TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5860.00	5845.00		375.00DR
06JUL17	1 06OCT17	5860.00	5845.00		375.00DR
06JUL17	1 06OCT17	5860.00	5838.50		537.50DR
06JUL17	1 06OCT17	5860.00	5838.50		537.50DR
06JUL17	1 06OCT17	5860.00	5839.50		512.50DR
06JUL17	2 06OCT17	5856.00	5839.50		825.00DR
06JUL17	1 06OCT17	5856.00	5850.00		150.00DR
06JUL17	1 06OCT17	5857.00	5850.00		175.00DR
06JUL17	1 06OCT17	5857.00	5846.00		275.00DR
06JUL17	1 06OCT17	5844.50	5846.00		37.50CR
06JUL17	1 06OCT17	5844.50	5846.00		37.50CR
07JUL17	1 06OCT17	5826.00	5837.50		287.50CR
07JUL17	1 06OCT17	5826.00	5837.50		287.50CR
07JUL17	1 06OCT17	5826.00	5838.50		312.50CR
07JUL17	1 06OCT17	5828.50	5838.50		250.00CR
07JUL17	1 06OCT17	5828.50	5838.50		250.00CR
07JUL17	1 06OCT17	5825.00	5832.50		187.50CR
07JUL17	1 06OCT17	5825.00	5832.50		187.50CR
07JUL17	1 06OCT17	5835.00	5828.00		175.00DR
07JUL17	1 06OCT17	5835.00	5830.50		112.50DR
07JUL17	1 06OCT17	5833.00	5820.00		325.00DR
07JUL17	1 06OCT17	5833.00	5825.50		187.50DR
07JUL17	1 06OCT17	5833.00	5825.50		187.50DR

TOTALS

BROUGHT FORWARD BALANCE	**284,946.10DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**2,912.50DR**
CARRIED FORWARD BALANCE	**287,858.60DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5856.00	5845.00		275.00DR
06JUL17	1 06OCT17	5856.00	5845.00		275.00DR
06JUL17	1 06OCT17	5866.00	5845.00		525.00DR
06JUL17	1 06OCT17	5861.50	5853.00		212.50DR
06JUL17	1 06OCT17	5857.50	5853.00		112.50DR
06JUL17	2 06OCT17	5849.00	5853.00		200.00CR
06JUL17	1 06OCT17	5849.00	5853.00		100.00CR
07JUL17	1 06OCT17	5851.00	5836.50		362.50DR
07JUL17	1 06OCT17	5851.00	5836.50		362.50DR
07JUL17	1 06OCT17	5851.00	5836.50		362.50DR
07JUL17	2 06OCT17	5838.00	5836.50		75.00DR
07JUL17	1 06OCT17	5838.00	5830.00		200.00DR
07JUL17	1 06OCT17	5837.00	5830.00		175.00DR
07JUL17	1 06OCT17	5837.00	5830.00		175.00DR
07JUL17	2 06OCT17	5834.00	5830.00		200.00DR
07JUL17	1 06OCT17	5828.00	5832.00		100.00CR
07JUL17	1 06OCT17	5829.00	5832.00		75.00CR
07JUL17	1 06OCT17	5829.00	5830.00		25.00CR
07JUL17	1 06OCT17	5829.50	5830.00		12.50CR
07JUL17	1 06OCT17	5830.50	5830.00		12.50DR
07JUL17	1 06OCT17	5830.50	5825.00		137.50DR
07JUL17	2 06OCT17	5859.00	5825.00		1,700.00DR

