

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 20 08NOV17 IFC UD		7002.67	54.00DR	
17OCT17	S 5 09NOV17 IFC UD		7002.33	13.50DR	
17OCT17	B 15 10NOV17 IFC UD	7002.00		40.50DR	
17OCT17	S 5 14NOV17 IFC UD		7001.33	13.50DR	
17OCT17	S 15 16NOV17 IFC UD		6999.80	40.50DR	
17OCT17	B 10 17NOV17 IFC UD	7000.48		27.00DR	
17OCT17	S 10 21NOV17 IFC UD		7002.22	27.00DR	
17OCT17	S 10 22NOV17 IFC UD		7002.91	27.00DR	
17OCT17	B 5 24NOV17 IFC UD	7004.08		13.50DR	
17OCT17	B 15 29NOV17 IFC UD	7006.30		40.50DR	
17OCT17	B 5 01DEC17 IFC UD	7007.47		13.50DR	
17OCT17	B 10 04DEC17 IFC UD	7008.92		27.00DR	
17OCT17	S 5 05DEC17 IFC UD		7009.00	13.50DR	
17OCT17	B 10 06DEC17 IFC UD	7009.69		27.00DR	
17OCT17	S 10 08DEC17 IFC UD		7010.46	27.00DR	
17OCT17	S 10 11DEC17 IFC UD		7011.91	27.00DR	
17OCT17	S 20 12DEC17 IFC UD		7012.59	54.00DR	
17OCT17	S 5 13DEC17 IFC UD		7013.08	13.50DR	
17OCT17	B 20 18DEC17 IFC UD	7015.70		54.00DR	
17OCT17	S 5 19DEC17 IFC UD		7015.50	13.50DR	
17OCT17	B 5 21DEC17 IFC UD	7014.70		13.50DR	
17OCT17	S 5 22DEC17 IFC UD		7013.50	13.50DR	
17OCT17	B 10 27DEC17 IFC UD	7016.23		27.00DR	
17OCT17	S 10 28DEC17 IFC UD		7016.57	27.00DR	
17OCT17	S 5 02JAN18 IFC UD		7019.50	13.50DR	
17OCT17	B 5 03JAN18 IFC UD	7020.00		13.50DR	
17OCT17	B 5 03JAN18 IFC UD	7020.20		13.50DR	
17OCT17	B 5 04JAN18 IFC UD	7020.70		13.50DR	
17OCT17	S 5 05JAN18 IFC UD		7020.80	13.50DR	
17OCT17	B 25 09JAN18 IFC UD	7023.20		67.50DR	
	Commission				783.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5997.00	5984.00		325.00DR
17JUL17	1 17OCT17	5994.50	5984.00		262.50DR
09AUG17	1 17OCT17	5994.50	6448.75		11,356.25CR
09AUG17	1 17OCT17	5994.50	6448.75		11,356.25CR
09AUG17	1 17OCT17	5994.50	6448.75		11,356.25CR
09AUG17	1 17OCT17	5994.50	6448.75		11,356.25CR
09AUG17	1 17OCT17	5997.00	6448.75		11,293.75CR
09AUG17	3 17OCT17	5997.00	6448.75		33,881.25CR
09AUG17	2 17OCT17	5960.00	6448.75		24,437.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				302,069.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				114,450.00CR
	COMMISSIONS				783.00DR
	CARRIED FORWARD BALANCE				188,402.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011E

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 10 18DEC17 IFC UD	7015.50		27.00DR	
17OCT17	S 10 09JAN18 IFC UD		7022.80	27.00DR	
	Commission				54.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				174,795.99DR
	COMMISSIONS				54.00DR
	CARRIED FORWARD BALANCE				174,849.99DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 15 09NOV17 IFC UD		7002.33	40.50DR	
17OCT17	B 5 14NOV17 IFC UD	7001.33		13.50DR	
17OCT17	S 15 16NOV17 IFC UD		7000.00	40.50DR	
17OCT17	B 15 17NOV17 IFC UD	7000.68		40.50DR	
17OCT17	S 5 21NOV17 IFC UD		7002.22	13.50DR	
17OCT17	S 20 22NOV17 IFC UD		7002.91	54.00DR	
17OCT17	B 5 24NOV17 IFC UD	7003.88		13.50DR	
17OCT17	B 10 29NOV17 IFC UD	7006.50		27.00DR	
17OCT17	B 15 30NOV17 IFC UD	7006.98		40.50DR	
17OCT17	S 5 05DEC17 IFC UD		7009.00	13.50DR	
17OCT17	B 10 06DEC17 IFC UD	7009.89		27.00DR	
17OCT17	S 25 11DEC17 IFC UD		7012.11	67.50DR	
17OCT17	S 10 12DEC17 IFC UD		7012.59	27.00DR	
17OCT17	S 5 13DEC17 IFC UD		7013.08	13.50DR	
17OCT17	B 10 18DEC17 IFC UD	7015.70		27.00DR	
17OCT17	B 10 21DEC17 IFC UD	7014.50		27.00DR	
17OCT17	B 10 27DEC17 IFC UD	7016.23		27.00DR	
17OCT17	S 20 28DEC17 IFC UD		7016.57	54.00DR	
17OCT17	B 5 03JAN18 IFC UD	7020.20		13.50DR	
17OCT17	S 5 05JAN18 IFC UD		7021.00	13.50DR	
17OCT17	B 20 09JAN18 IFC UD	7023.20		54.00DR	
17OCT17	B 10 16JAN18 IFC UD	7026.70		27.00DR	
17OCT17	B 3 17JAN18 DMA EL	7035.00		15.00DR	
17OCT17	B 2 17JAN18 DMA EL	7036.50		10.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 11 DEC17 II		319.600	25.85DR	
17OCT17	S 5 MAR18 II		323.750	11.75DR	
17OCT17	B 5 MAY18 II	324.900		11.75DR	
	Commission				749.35DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
09AUG17	2 17OCT17	6003.00	6448.75		22,287.50CR
09AUG17	2 17OCT17	6002.50	6448.75		22,312.50CR
09AUG17	1 17OCT17	6002.50	6448.75		11,156.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				151,754.90DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				55,756.25CR
	COMMISSIONS				749.35DR
	CARRIED FORWARD BALANCE				96,748.00DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 20 08NOV17 IFC UD		7002.67	54.00DR	
17OCT17	B 5 14NOV17 IFC UD	7001.33		13.50DR	
17OCT17	S 15 16NOV17 IFC UD		7000.00	40.50DR	
17OCT17	B 15 17NOV17 IFC UD	7000.68		40.50DR	
17OCT17	S 15 21NOV17 IFC UD		7002.42	40.50DR	
17OCT17	S 10 22NOV17 IFC UD		7002.91	27.00DR	
17OCT17	B 5 24NOV17 IFC UD	7004.08		13.50DR	
17OCT17	B 30 29NOV17 IFC UD	7006.30		81.00DR	
17OCT17	B 5 04DEC17 IFC UD	7008.92		13.50DR	
17OCT17	S 5 05DEC17 IFC UD		7009.20	13.50DR	
17OCT17	B 5 06DEC17 IFC UD	7009.89		13.50DR	
17OCT17	S 10 08DEC17 IFC UD		7010.46	27.00DR	
17OCT17	S 10 11DEC17 IFC UD		7012.11	27.00DR	
17OCT17	S 20 12DEC17 IFC UD		7012.39	54.00DR	
17OCT17	S 5 13DEC17 IFC UD		7012.88	13.50DR	
17OCT17	B 20 18DEC17 IFC UD	7015.70		54.00DR	
17OCT17	S 5 19DEC17 IFC UD		7015.50	13.50DR	
17OCT17	B 10 21DEC17 IFC UD	7014.70		27.00DR	
17OCT17	S 10 22DEC17 IFC UD		7013.50	27.00DR	
17OCT17	B 10 27DEC17 IFC UD	7016.43		27.00DR	
17OCT17	S 5 28DEC17 IFC UD		7016.77	13.50DR	
17OCT17	S 5 29DEC17 IFC UD		7017.32	13.50DR	
17OCT17	B 5 03JAN18 IFC UD	7020.20		13.50DR	
17OCT17	B 15 09JAN18 IFC UD	7023.20		40.50DR	
17OCT17	B 10 10JAN18 IFC UD	7023.70		27.00DR	
	Commission				729.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	2 17OCT17	5998.00	6416.00		20,900.00CR
10AUG17	1 17OCT17	5998.00	6416.00		10,450.00CR
10AUG17	1 17OCT17	5998.00	6416.00		10,450.00CR
10AUG17	1 17OCT17	5999.50	6416.00		10,412.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				125,375.75DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				52,212.50CR
	COMMISSIONS				729.00DR
	CARRIED FORWARD BALANCE				73,892.25DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 10 07NOV17 IFC UD		7003.00	27.00DR	
17OCT17	S 10 08NOV17 IFC UD		7002.67	27.00DR	
17OCT17	B 5 14NOV17 IFC UD	7001.33		13.50DR	
17OCT17	S 10 16NOV17 IFC UD		7000.00	27.00DR	
17OCT17	B 15 17NOV17 IFC UD	7000.48		40.50DR	
17OCT17	S 20 21NOV17 IFC UD		7002.22	54.00DR	
17OCT17	S 5 22NOV17 IFC UD		7002.91	13.50DR	
17OCT17	B 5 24NOV17 IFC UD	7004.08		13.50DR	
17OCT17	B 20 29NOV17 IFC UD	7006.50		54.00DR	
17OCT17	B 5 30NOV17 IFC UD	7006.98		13.50DR	
17OCT17	B 5 04DEC17 IFC UD	7008.92		13.50DR	
17OCT17	S 15 05DEC17 IFC UD		7009.20	40.50DR	
17OCT17	B 15 06DEC17 IFC UD	7009.89		40.50DR	
17OCT17	S 10 08DEC17 IFC UD		7010.66	27.00DR	
17OCT17	B 5 18DEC17 IFC UD	7015.70		13.50DR	
17OCT17	B 5 09JAN18 IFC UD	7023.20		13.50DR	
	CMX Copper 25,000 CMX				
17OCT17	S 5 MAR18 II		325.050	11.75DR	
17OCT17	B 5 MAY18 II	326.200		11.75DR	
	Commission				455.50DR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				123,047.21DR
	COMMISSIONS				455.50DR
	CARRIED FORWARD BALANCE				123,502.71DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011M

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 5 20DEC17 IFC UD		7015.50	13.50DR	
17OCT17	B 5 09JAN18 IFC UD	7023.20		13.50DR	
	Commission				27.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,783.95DR
	COMMISSIONS				27.00DR
	CARRIED FORWARD BALANCE				14,810.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011N

17th October 2017

COB GLOBAL

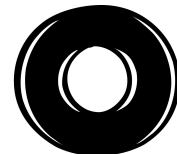
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 5 27DEC17 IFC UD		7016.23	13.50DR	
17OCT17	B 5 09JAN18 IFC UD	7023.20		13.50DR	
	Commission				27.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				22,523.96DR
	COMMISSIONS				27.00DR
	CARRIED FORWARD BALANCE				22,550.96DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		914,891.87DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		222,418.75CR
	COMMISSIONS		2,824.85DR
	CARRIED FORWARD BALANCE		695,297.97DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0025L
17th October 2017
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 5 17JAN18 DMA EL		7082.00	25.00DR	
17OCT17	S 5 17JAN18 DMA EL		7082.50	25.00DR	
	CMX Copper 25,000 CMX				
17OCT17	B 22 DEC17 GX	321.700		51.04DR	
	Commission				101.04DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	15 DEC17	321.700	298.450		87,187.50DR
17OCT17	6 DEC17	321.700	298.400		34,950.00DR
17OCT17	1 DEC17	321.700	298.450		5,812.50DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 17OCT17	6006.50	6416.00		10,237.50CR
10AUG17	1 17OCT17	6003.00	6416.00		10,325.00CR
10AUG17	1 17OCT17	6003.00	6416.00		10,325.00CR
10AUG17	1 17OCT17	6013.00	6416.00		10,075.00CR
10AUG17	1 17OCT17	6013.00	6416.00		10,075.00CR
23AUG17	1 17OCT17	6002.00	6554.75		13,818.75CR
23AUG17	1 17OCT17	6002.00	6554.75		13,818.75CR
23AUG17	1 17OCT17	6002.00	6554.75		13,818.75CR
23AUG17	2 17OCT17	6002.00	6554.75		27,637.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				460,514.80DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				120,131.25CR
	CMX Copper Futures				127,950.00DR
	COMMISSIONS				101.04DR
	CARRIED FORWARD BALANCE				468,434.59DR

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SAP0025M

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 2 17JAN18 DMA EL		7087.50	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		7088.00	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7089.50	10.00DR	
17OCT17	S 3 17JAN18 DMA EL		7086.50	15.00DR	
17OCT17	S 2 17JAN18 DMA EL		7085.00	10.00DR	
17OCT17	S 4 17JAN18 DMA EL		7074.00	20.00DR	
17OCT17	S 1 17JAN18 DMA EL		7075.00	5.00DR	
	CMX Copper 25,000 CMX				
17OCT17	B 4 DEC17 GX 321.950			9.28DR	
17OCT17	B 7 DEC17 GX 321.900			16.24DR	
17OCT17	B 6 DEC17 GX 321.800			13.92DR	
17OCT17	B 5 DEC17 GX 321.750			11.60DR	
17OCT17	B 11 DEC17 GX 321.400			25.52DR	
	Commission				151.56DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	5 DEC17	321.900	293.900		35,000.00DR
17OCT17	2 DEC17	321.950	293.900		14,025.00DR
17OCT17	2 DEC17	321.950	293.850		14,050.00DR
17OCT17	2 DEC17	321.900	293.900		14,000.00DR
17OCT17	4 DEC17	321.800	298.250		23,550.00DR
17OCT17	2 DEC17	321.800	298.200		11,800.00DR
17OCT17	3 DEC17	321.750	298.200		17,662.50DR
17OCT17	2 DEC17	321.750	298.450		11,650.00DR
17OCT17	11 DEC17	321.400	301.950		53,487.50DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 17OCT17	5981.00	6416.00		10,875.00CR
10AUG17	4 17OCT17	5981.00	6416.00		43,500.00CR
23AUG17	2 17OCT17	6008.50	6554.75		27,312.50CR
23AUG17	1 17OCT17	6009.50	6554.75		13,631.25CR
23AUG17	1 17OCT17	6009.50	6554.75		13,631.25CR
23AUG17	1 17OCT17	6009.50	6554.75		13,631.25CR
23AUG17	2 17OCT17	6001.50	6554.75		27,662.50CR
23AUG17	1 17OCT17	6001.50	6554.75		13,831.25CR
23AUG17	1 17OCT17	6001.00	6554.75		13,843.75CR
23AUG17	1 17OCT17	6001.00	6554.75		13,843.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				496,233.12DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				191,762.50CR
	CMX Copper Futures				195,225.00DR
	COMMISSIONS				151.56DR
	CARRIED FORWARD BALANCE				499,847.18DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 5 17JAN18 DMA EL		7087.00	25.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 5 DEC17 GX		321.900	11.60DR	
17OCT17	B 5 DEC17 GX	322.000		11.60DR	
17OCT17	B 11 DEC17 GX	321.850		25.52DR	
	Commission				73.72DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	5 DEC17	322.000	321.900		125.00DR
17OCT17	5 DEC17	321.850	292.750		36,375.00DR
17OCT17	6 DEC17	321.850	292.750		43,650.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	5 17OCT17	6001.00	6416.00		51,875.00CR
10AUG17	2 17OCT17	5997.50	6416.00		20,925.00CR
10AUG17	1 17OCT17	5997.50	6416.00		10,462.50CR
10AUG17	1 17OCT17	5997.50	6416.00		10,462.50CR
10AUG17	1 17OCT17	5997.50	6416.00		10,462.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				429,492.23DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				104,187.50CR
	CMX Copper Futures				80,150.00DR
	COMMISSIONS				73.72DR
	CARRIED FORWARD BALANCE				405,528.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00250

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 2 17JAN18 DMA EL		7087.00	10.00DR	
17OCT17	S 2 17JAN18 DMA EL		7087.50	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		7081.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7083.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7086.00	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7088.50	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		7073.00	5.00DR	
	CMX Copper 25,000 CMX				
17OCT17	B 2 DEC17 GX 321.850			4.64DR	
17OCT17	B 2 DEC17 GX 321.800			4.64DR	
17OCT17	B 2 DEC17 GX 321.750			4.64DR	
17OCT17	B 4 DEC17 GX 321.900			9.28DR	
17OCT17	B 4 DEC17 GX 321.700			9.28DR	
17OCT17	B 5 DEC17 GX 321.650			11.60DR	
17OCT17	B 2 DEC17 GX 321.550			4.64DR	
17OCT17	B 1 DEC17 GX 321.500			2.32DR	
	Commission				101.04DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	2 DEC17 321.850	310.850			5,500.00DR
17OCT17	2 DEC17 321.800	310.850			5,475.00DR
17OCT17	2 DEC17 321.750	310.850			5,450.00DR
17OCT17	2 DEC17 321.700	310.850			5,425.00DR
17OCT17	2 DEC17 321.900	310.850			5,525.00DR
17OCT17	1 DEC17 321.900	310.850			2,762.50DR
17OCT17	1 DEC17 321.900	298.200			5,925.00DR
17OCT17	3 DEC17 321.650	298.200			17,587.50DR
17OCT17	2 DEC17 321.700	298.200			11,750.00DR
17OCT17	1 DEC17 321.650	298.200			5,862.50DR
17OCT17	1 DEC17 321.650	298.200			5,862.50DR
17OCT17	2 DEC17 321.550	298.200			11,675.00DR
17OCT17	1 DEC17 321.500	298.300			5,800.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
16OCT17	1 17OCT17 6012.00	6858.00			21,150.00CR
16OCT17	1 17OCT17 6013.00	6858.00			21,125.00CR
16OCT17	1 17OCT17 6008.00	6858.00			21,250.00CR
16OCT17	1 17OCT17 6007.50	6858.00			21,262.50CR
16OCT17	1 17OCT17 6010.00	6858.00			21,200.00CR
16OCT17	1 17OCT17 6001.50	6858.00			21,412.50CR
16OCT17	1 17OCT17 6007.50	6858.00			21,262.50CR
16OCT17	1 17OCT17 5999.00	6858.00			21,475.00CR
16OCT17	1 17OCT17 6000.00	6858.00			21,450.00CR
16OCT17	1 17OCT17 6000.00	6858.00			21,450.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				364,438.69DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				213,037.50CR
	CMX Copper Futures				94,600.00DR
	COMMISSIONS				101.04DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025O

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				246,102.23DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	B	5	17JAN18	DMA	EL	7131.50		25.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7123.50	25.00DR	
17OCT17	S	1	17JAN18	DMA	EL		7118.50	5.00DR	
17OCT17	S	4	17JAN18	DMA	EL		7119.00	20.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7112.00	25.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7096.00	25.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7083.00	25.00DR	
17OCT17	S	2	17JAN18	DMA	EL		7075.00	10.00DR	
17OCT17	S	6	17JAN18	DMA	EL		7075.50	30.00DR	
17OCT17	S	1	17JAN18	DMA	EL		7076.00	5.00DR	
17OCT17	S	6	17JAN18	DMA	EL		7079.00	30.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7090.00	25.00DR	
17OCT17	B	5	17JAN18	DMA	EL	7078.50		25.00DR	
17OCT17	B	5	17JAN18	DMA	EL	7064.50		25.00DR	
17OCT17	S	3	17JAN18	DMA	EL		7078.50	15.00DR	
17OCT17	S	2	17JAN18	DMA	EL		7080.00	10.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7066.50	25.00DR	
17OCT17	B	5	17JAN18	DMA	EL	7038.50		25.00DR	
17OCT17	B	5	17JAN18	DMA	EL	7036.00		25.00DR	
	CMX Copper 25,000 CMX								
17OCT17	S	1	DEC17		GX		324.150	2.32DR	
17OCT17	S	10	DEC17		GX		324.100	23.20DR	
17OCT17	B	11	DEC17		GX	323.500		25.52DR	
17OCT17	B	11	DEC17		GX	323.250		25.52DR	
17OCT17	B	5	DEC17		GX	323.000		11.60DR	
17OCT17	B	4	DEC17		GX	322.950		9.28DR	
17OCT17	B	1	DEC17		GX	322.900		2.32DR	
17OCT17	B	1	DEC17		GX	322.850		2.32DR	
17OCT17	B	1	DEC17		GX	322.300		2.32DR	
17OCT17	S	1	DEC17		GX		322.400	2.32DR	
17OCT17	B	11	DEC17		GX	322.250		25.52DR	
17OCT17	B	11	DEC17		GX	321.650		25.52DR	
17OCT17	B	11	DEC17		GX	321.450		25.52DR	
17OCT17	B	22	DEC17		GX	321.300		51.04DR	
17OCT17	S	1	DEC17		GX		321.300	2.32DR	
17OCT17	B	1	DEC17		GX	321.500		2.32DR	
17OCT17	B	11	DEC17		GX	321.950		25.52DR	
17OCT17	S	11	DEC17		GX		320.900	25.52DR	
17OCT17	B	7	DEC17		GX	320.800		16.24DR	
17OCT17	B	4	DEC17		GX	320.850		9.28DR	
17OCT17	S	3	DEC17		GX		319.750	6.96DR	
17OCT17	S	6	DEC17		GX		319.700	13.92DR	
17OCT17	S	13	DEC17		GX		319.600	30.16DR	
	Commission								766.56DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
17OCT17		3	DEC17			323.500	324.100		450.00CR
17OCT17		1	DEC17			323.500	324.150		162.50CR
17OCT17		7	DEC17			323.500	324.100		1,050.00CR
17OCT17		1	DEC17			323.250	322.400		212.50DR
17OCT17		1	DEC17			323.250	321.300		487.50DR
17OCT17		3	DEC17			323.250	320.900		1,762.50DR
17OCT17		2	DEC17			323.250	320.900		1,175.00DR
17OCT17		1	DEC17			323.250	320.900		587.50DR
17OCT17		1	DEC17			323.250	320.900		587.50DR
17OCT17		2	DEC17			323.250	320.900		1,175.00DR
17OCT17		2	DEC17			323.000	320.900		1,050.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025T

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	3 DEC17	323.000	319.750		2,437.50DR
17OCT17	4 DEC17	322.950	319.700		3,250.00DR
17OCT17	1 DEC17	322.900	319.700		800.00DR
17OCT17	1 DEC17	322.850	319.700		787.50DR
17OCT17	1 DEC17	322.300	319.600		675.00DR
17OCT17	11 DEC17	322.250	319.600		7,287.50DR
17OCT17	1 DEC17	321.650	319.600		512.50DR
17OCT17	10 DEC17	321.650	308.600		32,625.00DR
17OCT17	1 DEC17	321.450	308.600		3,212.50DR
17OCT17	10 DEC17	321.450	308.450		32,500.00DR
17OCT17	1 DEC17	321.300	308.450		3,212.50DR
17OCT17	10 DEC17	321.300	308.600		31,750.00DR
17OCT17	1 DEC17	321.300	308.600		3,175.00DR
17OCT17	10 DEC17	321.300	308.650		31,625.00DR
17OCT17	1 DEC17	321.500	308.650		3,212.50DR
17OCT17	11 DEC17	321.950	307.950		38,500.00DR
17OCT17	6 DEC17	320.800	307.550		19,875.00DR
17OCT17	1 DEC17	320.850	307.550		3,325.00DR
17OCT17	1 DEC17	320.800	307.550		3,312.50DR
17OCT17	3 DEC17	320.850	307.550		9,975.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5995.00	5927.00		1,700.00DR
17JUL17	1 17OCT17	5980.50	5927.00		1,337.50DR
17JUL17	1 17OCT17	5980.50	5927.00		1,337.50DR
17JUL17	1 17OCT17	5982.00	5927.00		1,375.00DR
17JUL17	1 17OCT17	5981.50	5927.00		1,362.50DR
20JUL17	1 17OCT17	5981.00	5960.08		523.00DR
20JUL17	3 17OCT17	5995.00	5960.08		2,619.00DR
20JUL17	1 17OCT17	5995.00	5960.08		873.00DR
10AUG17	5 17OCT17	6000.00	6416.00		52,000.00CR
10AUG17	5 17OCT17	6019.00	6416.00		49,625.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				431,427.66DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				90,497.50CR
	CMX Copper Futures				237,425.00DR
	COMMISSIONS				766.56DR
	CARRIED FORWARD BALANCE				579,121.72DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		7117.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7119.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7113.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7113.50	5.00DR	
17OCT17	S 4 17JAN18 DMA EL		7114.00	20.00DR	
17OCT17	S 2 17JAN18 DMA EL		7115.00	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		7116.50	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7120.00	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		7121.50	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7088.50	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7096.50	10.00DR	
17OCT17	B 1 17JAN18 DMA EL	7080.00		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7079.50	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7080.00	10.00DR	
17OCT17	S 4 17JAN18 DMA EL		7075.50	20.00DR	
17OCT17	S 1 17JAN18 DMA EL		7112.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7085.00	5.00DR	
	CMX Copper 25,000 CMX				
17OCT17	B 2 DEC17 GX	323.550		4.64DR	
17OCT17	B 2 DEC17 GX	323.300		4.64DR	
17OCT17	B 2 DEC17 GX	323.400		4.64DR	
17OCT17	B 5 DEC17 GX	323.350		11.60DR	
17OCT17	B 2 DEC17 GX	323.200		4.64DR	
17OCT17	B 6 DEC17 GX	323.100		13.92DR	
17OCT17	B 9 DEC17 GX	323.050		20.88DR	
17OCT17	B 2 DEC17 GX	323.000		4.64DR	
17OCT17	B 3 DEC17 GX	323.150		6.96DR	
17OCT17	B 4 DEC17 GX	322.250		9.28DR	
17OCT17	B 3 DEC17 GX	321.950		6.96DR	
17OCT17	B 2 DEC17 GX	321.650		4.64DR	
17OCT17	B 2 DEC17 GX	321.800		4.64DR	
17OCT17	B 4 DEC17 GX	321.500		9.28DR	
17OCT17	B 5 DEC17 GX	321.300		11.60DR	
17OCT17	B 2 DEC17 GX	321.350		4.64DR	
	Commission				262.60DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	2 DEC17	323.550	305.800		8,875.00DR
17OCT17	1 DEC17	323.300	305.800		4,375.00DR
17OCT17	1 DEC17	323.300	305.800		4,375.00DR
17OCT17	3 DEC17	323.050	308.350		11,025.00DR
17OCT17	2 DEC17	323.100	308.350		7,375.00DR
17OCT17	2 DEC17	323.100	308.350		7,375.00DR
17OCT17	1 DEC17	323.400	308.450		3,737.50DR
17OCT17	2 DEC17	323.350	308.450		7,450.00DR
17OCT17	1 DEC17	323.400	308.450		3,737.50DR
17OCT17	3 DEC17	323.350	308.450		11,175.00DR
17OCT17	2 DEC17	323.200	308.450		7,375.00DR
17OCT17	2 DEC17	323.150	308.450		7,350.00DR
17OCT17	2 DEC17	323.100	308.200		7,450.00DR
17OCT17	1 DEC17	323.050	308.050		3,750.00DR
17OCT17	1 DEC17	323.050	308.050		3,750.00DR
17OCT17	1 DEC17	323.050	308.050		3,750.00DR
17OCT17	1 DEC17	323.050	308.000		3,762.50DR
17OCT17	2 DEC17	323.050	308.000		7,525.00DR
17OCT17	1 DEC17	323.000	307.900		3,775.00DR
17OCT17	1 DEC17	323.000	307.350		3,912.50DR

Group No: SAPAB025

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025U

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	323.150	307.350		3,950.00DR
17OCT17	4 DEC17	322.250	307.400		14,850.00DR
17OCT17	3 DEC17	321.950	307.400		10,912.50DR
17OCT17	2 DEC17	321.650	307.400		7,125.00DR
17OCT17	2 DEC17	321.800	307.400		7,200.00DR
17OCT17	2 DEC17	321.500	307.950		6,775.00DR
17OCT17	2 DEC17	321.500	307.950		6,775.00DR
17OCT17	3 DEC17	321.300	307.950		10,012.50DR
17OCT17	2 DEC17	321.300	307.950		6,675.00DR
17OCT17	2 DEC17	321.350	307.950		6,700.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5978.00	5929.50		1,212.50DR
17JUL17	1 17OCT17	5981.00	5929.50		1,287.50DR
17JUL17	1 17OCT17	5983.50	5929.50		1,350.00DR
17JUL17	1 17OCT17	5977.50	5929.50		1,200.00DR
17JUL17	1 17OCT17	5979.00	5929.50		1,237.50DR
20JUL17	1 17OCT17	5995.50	5960.08		885.50DR
20JUL17	1 17OCT17	5995.50	5960.08		885.50DR
20JUL17	1 17OCT17	5995.50	5960.08		885.50DR
20JUL17	1 17OCT17	5995.50	5960.08		885.50DR
20JUL17	1 17OCT17	5995.50	5960.08		885.50DR
20JUL17	5 17OCT17	6000.00	5960.08		4,990.00DR
10AUG17	5 17OCT17	6010.00	6416.00		50,750.00CR
10AUG17	5 17OCT17	6010.00	6416.00		50,750.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				313,819.58DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				85,795.00CR
	CMX Copper Futures				202,875.00DR
	COMMISSIONS				262.60DR
	CARRIED FORWARD BALANCE				431,162.18DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 7127.00			5.00DR	
17OCT17	S 2 17JAN18 DMA EL		7115.00	10.00DR	
17OCT17	S 5 17JAN18 DMA EL		7113.00	25.00DR	
17OCT17	S 5 17JAN18 DMA EL		7080.00	25.00DR	
17OCT17	S 5 17JAN18 DMA EL		7082.00	25.00DR	
17OCT17	S 5 17JAN18 DMA EL		7075.00	25.00DR	
17OCT17	S 2 17JAN18 DMA EL		7084.00	10.00DR	
17OCT17	S 3 17JAN18 DMA EL		7084.50	15.00DR	
17OCT17	B 5 17JAN18 DMA EL 7076.00			25.00DR	
17OCT17	B 2 17JAN18 DMA EL 7121.00			10.00DR	
17OCT17	B 2 17JAN18 DMA EL 7037.00			10.00DR	
17OCT17	B 3 17JAN18 DMA EL 7036.00			15.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 2 DEC17 GX		323.700	4.64DR	
17OCT17	S 4 DEC17 GX		323.550	9.28DR	
17OCT17	B 4 DEC17 GX 323.100			9.28DR	
17OCT17	B 11 DEC17 GX 323.000			25.52DR	
17OCT17	B 1 DEC17 GX 322.750			2.32DR	
17OCT17	S 1 DEC17 GX		322.650	2.32DR	
17OCT17	B 1 DEC17 GX 322.200			2.32DR	
17OCT17	S 1 DEC17 GX		322.150	2.32DR	
17OCT17	B 11 DEC17 GX 321.600			25.52DR	
17OCT17	B 11 DEC17 GX 321.450			25.52DR	
17OCT17	B 1 DEC17 GX 321.250			2.32DR	
17OCT17	S 1 DEC17 GX		321.200	2.32DR	
17OCT17	B 11 DEC17 GX 322.000			25.52DR	
17OCT17	S 1 DEC17 GX		319.850	2.32DR	
17OCT17	B 1 DEC17 GX 319.800			2.32DR	
17OCT17	S 11 DEC17 GX		319.650	25.52DR	
	Commission				369.36DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	2 DEC17	323.100	323.700		300.00CR
17OCT17	2 DEC17	323.100	323.550		225.00CR
17OCT17	2 DEC17	323.000	323.550		275.00CR
17OCT17	1 DEC17	323.000	322.650		87.50DR
17OCT17	1 DEC17	323.000	322.150		212.50DR
17OCT17	1 DEC17	323.000	321.200		450.00DR
17OCT17	1 DEC17	323.000	319.850		787.50DR
17OCT17	5 DEC17	323.000	319.650		4,187.50DR
17OCT17	1 DEC17	322.750	319.650		775.00DR
17OCT17	1 DEC17	322.200	319.650		637.50DR
17OCT17	4 DEC17	321.600	319.650		1,950.00DR
17OCT17	1 DEC17	321.600	307.500		3,525.00DR
17OCT17	3 DEC17	321.600	307.700		10,425.00DR
17OCT17	3 DEC17	321.600	307.600		10,500.00DR
17OCT17	1 DEC17	321.450	307.600		3,462.50DR
17OCT17	1 DEC17	321.450	307.750		3,425.00DR
17OCT17	3 DEC17	321.450	307.750		10,275.00DR
17OCT17	3 DEC17	321.450	307.850		10,200.00DR
17OCT17	3 DEC17	321.450	308.050		10,050.00DR
17OCT17	1 DEC17	321.250	308.050		3,300.00DR
17OCT17	3 DEC17	322.000	308.050		10,462.50DR
17OCT17	4 DEC17	322.000	307.850		14,150.00DR
17OCT17	4 DEC17	322.000	307.900		14,100.00DR
17OCT17	1 DEC17	319.800	307.950		2,962.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

17th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				380,910.32DR
	SETTLEMENTS				
	CMX Copper Futures				115,125.00DR
	COMMISSIONS				369.36DR
	CARRIED FORWARD BALANCE				496,404.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025W 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	S	5	17JAN18	DMA	EL		7086.50	25.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7084.50	25.00DR	
17OCT17	S	5	17JAN18	DMA	EL		7090.00	25.00DR	
	CMX Copper 25,000 CMX								
17OCT17	B	11	DEC17		GX	321.800		25.52DR	
17OCT17	B	19	DEC17		GX	321.850		44.08DR	
17OCT17	B	3	DEC17		GX	321.750		6.96DR	
	Commission								151.56DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
17OCT17		6	DEC17			321.800	308.900		19,350.00DR
17OCT17		5	DEC17			321.800	308.850		16,187.50DR
17OCT17		4	DEC17			321.850	308.950		12,900.00DR
17OCT17		3	DEC17			321.850	308.850		9,750.00DR
17OCT17		2	DEC17			321.850	308.950		6,450.00DR
17OCT17		2	DEC17			321.850	309.000		6,425.00DR
17OCT17		5	DEC17			321.850	308.850		16,250.00DR
17OCT17		3	DEC17			321.850	308.900		9,712.50DR
17OCT17		1	DEC17			321.750	308.950		3,200.00DR
17OCT17		2	DEC17			321.750	308.950		6,400.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								206,311.34DR
	SETTLEMENTS								
	CMX Copper Futures								106,625.00DR
	COMMISSIONS								151.56DR
	CARRIED FORWARD BALANCE								313,087.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
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SAPAB025

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,083,147.74DR
	SETTLEMENTS		
	CMX Copper Futures		1,159,975.00DR
	LME Copper Grade A 25 tonnes. This Futures		805,411.25CR
	COMMISSIONS		1,977.44DR
	CARRIED FORWARD BALANCE		3,439,688.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038A

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 1 20DEC17 IFC UD	7015.50		2.70DR	
17OCT17	B 2 21DEC17 IFC UD	7014.50		5.40DR	
17OCT17	S 1 05JAN18 IFC UD		7020.80	2.70DR	
17OCT17	S 1 11JAN18 IFC UD		7023.80	2.70DR	
17OCT17	S 1 12JAN18 IFC UD		7024.30	2.70DR	
	Commission				16.20DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5989.50	5980.50		225.00DR
17JUL17	1 17OCT17	6009.00	5984.50		612.50DR
17JUL17	1 17OCT17	6009.50	6008.00		37.50DR
21JUL17	1 17OCT17	6012.50	6005.56		173.50DR
21JUL17	1 17OCT17	5999.50	6005.56		151.50CR
27JUL17	1 17OCT17	5992.50	6329.68		8,429.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				71,117.76DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				7,532.50CR
	COMMISSIONS				16.20DR
	CARRIED FORWARD BALANCE				63,601.46DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP038AA 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5990.00	5980.00		250.00DR
17JUL17	1 17OCT17	5989.50	5980.50		225.00DR
17JUL17	1 17OCT17	5987.50	5950.00		937.50DR
17JUL17	1 17OCT17	5997.00	5984.00		325.00DR
17JUL17	1 17OCT17	6007.50	5984.50		575.00DR
17JUL17	1 17OCT17	6009.00	5988.50		512.50DR
17JUL17	1 17OCT17	6008.00	6007.50		12.50DR
17JUL17	1 17OCT17	6017.50	6008.00		237.50DR
21JUL17	1 17OCT17	6011.50	6005.56		148.50DR
21JUL17	1 17OCT17	5999.50	6005.56		151.50CR
27JUL17	1 17OCT17	5993.50	6329.68		8,404.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				34,253.67DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				5,332.50CR
	CARRIED FORWARD BALANCE				28,921.17DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
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SAPAB038

17th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		105,456.43DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		12,865.00CR
	COMMISSIONS		16.20DR
	CARRIED FORWARD BALANCE		92,607.63DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083LB

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19SEP17	1 17OCT17	6508.45	5982.00		13,161.25DR
19SEP17	1 17OCT17	6508.45	5988.50		12,998.75DR
19SEP17	1 17OCT17	6508.45	5989.00		12,986.25DR
19SEP17	1 17OCT17	6508.45	5989.00		12,986.25DR
19SEP17	1 17OCT17	6508.45	5986.50		13,048.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,313.32CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				65,181.25DR
	CARRIED FORWARD BALANCE				26,132.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
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SAPAB083

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		90,888.32CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		65,181.25DR
	CARRIED FORWARD BALANCE		25,707.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086A

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6009.00	6004.00		125.00DR
03AUG17	1 17OCT17	6010.00	6349.00		8,475.00CR
03AUG17	1 17OCT17	6013.50	6349.00		8,387.50CR
03AUG17	1 17OCT17	6009.00	6349.00		8,500.00CR
04AUG17	1 17OCT17	6009.00	6369.25		9,006.25CR
04AUG17	2 17OCT17	6008.00	6369.25		18,062.50CR
04AUG17	2 17OCT17	6008.00	6369.25		18,062.50CR
04AUG17	1 17OCT17	6006.50	6369.25		9,068.75CR
04AUG17	2 17OCT17	6008.00	6369.25		18,062.50CR
04AUG17	1 17OCT17	6008.00	6369.25		9,031.25CR
04AUG17	1 17OCT17	6005.50	6369.25		9,093.75CR
04AUG17	3 17OCT17	6003.50	6369.25		27,431.25CR
04AUG17	1 17OCT17	5999.00	6369.25		9,256.25CR
04AUG17	1 17OCT17	6000.00	6369.25		9,231.25CR
04AUG17	1 17OCT17	6001.00	6369.25		9,206.25CR
04AUG17	1 17OCT17	6002.50	6369.25		9,168.75CR
04AUG17	1 17OCT17	6000.00	6369.25		9,231.25CR
04AUG17	1 17OCT17	6009.00	6369.25		9,006.25CR
04AUG17	1 17OCT17	6002.50	6369.25		9,168.75CR
04AUG17	1 17OCT17	6000.00	6369.25		9,231.25CR
04AUG17	1 17OCT17	6008.00	6369.25		9,031.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,139.70DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				225,587.50CR
	CARRIED FORWARD BALANCE				179,447.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPAB086

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		59,300.09DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		225,587.50CR
	CARRIED FORWARD BALANCE		166,287.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089A

17th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29SEP17	1 17OCT17	6010.50	6447.25		10,918.75CR
29SEP17	1 17OCT17	6011.50	6447.25		10,893.75CR
29SEP17	1 17OCT17	6010.50	6447.25		10,918.75CR
29SEP17	2 17OCT17	6009.50	6447.25		21,887.50CR
29SEP17	4 17OCT17	6010.50	6447.25		43,675.00CR
29SEP17	2 17OCT17	6009.50	6447.25		21,887.50CR
29SEP17	1 17OCT17	6008.50	6447.25		10,968.75CR
29SEP17	1 17OCT17	6008.50	6447.25		10,968.75CR
29SEP17	1 17OCT17	6010.50	6447.25		10,918.75CR
29SEP17	2 17OCT17	6009.50	6447.25		21,887.50CR
29SEP17	1 17OCT17	6010.50	6447.25		10,918.75CR
29SEP17	2 17OCT17	6009.50	6447.25		21,887.50CR
29SEP17	1 17OCT17	6008.50	6447.25		10,968.75CR
29SEP17	2 17OCT17	6017.00	6447.25		21,512.50CR
29SEP17	3 17OCT17	6018.50	6447.25		32,156.25CR
29SEP17	12 17OCT17	6004.00	6447.25		132,975.00CR
29SEP17	3 17OCT17	6004.00	6447.25		33,243.75CR
29SEP17	1 17OCT17	6003.00	6447.25		11,106.25CR
29SEP17	1 17OCT17	6003.00	6447.25		11,106.25CR
29SEP17	1 17OCT17	6004.50	6447.25		11,068.75CR
29SEP17	5 17OCT17	5996.50	6447.25		56,343.75CR
29SEP17	1 17OCT17	5997.50	6447.25		11,243.75CR
29SEP17	1 17OCT17	5997.50	6447.25		11,243.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,856,391.06CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				550,700.00CR
	CARRIED FORWARD BALANCE				2,407,091.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089E

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29SEP17	3 17OCT17	6007.50	6447.25		32,981.25CR
29SEP17	1 17OCT17	6008.50	6447.25		10,968.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,482.60DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				43,950.00CR
	CARRIED FORWARD BALANCE				51,532.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

17th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		7113.50	5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7084.50		5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7076.00	5.00DR	
17OCT17	S	2	17JAN18	TTR	EL		7067.00	10.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7038.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7027.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7035.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7036.00	5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7033.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7035.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7034.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7031.00		5.00DR	
17OCT17	B	2	17JAN18	TTR	EL	7027.50		10.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7028.00		5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	7031.00		5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	7032.50		5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	7032.00		5.00DR	
	CMX Copper 25,000 CMX								
17OCT17	B	2	DEC17	TTR	GX	323.000		4.50DR	
17OCT17	S	2	DEC17	TTR	GX		321.800	4.50DR	
17OCT17	B	2	DEC17	TTR	GX	321.300		4.50DR	
17OCT17	B	1	DEC17	TTR	GX	320.900		2.25DR	
17OCT17	B	4	DEC17	TTR	GX	320.850		9.00DR	
17OCT17	B	2	DEC17	TTR	GX	319.600		4.50DR	
17OCT17	B	2	DEC17	TTR	GX	319.100		4.50DR	
17OCT17	B	2	DEC17	TTR	GX	319.400		4.50DR	
17OCT17	B	2	DEC17	TTR	GX	319.500		4.50DR	
17OCT17	B	2	DEC17	TTR	GX	319.200		4.50DR	
17OCT17	S	3	DEC17	TTR	GX		319.450	6.75DR	
17OCT17	S	1	DEC17	TTR	GX		319.750	2.25DR	
17OCT17	S	2	DEC17	TTR	GX		319.600	4.50DR	
17OCT17	S	2	DEC17	TTR	GX		319.500	4.50DR	
17OCT17	S	6	DEC17	TTR	GX		319.400	13.50DR	
17OCT17	S	2	DEC17	TTR	GX		319.350	4.50DR	
17OCT17	S	7	DEC17	TTR	GX		319.250	15.75DR	
	Commission								194.00DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
17OCT17		2	DEC17			323.000	321.800		600.00DR
17OCT17		1	DEC17			321.300	319.450		462.50DR
17OCT17		1	DEC17			321.300	319.400		475.00DR
17OCT17		1	DEC17			320.850	319.400		362.50DR
17OCT17		1	DEC17			320.850	319.450		350.00DR
17OCT17		1	DEC17			320.900	319.450		362.50DR
17OCT17		1	DEC17			320.850	319.750		275.00DR
17OCT17		1	DEC17			320.850	319.600		312.50DR
17OCT17		1	DEC17			319.600	319.600		0.00
17OCT17		1	DEC17			319.600	319.500		25.00DR
17OCT17		1	DEC17			319.100	319.500		100.00CR
17OCT17		1	DEC17			319.100	319.400		75.00CR
17OCT17		2	DEC17			319.400	319.400		0.00
17OCT17		1	DEC17			319.500	319.400		25.00DR
17OCT17		1	DEC17			319.500	319.350		37.50DR
17OCT17		1	DEC17			319.200	319.350		37.50CR
17OCT17		1	DEC17			319.200	319.250		12.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				64,395.91DR
	SETTLEMENTS				
	CMX Copper Futures				3,062.50DR
	COMMISSIONS				194.00DR
	CARRIED FORWARD BALANCE				67,652.41DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB089

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,437,071.28CR
	SETTLEMENTS		
	CMX Copper Futures		3,062.50DR
	LME Copper Grade A 25 tonnes. This Futures		594,650.00CR
	COMMISSIONS		194.00DR
	CARRIED FORWARD BALANCE		2,028,464.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0090B

17th October 2017

COB GLOBAL

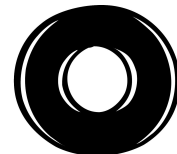
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
30AUG17	3 17OCT17	6008.50	6755.32		56,011.50CR
30AUG17	2 17OCT17	6009.50	6755.32		37,291.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,022.38CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				93,302.50CR
	CARRIED FORWARD BALANCE				105,324.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090 ARBS
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SAPAB090

17th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		12,022.38CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		93,302.50CR
	CARRIED FORWARD BALANCE		105,324.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096H

17th October 2017

COB GLOBAL

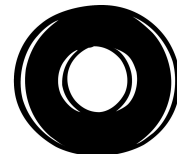
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
050CT17	2 170CT17	6014.50	6666.56		32,603.00CR
050CT17	1 170CT17	6015.00	6666.56		16,289.00CR
050CT17	1 170CT17	6015.00	6666.56		16,289.00CR
050CT17	1 170CT17	6012.50	6666.56		16,351.50CR
050CT17	1 170CT17	6009.00	6666.56		16,439.00CR
050CT17	1 170CT17	6009.00	6666.56		16,439.00CR
050CT17	1 170CT17	6011.00	6666.56		16,389.00CR
050CT17	1 170CT17	6011.00	6666.56		16,389.00CR
050CT17	1 170CT17	6011.00	6666.56		16,389.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				282,474.50DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				163,577.50CR
	CARRIED FORWARD BALANCE				118,897.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
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17th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		282,474.50DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		163,577.50CR
	CARRIED FORWARD BALANCE		118,897.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUL17	1 17OCT17	6001.00	6329.48		8,212.00CR
26JUL17	1 17OCT17	6009.00	6329.48		8,012.00CR
26JUL17	1 17OCT17	6007.50	6329.48		8,049.50CR
26JUL17	1 17OCT17	6003.50	6329.48		8,149.50CR
26JUL17	1 17OCT17	6003.00	6329.48		8,162.00CR
26JUL17	1 17OCT17	6005.00	6329.48		8,112.00CR
26JUL17	1 17OCT17	6006.00	6329.48		8,087.00CR
26JUL17	1 17OCT17	6007.00	6329.48		8,062.00CR
26JUL17	1 17OCT17	6007.00	6329.48		8,062.00CR
26JUL17	1 17OCT17	6008.00	6329.48		8,037.00CR
26JUL17	1 17OCT17	6003.00	6329.48		8,162.00CR
26JUL17	1 17OCT17	5999.50	6329.48		8,249.50CR
26JUL17	1 17OCT17	6000.00	6329.48		8,237.00CR
26JUL17	1 17OCT17	6000.00	6329.48		8,237.00CR
26JUL17	1 17OCT17	6000.00	6329.48		8,237.00CR
26JUL17	1 17OCT17	6000.00	6329.48		8,237.00CR
26JUL17	1 17OCT17	6000.50	6329.48		8,224.50CR
26JUL17	1 17OCT17	6001.00	6329.48		8,212.00CR
26JUL17	1 17OCT17	6001.00	6329.48		8,212.00CR
26JUL17	1 17OCT17	6002.00	6329.48		8,187.00CR
26JUL17	1 17OCT17	6002.00	6329.48		8,187.00CR
26JUL17	1 17OCT17	6001.00	6329.48		8,212.00CR
26JUL17	1 17OCT17	6001.50	6329.48		8,199.50CR
26JUL17	1 17OCT17	6001.50	6329.48		8,199.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				757,581.40DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				204,175.00CR
	CARRIED FORWARD BALANCE				553,406.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097D

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
17OCT17	B 5 DEC17 GX	1285.9		11.75DR	
17OCT17	B 5 DEC17 GX	1286.8		11.75DR	
	CMX Silver 5,000 CMX				
17OCT17	B 10 DEC17 GX	1702.0		23.50DR	
	Commission				47.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,480.50CR
	COMMISSIONS				47.00DR
	CARRIED FORWARD BALANCE				91,433.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097E

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
30AUG17	1 17OCT17	6755.72	5926.50		20,730.50DR
30AUG17	1 17OCT17	6755.72	5926.50		20,730.50DR
30AUG17	2 17OCT17	6755.72	5927.50		41,411.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,494.25CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				82,872.00DR
	CARRIED FORWARD BALANCE				5,622.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
30AUG17	2 17OCT17	6755.72	5977.50		38,911.00DR
30AUG17	3 17OCT17	6755.72	5979.50		58,216.50DR
30AUG17	1 17OCT17	6755.72	5980.00		19,393.00DR
30AUG17	1 17OCT17	6755.72	5980.00		19,393.00DR
30AUG17	1 17OCT17	6755.72	5974.00		19,543.00DR
30AUG17	1 17OCT17	6755.72	5969.00		19,668.00DR
30AUG17	1 17OCT17	6755.72	5970.00		19,643.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				302,051.25CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				194,767.50DR
	CARRIED FORWARD BALANCE				107,283.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		7086.50	4.65DR	
17OCT17	S	4	17JAN18	TTR	EL		7085.00	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7078.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7075.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7074.00	4.65DR	
17OCT17	S	5	17JAN18	TTR	EL		7082.00	23.25DR	
17OCT17	S	5	17JAN18	TTR	EL		7081.00	23.25DR	
17OCT17	S	4	17JAN18	TTR	EL		7080.00	18.60DR	
17OCT17	S	7	17JAN18	TTR	EL		7082.50	32.55DR	
17OCT17	S	6	17JAN18	TTR	EL		7083.00	27.90DR	
17OCT17	S	2	17JAN18	TTR	EL		7085.50	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7081.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7083.50	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7084.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7079.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7080.50	4.65DR	
17OCT17	S	4	17JAN18	TTR	EL		7079.00	18.60DR	
17OCT17	B	1	17JAN18	TTR	EL	7074.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7073.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7060.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7058.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7063.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7069.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7067.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7065.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7066.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7064.00		4.65DR	
17OCT17	B	7	17JAN18	TTR	EL	7063.00		32.55DR	
17OCT17	B	3	17JAN18	TTR	EL	7062.50		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	7061.50		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7079.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7080.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7081.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7083.00		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7088.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7090.00	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7078.50		4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	7080.00		18.60DR	
17OCT17	B	1	17JAN18	TTR	EL	7084.00		4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	7085.00		18.60DR	
17OCT17	B	1	17JAN18	TTR	EL	7068.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7062.00		4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7057.00	9.30DR	
17OCT17	S	5	17JAN18	TTR	EL		7065.50	23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7063.50	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7062.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7060.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7051.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7051.00	4.65DR	
17OCT17	S	6	17JAN18	TTR	EL		7053.50	27.90DR	
17OCT17	S	2	17JAN18	TTR	EL		7055.50	9.30DR	
17OCT17	S	3	17JAN18	TTR	EL		7054.50	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7073.00	13.95DR	
17OCT17	S	4	17JAN18	TTR	EL		7075.00	18.60DR	
17OCT17	S	1	17JAN18	TTR	EL		7076.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7074.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7031.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7030.50	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7028.00	13.95DR	
17OCT17	S	6	17JAN18	TTR	EL		7032.50	27.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7037.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7034.00	4.65DR	

Group No: SAPAB097

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

17th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	17JAN18	DMA	EL	7067.00		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7083.00		4.65DR	
17OCT17	B	5	17JAN18	DMA	EL	7086.50		23.25DR	
17OCT17	B	5	17JAN18	DMA	EL	7075.50		23.25DR	
17OCT17	B	2	17JAN18	TTR	EL	7035.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7035.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7022.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7019.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7024.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7025.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7026.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7028.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7033.50		13.95DR	
17OCT17	B	7	17JAN18	TTR	EL	7036.00		32.55DR	
17OCT17	B	1	17JAN18	TTR	EL	7036.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7037.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7037.50		13.95DR	
17OCT17	B	9	17JAN18	TTR	EL	7027.00		41.85DR	
17OCT17	B	2	17JAN18	TTR	EL	7026.50		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7029.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7030.00		9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	7038.00		13.95DR	
17OCT17	B	1	17JAN18	DMA	EL	7033.50		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7026.00		4.65DR	
17OCT17	B	2	17JAN18	DMA	EL	7025.00		9.30DR	
17OCT17	B	1	17JAN18	DMA	EL	7021.50		4.65DR	
17OCT17	B	5	17JAN18	DMA	EL	7020.00		23.25DR	
17OCT17	B	1	17JAN18	DMA	EL	7032.50		4.65DR	
CMX Copper 25,000 CMX									
17OCT17	B	2	DEC17	TTR	GX	321.350		4.70DR	
17OCT17	B	3	DEC17	TTR	GX	321.850		7.05DR	
17OCT17	B	22	DEC17	TTR	GX	321.650		51.70DR	
17OCT17	B	6	DEC17	TTR	GX	321.800		14.10DR	
17OCT17	B	27	DEC17	TTR	GX	321.600		63.45DR	
17OCT17	B	6	DEC17	TTR	GX	321.700		14.10DR	
17OCT17	B	10	DEC17	TTR	GX	321.750		23.50DR	
17OCT17	B	8	DEC17	TTR	GX	321.550		18.80DR	
17OCT17	B	12	DEC17	TTR	GX	321.500		28.20DR	
17OCT17	B	9	DEC17	TTR	GX	321.450		21.15DR	
17OCT17	S	6	DEC17	TTR	GX		321.250	14.10DR	
17OCT17	S	2	DEC17	TTR	GX		321.300	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		320.650	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		320.600	4.70DR	
17OCT17	S	1	DEC17	TTR	GX		321.050	2.35DR	
17OCT17	S	2	DEC17	TTR	GX		321.000	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		320.900	4.70DR	
17OCT17	S	5	DEC17	TTR	GX		320.950	11.75DR	
17OCT17	S	20	DEC17	TTR	GX		320.800	47.00DR	
17OCT17	S	4	DEC17	TTR	GX		320.700	9.40DR	
17OCT17	S	4	DEC17	TTR	GX		321.650	9.40DR	
17OCT17	S	2	DEC17	TTR	GX		321.700	4.70DR	
17OCT17	S	4	DEC17	TTR	GX		321.750	9.40DR	
17OCT17	B	6	DEC17	TTR	GX	322.000		14.10DR	
17OCT17	S	7	DEC17	TTR	GX		321.900	16.45DR	
17OCT17	S	11	DEC17	TTR	GX		321.400	25.85DR	
17OCT17	S	2	DEC17	TTR	GX		321.500	4.70DR	
17OCT17	S	11	DEC17	TTR	GX		321.600	25.85DR	
17OCT17	S	8	DEC17	TTR	GX		321.850	18.80DR	
17OCT17	S	7	DEC17	TTR	GX		321.800	16.45DR	
17OCT17	S	6	DEC17	TTR	GX		321.100	14.10DR	
17OCT17	S	9	DEC17	TTR	GX		320.750	21.15DR	
17OCT17	B	11	DEC17	TTR	GX	320.850		25.85DR	
17OCT17	B	2	DEC17	TTR	GX	320.700		4.70DR	
17OCT17	B	4	DEC17	TTR	GX	320.750		9.40DR	
17OCT17	B	4	DEC17	TTR	GX	320.650		9.40DR	
17OCT17	B	5	DEC17	TTR	GX	320.500		11.75DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	10	DEC17	TTR	GX	320.300		23.50DR	
17OCT17	B	1	DEC17	TTR	GX	320.200		2.35DR	
17OCT17	B	4	DEC17	TTR	GX	320.250		9.40DR	
17OCT17	B	4	DEC17	TTR	GX	320.350		9.40DR	
17OCT17	B	9	DEC17	TTR	GX	320.400		21.15DR	
17OCT17	B	6	DEC17	TTR	GX	321.200		14.10DR	
17OCT17	B	5	DEC17	TTR	GX	321.250		11.75DR	
17OCT17	B	10	DEC17	TTR	GX	321.300		23.50DR	
17OCT17	B	1	DEC17	TTR	GX	321.150		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	319.300		4.70DR	
17OCT17	B	2	DEC17	TTR	GX	319.250		4.70DR	
17OCT17	B	7	DEC17	TTR	GX	319.150		16.45DR	
17OCT17	B	11	DEC17	TTR	GX	319.350		25.85DR	
17OCT17	B	2	DEC17	TTR	GX	319.400		4.70DR	
17OCT17	B	2	DEC17	TTR	GX	319.600		4.70DR	
17OCT17	S	11	DEC17	TTR	GX		319.550	25.85DR	
17OCT17	B	1	DEC17	TTR	GX	319.500		2.35DR	
17OCT17	B	3	DEC17	TTR	GX	319.450		7.05DR	
17OCT17	S	6	DEC17	TTR	GX		319.500	14.10DR	
17OCT17	S	1	DEC17	TTR	GX		319.000	2.35DR	
17OCT17	S	2	DEC17	TTR	GX		318.950	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		318.900	4.70DR	
17OCT17	S	13	DEC17	TTR	GX		318.850	30.55DR	
17OCT17	S	9	DEC17	TTR	GX		319.050	21.15DR	
17OCT17	S	8	DEC17	TTR	GX		319.100	18.80DR	
17OCT17	S	2	DEC17	TTR	GX		319.400	4.70DR	
17OCT17	S	9	DEC17	TTR	GX		319.450	21.15DR	
17OCT17	S	8	DEC17	TTR	GX		319.600	18.80DR	
17OCT17	S	12	DEC17	TTR	GX		319.650	28.20DR	
17OCT17	S	16	DEC17	TTR	GX		319.200	37.60DR	
17OCT17	S	10	DEC17	TTR	GX		319.150	23.50DR	
17OCT17	S	2	DEC17	TTR	GX		319.350	4.70DR	
17OCT17	S	6	DEC17	TTR	GX		319.300	14.10DR	
17OCT17	S	5	DEC17	TTR	GX		319.700	11.75DR	
	Commission								2,038.80DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
17OCT17		2	DEC17			321.650	321.250		200.00DR
17OCT17		2	DEC17			321.600	321.250		175.00DR
17OCT17		1	DEC17			321.600	321.300		75.00DR
17OCT17		2	DEC17			321.600	321.250		175.00DR
17OCT17		1	DEC17			321.600	321.300		75.00DR
17OCT17		2	DEC17			321.650	320.650		500.00DR
17OCT17		1	DEC17			321.650	320.600		262.50DR
17OCT17		1	DEC17			321.800	320.600		300.00DR
17OCT17		1	DEC17			321.800	320.800		250.00DR
17OCT17		1	DEC17			321.850	320.800		262.50DR
17OCT17		1	DEC17			321.850	321.100		187.50DR
17OCT17		1	DEC17			321.750	321.100		162.50DR
17OCT17		1	DEC17			321.750	321.050		175.00DR
17OCT17		2	DEC17			321.750	321.100		325.00DR
17OCT17		3	DEC17			321.750	320.800		712.50DR
17OCT17		2	DEC17			321.800	320.800		500.00DR
17OCT17		4	DEC17			321.600	320.800		800.00DR
17OCT17		1	DEC17			321.500	320.750		187.50DR
17OCT17		1	DEC17			321.550	320.750		200.00DR
17OCT17		1	DEC17			321.500	320.800		175.00DR
17OCT17		1	DEC17			321.500	320.950		137.50DR
17OCT17		1	DEC17			321.450	320.950		125.00DR
17OCT17		1	DEC17			321.600	321.000		150.00DR
17OCT17		1	DEC17			321.550	321.000		137.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

