT17		1	JUN18			51.61	52.27		660.00CR
18OCT17		1	JUN18			52.04	52.24		200.00CR
18OCT17		1	JUN18			52.04	52.22		180.00CR
18OCT17		1	DEC18			51.81	51.19		620.00DR
18OCT17		1	DEC18			51.81	51.22		590.00DR
18OCT17		1	DEC18			51.93	51.21		720.00DR
18OCT17		1	DEC18			51.91	51.28		630.00DR
18OCT17		1	DEC18			51.91	51.28		630.00DR
18OCT17		2	DEC18			51.89	51.28		1,220.00DR
18OCT17		1	DEC18			51.89	51.20		690.00DR
18OCT17		1	DEC18			51.60	51.20		400.00DR
18OCT17		1	DEC18			51.60	51.20		400.00DR
18OCT17		1	DEC18			51.56	51.20		360.00DR
18OCT17		1	DEC18			51.56	51.20		360.00DR
18OCT17		2	DEC18			51.55	51.56		20.00CR
18OCT17		1	JUN19			50.68	51.19		510.00CR
18OCT17		1	JUN19			50.68	51.17		490.00CR
18OCT17		1	JUN19			50.72	51.18		460.00CR
18OCT17		1	JUN19			50.71	51.15		440.00CR
18OCT17		1	JUN19			50.71	51.15		440.00CR
18OCT17		1	JUN19			50.71	51.15		440.00CR
18OCT17		1	DEC19			50.66	50.54		120.00DR
18OCT17		1	DEC19			50.63	50.44		190.00DR
18OCT17		1	DEC19			50.47	50.39		80.00DR
	CMX Copper 25,000 CMX								
18OCT17		15	MAR18			319.650	302.650		63,750.00DR
18OCT17		1	MAR18			319.650	314.500		1,287.50DR
18OCT17		2	MAR18			319.650	314.500		2,575.00DR
18OCT17		2	MAR18			319.650	314.500		2,575.00DR
18OCT17		1	MAR18			319.650	314.500		1,287.50DR
18OCT17		2	MAR18			319.650	314.500		2,575.00DR
18OCT17		2	MAR18			319.650	314.500		2,575.00DR
18OCT17		25	MAY18			303.900	320.900		106,250.00CR
	IEU Brent Crude 1,000 IPE								
18OCT17		1	JUN18			56.85	56.90		50.00CR
18OCT17		2	JUN18			56.84	56.90		120.00CR
18OCT17		1	JUN18			56.84	57.11		270.00CR
18OCT17		1	JUN18			56.57	57.10		530.00CR
18OCT17		1	JUN18			56.63	57.10		470.00CR
18OCT17		1	JUN18			56.63	54.56		2,070.00DR
18OCT17		1	JUN18			56.63	54.56		2,070.00DR
18OCT17		1	JUN18			56.51	56.93		420.00CR
18OCT17		1	JUN18			56.46	56.61		150.00CR
18OCT17		1	DEC18			55.89	55.87		20.00DR
18OCT17		1	DEC18			55.89	55.88		10.00DR
18OCT17		2	DEC18			55.89	55.89		0.00
18OCT17		1	DEC18			55.89	55.89		0.00
18OCT17		1	DEC18			56.11	55.88		230.00DR
18OCT17		1	DEC18			56.10	55.88		220.00DR
18OCT17		1	DEC18			56.11	55.66		450.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

18th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18OCT17	1 DEC18	56.10	55.66		440.00DR
18OCT17	1 DEC18	56.10	55.70		400.00DR
18OCT17	1 DEC18	56.01	55.70		310.00DR
18OCT17	1 DEC18	56.01	55.70		310.00DR
18OCT17	1 DEC18	55.90	55.70		200.00DR
18OCT17	1 DEC18	55.81	55.70		110.00DR
18OCT17	1 DEC18	55.83	55.59		240.00DR
18OCT17	1 DEC18	55.72	55.59		130.00DR
18OCT17	1 DEC18	55.72	55.55		170.00DR
18OCT17	1 DEC18	55.72	55.55		170.00DR
18OCT17	1 DEC19	54.74	54.75		10.00CR
18OCT17	1 DEC19	54.79	54.75		40.00DR
18OCT17	1 DEC19	54.79	54.97		180.00CR
18OCT17	1 DEC19	54.63	54.98		350.00CR
18OCT17	1 DEC19	54.63	54.80		170.00CR
18OCT17	1 DEC19	54.63	54.81		180.00CR
18OCT17	1 DEC19	54.54	54.78		240.00CR
18OCT17	1 DEC19	54.50	54.58		80.00CR
TOTALS					
BROUGHT FORWARD BALANCE					136,547.84DR
SETTLEMENTS					
CMX Copper Futures					29,625.00CR
IEU Brent Crude Futures					4,370.00DR
NYM Light Sweet Crude Oil Futures					210.00DR
COMMISSIONS					189.32DR
CARRIED FORWARD BALANCE					111,692.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119T 18th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
18OCT17	B 1 DEC17 CQG GX 115.4750	115.4750		1.02DR	
18OCT17	S 1 DEC17 CQG GX 114.8250		114.8250	1.02DR	
18OCT17	B 1 DEC17 CQG GX 115.6750	115.6750		1.02DR	
18OCT17	S 1 DEC17 CQG GX 116.0250		116.0250	1.02DR	
18OCT17	S 1 FEB18 CQG GX 119.8750		119.8750	1.02DR	
18OCT17	B 1 FEB18 CQG GX 119.1750	119.1750		1.02DR	
18OCT17	S 1 FEB18 CQG GX 119.9500		119.9500	1.02DR	
18OCT17	B 1 FEB18 CQG GX 120.2500	120.2500		1.02DR	
	Commission				8.16DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
18OCT17	1 DEC17 115.4750	115.4750	114.8250		260.00DR
18OCT17	1 DEC17 115.6750	115.6750	116.0250		140.00CR
18OCT17	1 FEB18 119.1750	119.1750	119.8750		280.00CR
18OCT17	1 FEB18 120.2500	120.2500	119.9500		120.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16.96CR
	SETTLEMENTS				
	CME Cattle Futures				40.00CR
	COMMISSIONS				8.16DR
	CARRIED FORWARD BALANCE				48.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

18th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		953,733.37CR
	SETTLEMENTS		
	CME Cattle Futures		1,420.00CR
	CME Feeder Cattle Futures		4,487.50CR
	CME Lean Hog Futures		1,200.00CR
	CME Random Length Lumber Futures		22.00DR
	CMX Copper Futures		39,137.50CR
	CMX Gold Futures		700.00DR
	CMX Silver Futures		8,675.00CR
	ICE Cotton No.2 Futures		290.00CR
	ICE Frozen Concentrated OJ Futures		952.50DR
	ICE Sugar No.11 Futures		201.60CR
	IEU Brent Crude Futures		11,190.00DR
	IEU Gas Oil Futures		13,800.00DR
	NYM Light Sweet Crude Oil Futures		6,010.00DR
	COMMISSIONS		1,769.52DR
	CARRIED FORWARD BALANCE		974,700.95CR