TOTALS

BROUGHT FORWARD BALANCE	**104,245.15DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**4,650.00DR**
CARRIED FORWARD BALANCE	**108,895.15DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 06OCT17	5868.00	5830.00		950.00DR
07JUL17	1 06OCT17	5868.00	5830.00		950.00DR
07JUL17	1 06OCT17	5868.00	5830.00		950.00DR
07JUL17	2 06OCT17	5868.00	5830.00		1,900.00DR
20JUL17	1 06OCT17	5861.50	5955.50		2,350.00CR
20JUL17	1 06OCT17	5861.50	5955.50		2,350.00CR
20JUL17	1 06OCT17	5861.50	5955.50		2,350.00CR
20JUL17	1 06OCT17	5855.00	5955.50		2,512.50CR
20JUL17	1 06OCT17	5851.00	5955.50		2,612.50CR
20JUL17	1 06OCT17	5856.00	5955.50		2,487.50CR
20JUL17	1 06OCT17	5854.50	5955.50		2,525.00CR
20JUL17	1 06OCT17	5846.50	5955.50		2,725.00CR
20JUL17	1 06OCT17	5844.00	5955.50		2,787.50CR
20JUL17	1 06OCT17	5838.50	5955.50		2,925.00CR
20JUL17	2 06OCT17	5825.50	5955.50		6,500.00CR
20JUL17	1 06OCT17	5825.50	5955.50		3,250.00CR
20JUL17	1 06OCT17	5825.50	5955.50		3,250.00CR
20JUL17	1 06OCT17	5825.50	5955.50		3,250.00CR

TOTALS

BROUGHT FORWARD BALANCE	**118,651.80DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**37,125.00CR**
CARRIED FORWARD BALANCE	**81,526.80DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 06OCT17	5851.50	5840.00		287.50DR
07JUL17	1 06OCT17	5851.50	5844.50		175.00DR
07JUL17	1 06OCT17	5852.00	5842.50		237.50DR
07JUL17	1 06OCT17	5852.50	5842.50		250.00DR
07JUL17	1 06OCT17	5852.50	5848.00		112.50DR
20JUL17	1 06OCT17	5836.50	5955.50		2,975.00CR
20JUL17	1 06OCT17	5835.50	5955.50		3,000.00CR
20JUL17	1 06OCT17	5834.00	5955.50		3,037.50CR
20JUL17	1 06OCT17	5830.00	5955.50		3,137.50CR
20JUL17	1 06OCT17	5830.00	5955.50		3,137.50CR

TOTALS

BROUGHT FORWARD BALANCE	**228,072.86DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**14,225.00CR**
CARRIED FORWARD BALANCE	**213,847.86DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**927,948.06DR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**43,787.50CR**
	CARRIED FORWARD BALANCE		**884,160.56DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0025L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025L to SAP0025				22,661.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**464,629.25DR**
	CASH PAYMENTS/RECEIPTS				**22,661.00DR**
	CARRIED FORWARD BALANCE				**487,290.25DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025M to SAP0025				22,541.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**465,122.73DR**
	CASH PAYMENTS/RECEIPTS				**22,541.00DR**
	CARRIED FORWARD BALANCE				**487,663.73DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025N

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	B 5 05JAN18 DMA EL	6713.50		25.25DR	
06OCT17	B 5 05JAN18 DMA EL	6710.50		25.25DR	
	CMX Copper 25,000 CMX				
06OCT17	S 11 MAR18 GX		307.100	24.97DR	
06OCT17	S 11 MAR18 GX		307.050	24.97DR	
	Commission				100.44DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	5 06OCT17	5955.70	5847.00		13,587.50DR
20JUL17	4 06OCT17	5955.70	5820.00		13,570.00DR
20JUL17	1 06OCT17	5955.70	5820.00		3,392.50DR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025N to SAP0025				19,120.00DR

TOTALS

BROUGHT FORWARD BALANCE	**390,431.22DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**30,550.00DR**
CASH PAYMENTS/RECEIPTS	**19,120.00DR**
COMMISSIONS	**100.44DR**
CARRIED FORWARD BALANCE	**440,201.66DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025O