17th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	321.550	320.900		162.50DR
17OCT17	1 DEC17	321.600	320.900		175.00DR
17OCT17	3 DEC17	321.450	320.950		375.00DR
17OCT17	1 DEC17	321.450	320.700		187.50DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	2 DEC17	321.500	320.750		375.00DR
17OCT17	2 DEC17	321.350	320.800		275.00DR
17OCT17	4 DEC17	321.300	320.800		500.00DR
17OCT17	2 DEC17	321.300	320.800		250.00DR
17OCT17	2 DEC17	321.250	320.750		250.00DR
17OCT17	1 DEC17	321.250	320.700		137.50DR
17OCT17	1 DEC17	321.200	320.750		112.50DR
17OCT17	1 DEC17	321.200	320.700		125.00DR
17OCT17	2 DEC17	321.650	321.600		25.00DR
17OCT17	2 DEC17	321.650	321.650		0.00
17OCT17	1 DEC17	321.550	321.650		25.00CR
17OCT17	1 DEC17	321.550	321.650		25.00CR
17OCT17	2 DEC17	321.600	321.700		50.00CR
17OCT17	1 DEC17	321.600	321.750		37.50CR
17OCT17	2 DEC17	321.450	321.750		150.00CR
17OCT17	1 DEC17	321.500	321.750		62.50CR
17OCT17	1 DEC17	321.500	321.850		87.50CR
17OCT17	1 DEC17	321.650	321.850		50.00CR
17OCT17	1 DEC17	321.650	321.900		62.50CR
17OCT17	2 DEC17	321.550	321.900		175.00CR
17OCT17	1 DEC17	321.500	321.900		100.00CR
17OCT17	1 DEC17	321.600	321.850		62.50CR
17OCT17	1 DEC17	321.850	321.850		0.00
17OCT17	1 DEC17	321.600	321.900		75.00CR
17OCT17	2 DEC17	321.600	321.900		150.00CR
17OCT17	3 DEC17	321.600	321.400		150.00DR
17OCT17	2 DEC17	321.600	321.400		100.00DR
17OCT17	2 DEC17	321.650	321.400		125.00DR
17OCT17	1 DEC17	321.600	321.400		50.00DR
17OCT17	1 DEC17	321.650	321.400		62.50DR
17OCT17	1 DEC17	321.650	321.400		62.50DR
17OCT17	1 DEC17	321.700	321.400		75.00DR
17OCT17	1 DEC17	321.700	321.500		50.00DR
17OCT17	1 DEC17	321.650	321.500		37.50DR
17OCT17	6 DEC17	321.650	321.600		75.00DR
17OCT17	2 DEC17	321.800	321.600		100.00DR
17OCT17	1 DEC17	321.600	321.600		0.00
17OCT17	1 DEC17	321.600	321.850		62.50CR
17OCT17	3 DEC17	321.700	321.850		112.50CR
17OCT17	1 DEC17	321.700	321.800		25.00CR
17OCT17	3 DEC17	321.750	321.800		37.50CR
17OCT17	2 DEC17	321.500	321.800		150.00CR
17OCT17	1 DEC17	321.550	321.800		62.50CR
17OCT17	1 DEC17	321.500	321.100		100.00DR
17OCT17	1 DEC17	321.450	321.100		87.50DR
17OCT17	1 DEC17	321.450	320.750		175.00DR
17OCT17	1 DEC17	321.200	320.750		112.50DR
17OCT17	1 DEC17	321.200	319.450		437.50DR
17OCT17	3 DEC17	322.000	319.450		1,912.50DR
17OCT17	1 DEC17	322.000	319.450		637.50DR
17OCT17	1 DEC17	322.000	319.500		625.00DR
17OCT17	1 DEC17	322.000	319.450		637.50DR
17OCT17	4 DEC17	320.500	319.500		1,000.00DR
17OCT17	11 DEC17	320.850	319.550		3,575.00DR
17OCT17	1 DEC17	320.750	319.500		312.50DR
17OCT17	1 DEC17	320.700	319.100		400.00DR
17OCT17	1 DEC17	320.750	319.100		412.50DR
17OCT17	1 DEC17	320.700	319.050		412.50DR
17OCT17	1 DEC17	320.750	319.000		437.50DR
17OCT17	1 DEC17	320.750	319.100		412.50DR
17OCT17	3 DEC17	320.650	319.100		1,162.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

17th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	320.650	319.050		400.00DR
17OCT17	1 DEC17	320.500	319.050		362.50DR
17OCT17	1 DEC17	320.300	319.050		312.50DR
17OCT17	2 DEC17	320.300	318.950		675.00DR
17OCT17	2 DEC17	320.300	318.900		700.00DR
17OCT17	5 DEC17	320.300	318.850		1,812.50DR
17OCT17	1 DEC17	320.250	318.850		350.00DR
17OCT17	1 DEC17	320.200	318.850		337.50DR
17OCT17	3 DEC17	320.250	318.850		1,050.00DR
17OCT17	3 DEC17	320.350	318.850		1,125.00DR
17OCT17	1 DEC17	320.400	319.050		337.50DR
17OCT17	1 DEC17	320.350	319.050		325.00DR
17OCT17	3 DEC17	320.400	319.050		1,012.50DR
17OCT17	2 DEC17	320.400	319.200		600.00DR
17OCT17	2 DEC17	320.400	319.100		650.00DR
17OCT17	1 DEC17	320.400	319.150		312.50DR
17OCT17	1 DEC17	321.200	319.150		512.50DR
17OCT17	1 DEC17	321.200	319.400		450.00DR
17OCT17	1 DEC17	321.300	319.400		475.00DR
17OCT17	1 DEC17	321.300	319.450		462.50DR
17OCT17	2 DEC17	321.250	319.450		900.00DR
17OCT17	2 DEC17	321.300	319.600		850.00DR
17OCT17	1 DEC17	321.150	319.600		387.50DR
17OCT17	2 DEC17	319.300	319.600		150.00CR
17OCT17	2 DEC17	319.250	319.600		175.00CR
17OCT17	1 DEC17	319.150	319.600		112.50CR
17OCT17	2 DEC17	319.150	319.700		275.00CR
17OCT17	4 DEC17	319.150	319.650		500.00CR
17OCT17	8 DEC17	319.350	319.650		600.00CR
17OCT17	3 DEC17	319.350	319.200		112.50DR
17OCT17	2 DEC17	319.400	319.200		100.00DR
17OCT17	2 DEC17	319.600	319.200		200.00DR
17OCT17	1 DEC17	319.450	319.200		62.50DR
17OCT17	1 DEC17	319.500	319.200		75.00DR
17OCT17	2 DEC17	319.450	319.200		125.00DR
TOTALS					
BROUGHT FORWARD BALANCE					682,350.60CR
SETTLEMENTS					
CMX Copper Futures					34,837.50DR
COMMISSIONS					2,038.80DR
CARRIED FORWARD BALANCE					645,474.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		7106.50	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7101.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7100.00	4.65DR	
17OCT17	S	4	17JAN18	TTR	EL		7099.00	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7098.00	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7096.50	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7087.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7085.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7083.00	4.65DR	
17OCT17	S	5	17JAN18	TTR	EL		7082.00	23.25DR	
17OCT17	S	4	17JAN18	TTR	EL		7079.50	18.60DR	
17OCT17	S	7	17JAN18	TTR	EL		7081.00	32.55DR	
17OCT17	S	1	17JAN18	TTR	EL		7078.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7080.50	13.95DR	
17OCT17	S	6	17JAN18	TTR	EL		7076.00	27.90DR	
17OCT17	S	3	17JAN18	TTR	EL		7077.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7077.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7083.50	4.65DR	
17OCT17	S	5	17JAN18	TTR	EL		7082.50	23.25DR	
17OCT17	S	5	17JAN18	TTR	EL		7080.00	23.25DR	
17OCT17	S	3	17JAN18	TTR	EL		7076.50	13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7077.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7080.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7080.50		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7087.50	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7087.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7074.50		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7073.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7071.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7069.50		9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	7072.50		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7068.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7070.00		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7069.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7067.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7068.50	4.65DR	
17OCT17	S	6	17JAN18	TTR	EL		7070.00	27.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7071.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7072.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7066.50		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7065.50		13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	7066.00		13.95DR	
17OCT17	B	6	17JAN18	TTR	EL	7062.50		27.90DR	
17OCT17	B	3	17JAN18	TTR	EL	7062.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7061.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7063.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7067.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7081.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7082.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7077.50		9.30DR	
17OCT17	B	4	17JAN18	TTR	EL	7078.00		18.60DR	
17OCT17	B	6	17JAN18	TTR	EL	7083.00		27.90DR	
17OCT17	B	1	17JAN18	TTR	EL	7082.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7086.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7092.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7093.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7092.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7093.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7085.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7086.00		4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7090.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7091.50	4.65DR	

Group No: SAPAB097

Page 1 of 8

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	17JAN18	TTR	EL		7088.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7084.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7088.00		13.95DR	
17OCT17	S	2	17JAN18	TTR	EL		7091.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7092.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7094.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7093.50	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7076.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7076.00		4.65DR	
17OCT17	B	6	17JAN18	TTR	EL	7079.50		27.90DR	
17OCT17	B	2	17JAN18	TTR	EL	7072.00		9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	7061.50		13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7056.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7054.50	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7055.00	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7055.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7057.50	4.65DR	
17OCT17	S	7	17JAN18	TTR	EL		7058.50	32.55DR	
17OCT17	S	3	17JAN18	TTR	EL		7059.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7061.50	4.65DR	
17OCT17	S	6	17JAN18	TTR	EL		7062.00	27.90DR	
17OCT17	S	3	17JAN18	TTR	EL		7065.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7059.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7061.00	13.95DR	
17OCT17	S	7	17JAN18	TTR	EL		7060.00	32.55DR	
17OCT17	S	6	17JAN18	TTR	EL		7058.00	27.90DR	
17OCT17	S	4	17JAN18	TTR	EL		7057.00	18.60DR	
17OCT17	S	4	17JAN18	TTR	EL		7056.50	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7053.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7051.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7052.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7075.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7073.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7074.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7068.00	9.30DR	
17OCT17	B	5	17JAN18	TTR	EL	7068.00		23.25DR	
17OCT17	B	1	17JAN18	TTR	EL	7029.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7032.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7035.00		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7065.50		4.65DR	
17OCT17	B	2	17JAN18	DMA	EL	7086.00		9.30DR	
17OCT17	B	9	17JAN18	DMA	EL	7075.50		41.85DR	
17OCT17	B	4	17JAN18	TTR	EL	7032.50		18.60DR	
17OCT17	B	1	17JAN18	TTR	EL	7026.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7024.50		4.65DR	
17OCT17	B	5	17JAN18	TTR	EL	7033.00		23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7043.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7043.50	9.30DR	
17OCT17	S	5	17JAN18	TTR	EL		7044.50	23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7046.50	4.65DR	
17OCT17	S	4	17JAN18	TTR	EL		7045.50	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7042.00	13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	7036.00		9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	7034.50		13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	7031.00		13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	7028.50		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	7028.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7029.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7027.50		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7027.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	7025.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7024.00		13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	7022.00		13.95DR	
17OCT17	B	9	17JAN18	TTR	EL	7034.00		41.85DR	
17OCT17	B	2	17JAN18	TTR	EL	7031.50		9.30DR	
17OCT17	B	5	17JAN18	TTR	EL	7030.00		23.25DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	17JAN18	TTR	EL	7033.50		4.65DR	
17OCT17	B	6	17JAN18	TTR	EL	7035.50		27.90DR	
17OCT17	B	1	17JAN18	TTR	EL	7037.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	7038.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	7038.50		9.30DR	
17OCT17	B	6	17JAN18	TTR	EL	7040.00		27.90DR	
17OCT17	S	5	17JAN18	TTR	EL		7042.50	23.25DR	
17OCT17	S	12	17JAN18	TTR	EL		7033.00	55.80DR	
17OCT17	S	6	17JAN18	TTR	EL		7034.00	27.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7034.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7037.50	4.65DR	
17OCT17	B	2	17JAN18	DMA	EL	7031.00		9.30DR	
17OCT17	S	5	17JAN18	DMA	EL		7038.00	23.25DR	
17OCT17	B	4	17JAN18	DMA	EL	7035.00		18.60DR	
17OCT17	B	3	17JAN18	DMA	EL	7034.50		13.95DR	
17OCT17	B	4	17JAN18	DMA	EL	7033.50		18.60DR	
17OCT17	B	4	17JAN18	DMA	EL	7033.00		18.60DR	
17OCT17	B	1	17JAN18	DMA	EL	7032.50		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7030.00		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7028.00		4.65DR	
17OCT17	B	2	17JAN18	DMA	EL	7032.00		9.30DR	
	CMX Copper 25,000 CMX								
17OCT17	B	2	DEC17	TTR	GX	322.750		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	322.500		2.35DR	
17OCT17	B	5	DEC17	TTR	GX	322.450		11.75DR	
17OCT17	B	5	DEC17	TTR	GX	322.350		11.75DR	
17OCT17	B	9	DEC17	TTR	GX	322.400		21.15DR	
17OCT17	B	5	DEC17	TTR	GX	322.300		11.75DR	
17OCT17	B	6	DEC17	TTR	GX	322.250		14.10DR	
17OCT17	B	5	DEC17	TTR	GX	321.850		11.75DR	
17OCT17	B	10	DEC17	TTR	GX	321.500		23.50DR	
17OCT17	B	9	DEC17	TTR	GX	321.450		21.15DR	
17OCT17	B	4	DEC17	TTR	GX	321.700		9.40DR	
17OCT17	B	2	DEC17	TTR	GX	321.750		4.70DR	
17OCT17	B	18	DEC17	TTR	GX	321.600		42.30DR	
17OCT17	B	6	DEC17	TTR	GX	321.650		14.10DR	
17OCT17	B	22	DEC17	TTR	GX	321.550		51.70DR	
17OCT17	B	19	DEC17	TTR	GX	321.350		44.65DR	
17OCT17	B	9	DEC17	TTR	GX	321.400		21.15DR	
17OCT17	S	4	DEC17	TTR	GX		321.350	9.40DR	
17OCT17	S	2	DEC17	TTR	GX		321.300	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		321.200	4.70DR	
17OCT17	S	7	DEC17	TTR	GX		321.150	16.45DR	
17OCT17	S	9	DEC17	TTR	GX		321.250	21.15DR	
17OCT17	S	12	DEC17	TTR	GX		320.800	28.20DR	
17OCT17	S	10	DEC17	TTR	GX		321.050	23.50DR	
17OCT17	B	9	DEC17	TTR	GX	321.050		21.15DR	
17OCT17	B	1	DEC17	TTR	GX	320.950		2.35DR	
17OCT17	B	10	DEC17	TTR	GX	321.000		23.50DR	
17OCT17	B	4	DEC17	TTR	GX	321.100		9.40DR	
17OCT17	B	2	DEC17	TTR	GX	321.150		4.70DR	
17OCT17	S	4	DEC17	TTR	GX		320.900	9.40DR	
17OCT17	S	15	DEC17	TTR	GX		320.950	35.25DR	
17OCT17	S	4	DEC17	TTR	GX		320.750	9.40DR	
17OCT17	S	4	DEC17	TTR	GX		320.700	9.40DR	
17OCT17	S	6	DEC17	TTR	GX		321.000	14.10DR	
17OCT17	S	6	DEC17	TTR	GX		321.500	14.10DR	
17OCT17	S	16	DEC17	TTR	GX		321.550	37.60DR	
17OCT17	S	4	DEC17	TTR	GX		321.700	9.40DR	
17OCT17	B	6	DEC17	TTR	GX	321.800		14.10DR	
17OCT17	B	2	DEC17	TTR	GX	322.050		4.70DR	
17OCT17	S	2	DEC17	TTR	GX		322.100	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		321.800	4.70DR	
17OCT17	B	4	DEC17	TTR	GX	322.000		9.40DR	
17OCT17	B	2	DEC17	TTR	GX	321.900		4.70DR	
17OCT17	S	7	DEC17	TTR	GX		321.850	16.45DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	14	DEC17	TTR	GX		321.750	32.90DR	
17OCT17	S	5	DEC17	TTR	GX		321.650	11.75DR	
17OCT17	S	4	DEC17	TTR	GX		321.950	9.40DR	
17OCT17	B	4	DEC17	TTR	GX	322.100		9.40DR	
17OCT17	B	4	DEC17	TTR	GX	322.150		9.40DR	
17OCT17	B	8	DEC17	TTR	GX	321.950		18.80DR	
17OCT17	S	11	DEC17	TTR	GX		321.400	25.85DR	
17OCT17	S	13	DEC17	TTR	GX		321.450	30.55DR	
17OCT17	S	12	DEC17	TTR	GX		321.600	28.20DR	
17OCT17	S	4	DEC17	TTR	GX		320.650	9.40DR	
17OCT17	S	6	DEC17	TTR	GX		320.450	14.10DR	
17OCT17	S	1	DEC17	TTR	GX		320.550	2.35DR	
17OCT17	B	2	DEC17	TTR	GX	320.350		4.70DR	
17OCT17	B	16	DEC17	TTR	GX	320.450		37.60DR	
17OCT17	B	20	DEC17	TTR	GX	320.700		47.00DR	
17OCT17	B	15	DEC17	TTR	GX	320.550		35.25DR	
17OCT17	B	16	DEC17	TTR	GX	320.600		37.60DR	
17OCT17	B	13	DEC17	TTR	GX	320.650		30.55DR	
17OCT17	B	24	DEC17	TTR	GX	320.500		56.40DR	
17OCT17	B	15	DEC17	TTR	GX	320.400		35.25DR	
17OCT17	B	4	DEC17	TTR	GX	320.250		9.40DR	
17OCT17	B	9	DEC17	TTR	GX	320.300		21.15DR	
17OCT17	B	2	DEC17	TTR	GX	321.300		4.70DR	
17OCT17	B	4	DEC17	TTR	GX	321.200		9.40DR	
17OCT17	B	9	DEC17	TTR	GX	321.250		21.15DR	
17OCT17	B	3	DEC17	TTR	GX	319.900		7.05DR	
17OCT17	B	2	DEC17	TTR	GX	320.000		4.70DR	
17OCT17	B	10	DEC17	TTR	GX	319.950		23.50DR	
17OCT17	B	14	DEC17	TTR	GX	319.850		32.90DR	
17OCT17	S	13	DEC17	TTR	GX		319.600	30.55DR	
17OCT17	S	19	DEC17	TTR	GX		319.550	44.65DR	
17OCT17	S	9	DEC17	TTR	GX		319.300	21.15DR	
17OCT17	S	6	DEC17	TTR	GX		319.250	14.10DR	
17OCT17	S	10	DEC17	TTR	GX		319.150	23.50DR	
17OCT17	S	3	DEC17	TTR	GX		319.100	7.05DR	
17OCT17	S	6	DEC17	TTR	GX		319.050	14.10DR	
17OCT17	S	6	DEC17	TTR	GX		319.000	14.10DR	
17OCT17	S	4	DEC17	TTR	GX		318.950	9.40DR	
17OCT17	S	13	DEC17	TTR	GX		319.200	30.55DR	
17OCT17	S	37	DEC17	TTR	GX		319.500	86.95DR	
17OCT17	S	14	DEC17	TTR	GX		319.350	32.90DR	
17OCT17	S	13	DEC17	TTR	GX		319.400	30.55DR	
17OCT17	S	39	DEC17	TTR	GX		319.450	91.65DR	
17OCT17	S	2	DEC17	TTR	GX		319.650	4.70DR	
17OCT17	S	8	DEC17	TTR	GX		319.700	18.80DR	
17OCT17	S	8	DEC17	TTR	GX		319.800	18.80DR	
17OCT17	S	5	DEC17	TTR	GX		319.750	11.75DR	
17OCT17	B	19	DEC17	TTR	GX	319.800		44.65DR	
17OCT17	B	35	DEC17	TTR	GX	319.400		82.25DR	
17OCT17	B	7	DEC17	TTR	GX	319.450		16.45DR	
17OCT17	B	2	DEC17	TTR	GX	319.600		4.70DR	
17OCT17	B	4	DEC17	TTR	GX	319.550		9.40DR	
17OCT17	B	7	DEC17	TTR	GX	319.650		16.45DR	
Commission									3,829.50DR
SETTLEMENTS									
CMX Copper 25,000 CMX									
17OCT17		2	DEC17			322.750	320.950		900.00DR
17OCT17		1	DEC17			322.500	321.350		287.50DR
17OCT17		1	DEC17			322.450	321.350		275.00DR
17OCT17		2	DEC17			322.450	321.400		525.00DR
17OCT17		2	DEC17			322.450	321.550		450.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	3 DEC17	322.400	321.550		637.50DR
17OCT17	1 DEC17	322.400	321.550		212.50DR
17OCT17	1 DEC17	322.400	321.600		200.00DR
17OCT17	1 DEC17	322.400	321.350		262.50DR
17OCT17	1 DEC17	322.400	321.350		262.50DR
17OCT17	1 DEC17	322.300	321.300		250.00DR
17OCT17	1 DEC17	322.350	321.300		262.50DR
17OCT17	1 DEC17	322.300	321.250		262.50DR
17OCT17	1 DEC17	322.350	321.250		275.00DR
17OCT17	2 DEC17	322.350	321.200		575.00DR
17OCT17	1 DEC17	322.350	321.150		300.00DR
17OCT17	2 DEC17	322.400	321.150		625.00DR
17OCT17	2 DEC17	322.300	321.150		575.00DR
17OCT17	2 DEC17	322.250	321.150		550.00DR
17OCT17	3 DEC17	322.250	321.250		750.00DR
17OCT17	1 DEC17	322.300	321.250		262.50DR
17OCT17	1 DEC17	322.250	321.250		250.00DR
17OCT17	2 DEC17	321.600	321.250		175.00DR
17OCT17	4 DEC17	321.500	320.800		700.00DR
17OCT17	2 DEC17	321.500	321.050		225.00DR
17OCT17	3 DEC17	321.400	321.050		262.50DR
17OCT17	2 DEC17	321.950	321.050		450.00DR
17OCT17	2 DEC17	321.950	320.800		575.00DR
17OCT17	1 DEC17	321.850	320.800		262.50DR
17OCT17	1 DEC17	321.850	320.800		262.50DR
17OCT17	3 DEC17	321.850	320.800		787.50DR
17OCT17	1 DEC17	321.800	320.800		250.00DR
17OCT17	3 DEC17	321.800	321.050		562.50DR
17OCT17	2 DEC17	321.700	320.950		375.00DR
17OCT17	4 DEC17	321.600	320.900		700.00DR
17OCT17	3 DEC17	321.600	320.950		487.50DR
17OCT17	2 DEC17	321.550	320.950		300.00DR
17OCT17	2 DEC17	321.550	321.000		275.00DR
17OCT17	2 DEC17	321.600	320.950		325.00DR
17OCT17	4 DEC17	321.550	320.950		600.00DR
17OCT17	2 DEC17	321.550	320.700		425.00DR
17OCT17	3 DEC17	321.550	320.750		600.00DR
17OCT17	1 DEC17	321.500	320.750		187.50DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	1 DEC17	321.500	321.000		125.00DR
17OCT17	1 DEC17	321.550	321.000		137.50DR
17OCT17	2 DEC17	321.450	321.000		225.00DR
17OCT17	1 DEC17	321.550	321.650		25.00CR
17OCT17	1 DEC17	321.450	321.650		50.00CR
17OCT17	1 DEC17	321.450	321.750		75.00CR
17OCT17	1 DEC17	321.450	321.750		75.00CR
17OCT17	2 DEC17	321.550	321.750		100.00CR
17OCT17	2 DEC17	321.600	321.750		75.00CR
17OCT17	2 DEC17	321.450	321.750		150.00CR
17OCT17	3 DEC17	321.550	321.750		150.00CR
17OCT17	1 DEC17	321.600	321.750		37.50CR
17OCT17	1 DEC17	321.600	321.700		25.00CR
17OCT17	1 DEC17	321.350	321.700		87.50CR
17OCT17	4 DEC17	321.350	321.550		200.00CR
17OCT17	6 DEC17	321.350	321.500		225.00CR
17OCT17	4 DEC17	321.350	321.550		200.00CR
17OCT17	2 DEC17	321.400	321.550		75.00CR
17OCT17	1 DEC17	321.400	321.700		75.00CR
17OCT17	1 DEC17	321.650	321.700		12.50CR
17OCT17	1 DEC17	321.650	321.650		0.00
17OCT17	1 DEC17	321.450	321.650		50.00CR
17OCT17	1 DEC17	321.450	322.100		162.50CR
17OCT17	1 DEC17	321.700	322.100		100.00CR
17OCT17	1 DEC17	321.700	321.800		25.00CR
17OCT17	1 DEC17	321.750	321.800		12.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	321.750	321.850		25.00CR
17OCT17	1 DEC17	321.650	321.850		50.00CR
17OCT17	1 DEC17	321.650	321.850		50.00CR
17OCT17	3 DEC17	321.600	321.850		187.50CR
17OCT17	1 DEC17	321.650	321.850		50.00CR
17OCT17	1 DEC17	321.650	321.750		25.00CR
17OCT17	1 DEC17	321.550	321.750		50.00CR
17OCT17	1 DEC17	321.550	321.650		25.00CR
17OCT17	4 DEC17	321.350	321.950		600.00CR
17OCT17	2 DEC17	321.400	321.450		25.00CR
17OCT17	1 DEC17	321.400	321.400		0.00
17OCT17	2 DEC17	321.000	321.450		225.00CR
17OCT17	6 DEC17	321.050	321.400		525.00CR
17OCT17	1 DEC17	321.050	321.400		87.50CR
17OCT17	1 DEC17	321.050	321.450		100.00CR
17OCT17	1 DEC17	321.050	321.400		87.50CR
17OCT17	1 DEC17	321.100	321.450		87.50CR
17OCT17	1 DEC17	321.100	321.450		87.50CR
17OCT17	2 DEC17	321.000	321.450		225.00CR
17OCT17	1 DEC17	321.000	321.450		112.50CR
17OCT17	1 DEC17	320.950	321.450		125.00CR
17OCT17	2 DEC17	321.000	321.450		225.00CR
17OCT17	1 DEC17	321.100	321.600		125.00CR
17OCT17	3 DEC17	321.000	321.600		450.00CR
17OCT17	1 DEC17	321.100	321.600		125.00CR
17OCT17	2 DEC17	321.150	321.600		225.00CR
17OCT17	2 DEC17	321.200	321.600		200.00CR
17OCT17	2 DEC17	321.250	321.600		175.00CR
17OCT17	2 DEC17	321.800	320.650		575.00DR
17OCT17	2 DEC17	322.050	320.650		700.00DR
17OCT17	2 DEC17	322.000	320.450		775.00DR
17OCT17	1 DEC17	322.000	320.450		387.50DR
17OCT17	1 DEC17	322.000	320.450		387.50DR
17OCT17	2 DEC17	321.900	320.450		725.00DR
17OCT17	1 DEC17	322.100	320.550		387.50DR
17OCT17	3 DEC17	322.100	319.250		2,137.50DR
17OCT17	1 DEC17	322.150	319.250		725.00DR
17OCT17	2 DEC17	322.150	319.200		1,475.00DR
17OCT17	1 DEC17	322.150	319.350		700.00DR
17OCT17	1 DEC17	321.950	319.350		650.00DR
17OCT17	2 DEC17	321.950	319.300		1,325.00DR
17OCT17	1 DEC17	321.950	319.350		650.00DR
17OCT17	1 DEC17	320.450	319.350		275.00DR
17OCT17	1 DEC17	320.450	319.400		262.50DR
17OCT17	1 DEC17	320.600	319.400		300.00DR
17OCT17	2 DEC17	320.600	319.450		575.00DR
17OCT17	1 DEC17	320.600	319.450		287.50DR
17OCT17	2 DEC17	320.700	319.450		625.00DR
17OCT17	2 DEC17	320.650	319.550		550.00DR
17OCT17	2 DEC17	320.700	319.450		625.00DR
17OCT17	1 DEC17	320.650	319.450		300.00DR
17OCT17	2 DEC17	320.600	319.500		550.00DR
17OCT17	4 DEC17	320.450	319.500		950.00DR
17OCT17	1 DEC17	320.450	319.450		250.00DR
17OCT17	1 DEC17	320.350	319.450		225.00DR
17OCT17	1 DEC17	320.350	319.550		200.00DR
17OCT17	2 DEC17	320.450	319.550		450.00DR
17OCT17	2 DEC17	320.400	319.550		425.00DR
17OCT17	2 DEC17	320.300	319.550		375.00DR
17OCT17	4 DEC17	320.400	319.550		850.00DR
17OCT17	1 DEC17	320.450	319.550		225.00DR
17OCT17	1 DEC17	320.450	319.500		237.50DR
17OCT17	1 DEC17	320.450	319.500		237.50DR
17OCT17	2 DEC17	320.450	319.450		500.00DR
17OCT17	2 DEC17	320.400	319.450		475.00DR
17OCT17	2 DEC17	320.450	319.500		475.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	2 DEC17	320.500	319.500		500.00DR
17OCT17	1 DEC17	320.500	319.450		262.50DR
17OCT17	3 DEC17	320.550	319.450		825.00DR
17OCT17	5 DEC17	320.550	319.450		1,375.00DR
17OCT17	1 DEC17	320.600	319.400		300.00DR
17OCT17	1 DEC17	320.600	319.450		287.50DR
17OCT17	1 DEC17	320.600	319.400		300.00DR
17OCT17	1 DEC17	320.500	319.400		275.00DR
17OCT17	2 DEC17	320.500	319.150		675.00DR
17OCT17	1 DEC17	320.500	319.500		250.00DR
17OCT17	1 DEC17	320.600	319.500		275.00DR
17OCT17	1 DEC17	320.600	319.450		287.50DR
17OCT17	4 DEC17	320.550	319.450		1,100.00DR
17OCT17	2 DEC17	320.700	319.450		625.00DR
17OCT17	2 DEC17	320.650	319.350		650.00DR
17OCT17	1 DEC17	320.700	319.350		337.50DR
17OCT17	1 DEC17	320.700	319.300		350.00DR
17OCT17	1 DEC17	320.600	319.300		325.00DR
17OCT17	2 DEC17	320.600	319.200		700.00DR
17OCT17	2 DEC17	320.700	319.150		775.00DR
17OCT17	3 DEC17	320.700	319.000		1,275.00DR
17OCT17	2 DEC17	320.700	319.150		775.00DR
17OCT17	1 DEC17	320.700	319.700		250.00DR
17OCT17	1 DEC17	320.700	319.450		312.50DR
17OCT17	2 DEC17	320.700	319.500		600.00DR
17OCT17	1 DEC17	320.700	319.450		312.50DR
17OCT17	1 DEC17	320.650	319.450		300.00DR
17OCT17	3 DEC17	320.650	319.500		862.50DR
17OCT17	1 DEC17	320.500	319.500		250.00DR
17OCT17	3 DEC17	320.500	319.600		675.00DR
17OCT17	4 DEC17	320.500	319.600		900.00DR
17OCT17	3 DEC17	320.550	319.600		712.50DR
17OCT17	2 DEC17	320.600	319.600		500.00DR
17OCT17	1 DEC17	320.650	319.600		262.50DR
17OCT17	3 DEC17	320.650	319.550		825.00DR
17OCT17	2 DEC17	320.500	319.550		475.00DR
17OCT17	6 DEC17	320.500	319.400		1,650.00DR
17OCT17	1 DEC17	320.500	319.300		300.00DR
17OCT17	4 DEC17	320.400	319.300		1,100.00DR
17OCT17	3 DEC17	320.400	319.500		675.00DR
17OCT17	4 DEC17	320.300	319.500		800.00DR
17OCT17	2 DEC17	320.250	319.200		525.00DR
17OCT17	2 DEC17	320.250	319.250		500.00DR
17OCT17	2 DEC17	320.300	319.150		575.00DR
17OCT17	1 DEC17	320.300	319.200		275.00DR
17OCT17	1 DEC17	321.250	319.200		512.50DR
17OCT17	2 DEC17	321.250	319.150		1,050.00DR
17OCT17	1 DEC17	321.250	319.100		537.50DR
17OCT17	2 DEC17	321.300	319.100		1,100.00DR
17OCT17	2 DEC17	321.200	319.050		1,075.00DR
17OCT17	2 DEC17	321.250	319.050		1,100.00DR
17OCT17	1 DEC17	321.250	319.050		550.00DR
17OCT17	1 DEC17	319.800	319.050		187.50DR
17OCT17	2 DEC17	319.800	319.000		400.00DR
17OCT17	1 DEC17	319.850	319.000		212.50DR
17OCT17	4 DEC17	319.850	318.950		900.00DR
17OCT17	5 DEC17	319.850	319.200		812.50DR
17OCT17	2 DEC17	319.850	319.500		175.00DR
17OCT17	3 DEC17	319.900	319.500		300.00DR
17OCT17	5 DEC17	319.950	319.500		562.50DR
17OCT17	3 DEC17	319.950	319.350		450.00DR
17OCT17	2 DEC17	320.000	319.350		325.00DR
17OCT17	2 DEC17	319.950	319.350		300.00DR
17OCT17	2 DEC17	319.850	319.450		200.00DR
17OCT17	1 DEC17	319.800	319.450		87.50DR
17OCT17	2 DEC17	319.800	319.400		200.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

17th October 2017

COB GLOBAL

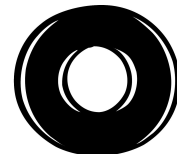
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	319.800	319.450		87.50DR
17OCT17	1 DEC17	319.800	319.450		87.50DR
17OCT17	1 DEC17	319.800	319.650		37.50DR
17OCT17	1 DEC17	319.800	319.650		37.50DR
17OCT17	3 DEC17	319.800	319.700		75.00DR
17OCT17	4 DEC17	319.800	319.700		100.00DR
17OCT17	2 DEC17	319.800	319.750		25.00DR
17OCT17	8 DEC17	319.400	319.800		800.00CR
17OCT17	3 DEC17	319.400	319.750		262.50CR
17OCT17	1 DEC17	319.400	305.650		3,437.50DR
17OCT17	3 DEC17	319.400	305.700		10,275.00DR
17OCT17	4 DEC17	319.400	305.650		13,750.00DR
17OCT17	2 DEC17	319.450	305.650		6,900.00DR
17OCT17	2 DEC17	319.400	305.650		6,875.00DR
17OCT17	9 DEC17	319.400	305.750		30,712.50DR
17OCT17	1 DEC17	319.400	305.800		3,400.00DR
17OCT17	1 DEC17	319.400	305.750		3,412.50DR
17OCT17	1 DEC17	319.400	305.800		3,400.00DR
17OCT17	1 DEC17	319.450	305.800		3,412.50DR
17OCT17	2 DEC17	319.400	305.700		6,850.00DR
17OCT17	3 DEC17	319.450	305.850		10,200.00DR
17OCT17	1 DEC17	319.450	306.000		3,362.50DR
17OCT17	2 DEC17	319.600	306.000		6,800.00DR
17OCT17	4 DEC17	319.550	306.000		13,550.00DR
17OCT17	2 DEC17	319.650	307.400		6,125.00DR
17OCT17	4 DEC17	319.650	307.300		12,350.00DR
17OCT17	1 DEC17	319.650	307.450		3,050.00DR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					553,971.77CR
SETTLEMENTS					
CMX Copper Futures					218,637.50DR
COMMISSIONS					3,829.50DR
CARRIED FORWARD BALANCE					331,504.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
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SAPAB097

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,415,785.06CR
	SETTLEMENTS		
	CMX Copper Futures		253,475.00DR
	LME Copper Grade A 25 tonnes. This Futures		73,464.50DR
	COMMISSIONS		5,915.30DR
	CARRIED FORWARD BALANCE		2,082,930.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0101A 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07AUG17	1 17OCT17	6408.70	5988.00		10,517.50DR
07AUG17	2 17OCT17	6408.70	5988.00		21,035.00DR
07AUG17	2 17OCT17	6408.70	5988.00		21,035.00DR
07AUG17	5 17OCT17	6408.70	5985.00		52,962.50DR
07AUG17	2 17OCT17	6408.70	5989.00		20,985.00DR
07AUG17	2 17OCT17	6408.70	5989.00		20,985.00DR
07AUG17	1 17OCT17	6408.70	5989.00		10,492.50DR
07AUG17	5 17OCT17	6408.70	5987.00		52,712.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,190,996.15CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				210,725.00DR
	CARRIED FORWARD BALANCE				3,980,271.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
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SAPAB101

17th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,190,996.15CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		210,725.00DR
	CARRIED FORWARD BALANCE		3,980,271.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103K
17th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
15SEP17	1 17OCT17	6015.00	6479.85		11,621.25CR
15SEP17	1 17OCT17	6012.00	6479.85		11,696.25CR
15SEP17	1 17OCT17	6013.00	6479.85		11,671.25CR
15SEP17	2 17OCT17	6009.00	6479.85		23,542.50CR
19SEP17	5 17OCT17	6508.25	6479.85		3,550.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				60,783.55DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				54,981.25CR
	CARRIED FORWARD BALANCE				5,802.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103 ARBS
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SAPAB103

17th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		60,783.55DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		54,981.25CR
	CARRIED FORWARD BALANCE		5,802.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0107B

17th October 2017

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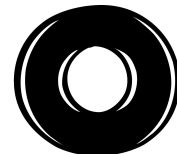
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 10 25OCT17 IFC UD		6996.00	27.00DR	
17OCT17	S 5 01NOV17 IFC UD		6999.50	13.50DR	
17OCT17	S 10 08NOV17 IFC UD		7002.67	27.00DR	
17OCT17	B 5 14NOV17 IFC UD	7001.53		13.50DR	
17OCT17	B 5 15NOV17 IFC UD	7001.00		13.50DR	
17OCT17	S 15 21NOV17 IFC UD		7002.42	40.50DR	
17OCT17	S 5 22NOV17 IFC UD		7002.71	13.50DR	
17OCT17	B 5 29NOV17 IFC UD	7006.30		13.50DR	
17OCT17	S 5 30NOV17 IFC UD		7006.78	13.50DR	
17OCT17	S 5 30NOV17 IFC UD		7006.58	13.50DR	
17OCT17	B 5 07DEC17 IFC UD	7010.37		13.50DR	
17OCT17	B 10 08DEC17 IFC UD	7010.86		27.00DR	
17OCT17	B 5 12DEC17 IFC UD	7012.79		13.50DR	
17OCT17	B 10 13DEC17 IFC UD	7013.28		27.00DR	
17OCT17	B 10 15DEC17 IFC UD	7014.25		27.00DR	
17OCT17	S 5 27DEC17 IFC UD		7016.23	13.50DR	
17OCT17	B 5 12JAN18 IFC UD	7024.70		13.50DR	
	Commission				324.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
11AUG17	1 17OCT17	6008.00	6404.75		9,918.75CR
11AUG17	1 17OCT17	6008.00	6404.75		9,918.75CR
11AUG17	1 17OCT17	6007.00	6404.75		9,943.75CR
11AUG17	1 17OCT17	6007.00	6404.75		9,943.75CR
11AUG17	1 17OCT17	6002.50	6404.75		10,056.25CR
11AUG17	2 17OCT17	6018.50	6404.75		19,312.50CR
11AUG17	1 17OCT17	6018.50	6404.75		9,656.25CR
11AUG17	1 17OCT17	6017.00	6404.75		9,693.75CR
11AUG17	1 17OCT17	6014.50	6404.75		9,756.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				228,812.18DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				98,200.00CR
	COMMISSIONS				324.00DR
	CARRIED FORWARD BALANCE				130,936.18DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
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SAPAB107

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		228,812.18DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		98,200.00CR
	COMMISSIONS		324.00DR
	CARRIED FORWARD BALANCE		130,936.18DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118D

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
16OCT17	1 17OCT17	6858.00	5984.00		21,850.00DR
16OCT17	1 17OCT17	6858.00	6859.00		25.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,572.65CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				21,825.00DR
	CARRIED FORWARD BALANCE				2,252.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118E
17th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
130CT17	1 170CT17	6001.00	6859.00		21,450.00CR
130CT17	1 170CT17	6016.00	6859.00		21,075.00CR
130CT17	1 170CT17	6019.50	6859.00		20,987.50CR
130CT17	1 170CT17	6018.50	6859.00		21,012.50CR
130CT17	1 170CT17	6018.00	6859.00		21,025.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,209.67DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				105,550.00CR
	CARRIED FORWARD BALANCE				76,340.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118G
17th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20SEP17	1 17OCT17	6499.75	5980.00		12,993.75DR
20SEP17	1 17OCT17	6499.75	5980.00		12,993.75DR
20SEP17	1 17OCT17	6499.75	5980.00		12,993.75DR
20SEP17	1 17OCT17	6499.75	5980.00		12,993.75DR
20SEP17	1 17OCT17	6499.75	5980.00		12,993.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				47,820.07CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				64,968.75DR
	CARRIED FORWARD BALANCE				17,148.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT

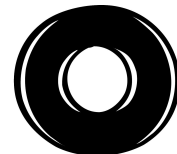


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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
130CT17	1 170CT17	6016.00	6859.00		21,075.00CR
130CT17	1 170CT17	6015.50	6859.00		21,087.50CR
130CT17	1 170CT17	6015.50	6859.00		21,087.50CR
130CT17	1 170CT17	6014.50	6859.00		21,112.50CR
130CT17	1 170CT17	6014.50	6859.00		21,112.50CR
130CT17	1 170CT17	6016.00	6859.00		21,075.00CR
130CT17	1 170CT17	6017.00	6859.00		21,050.00CR
130CT17	1 170CT17	6016.50	6859.00		21,062.50CR
130CT17	1 170CT17	6017.00	6859.00		21,050.00CR
130CT17	1 170CT17	6016.00	6859.00		21,075.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				56,535.74DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				210,787.50CR
	CARRIED FORWARD BALANCE				154,251.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB118

17th Oct 2017

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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		58,805.77DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		229,543.75CR
	CARRIED FORWARD BALANCE		170,737.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119A
17th October 2017
COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	7021.00		5.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 2 DEC17 TTR II		318.950	2.06DR	
	Commission				7.06DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				194,356.56DR
	COMMISSIONS				7.06DR
	CARRIED FORWARD BALANCE				194,363.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB119

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		194,356.56DR
	COMMISSIONS		7.06DR
	CARRIED FORWARD BALANCE		194,363.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7114.00	4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7115.50	4.75DR	
17OCT17	S 3 17JAN18 TTR EL		7114.50	14.25DR	
17OCT17	S 2 17JAN18 TTR EL		7112.50	9.50DR	
17OCT17	S 1 17JAN18 TTR EL		7113.50	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7111.00	9.50DR	
17OCT17	S 6 17JAN18 TTR EL		7110.50	28.50DR	
17OCT17	S 1 17JAN18 TTR EL		7072.50	4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7094.00	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7093.00	9.50DR	
17OCT17	S 1 17JAN18 TTR EL		7092.00	4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7089.50	4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7087.50	4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7086.50	4.75DR	
17OCT17	S 3 17JAN18 TTR EL		7085.00	14.25DR	
17OCT17	S 7 17JAN18 TTR EL		7081.00	33.25DR	
17OCT17	S 4 17JAN18 TTR EL		7079.50	19.00DR	
17OCT17	S 1 17JAN18 TTR EL		7078.50	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7076.00	9.50DR	
17OCT17	S 1 17JAN18 TTR EL		7076.50	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7077.50	9.50DR	
17OCT17	S 2 17JAN18 TTR EL		7073.00	9.50DR	
17OCT17	S 7 17JAN18 TTR EL		7075.50	33.25DR	
17OCT17	S 2 17JAN18 TTR EL		7074.50	9.50DR	
17OCT17	S 4 17JAN18 TTR EL		7079.00	19.00DR	
17OCT17	S 4 17JAN18 TTR EL		7082.50	19.00DR	
17OCT17	S 2 17JAN18 TTR EL		7077.00	9.50DR	
17OCT17	S 10 17JAN18 TTR EL		7080.00	47.50DR	
17OCT17	S 4 17JAN18 TTR EL		7078.00	19.00DR	
17OCT17	S 5 17JAN18 TTR EL		7080.50	23.75DR	
17OCT17	S 9 17JAN18 TTR EL		7081.50	42.75DR	
17OCT17	S 5 17JAN18 TTR EL		7083.00	23.75DR	
17OCT17	S 5 17JAN18 TTR EL		7084.00	23.75DR	
17OCT17	S 2 17JAN18 TTR EL		7084.50	9.50DR	
17OCT17	S 10 17JAN18 TTR EL		7083.50	47.50DR	
17OCT17	S 24 17JAN18 TTR EL		7082.00	114.00DR	
17OCT17	B 5 17JAN18 TTR EL	7076.00		23.75DR	
17OCT17	B 1 17JAN18 TTR EL	7079.50		4.75DR	
17OCT17	B 1 17JAN18 TTR EL	7080.50		4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7089.00	4.75DR	
17OCT17	S 8 17JAN18 TTR EL		7085.50	38.00DR	
17OCT17	S 10 17JAN18 TTR EL		7087.00	47.50DR	
17OCT17	S 5 17JAN18 TTR EL		7088.00	23.75DR	
17OCT17	B 4 17JAN18 TTR EL	7074.50		19.00DR	
17OCT17	B 5 17JAN18 TTR EL	7074.00		23.75DR	
17OCT17	B 2 17JAN18 TTR EL	7073.50		9.50DR	
17OCT17	B 1 17JAN18 TTR EL	7072.00		4.75DR	
17OCT17	B 2 17JAN18 TTR EL	7069.00		9.50DR	
17OCT17	B 1 17JAN18 TTR EL	7071.00		4.75DR	
17OCT17	B 3 17JAN18 TTR EL	7075.50		14.25DR	
17OCT17	B 5 17JAN18 TTR EL	7072.50		23.75DR	
17OCT17	B 1 17JAN18 TTR EL	7062.00		4.75DR	
17OCT17	B 1 17JAN18 TTR EL	7060.50		4.75DR	
17OCT17	B 2 17JAN18 TTR EL	7062.50		9.50DR	
17OCT17	B 1 17JAN18 TTR EL	7063.50		4.75DR	
17OCT17	B 1 17JAN18 TTR EL	7064.00		4.75DR	
17OCT17	B 2 17JAN18 TTR EL	7064.50		9.50DR	
17OCT17	B 1 17JAN18 TTR EL	7059.00		4.75DR	
17OCT17	B 3 17JAN18 TTR EL	7057.00		14.25DR	
17OCT17	S 1 17JAN18 TTR EL		7069.50	4.75DR	
17OCT17	S 9 17JAN18 TTR EL		7068.00	42.75DR	
17OCT17	S 1 17JAN18 TTR EL		7070.50	4.75DR	

Group No: SAPAB127

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	7	17JAN18	TTR	EL		7073.50	33.25DR	
17OCT17	B	3	17JAN18	TTR	EL	7071.50		14.25DR	
17OCT17	B	7	17JAN18	TTR	EL	7070.00		33.25DR	
17OCT17	B	5	17JAN18	TTR	EL	7068.00		23.75DR	
17OCT17	B	6	17JAN18	TTR	EL	7067.00		28.50DR	
17OCT17	B	5	17JAN18	TTR	EL	7066.00		23.75DR	
17OCT17	B	6	17JAN18	TTR	EL	7065.00		28.50DR	
17OCT17	B	4	17JAN18	TTR	EL	7065.50		19.00DR	
17OCT17	B	10	17JAN18	TTR	EL	7061.50		47.50DR	
17OCT17	B	10	17JAN18	TTR	EL	7063.00		47.50DR	
17OCT17	S	1	17JAN18	DMA	EL		7075.50	4.75DR	
17OCT17	S	1	17JAN18	DMA	EL		7083.50	4.75DR	
17OCT17	B	1	17JAN18	DMA	EL	7079.50		4.75DR	
17OCT17	B	2	17JAN18	DMA	EL	7076.00		9.50DR	
17OCT17	B	6	17JAN18	TTR	EL	7076.50		28.50DR	
17OCT17	B	5	17JAN18	TTR	EL	7082.00		23.75DR	
17OCT17	B	5	17JAN18	TTR	EL	7083.50		23.75DR	
17OCT17	B	3	17JAN18	TTR	EL	7082.50		14.25DR	
17OCT17	B	5	17JAN18	TTR	EL	7078.50		23.75DR	
17OCT17	B	8	17JAN18	TTR	EL	7077.50		38.00DR	
17OCT17	B	14	17JAN18	TTR	EL	7080.00		66.50DR	
17OCT17	B	2	17JAN18	TTR	EL	7081.50		9.50DR	
17OCT17	B	10	17JAN18	TTR	EL	7077.00		47.50DR	
17OCT17	B	7	17JAN18	TTR	EL	7075.00		33.25DR	
17OCT17	B	1	17JAN18	TTR	EL	7078.00		4.75DR	
17OCT17	B	10	17JAN18	TTR	EL	7079.00		47.50DR	
17OCT17	B	1	17JAN18	TTR	EL	7086.00		4.75DR	
17OCT17	B	1	17JAN18	TTR	EL	7085.00		4.75DR	
17OCT17	B	3	17JAN18	TTR	EL	7084.00		14.25DR	
17OCT17	B	2	17JAN18	TTR	EL	7083.00		9.50DR	
17OCT17	S	4	17JAN18	TTR	EL		7057.00	19.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7059.50	4.75DR	
17OCT17	S	2	17JAN18	TTR	EL		7062.50	9.50DR	
17OCT17	S	5	17JAN18	TTR	EL		7062.00	23.75DR	
17OCT17	S	2	17JAN18	TTR	EL		7063.00	9.50DR	
17OCT17	S	4	17JAN18	TTR	EL		7064.50	19.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7065.50	4.75DR	
17OCT17	S	1	17JAN18	TTR	EL		7063.50	4.75DR	
17OCT17	S	3	17JAN18	TTR	EL		7060.00	14.25DR	
17OCT17	S	5	17JAN18	TTR	EL		7058.50	23.75DR	
17OCT17	S	6	17JAN18	TTR	EL		7061.00	28.50DR	
17OCT17	S	1	17JAN18	TTR	EL		7059.00	4.75DR	
17OCT17	S	2	17JAN18	TTR	EL		7058.00	9.50DR	
17OCT17	S	8	17JAN18	TTR	EL		7057.50	38.00DR	
17OCT17	S	4	17JAN18	TTR	EL		7056.50	19.00DR	
17OCT17	S	15	17JAN18	TTR	EL		7055.50	71.25DR	
17OCT17	S	5	17JAN18	TTR	EL		7053.50	23.75DR	
17OCT17	S	9	17JAN18	TTR	EL		7054.50	42.75DR	
17OCT17	S	4	17JAN18	TTR	EL		7068.50	19.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7066.00	4.75DR	
17OCT17	S	1	17JAN18	TTR	EL		7025.50	4.75DR	
17OCT17	S	3	17JAN18	TTR	EL		7026.50	14.25DR	
17OCT17	S	2	17JAN18	TTR	EL		7032.50	9.50DR	
17OCT17	S	4	17JAN18	TTR	EL		7038.00	19.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7027.00	4.75DR	
17OCT17	S	1	17JAN18	TTR	EL		7021.50	4.75DR	
17OCT17	S	3	17JAN18	TTR	EL		7022.50	14.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7030.50	4.75DR	
17OCT17	B	1	17JAN18	DMA	EL	7071.50		4.75DR	
17OCT17	B	1	17JAN18	DMA	EL	7066.00		4.75DR	
17OCT17	B	3	17JAN18	DMA	EL	7067.00		14.25DR	
17OCT17	B	1	17JAN18	DMA	EL	7083.00		4.75DR	
17OCT17	B	3	17JAN18	DMA	EL	7080.00		14.25DR	
17OCT17	S	2	17JAN18	DMA	EL		7064.50	9.50DR	
17OCT17	B	5	17JAN18	DMA	EL	7075.00		23.75DR	
17OCT17	B	1	17JAN18	TTR	EL	7032.50		4.75DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	3	17JAN18	TTR	EL	7030.50		14.25DR	
17OCT17	B	1	17JAN18	TTR	EL	7027.50		4.75DR	
17OCT17	B	2	17JAN18	TTR	EL	7025.50		9.50DR	
17OCT17	B	2	17JAN18	TTR	EL	7026.50		9.50DR	
17OCT17	B	2	17JAN18	TTR	EL	7026.00		9.50DR	
17OCT17	S	1	17JAN18	TTR	EL		7045.00	4.75DR	
17OCT17	S	1	17JAN18	TTR	EL		7041.50	4.75DR	
17OCT17	B	5	17JAN18	TTR	EL	7034.50		23.75DR	
17OCT17	B	1	17JAN18	TTR	EL	7033.50		4.75DR	
17OCT17	B	2	17JAN18	TTR	EL	7031.00		9.50DR	
17OCT17	B	7	17JAN18	TTR	EL	7028.50		33.25DR	
17OCT17	B	7	17JAN18	TTR	EL	7029.00		33.25DR	
17OCT17	B	3	17JAN18	TTR	EL	7028.00		14.25DR	
17OCT17	B	26	17JAN18	TTR	EL	7027.00		123.50DR	
17OCT17	S	1	17JAN18	TTR	EL		7026.00	4.75DR	
17OCT17	B	4	17JAN18	TTR	EL	7024.00		19.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7023.00		4.75DR	
17OCT17	B	5	17JAN18	TTR	EL	7030.00		23.75DR	
17OCT17	B	8	17JAN18	TTR	EL	7029.50		38.00DR	
17OCT17	B	7	17JAN18	TTR	EL	7036.50		33.25DR	
17OCT17	B	2	17JAN18	TTR	EL	7037.00		9.50DR	
17OCT17	B	3	17JAN18	TTR	EL	7036.00		14.25DR	
17OCT17	B	1	17JAN18	TTR	EL	7035.50		4.75DR	
17OCT17	B	2	17JAN18	TTR	EL	7039.50		9.50DR	
17OCT17	S	1	17JAN18	TTR	EL		7043.00	4.75DR	
17OCT17	S	1	17JAN18	TTR	EL		7033.50	4.75DR	
17OCT17	S	10	17JAN18	TTR	EL		7034.00	47.50DR	
17OCT17	S	1	17JAN18	TTR	EL		7033.00	4.75DR	
17OCT17	S	3	17JAN18	TTR	EL		7037.50	14.25DR	
17OCT17	S	6	17JAN18	TTR	EL		7036.00	28.50DR	
17OCT17	S	8	17JAN18	TTR	EL		7035.00	38.00DR	
17OCT17	B	6	17JAN18	TTR	EL	7038.00		28.50DR	
17OCT17	B	1	17JAN18	DMA	EL	7038.00		4.75DR	
17OCT17	B	1	17JAN18	DMA	EL	7037.00		4.75DR	
17OCT17	B	2	17JAN18	DMA	EL	7031.00		9.50DR	
17OCT17	B	1	17JAN18	DMA	EL	7030.00		4.75DR	
17OCT17	B	3	17JAN18	DMA	EL	7030.50		14.25DR	
17OCT17	B	7	17JAN18	DMA	EL	7026.00		33.25DR	
17OCT17	B	2	17JAN18	DMA	EL	7026.50		9.50DR	
17OCT17	B	1	17JAN18	DMA	EL	7032.00		4.75DR	
	CMX Copper 25,000 CMX								
17OCT17	B	6	DEC17	TTR	GX	323.100		14.40DR	
17OCT17	B	2	DEC17	TTR	GX	323.150		4.80DR	
17OCT17	B	5	DEC17	TTR	GX	323.000		12.00DR	
17OCT17	B	4	DEC17	TTR	GX	323.050		9.60DR	
17OCT17	B	8	DEC17	TTR	GX	322.900		19.20DR	
17OCT17	B	9	DEC17	TTR	GX	322.950		21.60DR	
17OCT17	B	1	DEC17	TTR	GX	322.750		2.40DR	
17OCT17	B	2	DEC17	TTR	GX	322.200		4.80DR	
17OCT17	B	4	DEC17	TTR	GX	322.150		9.60DR	
17OCT17	B	1	DEC17	TTR	GX	322.100		2.40DR	
17OCT17	B	2	DEC17	TTR	GX	322.050		4.80DR	
17OCT17	B	7	DEC17	TTR	GX	321.200		16.80DR	
17OCT17	B	10	DEC17	TTR	GX	321.300		24.00DR	
17OCT17	B	12	DEC17	TTR	GX	321.350		28.80DR	
17OCT17	B	30	DEC17	TTR	GX	321.500		72.00DR	
17OCT17	B	19	DEC17	TTR	GX	321.450		45.60DR	
17OCT17	B	13	DEC17	TTR	GX	321.550		31.20DR	
17OCT17	B	15	DEC17	TTR	GX	321.700		36.00DR	
17OCT17	B	38	DEC17	TTR	GX	321.650		91.20DR	
17OCT17	B	88	DEC17	TTR	GX	321.600		211.20DR	
17OCT17	B	10	DEC17	TTR	GX	321.400		24.00DR	
17OCT17	B	15	DEC17	TTR	GX	321.750		36.00DR	
17OCT17	B	22	DEC17	TTR	GX	321.800		52.80DR	
17OCT17	B	22	DEC17	TTR	GX	321.850		52.80DR	
17OCT17	B	7	DEC17	TTR	GX	321.950		16.80DR	

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17OCT17	B	5	DEC17	TTR	GX	321.900		12.00DR	
17OCT17	S	22	DEC17	TTR	GX		321.350	52.80DR	
17OCT17	S	20	DEC17	TTR	GX		321.300	48.00DR	
17OCT17	S	8	DEC17	TTR	GX		321.100	19.20DR	
17OCT17	S	13	DEC17	TTR	GX		321.150	31.20DR	
17OCT17	S	10	DEC17	TTR	GX		321.250	24.00DR	
17OCT17	S	2	DEC17	TTR	GX		320.600	4.80DR	
17OCT17	S	1	DEC17	TTR	GX		320.500	2.40DR	
17OCT17	S	6	DEC17	TTR	GX		320.550	14.40DR	
17OCT17	B	2	DEC17	TTR	GX	321.050		4.80DR	
17OCT17	B	4	DEC17	TTR	GX	321.150		9.60DR	
17OCT17	B	15	DEC17	TTR	GX	321.250		36.00DR	
17OCT17	S	13	DEC17	TTR	GX		321.200	31.20DR	
17OCT17	S	12	DEC17	TTR	GX		321.050	28.80DR	
17OCT17	S	12	DEC17	TTR	GX		321.000	28.80DR	
17OCT17	S	27	DEC17	TTR	GX		320.900	64.80DR	
17OCT17	S	23	DEC17	TTR	GX		320.950	55.20DR	
17OCT17	S	18	DEC17	TTR	GX		320.800	43.20DR	
17OCT17	S	24	DEC17	TTR	GX		320.750	57.60DR	
17OCT17	S	8	DEC17	TTR	GX		320.700	19.20DR	
17OCT17	S	7	DEC17	TTR	GX		320.850	16.80DR	
17OCT17	S	23	DEC17	TTR	GX		321.600	55.20DR	
17OCT17	S	5	DEC17	TTR	GX		321.650	12.00DR	
17OCT17	S	31	DEC17	TTR	GX		321.450	74.40DR	
17OCT17	S	32	DEC17	TTR	GX		321.400	76.80DR	
17OCT17	S	23	DEC17	TTR	GX		321.500	55.20DR	
17OCT17	S	45	DEC17	TTR	GX		321.550	108.00DR	
17OCT17	S	3	DEC17	TTR	GX		321.850	7.20DR	
17OCT17	S	5	DEC17	TTR	GX		321.800	12.00DR	
17OCT17	S	18	DEC17	TTR	GX		321.750	43.20DR	
17OCT17	S	17	DEC17	TTR	GX		321.700	40.80DR	
17OCT17	B	15	DEC17	TTR	GX	320.450		36.00DR	
17OCT17	B	2	DEC17	TTR	GX	320.850		4.80DR	
17OCT17	B	16	DEC17	TTR	GX	320.800		38.40DR	
17OCT17	B	15	DEC17	TTR	GX	320.700		36.00DR	
17OCT17	B	10	DEC17	TTR	GX	320.550		24.00DR	
17OCT17	B	14	DEC17	TTR	GX	320.600		33.60DR	
17OCT17	B	12	DEC17	TTR	GX	320.650		28.80DR	
17OCT17	B	32	DEC17	TTR	GX	320.500		76.80DR	
17OCT17	B	8	DEC17	TTR	GX	320.300		19.20DR	
17OCT17	B	4	DEC17	TTR	GX	320.250		9.60DR	
17OCT17	B	9	DEC17	TTR	GX	320.350		21.60DR	
17OCT17	B	29	DEC17	TTR	GX	320.400		69.60DR	
17OCT17	B	6	DEC17	TTR	GX	321.000		14.40DR	
17OCT17	B	17	DEC17	TTR	GX	320.950		40.80DR	
17OCT17	B	5	DEC17	TTR	GX	320.900		12.00DR	
17OCT17	B	10	DEC17	TTR	GX	320.750		24.00DR	
17OCT17	B	7	DEC17	TTR	GX	319.100		16.80DR	
17OCT17	B	1	DEC17	TTR	GX	318.850		2.40DR	
17OCT17	B	7	DEC17	TTR	GX	318.900		16.80DR	
17OCT17	B	2	DEC17	TTR	GX	319.300		4.80DR	
17OCT17	B	1	DEC17	TTR	GX	319.250		2.40DR	
17OCT17	S	3	DEC17	TTR	GX		319.050	7.20DR	
17OCT17	B	3	DEC17	TTR	GX	319.900		7.20DR	
17OCT17	S	4	DEC17	TTR	GX		319.450	9.60DR	
17OCT17	S	4	DEC17	TTR	GX		319.400	9.60DR	
17OCT17	S	35	DEC17	TTR	GX		319.150	84.00DR	
17OCT17	B	7	DEC17	TTR	GX	319.400		16.80DR	
17OCT17	S	25	DEC17	TTR	GX		319.250	60.00DR	
17OCT17	S	57	DEC17	TTR	GX		319.200	136.80DR	
17OCT17	S	10	DEC17	TTR	GX		319.100	24.00DR	
17OCT17	B	3	DEC17	TTR	GX	319.150		7.20DR	
17OCT17	S	9	DEC17	TTR	GX		319.000	21.60DR	
17OCT17	S	3	DEC17	TTR	GX		318.950	7.20DR	
17OCT17	S	19	DEC17	TTR	GX		319.350	45.60DR	
17OCT17	S	29	DEC17	TTR	GX		319.300	69.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

