

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011D

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 100CT17	5957.37	5800.50		3,921.75DR
20JUL17	1 100CT17	5957.37	5800.50		3,921.75DR
20JUL17	3 100CT17	5957.37	5801.50		11,690.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				314,638.10DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,533.75DR
	CARRIED FORWARD BALANCE				334,171.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0011E

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 2 10JAN18 TTR EL	6678.00		10.00DR	
100CT17	B 3 10JAN18 TTR EL	6681.50		15.00DR	
100CT17	B 5 10JAN18 TTR EL	6697.00		25.00DR	
	CMX Copper 25,000 CMX				
100CT17	S 4 DEC17 TTR II		303.350	9.40DR	
100CT17	S 7 DEC17 TTR II		303.500	16.45DR	
100CT17	S 8 DEC17 TTR II		304.150	18.80DR	
100CT17	S 3 DEC17 TTR II		304.100	7.05DR	
	Commission				101.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				170,592.19DR
	COMMISSIONS				101.70DR
	CARRIED FORWARD BALANCE				170,693.89DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0011F 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 10OCT17	5957.37	5803.50		3,846.75DR
20JUL17	1 10OCT17	5957.37	5803.50		3,846.75DR
20JUL17	1 10OCT17	5957.37	5803.50		3,846.75DR
20JUL17	2 10OCT17	5957.37	5803.50		7,693.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				133,740.65DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,233.75DR
	CARRIED FORWARD BALANCE				152,974.40DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		6686.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6688.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6693.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6698.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6706.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6707.00	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		6710.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6711.50	10.00DR	
	CMX Copper 25,000 CMX				
10OCT17	B 1 DEC17 II	304.600		2.35DR	
10OCT17	B 3 DEC17 II	304.500		7.05DR	
10OCT17	B 2 DEC17 II	304.000		4.70DR	
10OCT17	B 7 DEC17 II	304.700		16.45DR	
10OCT17	B 2 DEC17 II	304.550		4.70DR	
10OCT17	B 1 DEC17 II	304.450		2.35DR	
10OCT17	B 2 DEC17 II	304.150		4.70DR	
10OCT17	B 2 DEC17 II	303.700		4.70DR	
10OCT17	B 2 DEC17 II	303.600		4.70DR	
	Commission				101.70DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	2 DEC17	304.700	304.250		225.00DR
10OCT17	3 DEC17	304.700	304.300		300.00DR
10OCT17	1 DEC17	304.600	304.200		100.00DR
10OCT17	1 DEC17	304.500	304.200		75.00DR
10OCT17	2 DEC17	304.500	304.000		250.00DR
10OCT17	2 DEC17	304.000	303.850		75.00DR
10OCT17	2 DEC17	304.700	303.850		425.00DR
10OCT17	2 DEC17	304.550	303.800		375.00DR
10OCT17	1 DEC17	304.450	303.800		162.50DR
10OCT17	1 DEC17	304.150	303.800		87.50DR
10OCT17	1 DEC17	304.150	303.900		62.50DR
10OCT17	2 DEC17	303.700	303.850		75.00CR
10OCT17	2 DEC17	303.600	303.900		150.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 10OCT17	5957.37	5796.50		4,021.75DR
20JUL17	1 10OCT17	5957.37	5796.50		4,021.75DR
20JUL17	1 10OCT17	5957.37	5797.00		4,009.25DR
20JUL17	1 10OCT17	5957.37	5802.50		3,871.75DR
20JUL17	1 10OCT17	5957.37	5803.50		3,846.75DR
20JUL17	2 10OCT17	5957.37	5830.00		6,368.50DR
20JUL17	3 10OCT17	5957.37	5830.00		9,552.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				132,807.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				35,692.50DR
	CMX Copper Futures				1,912.50DR
	COMMISSIONS				101.70DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				170,513.70DR

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	2 10OCT17	5957.37	5846.00		5,568.50DR
20JUL17	2 10OCT17	5957.37	5846.00		5,568.50DR
20JUL17	1 10OCT17	5957.37	5845.00		2,809.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				202,541.26DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				13,946.25DR
	CARRIED FORWARD BALANCE				216,487.51DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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W1J 7NJ

SAPAB011

10th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		992,031.27DR
	SETTLEMENTS		
	CMX Copper Futures		1,912.50DR
	LME Copper Grade A 25 tonnes. This Futures		88,406.25DR
	COMMISSIONS		203.40DR
	CARRIED FORWARD BALANCE		1,082,553.42DR

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SAP0025M

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 10OCT17	5957.37	5826.00		3,284.25DR
20JUL17	2 10OCT17	5957.37	5826.00		6,568.50DR
20JUL17	1 10OCT17	5957.37	5826.00		3,284.25DR
20JUL17	1 10OCT17	5957.37	5826.00		3,284.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				510,311.83DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				16,421.25DR
	CARRIED FORWARD BALANCE				526,733.08DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 100CT17	5957.37	5833.00		3,109.25DR
20JUL17	1 100CT17	5957.37	5833.00		3,109.25DR
20JUL17	3 100CT17	5957.37	5833.00		9,327.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				465,683.96DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				15,546.25DR
	CARRIED FORWARD BALANCE				481,230.21DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	6703.50		5.00DR	
10OCT17	B 4 10JAN18 DMA EL	6704.00		20.00DR	
10OCT17	B 5 10JAN18 DMA EL	6719.50		25.00DR	
10OCT17	B 5 10JAN18 DMA EL	6722.00		25.00DR	
10OCT17	B 1 10JAN18 DMA EL	6729.50		5.00DR	
10OCT17	B 4 10JAN18 DMA EL	6730.00		20.00DR	
10OCT17	S 5 10JAN18 DMA EL		6712.00	25.00DR	
10OCT17	S 5 10JAN18 DMA EL		6720.00	25.00DR	
10OCT17	S 5 10JAN18 DMA EL		6726.50	25.00DR	
10OCT17	S 5 10JAN18 DMA EL		6729.50	25.00DR	
	CMX Copper 25,000 CMX				
10OCT17	S 11 DEC17 GX		304.550	25.52DR	
10OCT17	S 11 DEC17 GX		305.250	25.52DR	
10OCT17	S 11 DEC17 GX		305.750	25.52DR	
10OCT17	B 11 DEC17 GX	305.550		25.52DR	
10OCT17	S 11 DEC17 GX		305.350	25.52DR	
10OCT17	B 11 DEC17 GX	305.450		25.52DR	
10OCT17	B 11 DEC17 GX	305.150		25.52DR	
10OCT17	B 11 DEC17 GX	304.800		25.52DR	
	Commission				404.16DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	11 DEC17	305.550	304.550		2,750.00DR
10OCT17	11 DEC17	305.450	305.250		550.00DR
10OCT17	11 DEC17	305.150	305.750		1,650.00CR
10OCT17	11 DEC17	304.800	305.350		1,512.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				500,677.72DR
	SETTLEMENTS				
	CMX Copper Futures				137.50DR
	COMMISSIONS				404.16DR
	CARRIED FORWARD BALANCE				501,219.38DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	6719.00		5.00DR	
10OCT17	B 4 10JAN18 DMA EL	6719.50		20.00DR	
10OCT17	B 2 10JAN18 DMA EL	6725.50		10.00DR	
10OCT17	B 2 10JAN18 DMA EL	6728.00		10.00DR	
10OCT17	B 3 10JAN18 DMA EL	6728.50		15.00DR	
10OCT17	B 5 10JAN18 DMA EL	6730.00		25.00DR	
10OCT17	S 1 10JAN18 DMA EL		6693.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6694.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6697.50	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		6701.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6704.00	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		6704.50	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6705.50	10.00DR	
10OCT17	S 2 10JAN18 DMA EL		6729.00	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		6731.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6731.50	10.00DR	
10OCT17	S 2 10JAN18 DMA EL		6732.00	10.00DR	
	CMX Copper 25,000 CMX				
10OCT17	S 11 DEC17 GX		305.200	25.52DR	
10OCT17	S 11 DEC17 GX		305.650	25.52DR	
10OCT17	S 4 DEC17 GX		305.750	9.28DR	
10OCT17	S 7 DEC17 GX		305.700	16.24DR	
10OCT17	B 9 DEC17 GX	305.700		20.88DR	
10OCT17	B 2 DEC17 GX	305.650		4.64DR	
10OCT17	B 5 DEC17 GX	305.550		11.60DR	
10OCT17	S 5 DEC17 GX		305.500	11.60DR	
10OCT17	B 2 DEC17 GX	303.950		4.64DR	
10OCT17	B 2 DEC17 GX	304.100		4.64DR	
10OCT17	B 5 DEC17 GX	304.150		11.60DR	
10OCT17	B 2 DEC17 GX	304.300		4.64DR	
10OCT17	B 4 DEC17 GX	304.500		9.28DR	
10OCT17	B 7 DEC17 GX	304.450		16.24DR	
	Commission				346.32DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	9 DEC17	305.700	305.200		1,125.00DR
10OCT17	2 DEC17	305.650	305.200		225.00DR
10OCT17	5 DEC17	305.550	305.650		125.00CR
10OCT17	2 DEC17	303.950	305.650		850.00CR
10OCT17	2 DEC17	304.100	305.650		775.00CR
10OCT17	2 DEC17	304.150	305.650		750.00CR
10OCT17	1 DEC17	304.150	305.700		387.50CR
10OCT17	2 DEC17	304.150	305.750		800.00CR
10OCT17	2 DEC17	304.300	305.700		700.00CR
10OCT17	2 DEC17	304.500	305.700		600.00CR
10OCT17	1 DEC17	304.450	305.750		325.00CR
10OCT17	1 DEC17	304.450	305.700		312.50CR
10OCT17	1 DEC17	304.450	305.750		325.00CR
10OCT17	1 DEC17	304.450	305.700		312.50CR
10OCT17	1 DEC17	304.500	305.500		250.00CR
10OCT17	1 DEC17	304.450	305.500		262.50CR
10OCT17	1 DEC17	304.500	305.500		250.00CR
10OCT17	2 DEC17	304.450	305.500		525.00CR
	<u>TOTALS</u>				

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				310,930.22DR
	SETTLEMENTS				
	CMX Copper Futures				6,200.00CR
	COMMISSIONS				346.32DR
	CARRIED FORWARD BALANCE				305,076.54DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 2 10JAN18 DMA EL	6718.00		10.00DR	
10OCT17	B 2 10JAN18 DMA EL	6719.00		10.00DR	
10OCT17	B 1 10JAN18 DMA EL	6720.50		5.00DR	
10OCT17	B 5 10JAN18 DMA EL	6724.00		25.00DR	
10OCT17	S 2 10JAN18 DMA EL		6703.50	10.00DR	
10OCT17	S 2 10JAN18 DMA EL		6707.50	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		6709.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		6728.50	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		6729.00	10.00DR	
10OCT17	S 2 10JAN18 DMA EL		6732.00	10.00DR	
	CMX Copper 25,000 CMX				
10OCT17	S 8 DEC17 GX		305.250	18.56DR	
10OCT17	S 3 DEC17 GX		305.300	6.96DR	
10OCT17	B 4 DEC17 GX	305.700		9.28DR	
10OCT17	B 4 DEC17 GX	305.550		9.28DR	
10OCT17	B 3 DEC17 GX	305.600		6.96DR	
10OCT17	S 11 DEC17 GX		305.450	25.52DR	
10OCT17	B 4 DEC17 GX	304.350		9.28DR	
10OCT17	B 4 DEC17 GX	304.550		9.28DR	
10OCT17	B 3 DEC17 GX	304.600		6.96DR	
	Commission				202.08DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	4 DEC17	305.700	305.250		450.00DR
10OCT17	4 DEC17	305.550	305.250		300.00DR
10OCT17	3 DEC17	305.600	305.300		225.00DR
10OCT17	4 DEC17	304.350	305.450		1,100.00CR
10OCT17	4 DEC17	304.550	305.450		900.00CR
10OCT17	3 DEC17	304.600	305.450		637.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				360,582.48DR
	SETTLEMENTS				
	CMX Copper Futures				1,662.50CR
	COMMISSIONS				202.08DR
	CARRIED FORWARD BALANCE				359,122.06DR

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SAP0025W
10th October 2017
COB GLOBAL

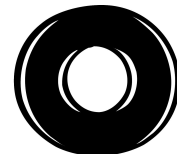
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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 5 10JAN18 DMA EL		6699.50	25.00DR	
10OCT17	S 5 10JAN18 DMA EL		6703.00	25.00DR	
	CMX Copper 25,000 CMX				
10OCT17	B 11 DEC17 GX	304.250		25.52DR	
10OCT17	B 8 DEC17 GX	304.450		18.56DR	
10OCT17	B 3 DEC17 GX	304.400		6.96DR	
	Commission				101.04DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	11 DEC17	304.250	302.500		4,812.50DR
10OCT17	8 DEC17	304.450	302.300		4,300.00DR
10OCT17	3 DEC17	304.400	302.300		1,575.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				111,725.32DR
	SETTLEMENTS				
	CMX Copper Futures				10,687.50DR
	COMMISSIONS				101.04DR
	CARRIED FORWARD BALANCE				122,513.86DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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10th Oct 2017

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,205,581.52DR
	SETTLEMENTS		
	CMX Copper Futures		2,962.50DR
	LME Copper Grade A 25 tonnes. This Futures		31,967.50DR
	COMMISSIONS		1,053.60DR
	CARRIED FORWARD BALANCE		3,241,565.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083LB 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19SEP17	1 100CT17	6504.95	5803.00		17,548.75DR
19SEP17	1 100CT17	6504.95	5803.00		17,548.75DR
19SEP17	1 100CT17	6504.95	5803.00		17,548.75DR
19SEP17	1 100CT17	6504.95	5803.00		17,548.75DR
19SEP17	1 100CT17	6504.95	5803.00		17,548.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				257,213.32CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				87,743.75DR
	CARRIED FORWARD BALANCE				169,469.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB083

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		256,788.32CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		87,743.75DR
	CARRIED FORWARD BALANCE		169,044.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	B	5	10JAN18	TTR	EL	6741.00		25.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6739.50		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6738.50		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6737.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6749.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6747.50		5.00DR	
10OCT17	B	4	10JAN18	TTR	EL	6752.00		20.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6754.00		10.00DR	
10OCT17	B	1	10JAN18	DMA	EL	6754.00		5.00DR	
	CMX Copper 25,000 CMX								
10OCT17	S	2	DEC17	TTR	GX		306.200	4.50DR	
10OCT17	S	8	DEC17	TTR	GX		306.150	18.00DR	
10OCT17	S	6	DEC17	TTR	GX		306.100	13.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.050	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		305.850	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.600	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.450	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.400	4.50DR	
10OCT17	S	4	DEC17	TTR	GX		306.650	9.00DR	
10OCT17	S	4	DEC17	TTR	GX		306.700	9.00DR	
10OCT17	S	3	DEC17	TTR	GX		306.300	6.75DR	
10OCT17	S	4	DEC17	TTR	GX		306.800	9.00DR	
10OCT17	S	2	DEC17	TTR	GX		306.750	4.50DR	
10OCT17	S	1	DEC17	TTR	GX		306.500	2.25DR	
	Commission								199.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								2,506,308.31CR
	COMMISSIONS								199.00DR
	CARRIED FORWARD BALANCE								2,506,109.31CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	6739.50		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6743.00		15.00DR	
10OCT17	B	7	10JAN18	TTR	EL	6742.50		35.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6741.00		10.00DR	
10OCT17	B	6	10JAN18	TTR	EL	6739.00		30.00DR	
10OCT17	B	4	10JAN18	TTR	EL	6741.50		20.00DR	
10OCT17	B	5	10JAN18	TTR	EL	6740.50		25.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6738.00		10.00DR	
10OCT17	B	7	10JAN18	TTR	EL	6740.00		35.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6737.50		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6737.00		15.00DR	
10OCT17	B	2	10JAN18	DMA	EL	6742.00		10.00DR	
10OCT17	B	2	10JAN18	DMA	EL	6743.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6747.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6752.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6753.50		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6752.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6754.00		5.00DR	
	CMX Copper 25,000 CMX								
10OCT17	S	3	DEC17	TTR	GX		306.000	6.75DR	
10OCT17	S	19	DEC17	TTR	GX		306.250	42.75DR	
10OCT17	S	12	DEC17	TTR	GX		306.200	27.00DR	
10OCT17	S	12	DEC17	TTR	GX		306.050	27.00DR	
10OCT17	S	29	DEC17	TTR	GX		306.150	65.25DR	
10OCT17	S	16	DEC17	TTR	GX		306.100	36.00DR	
10OCT17	S	6	DEC17	TTR	GX		305.950	13.50DR	
10OCT17	S	2	DEC17	TTR	GX		305.900	4.50DR	
10OCT17	S	5	DEC17	TTR	GX		306.450	11.25DR	
10OCT17	S	4	DEC17	TTR	GX		306.650	9.00DR	
10OCT17	S	7	DEC17	TTR	GX		306.700	15.75DR	
10OCT17	S	2	DEC17	TTR	GX		306.800	4.50DR	
	Commission								528.25DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								291,731.20DR
	COMMISSIONS								528.25DR
	CARRIED FORWARD BALANCE								292,259.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	6692.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6691.50		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6694.00		15.00DR	
10OCT17	B	5	10JAN18	TTR	EL	6695.00		25.00DR	
10OCT17	B	6	10JAN18	TTR	EL	6696.00		30.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6740.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6739.50		5.00DR	
10OCT17	B	3	10JAN18	DMA	EL	6743.00		15.00DR	
	CMX Copper 25,000 CMX								
10OCT17	S	2	DEC17	TTR	GX		303.900	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		303.850	4.50DR	
10OCT17	S	4	DEC17	TTR	GX		303.950	9.00DR	
10OCT17	S	10	DEC17	TTR	GX		304.000	22.50DR	
10OCT17	S	8	DEC17	TTR	GX		304.100	18.00DR	
10OCT17	S	9	DEC17	TTR	GX		304.050	20.25DR	
10OCT17	S	2	DEC17	TTR	GX		306.100	4.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.050	4.50DR	
10OCT17	S	7	DEC17	TTR	GX		306.250	15.75DR	
	Commission								208.50DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								122,192.40CR
	COMMISSIONS								208.50DR
	CARRIED FORWARD BALANCE								121,983.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		6686.00	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6687.00	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6688.00	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6692.50	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6691.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6690.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6693.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6696.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6698.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6697.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6691.50		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6690.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.50		1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6698.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6697.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6699.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6690.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6684.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6685.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6692.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6694.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6701.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6701.50	5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6700.00	10.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6697.00	5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6740.50		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6738.00		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6739.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6737.00		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6752.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6750.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6743.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6749.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6747.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6750.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6752.00	5.00DR	
10OCT17	B	1	10JAN18	DMA	EL	6739.00		5.00DR	
	CMX Copper 25,000 CMX								
10OCT17	B	1	DEC17	TTR	GX	303.650		2.20DR	
10OCT17	B	4	DEC17	TTR	GX	303.600		8.80DR	
10OCT17	B	4	DEC17	TTR	GX	303.700		8.80DR	
10OCT17	B	2	DEC17	TTR	GX	303.900		4.40DR	
10OCT17	S	6	DEC17	TTR	GX		303.850	13.20DR	
10OCT17	S	2	DEC17	TTR	GX		304.000	4.40DR	
10OCT17	S	2	DEC17	TTR	GX		304.150	4.40DR	
10OCT17	S	2	DEC17	TTR	GX		304.200	4.40DR	
10OCT17	S	3	DEC17	TTR	GX		303.950	6.60DR	
10OCT17	S	4	DEC17	TTR	GX		303.900	8.80DR	
10OCT17	S	2	DEC17	TTR	GX		304.050	4.40DR	
10OCT17	S	3	DEC17	TTR	GX		304.250	6.60DR	
10OCT17	B	5	DEC17	TTR	GX	304.150		11.25DR	
10OCT17	B	4	DEC17	TTR	GX	303.550		9.00DR	
10OCT17	B	4	DEC17	TTR	GX	303.850		9.00DR	
10OCT17	B	3	DEC17	TTR	GX	303.950		6.75DR	
10OCT17	B	1	DEC17	TTR	GX	304.300		2.25DR	
10OCT17	B	4	DEC17	TTR	GX	304.200		9.00DR	
10OCT17	B	5	DEC17	TTR	GX	304.250		11.25DR	
10OCT17	B	3	DEC17	TTR	GX	304.100		6.75DR	
10OCT17	S	2	DEC17	TTR	GX		306.050	4.50DR	
10OCT17	S	1	DEC17	TTR	GX		306.000	2.25DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	12	DEC17	TTR	GX		306.100	27.00DR	
10OCT17	S	2	DEC17	TTR	GX		305.900	4.50DR	
10OCT17	S	1	DEC17	TTR	GX		305.950	2.25DR	
10OCT17	S	2	DEC17	TTR	GX		306.650	4.50DR	
10OCT17	S	4	DEC17	TTR	GX		306.700	9.00DR	
10OCT17	S	1	DEC17	TTR	GX		306.250	2.25DR	
10OCT17	S	2	DEC17	TTR	GX		306.550	4.50DR	
10OCT17	B	2	DEC17	TTR	GX	306.200		4.50DR	
10OCT17	B	2	DEC17	TTR	GX	306.500		4.50DR	
10OCT17	B	1	DEC17	TTR	GX	306.450		2.25DR	
10OCT17	B	2	DEC17	TTR	GX	306.400		4.50DR	
10OCT17	B	2	DEC17	TTR	GX	306.550		4.50DR	
10OCT17	B	2	DEC17	TTR	GX	306.600		4.50DR	
	Commission								402.71DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
10OCT17		2	DEC17			303.600	303.850		125.00CR
10OCT17		2	DEC17			303.700	303.850		75.00CR
10OCT17		1	DEC17			303.650	303.850		50.00CR
10OCT17		1	DEC17			303.600	303.850		62.50CR
10OCT17		1	DEC17			303.600	304.000		100.00CR
10OCT17		1	DEC17			303.700	304.000		75.00CR
10OCT17		1	DEC17			303.700	303.950		62.50CR
10OCT17		1	DEC17			303.900	303.900		0.00
10OCT17		1	DEC17			303.900	303.900		0.00
10OCT17		2	DEC17			304.150	304.150		0.00
10OCT17		2	DEC17			304.150	304.250		50.00CR
10OCT17		2	DEC17			304.200	304.200		0.00
10OCT17		1	DEC17			304.150	303.950		50.00DR
10OCT17		1	DEC17			303.850	303.950		25.00CR
10OCT17		1	DEC17			303.850	303.900		12.50CR
10OCT17		1	DEC17			303.550	303.900		87.50CR
10OCT17		2	DEC17			303.550	304.050		250.00CR
10OCT17		1	DEC17			303.550	304.250		175.00CR
10OCT17		1	DEC17			303.850	306.100		562.50CR
10OCT17		1	DEC17			303.850	306.100		562.50CR
10OCT17		2	DEC17			303.950	306.100		1,075.00CR
10OCT17		1	DEC17			303.950	306.050		525.00CR
10OCT17		1	DEC17			304.250	306.050		450.00CR
10OCT17		1	DEC17			304.250	306.000		437.50CR
10OCT17		1	DEC17			304.300	306.100		450.00CR
10OCT17		1	DEC17			304.250	306.100		462.50CR
10OCT17		2	DEC17			304.200	306.100		950.00CR
10OCT17		2	DEC17			304.250	306.100		925.00CR
10OCT17		2	DEC17			304.100	306.100		1,000.00CR
10OCT17		1	DEC17			304.100	305.900		450.00CR
10OCT17		1	DEC17			306.200	305.900		75.00DR
10OCT17		1	DEC17			306.200	305.950		62.50DR
10OCT17		2	DEC17			306.500	306.650		75.00CR
10OCT17		1	DEC17			306.450	306.700		62.50CR
10OCT17		2	DEC17			306.400	306.700		150.00CR
10OCT17		1	DEC17			306.550	306.700		37.50CR
10OCT17		1	DEC17			306.550	306.250		75.00DR
10OCT17		2	DEC17			306.600	306.550		25.00DR
	TOTALS								
	BROUGHT FORWARD BALANCE								33,943.20DR
	SETTLEMENTS								
	CMX Copper Futures								9,037.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				402.71DR
	CARRIED FORWARD BALANCE				25,308.41DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPAB089

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,341,457.24CR
	SETTLEMENTS		
	CMX Copper Futures		9,037.50CR
	COMMISSIONS		1,338.46DR
	CARRIED FORWARD BALANCE		2,349,156.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18SEP17	1 100CT17	6491.47	5810.00		17,036.75DR
18SEP17	1 100CT17	6491.47	5810.00		17,036.75DR
18SEP17	1 100CT17	6491.47	5810.50		17,024.25DR
18SEP17	1 100CT17	6491.47	5810.50		17,024.25DR
18SEP17	1 100CT17	6491.47	5810.50		17,024.25DR
18SEP17	1 100CT17	6491.47	5810.50		17,024.25DR
18SEP17	1 100CT17	6491.47	5810.00		17,036.75DR
18SEP17	1 100CT17	6491.47	5810.00		17,036.75DR
18SEP17	1 100CT17	6491.47	5809.50		17,049.25DR
18SEP17	1 100CT17	6491.47	5808.00		17,086.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				106,853.25DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				170,380.00DR
	CARRIED FORWARD BALANCE				277,233.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB096

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		106,853.25DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		170,380.00DR
	CARRIED FORWARD BALANCE		277,233.25DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097B

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUL17	1 100CT17	6327.45	5804.00		13,086.25DR
26JUL17	1 100CT17	6327.45	5827.50		12,498.75DR
26JUL17	2 100CT17	6327.45	5827.50		24,997.50DR
26JUL17	2 100CT17	6327.45	5826.00		25,072.50DR
26JUL17	3 100CT17	6327.45	5827.00		37,533.75DR
26JUL17	1 100CT17	6327.45	5823.00		12,611.25DR
26JUL17	1 100CT17	6327.45	5801.00		13,161.25DR
26JUL17	1 100CT17	6327.45	5802.00		13,136.25DR
26JUL17	1 100CT17	6327.45	5802.00		13,136.25DR
26JUL17	1 100CT17	6327.45	5804.00		13,086.25DR
26JUL17	1 100CT17	6327.45	5804.00		13,086.25DR
26JUL17	1 100CT17	6327.45	5803.00		13,111.25DR
26JUL17	1 100CT17	6327.45	5801.50		13,148.75DR
26JUL17	1 100CT17	6327.45	5800.00		13,186.25DR
26JUL17	1 100CT17	6327.45	5797.50		13,248.75DR
26JUL17	1 100CT17	6327.45	5845.00		12,061.25DR
26JUL17	1 100CT17	6327.45	5845.00		12,061.25DR
26JUL17	1 100CT17	6327.45	5844.00		12,086.25DR
26JUL17	1 100CT17	6327.45	5845.00		12,061.25DR
26JUL17	1 100CT17	6327.45	5845.50		12,048.75DR
26JUL17	1 100CT17	6327.45	5827.50		12,498.75DR
26JUL17	1 100CT17	6327.45	5826.00		12,536.25DR
26JUL17	1 100CT17	6327.45	5826.00		12,536.25DR
26JUL17	1 100CT17	6327.45	5827.00		12,511.25DR
26JUL17	1 100CT17	6327.45	5827.00		12,511.25DR
26JUL17	1 100CT17	6327.45	5823.50		12,598.75DR
26JUL17	1 100CT17	6327.45	5823.00		12,611.25DR
26JUL17	1 100CT17	6327.45	5822.00		12,636.25DR
26JUL17	1 100CT17	6327.45	5835.50		12,298.75DR
26JUL17	1 100CT17	6327.45	5837.00		12,261.25DR
26JUL17	1 100CT17	6327.45	5838.50		12,223.75DR
26JUL17	1 100CT17	6327.45	5836.00		12,286.25DR
26JUL17	1 100CT17	6327.45	5837.50		12,248.75DR
26JUL17	1 100CT17	6327.45	5831.50		12,398.75DR
26JUL17	1 100CT17	6327.45	5826.50		12,523.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				924,649.85CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				491,101.25DR
	CARRIED FORWARD BALANCE				433,548.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		6706.00	1.56DR	
10OCT17	S	4	10JAN18	TTR	EL		6707.00	6.24DR	
10OCT17	S	1	10JAN18	TTR	EL		6687.00	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6691.00	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6697.00		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6701.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6703.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6704.50		1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6695.00	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6696.00	3.12DR	
10OCT17	S	7	10JAN18	TTR	EL		6697.00	10.92DR	
10OCT17	S	1	10JAN18	TTR	EL		6698.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6696.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6699.00	1.56DR	
10OCT17	S	3	10JAN18	TTR	EL		6697.50	4.68DR	
10OCT17	S	1	10JAN18	TTR	EL		6683.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6684.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6685.50	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6686.50	3.12DR	
10OCT17	S	6	10JAN18	TTR	EL		6688.00	9.36DR	
10OCT17	S	2	10JAN18	TTR	EL		6689.00	3.12DR	
10OCT17	S	2	10JAN18	TTR	EL		6690.00	3.12DR	
10OCT17	S	5	10JAN18	TTR	EL		6692.00	7.80DR	
10OCT17	S	1	10JAN18	TTR	EL		6694.50	1.56DR	
10OCT17	S	7	10JAN18	TTR	EL		6693.50	10.92DR	
10OCT17	B	2	10JAN18	TTR	EL	6728.00		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6743.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6742.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6741.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6738.50		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6740.00		3.12DR	
10OCT17	B	3	10JAN18	TTR	EL	6739.50		4.68DR	
10OCT17	B	2	10JAN18	TTR	EL	6738.00		3.12DR	
10OCT17	B	5	10JAN18	TTR	EL	6737.00		7.80DR	
10OCT17	B	2	10JAN18	TTR	EL	6739.00		3.12DR	
10OCT17	B	2	10JAN18	TTR	EL	6737.50		3.12DR	
10OCT17	B	3	10JAN18	TTR	EL	6754.00		4.68DR	
10OCT17	B	1	10JAN18	TTR	EL	6750.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6749.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.00		1.56DR	
10OCT17	B	5	10JAN18	TTR	EL	6749.50		7.80DR	
10OCT17	S	1	10JAN18	TTR	EL		6749.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6740.00	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6741.00	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6742.00	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6744.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6747.00	1.56DR	
10OCT17	S	4	10JAN18	TTR	EL		6745.50	6.24DR	
10OCT17	S	1	10JAN18	TTR	EL		6752.00	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6751.50	3.12DR	
10OCT17	S	4	10JAN18	TTR	EL		6753.00	6.24DR	
10OCT17	S	11	10JAN18	TTR	EL		6752.50	17.16DR	
	CMX Copper 25,000 CMX								
10OCT17	B	2	DEC17	TTR	GX	304.500		4.60DR	
10OCT17	B	6	DEC17	TTR	GX	304.550		13.80DR	
10OCT17	B	3	DEC17	TTR	GX	304.600		6.90DR	
10OCT17	B	2	DEC17	TTR	GX	303.650		4.60DR	
10OCT17	S	2	DEC17	TTR	GX		304.050	4.60DR	
10OCT17	S	2	DEC17	TTR	GX		304.200	4.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	2	DEC17	TTR	GX		304.400	4.60DR	
10OCT17	S	1	DEC17	TTR	GX		304.300	2.30DR	
10OCT17	S	1	DEC17	TTR	GX		304.350	2.30DR	
10OCT17	S	3	DEC17	TTR	GX		304.450	6.90DR	
10OCT17	S	2	DEC17	TTR	GX		304.500	4.60DR	
10OCT17	B	2	DEC17	TTR	GX	304.050		4.60DR	
10OCT17	B	19	DEC17	TTR	GX	304.100		43.70DR	
10OCT17	B	4	DEC17	TTR	GX	304.200		9.20DR	
10OCT17	B	10	DEC17	TTR	GX	304.150		23.00DR	
10OCT17	B	2	DEC17	TTR	GX	303.500		4.60DR	
10OCT17	B	2	DEC17	TTR	GX	303.550		4.60DR	
10OCT17	B	7	DEC17	TTR	GX	303.600		16.10DR	
10OCT17	B	13	DEC17	TTR	GX	303.700		29.90DR	
10OCT17	B	4	DEC17	TTR	GX	303.750		9.20DR	
10OCT17	B	5	DEC17	TTR	GX	303.800		11.50DR	
10OCT17	B	10	DEC17	TTR	GX	303.850		23.00DR	
10OCT17	B	13	DEC17	TTR	GX	303.950		29.90DR	
10OCT17	B	8	DEC17	TTR	GX	303.900		18.40DR	
10OCT17	S	4	DEC17	TTR	GX		305.550	9.20DR	
10OCT17	S	2	DEC17	TTR	GX		306.250	4.60DR	
10OCT17	S	2	DEC17	TTR	GX		306.200	4.60DR	
10OCT17	S	4	DEC17	TTR	GX		306.150	9.20DR	
10OCT17	S	11	DEC17	TTR	GX		306.100	25.30DR	
10OCT17	S	11	DEC17	TTR	GX		306.000	25.30DR	
10OCT17	S	5	DEC17	TTR	GX		305.950	11.50DR	
10OCT17	S	7	DEC17	TTR	GX		306.050	16.10DR	
10OCT17	S	2	DEC17	TTR	GX		305.900	4.60DR	
10OCT17	S	2	DEC17	TTR	GX		306.750	4.60DR	
10OCT17	S	5	DEC17	TTR	GX		306.700	11.50DR	
10OCT17	S	2	DEC17	TTR	GX		306.650	4.60DR	
10OCT17	S	6	DEC17	TTR	GX		306.550	13.80DR	
10OCT17	S	2	DEC17	TTR	GX		306.450	4.60DR	
10OCT17	S	9	DEC17	TTR	GX		306.500	20.70DR	
10OCT17	B	6	DEC17	TTR	GX	306.700		13.80DR	
10OCT17	B	2	DEC17	TTR	GX	306.450		4.60DR	
10OCT17	B	2	DEC17	TTR	GX	306.000		4.60DR	
10OCT17	B	2	DEC17	TTR	GX	306.100		4.60DR	
10OCT17	B	4	DEC17	TTR	GX	306.150		9.20DR	
10OCT17	B	3	DEC17	TTR	GX	306.250		6.90DR	
10OCT17	B	11	DEC17	TTR	GX	306.350		25.30DR	
10OCT17	B	4	DEC17	TTR	GX	306.650		9.20DR	
10OCT17	B	29	DEC17	TTR	GX	306.600		66.70DR	
	Commission								789.80DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
10OCT17		2	DEC17			304.500	304.050		225.00DR
10OCT17		2	DEC17			304.550	304.200		175.00DR
10OCT17		2	DEC17			304.550	304.400		75.00DR
10OCT17		1	DEC17			304.550	304.300		62.50DR
10OCT17		1	DEC17			304.550	304.350		50.00DR
10OCT17		3	DEC17			304.600	304.450		112.50DR
10OCT17		2	DEC17			303.700	304.500		400.00CR
10OCT17		2	DEC17			303.650	305.550		950.00CR
10OCT17		2	DEC17			303.700	305.550		925.00CR
10OCT17		5	DEC17			303.700	306.000		2,875.00CR
10OCT17		2	DEC17			303.700	306.000		1,150.00CR
10OCT17		2	DEC17			303.850	306.100		1,125.00CR
10OCT17		2	DEC17			303.850	306.250		1,200.00CR
10OCT17		2	DEC17			303.850	306.200		1,175.00CR
10OCT17		1	DEC17			303.850	306.150		575.00CR
10OCT17		1	DEC17			303.850	306.150		575.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

10th October 2017

COB GLOBAL

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Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC17	303.850	306.050		550.00CR
10OCT17	2 DEC17	304.100	306.050		975.00CR
10OCT17	2 DEC17	304.100	306.000		950.00CR
10OCT17	1 DEC17	304.100	306.100		500.00CR
10OCT17	2 DEC17	304.100	306.150		1,025.00CR
10OCT17	4 DEC17	304.100	306.100		2,000.00CR
10OCT17	3 DEC17	304.100	306.100		1,500.00CR
10OCT17	1 DEC17	304.100	306.100		500.00CR
10OCT17	2 DEC17	304.050	306.050		1,000.00CR
10OCT17	1 DEC17	304.100	306.000		475.00CR
10OCT17	1 DEC17	304.150	306.000		462.50CR
10OCT17	1 DEC17	304.100	305.950		462.50CR
10OCT17	2 DEC17	304.150	305.950		900.00CR
10OCT17	2 DEC17	304.200	305.950		875.00CR
10OCT17	2 DEC17	304.100	306.050		975.00CR
10OCT17	1 DEC17	304.200	305.900		425.00CR
10OCT17	1 DEC17	304.200	305.900		425.00CR
10OCT17	2 DEC17	304.150	306.700		1,275.00CR
10OCT17	2 DEC17	304.150	306.750		1,300.00CR
10OCT17	3 DEC17	304.150	306.700		1,912.50CR
10OCT17	2 DEC17	303.500	306.650		1,575.00CR
10OCT17	2 DEC17	303.550	306.550		1,500.00CR
10OCT17	4 DEC17	303.600	306.550		2,950.00CR
10OCT17	3 DEC17	303.600	306.500		2,175.00CR
10OCT17	1 DEC17	303.750	306.500		687.50CR
10OCT17	1 DEC17	303.750	306.450		675.00CR
10OCT17	1 DEC17	303.700	306.450		687.50CR
10OCT17	1 DEC17	303.700	306.500		700.00CR
10OCT17	2 DEC17	303.750	306.500		1,375.00CR
10OCT17	1 DEC17	303.800	306.500		675.00CR
10OCT17	1 DEC17	303.800	306.500		675.00CR
10OCT17	2 DEC17	303.800	303.850		25.00CR
10OCT17	1 DEC17	303.800	303.900		25.00CR
10OCT17	1 DEC17	303.850	303.900		12.50CR
10OCT17	1 DEC17	303.900	303.900		0.00
10OCT17	2 DEC17	303.900	303.850		25.00DR
10OCT17	2 DEC17	303.950	303.800		75.00DR
10OCT17	2 DEC17	303.950	303.750		100.00DR
10OCT17	2 DEC17	303.950	303.700		125.00DR
10OCT17	1 DEC17	303.950	303.750		50.00DR
10OCT17	2 DEC17	303.900	303.750		75.00DR
10OCT17	6 DEC17	303.950	303.700		375.00DR
10OCT17	2 DEC17	303.900	303.900		0.00
10OCT17	1 DEC17	303.900	303.800		25.00DR
10OCT17	1 DEC17	306.700	303.800		725.00DR
10OCT17	2 DEC17	306.700	303.850		1,425.00DR
10OCT17	1 DEC17	306.700	303.900		700.00DR
10OCT17	2 DEC17	306.700	304.600		1,050.00DR
10OCT17	2 DEC17	306.450	304.600		925.00DR
TOTALS					
BROUGHT FORWARD BALANCE					715,870.88CR
SETTLEMENTS					
CMX Copper Futures					36,800.00CR
COMMISSIONS					789.80DR
CARRIED FORWARD BALANCE					751,881.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

10th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		6685.50	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6681.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6682.00		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6693.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6694.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.00		1.56DR	
10OCT17	B	3	10JAN18	TTR	EL	6691.00		4.68DR	
10OCT17	B	1	10JAN18	TTR	EL	6693.00		1.56DR	
10OCT17	S	5	10JAN18	TTR	EL		6697.00	7.80DR	
10OCT17	S	2	10JAN18	TTR	EL		6700.50	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6698.00	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6696.50	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.50		1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6689.50	3.12DR	
10OCT17	S	2	10JAN18	TTR	EL		6688.50	3.12DR	
10OCT17	S	3	10JAN18	TTR	EL		6686.50	4.68DR	
10OCT17	S	2	10JAN18	TTR	EL		6682.50	3.12DR	
10OCT17	S	3	10JAN18	TTR	EL		6683.50	4.68DR	
10OCT17	S	4	10JAN18	TTR	EL		6684.50	6.24DR	
10OCT17	S	1	10JAN18	TTR	EL		6688.00	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6689.00	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6690.00	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6690.50	1.56DR	
10OCT17	S	7	10JAN18	TTR	EL		6691.50	10.92DR	
10OCT17	S	4	10JAN18	TTR	EL		6694.50	6.24DR	
10OCT17	S	5	10JAN18	TTR	EL		6695.50	7.80DR	
10OCT17	S	4	10JAN18	TTR	EL		6692.50	6.24DR	
10OCT17	S	1	10JAN18	TTR	EL		6696.00	1.56DR	
10OCT17	S	10	10JAN18	TTR	EL		6693.50	15.60DR	
10OCT17	S	3	10JAN18	TTR	EL		6694.00	4.68DR	
10OCT17	S	4	10JAN18	TTR	EL		6693.00	6.24DR	
10OCT17	S	5	10JAN18	TTR	EL		6698.50	7.80DR	
10OCT17	B	1	10JAN18	TTR	EL	6721.50		1.56DR	
10OCT17	B	4	10JAN18	TTR	EL	6724.00		6.24DR	
10OCT17	B	2	10JAN18	TTR	EL	6722.50		3.12DR	
10OCT17	B	5	10JAN18	TTR	EL	6723.00		7.80DR	
10OCT17	B	2	10JAN18	TTR	EL	6723.50		3.12DR	
10OCT17	B	6	10JAN18	TTR	EL	6728.00		9.36DR	
10OCT17	B	1	10JAN18	TTR	EL	6726.50		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6725.00		3.12DR	
10OCT17	B	2	10JAN18	TTR	EL	6726.00		3.12DR	
10OCT17	B	2	10JAN18	TTR	EL	6727.00		3.12DR	
10OCT17	B	3	10JAN18	TTR	EL	6728.50		4.68DR	
10OCT17	B	1	10JAN18	TTR	EL	6738.00		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6739.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6738.50		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6737.50		3.12DR	
10OCT17	B	2	10JAN18	TTR	EL	6736.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6735.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6741.50		1.56DR	
10OCT17	B	4	10JAN18	TTR	EL	6742.50		6.24DR	
10OCT17	B	1	10JAN18	TTR	EL	6741.00		1.56DR	
10OCT17	B	6	10JAN18	TTR	EL	6739.00		9.36DR	
10OCT17	B	9	10JAN18	TTR	EL	6740.00		14.04DR	
10OCT17	B	1	10JAN18	TTR	EL	6737.00		1.56DR	
10OCT17	S	1	10JAN18	DMA	EL		6696.00	1.56DR	
10OCT17	S	1	10JAN18	DMA	EL		6697.00	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.00		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6749.50		3.12DR	
10OCT17	B	4	10JAN18	TTR	EL	6750.00		6.24DR	
10OCT17	B	2	10JAN18	TTR	EL	6749.00		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.50		1.56DR	

Group No: SAPAB097

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	2	10JAN18	TTR	EL	6747.50		3.12DR	
10OCT17	B	1	10JAN18	TTR	EL	6753.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6756.00		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6744.50		1.56DR	
10OCT17	B	2	10JAN18	TTR	EL	6743.50		3.12DR	
10OCT17	B	2	10JAN18	TTR	EL	6745.00		3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6748.50	1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6749.00	1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6751.50		1.56DR	
10OCT17	B	1	10JAN18	TTR	EL	6752.00		1.56DR	
10OCT17	S	1	10JAN18	TTR	EL		6755.00	1.56DR	
10OCT17	S	5	10JAN18	TTR	EL		6747.00	7.80DR	
10OCT17	S	5	10JAN18	TTR	EL		6746.00	7.80DR	
10OCT17	S	2	10JAN18	TTR	EL		6746.50	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6739.50	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6742.00	3.12DR	
10OCT17	S	2	10JAN18	TTR	EL		6743.00	3.12DR	
10OCT17	S	4	10JAN18	TTR	EL		6740.50	6.24DR	
10OCT17	S	4	10JAN18	TTR	EL		6741.50	6.24DR	
10OCT17	S	2	10JAN18	TTR	EL		6740.00	3.12DR	
10OCT17	S	1	10JAN18	TTR	EL		6741.00	1.56DR	
10OCT17	S	4	10JAN18	TTR	EL		6742.50	6.24DR	
10OCT17	S	1	10JAN18	TTR	EL		6743.50	1.56DR	
10OCT17	S	2	10JAN18	TTR	EL		6744.00	3.12DR	
10OCT17	S	4	10JAN18	TTR	EL		6744.50	6.24DR	
10OCT17	S	6	10JAN18	TTR	EL		6748.00	9.36DR	
10OCT17	S	3	10JAN18	DMA	EL		6747.50	4.68DR	
10OCT17	S	4	10JAN18	DMA	EL		6747.00	6.24DR	
10OCT17	S	1	10JAN18	DMA	EL		6740.00	1.56DR	
10OCT17	S	1	10JAN18	DMA	EL		6741.50	1.56DR	
CMX Copper 25,000 CMX									
10OCT17	B	11	DEC17	TTR	GX	303.850		25.30DR	
10OCT17	S	4	DEC17	TTR	GX		303.450	9.20DR	
10OCT17	S	5	DEC17	TTR	GX		304.000	11.50DR	
10OCT17	S	6	DEC17	TTR	GX		303.950	13.80DR	
10OCT17	S	5	DEC17	TTR	GX		304.050	11.50DR	
10OCT17	B	4	DEC17	TTR	GX	304.250		9.20DR	
10OCT17	S	4	DEC17	TTR	GX		303.900	9.20DR	
10OCT17	B	5	DEC17	TTR	GX	303.650		11.50DR	
10OCT17	B	10	DEC17	TTR	GX	303.550		23.00DR	
10OCT17	B	4	DEC17	TTR	GX	303.450		9.20DR	
10OCT17	B	8	DEC17	TTR	GX	303.500		18.40DR	
10OCT17	B	2	DEC17	TTR	GX	303.750		4.60DR	
10OCT17	B	2	DEC17	TTR	GX	303.700		4.60DR	
10OCT17	B	6	DEC17	TTR	GX	303.800		13.80DR	
10OCT17	B	6	DEC17	TTR	GX	304.000		13.80DR	
10OCT17	B	15	DEC17	TTR	GX	304.100		34.50DR	
10OCT17	B	7	DEC17	TTR	GX	304.050		16.10DR	
10OCT17	B	16	DEC17	TTR	GX	304.200		36.80DR	
10OCT17	B	6	DEC17	TTR	GX	304.300		13.80DR	
10OCT17	B	22	DEC17	TTR	GX	303.900		50.60DR	
10OCT17	B	30	DEC17	TTR	GX	303.950		69.00DR	
10OCT17	B	13	DEC17	TTR	GX	304.150		29.90DR	
10OCT17	S	2	DEC17	TTR	GX		305.250	4.60DR	
10OCT17	S	18	DEC17	TTR	GX		305.350	41.40DR	
10OCT17	S	4	DEC17	TTR	GX		305.500	9.20DR	
10OCT17	S	15	DEC17	TTR	GX		305.400	34.50DR	
10OCT17	S	5	DEC17	TTR	GX		305.450	11.50DR	
10OCT17	S	11	DEC17	TTR	GX		305.550	25.30DR	
10OCT17	S	11	DEC17	TTR	GX		305.600	25.30DR	
10OCT17	S	6	DEC17	TTR	GX		306.000	13.80DR	
10OCT17	S	7	DEC17	TTR	GX		305.950	16.10DR	
10OCT17	S	2	DEC17	TTR	GX		306.250	4.60DR	
10OCT17	S	7	DEC17	TTR	GX		306.200	16.10DR	
10OCT17	S	14	DEC17	TTR	GX		306.050	32.20DR	
10OCT17	S	18	DEC17	TTR	GX		306.100	41.40DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	12	DEC17	TTR	GX		306.150	27.60DR	
10OCT17	S	2	DEC17	TTR	GX		305.900	4.60DR	
10OCT17	S	4	DEC17	TTR	GX		306.550	9.20DR	
10OCT17	S	4	DEC17	TTR	GX		306.500	9.20DR	
10OCT17	S	2	DEC17	TTR	GX		306.400	4.60DR	
10OCT17	S	7	DEC17	TTR	GX		306.450	16.10DR	
10OCT17	S	3	DEC17	TTR	GX		306.700	6.90DR	
10OCT17	S	3	DEC17	TTR	GX		306.800	6.90DR	
10OCT17	S	6	DEC17	TTR	GX		306.300	13.80DR	
10OCT17	S	5	DEC17	TTR	GX		306.350	11.50DR	
10OCT17	B	2	DEC17	TTR	GX	306.500		4.60DR	
10OCT17	S	2	DEC17	TTR	GX		306.650	4.60DR	
10OCT17	S	10	DEC17	TTR	GX		306.600	23.00DR	
10OCT17	B	2	DEC17	TTR	GX	306.700		4.60DR	
10OCT17	B	33	DEC17	TTR	GX	306.350		75.90DR	
10OCT17	B	9	DEC17	TTR	GX	306.050		20.70DR	
10OCT17	B	19	DEC17	TTR	GX	306.100		43.70DR	
10OCT17	B	16	DEC17	TTR	GX	306.150		36.80DR	
10OCT17	B	6	DEC17	TTR	GX	306.200		13.80DR	
10OCT17	B	6	DEC17	TTR	GX	306.250		13.80DR	
10OCT17	B	9	DEC17	TTR	GX	306.300		20.70DR	
10OCT17	B	3	DEC17	TTR	GX	306.450		6.90DR	
10OCT17	B	20	DEC17	TTR	GX	306.400		46.00DR	
	Commission								1,493.36DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
10OCT17		2	DEC17			303.550	303.450		50.00DR
10OCT17		2	DEC17			303.550	303.450		50.00DR
10OCT17		2	DEC17			303.500	304.000		250.00CR
10OCT17		1	DEC17			303.500	304.050		137.50CR
10OCT17		1	DEC17			303.550	304.050		125.00CR
10OCT17		3	DEC17			303.550	304.000		337.50CR
10OCT17		4	DEC17			303.850	303.950		100.00CR
10OCT17		2	DEC17			303.850	303.900		25.00CR
10OCT17		2	DEC17			303.850	303.950		50.00CR
10OCT17		3	DEC17			303.850	304.050		150.00CR
10OCT17		1	DEC17			304.100	303.900		50.00DR
10OCT17		1	DEC17			304.100	303.900		50.00DR
10OCT17		2	DEC17			304.100	305.250		575.00CR
10OCT17		6	DEC17			304.100	305.400		1,950.00CR
10OCT17		1	DEC17			304.100	305.350		312.50CR
10OCT17		4	DEC17			304.250	305.350		1,100.00CR
10OCT17		2	DEC17			304.150	305.350		600.00CR
10OCT17		2	DEC17			304.100	305.350		625.00CR
10OCT17		5	DEC17			303.650	305.350		2,125.00CR
10OCT17		2	DEC17			303.550	305.350		900.00CR
10OCT17		2	DEC17			303.450	305.350		950.00CR
10OCT17		2	DEC17			303.450	305.400		975.00CR
10OCT17		2	DEC17			303.500	305.400		950.00CR
10OCT17		2	DEC17			303.500	305.500		1,000.00CR
10OCT17		1	DEC17			303.500	305.450		487.50CR
10OCT17		1	DEC17			303.700	305.450		437.50CR
10OCT17		1	DEC17			303.750	305.450		425.00CR
10OCT17		1	DEC17			303.750	305.600		462.50CR
10OCT17		1	DEC17			303.700	305.600		475.00CR
10OCT17		2	DEC17			303.800	305.600		900.00CR
10OCT17		4	DEC17			303.800	305.550		1,750.00CR
10OCT17		5	DEC17			303.900	305.550		2,062.50CR
10OCT17		2	DEC17			303.950	305.500		775.00CR
10OCT17		2	DEC17			304.000	305.400		700.00CR
10OCT17		3	DEC17			303.900	305.400		1,125.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	2 DEC17	303.950	305.450		750.00CR
10OCT17	1 DEC17	304.000	305.550		387.50CR
10OCT17	1 DEC17	304.000	305.600		400.00CR
10OCT17	1 DEC17	304.000	305.550		387.50CR
10OCT17	1 DEC17	304.000	305.600		400.00CR
10OCT17	5 DEC17	304.050	305.600		1,937.50CR
10OCT17	1 DEC17	303.900	306.150		562.50CR
10OCT17	1 DEC17	303.900	306.100		550.00CR
10OCT17	1 DEC17	303.950	306.100		537.50CR
10OCT17	1 DEC17	303.900	306.100		550.00CR
10OCT17	2 DEC17	303.950	306.100		1,075.00CR
10OCT17	2 DEC17	303.900	306.150		1,125.00CR
10OCT17	3 DEC17	303.900	306.050		1,612.50CR
10OCT17	2 DEC17	303.950	306.000		1,025.00CR
10OCT17	2 DEC17	304.100	306.050		975.00CR
10OCT17	2 DEC17	304.050	306.050		1,000.00CR
10OCT17	1 DEC17	303.950	305.950		500.00CR
10OCT17	1 DEC17	303.950	306.050		525.00CR
10OCT17	1 DEC17	303.950	305.950		500.00CR
10OCT17	1 DEC17	303.950	306.050		525.00CR
10OCT17	1 DEC17	303.950	306.050		525.00CR
10OCT17	1 DEC17	303.900	306.000		525.00CR
10OCT17	1 DEC17	303.950	306.000		512.50CR
10OCT17	2 DEC17	303.950	306.150		1,100.00CR
10OCT17	4 DEC17	303.950	306.100		2,150.00CR
10OCT17	3 DEC17	303.900	306.100		1,650.00CR
10OCT17	2 DEC17	304.200	306.100		950.00CR
10OCT17	2 DEC17	304.200	306.050		925.00CR
10OCT17	2 DEC17	304.200	306.000		900.00CR
10OCT17	5 DEC17	304.200	305.950		2,187.50CR
10OCT17	2 DEC17	304.200	306.150		975.00CR
10OCT17	2 DEC17	304.200	306.200		1,000.00CR
10OCT17	1 DEC17	304.200	306.250		512.50CR
10OCT17	1 DEC17	304.300	306.250		487.50CR
10OCT17	5 DEC17	304.300	306.200		2,375.00CR
10OCT17	2 DEC17	303.900	306.150		1,125.00CR
10OCT17	2 DEC17	303.950	306.100		1,075.00CR
10OCT17	2 DEC17	303.950	306.050		1,050.00CR
10OCT17	2 DEC17	303.950	306.100		1,075.00CR
10OCT17	3 DEC17	303.950	306.150		1,650.00CR
10OCT17	2 DEC17	304.150	305.900		875.00CR
10OCT17	2 DEC17	304.150	306.450		1,150.00CR
10OCT17	3 DEC17	304.150	306.600		1,837.50CR
10OCT17	4 DEC17	304.150	306.600		2,450.00CR
10OCT17	1 DEC17	306.450	306.600		37.50CR
10OCT17	1 DEC17	306.450	306.550		25.00CR
10OCT17	2 DEC17	306.500	306.550		25.00CR
10OCT17	1 DEC17	306.700	306.550		37.50DR
10OCT17	1 DEC17	306.700	306.500		50.00DR
10OCT17	3 DEC17	306.350	306.500		112.50CR
10OCT17	3 DEC17	306.350	306.450		75.00CR
10OCT17	2 DEC17	306.350	306.400		25.00CR
10OCT17	2 DEC17	306.350	306.450		50.00CR
10OCT17	2 DEC17	306.350	306.700		175.00CR
10OCT17	1 DEC17	306.350	306.700		87.50CR
10OCT17	3 DEC17	306.350	306.800		337.50CR
10OCT17	1 DEC17	306.350	306.350		0.00
10OCT17	1 DEC17	306.400	306.350		12.50DR
10OCT17	3 DEC17	306.400	306.300		75.00DR
10OCT17	1 DEC17	306.350	306.300		12.50DR
10OCT17	1 DEC17	306.350	306.300		12.50DR
10OCT17	1 DEC17	306.400	306.350		12.50DR
10OCT17	1 DEC17	306.400	306.300		25.00DR
10OCT17	2 DEC17	306.400	306.350		25.00DR
10OCT17	1 DEC17	306.400	306.650		62.50CR
10OCT17	1 DEC17	306.350	306.650		75.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	2 DEC17	306.350	306.600		125.00CR
10OCT17	1 DEC17	306.300	304.250		512.50DR
10OCT17	1 DEC17	306.300	304.100		550.00DR
10OCT17	4 DEC17	306.350	304.100		2,250.00DR
10OCT17	6 DEC17	306.350	304.250		3,150.00DR
10OCT17	1 DEC17	306.350	304.300		512.50DR
10OCT17	6 DEC17	306.050	304.300		2,625.00DR
10OCT17	1 DEC17	306.100	304.300		450.00DR
10OCT17	2 DEC17	306.100	304.200		950.00DR
10OCT17	1 DEC17	306.150	304.200		487.50DR
10OCT17	1 DEC17	306.100	304.200		475.00DR
10OCT17	2 DEC17	306.150	304.200		975.00DR
10OCT17	2 DEC17	306.200	304.100		1,050.00DR
10OCT17	2 DEC17	306.200	304.000		1,100.00DR
10OCT17	6 DEC17	306.100	304.000		3,150.00DR
10OCT17	2 DEC17	306.100	303.950		1,075.00DR
10OCT17	1 DEC17	306.150	303.950		550.00DR
10OCT17	1 DEC17	306.150	303.850		575.00DR
10OCT17	1 DEC17	306.100	303.850		562.50DR
10OCT17	2 DEC17	306.100	303.800		1,150.00DR
10OCT17	1 DEC17	306.100	303.750		587.50DR
10OCT17	1 DEC17	306.100	303.800		575.00DR
10OCT17	1 DEC17	306.050	303.800		562.50DR
10OCT17	2 DEC17	306.050	303.850		1,100.00DR
10OCT17	2 DEC17	306.150	303.800		1,175.00DR
10OCT17	2 DEC17	306.100	303.900		1,100.00DR
10OCT17	1 DEC17	306.150	303.950		550.00DR
10OCT17	4 DEC17	306.150	303.800		2,350.00DR
10OCT17	2 DEC17	306.150	303.700		1,225.00DR
10OCT17	1 DEC17	306.150	303.750		600.00DR
10OCT17	1 DEC17	306.150	303.700		612.50DR
10OCT17	1 DEC17	306.200	303.700		625.00DR
10OCT17	1 DEC17	306.250	303.700		637.50DR
10OCT17	1 DEC17	306.200	303.700		625.00DR
10OCT17	4 DEC17	306.250	303.700		2,550.00DR
10OCT17	1 DEC17	306.250	303.650		650.00DR
10OCT17	1 DEC17	306.300	303.650		662.50DR
10OCT17	2 DEC17	306.300	303.500		1,400.00DR
10OCT17	2 DEC17	306.300	303.550		1,375.00DR
10OCT17	2 DEC17	306.300	303.500		1,400.00DR
10OCT17	1 DEC17	306.400	303.550		712.50DR
TOTALS					
BROUGHT FORWARD BALANCE					660,029.14CR
SETTLEMENTS					
CMX Copper Futures					24,750.00CR
COMMISSIONS					1,493.36DR
CARRIED FORWARD BALANCE					683,285.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
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SAPAB097