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	B 1 05JAN18 DMA EL	6691.00		5.05DR	
06OCT17	B 2 05JAN18 DMA EL	6693.00		10.10DR	
06OCT17	B 1 05JAN18 DMA EL	6695.00		5.05DR	
06OCT17	B 1 05JAN18 DMA EL	6694.00		5.05DR	
06OCT17	B 2 05JAN18 DMA EL	6683.50		10.10DR	
06OCT17	B 2 05JAN18 DMA EL	6685.00		10.10DR	
06OCT17	B 1 05JAN18 DMA EL	6687.00		5.05DR	
	CMX Copper 25,000 CMX				
06OCT17	S 2 MAR18 GX		306.000	4.54DR	
06OCT17	S 2 MAR18 GX		306.100	4.54DR	
06OCT17	S 2 MAR18 GX		306.150	4.54DR	
06OCT17	S 5 MAR18 GX		306.200	11.35DR	
06OCT17	S 2 MAR18 GX		305.950	4.54DR	
06OCT17	S 3 MAR18 GX		305.800	6.81DR	
06OCT17	S 6 MAR18 GX		305.850	13.62DR	
	Commission				100.44DR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025O to SAP0025				1,254.00DR

TOTALS

BROUGHT FORWARD BALANCE	**436,660.70DR**
CASH PAYMENTS/RECEIPTS	**1,254.00DR**
COMMISSIONS	**100.44DR**
CARRIED FORWARD BALANCE	**438,015.14DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025T to SAP0025				37,781.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**171,320.18DR**
	CASH PAYMENTS/RECEIPTS				**37,781.00DR**
	CARRIED FORWARD BALANCE				**209,101.18DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	1	05JAN18	DMA EL		6663.00	1.56DR	
06OCT17	S	3	05JAN18	DMA EL		6660.00	4.68DR	
06OCT17	S	1	05JAN18	DMA EL		6664.50	1.56DR	
06OCT17	S	1	05JAN18	DMA EL		6655.50	1.56DR	
06OCT17	S	1	05JAN18	DMA EL		6658.00	1.56DR	
06OCT17	S	2	05JAN18	DMA EL		6657.00	3.12DR	
06OCT17	S	1	05JAN18	DMA EL		6656.50	1.56DR	
	CMX Copper 25,000 CMX							
06OCT17	B	2	DEC17	GX	302.800		4.64DR	
06OCT17	B	6	DEC17	GX	302.650		13.92DR	
06OCT17	B	2	DEC17	GX	302.850		4.64DR	
06OCT17	B	2	DEC17	GX	302.450		4.64DR	
06OCT17	B	6	DEC17	GX	302.550		13.92DR	
06OCT17	B	4	DEC17	GX	302.500		9.28DR	
	Commission							66.64DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		2	DEC17		302.650	294.200		4,225.00DR
06OCT17		3	DEC17		302.550	294.200		6,262.50DR
06OCT17		2	DEC17		302.650	293.700		4,475.00DR
06OCT17		2	DEC17		302.800	293.550		4,625.00DR
06OCT17		2	DEC17		302.650	293.550		4,550.00DR
06OCT17		2	DEC17		302.850	292.650		5,100.00DR
06OCT17		2	DEC17		302.450	292.650		4,900.00DR
06OCT17		3	DEC17		302.550	292.600		7,462.50DR
06OCT17		1	DEC17		302.500	292.600		2,475.00DR
06OCT17		1	DEC17		302.500	292.700		2,450.00DR
06OCT17		2	DEC17		302.500	292.750		4,875.00DR
	INTER ACCOUNT TRANSFER							
06OCT17	SAP0025U to SAP0025							27,931.00DR