17th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	7	DEC17	TTR	GX		319.650	16.80DR	
17OCT17	S	18	DEC17	TTR	GX		319.600	43.20DR	
17OCT17	S	14	DEC17	TTR	GX		319.550	33.60DR	
17OCT17	S	4	DEC17	TTR	GX		319.750	9.60DR	
17OCT17	B	3	DEC17	TTR	GX	319.850		7.20DR	
17OCT17	B	31	DEC17	TTR	GX	319.450		74.40DR	
17OCT17	B	12	DEC17	TTR	GX	319.600		28.80DR	
17OCT17	B	8	DEC17	TTR	GX	319.550		19.20DR	
17OCT17	B	16	DEC17	TTR	GX	319.500		38.40DR	
17OCT17	B	4	DEC17	TTR	GX	319.350		9.60DR	
17OCT17	S	18	DEC17	TTR	GX		319.700	43.20DR	
	Commission								6,309.10DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
17OCT17		2	DEC17			323.050	321.150		950.00DR
17OCT17		2	DEC17			323.100	321.150		975.00DR
17OCT17		2	DEC17			323.100	321.050		1,025.00DR
17OCT17		1	DEC17			323.100	320.950		537.50DR
17OCT17		1	DEC17			323.100	320.950		537.50DR
17OCT17		2	DEC17			323.150	321.100		1,025.00DR
17OCT17		4	DEC17			323.000	321.300		1,700.00DR
17OCT17		1	DEC17			323.000	321.350		412.50DR
17OCT17		1	DEC17			323.050	321.350		425.00DR
17OCT17		1	DEC17			323.050	321.400		412.50DR
17OCT17		1	DEC17			322.900	321.400		375.00DR
17OCT17		1	DEC17			322.900	321.400		375.00DR
17OCT17		1	DEC17			322.900	321.400		375.00DR
17OCT17		1	DEC17			322.900	321.450		362.50DR
17OCT17		1	DEC17			322.900	321.400		375.00DR
17OCT17		1	DEC17			322.900	321.450		362.50DR
17OCT17		1	DEC17			322.900	321.450		362.50DR
17OCT17		1	DEC17			322.950	321.450		375.00DR
17OCT17		1	DEC17			322.950	321.450		375.00DR
17OCT17		4	DEC17			322.950	321.400		1,550.00DR
17OCT17		2	DEC17			322.950	321.450		750.00DR
17OCT17		1	DEC17			322.950	321.400		387.50DR
17OCT17		1	DEC17			322.750	321.400		337.50DR
17OCT17		1	DEC17			321.600	321.450		37.50DR
17OCT17		4	DEC17			321.600	321.400		200.00DR
17OCT17		4	DEC17			321.600	321.350		250.00DR
17OCT17		1	DEC17			321.150	321.400		62.50CR
17OCT17		1	DEC17			321.150	321.350		50.00CR
17OCT17		1	DEC17			321.300	321.350		12.50CR
17OCT17		1	DEC17			321.300	321.400		25.00CR
17OCT17		2	DEC17			322.200	321.500		350.00DR
17OCT17		2	DEC17			322.150	321.550		300.00DR
17OCT17		2	DEC17			322.150	321.500		325.00DR
17OCT17		1	DEC17			322.100	321.550		137.50DR
17OCT17		2	DEC17			322.050	321.550		250.00DR
17OCT17		2	DEC17			321.950	321.350		300.00DR
17OCT17		2	DEC17			321.900	321.350		275.00DR
17OCT17		1	DEC17			321.850	321.500		87.50DR
17OCT17		1	DEC17			321.850	321.600		62.50DR
17OCT17		1	DEC17			321.850	321.600		62.50DR
17OCT17		2	DEC17			321.850	321.450		200.00DR
17OCT17		2	DEC17			321.800	321.550		125.00DR
17OCT17		4	DEC17			321.700	321.550		150.00DR
17OCT17		1	DEC17			321.600	321.500		25.00DR
17OCT17		1	DEC17			321.650	321.500		37.50DR
17OCT17		1	DEC17			321.550	321.450		25.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	321.650	321.450		50.00DR
17OCT17	2 DEC17	321.600	321.400		100.00DR
17OCT17	1 DEC17	321.650	321.350		75.00DR
17OCT17	2 DEC17	321.600	321.350		125.00DR
17OCT17	2 DEC17	321.650	321.350		150.00DR
17OCT17	4 DEC17	321.600	321.350		250.00DR
17OCT17	1 DEC17	321.650	321.300		87.50DR
17OCT17	10 DEC17	321.600	321.300		750.00DR
17OCT17	1 DEC17	321.600	321.350		62.50DR
17OCT17	1 DEC17	321.600	321.300		75.00DR
17OCT17	1 DEC17	321.650	321.300		87.50DR
17OCT17	1 DEC17	321.600	321.300		75.00DR
17OCT17	2 DEC17	321.650	321.300		175.00DR
17OCT17	1 DEC17	321.650	321.250		100.00DR
17OCT17	1 DEC17	321.550	321.250		75.00DR
17OCT17	1 DEC17	321.600	321.200		100.00DR
17OCT17	1 DEC17	321.600	321.150		112.50DR
17OCT17	1 DEC17	321.550	321.150		100.00DR
17OCT17	1 DEC17	321.600	321.100		125.00DR
17OCT17	2 DEC17	321.650	321.100		275.00DR
17OCT17	1 DEC17	321.600	321.100		125.00DR
17OCT17	1 DEC17	321.600	321.150		112.50DR
17OCT17	1 DEC17	321.600	321.100		125.00DR
17OCT17	1 DEC17	321.600	321.150		112.50DR
17OCT17	1 DEC17	321.600	321.100		125.00DR
17OCT17	1 DEC17	321.600	321.150		112.50DR
17OCT17	1 DEC17	321.600	321.150		112.50DR
17OCT17	1 DEC17	321.600	321.250		87.50DR
17OCT17	4 DEC17	321.600	321.200		400.00DR
17OCT17	2 DEC17	321.700	321.150		275.00DR
17OCT17	2 DEC17	321.850	321.200		325.00DR
17OCT17	2 DEC17	321.750	321.200		275.00DR
17OCT17	1 DEC17	321.750	321.250		125.00DR
17OCT17	1 DEC17	321.800	321.250		137.50DR
17OCT17	1 DEC17	321.750	321.250		125.00DR
17OCT17	2 DEC17	321.800	321.400		200.00DR
17OCT17	2 DEC17	321.800	321.450		175.00DR
17OCT17	2 DEC17	321.750	321.450		150.00DR
17OCT17	1 DEC17	321.700	321.450		62.50DR
17OCT17	1 DEC17	321.700	321.250		112.50DR
17OCT17	2 DEC17	321.650	321.250		200.00DR
17OCT17	1 DEC17	321.600	321.250		87.50DR
17OCT17	4 DEC17	321.600	321.050		550.00DR
17OCT17	1 DEC17	321.600	320.950		162.50DR
17OCT17	2 DEC17	321.600	320.950		325.00DR
17OCT17	3 DEC17	321.600	320.900		525.00DR
17OCT17	8 DEC17	321.600	320.900		1,400.00DR
17OCT17	1 DEC17	321.600	320.800		200.00DR
17OCT17	1 DEC17	321.600	320.750		212.50DR
17OCT17	2 DEC17	321.600	320.800		400.00DR
17OCT17	1 DEC17	321.500	320.900		150.00DR
17OCT17	1 DEC17	321.550	320.900		162.50DR
17OCT17	1 DEC17	321.550	320.750		200.00DR
17OCT17	1 DEC17	321.500	320.750		187.50DR
17OCT17	2 DEC17	321.500	320.700		400.00DR
17OCT17	1 DEC17	321.500	320.600		225.00DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	1 DEC17	321.500	320.700		200.00DR
17OCT17	3 DEC17	321.500	320.800		525.00DR
17OCT17	1 DEC17	321.500	320.850		162.50DR
17OCT17	1 DEC17	321.450	320.850		150.00DR
17OCT17	1 DEC17	321.450	320.700		187.50DR
17OCT17	1 DEC17	321.450	320.750		175.00DR
17OCT17	1 DEC17	321.450	320.750		175.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	2 DEC17	321.450	320.850		300.00DR
17OCT17	2 DEC17	321.500	320.900		300.00DR
17OCT17	1 DEC17	321.550	320.850		175.00DR
17OCT17	1 DEC17	321.550	320.900		162.50DR
17OCT17	1 DEC17	321.500	320.900		150.00DR
17OCT17	1 DEC17	321.500	320.850		162.50DR
17OCT17	1 DEC17	321.500	320.950		137.50DR
17OCT17	4 DEC17	321.500	321.050		450.00DR
17OCT17	1 DEC17	321.500	320.900		150.00DR
17OCT17	1 DEC17	321.550	320.900		162.50DR
17OCT17	1 DEC17	321.550	320.600		237.50DR
17OCT17	1 DEC17	321.550	320.550		250.00DR
17OCT17	1 DEC17	321.500	320.550		237.50DR
17OCT17	1 DEC17	321.500	320.550		237.50DR
17OCT17	2 DEC17	321.500	320.550		475.00DR
17OCT17	1 DEC17	321.400	320.500		225.00DR
17OCT17	1 DEC17	321.400	320.550		212.50DR
17OCT17	1 DEC17	321.350	320.800		137.50DR
17OCT17	2 DEC17	321.300	320.800		250.00DR
17OCT17	2 DEC17	321.350	321.200		75.00DR
17OCT17	1 DEC17	321.300	321.050		62.50DR
17OCT17	1 DEC17	321.300	321.200		25.00DR
17OCT17	1 DEC17	321.350	321.200		37.50DR
17OCT17	1 DEC17	321.350	321.050		75.00DR
17OCT17	1 DEC17	321.400	320.900		125.00DR
17OCT17	1 DEC17	321.400	320.900		125.00DR
17OCT17	3 DEC17	321.450	320.950		375.00DR
17OCT17	2 DEC17	321.400	320.950		225.00DR
17OCT17	2 DEC17	321.350	321.000		175.00DR
17OCT17	2 DEC17	321.300	321.000		150.00DR
17OCT17	2 DEC17	321.350	321.000		175.00DR
17OCT17	5 DEC17	321.200	320.950		312.50DR
17OCT17	1 DEC17	321.200	320.750		112.50DR
17OCT17	1 DEC17	321.200	320.950		62.50DR
17OCT17	1 DEC17	321.250	320.950		75.00DR
17OCT17	3 DEC17	321.250	321.000		187.50DR
17OCT17	2 DEC17	321.250	321.000		125.00DR
17OCT17	1 DEC17	321.250	321.000		62.50DR
17OCT17	1 DEC17	321.250	320.900		87.50DR
17OCT17	1 DEC17	321.300	320.900		100.00DR
17OCT17	1 DEC17	321.300	320.950		87.50DR
17OCT17	1 DEC17	321.350	320.950		100.00DR
17OCT17	2 DEC17	321.350	320.900		225.00DR
17OCT17	2 DEC17	321.250	320.950		150.00DR
17OCT17	1 DEC17	321.250	320.900		87.50DR
17OCT17	1 DEC17	321.250	320.900		87.50DR
17OCT17	1 DEC17	321.250	320.950		75.00DR
17OCT17	4 DEC17	321.450	320.750		700.00DR
17OCT17	2 DEC17	321.600	320.750		425.00DR
17OCT17	2 DEC17	321.650	320.750		450.00DR
17OCT17	2 DEC17	321.500	320.750		375.00DR
17OCT17	2 DEC17	321.400	320.750		325.00DR
17OCT17	2 DEC17	321.500	320.750		375.00DR
17OCT17	1 DEC17	321.400	320.800		150.00DR
17OCT17	2 DEC17	321.450	320.800		325.00DR
17OCT17	4 DEC17	321.600	320.800		800.00DR
17OCT17	2 DEC17	321.450	320.800		325.00DR
17OCT17	2 DEC17	321.450	320.750		350.00DR
17OCT17	2 DEC17	321.550	320.750		400.00DR
17OCT17	1 DEC17	321.550	320.700		212.50DR
17OCT17	1 DEC17	321.800	320.700		275.00DR
17OCT17	1 DEC17	321.800	320.850		237.50DR
17OCT17	2 DEC17	321.800	321.450		175.00DR
17OCT17	4 DEC17	321.850	321.500		350.00DR
17OCT17	3 DEC17	321.750	321.550		150.00DR
17OCT17	2 DEC17	321.600	321.550		25.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	2 DEC17	321.600	321.600		0.00
17OCT17	2 DEC17	321.600	321.600		0.00
17OCT17	2 DEC17	321.650	321.600		25.00DR
17OCT17	1 DEC17	321.600	321.600		0.00
17OCT17	4 DEC17	321.650	321.600		50.00DR
17OCT17	4 DEC17	321.650	321.700		50.00CR
17OCT17	1 DEC17	321.700	321.700		0.00
17OCT17	1 DEC17	321.650	321.700		12.50CR
17OCT17	2 DEC17	321.650	321.650		0.00
17OCT17	1 DEC17	321.650	321.700		12.50CR
17OCT17	2 DEC17	321.700	321.700		0.00
17OCT17	2 DEC17	321.700	321.700		0.00
17OCT17	1 DEC17	321.700	321.750		12.50CR
17OCT17	1 DEC17	321.700	321.750		12.50CR
17OCT17	1 DEC17	321.650	321.750		25.00CR
17OCT17	3 DEC17	321.650	321.750		75.00CR
17OCT17	1 DEC17	321.650	321.750		25.00CR
17OCT17	1 DEC17	321.650	321.750		25.00CR
17OCT17	1 DEC17	321.750	321.750		0.00
17OCT17	4 DEC17	321.600	321.750		150.00CR
17OCT17	1 DEC17	321.650	321.700		12.50CR
17OCT17	1 DEC17	321.600	321.700		25.00CR
17OCT17	1 DEC17	321.600	321.700		25.00CR
17OCT17	2 DEC17	321.800	321.600		100.00DR
17OCT17	1 DEC17	321.400	321.600		50.00CR
17OCT17	3 DEC17	321.850	321.600		187.50DR
17OCT17	1 DEC17	321.800	321.600		50.00DR
17OCT17	1 DEC17	321.850	321.600		62.50DR
17OCT17	5 DEC17	321.850	321.500		437.50DR
17OCT17	1 DEC17	321.900	321.500		100.00DR
17OCT17	1 DEC17	321.900	321.550		87.50DR
17OCT17	1 DEC17	321.950	321.550		100.00DR
17OCT17	2 DEC17	321.950	321.500		225.00DR
17OCT17	2 DEC17	321.750	321.500		125.00DR
17OCT17	1 DEC17	321.800	321.550		62.50DR
17OCT17	1 DEC17	321.800	321.750		12.50DR
17OCT17	2 DEC17	321.800	321.750		25.00DR
17OCT17	1 DEC17	321.800	321.850		12.50CR
17OCT17	1 DEC17	321.750	321.800		12.50CR
17OCT17	1 DEC17	321.750	321.550		50.00DR
17OCT17	1 DEC17	321.800	321.550		62.50DR
17OCT17	1 DEC17	321.750	321.600		37.50DR
17OCT17	1 DEC17	321.800	321.600		50.00DR
17OCT17	1 DEC17	321.800	321.550		62.50DR
17OCT17	2 DEC17	321.850	321.550		150.00DR
17OCT17	1 DEC17	321.950	321.550		100.00DR
17OCT17	1 DEC17	321.950	321.650		75.00DR
17OCT17	1 DEC17	321.900	321.650		62.50DR
17OCT17	1 DEC17	320.950	321.650		175.00CR
17OCT17	11 DEC17	320.950	321.450		1,375.00CR
17OCT17	1 DEC17	320.950	321.400		112.50CR
17OCT17	2 DEC17	320.900	321.400		250.00CR
17OCT17	2 DEC17	321.050	321.400		175.00CR
17OCT17	2 DEC17	321.000	321.400		200.00CR
17OCT17	1 DEC17	321.150	321.400		62.50CR
17OCT17	1 DEC17	321.150	321.400		62.50CR
17OCT17	2 DEC17	321.250	321.400		75.00CR
17OCT17	2 DEC17	320.400	321.550		575.00CR
17OCT17	2 DEC17	320.500	321.500		500.00CR
17OCT17	2 DEC17	320.500	321.550		525.00CR
17OCT17	2 DEC17	320.650	321.550		450.00CR
17OCT17	3 DEC17	320.700	321.550		637.50CR
17OCT17	1 DEC17	320.700	321.550		212.50CR
17OCT17	4 DEC17	320.650	321.550		900.00CR
17OCT17	1 DEC17	320.700	321.550		212.50CR
17OCT17	2 DEC17	320.650	321.550		450.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	3 DEC17	320.400	321.550		862.50CR
17OCT17	2 DEC17	320.400	321.850		725.00CR
17OCT17	4 DEC17	320.400	321.800		1,400.00CR
17OCT17	2 DEC17	320.400	321.750		675.00CR
17OCT17	3 DEC17	320.400	321.700		975.00CR
17OCT17	1 DEC17	320.350	319.250		275.00DR
17OCT17	1 DEC17	320.350	319.300		262.50DR
17OCT17	1 DEC17	320.400	319.300		275.00DR
17OCT17	1 DEC17	320.400	319.250		287.50DR
17OCT17	1 DEC17	320.450	319.250		300.00DR
17OCT17	1 DEC17	320.450	319.050		350.00DR
17OCT17	2 DEC17	320.450	319.450		500.00DR
17OCT17	1 DEC17	320.450	319.250		300.00DR
17OCT17	2 DEC17	320.400	319.350		525.00DR
17OCT17	1 DEC17	320.400	319.300		275.00DR
17OCT17	4 DEC17	320.450	319.300		1,150.00DR
17OCT17	5 DEC17	320.500	319.300		1,500.00DR
17OCT17	2 DEC17	320.450	319.300		575.00DR
17OCT17	2 DEC17	320.450	319.250		600.00DR
17OCT17	1 DEC17	320.450	319.150		325.00DR
17OCT17	4 DEC17	320.500	319.150		1,350.00DR
17OCT17	2 DEC17	320.500	319.200		650.00DR
17OCT17	6 DEC17	320.500	319.250		1,875.00DR
17OCT17	1 DEC17	320.500	319.200		325.00DR
17OCT17	1 DEC17	320.450	319.150		325.00DR
17OCT17	4 DEC17	320.550	319.150		1,400.00DR
17OCT17	4 DEC17	320.700	319.150		1,550.00DR
17OCT17	6 DEC17	320.600	319.150		2,175.00DR
17OCT17	2 DEC17	320.750	319.150		800.00DR
17OCT17	2 DEC17	320.700	319.150		775.00DR
17OCT17	1 DEC17	320.750	319.150		400.00DR
17OCT17	1 DEC17	320.700	319.150		387.50DR
17OCT17	1 DEC17	320.750	319.150		400.00DR
17OCT17	1 DEC17	320.800	319.100		425.00DR
17OCT17	1 DEC17	320.750	319.100		412.50DR
17OCT17	2 DEC17	320.800	319.100		850.00DR
17OCT17	2 DEC17	320.800	319.050		875.00DR
17OCT17	2 DEC17	320.800	319.100		850.00DR
17OCT17	3 DEC17	320.800	319.200		1,200.00DR
17OCT17	1 DEC17	320.750	319.100		412.50DR
17OCT17	1 DEC17	320.800	319.100		425.00DR
17OCT17	1 DEC17	320.800	319.250		387.50DR
17OCT17	1 DEC17	320.750	319.250		375.00DR
17OCT17	2 DEC17	320.850	319.250		800.00DR
17OCT17	2 DEC17	320.800	319.250		775.00DR
17OCT17	1 DEC17	320.700	319.250		362.50DR
17OCT17	1 DEC17	320.800	319.250		387.50DR
17OCT17	1 DEC17	320.800	319.150		412.50DR
17OCT17	2 DEC17	320.700	319.150		775.00DR
17OCT17	2 DEC17	320.600	319.150		725.00DR
17OCT17	1 DEC17	320.600	319.600		250.00DR
17OCT17	3 DEC17	320.550	319.600		712.50DR
17OCT17	1 DEC17	320.550	319.600		237.50DR
17OCT17	2 DEC17	320.600	319.600		500.00DR
17OCT17	2 DEC17	320.550	319.600		475.00DR
17OCT17	2 DEC17	320.600	319.600		500.00DR
17OCT17	1 DEC17	320.600	319.550		262.50DR
17OCT17	1 DEC17	320.650	319.550		275.00DR
17OCT17	3 DEC17	320.650	319.550		825.00DR
17OCT17	5 DEC17	320.500	319.550		1,187.50DR
17OCT17	2 DEC17	320.500	319.450		525.00DR
17OCT17	3 DEC17	320.500	319.350		862.50DR
17OCT17	2 DEC17	320.400	319.350		525.00DR
17OCT17	1 DEC17	320.350	319.400		237.50DR
17OCT17	1 DEC17	320.350	319.350		250.00DR
17OCT17	1 DEC17	320.350	319.400		237.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	320.300	319.400		225.00DR
17OCT17	1 DEC17	320.350	319.400		237.50DR
17OCT17	1 DEC17	320.350	319.300		262.50DR
17OCT17	1 DEC17	320.300	319.300		250.00DR
17OCT17	2 DEC17	320.300	319.200		550.00DR
17OCT17	2 DEC17	320.250	319.200		525.00DR
17OCT17	1 DEC17	320.300	319.200		275.00DR
17OCT17	3 DEC17	320.300	319.200		825.00DR
17OCT17	2 DEC17	320.250	319.200		525.00DR
17OCT17	2 DEC17	320.350	319.200		575.00DR
17OCT17	6 DEC17	320.400	319.200		1,800.00DR
17OCT17	2 DEC17	320.950	319.200		875.00DR
17OCT17	4 DEC17	321.000	319.200		1,800.00DR
17OCT17	2 DEC17	320.950	319.200		875.00DR
17OCT17	3 DEC17	320.900	319.200		1,275.00DR
17OCT17	3 DEC17	320.750	319.200		1,162.50DR
17OCT17	5 DEC17	319.100	319.200		125.00CR
17OCT17	1 DEC17	319.150	319.200		12.50CR
17OCT17	2 DEC17	319.100	319.200		50.00CR
17OCT17	3 DEC17	319.350	319.200		112.50DR
17OCT17	2 DEC17	319.450	319.200		125.00DR
17OCT17	1 DEC17	319.400	319.200		50.00DR
17OCT17	1 DEC17	319.450	319.200		62.50DR
17OCT17	1 DEC17	319.400	319.200		50.00DR
17OCT17	1 DEC17	319.450	319.200		62.50DR
17OCT17	3 DEC17	319.450	319.150		225.00DR
17OCT17	1 DEC17	319.500	319.250		62.50DR
17OCT17	2 DEC17	319.500	319.250		125.00DR
17OCT17	2 DEC17	319.500	319.300		100.00DR
17OCT17	1 DEC17	319.500	319.250		62.50DR
17OCT17	1 DEC17	319.500	319.250		62.50DR
17OCT17	2 DEC17	319.500	319.200		150.00DR
17OCT17	1 DEC17	319.500	319.100		100.00DR
17OCT17	1 DEC17	319.450	319.100		87.50DR
17OCT17	6 DEC17	319.450	319.000		675.00DR
17OCT17	2 DEC17	319.500	319.000		250.00DR
17OCT17	1 DEC17	319.550	319.000		137.50DR
17OCT17	3 DEC17	319.550	318.950		450.00DR
17OCT17	8 DEC17	319.600	319.350		500.00DR
17OCT17	1 DEC17	319.450	319.350		25.00DR
17OCT17	1 DEC17	319.400	319.350		12.50DR
17OCT17	1 DEC17	319.450	319.350		25.00DR
17OCT17	1 DEC17	319.400	319.300		25.00DR
17OCT17	1 DEC17	319.450	319.300		37.50DR
17OCT17	2 DEC17	319.400	319.300		50.00DR
17OCT17	7 DEC17	319.450	319.300		262.50DR
17OCT17	2 DEC17	319.450	319.700		125.00CR
17OCT17	1 DEC17	319.450	319.650		50.00CR
17OCT17	1 DEC17	318.900	319.650		187.50CR
17OCT17	1 DEC17	318.850	319.700		212.50CR
17OCT17	2 DEC17	318.900	319.650		375.00CR
17OCT17	2 DEC17	318.900	319.700		400.00CR
17OCT17	2 DEC17	318.900	319.700		400.00CR
17OCT17	2 DEC17	319.300	319.600		150.00CR
17OCT17	1 DEC17	319.250	319.650		100.00CR
17OCT17	2 DEC17	319.900	319.650		125.00DR
17OCT17	1 DEC17	319.900	319.600		75.00DR
17OCT17	1 DEC17	319.850	319.600		62.50DR
17OCT17	1 DEC17	319.400	319.600		50.00CR
17OCT17	2 DEC17	319.150	319.600		225.00CR
17OCT17	2 DEC17	319.850	319.550		150.00DR
17OCT17	2 DEC17	319.600	319.550		25.00DR
17OCT17	1 DEC17	319.450	319.750		75.00CR
17OCT17	3 DEC17	319.450	319.750		225.00CR
17OCT17	2 DEC17	319.500	319.700		100.00CR
17OCT17	2 DEC17	319.600	319.700		50.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	3 DEC17	319.550	319.700		112.50CR
17OCT17	1 DEC17	319.550	319.700		37.50CR
17OCT17	2 DEC17	319.500	319.700		100.00CR
17OCT17	1 DEC17	319.350	319.700		87.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				522,409.95CR
	SETTLEMENTS				
	CMX Copper Futures				87,400.00DR
	COMMISSIONS				6,309.10DR
	CARRIED FORWARD BALANCE				428,700.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 10 28DEC17 IFC UD		7016.77	27.00DR	
17OCT17	S 3 29DEC17 IFC UD		7017.32	8.10DR	
17OCT17	B 5 09JAN18 IFC UD	7023.20		13.50DR	
17OCT17	B 5 10JAN18 IFC UD	7023.70		13.50DR	
17OCT17	B 3 16JAN18 IFC UD	7026.70		8.10DR	
17OCT17	B 1 17JAN18 TTR EL	7122.00		4.75DR	
17OCT17	B 1 17JAN18 TTR EL	7124.50		4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7113.00	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7091.00	9.50DR	
17OCT17	B 1 17JAN18 DMA EL	7123.50		4.75DR	
17OCT17	B 1 17JAN18 TTR EL	7076.00		4.75DR	
17OCT17	S 1 17JAN18 TTR EL		7067.00	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7068.00	9.50DR	
17OCT17	S 1 17JAN18 TTR EL		7078.00	4.75DR	
17OCT17	S 2 17JAN18 TTR EL		7077.00	9.50DR	
17OCT17	B 4 17JAN18 DMA EL	7075.00		19.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 2 DEC17 TTR GX		323.550	4.80DR	
17OCT17	S 4 DEC17 TTR GX		323.650	9.60DR	
17OCT17	S 1 DEC17 TTR GX		323.600	2.40DR	
17OCT17	B 2 DEC17 TTR GX	322.950		4.80DR	
17OCT17	B 4 DEC17 TTR GX	322.000		9.60DR	
17OCT17	S 2 DEC17 TTR GX		321.400	4.80DR	
17OCT17	S 9 DEC17 TTR GX		321.450	21.60DR	
17OCT17	B 4 DEC17 TTR GX	320.950		9.60DR	
17OCT17	B 2 DEC17 TTR GX	321.000		4.80DR	
17OCT17	B 2 DEC17 TTR GX	321.400		4.80DR	
17OCT17	B 5 DEC17 TTR GX	321.300		12.00DR	
	Commission				239.75DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	2 DEC17	322.950	323.550		300.00CR
17OCT17	2 DEC17	322.000	323.650		825.00CR
17OCT17	2 DEC17	322.000	323.650		825.00CR
17OCT17	1 DEC17	320.950	323.600		662.50CR
17OCT17	2 DEC17	320.950	321.450		250.00CR
17OCT17	1 DEC17	320.950	321.400		112.50CR
17OCT17	1 DEC17	321.000	321.400		100.00CR
17OCT17	1 DEC17	321.000	321.450		112.50CR
17OCT17	2 DEC17	321.400	321.450		25.00CR
17OCT17	4 DEC17	321.300	321.450		150.00CR
17OCT17	1 DEC17	321.300	299.800		5,375.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				242,856.34CR
	SETTLEMENTS				
	CMX Copper Futures				2,012.50DR
	COMMISSIONS				239.75DR
	CARRIED FORWARD BALANCE				240,604.09CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPAB127

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		930,435.89CR
	SETTLEMENTS		
	CMX Copper Futures		89,412.50DR
	COMMISSIONS		6,548.85DR
	CARRIED FORWARD BALANCE		834,474.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAP0001
17th October 2017
COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343
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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0001 Fund Withdrawal 17/10/17				100,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				524,023.27CR
	CASH PAYMENTS/RECEIPTS				100,000.00DR
	CARRIED FORWARD BALANCE				424,023.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>BUSINESS DONE TODAY</u> CME Sterling 62,500 CME B 2 DEC17 Commission GX	1.32040		0.30DR	0.30DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 106,158.08CR COMMISSIONS 0.30DR CARRIED FORWARD BALANCE 106,157.78CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAPCASH
17th October 2017

COB GLOBAL

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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>CASH PAYMENTS</u> CASH PAYMENT				100,000.00DR
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0001 Fund Withdrawal 17/10/17				100,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	CARRIED FORWARD BALANCE				0.00

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>CASH RECEIPTS</u> CASH RECEIPT				3,000,000.00CR
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 Fund Withdrawal 17/10/17				300,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,022,949.54CR
	CASH PAYMENTS/RECEIPTS				3,300,000.00CR
	CARRIED FORWARD BALANCE				4,322,949.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th Oct 2017

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		524,023.27CR
	CASH PAYMENTS/RECEIPTS		100,000.00DR
	CARRIED FORWARD BALANCE		424,023.27CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		5,183,294.84CR
	CASH PAYMENTS/RECEIPTS		3,300,000.00CR
	COMMISSIONS		0.30DR
	CARRIED FORWARD BALANCE		8,483,294.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017

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USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>OPTIONS ABANDONED</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
25SEP17	S	10	NOV17	62.50	Call	II		0.01		
25SEP17	S	20	NOV17	63.00	Call	II		0.01		
25SEP17	S	20	NOV17	63.50	Call	II		0.01		
25SEP17	S	10	NOV17	62.50	Call	II		0.02		
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									899.40DR
	CARRIED FORWARD BALANCE									899.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011B

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
	S 25 DEC17 67.00 Call II Commission		0.01	41.75DR	250.00CR 41.75DR
08SEP17 11SEP17 20SEP17 20SEP17 21SEP17 25SEP17 25SEP17 25SEP17 25SEP17 26SEP17	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
	S 50 NOV17 35.00 Put II		0.01		
	S 29 NOV17 35.00 Put II		0.01		
	S 25 NOV17 63.50 Call II		0.01		
	S 50 NOV17 64.00 Call II		0.01		
	S 19 NOV17 64.50 Call II		0.01		
	S 25 NOV17 63.00 Call II		0.01		
	S 25 NOV17 64.50 Call II		0.01		
	S 25 NOV17 65.00 Call II		0.01		
	S 25 NOV17 65.00 Call II		0.01		
	S 25 NOV17 65.00 Call II		0.01		
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					13,726.50CR
OPTION PREMIUMS					250.00CR
COMMISSIONS					41.75DR
CARRIED FORWARD BALANCE					13,934.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	1 170CT17	6019.50	6460.75		11,031.25CR
020CT17	1 170CT17	6018.50	6460.75		11,056.25CR
020CT17	1 170CT17	6019.50	6460.75		11,031.25CR
020CT17	1 170CT17	6018.50	6460.75		11,056.25CR
020CT17	1 170CT17	6017.50	6460.75		11,081.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				219,344.20DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				55,256.25CR
	CARRIED FORWARD BALANCE				164,087.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Euro-Bund 1,000 ERX				
17OCT17	S 2 DEC17 TTR EL		162.500	2.06DR	
17OCT17	S 2 DEC17 TTR EL		162.580	2.06DR	
	Commission				4.12DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,134.74CR
	COMMISSIONS				4.12DR
	CARRIED FORWARD BALANCE				13,130.62CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
17OCT17	B 4 DEC17 TTR II	153.2400		8.00DR	
17OCT17	S 3 DEC17 TTR II		153.1200	6.00DR	
	CBT 10 Year Treasury Notes 1,000 CBT				
17OCT17	B 3 DEC17 TTR II	125.0750		6.00DR	
	Commission				20.00DR
	<u>SETTLEMENTS</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
17OCT17	2 DEC17	153.2400	153.1200		750.00DR
17OCT17	1 DEC17	153.2400	153.1200		375.00DR
	CBT 10 Year Treasury Notes 1,000 CBT				
17OCT17	1 DEC17	125.0750	125.1550		250.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				134,604.47CR
	SETTLEMENTS				
	CBT 30 Year Us Treasury Bonds Futures				1,125.00DR
	CBT 10 Year Treasury Notes Futures				250.00CR
	COMMISSIONS				20.00DR
	CARRIED FORWARD BALANCE				133,709.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 10JAN18 IFC UD		2138.34	2.70DR	
17OCT17	B 1 11JAN18 IFC UD	2138.78		2.70DR	
17OCT17	S 3 12JAN18 IFC UD		2138.82	8.10DR	
17OCT17	B 3 16JAN18 IFC UD	2139.96		8.10DR	
17OCT17	S 1 17JAN18 TTR EL		2149.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2150.50	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 2 12JAN18 IFC UD		2490.94	5.40DR	
17OCT17	B 2 16JAN18 IFC UD	2491.99		5.40DR	
17OCT17	B 1 17JAN18 TTR EL	2531.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2530.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 10JAN18 IFC UD	3085.00		2.70DR	
17OCT17	S 1 11JAN18 IFC UD		3084.80	2.70DR	
17OCT17	B 5 12JAN18 IFC UD	3085.00		13.50DR	
17OCT17	S 5 16JAN18 IFC UD		3084.80	13.50DR	
	Commission				84.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				730.00DR
	COMMISSIONS				84.80DR
	CARRIED FORWARD BALANCE				814.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0011

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		11,094.76CR
	COMMISSIONS		4.12DR
	CARRIED FORWARD BALANCE		11,090.64CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,180,630.48CR
	OPTION PREMIUMS		250.00CR
	SETTLEMENTS		
	CBT 10 Year Treasury Notes Futures		250.00CR
	CBT 30 Year Us Treasury Bonds Futures		1,125.00DR
	LME Copper Grade A 25 tonnes. This Futures		55,256.25CR
	COMMISSIONS		146.55DR
	CARRIED FORWARD BALANCE		1,235,115.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0023A
17th October 2017
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	ODAX OPTION 5 ERX				
17OCT17	S 50 OCT17 12150.00 Put DMA EL		1.00	75.00DR	250.00CR
17OCT17	S 100 OCT17 12100.00 Put DMA EL		1.00	150.00DR	500.00CR
	Dow Jones EURO Stoxx 50 10 ERX				
17OCT17	S 50 OCT17 3450.00 Put DMA EL		0.60	75.00DR	300.00CR
	Commission				300.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,306.57CR
	OPTION PREMIUMS				1,050.00CR
	COMMISSIONS				300.00DR
	CARRIED FORWARD BALANCE				8,056.57CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME E-mini S&P 500 EOM Options 50 CME				
17OCT17	B 1 NOV17 2400.00 Put GX	5.50		1.50DR	275.00DR
	Commission				1.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				51,286.08CR
	OPTION PREMIUMS				275.00DR
	COMMISSIONS				1.50DR
	CARRIED FORWARD BALANCE				51,009.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
17OCT17	ODAX OPTION 5 ERX B 1 MAR18 13800.00 Call DMA EL Commission	95.70		1.50DR	478.50DR 1.50DR
	<u>SETTLEMENTS</u>				
17OCT17	ODAX OPTION 5 ERX 1 MAR18 13800.00 Call	95.70	65.50		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				25,313.68CR
	OPTION PREMIUMS				478.50DR
	COMMISSIONS				1.50DR
	CARRIED FORWARD BALANCE				24,833.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT

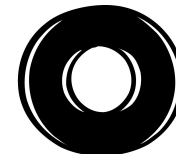


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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	B 1 DEC18 GX 51.63	51.63		2.40DR	
17OCT17	S 1 DEC19 GX 50.47		50.47	2.40DR	
17OCT17	B 1 DEC19 GX 50.34	50.34		2.40DR	
17OCT17	S 1 DEC20 GX 49.82		49.82	2.40DR	
	CMX Gold 100 CMX				
17OCT17	S 1 DEC17 1315.0 Call GX 8.9		8.9	2.40DR	890.00CR
	CBT Mini Soybean 1,000 CBT				
17OCT17	B 1 NOV17 GX 9.90125	9.90125		2.92DR	
	Commission				14.92DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 DEC18 51.63	51.63	51.41		220.00DR
17OCT17	1 DEC19 50.34	50.34	50.47		130.00CR
17OCT17	1 DEC20 51.53	51.53	49.82		1,710.00DR
	CBT Mini Soybean 1,000 CBT				
17OCT17	1 NOV17 9.90125	9.90125	9.70500		196.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				86,428.17CR
	OPTION PREMIUMS				890.00CR
	SETTLEMENTS				
	CBT Mini Soybean Futures				196.25DR
	NYM Light Sweet Crude Oil Futures				1,800.00DR
	COMMISSIONS				14.92DR
	CARRIED FORWARD BALANCE				85,307.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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17th Oct 2017

COB Global

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		32,620.25CR
	OPTION PREMIUMS		571.50CR
	COMMISSIONS		301.50DR
	CARRIED FORWARD BALANCE		32,890.25CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		137,640.68CR
	OPTION PREMIUMS		615.00CR
	SETTLEMENTS		
	CBT Mini Soybean Futures		196.25DR
	NYM Light Sweet Crude Oil Futures		1,800.00DR
	COMMISSIONS		16.42DR
	CARRIED FORWARD BALANCE		136,243.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025A
17th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6000.00	5956.50		1,087.50DR
10AUG17	1 17OCT17	6004.50	6416.00		10,287.50CR
10AUG17	1 17OCT17	5997.00	6416.00		10,475.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9615.00	9610.00		30.00DR
	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
20SEP17	S 10 NOV17 61.00 Call GX		0.02		
20SEP17	S 20 NOV17 61.50 Call GX		0.02		
20SEP17	S 20 NOV17 64.00 Call GX		0.01		
22SEP17	S 1 NOV17 63.50 Call GX		0.01		
22SEP17	S 10 NOV17 63.00 Call GX		0.01		
27SEP17	S 5 NOV17 61.00 Call GX		0.02		
29SEP17	S 1 NOV17 61.50 Call GX		0.01		
10OCT17	S 5 NOV17 56.50 Call GX		0.01		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				149,720.96DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,675.00CR
	LME Nickel 6 tonnes. This is an LME Futures				30.00DR
	CARRIED FORWARD BALANCE				130,075.96DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5998.00	5970.00		700.00DR
19JUL17	1 17OCT17	5967.53	5976.00		211.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
23AUG17	1 17OCT17	9615.00	11636.76		12,130.56CR
	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
20SEP17	S 20 NOV17 63.50 Call GX		0.01		
20SEP17	S 20 NOV17 64.50 Call GX		0.01		
20SEP17	S 40 NOV17 64.00 Call GX		0.01		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				96,514.62DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				488.25DR
	LME Nickel 6 tonnes. This is an LME Futures				12,130.56CR
	CARRIED FORWARD BALANCE				84,872.31DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025C

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
23AUG17	1 17OCT17	6000.50	6554.75		13,856.25CR
23AUG17	1 17OCT17	6001.00	6554.75		13,843.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				131,556.79DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				27,700.00CR
	CARRIED FORWARD BALANCE				103,856.79DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
17OCT17	S 2 DEC17 1235.0 Put GX		2.4	4.64DR	480.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		3137.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		3138.00	5.00DR	
	Commission				14.64DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 17OCT17	6008.00	6416.00		10,200.00CR
10AUG17	1 17OCT17	6001.00	6416.00		10,375.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10AUG17	1 17OCT17	9620.00	10970.70		8,104.20CR
	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
25SEP17	S 15 NOV17 64.00 Call GX		0.01		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				123,713.15DR
	OPTION PREMIUMS				480.00CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				20,575.00CR
	LME Nickel 6 tonnes. This is an LME Futures				8,104.20CR
	COMMISSIONS				14.64DR
	CARRIED FORWARD BALANCE				94,568.59DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>OPTIONS ABANDONED</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
08SEP17	S	25	NOV17	35.00	Put	GX		0.01		
20SEP17	S	50	NOV17	63.50	Call	GX		0.01		
20SEP17	S	50	NOV17	64.00	Call	GX		0.01		
25SEP17	S	25	NOV17	64.00	Call	GX		0.01		
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									2,164.72CR
	CARRIED FORWARD BALANCE									2,164.72CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	B 1 NOV17 52.00 Call GX	0.01		2.32DR	10.00DR
17OCT17	S 1 NOV17 52.00 Call GX		0.02	2.32DR	20.00CR
	Commission				4.64DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17 52.00 Call	0.01	0.02		0.00
	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
20SEP17	S 4 NOV17 46.00 Put GX		0.13		
20SEP17	S 2 NOV17 46.00 Put GX		0.14		
20SEP17	S 51 NOV17 43.00 Put GX		0.04		
25SEP17	S 4 NOV17 46.00 Put GX		0.08		
25SEP17	S 6 NOV17 59.00 Call GX		0.06		
25SEP17	S 8 NOV17 59.00 Call GX		0.07		
27SEP17	S 7 NOV17 56.50 Call GX		0.13		
27SEP17	S 2 NOV17 46.00 Put GX		0.06		
05OCT17	S 1 NOV17 46.00 Put GX		0.06		
06OCT17	S 1 NOV17 46.00 Put GX		0.07		
09OCT17	S 7 NOV17 47.00 Put GX		0.07		
12OCT17	S 6 NOV17 47.00 Put GX		0.02		
13OCT17	B 1 NOV17 49.50 Put GX	0.05			
16OCT17	S 1 NOV17 48.50 Put GX		0.01		
16OCT17	B 1 NOV17 54.50 Call GX	0.02			
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,471.98CR
	OPTION PREMIUMS				10.00CR
	COMMISSIONS				4.64DR
	CARRIED FORWARD BALANCE				1,477.34CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 2 17JAN18 CQG EL		2144.00	10.00DR	
17OCT17	B 2 17JAN18 CQG EL	2131.00		10.00DR	
17OCT17	S 2 17JAN18 CQG EL		2150.00	10.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 4 DEC17 GX		321.350	9.28DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 2 17JAN18 CQG EL	3076.50		10.00DR	
	Commission				49.28DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	4 DEC17	320.650	321.350		700.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,930.86DR
	SETTLEMENTS				
	CMX Copper Futures				700.00CR
	COMMISSIONS				49.28DR
	CARRIED FORWARD BALANCE				1,280.14DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	B 1 NOV17 52.00 Call GX 0.01	0.01		2.32DR	10.00DR
17OCT17	S 1 NOV17 52.00 Call GX		0.02	2.32DR	20.00CR
	Commission				4.64DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17 52.00 Call	0.01	0.02		0.00
	<u>OPTIONS ABANDONED</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
20SEP17	S 6 NOV17 43.50 Put GX		0.05		
20SEP17	S 1 NOV17 56.00 Call GX		0.15		
21SEP17	S 3 NOV17 56.00 Call GX		0.11		
21SEP17	S 1 NOV17 43.50 Put GX		0.07		
25SEP17	S 3 NOV17 43.50 Put GX		0.03		
26SEP17	S 5 NOV17 46.50 Put GX		0.08		
26SEP17	S 10 NOV17 45.00 Put GX		0.04		
26SEP17	S 1 NOV17 46.50 Put GX		0.07		
27SEP17	S 2 NOV17 58.00 Call GX		0.07		
27SEP17	S 2 NOV17 46.50 Put GX		0.07		
27SEP17	S 1 NOV17 56.00 Call GX		0.16		
27SEP17	S 3 NOV17 58.00 Call GX		0.06		
28SEP17	S 4 NOV17 58.00 Call GX		0.08		
28SEP17	S 1 NOV17 58.00 Call GX		0.07		
02OCT17	S 3 NOV17 56.00 Call GX		0.05		
02OCT17	S 4 NOV17 45.00 Put GX		0.05		
02OCT17	S 7 NOV17 56.00 Call GX		0.04		
03OCT17	S 1 NOV17 47.00 Put GX		0.13		
03OCT17	S 1 NOV17 46.50 Put GX		0.09		
04OCT17	S 10 NOV17 56.00 Call GX		0.03		
09OCT17	S 6 NOV17 47.00 Put GX		0.07		
12OCT17	S 1 NOV17 48.00 Put GX		0.03		
12OCT17	B 1 NOV17 49.50 Put GX	0.16			
12OCT17	S 15 NOV17 47.50 Put GX		0.02		
13OCT17	B 3 NOV17 49.50 Put GX	0.06			
13OCT17	S 4 NOV17 49.00 Put GX		0.03		
13OCT17	S 1 NOV17 53.50 Call GX		0.06		
16OCT17	S 1 NOV17 49.00 Put GX		0.01		
16OCT17	S 1 NOV17 54.00 Call GX		0.03		
16OCT17	S 2 NOV17 48.50 Put GX		0.01		
16OCT17	B 1 NOV17 53.00 Call GX	0.03			
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,685.21CR
	OPTION PREMIUMS				10.00CR
	COMMISSIONS				4.64DR
	CARRIED FORWARD BALANCE				1,690.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		523,055.74CR
	OPTION PREMIUMS		500.00CR
	SETTLEMENTS		
	CMX Copper Futures		700.00CR
	LME Copper Grade A 25 tonnes. This Futures		67,461.75CR
	LME Nickel 6 tonnes. This is an LME Futures		20,204.76CR
	COMMISSIONS		73.20DR
	CARRIED FORWARD BALANCE		611,849.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CNX Nifty (India) Index 2 SIM				
17OCT17	B 1 OCT17 EL	10249.50		1.73DR	
17OCT17	B 8 OCT17 EL	10251.00		13.84DR	
17OCT17	B 6 OCT17 EL	10250.50		10.38DR	
17OCT17	B 3 OCT17 EL	10244.00		5.19DR	
17OCT17	B 5 OCT17 EL	10240.00		8.65DR	
17OCT17	B 2 OCT17 EL	10239.50		3.46DR	
17OCT17	B 2 OCT17 EL	10239.00		3.46DR	
17OCT17	B 2 OCT17 EL	10238.50		3.46DR	
17OCT17	B 1 OCT17 EL	10238.00		1.73DR	
17OCT17	B 6 OCT17 EL	10248.50		10.38DR	
17OCT17	B 3 OCT17 EL	10247.50		5.19DR	
17OCT17	B 7 OCT17 EL	10246.50		12.11DR	
17OCT17	B 5 OCT17 EL	10247.00		8.65DR	
17OCT17	B 6 OCT17 EL	10246.00		10.38DR	
17OCT17	B 2 OCT17 EL	10245.50		3.46DR	
17OCT17	B 8 OCT17 EL	10245.00		13.84DR	
17OCT17	B 3 OCT17 EL	10244.50		5.19DR	
17OCT17	B 7 OCT17 EL	10243.00		12.11DR	
17OCT17	B 3 OCT17 EL	10242.50		5.19DR	
17OCT17	B 7 OCT17 EL	10242.00		12.11DR	
17OCT17	B 13 OCT17 EL	10243.50		22.49DR	
17OCT17	B 10 OCT17 EL	10258.50		17.30DR	
17OCT17	S 10 OCT17 EL		10268.00	17.30DR	
17OCT17	B 1 OCT17 EL	10268.00		1.73DR	
17OCT17	B 1 OCT17 EL	10270.50		1.73DR	
17OCT17	S 10 OCT17 EL		10257.50	17.30DR	
17OCT17	S 2 OCT17 EL		10264.00	3.46DR	
17OCT17	S 9 OCT17 EL		10263.00	15.57DR	
17OCT17	S 19 OCT17 EL		10263.50	32.87DR	
17OCT17	B 2 OCT17 EL	10259.50		3.46DR	
17OCT17	B 3 OCT17 EL	10260.50		5.19DR	
17OCT17	B 6 OCT17 EL	10261.00		10.38DR	
17OCT17	B 1 OCT17 EL	10261.50		1.73DR	
17OCT17	B 2 OCT17 EL	10263.00		3.46DR	
17OCT17	B 7 OCT17 EL	10262.50		12.11DR	
17OCT17	B 8 OCT17 EL	10262.00		13.84DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	B 2 NOV17 TTR II	51.91		2.44DR	
17OCT17	B 5 NOV17 TTR II	51.92		6.10DR	
17OCT17	B 1 NOV17 TTR II	51.93		1.22DR	
17OCT17	B 1 NOV17 TTR II	51.95		1.22DR	
17OCT17	B 2 NOV17 TTR II	51.97		2.44DR	
17OCT17	S 2 NOV17 TTR II		52.19	2.44DR	
17OCT17	S 1 NOV17 TTR II		52.17	1.22DR	
17OCT17	S 5 NOV17 TTR II		52.18	6.10DR	
17OCT17	S 2 NOV17 TTR II		52.20	2.44DR	
17OCT17	S 1 NOV17 TTR II		52.21	1.22DR	
17OCT17	S 4 NOV17 TTR II		52.22	4.88DR	
17OCT17	B 1 NOV17 TTR II	52.17		1.22DR	
17OCT17	B 2 NOV17 TTR II	52.14		2.44DR	
17OCT17	S 2 NOV17 TTR II		52.12	2.44DR	
17OCT17	S 2 NOV17 TTR II		52.13	2.44DR	
17OCT17	S 4 NOV17 TTR II		52.14	4.88DR	
17OCT17	S 1 NOV17 TTR II		52.11	1.22DR	
17OCT17	S 4 NOV17 TTR II		52.15	4.88DR	
17OCT17	S 1 NOV17 TTR II		52.08	1.22DR	
17OCT17	S 1 NOV17 TTR II		52.01	1.22DR	
17OCT17	B 1 NOV17 TTR II	51.62		1.22DR	
17OCT17	B 2 NOV17 TTR II	51.65		2.44DR	
17OCT17	B 2 NOV17 TTR II	51.58		2.44DR	
17OCT17	B 2 NOV17 TTR II	51.38		2.44DR	
17OCT17	B 1 NOV17 TTR II	51.67		1.22DR	
17OCT17	S 1 NOV17 TTR II		51.72	1.22DR	

Group No: SAPG0034

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

17th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	NOV17	TTR	II	51.68		1.22DR	
17OCT17	S	1	DEC17	TTR	II		52.44	1.22DR	
17OCT17	B	1	DEC17	TTR	II	51.57		1.22DR	
	CMX Gold 100 CMX								
17OCT17	B	1	DEC17	TTR	II	1294.6		1.58DR	
17OCT17	S	1	DEC17	TTR	II		1294.9	1.58DR	
17OCT17	S	1	DEC17	TTR	II		1295.0	1.58DR	
17OCT17	B	1	DEC17	TTR	II	1294.0		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1291.8		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1291.7		1.58DR	
17OCT17	S	1	DEC17	TTR	II		1291.4	1.58DR	
17OCT17	S	1	DEC17	TTR	II		1290.2	1.58DR	
17OCT17	B	1	DEC17	TTR	II	1287.9		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1288.2		1.58DR	
17OCT17	B	2	DEC17	TTR	II	1288.6		3.16DR	
17OCT17	B	1	DEC17	TTR	II	1287.7		1.58DR	
17OCT17	B	2	DEC17	TTR	II	1288.0		3.16DR	
17OCT17	B	1	DEC17	TTR	II	1286.6		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1287.5		1.58DR	
17OCT17	S	1	DEC17	TTR	II		1286.3	1.58DR	
17OCT17	S	2	DEC17	TTR	II		1286.4	3.16DR	
17OCT17	S	1	DEC17	TTR	II		1286.8	1.58DR	
	NYM Henry Hub Natural Gas 10,000 NYM								
17OCT17	B	1	NOV17	TTR	II	2.98800		1.22DR	
17OCT17	S	1	NOV17	TTR	II		2.99100	1.22DR	
17OCT17	B	1	NOV17	TTR	II	2.98900		1.22DR	
17OCT17	S	1	NOV17	TTR	II		3.01100	1.22DR	
17OCT17	S	1	NOV17	TTR	II		3.01200	1.22DR	
17OCT17	S	1	NOV17	TTR	II		3.01000	1.22DR	
	CMX Silver 5,000 CMX								
17OCT17	B	3	DEC17	TTR	II	1722.0		4.74DR	
17OCT17	B	2	DEC17	TTR	II	1721.0		3.16DR	
17OCT17	B	1	DEC17	TTR	II	1719.5		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1718.5		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1716.0		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1715.0		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1712.5		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1713.5		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1714.5		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1709.5		1.58DR	
17OCT17	B	2	DEC17	TTR	II	1709.0		3.16DR	
17OCT17	B	1	DEC17	TTR	II	1708.0		1.58DR	
17OCT17	B	1	DEC17	TTR	II	1707.5		1.58DR	
17OCT17	S	1	DEC17	TTR	II		1704.5	1.58DR	
17OCT17	S	1	DEC17	TTR	II		1705.0	1.58DR	
17OCT17	S	2	DEC17	TTR	II		1704.0	3.16DR	
17OCT17	S	1	DEC17	TTR	II		1703.0	1.58DR	
	Commission								474.01DR
	SETTLEMENTS								
	CNX Nifty (India) Index 2 SIM								
17OCT17		1	OCT17			10249.50	10268.00		37.00CR
17OCT17		1	OCT17			10251.00	10268.00		34.00CR
17OCT17		3	OCT17			10250.50	10268.00		105.00CR
17OCT17		5	OCT17			10251.00	10268.00		170.00CR
17OCT17		1	OCT17			10251.00	10257.50		13.00CR
17OCT17		1	OCT17			10250.50	10257.50		14.00CR
17OCT17		1	OCT17			10251.00	10257.50		13.00CR
17OCT17		2	OCT17			10250.50	10257.50		28.00CR
17OCT17		1	OCT17			10245.50	10257.50		24.00CR
17OCT17		1	OCT17			10245.00	10257.50		25.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 OCT17	10246.00	10257.50		23.00CR
17OCT17	1 OCT17	10245.00	10257.50		25.00CR
17OCT17	1 OCT17	10244.00	10257.50		27.00CR
17OCT17	1 OCT17	10243.50	10263.50		40.00CR
17OCT17	3 OCT17	10243.00	10263.00		120.00CR
17OCT17	4 OCT17	10242.00	10263.00		168.00CR
17OCT17	1 OCT17	10240.00	10263.00		46.00CR
17OCT17	2 OCT17	10244.00	10264.00		80.00CR
17OCT17	1 OCT17	10248.50	10263.00		29.00CR
17OCT17	1 OCT17	10246.50	10263.50		34.00CR
17OCT17	1 OCT17	10247.50	10263.50		32.00CR
17OCT17	1 OCT17	10248.50	10263.50		30.00CR
17OCT17	1 OCT17	10245.00	10263.50		37.00CR
17OCT17	1 OCT17	10244.50	10263.50		38.00CR
17OCT17	1 OCT17	10245.00	10263.50		37.00CR
17OCT17	3 OCT17	10243.00	10263.50		123.00CR
17OCT17	2 OCT17	10242.50	10263.50		84.00CR
17OCT17	1 OCT17	10242.00	10263.50		43.00CR
17OCT17	4 OCT17	10240.00	10263.50		188.00CR
17OCT17	2 OCT17	10239.50	10263.50		96.00CR
17OCT17	2 OCT17	10239.00	9989.00		1,000.00DR
17OCT17	2 OCT17	10238.50	9989.00		998.00DR
17OCT17	1 OCT17	10238.00	9989.00		498.00DR
17OCT17	1 OCT17	10247.00	9989.00		516.00DR
17OCT17	1 OCT17	10248.50	9989.00		519.00DR
17OCT17	1 OCT17	10246.00	9989.00		514.00DR
17OCT17	1 OCT17	10248.50	9989.00		519.00DR
17OCT17	2 OCT17	10248.50	9985.50		1,052.00DR
17OCT17	2 OCT17	10247.50	9985.50		1,048.00DR
17OCT17	1 OCT17	10247.00	9985.50		523.00DR
17OCT17	1 OCT17	10246.50	9985.00		523.00DR
17OCT17	1 OCT17	10246.00	9985.00		522.00DR
17OCT17	1 OCT17	10246.50	9985.00		523.00DR
17OCT17	1 OCT17	10247.00	9985.00		524.00DR
17OCT17	2 OCT17	10246.50	9985.00		1,046.00DR
17OCT17	1 OCT17	10247.00	9998.00		498.00DR
17OCT17	2 OCT17	10246.50	9998.00		994.00DR
17OCT17	1 OCT17	10247.00	9998.00		498.00DR
17OCT17	3 OCT17	10246.00	9998.00		1,488.00DR
17OCT17	1 OCT17	10245.50	9998.00		495.00DR
17OCT17	2 OCT17	10245.00	9998.00		988.00DR
17OCT17	2 OCT17	10245.00	9993.50		1,006.00DR
17OCT17	2 OCT17	10244.50	9993.50		1,004.00DR
17OCT17	1 OCT17	10243.50	9993.50		500.00DR
17OCT17	2 OCT17	10243.50	9993.00		1,002.00DR
17OCT17	1 OCT17	10243.00	9993.50		499.00DR
17OCT17	3 OCT17	10243.50	9992.00		1,509.00DR
17OCT17	1 OCT17	10243.50	10048.00		391.00DR
17OCT17	1 OCT17	10243.50	10040.00		407.00DR
17OCT17	1 OCT17	10243.50	10011.00		465.00DR
17OCT17	2 OCT17	10243.50	10012.00		926.00DR
17OCT17	1 OCT17	10242.50	10012.00		461.00DR
17OCT17	1 OCT17	10242.00	10012.00		460.00DR
17OCT17	1 OCT17	10242.00	10018.00		448.00DR
17OCT17	1 OCT17	10243.50	10018.00		451.00DR
17OCT17	1 OCT17	10258.50	10025.00		467.00DR
17OCT17	9 OCT17	10258.50	10025.00		4,203.00DR
17OCT17	1 OCT17	10268.00	10080.50		375.00DR
17OCT17	1 OCT17	10270.50	10081.00		379.00DR
17OCT17	1 OCT17	10260.50	10082.00		357.00DR
17OCT17	1 OCT17	10259.50	10084.00		351.00DR
17OCT17	1 OCT17	10259.50	10110.00		299.00DR
17OCT17	1 OCT17	10260.50	10110.00		301.00DR
17OCT17	1 OCT17	10261.00	10110.00		302.00DR
17OCT17	1 OCT17	10260.50	10110.00		301.00DR
17OCT17	5 OCT17	10261.00	10110.00		1,510.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 OCT17	10262.00	10110.00		304.00DR
17OCT17	1 OCT17	10261.50	10124.00		275.00DR
17OCT17	3 OCT17	10262.00	10124.00		828.00DR
17OCT17	2 OCT17	10262.50	10124.00		554.00DR
17OCT17	3 OCT17	10262.00	10124.00		828.00DR
17OCT17	1 OCT17	10263.00	10124.00		278.00DR
17OCT17	1 OCT17	10263.00	10172.00		182.00DR
17OCT17	1 OCT17	10262.50	10171.50		182.00DR
17OCT17	1 OCT17	10262.50	10172.00		181.00DR
17OCT17	2 OCT17	10262.50	10172.50		360.00DR
17OCT17	1 OCT17	10262.50	10173.00		179.00DR
17OCT17	1 OCT17	10262.00	10173.00		178.00DR
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17	51.91	52.15		240.00CR
17OCT17	1 NOV17	51.91	52.15		240.00CR
17OCT17	2 NOV17	51.92	52.19		540.00CR
17OCT17	1 NOV17	51.92	52.20		280.00CR
17OCT17	1 NOV17	51.92	52.18		260.00CR
17OCT17	1 NOV17	51.92	52.17		250.00CR
17OCT17	1 NOV17	51.93	52.18		250.00CR
17OCT17	1 NOV17	51.95	52.18		230.00CR
17OCT17	1 NOV17	51.97	52.18		210.00CR
17OCT17	1 NOV17	51.97	52.18		210.00CR
17OCT17	1 NOV17	52.17	52.20		30.00CR
17OCT17	1 NOV17	52.14	52.21		70.00CR
17OCT17	1 NOV17	52.14	52.22		80.00CR
17OCT17	1 NOV17	51.62	52.22		600.00CR
17OCT17	1 NOV17	51.65	52.22		570.00CR
17OCT17	1 NOV17	51.65	52.22		570.00CR
17OCT17	1 NOV17	51.58	52.12		540.00CR
17OCT17	1 NOV17	51.58	52.12		540.00CR
17OCT17	1 NOV17	51.38	52.14		760.00CR
17OCT17	1 NOV17	51.38	52.14		760.00CR
17OCT17	1 NOV17	51.67	52.14		470.00CR
17OCT17	1 NOV17	51.68	52.13		450.00CR
17OCT17	1 DEC17	51.57	52.44		870.00CR
	CMX Gold 100 CMX				
17OCT17	1 DEC17	1294.6	1294.9		30.00CR
17OCT17	1 DEC17	1294.0	1295.0		100.00CR
17OCT17	1 DEC17	1291.8	1291.4		40.00DR
17OCT17	1 DEC17	1291.7	1290.2		150.00DR
17OCT17	1 DEC17	1287.9	1286.4		150.00DR
17OCT17	1 DEC17	1288.2	1286.3		190.00DR
17OCT17	1 DEC17	1288.6	1286.4		220.00DR
17OCT17	1 DEC17	1288.6	1286.8		180.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17	2.98800	2.99100		30.00CR
17OCT17	1 NOV17	2.98900	3.01100		220.00CR
17OCT17	1 NOV17	2.96600	3.01200		460.00CR
17OCT17	1 NOV17	2.96400	3.01000		460.00CR
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1722.0	1704.5		875.00DR
17OCT17	1 DEC17	1722.0	1705.0		850.00DR
17OCT17	1 DEC17	1722.0	1704.0		900.00DR
17OCT17	1 DEC17	1721.0	1704.0		850.00DR
17OCT17	1 DEC17	1721.0	1703.0		900.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				37,580.31CR
	SETTLEMENTS				
	CMX Silver Futures				4,375.00DR
	NYM Henry Hub Natural Gas Futures				1,170.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Gold Futures				800.00DR
	NYM Light Sweet Crude Oil Futures				9,020.00CR
	CNX Nifty (India) Index Futures				36,226.00DR
	COMMISSIONS				474.01DR
	CARRIED FORWARD BALANCE				5,895.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034G