10th Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Authorised by the Prudential
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		5,638,907.02CR
	SETTLEMENTS		
	CMX Copper Futures		61,550.00CR
	LME Copper Grade A 25 tonnes. This Futures		491,101.25DR
	COMMISSIONS		2,283.16DR
	CARRIED FORWARD BALANCE		5,207,072.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0101A 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 5 10JAN18 DMA EL		6755.00	25.00DR	
10OCT17	B 5 10JAN18 DMA EL	6746.50		25.00DR	
	CMX Copper 25,000 CMX				
10OCT17	B 20 DEC17 GX	306.700		46.00DR	
10OCT17	S 20 MAR18 GX		308.750	46.00DR	
	Commission				142.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	4 DEC17	306.700	290.400		16,300.00DR
10OCT17	10 DEC17	306.700	290.400		40,750.00DR
10OCT17	6 DEC17	306.700	291.000		23,550.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
05OCT17	6 10OCT17	6400.91	6634.50		35,038.50CR
05OCT17	6 10OCT17	6400.91	6641.00		36,013.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,974,351.65CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				71,052.00CR
	CMX Copper Futures				80,600.00DR
	COMMISSIONS				142.00DR
	CARRIED FORWARD BALANCE				5,964,661.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB101

10th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		5,974,351.65CR
	SETTLEMENTS		
	CMX Copper Futures		80,600.00DR
	LME Copper Grade A 25 tonnes. This Futures		71,052.00CR
	COMMISSIONS		142.00DR
	CARRIED FORWARD BALANCE		5,964,661.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0107B

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
11AUG17	1 100CT17	6400.91	5813.00		14,697.75DR
11AUG17	1 100CT17	6400.91	5813.00		14,697.75DR
11AUG17	1 100CT17	6400.91	5813.00		14,697.75DR
11AUG17	1 100CT17	6400.91	5813.00		14,697.75DR
11AUG17	1 100CT17	6400.91	5813.00		14,697.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				99,825.10DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				73,488.75DR
	CARRIED FORWARD BALANCE				173,313.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
SUITE A, 105 PICCADILLY
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SAPAB107

10th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		99,825.10DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		73,488.75DR
	CARRIED FORWARD BALANCE		173,313.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118D

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
11SEP17	2 10OCT17	6721.01	5809.00		45,600.50DR
11SEP17	2 10OCT17	6721.01	5806.50		45,725.50DR
11SEP17	1 10OCT17	6721.01	5807.50		22,837.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				211,947.62CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				114,163.75DR
	CARRIED FORWARD BALANCE				97,783.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118E

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 5 12OCT17 IFC UD		6706.25	13.50DR	
10OCT17	B 5 31OCT17 IFC UD	6721.95		13.50DR	
	Commission				27.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				14,376.51CR
	COMMISSIONS				27.00DR
	CARRIED FORWARD BALANCE				14,349.51CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118G
10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18SEP17	1 100CT17	6491.47	5803.50		17,199.25DR
18SEP17	1 100CT17	6491.47	5805.00		17,161.75DR
18SEP17	1 100CT17	6491.47	5805.00		17,161.75DR
18SEP17	1 100CT17	6491.47	5803.50		17,199.25DR
18SEP17	1 100CT17	6491.47	5801.00		17,261.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				210,528.57CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				85,983.75DR
	CARRIED FORWARD BALANCE				124,544.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118J
10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 5 12OCT17 IFC UD		6706.25	13.50DR	
10OCT17	B 5 09NOV17 IFC UD	6730.95		13.50DR	
	Commission				27.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18SEP17	1 10OCT17	6491.47	5810.50		17,024.25DR
18SEP17	1 10OCT17	6491.47	5806.00		17,136.75DR
18SEP17	1 10OCT17	6491.47	5805.50		17,149.25DR
18SEP17	1 10OCT17	6491.47	5805.50		17,149.25DR
18SEP17	1 10OCT17	6491.47	5805.50		17,149.25DR
18SEP17	1 10OCT17	6491.47	5808.00		17,086.75DR
18SEP17	1 10OCT17	6491.47	5807.00		17,111.75DR
18SEP17	1 10OCT17	6491.47	5807.50		17,099.25DR
18SEP17	1 10OCT17	6491.47	5807.00		17,111.75DR
18SEP17	1 10OCT17	6491.47	5807.00		17,111.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,833.76CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				171,130.00DR
	COMMISSIONS				27.00DR
	CARRIED FORWARD BALANCE				75,323.24DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB118

10th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		105,746.29CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		371,277.50DR
	COMMISSIONS		54.00DR
	CARRIED FORWARD BALANCE		265,585.21DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119A
10th October 2017
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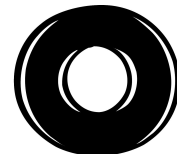
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 TTR EL	6704.00		5.00DR	
10OCT17	B 2 10JAN18 TTR EL	6706.00		10.00DR	
10OCT17	B 1 10JAN18 TTR EL	6705.00		5.00DR	
	CMX Copper 25,000 CMX				
10OCT17	S 2 DEC17 TTR II		304.500	5.00DR	
10OCT17	S 2 DEC17 TTR II		304.600	5.00DR	
10OCT17	S 4 DEC17 TTR II		304.550	10.00DR	
	Commission				40.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				166,023.88DR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				166,063.88DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
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SAPAB119

10th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		166,023.88DR
	COMMISSIONS		40.00DR
	CARRIED FORWARD BALANCE		166,063.88DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		6704.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6710.00	4.75DR	
10OCT17	S	4	10JAN18	TTR	EL		6705.50	19.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6710.50	9.50DR	
10OCT17	S	3	10JAN18	TTR	EL		6709.50	14.25DR	
10OCT17	S	2	10JAN18	TTR	EL		6704.00	9.50DR	
10OCT17	S	3	10JAN18	TTR	EL		6705.00	14.25DR	
10OCT17	S	5	10JAN18	TTR	EL		6706.00	23.75DR	
10OCT17	S	2	10JAN18	TTR	EL		6707.00	9.50DR	
10OCT17	S	2	10JAN18	TTR	EL		6687.00	9.50DR	
10OCT17	S	2	10JAN18	TTR	EL		6692.50	9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6684.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6679.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6682.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6684.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6683.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6687.50		4.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6688.50		9.50DR	
10OCT17	B	6	10JAN18	TTR	EL	6689.00		28.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6690.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6690.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6688.00		4.75DR	
10OCT17	B	5	10JAN18	TTR	EL	6696.00		23.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6694.00		9.50DR	
10OCT17	B	2	10JAN18	TTR	EL	6692.00		9.50DR	
10OCT17	B	2	10JAN18	TTR	EL	6693.00		9.50DR	
10OCT17	B	2	10JAN18	TTR	EL	6697.50		9.50DR	
10OCT17	B	5	10JAN18	TTR	EL	6697.00		23.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6696.50		9.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6707.50	4.75DR	
10OCT17	B	4	10JAN18	TTR	EL	6705.00		19.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6704.50		9.50DR	
10OCT17	B	10	10JAN18	TTR	EL	6704.00		47.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6702.50		4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6696.00	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6698.00	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6686.00	4.75DR	
10OCT17	S	11	10JAN18	TTR	EL		6687.50	52.25DR	
10OCT17	S	2	10JAN18	TTR	EL		6688.50	9.50DR	
10OCT17	S	13	10JAN18	TTR	EL		6686.50	61.75DR	
10OCT17	S	3	10JAN18	TTR	EL		6685.50	14.25DR	
10OCT17	S	1	10JAN18	TTR	EL		6682.50	4.75DR	
10OCT17	S	2	10JAN18	TTR	EL		6682.00	9.50DR	
10OCT17	S	4	10JAN18	TTR	EL		6683.50	19.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6684.50	9.50DR	
10OCT17	S	4	10JAN18	TTR	EL		6688.00	19.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6689.00	9.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6690.00	4.75DR	
10OCT17	S	4	10JAN18	TTR	EL		6695.00	19.00DR	
10OCT17	S	3	10JAN18	TTR	EL		6692.00	14.25DR	
10OCT17	S	5	10JAN18	TTR	EL		6691.00	23.75DR	
10OCT17	S	13	10JAN18	TTR	EL		6697.00	61.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6695.50	4.75DR	
10OCT17	S	3	10JAN18	TTR	EL		6691.50	14.25DR	
10OCT17	S	6	10JAN18	TTR	EL		6693.00	28.50DR	
10OCT17	S	3	10JAN18	TTR	EL		6694.00	14.25DR	
10OCT17	S	8	10JAN18	TTR	EL		6693.50	38.00DR	
10OCT17	S	6	10JAN18	TTR	EL		6694.50	28.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6701.50	4.75DR	
10OCT17	S	4	10JAN18	TTR	EL		6700.50	19.00DR	
10OCT17	S	7	10JAN18	TTR	EL		6703.00	33.25DR	
10OCT17	S	2	10JAN18	TTR	EL		6702.50	9.50DR	

Group No: SAPAB127

Page 1 of 7

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	1	10JAN18	TTR	EL	6700.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6700.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6699.00		4.75DR	
10OCT17	S	4	10JAN18	TTR	EL		6696.50	19.00DR	
10OCT17	S	5	10JAN18	TTR	EL		6697.50	23.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6728.00		4.75DR	
10OCT17	B	5	10JAN18	TTR	EL	6727.50		23.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6726.50		4.75DR	
10OCT17	B	4	10JAN18	TTR	EL	6725.00		19.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6727.00		4.75DR	
10OCT17	B	9	10JAN18	TTR	EL	6728.50		42.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6740.00		9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6736.50		4.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6739.00		9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6738.00		4.75DR	
10OCT17	B	3	10JAN18	TTR	EL	6737.00		14.25DR	
10OCT17	B	3	10JAN18	TTR	EL	6738.50		14.25DR	
10OCT17	B	6	10JAN18	TTR	EL	6741.50		28.50DR	
10OCT17	B	5	10JAN18	TTR	EL	6740.50		23.75DR	
10OCT17	B	3	10JAN18	TTR	EL	6741.00		14.25DR	
10OCT17	B	11	10JAN18	TTR	EL	6739.50		52.25DR	
10OCT17	B	3	10JAN18	TTR	EL	6737.50		14.25DR	
10OCT17	B	2	10JAN18	DMA	EL	6693.50		9.50DR	
10OCT17	B	2	10JAN18	DMA	EL	6704.00		9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6747.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6754.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6751.00		4.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6752.00		9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6758.50		4.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6754.00		9.50DR	
10OCT17	B	5	10JAN18	TTR	EL	6751.50		23.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6750.50		4.75DR	
10OCT17	B	2	10JAN18	TTR	EL	6749.50		9.50DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.50		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6749.00		4.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6747.00		4.75DR	
10OCT17	S	2	10JAN18	TTR	EL		6746.50	9.50DR	
10OCT17	S	6	10JAN18	TTR	EL		6746.00	28.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6743.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6739.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6742.00	4.75DR	
10OCT17	S	6	10JAN18	TTR	EL		6741.50	28.50DR	
10OCT17	S	3	10JAN18	TTR	EL		6740.50	14.25DR	
10OCT17	S	1	10JAN18	TTR	EL		6741.00	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6743.00	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6744.00	4.75DR	
10OCT17	S	2	10JAN18	TTR	EL		6748.00	9.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6749.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6747.50	4.75DR	
10OCT17	S	12	10JAN18	TTR	EL		6747.00	57.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6750.50	9.50DR	
10OCT17	S	1	10JAN18	TTR	EL		6753.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6751.00	4.75DR	
10OCT17	S	7	10JAN18	TTR	EL		6752.00	33.25DR	
10OCT17	S	4	10JAN18	TTR	EL		6751.50	19.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6753.00	4.75DR	
CMX Copper 25,000 CMX									
10OCT17	B	6	DEC17	TTR	GX	304.700		14.40DR	
10OCT17	B	6	DEC17	TTR	GX	304.650		14.40DR	
10OCT17	B	9	DEC17	TTR	GX	304.450		21.60DR	
10OCT17	B	18	DEC17	TTR	GX	304.500		43.20DR	
10OCT17	B	10	DEC17	TTR	GX	304.550		24.00DR	
10OCT17	B	2	DEC17	TTR	GX	304.300		4.80DR	
10OCT17	S	3	DEC17	TTR	GX		303.350	7.20DR	
10OCT17	S	2	DEC17	TTR	GX		303.500	4.80DR	
10OCT17	S	6	DEC17	TTR	GX		303.550	14.40DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

10th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	2	DEC17	TTR	GX		303.650	4.80DR	
10OCT17	S	11	DEC17	TTR	GX		303.800	26.40DR	
10OCT17	S	4	DEC17	TTR	GX		303.700	9.60DR	
10OCT17	S	9	DEC17	TTR	GX		303.750	21.60DR	
10OCT17	S	2	DEC17	TTR	GX		303.950	4.80DR	
10OCT17	S	7	DEC17	TTR	GX		304.000	16.80DR	
10OCT17	S	8	DEC17	TTR	GX		304.050	19.20DR	
10OCT17	S	12	DEC17	TTR	GX		304.150	28.80DR	
10OCT17	B	2	DEC17	TTR	GX	304.600		4.80DR	
10OCT17	S	8	DEC17	TTR	GX		304.550	19.20DR	
10OCT17	S	22	DEC17	TTR	GX		304.500	52.80DR	
10OCT17	S	2	DEC17	TTR	GX		304.400	4.80DR	
10OCT17	S	9	DEC17	TTR	GX		304.450	21.60DR	
10OCT17	B	23	DEC17	TTR	GX	303.600		55.20DR	
10OCT17	B	32	DEC17	TTR	GX	303.650		76.80DR	
10OCT17	B	4	DEC17	TTR	GX	303.450		9.60DR	
10OCT17	B	2	DEC17	TTR	GX	303.400		4.80DR	
10OCT17	B	6	DEC17	TTR	GX	303.500		14.40DR	
10OCT17	B	13	DEC17	TTR	GX	303.550		31.20DR	
10OCT17	B	6	DEC17	TTR	GX	303.750		14.40DR	
10OCT17	B	16	DEC17	TTR	GX	303.700		38.40DR	
10OCT17	B	6	DEC17	TTR	GX	303.850		14.40DR	
10OCT17	B	26	DEC17	TTR	GX	303.900		62.40DR	
10OCT17	B	13	DEC17	TTR	GX	303.800		31.20DR	
10OCT17	B	23	DEC17	TTR	GX	303.950		55.20DR	
10OCT17	B	20	DEC17	TTR	GX	304.000		48.00DR	
10OCT17	B	10	DEC17	TTR	GX	304.250		24.00DR	
10OCT17	B	1	DEC17	TTR	GX	304.200		2.40DR	
10OCT17	B	19	DEC17	TTR	GX	304.350		45.60DR	
10OCT17	S	6	DEC17	TTR	GX		304.250	14.40DR	
10OCT17	S	19	DEC17	TTR	GX		304.200	45.60DR	
10OCT17	B	36	DEC17	TTR	GX	304.100		86.40DR	
10OCT17	B	11	DEC17	TTR	GX	304.050		26.40DR	
10OCT17	B	10	DEC17	TTR	GX	304.150		24.00DR	
10OCT17	S	7	DEC17	TTR	GX		305.500	16.80DR	
10OCT17	S	2	DEC17	TTR	GX		305.450	4.80DR	
10OCT17	S	9	DEC17	TTR	GX		305.400	21.60DR	
10OCT17	S	9	DEC17	TTR	GX		305.550	21.60DR	
10OCT17	S	19	DEC17	TTR	GX		305.600	45.60DR	
10OCT17	S	5	DEC17	TTR	GX		305.950	12.00DR	
10OCT17	S	21	DEC17	TTR	GX		306.150	50.40DR	
10OCT17	S	10	DEC17	TTR	GX		306.200	24.00DR	
10OCT17	S	10	DEC17	TTR	GX		306.050	24.00DR	
10OCT17	S	31	DEC17	TTR	GX		306.100	74.40DR	
10OCT17	S	2	DEC17	TTR	GX		305.900	4.80DR	
10OCT17	S	9	DEC17	TTR	GX		306.000	21.60DR	
10OCT17	S	2	DEC17	TTR	GX		306.700	4.80DR	
10OCT17	S	2	DEC17	TTR	GX		306.800	4.80DR	
10OCT17	S	4	DEC17	TTR	GX		306.750	9.60DR	
10OCT17	S	21	DEC17	TTR	GX		306.650	50.40DR	
10OCT17	S	2	DEC17	TTR	GX		306.500	4.80DR	
10OCT17	S	4	DEC17	TTR	GX		306.450	9.60DR	
10OCT17	S	7	DEC17	TTR	GX		306.550	16.80DR	
10OCT17	B	5	DEC17	TTR	GX	306.300		12.00DR	
10OCT17	B	7	DEC17	TTR	GX	306.050		16.80DR	
10OCT17	B	12	DEC17	TTR	GX	306.100		28.80DR	
10OCT17	B	9	DEC17	TTR	GX	306.150		21.60DR	
10OCT17	B	3	DEC17	TTR	GX	306.250		7.20DR	
10OCT17	B	3	DEC17	TTR	GX	306.200		7.20DR	
10OCT17	B	2	DEC17	TTR	GX	306.450		4.80DR	
10OCT17	B	36	DEC17	TTR	GX	306.350		86.40DR	
10OCT17	B	8	DEC17	TTR	GX	306.400		19.20DR	
10OCT17	B	3	DEC17	TTR	GX	306.700		7.20DR	
10OCT17	B	12	DEC17	TTR	GX	306.550		28.80DR	
10OCT17	B	21	DEC17	TTR	GX	306.600		50.40DR	
Commission									3,469.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
10OCT17	2 DEC17	304.450	303.550		450.00DR
10OCT17	2 DEC17	304.500	303.350		575.00DR
10OCT17	1 DEC17	304.450	303.350		275.00DR
10OCT17	1 DEC17	304.450	303.500		237.50DR
10OCT17	1 DEC17	304.350	303.500		212.50DR
10OCT17	1 DEC17	304.350	303.550		200.00DR
10OCT17	1 DEC17	304.300	303.550		187.50DR
10OCT17	2 DEC17	304.000	303.550		225.00DR
10OCT17	2 DEC17	304.700	303.650		525.00DR
10OCT17	1 DEC17	304.500	303.700		200.00DR
10OCT17	1 DEC17	304.500	303.750		187.50DR
10OCT17	1 DEC17	304.500	303.700		200.00DR
10OCT17	3 DEC17	304.500	303.750		562.50DR
10OCT17	3 DEC17	304.550	303.800		562.50DR
10OCT17	4 DEC17	304.700	303.800		900.00DR
10OCT17	2 DEC17	304.650	303.750		450.00DR
10OCT17	2 DEC17	304.650	303.800		425.00DR
10OCT17	2 DEC17	304.650	303.800		425.00DR
10OCT17	1 DEC17	304.550	303.750		200.00DR
10OCT17	1 DEC17	304.450	303.750		175.00DR
10OCT17	1 DEC17	304.450	303.700		187.50DR
10OCT17	1 DEC17	304.550	303.700		212.50DR
10OCT17	1 DEC17	304.450	303.750		175.00DR
10OCT17	1 DEC17	304.450	304.050		100.00DR
10OCT17	1 DEC17	304.500	304.050		112.50DR
10OCT17	7 DEC17	304.500	304.150		612.50DR
10OCT17	1 DEC17	304.450	304.150		75.00DR
10OCT17	2 DEC17	304.500	304.150		175.00DR
10OCT17	1 DEC17	304.550	304.150		100.00DR
10OCT17	3 DEC17	304.550	304.050		375.00DR
10OCT17	1 DEC17	304.550	304.000		137.50DR
10OCT17	1 DEC17	304.300	304.000		75.00DR
10OCT17	1 DEC17	303.500	304.050		137.50CR
10OCT17	1 DEC17	303.500	303.950		112.50CR
10OCT17	1 DEC17	303.550	303.950		100.00CR
10OCT17	1 DEC17	303.550	304.000		112.50CR
10OCT17	4 DEC17	303.600	304.000		400.00CR
10OCT17	2 DEC17	303.600	304.050		225.00CR
10OCT17	1 DEC17	303.650	304.250		150.00CR
10OCT17	1 DEC17	303.650	304.250		150.00CR
10OCT17	2 DEC17	303.650	304.200		275.00CR
10OCT17	2 DEC17	303.650	304.200		275.00CR
10OCT17	1 DEC17	303.600	304.200		150.00CR
10OCT17	1 DEC17	303.650	304.200		137.50CR
10OCT17	2 DEC17	303.700	304.200		250.00CR
10OCT17	1 DEC17	303.700	304.200		125.00CR
10OCT17	3 DEC17	303.650	304.200		412.50CR
10OCT17	1 DEC17	303.650	304.200		137.50CR
10OCT17	3 DEC17	303.650	304.200		412.50CR
10OCT17	1 DEC17	303.700	304.200		125.00CR
10OCT17	1 DEC17	303.700	304.150		112.50CR
10OCT17	1 DEC17	303.600	304.550		237.50CR
10OCT17	3 DEC17	303.600	304.550		712.50CR
10OCT17	1 DEC17	303.600	304.500		225.00CR
10OCT17	2 DEC17	303.600	304.550		475.00CR
10OCT17	2 DEC17	303.700	304.500		400.00CR
10OCT17	2 DEC17	303.600	304.500		450.00CR
10OCT17	1 DEC17	303.550	304.500		237.50CR
10OCT17	1 DEC17	303.550	304.550		250.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

10th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	2 DEC17	303.550	304.500		475.00CR
10OCT17	1 DEC17	303.650	304.500		212.50CR
10OCT17	1 DEC17	303.650	304.500		212.50CR
10OCT17	1 DEC17	303.600	304.500		225.00CR
10OCT17	2 DEC17	303.650	304.500		425.00CR
10OCT17	2 DEC17	303.650	304.500		425.00CR
10OCT17	1 DEC17	303.650	304.500		212.50CR
10OCT17	1 DEC17	303.650	304.450		200.00CR
10OCT17	3 DEC17	303.650	304.450		600.00CR
10OCT17	1 DEC17	303.650	304.500		212.50CR
10OCT17	1 DEC17	303.650	304.450		200.00CR
10OCT17	2 DEC17	303.700	304.450		375.00CR
10OCT17	1 DEC17	303.900	304.450		137.50CR
10OCT17	1 DEC17	303.900	304.500		150.00CR
10OCT17	1 DEC17	303.950	304.550		150.00CR
10OCT17	1 DEC17	303.950	304.500		137.50CR
10OCT17	1 DEC17	303.900	304.500		150.00CR
10OCT17	1 DEC17	303.900	304.500		150.00CR
10OCT17	1 DEC17	303.800	304.500		175.00CR
10OCT17	2 DEC17	303.800	304.400		300.00CR
10OCT17	1 DEC17	303.850	304.450		150.00CR
10OCT17	1 DEC17	303.850	304.250		100.00CR
10OCT17	2 DEC17	303.900	304.250		175.00CR
10OCT17	1 DEC17	303.850	304.250		100.00CR
10OCT17	1 DEC17	303.850	304.200		87.50CR
10OCT17	1 DEC17	304.000	304.200		50.00CR
10OCT17	1 DEC17	304.000	305.550		387.50CR
10OCT17	1 DEC17	303.750	305.550		450.00CR
10OCT17	2 DEC17	303.950	305.600		825.00CR
10OCT17	1 DEC17	304.150	305.600		362.50CR
10OCT17	1 DEC17	304.150	305.600		362.50CR
10OCT17	2 DEC17	304.600	305.600		500.00CR
10OCT17	4 DEC17	304.100	305.600		1,500.00CR
10OCT17	2 DEC17	304.100	305.550		725.00CR
10OCT17	1 DEC17	304.100	305.500		350.00CR
10OCT17	1 DEC17	304.100	305.550		362.50CR
10OCT17	6 DEC17	304.100	305.500		2,100.00CR
10OCT17	2 DEC17	304.100	305.450		675.00CR
10OCT17	5 DEC17	304.100	305.400		1,625.00CR
10OCT17	2 DEC17	304.150	305.400		625.00CR
10OCT17	2 DEC17	304.100	305.400		650.00CR
10OCT17	2 DEC17	304.100	305.550		725.00CR
10OCT17	2 DEC17	304.050	305.600		775.00CR
10OCT17	1 DEC17	304.050	305.550		375.00CR
10OCT17	1 DEC17	304.150	305.550		350.00CR
10OCT17	1 DEC17	304.150	305.600		362.50CR
10OCT17	6 DEC17	303.600	305.600		3,000.00CR
10OCT17	2 DEC17	303.700	306.100		1,200.00CR
10OCT17	2 DEC17	303.750	306.100		1,175.00CR
10OCT17	2 DEC17	303.700	306.100		1,200.00CR
10OCT17	2 DEC17	303.650	306.100		1,225.00CR
10OCT17	2 DEC17	303.650	306.150		1,250.00CR
10OCT17	1 DEC17	303.650	306.100		612.50CR
10OCT17	4 DEC17	303.550	306.100		2,550.00CR
10OCT17	4 DEC17	303.450	306.100		2,650.00CR
10OCT17	2 DEC17	303.400	306.150		1,375.00CR
10OCT17	4 DEC17	303.500	306.150		2,650.00CR
10OCT17	3 DEC17	303.550	306.150		1,950.00CR
10OCT17	2 DEC17	303.700	306.050		1,175.00CR
10OCT17	2 DEC17	303.750	306.000		1,125.00CR
10OCT17	1 DEC17	303.750	306.050		575.00CR
10OCT17	1 DEC17	303.700	306.050		587.50CR
10OCT17	1 DEC17	303.800	306.050		562.50CR
10OCT17	1 DEC17	303.800	305.950		537.50CR
10OCT17	1 DEC17	303.900	305.950		512.50CR
10OCT17	2 DEC17	303.900	306.050		1,075.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	2 DEC17	303.900	306.000		1,050.00CR
10OCT17	1 DEC17	303.900	305.950		512.50CR
10OCT17	2 DEC17	303.900	306.000		1,050.00CR
10OCT17	2 DEC17	303.900	306.050		1,075.00CR
10OCT17	2 DEC17	303.900	306.000		1,050.00CR
10OCT17	2 DEC17	304.000	305.950		975.00CR
10OCT17	2 DEC17	304.000	306.150		1,075.00CR
10OCT17	2 DEC17	304.000	306.100		1,050.00CR
10OCT17	1 DEC17	303.950	306.100		537.50CR
10OCT17	1 DEC17	304.000	306.100		525.00CR
10OCT17	1 DEC17	304.000	306.150		537.50CR
10OCT17	1 DEC17	303.950	306.150		550.00CR
10OCT17	1 DEC17	303.950	306.200		562.50CR
10OCT17	2 DEC17	303.900	306.200		1,150.00CR
10OCT17	2 DEC17	303.950	306.200		1,125.00CR
10OCT17	1 DEC17	303.850	306.200		587.50CR
10OCT17	1 DEC17	303.800	306.200		600.00CR
10OCT17	1 DEC17	303.850	306.150		575.00CR
10OCT17	1 DEC17	303.900	306.150		562.50CR
10OCT17	2 DEC17	304.000	306.150		1,075.00CR
10OCT17	2 DEC17	304.100	306.150		1,025.00CR
10OCT17	2 DEC17	304.100	306.200		1,050.00CR
10OCT17	1 DEC17	304.050	306.200		537.50CR
10OCT17	1 DEC17	304.050	306.050		500.00CR
10OCT17	1 DEC17	303.950	306.100		537.50CR
10OCT17	3 DEC17	304.050	306.100		1,537.50CR
10OCT17	2 DEC17	304.000	306.100		1,050.00CR
10OCT17	1 DEC17	303.950	306.100		537.50CR
10OCT17	1 DEC17	303.950	306.100		537.50CR
10OCT17	2 DEC17	303.950	306.100		1,075.00CR
10OCT17	1 DEC17	303.950	305.900		487.50CR
10OCT17	1 DEC17	303.900	305.900		500.00CR
10OCT17	1 DEC17	303.950	306.000		512.50CR
10OCT17	2 DEC17	303.950	306.450		1,250.00CR
10OCT17	1 DEC17	303.950	306.750		700.00CR
10OCT17	1 DEC17	303.800	306.750		737.50CR
10OCT17	4 DEC17	303.800	306.650		2,850.00CR
10OCT17	1 DEC17	303.800	306.650		712.50CR
10OCT17	2 DEC17	303.900	306.650		1,375.00CR
10OCT17	2 DEC17	303.900	306.700		1,400.00CR
10OCT17	1 DEC17	303.800	306.800		750.00CR
10OCT17	1 DEC17	303.950	306.800		712.50CR
10OCT17	2 DEC17	303.950	306.750		1,400.00CR
10OCT17	1 DEC17	303.950	306.650		675.00CR
10OCT17	4 DEC17	304.000	306.650		2,650.00CR
10OCT17	3 DEC17	304.100	306.650		1,912.50CR
10OCT17	5 DEC17	304.250	306.650		3,000.00CR
10OCT17	4 DEC17	304.250	306.550		2,300.00CR
10OCT17	1 DEC17	304.250	306.650		600.00CR
10OCT17	1 DEC17	304.200	306.500		575.00CR
10OCT17	1 DEC17	304.350	306.500		537.50CR
10OCT17	2 DEC17	304.350	306.550		1,100.00CR
10OCT17	2 DEC17	304.350	306.450		1,050.00CR
10OCT17	1 DEC17	304.350	306.550		550.00CR
10OCT17	1 DEC17	304.350	303.750		150.00DR
10OCT17	4 DEC17	304.350	303.900		450.00DR
10OCT17	2 DEC17	304.350	303.900		225.00DR
10OCT17	3 DEC17	304.350	303.900		337.50DR
10OCT17	1 DEC17	304.350	303.850		125.00DR
10OCT17	1 DEC17	304.050	303.900		37.50DR
10OCT17	1 DEC17	304.100	303.900		50.00DR
10OCT17	1 DEC17	304.100	303.850		62.50DR
10OCT17	1 DEC17	304.050	303.850		50.00DR
10OCT17	1 DEC17	304.100	303.850		62.50DR
10OCT17	3 DEC17	304.150	303.850		225.00DR
10OCT17	1 DEC17	304.100	303.850		62.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC17	304.050	303.900		37.50DR
10OCT17	1 DEC17	304.150	303.900		62.50DR
10OCT17	2 DEC17	306.350	303.850		1,250.00DR
10OCT17	2 DEC17	306.350	303.800		1,275.00DR
10OCT17	5 DEC17	306.350	303.850		3,125.00DR
10OCT17	2 DEC17	306.350	304.000		1,175.00DR
10OCT17	2 DEC17	306.350	303.900		1,225.00DR
10OCT17	2 DEC17	306.300	303.650		1,325.00DR
10OCT17	1 DEC17	306.350	303.650		675.00DR
10OCT17	1 DEC17	306.300	303.650		662.50DR
10OCT17	1 DEC17	306.300	303.550		687.50DR
10OCT17	1 DEC17	306.300	303.700		650.00DR
10OCT17	9 DEC17	306.350	303.700		5,962.50DR
10OCT17	1 DEC17	306.400	303.700		675.00DR
10OCT17	1 DEC17	306.400	303.400		750.00DR
10OCT17	1 DEC17	306.350	303.400		737.50DR
10OCT17	4 DEC17	306.350	304.550		1,800.00DR
10OCT17	2 DEC17	306.350	304.450		950.00DR
10OCT17	2 DEC17	306.350	304.550		900.00DR
10OCT17	2 DEC17	306.350	304.650		850.00DR
10OCT17	1 DEC17	306.150	304.650		375.00DR
10OCT17	1 DEC17	306.150	304.400		437.50DR
10OCT17	1 DEC17	306.050	304.400		412.50DR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					548,033.05CR
SETTLEMENTS					
CMX Copper Futures					69,250.00CR
COMMISSIONS					3,469.85DR
CARRIED FORWARD BALANCE					613,813.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0127F 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	6725.50		4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6698.50	4.75DR	
10OCT17	S	3	10JAN18	TTR	EL		6700.50	14.25DR	
10OCT17	S	1	10JAN18	TTR	EL		6701.50	4.75DR	
10OCT17	S	1	10JAN18	TTR	EL		6751.50	4.75DR	
	CMX Copper 25,000 CMX								
10OCT17	S	2	DEC17	TTR	GX		305.500	4.80DR	
10OCT17	B	8	DEC17	TTR	GX	304.250		19.20DR	
10OCT17	B	3	DEC17	TTR	GX	304.300		7.20DR	
10OCT17	B	2	DEC17	TTR	GX	306.600		4.80DR	
	Commission								69.25DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
10OCT17		2	DEC17			304.250	305.500		625.00CR
10OCT17		2	DEC17			304.250	297.750		3,250.00DR
10OCT17		2	DEC17			304.250	297.700		3,275.00DR
10OCT17		2	DEC17			304.250	297.650		3,300.00DR
10OCT17		3	DEC17			304.300	297.650		4,987.50DR
10OCT17		2	DEC17			306.600	297.650		4,475.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								278,970.59CR
	SETTLEMENTS								
	CMX Copper Futures								18,662.50DR
	COMMISSIONS								69.25DR
	CARRIED FORWARD BALANCE								260,238.84CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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10th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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Prudential Regulation Authority. Details about the extent
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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		992,173.24CR
	SETTLEMENTS		
	CMX Copper Futures		50,587.50CR
	COMMISSIONS		3,539.10DR
	CARRIED FORWARD BALANCE		1,039,221.64CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 TTR EL	6740.00		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6750.00		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6754.50		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6750.50		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6749.50		3.44DR	
10OCT17	B 3 10JAN18 TTR EL	6747.50		10.32DR	
10OCT17	B 1 10JAN18 TTR EL	6746.00		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6745.00		3.44DR	
10OCT17	B 1 10JAN18 TTR EL	6744.00		3.44DR	
10OCT17	B 6 10JAN18 TTR EL	6752.50		20.64DR	
10OCT17	B 2 10JAN18 TTR EL	6751.50		6.88DR	
10OCT17	B 2 10JAN18 TTR EL	6760.50		6.88DR	
10OCT17	B 1 10JAN18 TTR EL	6759.00		3.44DR	
10OCT17	B 2 10JAN18 TTR EL	6758.00		6.88DR	
10OCT17	B 5 10JAN18 TTR EL	6756.00		17.20DR	
10OCT17	B 2 10JAN18 TTR EL	6753.50		6.88DR	
10OCT17	B 7 10JAN18 TTR EL	6755.00		24.08DR	
10OCT17	B 6 10JAN18 TTR EL	6748.00		20.64DR	
10OCT17	B 1 10JAN18 DMA EL	6745.00		3.44DR	
10OCT17	B 1 10JAN18 DMA EL	6759.00		3.44DR	
	CMX Copper 25,000 CMX				
10OCT17	S 2 DEC17 TTR GX		306.050		
10OCT17	S 4 DEC17 TTR GX		306.500		
10OCT17	S 10 DEC17 TTR GX		306.450		
10OCT17	S 2 DEC17 TTR GX		306.350		
10OCT17	S 2 DEC17 TTR GX		306.250		
10OCT17	S 8 DEC17 TTR GX		306.300		
10OCT17	S 12 DEC17 TTR GX		306.650		
10OCT17	S 6 DEC17 TTR GX		307.000		
10OCT17	S 4 DEC17 TTR GX		306.950		
10OCT17	S 2 DEC17 TTR GX		306.900		
10OCT17	S 6 DEC17 TTR GX		306.850		
10OCT17	S 11 DEC17 TTR GX		306.750		
10OCT17	S 7 DEC17 TTR GX		306.700		
10OCT17	S 10 DEC17 TTR GX		306.800		
10OCT17	S 7 DEC17 TTR GX		306.550		
10OCT17	S 8 DEC17 TTR GX		306.400		
	Commission				158.24DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,406,379.10CR
	COMMISSIONS				158.24DR
	CARRIED FORWARD BALANCE				1,406,220.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,406,379.10CR
	COMMISSIONS		158.24DR
	CARRIED FORWARD BALANCE		1,406,220.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
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SAPCASH
10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0112 Fund Received 10/10/17				149,980.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,086,903.45CR
	CASH PAYMENTS/RECEIPTS				149,980.00DR
	CARRIED FORWARD BALANCE				4,936,923.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SUITE A, 105 PICCADILLY
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10th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		9,203,089.30CR
	CASH PAYMENTS/RECEIPTS		149,980.00DR
	CARRIED FORWARD BALANCE		9,053,109.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011I
10th October 2017
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	3 Month Euro (Euribor) 2,500 IFL				
10OCT17	B 50 SEP18 TTR EL	100.2650			
10OCT17	S 50 DEC18 TTR EL		100.2200		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				625.00CR
	CARRIED FORWARD BALANCE				625.00CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 10OCT17	5957.37	5809.00		3,709.25DR
20JUL17	1 10OCT17	5957.37	5806.00		3,784.25DR
20JUL17	1 10OCT17	5957.37	5807.00		3,759.25DR
20JUL17	1 10OCT17	5957.37	5803.00		3,859.25DR
20JUL17	1 10OCT17	5957.37	5813.00		3,609.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				267,565.45DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				18,721.25DR
	CARRIED FORWARD BALANCE				286,286.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 TTR EL	2162.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 TTR EL		3290.00	5.00DR	
	Commission				10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				10.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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10th Oct 2017

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		13,443.88CR
	CARRIED FORWARD BALANCE		13,443.88CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,130,378.13CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		18,721.25DR
	COMMISSIONS		10.00DR
	CARRIED FORWARD BALANCE		1,111,646.88CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	S 1 DEC17 GX		51.30	2.40DR	
10OCT17	B 1 DEC17 GX	51.25		2.40DR	
10OCT17	S 1 DEC17 GX		51.25	2.40DR	
10OCT17	S 1 JAN18 GX		51.46	2.40DR	
10OCT17	B 1 APR18 GX	51.73		2.40DR	
10OCT17	S 1 SEP18 GX		51.53	2.40DR	
10OCT17	B 1 DEC18 GX	51.41		2.40DR	
10OCT17	S 1 DEC18 GX		51.34	2.40DR	
10OCT17	B 1 DEC18 GX	51.25		2.40DR	
10OCT17	B 1 DEC19 GX	50.49		2.40DR	
10OCT17	S 1 DEC19 GX		50.46	2.40DR	
10OCT17	S 1 DEC20 GX		50.19	2.40DR	
10OCT17	B 1 DEC20 GX	50.12		2.40DR	
10OCT17	B 1 DEC21 GX	50.18		2.40DR	
	Commission				33.60DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	1 DEC17	51.25	51.30		50.00CR
10OCT17	1 APR18	51.73	50.91		820.00DR
10OCT17	1 SEP18	50.88	51.53		650.00CR
10OCT17	1 DEC18	51.41	51.34		70.00DR
10OCT17	1 DEC18	51.25	50.32		930.00DR
10OCT17	1 DEC19	50.49	50.46		30.00DR
10OCT17	1 DEC20	50.12	50.19		70.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,165.31CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				1,080.00DR
	COMMISSIONS				33.60DR
	CARRIED FORWARD BALANCE				87,051.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0023

10th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		139,377.82CR
	SETTLEMENTS		
	NYM Light Sweet Crude Oil Futures		1,080.00DR
	COMMISSIONS		33.60DR
	CARRIED FORWARD BALANCE		138,264.22CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	<u>BUSINESS DONE TODAY</u> NYM Light Sweet Crude Oil 1,000 NYM S 5 NOV17 56.50 Call GX Commission		0.01	11.60DR	50.00CR 11.60DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 206,266.46DR OPTION PREMIUMS 50.00CR COMMISSIONS 11.60DR CARRIED FORWARD BALANCE 206,228.06DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 10OCT17	5964.62	5826.00		3,465.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 166,086.72DR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 3,465.50DR CARRIED FORWARD BALANCE 169,552.22DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
<u>BUSINESS DONE TODAY</u>					
NYM Light Sweet Crude Oil 1,000 NYM					
10OCT17	S 1 NOV17 GX		50.82	2.32DR	
10OCT17	B 1 NOV17 GX	50.80		2.32DR	
10OCT17	S 1 NOV17 GX		50.88	2.32DR	
10OCT17	B 1 NOV17 GX	50.86		2.32DR	
10OCT17	B 1 NOV17 48.00 Put GX	0.14		2.32DR	140.00DR
10OCT17	S 2 NOV17 47.50 Put GX		0.08	4.64DR	160.00CR
10OCT17	S 1 NOV17 52.00 Call GX		0.25	2.32DR	250.00CR
10OCT17	B 1 NOV17 53.00 Call GX	0.11		2.32DR	110.00DR
10OCT17	S 1 NOV17 52.00 Call GX		0.26	2.32DR	260.00CR
10OCT17	B 1 NOV17 53.00 Call GX	0.10		2.32DR	100.00DR
10OCT17	B 2 NOV17 54.50 Call GX	0.03		4.64DR	60.00DR
10OCT17	S 2 NOV17 55.00 Call GX		0.02	4.64DR	40.00CR
10OCT17	S 1 NOV17 56.00 Call GX		0.01	2.32DR	10.00CR
10OCT17	S 5 NOV17 48.00 Put GX		0.05	11.60DR	250.00CR
10OCT17	B 2 NOV17 49.00 Put GX	0.13		4.64DR	260.00DR
10OCT17	S 1 NOV17 54.00 Call GX		0.05	2.32DR	50.00CR
10OCT17	B 1 NOV17 53.50 Call GX	0.08		2.32DR	80.00DR
	Commission				58.00DR
<u>SETTLEMENTS</u>					
NYM Light Sweet Crude Oil 1,000 NYM					
10OCT17	1 NOV17	50.80	50.82		20.00CR
10OCT17	1 NOV17	50.86	50.88		20.00CR
10OCT17	1 NOV17 48.00 Put	0.14	0.05		0.00
10OCT17	1 NOV17 56.00 Call	0.17	0.01		0.00
10OCT17	1 NOV17 54.50 Call	0.03	0.09		0.00
10OCT17	1 NOV17 53.00 Call	0.11	0.25		0.00
10OCT17	1 NOV17 52.00 Call	0.39	0.25		0.00
10OCT17	1 NOV17 52.00 Call	0.36	0.26		0.00
10OCT17	4 NOV17 48.00 Put	0.35	0.05		0.00
10OCT17	1 NOV17 54.00 Call	0.13	0.05		0.00
10OCT17	1 NOV17 47.50 Put	0.19	0.08		0.00
10OCT17	1 NOV17 47.50 Put	0.11	0.08		0.00
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					1,056.70CR
OPTION PREMIUMS					270.00CR
SETTLEMENTS					
NYM Light Sweet Crude Oil Futures					40.00CR
COMMISSIONS					58.00DR
CARRIED FORWARD BALANCE					1,308.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025P

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	S 2 10JAN18 CQG EL		2158.50	10.00DR	
10OCT17	B 2 10JAN18 CQG EL	2156.50		10.00DR	
10OCT17	B 2 10JAN18 CQG EL	2154.00		10.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 2 10JAN18 CQG EL		2512.00	10.00DR	
	Commission				40.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,900.62DR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				5,940.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025Z

10th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
10OCT17	S	1	NOV17	52.00	Call	GX		0.28	2.32DR	280.00CR
10OCT17	B	6	NOV17	54.50	Call	GX	0.03		13.92DR	180.00DR
10OCT17	S	1	NOV17	52.00	Call	GX		0.26	2.32DR	260.00CR
10OCT17	B	2	NOV17	49.00	Put	GX	0.13		4.64DR	260.00DR
10OCT17	S	5	NOV17	48.00	Put	GX		0.05	11.60DR	250.00CR
10OCT17	S	1	NOV17	47.50	Put	GX		0.03	2.32DR	30.00CR
10OCT17	B	1	NOV17	48.00	Put	GX	0.05		2.32DR	50.00DR
	Commission									39.44DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
10OCT17		1	NOV17	48.00	Put		0.05	0.05		0.00
10OCT17		1	NOV17	52.00	Call		0.23	0.28		0.00
10OCT17		1	NOV17	52.00	Call		0.23	0.26		0.00
10OCT17		1	NOV17	48.00	Put		0.27	0.05		0.00
10OCT17		1	NOV17	48.00	Put		0.24	0.05		0.00
10OCT17		2	NOV17	48.00	Put		0.35	0.05		0.00
10OCT17		1	NOV17	47.50	Put		0.11	0.03		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									1,245.45CR
	OPTION PREMIUMS									330.00CR
	COMMISSIONS									39.44DR
	CARRIED FORWARD BALANCE									1,536.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0025

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		711,649.37CR
	OPTION PREMIUMS		650.00CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		3,465.50DR
	NYM Light Sweet Crude Oil Futures		40.00CR
	COMMISSIONS		149.04DR
	CARRIED FORWARD BALANCE		708,724.83CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
11JUL17	<u>MATCH OUTS</u> LME Lead 25 tonnes. This is an LME registered client contract 1 10OCT17	2304.96	2282.50		561.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 20,948.66DR SETTLEMENTS LME Lead 25 tonnes. This is an Futures 561.50DR CARRIED FORWARD BALANCE 21,510.16DR				

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CNX Nifty (India) Index 2 SIM				
100CT17	B 4 OCT17 EL	10031.50		6.92DR	
100CT17	S 5 OCT17 EL		10048.00	8.65DR	
100CT17	S 1 OCT17 EL		10040.00	1.73DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	B 1 NOV17 TTR II	49.79		1.22DR	
100CT17	S 1 NOV17 TTR II		49.91	1.22DR	
100CT17	S 2 NOV17 TTR II		49.99	2.44DR	
100CT17	S 1 NOV17 TTR II		50.03	1.22DR	
100CT17	S 2 NOV17 TTR II		50.02	2.44DR	
100CT17	S 2 NOV17 TTR II		50.15	2.44DR	
100CT17	S 2 NOV17 TTR II		50.13	2.44DR	
100CT17	S 10 NOV17 TTR II		50.14	12.20DR	
100CT17	S 8 NOV17 TTR II		50.54	9.76DR	
100CT17	B 1 NOV17 TTR II	50.63		1.22DR	
100CT17	S 3 NOV17 TTR II		50.53	3.66DR	
100CT17	S 2 NOV17 TTR II		50.51	2.44DR	
100CT17	S 1 NOV17 TTR II		50.60	1.22DR	
100CT17	S 1 NOV17 TTR II		50.63	1.22DR	
100CT17	S 1 NOV17 TTR II		51.04	1.22DR	
100CT17	S 1 NOV17 TTR II		50.94	1.22DR	
100CT17	B 3 NOV17 TTR II	50.94		3.66DR	
100CT17	B 3 NOV17 TTR II	50.92		3.66DR	
100CT17	B 2 NOV17 TTR II	50.93		2.44DR	
100CT17	S 1 NOV17 TTR II		50.91	1.22DR	
100CT17	B 1 NOV17 TTR II	50.91		1.22DR	
	CMX Copper 25,000 CMX				
100CT17	B 2 DEC17 TTR II	306.500		3.16DR	
	CMX Gold 100 CMX				
100CT17	B 2 DEC17 TTR II	1289.8		3.16DR	
100CT17	B 1 DEC17 TTR II	1289.6		1.58DR	
100CT17	B 2 DEC17 TTR II	1289.7		3.16DR	
100CT17	B 1 DEC17 TTR II	1288.8		1.58DR	
100CT17	S 1 DEC17 TTR II		1290.9	1.58DR	
100CT17	S 1 DEC17 TTR II		1289.4	1.58DR	
100CT17	S 2 DEC17 TTR II		1289.9	3.16DR	
100CT17	S 1 DEC17 TTR II		1290.4	1.58DR	
100CT17	S 1 DEC17 TTR II		1290.6	1.58DR	
100CT17	S 1 DEC17 TTR II		1290.7	1.58DR	
100CT17	S 1 DEC17 TTR II		1293.3	1.58DR	
100CT17	S 1 DEC17 TTR II		1293.6	1.58DR	
100CT17	B 2 DEC17 TTR II	1291.5		3.16DR	
100CT17	B 2 DEC17 TTR II	1291.9		3.16DR	
100CT17	B 1 DEC17 TTR II	1292.0		1.58DR	
100CT17	B 1 DEC17 TTR II	1291.6		1.58DR	
100CT17	B 3 DEC17 TTR II	1291.3		4.74DR	
100CT17	B 6 DEC17 TTR II	1291.4		9.48DR	
100CT17	B 2 DEC17 TTR II	1291.2		3.16DR	
100CT17	S 3 DEC17 TTR II		1294.7	4.74DR	
100CT17	S 1 DEC17 TTR II		1295.0	1.58DR	
100CT17	S 1 DEC17 TTR II		1295.1	1.58DR	
100CT17	S 2 DEC17 TTR II		1296.2	3.16DR	
100CT17	S 2 DEC17 TTR II		1296.3	3.16DR	
100CT17	S 2 DEC17 TTR II		1296.5	3.16DR	
100CT17	S 3 DEC17 TTR II		1296.1	4.74DR	
100CT17	S 1 DEC17 TTR II		1294.0	1.58DR	
100CT17	B 6 DEC17 TTR II	1293.0		9.48DR	
100CT17	S 2 DEC17 TTR II		1292.5	3.16DR	
	NYM Heating Oil 42,000 NYM				
100CT17	B 1 NOV17 TTR II	174.64		1.22DR	
100CT17	B 1 NOV17 TTR II	175.90		1.22DR	
	CMX Silver 5,000 CMX				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S 2 DEC17 TTR II		1707.5	3.16DR	
10OCT17	B 1 DEC17 TTR II	1712.5		1.58DR	
10OCT17	S 2 DEC17 TTR II		1723.5	3.16DR	
10OCT17	S 1 DEC17 TTR II		1723.0	1.58DR	
10OCT17	S 2 DEC17 TTR II		1725.5	3.16DR	
10OCT17	B 2 DEC17 TTR II	1720.0		3.16DR	
10OCT17	B 1 DEC17 TTR II	1719.5		1.58DR	
10OCT17	B 1 DEC17 TTR II	1719.0		1.58DR	
10OCT17	B 2 DEC17 TTR II	1717.0		3.16DR	
	Commission				191.70DR
	SETTLEMENTS				
	CNX Nifty (India) Index 2 SIM				
10OCT17	4 OCT17	10031.50	10048.00		132.00CR
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	1 NOV17	49.79	49.91		120.00CR
10OCT17	1 NOV17	50.63	49.99		640.00DR
10OCT17	1 NOV17	50.94	49.99		950.00DR
10OCT17	1 NOV17	50.94	50.03		910.00DR
10OCT17	1 NOV17	50.94	50.02		920.00DR
10OCT17	1 NOV17	50.92	50.02		900.00DR
10OCT17	1 NOV17	50.92	50.14		780.00DR
10OCT17	1 NOV17	50.92	50.15		770.00DR
10OCT17	1 NOV17	50.93	50.15		780.00DR
10OCT17	1 NOV17	50.93	50.14		790.00DR
10OCT17	1 NOV17	50.91	50.14		770.00DR
10OCT17	1 NOV17	49.34	50.14		800.00CR
	CMX Gold 100 CMX				
10OCT17	1 DEC17	1289.8	1290.9		110.00CR
10OCT17	1 DEC17	1289.8	1289.9		10.00CR
10OCT17	1 DEC17	1289.6	1289.4		20.00DR
10OCT17	1 DEC17	1289.7	1289.9		20.00CR
10OCT17	1 DEC17	1289.7	1290.4		70.00CR
10OCT17	1 DEC17	1288.8	1290.6		180.00CR
10OCT17	1 DEC17	1291.3	1290.7		60.00DR
10OCT17	1 DEC17	1291.4	1293.3		190.00CR
10OCT17	1 DEC17	1291.5	1293.6		210.00CR
10OCT17	1 DEC17	1291.5	1294.7		320.00CR
10OCT17	1 DEC17	1291.9	1294.7		280.00CR
10OCT17	1 DEC17	1291.4	1294.7		330.00CR
10OCT17	1 DEC17	1291.4	1295.0		360.00CR
10OCT17	1 DEC17	1291.9	1295.1		320.00CR
10OCT17	1 DEC17	1292.0	1296.2		420.00CR
10OCT17	1 DEC17	1291.6	1296.2		460.00CR
10OCT17	1 DEC17	1291.2	1296.3		510.00CR
10OCT17	1 DEC17	1291.3	1296.5		520.00CR
10OCT17	1 DEC17	1291.3	1296.3		500.00CR
10OCT17	1 DEC17	1291.4	1296.5		510.00CR
10OCT17	2 DEC17	1291.4	1296.1		940.00CR
10OCT17	1 DEC17	1291.2	1296.1		490.00CR
10OCT17	1 DEC17	1293.0	1294.0		100.00CR
10OCT17	2 DEC17	1293.0	1292.5		100.00DR
	NYM Heating Oil 42,000 NYM				
10OCT17	1 NOV17	174.64	181.52		2,889.60CR
10OCT17	1 NOV17	175.90	176.64		310.80CR
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1712.5	1707.5		250.00DR
10OCT17	1 DEC17	1720.0	1707.5		625.00DR
10OCT17	1 DEC17	1720.0	1723.5		175.00CR
10OCT17	1 DEC17	1719.5	1723.5		200.00CR
10OCT17	1 DEC17	1719.0	1723.0		200.00CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	2 DEC17	1717.0	1725.5		850.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				32,377.70DR
	SETTLEMENTS				
	CMX Silver Futures				550.00CR
	NYM Heating Oil Futures				3,200.40CR
	CMX Gold Futures				6,670.00CR
	NYM Light Sweet Crude Oil Futures				7,290.00DR
	CNX Nifty (India) Index Futures				132.00CR
	COMMISSIONS				191.70DR
	CARRIED FORWARD BALANCE				29,307.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 2 10JAN18 DMA EL	2154.00		9.20DR	
100CT17	S 2 10JAN18 DMA EL		2159.00	9.20DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 2 NOV17 II		50.18	2.44DR	
	CMX Copper 25,000 CMX				
100CT17	B 1 DEC17 II	306.150		1.58DR	
100CT17	B 1 DEC17 II	306.700		1.58DR	
	CBT Mini-Sized Dow 5 CBT				
100CT17	S 1 DEC17 II		22756.00	1.50DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	11015.00		4.60DR	
100CT17	S 1 10JAN18 DMA EL		11035.00	4.60DR	
	CMX Silver 5,000 CMX				
100CT17	B 1 NOV17 1700.0 Put II	16.2		2.15DR	810.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	B 1 27DEC17 IFC UD	3283.91		2.70DR	
100CT17	S 1 05JAN18 IFC UD		3280.44	2.70DR	
100CT17	S 1 10JAN18 DMA EL		3282.50	4.60DR	
	Commission				46.85DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	1 NOV17	51.29	50.18		1,110.00DR
	CMX Silver 5,000 CMX				
100CT17	1 NOV17 1700.0 Put	16.2	29.0		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,274,142.12CR
	OPTION PREMIUMS				810.00DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				1,110.00DR
	COMMISSIONS				46.85DR
	CARRIED FORWARD BALANCE				1,272,175.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	10845.00		4.60DR	
10OCT17	B 1 10JAN18 DMA EL	10870.00		4.60DR	
10OCT17	B 2 10JAN18 DMA EL	10875.00		9.20DR	
10OCT17	B 2 10JAN18 DMA EL	10880.00		9.20DR	
10OCT17	B 1 10JAN18 DMA EL	10885.00		4.60DR	
10OCT17	B 1 10JAN18 DMA EL	10890.00		4.60DR	
10OCT17	B 2 10JAN18 DMA EL	10895.00		9.20DR	
10OCT17	B 2 10JAN18 DMA EL	10915.00		9.20DR	
10OCT17	B 1 10JAN18 DMA EL	10945.00		4.60DR	
10OCT17	B 2 10JAN18 DMA EL	10950.00		9.20DR	
10OCT17	B 1 10JAN18 DMA EL	10955.00		4.60DR	
10OCT17	B 1 10JAN18 DMA EL	10960.00		4.60DR	
10OCT17	B 2 10JAN18 DMA EL	10965.00		9.20DR	
10OCT17	B 1 10JAN18 DMA EL	10975.00		4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10900.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10905.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10920.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10925.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10930.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10940.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10955.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		10975.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		11000.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		11015.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		11020.00	4.60DR	
10OCT17	S 1 10JAN18 DMA EL		11025.00	4.60DR	
10OCT17	S 3 10JAN18 DMA EL		11045.00	13.80DR	
10OCT17	S 2 10JAN18 DMA EL		11030.00	9.20DR	
10OCT17	S 2 10JAN18 DMA EL		11035.00	9.20DR	
10OCT17	S 1 10JAN18 DMA EL		11040.00	4.60DR	
	Commission				184.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2296.00	2280.00		400.00DR
10JUL17	1 10OCT17	2287.50	2276.00		287.50DR
10JUL17	1 10OCT17	2286.50	2276.00		262.50DR
10JUL17	1 10OCT17	2285.00	2277.50		187.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8935.00	8900.00		210.00DR
11JUL17	1 10OCT17	9130.80	9000.00		784.80DR
11JUL17	1 10OCT17	9130.80	9000.00		784.80DR
11JUL17	1 10OCT17	9130.80	9005.00		754.80DR
11JUL17	1 10OCT17	9130.80	9010.00		724.80DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2774.00	2780.50		162.50CR
10JUL17	1 10OCT17	2774.00	2784.50		262.50CR
10JUL17	1 10OCT17	2773.50	2786.50		325.00CR
10JUL17	1 10OCT17	2755.50	2750.00		137.50DR
10JUL17	1 10OCT17	2755.50	2760.00		112.50CR
10JUL17	1 10OCT17	2752.00	2761.00		225.00CR
10JUL17	1 10OCT17	2752.00	2761.50		237.50CR
10JUL17	1 10OCT17	2750.50	2757.50		175.00CR