TOTALS

BROUGHT FORWARD BALANCE	**88,274.58DR**
SETTLEMENTS	
CMX Copper Futures	**51,400.00DR**
CASH PAYMENTS/RECEIPTS	**27,931.00DR**
COMMISSIONS	**66.64DR**
CARRIED FORWARD BALANCE	**167,672.22DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	B 5 05JAN18 DMA EL	6684.00		25.00DR	
06OCT17	S 2 05JAN18 DMA EL		6655.50	10.00DR	
06OCT17	S 3 05JAN18 DMA EL		6656.00	15.00DR	
06OCT17	S 2 05JAN18 DMA EL		6663.50	10.00DR	
06OCT17	S 1 05JAN18 DMA EL		6664.00	5.00DR	
06OCT17	S 2 05JAN18 DMA EL		6665.00	10.00DR	
	CMX Copper 25,000 CMX				
06OCT17	S 7 DEC17 GX		303.850		
06OCT17	S 4 DEC17 GX		303.900		
06OCT17	B 11 DEC17 GX	302.450			
06OCT17	B 8 DEC17 GX	302.850			
06OCT17	B 3 DEC17 GX	302.800			
	Commission				75.00DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
06OCT17	4 DEC17	302.450	303.850		1,400.00CR
06OCT17	3 DEC17	302.450	303.850		1,050.00CR
06OCT17	1 DEC17	302.450	303.900		362.50CR
06OCT17	3 DEC17	302.450	303.900		1,087.50CR
06OCT17	4 DEC17	302.850	293.600		9,250.00DR
06OCT17	4 DEC17	302.850	293.600		9,250.00DR
06OCT17	3 DEC17	302.800	293.600		6,900.00DR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025V to SAP0025				19,056.00DR

TOTALS

BROUGHT FORWARD BALANCE	**109,520.50DR**
SETTLEMENTS	
CMX Copper Futures	**21,500.00DR**
CASH PAYMENTS/RECEIPTS	**19,056.00DR**
COMMISSIONS	**75.00DR**
CARRIED FORWARD BALANCE	**150,151.50DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025W

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025W to SAP0025				310.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**41,381.36CR**
	CASH PAYMENTS/RECEIPTS				**310.00DR**
	CARRIED FORWARD BALANCE				**41,071.36CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB025

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**2,084,577.80DR**
	SETTLEMENTS		
	CMX Copper Futures		**72,900.00DR**
	LME Copper Grade A 25 tonnes. This Futures		**30,550.00DR**
	CASH PAYMENTS/RECEIPTS		**150,654.00DR**
	COMMISSIONS		**342.52DR**
	CARRIED FORWARD BALANCE		**2,339,024.32DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	3	13DEC17	IFC UD		6660.50	8.10DR	
06OCT17	B	2	15DEC17	IFC UD	6661.10		5.40DR	
06OCT17	B	1	18DEC17	IFC UD	6661.70		2.70DR	
06OCT17	B	3	18DEC17	IFC UD	6661.50		8.10DR	
06OCT17	B	3	20DEC17	IFC UD	6662.50		8.10DR	
06OCT17	S	1	22DEC17	IFC UD		6660.30	2.70DR	
06OCT17	S	4	28DEC17	IFC UD		6663.63	10.80DR	
06OCT17	B	1	05JAN18	TTR EL	6682.50		5.00DR	
06OCT17	B	1	05JAN18	TTR EL	6682.00		5.00DR	
06OCT17	S	1	05JAN18	TTR EL		6659.00	5.00DR	
06OCT17	S	1	05JAN18	IFC UD		6666.30	2.70DR	
	CMX Copper 25,000 CMX							
06OCT17	S	4	DEC17	TTR GX		303.700	6.72DR	
06OCT17	B	2	DEC17	TTR GX	302.600		3.36DR	
	Commission							73.68DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		2	DEC17		302.600	303.700		550.00CR
06OCT17		2	DEC17		297.000	303.700		3,350.00CR
	MATCH OUTS							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06JUL17		1	06OCT17		5858.50	5847.00		287.50DR
06JUL17		1	06OCT17		5843.50	5851.00		187.50CR
07JUL17		1	06OCT17		5853.00	5813.00		1,000.00DR

TOTALS

BROUGHT FORWARD BALANCE	**111,394.11DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**1,100.00DR**
CMX Copper Futures	**3,900.00CR**
COMMISSIONS	**73.68DR**
CARRIED FORWARD BALANCE	**108,667.79DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5858.50	5851.00		187.50DR
07JUL17	1 06OCT17	5853.00	5812.50		1,012.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**34,100.42DR**
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				**1,200.00DR**
	CARRIED FORWARD BALANCE				**35,300.42DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB038