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 DMA EL		2142.50	4.60DR	
17OCT17	S 1 17JAN18 DMA EL		2143.00	4.60DR	
	CME Euro FX 125,000 CME				
17OCT17	B 2 DEC17 II	1.18140		3.00DR	
	CBT 2 Year Treasury Notes 2,000 CBT				
17OCT17	B 2 DEC17 II	107.2275		3.00DR	
17OCT17	S 2 DEC17 II		107.2250	3.00DR	
	Commission				18.20DR
	<u>SETTLEMENTS</u>				
	CME Euro FX 125,000 CME				
17OCT17	1 DEC17	1.18140	1.18960		1,025.00CR
17OCT17	1 DEC17	1.18140	1.18845		881.25CR
	CBT 2 Year Treasury Notes 2,000 CBT				
17OCT17	1 DEC17	107.2275	107.2250		15.63DR
17OCT17	1 DEC17	107.2275	107.2250		15.63DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,272,859.17CR
	SETTLEMENTS				
	CME Euro FX Futures				1,906.25CR
	CBT 2 Year Treasury Notes Futures				31.26DR
	COMMISSIONS				18.20DR
	CARRIED FORWARD BALANCE				1,274,715.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034H

17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19JUL17	1 17OCT17	2217.30	2295.00		1,942.50CR
19JUL17	1 17OCT17	2217.30	2296.00		1,967.50CR
19JUL17	1 17OCT17	2217.30	2326.00		2,717.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9710.00	9645.00		390.00DR
17JUL17	1 17OCT17	9710.00	9705.00		30.00DR
17JUL17	1 17OCT17	9620.00	9710.00		540.00CR
17JUL17	1 17OCT17	9620.00	9715.00		570.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2828.00	2835.00		175.00CR
17JUL17	1 17OCT17	2828.00	2835.50		187.50CR
17JUL17	1 17OCT17	2819.50	2832.00		312.50CR
17JUL17	1 17OCT17	2820.00	2832.00		300.00CR
17JUL17	1 17OCT17	2813.50	2831.00		437.50CR
17JUL17	1 17OCT17	2822.50	2832.50		250.00CR
17JUL17	1 17OCT17	2824.50	2828.50		100.00CR
17JUL17	1 17OCT17	2824.50	2828.50		100.00CR
17JUL17	1 17OCT17	2828.50	2828.00		12.50DR
17JUL17	1 17OCT17	2829.00	2829.00		0.00
17JUL17	1 17OCT17	2833.50	2835.50		50.00CR
17JUL17	1 17OCT17	2818.00	2838.00		500.00CR
17JUL17	1 17OCT17	2818.00	2842.00		600.00CR
17JUL17	1 17OCT17	2818.00	2843.50		637.50CR
17JUL17	1 17OCT17	2819.50	2844.00		612.50CR
17JUL17	1 17OCT17	2819.50	2834.50		375.00CR
17JUL17	1 17OCT17	2819.50	2833.50		350.00CR
17JUL17	1 17OCT17	2819.50	2835.00		387.50CR
17JUL17	1 17OCT17	2824.50	2835.50		275.00CR
24JUL17	1 17OCT17	2788.86	2824.50		891.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,741.10DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				6,627.50CR
	LME Zinc 25 tonnes. This is an LME Futures				6,528.50CR
	LME Nickel 6 tonnes. This is an LME Futures				690.00CR
	CARRIED FORWARD BALANCE				7,895.10DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034L

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
17OCT17	S 40 DEC17 CQG II		98.4950	60.00DR	
17OCT17	S 20 DEC17 CQG II		98.4900	30.00DR	
17OCT17	B 50 DEC18 CQG II	98.0800		75.00DR	
17OCT17	B 10 DEC18 CQG II	98.0750		15.00DR	
17OCT17	B 20 DEC18 CQG II	98.0700		30.00DR	
17OCT17	B 10 DEC18 CQG II	98.0550		15.00DR	
17OCT17	B 50 DEC19 CQG II	97.8850		75.00DR	
17OCT17	S 50 DEC20 CQG II		97.7750	75.00DR	
	CME Euro FX 125,000 CME				
17OCT17	B 1 DEC17 CQG II	1.18160		1.50DR	
17OCT17	B 1 DEC17 CQG II	1.18100		1.50DR	
17OCT17	B 1 DEC17 CQG II	1.18050		1.50DR	
	Commission				379.50DR
	<u>SETTLEMENTS</u>				
	CME Euro FX 125,000 CME				
17OCT17	1 DEC17	1.18160	1.18970		1,012.50CR
17OCT17	1 DEC17	1.18100	1.18970		1,087.50CR
17OCT17	1 DEC17	1.18050	1.18755		881.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				106,528.05DR
	SETTLEMENTS				
	CME Euro FX Futures				2,981.25CR
	COMMISSIONS				379.50DR
	CARRIED FORWARD BALANCE				103,926.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0034Q 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 10 Year Treasury Notes 1,000 CBT				
17OCT17	S 3 DEC17 TTR II		125.0950	4.50DR	
	CBT 2 Year Treasury Notes 2,000 CBT				
17OCT17	B 5 DEC17 TTR II	107.2275		7.50DR	
17OCT17	B 5 DEC17 TTR II	107.2175		7.50DR	
17OCT17	B 6 DEC17 TTR II	107.2200		9.00DR	
	ICE US Dollar Index 1,000 FIN				
17OCT17	S 1 DEC17 TTR EL		93.495	2.30DR	
17OCT17	S 1 DEC17 TTR EL		93.460	2.30DR	
	Commission				33.10DR
	<u>SETTLEMENTS</u>				
	ICE US Dollar Index 1,000 FIN				
17OCT17	1 DEC17	93.790	93.495		295.00DR
17OCT17	1 DEC17	93.790	93.460		330.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,189,843.98DR
	SETTLEMENTS				
	ICE US Dollar Index Futures				625.00DR
	COMMISSIONS				33.10DR
	CARRIED FORWARD BALANCE				1,190,502.08DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034
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SAPG0034

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		523,323.54CR
	SETTLEMENTS		
	CBT 2 Year Treasury Notes Futures		31.26DR
	CME Euro FX Futures		4,887.50CR
	CMX Gold Futures		800.00DR
	CMX Silver Futures		4,375.00DR
	CNX Nifty (India) Index Futures		36,226.00DR
	ICE US Dollar Index Futures		625.00DR
	LME Lead 25 tonnes. This is an Futures		6,627.50CR
	LME Nickel 6 tonnes. This is an LME Futures		690.00CR
	LME Zinc 25 tonnes. This is an LME Futures		6,528.50CR
	NYM Henry Hub Natural Gas Futures		1,170.00CR
	NYM Light Sweet Crude Oil Futures		9,020.00CR
	COMMISSIONS		904.81DR
	CARRIED FORWARD BALANCE		509,284.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Gold 1,000 TCM				
17OCT17	S 6 AUG18 TTR EL		4650	1,740DR	
17OCT17	S 24 AUG18 TTR EL		4648	6,960DR	
	Commission				8,700DR
	<u>SETTLEMENTS</u>				
	Gold 1,000 TCM				
17OCT17	3 AUG18	4664	4650		42,000DR
17OCT17	3 AUG18	4664	4648		48,000DR
17OCT17	3 AUG18	4664	4650		42,000DR
17OCT17	10 AUG18	4664	4648		160,000DR
17OCT17	11 AUG18	4667	4648		209,000DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				11,440,795CR
	SETTLEMENTS				
	Gold Futures				501,000DR
	COMMISSIONS				8,700DR
	CARRIED FORWARD BALANCE				10,931,095CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038B

17th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
17OCT17	B 5 DEC17 TTR GX 1294.9			11.50DR	
17OCT17	B 1 DEC17 TTR GX 1294.8			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1292.6			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1292.5			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1291.7			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1291.4			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1292.2			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1292.4			2.30DR	
17OCT17	B 3 DEC17 TTR GX 1291.0			6.90DR	
17OCT17	B 3 DEC17 TTR GX 1286.6			6.90DR	
17OCT17	B 1 DEC17 TTR GX 1286.7			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1287.6			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1287.4			2.30DR	
17OCT17	B 1 DEC17 TTR GX 1285.0			2.30DR	
17OCT17	S 1 DEC17 TTR GX		1288.0	2.30DR	
17OCT17	S 1 DEC17 TTR GX		1289.1	2.30DR	
	CME Japanese Yen 12,500,000 CME				
17OCT17	S 1 DEC17 TTR GX		89.470	2.30DR	
17OCT17	S 2 DEC17 TTR GX		89.475	4.60DR	
17OCT17	S 2 DEC17 TTR GX		89.480	4.60DR	
17OCT17	S 1 DEC17 TTR GX		89.380	2.30DR	
17OCT17	S 2 DEC17 TTR GX		89.385	4.60DR	
17OCT17	S 1 DEC17 TTR GX		89.370	2.30DR	
17OCT17	S 1 DEC17 TTR GX		89.320	2.30DR	
17OCT17	S 4 DEC17 TTR GX		89.365	9.20DR	
17OCT17	S 3 DEC17 TTR GX		89.400	6.90DR	
17OCT17	S 1 DEC17 TTR GX		89.260	2.30DR	
17OCT17	S 1 DEC17 TTR GX		89.280	2.30DR	
17OCT17	S 1 DEC17 TTR GX		89.300	2.30DR	
	Commission				101.20DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
17OCT17	1 DEC17	1294.9	1288.0		690.00DR
17OCT17	1 DEC17	1294.9	1289.1		580.00DR
17OCT17	2 DEC17	1294.9	1304.3		1,880.00CR
17OCT17	1 DEC17	1294.9	1304.2		930.00CR
17OCT17	1 DEC17	1294.8	1304.4		960.00CR
17OCT17	1 DEC17	1292.6	1304.4		1,180.00CR
17OCT17	1 DEC17	1292.5	1304.3		1,180.00CR
17OCT17	1 DEC17	1291.7	1304.0		1,230.00CR
17OCT17	1 DEC17	1291.4	1304.1		1,270.00CR
17OCT17	1 DEC17	1292.2	1304.1		1,190.00CR
17OCT17	1 DEC17	1292.4	1304.2		1,180.00CR
17OCT17	2 DEC17	1291.0	1304.1		2,620.00CR
17OCT17	1 DEC17	1291.0	1304.2		1,320.00CR
17OCT17	2 DEC17	1286.6	1304.2		3,520.00CR
17OCT17	1 DEC17	1286.6	1304.1		1,750.00CR
17OCT17	1 DEC17	1286.7	1304.1		1,740.00CR
17OCT17	1 DEC17	1287.6	1303.4		1,580.00CR
17OCT17	1 DEC17	1287.4	1304.5		1,710.00CR
17OCT17	1 DEC17	1285.0	1304.5		1,950.00CR
	CME Japanese Yen 12,500,000 CME				
17OCT17	1 DEC17	89.745	89.470		343.75DR
17OCT17	1 DEC17	89.745	89.475		337.50DR
17OCT17	1 DEC17	89.740	89.475		331.25DR
17OCT17	1 DEC17	89.745	89.480		331.25DR
17OCT17	1 DEC17	89.745	89.480		331.25DR
17OCT17	1 DEC17	89.735	89.380		443.75DR

Group No: SAPG0038

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17	89.735	89.385		437.50DR
17OCT17	1 DEC17	89.660	89.385		343.75DR
17OCT17	1 DEC17	89.660	89.370		362.50DR
17OCT17	1 DEC17	89.665	89.365		375.00DR
17OCT17	1 DEC17	89.665	89.365		375.00DR
17OCT17	1 DEC17	89.665	89.320		431.25DR
17OCT17	1 DEC17	89.665	89.365		375.00DR
17OCT17	1 DEC17	89.665	89.365		375.00DR
17OCT17	2 DEC17	89.665	89.400		662.50DR
17OCT17	1 DEC17	89.665	89.400		331.25DR
17OCT17	1 DEC17	89.665	89.260		506.25DR
17OCT17	1 DEC17	89.665	89.280		481.25DR
17OCT17	1 DEC17	89.660	89.300		450.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				97,445.20DR
	SETTLEMENTS				
	CME Japanese Yen Futures				7,625.00DR
	CMX Gold Futures				25,920.00CR
	COMMISSIONS				101.20DR
	CARRIED FORWARD BALANCE				79,251.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP00380
17th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
17OCT17	B 1 DEC19 TTR EL 50.53	50.53		1.68DR	
17OCT17	B 1 DEC19 TTR EL 50.46	50.46		1.68DR	
	IEU Brent Crude 1,000 IPE				
17OCT17	S 1 DEC19 TTR EL 54.69		54.69	1.68DR	
17OCT17	S 1 DEC19 TTR EL 54.64		54.64	1.68DR	
	Commission				6.72DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
17OCT17	1 DEC19 50.53	50.53	50.83		300.00CR
17OCT17	1 DEC19 50.46	50.46	51.06		600.00CR
	IEU Brent Crude 1,000 IPE				
17OCT17	1 DEC19 54.84	54.84	54.69		150.00DR
17OCT17	1 DEC19 54.96	54.96	54.64		320.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				69,008.45CR
	SETTLEMENTS				
	IEU Brent Crude Futures				470.00DR
	IEU WTI Crude Oil Futures Futures				900.00CR
	COMMISSIONS				6.72DR
	CARRIED FORWARD BALANCE				69,431.73CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
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SAPG0038

17th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		11,440,795CR
	SETTLEMENTS		
	Gold Futures		501,000DR
	COMMISSIONS		8,700DR
	CARRIED FORWARD BALANCE		10,931,095CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		210,279.00CR
	SETTLEMENTS		
	CME Japanese Yen Futures		7,625.00DR
	CMX Gold Futures		25,920.00CR
	IEU Brent Crude Futures		470.00DR
	IEU WTI Crude Oil Futures Futures		900.00CR
	COMMISSIONS		107.92DR
	CARRIED FORWARD BALANCE		228,896.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045B

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
11SEP17	1 17OCT17	2257.62	2324.00		1,659.50CR
11SEP17	1 17OCT17	2257.62	2324.00		1,659.50CR
11SEP17	1 17OCT17	2257.62	2314.00		1,409.50CR
12SEP17	1 17OCT17	2291.59	2314.00		560.25CR
12SEP17	1 17OCT17	2291.59	2314.00		560.25CR
27SEP17	1 17OCT17	2291.59	2458.27		4,167.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,050.95DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				10,016.00CR
	CARRIED FORWARD BALANCE				965.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		2530.50	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		2525.50	10.00DR	
17OCT17	S 1 17JAN18 DMA EL		2509.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		2510.00	5.00DR	
	CMX Silver 5,000 CMX				
17OCT17	B 3 DEC17 GX 1715.5			7.65DR	
17OCT17	B 1 DEC17 GX 1715.0			2.55DR	
17OCT17	B 1 DEC17 GX 1699.5			2.55DR	
17OCT17	B 1 DEC17 GX 1700.5			2.55DR	
17OCT17	B 1 DEC17 GX 1701.5			2.55DR	
17OCT17	B 1 DEC17 GX 1700.0			2.55DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 3162.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3151.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3152.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3154.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3150.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3139.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3135.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3136.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3140.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3125.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3125.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3126.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3127.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3118.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3124.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3138.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 3155.00			5.00DR	
17OCT17	S 1 17JAN18 DMA EL		3111.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		3111.50	5.00DR	
17OCT17	S 2 17JAN18 DMA EL		3076.00	10.00DR	
	Commission				150.40DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
31JUL17	1 17OCT17	2319.50	2331.06		289.00CR
31JUL17	1 17OCT17	2319.50	2331.06		289.00CR
31JUL17	1 17OCT17	2319.50	2331.06		289.00CR
31JUL17	1 17OCT17	2319.50	2331.06		289.00CR
31JUL17	1 17OCT17	2319.50	2331.06		289.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2820.00	2816.50		87.50DR
17JUL17	1 17OCT17	2820.00	2825.00		125.00CR
27JUL17	1 17OCT17	2820.00	2800.95		476.25DR
27JUL17	1 17OCT17	2820.00	2800.95		476.25DR
27JUL17	1 17OCT17	2819.00	2800.95		451.25DR
27JUL17	1 17OCT17	2819.00	2800.95		451.25DR
27JUL17	1 17OCT17	2827.00	2800.95		651.25DR
27JUL17	1 17OCT17	2827.50	2800.95		663.75DR
27JUL17	1 17OCT17	2829.00	2800.95		701.25DR
27JUL17	1 17OCT17	2829.00	2800.95		701.25DR
27JUL17	1 17OCT17	2825.00	2800.95		601.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045G

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
27JUL17	1 17OCT17	2826.00	2800.95		626.25DR
27JUL17	1 17OCT17	2826.00	2800.95		626.25DR
27JUL17	1 17OCT17	2819.00	2800.95		451.25DR
27JUL17	1 17OCT17	2825.00	2800.95		601.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				163,551.86CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,445.00CR
	LME Zinc 25 tonnes. This is an LME Futures				7,441.25DR
	COMMISSIONS				150.40DR
	CARRIED FORWARD BALANCE				157,405.21CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 TTR EL		2142.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2143.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2146.50	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2147.50		5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7115.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7116.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7111.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7106.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7120.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7111.50	10.00DR	
17OCT17	S 2 17JAN18 TTR EL		7110.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7104.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7081.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7083.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7079.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7070.50	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7115.00	5.00DR	
	CMX Gold 100 CMX				
17OCT17	B 1 DEC17 TTR GX	1285.9		2.55DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		2521.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2522.00		5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	11880.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11755.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11730.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11685.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11680.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11675.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11760.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11750.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11790.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		11705.00	5.00DR	
17OCT17	S 3 17JAN18 TTR EL		11700.00	15.00DR	
17OCT17	S 1 17JAN18 TTR EL		11675.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		11660.00	5.00DR	
17OCT17	B 3 17JAN18 TTR EL	11710.00		15.00DR	
17OCT17	B 1 17JAN18 TTR EL	11705.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11700.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11655.00		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		11675.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		11720.00	5.00DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 TTR GX	1714.5		2.55DR	
17OCT17	B 1 DEC17 TTR GX	1702.0		2.55DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		20660.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	20420.00		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		20365.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		3192.50	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3191.50		5.00DR	

Group No: SAPG0045

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045I
17th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	17JAN18	TTR	EL	3193.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3189.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3190.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3181.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3115.50		5.00DR	
	Commission								277.65DR
	<u>SETTLEMENTS</u>								
	CMX Silver 5,000 CMX								
17OCT17		1	DEC17			1714.5	1739.5		1,250.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								15,431.25DR
	SETTLEMENTS								
	CMX Silver Futures								1,250.00CR
	COMMISSIONS								277.65DR
	CARRIED FORWARD BALANCE								14,458.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0045
SUITE A, 105 PICCADILLY
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SAPG0045

17th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		827,336.87CR
	SETTLEMENTS		
	CMX Silver Futures		1,250.00CR
	LME Lead 25 tonnes. This is an Futures		11,461.00CR
	LME Zinc 25 tonnes. This is an LME Futures		7,441.25DR
	COMMISSIONS		428.05DR
	CARRIED FORWARD BALANCE		832,178.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
17OCT17	S 1 DEC17 1290.0 Call GX		17.6	2.35DR	1,760.00CR
17OCT17	S 1 DEC17 1295.0 Call GX		15.7	2.35DR	1,570.00CR
17OCT17	S 1 DEC17 1290.0 Call GX		18.3	2.35DR	1,830.00CR
	Commission				7.05DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				179,449.90CR
	OPTION PREMIUMS				5,160.00CR
	COMMISSIONS				7.05DR
	CARRIED FORWARD BALANCE				184,602.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 1 FEB18 CQG GX Commission		1295.1	2.35DR	2.35DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 122,650.51CR COMMISSIONS 2.35DR CARRIED FORWARD BALANCE 122,648.16CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
17OCT17	B 1 DEC17 GX	1294.6		2.35DR	
17OCT17	S 1 DEC17 GX		1286.5	2.35DR	
17OCT17	S 2 DEC17 GX		1286.8	4.70DR	
17OCT17	S 2 DEC17 GX		1287.0	4.70DR	
17OCT17	S 3 DEC17 GX		1287.1	7.05DR	
17OCT17	S 1 DEC17 GX		1286.9	2.35DR	
	Commission				23.50DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
17OCT17	1 DEC17	1294.6	1286.5		810.00DR
17OCT17	1 DEC17	1291.5	1286.8		470.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				139,326.25CR
	SETTLEMENTS				
	CMX Gold Futures				1,280.00DR
	COMMISSIONS				23.50DR
	CARRIED FORWARD BALANCE				138,022.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	S 2 DEC17 350.000 Call CQG GX		1.300	4.70DR	650.00CR
17OCT17	S 2 DEC17 355.000 Call CQG GX		0.950	4.70DR	475.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	S 6 NOV17 CQG GX		2.97200	14.10DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 CQG GX	1721.5		2.35DR	
17OCT17	B 1 DEC17 CQG GX	1722.5		2.35DR	
17OCT17	B 2 DEC17 CQG GX	1717.5		4.70DR	
17OCT17	B 2 DEC17 CQG GX	1718.0		4.70DR	
17OCT17	B 1 DEC17 CQG GX	1717.0		2.35DR	
	INR/USD FUTURES 500 CME				
17OCT17	B 2 OCT17 CQG GX	153.9800		5.00DR	
17OCT17	B 1 OCT17 CQG GX	153.9700		2.50DR	
17OCT17	B 2 OCT17 CQG GX	153.9600		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 2 02NOV17 SGL UD	3138.65		5.40DR	
17OCT17	S 2 03JAN18 SGL UD		3123.85	5.40DR	
	Commission				63.25DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17	3.00300	2.97200		310.00DR
17OCT17	1 NOV17	3.02100	2.97200		490.00DR
17OCT17	2 NOV17	2.96500	2.97200		140.00CR
17OCT17	1 NOV17	2.96500	2.97200		70.00CR
17OCT17	1 NOV17	2.96500	2.97200		70.00CR
	INR/USD FUTURES 500 CME				
17OCT17	1 OCT17	153.9800	153.8700		55.00DR
17OCT17	1 OCT17	153.9800	153.8700		55.00DR
17OCT17	1 OCT17	153.9700	154.2600		145.00CR
17OCT17	2 OCT17	153.9600	154.2600		300.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				60,311.60DR
	OPTION PREMIUMS				1,125.00CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				520.00DR
	INR/USD FUTURES Futures				335.00CR
	COMMISSIONS				63.25DR
	CARRIED FORWARD BALANCE				59,434.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	S 1 MAR18 CQG GX		323.250	2.35DR	
17OCT17	S 1 MAR18 CQG GX		323.450	2.35DR	
17OCT17	S 1 MAR18 CQG GX		323.650	2.35DR	
17OCT17	S 1 MAR18 CQG GX		322.700	2.35DR	
17OCT17	S 1 MAR18 CQG GX		322.900	2.35DR	
17OCT17	S 1 MAR18 CQG GX		324.000	2.35DR	
	CMX Gold 100 CMX				
17OCT17	B 1 DEC17 CQG GX	1294.8		2.35DR	
17OCT17	B 2 DEC17 CQG GX	1294.7		4.70DR	
17OCT17	B 1 DEC17 CQG GX	1290.3		2.35DR	
17OCT17	B 2 DEC17 CQG GX	1289.8		4.70DR	
17OCT17	B 1 DEC17 CQG GX	1287.3		2.35DR	
17OCT17	B 1 DEC17 CQG GX	1287.5		2.35DR	
17OCT17	B 1 DEC17 CQG GX	1286.9		2.35DR	
17OCT17	B 1 DEC17 CQG GX	1286.7		2.35DR	
17OCT17	B 1 DEC17 CQG GX	1284.2		2.35DR	
17OCT17	S 3 FEB18 CQG GX		1295.8	7.05DR	
17OCT17	S 3 FEB18 CQG GX		1296.1	7.05DR	
17OCT17	S 1 FEB18 CQG GX		1296.2	2.35DR	
	CMX Silver 5,000 CMX				
17OCT17	B 3 DEC17 CQG GX	1714.5		7.05DR	
17OCT17	B 3 DEC17 CQG GX	1715.5		7.05DR	
17OCT17	B 3 DEC17 CQG GX	1715.0		7.05DR	
17OCT17	B 1 DEC17 CQG GX	1712.5		2.35DR	
	Commission				79.90DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
17OCT17	1 DEC17	1294.8	1310.8		1,600.00CR
17OCT17	1 DEC17	1294.7	1311.5		1,680.00CR
17OCT17	1 DEC17	1294.7	1317.5		2,280.00CR
17OCT17	1 DEC17	1290.3	1317.5		2,720.00CR
17OCT17	1 DEC17	1289.8	1315.4		2,560.00CR
17OCT17	1 DEC17	1289.8	1315.4		2,560.00CR
17OCT17	1 DEC17	1287.3	1322.3		3,500.00CR
17OCT17	1 DEC17	1287.5	1322.8		3,530.00CR
17OCT17	1 DEC17	1286.9	1323.0		3,610.00CR
17OCT17	1 DEC17	1286.7	1343.7		5,700.00CR
17OCT17	1 DEC17	1284.2	1343.4		5,920.00CR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
18JUL17	1 17OCT17	2793.81	2824.50		767.25CR
25JUL17	1 17OCT17	2836.88	2843.50		165.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				156,195.35CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				932.75CR
	CMX Gold Futures				35,660.00CR
	COMMISSIONS				79.90DR
	CARRIED FORWARD BALANCE				192,708.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	B 1 DEC17 TTR GX 321.400			2.35DR	
17OCT17	B 3 DEC17 TTR GX 320.600			7.05DR	
	CMX Gold 100 CMX				
17OCT17	B 2 DEC17 TTR GX 1290.4			4.70DR	
17OCT17	B 1 DEC17 TTR GX 1290.6			2.35DR	
17OCT17	B 3 DEC17 TTR GX 1292.5			7.05DR	
17OCT17	B 2 DEC17 TTR GX 1292.0			4.70DR	
17OCT17	B 1 DEC17 TTR GX 1292.2			2.35DR	
17OCT17	B 1 DEC17 TTR GX 1291.8			2.35DR	
17OCT17	B 1 DEC17 TTR GX 1291.9			2.35DR	
17OCT17	B 1 DEC17 TTR GX 1287.2			2.35DR	
17OCT17	S 1 DEC17 TTR GX 1287.5			2.35DR	
17OCT17	B 1 DEC17 TTR GX 1285.8			2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL 3162.00		3161.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL 3162.00			5.00DR	
17OCT17	S 1 17JAN18 TTR EL 3154.50		3159.50	5.00DR	
17OCT17	B 1 17JAN18 TTR EL 3154.50			5.00DR	
	Commission				62.30DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17 321.400	324.000			650.00CR
17OCT17	1 DEC17 320.600	324.000			850.00CR
17OCT17	1 DEC17 320.600	324.000			850.00CR
	CMX Gold 100 CMX				
17OCT17	1 DEC17 1290.4	1287.5			290.00DR
17OCT17	1 DEC17 1290.4	1345.3			5,490.00CR
17OCT17	1 DEC17 1290.6	1345.3			5,470.00CR
17OCT17	3 DEC17 1292.5	1345.4			15,870.00CR
17OCT17	1 DEC17 1292.0	1345.4			5,340.00CR
17OCT17	1 DEC17 1292.0	1345.5			5,350.00CR
17OCT17	1 DEC17 1292.2	1345.5			5,330.00CR
17OCT17	1 DEC17 1291.8	1345.5			5,370.00CR
17OCT17	1 DEC17 1291.9	1345.6			5,370.00CR
17OCT17	1 DEC17 1287.2	1345.6			5,840.00CR
17OCT17	1 DEC17 1285.8	1350.9			6,510.00CR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17 2321.00	2317.00			100.00DR
17JUL17	1 17OCT17 2314.50	2321.00			162.50CR
17JUL17	1 17OCT17 2297.50	2299.00			37.50CR
17JUL17	1 17OCT17 2293.50	2297.00			87.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17 2831.50	2844.50			325.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				270,685.46CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				187.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Zinc 25 tonnes. This is an LME Futures				325.00CR
	CMX Copper Futures				2,350.00CR
	CMX Gold Futures				65,650.00CR
	COMMISSIONS				62.30DR
	CARRIED FORWARD BALANCE				339,135.66CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th Oct 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		917,514.52CR
	OPTION PREMIUMS		6,285.00CR
	SETTLEMENTS		
	CMX Copper Futures		2,350.00CR
	CMX Gold Futures		100,030.00CR
	INR/USD FUTURES Futures		335.00CR
	LME Lead 25 tonnes. This is an Futures		187.50CR
	LME Zinc 25 tonnes. This is an LME Futures		1,257.75CR
	NYM Henry Hub Natural Gas Futures		520.00DR
	COMMISSIONS		238.35DR
	CARRIED FORWARD BALANCE		1,027,201.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 TTR EL	2144.50	2144.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL			5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2142.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2144.50	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7130.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7129.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7126.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7122.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7117.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7115.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7113.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7088.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7078.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7065.50	5.00DR	
	CMX Gold 100 CMX				
17OCT17	S 1 DEC17 TTR GX		1295.1	2.30DR	
17OCT17	S 1 DEC17 TTR GX		1294.9	2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	11725.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11680.00		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	11685.00		10.00DR	
17OCT17	B 2 17JAN18 TTR EL	11705.00		10.00DR	
17OCT17	S 1 17JAN18 TTR EL		11720.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		11665.00	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		11630.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		11615.00	5.00DR	
17OCT17	S 4 17JAN18 TTR EL		11745.00	20.00DR	
17OCT17	S 1 17JAN18 TTR EL		11750.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11675.00		5.00DR	
17OCT17	B 1 17JAN18 DMA EL	11665.00		5.00DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 TTR GX	1726.0		2.30DR	
17OCT17	B 1 DEC17 TTR GX	1726.5		2.30DR	
17OCT17	B 1 DEC17 TTR GX	1709.0		2.30DR	
17OCT17	B 1 DEC17 TTR GX	1700.5		2.30DR	
17OCT17	B 2 DEC17 TTR GX	1703.5		4.60DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	20350.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	20155.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	19975.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		20235.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		20310.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		20370.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	3189.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3116.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3081.50		5.00DR	
	Commission				223.40DR
	<u>SETTLEMENTS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



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	CMX Gold 100 CMX				
17OCT17	1 DEC17	1286.6	1295.1		850.00CR
17OCT17	1 DEC17	1290.1	1294.9		480.00CR
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1726.0	1747.0		1,050.00CR
17OCT17	1 DEC17	1726.5	1747.0		1,025.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 17OCT17	6006.79	6018.00		280.25CR
18JUL17	1 17OCT17	6006.79	6017.50		267.75CR
18JUL17	1 17OCT17	6006.79	6016.50		242.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				78,814.42CR
	SETTLEMENTS				
	CMX Silver Futures				2,075.00CR
	LME Copper Grade A 25 tonnes. This Futures				790.75CR
	CMX Gold Futures				1,330.00CR
	COMMISSIONS				223.40DR
	CARRIED FORWARD BALANCE				82,786.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 TTR EL		2139.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2132.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2146.50		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2147.00	5.00DR	
17OCT17	S 3 17JAN18 TTR EL		2146.50	15.00DR	
17OCT17	B 2 17JAN18 TTR EL	2144.50		10.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	B 5 NOV17 TTR GX	51.78		11.50DR	
17OCT17	S 1 NOV17 TTR GX		51.75	2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.77	2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.76	2.30DR	
17OCT17	S 3 NOV17 TTR GX		51.78	6.90DR	
17OCT17	S 6 NOV17 TTR GX		51.79	13.80DR	
17OCT17	S 1 NOV17 TTR GX		51.69	2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.69		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.68		2.30DR	
17OCT17	B 5 NOV17 TTR GX	51.93		11.50DR	
17OCT17	B 3 NOV17 TTR GX	51.92		6.90DR	
17OCT17	B 2 NOV17 TTR GX	51.97		4.60DR	
17OCT17	S 1 NOV17 TTR GX		52.07	2.30DR	
17OCT17	S 2 NOV17 TTR GX		52.17	4.60DR	
17OCT17	S 1 NOV17 TTR GX		52.19	2.30DR	
17OCT17	S 3 NOV17 TTR GX		52.18	6.90DR	
17OCT17	B 1 NOV17 TTR GX	52.18		2.30DR	
17OCT17	B 1 NOV17 TTR GX	52.11		2.30DR	
17OCT17	B 1 NOV17 TTR GX	52.12		2.30DR	
17OCT17	S 1 NOV17 TTR GX		52.13	2.30DR	
17OCT17	S 3 NOV17 TTR GX		52.11	6.90DR	
17OCT17	S 2 NOV17 TTR GX		52.12	4.60DR	
17OCT17	S 1 NOV17 TTR GX		52.15	2.30DR	
17OCT17	S 7 NOV17 TTR GX		52.04	16.10DR	
17OCT17	S 2 NOV17 TTR GX		52.03	4.60DR	
17OCT17	S 2 NOV17 TTR GX		52.06	4.60DR	
17OCT17	B 5 NOV17 TTR GX	51.90		11.50DR	
17OCT17	B 3 NOV17 TTR GX	51.89		6.90DR	
17OCT17	S 1 NOV17 TTR GX		51.97	2.30DR	
17OCT17	S 3 NOV17 TTR GX		52.01	6.90DR	
17OCT17	B 2 NOV17 TTR GX	52.13		4.60DR	
17OCT17	B 1 NOV17 TTR GX	52.07		2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.86	2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.82	2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.63		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.60		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.62		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.51		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.48		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.59		2.30DR	
17OCT17	B 3 NOV17 TTR GX	51.47		6.90DR	
17OCT17	B 2 NOV17 TTR GX	51.31		4.60DR	
17OCT17	S 2 NOV17 TTR GX		51.30	4.60DR	
17OCT17	S 1 NOV17 TTR GX		51.38	2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.41	2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.46		2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.49		2.30DR	
17OCT17	S 1 NOV17 TTR GX		51.54	2.30DR	
17OCT17	B 1 NOV17 TTR GX	51.55		2.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7080.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	7077.50		5.00DR	
	LME Lead 25 tonnes. This is an				

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	is a LME registered client contract				
17OCT17	S 2 17JAN18 TTR EL		2536.00	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		2535.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2535.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2529.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2517.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2513.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2524.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2523.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2535.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2515.50		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		2534.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		2521.50	10.00DR	
17OCT17	B 2 17JAN18 TTR EL	2524.50		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	2523.00		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	2516.00		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	2516.50		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2513.50		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2511.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2507.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2495.00	5.00DR	
17OCT17	B 1 17JAN18 DMA EL	2519.50		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	2502.00		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	2495.50		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2494.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2493.00		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		2513.50	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		11780.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	11700.00		5.00DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 TTR GX	1699.0		2.30DR	
17OCT17	B 1 DEC17 TTR GX	1699.5		2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		3169.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3149.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3141.50		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3147.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3150.00	5.00DR	
17OCT17	B 2 17JAN18 TTR EL	3146.00		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	3120.00		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	3112.50		10.00DR	
17OCT17	B 2 17JAN18 TTR EL	3111.50		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	3106.50		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		3146.00	5.00DR	
17OCT17	B 1 17JAN18 DMA EL	3121.00		5.00DR	
17OCT17	B 1 17JAN18 DMA EL	3150.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3090.50	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3098.00		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3079.50	5.00DR	
17OCT17	B 2 17JAN18 TTR EL	3076.50		10.00DR	
17OCT17	S 1 17JAN18 TTR EL		3076.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	3083.50		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3081.50	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		3083.00	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		3081.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3075.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3073.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		3074.00	5.00DR	
17OCT17	B 2 17JAN18 TTR EL	3075.00		10.00DR	
17OCT17	B 1 17JAN18 TTR EL	3073.00		5.00DR	
	Commission				605.80DR

Group No: SAPG0083

Page 2 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17	51.78	51.79		10.00CR
17OCT17	1 NOV17	51.78	51.75		30.00DR
17OCT17	1 NOV17	51.78	51.77		10.00DR
17OCT17	1 NOV17	51.78	51.76		20.00DR
17OCT17	1 NOV17	51.78	51.78		0.00
17OCT17	1 NOV17	51.69	51.78		90.00CR
17OCT17	1 NOV17	51.68	51.78		100.00CR
17OCT17	5 NOV17	51.93	51.79		700.00DR
17OCT17	1 NOV17	51.92	51.69		230.00DR
17OCT17	2 NOV17	51.92	52.04		240.00CR
17OCT17	1 NOV17	51.97	52.04		70.00CR
17OCT17	1 NOV17	51.97	52.07		100.00CR
17OCT17	1 NOV17	52.18	52.12		60.00DR
17OCT17	1 NOV17	52.13	52.11		20.00DR
17OCT17	1 NOV17	52.11	52.11		0.00
17OCT17	1 NOV17	52.12	52.17		50.00CR
17OCT17	1 NOV17	51.89	52.17		280.00CR
17OCT17	1 NOV17	51.89	52.19		300.00CR
17OCT17	2 NOV17	51.90	52.18		560.00CR
17OCT17	1 NOV17	51.90	52.18		280.00CR
17OCT17	1 NOV17	51.90	52.13		230.00CR
17OCT17	1 NOV17	51.90	52.11		210.00CR
17OCT17	1 NOV17	51.89	52.12		230.00CR
17OCT17	1 NOV17	52.13	52.15		20.00CR
17OCT17	1 NOV17	52.07	52.01		60.00DR
17OCT17	1 NOV17	51.63	52.01		380.00CR
17OCT17	1 NOV17	51.60	52.04		440.00CR
17OCT17	1 NOV17	51.62	52.04		420.00CR
17OCT17	1 NOV17	51.51	52.04		530.00CR
17OCT17	1 NOV17	51.48	52.04		560.00CR
17OCT17	1 NOV17	51.59	52.03		440.00CR
17OCT17	1 NOV17	51.47	52.03		560.00CR
17OCT17	2 NOV17	51.47	52.06		1,180.00CR
17OCT17	1 NOV17	51.31	51.97		660.00CR
17OCT17	1 NOV17	51.31	52.01		700.00CR
17OCT17	1 NOV17	51.46	51.86		400.00CR
17OCT17	1 NOV17	51.49	51.82		330.00CR
17OCT17	1 NOV17	51.55	51.30		250.00DR
17OCT17	1 NOV17	51.73	51.30		430.00DR
17OCT17	1 NOV17	51.95	51.38		570.00DR
17OCT17	1 NOV17	51.94	51.41		530.00DR
17OCT17	1 NOV17	51.94	51.54		400.00DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1936.00	1934.00		50.00DR
17JUL17	1 17OCT17	1933.50	1933.50		0.00
17JUL17	1 17OCT17	1933.00	1934.00		25.00CR
17JUL17	1 17OCT17	1927.00	1934.00		175.00CR
17JUL17	1 17OCT17	1926.00	1934.00		200.00CR
17JUL17	1 17OCT17	1925.00	1935.50		262.50CR
17JUL17	1 17OCT17	1925.00	1934.00		225.00CR
17JUL17	1 17OCT17	1925.00	1937.50		312.50CR
17JUL17	1 17OCT17	1924.50	1939.50		375.00CR
17JUL17	1 17OCT17	1925.00	1939.50		362.50CR
17JUL17	1 17OCT17	1925.00	1939.50		362.50CR
17JUL17	1 17OCT17	1924.00	1926.00		50.00CR

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17JUL17	1 17OCT17	1923.00	1927.00		100.00CR
17JUL17	1 17OCT17	1923.50	1904.00		487.50DR
17JUL17	1 17OCT17	1923.50	1906.50		425.00DR
17JUL17	1 17OCT17	1923.50	1915.00		212.50DR
17JUL17	1 17OCT17	1925.00	1915.00		250.00DR
17JUL17	1 17OCT17	1925.00	1914.00		275.00DR
17JUL17	1 17OCT17	1924.50	1913.00		287.50DR
17JUL17	1 17OCT17	1924.50	1914.00		262.50DR
17JUL17	1 17OCT17	1927.00	1913.50		337.50DR
17JUL17	1 17OCT17	1923.50	1912.50		275.00DR
19JUL17	1 17OCT17	1923.50	1919.83		91.75DR
19JUL17	1 17OCT17	1912.00	1919.83		195.75CR
19JUL17	1 17OCT17	1911.00	1919.83		220.75CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5970.00	5982.00		300.00CR
17JUL17	1 17OCT17	5974.00	5990.00		400.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2325.00	2326.00		25.00CR
17JUL17	1 17OCT17	2322.50	2324.50		50.00CR
17JUL17	1 17OCT17	2320.00	2326.00		150.00CR
17JUL17	1 17OCT17	2321.50	2325.50		100.00CR
17JUL17	1 17OCT17	2324.50	2325.50		25.00CR
17JUL17	1 17OCT17	2325.00	2325.50		12.50CR
17JUL17	1 17OCT17	2322.00	2325.50		87.50CR
17JUL17	1 17OCT17	2320.00	2327.00		175.00CR
17JUL17	1 17OCT17	2322.00	2328.50		162.50CR
17JUL17	1 17OCT17	2322.00	2329.50		187.50CR
17JUL17	1 17OCT17	2321.00	2330.00		225.00CR
17JUL17	1 17OCT17	2319.50	2330.00		262.50CR
17JUL17	1 17OCT17	2319.00	2317.50		37.50DR
17JUL17	1 17OCT17	2324.50	2316.00		212.50DR
17JUL17	1 17OCT17	2315.50	2306.00		237.50DR
17JUL17	1 17OCT17	2314.00	2307.00		175.00DR
17JUL17	1 17OCT17	2295.00	2299.00		100.00CR
17JUL17	1 17OCT17	2294.00	2299.00		125.00CR
17JUL17	1 17OCT17	2297.50	2297.00		12.50DR
19JUL17	1 17OCT17	2297.00	2217.10		1,997.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9595.00	9640.00		270.00CR
17JUL17	1 17OCT17	9620.00	9625.00		30.00CR
17JUL17	1 17OCT17	9620.00	9615.00		30.00DR
17JUL17	1 17OCT17	9600.00	9700.00		600.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2814.00	2828.00		350.00CR
17JUL17	1 17OCT17	2814.00	2817.50		87.50CR
17JUL17	1 17OCT17	2815.00	2828.00		325.00CR
17JUL17	1 17OCT17	2814.00	2814.50		12.50CR
17JUL17	2 17OCT17	2814.00	2814.50		25.00CR
17JUL17	1 17OCT17	2815.00	2814.50		12.50DR
17JUL17	1 17OCT17	2815.50	2814.00		37.50DR
17JUL17	1 17OCT17	2816.00	2825.00		225.00CR
17JUL17	1 17OCT17	2829.50	2831.00		37.50CR
17JUL17	1 17OCT17	2828.50	2831.00		62.50CR
17JUL17	1 17OCT17	2829.00	2831.00		50.00CR
17JUL17	1 17OCT17	2829.00	2831.00		50.00CR
17JUL17	1 17OCT17	2829.00	2835.50		162.50CR
17JUL17	1 17OCT17	2829.00	2835.50		162.50CR
17JUL17	1 17OCT17	2828.00	2835.50		187.50CR
17JUL17	1 17OCT17	2828.00	2835.50		187.50CR
17JUL17	1 17OCT17	2828.50	2835.50		175.00CR

Group No: SAPG0083

Page 4 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2827.50	2835.00		187.50CR
17JUL17	1 17OCT17	2827.00	2836.00		225.00CR
17JUL17	1 17OCT17	2825.50	2840.50		375.00CR
17JUL17	1 17OCT17	2819.00	2840.00		525.00CR
17JUL17	1 17OCT17	2821.00	2837.50		412.50CR
17JUL17	1 17OCT17	2820.00	2834.50		362.50CR
17JUL17	1 17OCT17	2819.50	2834.00		362.50CR
17JUL17	1 17OCT17	2820.00	2835.00		375.00CR
17JUL17	1 17OCT17	2819.50	2812.00		187.50DR
17JUL17	1 17OCT17	2819.50	2810.00		237.50DR
17JUL17	1 17OCT17	2818.50	2810.00		212.50DR
17JUL17	1 17OCT17	2819.00	2829.00		250.00CR
17JUL17	1 17OCT17	2818.50	2830.00		287.50CR
17JUL17	1 17OCT17	2819.00	2827.50		212.50CR
17JUL17	1 17OCT17	2819.00	2827.50		212.50CR
17JUL17	1 17OCT17	2818.50	2827.50		225.00CR
17JUL17	1 17OCT17	2819.00	2827.50		212.50CR
17JUL17	1 17OCT17	2820.00	2830.50		262.50CR
17JUL17	1 17OCT17	2817.50	2833.00		387.50CR
17JUL17	1 17OCT17	2812.00	2838.00		650.00CR
17JUL17	1 17OCT17	2811.00	2838.00		675.00CR
17JUL17	1 17OCT17	2814.00	2838.50		612.50CR
17JUL17	1 17OCT17	2834.50	2845.00		262.50CR
17JUL17	1 17OCT17	2833.00	2842.50		237.50CR
17JUL17	1 17OCT17	2831.50	2841.00		237.50CR
17JUL17	1 17OCT17	2832.00	2841.00		225.00CR
17JUL17	1 17OCT17	2832.00	2842.00		250.00CR
17JUL17	1 17OCT17	2834.00	2842.00		200.00CR
17JUL17	1 17OCT17	2834.50	2842.00		187.50CR
17JUL17	1 17OCT17	2833.00	2843.00		250.00CR
17JUL17	1 17OCT17	2832.00	2843.50		287.50CR
17JUL17	1 17OCT17	2832.00	2843.50		287.50CR
17JUL17	1 17OCT17	2832.50	2843.50		275.00CR
17JUL17	1 17OCT17	2832.50	2840.50		200.00CR
17JUL17	1 17OCT17	2831.50	2840.50		225.00CR
17JUL17	1 17OCT17	2831.50	2840.00		212.50CR
17JUL17	1 17OCT17	2831.00	2840.00		225.00CR
17JUL17	1 17OCT17	2831.50	2840.00		212.50CR
17JUL17	1 17OCT17	2830.00	2840.50		262.50CR
17JUL17	1 17OCT17	2831.50	2843.50		300.00CR
17JUL17	1 17OCT17	2832.00	2846.50		362.50CR
17JUL17	1 17OCT17	2833.00	2845.00		300.00CR
17JUL17	1 17OCT17	2831.00	2845.50		362.50CR
17JUL17	1 17OCT17	2831.00	2844.50		337.50CR
17JUL17	1 17OCT17	2831.00	2844.00		325.00CR
17JUL17	1 17OCT17	2830.50	2844.00		337.50CR
17JUL17	1 17OCT17	2827.50	2844.00		412.50CR
17JUL17	2 17OCT17	2827.00	2844.00		850.00CR
17JUL17	1 17OCT17	2824.50	2844.50		500.00CR
17JUL17	1 17OCT17	2823.50	2843.50		500.00CR
17JUL17	1 17OCT17	2823.50	2844.00		512.50CR
17JUL17	1 17OCT17	2824.50	2844.50		500.00CR
17JUL17	1 17OCT17	2824.50	2844.00		487.50CR
17JUL17	1 17OCT17	2825.50	2843.50		450.00CR
17JUL17	1 17OCT17	2823.50	2843.00		487.50CR
17JUL17	1 17OCT17	2824.50	2842.50		450.00CR
17JUL17	1 17OCT17	2826.00	2829.00		75.00CR
17JUL17	1 17OCT17	2814.50	2846.00		787.50CR
17JUL17	1 17OCT17	2832.00	2831.00		25.00DR
17JUL17	1 17OCT17	2825.00	2828.00		75.00CR
17JUL17	1 17OCT17	2825.00	2830.50		137.50CR
17JUL17	1 17OCT17	2828.50	2832.00		87.50CR
17JUL17	1 17OCT17	2821.00	2832.00		275.00CR
17JUL17	1 17OCT17	2815.50	2832.00		412.50CR
17JUL17	1 17OCT17	2819.50	2833.00		337.50CR
17JUL17	1 17OCT17	2819.00	2834.00		375.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083XA

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 17OCT17	2819.50	2747.34		1,804.00DR
19JUL17	1 17OCT17	2820.00	2747.34		1,816.50DR
19JUL17	1 17OCT17	2820.00	2747.34		1,816.50DR
05SEP17	1 17OCT17	2821.50	3137.80		7,907.50CR
TOTALS					
BROUGHT FORWARD BALANCE					219,318.35CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					985.00DR
LME Copper Grade A 25 tonnes. This Futures					700.00CR
LME Zinc 25 tonnes. This is an LME Futures					24,745.50CR
LME Nickel 6 tonnes. This is an LME Futures					870.00CR
NYM Light Sweet Crude Oil Futures					6,060.00CR
LME Aluminium 25 Tonnes. This is an Futures					87.75DR
COMMISSIONS					605.80DR
CARRIED FORWARD BALANCE					250,015.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083YL 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		3146.00	5.00DR	
17OCT17	S 1 17JAN18 DMA EL		3146.50	5.00DR	
17OCT17	B 1 17JAN18 DMA EL	3141.00		5.00DR	
17OCT17	B 1 17JAN18 DMA EL	3143.50		5.00DR	
	Commission				20.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
19SEP17	1 17OCT17	2328.00	2404.73		1,918.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
19SEP17	1 17OCT17	3110.16	2838.00		6,804.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,674.58CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,918.25CR
	LME Zinc 25 tonnes. This is an LME Futures				6,804.00DR
	COMMISSIONS				20.00DR
	CARRIED FORWARD BALANCE				9,768.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YO
17th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19SEP17	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract 1 17OCT17	9615.00	11087.76		8,836.56CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 12,772.02CR SETTLEMENTS LME Nickel 6 tonnes. This is an LME Futures 8,836.56CR CARRIED FORWARD BALANCE 21,608.58CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZC 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Tin 5 tonnes. This is an LME registered client contract								
17OCT17	B	1	17JAN18	DMA	EL	20630.00		5.00DR	
17OCT17	S	1	17JAN18	DMA	EL		20650.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20570.00		5.00DR	
17OCT17	S	1	17JAN18	DMA	EL		20585.00	5.00DR	
	Commission								20.00DR
	<u>MATCH OUTS</u>								
	LME Tin 5 tonnes. This is an LME registered client contract								
17JUL17		1	17OCT17			19955.00	20065.00		550.00CR
17JUL17		1	17OCT17			20005.00	19900.00		525.00DR
17JUL17		1	17OCT17			19855.00	19985.00		650.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								8,085.32CR
	SETTLEMENTS								
	LME Tin 5 tonnes. This is an LME Futures								675.00CR
	COMMISSIONS								20.00DR
	CARRIED FORWARD BALANCE								8,740.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083ZG

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7080.00	5.00DR	
17OCT17	B 1 17JAN18 TTR EL	7083.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	7078.00		5.00DR	
17OCT17	S 1 17JAN18 DMA EL		7079.50	5.00DR	
	Commission				20.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,823.11CR
	COMMISSIONS				20.00DR
	CARRIED FORWARD BALANCE				43,803.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	B 1 17JAN18 TTR EL	2146.50		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2145.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		2138.50	10.00DR	
17OCT17	S 3 17JAN18 TTR EL		2139.50	15.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 1 DEC17 TTR GX		322.200	2.30DR	
	CME Sterling 62,500 CME				
17OCT17	B 1 DEC17 TTR GX	1.32810		2.25DR	
17OCT17	B 1 DEC17 TTR GX	1.32830		2.25DR	
17OCT17	B 1 DEC17 TTR GX	1.32780		2.25DR	
17OCT17	B 1 DEC17 TTR GX	1.32800		2.25DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	2495.00		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	2493.00		10.00DR	
17OCT17	B 2 17JAN18 TTR EL	2498.50		10.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	11655.00		5.00DR	
17OCT17	B 3 17JAN18 TTR EL	11760.00		15.00DR	
17OCT17	B 1 17JAN18 TTR EL	11765.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	S 4 17JAN18 TTR EL		3152.00	20.00DR	
17OCT17	B 1 17JAN18 TTR EL	3146.50		5.00DR	
17OCT17	B 2 17JAN18 TTR EL	3145.00		10.00DR	
17OCT17	B 1 17JAN18 DMA EL	3146.00		5.00DR	
	Commission				136.30DR
	<u>SETTLEMENTS</u>				
	CME Sterling 62,500 CME				
17OCT17	1 DEC17	1.32810	1.33030		137.50CR
17OCT17	1 DEC17	1.32830	1.33030		125.00CR
17OCT17	1 DEC17	1.32780	1.33030		156.25CR
17OCT17	1 DEC17	1.32800	1.33030		143.75CR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2320.00	2324.00		100.00CR
17JUL17	1 17OCT17	2320.50	2316.00		112.50DR
17JUL17	1 17OCT17	2320.50	2293.50		675.00DR
17JUL17	1 17OCT17	2320.00	2296.00		600.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9625.00	9645.00		120.00CR
17JUL17	1 17OCT17	9615.00	9715.00		600.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2818.50	2825.00		162.50CR
17JUL17	1 17OCT17	2819.00	2834.50		387.50CR
17JUL17	2 17OCT17	2813.00	2815.00		100.00CR
17JUL17	1 17OCT17	2811.50	2812.00		12.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083ZH

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2816.00	2812.00		100.00DR
17JUL17	1 17OCT17	2832.00	2828.00		100.00DR
17JUL17	1 17OCT17	2829.00	2829.50		12.50CR
17JUL17	1 17OCT17	2828.50	2833.50		125.00CR
19SEP17	1 17OCT17	2823.00	3109.76		7,169.00CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					64,727.71CR
SETTLEMENTS					
CME Sterling Futures					562.50CR
LME Lead 25 tonnes. This is an Futures					1,287.50DR
LME Zinc 25 tonnes. This is an LME Futures					7,769.00CR
LME Nickel 6 tonnes. This is an LME Futures					720.00CR
COMMISSIONS					136.30DR
CARRIED FORWARD BALANCE					72,355.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
SUITE A, 105 PICCADILLY
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SAPG0083