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10JUL17	1 10OCT17	2747.00	2759.00		300.00CR
10JUL17	1 10OCT17	2752.00	2775.50		587.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,576.24DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,137.50DR
	LME Zinc 25 tonnes. This is an LME Futures				2,250.00CR
	LME Nickel 6 tonnes. This is an LME Futures				3,259.20DR
	COMMISSIONS				184.00DR
	CARRIED FORWARD BALANCE				18,906.94DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
100CT17	S 75 DEC17 CQG II		98.4850	112.50DR	
100CT17	B 14 DEC19 CQG II	97.9200		21.00DR	
100CT17	B 6 DEC19 CQG II	97.9250		9.00DR	
100CT17	S 14 DEC20 CQG II		97.7900	21.00DR	
100CT17	S 6 DEC20 CQG II		97.7950	9.00DR	
	CME E-Mini Euro FX 62,500 CME				
100CT17	S 1 DEC17 CQG II		1.18530	1.50DR	
	CME E-Mini S&P 500 50 CME				
100CT17	S 1 DEC17 CQG II		2546.50	1.50DR	
100CT17	S 1 DEC17 CQG II		2543.25	1.50DR	
	Commission				177.00DR
	<u>SETTLEMENTS</u>				
	CME Eurodollar 2,500 CME				
100CT17	4 DEC19	97.9200	97.9700		500.00CR
100CT17	2 DEC19	97.9200	97.9700		250.00CR
100CT17	4 DEC19	97.9200	97.9700		500.00CR
100CT17	2 DEC19	97.9200	97.9700		250.00CR
100CT17	2 DEC19	97.9200	97.9700		250.00CR
100CT17	2 DEC19	97.9250	97.9700		225.00CR
100CT17	4 DEC19	97.9250	97.9700		450.00CR
100CT17	6 DEC20	97.8300	97.7900		600.00DR
100CT17	4 DEC20	97.8300	97.7900		400.00DR
100CT17	2 DEC20	97.8300	97.7900		200.00DR
100CT17	2 DEC20	97.8300	97.7900		200.00DR
100CT17	2 DEC20	97.8300	97.7950		175.00DR
100CT17	4 DEC20	97.8300	97.7950		350.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				92,378.30DR
	SETTLEMENTS				
	CME Eurodollar Futures				500.00CR
	COMMISSIONS				177.00DR
	CARRIED FORWARD BALANCE				92,055.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034Q
10th October 2017
COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU Gas Oil 100 IPE				
100CT17	B 1 NOV17 TTR EL	517.250		1.58DR	
100CT17	B 1 NOV17 TTR EL	522.250		1.58DR	
	IEU Brent Crude 1,000 IPE				
100CT17	S 2 DEC17 TTR EL		56.18	3.16DR	
100CT17	S 2 DEC17 TTR EL		56.54	3.16DR	
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
100CT17	B 1 DEC17 TTR II	152.0800		1.50DR	
100CT17	S 1 DEC17 TTR II		152.2700	1.50DR	
	Commission				12.48DR
	<u>SETTLEMENTS</u>				
	IEU Gas Oil 100 IPE				
100CT17	1 NOV17	517.250	540.500		2,325.00CR
100CT17	1 NOV17	522.250	523.000		75.00CR
	IEU Brent Crude 1,000 IPE				
100CT17	2 DEC17	56.79	56.18		1,220.00DR
100CT17	2 DEC17	55.95	56.54		1,180.00CR
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
100CT17	1 DEC17	152.0800	152.2700		593.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,192,304.39DR
	SETTLEMENTS				
	IEU Brent Crude Futures				40.00DR
	CBT 30 Year Us Treasury Bonds Futures				593.75CR
	IEU Gas Oil Futures				2,400.00CR
	COMMISSIONS				12.48DR
	CARRIED FORWARD BALANCE				1,189,363.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0034

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		622,064.18CR
	OPTION PREMIUMS		810.00DR
	SETTLEMENTS		
	CBT 30 Year Us Treasury Bonds Futures		593.75CR
	CME Eurodollar Futures		500.00CR
	CMX Gold Futures		6,670.00CR
	CMX Silver Futures		550.00CR
	CNX Nifty (India) Index Futures		132.00CR
	IEU Brent Crude Futures		40.00DR
	IEU Gas Oil Futures		2,400.00CR
	LME Lead 25 tonnes. This is an Futures		1,699.00DR
	LME Nickel 6 tonnes. This is an LME Futures		3,259.20DR
	LME Zinc 25 tonnes. This is an LME Futures		2,250.00CR
	NYM Heating Oil Futures		3,200.40CR
	NYM Light Sweet Crude Oil Futures		8,400.00DR
	COMMISSIONS		612.03DR
	CARRIED FORWARD BALANCE		623,540.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0038B 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Gold 1,000 TCM				
10OCT17	B 3 AUG18 TTR EL	4643		870DR	
10OCT17	B 35 AUG18 TTR EL	4648		10,150DR	
	Commission				11,020DR
	<u>SETTLEMENTS</u>				
	Gold 1,000 TCM				
10OCT17	3 AUG18	4643	4596		141,000DR
10OCT17	7 AUG18	4648	4596		364,000DR
10OCT17	3 AUG18	4648	4597		153,000DR
10OCT17	3 AUG18	4648	4596		156,000DR
10OCT17	6 AUG18	4648	4597		306,000DR
10OCT17	12 AUG18	4648	4596		624,000DR
10OCT17	1 AUG18	4648	4596		52,000DR
10OCT17	1 AUG18	4648	4593		55,000DR
10OCT17	1 AUG18	4648	4593		55,000DR
10OCT17	1 AUG18	4648	4593		55,000DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,434,855CR
	SETTLEMENTS				
	Gold Futures				1,961,000DR
	COMMISSIONS				11,020DR
	CARRIED FORWARD BALANCE				11,462,835CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038B

10th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
100CT17	S 1 DEC17 TTR GX		1286.5	2.30DR	
100CT17	S 11 DEC17 TTR GX		1289.3	25.30DR	
	CME Japanese Yen 12,500,000 CME				
100CT17	B 1 DEC17 TTR GX	88.935		2.30DR	
100CT17	B 13 DEC17 TTR GX	89.030		29.90DR	
	Commission				59.80DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
100CT17	1 DEC17	1272.2	1286.5		1,430.00CR
100CT17	1 DEC17	1272.1	1289.3		1,720.00CR
100CT17	1 DEC17	1272.0	1289.3		1,730.00CR
100CT17	2 DEC17	1272.0	1289.3		3,460.00CR
100CT17	1 DEC17	1271.9	1289.3		1,740.00CR
100CT17	1 DEC17	1271.7	1289.3		1,760.00CR
100CT17	1 DEC17	1271.8	1289.3		1,750.00CR
100CT17	1 DEC17	1271.8	1289.3		1,750.00CR
100CT17	2 DEC17	1272.0	1289.3		3,460.00CR
100CT17	1 DEC17	1270.7	1289.3		1,860.00CR
	CME Japanese Yen 12,500,000 CME				
100CT17	1 DEC17	88.935	88.965		37.50CR
100CT17	1 DEC17	89.030	88.920		137.50DR
100CT17	1 DEC17	89.030	88.895		168.75DR
100CT17	1 DEC17	89.030	88.910		150.00DR
100CT17	1 DEC17	89.030	88.905		156.25DR
100CT17	1 DEC17	89.030	88.895		168.75DR
100CT17	2 DEC17	89.030	88.900		325.00DR
100CT17	1 DEC17	89.030	88.910		150.00DR
100CT17	4 DEC17	89.030	88.915		575.00DR
100CT17	1 DEC17	89.030	88.920		137.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				114,460.50DR
	SETTLEMENTS				
	CME Japanese Yen Futures				1,931.25DR
	CMX Gold Futures				20,660.00CR
	COMMISSIONS				59.80DR
	CARRIED FORWARD BALANCE				95,791.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC backoffice@sapiencap.com	SAP00380 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
100CT17	S 2 DEC18 TTR EL		51.22	3.36DR	
100CT17	S 2 DEC18 TTR EL		51.30	3.36DR	
100CT17	B 2 DEC19 TTR EL	50.37		3.36DR	
100CT17	B 2 DEC19 TTR EL	50.39		3.36DR	
	IEU Brent Crude 1,000 IPE				
100CT17	B 2 DEC18 TTR EL	54.88		3.36DR	
100CT17	B 2 DEC18 TTR EL	54.93		3.36DR	
100CT17	S 2 DEC19 TTR EL		54.28	3.36DR	
100CT17	S 2 DEC19 TTR EL		54.32	3.36DR	
	Commission				26.88DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
100CT17	1 DEC18	51.16	51.22		60.00CR
100CT17	1 DEC18	51.16	51.22		60.00CR
100CT17	1 DEC18	51.20	51.30		100.00CR
100CT17	1 DEC18	51.20	51.30		100.00CR
100CT17	1 DEC19	50.37	50.83		460.00CR
100CT17	1 DEC19	50.37	50.86		490.00CR
100CT17	1 DEC19	50.39	50.86		470.00CR
100CT17	1 DEC19	50.39	50.83		440.00CR
	IEU Brent Crude 1,000 IPE				
100CT17	1 DEC18	54.88	55.05		170.00CR
100CT17	1 DEC18	54.88	55.05		170.00CR
100CT17	1 DEC18	54.93	55.12		190.00CR
100CT17	1 DEC18	54.93	55.12		190.00CR
100CT17	1 DEC19	54.84	54.28		560.00DR
100CT17	1 DEC19	54.85	54.28		570.00DR
100CT17	1 DEC19	54.85	54.32		530.00DR
100CT17	1 DEC19	54.84	54.32		520.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				67,405.81CR
	SETTLEMENTS				
	IEU Brent Crude Futures				1,460.00DR
	IEU WTI Crude Oil Futures Futures				2,180.00CR
	COMMISSIONS				26.88DR
	CARRIED FORWARD BALANCE				68,098.93CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0038

10th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		13,434,855CR
	SETTLEMENTS		
	Gold Futures		1,961,000DR
	COMMISSIONS		11,020DR
	CARRIED FORWARD BALANCE		11,462,835CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		191,661.06CR
	SETTLEMENTS		
	CME Japanese Yen Futures		1,931.25DR
	CMX Gold Futures		20,660.00CR
	IEU Brent Crude Futures		1,460.00DR
	IEU WTI Crude Oil Futures Futures		2,180.00CR
	COMMISSIONS		86.68DR
	CARRIED FORWARD BALANCE		211,023.13CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045B

10th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
11SEP17	1 100CT17	2254.92	2297.00		1,052.00CR
11SEP17	1 100CT17	2254.92	2297.50		1,064.50CR
11SEP17	1 100CT17	2254.92	2297.00		1,052.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				20,648.45DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				3,168.50CR
	CARRIED FORWARD BALANCE				17,479.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045G

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		2501.50	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		2503.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		2515.50	5.00DR	
	CMX Silver 5,000 CMX				
10OCT17	S 3 DEC17 GX		1721.5	7.65DR	
10OCT17	S 1 DEC17 GX		1721.0	2.55DR	
10OCT17	S 1 DEC17 GX		1722.0	2.55DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		3254.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3277.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3280.50	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3283.00	5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3278.50		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3279.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3280.50		5.00DR	
10OCT17	B 2 10JAN18 DMA EL	3289.00		10.00DR	
10OCT17	B 2 10JAN18 DMA EL	3290.50		10.00DR	
10OCT17	B 5 10JAN18 DMA EL	3290.00		25.00DR	
	Commission				107.75DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1677.5	1721.5		2,200.00CR
10OCT17	2 DEC17	1678.0	1721.5		4,350.00CR
10OCT17	1 DEC17	1678.0	1721.0		2,150.00CR
10OCT17	1 DEC17	1678.0	1722.0		2,200.00CR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 10OCT17	1895.50	1912.96		436.50CR
31JUL17	1 10OCT17	1895.50	1912.96		436.50CR
31JUL17	1 10OCT17	1896.50	1912.96		411.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 10OCT17	5815.00	5817.00		50.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2752.50	2776.00		587.50CR
10JUL17	1 10OCT17	2751.00	2785.00		850.00CR
10JUL17	1 10OCT17	2751.00	2785.00		850.00CR
10JUL17	1 10OCT17	2751.00	2780.00		725.00CR
10JUL17	1 10OCT17	2751.00	2780.00		725.00CR
10JUL17	1 10OCT17	2747.00	2780.00		825.00CR
10JUL17	1 10OCT17	2747.00	2781.00		850.00CR
10JUL17	1 10OCT17	2750.00	2780.50		762.50CR
10JUL17	1 10OCT17	2761.50	2780.50		475.00CR
10JUL17	1 10OCT17	2765.50	2781.50		400.00CR
10JUL17	1 10OCT17	2764.50	2781.50		425.00CR
10JUL17	1 10OCT17	2764.00	2783.00		475.00CR
10JUL17	1 10OCT17	2759.00	2783.00		600.00CR
10JUL17	1 10OCT17	2759.50	2783.00		587.50CR
10JUL17	1 10OCT17	2762.00	2783.00		525.00CR
10JUL17	1 10OCT17	2762.00	2782.50		512.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0045G 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 100CT17	2762.00	2782.50		512.50CR
10JUL17	1 100CT17	2762.50	2782.50		500.00CR
10JUL17	1 100CT17	2762.50	2783.00		512.50CR
10JUL17	1 100CT17	2761.50	2785.50		600.00CR
10JUL17	1 100CT17	2760.50	2785.50		625.00CR
10JUL17	1 100CT17	2760.50	2785.50		625.00CR
10JUL17	1 100CT17	2761.00	2785.50		612.50CR
10JUL17	1 100CT17	2761.00	2786.50		637.50CR
10JUL17	1 100CT17	2761.00	2777.50		412.50CR
10JUL17	1 100CT17	2761.00	2777.00		400.00CR
10JUL17	1 100CT17	2761.00	2777.00		400.00CR
10JUL17	1 100CT17	2761.00	2776.00		375.00CR
10JUL17	1 100CT17	2761.00	2774.00		325.00CR
10JUL17	1 100CT17	2762.00	2775.00		325.00CR
10JUL17	1 100CT17	2762.00	2779.00		425.00CR
10JUL17	1 100CT17	2763.00	2774.00		275.00CR
10JUL17	1 100CT17	2762.50	2775.50		325.00CR
10JUL17	1 100CT17	2762.50	2776.00		337.50CR
10JUL17	1 100CT17	2762.50	2776.00		337.50CR
10JUL17	1 100CT17	2763.00	2776.50		337.50CR
10JUL17	1 100CT17	2757.50	2776.00		462.50CR
10JUL17	1 100CT17	2755.50	2776.50		525.00CR
10JUL17	1 100CT17	2756.50	2763.00		162.50CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					130,009.41CR
SETTLEMENTS					
CMX Silver Futures					10,900.00CR
LME Copper Grade A 25 tonnes. This Futures					50.00CR
LME Zinc 25 tonnes. This is an LME Futures					20,225.00CR
LME Aluminium 25 Tonnes. This is an Futures					1,284.50CR
COMMISSIONS					107.75DR
CARRIED FORWARD BALANCE					162,361.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045H

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	2 100CT17	6003.89	5809.50		9,719.50DR
18JUL17	1 100CT17	6003.89	5814.00		4,747.25DR
18JUL17	1 100CT17	6003.89	5814.00		4,747.25DR
18JUL17	1 100CT17	6003.89	5817.50		4,659.75DR
18JUL17	2 100CT17	6003.89	5823.50		9,019.50DR
18JUL17	2 100CT17	6003.89	5826.00		8,894.50DR
18JUL17	1 100CT17	6003.89	5826.00		4,447.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,804.25CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				46,235.00DR
	CARRIED FORWARD BALANCE				8,430.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045I

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 TTR EL 6748.00			5.00DR	
10OCT17	B 1 10JAN18 TTR EL 6759.50			5.00DR	
10OCT17	B 1 10JAN18 TTR EL 6744.00			5.00DR	
10OCT17	B 1 10JAN18 DMA EL 6740.00			5.00DR	
	CMX Gold 100 CMX				
10OCT17	B 2 DEC17 TTR GX 1293.3			5.10DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
10OCT17	B 5 10JAN18 TTR EL 10960.00			25.00DR	
10OCT17	B 1 10JAN18 TTR EL 10965.00			5.00DR	
10OCT17	B 1 10JAN18 TTR EL 10925.00			5.00DR	
10OCT17	B 2 10JAN18 TTR EL 11005.00			10.00DR	
10OCT17	S 1 10JAN18 TTR EL 10905.00		10905.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL 10880.00		10880.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL 10885.00		10885.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL 10850.00		10850.00	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 11000.00			5.00DR	
10OCT17	B 2 10JAN18 TTR EL 11010.00			10.00DR	
10OCT17	S 1 10JAN18 TTR EL 11050.00		11050.00	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 11020.00			5.00DR	
10OCT17	B 3 10JAN18 TTR EL 11015.00			15.00DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 TTR GX 1710.0		1710.0	2.55DR	
10OCT17	S 1 DEC17 TTR GX 1721.5		1721.5	2.55DR	
	Commission				135.20DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1695.5	1710.0		725.00CR
10OCT17	1 DEC17	1694.5	1721.5		1,350.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				26,237.75DR
	SETTLEMENTS				
	CMX Silver Futures				2,075.00CR
	COMMISSIONS				135.20DR
	CARRIED FORWARD BALANCE				24,297.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0045
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0045

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		617,428.32CR
	SETTLEMENTS		
	CMX Silver Futures		12,975.00CR
	LME Aluminium 25 Tonnes. This is an Futures		1,284.50CR
	LME Copper Grade A 25 tonnes. This Futures		46,185.00DR
	LME Lead 25 tonnes. This is an Futures		3,168.50CR
	LME Zinc 25 tonnes. This is an LME Futures		20,225.00CR
	COMMISSIONS		242.95DR
	CARRIED FORWARD BALANCE		608,653.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0054C
10th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
10OCT17	S 1 DEC17 GX		1289.9	2.35DR	
10OCT17	S 1 DEC17 GX		1289.2	2.35DR	
10OCT17	S 1 DEC17 GX		1291.2	2.35DR	
	Commission				7.05DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,745.00CR
	COMMISSIONS				7.05DR
	CARRIED FORWARD BALANCE				17,737.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
10OCT17	S 1 DEC17 CQG GX		1.18505	2.50DR	
10OCT17	S 2 DEC17 CQG GX		1.18490	5.00DR	
10OCT17	S 1 DEC17 CQG GX		1.18460	2.50DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 CQG GX		1721.5	2.35DR	
	Commission				12.35DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1668.0	1721.5		2,675.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				124,179.61CR
	SETTLEMENTS				
	CMX Silver Futures				2,675.00CR
	COMMISSIONS				12.35DR
	CARRIED FORWARD BALANCE				126,842.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
100CT17	S 1 DEC17 GX		1291.6	2.35DR	
100CT17	S 3 DEC17 GX		1293.4	7.05DR	
100CT17	S 1 DEC17 GX		1293.7	2.35DR	
100CT17	S 1 DEC17 GX		1294.2	2.35DR	
100CT17	S 2 DEC17 GX		1293.8	4.70DR	
100CT17	S 1 DEC17 GX		1293.5	2.35DR	
100CT17	S 2 DEC17 GX		1293.6	4.70DR	
100CT17	B 1 DEC17 GX	1291.8		2.35DR	
	CMX Silver 5,000 CMX				
100CT17	B 3 DEC17 GX	1718.0		7.05DR	
100CT17	B 1 DEC17 GX	1718.5		2.35DR	
	Commission				37.60DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
100CT17	1 DEC17	1291.8	1291.6		20.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				136,493.50CR
	SETTLEMENTS				
	CMX Gold Futures				20.00DR
	COMMISSIONS				37.60DR
	CARRIED FORWARD BALANCE				136,435.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
10OCT17	B 1 NOV17 CQG GX 2.84100			2.35DR	
10OCT17	B 1 NOV17 CQG GX 2.84000			2.35DR	
	CMX Silver 5,000 CMX				
10OCT17	S 3 DEC17 CQG GX 1706.5			7.05DR	
10OCT17	S 1 DEC17 CQG GX 1710.5			2.35DR	
10OCT17	S 2 DEC17 CQG GX 1710.0			4.70DR	
10OCT17	S 1 DEC17 CQG GX 1720.0			2.35DR	
10OCT17	S 1 DEC17 CQG GX 1721.0			2.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 9 02NOV17 SGL UD 3243.65			24.30DR	
10OCT17	B 2 10JAN18 CQG EL 3247.50			10.00DR	
10OCT17	B 1 10JAN18 CQG EL 3245.00			5.00DR	
10OCT17	B 2 10JAN18 CQG EL 3243.50			10.00DR	
10OCT17	B 1 10JAN18 CQG EL 3231.50			5.00DR	
10OCT17	B 1 10JAN18 CQG EL 3230.50			5.00DR	
10OCT17	B 1 10JAN18 CQG EL 3227.50			5.00DR	
10OCT17	B 1 10JAN18 CQG EL 3226.50			5.00DR	
10OCT17	S 9 10JAN18 SGL UD 3219.85			24.30DR	
	Commission				117.10DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17 1712.5	1706.5			300.00DR
10OCT17	1 DEC17 1705.0	1706.5			75.00CR
10OCT17	1 DEC17 1704.5	1706.5			100.00CR
10OCT17	1 DEC17 1703.0	1710.0			350.00CR
10OCT17	1 DEC17 1696.0	1710.5			725.00CR
10OCT17	1 DEC17 1684.0	1710.0			1,300.00CR
10OCT17	1 DEC17 1679.5	1720.0			2,025.00CR
10OCT17	1 DEC17 1677.5	1721.0			2,175.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				70,016.30DR
	SETTLEMENTS				
	CMX Silver Futures				6,450.00CR
	COMMISSIONS				117.10DR
	CARRIED FORWARD BALANCE				63,683.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
100CT17	S 1 DEC17 CQG GX		1289.4	2.35DR	
100CT17	S 1 DEC17 CQG GX		1291.0	2.35DR	
	CMX Silver 5,000 CMX				
100CT17	S 1 DEC17 CQG GX		1720.0	2.35DR	
100CT17	S 1 DEC17 CQG GX		1721.0	2.35DR	
	Commission				9.40DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1665.0	1720.0		2,750.00CR
100CT17	1 DEC17	1665.5	1721.0		2,775.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				148,632.65CR
	SETTLEMENTS				
	CMX Silver Futures				5,525.00CR
	COMMISSIONS				9.40DR
	CARRIED FORWARD BALANCE				154,148.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0054K

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 TTR EL 2161.50			5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2159.00			5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2158.50	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2152.50			5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2156.00	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2154.00			5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2158.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2157.00	5.00DR	
	CMX Gold 100 CMX				
10OCT17	S 1 DEC17 TTR GX		1290.0	2.35DR	
10OCT17	B 1 DEC17 TTR GX 1292.7			2.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 TTR EL		2494.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2497.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2517.00	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2511.50			5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2515.50	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2514.50			5.00DR	
10OCT17	B 2 10JAN18 TTR EL 2508.50			10.00DR	
10OCT17	S 1 10JAN18 TTR EL		2523.50	5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2532.00	5.00DR	
10OCT17	S 1 10JAN18 TTR EL		2552.00	5.00DR	
10OCT17	B 1 10JAN18 TTR EL 2559.00			5.00DR	
	Commission				104.70DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
10OCT17	1 DEC17	1292.7	1290.0		270.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				175,500.76CR
	SETTLEMENTS				
	CMX Gold Futures				270.00DR
	COMMISSIONS				104.70DR
	CARRIED FORWARD BALANCE				175,126.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
10OCT17	S 1 DEC17 GX		1.18360	2.50DR	
10OCT17	S 1 DEC17 GX		1.18370	2.50DR	
10OCT17	S 1 DEC17 GX		1.18375	2.50DR	
10OCT17	S 1 DEC17 GX		1.18365	2.50DR	
	Commission				10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				201,155.65DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				201,165.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
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10th Oct 2017

COB Global

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Regulation Authority. Subject to regulation by the Financial
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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		803,882.87CR
	SETTLEMENTS		
	CMX Gold Futures		290.00DR
	CMX Silver Futures		14,650.00CR
	COMMISSIONS		298.20DR
	CARRIED FORWARD BALANCE		817,944.67CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 1 10JAN18 TTR EL 2168.50			5.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 10JAN18 TTR EL 6722.00			5.00DR	
100CT17	B 1 10JAN18 TTR EL 6723.00			5.00DR	
100CT17	B 1 10JAN18 TTR EL 6727.00			5.00DR	
100CT17	S 1 10JAN18 TTR EL 6700.50			5.00DR	
100CT17	S 2 10JAN18 TTR EL 6699.00			10.00DR	
100CT17	B 1 10JAN18 TTR EL 6695.50			5.00DR	
100CT17	B 1 10JAN18 TTR EL 6749.00			5.00DR	
100CT17	S 1 10JAN18 TTR EL 6751.50			5.00DR	
	CMX Gold 100 CMX				
100CT17	B 1 DEC17 TTR GX 1290.1			2.30DR	
100CT17	B 2 DEC17 TTR GX 1291.3			4.60DR	
100CT17	B 1 DEC17 TTR GX 1291.4			2.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 TTR EL 2517.50			5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 2 10JAN18 TTR EL 10955.00			10.00DR	
100CT17	B 2 10JAN18 TTR EL 10950.00			10.00DR	
100CT17	B 1 10JAN18 TTR EL 11005.00			5.00DR	
100CT17	B 1 10JAN18 TTR EL 11000.00			5.00DR	
100CT17	B 2 10JAN18 TTR EL 10980.00			10.00DR	
100CT17	B 1 10JAN18 TTR EL 10995.00			5.00DR	
100CT17	B 2 10JAN18 TTR EL 10990.00			10.00DR	
100CT17	S 2 10JAN18 TTR EL 11035.00			10.00DR	
100CT17	S 1 10JAN18 TTR EL 11040.00			5.00DR	
100CT17	S 1 10JAN18 TTR EL 11025.00			5.00DR	
	CMX Silver 5,000 CMX				
100CT17	S 1 DEC17 TTR GX 1721.5			2.30DR	
100CT17	B 1 DEC17 TTR GX 1721.5			2.30DR	
	Commission				143.80DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1721.5	1721.5		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				57,925.12CR
	COMMISSIONS				143.80DR
	CARRIED FORWARD BALANCE				57,781.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

10th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
10OCT17	B	4	10JAN18	TTR	EL	2165.00		20.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2166.50		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2159.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2164.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2155.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2159.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2165.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2162.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2163.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2164.00	5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2164.50	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2165.50	10.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
10OCT17	B	1	NOV17	TTR	GX	49.66		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	49.62		2.30DR	
10OCT17	S	2	NOV17	TTR	GX		49.63	4.60DR	
10OCT17	S	1	NOV17	TTR	GX		49.71	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		49.69	2.30DR	
10OCT17	B	1	NOV17	TTR	GX	49.73		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	49.75		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	49.72		2.30DR	
10OCT17	B	2	NOV17	TTR	GX	49.70		4.60DR	
10OCT17	S	1	NOV17	TTR	GX		49.84	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		49.88	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		49.86	2.30DR	
10OCT17	S	2	NOV17	TTR	GX		49.87	4.60DR	
10OCT17	S	1	NOV17	TTR	GX		50.02	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		50.03	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		49.99	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		49.98	2.30DR	
10OCT17	S	2	NOV17	TTR	GX		49.96	4.60DR	
10OCT17	S	1	NOV17	TTR	GX		50.14	2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.17		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.15		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.18		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.19		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.23		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.22		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.30		2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.28		2.30DR	
10OCT17	S	1	NOV17	TTR	GX		50.46	2.30DR	
10OCT17	B	1	NOV17	TTR	GX	50.45		2.30DR	
10OCT17	S	2	NOV17	TTR	GX		50.45	4.60DR	
10OCT17	S	1	NOV17	TTR	GX		50.53	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		50.54	2.30DR	
10OCT17	S	2	NOV17	TTR	GX		50.93	4.60DR	
10OCT17	S	1	NOV17	TTR	GX		50.94	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		51.00	2.30DR	
10OCT17	S	1	NOV17	TTR	GX		50.99	2.30DR	
10OCT17	B	4	NOV17	TTR	GX	50.95		9.20DR	
10OCT17	B	2	NOV17	TTR	GX	50.93		4.60DR	
10OCT17	B	1	NOV17	TTR	GX	50.99		2.30DR	
10OCT17	B	2	NOV17	TTR	GX	50.98		4.60DR	
10OCT17	B	2	NOV17	TTR	GX	50.94		4.60DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
10OCT17	S	1	16NOV17	IFC	UD		2549.25	2.70DR	
10OCT17	B	1	24NOV17	IFC	UD	2550.45		2.70DR	
10OCT17	B	6	15DEC17	IFC	UD	2554.69		16.20DR	
10OCT17	S	2	18DEC17	IFC	UD		2555.13	5.40DR	
10OCT17	B	2	19DEC17	IFC	UD	2555.54		5.40DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

10th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	6	20DEC17	IFC	UD	2555.75		16.20DR	
10OCT17	S	12	21DEC17	IFC	UD		2554.55	32.40DR	
10OCT17	B	4	22DEC17	IFC	UD	2554.38		10.80DR	
10OCT17	S	4	27DEC17	IFC	UD		2553.80	10.80DR	
10OCT17	S	12	28DEC17	IFC	UD		2554.21	32.40DR	
10OCT17	B	6	29DEC17	IFC	UD	2554.63		16.20DR	
10OCT17	B	6	03JAN18	IFC	UD	2555.70		16.20DR	
10OCT17	B	1	10JAN18	TTR	EL	2500.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2499.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2498.50		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2501.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2504.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2503.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2502.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2500.00	5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2501.50	10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2495.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2493.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2490.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2487.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2502.00	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2498.00		5.00DR	
10OCT17	B	1	10JAN18	DMA	EL	2497.00		5.00DR	
10OCT17	B	1	10JAN18	DMA	EL	2489.50		5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2515.50	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2520.00	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2518.00	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2519.50	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2516.00	10.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2515.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2514.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2506.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2512.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2518.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2526.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2524.00	5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2508.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2507.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2536.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2533.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2532.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2534.00	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2538.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2539.50	5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2545.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2548.00		5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		10935.00	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	10965.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		11040.00	5.00DR	
10OCT17	B	1	10JAN18	DMA	EL	11035.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	3245.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3231.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3221.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3222.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3220.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3211.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3220.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3221.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3223.50	5.00DR	
10OCT17	S	1	10JAN18	DMA	EL		3250.00	5.00DR	
10OCT17	S	1	10JAN18	DMA	EL		3249.00	5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	1	10JAN18	TTR	EL		3238.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3231.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3233.00	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3247.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3253.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3244.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3277.50	5.00DR	
10OCT17	S	3	10JAN18	TTR	EL		3277.00	15.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3276.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3280.00	5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	3290.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	3292.00		5.00DR	
10OCT17	S	2	10JAN18	DMA	EL		3278.00	10.00DR	
10OCT17	S	1	10JAN18	DMA	EL		3276.50	5.00DR	
10OCT17	S	1	10JAN18	DMA	EL		3281.00	5.00DR	
	Commission								794.30DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
10OCT17		1	NOV17			50.94	49.63		1,310.00DR
10OCT17		1	NOV17			49.66	49.63		30.00DR
10OCT17		1	NOV17			49.62	49.71		90.00CR
10OCT17		1	NOV17			49.73	49.69		40.00DR
10OCT17		1	NOV17			49.75	49.84		90.00CR
10OCT17		1	NOV17			49.70	49.87		170.00CR
10OCT17		1	NOV17			49.72	49.88		160.00CR
10OCT17		1	NOV17			49.70	49.86		160.00CR
10OCT17		1	NOV17			50.17	49.87		300.00DR
10OCT17		1	NOV17			50.15	50.02		130.00DR
10OCT17		1	NOV17			50.18	50.03		150.00DR
10OCT17		1	NOV17			50.19	49.99		200.00DR
10OCT17		1	NOV17			50.23	49.98		250.00DR
10OCT17		1	NOV17			50.22	49.96		260.00DR
10OCT17		1	NOV17			50.30	49.96		340.00DR
10OCT17		1	NOV17			50.28	50.14		140.00DR
10OCT17		1	NOV17			50.45	50.45		0.00
10OCT17		1	NOV17			50.95	50.46		490.00DR
10OCT17		1	NOV17			50.95	50.45		500.00DR
10OCT17		1	NOV17			50.95	50.53		420.00DR
10OCT17		1	NOV17			50.95	50.54		410.00DR
10OCT17		2	NOV17			50.93	50.93		0.00
10OCT17		1	NOV17			50.98	50.94		40.00DR
10OCT17		1	NOV17			50.99	51.00		10.00CR
10OCT17		1	NOV17			50.98	50.99		10.00CR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
10JUL17		1	10OCT17			1924.00	1927.50		87.50CR
10JUL17		1	10OCT17			1923.50	1928.00		112.50CR
10JUL17		1	10OCT17			1922.00	1924.50		62.50CR
10JUL17		1	10OCT17			1922.00	1903.00		475.00DR
10JUL17		1	10OCT17			1921.00	1895.50		637.50DR
10JUL17		1	10OCT17			1914.50	1896.00		462.50DR
10JUL17		1	10OCT17			1916.00	1897.50		462.50DR
10JUL17		1	10OCT17			1905.50	1895.50		250.00DR
19JUL17		1	10OCT17			1904.00	1918.46		361.50CR
19JUL17		1	10OCT17			1902.00	1918.46		411.50CR
19JUL17		1	10OCT17			1906.50	1918.46		299.00CR
19JUL17		1	10OCT17			1906.00	1918.46		311.50CR
19JUL17		1	10OCT17			1906.00	1918.46		311.50CR
19JUL17		1	10OCT17			1905.00	1918.46		336.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP083XA

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 100CT17	1904.50	1918.46		349.00CR
19JUL17	2 100CT17	1905.00	1918.46		673.00CR
19JUL17	1 100CT17	1904.00	1918.46		361.50CR
19JUL17	1 100CT17	1902.00	1918.46		411.50CR
19JUL17	1 100CT17	1902.00	1918.46		411.50CR
19JUL17	1 100CT17	1904.50	1918.46		349.00CR
19JUL17	1 100CT17	1904.50	1918.46		349.00CR
19JUL17	1 100CT17	1903.50	1918.46		374.00CR
19JUL17	1 100CT17	1898.50	1918.46		499.00CR
19JUL17	1 100CT17	1901.00	1918.46		436.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 100CT17	5810.00	5844.50		862.50CR
10JUL17	1 100CT17	5808.00	5845.50		937.50CR
19JUL17	1 100CT17	5964.62	5815.00		3,740.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2296.00	2280.00		400.00DR
10JUL17	1 100CT17	2297.50	2280.00		437.50DR
10JUL17	1 100CT17	2298.00	2283.00		375.00DR
10JUL17	1 100CT17	2298.00	2282.50		387.50DR
10JUL17	1 100CT17	2298.00	2283.00		375.00DR
10JUL17	1 100CT17	2284.00	2277.50		162.50DR
10JUL17	1 100CT17	2299.00	2288.00		275.00DR
10JUL17	1 100CT17	2279.50	2288.00		212.50CR
10JUL17	1 100CT17	2282.50	2288.00		137.50CR
10JUL17	1 100CT17	2281.50	2284.00		62.50CR
10JUL17	1 100CT17	2281.00	2285.00		100.00CR
10JUL17	1 100CT17	2281.50	2289.00		187.50CR
10JUL17	1 100CT17	2281.50	2290.00		212.50CR
10JUL17	1 100CT17	2274.50	2286.50		300.00CR
10JUL17	1 100CT17	2273.50	2287.50		350.00CR
10JUL17	1 100CT17	2274.00	2292.50		462.50CR
10JUL17	1 100CT17	2275.50	2293.00		437.50CR
10JUL17	1 100CT17	2278.50	2302.00		587.50CR
10JUL17	1 100CT17	2284.50	2310.50		650.00CR
10JUL17	1 100CT17	2278.50	2315.50		925.00CR
10JUL17	1 100CT17	2313.50	2315.50		50.00CR
19JUL17	1 100CT17	2313.00	2215.93		2,426.75DR
19JUL17	1 100CT17	2310.00	2215.93		2,351.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	9025.00	8930.00		570.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2765.50	2786.00		512.50CR
10JUL17	1 100CT17	2765.50	2785.50		500.00CR
10JUL17	1 100CT17	2789.00	2783.50		137.50DR
10JUL17	1 100CT17	2788.50	2783.00		137.50DR
10JUL17	1 100CT17	2789.00	2765.50		587.50DR
10JUL17	1 100CT17	2767.50	2766.00		37.50DR
10JUL17	1 100CT17	2791.50	2764.50		675.00DR
10JUL17	1 100CT17	2765.50	2765.00		12.50DR
10JUL17	1 100CT17	2766.50	2765.00		37.50DR
10JUL17	1 100CT17	2765.50	2765.50		0.00
10JUL17	1 100CT17	2788.00	2766.00		550.00DR
10JUL17	1 100CT17	2788.00	2766.00		550.00DR
10JUL17	1 100CT17	2788.00	2766.00		550.00DR
10JUL17	1 100CT17	2788.50	2767.50		525.00DR
10JUL17	1 100CT17	2788.00	2768.00		500.00DR
10JUL17	1 100CT17	2763.50	2767.00		87.50CR
10JUL17	1 100CT17	2766.00	2766.50		12.50CR
10JUL17	1 100CT17	2775.00	2769.50		137.50DR
10JUL17	1 100CT17	2793.50	2771.00		562.50DR

Group No: SAPG0083

Page 4 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 100CT17	2775.00	2771.50		87.50DR
10JUL17	1 100CT17	2791.50	2772.00		487.50DR
10JUL17	1 100CT17	2772.50	2773.00		12.50CR
10JUL17	1 100CT17	2793.00	2774.50		462.50DR
10JUL17	1 100CT17	2765.50	2774.00		212.50CR
10JUL17	1 100CT17	2765.00	2774.50		237.50CR
10JUL17	1 100CT17	2788.00	2771.00		425.00DR
10JUL17	1 100CT17	2760.50	2771.50		275.00CR
10JUL17	1 100CT17	2764.50	2772.50		200.00CR
10JUL17	1 100CT17	2789.00	2773.50		387.50DR
10JUL17	1 100CT17	2766.00	2774.50		212.50CR
10JUL17	1 100CT17	2765.50	2775.50		250.00CR
10JUL17	1 100CT17	2763.50	2775.50		300.00CR
10JUL17	1 100CT17	2763.00	2778.00		375.00CR
10JUL17	1 100CT17	2757.50	2778.00		512.50CR
10JUL17	1 100CT17	2757.50	2781.00		587.50CR
10JUL17	1 100CT17	2756.00	2781.50		637.50CR
10JUL17	1 100CT17	2756.00	2784.50		712.50CR
10JUL17	1 100CT17	2773.50	2784.50		275.00CR
10JUL17	1 100CT17	2773.50	2780.00		162.50CR
10JUL17	1 100CT17	2774.50	2781.00		162.50CR
10JUL17	1 100CT17	2773.00	2782.00		225.00CR
10JUL17	1 100CT17	2769.00	2782.00		325.00CR
10JUL17	1 100CT17	2768.50	2781.50		325.00CR
10JUL17	1 100CT17	2769.00	2782.00		325.00CR
10JUL17	1 100CT17	2770.00	2783.00		325.00CR
10JUL17	1 100CT17	2772.50	2785.00		312.50CR
10JUL17	1 100CT17	2772.00	2785.00		325.00CR
10JUL17	1 100CT17	2770.00	2785.50		387.50CR
10JUL17	1 100CT17	2768.50	2785.00		412.50CR
10JUL17	1 100CT17	2768.50	2785.00		412.50CR
10JUL17	1 100CT17	2769.00	2786.00		425.00CR
10JUL17	1 100CT17	2767.50	2786.00		462.50CR
10JUL17	1 100CT17	2769.50	2786.00		412.50CR
10JUL17	1 100CT17	2767.00	2786.00		475.00CR
10JUL17	1 100CT17	2767.00	2784.00		425.00CR
10JUL17	1 100CT17	2766.00	2751.50		362.50DR
10JUL17	1 100CT17	2766.50	2758.50		200.00DR
10JUL17	1 100CT17	2762.00	2759.00		75.00DR
10JUL17	1 100CT17	2757.00	2770.50		337.50CR
10JUL17	1 100CT17	2756.50	2775.50		475.00CR
10JUL17	1 100CT17	2750.50	2776.50		650.00CR
10JUL17	1 100CT17	2749.50	2777.00		687.50CR
10JUL17	1 100CT17	2747.00	2778.00		775.00CR
10JUL17	1 100CT17	2746.50	2776.50		750.00CR
10JUL17	1 100CT17	2752.00	2774.50		562.50CR
10JUL17	1 100CT17	2750.00	2774.50		612.50CR
10JUL17	2 100CT17	2774.00	2774.50		25.00CR
10JUL17	1 100CT17	2774.00	2775.00		25.00CR
10JUL17	1 100CT17	2769.50	2774.50		125.00CR
10JUL17	1 100CT17	2777.50	2776.50		25.00DR
19JUL17	1 100CT17	2746.04	2775.50		736.50CR
19JUL17	1 100CT17	2746.04	2774.50		711.50CR
TOTALS					
BROUGHT FORWARD BALANCE					206,582.53CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					2,516.00DR
LME Copper Grade A 25 tonnes. This Futures					1,940.50DR
LME Zinc 25 tonnes. This is an LME Futures					10,773.00CR
LME Nickel 6 tonnes. This is an LME Futures					570.00DR
NYM Light Sweet Crude Oil Futures					4,320.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA
10th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Aluminium 25 Tonnes. This is an Futures				4,220.50CR
	COMMISSIONS				794.30DR
	CARRIED FORWARD BALANCE				211,435.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL
10th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
10OCT17	LME Copper Grade A 25 tonnes. This is a LME registered client contract S 1 10JAN18 DMA EL		6709.50	5.00DR	
10OCT17	LME Zinc 25 tonnes. This is an LME registered client contract B 2 10JAN18 DMA EL Commission	3243.50		10.00DR	15.00DR
	<u>MATCH OUTS</u>				
19SEP17	LME Lead 25 tonnes. This is an LME registered client contract 1 10OCT17	2403.05	2291.50		2,788.75DR
19SEP17	LME Zinc 25 tonnes. This is an LME registered client contract 1 10OCT17	2773.50	3113.29		8,494.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,691.33CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,788.75DR
	LME Zinc 25 tonnes. This is an LME Futures				8,494.75CR
	COMMISSIONS				15.00DR
	CARRIED FORWARD BALANCE				19,382.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YO

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 1 10JAN18 DMA EL	2162.50		5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 DMA EL		11040.00	5.00DR	
100CT17	B 1 10JAN18 DMA EL	11020.00		5.00DR	
100CT17	S 1 10JAN18 DMA EL		11045.00	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	20780.00		5.00DR	
100CT17	S 1 10JAN18 DMA EL		20795.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 DMA EL		3279.50	5.00DR	
	Commission				35.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19SEP17	1 100CT17	11084.57	8890.00		13,167.42DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				34,579.24CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				13,167.42DR
	COMMISSIONS				35.00DR
	CARRIED FORWARD BALANCE				21,376.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZC

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	20880.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20900.00	5.00DR	
10OCT17	B 2 10JAN18 DMA EL	20750.00		10.00DR	
10OCT17	S 1 10JAN18 DMA EL		20750.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20785.00	5.00DR	
	Commission				30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,275.32CR
	COMMISSIONS				30.00DR
	CARRIED FORWARD BALANCE				8,245.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 TTR EL	2166.50		5.00DR	
10OCT17	B 1 10JAN18 TTR EL	2169.00		5.00DR	
10OCT17	B 3 10JAN18 TTR EL	2164.00		15.00DR	
	CME E-Mini S&P 500 50 CME				
10OCT17	B 1 DEC17 TTR GX	2546.00		2.25DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 TTR EL	10870.00		5.00DR	
10OCT17	B 1 10JAN18 TTR EL	10865.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 2 02NOV17 SGL UD	3255.00		5.40DR	
10OCT17	B 1 10JAN18 TTR EL	3226.50		5.00DR	
10OCT17	B 1 10JAN18 TTR EL	3227.50		5.00DR	
10OCT17	S 2 10JAN18 SGL UD		3230.85	5.40DR	
	Commission				58.05DR
	<u>SETTLEMENTS</u>				
	CME E-Mini S&P 500 50 CME				
10OCT17	1 DEC17	2546.00	2489.00		2,850.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
19SEP17	1 10OCT17	1922.50	2101.38		4,472.00CR
19SEP17	1 10OCT17	1915.00	2101.38		4,659.50CR
19SEP17	1 10OCT17	1903.00	2101.38		4,959.50CR
19SEP17	2 10OCT17	1901.50	2101.38		9,994.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
19SEP17	1 10OCT17	2312.00	2402.65		2,266.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2752.00	2774.00		550.00CR
10JUL17	1 10OCT17	2749.50	2775.00		637.50CR
19SEP17	1 10OCT17	3113.49	2776.50		8,424.75DR
19SEP17	1 10OCT17	3113.49	2778.00		8,387.25DR
19SEP17	1 10OCT17	3113.49	2775.00		8,462.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				130,988.25CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				2,266.25CR
	LME Zinc 25 tonnes. This is an LME Futures				24,086.75DR
	CME E-Mini S&P 500 Futures				2,850.00DR
	LME Aluminium 25 Tonnes. This is an Futures				24,085.00CR
	COMMISSIONS				58.05DR
	CARRIED FORWARD BALANCE				130,344.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP083ZH

10th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZU

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 DMA EL	2165.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		2162.50	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	3288.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3280.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3286.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3278.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3282.50	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3283.50	5.00DR	
	Commission				40.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19SEP17	1 10OCT17	11084.57	8875.00		13,257.42DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19940.00	19930.00		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,257.46CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				50.00DR
	LME Nickel 6 tonnes. This is an LME Futures				13,257.42DR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				7,910.04CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
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SAPG0083

10th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		422,786.63CR
	SETTLEMENTS		
	CME E-Mini S&P 500 Futures		2,850.00DR
	LME Aluminium 25 Tonnes. This is an Futures		28,305.50CR
	LME Copper Grade A 25 tonnes. This Futures		1,940.50DR
	LME Lead 25 tonnes. This is an Futures		3,038.50DR
	LME Nickel 6 tonnes. This is an LME Futures		26,994.84DR
	LME Tin 5 tonnes. This is an LME Futures		50.00DR
	LME Zinc 25 tonnes. This is an LME Futures		4,819.00DR
	NYM Light Sweet Crude Oil Futures		4,320.00DR
	COMMISSIONS		1,116.15DR
	CARRIED FORWARD BALANCE		405,963.14CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 100CT17	1931.00	1929.50		37.50DR
10JUL17	1 100CT17	1931.00	1929.00		50.00DR
18JUL17	1 100CT17	1931.00	1929.12		47.00DR
18JUL17	1 100CT17	1933.00	1929.12		97.00DR
18JUL17	1 100CT17	1930.50	1929.12		34.50DR
18JUL17	1 100CT17	1931.00	1929.12		47.00DR
18JUL17	1 100CT17	1925.50	1929.12		90.50CR
18JUL17	1 100CT17	1933.50	1929.12		109.50DR
18JUL17	1 100CT17	1925.50	1929.12		90.50CR
18JUL17	1 100CT17	1932.50	1929.12		84.50DR
18JUL17	1 100CT17	1930.50	1929.12		34.50DR
18JUL17	1 100CT17	1931.00	1929.12		47.00DR
18JUL17	1 100CT17	1930.50	1929.12		34.50DR
18JUL17	1 100CT17	1930.50	1929.12		34.50DR
18JUL17	1 100CT17	1922.00	1929.12		178.00CR
18JUL17	1 100CT17	1921.50	1929.12		190.50CR
18JUL17	4 100CT17	1922.00	1929.12		712.00CR
18JUL17	1 100CT17	1921.00	1929.12		203.00CR
18JUL17	1 100CT17	1921.50	1929.12		190.50CR
18JUL17	2 100CT17	1921.50	1929.12		381.00CR
18JUL17	2 100CT17	1917.50	1929.12		581.00CR
18JUL17	1 100CT17	1917.50	1929.12		290.50CR
18JUL17	1 100CT17	1918.50	1929.12		265.50CR
18JUL17	1 100CT17	1916.00	1929.12		328.00CR
18JUL17	1 100CT17	1916.00	1929.12		328.00CR
18JUL17	3 100CT17	1916.00	1929.12		984.00CR
18JUL17	1 100CT17	1915.00	1929.12		353.00CR
18JUL17	1 100CT17	1913.50	1929.12		390.50CR
18JUL17	1 100CT17	1913.50	1929.12		390.50CR
18JUL17	1 100CT17	1912.00	1929.12		428.00CR
18JUL17	1 100CT17	1914.00	1929.12		378.00CR
18JUL17	1 100CT17	1913.50	1929.12		390.50CR
18JUL17	1 100CT17	1911.50	1929.12		440.50CR
18JUL17	1 100CT17	1910.00	1929.12		478.00CR
18JUL17	1 100CT17	1908.50	1929.12		515.50CR
18JUL17	2 100CT17	1906.50	1929.12		1,131.00CR
18JUL17	1 100CT17	1907.00	1929.12		553.00CR
18JUL17	1 100CT17	1907.00	1929.12		553.00CR
18JUL17	2 100CT17	1907.00	1929.12		1,106.00CR
18JUL17	1 100CT17	1906.50	1929.12		565.50CR
18JUL17	1 100CT17	1903.50	1929.12		640.50CR
18JUL17	4 100CT17	1904.00	1929.12		2,512.00CR
18JUL17	1 100CT17	1902.00	1929.12		678.00CR
18JUL17	1 100CT17	1902.00	1929.12		678.00CR
18JUL17	1 100CT17	1902.00	1929.12		678.00CR
18JUL17	1 100CT17	1899.00	1929.12		753.00CR
18JUL17	3 100CT17	1898.50	1929.12		2,296.50CR
18JUL17	2 100CT17	1898.50	1929.12		1,531.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 100CT17	5795.00	5828.00		825.00CR
10JUL17	1 100CT17	5800.00	5827.50		687.50CR
10JUL17	1 100CT17	5799.00	5825.50		662.50CR
24JUL17	1 100CT17	5799.00	6024.42		5,635.50CR
08AUG17	1 100CT17	5804.00	6471.35		16,683.75CR
08AUG17	1 100CT17	5795.00	6471.35		16,908.75CR
08AUG17	1 100CT17	5799.50	6471.35		16,796.25CR
08AUG17	1 100CT17	5798.50	6471.35		16,821.25CR
08AUG17	2 100CT17	5797.00	6471.35		33,717.50CR
08AUG17	1 100CT17	5820.00	6471.35		16,283.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