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**145,579.53DR**
	SETTLEMENTS		
	CMX Copper Futures		**3,900.00CR**
	LME Copper Grade A 25 tonnes. This Futures		**2,300.00DR**
	COMMISSIONS		**73.68DR**
	CARRIED FORWARD BALANCE		**144,053.21DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5858.50	5848.00		262.50DR
06JUL17	1 06OCT17	5847.50	5849.00		37.50CR
06JUL17	1 06OCT17	5854.00	5849.00		125.00DR
07JUL17	1 06OCT17	5828.00	5811.50		412.50DR
07JUL17	1 06OCT17	5828.00	5812.50		387.50DR
07JUL17	1 06OCT17	5828.00	5812.50		387.50DR

TOTALS

BROUGHT FORWARD BALANCE	**10,252.20DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**1,537.50DR**
CARRIED FORWARD BALANCE	**11,789.70DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB086

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**548,687.59DR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**1,537.50DR**
	CARRIED FORWARD BALANCE		**550,225.09DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	2	05JAN18	TTR EL		6694.50	11.10DR	
06OCT17	S	1	05JAN18	TTR EL		6699.50	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6700.00	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6711.00	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6707.00	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6706.50	5.55DR	
06OCT17	B	1	05JAN18	TTR EL	6701.00		5.55DR	
06OCT17	B	1	05JAN18	TTR EL	6689.50		5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6657.00	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6661.00	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6659.50	5.55DR	
06OCT17	S	1	05JAN18	TTR EL		6657.50	5.55DR	
06OCT17	S	2	05JAN18	TTR EL		6658.50	11.10DR	
06OCT17	S	2	05JAN18	TTR EL		6658.00	11.10DR	
06OCT17	S	3	05JAN18	TTR EL		6663.50	16.65DR	
06OCT17	S	2	05JAN18	TTR EL		6664.50	11.10DR	
06OCT17	S	6	05JAN18	TTR EL		6659.00	33.30DR	
06OCT17	S	3	05JAN18	TTR EL		6660.00	16.65DR	
06OCT17	S	3	05JAN18	TTR EL		6656.50	16.65DR	
	CMX Copper 25,000 CMX							
06OCT17	B	4	DEC17	TTR GX	304.300		8.80DR	
06OCT17	B	1	DEC17	TTR GX	304.450		2.20DR	
06OCT17	B	4	DEC17	TTR GX	304.500		8.80DR	
06OCT17	B	2	DEC17	TTR GX	304.950		4.40DR	
06OCT17	B	4	DEC17	TTR GX	304.800		8.80DR	
06OCT17	S	2	DEC17	TTR GX		304.600	4.40DR	
06OCT17	S	2	DEC17	TTR GX		304.100	4.40DR	
06OCT17	B	1	DEC17	TTR GX	302.750		2.20DR	
06OCT17	B	5	DEC17	TTR GX	302.700		11.00DR	