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		350,920.48CR
	SETTLEMENTS		
	CME Sterling Futures		562.50CR
	CMX Gold Futures		1,330.00CR
	CMX Silver Futures		2,075.00CR
	LME Aluminium 25 Tonnes. This is an Futures		87.75DR
	LME Copper Grade A 25 tonnes. This Futures		1,490.75CR
	LME Lead 25 tonnes. This is an Futures		354.25DR
	LME Nickel 6 tonnes. This is an LME Futures		10,426.56CR
	LME Tin 5 tonnes. This is an LME Futures		675.00CR
	LME Zinc 25 tonnes. This is an LME Futures		25,710.50CR
	NYM Light Sweet Crude Oil Futures		6,060.00CR
	COMMISSIONS		1,025.50DR
	CARRIED FORWARD BALANCE		397,783.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1930.00	1914.50		387.50DR
17JUL17	1 17OCT17	1927.00	1914.50		312.50DR
24JUL17	1 17OCT17	1927.00	1911.30		392.50DR
24JUL17	1 17OCT17	1920.00	1911.30		217.50DR
24JUL17	1 17OCT17	1909.50	1911.30		45.00CR
24JUL17	1 17OCT17	1901.50	1911.30		245.00CR
24JUL17	1 17OCT17	1912.50	1911.30		30.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5921.00	5982.50		1,537.50CR
17JUL17	1 17OCT17	5949.50	5982.50		825.00CR
17JUL17	1 17OCT17	5950.00	5951.00		25.00CR
17JUL17	1 17OCT17	5951.00	5928.50		562.50DR
17JUL17	1 17OCT17	5949.00	5951.00		50.00CR
17JUL17	1 17OCT17	5948.00	5916.00		800.00DR
17JUL17	1 17OCT17	5950.50	5931.00		487.50DR
17JUL17	1 17OCT17	5951.50	5914.50		925.00DR
17JUL17	1 17OCT17	5950.50	5951.00		12.50CR
17JUL17	1 17OCT17	5951.50	5975.00		587.50CR
17JUL17	1 17OCT17	5950.50	5981.00		762.50CR
17JUL17	1 17OCT17	5948.00	5977.50		737.50CR
17JUL17	1 17OCT17	5948.00	5981.50		837.50CR
17JUL17	1 17OCT17	5948.00	5982.50		862.50CR
17JUL17	1 17OCT17	5948.00	5981.00		825.00CR
17JUL17	1 17OCT17	5949.50	5930.50		475.00DR
17JUL17	1 17OCT17	5947.00	5911.00		900.00DR
17JUL17	1 17OCT17	5947.00	5980.50		837.50CR
17JUL17	1 17OCT17	5947.00	5918.00		725.00DR
17JUL17	1 17OCT17	5947.00	5979.50		812.50CR
17JUL17	1 17OCT17	5949.00	5914.50		862.50DR
17JUL17	1 17OCT17	5950.50	5982.00		787.50CR
17JUL17	1 17OCT17	5950.50	5918.00		812.50DR
17JUL17	1 17OCT17	5922.00	5949.00		675.00CR
17JUL17	1 17OCT17	5923.00	5975.50		1,312.50CR
17JUL17	1 17OCT17	5949.50	5975.50		650.00CR
17JUL17	1 17OCT17	5922.00	5980.00		1,450.00CR
17JUL17	1 17OCT17	5922.00	5914.00		200.00DR
17JUL17	1 17OCT17	5952.00	5980.00		700.00CR
17JUL17	1 17OCT17	5949.50	5980.00		762.50CR
17JUL17	1 17OCT17	5922.50	5973.50		1,275.00CR
17JUL17	1 17OCT17	5914.00	5973.50		1,487.50CR
17JUL17	1 17OCT17	6002.50	5972.00		762.50DR
17JUL17	1 17OCT17	6001.50	5972.50		725.00DR
18JUL17	3 17OCT17	6006.79	5969.50		2,796.75DR
18JUL17	1 17OCT17	6006.79	5984.50		557.25DR
18JUL17	1 17OCT17	6006.79	5984.50		557.25DR
18JUL17	1 17OCT17	6006.79	5985.00		544.75DR
18JUL17	1 17OCT17	6006.79	5985.00		544.75DR
18JUL17	1 17OCT17	6006.79	5911.00		2,394.75DR
18JUL17	1 17OCT17	6006.79	5979.00		694.75DR
18JUL17	1 17OCT17	6006.79	5981.50		632.25DR
18JUL17	3 17OCT17	6006.79	6007.50		53.25CR
18JUL17	1 17OCT17	6006.79	6012.50		142.75CR
18JUL17	1 17OCT17	6006.79	6017.00		255.25CR
18JUL17	1 17OCT17	6006.79	6014.50		192.75CR
18JUL17	1 17OCT17	6006.79	6015.50		217.75CR
18JUL17	1 17OCT17	6006.79	6013.00		155.25CR
18JUL17	1 17OCT17	6006.79	6016.50		242.75CR
18JUL17	1 17OCT17	6006.79	5998.50		207.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

17th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2315.50	2318.50		75.00CR
17JUL17	1 17OCT17	2315.50	2319.50		100.00CR
17JUL17	1 17OCT17	2316.00	2320.50		112.50CR
17JUL17	1 17OCT17	2316.50	2320.00		87.50CR
17JUL17	1 17OCT17	2316.50	2318.50		50.00CR
17JUL17	1 17OCT17	2316.50	2321.00		112.50CR
17JUL17	1 17OCT17	2316.00	2321.00		125.00CR
17JUL17	1 17OCT17	2318.00	2321.00		75.00CR
17JUL17	1 17OCT17	2318.00	2321.50		87.50CR
17JUL17	1 17OCT17	2320.50	2321.00		12.50CR
17JUL17	1 17OCT17	2321.00	2321.50		12.50CR
17JUL17	2 17OCT17	2321.00	2325.50		225.00CR
17JUL17	1 17OCT17	2321.50	2325.00		87.50CR
17JUL17	1 17OCT17	2321.50	2322.00		12.50CR
17JUL17	1 17OCT17	2322.00	2294.00		700.00DR
17JUL17	1 17OCT17	2322.00	2294.50		687.50DR
17JUL17	1 17OCT17	2321.50	2294.00		687.50DR
17JUL17	1 17OCT17	2322.00	2295.00		675.00DR
17JUL17	1 17OCT17	2322.00	2297.50		612.50DR
17JUL17	1 17OCT17	2319.00	2298.50		512.50DR
17JUL17	1 17OCT17	2301.00	2293.50		187.50DR
18JUL17	2 17OCT17	2301.00	2274.63		1,318.50DR
18JUL17	1 17OCT17	2299.00	2274.63		609.25DR
18JUL17	1 17OCT17	2297.00	2274.63		559.25DR
18JUL17	1 17OCT17	2289.50	2274.63		371.75DR
18JUL17	1 17OCT17	2298.50	2274.63		596.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
27JUL17	1 17OCT17	2800.95	2835.50		863.75CR
27JUL17	1 17OCT17	2800.95	2835.50		863.75CR
27JUL17	3 17OCT17	2800.95	2836.50		2,666.25CR
27JUL17	1 17OCT17	2800.95	2835.00		851.25CR
27JUL17	1 17OCT17	2800.95	2835.50		863.75CR
27JUL17	1 17OCT17	2800.95	2836.00		876.25CR
27JUL17	1 17OCT17	2800.95	2837.50		913.75CR
27JUL17	1 17OCT17	2800.95	2832.00		776.25CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,899,200.99CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				6,343.00DR
	LME Copper Grade A 25 tonnes. This Futures				1,905.00CR
	LME Zinc 25 tonnes. This is an LME Futures				8,675.00CR
	LME Aluminium 25 Tonnes. This is an Futures				1,050.00DR
	CARRIED FORWARD BALANCE				1,902,387.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086D

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5977.50	5983.00		137.50CR
17JUL17	1 17OCT17	6004.00	5992.00		300.00DR
17JUL17	1 17OCT17	6002.00	5983.00		475.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,470.40CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				637.50DR
	CARRIED FORWARD BALANCE				14,832.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5976.50	5978.50		50.00CR
17JUL17	1 17OCT17	6002.00	5949.00		1,325.00DR
17JUL17	1 17OCT17	6000.50	5952.50		1,200.00DR
17JUL17	1 17OCT17	6013.50	5952.00		1,537.50DR
17JUL17	1 17OCT17	5952.00	5955.00		75.00CR
17JUL17	1 17OCT17	5960.00	5971.00		275.00CR
17JUL17	1 17OCT17	5975.50	5979.50		100.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,565.70CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,562.50DR
	CARRIED FORWARD BALANCE				6,003.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6000.00	5985.00		375.00DR
17JUL17	1 17OCT17	5981.00	5999.50		462.50CR
17JUL17	1 17OCT17	6000.00	5951.50		1,212.50DR
17JUL17	1 17OCT17	6008.00	5956.00		1,300.00DR
17JUL17	1 17OCT17	6014.00	5956.00		1,450.00DR
17JUL17	1 17OCT17	5947.50	5979.50		800.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	19830.00	19895.00		325.00CR
17JUL17	1 17OCT17	20035.00	20025.00		50.00DR
17JUL17	1 17OCT17	20060.00	20070.00		50.00CR
17JUL17	1 17OCT17	20005.00	20065.00		300.00CR
17JUL17	1 17OCT17	19975.00	20015.00		200.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2843.00	2838.00		125.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,164.80CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				825.00CR
	LME Copper Grade A 25 tonnes. This Futures				3,075.00DR
	LME Zinc 25 tonnes. This is an LME Futures				125.00DR
	CARRIED FORWARD BALANCE				26,789.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5980.00	5979.00		25.00DR
17JUL17	1 17OCT17	5979.00	5979.00		0.00
17JUL17	1 17OCT17	5980.00	5985.00		125.00CR
17JUL17	1 17OCT17	6001.00	6017.00		400.00CR
17JUL17	1 17OCT17	6001.00	5949.00		1,300.00DR
17JUL17	1 17OCT17	6000.00	5952.00		1,200.00DR
17JUL17	1 17OCT17	6022.00	5955.00		1,675.00DR
17JUL17	1 17OCT17	5959.50	5959.50		0.00
17JUL17	1 17OCT17	5961.00	5971.00		250.00CR
17JUL17	1 17OCT17	5981.00	5979.50		37.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,425.15CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,462.50DR
	CARRIED FORWARD BALANCE				8,962.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6012.50	5999.50		325.00DR
17JUL17	1 17OCT17	6000.50	6011.50		275.00CR
17JUL17	1 17OCT17	6001.00	5954.50		1,162.50DR
17JUL17	1 17OCT17	6005.50	5966.50		975.00DR
17JUL17	1 17OCT17	6012.50	5983.50		725.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9720.00	9705.00		90.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	19955.00	19990.00		175.00CR
17JUL17	1 17OCT17	19960.00	19995.00		175.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				38,996.75CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				350.00CR
	LME Copper Grade A 25 tonnes. This Futures				2,912.50DR
	LME Nickel 6 tonnes. This is an LME Futures				90.00DR
	CARRIED FORWARD BALANCE				36,344.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5980.00	5918.50		1,537.50DR
17JUL17	1 17OCT17	5981.00	5988.00		175.00CR
17JUL17	1 17OCT17	6009.00	5990.00		475.00DR
17JUL17	1 17OCT17	6010.00	5990.00		500.00DR
17JUL17	1 17OCT17	6010.50	5989.50		525.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,403.80CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				2,862.50DR
	CARRIED FORWARD BALANCE				458.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1, 217, 455.60CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		1,050.00DR
	LME Copper Grade A 25 tonnes. This Futures		14,607.50DR
	LME Lead 25 tonnes. This is an Futures		6,343.00DR
	LME Nickel 6 tonnes. This is an LME Futures		90.00DR
	LME Tin 5 tonnes. This is an LME Futures		1,175.00CR
	LME Zinc 25 tonnes. This is an LME Futures		8,550.00CR
	CARRIED FORWARD BALANCE		1, 205, 090.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017

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AUTHORISED AND REGULATED BY THE
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0088 to SAP0088A				12.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12.00CR
	CASH PAYMENTS/RECEIPTS				12.00DR
	CARRIED FORWARD BALANCE				0.00

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0088 to SAP0088A				453.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				453.70CR
	CASH PAYMENTS/RECEIPTS				453.70DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0088 to SAP0088A				12.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				73,712.13CR
	CASH PAYMENTS/RECEIPTS				12.00CR
	CARRIED FORWARD BALANCE				73,724.13CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	B 5 DEC17 GX 321.750			9.90DR	
17OCT17	B 5 DEC17 GX 320.700			9.90DR	
17OCT17	B 5 DEC17 GX 319.100			9.90DR	
17OCT17	S 5 DEC17 GX		319.600	9.90DR	
17OCT17	S 5 DEC17 GX		319.800	9.90DR	
	CME Euro FX 125,000 CME				
17OCT17	B 5 DEC17 GX 1.17870			9.65DR	
	CME Sterling 62,500 CME				
17OCT17	B 3 DEC17 GX 1.32190			5.79DR	
17OCT17	B 2 DEC17 GX 1.32020			3.86DR	
	CMX Gold 100 CMX				
17OCT17	B 5 DEC17 GX 1286.8			9.90DR	
17OCT17	S 5 DEC17 GX		1288.9	9.90DR	
17OCT17	S 5 DEC17 1270.0 Put GX 9.9			9.90DR	4,950.00CR
	Commission				98.50DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	5 DEC17	321.750	319.600		2,687.50DR
17OCT17	1 DEC17	320.700	319.800		225.00DR
17OCT17	4 DEC17	320.700	319.800		900.00DR
17OCT17	5 DEC17	319.100	323.800		5,875.00CR
	CMX Gold 100 CMX				
17OCT17	5 DEC17	1286.8	1288.9		1,050.00CR
	<u>INTER ACCOUNT TRANSFER</u>				
17OCT17	SAP0088 to SAP0088A				453.70CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				54,884.71CR
	OPTION PREMIUMS				4,950.00CR
	SETTLEMENTS				
	CMX Copper Futures				2,062.50CR
	CMX Gold Futures				1,050.00CR
	CASH PAYMENTS/RECEIPTS				453.70CR
	COMMISSIONS				98.50DR
	CARRIED FORWARD BALANCE				63,302.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th Oct 2017

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		73,724.13CR
	CARRIED FORWARD BALANCE		73,724.13CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		55,338.41CR
	OPTION PREMIUMS		4,950.00CR
	SETTLEMENTS		
	CMX Copper Futures		2,062.50CR
	CMX Gold Futures		1,050.00CR
	COMMISSIONS		98.50DR
	CARRIED FORWARD BALANCE		63,302.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089B

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	B 6 17JAN18 TTR EL 2150.00			30.00DR	
17OCT17	B 5 17JAN18 TTR EL 2150.50			25.00DR	
17OCT17	B 14 17JAN18 TTR EL 2151.00			70.00DR	
17OCT17	B 1 17JAN18 TTR EL 2151.50			5.00DR	
17OCT17	B 2 17JAN18 TTR EL 2152.00			10.00DR	
17OCT17	B 2 17JAN18 TTR EL 2153.00			10.00DR	
17OCT17	B 20 17JAN18 TTR EL 2153.50			100.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL 2526.00			5.00DR	
17OCT17	B 1 17JAN18 TTR EL 2525.00			5.00DR	
17OCT17	B 2 17JAN18 TTR EL 2532.50			10.00DR	
17OCT17	B 3 17JAN18 TTR EL 2533.00			15.00DR	
17OCT17	B 1 17JAN18 TTR EL 2533.50			5.00DR	
17OCT17	B 1 17JAN18 TTR EL 2534.00			5.00DR	
17OCT17	B 3 17JAN18 TTR EL 2534.50			15.00DR	
17OCT17	B 23 17JAN18 TTR EL 2535.00			115.00DR	
17OCT17	B 4 17JAN18 TTR EL 2537.00			20.00DR	
17OCT17	B 1 17JAN18 TTR EL 2537.50			5.00DR	
17OCT17	B 2 17JAN18 TTR EL 2538.50			10.00DR	
17OCT17	B 2 17JAN18 TTR EL 2539.00			10.00DR	
17OCT17	B 3 17JAN18 TTR EL 2539.50			15.00DR	
17OCT17	B 1 17JAN18 DMA EL 2535.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 2536.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 2539.00			5.00DR	
	Commission				500.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				380,171.83CR
	COMMISSIONS				500.00DR
	CARRIED FORWARD BALANCE				379,671.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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17th October 2017
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>BUSINESS DONE TODAY</u> CMX Silver 5,000 CMX B 4 DEC17 CQG GX Commission	1702.5		9.00DR	9.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 252,621.16DR COMMISSIONS 9.00DR CARRIED FORWARD BALANCE 252,630.16DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		126,870.67CR
	COMMISSIONS		509.00DR
	CARRIED FORWARD BALANCE		126,361.67CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

17th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1899.50	1938.00		962.50CR
18JUL17	1 17OCT17	1930.99	1939.00		200.25CR
18JUL17	1 17OCT17	1930.99	1940.00		225.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2295.00	2321.00		650.00CR
18JUL17	1 17OCT17	2322.00	2274.63		1,184.25DR
18JUL17	1 17OCT17	2323.50	2274.63		1,221.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2823.00	2835.50		312.50CR
17JUL17	1 17OCT17	2821.50	2838.50		425.00CR
17JUL17	1 17OCT17	2822.00	2839.00		425.00CR
17JUL17	1 17OCT17	2822.00	2814.00		200.00DR
17JUL17	1 17OCT17	2832.50	2815.00		437.50DR
18JUL17	1 17OCT17	2794.01	2816.50		562.25CR
18JUL17	1 17OCT17	2794.01	2815.50		537.25CR
18JUL17	1 17OCT17	2794.01	2814.50		512.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				191,595.70CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,756.00DR
	LME Zinc 25 tonnes. This is an LME Futures				2,136.75CR
	LME Aluminium 25 Tonnes. This is an Futures				1,388.00CR
	CARRIED FORWARD BALANCE				193,364.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 3152.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3147.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3150.50			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3151.50			4.65DR	
17OCT17	B 2 17JAN18 DMA EL 3148.00			9.30DR	
17OCT17	B 1 17JAN18 DMA EL 3148.50			4.65DR	
17OCT17	B 4 17JAN18 DMA EL 3150.00			18.60DR	
17OCT17	B 1 17JAN18 DMA EL 3142.50			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3135.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3135.50			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3136.00			4.65DR	
17OCT17	B 2 17JAN18 DMA EL 3125.00			9.30DR	
17OCT17	B 1 17JAN18 DMA EL 3120.50			4.65DR	
17OCT17	B 2 17JAN18 DMA EL 3121.50			9.30DR	
17OCT17	B 1 17JAN18 DMA EL 3123.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3114.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3116.50			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3117.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3118.00			4.65DR	
17OCT17	B 2 17JAN18 DMA EL 3119.50			9.30DR	
17OCT17	B 1 17JAN18 DMA EL 3138.00			4.65DR	
17OCT17	S 4 17JAN18 DMA EL 3080.50		3080.50	18.60DR	
17OCT17	S 1 17JAN18 DMA EL 3082.00		3082.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL 3083.50		3083.50	4.65DR	
	Commission				158.10DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1927.50	1939.50		300.00CR
17JUL17	1 17OCT17	1927.50	1938.50		275.00CR
17JUL17	1 17OCT17	1926.00	1940.50		362.50CR
17JUL17	1 17OCT17	1924.50	1940.50		400.00CR
17JUL17	1 17OCT17	1927.00	1935.50		212.50CR
18JUL17	1 17OCT17	1926.50	1930.59		102.25CR
18JUL17	1 17OCT17	1927.50	1930.59		77.25CR
18JUL17	1 17OCT17	1927.00	1930.59		89.75CR
18JUL17	1 17OCT17	1925.50	1930.59		127.25CR
18JUL17	1 17OCT17	1914.50	1930.59		402.25CR
18JUL17	1 17OCT17	1914.00	1930.59		414.75CR
18JUL17	1 17OCT17	1913.00	1930.59		439.75CR
18JUL17	1 17OCT17	1911.50	1930.59		477.25CR
18JUL17	1 17OCT17	1900.00	1930.59		764.75CR
18JUL17	1 17OCT17	1900.50	1930.59		752.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2319.50	2296.30		580.00DR
17JUL17	1 17OCT17	2316.00	2296.30		492.50DR
17JUL17	1 17OCT17	2295.00	2296.30		32.50CR
17JUL17	1 17OCT17	2321.50	2296.30		630.00DR
17JUL17	1 17OCT17	2321.00	2296.30		617.50DR
17JUL17	1 17OCT17	2319.50	2296.30		580.00DR
17JUL17	1 17OCT17	2318.50	2296.30		555.00DR
17JUL17	1 17OCT17	2316.50	2295.50		525.00DR
17JUL17	1 17OCT17	2316.00	2295.00		525.00DR
18JUL17	1 17OCT17	2295.50	2274.63		521.75DR
18JUL17	1 17OCT17	2295.50	2274.63		521.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
18JUL17	1 17OCT17	2295.50	2274.63		521.75DR
18JUL17	1 17OCT17	2295.00	2274.63		509.25DR
18JUL17	1 17OCT17	2292.50	2274.63		446.75DR
18JUL17	1 17OCT17	2290.00	2274.63		384.25DR
18JUL17	1 17OCT17	2290.00	2274.63		384.25DR
18JUL17	1 17OCT17	2295.50	2274.63		521.75DR
18JUL17	1 17OCT17	2320.00	2274.63		1,134.25DR
18JUL17	1 17OCT17	2319.50	2274.63		1,121.75DR
18JUL17	1 17OCT17	2321.50	2274.63		1,171.75DR
18JUL17	1 17OCT17	2322.00	2274.63		1,184.25DR
18JUL17	1 17OCT17	2319.50	2274.63		1,121.75DR
18JUL17	1 17OCT17	2319.50	2274.63		1,121.75DR
18JUL17	1 17OCT17	2319.00	2274.63		1,109.25DR
19JUL17	1 17OCT17	2217.30	2274.63		1,433.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2822.50	2816.00		162.50DR
17JUL17	1 17OCT17	2823.50	2835.50		300.00CR
17JUL17	1 17OCT17	2822.50	2836.50		350.00CR
17JUL17	1 17OCT17	2821.50	2840.00		462.50CR
17JUL17	1 17OCT17	2821.50	2842.50		525.00CR
17JUL17	1 17OCT17	2832.50	2843.00		262.50CR
17JUL17	1 17OCT17	2832.00	2841.00		225.00CR
17JUL17	1 17OCT17	2831.50	2841.00		237.50CR
17JUL17	1 17OCT17	2831.50	2814.00		437.50DR
19JUL17	1 17OCT17	2747.54	2814.50		1,674.00CR
19JUL17	1 17OCT17	2747.54	2816.50		1,724.00CR
19JUL17	1 17OCT17	2747.54	2816.00		1,711.50CR
19JUL17	1 17OCT17	2747.54	2815.00		1,686.50CR
19JUL17	1 17OCT17	2747.54	2824.00		1,911.50CR
19JUL17	1 17OCT17	2747.54	2823.00		1,886.50CR
19JUL17	1 17OCT17	2747.54	2825.00		1,936.50CR
19JUL17	1 17OCT17	2747.54	2824.50		1,924.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				218,196.60CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				14,815.50DR
	LME Zinc 25 tonnes. This is an LME Futures				16,217.00CR
	LME Aluminium 25 Tonnes. This is an Futures				5,197.50CR
	COMMISSIONS				158.10DR
	CARRIED FORWARD BALANCE				224,637.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094H

17th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 3163.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3155.00			4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3154.50	4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3152.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3151.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3110.50			4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3105.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3062.00			4.65DR	
17OCT17	B 1 17JAN18 DMA EL 3063.00			4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3106.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3075.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3076.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3073.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3076.00	4.65DR	
	Commission				65.10DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 17OCT17	1913.00	1914.33		33.25CR
31JUL17	1 17OCT17	1905.00	1914.53		238.25CR
31JUL17	1 17OCT17	1900.50	1914.53		350.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2825.50	2815.00		262.50DR
17JUL17	1 17OCT17	2815.50	2814.00		37.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,007.54CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				300.00DR
	LME Aluminium 25 Tonnes. This is an Futures				622.25CR
	COMMISSIONS				65.10DR
	CARRIED FORWARD BALANCE				46,264.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094J
17th October 2017
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
21JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract. 1 17OCT17	1919.50	1914.85		116.25DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03AUG17	1 17OCT17	6349.20	6011.50		8,442.50DR
03AUG17	3 17OCT17	6349.20	6011.50		25,327.50DR
03AUG17	1 17OCT17	6349.20	6011.50		8,442.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03AUG17	1 17OCT17	10371.80	9600.00		4,630.80DR
03AUG17	1 17OCT17	10371.80	9600.00		4,630.80DR
03AUG17	2 17OCT17	10371.80	9600.00		9,261.60DR
03AUG17	1 17OCT17	10371.80	9600.00		4,630.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				456,564.92CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				42,212.50DR
	LME Nickel 6 tonnes. This is an LME Futures				23,154.00DR
	LME Aluminium 25 Tonnes. This is an Futures				116.25DR
	CARRIED FORWARD BALANCE				391,082.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094K

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	S 1 NOV17 TTR GX		52.16	2.35DR	
17OCT17	S 1 NOV17 TTR GX		52.12	2.35DR	
17OCT17	S 3 NOV17 TTR GX		52.14	7.05DR	
17OCT17	B 1 NOV17 TTR GX	52.07		2.35DR	
17OCT17	B 1 NOV17 TTR GX	52.10		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.36		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.34		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.35		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.32		2.35DR	
17OCT17	B 2 NOV17 TTR GX	51.31		4.70DR	
17OCT17	B 1 NOV17 TTR GX	51.28		2.35DR	
	CMX Copper 25,000 CMX				
17OCT17	B 1 DEC17 TTR GX	321.000		2.35DR	
17OCT17	B 1 DEC17 TTR GX	320.950		2.35DR	
	Commission				37.60DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17	52.07	52.14		70.00CR
17OCT17	1 NOV17	52.10	52.16		60.00CR
17OCT17	1 NOV17	51.36	52.12		760.00CR
17OCT17	1 NOV17	51.34	52.14		800.00CR
17OCT17	1 NOV17	51.35	52.14		790.00CR
17OCT17	1 NOV17	51.31	51.46		150.00CR
17OCT17	1 NOV17	51.32	51.43		110.00CR
17OCT17	1 NOV17	51.31	51.41		100.00CR
17OCT17	1 NOV17	51.28	51.41		130.00CR
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	321.000	311.450		2,387.50DR
17OCT17	1 DEC17	320.950	311.850		2,275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,320.80DR
	SETTLEMENTS				
	CMX Copper Futures				4,662.50DR
	NYM Light Sweet Crude Oil Futures				2,970.00CR
	COMMISSIONS				37.60DR
	CARRIED FORWARD BALANCE				9,050.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 TTR EL		2143.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		2147.00	4.65DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 16NOV17 IFC UD		7000.00	2.70DR	
17OCT17	S 1 12DEC17 IFC UD		7012.59	2.70DR	
17OCT17	S 1 14DEC17 IFC UD		7013.56	2.70DR	
17OCT17	S 1 15DEC17 IFC UD		7014.05	2.70DR	
17OCT17	B 1 20DEC17 IFC UD	7015.70		2.70DR	
17OCT17	B 3 27DEC17 IFC UD	7016.43		8.10DR	
17OCT17	S 3 28DEC17 IFC UD		7016.77	8.10DR	
17OCT17	S 5 29DEC17 IFC UD		7017.32	13.50DR	
17OCT17	B 8 09JAN18 IFC UD	7023.20		21.60DR	
17OCT17	S 4 10JAN18 IFC UD		7023.50	10.80DR	
17OCT17	S 7 11JAN18 IFC UD		7024.00	18.90DR	
17OCT17	B 11 12JAN18 IFC UD	7024.70		29.70DR	
17OCT17	S 1 17JAN18 TTR EL		7119.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7115.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7123.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7112.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7106.50	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7111.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7088.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7081.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7076.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7067.50	4.65DR	
17OCT17	B 1 17JAN18 TTR EL	7030.00		4.65DR	
	CMX Gold 100 CMX				
17OCT17	B 1 DEC17 TTR GX	1286.5		2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	S 3 07NOV17 IFC UD		11716.55	8.10DR	
17OCT17	B 1 09NOV17 IFC UD	11718.79		2.70DR	
17OCT17	B 2 14NOV17 IFC UD	11721.88		5.40DR	
17OCT17	S 2 15NOV17 IFC UD		11721.50	5.40DR	
17OCT17	B 2 16NOV17 IFC UD	11723.16		5.40DR	
17OCT17	S 1 17NOV17 IFC UD		11722.82	2.70DR	
17OCT17	S 1 29NOV17 IFC UD		11730.71	2.70DR	
17OCT17	S 2 30NOV17 IFC UD		11731.37	5.40DR	
17OCT17	B 3 01DEC17 IFC UD	11732.03		8.10DR	
17OCT17	S 3 04DEC17 IFC UD		11733.00	8.10DR	
17OCT17	B 1 05DEC17 IFC UD	11735.57		2.70DR	
17OCT17	B 2 06DEC17 IFC UD	11736.14		5.40DR	
17OCT17	S 1 18DEC17 IFC UD		11742.28	2.70DR	
17OCT17	B 1 20DEC17 IFC UD	11744.50		2.70DR	
17OCT17	S 20 22DEC17 IFC UD		11745.04	54.00DR	
17OCT17	B 20 27DEC17 IFC UD	11749.88		54.00DR	
17OCT17	S 3 28DEC17 IFC UD		11749.64	8.10DR	
17OCT17	S 2 29DEC17 IFC UD		11750.41	5.40DR	
17OCT17	S 2 10JAN18 IFC UD		11759.63	5.40DR	
17OCT17	B 8 11JAN18 IFC UD	11761.39		21.60DR	
17OCT17	B 1 17JAN18 TTR EL	11785.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	11780.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	11730.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11725.00		9.30DR	
17OCT17	S 1 17JAN18 TTR EL		11650.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		11680.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	11710.00		4.65DR	
17OCT17	S 1 17JAN18 TTR EL		11730.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		11735.00	4.65DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	17JAN18	TTR	EL		11740.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11745.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11750.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		11630.00	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	11705.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	11695.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	11675.00		4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		11675.00	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	11680.00		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	11665.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	11690.00		9.30DR	
	CMX Silver 5,000 CMX								
17OCT17	B	1	DEC17	TTR	GX	1727.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1707.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1703.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1702.0		2.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
17OCT17	B	1	17JAN18	TTR	EL	20385.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20180.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19945.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20340.00	4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		20270.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		3202.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3187.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3195.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3188.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3171.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3115.50		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3082.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3075.00		4.65DR	
	Commission								584.45DR
	SETTLEMENTS								
	CMX Silver 5,000 CMX								
17OCT17		1	DEC17			1727.0	1746.0		950.00CR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
19SEP17		1	17OCT17			1914.00	2103.45		4,736.25CR
19SEP17		1	17OCT17			2090.37	2103.45		327.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17JUL17		1	17OCT17			5950.00	5977.00		675.00CR
17JUL17		1	17OCT17			5921.50	5981.00		1,487.50CR
17JUL17		1	17OCT17			5989.00	5918.00		1,775.00DR
17JUL17		1	17OCT17			6010.00	5984.00		650.00DR
19SEP17		1	17OCT17			6508.25	6018.00		12,256.25DR
	LME Lead 25 tonnes. This is an LME registered client contract								
13SEP17		1	17OCT17			2326.00	2270.36		1,391.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract								
17JUL17		1	17OCT17			9640.00	9585.00		330.00DR
05OCT17		1	17OCT17			10458.63	9570.00		5,331.78DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094L

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
05OCT17	1 17OCT17	10458.63	9580.00		5,271.78DR
05OCT17	1 17OCT17	10458.63	9615.00		5,061.78DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2818.00	2805.50		312.50DR
17JUL17	1 17OCT17	2836.50	2816.50		500.00DR
13SEP17	1 17OCT17	3019.22	2839.00		4,505.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				126,994.98CR
	SETTLEMENTS				
	CMX Silver Futures				950.00CR
	LME Lead 25 tonnes. This is an Futures				1,391.00DR
	LME Copper Grade A 25 tonnes. This Futures				12,518.75DR
	LME Zinc 25 tonnes. This is an LME Futures				5,318.00DR
	LME Nickel 6 tonnes. This is an LME Futures				15,995.34DR
	LME Aluminium 25 Tonnes. This is an Futures				5,063.25CR
	COMMISSIONS				584.45DR
	CARRIED FORWARD BALANCE				97,200.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094M

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	S 1 NOV17 TTR GX		51.78	2.35DR	
17OCT17	S 1 NOV17 TTR GX		51.91	2.35DR	
17OCT17	S 2 NOV17 TTR GX		52.17	4.70DR	
17OCT17	S 9 NOV17 TTR GX		52.18	21.15DR	
17OCT17	S 1 NOV17 TTR GX		52.21	2.35DR	
17OCT17	S 1 NOV17 TTR GX		52.23	2.35DR	
17OCT17	B 1 NOV17 TTR GX	52.21		2.35DR	
17OCT17	S 3 NOV17 TTR GX		52.11	7.05DR	
17OCT17	S 2 NOV17 TTR GX		52.13	4.70DR	
17OCT17	S 7 NOV17 TTR GX		52.14	16.45DR	
17OCT17	S 1 NOV17 TTR GX		52.00	2.35DR	
17OCT17	S 1 NOV17 TTR GX		52.02	2.35DR	
17OCT17	S 2 NOV17 TTR GX		52.10	4.70DR	
17OCT17	S 1 NOV17 TTR GX		52.08	2.35DR	
17OCT17	S 3 NOV17 TTR GX		52.09	7.05DR	
17OCT17	S 8 NOV17 TTR GX		52.12	18.80DR	
17OCT17	B 1 NOV17 TTR GX	51.91		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.90		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.86		2.35DR	
17OCT17	B 7 NOV17 TTR GX	52.13		16.45DR	
17OCT17	B 3 NOV17 TTR GX	52.12		7.05DR	
17OCT17	B 1 NOV17 TTR GX	52.10		2.35DR	
17OCT17	B 2 NOV17 TTR GX	52.09		4.70DR	
17OCT17	B 5 NOV17 TTR GX	52.08		11.75DR	
17OCT17	B 2 NOV17 TTR GX	52.07		4.70DR	
17OCT17	B 1 NOV17 TTR GX	52.06		2.35DR	
17OCT17	B 1 NOV17 TTR GX	52.03		2.35DR	
17OCT17	B 2 NOV17 TTR GX	51.89		4.70DR	
17OCT17	S 2 NOV17 TTR GX		51.86	4.70DR	
17OCT17	S 3 NOV17 TTR GX		51.82	7.05DR	
17OCT17	S 8 NOV17 TTR GX		51.81	18.80DR	
17OCT17	S 1 NOV17 TTR GX		51.76	2.35DR	
17OCT17	S 1 NOV17 TTR GX		51.79	2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.65		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.63		2.35DR	
17OCT17	B 3 NOV17 TTR GX	51.62		7.05DR	
17OCT17	B 6 NOV17 TTR GX	51.64		14.10DR	
17OCT17	B 3 NOV17 TTR GX	51.60		7.05DR	
17OCT17	B 1 NOV17 TTR GX	51.61		2.35DR	
17OCT17	B 2 NOV17 TTR GX	51.58		4.70DR	
17OCT17	B 1 NOV17 TTR GX	51.49		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.48		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.47		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.46		2.35DR	
17OCT17	B 2 NOV17 TTR GX	51.52		4.70DR	
17OCT17	B 3 NOV17 TTR GX	51.50		7.05DR	
17OCT17	B 1 NOV17 TTR GX	51.51		2.35DR	
17OCT17	B 3 NOV17 TTR GX	51.59		7.05DR	
17OCT17	B 2 NOV17 TTR GX	51.57		4.70DR	
17OCT17	B 2 NOV17 TTR GX	51.54		4.70DR	
17OCT17	B 2 NOV17 TTR GX	51.34		4.70DR	
17OCT17	B 1 NOV17 TTR GX	51.36		2.35DR	
17OCT17	S 1 NOV17 TTR GX		51.35	2.35DR	
17OCT17	B 2 NOV17 TTR GX	51.31		4.70DR	
17OCT17	B 1 NOV17 TTR GX	51.32		2.35DR	
17OCT17	S 1 NOV17 TTR GX		51.43	2.35DR	
17OCT17	B 3 NOV17 TTR GX	51.55		7.05DR	
17OCT17	B 1 NOV17 TTR GX	51.66		2.35DR	
17OCT17	B 1 NOV17 TTR GX	51.69		2.35DR	
	CMX Copper 25,000 CMX				
17OCT17	S 1 DEC17 TTR GX		323.050	2.35DR	
17OCT17	S 1 DEC17 TTR GX		323.100	2.35DR	
17OCT17	B 1 DEC17 TTR GX	321.150		2.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	DEC17	TTR	GX	321.700		2.35DR	
17OCT17	S	2	DEC17	TTR	GX		321.650	4.70DR	
17OCT17	B	1	DEC17	TTR	GX	321.400		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		321.900	2.35DR	
17OCT17	B	1	DEC17	TTR	GX	321.250		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	320.700		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	320.950		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		320.850	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		320.900	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		322.250	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		322.200	2.35DR	
17OCT17	B	1	DEC17	TTR	GX	320.450		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	319.600		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	319.750		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	319.700		2.35DR	
	CMX Gold 100 CMX								
17OCT17	B	1	DEC17	TTR	GX	1294.6		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1291.0		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		1290.2	2.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
17OCT17	B	1	17JAN18	TTR	EL	11665.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	11660.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	11640.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	11625.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	11630.00		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		11705.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		11715.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		11730.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11725.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		11745.00	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	11760.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11750.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		11720.00	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		11735.00	9.30DR	
	CMX Silver 5,000 CMX								
17OCT17	B	1	DEC17	TTR	GX	1722.5		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1722.0		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1717.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1709.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1707.0		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		1707.5	2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1707.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1705.0		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		1704.5	2.35DR	
17OCT17	S	3	DEC17	TTR	GX		1704.0	7.05DR	
	Commission								497.10DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
17OCT17		1	NOV17			52.21	51.81		400.00DR
17OCT17		1	NOV17			51.91	51.81		100.00DR
17OCT17		1	NOV17			51.90	51.78		120.00DR
17OCT17		1	NOV17			51.86	51.91		50.00CR
17OCT17		1	NOV17			52.13	52.09		40.00DR
17OCT17		1	NOV17			52.12	52.11		10.00DR
17OCT17		1	NOV17			52.12	52.12		0.00
17OCT17		2	NOV17			52.13	52.14		20.00CR
17OCT17		1	NOV17			52.13	52.14		10.00CR
17OCT17		1	NOV17			52.13	52.14		10.00CR
17OCT17		1	NOV17			52.13	52.18		50.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 NOV17	52.13	52.18		50.00CR
17OCT17	1 NOV17	52.12	52.18		60.00CR
17OCT17	1 NOV17	52.10	52.18		80.00CR
17OCT17	1 NOV17	52.08	52.18		100.00CR
17OCT17	2 NOV17	52.08	52.17		180.00CR
17OCT17	2 NOV17	52.09	52.18		180.00CR
17OCT17	1 NOV17	52.08	52.18		100.00CR
17OCT17	1 NOV17	52.08	52.18		100.00CR
17OCT17	1 NOV17	52.07	52.21		140.00CR
17OCT17	1 NOV17	52.07	52.23		160.00CR
17OCT17	1 NOV17	52.06	52.12		60.00CR
17OCT17	1 NOV17	52.03	52.13		100.00CR
17OCT17	1 NOV17	51.89	52.10		210.00CR
17OCT17	1 NOV17	51.89	52.11		220.00CR
17OCT17	1 NOV17	51.62	52.11		490.00CR
17OCT17	1 NOV17	51.62	52.12		500.00CR
17OCT17	1 NOV17	51.60	52.12		520.00CR
17OCT17	1 NOV17	51.65	52.12		470.00CR
17OCT17	1 NOV17	51.64	52.13		490.00CR
17OCT17	1 NOV17	51.64	52.14		500.00CR
17OCT17	1 NOV17	51.64	52.14		500.00CR
17OCT17	1 NOV17	51.64	52.14		500.00CR
17OCT17	1 NOV17	51.64	52.00		360.00CR
17OCT17	1 NOV17	51.63	52.02		390.00CR
17OCT17	1 NOV17	51.62	52.10		480.00CR
17OCT17	1 NOV17	51.64	52.09		450.00CR
17OCT17	1 NOV17	51.60	52.12		520.00CR
17OCT17	1 NOV17	51.60	52.08		480.00CR
17OCT17	1 NOV17	51.59	52.09		500.00CR
17OCT17	1 NOV17	51.59	52.12		530.00CR
17OCT17	1 NOV17	51.61	52.12		510.00CR
17OCT17	1 NOV17	51.58	51.86		280.00CR
17OCT17	1 NOV17	51.58	51.86		280.00CR
17OCT17	1 NOV17	51.55	51.81		260.00CR
17OCT17	1 NOV17	51.50	51.81		310.00CR
17OCT17	1 NOV17	51.49	51.82		330.00CR
17OCT17	1 NOV17	51.48	51.81		330.00CR
17OCT17	1 NOV17	51.47	51.81		340.00CR
17OCT17	1 NOV17	51.46	51.82		360.00CR
17OCT17	1 NOV17	51.50	51.82		320.00CR
17OCT17	1 NOV17	51.52	51.81		290.00CR
17OCT17	1 NOV17	51.52	51.81		290.00CR
17OCT17	1 NOV17	51.50	51.76		260.00CR
17OCT17	1 NOV17	51.51	51.79		280.00CR
17OCT17	1 NOV17	51.55	51.35		200.00DR
17OCT17	1 NOV17	51.59	51.43		160.00DR
17OCT17	2 NOV17	51.57	50.73		1,680.00DR
17OCT17	1 NOV17	51.54	50.73		810.00DR
17OCT17	1 NOV17	51.54	50.64		900.00DR
17OCT17	2 NOV17	51.34	50.65		1,380.00DR
17OCT17	1 NOV17	51.36	50.65		710.00DR
17OCT17	2 NOV17	51.31	50.67		1,280.00DR
17OCT17	1 NOV17	51.32	50.57		750.00DR
17OCT17	1 NOV17	51.55	50.45		1,100.00DR
17OCT17	1 NOV17	51.66	50.62		1,040.00DR
17OCT17	1 NOV17	51.69	50.62		1,070.00DR
17OCT17	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	321.150	323.050		475.00CR
17OCT17	1 DEC17	321.700	323.100		350.00CR
17OCT17	1 DEC17	321.400	321.650		62.50CR
17OCT17	1 DEC17	321.250	321.650		100.00CR
17OCT17	1 DEC17	320.700	321.900		300.00CR
17OCT17	1 DEC17	320.950	320.850		25.00DR
17OCT17	1 DEC17	320.450	320.900		112.50CR
17OCT17	1 DEC17	319.600	322.250		662.50CR
17OCT17	1 DEC17	319.750	322.200		612.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094M

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC17 CMX Gold 100 CMX	319.700	311.500		2,050.00DR
17OCT17	1 DEC17 CMX Silver 5,000 CMX	1294.6	1290.2		440.00DR
17OCT17	1 DEC17	1722.0	1707.5		725.00DR
17OCT17	1 DEC17	1722.5	1704.0		925.00DR
17OCT17	1 DEC17	1722.0	1704.5		875.00DR
17OCT17	1 DEC17	1717.0	1704.0		650.00DR
17OCT17	1 DEC17	1709.0	1704.0		250.00DR
	MATCH OUTS LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	3 17OCT17	6006.79	6017.00		765.75CR
	TOTALS				
	BROUGHT FORWARD BALANCE				50,313.52DR
	SETTLEMENTS				
	CMX Silver Futures				3,425.00DR
	LME Copper Grade A 25 tonnes. This Futures				765.75CR
	CMX Copper Futures				600.00CR
	CMX Gold Futures				440.00DR
	NYM Light Sweet Crude Oil Futures				2,250.00CR
	COMMISSIONS				497.10DR
	CARRIED FORWARD BALANCE				51,059.87DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 2 17JAN18 TTR EL		2142.50	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		2145.50	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 2 17JAN18 TTR EL		7129.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7125.00	9.30DR	
17OCT17	S 4 17JAN18 TTR EL		7128.00	18.60DR	
17OCT17	S 2 17JAN18 TTR EL		7117.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7116.00	4.65DR	
17OCT17	B 1 17JAN18 TTR EL	7115.50		4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7109.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7112.50	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7113.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7088.50	9.30DR	
17OCT17	S 4 17JAN18 TTR EL		7080.00	18.60DR	
17OCT17	S 2 17JAN18 DMA EL		7121.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7084.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7059.00	9.30DR	
	CMX Gold 100 CMX				
17OCT17	S 2 DEC17 TTR GX		1295.4	4.70DR	
17OCT17	B 2 DEC17 TTR GX	1285.6		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1286.2		4.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 2 17JAN18 TTR EL	11725.00		9.30DR	
17OCT17	B 3 17JAN18 TTR EL	11685.00		13.95DR	
17OCT17	S 1 17JAN18 TTR EL		11685.00	4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11680.00		9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11720.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11665.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11630.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11645.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11650.00	9.30DR	
17OCT17	S 4 17JAN18 TTR EL		11740.00	18.60DR	
17OCT17	B 2 17JAN18 TTR EL	11745.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11740.00		9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11715.00	9.30DR	
17OCT17	S 13 17JAN18 TTR EL		11710.00	60.45DR	
17OCT17	B 2 17JAN18 TTR EL	11675.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11665.00		9.30DR	
17OCT17	B 6 17JAN18 TTR EL	11690.00		27.90DR	
17OCT17	S 2 17JAN18 TTR EL		11690.00	9.30DR	
17OCT17	S 1 17JAN18 DMA EL		11715.00	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	11665.00		9.30DR	
	CMX Silver 5,000 CMX				
17OCT17	B 4 DEC17 TTR GX	1726.5		9.40DR	
17OCT17	B 2 DEC17 TTR GX	1705.5		4.70DR	
17OCT17	S 2 DEC17 TTR GX		1707.5	4.70DR	
17OCT17	B 2 DEC17 TTR GX	1710.0		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1700.5		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1703.5		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1704.0		4.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 2 17JAN18 TTR EL	20395.00		9.30DR	
17OCT17	B 1 17JAN18 TTR EL	20210.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	20220.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	19975.00		9.30DR	
17OCT17	S 2 17JAN18 TTR EL		20185.00	9.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP00940

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S 2 17JAN18 DMA EL LME Zinc 25 tonnes. This is an LME registered client contract		20370.00	9.30DR	
17OCT17	B 2 17JAN18 TTR EL	3189.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	3116.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	3081.50		9.30DR	
	Commission				544.60DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
17OCT17	1 DEC17	1285.6	1295.4		980.00CR
17OCT17	1 DEC17	1285.6	1295.4		980.00CR
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1726.5	1707.5		950.00DR
17OCT17	1 DEC17	1726.5	1707.5		950.00DR
17OCT17	2 DEC17	1726.5	1746.5		2,000.00CR
17OCT17	2 DEC17	1705.5	1747.0		4,150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				145,846.78CR
	SETTLEMENTS				
	CMX Silver Futures				4,250.00CR
	CMX Gold Futures				1,960.00CR
	COMMISSIONS				544.60DR
	CARRIED FORWARD BALANCE				151,512.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	20680.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20375.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20250.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20270.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20315.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20365.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20320.00		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	3095.00		4.65DR	
	Commission				37.20DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6004.50	5987.50		425.00DR
17JUL17	1 17OCT17	5996.50	6004.50		200.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
08AUG17	1 17OCT17	2325.00	2378.96		1,349.00CR
08AUG17	1 17OCT17	2299.00	2378.96		1,999.00CR
08AUG17	1 17OCT17	2315.50	2378.96		1,586.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	20050.00	20070.00		100.00CR
08AUG17	1 17OCT17	19900.00	20228.79		1,643.95CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
08AUG17	1 17OCT17	2924.33	2838.00		2,158.25DR
08AUG17	1 17OCT17	2924.33	2818.00		2,658.25DR
08AUG17	1 17OCT17	2924.33	2806.00		2,958.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				27,527.35CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,743.95CR
	LME Lead 25 tonnes. This is an Futures				4,934.50CR
	LME Copper Grade A 25 tonnes. This Futures				225.00DR
	LME Zinc 25 tonnes. This is an LME Futures				7,774.75DR
	COMMISSIONS				37.20DR
	CARRIED FORWARD BALANCE				26,168.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	S 2 17JAN18 DMA EL		11760.00	9.30DR	
17OCT17	B 1 17JAN18 DMA EL	11730.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	11710.00		4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	20460.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20565.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20515.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20560.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20370.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20255.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20270.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20290.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20320.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20350.00	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	20300.00		9.30DR	
	Commission				74.40DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2324.50	2317.00		187.50DR
08AUG17	1 17OCT17	2328.50	2378.96		1,261.50CR
08AUG17	1 17OCT17	2320.50	2378.96		1,461.50CR
08AUG17	1 17OCT17	2325.00	2378.96		1,349.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9640.00	9650.00		60.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	20050.00	20070.00		100.00CR
20JUL17	1 17OCT17	19945.00	20091.58		732.90CR
20JUL17	1 17OCT17	19945.00	20091.58		732.90CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2822.00	2831.50		237.50CR
08AUG17	1 17OCT17	2924.33	2834.00		2,258.25DR
08AUG17	1 17OCT17	2924.33	2844.00		2,008.25DR
08AUG17	1 17OCT17	2924.33	2822.00		2,558.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				39,154.78CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,565.80CR
	LME Lead 25 tonnes. This is an Futures				3,884.50CR
	LME Zinc 25 tonnes. This is an LME Futures				6,587.25DR
	LME Nickel 6 tonnes. This is an LME Futures				60.00CR
	COMMISSIONS				74.40DR
	CARRIED FORWARD BALANCE				38,003.43CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0094R

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	B 2 DEC17 GX	319.700		4.70DR	
17OCT17	B 1 DEC17 GX	319.650		2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	3176.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3179.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3169.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3155.50		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3161.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3163.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3142.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3143.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3140.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3135.50		4.65DR	
17OCT17	S 2 17JAN18 DMA EL		3103.50	9.30DR	
17OCT17	S 1 17JAN18 DMA EL		3104.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3111.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3112.00	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3093.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3088.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3076.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3076.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3065.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3061.00		4.65DR	
17OCT17	S 3 17JAN18 DMA EL		3077.50	13.95DR	
17OCT17	S 1 17JAN18 DMA EL		3074.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3075.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3075.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3077.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3078.00	4.65DR	
	Commission				146.55DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	319.700	318.900		200.00DR
17OCT17	1 DEC17	319.700	318.850		212.50DR
17OCT17	1 DEC17	319.650	318.750		225.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1900.00	1911.00		275.00CR
26JUL17	1 17OCT17	1899.50	1940.80		1,032.50CR
26JUL17	1 17OCT17	1899.00	1940.80		1,045.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5977.00	5993.50		412.50CR
17JUL17	1 17OCT17	6006.50	6014.00		187.50CR
17JUL17	1 17OCT17	6002.00	5980.00		550.00DR
17JUL17	1 17OCT17	5977.00	5980.00		75.00CR
17JUL17	1 17OCT17	5976.00	5980.00		100.00CR
17JUL17	1 17OCT17	5975.50	5980.00		112.50CR
17JUL17	1 17OCT17	5974.50	5980.00		137.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUL17	1 17OCT17	2318.50	2312.49		150.25DR
26JUL17	1 17OCT17	2318.50	2312.49		150.25DR

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUL17	1 17OCT17	2297.50	2312.49		374.75CR
26JUL17	1 17OCT17	2320.00	2312.49		187.75DR
26JUL17	1 17OCT17	2320.00	2312.49		187.75DR
26JUL17	1 17OCT17	2322.00	2312.49		237.75DR
26JUL17	1 17OCT17	2322.00	2312.69		232.75DR
26JUL17	1 17OCT17	2319.00	2312.69		157.75DR
26JUL17	1 17OCT17	2324.00	2312.69		282.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2824.50	2835.50		275.00CR
17JUL17	1 17OCT17	2824.50	2837.00		312.50CR
17JUL17	1 17OCT17	2823.50	2836.50		325.00CR
17JUL17	1 17OCT17	2822.50	2835.00		312.50CR
17JUL17	1 17OCT17	2822.50	2824.00		37.50CR
17JUL17	1 17OCT17	2819.50	2824.00		112.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				94,374.97CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,212.25DR
	LME Copper Grade A 25 tonnes. This Futures				475.00CR
	LME Zinc 25 tonnes. This is an LME Futures				1,375.00CR
	CMX Copper Futures				637.50DR
	LME Aluminium 25 Tonnes. This is an Futures				2,352.50CR
	COMMISSIONS				146.55DR
	CARRIED FORWARD BALANCE				96,581.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094U

17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 2 17JAN18 DMA EL		2141.50	9.30DR	
17OCT17	S 1 17JAN18 DMA EL		2143.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2143.50	4.65DR	
17OCT17	S 2 17JAN18 DMA EL		2148.00	9.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		2517.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	2509.50		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	3155.50		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3156.00		9.30DR	
17OCT17	B 2 17JAN18 DMA EL	3135.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3128.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3112.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3114.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3108.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3108.00		4.65DR	
17OCT17	S 2 17JAN18 DMA EL		3080.00	9.30DR	
17OCT17	S 2 17JAN18 DMA EL		3082.50	9.30DR	
17OCT17	S 1 17JAN18 DMA EL		3083.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3074.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3080.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3075.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3076.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3083.00	4.65DR	
	Commission				130.20DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUL17	1 17OCT17	2808.99	2814.50		137.75CR
26JUL17	1 17OCT17	2808.99	2815.00		150.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,049.19CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				288.00CR
	COMMISSIONS				130.20DR
	CARRIED FORWARD BALANCE				17,206.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	S 4 29DEC17 IFC UD		11750.41	10.80DR	
17OCT17	S 1 10JAN18 IFC UD		11759.63	2.70DR	
17OCT17	B 4 12JAN18 IFC UD	11762.16		10.80DR	
17OCT17	B 1 16JAN18 IFC UD	11765.23		2.70DR	
	Commission				27.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1932.50	1938.00		137.50CR
17JUL17	1 17OCT17	1932.50	1926.00		162.50DR
17JUL17	2 17OCT17	1932.50	1926.00		325.00DR
17JUL17	1 17OCT17	1930.00	1926.00		100.00DR
17JUL17	1 17OCT17	1928.00	1926.00		50.00DR
17JUL17	1 17OCT17	1932.50	1927.00		137.50DR
17JUL17	3 17OCT17	1932.50	1927.00		412.50DR
17JUL17	1 17OCT17	1932.50	1927.00		137.50DR
17JUL17	1 17OCT17	1932.50	1927.00		137.50DR
17JUL17	1 17OCT17	1930.00	1927.50		62.50DR
17JUL17	1 17OCT17	1930.00	1928.00		50.00DR
17JUL17	1 17OCT17	1927.50	1925.00		62.50DR
17JUL17	1 17OCT17	1928.00	1929.00		25.00CR
17JUL17	1 17OCT17	1930.00	1926.50		87.50DR
19JUL17	1 17OCT17	1930.00	1919.83		254.25DR
19JUL17	1 17OCT17	1928.50	1919.83		216.75DR
19JUL17	1 17OCT17	1928.00	1919.83		204.25DR
19JUL17	3 17OCT17	1923.00	1919.83		237.75DR
19JUL17	1 17OCT17	1923.50	1919.83		91.75DR
19JUL17	1 17OCT17	1923.00	1919.83		79.25DR
19JUL17	1 17OCT17	1923.00	1919.83		79.25DR
19JUL17	1 17OCT17	1925.00	1919.83		129.25DR
19JUL17	1 17OCT17	1924.00	1919.83		104.25DR
19JUL17	1 17OCT17	1924.50	1919.83		116.75DR
19JUL17	1 17OCT17	1927.00	1919.83		179.25DR
19JUL17	1 17OCT17	1927.00	1919.83		179.25DR
19JUL17	1 17OCT17	1925.50	1919.83		141.75DR
19JUL17	1 17OCT17	1925.00	1919.83		129.25DR
19JUL17	1 17OCT17	1923.50	1919.83		91.75DR
19JUL17	1 17OCT17	1924.00	1919.83		104.25DR
19JUL17	1 17OCT17	1926.00	1919.83		154.25DR
19JUL17	1 17OCT17	1927.50	1919.83		191.75DR
19JUL17	1 17OCT17	1927.00	1919.83		179.25DR
19JUL17	1 17OCT17	1927.00	1919.83		179.25DR
19JUL17	1 17OCT17	1926.00	1919.83		154.25DR
19JUL17	1 17OCT17	1919.50	1919.83		8.25CR
19JUL17	1 17OCT17	1913.50	1919.83		158.25CR
19JUL17	2 17OCT17	1913.50	1919.83		316.50CR
19JUL17	1 17OCT17	1913.00	1919.83		170.75CR
21JUL17	1 17OCT17	1908.00	1914.85		171.25CR
21JUL17	1 17OCT17	1905.00	1914.85		246.25CR
26JUL17	1 17OCT17	1904.00	1940.60		915.00CR
26JUL17	2 17OCT17	1910.50	1940.60		1,505.00CR
10AUG17	1 17OCT17	1910.50	2034.05		3,088.75CR
10AUG17	1 17OCT17	1911.00	2034.05		3,076.25CR
10AUG17	1 17OCT17	1910.00	2034.25		3,106.25CR
	LME Copper Grade A 25 tonnes. This				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	is a LME registered client contract				
17JUL17	1 17OCT17	5959.50	5981.00		537.50CR
17JUL17	1 17OCT17	5950.00	5981.50		787.50CR
17JUL17	1 17OCT17	5950.00	5918.00		800.00DR
17JUL17	1 17OCT17	5921.00	5980.50		1,487.50CR
17JUL17	1 17OCT17	5948.50	5981.00		812.50CR
17JUL17	1 17OCT17	5949.50	5985.00		887.50CR
17JUL17	1 17OCT17	5950.50	5981.50		775.00CR
17JUL17	1 17OCT17	5951.00	5923.50		687.50DR
17JUL17	1 17OCT17	5918.00	5913.00		125.00DR
17JUL17	1 17OCT17	5918.00	5922.00		100.00CR
17JUL17	1 17OCT17	5960.00	5982.00		550.00CR
17JUL17	1 17OCT17	5974.00	5981.00		175.00CR
17JUL17	1 17OCT17	5950.50	5981.00		762.50CR
17JUL17	1 17OCT17	6006.00	5981.00		625.00DR
17JUL17	1 17OCT17	6004.00	5922.50		2,037.50DR
17JUL17	1 17OCT17	6004.00	5925.00		1,975.00DR
17JUL17	1 17OCT17	6001.50	5913.00		2,212.50DR
28JUL17	1 17OCT17	6324.14	5923.50		10,016.00DR
28JUL17	1 17OCT17	6324.14	5920.00		10,103.50DR
03AUG17	1 17OCT17	6349.20	5980.00		9,230.00DR
03AUG17	1 17OCT17	6349.20	5980.50		9,217.50DR
03AUG17	1 17OCT17	6349.20	5983.00		9,155.00DR
03AUG17	1 17OCT17	6349.20	5982.50		9,167.50DR
03AUG17	1 17OCT17	6349.20	5977.00		9,305.00DR
03AUG17	1 17OCT17	6349.20	5981.50		9,192.50DR
03AUG17	1 17OCT17	6349.20	5985.00		9,105.00DR
03AUG17	1 17OCT17	6349.20	5985.50		9,092.50DR
03AUG17	1 17OCT17	6349.20	6013.00		8,405.00DR
03AUG17	1 17OCT17	6349.20	6010.50		8,467.50DR
03AUG17	2 17OCT17	6349.20	6009.50		16,985.00DR
07AUG17	1 17OCT17	6408.50	6010.00		9,962.50DR
07AUG17	2 17OCT17	6408.50	6011.50		19,850.00DR
09AUG17	1 17OCT17	6448.95	6012.00		10,923.75DR
09AUG17	1 17OCT17	6448.75	6013.00		10,893.75DR
10AUG17	2 17OCT17	6416.20	6013.00		20,160.00DR
10AUG17	1 17OCT17	6416.20	6018.00		9,955.00DR
10AUG17	1 17OCT17	6416.20	6019.00		9,930.00DR
10AUG17	1 17OCT17	6416.00	6016.50		9,987.50DR
10AUG17	1 17OCT17	6416.00	6016.00		10,000.00DR
10AUG17	1 17OCT17	6416.00	6016.50		9,987.50DR
10AUG17	1 17OCT17	6416.20	6018.00		9,955.00DR
10AUG17	2 17OCT17	6416.20	6015.50		20,035.00DR
10AUG17	1 17OCT17	6416.00	6007.50		10,212.50DR
10AUG17	1 17OCT17	6416.00	6001.00		10,375.00DR
10AUG17	1 17OCT17	6416.00	6003.00		10,325.00DR
10AUG17	1 17OCT17	6416.00	6004.50		10,287.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	2 17OCT17	2325.00	2325.00		0.00
17JUL17	1 17OCT17	2319.00	2325.00		150.00CR
17JUL17	1 17OCT17	2312.50	2312.00		12.50DR
17JUL17	1 17OCT17	2324.00	2312.00		300.00DR
17JUL17	1 17OCT17	2320.00	2313.00		175.00DR
17JUL17	1 17OCT17	2323.50	2324.00		12.50CR
17JUL17	1 17OCT17	2320.00	2294.50		637.50DR
26JUL17	1 17OCT17	2325.00	2312.69		307.75DR
26JUL17	1 17OCT17	2325.50	2312.69		320.25DR
26JUL17	1 17OCT17	2330.50	2312.69		445.25DR
28JUL17	1 17OCT17	2325.00	2319.55		136.25DR
08AUG17	1 17OCT17	2298.50	2378.96		2,011.50CR
08AUG17	1 17OCT17	2296.50	2378.96		2,061.50CR
08AUG17	1 17OCT17	2291.00	2378.96		2,199.00CR
08AUG17	1 17OCT17	2291.00	2378.96		2,199.00CR
10AUG17	1 17OCT17	2298.50	2360.47		1,549.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10AUG17	1 17OCT17 LME Nickel 6 tonnes. This is an LME registered client contract	2217.50	2360.67		3,579.25CR
17JUL17	1 17OCT17	9590.00	9605.00		90.00CR
17JUL17	1 17OCT17	9530.00	9600.00		420.00CR
17JUL17	1 17OCT17	9595.00	9600.00		30.00CR
17JUL17	1 17OCT17	9555.00	9605.00		300.00CR
17JUL17	1 17OCT17	9570.00	9605.00		210.00CR
17JUL17	1 17OCT17	9545.00	9615.00		420.00CR
17JUL17	1 17OCT17	9585.00	9580.00		30.00DR
17JUL17	1 17OCT17	9580.00	9600.00		120.00CR
17JUL17	1 17OCT17	9580.00	9585.00		30.00CR
17JUL17	1 17OCT17	9610.00	9570.00		240.00DR
17JUL17	1 17OCT17	9525.00	9600.00		450.00CR
17JUL17	1 17OCT17	9585.00	9595.00		60.00CR
17JUL17	1 17OCT17	9590.00	9595.00		30.00CR
17JUL17	1 17OCT17	9615.00	9585.00		180.00DR
17JUL17	1 17OCT17	9595.00	9585.00		60.00DR
17JUL17	1 17OCT17	9595.00	9600.00		30.00CR
17JUL17	1 17OCT17	9595.00	9605.00		60.00CR
17JUL17	1 17OCT17	9595.00	9570.00		150.00DR
17JUL17	1 17OCT17	9595.00	9605.00		60.00CR
17JUL17	1 17OCT17	9595.00	9565.00		180.00DR
17JUL17	1 17OCT17	9585.00	9570.00		90.00DR
17JUL17	1 17OCT17	9610.00	9605.00		30.00DR
17JUL17	1 17OCT17	9595.00	9605.00		60.00CR
17JUL17	2 17OCT17	9595.00	9615.00		240.00CR
17JUL17	1 17OCT17	9560.00	9595.00		210.00CR
17JUL17	1 17OCT17	9615.00	9595.00		120.00DR
17JUL17	1 17OCT17	9550.00	9605.00		330.00CR
17JUL17	1 17OCT17	9570.00	9605.00		210.00CR
17JUL17	1 17OCT17	9615.00	9605.00		60.00DR
17JUL17	1 17OCT17	9585.00	9605.00		120.00CR
17JUL17	1 17OCT17	9610.00	9620.00		60.00CR
17JUL17	1 17OCT17	9615.00	9620.00		30.00CR
17JUL17	1 17OCT17	9615.00	9580.00		210.00DR
17JUL17	1 17OCT17	9655.00	9595.00		360.00DR
17JUL17	1 17OCT17	9685.00	9595.00		540.00DR
17JUL17	1 17OCT17	9695.00	9565.00		780.00DR
17JUL17	1 17OCT17	9690.00	9620.00		420.00DR
17JUL17	1 17OCT17	9675.00	9620.00		330.00DR
17JUL17	1 17OCT17	9620.00	9620.00		0.00
17JUL17	1 17OCT17	9620.00	9610.00		60.00DR
17JUL17	2 17OCT17	9610.00	9585.00		300.00DR
17JUL17	1 17OCT17	9620.00	9600.00		120.00DR
17JUL17	1 17OCT17	9625.00	9600.00		150.00DR
17JUL17	2 17OCT17	9601.00	9585.00		192.00DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	2 17OCT17	9652.32	9600.00		627.84DR
19JUL17	1 17OCT17	9652.32	9615.00		223.92DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	2 17OCT17	9652.32	9580.00		867.84DR
19JUL17	1 17OCT17	9652.32	9570.00		493.92DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	2 17OCT17	9652.32	9605.00		567.84DR
19JUL17	2 17OCT17	9652.32	9600.00		627.84DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	2 17OCT17	9652.32	9620.00		387.84DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	1 17OCT17	9652.32	9585.00		403.92DR
19JUL17	1 17OCT17	9652.32	9580.00		433.92DR
19JUL17	1 17OCT17	9652.32	9560.00		553.92DR