10th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
08AUG17	1 100CT17	5821.00	6471.35		16,258.75CR
08AUG17	1 100CT17	5827.50	6471.35		16,096.25CR
08AUG17	1 100CT17	5827.00	6471.35		16,108.75CR
08AUG17	1 100CT17	5827.50	6471.35		16,096.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2299.00	2281.50		437.50DR
10JUL17	1 100CT17	2298.50	2285.00		337.50DR
10JUL17	1 100CT17	2298.50	2293.00		137.50DR
10JUL17	1 100CT17	2298.50	2284.50		350.00DR
10JUL17	1 100CT17	2296.00	2295.50		12.50DR
10JUL17	1 100CT17	2298.00	2286.00		300.00DR
10JUL17	1 100CT17	2295.00	2289.00		150.00DR
10JUL17	1 100CT17	2294.00	2284.50		237.50DR
10JUL17	1 100CT17	2294.00	2284.00		250.00DR
10JUL17	1 100CT17	2294.50	2285.50		225.00DR
10JUL17	1 100CT17	2296.50	2284.00		312.50DR
10JUL17	1 100CT17	2311.50	2285.50		650.00DR
10JUL17	1 100CT17	2315.00	2285.50		737.50DR
10JUL17	1 100CT17	2315.00	2286.00		725.00DR
18JUL17	1 100CT17	2273.64	2285.00		284.00CR
18JUL17	1 100CT17	2273.64	2281.50		196.50CR
18JUL17	1 100CT17	2273.64	2296.50		571.50CR
18JUL17	1 100CT17	2273.64	2285.00		284.00CR
18JUL17	1 100CT17	2273.64	2284.00		259.00CR
18JUL17	1 100CT17	2273.64	2293.50		496.50CR
18JUL17	1 100CT17	2273.64	2285.50		296.50CR
18JUL17	1 100CT17	2273.64	2293.00		484.00CR
18JUL17	1 100CT17	2273.64	2285.00		284.00CR
18JUL17	1 100CT17	2273.64	2276.50		71.50CR
18JUL17	1 100CT17	2273.64	2285.00		284.00CR
18JUL17	2 100CT17	2273.64	2283.50		493.00CR
18JUL17	1 100CT17	2273.64	2283.00		234.00CR
18JUL17	1 100CT17	2273.64	2282.50		221.50CR
18JUL17	1 100CT17	2273.64	2281.00		184.00CR
18JUL17	1 100CT17	2273.64	2282.00		209.00CR
18JUL17	1 100CT17	2273.64	2281.50		196.50CR
18JUL17	1 100CT17	2273.64	2273.50		3.50DR
18JUL17	1 100CT17	2273.64	2277.00		84.00CR
18JUL17	1 100CT17	2273.64	2279.00		134.00CR
18JUL17	1 100CT17	2273.64	2280.50		171.50CR
18JUL17	1 100CT17	2273.64	2279.00		134.00CR
18JUL17	1 100CT17	2273.64	2280.50		171.50CR
18JUL17	2 100CT17	2273.64	2287.50		693.00CR
18JUL17	1 100CT17	2273.64	2288.00		359.00CR
18JUL17	1 100CT17	2273.64	2288.00		359.00CR
18JUL17	1 100CT17	2273.64	2293.50		496.50CR
18JUL17	1 100CT17	2273.64	2296.50		571.50CR
18JUL17	1 100CT17	2273.64	2280.00		159.00CR
18JUL17	1 100CT17	2273.64	2298.00		609.00CR
18JUL17	3 100CT17	2273.64	2298.00		1,827.00CR
18JUL17	2 100CT17	2273.64	2304.00		1,518.00CR
18JUL17	1 100CT17	2273.64	2305.00		784.00CR
18JUL17	2 100CT17	2273.64	2304.50		1,543.00CR
18JUL17	2 100CT17	2273.64	2304.00		1,518.00CR
18JUL17	1 100CT17	2273.64	2303.00		734.00CR
18JUL17	1 100CT17	2273.64	2307.50		846.50CR
18JUL17	3 100CT17	2273.64	2307.00		2,502.00CR
18JUL17	1 100CT17	2273.64	2308.00		859.00CR
24JUL17	1 100CT17	2257.82	2308.00		1,254.50CR
24JUL17	1 100CT17	2257.82	2307.00		1,229.50CR
24JUL17	4 100CT17	2257.82	2309.50		5,168.00CR
24JUL17	1 100CT17	2257.82	2309.00		1,279.50CR
24JUL17	1 100CT17	2257.82	2317.50		1,492.00CR
24JUL17	2 100CT17	2257.82	2310.50		2,634.00CR

Group No: SAPG0086

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
24JUL17	1 10OCT17	2257.82	2314.00		1,404.50CR
24JUL17	1 10OCT17	2257.82	2314.50		1,417.00CR
24JUL17	1 10OCT17	2257.82	2315.00		1,429.50CR
24JUL17	1 10OCT17	2257.82	2307.50		1,242.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8875.00	8910.00		210.00CR
10JUL17	1 10OCT17	8875.00	8885.00		60.00CR
10JUL17	1 10OCT17	8880.00	8885.00		30.00CR
10JUL17	1 10OCT17	8880.00	8965.00		510.00CR
10JUL17	1 10OCT17	8875.00	9015.00		840.00CR
10JUL17	1 10OCT17	8875.00	9005.00		780.00CR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				1,432,132.24CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				35,104.00CR
	LME Copper Grade A 25 tonnes. This Futures				189,581.75CR
	LME Nickel 6 tonnes. This is an LME Futures				2,430.00CR
	LME Aluminium 25 Tonnes. This is an Futures				22,273.50CR
	CARRIED FORWARD BALANCE				1,681,521.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086D

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 10OCT17	5807.00	5794.00		325.00DR
10JUL17	1 10OCT17	5797.00	5801.50		112.50CR
10JUL17	1 10OCT17	5802.00	5801.50		12.50DR
10JUL17	1 10OCT17	5806.00	5827.50		537.50CR
10JUL17	1 10OCT17	5796.00	5839.00		1,075.00CR
10JUL17	1 10OCT17	5825.00	5838.50		337.50CR
10JUL17	1 10OCT17	5825.00	5839.00		350.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19705.00	19750.00		225.00CR
10JUL17	1 10OCT17	19705.00	19735.00		150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,932.90CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				375.00CR
	LME Copper Grade A 25 tonnes. This Futures				2,075.00CR
	CARRIED FORWARD BALANCE				12,382.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086E

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1904.00	1901.00		75.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 10OCT17	5817.00	5816.50		12.50DR
10JUL17	1 10OCT17	5812.00	5810.00		50.00DR
10JUL17	1 10OCT17	5808.00	5828.00		500.00CR
10JUL17	1 10OCT17	5810.50	5838.00		687.50CR
10JUL17	1 10OCT17	5805.00	5833.00		700.00CR
10JUL17	1 10OCT17	5825.00	5819.00		150.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19730.00	19735.00		25.00CR
10JUL17	1 10OCT17	19745.00	19735.00		50.00DR
10JUL17	1 10OCT17	19935.00	19945.00		50.00CR
10JUL17	1 10OCT17	19960.00	19970.00		50.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,828.20CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				75.00CR
	LME Copper Grade A 25 tonnes. This Futures				1,675.00CR
	LME Aluminium 25 Tonnes. This is an Futures				75.00DR
	CARRIED FORWARD BALANCE				10,503.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086F

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 100CT17	5806.00	5804.00		50.00DR
10JUL17	1 100CT17	5814.00	5804.00		250.00DR
10JUL17	1 100CT17	5807.00	5815.00		200.00CR
10JUL17	1 100CT17	5805.00	5837.00		800.00CR
10JUL17	1 100CT17	5821.00	5838.00		425.00CR
24JUL17	1 100CT17	6024.42	5819.00		5,135.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	19935.00	19600.00		1,675.00DR
10JUL17	1 100CT17	19680.00	19750.00		350.00CR
10JUL17	1 100CT17	19660.00	19705.00		225.00CR
10JUL17	1 100CT17	19725.00	19735.00		50.00CR
10JUL17	1 100CT17	19935.00	19905.00		150.00DR
10JUL17	1 100CT17	19930.00	19980.00		250.00CR
10JUL17	1 100CT17	19755.00	19750.00		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				27,020.05CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				975.00DR
	LME Copper Grade A 25 tonnes. This Futures				4,010.50DR
	CARRIED FORWARD BALANCE				22,034.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086G

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 100CT17	5793.00	5797.00		100.00CR
10JUL17	1 100CT17	5822.00	5837.00		375.00CR
24JUL17	1 100CT17	6024.42	5839.00		4,635.50DR
24JUL17	1 100CT17	6024.42	5837.00		4,685.50DR
24JUL17	1 100CT17	6024.42	5818.00		5,160.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	19685.00	19935.00		1,250.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,748.40CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,250.00CR
	LME Copper Grade A 25 tonnes. This Futures				14,006.50DR
	CARRIED FORWARD BALANCE				5,008.10DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0086

10th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		735,169.85CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		22,198.50CR
	LME Copper Grade A 25 tonnes. This Futures		175,314.75CR
	LME Lead 25 tonnes. This is an Futures		35,104.00CR
	LME Nickel 6 tonnes. This is an LME Futures		2,430.00CR
	LME Tin 5 tonnes. This is an LME Futures		725.00CR
	CARRIED FORWARD BALANCE		970,942.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

10th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
10OCT17	S 5 DEC17 GX		304.100	9.75DR	
10OCT17	S 5 DEC17 GX		306.650	9.75DR	
10OCT17	B 5 DEC17 GX	305.950		9.75DR	
	CME Euro FX 125,000 CME				
10OCT17	S 5 DEC17 GX		1.18485	9.75DR	
10OCT17	S 5 DEC17 GX		1.18500	9.75DR	
	CME Sterling 62,500 CME				
10OCT17	S 5 DEC17 GX		1.32390	9.75DR	
	CMX Gold 100 CMX				
10OCT17	B 5 NOV17 1270.0 Put GX	2.8		9.75DR	1,400.00DR
10OCT17	S 5 DEC17 GX		1293.4	9.75DR	
10OCT17	S 5 DEC17 GX		1293.9	9.75DR	
	CME E-Mini S&P 500 50 CME				
10OCT17	S 5 DEC17 GX		2549.00	9.75DR	
10OCT17	B 5 DEC17 GX	2545.50		9.75DR	
10OCT17	B 5 DEC17 GX	2544.50		9.75DR	
10OCT17	S 5 DEC17 GX		2546.00	9.75DR	
	Commission				126.75DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	2 DEC17	305.950	304.100		925.00DR
10OCT17	3 DEC17	305.950	304.100		1,387.50DR
	CME Euro FX 125,000 CME				
10OCT17	5 DEC17	1.17920	1.18485		3,531.25CR
10OCT17	5 DEC17	1.17855	1.18500		4,031.25CR
	CME Sterling 62,500 CME				
10OCT17	5 DEC17	1.34320	1.32390		6,031.25DR
	CMX Gold 100 CMX				
10OCT17	5 NOV17 1270.0 Put	2.8	8.5		0.00
10OCT17	5 DEC17	1285.4	1293.4		4,000.00CR
10OCT17	5 DEC17	1282.6	1293.9		5,650.00CR
	CME E-Mini S&P 500 50 CME				
10OCT17	5 DEC17	2545.50	2549.00		875.00CR
10OCT17	1 DEC17	2544.50	2546.00		75.00CR
10OCT17	4 DEC17	2544.50	2546.00		300.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	2 10OCT17	5803.50	5826.50		1,150.00CR
10JUL17	1 10OCT17	5803.50	5826.50		575.00CR
10JUL17	2 10OCT17	5803.50	5826.50		1,150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				239,352.24CR
	OPTION PREMIUMS				1,400.00DR
	SETTLEMENTS				
	CME Sterling Futures				6,031.25DR
	LME Copper Grade A 25 tonnes. This Futures				2,875.00CR
	CMX Copper Futures				2,312.50DR
	CME E-Mini S&P 500 Futures				1,250.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Gold Futures				9,650.00CR
	CME Euro FX Futures				7,562.50CR
	COMMISSIONS				126.75DR
	CARRIED FORWARD BALANCE				250,819.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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10th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		239,805.94CR
	OPTION PREMIUMS		1,400.00DR
	SETTLEMENTS		
	CME E-Mini S&P 500 Futures		1,250.00CR
	CME Euro FX Futures		7,562.50CR
	CME Sterling Futures		6,031.25DR
	CMX Copper Futures		2,312.50DR
	CMX Gold Futures		9,650.00CR
	LME Copper Grade A 25 tonnes. This Futures		2,875.00CR
	COMMISSIONS		126.75DR
	CARRIED FORWARD BALANCE		251,272.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089R

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
10OCT17	S 1 DEC17 CQG GX		1289.0	2.20DR	
10OCT17	S 2 DEC17 CQG GX		1290.9	4.40DR	
10OCT17	S 1 DEC17 CQG GX		1291.6	2.20DR	
10OCT17	S 2 DEC17 CQG GX		1291.3	4.40DR	
10OCT17	S 2 DEC17 CQG GX		1291.1	4.40DR	
10OCT17	S 3 DEC17 CQG GX		1291.4	6.60DR	
10OCT17	S 2 DEC17 CQG GX		1291.5	4.40DR	
10OCT17	S 2 DEC17 CQG GX		1293.3	4.40DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 CQG GX		1720.0	2.20DR	
10OCT17	S 3 DEC17 CQG GX		1720.5	6.60DR	
10OCT17	S 4 DEC17 CQG GX		1721.0	8.80DR	
	Commission				50.60DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1811.0	1720.5		4,525.00DR
10OCT17	1 DEC17	1811.0	1720.0		4,550.00DR
10OCT17	2 DEC17	1811.0	1720.5		9,050.00DR
10OCT17	1 DEC17	1811.0	1721.0		4,500.00DR
10OCT17	1 DEC17	1789.0	1721.0		3,400.00DR
10OCT17	2 DEC17	1789.0	1721.0		6,800.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				204,258.81DR
	SETTLEMENTS				
	CMX Silver Futures				32,825.00DR
	COMMISSIONS				50.60DR
	CARRIED FORWARD BALANCE				237,134.41DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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10th Oct 2017

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Regulation Authority. Subject to regulation by the Financial
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		157,836.77CR
	SETTLEMENTS		
	CMX Silver Futures		32,825.00DR
	COMMISSIONS		50.60DR
	CARRIED FORWARD BALANCE		124,961.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

10th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 3 19DEC17 IFC UD	2159.75		8.10DR	
10OCT17	B 4 21DEC17 IFC UD	2159.75		10.80DR	
10OCT17	S 1 27DEC17 IFC UD		2160.09	2.70DR	
10OCT17	S 2 28DEC17 IFC UD		2160.19	5.40DR	
10OCT17	S 4 29DEC17 IFC UD		2160.30	10.80DR	
10OCT17	S 7 29DEC17 IFC UD		2160.50	18.90DR	
10OCT17	B 7 03JAN18 IFC UD	2161.74		18.90DR	
10OCT17	B 2 03JAN18 IFC UD	2161.54		5.40DR	
10OCT17	S 2 04JAN18 IFC UD		2161.55	5.40DR	
10OCT17	B 1 10JAN18 DMA EL	2158.50		4.35DR	
	CMX Gold 100 CMX				
10OCT17	S 1 DEC17 GX		1293.6		
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 8 22DEC17 IFC UD		2554.38	21.60DR	
10OCT17	B 7 27DEC17 IFC UD	2554.20		18.90DR	
10OCT17	B 1 28DEC17 IFC UD	2554.41		2.70DR	
10OCT17	B 19 03JAN18 IFC UD	2555.50		51.30DR	
10OCT17	S 19 04JAN18 IFC UD		2555.51	51.30DR	
10OCT17	S 1 10JAN18 DMA EL		2540.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		2550.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		2550.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		2553.50	4.35DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 GX		1706.5		
10OCT17	S 1 DEC17 GX		1707.5		
10OCT17	S 1 DEC17 GX		1707.0		
10OCT17	S 2 DEC17 GX		1709.0		
10OCT17	S 2 DEC17 GX		1710.0		
10OCT17	S 1 DEC17 GX		1712.0		
10OCT17	S 2 DEC17 GX		1712.5		
10OCT17	S 1 DEC17 GX		1721.5		
10OCT17	S 1 DEC17 GX		1724.0		
10OCT17	S 1 DEC17 GX		1725.5		
10OCT17	S 3 DEC17 GX		1722.5		
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 6 02NOV17 SGL UD	3249.00		16.20DR	
10OCT17	S 4 22DEC17 IFC UD		3284.45	10.80DR	
10OCT17	S 5 27DEC17 IFC UD		3283.91	13.50DR	
10OCT17	B 1 28DEC17 IFC UD	3283.36		2.70DR	
10OCT17	B 9 29DEC17 IFC UD	3283.02		24.30DR	
10OCT17	S 1 03JAN18 IFC UD		3281.53	2.70DR	
10OCT17	S 6 10JAN18 SGL UD		3224.85	16.20DR	
10OCT17	B 1 10JAN18 DMA EL	3214.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3214.50		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3215.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3216.50		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3217.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3218.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3221.50		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3223.50		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3228.00		4.35DR	
10OCT17	B 2 10JAN18 DMA EL	3232.00		8.70DR	
10OCT17	B 1 10JAN18 DMA EL	3240.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3248.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3248.50		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3249.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3250.00		4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3251.00		4.35DR	

Group No: SAPG0094

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S 2 10JAN18 DMA EL		3231.50	8.70DR	
10OCT17	S 1 10JAN18 DMA EL		3232.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3233.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3235.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3237.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3237.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3238.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3240.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3241.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3241.50	4.35DR	
10OCT17	S 2 10JAN18 DMA EL		3242.00	8.70DR	
10OCT17	S 1 10JAN18 DMA EL		3242.50	4.35DR	
10OCT17	S 2 10JAN18 DMA EL		3243.50	8.70DR	
10OCT17	S 1 10JAN18 DMA EL		3252.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3253.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3274.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3278.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3279.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3281.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL		3284.50	4.35DR	
10OCT17	B 1 10JAN18 DMA EL	3290.00		4.35DR	
10OCT17	B 3 10JAN18 DMA EL	3290.50		13.05DR	
10OCT17	B 1 10JAN18 DMA EL	3291.00		4.35DR	
10OCT17	B 2 10JAN18 DMA EL	3291.50		8.70DR	
10OCT17	B 2 10JAN18 DMA EL	3292.00		8.70DR	
	Commission				553.50DR
	SETTLEMENTS				
	CMX Gold 100 CMX				
10OCT17	1 DEC17	1263.8	1293.6		2,980.00CR
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1731.5	1706.5		1,250.00DR
10OCT17	1 DEC17	1731.5	1707.5		1,200.00DR
10OCT17	1 DEC17	1732.0	1707.0		1,250.00DR
10OCT17	1 DEC17	1732.5	1709.0		1,175.00DR
10OCT17	1 DEC17	1732.0	1709.0		1,150.00DR
10OCT17	1 DEC17	1731.0	1710.0		1,050.00DR
10OCT17	1 DEC17	1730.5	1710.0		1,025.00DR
10OCT17	1 DEC17	1726.5	1712.5		700.00DR
10OCT17	1 DEC17	1726.5	1712.0		725.00DR
10OCT17	1 DEC17	1726.0	1712.5		675.00DR
10OCT17	1 DEC17	1725.5	1721.5		200.00DR
10OCT17	1 DEC17	1719.5	1722.5		150.00CR
10OCT17	1 DEC17	1676.5	1724.0		2,375.00CR
10OCT17	1 DEC17	1676.0	1725.5		2,475.00CR
10OCT17	1 DEC17	1676.5	1722.5		2,300.00CR
10OCT17	1 DEC17	1676.0	1722.5		2,325.00CR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1902.50	1932.00		737.50CR
11JUL17	1 10OCT17	1913.50	1899.70		345.00DR
11JUL17	1 10OCT17	1913.00	1899.70		332.50DR
11JUL17	1 10OCT17	1904.50	1899.70		120.00DR
12JUL17	1 10OCT17	1904.00	1888.55		386.25DR
12JUL17	1 10OCT17	1903.00	1888.55		361.25DR
12JUL17	1 10OCT17	1902.50	1888.55		348.75DR
14JUL17	1 10OCT17	1898.50	1926.60		702.50CR
14JUL17	1 10OCT17	1932.00	1926.60		135.00DR
14JUL17	1 10OCT17	1932.00	1926.60		135.00DR
	LME Lead 25 tonnes. This is an				

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME registered client contract.				
10JUL17	1 10OCT17	2298.00	2281.00		425.00DR
10JUL17	1 10OCT17	2299.00	2281.50		437.50DR
10JUL17	1 10OCT17	2299.00	2284.50		362.50DR
10JUL17	1 10OCT17	2298.50	2287.00		287.50DR
10JUL17	1 10OCT17	2299.50	2287.50		300.00DR
10JUL17	1 10OCT17	2299.50	2290.50		225.00DR
10JUL17	1 10OCT17	2301.00	2291.50		237.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2747.00	2768.50		537.50CR
10JUL17	1 10OCT17	2747.00	2767.50		512.50CR
10JUL17	1 10OCT17	2788.50	2765.00		587.50DR
12JUL17	1 10OCT17	2832.92	2784.00		1,223.00DR
12JUL17	1 10OCT17	2832.92	2775.00		1,448.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				94,530.49CR
	SETTLEMENTS				
	CMX Silver Futures				775.00DR
	LME Lead 25 tonnes. This is an Futures				2,275.00DR
	LME Zinc 25 tonnes. This is an LME Futures				2,208.50DR
	CMX Gold Futures				2,980.00CR
	LME Aluminium 25 Tonnes. This is an Futures				723.75DR
	COMMISSIONS				553.50DR
	CARRIED FORWARD BALANCE				90,974.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

10th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 28DEC17 IFC UD 2160.39			2.70DR	
10OCT17	S 1 29DEC17 IFC UD		2160.30	2.70DR	
10OCT17	B 2 10JAN18 DMA EL 2158.00			8.70DR	
10OCT17	B 1 10JAN18 DMA EL 2158.50			4.35DR	
10OCT17	B 3 10JAN18 DMA EL 2159.00			13.05DR	
10OCT17	B 1 10JAN18 DMA EL 2159.50			4.35DR	
10OCT17	B 2 10JAN18 DMA EL 2160.50			8.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 6 21DEC17 IFC UD 2554.75			16.20DR	
10OCT17	S 6 27DEC17 IFC UD		2553.80	16.20DR	
10OCT17	B 1 28DEC17 IFC UD 2554.21			2.70DR	
10OCT17	B 4 29DEC17 IFC UD 2554.43			10.80DR	
10OCT17	B 11 03JAN18 IFC UD 2555.50			29.70DR	
10OCT17	S 16 04JAN18 IFC UD		2555.51	43.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 7 05JAN18 IFC UD		3280.64	18.90DR	
10OCT17	B 7 09JAN18 IFC UD 3279.75			18.90DR	
	Commission				201.15DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
11JUL17	1 10OCT17	1903.00	1899.70		82.50DR
11JUL17	1 10OCT17	1915.50	1899.70		395.00DR
11JUL17	1 10OCT17	1915.50	1899.70		395.00DR
11JUL17	1 10OCT17	1916.00	1899.70		407.50DR
11JUL17	1 10OCT17	1913.50	1899.70		345.00DR
11JUL17	1 10OCT17	1911.50	1899.70		295.00DR
11JUL17	1 10OCT17	1906.00	1899.70		157.50DR
11JUL17	1 10OCT17	1906.50	1899.70		170.00DR
12JUL17	1 10OCT17	1907.00	1888.55		461.25DR
12JUL17	1 10OCT17	1906.50	1888.55		448.75DR
12JUL17	1 10OCT17	1903.50	1888.55		373.75DR
12JUL17	1 10OCT17	1903.00	1888.55		361.25DR
12JUL17	1 10OCT17	1903.00	1888.55		361.25DR
12JUL17	1 10OCT17	1903.50	1888.55		373.75DR
12JUL17	1 10OCT17	1903.00	1888.55		361.25DR
12JUL17	1 10OCT17	1933.00	1888.55		1,111.25DR
12JUL17	1 10OCT17	1933.00	1888.55		1,111.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
08AUG17	1 10OCT17	8920.00	10615.93		10,175.58CR
08AUG17	1 10OCT17	8920.00	10615.93		10,175.58CR
11AUG17	1 10OCT17	8875.00	10639.78		10,588.68CR
11AUG17	1 10OCT17	8895.00	10639.78		10,468.68CR
22AUG17	1 10OCT17	8925.00	11389.43		14,786.58CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2755.00	2771.00		400.00CR
10JUL17	1 10OCT17	2751.50	2773.00		537.50CR
10JUL17	1 10OCT17	2752.00	2774.50		562.50CR
10JUL17	1 10OCT17	2751.50	2765.50		350.00CR
10JUL17	1 10OCT17	2751.50	2765.50		350.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
12JUL17	1 10OCT17	2746.50	2832.52		2,150.50CR
12JUL17	1 10OCT17	2789.00	2832.52		1,088.00CR
12JUL17	1 10OCT17	2787.50	2832.52		1,125.50CR
12JUL17	1 10OCT17	2780.00	2832.52		1,313.00CR
12JUL17	1 10OCT17	2776.50	2832.52		1,400.50CR
12JUL17	1 10OCT17	2767.50	2832.52		1,625.50CR
12JUL17	1 10OCT17	2766.00	2832.52		1,663.00CR
12JUL17	1 10OCT17	2766.00	2832.52		1,663.00CR
12JUL17	1 10OCT17	2766.00	2832.52		1,663.00CR
12JUL17	1 10OCT17	2764.00	2832.52		1,713.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				675,275.62DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				17,605.00CR
	LME Nickel 6 tonnes. This is an LME Futures				56,195.10CR
	LME Aluminium 25 Tonnes. This is an Futures				7,211.25DR
	COMMISSIONS				201.15DR
	CARRIED FORWARD BALANCE				608,887.92DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 1 10JAN18 DMA EL	2162.00		4.35DR	
	CMX Silver 5,000 CMX				
100CT17	S 1 DEC17 GX		1706.5		
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	3287.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3222.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3223.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3284.00		4.35DR	
100CT17	S 1 10JAN18 DMA EL		3277.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3280.00	4.35DR	
	Commission				30.45DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1731.5	1706.5		1,250.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
31JUL17	1 100CT17	1899.50	1912.96		336.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				40,691.17DR
	SETTLEMENTS				
	CMX Silver Futures				1,250.00DR
	LME Aluminium 25 Tonnes. This is an Futures				336.50CR
	COMMISSIONS				30.45DR
	CARRIED FORWARD BALANCE				41,635.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03AUG17	1 100CT17	2363.24	2314.50		1,218.50DR
03AUG17	1 100CT17	2363.24	2290.50		1,818.50DR
03AUG17	1 100CT17	2363.24	2289.50		1,843.50DR
03AUG17	1 100CT17	2363.24	2289.00		1,856.00DR
03AUG17	1 100CT17	2363.24	2289.00		1,856.00DR
03AUG17	1 100CT17	2363.24	2288.50		1,868.50DR
03AUG17	2 100CT17	2363.24	2314.50		2,437.00DR
03AUG17	1 100CT17	2363.24	2314.50		1,218.50DR
03AUG17	1 100CT17	2363.24	2314.50		1,218.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				978,519.45CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				15,335.00DR
	CARRIED FORWARD BALANCE				963,184.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094K

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	S 1 NOV17 TTR GX		49.86	2.35DR	
10OCT17	S 1 NOV17 TTR GX		49.84	2.35DR	
10OCT17	S 2 NOV17 TTR GX		50.01	4.70DR	
10OCT17	S 1 NOV17 TTR GX		50.04	2.35DR	
10OCT17	S 1 NOV17 TTR GX		50.48	2.35DR	
10OCT17	S 1 NOV17 TTR GX		50.51	2.35DR	
10OCT17	S 1 NOV17 TTR GX		50.54	2.35DR	
10OCT17	S 1 NOV17 TTR GX		50.55	2.35DR	
10OCT17	B 1 NOV17 TTR GX	50.97		2.35DR	
10OCT17	B 1 NOV17 TTR GX	50.96		2.35DR	
10OCT17	B 1 NOV17 TTR GX	50.91		2.35DR	
10OCT17	B 1 NOV17 TTR GX	50.92		2.35DR	
10OCT17	B 2 NOV17 TTR GX	50.93		4.70DR	
	Commission				35.25DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	1 NOV17	50.97	49.86		1,110.00DR
10OCT17	1 NOV17	50.96	49.84		1,120.00DR
10OCT17	1 NOV17	50.91	50.01		900.00DR
10OCT17	1 NOV17	50.93	50.01		920.00DR
10OCT17	1 NOV17	50.92	50.04		880.00DR
10OCT17	1 NOV17	50.93	50.48		450.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,444.50CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				5,380.00DR
	COMMISSIONS				35.25DR
	CARRIED FORWARD BALANCE				2,970.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 10JAN18 TTR EL	6724.50		4.35DR	
100CT17	S 1 10JAN18 TTR EL		6723.50	4.35DR	
100CT17	S 1 10JAN18 TTR EL		6713.00	4.35DR	
100CT17	B 1 10JAN18 TTR EL	6700.50		4.35DR	
100CT17	B 1 10JAN18 TTR EL	6692.50		4.35DR	
100CT17	B 1 10JAN18 TTR EL	6749.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	6757.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	6754.00		4.35DR	
	CMX Gold 100 CMX				
100CT17	B 1 DEC17 TTR GX	1288.9			
100CT17	B 1 DEC17 TTR GX	1291.3			
100CT17	B 1 DEC17 TTR GX	1291.1			
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 TTR EL		2505.50	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 TTR EL	10975.00		4.35DR	
100CT17	B 2 10JAN18 TTR EL	10965.00		8.70DR	
100CT17	B 1 10JAN18 TTR EL	10970.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	10960.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	10945.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	10950.00		4.35DR	
100CT17	S 1 10JAN18 TTR EL		10905.00	4.35DR	
100CT17	S 1 10JAN18 TTR EL		10875.00	4.35DR	
100CT17	S 1 10JAN18 TTR EL		10830.00	4.35DR	
100CT17	B 1 10JAN18 TTR EL	11005.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	11015.00		4.35DR	
100CT17	S 2 10JAN18 TTR EL		11045.00	8.70DR	
100CT17	S 1 10JAN18 DMA EL		11045.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		11050.00	4.35DR	
	CMX Silver 5,000 CMX				
100CT17	S 1 DEC17 TTR GX		1706.5		
100CT17	S 1 DEC17 TTR GX		1716.5		
100CT17	S 1 DEC17 TTR GX		1718.5		
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 TTR EL		3233.00	4.35DR	
	Commission				113.10DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1694.0	1706.5		625.00CR
100CT17	1 DEC17	1693.5	1716.5		1,150.00CR
100CT17	1 DEC17	1690.5	1718.5		1,400.00CR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
050CT17	1 100CT17	8920.00	10448.00		9,168.00CR
050CT17	1 100CT17	8915.00	10448.00		9,198.00CR
050CT17	1 100CT17	8925.00	10448.00		9,138.00CR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094L

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				109,259.24DR
	SETTLEMENTS				
	CMX Silver Futures				3,175.00CR
	LME Nickel 6 tonnes. This is an LME Futures				27,504.00CR
	COMMISSIONS				113.10DR
	CARRIED FORWARD BALANCE				78,693.34DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 2 NOV17 TTR GX		49.65	4.70DR	
100CT17	B 2 NOV17 TTR GX	49.66		4.70DR	
100CT17	B 1 NOV17 TTR GX	49.60		2.35DR	
100CT17	S 1 NOV17 TTR GX		49.61	2.35DR	
100CT17	S 4 NOV17 TTR GX		49.62	9.40DR	
100CT17	S 5 NOV17 TTR GX		49.69	11.75DR	
100CT17	S 2 NOV17 TTR GX		49.71	4.70DR	
100CT17	S 2 NOV17 TTR GX		49.72	4.70DR	
100CT17	B 6 NOV17 TTR GX	49.70		14.10DR	
100CT17	S 1 NOV17 TTR GX		49.81	2.35DR	
100CT17	S 1 NOV17 TTR GX		49.85	2.35DR	
100CT17	S 1 NOV17 TTR GX		49.82	2.35DR	
100CT17	S 6 NOV17 TTR GX		49.87	14.10DR	
100CT17	S 2 NOV17 TTR GX		49.88	4.70DR	
100CT17	S 2 NOV17 TTR GX		49.90	4.70DR	
100CT17	S 2 NOV17 TTR GX		49.91	4.70DR	
100CT17	S 2 NOV17 TTR GX		49.92	4.70DR	
100CT17	S 2 NOV17 TTR GX		49.89	4.70DR	
100CT17	S 1 NOV17 TTR GX		49.84	2.35DR	
100CT17	S 2 NOV17 TTR GX		50.54	4.70DR	
100CT17	S 1 NOV17 TTR GX		50.85	2.35DR	
100CT17	S 1 NOV17 TTR GX		50.89	2.35DR	
100CT17	S 1 NOV17 TTR GX		50.90	2.35DR	
100CT17	S 4 NOV17 TTR GX		50.92	9.40DR	
100CT17	S 2 NOV17 TTR GX		50.93	4.70DR	
100CT17	S 1 NOV17 TTR GX		50.94	2.35DR	
100CT17	S 1 NOV17 TTR GX		50.97	2.35DR	
100CT17	S 2 NOV17 TTR GX		51.05	4.70DR	
100CT17	S 1 NOV17 TTR GX		51.03	2.35DR	
100CT17	S 2 NOV17 TTR GX		50.95	4.70DR	
100CT17	S 1 NOV17 TTR GX		50.99	2.35DR	
100CT17	B 6 NOV17 TTR GX	50.99		14.10DR	
100CT17	B 5 NOV17 TTR GX	50.98		11.75DR	
100CT17	B 4 NOV17 TTR GX	50.97		9.40DR	
100CT17	B 3 NOV17 TTR GX	50.96		7.05DR	
100CT17	B 2 NOV17 TTR GX	50.95		4.70DR	
100CT17	B 1 NOV17 TTR GX	50.89		2.35DR	
100CT17	B 2 NOV17 TTR GX	50.90		4.70DR	
100CT17	B 7 NOV17 TTR GX	50.94		16.45DR	
100CT17	B 9 NOV17 TTR GX	50.93		21.15DR	
100CT17	B 11 NOV17 TTR GX	50.92		25.85DR	
100CT17	B 3 NOV17 TTR GX	50.91		7.05DR	
	CMX Copper 25,000 CMX				
100CT17	B 1 DEC17 TTR GX	305.000			
100CT17	S 1 DEC17 TTR GX		306.100		
100CT17	S 1 DEC17 TTR GX		306.800		
100CT17	B 1 DEC17 TTR GX	306.200			
	NYM Henry Hub Natural Gas 10,000 NYM				
100CT17	B 1 NOV17 TTR GX	2.84000		2.35DR	
100CT17	B 1 NOV17 TTR GX	2.84200		2.35DR	
100CT17	B 7 NOV17 TTR GX	2.84100		16.45DR	
100CT17	S 1 NOV17 TTR GX		2.89000	2.35DR	
100CT17	S 2 NOV17 TTR GX		2.89400	4.70DR	
100CT17	S 4 NOV17 TTR GX		2.89200	9.40DR	
100CT17	S 5 NOV17 TTR GX		2.89100	11.75DR	
100CT17	S 1 NOV17 TTR GX		2.88800	2.35DR	
100CT17	B 1 NOV17 TTR GX	2.87600		2.35DR	
100CT17	B 1 NOV17 TTR GX	2.87500		2.35DR	
100CT17	S 2 NOV17 TTR GX		2.88900	4.70DR	
100CT17	S 2 NOV17 TTR GX		2.88600	4.70DR	
100CT17	S 2 NOV17 TTR GX		2.88200	4.70DR	
100CT17	B 1 NOV17 TTR GX	2.88600		2.35DR	

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10OCT17	S 1 NOV17 TTR GX CMX Silver 5,000 CMX		2.88700	2.35DR	
10OCT17	S 1 DEC17 TTR GX		1708.0		
10OCT17	S 2 DEC17 TTR GX Commission		1708.5		350.15DR
SETTLEMENTS					
NYM Light Sweet Crude Oil 1,000 NYM					
10OCT17	1 NOV17	49.66	49.65		10.00DR
10OCT17	1 NOV17	49.66	49.65		10.00DR
10OCT17	1 NOV17	49.60	49.62		20.00CR
10OCT17	1 NOV17	49.70	49.62		80.00DR
10OCT17	1 NOV17	49.70	49.61		90.00DR
10OCT17	2 NOV17	49.70	49.62		160.00DR
10OCT17	1 NOV17	49.70	49.71		10.00CR
10OCT17	1 NOV17	49.70	49.69		10.00DR
10OCT17	1 NOV17	50.99	49.69		1,300.00DR
10OCT17	1 NOV17	50.99	49.69		1,300.00DR
10OCT17	1 NOV17	50.98	49.69		1,290.00DR
10OCT17	1 NOV17	50.98	49.69		1,290.00DR
10OCT17	1 NOV17	50.99	49.72		1,270.00DR
10OCT17	1 NOV17	50.99	49.71		1,280.00DR
10OCT17	1 NOV17	50.99	49.72		1,270.00DR
10OCT17	1 NOV17	50.98	49.81		1,170.00DR
10OCT17	1 NOV17	50.98	49.85		1,130.00DR
10OCT17	1 NOV17	50.99	49.82		1,170.00DR
10OCT17	1 NOV17	50.98	49.87		1,110.00DR
10OCT17	4 NOV17	50.97	49.87		4,400.00DR
10OCT17	1 NOV17	50.96	49.87		1,090.00DR
10OCT17	2 NOV17	50.96	49.88		2,160.00DR
10OCT17	2 NOV17	50.95	49.90		2,100.00DR
10OCT17	1 NOV17	50.94	49.91		1,030.00DR
10OCT17	1 NOV17	50.93	49.91		1,020.00DR
10OCT17	2 NOV17	50.94	49.92		2,040.00DR
10OCT17	2 NOV17	50.94	49.89		2,100.00DR
10OCT17	1 NOV17	50.91	49.84		1,070.00DR
10OCT17	1 NOV17	50.91	50.54		370.00DR
10OCT17	1 NOV17	50.89	50.54		350.00DR
10OCT17	1 NOV17	50.90	50.85		50.00DR
10OCT17	1 NOV17	50.90	50.89		10.00DR
10OCT17	1 NOV17	50.92	50.90		20.00DR
10OCT17	1 NOV17	50.93	50.92		10.00DR
10OCT17	1 NOV17	50.92	50.93		10.00CR
10OCT17	2 NOV17	50.94	50.92		40.00DR
10OCT17	1 NOV17	50.93	50.92		10.00DR
10OCT17	1 NOV17	50.93	50.93		0.00
10OCT17	1 NOV17	50.93	50.95		20.00CR
10OCT17	1 NOV17	50.93	50.94		10.00CR
10OCT17	1 NOV17	50.93	50.97		40.00CR
10OCT17	2 NOV17	50.92	51.05		260.00CR
10OCT17	1 NOV17	50.92	51.03		110.00CR
10OCT17	1 NOV17	50.93	50.95		20.00CR
10OCT17	1 NOV17	50.92	50.99		70.00CR
10OCT17	1 NOV17	50.92	49.44		1,480.00DR
10OCT17	1 NOV17	50.92	49.65		1,270.00DR
10OCT17	1 NOV17	50.93	49.62		1,310.00DR
10OCT17	1 NOV17	50.92	49.65		1,270.00DR
10OCT17	1 NOV17	50.92	49.66		1,260.00DR
10OCT17	1 NOV17	50.92	49.66		1,260.00DR
10OCT17	1 NOV17	50.91	49.66		1,250.00DR
CMX Copper 25,000 CMX					
10OCT17	1 DEC17	305.000	306.100		275.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094M 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC17 NYM Henry Hub Natural Gas 10,000 NYM	306.200	306.800		150.00CR
10OCT17	1 NOV17	2.84100	2.89000		490.00CR
10OCT17	2 NOV17	2.84100	2.89100		1,000.00CR
10OCT17	1 NOV17	2.84100	2.89200		510.00CR
10OCT17	1 NOV17	2.84000	2.89200		520.00CR
10OCT17	1 NOV17	2.84200	2.89400		520.00CR
10OCT17	1 NOV17	2.84100	2.89400		530.00CR
10OCT17	1 NOV17	2.84100	2.89200		510.00CR
10OCT17	1 NOV17	2.84100	2.89100		500.00CR
10OCT17	1 NOV17	2.87600	2.89200		160.00CR
10OCT17	1 NOV17	2.87500	2.88900		140.00CR
10OCT17	1 NOV17	2.88600	2.89100		50.00CR
10OCT17	1 NOV17	2.84000	2.89100		510.00CR
10OCT17	1 NOV17	2.84300	2.88200		390.00CR
10OCT17	1 NOV17	2.84300	2.88800		450.00CR
10OCT17	1 NOV17	2.83500	2.88600		510.00CR
10OCT17	1 NOV17	2.83300	2.88900		560.00CR
10OCT17	1 NOV17	2.83500	2.88600		510.00CR
10OCT17	1 NOV17	2.83500	2.88200		470.00CR
10OCT17	1 NOV17	2.83400	2.88700		530.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				15,157.84DR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				8,860.00CR
	CMX Copper Futures				425.00CR
	NYM Light Sweet Crude Oil Futures				40,340.00DR
	COMMISSIONS				350.15DR
	CARRIED FORWARD BALANCE				46,562.99DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

10th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 2 10JAN18 TTR EL	2157.00		8.70DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	S 2 10JAN18 TTR EL		6724.00	8.70DR	
100CT17	S 2 10JAN18 TTR EL		6707.50	8.70DR	
100CT17	B 2 10JAN18 TTR EL	6708.50		8.70DR	
100CT17	S 2 10JAN18 TTR EL		6688.00	8.70DR	
100CT17	B 2 10JAN18 TTR EL	6693.00		8.70DR	
100CT17	B 4 10JAN18 TTR EL	6694.50		17.40DR	
100CT17	B 2 10JAN18 TTR EL	6749.00		8.70DR	
100CT17	B 2 10JAN18 TTR EL	6748.00		8.70DR	
100CT17	B 2 10JAN18 TTR EL	6759.50		8.70DR	
100CT17	B 2 10JAN18 TTR EL	6751.00		8.70DR	
100CT17	B 2 10JAN18 TTR EL	6742.00		8.70DR	
100CT17	B 2 10JAN18 TTR EL	6740.50		8.70DR	
100CT17	B 2 10JAN18 DMA EL	6759.50		8.70DR	
	CMX Gold 100 CMX				
100CT17	B 2 DEC17 TTR GX	1289.9			
100CT17	B 2 DEC17 TTR GX	1291.6			
100CT17	B 2 DEC17 TTR GX	1291.3			
100CT17	B 4 DEC17 TTR GX	1291.2			
100CT17	B 2 DEC17 TTR GX	1291.0			
100CT17	B 4 DEC17 TTR GX	1295.4			
100CT17	B 2 DEC17 TTR GX	1292.4			
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	S 2 10JAN18 TTR EL		2511.00	8.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 4 10JAN18 TTR EL	10940.00		17.40DR	
100CT17	B 1 10JAN18 TTR EL	10945.00		4.35DR	
100CT17	B 2 10JAN18 TTR EL	10950.00		8.70DR	
100CT17	S 1 10JAN18 TTR EL		10945.00	4.35DR	
100CT17	B 10 10JAN18 TTR EL	11010.00		43.50DR	
100CT17	S 2 10JAN18 TTR EL		10880.00	8.70DR	
100CT17	B 1 10JAN18 TTR EL	10875.00		4.35DR	
100CT17	S 2 10JAN18 TTR EL		10840.00	8.70DR	
100CT17	S 3 10JAN18 TTR EL		10850.00	13.05DR	
100CT17	S 2 10JAN18 TTR EL		10860.00	8.70DR	
100CT17	S 1 10JAN18 TTR EL		10875.00	4.35DR	
100CT17	B 1 10JAN18 TTR EL	10880.00		4.35DR	
100CT17	B 4 10JAN18 TTR EL	10980.00		17.40DR	
100CT17	B 2 10JAN18 TTR EL	10990.00		8.70DR	
100CT17	B 2 10JAN18 TTR EL	10985.00		8.70DR	
100CT17	S 1 10JAN18 DMA EL		10870.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		10850.00	4.35DR	
100CT17	B 4 10JAN18 TTR EL	10995.00		17.40DR	
100CT17	B 2 10JAN18 TTR EL	11015.00		8.70DR	
100CT17	S 2 10JAN18 TTR EL		11045.00	8.70DR	
	CMX Silver 5,000 CMX				
100CT17	S 4 DEC17 TTR GX		1705.5		
	LME Tin 5 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 TTR EL	20795.00		4.35DR	
100CT17	B 1 10JAN18 TTR EL	20800.00		4.35DR	
	Commission				356.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP00940

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CMX Silver 5,000 CMX				
10OCT17	2 DEC17	1686.0	1705.5		1,950.00CR
10OCT17	2 DEC17	1688.0	1705.5		1,750.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				193,753.70DR
	SETTLEMENTS				
	CMX Silver Futures				3,700.00CR
	COMMISSIONS				356.70DR
	CARRIED FORWARD BALANCE				190,410.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

10th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		20850.00	4.35DR	
10OCT17	B 4 10JAN18 DMA EL	20750.00		17.40DR	
10OCT17	S 2 10JAN18 DMA EL		20795.00	8.70DR	
10OCT17	S 1 10JAN18 DMA EL		20835.00	4.35DR	
	Commission				34.80DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 10OCT17	1907.00	1915.11		202.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
20JUL17	1 10OCT17	2211.74	2292.50		2,019.00CR
20JUL17	1 10OCT17	2211.74	2310.00		2,456.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8920.00	8955.00		210.00CR
20JUL17	1 10OCT17	8960.00	9490.71		3,184.26CR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19740.00	19735.00		25.00DR
10JUL17	1 10OCT17	19690.00	19735.00		225.00CR
10JUL17	1 10OCT17	19690.00	19790.00		500.00CR
10JUL17	1 10OCT17	19715.00	19765.00		250.00CR
10JUL17	1 10OCT17	19730.00	19765.00		175.00CR
10JUL17	1 10OCT17	19810.00	19690.00		600.00DR
10JUL17	1 10OCT17	19810.00	19850.00		200.00CR
10JUL17	1 10OCT17	19810.00	19850.00		200.00CR
20JUL17	1 10OCT17	20099.47	19850.00		1,247.35DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2773.00	2778.00		125.00CR
20JUL17	1 10OCT17	2774.50	2726.56		1,198.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				11,034.42DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				322.35DR
	LME Lead 25 tonnes. This is an Futures				4,475.50CR
	LME Zinc 25 tonnes. This is an LME Futures				1,073.50DR
	LME Nickel 6 tonnes. This is an LME Futures				3,394.26CR
	LME Aluminium 25 Tonnes. This is an Futures				202.75CR
	COMMISSIONS				34.80DR
	CARRIED FORWARD BALANCE				4,392.56DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094R

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Tin 5 tonnes. This is an LME registered client contract								
10OCT17	B	1	10JAN18	DMA	EL	20835.00		4.35DR	
10OCT17	B	1	10JAN18	DMA	EL	20845.00		4.35DR	
10OCT17	B	2	10JAN18	DMA	EL	20850.00		8.70DR	
10OCT17	B	2	10JAN18	DMA	EL	20860.00		8.70DR	
10OCT17	S	1	10JAN18	DMA	EL		20790.00	4.35DR	
10OCT17	S	3	10JAN18	DMA	EL		20870.00	13.05DR	
10OCT17	S	1	10JAN18	DMA	EL		20875.00	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		20880.00	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		20890.00	4.35DR	
10OCT17	B	1	10JAN18	DMA	EL	20765.00		4.35DR	
	Commission								60.90DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								6,915.00DR
	COMMISSIONS								60.90DR
	CARRIED FORWARD BALANCE								6,975.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
100CT17	S 2 DEC17 GX		1293.7		
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	B 11 28DEC17 IFC UD	2554.21		29.70DR	
100CT17	S 9 29DEC17 IFC UD		2554.23	24.30DR	
100CT17	S 2 04JAN18 IFC UD		2555.51	5.40DR	
100CT17	S 4 04JAN18 IFC UD		2555.71	10.80DR	
100CT17	B 4 05JAN18 IFC UD	2556.13		10.80DR	
100CT17	B 1 10JAN18 DMA EL	2498.00		4.35DR	
100CT17	B 2 10JAN18 DMA EL	2498.50		8.70DR	
100CT17	B 1 10JAN18 DMA EL	2499.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	2499.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	2502.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	2519.00		4.35DR	
100CT17	S 1 10JAN18 DMA EL		2500.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2501.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2503.00	4.35DR	
100CT17	S 2 10JAN18 DMA EL		2504.00	8.70DR	
100CT17	S 1 10JAN18 DMA EL		2505.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2513.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2515.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2520.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		2520.50	4.35DR	
100CT17	B 2 10JAN18 DMA EL	2559.00		8.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	B 2 28DEC17 IFC UD	3283.36		5.40DR	
100CT17	S 2 29DEC17 IFC UD		3282.62	5.40DR	
100CT17	S 2 03JAN18 IFC UD		3281.73	5.40DR	
100CT17	B 2 09JAN18 IFC UD	3279.75		5.40DR	
100CT17	B 1 10JAN18 DMA EL	3213.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3213.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3215.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3215.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3222.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3226.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3230.50		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3234.00		4.35DR	
100CT17	B 1 10JAN18 DMA EL	3234.50		4.35DR	
100CT17	B 2 10JAN18 DMA EL	3239.00		8.70DR	
100CT17	B 1 10JAN18 DMA EL	3287.50		4.35DR	
100CT17	B 2 10JAN18 DMA EL	3288.50		8.70DR	
100CT17	S 1 10JAN18 DMA EL		3221.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3235.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3235.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3236.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3238.00	4.35DR	
100CT17	S 2 10JAN18 DMA EL		3247.00	8.70DR	
100CT17	S 1 10JAN18 DMA EL		3264.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3265.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL		3276.50	4.35DR	
	Commission				289.65DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 100CT17	1905.50	1923.00		437.50CR
10JUL17	1 100CT17	1905.50	1896.00		237.50DR

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094S

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 10OCT17	1904.50	1927.00		562.50CR
17JUL17	1 10OCT17	1903.00	1916.71		342.75CR
17JUL17	1 10OCT17	1899.00	1916.71		442.75CR
17JUL17	1 10OCT17	1899.50	1916.71		430.25CR
17JUL17	1 10OCT17	1895.50	1916.71		530.25CR
17JUL17	1 10OCT17	1929.00	1916.71		307.25DR
17JUL17	1 10OCT17	1921.50	1916.71		119.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 10OCT17	9596.38	9010.00		3,518.28DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2767.00	2778.50		287.50CR
10JUL17	1 10OCT17	2772.00	2769.00		75.00DR
10JUL17	1 10OCT17	2765.50	2764.50		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,672.24CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				187.50CR
	LME Nickel 6 tonnes. This is an LME Futures				3,518.28DR
	LME Aluminium 25 Tonnes. This is an Futures				2,081.50CR
	COMMISSIONS				289.65DR
	CARRIED FORWARD BALANCE				7,133.31CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094U

10th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 28DEC17 IFC UD 2554.21			2.70DR	
10OCT17	S 1 29DEC17 IFC UD 2554.23		2554.23	2.70DR	
10OCT17	B 2 03JAN18 IFC UD 2555.50			5.40DR	
10OCT17	S 2 04JAN18 IFC UD 2555.51		2555.51	5.40DR	
10OCT17	S 1 04JAN18 IFC UD 2555.71		2555.71	2.70DR	
10OCT17	B 1 05JAN18 IFC UD 2556.13			2.70DR	
10OCT17	B 2 10JAN18 DMA EL 2556.50			8.70DR	
10OCT17	S 1 10JAN18 DMA EL 2515.50		2515.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 2516.00		2516.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 2517.00		2517.00	4.35DR	
10OCT17	B 1 10JAN18 DMA EL 2559.00			4.35DR	
10OCT17	B 2 10JAN18 DMA EL 2558.50			8.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 7 28DEC17 IFC UD 3283.36			18.90DR	
10OCT17	S 3 29DEC17 IFC UD 3282.62		3282.62	8.10DR	
10OCT17	S 4 03JAN18 IFC UD 3281.53		3281.53	10.80DR	
10OCT17	S 1 04JAN18 IFC UD 3281.18		3281.18	2.70DR	
10OCT17	B 1 05JAN18 IFC UD 3280.84			2.70DR	
10OCT17	B 1 10JAN18 DMA EL 3280.00			4.35DR	
10OCT17	B 1 10JAN18 DMA EL 3281.00			4.35DR	
10OCT17	B 1 10JAN18 DMA EL 3282.00			4.35DR	
10OCT17	B 1 10JAN18 DMA EL 3282.50			4.35DR	
10OCT17	B 1 10JAN18 DMA EL 3283.50			4.35DR	
10OCT17	B 1 10JAN18 DMA EL 3286.00			4.35DR	
10OCT17	S 2 10JAN18 DMA EL 3235.00		3235.00	8.70DR	
10OCT17	S 1 10JAN18 DMA EL 3241.00		3241.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3242.00		3242.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3246.00		3246.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3247.00		3247.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3251.50		3251.50	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3253.00		3253.00	4.35DR	
10OCT17	S 1 10JAN18 DMA EL 3254.00		3254.00	4.35DR	
	Commission				164.85DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
17JUL17	1 10OCT17 2789.00	2789.00	2813.60		615.00CR
17JUL17	1 10OCT17 2789.00	2789.00	2813.60		615.00CR
17JUL17	1 10OCT17 2788.50	2788.50	2813.60		627.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				54,200.89DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				1,857.50CR
	COMMISSIONS				164.85DR
	CARRIED FORWARD BALANCE				52,508.24DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094V