06OCT17	B	4	DEC17	TTR GX	302.800		8.80DR	
06OCT17	B	2	DEC17	TTR GX	302.900		4.40DR	
06OCT17	B	5	DEC17	TTR GX	302.850		11.00DR	
06OCT17	B	7	DEC17	TTR GX	302.550		15.40DR	
06OCT17	B	6	DEC17	TTR GX	302.650		13.20DR	
06OCT17	B	18	DEC17	TTR GX	302.600		39.60DR	
06OCT17	B	7	DEC17	TTR GX	302.500		15.40DR	
	Commission							351.50DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		1	DEC17		304.300	304.600		75.00CR
06OCT17		1	DEC17		304.300	304.600		75.00CR
06OCT17		2	DEC17		304.300	304.100		100.00DR
06OCT17		1	DEC17		304.500	293.000		2,875.00DR
06OCT17		1	DEC17		304.500	292.950		2,887.50DR
06OCT17		1	DEC17		304.450	296.950		1,875.00DR
06OCT17		1	DEC17		304.500	296.950		1,887.50DR
06OCT17		1	DEC17		304.500	296.800		1,925.00DR
06OCT17		1	DEC17		304.950	296.800		2,037.50DR
06OCT17		1	DEC17		304.950	296.650		2,075.00DR
06OCT17		1	DEC17		304.800	296.650		2,037.50DR
06OCT17		1	DEC17		304.800	296.600		2,050.00DR
06OCT17		2	DEC17		304.800	296.600		4,100.00DR
06OCT17		2	DEC17		302.550	296.650		2,950.00DR
06OCT17		1	DEC17		302.750	296.600		1,537.50DR
06OCT17		1	DEC17		302.700	296.600		1,525.00DR
06OCT17		3	DEC17		302.650	296.650		4,500.00DR
06OCT17		2	DEC17		302.700	296.650		3,025.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	4 DEC17	302.550	296.650		5,900.00DR
06OCT17	2 DEC17	302.600	296.650		2,975.00DR
06OCT17	2 DEC17	302.600	296.600		3,000.00DR
06OCT17	1 DEC17	302.600	296.800		1,450.00DR
06OCT17	2 DEC17	302.650	296.800		2,925.00DR
06OCT17	2 DEC17	302.700	296.850		2,925.00DR
06OCT17	2 DEC17	302.800	296.950		2,925.00DR
06OCT17	2 DEC17	302.850	296.950		2,950.00DR
06OCT17	2 DEC17	302.800	297.100		2,850.00DR
06OCT17	2 DEC17	302.850	297.050		2,900.00DR
06OCT17	1 DEC17	302.900	297.050		1,462.50DR
06OCT17	1 DEC17	302.900	297.000		1,475.00DR
06OCT17	1 DEC17	302.850	297.000		1,462.50DR
06OCT17	2 DEC17	302.600	296.950		2,825.00DR
06OCT17	2 DEC17	302.600	296.900		2,850.00DR
06OCT17	2 DEC17	302.600	296.850		2,875.00DR
06OCT17	4 DEC17	302.600	296.950		5,650.00DR
06OCT17	1 DEC17	302.550	296.900		1,412.50DR
06OCT17	1 DEC17	302.600	296.850		1,437.50DR
06OCT17	1 DEC17	302.650	296.900		1,437.50DR
06OCT17	2 DEC17	302.600	296.900		2,850.00DR
06OCT17	1 DEC17	302.500	296.900		1,400.00DR
06OCT17	1 DEC17	302.500	296.850		1,412.50DR
06OCT17	2 DEC17	302.500	296.900		2,800.00DR
06OCT17	2 DEC17	302.500	296.850		2,825.00DR
06OCT17	1 DEC17	302.500	296.800		1,425.00DR