Group No: SAPG0094

Page 3 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 17OCT17	9651.32	9570.00		487.92DR
19JUL17	1 17OCT17	9652.32	9560.00		553.92DR
19JUL17	1 17OCT17	9652.32	9620.00		193.92DR
19JUL17	1 17OCT17	9652.32	9570.00		493.92DR
19JUL17	1 17OCT17	9652.32	9610.00		253.92DR
19JUL17	1 17OCT17	9652.32	9610.00		253.92DR
19JUL17	1 17OCT17	9652.32	9550.00		613.92DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	1 17OCT17	9652.32	9570.00		493.92DR
19JUL17	5 17OCT17	9652.32	9560.00		2,769.60DR
19JUL17	1 17OCT17	9652.32	9570.00		493.92DR
19JUL17	1 17OCT17	9652.32	9555.00		583.92DR
19JUL17	1 17OCT17	9652.32	9570.00		493.92DR
19JUL17	1 17OCT17	9652.32	9610.00		253.92DR
19JUL17	1 17OCT17	9652.32	9610.00		253.92DR
19JUL17	1 17OCT17	9652.32	9600.00		313.92DR
19JUL17	1 17OCT17	9652.32	9605.00		283.92DR
19JUL17	1 17OCT17	9652.32	9625.00		163.92DR
19JUL17	1 17OCT17	9652.32	9625.00		163.92DR
19JUL17	1 17OCT17	9652.32	9610.00		253.92DR
19JUL17	1 17OCT17	9652.32	9620.00		193.92DR
19JUL17	1 17OCT17	9652.32	9625.00		163.92DR
19JUL17	1 17OCT17	9652.32	9625.00		163.92DR
19JUL17	4 17OCT17	9652.32	9615.00		895.68DR
03AUG17	1 17OCT17	10371.80	9610.00		4,570.80DR
03AUG17	1 17OCT17	10371.80	9610.00		4,570.80DR
03AUG17	1 17OCT17	10371.80	9615.00		4,540.80DR
15AUG17	1 17OCT17	10332.72	10129.43		1,219.74DR
15AUG17	1 17OCT17	10332.72	10197.91		808.86DR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUL17	1 17OCT17	19955.00	20361.11		2,030.55CR
27JUL17	1 17OCT17	19960.00	20692.37		3,661.85CR
10AUG17	1 17OCT17	19985.00	20341.05		1,780.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2783.00	2788.00		125.00CR
17JUL17	1 17OCT17	2823.50	2817.50		150.00DR
17JUL17	1 17OCT17	2816.00	2788.50		687.50DR
17JUL17	1 17OCT17	2783.00	2816.50		837.50CR
17JUL17	1 17OCT17	2783.00	2817.00		850.00CR
17JUL17	1 17OCT17	2815.00	2823.50		212.50CR
17JUL17	1 17OCT17	2817.00	2813.00		100.00DR
17JUL17	1 17OCT17	2815.50	2804.50		275.00DR
17JUL17	1 17OCT17	2828.50	2823.50		125.00DR
17JUL17	1 17OCT17	2824.50	2819.00		137.50DR
17JUL17	1 17OCT17	2824.00	2788.50		887.50DR
17JUL17	1 17OCT17	2825.50	2809.50		400.00DR
17JUL17	1 17OCT17	2835.00	2818.50		412.50DR
17JUL17	1 17OCT17	2826.50	2804.50		550.00DR
17JUL17	1 17OCT17	2832.50	2830.50		50.00DR
17JUL17	1 17OCT17	2829.00	2823.00		150.00DR
17JUL17	1 17OCT17	2829.00	2830.50		37.50CR
17JUL17	1 17OCT17	2830.50	2836.00		137.50CR
17JUL17	1 17OCT17	2831.00	2836.50		137.50CR
17JUL17	1 17OCT17	2833.50	2843.00		237.50CR
17JUL17	1 17OCT17	2818.50	2843.50		625.00CR
17JUL17	1 17OCT17	2817.00	2822.00		125.00CR
17JUL17	1 17OCT17	2816.00	2829.00		325.00CR
17JUL17	1 17OCT17	2812.00	2832.50		512.50CR
17JUL17	1 17OCT17	2815.50	2836.00		512.50CR
19JUL17	1 17OCT17	2747.54	2831.00		2,086.50CR
26JUL17	1 17OCT17	2808.99	2818.00		225.25CR
26JUL17	2 17OCT17	2808.99	2814.00		250.50CR
26JUL17	1 17OCT17	2808.99	2820.00		275.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094X

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUL17	1 17OCT17	2808.79	2819.00		255.25CR
08AUG17	1 17OCT17	2924.33	2819.00		2,633.25DR
10AUG17	1 17OCT17	2935.76	2747.34		4,710.50DR
10AUG17	1 17OCT17	2935.56	2747.34		4,705.50DR
10AUG17	1 17OCT17	2935.56	2800.95		3,365.25DR
10AUG17	3 17OCT17	2935.56	2789.03		10,989.75DR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					609,602.99CR
SETTLEMENTS					
LME Tin 5 tonnes. This is an LME Futures					7,472.65CR
LME Lead 25 tonnes. This is an Futures					11,427.50CR
LME Copper Grade A 25 tonnes. This Futures					321,869.50DR
LME Zinc 25 tonnes. This is an LME Futures					22,561.50DR
LME Nickel 6 tonnes. This is an LME Futures					35,712.60DR
LME Aluminium 25 Tonnes. This is an Futures					7,939.75CR
COMMISSIONS					27.00DR
CARRIED FORWARD BALANCE					256,272.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

17th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 3 17JAN18 TTR EL		2142.50	13.95DR	
17OCT17	S 4 17JAN18 TTR EL		2141.00	18.60DR	
17OCT17	S 3 17JAN18 TTR EL		2142.00	13.95DR	
17OCT17	S 3 17JAN18 TTR EL		2144.50	13.95DR	
17OCT17	S 3 17JAN18 TTR EL		2143.00	13.95DR	
17OCT17	S 3 17JAN18 TTR EL		2143.50	13.95DR	
17OCT17	S 12 17JAN18 TTR EL		2144.00	55.80DR	
17OCT17	S 1 17JAN18 TTR EL		2148.00	4.65DR	
17OCT17	S 4 17JAN18 TTR EL		2146.00	18.60DR	
17OCT17	S 6 17JAN18 TTR EL		2146.50	27.90DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 2 17JAN18 TTR EL		7124.50	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7126.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7124.00	4.65DR	
17OCT17	S 3 17JAN18 TTR EL		7128.50	13.95DR	
17OCT17	S 2 17JAN18 TTR EL		7122.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7123.00	4.65DR	
17OCT17	S 3 17JAN18 TTR EL		7122.50	13.95DR	
17OCT17	S 1 17JAN18 TTR EL		7117.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7116.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7114.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7107.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7108.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7117.50	4.65DR	
17OCT17	S 6 17JAN18 TTR EL		7114.00	27.90DR	
17OCT17	S 6 17JAN18 TTR EL		7111.00	27.90DR	
17OCT17	S 1 17JAN18 TTR EL		7111.50	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7115.50	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7116.50	4.65DR	
17OCT17	S 3 17JAN18 TTR EL		7115.00	13.95DR	
17OCT17	S 6 17JAN18 TTR EL		7112.00	27.90DR	
17OCT17	S 1 17JAN18 TTR EL		7106.50	4.65DR	
17OCT17	S 7 17JAN18 TTR EL		7112.50	32.55DR	
17OCT17	S 3 17JAN18 TTR EL		7113.50	13.95DR	
17OCT17	S 6 17JAN18 TTR EL		7113.00	27.90DR	
17OCT17	S 2 17JAN18 TTR EL		7105.50	9.30DR	
17OCT17	S 4 17JAN18 TTR EL		7102.50	18.60DR	
17OCT17	S 1 17JAN18 TTR EL		7100.50	4.65DR	
17OCT17	S 4 17JAN18 TTR EL		7073.50	18.60DR	
17OCT17	S 2 17JAN18 TTR EL		7070.00	9.30DR	
17OCT17	S 4 17JAN18 TTR EL		7070.50	18.60DR	
17OCT17	S 1 17JAN18 DMA EL		7122.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		7115.00	4.65DR	
	CMX Copper 25,000 CMX				
17OCT17	S 4 MAR18 TTR GX		323.000	9.40DR	
17OCT17	S 1 MAR18 TTR GX		323.200	2.35DR	
17OCT17	S 1 MAR18 TTR GX		323.400	2.35DR	
17OCT17	S 1 MAR18 TTR GX		323.350	2.35DR	
17OCT17	S 2 MAR18 TTR GX		322.750	4.70DR	
17OCT17	S 3 MAR18 TTR GX		322.700	7.05DR	
17OCT17	S 3 MAR18 TTR GX		322.800	7.05DR	
17OCT17	S 3 MAR18 TTR GX		322.950	7.05DR	
17OCT17	S 1 MAR18 TTR GX		322.500	2.35DR	
	CMX Gold 100 CMX				
17OCT17	B 5 DEC17 TTR GX	1287.6		11.75DR	
17OCT17	B 3 DEC17 TTR GX	1286.8		7.05DR	
17OCT17	B 2 DEC17 TTR GX	1286.5		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1286.6		4.70DR	
17OCT17	B 2 DEC17 TTR GX	1285.7		4.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B 1 DEC17 TTR GX LME Nickel 6 tonnes. This is an LME registered client contract	1285.6		2.35DR	
17OCT17	S 2 17JAN18 TTR EL		11665.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11640.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11615.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		11685.00	9.30DR	
17OCT17	B 1 17JAN18 TTR EL	11750.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11745.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11770.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11760.00		9.30DR	
17OCT17	B 1 17JAN18 TTR EL	11755.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	11715.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11710.00		9.30DR	
17OCT17	B 1 17JAN18 TTR EL	11675.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	11680.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11670.00		9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11665.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	11720.00		4.65DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 TTR GX	1727.5		2.35DR	
17OCT17	B 2 DEC17 TTR GX	1701.0		4.70DR	
17OCT17	B 4 DEC17 TTR GX	1701.5		9.40DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	20455.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	20405.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	20180.00		9.30DR	
17OCT17	B 1 17JAN18 TTR EL	19970.00		4.65DR	
17OCT17	S 1 17JAN18 TTR EL		20280.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		20255.00	4.65DR	
17OCT17	B 1 17JAN18 TTR EL	20325.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20340.00		4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 2 17JAN18 TTR EL	3179.00		9.30DR	
17OCT17	B 1 17JAN18 TTR EL	3075.50		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	3073.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	3074.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	3073.50		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	3074.50		4.65DR	
	Commission				863.60DR
	SETTLEMENTS				
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1727.5	1727.0		25.00DR
17OCT17	2 DEC17	1701.5	1727.0		2,550.00CR
17OCT17	2 DEC17	1701.0	1727.0		2,600.00CR
17OCT17	1 DEC17	1701.5	1717.5		800.00CR
17OCT17	1 DEC17	1701.5	1746.0		2,225.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				173,712.13CR
	SETTLEMENTS				
	CMX Silver Futures				8,150.00CR
	COMMISSIONS				863.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				180,998.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	B 1 DEC17 GX	319.750		2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	S 1 NOV17 GX		2.99000	2.35DR	
17OCT17	S 1 NOV17 GX		3.00700	2.35DR	
	Commission				7.05DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	319.750	313.400		1,587.50DR
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17	2.96600	2.99000		240.00CR
17OCT17	1 NOV17	2.96500	3.00700		420.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				26,982.55CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				660.00CR
	CMX Copper Futures				1,587.50DR
	COMMISSIONS				7.05DR
	CARRIED FORWARD BALANCE				26,048.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 DMA EL		2141.50	4.65DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 13 02NOV17 IFC UD		2478.00	35.10DR	
17OCT17	B 10 12JAN18 IFC UD	2491.14		27.00DR	
17OCT17	B 3 16JAN18 IFC UD	2491.99		8.10DR	
17OCT17	S 1 17JAN18 DMA EL		2538.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2523.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2526.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2535.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2534.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		2536.50	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	3150.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3150.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3152.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3145.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3143.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3138.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3144.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3135.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3135.50		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3136.00		9.30DR	
17OCT17	B 2 17JAN18 DMA EL	3140.50		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3128.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3110.50		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3111.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3106.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3097.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3075.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3061.50		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3062.50		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3063.00		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3064.50		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3070.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3059.50		4.65DR	
	Commission				232.95DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				109,490.98CR
	COMMISSIONS				232.95DR
	CARRIED FORWARD BALANCE				109,258.03CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
17OCT17	B	1	28DEC17	IFC	UD	2135.29		2.70DR	
17OCT17	S	1	09JAN18	IFC	UD		2137.91	2.70DR	
17OCT17	S	2	12JAN18	IFC	UD		2138.82	5.40DR	
17OCT17	B	2	16JAN18	IFC	UD	2139.96		5.40DR	
17OCT17	S	3	17JAN18	TTR	EL		2142.00	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		2149.00	13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	2145.50		13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		2140.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2139.50	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	2141.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	2144.50		4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		2145.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		2148.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		2150.00	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		2151.00	9.30DR	
17OCT17	S	7	17JAN18	TTR	EL		2150.50	32.55DR	
17OCT17	B	1	17JAN18	TTR	EL	2151.00		4.65DR	
17OCT17	S	7	17JAN18	TTR	EL		2151.50	32.55DR	
17OCT17	S	2	17JAN18	TTR	EL		2146.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		2144.50	9.30DR	
17OCT17	S	5	17JAN18	TTR	EL		2144.00	23.25DR	
17OCT17	S	2	17JAN18	TTR	EL		2143.50	9.30DR	
17OCT17	S	7	17JAN18	TTR	EL		2143.00	32.55DR	
17OCT17	B	4	17JAN18	DMA	EL	2151.00		18.60DR	
17OCT17	S	2	17JAN18	TTR	EL		2140.50	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
17OCT17	S	1	28DEC17	IFC	UD		7016.77	2.70DR	
17OCT17	S	1	10JAN18	IFC	UD		7023.50	2.70DR	
17OCT17	S	5	11JAN18	IFC	UD		7024.00	13.50DR	
17OCT17	B	7	12JAN18	IFC	UD	7024.70		18.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7129.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7137.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7124.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7125.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7127.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7128.50	13.95DR	
17OCT17	S	2	17JAN18	TTR	EL		7125.00	9.30DR	
17OCT17	S	3	17JAN18	TTR	EL		7122.50	13.95DR	
17OCT17	S	4	17JAN18	TTR	EL		7122.00	18.60DR	
17OCT17	S	1	17JAN18	TTR	EL		7124.00	4.65DR	
17OCT17	S	9	17JAN18	TTR	EL		7123.00	41.85DR	
17OCT17	S	2	17JAN18	TTR	EL		7116.50	9.30DR	
17OCT17	S	6	17JAN18	TTR	EL		7113.00	27.90DR	
17OCT17	S	3	17JAN18	TTR	EL		7115.00	13.95DR	
17OCT17	S	3	17JAN18	TTR	EL		7114.50	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7109.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7110.00	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7111.00	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7107.00	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7108.50	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7120.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7118.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		7117.00	13.95DR	
17OCT17	S	2	17JAN18	TTR	EL		7117.50	9.30DR	
17OCT17	S	4	17JAN18	TTR	EL		7116.00	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7112.00	13.95DR	
17OCT17	S	4	17JAN18	TTR	EL		7109.00	18.60DR	
17OCT17	S	6	17JAN18	TTR	EL		7106.50	27.90DR	
17OCT17	S	3	17JAN18	TTR	EL		7107.50	13.95DR	
17OCT17	S	5	17JAN18	TTR	EL		7108.00	23.25DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

17th October 2017

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	5	17JAN18	TTR	EL		7113.50	23.25DR	
17OCT17	S	3	17JAN18	TTR	EL		7114.00	13.95DR	
17OCT17	S	2	17JAN18	TTR	EL		7111.50	9.30DR	
17OCT17	S	7	17JAN18	TTR	EL		7110.50	32.55DR	
17OCT17	S	6	17JAN18	TTR	EL		7112.50	27.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7104.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7104.50	9.30DR	
17OCT17	S	3	17JAN18	TTR	EL		7100.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7098.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7099.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7099.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7091.00	4.65DR	
17OCT17	S	5	17JAN18	TTR	EL		7088.00	23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7089.00	4.65DR	
17OCT17	S	6	17JAN18	TTR	EL		7078.00	27.90DR	
17OCT17	S	1	17JAN18	TTR	EL		7077.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7076.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7071.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7081.50	4.65DR	
17OCT17	S	5	17JAN18	TTR	EL		7073.50	23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		7070.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7068.50	9.30DR	
17OCT17	S	2	17JAN18	TTR	EL		7067.50	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7065.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7066.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7081.00		4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7083.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7083.50	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	7083.50		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7085.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7085.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7090.50	4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	7082.50		18.60DR	
17OCT17	S	4	17JAN18	TTR	EL		7082.50	18.60DR	
17OCT17	S	3	17JAN18	TTR	EL		7082.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		7077.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		7065.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		7059.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7055.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		7057.50	4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		7086.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	7027.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7029.50		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7022.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	7021.50		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	7028.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	7030.00		9.30DR	
CMX Copper 25,000 CMX									
17OCT17	S	1	DEC17	TTR	GX		323.600	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		323.000	2.35DR	
17OCT17	S	3	DEC17	TTR	GX		323.050	7.05DR	
17OCT17	S	1	DEC17	TTR	GX		322.900	2.35DR	
17OCT17	S	2	DEC17	TTR	GX		323.200	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		322.850	4.70DR	
17OCT17	S	2	DEC17	TTR	GX		323.150	4.70DR	
17OCT17	S	1	DEC17	TTR	GX		323.100	2.35DR	
17OCT17	S	2	DEC17	TTR	GX		322.750	4.70DR	
17OCT17	S	1	DEC17	TTR	GX		322.350	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		322.300	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		322.000	2.35DR	
17OCT17	S	2	DEC17	TTR	GX		321.350	4.70DR	
17OCT17	S	1	DEC17	TTR	GX		321.400	2.35DR	
17OCT17	B	1	DEC17	TTR	GX	321.500		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		321.550	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		321.200	2.35DR	
17OCT17	S	3	DEC17	TTR	GX		321.050	7.05DR	

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	DEC17	TTR	GX		321.600	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		321.700	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		320.900	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		319.550	2.35DR	
17OCT17	S	1	DEC17	TTR	GX		319.350	2.35DR	
17OCT17	B	1	DEC17	TTR	GX	319.550		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	319.500		2.35DR	
17OCT17	S	2	MAR18	TTR	GX		323.200	4.70DR	
17OCT17	S	2	MAR18	TTR	GX		323.400	4.70DR	
17OCT17	S	2	MAR18	TTR	GX		322.750	4.70DR	
17OCT17	S	4	MAR18	TTR	GX		322.700	9.40DR	
CMX Gold 100 CMX									
17OCT17	S	2	DEC17	TTR	GX		1295.3	4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1291.6		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1286.0		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1286.7		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1287.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1286.4		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1286.3		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1284.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1284.2		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1284.4		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1287.7		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1286.5		4.70DR	
LME Lead 25 tonnes. This is an LME registered client contract									
17OCT17	B	1	11JAN18	IFC	UD	2490.72		2.70DR	
17OCT17	S	1	12JAN18	IFC	UD		2490.74	2.70DR	
17OCT17	S	1	12JAN18	IFC	UD		2490.94	2.70DR	
17OCT17	B	1	16JAN18	IFC	UD	2491.99		2.70DR	
17OCT17	B	1	17JAN18	TTR	EL	2546.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2525.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2510.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2518.50	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	2519.50		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2524.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2537.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		2533.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		2530.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		2529.50	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	2502.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	2496.50		4.65DR	
LME Nickel 6 tonnes. This is an LME registered client contract									
17OCT17	S	8	22DEC17	IFC	UD		11745.04	21.60DR	
17OCT17	S	4	28DEC17	IFC	UD		11749.64	10.80DR	
17OCT17	S	3	10JAN18	IFC	UD		11759.63	8.10DR	
17OCT17	B	15	12JAN18	IFC	UD	11762.16		40.50DR	
17OCT17	B	1	17JAN18	TTR	EL	11885.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	11880.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	11870.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	11835.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	11805.00		4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	11800.00		18.60DR	
17OCT17	S	1	17JAN18	TTR	EL		11800.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11795.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11785.00	4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	11780.00		13.95DR	
17OCT17	B	3	17JAN18	TTR	EL	11730.00		13.95DR	
17OCT17	B	11	17JAN18	TTR	EL	11725.00		51.15DR	
17OCT17	S	2	17JAN18	TTR	EL		11690.00	9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	11745.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	11785.00		9.30DR	
17OCT17	B	3	17JAN18	TTR	EL	11790.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	11775.00		4.65DR	

Group No: SAPG0094

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	17JAN18	TTR	EL		11775.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11665.00	4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		11615.00	13.95DR	
17OCT17	S	2	17JAN18	TTR	EL		11655.00	9.30DR	
17OCT17	B	2	17JAN18	DMA	EL	11795.00		9.30DR	
17OCT17	S	2	17JAN18	DMA	EL		11795.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		11760.00	4.65DR	
17OCT17	S	15	17JAN18	TTR	EL		11740.00	69.75DR	
17OCT17	B	6	17JAN18	TTR	EL	11715.00		27.90DR	
17OCT17	S	10	17JAN18	TTR	EL		11715.00	46.50DR	
17OCT17	S	5	17JAN18	TTR	EL		11745.00	23.25DR	
17OCT17	S	1	17JAN18	TTR	EL		11685.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11675.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11670.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		11640.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		11630.00	9.30DR	
17OCT17	S	7	17JAN18	TTR	EL		11750.00	32.55DR	
17OCT17	B	13	17JAN18	TTR	EL	11710.00		60.45DR	
17OCT17	B	3	17JAN18	TTR	EL	11705.00		13.95DR	
17OCT17	B	4	17JAN18	TTR	EL	11700.00		18.60DR	
17OCT17	B	5	17JAN18	TTR	EL	11685.00		23.25DR	
17OCT17	B	3	17JAN18	TTR	EL	11660.00		13.95DR	
17OCT17	B	8	17JAN18	TTR	EL	11670.00		37.20DR	
17OCT17	B	4	17JAN18	TTR	EL	11665.00		18.60DR	
17OCT17	B	4	17JAN18	TTR	EL	11690.00		18.60DR	
17OCT17	B	8	17JAN18	TTR	EL	11675.00		37.20DR	
17OCT17	S	12	17JAN18	TTR	EL		11650.00	55.80DR	
17OCT17	B	1	17JAN18	TTR	EL	11650.00		4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	11680.00		18.60DR	
17OCT17	S	1	17JAN18	DMA	EL		11705.00	4.65DR	
17OCT17	S	10	17JAN18	DMA	EL		11715.00	46.50DR	
17OCT17	S	3	17JAN18	DMA	EL		11710.00	13.95DR	
17OCT17	B	9	17JAN18	DMA	EL	11715.00		41.85DR	
17OCT17	S	4	17JAN18	DMA	EL		11720.00	18.60DR	
17OCT17	B	1	17JAN18	DMA	EL	11665.00		4.65DR	
17OCT17	S	9	17JAN18	TTR	EL		11730.00	41.85DR	
17OCT17	S	7	17JAN18	TTR	EL		11705.00	32.55DR	
17OCT17	S	23	17JAN18	TTR	EL		11710.00	106.95DR	
17OCT17	S	9	17JAN18	TTR	EL		11735.00	41.85DR	
17OCT17	S	9	17JAN18	TTR	EL		11725.00	41.85DR	
17OCT17	S	11	17JAN18	TTR	EL		11720.00	51.15DR	
17OCT17	B	3	17JAN18	TTR	EL	11695.00		13.95DR	
17OCT17	S	4	17JAN18	TTR	EL		11755.00	18.60DR	
17OCT17	B	4	17JAN18	TTR	EL	11755.00		18.60DR	
17OCT17	B	10	17JAN18	TTR	EL	11760.00		46.50DR	
17OCT17	B	1	17JAN18	DMA	EL	11770.00		4.65DR	
CMX Silver 5,000 CMX									
17OCT17	B	1	DEC17	TTR	GX	1726.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1717.0		2.35DR	
17OCT17	B	3	DEC17	TTR	GX	1714.5		7.05DR	
17OCT17	B	2	DEC17	TTR	GX	1714.0		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1715.5		2.35DR	
17OCT17	S	1	DEC17	TTR	GX		1715.0	2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1705.0		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1705.5		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1706.0		4.70DR	
17OCT17	B	2	DEC17	TTR	GX	1707.5		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1710.0		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1709.5		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1708.0		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1706.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1704.0		2.35DR	
17OCT17	B	2	DEC17	TTR	GX	1703.5		4.70DR	
17OCT17	B	2	DEC17	TTR	GX	1702.5		4.70DR	
17OCT17	B	1	DEC17	TTR	GX	1699.5		2.35DR	
17OCT17	B	1	DEC17	TTR	GX	1700.0		2.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	3	DEC17	TTR	GX	1700.5		7.05DR	
17OCT17	B	2	DEC17	TTR	GX	1701.5		4.70DR	
17OCT17	B	3	DEC17	TTR	GX	1701.0		7.05DR	
17OCT17	B	2	DEC17	TTR	GX	1702.0		4.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
17OCT17	B	1	11JAN18	IFC	UD	20355.63		2.70DR	
17OCT17	S	1	16JAN18	IFC	UD		20350.88	2.70DR	
17OCT17	S	1	17JAN18	TTR	EL		20685.00	4.65DR	
17OCT17	S	4	17JAN18	TTR	EL		20690.00	18.60DR	
17OCT17	S	1	17JAN18	TTR	EL		20675.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20660.00	4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		20655.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20545.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20540.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20525.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20485.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20495.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	20460.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	20445.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20450.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20410.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20400.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20390.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	20370.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	20380.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	20295.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	20265.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	20260.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20205.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20215.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20220.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	20180.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	19975.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19900.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19885.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19895.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	19915.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	19905.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19940.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	19965.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	20020.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	20025.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	20035.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20075.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20235.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20300.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20250.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20295.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20320.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20335.00	4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		20515.00	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	20385.00		4.65DR	
17OCT17	S	3	17JAN18	TTR	EL		20345.00	13.95DR	
17OCT17	S	1	17JAN18	TTR	EL		20375.00	4.65DR	
17OCT17	S	2	17JAN18	DMA	EL		20300.00	9.30DR	
17OCT17	S	1	17JAN18	DMA	EL		20410.00	4.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	B	1	12JAN18	IFC	UD	3085.00		2.70DR	
17OCT17	S	1	16JAN18	IFC	UD		3084.80	2.70DR	
17OCT17	S	1	17JAN18	TTR	EL		3196.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3199.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3192.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3190.50	4.65DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

17th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	3	17JAN18	TTR	EL		3191.50	13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	3193.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3185.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3186.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3187.00	4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3195.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3192.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3191.50		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3189.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3190.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3189.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	3188.00		13.95DR	
17OCT17	B	1	17JAN18	TTR	EL	3150.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3160.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3121.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3113.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3105.50	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3102.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3097.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3107.00		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3109.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3110.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3111.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3114.50		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	3114.00		4.65DR	
17OCT17	B	4	17JAN18	TTR	EL	3113.50		18.60DR	
17OCT17	B	3	17JAN18	TTR	EL	3116.50		13.95DR	
17OCT17	S	8	17JAN18	TTR	EL		3115.50	37.20DR	
17OCT17	B	7	17JAN18	TTR	EL	3116.00		32.55DR	
17OCT17	S	1	17JAN18	TTR	EL		3114.50	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		3116.00	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3115.50		4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		3104.50	9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3076.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3076.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3064.50	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	3093.50		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3083.00	4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3074.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3075.00		4.65DR	
	Commission								3,548.90DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
17OCT17		1	DEC17			321.500	323.600		525.00CR
17OCT17		1	DEC17			319.550	323.050		875.00CR
17OCT17		1	DEC17			319.500	323.050		887.50CR
	CMX Gold 100 CMX								
17OCT17		1	DEC17			1291.6	1295.3		370.00CR
17OCT17		1	DEC17			1286.0	1295.3		930.00CR
17OCT17		1	DEC17			1286.3	1300.1		1,380.00CR
17OCT17		1	DEC17			1286.7	1300.2		1,350.00CR
17OCT17		1	DEC17			1286.7	1300.3		1,360.00CR
17OCT17		1	DEC17			1287.5	1300.5		1,300.00CR
17OCT17		1	DEC17			1286.5	1299.9		1,340.00CR
17OCT17		1	DEC17			1286.4	1299.6		1,320.00CR
17OCT17		1	DEC17			1286.3	1302.3		1,600.00CR
17OCT17		1	DEC17			1284.5	1302.8		1,830.00CR
17OCT17		1	DEC17			1284.2	1302.8		1,860.00CR
17OCT17		1	DEC17			1284.4	1302.8		1,840.00CR
17OCT17		1	DEC17			1287.7	1302.7		1,500.00CR
17OCT17		1	DEC17			1286.5	1302.7		1,620.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP094AY 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1726.0	1715.0		550.00DR
17OCT17	1 DEC17	1717.0	1723.5		325.00CR
17OCT17	1 DEC17	1714.5	1723.5		450.00CR
17OCT17	1 DEC17	1714.5	1724.0		475.00CR
17OCT17	1 DEC17	1714.5	1724.5		500.00CR
17OCT17	1 DEC17	1714.0	1724.5		525.00CR
17OCT17	1 DEC17	1714.0	1733.5		975.00CR
17OCT17	1 DEC17	1715.5	1737.5		1,100.00CR
17OCT17	1 DEC17	1705.0	1736.5		1,575.00CR
17OCT17	1 DEC17	1705.0	1736.5		1,575.00CR
17OCT17	1 DEC17	1705.5	1738.0		1,625.00CR
17OCT17	1 DEC17	1706.0	1738.0		1,600.00CR
17OCT17	1 DEC17	1706.0	1738.0		1,600.00CR
17OCT17	1 DEC17	1708.0	1739.5		1,575.00CR
17OCT17	1 DEC17	1707.5	1740.5		1,650.00CR
17OCT17	1 DEC17	1707.5	1740.0		1,625.00CR
17OCT17	1 DEC17	1710.0	1740.0		1,500.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				187,713.86CR
	SETTLEMENTS				
	CMX Silver Futures				18,125.00CR
	CMX Copper Futures				2,287.50CR
	CMX Gold Futures				19,600.00CR
	COMMISSIONS				3,548.90DR
	CARRIED FORWARD BALANCE				224,177.46CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		8,129,807.97CR
	SETTLEMENTS		
	CMX Copper Futures		4,000.00DR
	CMX Gold Futures		21,120.00CR
	CMX Silver Futures		28,050.00CR
	LME Aluminium 25 Tonnes. This is an Futures		22,447.00CR
	LME Copper Grade A 25 tonnes. This Futures		375,585.00DR
	LME Lead 25 tonnes. This is an Futures		1,071.75CR
	LME Nickel 6 tonnes. This is an LME Futures		74,801.94DR
	LME Tin 5 tonnes. This is an LME Futures		10,782.40CR
	LME Zinc 25 tonnes. This is an LME Futures		22,524.75DR
	NYM Henry Hub Natural Gas Futures		660.00CR
	NYM Light Sweet Crude Oil Futures		5,220.00CR
	COMMISSIONS		6,954.80DR
	CARRIED FORWARD BALANCE		7,735,292.63CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 Fund Withdrawal 17/10/17				300,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,343,979.23CR
	CASH PAYMENTS/RECEIPTS				300,000.00DR
	CARRIED FORWARD BALANCE				1,043,979.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 2 17JAN18 DMA EL		2525.00	9.30DR	
17OCT17	S 2 17JAN18 DMA EL		2523.50	9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 2 17JAN18 DMA EL	3122.00		9.30DR	
17OCT17	B 2 17JAN18 DMA EL	3108.50		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3089.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3092.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3080.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3085.00		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3060.50		4.65DR	
17OCT17	B 6 17JAN18 DMA EL	3065.50		27.90DR	
17OCT17	B 1 17JAN18 DMA EL	3070.50		4.65DR	
17OCT17	B 1 17JAN18 DMA EL	3055.50		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3070.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3072.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3074.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3076.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3078.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3080.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3082.50	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		3085.50	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	3075.50		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	3074.50		4.65DR	
	Commission				148.80DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	1 17OCT17	2312.00	2481.86		4,246.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,752.75DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				4,246.50CR
	COMMISSIONS				148.80DR
	CARRIED FORWARD BALANCE				42,655.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0096F

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18SEP17	1 17OCT17	1939.00	2068.08		3,227.00CR
18SEP17	1 17OCT17	1939.00	2068.08		3,227.00CR
18SEP17	1 17OCT17	1923.00	2068.08		3,627.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18SEP17	1 17OCT17	6495.75	5979.50		12,906.25DR
18SEP17	1 17OCT17	6495.75	5979.50		12,906.25DR
18SEP17	1 17OCT17	6495.75	6014.00		12,043.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
18SEP17	1 17OCT17	2325.00	2372.93		1,198.25CR
18SEP17	1 17OCT17	2329.50	2372.93		1,085.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 17OCT17	9778.34	9660.00		710.04DR
24JUL17	1 17OCT17	9778.34	9690.00		530.04DR
24JUL17	1 17OCT17	9778.34	9715.00		380.04DR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 17OCT17	19970.00	20196.89		1,134.45CR
24JUL17	1 17OCT17	19975.00	20196.89		1,109.45CR
24JUL17	1 17OCT17	19975.00	20196.89		1,109.45CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
05OCT17	1 17OCT17	3335.17	2829.50		12,641.75DR
05OCT17	1 17OCT17	3335.17	2840.50		12,366.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				28,172.85CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,353.35CR
	LME Lead 25 tonnes. This is an Futures				2,284.00CR
	LME Copper Grade A 25 tonnes. This Futures				37,856.25DR
	LME Zinc 25 tonnes. This is an LME Futures				25,008.50DR
	LME Nickel 6 tonnes. This is an LME Futures				1,620.12DR
	LME Aluminium 25 Tonnes. This is an Futures				10,081.00CR
	CARRIED FORWARD BALANCE				20,593.67DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096J
17th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
30AUG17	LME Lead 25 tonnes. This is an LME registered client contract 1 17OCT17	2319.50	2358.86		984.00CR
30AUG17	LME Zinc 25 tonnes. This is an LME registered client contract 1 17OCT17	3091.75	2816.00		6,893.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,457.15DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				984.00CR
	LME Zinc 25 tonnes. This is an LME Futures				6,893.75DR
	CARRIED FORWARD BALANCE				12,366.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>MATCH OUTS</u> LME Lead 25 tonnes. This is an LME registered client contract 1 17OCT17	2482.06	2328.00		3,851.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 85,039.65CR SETTLEMENTS LME Lead 25 tonnes. This is an Futures 3,851.50DR CARRIED FORWARD BALANCE 81,188.15CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 2 17JAN18 TTR EL		2144.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		2150.50	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		2146.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		2138.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		2139.50	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		2138.50	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		2137.00	9.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 2 17JAN18 TTR EL		7119.50	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7122.00	4.65DR	
17OCT17	S 4 17JAN18 TTR EL		7124.00	18.60DR	
17OCT17	S 7 17JAN18 TTR EL		7124.50	32.55DR	
17OCT17	S 4 17JAN18 TTR EL		7123.00	18.60DR	
17OCT17	S 3 17JAN18 TTR EL		7115.00	13.95DR	
17OCT17	S 1 17JAN18 TTR EL		7116.00	4.65DR	
17OCT17	S 4 17JAN18 TTR EL		7106.50	18.60DR	
17OCT17	S 2 17JAN18 TTR EL		7111.50	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7118.00	9.30DR	
17OCT17	S 3 17JAN18 TTR EL		7117.00	13.95DR	
17OCT17	S 1 17JAN18 TTR EL		7118.50	4.65DR	
17OCT17	S 4 17JAN18 TTR EL		7113.00	18.60DR	
17OCT17	S 1 17JAN18 TTR EL		7110.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7108.50	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7108.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7107.50	4.65DR	
17OCT17	B 1 17JAN18 TTR EL	7116.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	7118.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	7114.50		4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7100.00	9.30DR	
17OCT17	S 2 17JAN18 TTR EL		7098.50	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7094.00	4.65DR	
17OCT17	S 3 17JAN18 TTR EL		7088.00	13.95DR	
17OCT17	S 1 17JAN18 TTR EL		7090.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7083.00	4.65DR	
17OCT17	S 1 17JAN18 TTR EL		7082.00	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		7075.50	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		7073.50	4.65DR	
17OCT17	B 2 17JAN18 TTR EL	7023.00		9.30DR	
	CMX Gold 100 CMX				
17OCT17	B 2 DEC17 II	1286.3		3.86DR	
17OCT17	B 2 DEC17 II	1285.1		3.86DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 TTR EL	11755.00		4.65DR	
17OCT17	B 1 17JAN18 TTR EL	11730.00		4.65DR	
17OCT17	B 3 17JAN18 TTR EL	11685.00		13.95DR	
17OCT17	B 2 17JAN18 TTR EL	11690.00		9.30DR	
17OCT17	B 5 17JAN18 TTR EL	11695.00		23.25DR	
17OCT17	B 1 17JAN18 TTR EL	11760.00		4.65DR	
17OCT17	B 2 17JAN18 TTR EL	11785.00		9.30DR	
17OCT17	B 7 17JAN18 TTR EL	11790.00		32.55DR	
17OCT17	S 1 17JAN18 TTR EL		11725.00	4.65DR	
17OCT17	S 5 17JAN18 TTR EL		11710.00	23.25DR	
17OCT17	S 4 17JAN18 TTR EL		11715.00	18.60DR	
17OCT17	S 3 17JAN18 TTR EL		11730.00	13.95DR	
17OCT17	S 2 17JAN18 TTR EL		11740.00	9.30DR	
17OCT17	S 1 17JAN18 TTR EL		11745.00	4.65DR	
17OCT17	S 2 17JAN18 TTR EL		11735.00	9.30DR	
17OCT17	B 2 17JAN18 TTR EL	11705.00		9.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	3	17JAN18	TTR	EL	11700.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	11670.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	11675.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	11665.00		9.30DR	
17OCT17	B	9	17JAN18	TTR	EL	11680.00		41.85DR	
17OCT17	S	2	17JAN18	DMA	EL		11715.00	9.30DR	
17OCT17	B	2	17JAN18	DMA	EL	11665.00		9.30DR	
	CMX Silver 5,000 CMX								
17OCT17	B	2	DEC17		II	1714.0		3.86DR	
17OCT17	B	4	DEC17		II	1701.5		7.72DR	
17OCT17	B	2	DEC17		II	1701.0		3.86DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
17OCT17	S	2	17JAN18	TTR	EL		20685.00	9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		20660.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20655.00	4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20490.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20415.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20400.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20265.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19885.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	19970.00		4.65DR	
17OCT17	B	1	17JAN18	TTR	EL	20010.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20250.00	4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	20515.00		4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		20295.00	4.65DR	
17OCT17	S	2	17JAN18	DMA	EL		20240.00	9.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		3192.00	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3192.50	4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3191.50		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3191.00		4.65DR	
17OCT17	B	3	17JAN18	TTR	EL	3189.00		13.95DR	
17OCT17	B	2	17JAN18	TTR	EL	3186.50		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3188.00		9.30DR	
17OCT17	B	1	17JAN18	TTR	EL	3119.50		4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3120.00		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		3101.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3103.50	4.65DR	
17OCT17	S	1	17JAN18	TTR	EL		3111.50	4.65DR	
17OCT17	B	2	17JAN18	TTR	EL	3088.50		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3080.00		9.30DR	
17OCT17	S	1	17JAN18	TTR	EL		3061.00	4.65DR	
17OCT17	S	1	17JAN18	DMA	EL		3061.00	4.65DR	
17OCT17	S	2	17JAN18	TTR	EL		3086.00	9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3073.00		9.30DR	
17OCT17	B	2	17JAN18	TTR	EL	3075.50		9.30DR	
	Commission								869.46DR
	INSERTIONS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
13OCT17	B	4	28DEC17	IFC	UD	2131.14		10.80DR	
13OCT17	B	2	29DEC17	IFC	UD	2131.25		5.40DR	
13OCT17	S	6	09JAN18	IFC	UD		2132.82	16.20DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
13OCT17	S	21	28DEC17	IFC	UD		6877.00	56.70DR	
13OCT17	S	19	29DEC17	IFC	UD		6877.33	51.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
13OCT17	B 1 04JAN18 IFC UD	6879.53		2.70DR	
13OCT17	B 33 09JAN18 IFC UD	6881.20		89.10DR	
13OCT17	S 21 10JAN18 IFC UD		6881.33	56.70DR	
13OCT17	S 5 11JAN18 IFC UD		6881.67	13.50DR	
13OCT17	B 32 12JAN18 IFC UD	6882.20		86.40DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
13OCT17	S 10 28DEC17 IFC UD		11663.59	27.00DR	
13OCT17	S 32 29DEC17 IFC UD		11664.35	86.40DR	
13OCT17	B 10 09JAN18 IFC UD	11673.72		27.00DR	
13OCT17	S 7 10JAN18 IFC UD		11673.48	18.90DR	
13OCT17	B 39 11JAN18 IFC UD	11675.24		105.30DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
13OCT17	B 2 28DEC17 IFC UD	20628.57		5.40DR	
13OCT17	S 2 09JAN18 IFC UD		20607.57	5.40DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
13OCT17	S 1 09JAN18 IFC UD		3236.15	2.70DR	
13OCT17	B 1 10JAN18 IFC UD	3235.97		2.70DR	
	Commission				669.60DR
	SETTLEMENTS				
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1714.0	1740.5		1,325.00CR
17OCT17	1 DEC17	1714.0	1738.0		1,200.00CR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	1 17OCT17	1929.00	1930.59		39.75CR
18JUL17	1 17OCT17	1929.00	1930.59		39.75CR
18JUL17	1 17OCT17	1924.50	1930.59		152.25CR
18JUL17	3 17OCT17	1926.50	1930.59		306.75CR
18JUL17	1 17OCT17	1922.00	1930.59		214.75CR
18JUL17	2 17OCT17	1922.50	1930.59		404.50CR
18JUL17	1 17OCT17	1919.50	1930.59		277.25CR
18JUL17	2 17OCT17	1919.00	1930.59		579.50CR
18JUL17	3 17OCT17	1913.50	1930.59		1,281.75CR
18JUL17	3 17OCT17	1908.00	1930.59		1,694.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUL17	1 17OCT17	9595.00	10129.43		3,206.58CR
27JUL17	1 17OCT17	9600.00	10129.43		3,176.58CR
27JUL17	1 17OCT17	9590.00	10129.43		3,236.58CR
27JUL17	1 17OCT17	9590.00	10129.43		3,236.58CR
27JUL17	1 17OCT17	9605.00	10129.43		3,146.58CR
27JUL17	1 17OCT17	9605.00	10129.43		3,146.58CR
	LME Tin 5 tonnes. This is an LME registered client contract				
18JUL17	1 17OCT17	19985.00	20000.84		79.20CR
	TOTALS				
	BROUGHT FORWARD BALANCE				68,904.15CR
	SETTLEMENTS				
	CMX Silver Futures				2,525.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

17th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Tin 5 tonnes. This is an LME Futures				79.20CR
	LME Nickel 6 tonnes. This is an LME Futures				19,149.48CR
	LME Aluminium 25 Tonnes. This is an Futures				4,990.50CR
	COMMISSIONS				1,539.06DR
	CARRIED FORWARD BALANCE				94,109.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AA 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9650.00	9605.00		270.00DR
26JUL17	1 17OCT17	10042.43	9620.00		2,534.58DR
26JUL17	1 17OCT17	10042.43	9625.00		2,504.58DR
26JUL17	1 17OCT17	10042.43	9640.00		2,414.58DR
26JUL17	1 17OCT17	10042.43	9645.00		2,384.58DR
26JUL17	1 17OCT17	10042.43	9660.00		2,294.58DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2840.00	2835.50		112.50DR
17JUL17	1 17OCT17	2818.50	2835.50		425.00CR
17JUL17	1 17OCT17	2818.50	2839.50		525.00CR
17JUL17	1 17OCT17	2818.50	2839.50		525.00CR
17JUL17	1 17OCT17	2819.00	2844.00		625.00CR
17JUL17	1 17OCT17	2819.00	2844.50		637.50CR
26JUL17	1 17OCT17	2808.79	2844.00		880.25CR
26JUL17	1 17OCT17	2808.79	2843.00		855.25CR
26JUL17	1 17OCT17	2808.79	2841.00		805.25CR
26JUL17	1 17OCT17	2808.79	2840.00		780.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,707.77CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				5,946.00CR
	LME Nickel 6 tonnes. This is an LME Futures				12,402.90DR
	CARRIED FORWARD BALANCE				31,250.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AB

17th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
27JUL17	1 17OCT17	2326.00	2308.32		442.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
27JUL17	1 17OCT17	2800.95	2832.50		788.75CR
27JUL17	1 17OCT17	2800.95	2840.00		976.25CR
27JUL17	1 17OCT17	2800.95	2836.50		888.75CR
27JUL17	1 17OCT17	2800.95	2828.50		688.75CR
27JUL17	1 17OCT17	2800.95	2828.50		688.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,063.50DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				442.00DR
	LME Zinc 25 tonnes. This is an LME Futures				4,031.25CR
	CARRIED FORWARD BALANCE				13,474.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AC

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		20270.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20315.00		4.65DR	
	Commission				9.30DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2325.00	2319.50		137.50DR
06OCT17	1 17OCT17	2332.00	2519.13		4,678.25CR
	LME Tin 5 tonnes. This is an LME registered client contract				
20JUL17	1 17OCT17	19885.00	20091.58		1,032.90CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2814.00	2835.00		525.00CR
06OCT17	1 17OCT17	3278.33	2842.50		10,895.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,864.95DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,032.90CR
	LME Lead 25 tonnes. This is an Futures				4,540.75CR
	LME Zinc 25 tonnes. This is an LME Futures				10,370.75DR
	COMMISSIONS				9.30DR
	CARRIED FORWARD BALANCE				21,671.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		11735.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		11765.00	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	11760.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	11785.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		11680.00	4.65DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	20650.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20630.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20635.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20655.00		4.65DR	
17OCT17	S 5 17JAN18 DMA EL		20445.00	23.25DR	
17OCT17	B 4 17JAN18 DMA EL	20355.00		18.60DR	
17OCT17	B 1 17JAN18 DMA EL	20440.00		4.65DR	
17OCT17	S 2 17JAN18 DMA EL		20370.00	9.30DR	
17OCT17	S 1 17JAN18 DMA EL		20380.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20065.00		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	20280.00		9.30DR	
17OCT17	S 1 17JAN18 DMA EL		20255.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20265.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20275.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20320.00	4.65DR	
17OCT17	B 2 17JAN18 DMA EL	20325.00		9.30DR	
17OCT17	S 3 17JAN18 DMA EL		20350.00	13.95DR	
17OCT17	B 1 17JAN18 DMA EL	20385.00		4.65DR	
17OCT17	B 2 17JAN18 DMA EL	20240.00		9.30DR	
17OCT17	B 1 17JAN18 DMA EL	20390.00		4.65DR	
	Commission				181.35DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6010.00	6007.00		75.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2324.00	2329.50		137.50CR
17JUL17	1 17OCT17	2332.50	2329.50		75.00DR
17JUL17	1 17OCT17	2321.50	2323.00		37.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	20000.00	19995.00		25.00DR
17JUL17	1 17OCT17	20000.00	19985.00		75.00DR
17JUL17	1 17OCT17	19955.00	19995.00		200.00CR
17JUL17	1 17OCT17	20000.00	20005.00		25.00CR
17JUL17	1 17OCT17	20000.00	19995.00		25.00DR
17JUL17	1 17OCT17	20000.00	19995.00		25.00DR
17JUL17	1 17OCT17	20050.00	20070.00		100.00CR
17JUL17	1 17OCT17	20050.00	20065.00		75.00CR
17JUL17	1 17OCT17	19925.00	19995.00		350.00CR
17JUL17	1 17OCT17	19925.00	20010.00		425.00CR
17JUL17	1 17OCT17	19950.00	19970.00		100.00CR
17JUL17	1 17OCT17	19995.00	19985.00		50.00DR
17JUL17	1 17OCT17	19975.00	19995.00		100.00CR
17JUL17	1 17OCT17	19975.00	19900.00		375.00DR
17JUL17	1 17OCT17	19975.00	19880.00		475.00DR
17JUL17	1 17OCT17	19910.00	19910.00		0.00

Group No: SAPG0096

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	19945.00	19910.00		175.00DR
17JUL17	1 17OCT17	19945.00	19910.00		175.00DR
20JUL17	1 17OCT17	20091.58	19910.00		907.90DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2820.00	2817.50		62.50DR
17JUL17	1 17OCT17	2846.00	2833.50		312.50DR
17JUL17	1 17OCT17	2846.50	2829.00		437.50DR
17JUL17	1 17OCT17	2827.00	2843.00		400.00CR
17JUL17	1 17OCT17	2823.00	2840.00		425.00CR
17JUL17	1 17OCT17	2817.50	2840.00		562.50CR
17JUL17	1 17OCT17	2817.00	2817.50		12.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				32,846.93DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				932.90DR
	LME Lead 25 tonnes. This is an Futures				100.00CR
	LME Copper Grade A 25 tonnes. This Futures				75.00DR
	LME Zinc 25 tonnes. This is an LME Futures				587.50CR
	COMMISSIONS				181.35DR
	CARRIED FORWARD BALANCE				33,348.68DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AE

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL	20390.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20430.00	4.65DR	
17OCT17	B 1 17JAN18 DMA EL	20365.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20385.00	4.65DR	
17OCT17	S 1 17JAN18 DMA EL		20395.00	4.65DR	
	Commission				23.25DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	6007.00	6002.00		125.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	20050.00	20070.00		100.00CR
17JUL17	1 17OCT17	19945.00	19875.00		350.00DR
17JUL17	1 17OCT17	19945.00	19910.00		175.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2846.00	2828.00		450.00DR
17JUL17	1 17OCT17	2832.50	2832.00		12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,665.48DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				425.00DR
	LME Copper Grade A 25 tonnes. This Futures				125.00DR
	LME Zinc 25 tonnes. This is an LME Futures				462.50DR
	COMMISSIONS				23.25DR
	CARRIED FORWARD BALANCE				8,701.23DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AF

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
20JUL17	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract 1 17OCT17	9615.00	9495.34		717.96DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 1,168.69CR SETTLEMENTS LME Nickel 6 tonnes. This is an LME Futures 717.96DR CARRIED FORWARD BALANCE 450.73CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AG

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	DELETIONS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
13OCT17	B 4 28DEC17 IFC UD 2131.14			10.80CR	
13OCT17	B 2 29DEC17 IFC UD 2131.25			5.40CR	
13OCT17	S 6 09JAN18 IFC UD		2132.82	16.20CR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
13OCT17	S 21 28DEC17 IFC UD		6877.00	56.70CR	
13OCT17	S 19 29DEC17 IFC UD		6877.33	51.30CR	
13OCT17	B 1 04JAN18 IFC UD	6879.53		2.70CR	
13OCT17	B 33 09JAN18 IFC UD	6881.20		89.10CR	
13OCT17	S 21 10JAN18 IFC UD		6881.33	56.70CR	
13OCT17	S 5 11JAN18 IFC UD		6881.67	13.50CR	
13OCT17	B 32 12JAN18 IFC UD	6882.20		86.40CR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
13OCT17	S 10 28DEC17 IFC UD		11663.59	27.00CR	
13OCT17	S 32 29DEC17 IFC UD		11664.35	86.40CR	
13OCT17	B 10 09JAN18 IFC UD	11673.72		27.00CR	
13OCT17	S 7 10JAN18 IFC UD		11673.48	18.90CR	
13OCT17	B 39 11JAN18 IFC UD	11675.24		105.30CR	
	LME Tin 5 tonnes. This is an LME registered client contract				
13OCT17	B 2 28DEC17 IFC UD	20628.57		5.40CR	
13OCT17	S 2 09JAN18 IFC UD		20607.57	5.40CR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
13OCT17	S 1 09JAN18 IFC UD		3236.15	2.70CR	
13OCT17	B 1 10JAN18 IFC UD	3235.97		2.70CR	
	Commission				669.60CR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	3 17OCT17	1905.00	1914.00		675.00CR
18JUL17	3 17OCT17	1901.00	1930.59		2,219.25CR
18JUL17	1 17OCT17	1914.50	1930.59		402.25CR
18JUL17	1 17OCT17	1915.00	1930.59		389.75CR
18JUL17	1 17OCT17	1914.50	1930.59		402.25CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 17OCT17	5950.50	5981.00		762.50CR
17JUL17	1 17OCT17	5950.50	5981.00		762.50CR
17JUL17	1 17OCT17	5950.50	5981.00		762.50CR
18JUL17	1 17OCT17	6006.79	5917.50		2,232.25DR
18JUL17	1 17OCT17	6006.79	5921.50		2,132.25DR
18JUL17	1 17OCT17	6006.79	5981.50		632.25DR
18JUL17	1 17OCT17	6006.79	5980.00		669.75DR
18JUL17	1 17OCT17	6006.79	5917.50		2,232.25DR
20JUL17	1 17OCT17	5960.08	5964.50		110.50CR
20JUL17	1 17OCT17	5960.08	6019.00		1,473.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2826.50	2788.00		962.50DR
04AUG17	1 17OCT17	2830.50	2809.83		516.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AG
17th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,630.51CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,027.75DR
	LME Zinc 25 tonnes. This is an LME Futures				1,479.25DR
	LME Aluminium 25 Tonnes. This is an Futures				4,088.50CR
	COMMISSIONS				669.60CR
	CARRIED FORWARD BALANCE				18,881.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AH
17th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	B	3	17JAN18	DMA	EL	3125.00		13.95DR	
17OCT17	B	1	17JAN18	DMA	EL	3126.00		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	3126.50		4.65DR	
17OCT17	B	1	17JAN18	DMA	EL	3127.50		4.65DR	
	Commission								27.90DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								50,658.72CR
	COMMISSIONS								27.90DR
	CARRIED FORWARD BALANCE								50,630.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
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SAPG0096

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,516,106.75CR
	SETTLEMENTS		
	CMX Silver Futures		2,525.00CR
	LME Aluminium 25 Tonnes. This is an Futures		19,160.00CR
	LME Copper Grade A 25 tonnes. This Futures		42,084.00DR
	LME Lead 25 tonnes. This is an Futures		7,861.75CR
	LME Nickel 6 tonnes. This is an LME Futures		4,408.50CR
	LME Tin 5 tonnes. This is an LME Futures		3,107.55CR
	LME Zinc 25 tonnes. This is an LME Futures		33,650.00DR
	CASH PAYMENTS/RECEIPTS		300,000.00DR
	COMMISSIONS		1,260.06DR
	CARRIED FORWARD BALANCE		1,176,175.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT

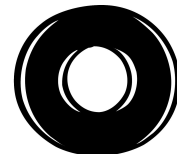


SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0097J 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
12SEP17	1 17OCT17	2327.50	2291.59		897.75DR
12SEP17	1 17OCT17	2327.50	2291.59		897.75DR
12SEP17	1 17OCT17	2327.50	2291.59		897.75DR
12SEP17	1 17OCT17	2316.00	2291.59		610.25DR
12SEP17	1 17OCT17	2316.00	2291.59		610.25DR
12SEP17	1 17OCT17	2316.00	2291.59		610.25DR
12SEP17	2 17OCT17	2316.00	2291.59		1,220.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
12SEP17	1 17OCT17	3055.73	2839.50		5,405.75DR
12SEP17	1 17OCT17	3055.73	2839.50		5,405.75DR
12SEP17	1 17OCT17	3055.73	2838.50		5,430.75DR
12SEP17	1 17OCT17	3055.73	2823.00		5,818.25DR
12SEP17	1 17OCT17	3055.73	2823.00		5,818.25DR
12SEP17	1 17OCT17	3055.73	2823.00		5,818.25DR
12SEP17	2 17OCT17	3055.73	2823.00		11,636.50DR
13OCT17	17 17OCT17	3294.00	3055.53		101,349.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				787,424.20CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,744.50DR
	LME Zinc 25 tonnes. This is an LME Futures				146,683.25DR
	CARRIED FORWARD BALANCE				634,996.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0097