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 6 24NOV17 IFC UD	2550.25		16.20DR	
10OCT17	B 1 05DEC17 IFC UD	2552.58		2.70DR	
10OCT17	S 6 06DEC17 IFC UD		2552.59	16.20DR	
10OCT17	S 1 07DEC17 IFC UD		2552.80	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 2 29NOV17 IFC UD	3292.33		5.40DR	
10OCT17	S 2 06DEC17 IFC UD		3291.02	5.40DR	
	Commission				48.60DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2284.50	2284.50		0.00
10JUL17	1 10OCT17	2298.50	2298.50		0.00
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2767.50	2766.50		25.00DR
10JUL17	1 10OCT17	2767.50	2766.50		25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				77,690.96DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				50.00DR
	COMMISSIONS				48.60DR
	CARRIED FORWARD BALANCE				77,789.56DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	S 1 10JAN18 TTR EL		2162.00	4.35DR	
10OCT17	B 1 10JAN18 TTR EL	2161.00		4.35DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 2 22DEC17 IFC UD	6750.00		5.40DR	
10OCT17	B 5 27DEC17 IFC UD	6752.50		13.50DR	
10OCT17	B 3 28DEC17 IFC UD	6753.04		8.10DR	
10OCT17	S 10 29DEC17 IFC UD		6753.37	27.00DR	
10OCT17	B 1 10JAN18 TTR EL	6723.00		4.35DR	
10OCT17	S 1 10JAN18 TTR EL		6723.50	4.35DR	
10OCT17	S 1 10JAN18 TTR EL		6686.50	4.35DR	
10OCT17	S 1 10JAN18 TTR EL		6687.50	4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6695.00		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6701.50		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6690.00		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6695.50		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6692.50		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6738.50		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6740.00		4.35DR	
10OCT17	B 2 10JAN18 TTR EL	6747.00		8.70DR	
10OCT17	B 1 10JAN18 TTR EL	6747.50		4.35DR	
10OCT17	B 1 10JAN18 TTR EL	6743.00		4.35DR	
10OCT17	S 1 10JAN18 TTR EL		6742.50	4.35DR	
	CMX Copper 25,000 CMX				
10OCT17	B 1 DEC17 TTR GX	304.100			
10OCT17	S 1 DEC17 TTR GX		305.350		
10OCT17	B 1 DEC17 TTR GX	306.850			
10OCT17	B 1 DEC17 TTR GX	306.550			
	LME Nickel 6 tonnes. This is an LME registered client contract				
10OCT17	S 4 21DEC17 IFC UD		11041.67	10.80DR	
10OCT17	B 4 29DEC17 IFC UD	11048.00		10.80DR	
10OCT17	B 2 10JAN18 TTR EL	10950.00		8.70DR	
10OCT17	B 2 10JAN18 TTR EL	10945.00		8.70DR	
10OCT17	B 2 10JAN18 TTR EL	10940.00		8.70DR	
10OCT17	B 2 10JAN18 TTR EL	10970.00		8.70DR	
10OCT17	B 2 10JAN18 TTR EL	10910.00		8.70DR	
10OCT17	S 2 10JAN18 TTR EL		10900.00	8.70DR	
10OCT17	S 2 10JAN18 TTR EL		11050.00	8.70DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 TTR GX		1707.0		
10OCT17	S 2 DEC17 TTR GX		1706.0		
10OCT17	S 2 DEC17 TTR GX		1706.5		
10OCT17	S 1 DEC17 TTR GX		1716.0		
10OCT17	S 1 DEC17 TTR GX		1717.5		
10OCT17	S 1 DEC17 TTR GX		1721.0		
10OCT17	S 2 DEC17 TTR GX		1722.0		
10OCT17	S 1 DEC17 TTR GX		1724.5		
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 22DEC17 IFC UD	3284.45		2.70DR	
10OCT17	S 1 29DEC17 IFC UD		3282.62	2.70DR	
	Commission				220.20DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				

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10OCT17	1 DEC17 CMX Silver 5,000 CMX	304.100	305.350		312.50CR
10OCT17	1 DEC17	1690.0	1707.0		850.00CR
10OCT17	1 DEC17	1693.0	1706.0		650.00CR
10OCT17	1 DEC17	1693.0	1706.0		650.00CR
10OCT17	2 DEC17	1694.5	1706.5		1,200.00CR
10OCT17	1 DEC17	1693.5	1716.0		1,125.00CR
10OCT17	1 DEC17	1692.5	1717.5		1,250.00CR
10OCT17	1 DEC17	1690.5	1721.0		1,525.00CR
10OCT17	1 DEC17	1691.5	1722.0		1,525.00CR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1932.50	1930.50		50.00DR
10JUL17	1 10OCT17	1931.00	1928.50		62.50DR
10JUL17	1 10OCT17	1921.50	1932.00		262.50CR
10JUL17	1 10OCT17	1921.50	1932.50		275.00CR
10JUL17	1 10OCT17	1925.50	1928.50		75.00CR
10JUL17	1 10OCT17	1924.00	1930.50		162.50CR
10JUL17	1 10OCT17	1924.50	1927.00		62.50CR
10JUL17	1 10OCT17	1915.50	1927.00		287.50CR
10JUL17	1 10OCT17	1915.00	1928.00		325.00CR
10JUL17	1 10OCT17	1918.00	1928.00		250.00CR
10JUL17	1 10OCT17	1917.00	1930.00		325.00CR
10JUL17	1 10OCT17	1916.50	1931.00		362.50CR
10JUL17	1 10OCT17	1915.50	1931.00		387.50CR
10JUL17	1 10OCT17	1914.00	1932.00		450.00CR
10JUL17	1 10OCT17	1912.50	1930.50		450.00CR
10JUL17	1 10OCT17	1917.00	1927.00		250.00CR
10JUL17	1 10OCT17	1914.50	1927.00		312.50CR
10JUL17	1 10OCT17	1914.00	1927.50		337.50CR
10JUL17	1 10OCT17	1913.50	1932.00		462.50CR
10JUL17	1 10OCT17	1914.00	1931.50		437.50CR
10JUL17	1 10OCT17	1914.00	1921.50		187.50CR
10JUL17	1 10OCT17	1910.50	1929.00		462.50CR
10JUL17	1 10OCT17	1910.50	1930.50		500.00CR
10JUL17	1 10OCT17	1911.00	1917.00		150.00CR
10JUL17	1 10OCT17	1911.00	1916.00		125.00CR
10JUL17	1 10OCT17	1911.00	1915.50		112.50CR
10JUL17	1 10OCT17	1911.00	1915.50		112.50CR
10JUL17	1 10OCT17	1911.00	1914.00		75.00CR
10JUL17	1 10OCT17	1911.00	1906.50		112.50DR
10JUL17	1 10OCT17	1911.00	1897.50		337.50DR
10JUL17	1 10OCT17	1911.00	1897.50		337.50DR
10JUL17	1 10OCT17	1911.00	1897.00		350.00DR
10JUL17	1 10OCT17	1911.00	1897.00		350.00DR
12JUL17	1 10OCT17	1907.50	1888.55		473.75DR
12JUL17	1 10OCT17	1906.00	1888.55		436.25DR
12JUL17	1 10OCT17	1906.00	1888.55		436.25DR
12JUL17	3 10OCT17	1906.50	1888.55		1,346.25DR
17JUL17	2 10OCT17	1906.50	1916.51		500.50CR
17JUL17	2 10OCT17	1906.50	1916.71		510.50CR
21JUL17	1 10OCT17	1906.50	1913.47		174.25CR
21JUL17	1 10OCT17	1907.00	1913.47		161.75CR
21JUL17	1 10OCT17	1905.50	1913.47		199.25CR
21JUL17	1 10OCT17	1906.50	1913.47		174.25CR
21JUL17	1 10OCT17	1907.00	1913.47		161.75CR
28JUL17	1 10OCT17	1906.50	1902.41		102.25DR
28JUL17	1 10OCT17	1904.50	1902.41		52.25DR
03AUG17	1 10OCT17	1902.00	1910.95		223.75CR
03AUG17	1 10OCT17	1904.50	1910.95		161.25CR
08AUG17	1 10OCT17	1904.00	2025.05		3,026.25CR
08AUG17	1 10OCT17	1904.00	2025.05		3,026.25CR
08AUG17	1 10OCT17	1903.00	2025.05		3,051.25CR

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09AUG17	1 100CT17	1901.00	2023.08		3,052.00CR
09AUG17	1 100CT17	1899.50	2023.08		3,089.50CR
09AUG17	1 100CT17	1899.50	2023.08		3,089.50CR
09AUG17	1 100CT17	1898.50	2023.08		3,114.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 100CT17	5831.00	5846.00		375.00CR
10JUL17	1 100CT17	5801.00	5846.00		1,125.00CR
10JUL17	1 100CT17	5801.00	5845.00		1,100.00CR
10JUL17	1 100CT17	5803.00	5846.00		1,075.00CR
10JUL17	1 100CT17	5793.00	5845.50		1,312.50CR
10JUL17	1 100CT17	5803.50	5846.50		1,075.00CR
17JUL17	1 100CT17	5993.70	5845.00		3,717.50DR
17JUL17	1 100CT17	5993.70	5826.00		4,192.50DR
19JUL17	1 100CT17	5964.42	5826.00		3,460.50DR
19JUL17	1 100CT17	5964.42	5827.00		3,435.50DR
19JUL17	1 100CT17	5964.42	5839.00		3,135.50DR
19JUL17	1 100CT17	5964.42	5831.00		3,335.50DR
28JUL17	2 100CT17	6321.50	5803.50		25,900.00DR
28JUL17	1 100CT17	6321.50	5805.00		12,912.50DR
28JUL17	1 100CT17	6321.50	5804.00		12,937.50DR
28JUL17	1 100CT17	6321.70	5810.00		12,792.50DR
28JUL17	1 100CT17	6321.70	5805.00		12,917.50DR
07AUG17	1 100CT17	6405.60	5807.00		14,965.00DR
09AUG17	1 100CT17	6445.80	5808.00		15,945.00DR
09AUG17	1 100CT17	6445.80	5811.00		15,870.00DR
10AUG17	2 100CT17	6412.03	5811.00		30,051.50DR
10AUG17	1 100CT17	6412.03	5810.00		15,050.75DR
10AUG17	1 100CT17	6412.03	5812.00		15,000.75DR
10AUG17	1 100CT17	6412.03	5810.00		15,050.75DR
15AUG17	1 100CT17	6366.38	5817.00		13,734.50DR
15AUG17	3 100CT17	6366.38	5818.00		41,128.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2282.00	2271.50		262.50DR
12JUL17	1 100CT17	2327.57	2302.00		639.25DR
28JUL17	1 100CT17	2318.38	2305.00		334.50DR
08AUG17	1 100CT17	2377.14	2316.50		1,516.00DR
08AUG17	1 100CT17	2377.14	2313.50		1,591.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	8930.00	9005.00		450.00CR
10JUL17	1 100CT17	8940.00	9005.00		390.00CR
10JUL17	1 100CT17	8940.00	9005.00		390.00CR
10JUL17	1 100CT17	8920.00	9005.00		510.00CR
10JUL17	1 100CT17	8910.00	8975.00		390.00CR
10JUL17	1 100CT17	8875.00	9010.00		810.00CR
10JUL17	1 100CT17	8875.00	8945.00		420.00CR
10JUL17	1 100CT17	8910.00	8970.00		360.00CR
10JUL17	1 100CT17	8915.00	8970.00		330.00CR
10JUL17	2 100CT17	8915.00	8970.00		660.00CR
10JUL17	1 100CT17	8910.00	8970.00		360.00CR
10JUL17	1 100CT17	8905.00	8970.00		390.00CR
10JUL17	1 100CT17	8905.00	8940.00		210.00CR
10JUL17	2 100CT17	8905.00	8970.00		780.00CR
10JUL17	1 100CT17	8905.00	8950.00		270.00CR
10JUL17	1 100CT17	8905.00	8970.00		390.00CR
10JUL17	1 100CT17	8905.00	8955.00		300.00CR
10JUL17	2 100CT17	8905.00	8930.00		300.00CR
10JUL17	3 100CT17	8905.00	8930.00		450.00CR
10JUL17	1 100CT17	8900.00	8930.00		180.00CR
10JUL17	1 100CT17	8895.00	8930.00		210.00CR
10JUL17	1 100CT17	8895.00	8930.00		210.00CR
10JUL17	1 100CT17	8895.00	8945.00		300.00CR

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10JUL17	1 100CT17	8920.00	8945.00		150.00CR
10JUL17	1 100CT17	8920.00	8930.00		60.00CR
10JUL17	2 100CT17	8910.00	8945.00		420.00CR
10JUL17	1 100CT17	8910.00	8910.00		0.00
10JUL17	1 100CT17	8910.00	8915.00		30.00CR
10JUL17	1 100CT17	8920.00	8925.00		30.00CR
10JUL17	1 100CT17	8950.00	8925.00		150.00DR
10JUL17	1 100CT17	8955.00	8955.00		0.00
10JUL17	1 100CT17	8995.00	8970.00		150.00DR
10JUL17	1 100CT17	8995.00	8980.00		90.00DR
10JUL17	1 100CT17	8995.00	8990.00		30.00DR
10JUL17	1 100CT17	9000.00	8990.00		60.00DR
12JUL17	7 100CT17	9204.90	8990.00		9,025.80DR
12JUL17	1 100CT17	9204.90	8995.00		1,259.40DR
12JUL17	2 100CT17	9204.90	9000.00		2,458.80DR
14JUL17	1 100CT17	9573.82	9000.00		3,442.92DR
14JUL17	1 100CT17	9573.82	8995.00		3,472.92DR
14JUL17	1 100CT17	9573.82	8995.00		3,472.92DR
14JUL17	1 100CT17	9573.82	9010.00		3,382.92DR
14JUL17	1 100CT17	9573.82	9005.00		3,412.92DR
17JUL17	1 100CT17	9596.38	9005.00		3,548.28DR
26JUL17	1 100CT17	10038.43	8995.00		6,260.58DR
28JUL17	1 100CT17	10195.79	9000.00		7,174.74DR
28JUL17	1 100CT17	10195.79	8990.00		7,234.74DR
28JUL17	1 100CT17	10194.79	9646.57		3,289.32DR
08AUG17	1 100CT17	10616.93	9646.57		5,822.16DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	19920.00	19560.00		1,800.00DR
10JUL17	1 100CT17	19875.00	19690.00		925.00DR
10JUL17	1 100CT17	19820.00	19755.00		325.00DR
10JUL17	1 100CT17	19820.00	19755.00		325.00DR
10JUL17	1 100CT17	19800.00	19735.00		325.00DR
10JUL17	1 100CT17	19795.00	19765.00		150.00DR
17JUL17	1 100CT17	19931.94	19850.00		409.70DR
17JUL17	2 100CT17	19931.94	19950.00		180.60CR
08AUG17	1 100CT17	20232.74	19960.00		1,363.70DR
08AUG17	1 100CT17	20233.74	19940.00		1,468.70DR
10AUG17	1 100CT17	20346.32	19895.00		2,256.60DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2788.00	2756.00		800.00DR
10JUL17	1 100CT17	2759.50	2760.00		12.50CR
10JUL17	1 100CT17	2752.50	2765.00		312.50CR
10JUL17	1 100CT17	2750.50	2775.00		612.50CR
10JUL17	1 100CT17	2746.50	2771.50		625.00CR
10JUL17	1 100CT17	2750.50	2772.50		550.00CR
10JUL17	1 100CT17	2772.50	2775.50		75.00CR
10JUL17	1 100CT17	2775.00	2777.00		50.00CR
10JUL17	1 100CT17	2774.50	2767.00		187.50DR
10JUL17	1 100CT17	2776.00	2767.00		225.00DR
10JUL17	1 100CT17	2775.50	2767.00		212.50DR
10JUL17	1 100CT17	2777.00	2763.00		350.00DR
10JUL17	1 100CT17	2776.00	2762.50		337.50DR
10JUL17	1 100CT17	2776.50	2768.00		212.50DR
12JUL17	1 100CT17	2832.92	2777.50		1,385.50DR
12JUL17	1 100CT17	2832.92	2767.00		1,648.00DR
14JUL17	1 100CT17	2785.81	2755.50		757.75DR
17JUL17	1 100CT17	2814.00	2775.00		975.00DR
21JUL17	1 100CT17	2754.84	2776.50		541.50CR
08AUG17	1 100CT17	2923.12	2922.92		5.00DR
	TOTALS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094X 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				890,367.71CR
	SETTLEMENTS				
	CMX Silver Futures				8,775.00CR
	LME Tin 5 tonnes. This is an LME Futures				9,168.10DR
	LME Lead 25 tonnes. This is an Futures				4,343.25DR
	LME Copper Grade A 25 tonnes. This Futures				269,471.25DR
	LME Zinc 25 tonnes. This is an LME Futures				4,317.25DR
	CMX Copper Futures				312.50CR
	LME Nickel 6 tonnes. This is an LME Futures				53,988.42DR
	LME Aluminium 25 Tonnes. This is an Futures				26,469.50CR
	COMMISSIONS				220.20DR
	CARRIED FORWARD BALANCE				584,416.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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10th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 2 21DEC17 IFC UD 2159.75			5.40DR	
100CT17	S 2 29DEC17 IFC UD		2160.30	5.40DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 27DEC17 IFC UD 6752.50			2.70DR	
100CT17	S 1 29DEC17 IFC UD		6753.37	2.70DR	
100CT17	B 2 10JAN18 TTR EL 6723.00			8.70DR	
100CT17	B 2 10JAN18 TTR EL 6725.50			8.70DR	
100CT17	B 2 10JAN18 TTR EL 6727.50			8.70DR	
100CT17	S 1 10JAN18 TTR EL 6723.50			4.35DR	
100CT17	S 2 10JAN18 TTR EL 6725.00			8.70DR	
100CT17	S 1 10JAN18 TTR EL 6717.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 6716.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 6713.50			4.35DR	
100CT17	S 1 10JAN18 TTR EL 6687.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6694.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6692.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 6693.50			4.35DR	
100CT17	B 2 10JAN18 TTR EL 6689.50			8.70DR	
100CT17	B 2 10JAN18 TTR EL 6691.00			8.70DR	
100CT17	B 1 10JAN18 TTR EL 6696.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6693.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6752.50			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6746.50			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6755.50			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6756.00			4.35DR	
100CT17	B 2 10JAN18 TTR EL 6758.50			8.70DR	
100CT17	B 3 10JAN18 TTR EL 6758.00			13.05DR	
100CT17	B 2 10JAN18 TTR EL 6760.00			8.70DR	
100CT17	B 1 10JAN18 TTR EL 6759.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 6753.50			4.35DR	
	CMX Gold 100 CMX				
100CT17	B 1 DEC17 TTR GX 1289.0				
100CT17	B 1 DEC17 TTR GX 1290.1				
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	B 1 21DEC17 IFC UD 2554.75			2.70DR	
100CT17	S 1 29DEC17 IFC UD		2554.23	2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 3 10JAN18 TTR EL 10965.00			13.05DR	
100CT17	B 3 10JAN18 TTR EL 10970.00			13.05DR	
100CT17	B 4 10JAN18 TTR EL 10960.00			17.40DR	
100CT17	B 1 10JAN18 TTR EL 10955.00			4.35DR	
100CT17	B 1 10JAN18 TTR EL 10935.00			4.35DR	
100CT17	B 3 10JAN18 TTR EL 10945.00			13.05DR	
100CT17	B 1 10JAN18 TTR EL 10940.00			4.35DR	
100CT17	B 2 10JAN18 TTR EL 10920.00			8.70DR	
100CT17	B 4 10JAN18 TTR EL 10905.00			17.40DR	
100CT17	B 5 10JAN18 TTR EL 10910.00			21.75DR	
100CT17	B 12 10JAN18 TTR EL 10950.00			52.20DR	
100CT17	S 1 10JAN18 TTR EL 10900.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 10920.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 10910.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 10915.00			4.35DR	
100CT17	S 1 10JAN18 TTR EL 10905.00			4.35DR	
100CT17	S 2 10JAN18 TTR EL 10880.00			8.70DR	
100CT17	S 5 10JAN18 TTR EL 10885.00			21.75DR	
100CT17	S 12 10JAN18 TTR EL 10875.00			52.20DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S 1 10JAN18 DMA EL CMX Silver 5,000 CMX		10880.00	4.35DR	
10OCT17	S 1 DEC17 TTR GX Commission		1717.5		452.25DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 96,627.74CR COMMISSIONS 452.25DR CARRIED FORWARD BALANCE 96,175.49CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 2 01DEC17 IFC UD	2154.19		5.40DR	
100CT17	S 1 06DEC17 IFC UD		2155.54	2.70DR	
100CT17	S 1 07DEC17 IFC UD		2155.84	2.70DR	
100CT17	B 1 12DEC17 IFC UD	2157.59		2.70DR	
100CT17	S 1 14DEC17 IFC UD		2158.01	2.70DR	
100CT17	B 2 22DEC17 IFC UD	2159.75		5.40DR	
100CT17	S 2 27DEC17 IFC UD		2160.09	5.40DR	
100CT17	S 1 05JAN18 IFC UD		2161.96	2.70DR	
100CT17	B 1 09JAN18 IFC UD	2162.99		2.70DR	
	CMX Gold 100 CMX				
100CT17	S 1 DEC17 GX		1294.6		
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	B 2 03JAN18 IFC UD	2555.50		5.40DR	
100CT17	S 2 04JAN18 IFC UD		2555.51	5.40DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	S 2 13DEC17 IFC UD		11035.80	5.40DR	
100CT17	B 1 20DEC17 IFC UD	11042.00		2.70DR	
100CT17	B 1 21DEC17 IFC UD	11042.67		2.70DR	
100CT17	S 3 29DEC17 IFC UD		11047.00	8.10DR	
100CT17	B 3 03JAN18 IFC UD	11051.33		8.10DR	
	CMX Silver 5,000 CMX				
100CT17	S 2 DEC17 GX		1709.0		
100CT17	B 1 DEC17 GX	1709.5			
100CT17	S 1 DEC17 GX		1709.5		
100CT17	S 1 DEC17 GX		1721.0		
100CT17	S 1 DEC17 GX		1726.0		
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	B 3 30NOV17 IFC UD	3292.11		8.10DR	
100CT17	B 1 01DEC17 IFC UD	3291.89		2.70DR	
100CT17	S 4 04DEC17 IFC UD		3291.47	10.80DR	
100CT17	S 1 08DEC17 IFC UD		3290.78	2.70DR	
100CT17	B 1 11DEC17 IFC UD	3290.76		2.70DR	
100CT17	S 1 18DEC17 IFC UD		3289.44	2.70DR	
100CT17	B 1 20DEC17 IFC UD	3289.20		2.70DR	
	Commission				102.60DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1709.5	1709.0		25.00DR
100CT17	1 DEC17	1753.5	1709.0		2,225.00DR
100CT17	1 DEC17	1747.0	1709.5		1,875.00DR
100CT17	1 DEC17	1680.5	1721.0		2,025.00CR
100CT17	1 DEC17	1683.5	1726.0		2,125.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,684.60DR
	SETTLEMENTS				
	CMX Silver Futures				25.00CR
	COMMISSIONS				102.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				9,762.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
100CT17	B 5 27DEC17 IFC UD 2160.29			13.50DR	
100CT17	S 5 29DEC17 IFC UD		2160.30	13.50DR	
100CT17	B 2 10JAN18 DMA EL 2158.50			8.70DR	
100CT17	B 2 10JAN18 DMA EL 2159.50			8.70DR	
100CT17	B 2 10JAN18 DMA EL 2162.00			8.70DR	
100CT17	B 1 10JAN18 DMA EL 2162.50			4.35DR	
100CT17	B 1 10JAN18 DMA EL 2163.00			4.35DR	
100CT17	B 1 10JAN18 DMA EL 2165.00			4.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
100CT17	S 3 07DEC17 IFC UD		2553.00	8.10DR	
100CT17	S 8 08DEC17 IFC UD		2553.21	21.60DR	
100CT17	B 2 11DEC17 IFC UD 2554.05			5.40DR	
100CT17	B 9 14DEC17 IFC UD 2554.68			24.30DR	
100CT17	B 1 21DEC17 IFC UD 2554.75			2.70DR	
100CT17	S 1 27DEC17 IFC UD		2553.80	2.70DR	
100CT17	B 1 29DEC17 IFC UD 2554.43			2.70DR	
100CT17	S 1 09JAN18 IFC UD		2556.59	2.70DR	
100CT17	B 4 10JAN18 DMA EL 2487.00			17.40DR	
100CT17	B 1 10JAN18 DMA EL 2487.50			4.35DR	
100CT17	B 2 10JAN18 DMA EL 2489.00			8.70DR	
100CT17	B 1 10JAN18 DMA EL 2495.00			4.35DR	
100CT17	B 1 10JAN18 DMA EL 2498.00			4.35DR	
100CT17	S 1 10JAN18 DMA EL 2537.00		2537.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 2537.50		2537.50	4.35DR	
100CT17	S 3 10JAN18 DMA EL 2538.50		2538.50	13.05DR	
100CT17	S 1 10JAN18 DMA EL 2539.50		2539.50	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 4 08DEC17 IFC UD		3290.78	10.80DR	
100CT17	B 4 11DEC17 IFC UD 3290.76			10.80DR	
100CT17	B 1 15DEC17 IFC UD 3289.67			2.70DR	
100CT17	B 1 18DEC17 IFC UD 3289.44			2.70DR	
100CT17	S 2 19DEC17 IFC UD		3289.02	5.40DR	
100CT17	B 1 10JAN18 DMA EL 3227.50			4.35DR	
100CT17	B 1 10JAN18 DMA EL 3228.00			4.35DR	
100CT17	B 1 10JAN18 DMA EL 3228.50			4.35DR	
100CT17	B 1 10JAN18 DMA EL 3234.00			4.35DR	
100CT17	B 1 10JAN18 DMA EL 3235.50			4.35DR	
100CT17	S 1 10JAN18 DMA EL 3222.00		3222.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3226.00		3226.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3239.50		3239.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3241.00		3241.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3242.00		3242.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3242.50		3242.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3243.50		3243.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3247.00		3247.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3247.50		3247.50	4.35DR	
100CT17	S 3 10JAN18 DMA EL 3248.00		3248.00	13.05DR	
100CT17	S 1 10JAN18 DMA EL 3249.00		3249.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3249.50		3249.50	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3250.00		3250.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3251.00		3251.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3253.00		3253.00	4.35DR	
100CT17	S 2 10JAN18 DMA EL 3253.50		3253.50	8.70DR	
100CT17	S 1 10JAN18 DMA EL 3254.00		3254.00	4.35DR	
100CT17	S 1 10JAN18 DMA EL 3256.00		3256.00	4.35DR	
	Commission				347.10DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				38,447.88DR
	COMMISSIONS				347.10DR
	CARRIED FORWARD BALANCE				38,794.98DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
10OCT17	B	1	10JAN18	TTR	EL	2157.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2158.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2156.50		4.35DR	
10OCT17	B	7	10JAN18	TTR	EL	2157.00		30.45DR	
10OCT17	S	2	10JAN18	TTR	EL		2163.00	8.70DR	
10OCT17	S	1	10JAN18	TTR	EL		2166.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2165.50	4.35DR	
10OCT17	S	3	10JAN18	TTR	EL		2165.00	13.05DR	
10OCT17	S	3	10JAN18	TTR	EL		2168.00	13.05DR	
10OCT17	S	1	10JAN18	TTR	EL		2164.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2160.00	4.35DR	
10OCT17	S	2	10JAN18	TTR	EL		2162.00	8.70DR	
10OCT17	S	11	10JAN18	TTR	EL		2161.50	47.85DR	
10OCT17	S	1	10JAN18	TTR	EL		2160.50	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		2165.00	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2154.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2152.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2162.50		4.35DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	6688.00		4.35DR	
10OCT17	B	3	10JAN18	TTR	EL	6693.50		13.05DR	
10OCT17	B	1	10JAN18	TTR	EL	6689.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6720.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6723.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6728.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6724.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6705.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6707.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6702.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6686.50	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6700.00		4.35DR	
10OCT17	S	2	10JAN18	TTR	EL		6690.50	8.70DR	
10OCT17	S	9	10JAN18	TTR	EL		6691.00	39.15DR	
10OCT17	S	2	10JAN18	TTR	EL		6689.50	8.70DR	
10OCT17	S	2	10JAN18	TTR	EL		6689.00	8.70DR	
10OCT17	S	4	10JAN18	TTR	EL		6687.00	17.40DR	
10OCT17	S	1	10JAN18	TTR	EL		6687.50	4.35DR	
10OCT17	B	6	10JAN18	TTR	EL	6695.00		26.10DR	
10OCT17	B	1	10JAN18	TTR	EL	6701.00		4.35DR	
10OCT17	B	9	10JAN18	TTR	EL	6694.00		39.15DR	
10OCT17	S	1	10JAN18	TTR	EL		6716.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6723.00	4.35DR	
10OCT17	S	5	10JAN18	TTR	EL		6722.00	21.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6736.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6739.00		4.35DR	
10OCT17	B	1	10JAN18	DMA	EL	6697.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6740.00		4.35DR	
10OCT17	B	5	10JAN18	TTR	EL	6747.50		21.75DR	
10OCT17	B	1	10JAN18	TTR	EL	6749.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6748.50		4.35DR	
10OCT17	B	3	10JAN18	TTR	EL	6748.00		13.05DR	
10OCT17	B	2	10JAN18	TTR	EL	6742.00		8.70DR	
10OCT17	B	1	10JAN18	TTR	EL	6755.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6756.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6759.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6756.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6759.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6758.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	6753.50		4.35DR	
10OCT17	B	2	10JAN18	TTR	EL	6752.50		8.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	2	10JAN18	TTR	EL	6751.00		8.70DR	
10OCT17	B	2	10JAN18	TTR	EL	6751.50		8.70DR	
10OCT17	B	1	10JAN18	TTR	EL	6753.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		6750.00	4.35DR	
10OCT17	B	2	10JAN18	TTR	EL	6747.00		8.70DR	
10OCT17	B	1	10JAN18	TTR	EL	6743.00		4.35DR	
10OCT17	B	2	10JAN18	TTR	EL	6743.50		8.70DR	
10OCT17	B	2	10JAN18	TTR	EL	6742.50		8.70DR	
10OCT17	B	2	10JAN18	TTR	EL	6741.50		8.70DR	
	CMX Copper 25,000 CMX								
10OCT17	B	1	DEC17	TTR	GX	305.550			
10OCT17	S	1	DEC17	TTR	GX		304.400		
10OCT17	B	1	DEC17	TTR	GX	303.400			
10OCT17	B	1	DEC17	TTR	GX	303.500			
10OCT17	B	1	DEC17	TTR	GX	303.900			
10OCT17	S	2	DEC17	TTR	GX		303.600		
10OCT17	S	1	DEC17	TTR	GX		303.350		
10OCT17	B	2	DEC17	TTR	GX	303.950			
10OCT17	B	1	DEC17	TTR	GX	306.800			
10OCT17	B	1	DEC17	TTR	GX	306.550			
	CMX Gold 100 CMX								
10OCT17	B	5	DEC17	TTR	GX	1288.4			
	LME Lead 25 tonnes. This is an LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	2520.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2523.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2522.50		4.35DR	
10OCT17	B	2	10JAN18	TTR	EL	2519.50		8.70DR	
10OCT17	B	1	10JAN18	TTR	EL	2518.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2497.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2498.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2493.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2519.50	4.35DR	
10OCT17	S	3	10JAN18	TTR	EL		2503.50	13.05DR	
10OCT17	S	1	10JAN18	TTR	EL		2503.00	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2504.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2506.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2517.00	4.35DR	
10OCT17	S	2	10JAN18	TTR	EL		2508.00	8.70DR	
10OCT17	B	1	10JAN18	TTR	EL	2511.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2511.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	2512.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2536.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2534.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2535.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		2536.50	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		2503.00	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
10OCT17	B	19	10JAN18	TTR	EL	10965.00		82.65DR	
10OCT17	B	27	10JAN18	TTR	EL	10960.00		117.45DR	
10OCT17	B	4	10JAN18	TTR	EL	10955.00		17.40DR	
10OCT17	B	14	10JAN18	TTR	EL	10930.00		60.90DR	
10OCT17	S	1	10JAN18	TTR	EL		10960.00	4.35DR	
10OCT17	B	3	10JAN18	TTR	EL	10940.00		13.05DR	
10OCT17	B	4	10JAN18	TTR	EL	10935.00		17.40DR	
10OCT17	S	2	10JAN18	TTR	EL		10890.00	8.70DR	
10OCT17	B	3	10JAN18	TTR	EL	10905.00		13.05DR	
10OCT17	S	1	10JAN18	TTR	EL		10990.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		10980.00	4.35DR	
10OCT17	S	5	10JAN18	TTR	EL		10955.00	21.75DR	
10OCT17	B	8	10JAN18	TTR	EL	10945.00		34.80DR	
10OCT17	B	20	10JAN18	TTR	EL	10950.00		87.00DR	
10OCT17	S	4	10JAN18	TTR	EL		10950.00	17.40DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

10th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted	
10OCT17	S	1	10JAN18	TTR	EL	10970.00	10970.00	4.35DR		
10OCT17	S	7	10JAN18	TTR	EL		10965.00	30.45DR		
10OCT17	B	6	10JAN18	TTR	EL			26.10DR		
10OCT17	S	1	10JAN18	TTR	EL		10920.00	4.35DR		
10OCT17	S	6	10JAN18	TTR	EL		10900.00	26.10DR		
10OCT17	S	10	10JAN18	TTR	EL		10905.00	43.50DR		
10OCT17	S	6	10JAN18	TTR	EL		10885.00	26.10DR		
10OCT17	S	4	10JAN18	TTR	EL		10830.00	17.40DR		
10OCT17	S	3	10JAN18	TTR	EL		10865.00	13.05DR		
10OCT17	S	2	10JAN18	TTR	EL		10870.00	8.70DR		
10OCT17	B	2	10JAN18	TTR	EL	10885.00		8.70DR		
10OCT17	B	7	10JAN18	TTR	EL	10925.00	30.45DR			
10OCT17	B	2	10JAN18	TTR	EL	10910.00	8.70DR			
10OCT17	B	10	10JAN18	TTR	EL	10975.00	43.50DR			
10OCT17	B	3	10JAN18	TTR	EL	10980.00	13.05DR			
10OCT17	B	1	10JAN18	TTR	EL	10995.00	4.35DR			
10OCT17	B	2	10JAN18	TTR	EL	10990.00	8.70DR			
10OCT17	B	3	10JAN18	TTR	EL	10985.00	13.05DR			
10OCT17	S	2	10JAN18	TTR	EL		10985.00	8.70DR		
10OCT17	S	1	10JAN18	DMA	EL		10875.00	4.35DR		
10OCT17	S	1	10JAN18	DMA	EL		10850.00	4.35DR		
10OCT17	S	2	10JAN18	TTR	EL		11025.00	8.70DR		
10OCT17	S	1	10JAN18	TTR	EL		11015.00	4.35DR		
10OCT17	S	1	10JAN18	TTR	EL		11020.00	4.35DR		
10OCT17	S	2	10JAN18	TTR	EL		11040.00	8.70DR		
10OCT17	S	3	10JAN18	TTR	EL		11050.00	13.05DR		
10OCT17	B	1	10JAN18	TTR	EL	11015.00	4.35DR			
10OCT17	B	2	10JAN18	TTR	EL	11010.00	8.70DR			
10OCT17	S	1	10JAN18	TTR	EL		11035.00	4.35DR		
10OCT17	S	9	10JAN18	TTR	EL		11045.00	39.15DR		
	CMX Silver 5,000 CMX									
10OCT17	S	1	DEC17	TTR	GX		1707.5			
10OCT17	S	1	DEC17	TTR	GX		1706.5			
10OCT17	S	1	DEC17	TTR	GX		1709.5			
10OCT17	S	1	DEC17	TTR	GX		1719.0			
10OCT17	S	1	DEC17	TTR	GX		1717.0			
10OCT17	S	2	DEC17	TTR	GX		1717.5			
10OCT17	S	2	DEC17	TTR	GX		1721.5			
10OCT17	S	3	DEC17	TTR	GX		1722.5			
10OCT17	S	1	DEC17	TTR	GX		1723.0			
10OCT17	S	2	DEC17	TTR	GX		1724.5			
10OCT17	S	1	DEC17	TTR	GX		1725.5			
10OCT17	S	1	DEC17	TTR	GX		1726.0			
10OCT17	S	1	DEC17	TTR	GX		1718.5			
10OCT17	S	2	DEC17	TTR	GX		1720.0			
10OCT17	S	2	DEC17	TTR	GX		1720.5			
	LME Tin 5 tonnes. This is an LME registered client contract									
10OCT17	S	1	10JAN18	TTR	EL		20810.00	4.35DR		
10OCT17	S	1	10JAN18	TTR	EL		20815.00	4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	20790.00		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	20805.00		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	20810.00		4.35DR		
10OCT17	B	2	10JAN18	TTR	EL	20780.00		8.70DR		
10OCT17	B	1	10JAN18	DMA	EL	20795.00		4.35DR		
	LME Zinc 25 tonnes. This is an LME registered client contract									
10OCT17	B	1	10JAN18	TTR	EL	3261.50		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3265.50		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3263.50		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3258.00		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3262.00		4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3260.00		4.35DR		
10OCT17	S	1	10JAN18	TTR	EL		3241.00	4.35DR		
10OCT17	B	1	10JAN18	TTR	EL	3241.50		4.35DR		

Group No: SAPG0094

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

10th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	3	10JAN18	TTR	EL		3240.00	13.05DR	
10OCT17	S	1	10JAN18	TTR	EL		3251.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3247.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3247.00	4.35DR	
10OCT17	S	2	10JAN18	TTR	EL		3236.00	8.70DR	
10OCT17	S	1	10JAN18	TTR	EL		3227.50	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3226.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3213.50		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3214.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3217.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3217.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3222.00	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3221.50	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3233.00		4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		3228.00	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		3227.50	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		3214.50	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		3232.00	4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3254.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3255.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3280.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3280.00		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3279.50		4.35DR	
10OCT17	B	1	10JAN18	TTR	EL	3269.00		4.35DR	
10OCT17	B	1	10JAN18	DMA	EL	3250.00		4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3282.50	4.35DR	
10OCT17	S	1	10JAN18	TTR	EL		3291.50	4.35DR	
10OCT17	S	2	10JAN18	TTR	EL		3288.50	8.70DR	
10OCT17	S	1	10JAN18	DMA	EL		3291.50	4.35DR	
10OCT17	S	1	10JAN18	DMA	EL		3292.00	4.35DR	
	Commission								1,879.20DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
10OCT17		1	DEC17			305.550	304.400		287.50DR
10OCT17		1	DEC17			303.400	303.600		50.00CR
10OCT17		1	DEC17			303.500	303.600		25.00CR
10OCT17		1	DEC17			303.900	303.350		137.50DR
10OCT17		1	DEC17			303.950	291.500		3,112.50DR
10OCT17		1	DEC17			303.950	291.650		3,075.00DR
10OCT17		1	DEC17			306.800	291.650		3,787.50DR
10OCT17		1	DEC17			306.550	291.750		3,700.00DR
	CMX Silver 5,000 CMX								
10OCT17		1	DEC17			1688.0	1720.0		1,600.00CR
10OCT17		1	DEC17			1688.0	1707.5		975.00CR
10OCT17		1	DEC17			1689.0	1706.5		875.00CR
10OCT17		1	DEC17			1689.0	1709.5		1,025.00CR
10OCT17		1	DEC17			1689.5	1719.0		1,475.00CR
10OCT17		1	DEC17			1689.5	1717.5		1,400.00CR
10OCT17		1	DEC17			1689.5	1717.0		1,375.00CR
10OCT17		1	DEC17			1690.5	1717.5		1,350.00CR
10OCT17		2	DEC17			1691.0	1721.5		3,050.00CR
10OCT17		1	DEC17			1691.5	1722.5		1,550.00CR
10OCT17		1	DEC17			1696.0	1722.5		1,325.00CR
10OCT17		1	DEC17			1695.5	1722.5		1,350.00CR
10OCT17		1	DEC17			1694.0	1723.0		1,450.00CR
10OCT17		1	DEC17			1694.0	1724.5		1,525.00CR
10OCT17		1	DEC17			1693.5	1724.5		1,550.00CR
10OCT17		1	DEC17			1693.5	1725.5		1,600.00CR
10OCT17		1	DEC17			1695.0	1726.0		1,550.00CR
10OCT17		1	DEC17			1695.0	1718.5		1,175.00CR
10OCT17		1	DEC17			1695.0	1720.0		1,250.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AY

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC17	1693.0	1720.5		1,375.00CR
10OCT17	1 DEC17	1693.5	1720.5		1,350.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				45,844.67DR
	SETTLEMENTS				
	CMX Silver Futures				30,175.00CR
	CMX Copper Futures				14,025.00DR
	COMMISSIONS				1,879.20DR
	CARRIED FORWARD BALANCE				31,573.87DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		7,524,885.69CR
	SETTLEMENTS		
	CMX Copper Futures		13,287.50DR
	CMX Gold Futures		2,980.00CR
	CMX Silver Futures		43,825.00CR
	LME Aluminium 25 Tonnes. This is an Futures		21,155.25CR
	LME Copper Grade A 25 tonnes. This Futures		269,471.25DR
	LME Lead 25 tonnes. This is an Futures		17,477.75DR
	LME Nickel 6 tonnes. This is an LME Futures		29,586.66CR
	LME Tin 5 tonnes. This is an LME Futures		9,490.45DR
	LME Zinc 25 tonnes. This is an LME Futures		12,000.75CR
	NYM Henry Hub Natural Gas Futures		8,860.00CR
	NYM Light Sweet Crude Oil Futures		45,720.00DR
	COMMISSIONS		5,240.45DR
	CARRIED FORWARD BALANCE		7,282,605.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2280.00	2314.50		862.50CR
10JUL17	1 10OCT17	2275.00	2315.00		1,000.00CR
26SEP17	1 10OCT17	2480.71	2291.50		4,730.25DR
26SEP17	2 10OCT17	2480.71	2291.50		9,460.50DR
26SEP17	1 10OCT17	2480.71	2291.50		4,730.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	1 10OCT17	2780.00	3145.30		9,132.50CR
26SEP17	1 10OCT17	2777.00	3145.30		9,207.50CR
26SEP17	1 10OCT17	2774.00	3145.30		9,282.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				77,736.00DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				17,058.50DR
	LME Zinc 25 tonnes. This is an LME Futures				27,622.50CR
	CARRIED FORWARD BALANCE				67,172.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 10OCT17	1903.00	1909.92		173.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	2 10OCT17	2258.02	2303.00		2,249.00CR
24JUL17	1 10OCT17	2258.02	2313.50		1,387.00CR
24JUL17	1 10OCT17	2258.02	2313.50		1,387.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 10OCT17	9020.00	9772.71		4,516.26CR
24JUL17	1 10OCT17	9020.00	9772.71		4,516.26CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 10OCT17	20212.37	19750.00		2,311.85DR
24JUL17	1 10OCT17	20212.37	19750.00		2,311.85DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 10OCT17	2774.00	2787.66		341.50CR
24JUL17	1 10OCT17	2774.00	2787.66		341.50CR
24JUL17	1 10OCT17	2778.50	2787.66		229.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33,688.96CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,623.70DR
	LME Lead 25 tonnes. This is an Futures				5,023.00CR
	LME Zinc 25 tonnes. This is an LME Futures				912.00CR
	LME Nickel 6 tonnes. This is an LME Futures				9,032.52CR
	LME Aluminium 25 Tonnes. This is an Futures				173.00CR
	CARRIED FORWARD BALANCE				44,205.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
05OCT17	LME Aluminium 25 Tonnes. This is an LME registered client contract. 1 100CT17	1899.00	2148.25		6,231.25CR
30AUG17	LME Lead 25 tonnes. This is an LME registered client contract 1 100CT17	2356.29	2302.50		1,344.75DR
30AUG17	LME Nickel 6 tonnes. This is an LME registered client contract 1 100CT17	8925.00	11547.37		15,734.22CR
30AUG17	LME Tin 5 tonnes. This is an LME registered client contract 1 100CT17	20725.53	19775.00		4,752.65DR
10JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 100CT17	2774.00	2775.50		37.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,388.35DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,752.65DR
	LME Lead 25 tonnes. This is an Futures				1,344.75DR
	LME Zinc 25 tonnes. This is an LME Futures				37.50CR
	LME Nickel 6 tonnes. This is an LME Futures				15,734.22CR
	LME Aluminium 25 Tonnes. This is an Futures				6,231.25CR
	CARRIED FORWARD BALANCE				8,517.22CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 10JAN18 TTR EL 6725.00			4.60DR	
100CT17	S 2 10JAN18 TTR EL 6747.00		6687.50	9.20DR	
100CT17	B 2 10JAN18 TTR EL 6748.50			9.20DR	
100CT17	B 1 10JAN18 TTR EL 6748.00			4.60DR	
100CT17	B 2 10JAN18 TTR EL 6754.00			4.60DR	
100CT17	B 2 10JAN18 TTR EL 6756.00			9.20DR	
100CT17	B 2 10JAN18 TTR EL 6759.00			9.20DR	
100CT17	B 1 10JAN18 TTR EL 6758.00			4.60DR	
100CT17	B 3 10JAN18 TTR EL 6752.00			13.80DR	
100CT17	B 1 10JAN18 TTR EL 6760.00			4.60DR	
100CT17	B 3 10JAN18 TTR EL 6759.50			13.80DR	
100CT17	B 1 10JAN18 TTR EL 6753.00			4.60DR	
100CT17	B 3 10JAN18 TTR EL 6751.00			13.80DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 8 10JAN18 TTR EL 10955.00			36.80DR	
100CT17	B 2 10JAN18 TTR EL 10945.00			9.20DR	
100CT17	B 1 10JAN18 TTR EL 10920.00			4.60DR	
100CT17	B 9 10JAN18 TTR EL 10950.00			41.40DR	
100CT17	S 2 10JAN18 TTR EL 10905.00		10905.00	9.20DR	
100CT17	S 2 10JAN18 TTR EL 10910.00		10910.00	9.20DR	
100CT17	S 4 10JAN18 TTR EL 10870.00		10870.00	18.40DR	
100CT17	S 2 10JAN18 TTR EL 10875.00		10875.00	9.20DR	
100CT17	B 1 10JAN18 TTR EL 10980.00			4.60DR	
100CT17	B 2 10JAN18 TTR EL 11000.00			9.20DR	
100CT17	B 2 10JAN18 TTR EL 11010.00			9.20DR	
	CMX Silver 5,000 CMX				
100CT17	S 6 DEC17 II 1710.0		1710.0	11.34DR	
100CT17	S 1 DEC17 II 1721.5		1721.5	1.89DR	
100CT17	S 9 DEC17 II 1721.0		1721.0	17.01DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 2 10JAN18 TTR EL 3219.00		3219.00	9.20DR	
100CT17	B 1 10JAN18 TTR EL 3235.00			4.60DR	
	Commission				320.04DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
100CT17	6 DEC17 1694.5	1710.0			4,650.00CR
100CT17	2 DEC17 1695.0	1721.0			2,600.00CR
100CT17	1 DEC17 1694.5	1721.0			1,325.00CR
100CT17	1 DEC17 1694.5	1721.5			1,350.00CR
100CT17	4 DEC17 1690.5	1721.0			6,100.00CR
100CT17	2 DEC17 1691.5	1721.0			2,950.00CR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
13JUL17	1 100CT17 1917.00	1922.48			137.00CR
13JUL17	1 100CT17 1916.50	1922.48			149.50CR
13JUL17	2 100CT17 1916.00	1922.48			324.00CR
13JUL17	1 100CT17 1915.00	1922.48			187.00CR
13JUL17	1 100CT17 1915.00	1922.48			187.00CR
13JUL17	1 100CT17 1914.00	1922.48			212.00CR
13JUL17	1 100CT17 1912.00	1922.48			262.00CR

Group No: SAPG0096

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096Y

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
13JUL17	2 10OCT17	1912.00	1922.48		524.00CR
13JUL17	1 10OCT17	1912.00	1922.48		262.00CR
13JUL17	2 10OCT17	1912.50	1922.48		499.00CR
13JUL17	2 10OCT17	1913.00	1922.48		474.00CR
13JUL17	1 10OCT17	1913.00	1922.48		237.00CR
13JUL17	1 10OCT17	1913.50	1922.48		224.50CR
13JUL17	1 10OCT17	1914.50	1922.48		199.50CR
13JUL17	1 10OCT17	1914.00	1922.48		212.00CR
13JUL17	1 10OCT17	1913.50	1922.48		224.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
13JUL17	1 10OCT17	5875.24	5845.00		756.00DR
13JUL17	1 10OCT17	5875.24	5829.00		1,156.00DR
13JUL17	1 10OCT17	5875.24	5827.00		1,206.00DR
13JUL17	1 10OCT17	5875.24	5803.00		1,806.00DR
13JUL17	1 10OCT17	5875.24	5804.00		1,781.00DR
13JUL17	1 10OCT17	5875.24	5803.00		1,806.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
13JUL17	1 10OCT17	2294.17	2313.00		470.75CR
13JUL17	1 10OCT17	2294.17	2313.50		483.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	5 10OCT17	8910.00	8970.00		1,800.00CR
13JUL17	1 10OCT17	9209.29	8990.00		1,315.74DR
13JUL17	1 10OCT17	9209.29	8990.00		1,315.74DR
13JUL17	3 10OCT17	9209.29	8990.00		3,947.22DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
13JUL17	1 10OCT17	2803.51	2766.00		937.75DR
	TOTALS				
	BROUGHT FORWARD BALANCE				85,068.40DR
	SETTLEMENTS				
	CMX Silver Futures				18,975.00CR
	LME Lead 25 tonnes. This is an Futures				954.00CR
	LME Copper Grade A 25 tonnes. This Futures				8,511.00DR
	LME Zinc 25 tonnes. This is an LME Futures				937.75DR
	LME Nickel 6 tonnes. This is an LME Futures				4,778.70DR
	LME Aluminium 25 Tonnes. This is an Futures				4,315.00CR
	COMMISSIONS				320.04DR
	CARRIED FORWARD BALANCE				75,371.89DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AA 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 100CT17	1924.50	1922.50		50.00DR
26JUL17	1 100CT17	1923.50	1939.22		393.00CR
26JUL17	2 100CT17	1921.50	1939.22		886.00CR
26JUL17	1 100CT17	1922.00	1939.22		430.50CR
26JUL17	1 100CT17	1916.00	1939.22		580.50CR
26JUL17	1 100CT17	1915.00	1939.22		605.50CR
26JUL17	2 100CT17	1915.50	1939.22		1,186.00CR
26JUL17	2 100CT17	1915.50	1939.22		1,186.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUL17	1 100CT17	8875.00	10037.43		6,974.58CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUL17	2 100CT17	2807.29	2776.50		1,539.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				27,235.24CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				1,539.50DR
	LME Nickel 6 tonnes. This is an LME Futures				6,974.58CR
	LME Aluminium 25 Tonnes. This is an Futures				5,217.50CR
	CARRIED FORWARD BALANCE				37,887.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AB

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2278.50	2291.00		312.50CR
27JUL17	1 10OCT17	2307.27	2278.00		731.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2774.00	2765.00		225.00DR
27JUL17	1 10OCT17	2758.00	2798.67		1,016.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,627.66DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				419.25DR
	LME Zinc 25 tonnes. This is an LME Futures				791.75CR
	CARRIED FORWARD BALANCE				15,255.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AC

10th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		6698.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	3240.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	3241.00		5.00DR	
	Commission				15.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
20JUL17	1 10OCT17	2211.74	2315.50		2,594.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8885.00	8915.00		180.00CR
20JUL17	1 10OCT17	9010.00	9490.71		2,884.26CR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19690.00	19745.00		275.00CR
10JUL17	1 10OCT17	19750.00	19745.00		25.00DR
20JUL17	1 10OCT17	20099.47	19825.00		1,372.35DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
20JUL17	1 10OCT17	2777.00	2726.56		1,261.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12,868.03DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,122.35DR
	LME Lead 25 tonnes. This is an Futures				2,594.00CR
	LME Zinc 25 tonnes. This is an LME Futures				1,261.00DR
	LME Nickel 6 tonnes. This is an LME Futures				3,064.26CR
	COMMISSIONS				15.00DR
	CARRIED FORWARD BALANCE				9,608.12DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

10th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	20790.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20805.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20895.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20920.00	5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20855.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20870.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		20900.00	10.00DR	
10OCT17	B 1 10JAN18 DMA EL	20870.00		5.00DR	
10OCT17	S 2 10JAN18 DMA EL		20910.00	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		20855.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20825.00	5.00DR	
10OCT17	S 2 10JAN18 DMA EL		20885.00	10.00DR	
10OCT17	S 1 10JAN18 DMA EL		20865.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20880.00	5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20845.00		5.00DR	
10OCT17	B 5 10JAN18 DMA EL	20850.00		25.00DR	
10OCT17	S 4 10JAN18 DMA EL		20860.00	20.00DR	
10OCT17	B 4 10JAN18 DMA EL	20860.00		20.00DR	
10OCT17	B 3 10JAN18 DMA EL	20885.00		15.00DR	
10OCT17	S 3 10JAN18 DMA EL		20875.00	15.00DR	
10OCT17	B 3 10JAN18 DMA EL	20875.00		15.00DR	
	Commission				200.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1924.00	1916.50		187.50DR
20JUL17	1 10OCT17	1906.50	1915.11		215.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2282.50	2283.50		25.00CR
10JUL17	1 10OCT17	2285.00	2283.50		37.50DR
10JUL17	1 10OCT17	2284.00	2283.50		12.50DR
10JUL17	1 10OCT17	2286.50	2291.00		112.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8940.00	8925.00		90.00DR
10JUL17	1 10OCT17	8920.00	8955.00		210.00CR
10JUL17	1 10OCT17	9015.00	8940.00		450.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19750.00	19750.00		0.00
10JUL17	1 10OCT17	19750.00	19600.00		750.00DR
10JUL17	1 10OCT17	19885.00	19590.00		1,475.00DR
10JUL17	1 10OCT17	19680.00	19750.00		350.00CR
10JUL17	1 10OCT17	19680.00	19750.00		350.00CR
10JUL17	1 10OCT17	19750.00	19745.00		25.00DR
10JUL17	1 10OCT17	19665.00	19735.00		350.00CR
10JUL17	1 10OCT17	19765.00	19735.00		150.00DR
10JUL17	1 10OCT17	19775.00	19760.00		75.00DR
10JUL17	1 10OCT17	19765.00	19770.00		25.00CR
10JUL17	1 10OCT17	19725.00	19775.00		250.00CR
10JUL17	1 10OCT17	19680.00	19785.00		525.00CR
10JUL17	1 10OCT17	19700.00	19835.00		675.00CR
10JUL17	1 10OCT17	19800.00	19785.00		75.00DR
10JUL17	1 10OCT17	19885.00	19885.00		0.00

Group No: SAPG0096

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AD

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 10OCT17	19895.00	19800.00		475.00DR
10JUL17	1 10OCT17	19825.00	19820.00		25.00DR
10JUL17	1 10OCT17	19825.00	19765.00		300.00DR
10JUL17	1 10OCT17	19825.00	19830.00		25.00CR
10JUL17	1 10OCT17	19765.00	19690.00		375.00DR
10JUL17	1 10OCT17	19705.00	19705.00		0.00
20JUL17	2 10OCT17	19705.00	20098.47		3,934.70CR
20JUL17	1 10OCT17	19705.00	20098.47		1,967.35CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2771.50	2773.00		37.50CR
10JUL17	1 10OCT17	2756.50	2771.50		375.00CR
20JUL17	1 10OCT17	2726.76	2760.50		843.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,452.63DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,727.05CR
	LME Lead 25 tonnes. This is an Futures				87.50CR
	LME Zinc 25 tonnes. This is an LME Futures				1,256.00CR
	LME Nickel 6 tonnes. This is an LME Futures				330.00DR
	LME Aluminium 25 Tonnes. This is an Futures				27.75CR
	COMMISSIONS				200.00DR
	CARRIED FORWARD BALANCE				24,884.33DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP096AE