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		787,424.20CR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		5,744.50DR
	LME Zinc 25 tonnes. This is an LME Futures		146,683.25DR
	CARRIED FORWARD BALANCE		634,996.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103B

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	S 2 NOV17 GX		2.99900	5.30DR	
17OCT17	S 1 NOV17 GX		3.00400	2.65DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 GX	1715.0		2.65DR	
	Commission				10.60DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17	2.91600	2.99900		830.00CR
17OCT17	1 NOV17	2.91600	2.99900		830.00CR
17OCT17	1 NOV17	2.91700	3.00400		870.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				72,986.85CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				2,530.00CR
	COMMISSIONS				10.60DR
	CARRIED FORWARD BALANCE				75,506.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103C
17th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	S 1 DEC17 CQG GX		323.000	2.65DR	
17OCT17	S 1 DEC17 CQG GX		323.050	2.65DR	
17OCT17	B 1 DEC17 CQG GX	320.950		2.65DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 CQG GX	1714.5		2.65DR	
17OCT17	B 1 DEC17 CQG GX	1703.5		2.65DR	
	Commission				13.25DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	320.950	323.000		512.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				60,279.35CR
	SETTLEMENTS				
	CMX Copper Futures				512.50CR
	COMMISSIONS				13.25DR
	CARRIED FORWARD BALANCE				60,778.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103D

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	S 1 NOV17 CQG GX		52.09	2.65DR	
17OCT17	S 1 NOV17 CQG GX		52.11	2.65DR	
17OCT17	B 2 NOV17 CQG GX	51.31		5.30DR	
	CMX Copper 25,000 CMX				
17OCT17	S 1 DEC17 CQG GX		323.000	2.65DR	
17OCT17	B 1 DEC17 CQG GX	320.750		2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	S 1 NOV17 CQG GX		2.99900	2.65DR	
17OCT17	S 1 NOV17 CQG GX		3.00500	2.65DR	
17OCT17	S 1 NOV17 CQG GX		3.00100	2.65DR	
17OCT17	S 1 NOV17 CQG GX		3.00700	2.65DR	
	Commission				26.50DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	1 NOV17	51.31	52.09		780.00CR
17OCT17	1 NOV17	51.31	52.11		800.00CR
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17	320.750	323.000		562.50CR
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17	2.91800	2.99900		810.00CR
17OCT17	1 NOV17	2.91500	3.00500		900.00CR
17OCT17	1 NOV17	2.91700	3.00100		840.00CR
17OCT17	1 NOV17	2.91700	3.00700		900.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				107,921.00CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				3,450.00CR
	CMX Copper Futures				562.50CR
	NYM Light Sweet Crude Oil Futures				1,580.00CR
	COMMISSIONS				26.50DR
	CARRIED FORWARD BALANCE				113,487.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

17th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 DMA EL		2526.00	5.30DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 GX 1714.5			2.65DR	
17OCT17	B 1 DEC17 GX 1712.5			2.65DR	
17OCT17	B 3 DEC17 GX 1710.5			7.95DR	
17OCT17	B 1 DEC17 GX 1711.0			2.65DR	
17OCT17	B 3 DEC17 GX 1714.0			7.95DR	
17OCT17	B 1 DEC17 GX 1703.5			2.65DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 3156.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3158.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3159.00			5.30DR	
17OCT17	B 2 17JAN18 DMA EL 3160.00			10.60DR	
17OCT17	B 1 17JAN18 DMA EL 3155.50			5.30DR	
17OCT17	B 2 17JAN18 DMA EL 3130.50			10.60DR	
17OCT17	B 1 17JAN18 DMA EL 3129.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3104.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3106.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3108.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3158.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3096.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3097.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3098.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3098.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3102.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3102.50			5.30DR	
17OCT17	S 2 17JAN18 DMA EL 3104.00		3104.00	10.60DR	
17OCT17	S 1 17JAN18 DMA EL 3105.50		3105.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3106.00		3106.00	5.30DR	
17OCT17	S 2 17JAN18 DMA EL 3108.00		3108.00	10.60DR	
17OCT17	S 1 17JAN18 DMA EL 3108.50		3108.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3110.00		3110.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3110.50		3110.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3114.50		3114.50	5.30DR	
17OCT17	S 2 17JAN18 DMA EL 3116.00		3116.00	10.60DR	
17OCT17	S 2 17JAN18 DMA EL 3116.50		3116.50	10.60DR	
17OCT17	S 1 17JAN18 DMA EL 3118.00		3118.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3120.00		3120.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3103.00		3103.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3107.50		3107.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3109.00		3109.00	5.30DR	
17OCT17	B 2 17JAN18 DMA EL 3075.50			10.60DR	
17OCT17	B 2 17JAN18 DMA EL 3076.50			10.60DR	
17OCT17	B 4 17JAN18 DMA EL 3079.00			21.20DR	
17OCT17	B 3 17JAN18 DMA EL 3079.50			15.90DR	
17OCT17	B 1 17JAN18 DMA EL 3080.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3061.50			5.30DR	
17OCT17	S 2 17JAN18 DMA EL 3109.50		3109.50	10.60DR	
17OCT17	B 1 17JAN18 DMA EL 3061.00			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3075.00			5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3117.00		3117.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3119.50		3119.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3067.50		3067.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3068.00		3068.00	5.30DR	
17OCT17	S 2 17JAN18 DMA EL 3071.50		3071.50	10.60DR	
17OCT17	B 1 17JAN18 DMA EL 3081.50			5.30DR	
17OCT17	B 1 17JAN18 DMA EL 3083.50			5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3081.50		3081.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3083.00		3083.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL 3083.50		3083.50	5.30DR	

Group No: SAPG0103

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S 1 17JAN18 DMA EL		3086.00	5.30DR	
17OCT17	S 1 17JAN18 DMA EL		3087.00	5.30DR	
17OCT17	S 2 17JAN18 DMA EL		3077.00	10.60DR	
17OCT17	S 1 17JAN18 DMA EL		3077.50	5.30DR	
17OCT17	S 6 17JAN18 DMA EL		3075.00	31.80DR	
17OCT17	S 4 17JAN18 DMA EL		3076.00	21.20DR	
17OCT17	S 2 17JAN18 DMA EL		3076.50	10.60DR	
17OCT17	S 1 17JAN18 DMA EL		3079.50	5.30DR	
17OCT17	S 1 17JAN18 DMA EL		3080.50	5.30DR	
	Commission				482.30DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	2 17OCT17	1924.50	1914.85		482.50DR
21JUL17	1 17OCT17	1924.50	1914.85		241.25DR
21JUL17	1 17OCT17	1924.50	1914.85		241.25DR
21JUL17	1 17OCT17	1924.50	1914.85		241.25DR
21JUL17	1 17OCT17	1924.50	1914.85		241.25DR
21JUL17	1 17OCT17	1924.50	1914.85		241.25DR
21JUL17	1 17OCT17	1924.50	1915.05		236.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
21JUL17	1 17OCT17	2316.00	2239.35		1,916.25DR
21JUL17	1 17OCT17	2315.50	2239.35		1,903.75DR
21JUL17	1 17OCT17	2315.50	2239.35		1,903.75DR
21JUL17	1 17OCT17	2315.50	2239.35		1,903.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				64,649.62CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				7,627.50DR
	LME Aluminium 25 Tonnes. This is an Futures				1,925.00DR
	COMMISSIONS				482.30DR
	CARRIED FORWARD BALANCE				54,614.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	B	1	17JAN18	DMA	EL	3194.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3195.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3170.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3158.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3161.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3156.50		5.30DR	
17OCT17	B	2	17JAN18	DMA	EL	3157.50		10.60DR	
17OCT17	B	1	17JAN18	DMA	EL	3160.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3150.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3154.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3155.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3159.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3136.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3140.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3124.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3110.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3099.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3103.00		5.30DR	
17OCT17	S	1	17JAN18	DMA	EL		3099.00	5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3104.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3106.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3107.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3108.00		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3194.50		5.30DR	
17OCT17	B	2	17JAN18	DMA	EL	3096.50		10.60DR	
17OCT17	S	1	17JAN18	DMA	EL		3116.00	5.30DR	
17OCT17	S	2	17JAN18	DMA	EL		3120.00	10.60DR	
17OCT17	S	1	17JAN18	DMA	EL		3103.50	5.30DR	
17OCT17	S	1	17JAN18	DMA	EL		3104.00	5.30DR	
17OCT17	S	1	17JAN18	DMA	EL		3105.50	5.30DR	
17OCT17	S	1	17JAN18	DMA	EL		3107.00	5.30DR	
17OCT17	S	1	17JAN18	DMA	EL		3109.00	5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3097.50		5.30DR	
17OCT17	B	1	17JAN18	DMA	EL	3105.50		5.30DR	
17OCT17	S	2	17JAN18	DMA	EL		3076.00	10.60DR	
17OCT17	S	1	17JAN18	DMA	EL		3074.00	5.30DR	
17OCT17	S	2	17JAN18	DMA	EL		3075.50	10.60DR	
17OCT17	S	1	17JAN18	DMA	EL		3078.00	5.30DR	
17OCT17	S	4	17JAN18	DMA	EL		3076.50	21.20DR	
	Commission								249.10DR
	<u>MATCH OUTS</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
21JUL17		1	17OCT17			1935.50	1914.85		516.25DR
21JUL17		1	17OCT17			1934.00	1914.85		478.75DR
21JUL17		1	17OCT17			1933.00	1914.85		453.75DR
21JUL17		1	17OCT17			1933.00	1914.85		453.75DR
21JUL17		1	17OCT17			1918.50	1914.85		91.25DR
21JUL17		1	17OCT17			1919.00	1914.85		103.75DR
21JUL17		1	17OCT17			1910.00	1915.05		126.25CR
21JUL17		1	17OCT17			1904.50	1915.05		263.75CR
21JUL17		1	17OCT17			1906.00	1915.05		226.25CR
21JUL17		1	17OCT17			1905.50	1915.05		238.75CR
21JUL17		1	17OCT17			1905.50	1915.05		238.75CR
21JUL17		1	17OCT17			1905.00	1915.05		251.25CR
21JUL17		1	17OCT17			1900.50	1915.05		363.75CR
21JUL17		1	17OCT17			1900.00	1915.05		376.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
21JUL17	1 17OCT17	1898.00	1915.05		426.25CR
21JUL17	1 17OCT17	1933.00	1915.05		448.75DR
21JUL17	1 17OCT17	1935.00	1915.05		498.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
21JUL17	1 17OCT17	2320.50	2239.35		2,028.75DR
21JUL17	1 17OCT17	2323.50	2239.35		2,103.75DR
21JUL17	1 17OCT17	2322.00	2239.35		2,066.25DR
21JUL17	1 17OCT17	2321.50	2239.35		2,053.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2823.50	2824.00		12.50CR
17JUL17	1 17OCT17	2826.00	2825.00		25.00DR
17JUL17	1 17OCT17	2823.50	2830.00		162.50CR
17JUL17	1 17OCT17	2822.50	2831.00		212.50CR
17JUL17	1 17OCT17	2821.00	2831.50		262.50CR
17JUL17	1 17OCT17	2822.00	2831.50		237.50CR
17JUL17	1 17OCT17	2822.00	2832.00		250.00CR
17JUL17	1 17OCT17	2831.50	2832.00		12.50CR
17JUL17	1 17OCT17	2815.00	2830.50		387.50CR
17JUL17	1 17OCT17	2814.50	2834.50		500.00CR
17JUL17	1 17OCT17	2815.50	2835.50		500.00CR
17JUL17	1 17OCT17	2815.50	2824.00		212.50CR
17JUL17	1 17OCT17	2816.50	2824.00		187.50CR
21JUL17	1 17OCT17	2755.59	2824.00		1,710.25CR
21JUL17	1 17OCT17	2755.59	2824.50		1,722.75CR
21JUL17	1 17OCT17	2755.59	2825.00		1,735.25CR
21JUL17	1 17OCT17	2755.59	2825.00		1,735.25CR
21JUL17	1 17OCT17	2755.59	2825.00		1,735.25CR
21JUL17	1 17OCT17	2755.59	2825.00		1,735.25CR
21JUL17	1 17OCT17	2755.59	2826.00		1,760.25CR
21JUL17	1 17OCT17	2755.59	2826.00		1,760.25CR
	TOTALS				
	BROUGHT FORWARD BALANCE				355,840.59CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				8,252.50DR
	LME Zinc 25 tonnes. This is an LME Futures				17,019.50CR
	LME Aluminium 25 Tonnes. This is an Futures				533.75DR
	COMMISSIONS				249.10DR
	CARRIED FORWARD BALANCE				363,824.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0103H 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	B 1 DEC17 CQG GX 320.850			2.65DR	
17OCT17	B 1 DEC17 CQG GX 320.600			2.65DR	
17OCT17	B 1 DEC17 CQG GX 320.950			2.65DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	S 1 NOV17 CQG GX 2.97100			2.65DR	
17OCT17	S 1 NOV17 CQG GX 2.97200			2.65DR	
17OCT17	S 1 NOV17 CQG GX 2.99100			2.65DR	
	CMX Silver 5,000 CMX				
17OCT17	B 1 DEC17 CQG GX 1721.5			2.65DR	
17OCT17	B 1 DEC17 CQG GX 1717.0			2.65DR	
	Commission				21.20DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17 320.850	309.350			2,875.00DR
17OCT17	1 DEC17 320.600	309.050			2,887.50DR
17OCT17	1 DEC17 320.950	309.050			2,975.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
17OCT17	1 NOV17 2.99700	2.97100			260.00DR
17OCT17	1 NOV17 3.01100	2.97200			390.00DR
17OCT17	1 NOV17 2.97000	2.99100			210.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				93,146.05CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				440.00DR
	CMX Copper Futures				8,737.50DR
	COMMISSIONS				21.20DR
	CARRIED FORWARD BALANCE				83,947.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 02NOV17 IFC UD	3101.86		2.70DR	
17OCT17	B 1 17JAN18 DMA EL	3115.50		5.30DR	
17OCT17	S 1 17JAN18 IFC UD		3084.80	2.70DR	
	Commission				10.70DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
07SEP17	1 17OCT17	2324.50	2323.62		22.00DR
07SEP17	1 17OCT17	2317.00	2323.62		165.50CR
07SEP17	1 17OCT17	2293.50	2323.62		753.00CR
07SEP17	1 17OCT17	2317.50	2323.62		153.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07SEP17	1 17OCT17	3126.00	2815.00		7,775.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				97,840.44CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,049.50CR
	LME Zinc 25 tonnes. This is an LME Futures				7,775.00DR
	COMMISSIONS				10.70DR
	CARRIED FORWARD BALANCE				91,104.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0103

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,805,514.22CR
	SETTLEMENTS		
	CMX Copper Futures		7,662.50DR
	LME Aluminium 25 Tonnes. This is an Futures		2,458.75DR
	LME Lead 25 tonnes. This is an Futures		14,830.50DR
	LME Zinc 25 tonnes. This is an LME Futures		9,244.50CR
	NYM Henry Hub Natural Gas Futures		5,540.00CR
	NYM Light Sweet Crude Oil Futures		1,580.00CR
	COMMISSIONS		813.65DR
	CARRIED FORWARD BALANCE		1,796,113.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

17th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 OBJ EL		2524.50	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2533.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2532.50	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2532.50		4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 OBJ EL	11670.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	11665.00		4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	11660.00		8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		11725.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		11740.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	11740.00		4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		11755.00	8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	11735.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		11720.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	11745.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	11760.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 12JAN18 IFC UD	3085.00		2.70DR	
17OCT17	S 1 16JAN18 IFC UD		3084.80	2.70DR	
	Commission				78.50DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	9690.00	9625.00		390.00DR
17JUL17	1 17OCT17	9605.00	9625.00		120.00CR
17JUL17	1 17OCT17	9600.00	9635.00		210.00CR
17JUL17	1 17OCT17	9620.00	9640.00		120.00CR
17JUL17	1 17OCT17	9620.00	9640.00		120.00CR
17JUL17	1 17OCT17	9630.00	9650.00		120.00CR
17JUL17	1 17OCT17	9625.00	9650.00		150.00CR
18JUL17	1 17OCT17	9781.00	9655.00		756.00DR
18JUL17	1 17OCT17	9781.00	9650.00		786.00DR
18JUL17	1 17OCT17	9781.00	9660.00		726.00DR
18JUL17	1 17OCT17	9781.00	9655.00		756.00DR
18JUL17	1 17OCT17	9781.00	9660.00		726.00DR
18JUL17	1 17OCT17	9781.00	9695.00		516.00DR
18JUL17	1 17OCT17	9781.00	9730.00		306.00DR
18JUL17	1 17OCT17	9781.00	9720.00		366.00DR
24JUL17	2 17OCT17	9777.34	9650.00		1,528.08DR
24JUL17	1 17OCT17	9777.34	9655.00		734.04DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2816.00	2820.00		100.00CR
17JUL17	1 17OCT17	2813.50	2826.00		312.50CR
17JUL17	1 17OCT17	2813.00	2821.50		212.50CR
17JUL17	1 17OCT17	2817.50	2829.50		300.00CR
17JUL17	1 17OCT17	2815.50	2829.50		350.00CR
17JUL17	1 17OCT17	2817.50	2829.50		300.00CR
17JUL17	1 17OCT17	2814.50	2830.00		387.50CR
17JUL17	1 17OCT17	2822.50	2830.50		200.00CR
17JUL17	1 17OCT17	2828.50	2831.50		75.00CR
17JUL17	1 17OCT17	2820.00	2834.00		350.00CR
17JUL17	1 17OCT17	2820.00	2837.00		425.00CR

Group No: SAPG0112

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2819.00	2834.00		375.00CR
17JUL17	1 17OCT17	2817.00	2834.50		437.50CR
17JUL17	1 17OCT17	2816.00	2834.50		462.50CR
17JUL17	1 17OCT17	2813.00	2839.50		662.50CR
17JUL17	1 17OCT17	2827.50	2844.50		425.00CR
17JUL17	1 17OCT17	2815.50	2844.50		725.00CR
17JUL17	1 17OCT17	2812.50	2842.50		750.00CR
17JUL17	1 17OCT17	2819.00	2846.00		675.00CR
17JUL17	1 17OCT17	2820.50	2846.00		637.50CR
17JUL17	1 17OCT17	2820.50	2844.00		587.50CR
17JUL17	1 17OCT17	2820.50	2846.00		637.50CR
17JUL17	1 17OCT17	2820.50	2846.00		637.50CR
18JUL17	1 17OCT17	2820.50	2793.61		672.25DR
TOTALS					
BROUGHT FORWARD BALANCE					742,621.83CR
SETTLEMENTS					
LME Zinc 25 tonnes. This is an LME Futures					9,352.75CR
LME Nickel 6 tonnes. This is an LME Futures					6,750.12DR
COMMISSIONS					78.50DR
CARRIED FORWARD BALANCE					745,145.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 11JAN18 IFC UD		2490.72	2.70DR	
17OCT17	B 1 12JAN18 IFC UD	2491.14		2.70DR	
	Commission				5.40DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17JUL17	1 17OCT17	1917.00	1934.00		425.00CR
17JUL17	1 17OCT17	1915.00	1935.00		500.00CR
17JUL17	1 17OCT17	1914.50	1934.00		487.50CR
17JUL17	1 17OCT17	1904.50	1938.50		850.00CR
19JUL17	1 17OCT17	1920.03	1939.50		486.75CR
19JUL17	1 17OCT17	1920.03	1940.00		499.25CR
19JUL17	1 17OCT17	1920.03	1912.00		200.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2320.00	2324.00		100.00CR
17JUL17	1 17OCT17	2320.00	2325.50		137.50CR
17JUL17	1 17OCT17	2320.00	2323.50		87.50CR
17JUL17	1 17OCT17	2321.00	2323.50		62.50CR
17JUL17	1 17OCT17	2318.50	2325.50		175.00CR
17JUL17	1 17OCT17	2317.50	2325.50		200.00CR
17JUL17	1 17OCT17	2319.50	2329.50		250.00CR
17JUL17	1 17OCT17	2332.00	2329.50		62.50DR
17JUL17	1 17OCT17	2322.00	2319.50		62.50DR
17JUL17	1 17OCT17	2322.00	2317.00		125.00DR
17JUL17	1 17OCT17	2322.00	2320.00		50.00DR
17JUL17	1 17OCT17	2324.00	2322.00		50.00DR
17JUL17	1 17OCT17	2323.50	2324.50		25.00CR
17JUL17	1 17OCT17	2324.00	2319.00		125.00DR
17JUL17	1 17OCT17	2322.00	2296.00		650.00DR
17JUL17	1 17OCT17	2320.50	2293.50		675.00DR
17JUL17	1 17OCT17	2321.00	2293.50		687.50DR
17JUL17	1 17OCT17	2316.50	2293.50		575.00DR
17JUL17	1 17OCT17	2316.50	2294.50		550.00DR
17JUL17	1 17OCT17	2320.00	2299.00		525.00DR
17JUL17	1 17OCT17	2317.50	2295.00		562.50DR
18JUL17	1 17OCT17	2317.00	2274.63		1,059.25DR
18JUL17	1 17OCT17	2314.50	2274.63		996.75DR
18JUL17	1 17OCT17	2313.50	2274.63		971.75DR
18JUL17	1 17OCT17	2306.00	2274.63		784.25DR
18JUL17	1 17OCT17	2304.50	2274.63		746.75DR
18JUL17	1 17OCT17	2302.50	2274.63		696.75DR
19JUL17	3 17OCT17	2217.30	2274.63		4,299.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 17OCT17	9781.00	9680.00		606.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2813.00	2820.00		175.00CR
17JUL17	1 17OCT17	2813.50	2824.50		275.00CR
17JUL17	1 17OCT17	2813.00	2823.50		262.50CR
17JUL17	1 17OCT17	2818.00	2820.00		50.00CR
17JUL17	1 17OCT17	2816.00	2823.50		187.50CR
17JUL17	1 17OCT17	2813.00	2821.00		200.00CR
17JUL17	1 17OCT17	2815.50	2825.50		250.00CR

Group No: SAPG0112

Page 1 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2828.00	2825.50		62.50DR
17JUL17	1 17OCT17	2817.50	2815.00		62.50DR
17JUL17	1 17OCT17	2814.50	2814.00		12.50DR
17JUL17	1 17OCT17	2814.50	2826.00		287.50CR
17JUL17	1 17OCT17	2815.50	2814.00		37.50DR
17JUL17	1 17OCT17	2815.50	2815.00		12.50DR
17JUL17	1 17OCT17	2815.50	2814.00		37.50DR
17JUL17	1 17OCT17	2830.50	2824.50		150.00DR
17JUL17	1 17OCT17	2828.00	2824.50		87.50DR
17JUL17	1 17OCT17	2828.50	2821.50		175.00DR
17JUL17	1 17OCT17	2821.50	2821.50		0.00
17JUL17	1 17OCT17	2821.50	2826.50		125.00CR
17JUL17	1 17OCT17	2821.50	2814.00		187.50DR
17JUL17	2 17OCT17	2820.50	2814.00		325.00DR
17JUL17	1 17OCT17	2820.00	2814.00		150.00DR
17JUL17	1 17OCT17	2819.00	2824.50		137.50CR
17JUL17	1 17OCT17	2819.00	2824.50		137.50CR
17JUL17	1 17OCT17	2819.00	2825.50		162.50CR
17JUL17	1 17OCT17	2818.50	2829.00		262.50CR
17JUL17	1 17OCT17	2818.50	2829.50		275.00CR
17JUL17	2 17OCT17	2817.00	2829.00		600.00CR
17JUL17	1 17OCT17	2817.00	2829.50		312.50CR
17JUL17	1 17OCT17	2816.50	2829.00		312.50CR
17JUL17	1 17OCT17	2816.50	2829.50		325.00CR
17JUL17	1 17OCT17	2816.00	2831.00		375.00CR
17JUL17	1 17OCT17	2816.00	2831.00		375.00CR
17JUL17	1 17OCT17	2815.50	2830.50		375.00CR
17JUL17	1 17OCT17	2810.00	2831.00		525.00CR
17JUL17	1 17OCT17	2816.00	2831.50		387.50CR
17JUL17	1 17OCT17	2816.00	2832.00		400.00CR
17JUL17	1 17OCT17	2813.00	2832.00		475.00CR
17JUL17	1 17OCT17	2813.00	2835.00		550.00CR
17JUL17	1 17OCT17	2827.00	2833.50		162.50CR
17JUL17	1 17OCT17	2827.00	2832.00		125.00CR
17JUL17	1 17OCT17	2824.00	2832.00		200.00CR
17JUL17	1 17OCT17	2821.00	2835.50		362.50CR
17JUL17	1 17OCT17	2821.00	2840.00		475.00CR
17JUL17	1 17OCT17	2821.00	2840.00		475.00CR
17JUL17	1 17OCT17	2819.00	2824.00		125.00CR
17JUL17	1 17OCT17	2818.50	2823.50		125.00CR
17JUL17	1 17OCT17	2817.00	2829.00		300.00CR
17JUL17	1 17OCT17	2816.50	2829.50		325.00CR
17JUL17	1 17OCT17	2815.50	2829.50		350.00CR
17JUL17	1 17OCT17	2813.00	2830.00		425.00CR
17JUL17	1 17OCT17	2818.00	2831.00		325.00CR
17JUL17	1 17OCT17	2818.50	2831.00		312.50CR
17JUL17	1 17OCT17	2818.00	2834.00		400.00CR
17JUL17	1 17OCT17	2817.50	2834.00		412.50CR
17JUL17	1 17OCT17	2819.00	2834.00		375.00CR
17JUL17	1 17OCT17	2819.00	2835.00		400.00CR
17JUL17	1 17OCT17	2817.50	2839.00		537.50CR
17JUL17	1 17OCT17	2820.50	2838.00		437.50CR
17JUL17	1 17OCT17	2820.50	2839.00		462.50CR
17JUL17	1 17OCT17	2820.50	2840.00		487.50CR
17JUL17	1 17OCT17	2820.50	2842.00		537.50CR
17JUL17	1 17OCT17	2819.50	2841.00		537.50CR
17JUL17	1 17OCT17	2819.50	2842.00		562.50CR
17JUL17	1 17OCT17	2819.50	2843.00		587.50CR
17JUL17	1 17OCT17	2819.50	2843.50		600.00CR
17JUL17	1 17OCT17	2819.00	2843.00		600.00CR
17JUL17	1 17OCT17	2819.50	2843.50		600.00CR
17JUL17	1 17OCT17	2820.50	2840.00		487.50CR
17JUL17	1 17OCT17	2819.50	2843.00		587.50CR
17JUL17	2 17OCT17	2821.00	2843.00		1,100.00CR
17JUL17	1 17OCT17	2822.00	2843.00		525.00CR
17JUL17	1 17OCT17	2822.50	2843.50		525.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112C
17th October 2017
COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2822.50	2846.50		600.00CR
17JUL17	1 17OCT17	2821.00	2814.00		175.00DR
19JUL17	3 17OCT17	2747.54	2815.50		5,097.00CR
19JUL17	1 17OCT17	2747.54	2824.00		1,911.50CR
24JUL17	1 17OCT17	2788.86	2811.50		566.00CR
24JUL17	1 17OCT17	2788.86	2831.00		1,053.50CR
24JUL17	2 17OCT17	2788.86	2835.00		2,307.00CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					972,646.12DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					4,618.25DR
LME Zinc 25 tonnes. This is an LME Futures					32,710.00CR
LME Nickel 6 tonnes. This is an LME Futures					606.00DR
LME Aluminium 25 Tonnes. This is an Futures					3,047.75CR
COMMISSIONS					5.40DR
CARRIED FORWARD BALANCE					942,118.02DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 8 24OCT17 IFC UD		2117.75	21.60DR	
17OCT17	S 8 25OCT17 IFC UD		2118.25	21.60DR	
17OCT17	S 16 27OCT17 IFC UD		2119.25	43.20DR	
17OCT17	S 6 10JAN18 IFC UD		2138.34	16.20DR	
17OCT17	S 7 11JAN18 IFC UD		2138.58	18.90DR	
17OCT17	B 45 16JAN18 IFC UD	2139.96		121.50DR	
17OCT17	S 1 17JAN18 OBJ EL		2146.00	4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2138.00		8.60DR	
17OCT17	B 2 17JAN18 OBJ EL	2138.50		8.60DR	
17OCT17	B 2 17JAN18 OBJ EL	2140.00		8.60DR	
17OCT17	B 6 17JAN18 OBJ EL	2139.50		25.80DR	
17OCT17	B 1 17JAN18 OBJ EL	2150.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2151.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2152.50	4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		2146.50	8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		2139.00	4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2144.50		8.60DR	
17OCT17	B 2 17JAN18 OBJ EL	2143.00		8.60DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 1 17JAN18 OBJ EL	7093.50		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 11JAN18 IFC UD	2490.72		2.70DR	
17OCT17	S 1 12JAN18 IFC UD		2490.74	2.70DR	
17OCT17	B 2 17JAN18 OBJ EL	2533.50		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	2517.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2517.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2515.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2514.50		4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2509.00		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	2509.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2508.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2516.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2526.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2532.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2532.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2533.50	4.30DR	
17OCT17	B 1 17JAN18 DMA EL	2533.00		4.30DR	
17OCT17	S 2 17JAN18 DMA EL		2521.00	8.60DR	
17OCT17	S 2 17JAN18 DMA EL		2533.00	8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		2521.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2527.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2528.50	4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2521.00		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	2520.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2513.00		4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2512.50		8.60DR	
17OCT17	B 3 17JAN18 OBJ EL	2510.00		12.90DR	
17OCT17	B 3 17JAN18 OBJ EL	2511.50		12.90DR	
17OCT17	B 2 17JAN18 OBJ EL	2512.00		8.60DR	
17OCT17	B 2 17JAN18 OBJ EL	2511.00		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	2504.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2501.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2502.00		4.30DR	
17OCT17	S 1 17JAN18 DMA EL		2535.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2491.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2492.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2497.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2496.50		4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B 1 17JAN18 DMA EL LME Zinc 25 tonnes. This is an LME registered client contract	2491.50		4.30DR	
17OCT17	B 1 11JAN18 IFC UD	3085.00		2.70DR	
17OCT17	S 1 16JAN18 IFC UD		3084.80	2.70DR	
17OCT17	B 1 17JAN18 OBJ EL	3182.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3181.50	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3178.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3179.00	4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		3182.00	8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	3176.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3172.00		4.30DR	
17OCT17	S 4 17JAN18 OBJ EL		3169.50	17.20DR	
17OCT17	S 1 17JAN18 OBJ EL		3162.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3151.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3146.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3147.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3150.00		4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	3152.50		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	3145.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3144.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3149.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3141.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3139.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3134.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3134.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3118.00		4.30DR	
17OCT17	S 1 17JAN18 DMA EL		3169.50	4.30DR	
17OCT17	S 1 17JAN18 DMA EL		3170.00	4.30DR	
17OCT17	B 3 17JAN18 DMA EL	3146.00		12.90DR	
17OCT17	B 2 17JAN18 DMA EL	3144.00		8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		3102.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3101.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3103.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3106.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3109.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3110.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3114.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3111.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3119.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3119.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3114.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3105.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3101.50		4.30DR	
17OCT17	B 3 17JAN18 OBJ EL	3099.00		12.90DR	
17OCT17	B 1 17JAN18 OBJ EL	3097.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3095.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3057.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3061.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3071.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3073.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3067.00		4.30DR	
17OCT17	B 1 17JAN18 DMA EL	3074.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3082.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3081.00		4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		3074.00	8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		3074.50	4.30DR	
17OCT17	B 1 17JAN18 DMA EL	3082.00		4.30DR	
	Commission				838.60DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an				

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	LME registered client contract.				
17JUL17	1 17OCT17	1932.00	1935.00		75.00CR
17JUL17	1 17OCT17	1928.00	1938.50		262.50CR
17JUL17	1 17OCT17	1924.00	1938.50		362.50CR
17JUL17	1 17OCT17	1924.00	1938.50		362.50CR
17JUL17	1 17OCT17	1924.50	1938.50		350.00CR
17JUL17	1 17OCT17	1924.50	1940.50		400.00CR
17JUL17	1 17OCT17	1923.50	1939.50		400.00CR
17JUL17	1 17OCT17	1923.50	1928.50		125.00CR
17JUL17	1 17OCT17	1922.50	1902.50		500.00DR
17JUL17	1 17OCT17	1922.50	1904.00		462.50DR
17JUL17	1 17OCT17	1906.50	1904.50		50.00DR
17JUL17	1 17OCT17	1904.50	1913.50		225.00CR
18JUL17	1 17OCT17	1904.50	1930.59		652.25CR
18JUL17	1 17OCT17	1898.50	1930.59		802.25CR
24JUL17	2 17OCT17	1911.30	1930.59		964.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17	2319.50	2320.00		12.50CR
17JUL17	1 17OCT17	2318.50	2326.00		187.50CR
17JUL17	1 17OCT17	2321.50	2326.50		125.00CR
17JUL17	1 17OCT17	2321.50	2325.00		87.50CR
17JUL17	1 17OCT17	2320.00	2325.00		125.00CR
17JUL17	1 17OCT17	2320.00	2326.50		162.50CR
17JUL17	1 17OCT17	2320.00	2326.50		162.50CR
17JUL17	1 17OCT17	2319.50	2329.00		237.50CR
17JUL17	1 17OCT17	2318.50	2329.00		262.50CR
17JUL17	1 17OCT17	2321.50	2329.50		200.00CR
17JUL17	1 17OCT17	2320.50	2329.50		225.00CR
17JUL17	1 17OCT17	2322.00	2330.00		200.00CR
17JUL17	1 17OCT17	2324.50	2330.00		137.50CR
17JUL17	1 17OCT17	2326.00	2330.00		100.00CR
17JUL17	1 17OCT17	2321.50	2330.00		212.50CR
17JUL17	1 17OCT17	2324.00	2330.50		162.50CR
17JUL17	1 17OCT17	2323.50	2321.00		62.50DR
17JUL17	1 17OCT17	2321.50	2325.00		87.50CR
17JUL17	1 17OCT17	2322.00	2317.00		125.00DR
17JUL17	1 17OCT17	2321.00	2317.50		87.50DR
17JUL17	1 17OCT17	2320.50	2320.00		12.50DR
17JUL17	1 17OCT17	2320.50	2317.50		75.00DR
17JUL17	1 17OCT17	2318.50	2320.50		50.00CR
17JUL17	1 17OCT17	2320.00	2321.00		25.00CR
17JUL17	1 17OCT17	2320.00	2319.00		25.00DR
17JUL17	1 17OCT17	2320.00	2303.50		412.50DR
17JUL17	1 17OCT17	2317.00	2303.50		337.50DR
17JUL17	1 17OCT17	2317.00	2299.00		450.00DR
17JUL17	1 17OCT17	2317.50	2299.00		462.50DR
17JUL17	1 17OCT17	2317.00	2296.50		512.50DR
17JUL17	1 17OCT17	2318.00	2296.00		550.00DR
17JUL17	1 17OCT17	2318.00	2295.00		575.00DR
17JUL17	1 17OCT17	2318.00	2295.50		562.50DR
17JUL17	1 17OCT17	2315.00	2295.50		487.50DR
17JUL17	1 17OCT17	2313.50	2294.00		487.50DR
17JUL17	1 17OCT17	2293.00	2294.00		25.00CR
24JUL17	1 17OCT17	2259.07	2294.50		885.75CR
24JUL17	1 17OCT17	2259.07	2294.50		885.75CR
24JUL17	2 17OCT17	2259.07	2297.00		1,896.50CR
24JUL17	1 17OCT17	2259.07	2296.00		923.25CR
24JUL17	1 17OCT17	2259.07	2298.50		985.75CR
24JUL17	1 17OCT17	2259.07	2294.50		885.75CR
24JUL17	1 17OCT17	2259.07	2295.00		898.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
18JUL17	1 17OCT17	9781.00	9695.00		516.00DR
	LME Zinc 25 tonnes. This is an LME				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
17JUL17	1 17OCT17	2814.00	2828.00		350.00CR
17JUL17	1 17OCT17	2815.50	2825.50		250.00CR
17JUL17	1 17OCT17	2818.00	2828.00		250.00CR
17JUL17	1 17OCT17	2813.00	2825.50		312.50CR
17JUL17	1 17OCT17	2813.00	2826.50		337.50CR
17JUL17	1 17OCT17	2815.00	2829.50		362.50CR
17JUL17	1 17OCT17	2815.50	2826.00		262.50CR
17JUL17	1 17OCT17	2815.00	2827.00		300.00CR
17JUL17	1 17OCT17	2828.50	2826.50		50.00DR
17JUL17	1 17OCT17	2827.00	2830.00		75.00CR
17JUL17	1 17OCT17	2822.00	2830.50		212.50CR
17JUL17	1 17OCT17	2820.50	2830.50		250.00CR
17JUL17	1 17OCT17	2820.00	2830.00		250.00CR
17JUL17	1 17OCT17	2820.00	2831.50		287.50CR
17JUL17	1 17OCT17	2820.00	2831.50		287.50CR
17JUL17	1 17OCT17	2819.00	2831.00		300.00CR
17JUL17	1 17OCT17	2819.00	2831.50		312.50CR
17JUL17	1 17OCT17	2819.00	2832.00		325.00CR
17JUL17	1 17OCT17	2817.50	2830.50		325.00CR
17JUL17	1 17OCT17	2818.50	2832.00		337.50CR
17JUL17	1 17OCT17	2818.50	2833.00		362.50CR
17JUL17	1 17OCT17	2817.50	2832.50		375.00CR
17JUL17	1 17OCT17	2819.00	2832.00		325.00CR
17JUL17	1 17OCT17	2817.50	2836.00		462.50CR
17JUL17	1 17OCT17	2820.50	2836.00		387.50CR
17JUL17	1 17OCT17	2819.50	2835.50		400.00CR
17JUL17	1 17OCT17	2817.00	2835.50		462.50CR
17JUL17	1 17OCT17	2816.00	2835.50		487.50CR
17JUL17	1 17OCT17	2815.50	2835.50		500.00CR
17JUL17	1 17OCT17	2815.00	2835.00		500.00CR
17JUL17	1 17OCT17	2813.00	2836.00		575.00CR
17JUL17	1 17OCT17	2813.00	2836.00		575.00CR
17JUL17	1 17OCT17	2824.50	2838.50		350.00CR
17JUL17	1 17OCT17	2833.50	2838.50		125.00CR
17JUL17	1 17OCT17	2833.00	2840.00		175.00CR
17JUL17	1 17OCT17	2832.00	2839.50		187.50CR
17JUL17	1 17OCT17	2831.50	2837.00		137.50CR
17JUL17	1 17OCT17	2827.50	2837.00		237.50CR
17JUL17	1 17OCT17	2823.50	2817.00		162.50DR
17JUL17	1 17OCT17	2826.00	2819.00		175.00DR
17JUL17	1 17OCT17	2826.00	2830.00		100.00CR
17JUL17	1 17OCT17	2825.50	2833.50		200.00CR
17JUL17	1 17OCT17	2828.00	2835.00		175.00CR
17JUL17	1 17OCT17	2825.00	2833.50		212.50CR
17JUL17	1 17OCT17	2823.50	2836.50		325.00CR
17JUL17	1 17OCT17	2823.50	2837.00		337.50CR
17JUL17	1 17OCT17	2825.00	2838.00		325.00CR
17JUL17	1 17OCT17	2828.50	2838.00		237.50CR
17JUL17	1 17OCT17	2833.00	2838.50		137.50CR
17JUL17	1 17OCT17	2830.50	2838.50		200.00CR
17JUL17	1 17OCT17	2830.00	2839.00		225.00CR
17JUL17	1 17OCT17	2832.50	2839.00		162.50CR
17JUL17	1 17OCT17	2832.50	2839.50		175.00CR
17JUL17	1 17OCT17	2832.50	2840.00		187.50CR
17JUL17	1 17OCT17	2832.50	2841.50		225.00CR
17JUL17	1 17OCT17	2832.50	2842.00		237.50CR
17JUL17	1 17OCT17	2821.00	2844.50		587.50CR
17JUL17	1 17OCT17	2821.00	2845.00		600.00CR
17JUL17	1 17OCT17	2820.50	2844.00		587.50CR
17JUL17	1 17OCT17	2820.50	2842.00		537.50CR
17JUL17	1 17OCT17	2822.00	2842.00		500.00CR
17JUL17	1 17OCT17	2822.00	2842.50		512.50CR
17JUL17	1 17OCT17	2821.00	2842.50		537.50CR
17JUL17	1 17OCT17	2819.50	2843.50		600.00CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 17OCT17	2818.00	2843.50		637.50CR
17JUL17	1 17OCT17	2816.00	2843.50		687.50CR
17JUL17	1 17OCT17	2814.00	2843.50		737.50CR
17JUL17	1 17OCT17	2813.00	2843.00		750.00CR
17JUL17	1 17OCT17	2812.00	2843.00		775.00CR
17JUL17	1 17OCT17	2818.00	2843.00		625.00CR
17JUL17	2 17OCT17	2818.00	2843.50		1,275.00CR
17JUL17	2 17OCT17	2818.00	2840.00		1,100.00CR
17JUL17	1 17OCT17	2817.50	2843.00		637.50CR
17JUL17	1 17OCT17	2819.00	2846.00		675.00CR
17JUL17	1 17OCT17	2820.50	2846.50		650.00CR
17JUL17	1 17OCT17	2819.50	2844.00		612.50CR
17JUL17	1 17OCT17	2819.00	2844.00		625.00CR
17JUL17	1 17OCT17	2819.50	2845.00		637.50CR
17JUL17	1 17OCT17	2819.00	2845.00		650.00CR
17JUL17	1 17OCT17	2819.50	2845.00		637.50CR
17JUL17	1 17OCT17	2821.00	2843.50		562.50CR
18JUL17	1 17OCT17	2794.01	2843.50		1,237.25CR
18JUL17	1 17OCT17	2794.01	2838.00		1,099.75CR
18JUL17	1 17OCT17	2794.01	2837.00		1,074.75CR
18JUL17	1 17OCT17	2794.01	2829.50		887.25CR
18JUL17	1 17OCT17	2794.01	2836.00		1,049.75CR
18JUL17	1 17OCT17	2794.01	2835.00		1,024.75CR
18JUL17	1 17OCT17	2794.01	2830.00		899.75CR
19JUL17	1 17OCT17	2747.54	2831.00		2,086.50CR
19JUL17	2 17OCT17	2747.54	2832.50		4,248.00CR
19JUL17	2 17OCT17	2747.54	2835.00		4,373.00CR
19JUL17	1 17OCT17	2747.54	2835.50		2,199.00CR
24JUL17	1 17OCT17	2788.86	2836.00		1,178.50CR
24JUL17	1 17OCT17	2788.86	2814.00		628.50CR
TOTALS					
BROUGHT FORWARD BALANCE					101,274.30DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					4,923.50CR
LME Zinc 25 tonnes. This is an LME Futures					53,811.75CR
LME Nickel 6 tonnes. This is an LME Futures					516.00DR
LME Aluminium 25 Tonnes. This is an Futures					3,969.00CR
COMMISSIONS					838.60DR
CARRIED FORWARD BALANCE					39,924.65DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 1 17JAN18 OBJ EL		2132.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2140.50	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2150.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2153.00	4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	2144.50		8.60DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 17JAN18 OBJ EL		2534.50	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2533.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2521.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2517.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2512.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2509.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2510.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2521.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2521.50		4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		2516.00	8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	2523.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2524.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2520.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2516.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2525.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2527.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2515.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2512.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2511.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	2510.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		2499.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 OBJ EL	3159.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3159.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3151.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3148.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3162.50	4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	3150.50		8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		3150.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3128.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3128.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3125.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3124.50		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3128.00	4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		3134.50	8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		3138.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3121.00		4.30DR	
17OCT17	B 2 17JAN18 OBJ EL	3119.00		8.60DR	
17OCT17	B 1 17JAN18 OBJ EL	3117.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3114.50		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3113.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3098.00		4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3096.50	4.30DR	
17OCT17	B 1 17JAN18 DMA EL	3153.00		4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3092.00		4.30DR	
17OCT17	S 3 17JAN18 OBJ EL		3081.00	12.90DR	
17OCT17	S 1 17JAN18 OBJ EL		3083.00	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3099.00	4.30DR	
17OCT17	S 2 17JAN18 OBJ EL		3100.50	8.60DR	
17OCT17	S 1 17JAN18 OBJ EL		3110.50	4.30DR	
17OCT17	S 1 17JAN18 OBJ EL		3114.00	4.30DR	
17OCT17	B 1 17JAN18 OBJ EL	3114.00		4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112G

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	3	17JAN18	OBJ	EL	3100.50	3070.50 3074.50	12.90DR	339.70DR
17OCT17	B	1	17JAN18	OBJ	EL	3099.00		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3099.50		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3094.50		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3058.00		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3059.50		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3056.50		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3061.50		4.30DR	
17OCT17	S	2	17JAN18	OBJ	EL			8.60DR	
17OCT17	S	1	17JAN18	OBJ	EL			4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3083.00		4.30DR	
17OCT17	B	1	17JAN18	OBJ	EL	3084.50		4.30DR	
Commission									
	TOTALS								
	BROUGHT FORWARD BALANCE								2,807.14DR
	COMMISSIONS								339.70DR
	CARRIED FORWARD BALANCE								3,146.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
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SAPG0112

17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		648,772.87CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		7,016.75CR
	LME Lead 25 tonnes. This is an Futures		305.25CR
	LME Nickel 6 tonnes. This is an LME Futures		7,872.12DR
	LME Zinc 25 tonnes. This is an LME Futures		95,874.50CR
	COMMISSIONS		1,262.20DR
	CARRIED FORWARD BALANCE		742,835.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0113A
17th October 2017
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 5 DEC17 1270.0 Put GX Commission		8.4	11.75DR	4,200.00CR 11.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 23,783.73CR OPTION PREMIUMS 4,200.00CR COMMISSIONS 11.75DR CARRIED FORWARD BALANCE 27,971.98CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
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17th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		23,783.73CR
	OPTION PREMIUMS		4,200.00CR
	COMMISSIONS		11.75DR
	CARRIED FORWARD BALANCE		27,971.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
17OCT17	S 1 19OCT17 IFC US		2475.00	2.70DR	
17OCT17	B 1 01NOV17 IFC US	2478.20		2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
17OCT17	B 3 19OCT17 IFC US	11702.50		8.10DR	
17OCT17	S 1 20OCT17 IFC US		11702.50	2.70DR	
17OCT17	S 1 26OCT17 IFC US		11708.12	2.70DR	
17OCT17	S 1 27OCT17 IFC US		11708.74	2.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	S 3 19OCT17 IFC US		20429.00	8.10DR	
17OCT17	B 1 20OCT17 IFC US	20429.00		2.70DR	
17OCT17	B 1 26OCT17 IFC US	20425.00		2.70DR	
17OCT17	B 1 27OCT17 IFC US	20424.00		2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
17OCT17	B 1 19OCT17 IFC US	3109.75		2.70DR	
17OCT17	S 1 01NOV17 IFC US		3102.44	2.70DR	
	Commission				43.20DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	1 17OCT17	1939.00	2147.42		5,210.50CR
04OCT17	1 17OCT17	1923.50	2147.42		5,598.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	1 17OCT17	6485.00	5976.50		12,712.50DR
04OCT17	1 17OCT17	6485.00	6012.00		11,825.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	1 17OCT17	2324.50	2554.27		5,744.25CR
04OCT17	1 17OCT17	2330.00	2554.27		5,606.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	1 17OCT17	3340.95	2829.00		12,798.75DR
13OCT17	1 17OCT17	3294.00	2841.00		11,325.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,956.45DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				11,351.00CR
	LME Copper Grade A 25 tonnes. This Futures				24,537.50DR
	LME Zinc 25 tonnes. This is an LME Futures				24,123.75DR
	LME Aluminium 25 Tonnes. This is an Futures				10,808.50CR
	COMMISSIONS				43.20DR
	CARRIED FORWARD BALANCE				39,501.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0118A

17th October 2017

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

17th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 19OCT17 IFC US 20429.00	20429.00		2.70DR	
17OCT17	S 1 20OCT17 IFC US 20427.00		20427.00	2.70DR	
17OCT17	B 1 17JAN18 DMA EL 20410.00	20410.00		4.65DR	
17OCT17	S 1 17JAN18 DMA EL 20390.00		20390.00	4.65DR	
	Commission				14.70DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
13OCT17	1 17OCT17 2324.00	2324.00	2518.25		4,856.25CR
	LME Tin 5 tonnes. This is an LME registered client contract				
17JUL17	1 17OCT17 20050.00	20050.00	20065.00		75.00CR
17JUL17	1 17OCT17 19945.00	19945.00	19880.00		325.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	1 17OCT17 3337.93	3337.93	2837.00		12,523.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,029.57DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				250.00DR
	LME Lead 25 tonnes. This is an Futures				4,856.25CR
	LME Zinc 25 tonnes. This is an LME Futures				12,523.25DR
	COMMISSIONS				14.70DR
	CARRIED FORWARD BALANCE				19,961.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
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17th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		25,837.50DR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		10,808.50CR
	LME Copper Grade A 25 tonnes. This Futures		24,537.50DR
	LME Lead 25 tonnes. This is an Futures		16,207.25CR
	LME Tin 5 tonnes. This is an LME Futures		250.00DR
	LME Zinc 25 tonnes. This is an LME Futures		36,647.00DR
	COMMISSIONS		57.90DR
	CARRIED FORWARD BALANCE		60,314.15DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
17OCT17	S 4 17JAN18 TTR EL		2138.00	20.00DR	
17OCT17	S 1 17JAN18 TTR EL		2139.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2140.50	5.00DR	
17OCT17	S 3 17JAN18 TTR EL		2142.00	15.00DR	
17OCT17	S 1 17JAN18 TTR EL		2142.50	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		2143.00	10.00DR	
17OCT17	B 1 17JAN18 TTR EL	2133.00		5.00DR	
17OCT17	B 1 17JAN18 TTR EL	2132.50		5.00DR	
17OCT17	S 1 17JAN18 TTR EL		2150.50	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		2150.00	10.00DR	
17OCT17	S 2 17JAN18 TTR EL		2149.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		2146.50	5.00DR	
17OCT17	S 4 17JAN18 TTR EL		2143.50	20.00DR	
17OCT17	S 1 17JAN18 TTR EL		2144.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		2144.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		2137.00	5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	S 1 17JAN18 TTR EL		7129.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7132.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7133.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7134.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7135.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7136.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7137.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7124.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7126.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7127.00	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7122.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7118.50	5.00DR	
17OCT17	S 3 17JAN18 TTR EL		7117.50	15.00DR	
17OCT17	S 2 17JAN18 TTR EL		7123.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7120.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7114.50	5.00DR	
17OCT17	S 3 17JAN18 TTR EL		7115.50	15.00DR	
17OCT17	S 1 17JAN18 TTR EL		7114.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7107.00	5.00DR	
17OCT17	S 4 17JAN18 TTR EL		7107.50	20.00DR	
17OCT17	S 3 17JAN18 TTR EL		7108.00	15.00DR	
17OCT17	S 2 17JAN18 TTR EL		7108.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7109.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7112.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7111.50	10.00DR	
17OCT17	S 3 17JAN18 TTR EL		7112.50	15.00DR	
17OCT17	S 1 17JAN18 TTR EL		7106.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7113.50	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7100.00	10.00DR	
17OCT17	S 2 17JAN18 TTR EL		7098.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7098.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7085.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7085.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7084.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7076.00	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7083.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7080.00	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7083.00	10.00DR	
17OCT17	S 5 17JAN18 TTR EL		7081.50	25.00DR	
17OCT17	S 1 17JAN18 TTR EL		7079.50	5.00DR	
17OCT17	S 2 17JAN18 TTR EL		7076.50	10.00DR	
17OCT17	S 1 17JAN18 TTR EL		7077.50	5.00DR	
17OCT17	S 1 17JAN18 TTR EL		7069.00	5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	17JAN18	TTR	EL		7069.50	5.00DR	
17OCT17	S	2	17JAN18	TTR	EL		7068.00	10.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7065.50	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL		7076.00	5.00DR	
17OCT17	S	2	17JAN18	TTR	EL		7070.50	10.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7065.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7058.50	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7059.50	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7063.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		7061.50	5.00DR	
17OCT17	S	2	17JAN18	TTR	EL		7060.50	10.00DR	
17OCT17	S	3	17JAN18	TTR	EL		7058.00	15.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7030.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7028.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7031.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7029.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7028.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7029.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7025.50		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7024.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	7022.50		5.00DR	
CMX Gold 100 CMX									
17OCT17	B	1	DEC17	TTR	II	1287.9		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1286.9		1.03DR	
17OCT17	B	2	DEC17	TTR	II	1285.7		2.06DR	
17OCT17	B	1	DEC17	TTR	II	1286.0		1.03DR	
17OCT17	B	5	DEC17	TTR	II	1285.9		5.15DR	
17OCT17	B	1	DEC17	TTR	II	1286.3		1.03DR	
17OCT17	B	3	DEC17	TTR	II	1286.1		3.09DR	
17OCT17	B	1	DEC17	TTR	II	1286.8		1.03DR	
17OCT17	B	3	DEC17	TTR	II	1286.5		3.09DR	
17OCT17	B	1	DEC17	TTR	II	1286.2		1.03DR	
17OCT17	S	1	DEC17	TTR	II		1286.2	1.03DR	
17OCT17	B	8	DEC17	TTR	II	1286.6		8.24DR	
NYM Henry Hub Natural Gas 10,000 NYM									
17OCT17	S	3	NOV17	TTR	II		3.00600	3.09DR	
17OCT17	S	2	NOV17	TTR	II		3.00100	2.06DR	
17OCT17	S	1	NOV17	TTR	II		3.00300	1.03DR	
17OCT17	S	4	NOV17	TTR	II		3.00500	4.12DR	
17OCT17	S	3	NOV17	TTR	II		2.98600	3.09DR	
17OCT17	S	2	NOV17	TTR	II		2.99000	2.06DR	
17OCT17	S	1	NOV17	TTR	II		2.99700	1.03DR	
17OCT17	B	1	NOV17	TTR	II	2.99900		1.03DR	
17OCT17	S	4	NOV17	TTR	II		2.99900	4.12DR	
17OCT17	S	2	NOV17	TTR	II		2.99800	2.06DR	
17OCT17	S	3	NOV17	TTR	II		3.00000	3.09DR	
17OCT17	S	1	NOV17	TTR	II		3.01500	1.03DR	
17OCT17	S	1	NOV17	TTR	II		3.01700	1.03DR	
17OCT17	S	1	NOV17	TTR	II		3.02100	1.03DR	
17OCT17	S	1	NOV17	TTR	II		3.00900	1.03DR	
17OCT17	S	1	NOV17	TTR	II		3.01000	1.03DR	
17OCT17	S	1	NOV17	TTR	II		3.01100	1.03DR	
LME Nickel 6 tonnes. This is an LME registered client contract									
17OCT17	B	1	17JAN18	TTR	EL	11760.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	11725.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	11680.00		5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		11730.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		11640.00	5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	11715.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	11710.00		5.00DR	
CMX Silver 5,000 CMX									
17OCT17	B	17	DEC17	TTR	II	1722.0		17.51DR	
17OCT17	B	4	DEC17	TTR	II	1721.5		4.12DR	
17OCT17	B	1	DEC17	TTR	II	1720.0		1.03DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	B	1	DEC17	TTR	II	1719.5		1.03DR	
17OCT17	B	3	DEC17	TTR	II	1718.5		3.09DR	
17OCT17	B	6	DEC17	TTR	II	1718.0		6.18DR	
17OCT17	B	3	DEC17	TTR	II	1717.5		3.09DR	
17OCT17	B	1	DEC17	TTR	II	1717.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1716.5		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1715.0		1.03DR	
17OCT17	B	2	DEC17	TTR	II	1714.5		2.06DR	
17OCT17	B	1	DEC17	TTR	II	1713.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1712.5		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1710.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1705.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1705.5		1.03DR	
17OCT17	S	3	DEC17	TTR	II		1708.0	3.09DR	
17OCT17	B	1	DEC17	TTR	II	1708.0		1.03DR	
17OCT17	B	4	DEC17	TTR	II	1707.5		4.12DR	
17OCT17	B	1	DEC17	TTR	II	1703.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1703.5		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1701.5		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1699.0		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1699.5		1.03DR	
17OCT17	B	1	DEC17	TTR	II	1702.5		1.03DR	
17OCT17	S	1	DEC17	TTR	II		1704.5	1.03DR	
17OCT17	S	4	DEC17	TTR	II		1704.0	4.12DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
17OCT17	S	1	17JAN18	TTR	EL		20675.00	5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		20655.00	5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	20440.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	19890.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	20005.00		5.00DR	
17OCT17	S	2	17JAN18	TTR	EL		20275.00	10.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
17OCT17	B	1	17JAN18	TTR	EL	3191.00		5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3081.50		5.00DR	
17OCT17	S	1	17JAN18	TTR	EL		3082.00	5.00DR	
17OCT17	B	1	17JAN18	TTR	EL	3073.00		5.00DR	
17OCT17	B	4	17JAN18	TTR	EL	3078.00		20.00DR	
	Commission								842.72DR
	SETTLEMENTS								
	CMX Gold 100 CMX								
17OCT17		1	DEC17			1287.9	1286.2		170.00DR
	NYM Henry Hub Natural Gas 10,000 NYM								
17OCT17		1	NOV17			2.99900	3.00600		70.00CR
17OCT17		1	NOV17			2.91800	3.00100		830.00CR
17OCT17		1	NOV17			2.91600	3.00500		890.00CR
17OCT17		2	NOV17			2.91400	3.00600		1,840.00CR
17OCT17		1	NOV17			2.91300	3.00100		880.00CR
17OCT17		1	NOV17			2.91200	3.00500		930.00CR
17OCT17		1	NOV17			2.91400	3.00500		910.00CR
17OCT17		1	NOV17			2.91500	3.00300		880.00CR
17OCT17		1	NOV17			2.91100	3.00500		940.00CR
17OCT17		2	NOV17			2.91100	2.98600		1,500.00CR
17OCT17		1	NOV17			2.91100	2.98600		750.00CR
17OCT17		1	NOV17			2.91200	2.99000		780.00CR
17OCT17		1	NOV17			2.91800	2.99000		720.00CR
17OCT17		1	NOV17			2.91800	2.99700		790.00CR
17OCT17		1	NOV17			2.92100	2.99900		780.00CR
17OCT17		1	NOV17			2.92900	2.99900		700.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119B