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	20805.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20920.00	5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20850.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20865.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20880.00	5.00DR	
10OCT17	S 1 10JAN18 DMA EL		20885.00	5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20840.00		5.00DR	
10OCT17	B 1 10JAN18 DMA EL	20835.00		5.00DR	
	Commission				40.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 10OCT17	1907.00	1915.11		202.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2284.50	2292.00		187.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	8920.00	8995.00		450.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19745.00	19730.00		75.00DR
10JUL17	1 10OCT17	19720.00	19735.00		75.00CR
10JUL17	1 10OCT17	19865.00	19950.00		425.00CR
10JUL17	1 10OCT17	19960.00	19925.00		175.00DR
10JUL17	1 10OCT17	19930.00	19940.00		50.00CR
10JUL17	1 10OCT17	19930.00	19795.00		675.00DR
20JUL17	1 10OCT17	19825.00	20098.47		1,367.35CR
20JUL17	1 10OCT17	19705.00	20098.47		1,967.35CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2774.00	2777.50		87.50CR
20JUL17	1 10OCT17	2726.76	2779.00		1,306.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,813.28DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,959.70CR
	LME Lead 25 tonnes. This is an Futures				187.50CR
	LME Zinc 25 tonnes. This is an LME Futures				1,393.50CR
	LME Nickel 6 tonnes. This is an LME Futures				450.00CR
	LME Aluminium 25 Tonnes. This is an Futures				202.75CR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				340.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AF

10th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	3288.00		5.00DR	
10OCT17	S 1 10JAN18 DMA EL		3289.50	5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 10OCT17	5957.17	5836.50		3,016.75DR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19930.00	19940.00		50.00CR
10JUL17	1 10OCT17	19935.00	19965.00		150.00CR
10JUL17	1 10OCT17	19935.00	19980.00		225.00CR
10JUL17	1 10OCT17	19825.00	19795.00		150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				283.04CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				275.00CR
	LME Copper Grade A 25 tonnes. This Futures				3,016.75DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				2,468.71DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 II		1707.0	2.85DR	
10OCT17	S 2 DEC17 II		1706.5	5.70DR	
10OCT17	S 1 DEC17 II		1721.0	2.85DR	
10OCT17	S 2 DEC17 II		1721.5	5.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 10 02NOV17 IFC UD		3312.00	27.00DR	
10OCT17	B 4 04JAN18 IFC UD	3281.38		10.80DR	
10OCT17	B 1 09JAN18 IFC UD	3279.75		2.70DR	
10OCT17	B 1 10JAN18 DMA EL	3214.50		1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3237.00	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3238.00	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3241.00	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3242.00	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3242.50	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3245.50	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3247.50	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3254.00	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3253.50	1.56DR	
10OCT17	S 1 10JAN18 DMA EL		3282.50	1.56DR	
10OCT17	B 1 10JAN18 DMA EL	3277.50		1.56DR	
10OCT17	B 5 10JAN18 IFC UD	3279.20		13.50DR	
	Commission				89.82DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1742.5	1707.0		1,775.00DR
10OCT17	1 DEC17	1741.5	1706.5		1,750.00DR
10OCT17	1 DEC17	1742.5	1706.5		1,800.00DR
10OCT17	1 DEC17	1742.5	1721.5		1,050.00DR
10OCT17	1 DEC17	1744.5	1721.0		1,175.00DR
10OCT17	1 DEC17	1743.5	1721.5		1,100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,335.47CR
	SETTLEMENTS				
	CMX Silver Futures				8,650.00DR
	COMMISSIONS				89.82DR
	CARRIED FORWARD BALANCE				21,595.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0096

10th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,472,162.29CR
	SETTLEMENTS		
	CMX Silver Futures		10,325.00CR
	LME Aluminium 25 Tonnes. This is an Futures		16,167.25CR
	LME Copper Grade A 25 tonnes. This Futures		11,527.75DR
	LME Lead 25 tonnes. This is an Futures		9,976.50DR
	LME Nickel 6 tonnes. This is an LME Futures		30,146.88CR
	LME Tin 5 tonnes. This is an LME Futures		2,536.95DR
	LME Zinc 25 tonnes. This is an LME Futures		28,275.00CR
	COMMISSIONS		674.86DR
	CARRIED FORWARD BALANCE		1,532,360.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103A

10th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 02NOV17 SGL UD	3248.15		2.70DR	
10OCT17	B 1 10JAN18 CQG EL	3221.00		5.30DR	
10OCT17	S 1 10JAN18 SGL UD		3223.35	2.70DR	
	Commission				10.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				971,949.47CR
	COMMISSIONS				10.70DR
	CARRIED FORWARD BALANCE				971,938.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103B

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	S 1 NOV17 GX		49.83	2.30DR	
10OCT17	S 2 NOV17 GX		50.94	4.60DR	
	Commission				6.90DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	1 NOV17	50.55	49.83		720.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				68,370.85CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				720.00DR
	COMMISSIONS				6.90DR
	CARRIED FORWARD BALANCE				67,643.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103C
10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 1 NOV17 CQG GX		49.85	2.30DR	
100CT17	S 1 NOV17 CQG GX		49.89	2.30DR	
100CT17	S 1 NOV17 CQG GX		50.92	2.30DR	
100CT17	S 1 NOV17 CQG GX		50.94	2.30DR	
	CMX Silver 5,000 CMX				
100CT17	S 1 DEC17 CQG GX		1709.5	2.30DR	
	Commission				11.50DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	1 NOV17	50.59	49.85		740.00DR
100CT17	1 NOV17	50.55	49.89		660.00DR
	CMX Silver 5,000 CMX				
100CT17	1 DEC17	1707.5	1709.5		100.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				56,606.90CR
	SETTLEMENTS				
	CMX Silver Futures				100.00CR
	NYM Light Sweet Crude Oil Futures				1,400.00DR
	COMMISSIONS				11.50DR
	CARRIED FORWARD BALANCE				55,295.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0103D 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	S 1 NOV17 CQG GX		49.90	2.30DR	
10OCT17	S 1 NOV17 CQG GX		49.88	2.30DR	
10OCT17	S 1 NOV17 CQG GX		50.00	2.30DR	
10OCT17	S 2 NOV17 CQG GX		50.83	4.60DR	
10OCT17	S 1 NOV17 CQG GX		50.92	2.30DR	
10OCT17	S 1 NOV17 CQG GX		50.94	2.30DR	
10OCT17	S 1 NOV17 CQG GX		50.96	2.30DR	
	CMX Copper 25,000 CMX				
10OCT17	S 1 DEC17 CQG GX		306.200	2.30DR	
10OCT17	S 1 DEC17 CQG GX		306.000	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 CQG EL	3227.50		5.30DR	
	Commission				28.30DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	1 NOV17	50.64	49.90		740.00DR
10OCT17	1 NOV17	50.65	49.88		770.00DR
10OCT17	1 NOV17	50.61	50.00		610.00DR
10OCT17	1 NOV17	50.56	50.83		270.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				105,606.40CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				1,850.00DR
	COMMISSIONS				28.30DR
	CARRIED FORWARD BALANCE				103,728.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	2499.50		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	2498.50		5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2498.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2500.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2501.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2502.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2506.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2517.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2519.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2520.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2518.50	5.30DR	
10OCT17	S 2 10JAN18 DMA EL		2513.50	10.60DR	
10OCT17	S 1 10JAN18 DMA EL		2515.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2516.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2536.50	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2537.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		2537.50	5.30DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 GX		1707.5	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL	3230.50		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3231.00		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3232.00		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3233.50		5.30DR	
10OCT17	S 1 10JAN18 DMA EL		3240.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		3242.00	5.30DR	
10OCT17	S 1 10JAN18 DMA EL		3242.50	5.30DR	
10OCT17	S 2 10JAN18 DMA EL		3243.00	10.60DR	
10OCT17	S 1 10JAN18 DMA EL		3244.50	5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3277.50		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3278.00		5.30DR	
10OCT17	B 1 10JAN18 DMA EL	3279.00		5.30DR	
10OCT17	B 2 10JAN18 DMA EL	3289.00		10.60DR	
10OCT17	B 2 10JAN18 DMA EL	3289.50		10.60DR	
	Commission				187.80DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17	1707.5	1707.5		0.00
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2750.50	2774.50		600.00CR
10JUL17	1 10OCT17	2751.50	2774.00		562.50CR
13JUL17	1 10OCT17	2750.50	2803.31		1,320.25CR
13JUL17	1 10OCT17	2746.50	2803.31		1,420.25CR
13JUL17	1 10OCT17	2747.50	2803.31		1,395.25CR
13JUL17	1 10OCT17	2746.50	2803.31		1,420.25CR
13JUL17	1 10OCT17	2746.50	2803.31		1,420.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				36,504.02CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

10th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				8,138.75CR
	COMMISSIONS				187.80DR
	CARRIED FORWARD BALANCE				44,454.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	1 100CT17	1896.50	1913.67		429.25CR
21JUL17	1 100CT17	1897.50	1913.67		404.25CR
21JUL17	1 100CT17	1897.50	1913.67		404.25CR
21JUL17	1 100CT17	1897.00	1913.67		416.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
13JUL17	1 100CT17	2803.51	2780.00		587.75DR
13JUL17	1 100CT17	2803.51	2784.00		487.75DR
13JUL17	1 100CT17	2803.51	2784.50		475.25DR
13JUL17	1 100CT17	2803.31	2781.00		557.75DR
13JUL17	1 100CT17	2803.31	2780.00		582.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				139,024.49CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				2,691.25DR
	LME Aluminium 25 Tonnes. This is an Futures				1,654.50CR
	CARRIED FORWARD BALANCE				137,987.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103H

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
10OCT17	B 1 NOV17 CQG GX 2.84100			2.30DR	
	CMX Silver 5,000 CMX				
10OCT17	S 1 DEC17 CQG GX 1710.0			2.30DR	
10OCT17	S 1 DEC17 CQG GX 1710.5			2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 3 02NOV17 SGL UD 3243.65			8.10DR	
10OCT17	B 1 10JAN18 CQG EL 3242.50			5.30DR	
10OCT17	B 1 10JAN18 CQG EL 3230.50			5.30DR	
10OCT17	B 1 10JAN18 CQG EL 3221.00			5.30DR	
10OCT17	S 3 10JAN18 SGL UD 3219.85			8.10DR	
	Commission				39.00DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
10OCT17	1 DEC17 1705.5 1710.0				225.00CR
10OCT17	1 DEC17 1702.5 1710.5				400.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				97,832.10CR
	SETTLEMENTS				
	CMX Silver Futures				625.00CR
	COMMISSIONS				39.00DR
	CARRIED FORWARD BALANCE				98,418.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103J
10th October 2017
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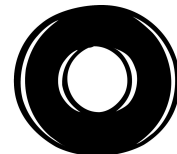
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 DMA EL	2165.50		5.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		2555.00	5.30DR	
	Commission				10.60DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
07SEP17	1 10OCT17	1921.00	2094.23		4,330.75CR
03OCT17	1 10OCT17	2115.92	2094.23		542.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,946.29CR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				3,788.50CR
	COMMISSIONS				10.60DR
	CARRIED FORWARD BALANCE				92,724.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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10th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,745,773.47CR
	SETTLEMENTS		
	CMX Silver Futures		725.00CR
	LME Aluminium 25 Tonnes. This is an Futures		5,443.00CR
	LME Zinc 25 tonnes. This is an LME Futures		5,447.50CR
	NYM Light Sweet Crude Oil Futures		3,970.00DR
	COMMISSIONS		294.80DR
	CARRIED FORWARD BALANCE		1,753,124.17CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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10th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0112 Fund Received 10/10/17				149,980.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				832,678.51CR
	CASH PAYMENTS/RECEIPTS				149,980.00CR
	CARRIED FORWARD BALANCE				982,658.51CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	S 1 10JAN18 OBJ EL		2160.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2158.00		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 3 02NOV17 IFC UD	2547.16		8.10DR	
10OCT17	B 1 27DEC17 IFC UD	2554.00		2.70DR	
10OCT17	S 1 05JAN18 IFC UD		2555.73	2.70DR	
10OCT17	B 1 10JAN18 OBJ EL	2495.00		4.30DR	
10OCT17	B 2 10JAN18 OBJ EL	2494.50		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2497.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2503.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2497.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2490.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2490.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2485.00		4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2520.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2516.50	4.30DR	
10OCT17	B 2 10JAN18 OBJ EL	2510.50		8.60DR	
10OCT17	B 1 10JAN18 DMA EL	2515.50		4.30DR	
10OCT17	S 3 10JAN18 IFC UD		2556.80	8.10DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
10OCT17	S 2 04JAN18 IFC UD		11051.00	5.40DR	
10OCT17	S 15 05JAN18 IFC UD		11051.67	40.50DR	
10OCT17	B 17 09JAN18 IFC UD	11055.33		45.90DR	
10OCT17	B 1 10JAN18 OBJ EL	10870.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10865.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		10920.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		10925.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		10930.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		10940.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		10945.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		11010.00	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10925.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10905.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10895.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10880.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10845.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	10965.00		4.30DR	
10OCT17	B 1 10JAN18 DMA EL	10875.00		4.30DR	
10OCT17	B 1 10JAN18 DMA EL	10870.00		4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		11015.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		11020.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		11050.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		11025.00	8.60DR	
10OCT17	S 3 10JAN18 OBJ EL		11035.00	12.90DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 2 10JAN18 OBJ EL		3233.00	8.60DR	
10OCT17	S 2 10JAN18 OBJ EL		3235.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		3249.50	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		3252.00	8.60DR	
10OCT17	S 5 10JAN18 OBJ EL		3249.00	21.50DR	
10OCT17	S 1 10JAN18 OBJ EL		3254.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		3252.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		3253.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		3257.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3259.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3278.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3283.00		4.30DR	

Group No: SAPG0112

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B 1 10JAN18 OBJ EL	3282.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3285.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3289.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3288.00		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	3288.50		12.90DR	
10OCT17	B 1 10JAN18 OBJ EL	3287.50		4.30DR	
10OCT17	B 4 10JAN18 OBJ EL	3289.00		17.20DR	
10OCT17	B 1 10JAN18 DMA EL	3289.50		4.30DR	
	Commission				435.90DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1909.00	1920.50		287.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2286.00	2280.50		137.50DR
10JUL17	1 10OCT17	2315.00	2280.00		875.00DR
18JUL17	1 10OCT17	2273.64	2276.00		59.00CR
18JUL17	1 10OCT17	2273.64	2276.50		71.50CR
18JUL17	1 10OCT17	2273.64	2278.50		121.50CR
18JUL17	1 10OCT17	2273.64	2283.00		234.00CR
18JUL17	1 10OCT17	2273.64	2284.00		259.00CR
18JUL17	1 10OCT17	2273.64	2282.00		209.00CR
18JUL17	1 10OCT17	2273.64	2291.50		446.50CR
18JUL17	1 10OCT17	2273.64	2288.00		359.00CR
18JUL17	1 10OCT17	2273.64	2285.00		284.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	9025.00	8925.00		600.00DR
10JUL17	1 10OCT17	8965.00	8975.00		60.00CR
10JUL17	1 10OCT17	9020.00	9000.00		120.00DR
18JUL17	1 10OCT17	9015.00	9775.52		4,563.12CR
18JUL17	1 10OCT17	9025.00	9775.52		4,503.12CR
18JUL17	1 10OCT17	9000.00	9775.52		4,653.12CR
18JUL17	1 10OCT17	8960.00	9775.52		4,893.12CR
18JUL17	1 10OCT17	8970.00	9775.52		4,833.12CR
18JUL17	1 10OCT17	8945.00	9775.52		4,983.12CR
18JUL17	1 10OCT17	8940.00	9775.52		5,013.12CR
18JUL17	1 10OCT17	8960.00	9775.52		4,893.12CR
18JUL17	1 10OCT17	8965.00	9775.52		4,863.12CR
18JUL17	1 10OCT17	8950.00	9775.52		4,953.12CR
18JUL17	1 10OCT17	8940.00	9775.52		5,013.12CR
18JUL17	1 10OCT17	8930.00	9775.52		5,073.12CR
18JUL17	2 10OCT17	8925.00	9775.52		10,206.24CR
18JUL17	1 10OCT17	8940.00	9775.52		5,013.12CR
18JUL17	1 10OCT17	8920.00	9775.52		5,133.12CR
18JUL17	1 10OCT17	8945.00	9775.52		4,983.12CR
18JUL17	1 10OCT17	8940.00	9775.52		5,013.12CR
18JUL17	1 10OCT17	8935.00	9775.52		5,043.12CR
18JUL17	1 10OCT17	8935.00	9775.52		5,043.12CR
18JUL17	1 10OCT17	8930.00	9775.52		5,073.12CR
18JUL17	1 10OCT17	8930.00	9775.52		5,073.12CR
18JUL17	1 10OCT17	8900.00	9775.52		5,253.12CR
18JUL17	1 10OCT17	8885.00	9775.52		5,343.12CR
18JUL17	1 10OCT17	8900.00	9775.52		5,253.12CR
18JUL17	1 10OCT17	8925.00	9775.52		5,103.12CR
18JUL17	1 10OCT17	8940.00	9775.52		5,013.12CR
18JUL17	1 10OCT17	9000.00	9775.52		4,653.12CR
18JUL17	3 10OCT17	9010.00	9775.52		13,779.36CR
	LME Zinc 25 tonnes. This is an LME				

Group No: SAPG0112

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
10JUL17	1 100CT17	2762.50	2783.00		512.50CR
10JUL17	1 100CT17	2765.50	2767.00		37.50CR
10JUL17	1 100CT17	2792.00	2766.00		650.00DR
10JUL17	1 100CT17	2790.50	2767.00		587.50DR
10JUL17	1 100CT17	2775.50	2765.50		250.00DR
10JUL17	1 100CT17	2761.50	2767.50		150.00CR
10JUL17	1 100CT17	2777.00	2780.00		75.00CR
10JUL17	1 100CT17	2766.50	2783.50		425.00CR
10JUL17	1 100CT17	2758.50	2780.00		537.50CR
10JUL17	1 100CT17	2754.00	2781.50		687.50CR
10JUL17	1 100CT17	2771.50	2760.50		275.00DR
10JUL17	1 100CT17	2776.00	2764.50		287.50DR
10JUL17	1 100CT17	2770.50	2759.50		275.00DR
10JUL17	1 100CT17	2769.00	2759.50		237.50DR
10JUL17	1 100CT17	2768.00	2758.50		237.50DR
10JUL17	1 100CT17	2765.00	2758.50		162.50DR
10JUL17	1 100CT17	2763.50	2758.00		137.50DR
10JUL17	1 100CT17	2761.50	2775.50		350.00CR
10JUL17	1 100CT17	2756.00	2779.00		575.00CR
10JUL17	1 100CT17	2752.50	2780.50		700.00CR
10JUL17	1 100CT17	2751.50	2778.00		662.50CR
10JUL17	1 100CT17	2755.50	2777.00		537.50CR
10JUL17	1 100CT17	2774.50	2774.00		12.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				754,435.72CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,031.00CR
	LME Zinc 25 tonnes. This is an LME Futures				2,137.50CR
	LME Nickel 6 tonnes. This is an LME Futures				152,556.72CR
	LME Aluminium 25 Tonnes. This is an Futures				287.50CR
	COMMISSIONS				435.90DR
	CARRIED FORWARD BALANCE				910,012.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

10th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 5 04JAN18 IFC UD 2161.75			13.50DR	
10OCT17	S 5 05JAN18 IFC UD		2161.76	13.50DR	
10OCT17	S 2 10JAN18 OBJ EL		2166.00	8.60DR	
10OCT17	B 2 10JAN18 DMA EL	2161.00		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2161.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2157.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2153.50		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2165.00	4.30DR	
10OCT17	S 1 10JAN18 DMA EL		2153.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2164.50	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 9 03JAN18 IFC UD 2555.50			24.30DR	
10OCT17	S 1 05JAN18 IFC UD		2555.73	2.70DR	
10OCT17	S 8 09JAN18 IFC UD		2556.59	21.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2484.50		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2505.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2535.00	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2558.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	B 1 05JAN18 IFC UD 3280.64			2.70DR	
10OCT17	S 1 09JAN18 IFC UD		3279.35	2.70DR	
10OCT17	B 1 10JAN18 OBJ EL	3223.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		3281.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		3282.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3278.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	3278.50		4.30DR	
10OCT17	S 1 10JAN18 DMA EL		3278.00	4.30DR	
10OCT17	B 1 10JAN18 DMA EL	3278.00		4.30DR	
	Commission				171.30DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10JUL17	1 10OCT17	1918.50	1927.00		212.50CR
10JUL17	1 10OCT17	1918.00	1929.00		275.00CR
10JUL17	1 10OCT17	1915.50	1928.50		325.00CR
10JUL17	1 10OCT17	1917.50	1918.50		25.00CR
10JUL17	1 10OCT17	1915.00	1908.00		175.00DR
12JUL17	1 10OCT17	1915.50	1888.75		668.75DR
12JUL17	1 10OCT17	1913.00	1888.75		606.25DR
12JUL17	1 10OCT17	1908.50	1888.75		493.75DR
12JUL17	1 10OCT17	1908.00	1888.75		481.25DR
12JUL17	1 10OCT17	1906.00	1888.75		431.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2285.50	2297.00		287.50CR
10JUL17	1 10OCT17	2284.00	2280.00		100.00DR
10JUL17	1 10OCT17	2274.50	2280.50		150.00CR
10JUL17	1 10OCT17	2273.50	2297.00		587.50CR
10JUL17	1 10OCT17	2274.00	2279.00		125.00CR
10JUL17	1 10OCT17	2278.50	2284.50		150.00CR
10JUL17	1 10OCT17	2280.50	2280.50		0.00
10JUL17	1 10OCT17	2283.00	2297.00		350.00CR
10JUL17	1 10OCT17	2285.50	2287.50		50.00CR

Group No: SAPG0112

Page 1 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

10th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 10OCT17	2285.50	2287.50		50.00CR
10JUL17	1 10OCT17	2282.50	2284.50		50.00CR
10JUL17	1 10OCT17	2282.50	2288.50		150.00CR
10JUL17	1 10OCT17	2281.00	2283.50		62.50CR
10JUL17	1 10OCT17	2281.50	2289.50		200.00CR
10JUL17	1 10OCT17	2280.00	2291.00		275.00CR
10JUL17	1 10OCT17	2279.50	2291.50		300.00CR
10JUL17	1 10OCT17	2271.50	2288.50		425.00CR
10JUL17	1 10OCT17	2271.50	2288.00		412.50CR
10JUL17	1 10OCT17	2273.50	2298.50		625.00CR
10JUL17	1 10OCT17	2294.00	2298.50		112.50CR
10JUL17	1 10OCT17	2271.50	2300.00		712.50CR
10JUL17	1 10OCT17	2315.00	2302.50		312.50DR
10JUL17	1 10OCT17	2315.00	2302.50		312.50DR
12JUL17	1 10OCT17	2327.37	2302.50		621.75DR
12JUL17	1 10OCT17	2327.37	2304.00		584.25DR
12JUL17	1 10OCT17	2327.37	2307.00		509.25DR
12JUL17	1 10OCT17	2327.37	2310.00		434.25DR
12JUL17	1 10OCT17	2327.37	2320.00		184.25DR
12JUL17	1 10OCT17	2327.37	2320.50		171.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
13JUL17	1 10OCT17	9209.29	8935.00		1,645.74DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2788.50	2785.50		75.00DR
10JUL17	1 10OCT17	2762.50	2785.50		575.00CR
10JUL17	1 10OCT17	2790.50	2785.50		125.00DR
10JUL17	1 10OCT17	2790.50	2787.50		75.00DR
10JUL17	1 10OCT17	2760.50	2772.50		300.00CR
10JUL17	1 10OCT17	2792.00	2783.00		225.00DR
10JUL17	1 10OCT17	2761.00	2765.00		100.00CR
10JUL17	1 10OCT17	2760.50	2766.50		150.00CR
10JUL17	1 10OCT17	2775.50	2765.50		250.00DR
10JUL17	1 10OCT17	2761.50	2766.00		112.50CR
10JUL17	1 10OCT17	2792.00	2768.00		600.00DR
10JUL17	1 10OCT17	2766.50	2766.50		0.00
10JUL17	1 10OCT17	2788.00	2767.50		512.50DR
10JUL17	1 10OCT17	2792.50	2767.50		625.00DR
10JUL17	1 10OCT17	2792.50	2768.00		612.50DR
10JUL17	1 10OCT17	2790.50	2768.00		562.50DR
10JUL17	1 10OCT17	2793.50	2771.00		562.50DR
10JUL17	1 10OCT17	2764.50	2770.50		150.00CR
10JUL17	1 10OCT17	2762.50	2772.50		250.00CR
10JUL17	1 10OCT17	2771.00	2775.00		100.00CR
10JUL17	1 10OCT17	2771.50	2775.50		100.00CR
10JUL17	1 10OCT17	2771.00	2772.00		25.00CR
10JUL17	1 10OCT17	2771.00	2772.50		37.50CR
10JUL17	1 10OCT17	2758.50	2775.00		412.50CR
10JUL17	1 10OCT17	2758.50	2777.50		475.00CR
10JUL17	1 10OCT17	2757.00	2779.50		562.50CR
10JUL17	1 10OCT17	2771.50	2779.50		200.00CR
10JUL17	1 10OCT17	2761.00	2779.50		462.50CR
10JUL17	1 10OCT17	2757.00	2780.00		575.00CR
10JUL17	1 10OCT17	2773.50	2782.50		225.00CR
10JUL17	1 10OCT17	2770.50	2782.00		287.50CR
10JUL17	1 10OCT17	2768.50	2781.50		325.00CR
10JUL17	1 10OCT17	2768.00	2783.00		375.00CR
10JUL17	1 10OCT17	2765.00	2762.00		75.00DR
10JUL17	1 10OCT17	2765.00	2770.50		137.50CR
10JUL17	1 10OCT17	2765.00	2776.00		275.00CR
10JUL17	1 10OCT17	2763.50	2777.00		337.50CR
10JUL17	2 10OCT17	2761.50	2779.50		900.00CR
10JUL17	1 10OCT17	2760.50	2777.50		425.00CR
10JUL17	1 10OCT17	2760.50	2773.50		325.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 10OCT17	2760.50	2774.50		350.00CR
10JUL17	1 10OCT17	2760.50	2776.00		387.50CR
10JUL17	1 10OCT17	2759.50	2776.00		412.50CR
10JUL17	1 10OCT17	2759.50	2778.00		462.50CR
10JUL17	1 10OCT17	2759.00	2778.00		475.00CR
10JUL17	1 10OCT17	2752.00	2777.50		637.50CR
10JUL17	1 10OCT17	2751.50	2777.50		650.00CR
10JUL17	1 10OCT17	2746.50	2776.50		750.00CR
10JUL17	1 10OCT17	2758.00	2776.50		462.50CR
10JUL17	1 10OCT17	2758.00	2775.50		437.50CR
10JUL17	1 10OCT17	2774.50	2775.50		25.00CR
10JUL17	1 10OCT17	2776.50	2774.00		62.50DR
12JUL17	1 10OCT17	2777.00	2832.52		1,388.00CR
12JUL17	1 10OCT17	2777.00	2832.52		1,388.00CR
12JUL17	2 10OCT17	2777.00	2832.52		2,776.00CR
13JUL17	1 10OCT17	2775.50	2803.11		690.25CR
TOTALS					
BROUGHT FORWARD BALANCE					1,026,717.11DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					1,844.50CR
LME Zinc 25 tonnes. This is an LME Futures					15,129.75CR
LME Nickel 6 tonnes. This is an LME Futures					1,645.74DR
LME Aluminium 25 Tonnes. This is an Futures					2,018.75DR
COMMISSIONS					171.30DR
CARRIED FORWARD BALANCE					1,013,578.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 04JAN18 IFC UD	2161.75		2.70DR	
10OCT17	S 1 05JAN18 IFC UD		2161.76	2.70DR	
10OCT17	B 1 10JAN18 OBJ EL	2165.50		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2166.00		12.90DR	
10OCT17	B 1 10JAN18 OBJ EL	2166.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2160.50		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2165.00		12.90DR	
10OCT17	B 1 10JAN18 DMA EL	2163.50		4.30DR	
10OCT17	B 2 10JAN18 DMA EL	2161.00		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2164.00		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2162.00		12.90DR	
10OCT17	B 1 10JAN18 OBJ EL	2156.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2153.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2155.00		4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2166.50	8.60DR	
10OCT17	B 1 10JAN18 DMA EL	2165.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2164.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2164.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2162.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2163.50	4.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	S 1 20DEC17 IFC UD		6752.00	2.70DR	
10OCT17	S 1 27DEC17 IFC UD		6752.50	2.70DR	
10OCT17	B 2 05JAN18 IFC UD	6757.52		5.40DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	B 3 03JAN18 IFC UD	2555.50		8.10DR	
10OCT17	S 2 04JAN18 IFC UD		2555.51	5.40DR	
10OCT17	B 13 05JAN18 IFC UD	2555.93		35.10DR	
10OCT17	S 14 09JAN18 IFC UD		2556.59	37.80DR	
10OCT17	B 1 10JAN18 OBJ EL	2494.50		4.30DR	
10OCT17	B 2 10JAN18 OBJ EL	2495.50		8.60DR	
10OCT17	B 2 10JAN18 OBJ EL	2494.00		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2501.50		4.30DR	
10OCT17	B 2 10JAN18 OBJ EL	2497.50		8.60DR	
10OCT17	B 2 10JAN18 OBJ EL	2496.50		8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2502.00	4.30DR	
10OCT17	S 3 10JAN18 OBJ EL		2501.50	12.90DR	
10OCT17	S 1 10JAN18 OBJ EL		2501.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2497.00	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2490.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2489.50		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2490.50		12.90DR	
10OCT17	B 2 10JAN18 OBJ EL	2491.00		8.60DR	
10OCT17	B 2 10JAN18 OBJ EL	2487.00		8.60DR	
10OCT17	B 2 10JAN18 OBJ EL	2486.50		8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2502.50	4.30DR	
10OCT17	S 3 10JAN18 OBJ EL		2503.00	12.90DR	
10OCT17	B 2 10JAN18 OBJ EL	2497.00		8.60DR	
10OCT17	S 1 10JAN18 DMA EL		2489.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2517.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2517.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2518.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2518.50	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2519.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2520.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2520.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2515.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2515.50	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	1	10JAN18	OBJ	EL		2505.50	4.30DR	
10OCT17	S	3	10JAN18	OBJ	EL		2516.00	12.90DR	
10OCT17	S	2	10JAN18	OBJ	EL		2524.00	8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		2524.50	4.30DR	
10OCT17	B	3	10JAN18	OBJ	EL	2507.00		12.90DR	
10OCT17	B	1	10JAN18	OBJ	EL	2508.50		4.30DR	
10OCT17	B	4	10JAN18	OBJ	EL	2507.50		17.20DR	
10OCT17	B	1	10JAN18	OBJ	EL	2513.50		4.30DR	
10OCT17	B	3	10JAN18	OBJ	EL	2508.00		12.90DR	
10OCT17	S	1	10JAN18	OBJ	EL		2528.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2529.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2530.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2533.00	4.30DR	
10OCT17	S	2	10JAN18	OBJ	EL		2533.50	8.60DR	
10OCT17	S	2	10JAN18	OBJ	EL		2534.50	8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		2535.50	4.30DR	
10OCT17	B	1	10JAN18	DMA	EL	2502.00		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2539.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2550.00	4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2548.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2546.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2548.00		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2552.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2557.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		2557.50	4.30DR	
10OCT17	B	3	10JAN18	OBJ	EL	2559.00		12.90DR	
10OCT17	B	2	10JAN18	OBJ	EL	2558.00		8.60DR	
10OCT17	B	1	10JAN18	OBJ	EL	2558.50		4.30DR	
10OCT17	S	1	10JAN18	DMA	EL		2559.50	4.30DR	
LME Zinc 25 tonnes. This is an LME registered client contract									
10OCT17	S	2	03JAN18	IFC	UD		3281.73	5.40DR	
10OCT17	B	2	05JAN18	IFC	UD	3280.84		5.40DR	
10OCT17	B	1	05JAN18	IFC	UD	3280.64		2.70DR	
10OCT17	S	1	09JAN18	IFC	UD		3279.35	2.70DR	
10OCT17	S	2	10JAN18	OBJ	EL		3250.00	8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		3242.00	4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3233.00		4.30DR	
10OCT17	B	4	10JAN18	OBJ	EL	3232.50		17.20DR	
10OCT17	S	2	10JAN18	OBJ	EL		3239.50	8.60DR	
10OCT17	B	2	10JAN18	OBJ	EL	3231.00		8.60DR	
10OCT17	B	1	10JAN18	OBJ	EL	3228.00		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3220.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3223.50	4.30DR	
10OCT17	S	5	10JAN18	OBJ	EL		3222.50	21.50DR	
10OCT17	S	1	10JAN18	OBJ	EL		3221.50	4.30DR	
10OCT17	S	4	10JAN18	OBJ	EL		3221.00	17.20DR	
10OCT17	S	1	10JAN18	OBJ	EL		3222.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3224.50	4.30DR	
10OCT17	S	3	10JAN18	OBJ	EL		3223.00	12.90DR	
10OCT17	B	1	10JAN18	OBJ	EL	3230.00		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3232.00	4.30DR	
10OCT17	B	1	10JAN18	DMA	EL	3212.50		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3219.00	4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3220.50		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3249.50	4.30DR	
10OCT17	S	2	10JAN18	OBJ	EL		3249.00	8.60DR	
10OCT17	S	2	10JAN18	OBJ	EL		3248.50	8.60DR	
10OCT17	B	2	10JAN18	OBJ	EL	3239.00		8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		3259.50	4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3260.00		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3278.00	4.30DR	
10OCT17	S	3	10JAN18	OBJ	EL		3282.00	12.90DR	
10OCT17	S	1	10JAN18	OBJ	EL		3281.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3282.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3280.00	4.30DR	

Group No: SAPG0112

Page 2 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	2	10JAN18	OBJ	EL	3271.00		8.60DR	
10OCT17	S	1	10JAN18	DMA	EL		3249.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3275.50	4.30DR	
10OCT17	B	2	10JAN18	OBJ	EL	3277.50		8.60DR	
10OCT17	B	1	10JAN18	OBJ	EL	3277.00		4.30DR	
10OCT17	B	2	10JAN18	OBJ	EL	3276.50		8.60DR	
10OCT17	B	1	10JAN18	DMA	EL	3276.50		4.30DR	
10OCT17	B	2	10JAN18	DMA	EL	3281.00		8.60DR	
	Commission								884.20DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
10JUL17		1	10OCT17			1932.00	1924.00		200.00DR
10JUL17		1	10OCT17			1932.00	1924.00		200.00DR
10JUL17		1	10OCT17			1931.50	1924.00		187.50DR
10JUL17		1	10OCT17			1921.00	1924.00		75.00CR
10JUL17		1	10OCT17			1921.00	1923.50		62.50CR
10JUL17		1	10OCT17			1921.00	1923.50		62.50CR
10JUL17		1	10OCT17			1921.00	1923.00		50.00CR
10JUL17		1	10OCT17			1920.50	1923.00		62.50CR
10JUL17		1	10OCT17			1919.00	1923.50		112.50CR
10JUL17		1	10OCT17			1915.00	1924.00		225.00CR
10JUL17		1	10OCT17			1915.50	1923.50		200.00CR
10JUL17		1	10OCT17			1915.50	1923.00		187.50CR
10JUL17		1	10OCT17			1912.50	1923.00		262.50CR
10JUL17		1	10OCT17			1908.50	1923.00		362.50CR
10JUL17		1	10OCT17			1904.00	1923.00		475.00CR
10JUL17		1	10OCT17			1901.00	1923.00		550.00CR
10JUL17		1	10OCT17			1902.50	1923.00		512.50CR
11JUL17		6	10OCT17			1900.10	1923.00		3,435.00CR
11JUL17		1	10OCT17			1900.10	1922.50		560.00CR
11JUL17		1	10OCT17			1900.10	1920.50		510.00CR
11JUL17		2	10OCT17			1900.10	1920.50		1,020.00CR
11JUL17		1	10OCT17			1900.10	1907.50		185.00CR
11JUL17		1	10OCT17			1900.10	1898.00		52.50DR
11JUL17		1	10OCT17			1900.10	1897.50		65.00DR
11JUL17		1	10OCT17			1900.10	1898.50		40.00DR
11JUL17		1	10OCT17			1900.10	1897.50		65.00DR
	LME Lead 25 tonnes. This is an LME registered client contract								
10JUL17		1	10OCT17			2299.50	2280.00		487.50DR
10JUL17		1	10OCT17			2299.00	2280.00		475.00DR
10JUL17		1	10OCT17			2284.50	2280.50		100.00DR
10JUL17		1	10OCT17			2284.00	2280.00		100.00DR
10JUL17		1	10OCT17			2285.50	2281.00		112.50DR
10JUL17		1	10OCT17			2284.00	2285.50		37.50CR
10JUL17		1	10OCT17			2300.50	2280.00		512.50DR
10JUL17		1	10OCT17			2301.50	2288.00		337.50DR
10JUL17		1	10OCT17			2301.00	2281.50		487.50DR
10JUL17		1	10OCT17			2301.50	2280.50		525.00DR
10JUL17		1	10OCT17			2301.50	2279.00		562.50DR
10JUL17		1	10OCT17			2286.00	2280.00		150.00DR
10JUL17		1	10OCT17			2287.00	2281.00		150.00DR
10JUL17		1	10OCT17			2285.50	2280.00		137.50DR
10JUL17		1	10OCT17			2298.50	2281.00		437.50DR
10JUL17		1	10OCT17			2300.50	2276.00		612.50DR
10JUL17		1	10OCT17			2286.50	2276.00		262.50DR
10JUL17		1	10OCT17			2297.50	2276.00		537.50DR
10JUL17		1	10OCT17			2284.00	2276.00		200.00DR
10JUL17		1	10OCT17			2284.50	2278.00		162.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 100CT17	2284.00	2277.50		162.50DR
10JUL17	1 100CT17	2296.50	2278.00		462.50DR
10JUL17	1 100CT17	2296.00	2278.00		450.00DR
10JUL17	1 100CT17	2273.50	2284.00		262.50CR
10JUL17	1 100CT17	2279.00	2284.50		137.50CR
10JUL17	1 100CT17	2285.00	2284.50		12.50DR
10JUL17	1 100CT17	2287.50	2288.00		12.50CR
10JUL17	1 100CT17	2285.50	2288.00		62.50CR
10JUL17	1 100CT17	2283.00	2286.50		87.50CR
10JUL17	1 100CT17	2281.00	2282.50		37.50CR
10JUL17	1 100CT17	2280.00	2284.50		112.50CR
10JUL17	1 100CT17	2273.00	2282.00		225.00CR
10JUL17	1 100CT17	2273.00	2285.00		300.00CR
10JUL17	1 100CT17	2275.50	2285.50		250.00CR
10JUL17	1 100CT17	2276.00	2288.00		300.00CR
10JUL17	1 100CT17	2275.50	2289.50		350.00CR
10JUL17	1 100CT17	2275.50	2289.50		350.00CR
10JUL17	1 100CT17	2275.00	2291.00		400.00CR
10JUL17	1 100CT17	2305.00	2291.50		337.50DR
10JUL17	1 100CT17	2307.50	2289.00		462.50DR
10JUL17	1 100CT17	2312.50	2288.00		612.50DR
10JUL17	1 100CT17	2313.00	2289.00		600.00DR
10JUL17	1 100CT17	2312.50	2288.00		612.50DR
10JUL17	1 100CT17	2311.50	2283.00		712.50DR
10JUL17	1 100CT17	2311.00	2286.50		612.50DR
10JUL17	1 100CT17	2313.00	2278.00		875.00DR
10JUL17	1 100CT17	2312.50	2277.00		887.50DR
10JUL17	1 100CT17	2315.00	2304.00		275.00DR
12JUL17	1 100CT17	2327.37	2304.50		571.75DR
12JUL17	1 100CT17	2327.37	2306.50		521.75DR
12JUL17	1 100CT17	2327.37	2310.50		421.75DR
12JUL17	1 100CT17	2327.37	2307.00		509.25DR
12JUL17	2 100CT17	2327.37	2309.00		918.50DR
12JUL17	1 100CT17	2327.37	2310.00		434.25DR
12JUL17	1 100CT17	2327.37	2311.00		409.25DR
12JUL17	1 100CT17	2327.37	2310.00		434.25DR
12JUL17	1 100CT17	2327.37	2310.50		421.75DR
12JUL17	3 100CT17	2327.37	2312.00		1,152.75DR
12JUL17	1 100CT17	2327.37	2313.50		346.75DR
12JUL17	1 100CT17	2327.37	2316.50		271.75DR
12JUL17	1 100CT17	2327.37	2319.00		209.25DR
12JUL17	1 100CT17	2327.37	2318.00		234.25DR
12JUL17	1 100CT17	2327.37	2317.50		246.75DR
12JUL17	1 100CT17	2327.37	2316.00		284.25DR
12JUL17	1 100CT17	2327.37	2315.00		309.25DR
12JUL17	1 100CT17	2327.37	2316.50		271.75DR
13JUL17	1 100CT17	2294.17	2316.00		545.75CR
13JUL17	1 100CT17	2294.17	2316.00		545.75CR
13JUL17	1 100CT17	2294.17	2327.17		825.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
13JUL17	1 100CT17	9204.90	9207.29		14.34CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 100CT17	2764.50	2787.50		575.00CR
10JUL17	1 100CT17	2791.50	2783.00		212.50DR
10JUL17	1 100CT17	2777.50	2756.00		537.50DR
10JUL17	1 100CT17	2792.00	2766.00		650.00DR
10JUL17	1 100CT17	2766.50	2764.50		50.00DR
10JUL17	1 100CT17	2775.50	2764.50		275.00DR
10JUL17	1 100CT17	2775.50	2764.50		275.00DR
10JUL17	1 100CT17	2766.50	2766.50		0.00
10JUL17	1 100CT17	2776.50	2766.50		250.00DR
10JUL17	1 100CT17	2776.50	2766.00		262.50DR
10JUL17	1 100CT17	2761.50	2768.50		175.00CR

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10JUL17	1 100CT17	2771.00	2767.00		100.00DR
10JUL17	1 100CT17	2758.50	2768.00		237.50CR
10JUL17	1 100CT17	2758.50	2768.00		237.50CR
10JUL17	1 100CT17	2758.50	2770.00		287.50CR
10JUL17	1 100CT17	2754.00	2775.00		525.00CR
10JUL17	1 100CT17	2756.50	2775.00		462.50CR
10JUL17	1 100CT17	2756.50	2774.00		437.50CR
10JUL17	1 100CT17	2776.50	2772.00		112.50DR
10JUL17	1 100CT17	2761.00	2772.50		287.50CR
10JUL17	1 100CT17	2761.00	2773.50		312.50CR
10JUL17	1 100CT17	2761.00	2774.00		325.00CR
10JUL17	1 100CT17	2768.00	2774.00		150.00CR
10JUL17	1 100CT17	2765.00	2780.00		375.00CR
10JUL17	1 100CT17	2764.50	2780.00		387.50CR
10JUL17	1 100CT17	2759.50	2780.00		512.50CR
10JUL17	1 100CT17	2757.50	2780.50		575.00CR
10JUL17	1 100CT17	2757.00	2773.00		400.00CR
10JUL17	1 100CT17	2756.50	2774.50		450.00CR
10JUL17	1 100CT17	2756.50	2760.00		87.50CR
10JUL17	1 100CT17	2757.00	2761.00		100.00CR
10JUL17	1 100CT17	2757.00	2758.00		25.00CR
10JUL17	1 100CT17	2756.00	2758.00		50.00CR
10JUL17	1 100CT17	2756.00	2758.00		50.00CR
10JUL17	1 100CT17	2756.00	2759.50		87.50CR
10JUL17	1 100CT17	2756.00	2758.50		62.50CR
10JUL17	1 100CT17	2756.00	2761.00		125.00CR
10JUL17	1 100CT17	2754.00	2761.50		187.50CR
10JUL17	1 100CT17	2754.00	2758.00		100.00CR
10JUL17	1 100CT17	2753.00	2769.50		412.50CR
10JUL17	1 100CT17	2752.50	2771.50		475.00CR
10JUL17	1 100CT17	2750.50	2770.00		487.50CR
10JUL17	1 100CT17	2750.50	2770.50		500.00CR
10JUL17	1 100CT17	2751.50	2770.50		475.00CR
10JUL17	1 100CT17	2752.00	2772.00		500.00CR
10JUL17	1 100CT17	2751.50	2772.50		525.00CR
10JUL17	1 100CT17	2751.50	2773.50		550.00CR
10JUL17	1 100CT17	2746.50	2773.50		675.00CR
10JUL17	1 100CT17	2746.50	2774.00		687.50CR
10JUL17	1 100CT17	2747.00	2774.50		687.50CR
10JUL17	1 100CT17	2751.00	2776.00		625.00CR
10JUL17	1 100CT17	2750.00	2777.00		675.00CR
10JUL17	1 100CT17	2750.00	2778.00		700.00CR
10JUL17	1 100CT17	2755.50	2779.00		587.50CR
10JUL17	1 100CT17	2774.50	2776.50		50.00CR
12JUL17	1 100CT17	2832.72	2776.50		1,405.50DR
12JUL17	1 100CT17	2832.72	2779.00		1,343.00DR
13JUL17	1 100CT17	2803.51	2777.50		650.25DR
13JUL17	2 100CT17	2803.31	2779.00		1,215.50DR
18JUL17	2 100CT17	2792.48	2780.50		599.00DR
18JUL17	1 100CT17	2792.48	2778.00		362.00DR
18JUL17	1 100CT17	2792.48	2775.50		424.50DR
18JUL17	1 100CT17	2792.48	2774.50		449.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				156,908.98DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				16,552.75DR
	LME Zinc 25 tonnes. This is an LME Futures				7,025.75CR
	LME Nickel 6 tonnes. This is an LME Futures				14.34CR
	LME Aluminium 25 Tonnes. This is an Futures				8,100.00CR
	COMMISSIONS				884.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				159,205.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

10th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	S 1 04JAN18 IFC UD		2161.75	2.70DR	
10OCT17	B 1 05JAN18 IFC UD	2162.16		2.70DR	
10OCT17	B 1 10JAN18 OBJ EL	2159.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2159.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2155.00		4.30DR	
10OCT17	B 1 10JAN18 DMA EL	2165.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2158.00		4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2164.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2163.50	4.30DR	
10OCT17	S 1 10JAN18 DMA EL		2163.50	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
10OCT17	S 4 05JAN18 IFC UD		2555.93	10.80DR	
10OCT17	B 4 09JAN18 IFC UD			10.80DR	
10OCT17	B 2 10JAN18 OBJ EL	2495.00		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2494.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2501.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2503.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2504.00		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2497.50		12.90DR	
10OCT17	B 1 10JAN18 OBJ EL	2496.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2497.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2500.50	4.30DR	
10OCT17	S 4 10JAN18 OBJ EL		2502.00	17.20DR	
10OCT17	S 1 10JAN18 OBJ EL		2501.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2497.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2498.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2497.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2493.50		4.30DR	
10OCT17	B 2 10JAN18 OBJ EL	2490.50		8.60DR	
10OCT17	B 1 10JAN18 OBJ EL	2491.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2491.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2492.00		4.30DR	
10OCT17	S 4 10JAN18 OBJ EL		2501.00	17.20DR	
10OCT17	S 2 10JAN18 OBJ EL		2503.50	8.60DR	
10OCT17	B 2 10JAN18 OBJ EL	2500.00		8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2506.00	4.30DR	
10OCT17	B 1 10JAN18 DMA EL	2492.50		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2510.50	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2519.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2516.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2517.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2520.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2516.50	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2510.00		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2515.00	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2501.50		4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2505.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2523.00	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2524.00	4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2511.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2509.00		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2506.50		4.30DR	
10OCT17	B 1 10JAN18 OBJ EL	2511.00		4.30DR	
10OCT17	B 3 10JAN18 OBJ EL	2508.50		12.90DR	
10OCT17	S 1 10JAN18 OBJ EL		2520.50	4.30DR	
10OCT17	S 1 10JAN18 OBJ EL		2529.00	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2530.50	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2534.50	4.30DR	
10OCT17	S 2 10JAN18 OBJ EL		2535.00	8.60DR	
10OCT17	S 1 10JAN18 OBJ EL		2540.50	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112G

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	1	10JAN18	OBJ	EL	2544.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2547.00		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2548.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2548.00		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2559.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2559.00		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	2558.50		4.30DR	
LME Zinc 25 tonnes. This is an LME registered client contract									
10OCT17	S	6	05JAN18	IFC	UD		3280.64	16.20DR	
10OCT17	B	6	09JAN18	IFC	UD	3279.75		16.20DR	
10OCT17	B	2	10JAN18	OBJ	EL	3248.50		8.60DR	
10OCT17	B	1	10JAN18	OBJ	EL	3246.00		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3247.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3245.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3244.50		4.30DR	
10OCT17	B	3	10JAN18	OBJ	EL	3244.00		12.90DR	
10OCT17	B	1	10JAN18	OBJ	EL	3242.50		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3242.00		4.30DR	
10OCT17	B	2	10JAN18	OBJ	EL	3233.00		8.60DR	
10OCT17	B	1	10JAN18	OBJ	EL	3221.00		4.30DR	
10OCT17	B	1	10JAN18	OBJ	EL	3220.50		4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3227.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3222.00	4.30DR	
10OCT17	S	2	10JAN18	OBJ	EL		3224.50	8.60DR	
10OCT17	S	2	10JAN18	OBJ	EL		3232.50	8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		3231.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3233.00	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3243.00	4.30DR	
10OCT17	S	2	10JAN18	OBJ	EL		3285.50	8.60DR	
10OCT17	S	1	10JAN18	OBJ	EL		3286.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3292.50	4.30DR	
10OCT17	S	1	10JAN18	OBJ	EL		3291.50	4.30DR	
10OCT17	S	2	10JAN18	OBJ	EL		3291.00	8.60DR	
10OCT17	S	1	10JAN18	DMA	EL		3291.50	4.30DR	
10OCT17	S	1	10JAN18	DMA	EL		3292.00	4.30DR	
Commission									553.90DR
TOTALS									
BROUGHT FORWARD BALANCE						583.64DR			
COMMISSIONS						553.90DR			
CARRIED FORWARD BALANCE						1,137.54DR			

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0112

10th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		403,124.59CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		6,368.75CR
	LME Lead 25 tonnes. This is an Futures		13,677.25DR
	LME Nickel 6 tonnes. This is an LME Futures		150,925.32CR
	LME Zinc 25 tonnes. This is an LME Futures		24,293.00CR
	CASH PAYMENTS/RECEIPTS		149,980.00CR
	COMMISSIONS		2,045.30DR
	CARRIED FORWARD BALANCE		718,969.11CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 1 120CT17 IFC US		3352.00	2.70DR	
100CT17	B 1 170CT17 IFC US	3340.95		2.70DR	
	Commission				5.40DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
040CT17	1 100CT17	8920.00	10530.00		9,660.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
040CT17	1 100CT17	20927.57	19775.00		5,762.85DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				21,138.80DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				5,762.85DR
	LME Nickel 6 tonnes. This is an LME Futures				9,660.00CR
	COMMISSIONS				5.40DR
	CARRIED FORWARD BALANCE				17,247.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
10OCT17	B 1 10JAN18 DMA EL	2162.50		5.80DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
10OCT17	S 1 10JAN18 DMA EL		3287.50	5.80DR	
	Commission				11.60DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	1 10OCT17	1906.50	2145.08		5,964.50CR
04OCT17	1 10OCT17	1894.50	2145.08		6,264.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2277.00	2291.00		350.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	19735.00	19735.00		0.00
10JUL17	1 10OCT17	19860.00	19850.00		50.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10JUL17	1 10OCT17	2771.50	2766.00		137.50DR
04OCT17	1 10OCT17	3360.07	2761.00		14,976.75DR
04OCT17	1 10OCT17	3360.07	2775.50		14,614.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,789.62DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				50.00DR
	LME Lead 25 tonnes. This is an Futures				350.00CR
	LME Zinc 25 tonnes. This is an LME Futures				29,728.50DR
	LME Aluminium 25 Tonnes. This is an Futures				12,229.00CR
	COMMISSIONS				11.60DR
	CARRIED FORWARD BALANCE				20,000.72DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0118

10th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		24,855.22DR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		12,229.00CR
	LME Lead 25 tonnes. This is an Futures		350.00CR
	LME Nickel 6 tonnes. This is an LME Futures		9,660.00CR
	LME Tin 5 tonnes. This is an LME Futures		5,812.85DR
	LME Zinc 25 tonnes. This is an LME Futures		29,728.50DR
	COMMISSIONS		17.00DR
	CARRIED FORWARD BALANCE		38,174.57DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
10OCT17	B	1	10JAN18	TTR	EL	2164.50		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2157.00		10.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2158.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2166.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2166.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2165.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2167.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2160.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2164.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2160.00		5.00DR	
10OCT17	S	4	10JAN18	TTR	EL		2162.00	20.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2160.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2163.50	5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	2154.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2155.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2155.50		5.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
10OCT17	S	2	DEC17	TTR	II		50.91	5.00DR	
10OCT17	S	1	DEC17	TTR	II		50.95	2.50DR	
10OCT17	S	1	DEC17	TTR	II		50.99	2.50DR	
10OCT17	S	2	DEC17	TTR	II		51.17	5.00DR	
10OCT17	S	3	DEC17	TTR	II		51.16	7.50DR	
10OCT17	S	1	DEC17	TTR	II		51.19	2.50DR	
10OCT17	S	2	DEC17	TTR	II		51.14	5.00DR	
10OCT17	S	1	DEC17	TTR	II		51.12	2.50DR	
10OCT17	S	1	DEC17	TTR	II		51.18	2.50DR	
10OCT17	S	2	DEC17	TTR	II		51.26	5.00DR	
10OCT17	S	2	DEC17	TTR	II		51.25	5.00DR	
10OCT17	S	1	DEC17	TTR	II		51.29	2.50DR	
10OCT17	S	1	DEC17	TTR	II		51.32	2.50DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10OCT17	S	1	10JAN18	TTR	EL		6688.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6692.50		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6693.50		10.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6695.50		10.00DR	
10OCT17	B	5	10JAN18	TTR	EL	6696.00		25.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6704.50		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6707.00		5.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6705.00		15.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6725.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6726.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6723.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6715.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6714.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6713.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6704.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6700.00	5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6706.00	10.00DR	
10OCT17	S	3	10JAN18	TTR	EL		6706.50	15.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6705.50		10.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6709.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6708.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6708.00		5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		6686.00	10.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6686.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		6687.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6686.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6684.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6683.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6682.50		5.00DR	

Group No: SAPG0119

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B	2	10JAN18	TTR	EL	6690.50		10.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6695.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6698.00		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6689.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6694.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6747.00		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6749.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6759.50		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6747.50		10.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6753.00		15.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6752.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6751.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6751.00		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6754.00		10.00DR	
10OCT17	B	3	10JAN18	TTR	EL	6748.00		15.00DR	
10OCT17	B	7	10JAN18	TTR	EL	6748.50		35.00DR	
10OCT17	B	1	10JAN18	TTR	EL	6743.50		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	6741.00		10.00DR	
10OCT17	B	2	10JAN18	DMA	EL	6746.00		10.00DR	
CMX Copper 25,000 CMX									
10OCT17	B	1	DEC17	TTR	II	306.600		2.50DR	
CMX Gold 100 CMX									
10OCT17	B	3	DEC17	TTR	II	1288.4		7.50DR	
10OCT17	S	1	DEC17	TTR	II		1289.8	2.50DR	
10OCT17	S	3	DEC17	TTR	II		1289.7	7.50DR	
NYM Henry Hub Natural Gas 10,000 NYM									
10OCT17	B	17	NOV17	TTR	II	2.84100		42.50DR	
10OCT17	B	6	NOV17	TTR	II	2.84200		15.00DR	
10OCT17	B	1	NOV17	TTR	II	2.84300		2.50DR	
LME Lead 25 tonnes. This is an LME registered client contract									
10OCT17	B	1	10JAN18	TTR	EL	2516.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2501.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2502.00		5.00DR	
10OCT17	S	1	10JAN18	DMA	EL		2502.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2515.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		2507.50	5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2507.50		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	2509.00		5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2531.00	10.00DR	
10OCT17	S	2	10JAN18	TTR	EL		2538.00	10.00DR	
LME Nickel 6 tonnes. This is an LME registered client contract									
10OCT17	B	1	10JAN18	TTR	EL	10960.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	10955.00		5.00DR	
10OCT17	B	2	10JAN18	TTR	EL	10945.00		10.00DR	
10OCT17	B	1	10JAN18	TTR	EL	10930.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	10935.00		5.00DR	
10OCT17	B	1	10JAN18	TTR	EL	10965.00		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		11035.00	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		11050.00	5.00DR	
CMX Silver 5,000 CMX									
10OCT17	S	9	DEC17	TTR	II		1706.5	22.50DR	
10OCT17	S	5	DEC17	TTR	II		1707.5	12.50DR	
10OCT17	S	2	DEC17	TTR	II		1710.0	5.00DR	
10OCT17	S	2	DEC17	TTR	II		1709.5	5.00DR	
10OCT17	S	4	DEC17	TTR	II		1708.0	10.00DR	
10OCT17	S	4	DEC17	TTR	II		1709.0	10.00DR	
10OCT17	S	2	DEC17	TTR	II		1711.0	5.00DR	
10OCT17	S	1	DEC17	TTR	II		1712.5	2.50DR	
10OCT17	S	2	DEC17	TTR	II		1717.0	5.00DR	
10OCT17	S	1	DEC17	TTR	II		1718.5	2.50DR	
10OCT17	S	2	DEC17	TTR	II		1717.5	5.00DR	
10OCT17	S	2	DEC17	TTR	II		1720.0	5.00DR	

Group No: SAPG0119

Page 2 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

10th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	3	DEC17	TTR	II		1719.5	7.50DR	
10OCT17	S	1	DEC17	TTR	II		1719.0	2.50DR	
10OCT17	S	1	DEC17	TTR	II		1721.5	2.50DR	
10OCT17	S	1	DEC17	TTR	II		1722.0	2.50DR	
10OCT17	S	3	DEC17	TTR	II		1722.5	7.50DR	
10OCT17	S	1	DEC17	TTR	II		1724.0	2.50DR	
10OCT17	S	1	DEC17	TTR	II		1724.5	2.50DR	
10OCT17	S	2	DEC17	TTR	II		1723.0	5.00DR	
10OCT17	S	3	DEC17	TTR	II		1718.0	7.50DR	
10OCT17	S	2	DEC17	TTR	II		1725.0	5.00DR	
10OCT17	B	2	DEC17	TTR	II	1718.0		5.00DR	
10OCT17	B	5	DEC17	TTR	II	1717.5		12.50DR	
10OCT17	B	11	DEC17	TTR	II	1717.0		27.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
10OCT17	B	1	10JAN18	TTR	EL	3262.50		5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3246.00	5.00DR	
10OCT17	S	2	10JAN18	TTR	EL		3228.50	10.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3225.50	5.00DR	
10OCT17	S	1	10JAN18	TTR	EL		3226.00	5.00DR	
	Commission								965.00DR
	SETTLEMENTS								
	CMX Gold 100 CMX								
10OCT17		1	DEC17			1288.4	1289.8		140.00CR
10OCT17		2	DEC17			1288.4	1289.7		260.00CR
10OCT17		1	DEC17			1278.7	1289.7		1,100.00CR
	CMX Silver 5,000 CMX								
10OCT17		1	DEC17			1717.0	1707.5		475.00DR
10OCT17		1	DEC17			1717.5	1707.5		500.00DR
10OCT17		1	DEC17			1717.5	1707.5		500.00DR
10OCT17		1	DEC17			1718.0	1706.5		575.00DR
10OCT17		1	DEC17			1718.0	1706.5		575.00DR
10OCT17		1	DEC17			1717.5	1706.5		550.00DR
10OCT17		6	DEC17			1717.0	1706.5		3,150.00DR
10OCT17		2	DEC17			1717.5	1707.5		1,000.00DR
10OCT17		1	DEC17			1717.0	1710.0		350.00DR
10OCT17		1	DEC17			1717.0	1709.0		400.00DR
10OCT17		1	DEC17			1717.0	1710.0		350.00DR
10OCT17		1	DEC17			1717.0	1709.5		375.00DR
10OCT17		1	DEC17			1704.0	1709.5		275.00CR
10OCT17		1	DEC17			1704.0	1708.0		200.00CR
10OCT17		1	DEC17			1703.5	1708.0		225.00CR
10OCT17		2	DEC17			1700.5	1708.0		750.00CR
10OCT17		1	DEC17			1700.0	1709.0		450.00CR
10OCT17		1	DEC17			1699.5	1709.0		475.00CR
10OCT17		1	DEC17			1699.5	1709.0		475.00CR
10OCT17		1	DEC17			1696.0	1711.0		750.00CR
10OCT17		1	DEC17			1697.5	1711.0		675.00CR
10OCT17		1	DEC17			1700.5	1712.5		600.00CR
10OCT17		1	DEC17			1700.5	1717.0		825.00CR
10OCT17		1	DEC17			1700.5	1717.5		850.00CR
10OCT17		1	DEC17			1700.5	1718.0		875.00CR
10OCT17		1	DEC17			1700.5	1717.0		825.00CR
10OCT17		1	DEC17			1700.5	1718.5		900.00CR
10OCT17		1	DEC17			1700.5	1718.0		875.00CR
10OCT17		1	DEC17			1700.5	1717.5		850.00CR
10OCT17		1	DEC17			1698.5	1719.5		1,050.00CR
10OCT17		1	DEC17			1699.0	1720.0		1,050.00CR
10OCT17		1	DEC17			1698.5	1719.5		1,050.00CR
10OCT17		1	DEC17			1697.0	1720.0		1,150.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

10th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC17	1696.5	1719.5		1,150.00CR
10OCT17	1 DEC17	1696.0	1719.0		1,150.00CR
10OCT17	1 DEC17	1695.5	1721.5		1,300.00CR
10OCT17	1 DEC17	1695.5	1722.0		1,325.00CR
10OCT17	1 DEC17	1695.0	1722.5		1,375.00CR
10OCT17	1 DEC17	1695.5	1722.5		1,350.00CR
10OCT17	1 DEC17	1694.0	1722.5		1,425.00CR
10OCT17	1 DEC17	1693.0	1723.0		1,500.00CR
10OCT17	1 DEC17	1693.5	1724.0		1,525.00CR
10OCT17	1 DEC17	1693.5	1724.5		1,550.00CR
10OCT17	1 DEC17	1698.0	1723.0		1,250.00CR
10OCT17	1 DEC17	1698.5	1718.0		975.00CR
10OCT17	1 DEC17	1695.0	1725.0		1,500.00CR
10OCT17	1 DEC17	1692.0	1725.0		1,650.00CR
TOTALS					
	BROUGHT FORWARD BALANCE				12,816.42CR
	SETTLEMENTS				
	CMX Silver Futures				25,400.00CR
	CMX Gold Futures				1,500.00CR
	COMMISSIONS				965.00DR
	CARRIED FORWARD BALANCE				38,751.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

10th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
100CT17	S 1 DEC17 CQG II		117.1000	2.50DR	
100CT17	S 1 DEC17 CQG II		117.1750	2.50DR	
100CT17	S 1 DEC17 CQG II		117.5250	2.50DR	
100CT17	S 1 DEC17 CQG II		117.6750	2.50DR	
100CT17	B 1 DEC17 CQG II	117.6500		2.50DR	
100CT17	B 1 DEC17 CQG II	117.6000		2.50DR	
100CT17	S 1 DEC17 CQG II		118.6750	2.50DR	
100CT17	B 2 FEB18 CQG II	121.1500		5.00DR	
100CT17	B 1 FEB18 CQG II	121.3500		2.50DR	
100CT17	B 1 FEB18 CQG II	121.5500		2.50DR	
100CT17	B 1 FEB18 CQG II	121.5750		2.50DR	
100CT17	B 1 FEB18 CQG II	121.6500		2.50DR	
100CT17	S 1 FEB18 CQG II		121.7250	2.50DR	
100CT17	S 1 FEB18 CQG II		121.7000	2.50DR	
100CT17	B 1 FEB18 CQG II	122.2000		2.50DR	
100CT17	B 1 FEB18 CQG II	122.2250		2.50DR	
100CT17	S 1 APR18 CQG II		121.6000	2.50DR	
100CT17	S 1 APR18 CQG II		122.0000	2.50DR	
100CT17	S 2 APR18 CQG II		122.5000	5.00DR	
100CT17	S 1 APR18 CQG II		122.7750	2.50DR	
100CT17	B 1 APR18 CQG II	122.7250		2.50DR	
100CT17	S 1 APR18 CQG II		122.3750	2.50DR	
100CT17	B 1 JUN18 CQG II	116.0000		2.50DR	
100CT17	B 1 JUN18 CQG II	115.9750		2.50DR	
100CT17	B 2 JUN18 CQG II	115.9000		5.00DR	
100CT17	B 1 JUN18 CQG II	116.2000		2.50DR	
100CT17	S 1 JUN18 CQG II		116.1500	2.50DR	
100CT17	S 1 AUG18 CQG II		113.3750	2.50DR	
100CT17	S 1 AUG18 CQG II		113.3250	2.50DR	
	IEU Gas Oil 100 IPE				
100CT17	B 4 APR18 CQG EL	512.500		10.00DR	
100CT17	S 4 MAY18 CQG EL		511.000	10.00DR	
100CT17	B 4 AUG18 CQG EL	507.250		10.00DR	
100CT17	S 4 SEP18 CQG EL		507.250	10.00DR	
	CME Lean Hog 400 CME				
100CT17	B 1 DEC17 CQG II	62.2000		2.50DR	
100CT17	B 1 DEC17 CQG II	61.8750		2.50DR	
100CT17	S 2 FEB18 CQG II		67.7000	5.00DR	
100CT17	S 2 FEB18 CQG II		67.6750	5.00DR	
100CT17	B 1 APR18 CQG II	72.0000		2.50DR	
100CT17	B 1 APR18 CQG II	72.0500		2.50DR	
100CT17	B 1 JUL18 CQG II	80.5250		2.50DR	
100CT17	B 1 JUL18 CQG II	80.4750		2.50DR	
100CT17	S 1 AUG18 CQG II		79.7750	2.50DR	
100CT17	S 1 AUG18 CQG II		79.7250	2.50DR	
	Commission				150.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
100CT17	1 DEC17	117.6500	117.1000		220.00DR
100CT17	1 DEC17	117.6000	117.1750		170.00DR
100CT17	1 DEC17	113.7500	117.5250		1,510.00CR
100CT17	1 DEC17	115.7500	117.6750		770.00CR
100CT17	1 DEC17	116.9500	118.6750		690.00CR
100CT17	1 FEB18	121.1500	121.7250		230.00CR
100CT17	1 FEB18	121.1500	121.7000		220.00CR
100CT17	1 FEB18	121.3500	117.5500		1,520.00DR
100CT17	1 FEB18	121.5500	117.5250		1,610.00DR
100CT17	1 FEB18	121.5750	119.5750		800.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 FEB18	121.6500	119.6000		820.00DR
10OCT17	1 FEB18	122.2000	120.6750		610.00DR
10OCT17	1 FEB18	122.2250	120.6750		620.00DR
10OCT17	1 APR18	122.7250	121.6000		450.00DR
10OCT17	1 APR18	119.2250	122.0000		1,110.00CR
10OCT17	1 APR18	120.3750	122.5000		850.00CR
10OCT17	1 APR18	120.9750	122.5000		610.00CR
10OCT17	1 APR18	120.3500	122.7750		970.00CR
10OCT17	1 APR18	120.2000	122.3750		870.00CR
10OCT17	1 JUN18	116.0000	116.1500		60.00CR
10OCT17	1 JUN18	115.9750	113.6750		920.00DR
10OCT17	1 JUN18	115.9000	113.6750		890.00DR
10OCT17	1 JUN18	115.9000	113.6500		900.00DR
10OCT17	1 JUN18	116.2000	113.6500		1,020.00DR
10OCT17	1 AUG18	111.1000	113.3750		910.00CR
10OCT17	1 AUG18	111.1000	113.3250		890.00CR
	IEU Gas Oil 100 IPE				
10OCT17	4 MAY18	505.250	511.000		2,300.00CR
	CME Lean Hog 400 CME				
10OCT17	1 JUL18	80.5250	80.3500		70.00DR
10OCT17	1 JUL18	80.4750	80.3500		50.00DR
10OCT17	1 AUG18	79.7500	79.7750		10.00CR
10OCT17	1 AUG18	79.3750	79.7250		140.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,220.00CR
	SETTLEMENTS				
	CME Cattle Futures				860.00DR
	IEU Gas Oil Futures				2,300.00CR
	CME Lean Hog Futures				30.00CR
	COMMISSIONS				150.00DR
	CARRIED FORWARD BALANCE				2,540.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

10th October 2017

COB GLOBAL

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
100CT17	S 1 DEC17 CQG II		118.8500	2.50DR	
100CT17	S 1 DEC17 CQG II		118.7000	2.50DR	
100CT17	B 1 FEB18 CQG II	121.1750		2.50DR	
100CT17	S 1 FEB18 CQG II		121.5500	2.50DR	
100CT17	B 1 FEB18 CQG II	122.2750		2.50DR	
100CT17	S 1 FEB18 CQG II		121.8000	2.50DR	
100CT17	B 1 FEB18 CQG II	122.1250		2.50DR	
100CT17	B 1 FEB18 CQG II	122.5500		2.50DR	
100CT17	B 1 FEB18 CQG II	122.4500		2.50DR	
100CT17	S 1 APR18 CQG II		121.6250	2.50DR	
100CT17	B 1 APR18 CQG II	121.8250		2.50DR	
100CT17	S 1 APR18 CQG II		122.7500	2.50DR	
100CT17	S 1 APR18 CQG II		122.5000	2.50DR	
100CT17	B 1 APR18 CQG II	122.0750		2.50DR	
100CT17	S 2 APR18 CQG II		122.4750	5.00DR	
100CT17	S 1 APR18 CQG II		122.3250	2.50DR	
100CT17	B 1 APR18 CQG II	122.5500		2.50DR	
100CT17	B 1 APR18 CQG II	122.3500		2.50DR	
100CT17	B 1 APR18 CQG II	122.3750		2.50DR	
100CT17	S 1 APR18 CQG II		122.3500	2.50DR	
100CT17	S 1 APR18 CQG II		122.3750	2.50DR	
100CT17	B 2 APR18 CQG II	122.4000		5.00DR	
100CT17	B 1 APR18 CQG II	122.4500		2.50DR	
100CT17	S 1 APR18 CQG II		122.2750	2.50DR	
100CT17	B 1 JUN18 CQG II	116.0000		2.50DR	
100CT17	B 2 JUN18 CQG II	115.9500		5.00DR	
100CT17	B 2 JUN18 CQG II	115.9000		5.00DR	
100CT17	S 1 JUN18 CQG II		115.9250	2.50DR	
100CT17	S 1 JUN18 CQG II		115.9500	2.50DR	
100CT17	S 1 JUN18 CQG II		116.0750	2.50DR	
100CT17	B 2 JUN18 CQG II	116.0750		5.00DR	
100CT17	S 3 JUN18 CQG II		116.1000	7.50DR	
100CT17	B 1 JUN18 CQG II	115.8500		2.50DR	
100CT17	S 2 AUG18 CQG II		113.3250	5.00DR	
	CME Feeder Cattle 500 CME				
100CT17	B 1 MAY18 CQG II	150.1000		2.50DR	
100CT17	B 1 MAY18 CQG II	150.5000		2.50DR	
100CT17	S 3 MAY18 CQG II		150.3750	7.50DR	
100CT17	B 1 AUG18 CQG II	151.1750		2.50DR	
100CT17	S 1 AUG18 CQG II		151.0000	2.50DR	
100CT17	B 1 AUG18 CQG II	151.2000		2.50DR	
100CT17	S 1 AUG18 CQG II		151.4000	2.50DR	
100CT17	B 1 AUG18 CQG II	151.3500		2.50DR	
	CME Lean Hog 400 CME				
100CT17	S 1 DEC17 CQG II		61.9000	2.50DR	
100CT17	S 1 DEC17 CQG II		62.2250	2.50DR	
100CT17	S 1 DEC17 CQG II		62.2000	2.50DR	
100CT17	B 1 DEC17 CQG II	61.9500		2.50DR	
100CT17	B 1 DEC17 CQG II	61.8000		2.50DR	
100CT17	B 1 DEC17 CQG II	61.6500		2.50DR	
100CT17	B 1 FEB18 CQG II	67.4250		2.50DR	
100CT17	B 1 FEB18 CQG II	67.7500		2.50DR	
100CT17	B 1 FEB18 CQG II	67.7250		2.50DR	
100CT17	S 1 FEB18 CQG II		67.6750	2.50DR	
100CT17	S 1 FEB18 CQG II		67.6250	2.50DR	
100CT17	S 1 FEB18 CQG II		67.5250	2.50DR	
100CT17	B 1 JUL18 CQG II	80.6500		2.50DR	
100CT17	S 1 AUG18 CQG II		79.8750	2.50DR	
	Commission				165.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
10OCT17	1 DEC17	115.1250	118.8500		1,490.00CR
10OCT17	1 DEC17	115.1000	118.7000		1,440.00CR
10OCT17	1 FEB18	121.1750	121.5500		150.00CR
10OCT17	1 FEB18	122.2750	121.8000		190.00DR
10OCT17	1 FEB18	122.1250	119.1500		1,190.00DR
10OCT17	1 FEB18	122.5500	119.1500		1,360.00DR
10OCT17	1 FEB18	122.4500	119.1500		1,320.00DR
10OCT17	1 APR18	121.8250	121.6250		80.00DR
10OCT17	1 APR18	122.0750	122.7500		270.00CR
10OCT17	1 APR18	122.5500	122.5000		20.00DR
10OCT17	1 APR18	122.3500	122.4750		50.00CR
10OCT17	1 APR18	122.3750	122.4750		40.00CR
10OCT17	1 APR18	122.4000	122.3250		30.00DR
10OCT17	1 APR18	122.4000	122.3500		20.00DR
10OCT17	1 APR18	122.4500	122.3750		30.00DR
10OCT17	1 APR18	120.6750	122.2750		640.00CR
10OCT17	1 JUN18	116.0000	116.1000		40.00CR
10OCT17	1 JUN18	115.9500	115.9250		10.00DR
10OCT17	1 JUN18	115.9500	115.9500		0.00
10OCT17	1 JUN18	115.9000	116.0750		70.00CR
10OCT17	1 JUN18	115.9000	116.1000		80.00CR
10OCT17	1 JUN18	116.0750	116.1000		10.00CR
10OCT17	1 JUN18	116.0750	113.8000		910.00DR
10OCT17	1 JUN18	115.8500	114.0250		730.00DR
	CME Feeder Cattle 500 CME				
10OCT17	1 MAY18	150.1000	150.3750		137.50CR
10OCT17	1 MAY18	150.5000	150.3750		62.50DR
10OCT17	1 MAY18	148.1000	150.3750		1,137.50CR
10OCT17	1 AUG18	151.1750	151.0000		87.50DR
10OCT17	1 AUG18	151.2000	151.4000		100.00CR
10OCT17	1 AUG18	151.3500	150.9250		212.50DR
	CME Lean Hog 400 CME				
10OCT17	1 DEC17	61.9500	61.9000		20.00DR
10OCT17	1 DEC17	61.8000	62.2250		170.00CR
10OCT17	1 DEC17	61.6500	62.2000		220.00CR
10OCT17	1 FEB18	67.4250	67.6750		100.00CR
10OCT17	1 FEB18	67.7500	67.6250		50.00DR
10OCT17	1 FEB18	67.7250	67.5250		80.00DR
10OCT17	1 JUL18	80.6500	80.4250		90.00DR
10OCT17	1 AUG18	79.6750	79.8750		80.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				4,165.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,012.50CR
	CME Cattle Futures				1,610.00DR
	CME Lean Hog Futures				330.00CR
	COMMISSIONS				165.00DR
	CARRIED FORWARD BALANCE				4,597.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
100CT17	S 1 DEC17 CQG II		117.2750	2.50DR	
100CT17	S 1 DEC17 CQG II		117.5250	2.50DR	
100CT17	S 1 DEC17 CQG II		117.3500	2.50DR	
100CT17	S 1 DEC17 CQG II		117.5500	2.50DR	
100CT17	B 1 FEB18 CQG II	121.1750		2.50DR	
100CT17	B 1 FEB18 CQG II	121.3000		2.50DR	
100CT17	B 1 FEB18 CQG II	121.3250		2.50DR	
100CT17	B 1 FEB18 CQG II	121.5500		2.50DR	
100CT17	B 1 FEB18 CQG II	121.5750		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4000		2.50DR	
100CT17	B 2 FEB18 CQG II	121.5000		5.00DR	
100CT17	S 2 FEB18 CQG II		121.5500	5.00DR	
100CT17	B 1 FEB18 CQG II	121.6500		2.50DR	
100CT17	B 1 FEB18 CQG II	122.2250		2.50DR	
100CT17	S 1 FEB18 CQG II		122.2250	2.50DR	
100CT17	B 1 FEB18 CQG II	122.2000		2.50DR	
100CT17	S 1 APR18 CQG II		121.6250	2.50DR	
100CT17	S 1 APR18 CQG II		121.7750	2.50DR	
100CT17	S 1 APR18 CQG II		122.0000	2.50DR	
100CT17	S 1 APR18 CQG II		121.9500	2.50DR	
100CT17	B 2 APR18 CQG II	121.8250		5.00DR	
100CT17	S 1 APR18 CQG II		121.9250	2.50DR	
100CT17	S 1 APR18 CQG II		122.5750	2.50DR	
100CT17	S 1 APR18 CQG II		122.7500	2.50DR	
100CT17	S 1 APR18 CQG II		122.5000	2.50DR	
100CT17	B 1 APR18 CQG II	122.5000		2.50DR	
100CT17	S 1 APR18 CQG II		122.5250	2.50DR	
100CT17	S 1 APR18 CQG II		122.4500	2.50DR	
100CT17	B 1 APR18 CQG II	122.3750		2.50DR	
100CT17	B 1 JUN18 CQG II	116.2000		2.50DR	
100CT17	B 1 JUN18 CQG II	116.2250		2.50DR	
100CT17	B 2 JUN18 CQG II	116.0000		5.00DR	
100CT17	B 2 JUN18 CQG II	115.9500		5.00DR	
100CT17	S 2 JUN18 CQG II		116.0750	5.00DR	
100CT17	S 1 AUG18 CQG II		113.4250	2.50DR	
100CT17	S 1 AUG18 CQG II		113.2500	2.50DR	
100CT17	S 1 AUG18 CQG II		113.3750	2.50DR	
100CT17	B 1 AUG18 CQG II	113.4000		2.50DR	
100CT17	B 1 AUG18 CQG II	113.3500		2.50DR	
100CT17	S 1 OCT18 CQG II		113.7000	2.50DR	
	CME Feeder Cattle 500 CME				
100CT17	S 1 NOV17 CQG II		155.7500	2.50DR	
100CT17	S 1 NOV17 CQG II		156.7000	2.50DR	
100CT17	B 1 NOV17 CQG II	156.3750		2.50DR	
100CT17	B 2 JAN18 CQG II	153.9250		5.00DR	
100CT17	B 1 JAN18 CQG II	154.8750		2.50DR	
100CT17	S 1 JAN18 CQG II		154.6250	2.50DR	
100CT17	S 1 MAR18 CQG II		152.0250	2.50DR	
100CT17	B 1 MAR18 CQG II	151.9000		2.50DR	
100CT17	S 1 APR18 CQG II		151.3750	2.50DR	
100CT17	S 1 APR18 CQG II		151.8750	2.50DR	
100CT17	B 1 MAY18 CQG II	150.4000		2.50DR	
	CME Lean Hog 400 CME				
100CT17	S 1 DEC17 CQG II		61.2250	2.50DR	
100CT17	S 1 DEC17 CQG II		61.8750	2.50DR	
100CT17	S 1 DEC17 CQG II		61.7750	2.50DR	
100CT17	S 1 DEC17 CQG II		62.0750	2.50DR	
100CT17	B 1 DEC17 CQG II	62.0000		2.50DR	
100CT17	B 1 DEC17 CQG II	61.8000		2.50DR	
100CT17	B 1 DEC17 CQG II	61.7750		2.50DR	
100CT17	S 1 DEC17 CQG II		61.7250	2.50DR	
100CT17	B 1 DEC17 CQG II	61.7000		2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119E

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USD US DOLLARS

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10OCT17	B	2	DEC17	CQG	II	61.6750		5.00DR	
10OCT17	S	1	DEC17	CQG	II		61.5250	2.50DR	
10OCT17	B	1	FEB18	CQG	II	66.9500		2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.5000		2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.3000		2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.6250		2.50DR	
10OCT17	S	1	FEB18	CQG	II		67.7250	2.50DR	
10OCT17	S	1	FEB18	CQG	II		67.6000	2.50DR	
10OCT17	S	1	FEB18	CQG	II		67.7000	2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.7250		2.50DR	
10OCT17	B	2	FEB18	CQG	II	67.5750		5.00DR	
10OCT17	S	3	FEB18	CQG	II		67.5750	7.50DR	
10OCT17	S	3	FEB18	CQG	II		67.6250	7.50DR	
10OCT17	B	1	FEB18	CQG	II	67.3750		2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.4000		2.50DR	
10OCT17	S	1	FEB18	CQG	II		67.4500	2.50DR	
10OCT17	B	1	FEB18	CQG	II	67.3250		2.50DR	
10OCT17	B	1	APR18	CQG	II	71.9750		2.50DR	
10OCT17	B	1	APR18	CQG	II	72.0500		2.50DR	
10OCT17	S	1	APR18	CQG	II		72.0000	2.50DR	
10OCT17	S	1	APR18	CQG	II		71.9250	2.50DR	
10OCT17	B	1	APR18	CQG	II	71.9500		2.50DR	
10OCT17	S	1	APR18	CQG	II		71.7500	2.50DR	
10OCT17	S	1	APR18	CQG	II		71.8000	2.50DR	
10OCT17	B	1	APR18	CQG	II	71.7000		2.50DR	
	Commission								245.00DR
	SETTLEMENTS								
	CME Cattle 400 CME								
10OCT17		1	DEC17			115.7000	117.2750		630.00CR
10OCT17		1	DEC17			115.5250	117.5250		800.00CR
10OCT17		1	DEC17			116.5750	117.3500		310.00CR
10OCT17		1	DEC17			116.9250	117.5500		250.00CR
10OCT17		1	FEB18			121.1750	121.5500		150.00CR
10OCT17		1	FEB18			121.3000	121.5500		100.00CR
10OCT17		1	FEB18			121.3250	122.2250		360.00CR
10OCT17		1	FEB18			121.5500	118.5500		1,200.00DR
10OCT17		1	FEB18			121.5750	119.4750		840.00DR
10OCT17		1	FEB18			121.4000	119.4500		780.00DR
10OCT17		1	FEB18			121.5000	119.1000		960.00DR
10OCT17		1	FEB18			121.5000	120.5750		370.00DR
10OCT17		1	FEB18			121.6500	120.2250		570.00DR
10OCT17		1	FEB18			122.2250	120.2250		800.00DR
10OCT17		1	FEB18			122.2000	121.1000		440.00DR
10OCT17		1	APR18			121.8250	121.6250		80.00DR
10OCT17		1	APR18			121.8250	121.7750		20.00DR
10OCT17		1	APR18			122.5000	122.0000		200.00DR
10OCT17		1	APR18			122.3750	121.9500		170.00DR
10OCT17		1	APR18			120.0000	121.9250		770.00CR
10OCT17		1	APR18			120.7500	122.5750		730.00CR
10OCT17		1	APR18			120.4250	122.7500		930.00CR
10OCT17		1	APR18			120.2000	122.5000		920.00CR
10OCT17		1	APR18			120.2250	122.5250		920.00CR
10OCT17		1	APR18			121.2250	122.4500		490.00CR
10OCT17		1	JUN18			116.2000	116.0750		50.00DR
10OCT17		1	JUN18			116.2250	116.0750		60.00DR
10OCT17		1	JUN18			116.0000	113.9250		830.00DR
10OCT17		1	JUN18			116.0000	113.6250		950.00DR
10OCT17		1	JUN18			115.9500	113.6500		920.00DR
10OCT17		1	JUN18			115.9500	113.7000		900.00DR
10OCT17		1	AUG18			113.4000	113.4250		10.00CR
10OCT17		1	AUG18			113.3500	113.2500		40.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 AUG18	111.5750	113.3750		720.00CR
10OCT17	1 OCT18	111.7000	113.7000		800.00CR
	CME Feeder Cattle 500 CME				
10OCT17	1 NOV17	156.3750	155.7500		312.50DR
10OCT17	1 NOV17	154.8250	156.7000		937.50CR
10OCT17	1 JAN18	153.9250	154.6250		350.00CR
10OCT17	1 JAN18	153.9250	153.7000		112.50DR
10OCT17	1 JAN18	154.8750	153.8250		525.00DR
10OCT17	1 MAR18	151.9000	152.0250		62.50CR
	CME Lean Hog 400 CME				
10OCT17	1 DEC17	62.0000	61.2250		310.00DR
10OCT17	1 DEC17	61.8000	61.8750		30.00CR
10OCT17	1 DEC17	61.7750	61.7750		0.00
10OCT17	1 DEC17	61.7000	62.0750		150.00CR
10OCT17	1 DEC17	61.6750	61.7250		20.00CR
10OCT17	1 DEC17	61.6750	61.5250		60.00DR
10OCT17	1 FEB18	66.9500	67.7250		310.00CR
10OCT17	1 FEB18	67.5000	67.6250		50.00CR
10OCT17	1 FEB18	67.3000	67.6000		120.00CR
10OCT17	1 FEB18	67.6250	67.6250		0.00
10OCT17	1 FEB18	67.7250	67.7000		10.00DR
10OCT17	1 FEB18	67.5750	67.5750		0.00
10OCT17	1 FEB18	67.5750	67.5750		0.00
10OCT17	1 FEB18	67.3750	67.5750		80.00CR
10OCT17	1 FEB18	67.4000	67.6250		90.00CR
10OCT17	1 FEB18	67.3250	67.4500		50.00CR
10OCT17	1 APR18	71.9750	72.0000		10.00CR
10OCT17	1 APR18	72.0500	71.9250		50.00DR
10OCT17	1 APR18	71.9500	71.7500		80.00DR
10OCT17	1 APR18	71.7000	71.8000		40.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				4,555.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				400.00CR
	CME Cattle Futures				1,290.00DR
	CME Lean Hog Futures				440.00CR
	COMMISSIONS				245.00DR
	CARRIED FORWARD BALANCE				5,250.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119F

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL 6707.00			5.00DR	
10OCT17	B 1 10JAN18 DMA EL 6705.00			5.00DR	
10OCT17	B 1 10JAN18 DMA EL 6710.00			5.00DR	
	CMX Copper 25,000 CMX				
10OCT17	S 2 DEC17 DMA II 304.550		304.550	5.00DR	
10OCT17	S 2 DEC17 DMA II 304.700		304.700	5.00DR	
10OCT17	S 2 DEC17 DMA II 304.750		304.750	5.00DR	
	Commission				30.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	2 DEC17 301.250	301.250	304.550		1,650.00CR
10OCT17	1 DEC17 301.850	301.850	304.700		712.50CR
10OCT17	1 DEC17 301.850	301.850	304.700		712.50CR
10OCT17	2 DEC17 301.850	301.850	304.750		1,450.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,947.36CR
	SETTLEMENTS				
	CMX Copper Futures				4,525.00CR
	COMMISSIONS				30.00DR
	CARRIED FORWARD BALANCE				12,442.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119G

10th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	6705.50		1.56DR	
100CT17	B 1 10JAN18 DMA EL	6707.50		1.56DR	
100CT17	B 2 10JAN18 DMA EL	6706.00		3.12DR	
100CT17	S 1 10JAN18 DMA EL		6704.00	1.56DR	
	CMX Copper 25,000 CMX				
100CT17	S 2 DEC17 II		304.550	5.00DR	
100CT17	S 2 DEC17 DMA II		304.550	5.00DR	
100CT17	S 2 DEC17 II		304.500	5.00DR	
	Commission				22.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
100CT17	1 DEC17	301.950	304.550		650.00CR
100CT17	1 DEC17	301.900	304.550		662.50CR
100CT17	1 DEC17	301.900	304.550		662.50CR
100CT17	1 DEC17	301.950	304.550		650.00CR
100CT17	2 DEC17	301.850	304.500		1,325.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,014.16CR
	SETTLEMENTS				
	CMX Copper Futures				3,950.00CR
	COMMISSIONS				22.80DR
	CARRIED FORWARD BALANCE				13,941.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119H

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL 6721.50			1.56DR	
10OCT17	B 1 10JAN18 DMA EL 6704.00			1.56DR	
10OCT17	B 2 10JAN18 DMA EL 6706.00			3.12DR	
10OCT17	S 1 10JAN18 DMA EL		6729.50	1.56DR	
	CMX Copper 25,000 CMX				
10OCT17	S 1 DEC17 II		303.900	2.50DR	
10OCT17	B 1 DEC17 II	304.000		2.50DR	
10OCT17	S 1 DEC17 DMA II		305.300	2.50DR	
10OCT17	B 1 DEC17 DMA II	304.050		2.50DR	
10OCT17	S 2 DEC17 II		304.600	5.00DR	
10OCT17	S 2 DEC17 II		304.500	5.00DR	
10OCT17	S 2 DEC17 DMA II		304.500	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
10OCT17	B 1 10JAN18 DMA EL 20860.00			1.56DR	
10OCT17	S 1 10JAN18 DMA EL		20835.00	1.56DR	
	Commission				35.92DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	1 DEC17	304.000	303.900		25.00DR
10OCT17	1 DEC17	304.050	305.300		312.50CR
10OCT17	2 DEC17	303.550	304.600		525.00CR
10OCT17	2 DEC17	303.550	304.500		475.00CR
10OCT17	1 DEC17	303.800	304.500		175.00CR
10OCT17	1 DEC17	303.800	304.500		175.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,408.78CR
	SETTLEMENTS				
	CMX Copper Futures				1,637.50CR
	COMMISSIONS				35.92DR
	CARRIED FORWARD BALANCE				5,010.36CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10OCT17	B 3 10JAN18 DMA EL	6704.00		4.68DR	
	CMX Copper 25,000 CMX				
10OCT17	S 6 DEC17 II		304.500	15.00DR	
	Commission				19.68DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
10OCT17	1 DEC17	301.500	304.500		750.00CR
10OCT17	1 DEC17	301.500	304.500		750.00CR
10OCT17	2 DEC17	301.850	304.500		1,325.00CR
10OCT17	2 DEC17	303.800	304.500		350.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,671.36CR
	SETTLEMENTS				
	CMX Copper Futures				3,175.00CR
	COMMISSIONS				19.68DR
	CARRIED FORWARD BALANCE				22,826.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	6709.00		1.56DR	
100CT17	B 2 10JAN18 DMA EL	6695.50		3.12DR	
	CMX Copper 25,000 CMX				
100CT17	S 1 DEC17 DMA II		304.100	2.50DR	
100CT17	S 4 DEC17 DMA II		304.050	10.00DR	
100CT17	B 1 DEC17 II	304.450		2.50DR	
100CT17	S 2 DEC17 II		304.550	5.00DR	
100CT17	S 1 DEC17 II		306.100	2.50DR	
100CT17	B 1 DEC17 DMA II	306.700		2.50DR	
	CMX Gold 100 CMX				
100CT17	B 1 DEC17 DMA II	1296.1		2.50DR	
100CT17	S 1 DEC17 II		1294.5	2.50DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	10960.00		1.56DR	
100CT17	S 1 10JAN18 DMA EL		10870.00	1.56DR	
100CT17	B 1 10JAN18 DMA EL	10905.00		1.56DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
100CT17	B 1 10JAN18 DMA EL	20855.00		1.56DR	
100CT17	S 1 10JAN18 DMA EL		20895.00	1.56DR	
100CT17	B 1 10JAN18 DMA EL	20840.00		1.56DR	
100CT17	B 1 10JAN18 DMA EL	20750.00		1.56DR	
100CT17	S 1 10JAN18 DMA EL		20795.00	1.56DR	
100CT17	S 1 10JAN18 DMA EL		20885.00	1.56DR	
100CT17	B 2 10JAN18 DMA EL	20800.00		3.12DR	
100CT17	S 2 10JAN18 DMA EL		20765.00	3.12DR	
100CT17	B 1 10JAN18 DMA EL	20835.00		1.56DR	
100CT17	S 1 10JAN18 DMA EL		20775.00	1.56DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
100CT17	S 1 10JAN18 DMA EL		3276.00	1.56DR	
100CT17	B 1 10JAN18 DMA EL	3282.00		1.56DR	
	Commission				61.20DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
100CT17	1 DEC17	304.450	304.050		100.00DR
100CT17	1 DEC17	306.700	304.050		662.50DR
100CT17	1 DEC17	301.300	304.050		687.50CR
100CT17	1 DEC17	301.300	304.100		700.00CR
100CT17	1 DEC17	301.250	304.050		700.00CR
100CT17	1 DEC17	301.250	304.550		825.00CR
100CT17	1 DEC17	302.100	304.550		612.50CR
100CT17	1 DEC17	305.200	306.100		225.00CR
	CMX Gold 100 CMX				
100CT17	1 DEC17	1296.1	1294.5		160.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,587.44CR
	SETTLEMENTS				
	CMX Copper Futures				2,987.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Gold Futures				160.00DR
	COMMISSIONS				61.20DR
	CARRIED FORWARD BALANCE				11,353.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
100CT17	S 1 DEC17 CQG II		117.1000	2.50DR	
100CT17	S 1 DEC17 CQG II		117.2000	2.50DR	
100CT17	S 1 DEC17 CQG II		117.3500	2.50DR	
100CT17	S 1 DEC17 CQG II		118.5500	2.50DR	
100CT17	B 1 FEB18 CQG II	121.1250		2.50DR	
100CT17	B 1 FEB18 CQG II	121.1500		2.50DR	
100CT17	B 1 FEB18 CQG II	121.3750		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4250		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4000		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4750		2.50DR	
100CT17	S 2 FEB18 CQG II		121.6750	5.00DR	
100CT17	B 1 FEB18 CQG II	121.7000		2.50DR	
100CT17	B 1 FEB18 CQG II	121.6250		2.50DR	
100CT17	S 1 FEB18 CQG II		121.5000	2.50DR	
100CT17	S 1 FEB18 CQG II		121.4500	2.50DR	
100CT17	B 1 FEB18 CQG II	122.1000		2.50DR	
100CT17	S 1 FEB18 CQG II		121.8000	2.50DR	
100CT17	B 2 FEB18 CQG II	122.1250		5.00DR	
100CT17	B 2 FEB18 CQG II	122.2500		5.00DR	
100CT17	S 1 APR18 CQG II		121.5750	2.50DR	
100CT17	S 1 APR18 CQG II		121.8750	2.50DR	
100CT17	S 1 APR18 CQG II		121.9250	2.50DR	
100CT17	B 2 APR18 CQG II	122.1000		5.00DR	
100CT17	S 1 APR18 CQG II		122.1000	2.50DR	
100CT17	S 1 APR18 CQG II		122.0250	2.50DR	
100CT17	S 1 APR18 CQG II		121.9500	2.50DR	
100CT17	B 1 APR18 CQG II	121.8000		2.50DR	
100CT17	B 1 APR18 CQG II	121.7250		2.50DR	
100CT17	S 1 APR18 CQG II		122.4500	2.50DR	
100CT17	B 1 APR18 CQG II	122.0750		2.50DR	
100CT17	S 1 APR18 CQG II		122.4750	2.50DR	
100CT17	S 1 APR18 CQG II		122.2750	2.50DR	
100CT17	S 1 APR18 CQG II		122.3000	2.50DR	
100CT17	S 1 APR18 CQG II		122.3750	2.50DR	
100CT17	B 1 APR18 CQG II	122.4750		2.50DR	
100CT17	B 2 JUN18 CQG II	115.6000		5.00DR	
100CT17	B 2 JUN18 CQG II	115.8000		5.00DR	
100CT17	S 2 JUN18 CQG II		116.1500	5.00DR	
100CT17	S 1 AUG18 CQG II		112.9750	2.50DR	
100CT17	B 1 AUG18 CQG II	112.7000		2.50DR	
100CT17	S 1 AUG18 CQG II		113.4500	2.50DR	
100CT17	B 1 AUG18 CQG II	113.2500		2.50DR	
100CT17	S 1 AUG18 CQG II		113.2750	2.50DR	
100CT17	B 1 AUG18 CQG II	113.3500		2.50DR	
100CT17	S 1 OCT18 CQG II		113.0750	2.50DR	
100CT17	B 1 OCT18 CQG II	113.7500		2.50DR	
100CT17	S 1 OCT18 CQG II		113.5750	2.50DR	
	CME Feeder Cattle 500 CME				
100CT17	S 1 NOV17 CQG II		155.9250	2.50DR	
100CT17	B 1 NOV17 CQG II	156.6500		2.50DR	
100CT17	B 1 NOV17 CQG II	156.4750		2.50DR	
100CT17	S 1 NOV17 CQG II		156.4500	2.50DR	
100CT17	B 1 NOV17 CQG II	156.2750		2.50DR	
100CT17	B 2 NOV17 CQG II	156.1750		5.00DR	
100CT17	S 1 NOV17 CQG II		156.0250	2.50DR	
100CT17	S 1 NOV17 CQG II		156.1250	2.50DR	
100CT17	B 1 JAN18 CQG II	154.1750		2.50DR	
100CT17	B 1 JAN18 CQG II	153.7750		2.50DR	
100CT17	S 1 JAN18 CQG II		154.8750	2.50DR	
100CT17	S 1 JAN18 CQG II		154.8500	2.50DR	
100CT17	S 1 JAN18 CQG II		154.7750	2.50DR	
100CT17	B 1 JAN18 CQG II	154.7000		2.50DR	
100CT17	S 1 JAN18 CQG II		154.5750	2.50DR	

Group No: SAPG0119

Page 1 of 3

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S 1 JAN18 CQG II		154.4500	2.50DR	
10OCT17	S 1 JAN18 CQG II		154.4750	2.50DR	
10OCT17	B 1 JAN18 CQG II	154.3250		2.50DR	
10OCT17	B 1 JAN18 CQG II	154.4250		2.50DR	
10OCT17	S 1 MAR18 CQG II		151.9500	2.50DR	
10OCT17	B 1 MAR18 CQG II	152.9000		2.50DR	
CME Lean Hog 400 CME					
10OCT17	S 1 DEC17 CQG II		61.9500	2.50DR	
10OCT17	B 2 DEC17 CQG II	61.8250		5.00DR	
10OCT17	B 1 DEC17 CQG II	61.9500		2.50DR	
10OCT17	S 2 DEC17 CQG II		61.8750	5.00DR	
10OCT17	B 1 DEC17 CQG II	61.7000		2.50DR	
10OCT17	B 1 DEC17 CQG II	61.7250		2.50DR	
10OCT17	S 1 DEC17 CQG II		61.5750	2.50DR	
10OCT17	B 1 FEB18 CQG II	67.5500		2.50DR	
10OCT17	S 1 FEB18 CQG II		67.6500	2.50DR	
10OCT17	S 1 FEB18 CQG II		67.6750	2.50DR	
10OCT17	S 1 FEB18 CQG II		67.7250	2.50DR	
10OCT17	B 3 FEB18 CQG II	67.6750		7.50DR	
10OCT17	S 1 FEB18 CQG II		67.5750	2.50DR	
10OCT17	S 1 FEB18 CQG II		67.6000	2.50DR	
10OCT17	B 2 FEB18 CQG II	67.4500		5.00DR	
10OCT17	S 1 FEB18 CQG II		67.3750	2.50DR	
10OCT17	B 1 FEB18 CQG II	67.3750		2.50DR	
10OCT17	S 1 APR18 CQG II		71.9250	2.50DR	
10OCT17	S 2 APR18 CQG II		71.7500	5.00DR	
10OCT17	B 1 APR18 CQG II	71.7250		2.50DR	
	Commission				255.00DR
SETTLEMENTS					
CME Cattle 400 CME					
10OCT17	1 DEC17	115.2250	117.1000		750.00CR
10OCT17	1 DEC17	114.9250	117.2000		910.00CR
10OCT17	1 DEC17	116.9000	117.3500		180.00CR
10OCT17	1 DEC17	117.4250	118.5500		450.00CR
10OCT17	1 FEB18	121.1250	121.6750		220.00CR
10OCT17	1 FEB18	121.1500	121.6750		210.00CR
10OCT17	1 FEB18	121.3750	121.5000		50.00CR
10OCT17	1 FEB18	121.4250	121.4500		10.00CR
10OCT17	1 FEB18	121.4000	121.8000		160.00CR
10OCT17	1 FEB18	121.4750	119.3250		860.00DR
10OCT17	1 FEB18	121.7000	119.2500		980.00DR
10OCT17	1 FEB18	121.6250	118.9000		1,090.00DR
10OCT17	1 FEB18	122.1000	118.9000		1,280.00DR
10OCT17	1 FEB18	122.2500	120.7500		600.00DR
10OCT17	1 FEB18	122.1250	120.7500		550.00DR
10OCT17	1 FEB18	122.1250	121.2500		350.00DR
10OCT17	1 FEB18	122.2500	121.3250		370.00DR
10OCT17	1 APR18	122.1000	121.5750		210.00DR
10OCT17	1 APR18	122.1000	121.8750		90.00DR
10OCT17	1 APR18	121.8000	121.9250		50.00CR
10OCT17	1 APR18	121.7250	122.1000		150.00CR
10OCT17	1 APR18	122.0750	122.0250		20.00DR
10OCT17	1 APR18	122.4750	121.9500		210.00DR
10OCT17	1 APR18	120.3500	122.4500		840.00CR
10OCT17	1 APR18	120.7500	122.4750		690.00CR
10OCT17	1 APR18	120.2500	122.2750		810.00CR
10OCT17	1 APR18	120.3250	122.3000		790.00CR
10OCT17	1 APR18	121.6750	122.3750		280.00CR
10OCT17	1 JUN18	115.6000	116.1500		220.00CR
10OCT17	1 JUN18	115.6000	116.1500		220.00CR
10OCT17	1 JUN18	115.8000	113.7500		820.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 JUN18	115.8000	113.7750		810.00DR
10OCT17	1 AUG18	112.7000	112.9750		110.00CR
10OCT17	1 AUG18	113.2500	113.4500		80.00CR
10OCT17	1 AUG18	113.3500	113.2750		30.00DR
10OCT17	1 OCT18	113.7500	113.0750		270.00DR
10OCT17	1 OCT18	113.0000	113.5750		230.00CR
	CME Feeder Cattle 500 CME				
10OCT17	1 NOV17	156.6500	155.9250		362.50DR
10OCT17	1 NOV17	156.4750	156.4500		12.50DR
10OCT17	1 NOV17	156.2750	156.0250		125.00DR
10OCT17	1 NOV17	156.1750	156.1250		25.00DR
10OCT17	1 JAN18	154.1750	154.8750		350.00CR
10OCT17	1 JAN18	153.7750	154.8500		537.50CR
10OCT17	1 JAN18	154.7000	154.7750		37.50CR
10OCT17	1 JAN18	154.3250	154.5750		125.00CR
10OCT17	1 JAN18	154.4250	154.4500		12.50CR
10OCT17	1 MAR18	152.9000	151.9500		475.00DR
	CME Lean Hog 400 CME				
10OCT17	1 DEC17	61.8250	61.9500		50.00CR
10OCT17	1 DEC17	61.8250	61.8750		20.00CR
10OCT17	1 DEC17	61.9500	61.8750		30.00DR
10OCT17	1 DEC17	61.7000	61.5750		50.00DR
10OCT17	1 FEB18	67.6750	67.6500		10.00DR
10OCT17	1 FEB18	67.5500	67.6750		50.00CR
10OCT17	1 FEB18	67.6750	67.7250		20.00CR
10OCT17	1 FEB18	67.6750	67.5750		40.00DR
10OCT17	1 FEB18	67.4500	67.6000		60.00CR
10OCT17	1 FEB18	67.4500	67.3750		30.00DR
10OCT17	1 FEB18	67.3750	67.4750		40.00CR
10OCT17	1 APR18	71.7250	71.9250		80.00CR
10OCT17	1 APR18	71.8000	71.7500		20.00DR
10OCT17	1 APR18	72.3750	71.7500		250.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				115.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				62.50CR
	CME Cattle Futures				1,130.00DR
	CME Lean Hog Futures				110.00DR
	COMMISSIONS				255.00DR
	CARRIED FORWARD BALANCE				1,547.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 3 JUN18 CQG II		51.20	7.50DR	
100CT17	S 3 JUN18 CQG II		51.48	7.50DR	
100CT17	S 4 JUN18 CQG II		51.47	10.00DR	
100CT17	B 3 JUN18 CQG II	51.68		7.50DR	
100CT17	B 4 JUN18 CQG II	51.70		10.00DR	
100CT17	B 1 JUN18 CQG II	51.78		2.50DR	
100CT17	B 3 JUN18 CQG II	51.72		7.50DR	
100CT17	B 4 JUN18 CQG II	51.63		10.00DR	
100CT17	B 1 JUN18 CQG II	51.61		2.50DR	
100CT17	B 3 JUN18 CQG II	51.66		7.50DR	
100CT17	B 2 DEC18 CQG II	50.86		5.00DR	
100CT17	B 3 DEC18 CQG II	50.87		7.50DR	
100CT17	B 3 DEC18 CQG II	51.12		7.50DR	
100CT17	B 2 DEC18 CQG II	51.10		5.00DR	
100CT17	B 9 DEC18 CQG II	51.11		22.50DR	
100CT17	S 9 DEC18 CQG II		51.34	22.50DR	
100CT17	S 2 DEC18 CQG II		51.35	5.00DR	
100CT17	S 10 DEC18 CQG II		51.33	25.00DR	
100CT17	S 9 DEC18 CQG II		51.23	22.50DR	
100CT17	S 2 DEC18 CQG II		51.20	5.00DR	
100CT17	S 5 DEC18 CQG II		51.26	12.50DR	
100CT17	S 5 JUN19 CQG II		50.68	12.50DR	
100CT17	B 5 JUN19 CQG II	50.89		12.50DR	
100CT17	B 5 JUN19 CQG II	50.72		12.50DR	
100CT17	S 2 DEC19 CQG II		50.19	5.00DR	
100CT17	S 2 DEC19 CQG II		50.41	5.00DR	
100CT17	B 2 DEC19 CQG II	50.58		5.00DR	
100CT17	B 3 DEC19 CQG II	50.48		7.50DR	
100CT17	B 1 DEC19 CQG II	50.30		2.50DR	
100CT17	B 2 DEC19 CQG II	50.36		5.00DR	
	IEU Brent Crude 1,000 IPE				
100CT17	S 3 JUN18 CQG EL		55.47	7.50DR	
100CT17	B 2 JUN18 CQG EL	55.59		5.00DR	
100CT17	B 2 JUN18 CQG EL	55.65		5.00DR	
100CT17	S 1 JUN18 CQG EL		55.72	2.50DR	
100CT17	B 1 JUN18 CQG EL	55.63		2.50DR	
100CT17	B 3 JUN18 CQG EL	55.55		7.50DR	
100CT17	B 1 JUN18 CQG EL	55.57		2.50DR	
100CT17	B 2 JUN18 CQG EL	55.56		5.00DR	
100CT17	S 1 JUN18 CQG EL		55.46	2.50DR	
100CT17	S 3 DEC18 CQG EL		54.99	7.50DR	
100CT17	S 2 DEC18 CQG EL		54.97	5.00DR	
100CT17	S 2 DEC18 CQG EL		54.96	5.00DR	
100CT17	S 1 DEC18 CQG EL		55.03	2.50DR	
100CT17	B 2 DEC18 CQG EL	55.03		5.00DR	
100CT17	S 2 DEC18 CQG EL		54.98	5.00DR	
100CT17	S 7 DEC18 CQG EL		54.91	17.50DR	
100CT17	S 5 DEC18 CQG EL		54.89	12.50DR	
100CT17	S 4 DEC18 CQG EL		54.90	10.00DR	
100CT17	B 6 DEC18 CQG EL	54.82		15.00DR	
100CT17	B 1 DEC18 CQG EL	54.83		2.50DR	
100CT17	B 3 JUN19 CQG EL	54.62		7.50DR	
100CT17	B 1 JUN19 CQG EL	54.60		2.50DR	
100CT17	B 4 JUN19 CQG EL	54.54		10.00DR	
100CT17	B 6 JUN19 CQG EL	54.49		15.00DR	
100CT17	B 3 JUN19 CQG EL	54.50		7.50DR	
100CT17	B 2 DEC19 CQG EL	54.43		5.00DR	
100CT17	S 2 DEC19 CQG EL		54.41	5.00DR	
100CT17	S 1 DEC19 CQG EL		54.26	2.50DR	
100CT17	S 1 DEC19 CQG EL		54.28	2.50DR	
100CT17	S 3 DEC19 CQG EL		54.27	7.50DR	
100CT17	S 1 DEC19 CQG EL		54.24	2.50DR	
	Commission				475.00DR