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 NOV17	2.92900	2.99900		700.00CR
17OCT17	1 NOV17	2.92900	2.99900		700.00CR
17OCT17	1 NOV17	2.93200	3.00000		680.00CR
17OCT17	1 NOV17	2.93100	3.00000		690.00CR
17OCT17	1 NOV17	2.92700	2.99800		710.00CR
17OCT17	1 NOV17	2.92800	2.99800		700.00CR
17OCT17	1 NOV17	2.92500	3.00000		750.00CR
17OCT17	1 NOV17	2.92100	3.01500		940.00CR
17OCT17	1 NOV17	2.92100	3.01700		960.00CR
17OCT17	1 NOV17	2.93100	3.02100		900.00CR
17OCT17	1 NOV17	2.93800	3.00900		710.00CR
17OCT17	1 NOV17	2.93800	3.01000		720.00CR
17OCT17	1 NOV17	2.93900	3.01100		720.00CR
	CMX Silver 5,000 CMX				
17OCT17	1 DEC17	1722.0	1708.0		700.00DR
17OCT17	1 DEC17	1722.0	1708.0		700.00DR
17OCT17	1 DEC17	1721.5	1708.0		675.00DR
17OCT17	1 DEC17	1722.0	1704.0		900.00DR
17OCT17	1 DEC17	1721.5	1704.5		850.00DR
17OCT17	1 DEC17	1722.0	1704.0		900.00DR
17OCT17	1 DEC17	1722.0	1704.0		900.00DR
17OCT17	1 DEC17	1722.0	1704.0		900.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				254,712.15CR
	SETTLEMENTS				
	CMX Silver Futures				6,525.00DR
	NYM Henry Hub Natural Gas Futures				24,070.00CR
	CMX Gold Futures				170.00DR
	COMMISSIONS				842.72DR
	CARRIED FORWARD BALANCE				271,244.43CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
17OCT17	B 1 DEC17 CQG II	116.6750		1.02DR	
17OCT17	S 1 FEB18 CQG II		120.9500	1.02DR	
17OCT17	S 1 FEB18 CQG II		120.9000	1.02DR	
17OCT17	S 1 APR18 CQG II		121.6250	1.02DR	
17OCT17	S 1 APR18 CQG II		121.6500	1.02DR	
17OCT17	B 1 APR18 CQG II	121.5750		1.02DR	
17OCT17	B 2 JUN18 CQG II	114.7000		2.04DR	
17OCT17	B 1 JUN18 CQG II	114.7250		1.02DR	
17OCT17	B 1 JUN18 CQG II	114.8000		1.02DR	
17OCT17	S 1 AUG18 CQG II		111.9750	1.02DR	
17OCT17	S 1 AUG18 CQG II		112.0750	1.02DR	
	CME Feeder Cattle 500 CME				
17OCT17	S 1 NOV17 CQG II		154.3500	1.02DR	
17OCT17	S 1 NOV17 CQG II		154.2500	1.02DR	
17OCT17	B 1 NOV17 CQG II	154.5000		1.02DR	
17OCT17	S 1 NOV17 CQG II		153.3000	1.02DR	
17OCT17	B 1 JAN18 CQG II	152.4250		1.02DR	
17OCT17	B 1 JAN18 CQG II	152.4500		1.02DR	
17OCT17	B 2 JAN18 CQG II	152.3000		2.04DR	
17OCT17	S 2 JAN18 CQG II		152.5250	2.04DR	
17OCT17	B 2 JAN18 CQG II	151.3000		2.04DR	
17OCT17	S 2 MAR18 CQG II		149.9500	2.04DR	
17OCT17	B 1 MAR18 CQG II	149.8500		1.02DR	
17OCT17	S 1 MAR18 CQG II		148.8000	1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 DEC17 CQG II	63.6750		1.02DR	
17OCT17	S 1 DEC17 CQG II		63.5500	1.02DR	
17OCT17	B 1 DEC17 CQG II	62.7500		1.02DR	
17OCT17	S 1 DEC17 CQG II		62.2250	1.02DR	
17OCT17	S 1 DEC17 CQG II		62.1750	1.02DR	
17OCT17	S 1 FEB18 CQG II		68.3750	1.02DR	
17OCT17	S 1 FEB18 CQG II		68.4000	1.02DR	
17OCT17	B 2 FEB18 CQG II	68.2250		2.04DR	
17OCT17	S 1 FEB18 CQG II		67.8500	1.02DR	
17OCT17	S 1 FEB18 CQG II		67.7750	1.02DR	
17OCT17	B 2 FEB18 CQG II	67.1750		2.04DR	
17OCT17	B 2 FEB18 CQG II	67.1250		2.04DR	
17OCT17	B 1 APR18 CQG II	72.1000		1.02DR	
17OCT17	S 1 APR18 CQG II		72.1500	1.02DR	
17OCT17	B 1 APR18 CQG II	71.8750		1.02DR	
17OCT17	S 1 APR18 CQG II		71.3750	1.02DR	
17OCT17	S 1 APR18 CQG II		71.3500	1.02DR	
17OCT17	B 1 JUN18 CQG II	80.1250		1.02DR	
17OCT17	B 1 JUN18 CQG II	80.1500		1.02DR	
17OCT17	S 1 JUL18 CQG II		80.5000	1.02DR	
17OCT17	S 1 JUL18 CQG II		80.4750	1.02DR	
	IEU Brent Crude 1,000 IPE				
17OCT17	S 1 FEB18 CQG EL		56.79	1.07DR	
17OCT17	B 1 APR18 CQG EL	56.45		1.07DR	
17OCT17	B 1 APR18 CQG EL	56.42		1.07DR	
17OCT17	S 1 JUN18 CQG EL		56.21	1.07DR	
	Commission				57.32DR
	SETTLEMENTS				
	CME Cattle 400 CME				
17OCT17	1 APR18	121.5750	121.6250		20.00CR
17OCT17	1 APR18	121.5000	121.6500		60.00CR
17OCT17	1 JUN18	114.7000	115.1750		190.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 JUN18	114.7000	115.2000		200.00CR
17OCT17	1 JUN18	114.7250	115.3250		240.00CR
17OCT17	1 JUN18	114.8000	115.3000		200.00CR
17OCT17	1 AUG18	112.3250	111.9750		140.00DR
17OCT17	1 AUG18	112.4250	112.0750		140.00DR
	CME Feeder Cattle 500 CME				
17OCT17	1 NOV17	154.5000	154.3500		75.00DR
17OCT17	1 NOV17	156.1250	154.2500		937.50DR
17OCT17	1 NOV17	153.8250	153.3000		262.50DR
17OCT17	1 JAN18	152.4250	152.5250		50.00CR
17OCT17	1 JAN18	152.4500	152.5250		37.50CR
17OCT17	1 JAN18	152.3000	154.5750		1,137.50CR
17OCT17	1 JAN18	152.3000	154.6000		1,150.00CR
17OCT17	2 JAN18	151.3000	151.6750		375.00CR
17OCT17	1 MAR18	149.8500	149.9500		50.00CR
17OCT17	1 MAR18	152.6000	149.9500		1,325.00DR
17OCT17	1 MAR18	149.0250	148.8000		112.50DR
	CME Lean Hog 400 CME				
17OCT17	1 DEC17	63.6750	63.5500		50.00DR
17OCT17	1 DEC17	62.7500	62.2250		210.00DR
17OCT17	1 DEC17	62.2000	62.1750		10.00DR
17OCT17	1 FEB18	68.2250	68.3750		60.00CR
17OCT17	1 FEB18	68.2250	68.4000		70.00CR
17OCT17	1 FEB18	67.1750	67.8500		270.00CR
17OCT17	1 FEB18	67.1750	67.7750		240.00CR
17OCT17	2 FEB18	67.1250	67.7000		460.00CR
17OCT17	1 APR18	72.1000	72.1500		20.00CR
17OCT17	1 APR18	71.8750	71.3750		200.00DR
17OCT17	1 APR18	72.0000	71.3500		260.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				23,356.02CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				87.50CR
	CME Cattle Futures				630.00CR
	CME Lean Hog Futures				390.00CR
	COMMISSIONS				57.32DR
	CARRIED FORWARD BALANCE				24,406.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	B 1 JUN18 CQG II 114.5250			1.02DR	
17OCT17	B 1 JUN18 CQG II 114.5000			1.02DR	
17OCT17	S 1 AUG18 CQG II 112.0750			1.02DR	
17OCT17	S 1 AUG18 CQG II 112.0000			1.02DR	
17OCT17	S 2 AUG18 CQG II 111.7500			2.04DR	
17OCT17	B 1 OCT18 CQG II 112.5000			1.02DR	
17OCT17	B 1 OCT18 CQG II 112.4000			1.02DR	
	CME Feeder Cattle 500 CME				
17OCT17	B 1 MAR18 CQG II 149.0750			1.02DR	
17OCT17	B 2 MAR18 CQG II 148.0500			2.04DR	
17OCT17	S 1 MAR18 CQG II 147.9750			1.02DR	
17OCT17	S 1 APR18 CQG II 149.4000			1.02DR	
17OCT17	S 1 APR18 CQG II 147.7000			1.02DR	
17OCT17	S 1 APR18 CQG II 147.6750			1.02DR	
17OCT17	B 1 APR18 CQG II 147.6000			1.02DR	
17OCT17	B 1 MAY18 CQG II 148.2000			1.02DR	
17OCT17	S 1 MAY18 CQG II 147.5750			1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 JUN18 CQG II 80.4250			1.02DR	
17OCT17	B 1 JUN18 CQG II 80.1500			1.02DR	
17OCT17	B 1 JUN18 CQG II 80.0750			1.02DR	
17OCT17	B 1 JUN18 CQG II 80.1000			1.02DR	
17OCT17	S 1 JUL18 CQG II 80.6750			1.02DR	
17OCT17	S 2 JUL18 CQG II 80.5000			2.04DR	
17OCT17	S 1 AUG18 CQG II 79.9250			1.02DR	
	Commission				26.52DR
	<u>SETTLEMENTS</u>				
	CME Feeder Cattle 500 CME				
17OCT17	1 MAR18 149.0750 147.9750			550.00DR	
17OCT17	1 MAR18 148.0500 150.8000			1,375.00CR	
17OCT17	1 MAR18 148.0500 150.9500			1,450.00CR	
17OCT17	1 APR18 147.6000 149.4000			900.00CR	
17OCT17	1 MAY18 148.2000 147.5750			312.50DR	
	CME Lean Hog 400 CME				
17OCT17	1 JUN18 80.4250 80.3500			30.00DR	
17OCT17	1 JUN18 80.1500 80.2250			30.00CR	
17OCT17	1 JUN18 80.0750 80.2750			80.00CR	
17OCT17	1 JUL18 80.4500 80.6750			90.00CR	
17OCT17	1 JUL18 80.3500 80.5000			60.00CR	
17OCT17	1 JUL18 80.3750 80.5000			50.00CR	
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,559.48DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				2,862.50CR
	CME Lean Hog Futures				280.00CR
	COMMISSIONS				26.52DR
	CARRIED FORWARD BALANCE				443.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119E

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	S 1 DEC17 CQG II		117.2000	1.02DR	
17OCT17	B 1 FEB18 CQG II	121.1250		1.02DR	
	CME Feeder Cattle 500 CME				
17OCT17	S 1 NOV17 CQG II		154.2250	1.02DR	
17OCT17	B 2 JAN18 CQG II	152.2750		2.04DR	
17OCT17	S 1 MAR18 CQG II		149.9500	1.02DR	
	Commission				6.12DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 DEC17	116.8250	117.2000		150.00CR
17OCT17	1 FEB18	121.1250	121.0250		40.00DR
	CME Feeder Cattle 500 CME				
17OCT17	1 NOV17	154.8000	154.2250		287.50DR
17OCT17	1 JAN18	152.2750	152.8500		287.50CR
17OCT17	1 JAN18	152.2750	152.8500		287.50CR
17OCT17	1 MAR18	152.5000	149.9500		1,275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,433.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				987.50DR
	CME Cattle Futures				110.00CR
	COMMISSIONS				6.12DR
	CARRIED FORWARD BALANCE				4,317.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119I

17th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 7028.50			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 7030.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 7035.00			5.00DR	
	CMX Copper 25,000 CMX				
17OCT17	S 2 DEC17 DMA II 319.450			2.06DR	
17OCT17	S 2 DEC17 DMA II 319.250			2.06DR	
17OCT17	S 2 DEC17 II 319.050			2.06DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
17OCT17	B 1 17JAN18 DMA EL 20280.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 20350.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 20300.00			5.00DR	
17OCT17	S 1 17JAN18 DMA EL 20305.00			5.00DR	
17OCT17	S 1 17JAN18 DMA EL 20330.00			5.00DR	
17OCT17	S 1 17JAN18 DMA EL 20335.00			5.00DR	
17OCT17	B 1 17JAN18 DMA EL 20325.00			5.00DR	
17OCT17	S 1 17JAN18 DMA EL 20340.00			5.00DR	
	Commission				61.18DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
17OCT17	1 DEC17 316.300	319.450			787.50CR
17OCT17	1 DEC17 318.250	319.450			300.00CR
17OCT17	1 DEC17 318.250	319.250			250.00CR
17OCT17	1 DEC17 318.300	319.250			237.50CR
17OCT17	1 DEC17 318.300	319.050			187.50CR
17OCT17	1 DEC17 318.400	319.050			162.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				22,792.38CR
	SETTLEMENTS				
	CMX Copper Futures				1,925.00CR
	COMMISSIONS				61.18DR
	CARRIED FORWARD BALANCE				24,656.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119J 17th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>							
	LME Tin 5 tonnes. This is an LME registered client contract							
17OCT17	B	1	17JAN18	DMA	EL	20575.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20465.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20555.00	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL		5.00DR	
17OCT17	S	1	17JAN18	DMA	EL	20500.00	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL	20535.00	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL	20560.00	5.00DR	
17OCT17	S	2	17JAN18	DMA	EL	20545.00	10.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20515.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20495.00	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL		5.00DR	
17OCT17	S	1	17JAN18	DMA	EL	20475.00	5.00DR	
17OCT17	S	1	17JAN18	DMA	EL	20445.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20355.00	5.00DR	
17OCT17	B	1	17JAN18	DMA	EL	20405.00	5.00DR	
	Commission							70.00DR
	<u>TOTALS</u>							
	BROUGHT FORWARD BALANCE							15,472.56CR
	COMMISSIONS							70.00DR
	CARRIED FORWARD BALANCE							15,402.56CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	B 1 APR18 CQG II 122.0750			1.02DR	
17OCT17	S 1 APR18 CQG II 122.1500		122.1500	1.02DR	
17OCT17	S 1 JUN18 CQG II 114.9000		114.9000	1.02DR	
17OCT17	B 1 JUN18 CQG II 114.9750			1.02DR	
	CME Feeder Cattle 500 CME				
17OCT17	S 1 NOV17 CQG II 154.7000		154.7000	1.02DR	
17OCT17	B 1 NOV17 CQG II 154.3250			1.02DR	
17OCT17	S 1 NOV17 CQG II 154.0750		154.0750	1.02DR	
17OCT17	S 1 NOV17 CQG II 153.6250		153.6250	1.02DR	
17OCT17	B 1 NOV17 CQG II 153.9000			1.02DR	
17OCT17	B 1 NOV17 CQG II 154.0000			1.02DR	
17OCT17	S 1 NOV17 CQG II 153.8500		153.8500	1.02DR	
17OCT17	B 1 NOV17 CQG II 153.6000			1.02DR	
17OCT17	B 1 NOV17 CQG II 152.4750			1.02DR	
17OCT17	B 1 JAN18 CQG II 152.7750			1.02DR	
17OCT17	S 1 JAN18 CQG II 152.4000		152.4000	1.02DR	
17OCT17	B 1 JAN18 CQG II 152.0000			1.02DR	
17OCT17	B 1 JAN18 CQG II 151.8500			1.02DR	
17OCT17	B 1 JAN18 CQG II 151.6000			1.02DR	
17OCT17	S 2 JAN18 CQG II 151.9250		151.9250	2.04DR	
17OCT17	S 1 JAN18 CQG II 152.0500		152.0500	1.02DR	
17OCT17	B 1 JAN18 CQG II 151.8000			1.02DR	
17OCT17	S 1 JAN18 CQG II 151.5750		151.5750	1.02DR	
17OCT17	S 2 JAN18 CQG II 150.5250		150.5250	2.04DR	
17OCT17	S 1 MAR18 CQG II 149.3500		149.3500	1.02DR	
17OCT17	B 1 MAR18 CQG II 149.3250			1.02DR	
17OCT17	B 1 MAR18 CQG II 147.9500			1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 DEC17 CQG II 63.0750			1.02DR	
17OCT17	S 1 DEC17 CQG II 62.1000		62.1000	1.02DR	
17OCT17	S 1 FEB18 CQG II 68.6000		68.6000	1.02DR	
17OCT17	B 1 FEB18 CQG II 68.4250			1.02DR	
17OCT17	S 1 FEB18 CQG II 67.9750		67.9750	1.02DR	
17OCT17	S 1 FEB18 CQG II 67.9500		67.9500	1.02DR	
17OCT17	S 1 FEB18 CQG II 67.9000		67.9000	1.02DR	
17OCT17	B 1 FEB18 CQG II 67.9000			1.02DR	
17OCT17	B 2 FEB18 CQG II 67.1000			2.04DR	
17OCT17	B 1 APR18 CQG II 72.1500			1.02DR	
17OCT17	S 1 APR18 CQG II 72.0000		72.0000	1.02DR	
17OCT17	B 1 APR18 CQG II 71.9500			1.02DR	
17OCT17	B 1 APR18 CQG II 71.9000			1.02DR	
17OCT17	S 1 APR18 CQG II 71.9000		71.9000	1.02DR	
17OCT17	S 1 APR18 CQG II 71.3500		71.3500	1.02DR	
	Commission				44.88DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 APR18	122.0750	122.1500		30.00CR
17OCT17	1 JUN18	114.9750	114.9000		30.00DR
	CME Feeder Cattle 500 CME				
17OCT17	1 NOV17	154.3250	154.7000		187.50CR
17OCT17	1 NOV17	153.9000	154.0750		87.50CR
17OCT17	1 NOV17	154.0000	153.6250		187.50DR
17OCT17	1 NOV17	153.6000	153.8500		125.00CR
17OCT17	1 JAN18	152.7750	152.4000		187.50DR
17OCT17	1 JAN18	152.0000	151.9250		37.50DR
17OCT17	1 JAN18	151.8500	151.9250		37.50CR
17OCT17	1 JAN18	151.6000	152.0500		225.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119K

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 JAN18	151.8000	151.5750		112.50DR
17OCT17	1 MAR18	149.3250	149.3500		12.50CR
	CME Lean Hog 400 CME				
17OCT17	1 DEC17	63.0750	62.1000		390.00DR
17OCT17	1 FEB18	68.4250	68.6000		70.00CR
17OCT17	1 FEB18	67.9000	67.9750		30.00CR
17OCT17	1 FEB18	67.1000	67.9500		340.00CR
17OCT17	1 FEB18	67.1000	67.9000		320.00CR
17OCT17	1 APR18	72.1500	72.0000		60.00DR
17OCT17	1 APR18	71.9500	71.9000		20.00DR
17OCT17	1 APR18	71.9000	71.3500		220.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,197.12DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				150.00CR
	CME Cattle Futures				
	CME Lean Hog Futures				70.00CR
	COMMISSIONS				44.88DR
	CARRIED FORWARD BALANCE				1,022.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	S 1 JUN18 CQG II		52.78	1.03DR	
17OCT17	S 4 JUN18 CQG II		52.69	4.12DR	
17OCT17	S 4 JUN18 CQG II		52.71	4.12DR	
17OCT17	S 1 JUN18 CQG II		52.65	1.03DR	
17OCT17	S 2 JUN18 CQG II		52.60	2.06DR	
17OCT17	S 1 JUN18 CQG II		52.40	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.27	1.03DR	
17OCT17	S 1 JUN18 CQG II		51.93	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.11	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.33	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.46	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.49	1.03DR	
17OCT17	B 2 DEC18 CQG II	52.12		2.06DR	
17OCT17	B 18 DEC18 CQG II	51.99		18.54DR	
17OCT17	B 1 DEC18 CQG II	51.97		1.03DR	
17OCT17	B 4 DEC18 CQG II	51.94		4.12DR	
17OCT17	B 2 DEC18 CQG II	51.93		2.06DR	
17OCT17	B 2 DEC18 CQG II	51.76		2.06DR	
17OCT17	B 1 DEC18 CQG II	51.68		1.03DR	
17OCT17	B 1 DEC18 CQG II	51.69		1.03DR	
17OCT17	B 2 DEC18 CQG II	51.36		2.06DR	
17OCT17	B 1 DEC18 CQG II	51.52		1.03DR	
17OCT17	B 2 DEC18 CQG II	51.50		2.06DR	
17OCT17	B 2 DEC18 CQG II	51.64		2.06DR	
17OCT17	B 1 DEC18 CQG II	51.65		1.03DR	
17OCT17	B 3 DEC18 CQG II	51.71		3.09DR	
17OCT17	B 2 DEC18 CQG II	51.73		2.06DR	
17OCT17	S 5 JUN19 CQG II		51.22	5.15DR	
17OCT17	S 5 JUN19 CQG II		51.21	5.15DR	
17OCT17	S 1 JUN19 CQG II		51.20	1.03DR	
17OCT17	S 2 JUN19 CQG II		51.17	2.06DR	
17OCT17	S 1 JUN19 CQG II		50.87	1.03DR	
17OCT17	S 2 JUN19 CQG II		50.84	2.06DR	
17OCT17	S 2 JUN19 CQG II		50.91	2.06DR	
17OCT17	S 1 JUN19 CQG II		50.94	1.03DR	
17OCT17	S 2 JUN19 CQG II		50.95	2.06DR	
17OCT17	S 1 JUN19 CQG II		50.93	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.82	1.03DR	
17OCT17	B 1 DEC19 CQG II	50.63		1.03DR	
17OCT17	S 2 DEC19 CQG II		50.69	2.06DR	
17OCT17	S 1 DEC19 CQG II		50.57	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.55	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.27	1.03DR	
17OCT17	B 1 DEC19 CQG II	50.43		1.03DR	
17OCT17	B 2 DEC19 CQG II	50.41		2.06DR	
17OCT17	S 1 DEC19 CQG II		50.45	1.03DR	
	CMX Copper 25,000 CMX				
17OCT17	S 35 DEC17 CQG II		321.200	36.05DR	
17OCT17	B 35 MAR18 CQG II	323.050		36.05DR	
	IEU Brent Crude 1,000 IPE				
17OCT17	B 3 JUN18 CQG EL	56.76		3.06DR	
17OCT17	B 1 JUN18 CQG EL	56.98		1.02DR	
17OCT17	B 2 JUN18 CQG EL	56.93		2.04DR	
17OCT17	B 1 JUN18 CQG EL	56.68		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.59		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.15		1.07DR	
17OCT17	B 1 JUN18 CQG EL	56.71		1.07DR	
17OCT17	S 5 DEC18 CQG EL		55.85	5.10DR	
17OCT17	S 1 DEC18 CQG EL		56.05	1.02DR	
17OCT17	S 1 DEC18 CQG EL		56.06	1.02DR	
17OCT17	S 1 DEC18 CQG EL		56.02	1.02DR	
17OCT17	S 3 DEC18 CQG EL		55.99	3.06DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	1	DEC18	CQG	EL		55.78	1.02DR	
17OCT17	S	1	DEC18	CQG	EL		55.79	1.02DR	
17OCT17	S	2	DEC18	CQG	EL		55.35	2.14DR	
17OCT17	S	2	DEC18	CQG	EL		55.74	2.09DR	
17OCT17	S	2	DEC18	CQG	EL		55.75	2.09DR	
17OCT17	B	2	DEC19	CQG	EL	54.82		2.04DR	
17OCT17	B	1	DEC19	CQG	EL	54.95		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.93		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.91		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.76		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.75		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.42		1.07DR	
17OCT17	B	1	DEC19	CQG	EL	54.64		1.07DR	
	Commission								210.14DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
17OCT17		1	JUN18			51.72	52.78		1,060.00CR
17OCT17		1	JUN18			51.22	52.69		1,470.00CR
17OCT17		1	JUN18			51.13	52.69		1,560.00CR
17OCT17		1	JUN18			50.82	52.69		1,870.00CR
17OCT17		1	JUN18			50.82	52.69		1,870.00CR
17OCT17		1	JUN18			50.82	52.71		1,890.00CR
17OCT17		1	JUN18			50.44	52.71		2,270.00CR
17OCT17		1	JUN18			50.43	52.71		2,280.00CR
17OCT17		1	JUN18			50.43	52.71		2,280.00CR
17OCT17		1	JUN18			50.46	52.65		2,190.00CR
17OCT17		1	JUN18			50.42	52.60		2,180.00CR
17OCT17		1	JUN18			50.42	52.60		2,180.00CR
17OCT17		1	JUN18			50.42	52.40		1,980.00CR
17OCT17		1	JUN18			50.39	52.27		1,880.00CR
17OCT17		1	JUN18			50.39	51.93		1,540.00CR
17OCT17		1	JUN18			50.39	52.11		1,720.00CR
17OCT17		1	JUN18			50.39	52.33		1,940.00CR
17OCT17		1	JUN18			50.38	52.46		2,080.00CR
17OCT17		1	JUN18			50.55	52.49		1,940.00CR
17OCT17		2	DEC18			52.12	51.40		1,440.00DR
17OCT17		1	DEC18			51.99	51.40		590.00DR
17OCT17		1	DEC18			51.99	51.29		700.00DR
17OCT17		2	DEC18			51.99	51.29		1,400.00DR
17OCT17		1	DEC18			51.99	51.29		700.00DR
17OCT17		1	DEC18			51.99	51.29		700.00DR
17OCT17		2	DEC18			51.99	51.29		1,400.00DR
17OCT17		1	DEC18			51.99	50.87		1,120.00DR
17OCT17		1	DEC18			51.99	50.87		1,120.00DR
17OCT17		1	DEC18			51.99	50.87		1,120.00DR
17OCT17		2	DEC18			51.99	50.78		2,420.00DR
17OCT17		1	DEC18			51.99	50.79		1,200.00DR
17OCT17		2	DEC18			51.99	50.54		2,900.00DR
17OCT17		1	DEC18			51.99	50.50		1,490.00DR
17OCT17		1	DEC18			51.99	50.50		1,490.00DR
17OCT17		1	DEC18			51.97	50.50		1,470.00DR
17OCT17		1	DEC18			51.94	50.50		1,440.00DR
17OCT17		1	DEC18			51.94	50.50		1,440.00DR
17OCT17		1	DEC18			51.94	50.19		1,750.00DR
17OCT17		1	DEC18			51.94	50.19		1,750.00DR
17OCT17		1	DEC18			51.93	50.18		1,750.00DR
17OCT17		1	DEC18			51.76	50.18		1,580.00DR
17OCT17		1	DEC18			51.76	50.18		1,580.00DR
17OCT17		1	DEC18			51.68	50.23		1,450.00DR
17OCT17		1	DEC18			51.69	50.25		1,440.00DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	2 DEC18	51.36	50.21		2,300.00DR
17OCT17	1 DEC18	51.50	50.21		1,290.00DR
17OCT17	1 DEC18	51.52	50.21		1,310.00DR
17OCT17	1 DEC18	51.50	50.21		1,290.00DR
17OCT17	2 DEC18	51.64	50.20		2,880.00DR
17OCT17	1 DEC18	51.65	50.20		1,450.00DR
17OCT17	2 DEC18	51.71	50.20		3,020.00DR
17OCT17	1 DEC18	51.71	50.20		1,510.00DR
17OCT17	1 DEC18	51.73	50.20		1,530.00DR
17OCT17	1 DEC18	51.73	50.20		1,530.00DR
17OCT17	1 JUN19	50.94	51.22		280.00CR
17OCT17	1 JUN19	50.81	51.22		410.00CR
17OCT17	1 JUN19	50.81	51.22		410.00CR
17OCT17	1 JUN19	50.81	51.22		410.00CR
17OCT17	1 JUN19	50.81	51.21		400.00CR
17OCT17	2 JUN19	50.45	51.21		1,520.00CR
17OCT17	1 JUN19	50.45	51.21		760.00CR
17OCT17	1 JUN19	50.37	51.21		840.00CR
17OCT17	1 JUN19	50.37	51.20		830.00CR
17OCT17	1 JUN19	50.34	51.17		830.00CR
17OCT17	1 JUN19	50.16	51.17		1,010.00CR
17OCT17	1 JUN19	50.16	50.84		680.00CR
17OCT17	1 JUN19	50.16	50.87		710.00CR
17OCT17	1 JUN19	49.89	50.84		950.00CR
17OCT17	2 JUN19	49.89	50.91		2,040.00CR
17OCT17	1 JUN19	49.89	50.94		1,050.00CR
17OCT17	1 JUN19	49.89	50.95		1,060.00CR
17OCT17	1 JUN19	50.08	50.95		870.00CR
17OCT17	1 JUN19	50.17	50.93		760.00CR
17OCT17	1 DEC19	50.63	50.82		190.00CR
17OCT17	1 DEC19	50.41	50.69		280.00CR
17OCT17	1 DEC19	50.43	50.69		260.00CR
17OCT17	1 DEC19	50.41	50.57		160.00CR
17OCT17	1 DEC19	49.70	50.55		850.00CR
17OCT17	1 DEC19	49.68	50.27		590.00CR
17OCT17	1 DEC19	49.68	50.45		770.00CR
CMX Copper 25,000 CMX					
17OCT17	10 MAR18	323.050	302.650		51,000.00DR
17OCT17	10 MAR18	323.050	302.650		51,000.00DR
17OCT17	15 MAR18	323.050	302.650		76,500.00DR
IEU Brent Crude 1,000 IPE					
17OCT17	2 JUN18	56.76	55.82		1,880.00DR
17OCT17	1 JUN18	56.76	55.23		1,530.00DR
17OCT17	1 JUN18	56.98	55.23		1,750.00DR
17OCT17	1 JUN18	56.93	55.24		1,690.00DR
17OCT17	1 JUN18	56.93	55.20		1,730.00DR
17OCT17	1 JUN18	56.68	55.20		1,480.00DR
17OCT17	1 JUN18	56.59	55.01		1,580.00DR
17OCT17	1 JUN18	56.15	55.19		960.00DR
17OCT17	1 JUN18	56.71	54.58		2,130.00DR
17OCT17	1 DEC18	54.66	55.85		1,190.00CR
17OCT17	1 DEC18	54.63	55.85		1,220.00CR
17OCT17	1 DEC18	54.63	55.85		1,220.00CR
17OCT17	1 DEC18	54.63	55.85		1,220.00CR
17OCT17	1 DEC18	54.62	55.85		1,230.00CR
17OCT17	1 DEC18	54.49	56.05		1,560.00CR
17OCT17	1 DEC18	54.63	56.06		1,430.00CR
17OCT17	1 DEC18	54.63	56.02		1,390.00CR
17OCT17	1 DEC18	54.07	55.99		1,920.00CR
17OCT17	1 DEC18	54.07	55.99		1,920.00CR
17OCT17	1 DEC18	54.07	55.99		1,920.00CR
17OCT17	1 DEC18	54.06	55.78		1,720.00CR
17OCT17	1 DEC18	54.06	55.79		1,730.00CR
17OCT17	1 DEC18	54.07	55.75		1,680.00CR
17OCT17	1 DEC18	54.07	55.74		1,670.00CR

Group No: SAPG0119

Page 3 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

17th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 DEC18	54.24	55.35		1,110.00CR
17OCT17	1 DEC18	54.24	55.35		1,110.00CR
17OCT17	1 DEC18	54.24	55.74		1,500.00CR
17OCT17	1 DEC18	54.26	55.75		1,490.00CR
17OCT17	1 DEC19	54.82	53.92		900.00DR
17OCT17	1 DEC19	54.82	53.68		1,140.00DR
17OCT17	1 DEC19	54.95	53.68		1,270.00DR
17OCT17	1 DEC19	54.93	53.78		1,150.00DR
17OCT17	1 DEC19	54.91	53.79		1,120.00DR
17OCT17	1 DEC19	54.76	53.83		930.00DR
17OCT17	1 DEC19	54.75	53.79		960.00DR
17OCT17	1 DEC19	54.42	53.76		660.00DR
17OCT17	1 DEC19	54.64	53.85		790.00DR
TOTALS					
BROUGHT FORWARD BALANCE					8,443.96DR
SETTLEMENTS					
CMX Copper Futures					178,500.00DR
IEU Brent Crude Futures					4,580.00CR
NYM Light Sweet Crude Oil Futures					210.00CR
COMMISSIONS					210.14DR
CARRIED FORWARD BALANCE					182,364.10DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	B 1 AUG18 CQG II 112.0500			1.02DR	
17OCT17	B 1 AUG18 CQG II 112.1250			1.02DR	
17OCT17	S 1 OCT18 CQG II 112.4750		112.4750	1.02DR	
17OCT17	S 3 OCT18 CQG II 112.5000		112.5000	3.06DR	
17OCT17	B 1 DEC18 CQG II 113.4750			1.02DR	
17OCT17	B 1 DEC18 CQG II 113.4000			1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 FEB18 CQG II 68.2000			1.02DR	
17OCT17	B 1 FEB18 CQG II 67.3250			1.02DR	
17OCT17	S 1 APR18 CQG II 72.0250		72.0250	1.02DR	
17OCT17	S 1 APR18 CQG II 71.5000		71.5000	1.02DR	
17OCT17	B 2 JUN18 CQG II 80.3500			2.04DR	
17OCT17	S 1 AUG18 CQG II 79.9000		79.9000	1.02DR	
17OCT17	S 1 AUG18 CQG II 79.9250		79.9250	1.02DR	
	Commission				16.32DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 AUG18 112.0500 112.2500	112.0500	112.2500		80.00CR
17OCT17	1 AUG18 112.1250 112.2500	112.1250	112.2500		50.00CR
17OCT17	1 OCT18 112.2250 112.4750	112.2250	112.4750		100.00CR
17OCT17	1 OCT18 113.0000 112.5000	113.0000	112.5000		200.00DR
17OCT17	2 OCT18 113.6250 112.5000	113.6250	112.5000		900.00DR
17OCT17	1 DEC18 113.4750 114.4500	113.4750	114.4500		390.00CR
17OCT17	1 DEC18 113.4000 114.4500	113.4000	114.4500		420.00CR
	CME Lean Hog 400 CME				
17OCT17	1 FEB18 68.2000 66.7500	68.2000	66.7500		580.00DR
17OCT17	1 FEB18 67.3250 67.0750	67.3250	67.0750		100.00DR
17OCT17	1 APR18 71.0250 72.0250	71.0250	72.0250		400.00CR
17OCT17	1 APR18 71.7250 71.5000	71.7250	71.5000		90.00DR
17OCT17	1 JUN18 80.3500 80.3250	80.3500	80.3250		10.00DR
17OCT17	1 JUN18 80.3500 80.3000	80.3500	80.3000		20.00DR
17OCT17	1 AUG18 79.9250 79.9000	79.9250	79.9000		10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,283.94DR
	SETTLEMENTS				
	CME Cattle Futures				60.00DR
	CME Lean Hog Futures				410.00DR
	COMMISSIONS				16.32DR
	CARRIED FORWARD BALANCE				4,770.26DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
17OCT17	S 1 DEC17 CQG II		117.2000	1.02DR	
17OCT17	B 1 DEC17 CQG II	116.7500		1.02DR	
17OCT17	B 1 DEC17 CQG II	117.0000		1.02DR	
17OCT17	S 1 DEC17 CQG II		116.1000	1.02DR	
17OCT17	S 1 DEC17 CQG II		116.1250	1.02DR	
17OCT17	B 1 FEB18 CQG II	121.1000		1.02DR	
17OCT17	S 1 FEB18 CQG II		120.9500	1.02DR	
17OCT17	S 1 FEB18 CQG II		121.0000	1.02DR	
17OCT17	S 1 FEB18 CQG II		121.4500	1.02DR	
17OCT17	S 1 FEB18 CQG II		121.3250	1.02DR	
17OCT17	B 1 FEB18 CQG II	120.4500		1.02DR	
17OCT17	B 1 FEB18 CQG II	120.4750		1.02DR	
17OCT17	B 2 FEB18 CQG II	120.2750		2.04DR	
17OCT17	B 1 APR18 CQG II	121.6500		1.02DR	
17OCT17	B 1 APR18 CQG II	122.0250		1.02DR	
17OCT17	S 1 APR18 CQG II		121.2500	1.02DR	
17OCT17	S 1 APR18 CQG II		120.9750	1.02DR	
17OCT17	B 1 AUG18 CQG II	112.0000		1.02DR	
17OCT17	S 1 OCT18 CQG II		112.4000	1.02DR	
17OCT17	S 2 OCT18 CQG II		112.5000	2.04DR	
17OCT17	B 2 DEC18 CQG II	113.4750		2.04DR	
	ICE Cotton No.2 500 FIN				
17OCT17	S 1 DEC17 CQG EL		67.76000	2.34DR	
17OCT17	B 1 MAR18 CQG EL	67.49000		2.34DR	
	CME Feeder Cattle 500 CME				
17OCT17	S 1 NOV17 CQG II		154.1000	1.02DR	
17OCT17	B 1 NOV17 CQG II	153.8250		1.02DR	
17OCT17	B 1 JAN18 CQG II	152.0000		1.02DR	
17OCT17	S 1 JAN18 CQG II		151.8250	1.02DR	
17OCT17	B 1 MAR18 CQG II	147.9250		1.02DR	
17OCT17	S 1 APR18 CQG II		147.5250	1.02DR	
	CME Lean Hog 400 CME				
17OCT17	S 1 DEC17 CQG II		62.2250	1.02DR	
17OCT17	B 2 FEB18 CQG II	67.1750		2.04DR	
17OCT17	S 1 APR18 CQG II		72.2500	1.02DR	
17OCT17	B 1 APR18 CQG II	71.8750		1.02DR	
17OCT17	S 1 APR18 CQG II		71.3750	1.02DR	
17OCT17	B 1 MAY18 CQG II	77.1500		1.02DR	
17OCT17	S 1 JUN18 CQG II		80.3750	1.02DR	
17OCT17	B 1 JUN18 CQG II	80.1750		1.02DR	
17OCT17	B 1 JUN18 CQG II	80.0250		1.02DR	
17OCT17	B 1 JUL18 CQG II	80.5250		1.02DR	
17OCT17	S 1 JUL18 CQG II		80.5250	1.02DR	
17OCT17	S 1 JUL18 CQG II		80.4250	1.02DR	
17OCT17	S 1 AUG18 CQG II		79.9250	1.02DR	
	CME Random Length Lumber 110 CME				
17OCT17	B 1 NOV17 CQG II	431.40		1.02DR	
17OCT17	S 1 JAN18 CQG II		418.80	1.02DR	
	Commission				51.60DR
	SETTLEMENTS				
	CME Cattle 400 CME				
17OCT17	1 DEC17	116.7500	117.2000		180.00CR
17OCT17	1 DEC17	117.0000	116.1000		360.00DR
17OCT17	1 DEC17	116.7250	116.1250		240.00DR
17OCT17	1 FEB18	121.1000	120.9500		60.00DR
17OCT17	1 FEB18	120.4500	121.0000		220.00CR
17OCT17	1 FEB18	120.4750	121.4500		390.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119N

17th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 FEB18	120.2750	121.3250		420.00CR
17OCT17	1 FEB18	120.2750	121.0750		320.00CR
17OCT17	1 APR18	121.6500	121.2500		160.00DR
17OCT17	1 APR18	122.0250	120.9750		420.00DR
17OCT17	1 AUG18	112.0000	112.6500		260.00CR
17OCT17	1 OCT18	112.9750	112.4000		230.00DR
17OCT17	1 OCT18	113.2500	112.5000		300.00DR
17OCT17	1 OCT18	113.0000	112.5000		200.00DR
17OCT17	1 DEC18	113.4750	112.5250		380.00DR
17OCT17	1 DEC18	113.4750	112.3750		440.00DR
	ICE Cotton No.2 500 FIN				
17OCT17	1 DEC17	67.90000	67.76000		70.00DR
	CME Feeder Cattle 500 CME				
17OCT17	1 NOV17	153.8250	154.1000		137.50CR
17OCT17	1 JAN18	152.0000	151.8250		87.50DR
	CME Lean Hog 400 CME				
17OCT17	1 DEC17	61.9250	62.2250		120.00CR
17OCT17	1 FEB18	67.1750	67.1000		30.00DR
17OCT17	1 FEB18	67.1750	67.1000		30.00DR
17OCT17	1 APR18	71.8750	72.2500		150.00CR
17OCT17	1 APR18	71.2250	71.3750		60.00CR
17OCT17	1 JUN18	80.1750	80.3750		80.00CR
17OCT17	1 JUN18	80.0250	80.3000		110.00CR
17OCT17	1 JUL18	80.5250	80.5250		0.00
17OCT17	1 JUL18	80.4250	80.4250		0.00
17OCT17	1 AUG18	79.4750	79.9250		180.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,548.42CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				50.00CR
	CME Cattle Futures				1,000.00DR
	ICE Cotton No.2 Futures				70.00DR
	CME Lean Hog Futures				640.00CR
	COMMISSIONS				51.60DR
	CARRIED FORWARD BALANCE				1,116.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP01190

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
17OCT17	S 25 DEC17 CQG II		320.050	25.75DR	
17OCT17	B 25 MAY18 CQG II	323.100		25.75DR	
	Commission				51.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				505.00DR
	COMMISSIONS				51.50DR
	CARRIED FORWARD BALANCE				556.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

17th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	S 1 DEC17 CQG II		116.9750	1.02DR	
17OCT17	B 1 DEC17 CQG II	117.2250		1.02DR	
17OCT17	S 2 DEC17 CQG II		116.7000	2.04DR	
17OCT17	B 1 FEB18 CQG II	120.9000		1.02DR	
17OCT17	S 1 FEB18 CQG II		121.3000	1.02DR	
17OCT17	B 2 FEB18 CQG II	121.0250		2.04DR	
17OCT17	B 1 APR18 CQG II	121.6000		1.02DR	
17OCT17	S 1 JUN18 CQG II		114.6750	1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 DEC17 CQG II	63.6750		1.02DR	
17OCT17	S 1 DEC17 CQG II		63.4000	1.02DR	
17OCT17	B 1 FEB18 CQG II	68.7250		1.02DR	
17OCT17	S 1 FEB18 CQG II		68.4000	1.02DR	
17OCT17	B 1 FEB18 CQG II	68.2000		1.02DR	
17OCT17	S 1 APR18 CQG II		72.2000	1.02DR	
	Commission				16.32DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 DEC17	117.2250	116.9750		100.00DR
17OCT17	1 DEC17	116.8000	116.7000		40.00DR
17OCT17	1 DEC17	116.9000	116.7000		80.00DR
17OCT17	1 FEB18	120.9000	121.3000		160.00CR
17OCT17	1 FEB18	121.0250	121.2250		80.00CR
17OCT17	1 FEB18	121.0250	121.0000		10.00DR
	CME Lean Hog 400 CME				
17OCT17	1 DEC17	63.6750	63.4000		110.00DR
17OCT17	1 FEB18	68.7250	68.4000		130.00DR
17OCT17	1 FEB18	68.2000	68.3250		50.00CR
17OCT17	1 APR18	72.0250	72.2000		70.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,129.28DR
	SETTLEMENTS				
	CME Cattle Futures				10.00CR
	CME Lean Hog Futures				120.00DR
	COMMISSIONS				16.32DR
	CARRIED FORWARD BALANCE				3,255.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119Q
17th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
17OCT17	B 1 FEB18 CQG II	67.1000		1.02DR	
17OCT17	S 1 APR18 CQG II		71.4000	1.02DR	
	Commission				2.04DR
	<u>SETTLEMENTS</u>				
	CME Lean Hog 400 CME				
17OCT17	1 FEB18	67.1000	66.8750		90.00DR
17OCT17	1 APR18	72.0250	71.4000		250.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,733.22CR
	SETTLEMENTS				
	CME Lean Hog Futures				340.00DR
	COMMISSIONS				2.04DR
	CARRIED FORWARD BALANCE				2,391.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

17th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	B 1 DEC17 CQG II 116.9250			1.02DR	
17OCT17	S 1 DEC17 CQG II 116.1000		116.1000	1.02DR	
17OCT17	S 2 FEB18 CQG II 121.3750		121.3750	2.04DR	
17OCT17	B 2 FEB18 CQG II 120.2750			2.04DR	
17OCT17	B 1 APR18 CQG II 122.0500			1.02DR	
17OCT17	S 1 APR18 CQG II 120.9750		120.9750	1.02DR	
	CME Lean Hog 400 CME				
17OCT17	B 1 FEB18 CQG II 68.6750			1.02DR	
17OCT17	S 1 FEB18 CQG II 68.5750		68.5750	1.02DR	
17OCT17	S 2 FEB18 CQG II 68.6500		68.6500	2.04DR	
17OCT17	B 1 FEB18 CQG II 68.7250			1.02DR	
17OCT17	B 2 FEB18 CQG II 68.7000			2.04DR	
17OCT17	S 1 FEB18 CQG II 68.5500		68.5500	1.02DR	
17OCT17	B 1 FEB18 CQG II 68.1250			1.02DR	
17OCT17	S 1 APR18 CQG II 72.2500		72.2500	1.02DR	
17OCT17	B 1 APR18 CQG II 72.1500			1.02DR	
17OCT17	B 2 APR18 CQG II 72.2250			2.04DR	
17OCT17	S 1 APR18 CQG II 72.2250		72.2250	1.02DR	
17OCT17	S 2 APR18 CQG II 72.2000		72.2000	2.04DR	
17OCT17	B 1 APR18 CQG II 72.0750			1.02DR	
17OCT17	S 1 APR18 CQG II 71.9750		71.9750	1.02DR	
	IEU Brent Crude 1,000 IPE				
17OCT17	S 1 FEB18 CQG EL 57.51		57.51	1.02DR	
17OCT17	S 1 FEB18 CQG EL 57.52		57.52	1.02DR	
17OCT17	B 1 APR18 CQG EL 57.13			1.02DR	
17OCT17	B 3 APR18 CQG EL 57.14			3.06DR	
17OCT17	S 2 APR18 CQG EL 56.74		56.74	2.04DR	
17OCT17	B 2 APR18 CQG EL 56.75			2.04DR	
17OCT17	S 2 JUN18 CQG EL 56.88		56.88	2.04DR	
17OCT17	B 2 JUN18 CQG EL 56.52			2.04DR	
17OCT17	S 2 JUN18 CQG EL 56.53		56.53	2.04DR	
	ICE Sugar No.11 1,120 FIN				
17OCT17	B 1 MAR18 CQG EL 14.10			2.21DR	
17OCT17	S 1 MAR18 CQG EL 13.99		13.99	2.21DR	
17OCT17	B 1 MAR18 CQG EL 14.01			2.21DR	
17OCT17	B 1 MAR18 CQG EL 14.02			2.34DR	
	Commission				51.81DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 DEC17 116.9250 116.1000	116.9250	116.1000		330.00DR
17OCT17	1 FEB18 120.2750 121.3750	120.2750	121.3750		440.00CR
17OCT17	1 FEB18 120.2750 121.3750	120.2750	121.3750		440.00CR
17OCT17	1 APR18 122.0500 120.9750	122.0500	120.9750		430.00DR
	CME Lean Hog 400 CME				
17OCT17	1 FEB18 68.6750 68.5750	68.6750	68.5750		40.00DR
17OCT17	1 FEB18 68.7250 68.6500	68.7250	68.6500		30.00DR
17OCT17	1 FEB18 68.7000 68.6500	68.7000	68.6500		20.00DR
17OCT17	1 FEB18 68.7000 68.5500	68.7000	68.5500		60.00DR
17OCT17	1 FEB18 68.1250 68.3000	68.1250	68.3000		70.00CR
17OCT17	1 APR18 72.1500 72.2500	72.1500	72.2500		40.00CR
17OCT17	1 APR18 72.2250 72.2250	72.2250	72.2250		0.00
17OCT17	1 APR18 72.2250 72.2000	72.2250	72.2000		10.00DR
17OCT17	1 APR18 72.0750 72.2000	72.0750	72.2000		50.00CR
17OCT17	1 APR18 72.0250 71.9750	72.0250	71.9750		20.00DR
	IEU Brent Crude 1,000 IPE				
17OCT17	1 APR18 57.13 56.74	57.13	56.74		390.00DR
17OCT17	1 APR18 57.14 56.74	57.14	56.74		400.00DR

Group No: SAPG0119

Page 1 of 2

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STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119R

17th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	1 JUN18	56.52	56.88		360.00CR
17OCT17	1 JUN18	56.52	56.88		360.00CR
	ICE Sugar No.11 1,120 FIN				
17OCT17	1 MAR18	14.10	13.99		123.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,401.44DR
	SETTLEMENTS				
	CME Cattle Futures				120.00CR
	IEU Brent Crude Futures				70.00DR
	CME Lean Hog Futures				20.00DR
	ICE Sugar No.11 Futures				123.20DR
	COMMISSIONS				51.81DR
	CARRIED FORWARD BALANCE				5,546.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

17th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
17OCT17	S 1 JUN18 CQG II		52.78	1.03DR	
17OCT17	S 4 JUN18 CQG II		52.72	4.12DR	
17OCT17	S 4 JUN18 CQG II		52.71	4.12DR	
17OCT17	S 1 JUN18 CQG II		52.60	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.75	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.14	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.23	1.03DR	
17OCT17	S 1 JUN18 CQG II		51.93	1.03DR	
17OCT17	S 1 JUN18 CQG II		52.33	1.03DR	
17OCT17	S 2 JUN18 CQG II		52.40	2.06DR	
17OCT17	B 2 DEC18 CQG II	52.12		2.06DR	
17OCT17	B 9 DEC18 CQG II	52.03		9.27DR	
17OCT17	B 9 DEC18 CQG II	51.99		9.27DR	
17OCT17	B 2 DEC18 CQG II	51.94		2.06DR	
17OCT17	B 3 DEC18 CQG II	52.06		3.09DR	
17OCT17	B 2 DEC18 CQG II	51.76		2.06DR	
17OCT17	B 1 DEC18 CQG II	51.56		1.03DR	
17OCT17	B 1 DEC18 CQG II	51.59		1.03DR	
17OCT17	B 1 DEC18 CQG II	51.66		1.03DR	
17OCT17	B 2 DEC18 CQG II	51.36		2.06DR	
17OCT17	B 2 DEC18 CQG II	51.64		2.06DR	
17OCT17	B 2 DEC18 CQG II	51.73		2.06DR	
17OCT17	B 3 DEC18 CQG II	51.65		3.09DR	
17OCT17	S 5 JUN19 CQG II		51.27	5.15DR	
17OCT17	S 5 JUN19 CQG II		51.21	5.15DR	
17OCT17	S 2 JUN19 CQG II		51.31	2.06DR	
17OCT17	S 1 JUN19 CQG II		51.32	1.03DR	
17OCT17	S 2 JUN19 CQG II		50.91	2.06DR	
17OCT17	S 1 JUN19 CQG II		50.94	1.03DR	
17OCT17	S 2 JUN19 CQG II		50.96	2.06DR	
17OCT17	S 1 JUN19 CQG II		51.00	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.82	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.69	1.03DR	
17OCT17	B 1 DEC19 CQG II	50.79		1.03DR	
17OCT17	S 1 DEC19 CQG II		50.57	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.46	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.53	1.03DR	
17OCT17	S 1 DEC19 CQG II		50.27	1.03DR	
17OCT17	B 1 DEC19 CQG II	50.43		1.03DR	
17OCT17	B 1 DEC19 CQG II	50.48		1.03DR	
	CMX Copper 25,000 CMX				
17OCT17	S 25 DEC17 CQG II		321.200	25.75DR	
17OCT17	B 25 MAR18 CQG II	323.050		25.75DR	
	CME Lean Hog 400 CME				
17OCT17	S 1 JUN18 CQG II		80.4000	1.02DR	
17OCT17	B 2 JUL18 CQG II	80.6000		2.04DR	
17OCT17	S 1 AUG18 CQG II		79.9250	1.02DR	
	IEU Brent Crude 1,000 IPE				
17OCT17	B 1 JUN18 CQG EL	56.98		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.94		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.68		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.39		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.55		1.02DR	
17OCT17	B 1 JUN18 CQG EL	56.15		1.07DR	
17OCT17	S 1 DEC18 CQG EL		56.05	1.02DR	
17OCT17	S 1 DEC18 CQG EL		56.06	1.02DR	
17OCT17	S 1 DEC18 CQG EL		56.00	1.02DR	
17OCT17	S 1 DEC18 CQG EL		56.02	1.02DR	
17OCT17	S 1 DEC18 CQG EL		55.78	1.02DR	
17OCT17	S 1 DEC18 CQG EL		55.79	1.02DR	
17OCT17	S 1 DEC18 CQG EL		55.58	1.02DR	
17OCT17	S 1 DEC18 CQG EL		55.59	1.02DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	S	2	DEC18	CQG	EL		55.71	2.04DR	
17OCT17	S	2	DEC18	CQG	EL		55.35	2.14DR	
17OCT17	B	1	DEC19	CQG	EL	54.95		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.93		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.76		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.63		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.72		1.02DR	
17OCT17	B	1	DEC19	CQG	EL	54.42		1.07DR	
	Commission								166.78DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
17OCT17		1	JUN18			51.72	52.78		1,060.00CR
17OCT17		1	JUN18			51.72	52.72		1,000.00CR
17OCT17		1	JUN18			51.73	52.72		990.00CR
17OCT17		1	JUN18			51.72	52.72		1,000.00CR
17OCT17		1	JUN18			51.72	52.72		1,000.00CR
17OCT17		1	JUN18			51.72	52.71		990.00CR
17OCT17		1	JUN18			51.62	52.71		1,090.00CR
17OCT17		1	JUN18			51.61	52.71		1,100.00CR
17OCT17		1	JUN18			51.66	52.71		1,050.00CR
17OCT17		1	JUN18			51.66	52.60		940.00CR
17OCT17		1	JUN18			51.66	52.75		1,090.00CR
17OCT17		1	JUN18			51.66	52.40		740.00CR
17OCT17		1	JUN18			51.19	52.14		950.00CR
17OCT17		1	JUN18			51.60	52.23		630.00CR
17OCT17		1	JUN18			51.60	51.93		330.00CR
17OCT17		1	JUN18			51.60	52.33		730.00CR
17OCT17		1	JUN18			51.57	52.40		830.00CR
17OCT17		1	DEC18			52.12	50.38		1,740.00DR
17OCT17		1	DEC18			52.12	50.38		1,740.00DR
17OCT17		3	DEC18			52.03	50.38		4,950.00DR
17OCT17		2	DEC18			52.03	50.38		3,300.00DR
17OCT17		1	DEC18			52.03	51.32		710.00DR
17OCT17		2	DEC18			52.03	51.32		1,420.00DR
17OCT17		1	DEC18			52.03	51.32		710.00DR
17OCT17		1	DEC18			51.99	51.33		660.00DR
17OCT17		2	DEC18			51.99	51.33		1,320.00DR
17OCT17		2	DEC18			51.99	51.33		1,320.00DR
17OCT17		1	DEC18			51.99	51.33		660.00DR
17OCT17		1	DEC18			51.99	51.33		660.00DR
17OCT17		1	DEC18			51.99	51.24		750.00DR
17OCT17		1	DEC18			51.94	51.24		700.00DR
17OCT17		1	DEC18			51.94	51.23		710.00DR
17OCT17		1	DEC18			52.06	51.20		860.00DR
17OCT17		1	DEC18			52.06	51.20		860.00DR
17OCT17		1	DEC18			52.06	51.26		800.00DR
17OCT17		1	DEC18			51.76	51.26		500.00DR
17OCT17		1	DEC18			51.76	51.26		500.00DR
17OCT17		1	DEC18			51.56	51.26		300.00DR
17OCT17		1	DEC18			51.59	51.26		330.00DR
17OCT17		1	DEC18			51.66	51.26		400.00DR
17OCT17		1	DEC18			51.65	51.26		390.00DR
17OCT17		1	DEC18			51.36	50.86		500.00DR
17OCT17		1	DEC18			51.36	50.86		500.00DR
17OCT17		1	DEC18			51.65	50.86		790.00DR
17OCT17		2	DEC18			51.64	51.22		840.00DR
17OCT17		2	DEC18			51.73	51.22		1,020.00DR
17OCT17		1	DEC18			51.65	51.22		430.00DR
17OCT17		2	JUN19			50.57	51.27		1,400.00CR
17OCT17		1	JUN19			50.57	51.27		700.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17OCT17	2 JUN19	50.45	51.27		1,640.00CR
17OCT17	1 JUN19	50.45	51.21		760.00CR
17OCT17	2 JUN19	50.08	51.21		2,260.00CR
17OCT17	1 JUN19	50.08	51.21		1,130.00CR
17OCT17	1 JUN19	50.04	51.21		1,170.00CR
17OCT17	1 JUN19	50.04	51.31		1,270.00CR
17OCT17	1 JUN19	50.04	51.31		1,270.00CR
17OCT17	1 JUN19	50.04	51.32		1,280.00CR
17OCT17	1 JUN19	50.04	50.91		870.00CR
17OCT17	1 JUN19	50.74	50.91		170.00CR
17OCT17	1 JUN19	50.74	50.94		200.00CR
17OCT17	1 JUN19	50.74	50.96		220.00CR
17OCT17	1 JUN19	50.66	50.96		300.00CR
17OCT17	1 JUN19	50.66	51.00		340.00CR
17OCT17	1 DEC19	50.79	50.82		30.00CR
17OCT17	1 DEC19	50.43	50.69		260.00CR
17OCT17	1 DEC19	50.48	50.57		90.00CR
17OCT17	1 DEC19	50.36	50.46		100.00CR
17OCT17	1 DEC19	50.36	50.53		170.00CR
17OCT17	1 DEC19	50.47	50.27		200.00DR
	CMX Copper 25,000 CMX				
17OCT17	25 MAR18	323.050	302.650		127,500.00DR
	CME Lean Hog 400 CME				
17OCT17	1 JUN18	79.9000	80.4000		200.00CR
17OCT17	1 JUL18	80.6000	80.1750		170.00DR
17OCT17	1 JUL18	80.6000	80.1750		170.00DR
17OCT17	1 AUG18	79.4750	79.9250		180.00CR
	IEU Brent Crude 1,000 IPE				
17OCT17	1 JUN18	56.98	54.40		2,580.00DR
17OCT17	1 JUN18	56.94	54.54		2,400.00DR
17OCT17	1 JUN18	56.68	54.54		2,140.00DR
17OCT17	1 JUN18	56.39	54.56		1,830.00DR
17OCT17	1 JUN18	56.55	54.57		1,980.00DR
17OCT17	1 JUN18	56.15	54.56		1,590.00DR
17OCT17	1 DEC18	54.05	56.05		2,000.00CR
17OCT17	1 DEC18	54.05	56.06		2,010.00CR
17OCT17	1 DEC18	54.05	56.00		1,950.00CR
17OCT17	1 DEC18	54.05	56.02		1,970.00CR
17OCT17	1 DEC18	54.05	55.78		1,730.00CR
17OCT17	1 DEC18	54.59	55.79		1,200.00CR
17OCT17	1 DEC18	54.59	55.58		990.00CR
17OCT17	1 DEC18	54.59	55.59		1,000.00CR
17OCT17	2 DEC18	54.59	55.71		2,240.00CR
17OCT17	1 DEC18	55.58	55.35		230.00DR
17OCT17	1 DEC18	55.57	55.35		220.00DR
17OCT17	1 DEC19	54.95	53.81		1,140.00DR
17OCT17	1 DEC19	54.93	53.85		1,080.00DR
17OCT17	1 DEC19	54.76	54.28		480.00DR
17OCT17	1 DEC19	54.63	54.50		130.00DR
17OCT17	1 DEC19	54.72	54.24		480.00DR
17OCT17	1 DEC19	54.42	54.35		70.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				7,581.06DR
	SETTLEMENTS				
	CMX Copper Futures				127,500.00DR
	IEU Brent Crude Futures				1,260.00DR
	NYM Light Sweet Crude Oil Futures				80.00DR
	CME Lean Hog Futures				40.00CR
	COMMISSIONS				166.78DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

17th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				136,547.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119T

17th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
17OCT17	S 1 DEC17 CQG GX		116.9500	1.02DR	
17OCT17	B 1 DEC17 CQG GX	116.7000		1.02DR	
17OCT17	S 1 DEC17 CQG GX		116.4750	1.02DR	
17OCT17	B 1 FEB18 CQG GX	120.8750		1.02DR	
17OCT17	S 1 FEB18 CQG GX		121.0500	1.02DR	
17OCT17	B 1 FEB18 CQG GX	121.0250		1.02DR	
	Commission				6.12DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
17OCT17	1 DEC17	116.7000	116.9500		100.00CR
17OCT17	1 DEC17	116.7250	116.4750		100.00DR
17OCT17	1 FEB18	120.8750	121.0500		70.00CR
17OCT17	1 FEB18	121.0250	120.8250		80.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33.08CR
	SETTLEMENTS				
	CME Cattle Futures				10.00DR
	COMMISSIONS				6.12DR
	CARRIED FORWARD BALANCE				16.96CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0119

17th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,236,435.44CR
	SETTLEMENTS		
	CME Cattle Futures		200.00DR
	CME Feeder Cattle Futures		2,162.50CR
	CME Lean Hog Futures		530.00CR
	CMX Copper Futures		304,075.00DR
	CMX Gold Futures		170.00DR
	CMX Silver Futures		6,525.00DR
	ICE Cotton No.2 Futures		70.00DR
	ICE Sugar No.11 Futures		123.20DR
	IEU Brent Crude Futures		3,250.00CR
	NYM Henry Hub Natural Gas Futures		24,070.00CR
	NYM Light Sweet Crude Oil Futures		130.00CR
	COMMISSIONS		1,681.37DR
	CARRIED FORWARD BALANCE		953,733.37CR