Group No: SAPG0119

Page 1 of 3

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
10OCT17	3 JUN18	51.68	51.20		1,440.00DR
10OCT17	1 JUN18	51.70	51.48		220.00DR
10OCT17	2 JUN18	51.70	51.48		440.00DR
10OCT17	1 JUN18	51.70	51.47		230.00DR
10OCT17	1 JUN18	51.78	51.47		310.00DR
10OCT17	2 JUN18	51.72	51.47		500.00DR
10OCT17	2 DEC18	50.86	51.33		940.00CR
10OCT17	1 DEC18	50.87	51.33		460.00CR
10OCT17	2 DEC18	50.87	51.33		920.00CR
10OCT17	1 DEC18	51.12	51.34		220.00CR
10OCT17	2 DEC18	51.12	51.34		440.00CR
10OCT17	1 DEC18	51.10	51.34		240.00CR
10OCT17	1 DEC18	51.10	51.34		240.00CR
10OCT17	1 DEC18	51.11	51.34		230.00CR
10OCT17	1 DEC18	51.11	51.34		230.00CR
10OCT17	1 DEC18	51.11	51.34		230.00CR
10OCT17	1 DEC18	51.11	51.35		240.00CR
10OCT17	1 DEC18	51.11	51.35		240.00CR
10OCT17	1 DEC18	51.11	51.33		220.00CR
10OCT17	2 DEC18	51.11	51.33		440.00CR
10OCT17	1 JUN19	50.89	50.68		210.00DR
10OCT17	1 JUN19	50.89	50.68		210.00DR
10OCT17	1 JUN19	50.89	50.68		210.00DR
10OCT17	1 JUN19	50.89	50.68		210.00DR
10OCT17	2 DEC19	50.58	50.19		780.00DR
10OCT17	1 DEC19	50.48	50.41		70.00DR
10OCT17	1 DEC19	50.48	50.41		70.00DR
	IEU Brent Crude 1,000 IPE				
10OCT17	1 JUN18	55.65	55.47		180.00DR
10OCT17	2 JUN18	55.59	55.47		240.00DR
10OCT17	1 JUN18	55.65	55.72		70.00CR
10OCT17	1 JUN18	55.63	55.46		170.00DR
10OCT17	1 JUN18	55.55	56.92		1,370.00CR
10OCT17	1 JUN18	55.55	56.91		1,360.00CR
10OCT17	1 JUN18	55.55	56.44		890.00CR
10OCT17	1 JUN18	55.56	56.29		730.00CR
10OCT17	1 JUN18	55.57	55.99		420.00CR
10OCT17	1 JUN18	55.56	55.82		260.00CR
10OCT17	1 DEC18	54.82	54.99		170.00CR
10OCT17	1 DEC18	54.82	54.99		170.00CR
10OCT17	1 DEC18	54.82	54.99		170.00CR
10OCT17	1 DEC18	54.82	54.97		150.00CR
10OCT17	1 DEC18	54.82	54.97		150.00CR
10OCT17	2 DEC18	55.03	54.96		140.00DR
10OCT17	1 DEC18	54.82	55.03		210.00CR
10OCT17	1 DEC18	54.83	54.98		150.00CR
10OCT17	1 DEC18	55.62	54.98		640.00DR
10OCT17	1 DEC18	55.62	54.91		710.00DR
10OCT17	2 DEC18	55.63	54.91		1,440.00DR
10OCT17	1 DEC18	55.64	54.91		730.00DR
10OCT17	1 DEC18	55.32	54.91		410.00DR
10OCT17	1 DEC18	55.32	54.91		410.00DR
10OCT17	1 DEC18	55.13	54.91		220.00DR
10OCT17	1 DEC18	55.13	54.89		240.00DR
10OCT17	1 DEC18	55.13	54.89		240.00DR
10OCT17	1 DEC18	55.13	54.89		240.00DR
10OCT17	1 DEC18	54.66	54.90		240.00CR

Group No: SAPG0119

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC18	54.66	54.89		230.00CR
10OCT17	1 DEC18	54.68	54.89		210.00CR
10OCT17	1 DEC18	54.66	54.90		240.00CR
10OCT17	1 DEC18	54.68	54.90		220.00CR
10OCT17	1 DEC18	54.66	54.90		240.00CR
10OCT17	1 JUN19	54.62	55.25		630.00CR
10OCT17	1 JUN19	54.62	53.93		690.00DR
10OCT17	1 JUN19	54.62	53.94		680.00DR
10OCT17	1 JUN19	54.60	53.92		680.00DR
10OCT17	3 JUN19	54.54	54.24		900.00DR
10OCT17	1 JUN19	54.54	54.24		300.00DR
10OCT17	1 JUN19	54.49	54.24		250.00DR
10OCT17	1 JUN19	54.49	54.21		280.00DR
10OCT17	1 JUN19	54.49	54.21		280.00DR
10OCT17	1 JUN19	54.50	54.21		290.00DR
10OCT17	1 DEC19	54.43	54.27		160.00DR
10OCT17	1 DEC19	54.43	54.27		160.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				8,325.00CR
	SETTLEMENTS				
	IEU Brent Crude Futures				2,400.00DR
	NYM Light Sweet Crude Oil Futures				410.00CR
	COMMISSIONS				475.00DR
	CARRIED FORWARD BALANCE				5,860.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
100CT17	S 1 DEC17 CQG II		117.0500	2.50DR	
100CT17	S 1 DEC17 CQG II		117.1500	2.50DR	
100CT17	S 1 DEC17 CQG II		117.3500	2.50DR	
100CT17	S 1 DEC17 CQG II		117.5000	2.50DR	
100CT17	S 1 DEC17 CQG II		118.6750	2.50DR	
100CT17	B 2 FEB18 CQG II	121.0750		5.00DR	
100CT17	B 1 FEB18 CQG II	121.2250		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4000		2.50DR	
100CT17	B 1 FEB18 CQG II	121.4750		2.50DR	
100CT17	B 1 FEB18 CQG II	121.6250		2.50DR	
100CT17	S 1 FEB18 CQG II		122.5750	2.50DR	
100CT17	B 1 FEB18 CQG II	122.5250		2.50DR	
100CT17	S 1 FEB18 CQG II		122.3750	2.50DR	
100CT17	B 1 FEB18 CQG II	122.2250		2.50DR	
100CT17	B 1 FEB18 CQG II	122.1750		2.50DR	
100CT17	S 1 APR18 CQG II		121.5250	2.50DR	
100CT17	S 1 APR18 CQG II		121.9000	2.50DR	
100CT17	S 1 APR18 CQG II		122.0000	2.50DR	
100CT17	B 1 APR18 CQG II	122.1000		2.50DR	
100CT17	S 1 APR18 CQG II		121.8250	2.50DR	
100CT17	S 2 APR18 CQG II		122.7500	5.00DR	
100CT17	S 1 APR18 CQG II		122.7750	2.50DR	
100CT17	B 1 APR18 CQG II	122.7250		2.50DR	
100CT17	S 1 APR18 CQG II		122.7250	2.50DR	
100CT17	B 1 APR18 CQG II	122.5000		2.50DR	
100CT17	B 2 APR18 CQG II	122.3750		5.00DR	
100CT17	S 1 APR18 CQG II		122.3250	2.50DR	
100CT17	B 2 APR18 CQG II	122.4250		5.00DR	
100CT17	S 1 APR18 CQG II		122.3750	2.50DR	
100CT17	B 1 JUN18 CQG II	115.6000		2.50DR	
100CT17	S 1 JUN18 CQG II		115.7000	2.50DR	
100CT17	B 1 JUN18 CQG II	115.5000		2.50DR	
100CT17	B 2 JUN18 CQG II	116.2500		5.00DR	
100CT17	B 1 JUN18 CQG II	116.2750		2.50DR	
100CT17	B 1 JUN18 CQG II	115.9750		2.50DR	
100CT17	B 1 JUN18 CQG II	115.7750		2.50DR	
100CT17	S 2 JUN18 CQG II		115.9500	5.00DR	
100CT17	S 1 JUN18 CQG II		116.0250	2.50DR	
100CT17	S 1 JUN18 CQG II		116.0500	2.50DR	
100CT17	S 1 JUN18 CQG II		116.1250	2.50DR	
100CT17	S 1 JUN18 CQG II		116.1000	2.50DR	
100CT17	B 2 JUN18 CQG II	115.9500		5.00DR	
100CT17	S 1 AUG18 CQG II		113.3750	2.50DR	
100CT17	B 2 AUG18 CQG II	113.5000		5.00DR	
100CT17	S 1 AUG18 CQG II		113.4750	2.50DR	
100CT17	S 1 AUG18 CQG II		113.3500	2.50DR	
100CT17	B 1 AUG18 CQG II	113.1750		2.50DR	
100CT17	B 2 AUG18 CQG II	113.3500		5.00DR	
100CT17	S 2 AUG18 CQG II		113.2500	5.00DR	
100CT17	B 2 OCT18 CQG II	113.6250		5.00DR	
100CT17	S 2 DEC18 CQG II		114.5750	5.00DR	
100CT17	S 2 DEC18 CQG II		114.5000	5.00DR	
100CT17	B 1 DEC18 CQG II	114.5000		2.50DR	
100CT17	S 2 DEC18 CQG II		114.4500	5.00DR	
100CT17	B 2 FEB19 CQG II	114.7500		5.00DR	
	CME Feeder Cattle 500 CME				
100CT17	S 1 NOV17 CQG II		155.7250	2.50DR	
100CT17	S 1 NOV17 CQG II		155.5750	2.50DR	
100CT17	B 1 NOV17 CQG II	156.6500		2.50DR	
100CT17	B 1 NOV17 CQG II	156.3750		2.50DR	
100CT17	S 1 NOV17 CQG II		156.4750	2.50DR	
100CT17	B 2 JAN18 CQG II	153.9000		5.00DR	
100CT17	B 1 JAN18 CQG II	153.8500		2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

10th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S 1 JAN18 CQG II		154.8750	2.50DR	
10OCT17	S 1 JAN18 CQG II		154.8250	2.50DR	
10OCT17	S 1 JAN18 CQG II		154.5000	2.50DR	
10OCT17	B 1 JAN18 CQG II	154.6000		2.50DR	
10OCT17	S 1 MAR18 CQG II		152.0250	2.50DR	
10OCT17	B 1 MAR18 CQG II	152.8750		2.50DR	
10OCT17	B 1 APR18 CQG II	151.8000		2.50DR	
10OCT17	S 2 MAY18 CQG II		150.5000	5.00DR	
10OCT17	B 1 MAY18 CQG II	150.5000		2.50DR	
10OCT17	B 1 AUG18 CQG II	151.2000		2.50DR	
10OCT17	S 1 AUG18 CQG II		151.3000	2.50DR	
	CME Lean Hog 400 CME				
10OCT17	S 2 DEC17 CQG II		61.8500	5.00DR	
10OCT17	S 1 DEC17 CQG II		61.9000	2.50DR	
10OCT17	S 1 DEC17 CQG II		62.1750	2.50DR	
10OCT17	S 1 DEC17 CQG II		62.0250	2.50DR	
10OCT17	B 2 DEC17 CQG II	61.9750		5.00DR	
10OCT17	B 1 DEC17 CQG II	61.8500		2.50DR	
10OCT17	B 1 DEC17 CQG II	61.9500		2.50DR	
10OCT17	B 1 DEC17 CQG II	61.6250		2.50DR	
10OCT17	B 1 FEB18 CQG II	67.4250		2.50DR	
10OCT17	B 1 FEB18 CQG II	67.7000		2.50DR	
10OCT17	S 1 FEB18 CQG II		67.6000	2.50DR	
10OCT17	B 2 FEB18 CQG II	67.6250		5.00DR	
10OCT17	S 1 FEB18 CQG II		67.6500	2.50DR	
10OCT17	S 1 FEB18 CQG II		67.7000	2.50DR	
10OCT17	S 1 FEB18 CQG II		67.6750	2.50DR	
10OCT17	S 2 FEB18 CQG II		67.7250	5.00DR	
10OCT17	S 1 FEB18 CQG II		67.7500	2.50DR	
10OCT17	B 4 FEB18 CQG II	67.4750		10.00DR	
10OCT17	S 1 FEB18 CQG II		67.4750	2.50DR	
10OCT17	B 1 APR18 CQG II	71.9250		2.50DR	
10OCT17	S 1 APR18 CQG II		71.9750	2.50DR	
10OCT17	B 1 APR18 CQG II	71.9750		2.50DR	
10OCT17	B 1 APR18 CQG II	72.1000		2.50DR	
10OCT17	S 2 APR18 CQG II		71.7500	5.00DR	
10OCT17	S 1 OCT18 CQG II		67.4250	2.50DR	
10OCT17	B 1 DEC18 CQG II	61.8750		2.50DR	
	NYM Heating Oil 42,000 NYM				
10OCT17	S 1 MAR18 CQG II		174.62	2.50DR	
10OCT17	B 1 MAR18 CQG II	175.49		2.50DR	
10OCT17	B 1 APR18 CQG II	173.01		2.50DR	
10OCT17	S 1 APR18 CQG II		173.92	2.50DR	
	NYM RBOB Reformulated Gasoline Futures				
10OCT17	S 1 DEC17 CQG II		157.5300	2.50DR	
10OCT17	B 1 MAR18 CQG II	159.2900		2.50DR	
10OCT17	S 1 MAR18 CQG II		159.2400	2.50DR	
10OCT17	B 1 APR18 CQG II	175.7100		2.50DR	
	White Sugar 50 LDN				
10OCT17	S 1 MAR18 CQG EL		372.50	2.50DR	
10OCT17	S 1 MAR18 CQG EL		372.40	2.50DR	
10OCT17	S 1 MAR18 CQG EL		371.40	2.50DR	
10OCT17	B 1 MAR18 CQG EL	373.90		2.50DR	
10OCT17	S 1 MAR18 CQG EL		374.40	2.50DR	
10OCT17	B 1 MAY18 CQG EL	377.80		2.50DR	
10OCT17	B 1 MAY18 CQG EL	377.70		2.50DR	
10OCT17	B 1 MAY18 CQG EL	376.70		2.50DR	
10OCT17	S 1 MAY18 CQG EL		379.70	2.50DR	
10OCT17	B 1 MAY18 CQG EL	379.60		2.50DR	
	Commission				355.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

10th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
10OCT17	1 DEC17	114.8750	117.0500		870.00CR
10OCT17	1 DEC17	113.4500	117.1500		1,480.00CR
10OCT17	1 DEC17	115.1750	117.3500		870.00CR
10OCT17	1 DEC17	117.4000	117.5000		40.00CR
10OCT17	1 FEB18	121.0750	122.5750		600.00CR
10OCT17	1 FEB18	121.0750	122.3750		520.00CR
10OCT17	1 FEB18	121.2250	118.1000		1,250.00DR
10OCT17	1 FEB18	121.4000	118.5000		1,160.00DR
10OCT17	1 FEB18	121.4750	118.2750		1,280.00DR
10OCT17	1 FEB18	121.6250	117.3250		1,720.00DR
10OCT17	1 FEB18	122.5250	119.6250		1,160.00DR
10OCT17	1 FEB18	122.2250	119.2250		1,200.00DR
10OCT17	1 FEB18	122.1750	120.7250		580.00DR
10OCT17	1 APR18	122.1000	121.5250		230.00DR
10OCT17	1 APR18	122.7250	121.9000		330.00DR
10OCT17	1 APR18	122.5000	122.0000		200.00DR
10OCT17	1 APR18	122.3750	121.8250		220.00DR
10OCT17	1 APR18	122.3750	122.7500		150.00CR
10OCT17	1 APR18	122.4250	122.7500		130.00CR
10OCT17	1 APR18	122.4250	122.7750		140.00CR
10OCT17	1 APR18	118.8250	122.7250		1,560.00CR
10OCT17	1 APR18	118.9750	122.3250		1,340.00CR
10OCT17	1 APR18	120.8250	122.3750		620.00CR
10OCT17	1 JUN18	115.6000	115.7000		40.00CR
10OCT17	1 JUN18	115.5000	115.9500		180.00CR
10OCT17	1 JUN18	116.2500	115.9500		120.00DR
10OCT17	1 JUN18	116.2500	116.0250		90.00DR
10OCT17	1 JUN18	116.2750	116.0500		90.00DR
10OCT17	1 JUN18	115.9750	116.1250		60.00CR
10OCT17	1 JUN18	115.7750	116.1000		130.00CR
10OCT17	1 JUN18	115.9500	113.7250		890.00DR
10OCT17	1 JUN18	115.9500	114.0250		770.00DR
10OCT17	1 AUG18	113.5000	113.3750		50.00DR
10OCT17	1 AUG18	113.5000	113.2500		100.00DR
10OCT17	1 AUG18	113.1750	113.4750		120.00CR
10OCT17	1 AUG18	113.3500	113.3500		0.00
10OCT17	1 AUG18	113.3500	113.2500		40.00DR
10OCT17	1 DEC18	114.5000	114.5750		30.00CR
10OCT17	1 DEC18	112.0000	114.5750		1,030.00CR
10OCT17	1 DEC18	113.4750	114.5000		410.00CR
10OCT17	1 DEC18	113.4750	114.5000		410.00CR
	CME Feeder Cattle 500 CME				
10OCT17	1 NOV17	156.6500	155.7250		462.50DR
10OCT17	1 NOV17	156.3750	155.5750		400.00DR
10OCT17	1 NOV17	154.6500	156.4750		912.50CR
10OCT17	1 JAN18	153.9000	154.8750		487.50CR
10OCT17	1 JAN18	153.9000	154.8250		462.50CR
10OCT17	1 JAN18	153.8500	154.5000		325.00CR
10OCT17	1 JAN18	154.6000	152.1250		1,237.50DR
10OCT17	1 MAR18	152.8750	152.0250		425.00DR
10OCT17	1 APR18	151.8000	148.5750		1,612.50DR
10OCT17	1 MAY18	150.5000	150.5000		0.00
10OCT17	1 MAY18	147.8750	150.5000		1,312.50CR
10OCT17	1 AUG18	151.2000	151.3000		50.00CR
	CME Lean Hog 400 CME				
10OCT17	1 DEC17	61.9750	61.8500		50.00DR
10OCT17	1 DEC17	61.9750	61.8500		50.00DR
10OCT17	1 DEC17	61.8500	61.9000		20.00CR
10OCT17	1 DEC17	61.9500	62.1750		90.00CR
10OCT17	1 DEC17	61.6250	62.0250		160.00CR
10OCT17	1 FEB18	67.4750	67.6000		50.00CR
10OCT17	1 FEB18	67.4750	67.6500		70.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119M

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 FEB18	67.4250	67.7000		110.00CR
10OCT17	1 FEB18	67.7000	67.7250		10.00CR
10OCT17	1 FEB18	67.6250	67.6750		20.00CR
10OCT17	1 FEB18	67.6250	67.7250		40.00CR
10OCT17	1 FEB18	67.4750	67.7500		110.00CR
10OCT17	1 FEB18	67.4750	67.4750		0.00
10OCT17	1 APR18	71.9250	71.9750		20.00CR
10OCT17	1 APR18	71.9750	71.7500		90.00DR
10OCT17	1 APR18	72.1000	71.7500		140.00DR
	NYM Heating Oil 42,000 NYM				
10OCT17	1 MAR18	175.49	174.62		365.40DR
10OCT17	1 APR18	173.01	173.92		382.20CR
	NYM RBOB Reformulated Gasoline Futures				
10OCT17	1 DEC17	159.4400	157.5300		802.20DR
10OCT17	1 MAR18	159.2900	159.2400		21.00DR
10OCT17	1 APR18	175.7100	172.9300		1,167.60DR
	White Sugar 50 LDN				
10OCT17	1 MAR18	373.90	372.50		70.00DR
10OCT17	1 MAY18	377.80	379.70		95.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,773.70CR
	SETTLEMENTS				
	White Sugar Futures				25.00CR
	CME Feeder Cattle Futures				587.50DR
	CME Cattle Futures				750.00DR
	NYM Heating Oil Futures				16.80CR
	NYM RBOB Reformulated Gasoline Futures				1,990.80DR
	CME Lean Hog Futures				370.00CR
	COMMISSIONS				355.00DR
	CARRIED FORWARD BALANCE				1,497.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

10th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
10OCT17	S 2 DEC17 CQG II		117.2000	5.00DR	
10OCT17	S 1 DEC17 CQG II		117.4000	2.50DR	
10OCT17	B 1 DEC17 CQG II	117.5750		2.50DR	
10OCT17	S 1 DEC17 CQG II		117.6000	2.50DR	
10OCT17	B 2 FEB18 CQG II	121.3000		5.00DR	
10OCT17	B 1 FEB18 CQG II	121.4000		2.50DR	
10OCT17	B 1 FEB18 CQG II	121.4750		2.50DR	
10OCT17	S 1 FEB18 CQG II		121.6750	2.50DR	
10OCT17	B 1 FEB18 CQG II	121.5000		2.50DR	
10OCT17	B 1 FEB18 CQG II	122.2750		2.50DR	
10OCT17	B 1 FEB18 CQG II	122.3000		2.50DR	
10OCT17	S 1 FEB18 CQG II		122.2000	2.50DR	
10OCT17	S 1 FEB18 CQG II		122.3500	2.50DR	
10OCT17	S 1 APR18 CQG II		121.9250	2.50DR	
10OCT17	S 1 APR18 CQG II		122.7250	2.50DR	
10OCT17	S 1 APR18 CQG II		122.7750	2.50DR	
10OCT17	S 1 APR18 CQG II		122.8250	2.50DR	
10OCT17	B 1 APR18 CQG II	122.4250		2.50DR	
10OCT17	B 2 APR18 CQG II	122.4000		5.00DR	
10OCT17	B 2 JUN18 CQG II	116.2500		5.00DR	
10OCT17	S 1 JUN18 CQG II		116.2500	2.50DR	
10OCT17	B 1 JUN18 CQG II	115.8750		2.50DR	
10OCT17	S 1 JUN18 CQG II		115.9750	2.50DR	
10OCT17	S 1 JUN18 CQG II		116.1500	2.50DR	
10OCT17	S 1 AUG18 CQG II		113.4500	2.50DR	
10OCT17	B 1 AUG18 CQG II	113.4500		2.50DR	
10OCT17	S 1 AUG18 CQG II		113.2750	2.50DR	
10OCT17	B 1 AUG18 CQG II	113.3500		2.50DR	
	ICE Cotton No.2 500 FIN				
10OCT17	S 1 DEC17 CQG EL		69.15000	2.50DR	
10OCT17	S 1 DEC17 CQG EL		69.36000	2.50DR	
10OCT17	S 1 DEC17 CQG EL		69.77000	2.50DR	
10OCT17	B 1 DEC17 CQG EL	69.50000		2.50DR	
10OCT17	B 1 DEC17 CQG EL	69.13000		2.50DR	
10OCT17	B 1 MAR18 CQG EL	68.54000		2.50DR	
10OCT17	B 1 MAR18 CQG EL	68.73000		2.50DR	
10OCT17	B 1 MAR18 CQG EL	69.07000		2.50DR	
10OCT17	S 1 MAR18 CQG EL		69.00000	2.50DR	
10OCT17	S 1 MAR18 CQG EL		68.92000	2.50DR	
10OCT17	S 1 MAR18 CQG EL		68.57000	2.50DR	
10OCT17	B 1 MAY18 CQG EL	69.56000		2.50DR	
	CME Feeder Cattle 500 CME				
10OCT17	B 1 MAR18 CQG II	152.0500		2.50DR	
10OCT17	B 1 MAR18 CQG II	152.0000		2.50DR	
10OCT17	S 1 MAR18 CQG II		152.6750	2.50DR	
10OCT17	S 1 APR18 CQG II		151.5250	2.50DR	
10OCT17	S 1 APR18 CQG II		151.4750	2.50DR	
10OCT17	B 1 APR18 CQG II	152.0750		2.50DR	
	CME Lean Hog 400 CME				
10OCT17	S 1 DEC17 CQG II		62.2250	2.50DR	
10OCT17	B 1 DEC17 CQG II	61.9000		2.50DR	
10OCT17	B 1 FEB18 CQG II	67.7500		2.50DR	
10OCT17	S 2 FEB18 CQG II		67.7250	5.00DR	
10OCT17	S 1 APR18 CQG II		72.0000	2.50DR	
10OCT17	B 1 APR18 CQG II	72.1000		2.50DR	
10OCT17	B 1 APR18 CQG II	71.6750		2.50DR	
10OCT17	B 1 JUN18 CQG II	80.2500		2.50DR	
10OCT17	S 1 JUN18 CQG II		80.1250	2.50DR	
10OCT17	B 1 JUL18 CQG II	80.5000		2.50DR	
10OCT17	S 1 AUG18 CQG II		79.8000	2.50DR	
	CME Random Length Lumber 110 CME				
10OCT17	S 1 NOV17 CQG II		416.20	2.50DR	

Group No: SAPG0119

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	B 1 NOV17 CQG II	416.20		2.50DR	
10OCT17	B 1 JAN18 CQG II	402.40		2.50DR	
10OCT17	S 1 JAN18 CQG II		402.80	2.50DR	
	ICE Frozen Concentrated OJ 150 FIN				
10OCT17	S 1 NOV17 CQG EL		159.65	2.50DR	
10OCT17	S 1 NOV17 CQG EL		160.05	2.50DR	
10OCT17	B 1 JAN18 CQG EL	158.70		2.50DR	
10OCT17	S 1 JAN18 CQG EL		158.85	2.50DR	
10OCT17	B 1 JAN18 CQG EL	159.05		2.50DR	
10OCT17	B 1 MAR18 CQG EL	157.50		2.50DR	
	Commission				180.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
10OCT17	1 DEC17	117.5750	117.2000		150.00DR
10OCT17	1 DEC17	115.6000	117.2000		640.00CR
10OCT17	1 DEC17	116.7000	117.4000		280.00CR
10OCT17	1 DEC17	116.8500	117.6000		300.00CR
10OCT17	1 FEB18	121.3000	121.6750		150.00CR
10OCT17	1 FEB18	121.3000	122.2000		360.00CR
10OCT17	1 FEB18	121.4000	122.3500		380.00CR
10OCT17	1 FEB18	121.4750	119.5000		790.00DR
10OCT17	1 FEB18	121.5000	119.5000		800.00DR
10OCT17	1 FEB18	122.2750	120.4750		720.00DR
10OCT17	1 FEB18	122.3000	120.5250		710.00DR
10OCT17	1 APR18	122.4250	121.9250		200.00DR
10OCT17	1 APR18	122.4000	122.7250		130.00CR
10OCT17	1 APR18	122.4000	122.7750		150.00CR
10OCT17	1 APR18	120.3500	122.8250		990.00CR
10OCT17	1 JUN18	116.2500	116.2500		0.00
10OCT17	1 JUN18	116.2500	115.9750		110.00DR
10OCT17	1 JUN18	115.8750	116.1500		110.00CR
10OCT17	1 AUG18	113.4500	113.4500		0.00
10OCT17	1 AUG18	113.3500	113.2750		30.00DR
	ICE Cotton No.2 500 FIN				
10OCT17	1 DEC17	69.50000	69.15000		175.00DR
10OCT17	1 DEC17	69.13000	69.36000		115.00CR
10OCT17	1 DEC17	68.28000	69.77000		745.00CR
10OCT17	1 MAR18	68.54000	69.00000		230.00CR
10OCT17	1 MAR18	68.73000	68.92000		95.00CR
10OCT17	1 MAR18	69.07000	68.57000		250.00DR
10OCT17	1 MAY18	69.56000	68.68000		440.00DR
	CME Feeder Cattle 500 CME				
10OCT17	1 MAR18	152.0500	152.6750		312.50CR
10OCT17	1 APR18	152.0750	151.5250		275.00DR
	CME Lean Hog 400 CME				
10OCT17	1 DEC17	61.9000	62.2250		130.00CR
10OCT17	1 FEB18	67.7500	67.7250		10.00DR
10OCT17	1 APR18	72.1000	72.0000		40.00DR
10OCT17	1 JUN18	80.2500	80.1250		50.00DR
10OCT17	1 JUL18	80.5000	80.4250		30.00DR
10OCT17	1 AUG18	79.1750	79.8000		250.00CR
	CME Random Length Lumber 110 CME				
10OCT17	1 NOV17	416.20	416.20		0.00
10OCT17	1 JAN18	402.40	402.80		44.00CR
	ICE Frozen Concentrated OJ 150 FIN				
10OCT17	1 NOV17	155.80	159.65		577.50CR
10OCT17	1 JAN18	158.70	158.85		22.50CR
10OCT17	1 JAN18	159.05	155.75		495.00DR

The Sapien logo, featuring the word "sapien" in a bold, dark blue, lowercase sans-serif font. A yellow triangle is positioned above the letter 'i', pointing towards the top right.

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,252.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				37.50CR
	CME Cattle Futures				20.00DR
	ICE Cotton No.2 Futures				320.00CR
	ICE Frozen Concentrated OJ Futures				105.00CR
	CME Random Length Lumber Futures				44.00CR
	CME Lean Hog Futures				250.00CR
	COMMISSIONS				180.00DR
	CARRIED FORWARD BALANCE				5,696.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119Q 10th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	CME Cattle 400 CME								
100CT17	B	1	DEC17	CQG	II	117.2500		2.50DR	
100CT17	S	1	DEC17	CQG	II		117.7250	2.50DR	
100CT17	B	1	DEC17	CQG	II	118.4250		2.50DR	
100CT17	S	2	FEB18	CQG	II		121.3750	5.00DR	
100CT17	B	2	FEB18	CQG	II	121.7500		5.00DR	
100CT17	S	2	FEB18	CQG	II		122.2500	5.00DR	
100CT17	B	1	APR18	CQG	II	121.8500		2.50DR	
100CT17	S	1	APR18	CQG	II		122.0500	2.50DR	
100CT17	B	1	APR18	CQG	II	122.5250		2.50DR	
	CME Lean Hog 400 CME								
100CT17	B	1	DEC17	CQG	II	61.9500		2.50DR	
100CT17	S	1	FEB18	CQG	II		67.7750	2.50DR	
	Commission								35.00DR
	<u>SETTLEMENTS</u>								
	CME Cattle 400 CME								
100CT17		1	DEC17			117.2500	117.7250		190.00CR
100CT17		1	FEB18			121.7500	121.3750		150.00DR
100CT17		1	FEB18			121.7500	121.3750		150.00DR
100CT17		1	APR18			121.8500	122.0500		80.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								967.50CR
	SETTLEMENTS								
	CME Cattle Futures								30.00DR
	COMMISSIONS								35.00DR
	CARRIED FORWARD BALANCE								902.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119R

10th October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
100CT17	S 1 FEB18 CQG II		121.3250	2.50DR	
100CT17	S 1 FEB18 CQG II		121.6000	2.50DR	
100CT17	B 1 FEB18 CQG II	122.2750		2.50DR	
100CT17	B 1 FEB18 CQG II	122.2500		2.50DR	
100CT17	B 1 APR18 CQG II	121.6250		2.50DR	
100CT17	B 1 APR18 CQG II	121.8750		2.50DR	
100CT17	S 1 APR18 CQG II		122.7500	2.50DR	
100CT17	S 1 APR18 CQG II		122.4500	2.50DR	
	CME Feeder Cattle 500 CME				
100CT17	S 1 NOV17 CQG II		156.1750	2.50DR	
100CT17	B 1 JAN18 CQG II	154.3250		2.50DR	
	IEU Gas Oil 100 IPE				
100CT17	B 4 AUG18 CQG EL	507.250		10.00DR	
100CT17	S 4 AUG18 CQG EL		507.000	10.00DR	
100CT17	B 4 SEP18 CQG EL	507.000		10.00DR	
100CT17	S 4 SEP18 CQG EL		507.250	10.00DR	
	CME Lean Hog 400 CME				
100CT17	B 2 DEC17 CQG II	61.8500		5.00DR	
100CT17	S 3 FEB18 CQG II		67.7000	7.50DR	
100CT17	B 1 APR18 CQG II	72.1000		2.50DR	
	IEU Brent Crude 1,000 IPE				
100CT17	S 2 JUN18 CQG EL		55.41	5.00DR	
100CT17	S 1 JUN18 CQG EL		55.51	2.50DR	
100CT17	S 1 JUN18 CQG EL		55.50	2.50DR	
100CT17	S 1 JUN18 CQG EL		55.71	2.50DR	
100CT17	B 1 JUL18 CQG EL	55.47		2.50DR	
100CT17	S 1 JUL18 CQG EL		55.43	2.50DR	
100CT17	B 1 SEP18 CQG EL	55.08		2.50DR	
100CT17	B 2 SEP18 CQG EL	55.07		5.00DR	
100CT17	B 2 SEP18 CQG EL	55.16		5.00DR	
100CT17	B 1 SEP18 CQG EL	55.17		2.50DR	
100CT17	B 1 SEP18 CQG EL	55.15		2.50DR	
100CT17	B 1 SEP18 CQG EL	55.06		2.50DR	
100CT17	S 1 SEP18 CQG EL		55.23	2.50DR	
100CT17	B 1 SEP18 CQG EL	55.35		2.50DR	
100CT17	B 1 SEP18 CQG EL	55.45		2.50DR	
100CT17	B 1 SEP18 CQG EL	55.38		2.50DR	
100CT17	S 1 SEP18 CQG EL		55.38	2.50DR	
100CT17	B 1 SEP18 CQG EL	55.19		2.50DR	
100CT17	S 1 DEC18 CQG EL		54.82	2.50DR	
100CT17	S 2 DEC18 CQG EL		54.89	5.00DR	
100CT17	S 1 DEC18 CQG EL		54.80	2.50DR	
100CT17	S 1 DEC18 CQG EL		55.16	2.50DR	
100CT17	S 1 DEC18 CQG EL		55.09	2.50DR	
100CT17	B 1 DEC18 CQG EL	55.09		2.50DR	
	ICE Sugar No.11 1,120 FIN				
100CT17	S 1 MAR18 CQG EL		14.26	2.50DR	
100CT17	B 1 MAR18 CQG EL	14.15		2.50DR	
	Commission				155.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
100CT17	1 FEB18	122.2750	121.3250		380.00DR
100CT17	1 FEB18	122.2500	121.6000		260.00DR
100CT17	1 APR18	121.6250	122.7500		450.00CR
100CT17	1 APR18	121.8750	122.4500		230.00CR
	CME Feeder Cattle 500 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

10th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 NOV17	154.4500	156.1750		862.50CR
10OCT17	1 JAN18	154.3250	153.6750		325.00DR
	IEU Gas Oil 100 IPE				
10OCT17	3 AUG18	507.250	507.000		75.00DR
10OCT17	1 AUG18	507.250	507.000		25.00DR
10OCT17	1 SEP18	507.000	507.250		25.00CR
10OCT17	2 SEP18	507.000	507.250		50.00CR
10OCT17	1 SEP18	507.000	507.250		25.00CR
	CME Lean Hog 400 CME				
10OCT17	1 APR18	72.1000	71.2500		340.00DR
	IEU Brent Crude 1,000 IPE				
10OCT17	1 JUL18	55.47	55.43		40.00DR
10OCT17	1 SEP18	55.07	55.23		160.00CR
10OCT17	1 SEP18	55.08	55.38		300.00CR
10OCT17	1 DEC18	55.09	54.82		270.00DR
	ICE Sugar No.11 1,120 FIN				
10OCT17	1 MAR18	14.15	14.26		123.20CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,963.60DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				537.50CR
	CME Cattle Futures				40.00CR
	IEU Brent Crude Futures				150.00CR
	IEU Gas Oil Futures				
	CME Lean Hog Futures				340.00DR
	ICE Sugar No.11 Futures				123.20CR
	COMMISSIONS				155.00DR
	CARRIED FORWARD BALANCE				6,607.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

10th October 2017

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Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 3 JUN18 CQG II		50.92	7.50DR	
100CT17	B 1 JUN18 CQG II	51.09		2.50DR	
100CT17	B 2 JUN18 CQG II	51.12		5.00DR	
100CT17	S 2 JUN18 CQG II		51.42	5.00DR	
100CT17	S 2 JUN18 CQG II		51.47	5.00DR	
100CT17	B 3 JUN18 CQG II	51.68		7.50DR	
100CT17	B 1 JUN18 CQG II	51.82		2.50DR	
100CT17	B 1 JUN18 CQG II	51.73		2.50DR	
100CT17	B 6 JUN18 CQG II	51.72		15.00DR	
100CT17	B 1 JUN18 CQG II	51.62		2.50DR	
100CT17	B 1 JUN18 CQG II	51.61		2.50DR	
100CT17	B 4 JUN18 CQG II	51.66		10.00DR	
100CT17	B 3 DEC18 CQG II	50.68		7.50DR	
100CT17	B 2 DEC18 CQG II	50.67		5.00DR	
100CT17	S 1 DEC18 CQG II		50.82	2.50DR	
100CT17	S 2 DEC18 CQG II		50.84	5.00DR	
100CT17	S 2 DEC18 CQG II		50.85	5.00DR	
100CT17	B 4 DEC18 CQG II	51.07		10.00DR	
100CT17	B 5 DEC18 CQG II	51.11		12.50DR	
100CT17	S 2 DEC18 CQG II		51.42	5.00DR	
100CT17	S 6 DEC18 CQG II		51.32	15.00DR	
100CT17	S 13 DEC18 CQG II		51.33	32.50DR	
100CT17	S 2 DEC18 CQG II		51.24	5.00DR	
100CT17	S 1 DEC18 CQG II		51.23	2.50DR	
100CT17	S 2 DEC18 CQG II		51.20	5.00DR	
100CT17	S 7 DEC18 CQG II		51.26	17.50DR	
100CT17	S 2 JUN19 CQG II		50.65	5.00DR	
100CT17	S 3 JUN19 CQG II		50.68	7.50DR	
100CT17	B 3 JUN19 CQG II	50.82		7.50DR	
100CT17	B 2 JUN19 CQG II	50.83		5.00DR	
100CT17	B 3 JUN19 CQG II	50.74		7.50DR	
100CT17	S 2 DEC19 CQG II		50.11	5.00DR	
100CT17	B 2 DEC19 CQG II	50.23		5.00DR	
100CT17	B 2 DEC19 CQG II	50.58		5.00DR	
100CT17	B 1 DEC19 CQG II	50.60		2.50DR	
100CT17	B 2 DEC19 CQG II	50.48		5.00DR	
100CT17	S 1 DEC19 CQG II		50.38	2.50DR	
100CT17	B 1 DEC19 CQG II	50.31		2.50DR	
100CT17	B 3 DEC19 CQG II	50.36		7.50DR	
	IEU Brent Crude 1,000 IPE				
100CT17	B 1 JUN18 CQG EL	55.05		2.50DR	
100CT17	S 3 JUN18 CQG EL		55.10	7.50DR	
100CT17	B 3 JUN18 CQG EL	55.25		7.50DR	
100CT17	S 3 JUN18 CQG EL		55.50	7.50DR	
100CT17	B 1 JUN18 CQG EL	55.36		2.50DR	
100CT17	B 1 JUN18 CQG EL	55.35		2.50DR	
100CT17	B 3 JUN18 CQG EL	55.37		7.50DR	
100CT17	B 2 JUN18 CQG EL	55.40		5.00DR	
100CT17	S 3 JUN18 CQG EL		55.47	7.50DR	
100CT17	S 1 JUN18 CQG EL		55.48	2.50DR	
100CT17	B 1 JUN18 CQG EL	55.63		2.50DR	
100CT17	B 1 JUN18 CQG EL	55.71		2.50DR	
100CT17	B 5 JUN18 CQG EL	55.68		12.50DR	
100CT17	S 1 JUN18 CQG EL		55.78	2.50DR	
100CT17	S 4 JUN18 CQG EL		55.46	10.00DR	
100CT17	S 1 JUN18 CQG EL		55.56	2.50DR	
100CT17	S 1 DEC18 CQG EL		54.49	2.50DR	
100CT17	S 2 DEC18 CQG EL		54.48	5.00DR	
100CT17	B 5 DEC18 CQG EL	54.51		12.50DR	
100CT17	S 2 DEC18 CQG EL		54.69	5.00DR	
100CT17	S 3 DEC18 CQG EL		54.68	7.50DR	
100CT17	B 5 DEC18 CQG EL	54.88		12.50DR	
100CT17	S 1 DEC18 CQG EL		54.76	2.50DR	

Group No: SAPG0119

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

10th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	S	4	DEC18	CQG	EL		54.78	10.00DR	
10OCT17	S	4	DEC18	CQG	EL		54.77	10.00DR	
10OCT17	S	4	DEC18	CQG	EL		54.80	10.00DR	
10OCT17	S	1	DEC18	CQG	EL		54.81	2.50DR	
10OCT17	B	3	DEC18	CQG	EL	54.81		7.50DR	
10OCT17	B	1	DEC18	CQG	EL	54.85		2.50DR	
10OCT17	S	2	DEC18	CQG	EL		54.97	5.00DR	
10OCT17	S	1	DEC18	CQG	EL		55.00	2.50DR	
10OCT17	S	6	DEC18	CQG	EL		55.02	15.00DR	
10OCT17	S	1	DEC18	CQG	EL		54.96	2.50DR	
10OCT17	S	1	DEC18	CQG	EL		55.09	2.50DR	
10OCT17	S	1	DEC18	CQG	EL		55.04	2.50DR	
10OCT17	S	2	DEC18	CQG	EL		55.06	5.00DR	
10OCT17	B	2	DEC18	CQG	EL	55.10		5.00DR	
10OCT17	B	9	DEC18	CQG	EL	54.82		22.50DR	
10OCT17	B	3	DEC18	CQG	EL	54.83		7.50DR	
10OCT17	B	1	DEC18	CQG	EL	54.92		2.50DR	
10OCT17	B	1	DEC18	CQG	EL	54.93		2.50DR	
10OCT17	B	3	JUN19	CQG	EL	54.19		7.50DR	
10OCT17	B	1	JUN19	CQG	EL	54.47		2.50DR	
10OCT17	B	2	JUN19	CQG	EL	54.46		5.00DR	
10OCT17	B	2	JUN19	CQG	EL	54.49		5.00DR	
10OCT17	S	5	JUN19	CQG	EL		54.48	12.50DR	
10OCT17	B	1	JUN19	CQG	EL	54.60		2.50DR	
10OCT17	B	1	JUN19	CQG	EL	54.63		2.50DR	
10OCT17	B	3	JUN19	CQG	EL	54.65		7.50DR	
10OCT17	S	1	DEC19	CQG	EL		54.03	2.50DR	
10OCT17	S	2	DEC19	CQG	EL		54.05	5.00DR	
10OCT17	B	2	DEC19	CQG	EL	54.21		5.00DR	
10OCT17	S	2	DEC19	CQG	EL		54.37	5.00DR	
10OCT17	B	2	DEC19	CQG	EL	54.27		5.00DR	
10OCT17	S	2	DEC19	CQG	EL		54.28	5.00DR	
10OCT17	B	1	DEC19	CQG	EL	54.43		2.50DR	
10OCT17	B	1	DEC19	CQG	EL	54.51		2.50DR	
10OCT17	S	1	DEC19	CQG	EL		54.50	2.50DR	
10OCT17	S	1	DEC19	CQG	EL		54.24	2.50DR	
10OCT17	S	1	DEC19	CQG	EL		54.35	2.50DR	
	Commission								600.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
10OCT17		1	JUN18			51.09	50.92		170.00DR
10OCT17		1	JUN18			51.12	50.92		200.00DR
10OCT17		1	JUN18			51.12	50.92		200.00DR
10OCT17		1	JUN18			51.68	51.42		260.00DR
10OCT17		1	JUN18			51.68	51.42		260.00DR
10OCT17		1	JUN18			51.68	51.47		210.00DR
10OCT17		1	JUN18			51.82	51.47		350.00DR
10OCT17		1	DEC18			50.68	50.84		160.00CR
10OCT17		1	DEC18			50.67	50.82		150.00CR
10OCT17		1	DEC18			50.68	50.84		160.00CR
10OCT17		1	DEC18			50.68	50.85		170.00CR
10OCT17		1	DEC18			50.67	50.85		180.00CR
10OCT17		1	DEC18			51.07	51.33		260.00CR
10OCT17		1	DEC18			51.07	51.33		260.00CR
10OCT17		1	DEC18			51.07	51.33		260.00CR
10OCT17		1	DEC18			51.07	51.33		260.00CR
10OCT17		1	DEC18			51.11	51.33		220.00CR
10OCT17		1	DEC18			51.11	51.42		310.00CR
10OCT17		1	DEC18			51.11	51.42		310.00CR
10OCT17		2	DEC18			51.11	51.32		420.00CR
10OCT17		2	JUN19			50.82	50.65		340.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

10th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 JUN19	50.82	50.68		140.00DR
10OCT17	2 JUN19	50.83	50.68		300.00DR
10OCT17	1 DEC19	50.23	50.11		120.00DR
10OCT17	1 DEC19	50.23	50.11		120.00DR
10OCT17	1 DEC19	50.58	50.38		200.00DR
	IEU Brent Crude 1,000 IPE				
10OCT17	1 JUN18	55.05	55.10		50.00CR
10OCT17	1 JUN18	55.25	55.10		150.00DR
10OCT17	1 JUN18	55.25	55.10		150.00DR
10OCT17	1 JUN18	55.25	55.50		250.00CR
10OCT17	1 JUN18	55.40	55.50		100.00CR
10OCT17	1 JUN18	55.37	55.50		130.00CR
10OCT17	1 JUN18	55.37	55.47		100.00CR
10OCT17	1 JUN18	55.36	55.47		110.00CR
10OCT17	1 JUN18	55.35	55.47		120.00CR
10OCT17	1 JUN18	55.37	55.46		90.00CR
10OCT17	1 JUN18	55.40	55.46		60.00CR
10OCT17	1 JUN18	55.63	55.46		170.00DR
10OCT17	1 JUN18	55.68	55.48		200.00DR
10OCT17	1 JUN18	55.68	55.78		100.00CR
10OCT17	1 JUN18	55.68	55.46		220.00DR
10OCT17	1 JUN18	55.71	55.56		150.00DR
10OCT17	2 JUN18	55.68	55.90		440.00CR
10OCT17	1 DEC18	54.51	54.49		20.00DR
10OCT17	1 DEC18	54.51	54.48		30.00DR
10OCT17	1 DEC18	54.51	54.48		30.00DR
10OCT17	1 DEC18	54.51	54.69		180.00CR
10OCT17	1 DEC18	54.51	54.69		180.00CR
10OCT17	2 DEC18	54.88	54.68		400.00DR
10OCT17	1 DEC18	54.88	54.68		200.00DR
10OCT17	1 DEC18	54.88	54.80		80.00DR
10OCT17	1 DEC18	54.88	54.80		80.00DR
10OCT17	1 DEC18	54.83	54.77		60.00DR
10OCT17	2 DEC18	54.82	54.78		80.00DR
10OCT17	1 DEC18	54.82	54.77		50.00DR
10OCT17	1 DEC18	54.83	54.77		60.00DR
10OCT17	1 DEC18	54.81	54.76		50.00DR
10OCT17	1 DEC18	54.81	54.78		30.00DR
10OCT17	1 DEC18	54.81	54.78		30.00DR
10OCT17	1 DEC18	54.82	54.77		50.00DR
10OCT17	2 DEC18	54.82	54.80		40.00DR
10OCT17	1 DEC18	54.82	54.81		10.00DR
10OCT17	1 DEC18	54.82	54.97		150.00CR
10OCT17	1 DEC18	54.85	54.97		120.00CR
10OCT17	1 DEC18	55.10	55.00		100.00DR
10OCT17	1 DEC18	55.10	55.02		80.00DR
10OCT17	1 DEC18	54.82	55.02		200.00CR
10OCT17	1 DEC18	54.83	55.02		190.00CR
10OCT17	1 DEC18	54.92	55.02		100.00CR
10OCT17	1 DEC18	54.93	55.02		90.00CR
10OCT17	1 DEC18	54.05	55.02		970.00CR
10OCT17	1 DEC18	54.05	54.96		910.00CR
10OCT17	1 DEC18	54.06	55.09		1,030.00CR
10OCT17	1 DEC18	54.06	55.04		980.00CR
10OCT17	2 DEC18	54.07	55.06		1,980.00CR
10OCT17	1 JUN19	54.19	54.48		290.00CR
10OCT17	2 JUN19	54.19	54.48		580.00CR
10OCT17	1 JUN19	54.47	54.48		10.00CR
10OCT17	1 JUN19	54.46	54.48		20.00CR
10OCT17	1 JUN19	54.46	54.21		250.00DR
10OCT17	2 JUN19	54.49	54.21		560.00DR
10OCT17	1 DEC19	54.21	54.03		180.00DR
10OCT17	1 DEC19	54.21	54.05		160.00DR
10OCT17	1 DEC19	54.27	54.05		220.00DR
10OCT17	1 DEC19	54.27	54.37		100.00CR
10OCT17	1 DEC19	54.43	54.37		60.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119S
10th October 2017
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 DEC19	54.51	54.28		230.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 11,965.00CR SETTLEMENTS IEU Brent Crude Futures 5,450.00CR NYM Light Sweet Crude Oil Futures 250.00CR COMMISSIONS 600.00DR CARRIED FORWARD BALANCE 17,065.00CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119T
10th October 2017
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
10OCT17	B 1 DEC17 CQG GX	61.6500		1.02DR	
10OCT17	S 1 FEB18 CQG GX		67.5000	1.02DR	
	Commission				2.04DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				224.48DR
	COMMISSIONS				2.04DR
	CARRIED FORWARD BALANCE				226.52DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SUITE A, 105 PICCADILLY
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SAPG0119

10th Oct 2017

COB Global

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		977,837.87CR
	SETTLEMENTS		
	CME Cattle Futures		5,650.00DR
	CME Feeder Cattle Futures		1,462.50CR
	CME Lean Hog Futures		970.00CR
	CME Random Length Lumber Futures		44.00CR
	CMX Copper Futures		16,275.00CR
	CMX Gold Futures		1,340.00CR
	CMX Silver Futures		25,400.00CR
	ICE Cotton No.2 Futures		320.00CR
	ICE Frozen Concentrated OJ Futures		105.00CR
	ICE Sugar No.11 Futures		123.20CR
	IEU Brent Crude Futures		3,200.00CR
	IEU Gas Oil Futures		2,300.00CR
	NYM Heating Oil Futures		16.80CR
	NYM Light Sweet Crude Oil Futures		660.00CR
	NYM RBOB Reformulated Gasoline Futu Futures		1,990.80DR
	White Sugar Futures		25.00CR
	COMMISSIONS		3,751.64DR
	CARRIED FORWARD BALANCE		1,018,686.93CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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10th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	NYM Light Sweet Crude Oil 1,000 NYM								
100CT17	B	2	NOV17	TTR	GX	50.18		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.18	4.80DR	
100CT17	B	1	NOV17	TTR	GX	50.11		2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.13	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.22		2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.24	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.28		2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.26		2.40DR	
100CT17	S	2	NOV17	TTR	GX		50.19	4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.47	4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.45		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.51	4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.54		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.53	4.80DR	
100CT17	B	1	NOV17	TTR	GX	50.48		2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.63	2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.60	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.56		2.40DR	
100CT17	B	3	NOV17	TTR	GX	50.55		7.20DR	
100CT17	S	1	NOV17	TTR	GX		50.56	2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.50	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.47		2.40DR	
100CT17	B	2	NOV17	TTR	GX	50.51		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.54	4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.62		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.59	4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.63		4.80DR	
100CT17	S	1	NOV17	TTR	GX		50.61	2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.62	2.40DR	
100CT17	S	1	NOV17	TTR	GX		50.76	2.40DR	
100CT17	S	2	NOV17	TTR	GX		50.83	4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.85		4.80DR	
100CT17	B	2	NOV17	TTR	GX	50.78		4.80DR	
100CT17	B	1	NOV17	TTR	GX	50.81		2.40DR	
100CT17	B	2	NOV17	TTR	GX	50.86		4.80DR	
100CT17	S	2	NOV17	TTR	GX		50.88	4.80DR	
100CT17	S	3	NOV17	TTR	GX		50.80	7.20DR	
100CT17	S	1	NOV17	TTR	GX		50.81	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.80		2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.79		2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.93		2.40DR	
100CT17	S	2	NOV17	TTR	GX		50.99	4.80DR	
100CT17	S	1	NOV17	TTR	GX		51.00	2.40DR	
100CT17	B	3	NOV17	TTR	GX	51.00		7.20DR	
100CT17	S	2	NOV17	TTR	GX		50.95	4.80DR	
100CT17	B	1	NOV17	TTR	GX	50.96		2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.94		2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.99		2.40DR	
100CT17	S	1	NOV17	TTR	GX		51.02	2.40DR	
100CT17	B	1	NOV17	TTR	GX	50.95		2.40DR	
100CT17	S	2	NOV17	TTR	GX		50.93	4.80DR	
	Commission								187.20DR
	<u>SETTLEMENTS</u>								
	NYM Light Sweet Crude Oil 1,000 NYM								
100CT17		1	NOV17			50.18	50.18		0.00
100CT17		1	NOV17			50.18	50.18		0.00
100CT17		1	NOV17			50.11	50.13		20.00CR
100CT17		1	NOV17			50.22	50.24		20.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127C

10th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10OCT17	1 NOV17	50.28	50.19		90.00DR
10OCT17	1 NOV17	50.26	50.19		70.00DR
10OCT17	1 NOV17	50.45	50.47		20.00CR
10OCT17	1 NOV17	50.45	50.47		20.00CR
10OCT17	1 NOV17	50.54	50.53		10.00DR
10OCT17	1 NOV17	50.54	50.51		30.00DR
10OCT17	1 NOV17	50.55	50.51		40.00DR
10OCT17	1 NOV17	50.48	50.53		50.00CR
10OCT17	1 NOV17	50.55	50.63		80.00CR
10OCT17	1 NOV17	50.56	50.60		40.00CR
10OCT17	1 NOV17	50.55	50.54		10.00DR
10OCT17	1 NOV17	50.51	50.56		50.00CR
10OCT17	1 NOV17	50.47	50.50		30.00CR
10OCT17	1 NOV17	50.51	50.54		30.00CR
10OCT17	1 NOV17	50.62	50.59		30.00DR
10OCT17	1 NOV17	50.62	50.59		30.00DR
10OCT17	1 NOV17	50.63	50.61		20.00DR
10OCT17	1 NOV17	50.63	50.62		10.00DR
10OCT17	1 NOV17	50.78	50.76		20.00DR
10OCT17	1 NOV17	50.85	50.83		20.00DR
10OCT17	1 NOV17	50.85	50.83		20.00DR
10OCT17	1 NOV17	50.78	50.80		20.00CR
10OCT17	1 NOV17	50.81	50.80		10.00DR
10OCT17	1 NOV17	50.86	50.88		20.00CR
10OCT17	1 NOV17	50.86	50.88		20.00CR
10OCT17	1 NOV17	50.80	50.80		0.00
10OCT17	1 NOV17	50.79	50.81		20.00CR
10OCT17	1 NOV17	50.93	50.93		0.00
10OCT17	1 NOV17	51.00	50.99		10.00DR
10OCT17	1 NOV17	51.00	50.99		10.00DR
10OCT17	1 NOV17	51.00	51.00		0.00
10OCT17	1 NOV17	50.96	50.95		10.00DR
10OCT17	1 NOV17	50.94	50.95		10.00CR
10OCT17	1 NOV17	50.99	51.02		30.00CR
10OCT17	1 NOV17	50.95	50.93		20.00DR
TOTALS					
BROUGHT FORWARD BALANCE					0.00
SETTLEMENTS					
NYM Light Sweet Crude Oil Futures					20.00CR
COMMISSIONS					187.20DR
CARRIED FORWARD BALANCE					167.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0127D
10th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	S 1 NOV17 TTR GX		49.89	2.40DR	
100CT17	S 1 NOV17 TTR GX		49.94	2.40DR	
100CT17	B 2 NOV17 TTR GX	49.94		4.80DR	
	Commission				9.60DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
100CT17	1 NOV17	49.94	49.89		50.00DR
100CT17	1 NOV17	49.94	49.94		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				878.80DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				50.00DR
	COMMISSIONS				9.60DR
	CARRIED FORWARD BALANCE				938.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0127

10th Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,068,502.20CR
	SETTLEMENTS		
	NYM Light Sweet Crude Oil Futures		30.00DR
	COMMISSIONS		196.80DR
	CARRIED FORWARD BALANCE		1,068,275.40CR