TOTALS

BROUGHT FORWARD BALANCE	**98,525.15DR**
SETTLEMENTS	
CMX Copper Futures	**103,637.50DR**
COMMISSIONS	**351.50DR**
CARRIED FORWARD BALANCE	**202,514.15DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	1	05JAN18	TTR	EL		6694.50	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6700.50	3.12DR	
06OCT17	S	2	05JAN18	TTR	EL		6701.00	3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6689.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6690.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6687.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6686.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6684.00		1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6676.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6648.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6658.00	1.56DR	
06OCT17	S	4	05JAN18	TTR	EL		6661.50	6.24DR	
06OCT17	S	2	05JAN18	TTR	EL		6665.00	3.12DR	
06OCT17	S	3	05JAN18	TTR	EL		6658.50	4.68DR	
06OCT17	S	5	05JAN18	TTR	EL		6659.50	7.80DR	
06OCT17	S	3	05JAN18	TTR	EL		6664.50	4.68DR	
06OCT17	S	2	05JAN18	TTR	EL		6665.50	3.12DR	
06OCT17	S	5	05JAN18	TTR	EL		6660.50	7.80DR	
06OCT17	S	1	05JAN18	TTR	EL		6657.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6655.50	1.56DR	
06OCT17	S	3	05JAN18	DMA	EL		6655.00	4.68DR	
	CMX Copper 25,000 CMX							
06OCT17	B	2	DEC17	TTR	GX	304.300		4.40DR	
06OCT17	B	1	DEC17	TTR	GX	304.450		2.20DR	
06OCT17	B	3	DEC17	TTR	GX	304.550		6.60DR	
06OCT17	B	5	DEC17	TTR	GX	304.500		11.00DR	
06OCT17	S	4	DEC17	TTR	GX		304.100	8.80DR	
06OCT17	S	2	DEC17	TTR	GX		304.050	4.40DR	
06OCT17	S	2	DEC17	TTR	GX		303.950	4.40DR	
06OCT17	S	1	DEC17	TTR	GX		303.900	2.20DR	
06OCT17	S	1	DEC17	TTR	GX		303.800	2.20DR	
06OCT17	S	1	DEC17	TTR	GX		303.850	2.20DR	
06OCT17	B	2	DEC17	TTR	GX	303.400		4.40DR	
06OCT17	B	2	DEC17	TTR	GX	302.150		4.40DR	
06OCT17	B	8	DEC17	TTR	GX	302.750		17.60DR	
06OCT17	B	1	DEC17	TTR	GX	302.800		2.20DR	
06OCT17	B	9	DEC17	TTR	GX	302.600		19.80DR	
06OCT17	B	3	DEC17	TTR	GX	302.850		6.60DR	
06OCT17	B	13	DEC17	TTR	GX	302.900		28.60DR	
06OCT17	B	6	DEC17	TTR	GX	302.700		13.20DR	
06OCT17	B	15	DEC17	TTR	GX	302.650		33.00DR	
06OCT17	B	2	DEC17	TTR	GX	302.550		4.40DR	
06OCT17	B	2	DEC17	TTR	GX	302.450		4.40DR	
06OCT17	B	1	DEC17	TTR	GX	302.500		2.20DR	
06OCT17	B	4	DEC17	TTR	GX	302.350		8.80DR	
06OCT17	B	2	DEC17	TTR	GX	302.400		4.40DR	
	Commission							267.92DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		1	DEC17			304.300	304.100	50.00DR
06OCT17		1	DEC17			304.300	304.100	50.00DR
06OCT17		2	DEC17			304.500	304.100	200.00DR
06OCT17		2	DEC17			304.550	304.050	250.00DR
06OCT17		1	DEC17			304.450	303.950	125.00DR
06OCT17		1	DEC17			304.500	303.950	137.50DR
06OCT17		1	DEC17			304.500	303.900	150.00DR
06OCT17		1	DEC17			304.550	303.800	187.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	304.500	303.850		162.50DR
06OCT17	2 DEC17	302.750	297.450		2,650.00DR
06OCT17	2 DEC17	302.700	297.450		2,625.00DR
06OCT17	2 DEC17	302.750	297.450		2,650.00DR
06OCT17	2 DEC17	302.750	297.500		2,625.00DR
06OCT17	1 DEC17	302.850	297.500		1,337.50DR
06OCT17	1 DEC17	302.900	297.500		1,350.00DR
06OCT17	1 DEC17	302.900	297.400		1,375.00DR
06OCT17	2 DEC17	303.400	297.400		3,000.00DR
06OCT17	2 DEC17	302.150	297.400		2,375.00DR
06OCT17	2 DEC17	302.600	297.400		2,600.00DR
06OCT17	2 DEC17	302.650	297.400		2,625.00DR
06OCT17	1 DEC17	302.650	297.400		1,312.50DR
06OCT17	2 DEC17	302.600	297.200		2,700.00DR
06OCT17	2 DEC17	302.600	297.250		2,675.00DR
06OCT17	2 DEC17	302.650	297.250		2,700.00DR
06OCT17	1 DEC17	302.650	297.350		1,325.00DR
06OCT17	1 DEC17	302.650	297.150		1,375.00DR
06OCT17	2 DEC17	302.700	297.150		2,775.00DR
06OCT17	1 DEC17	302.600	297.150		1,362.50DR
06OCT17	2 DEC17	302.750	297.150		2,800.00DR
06OCT17	2 DEC17	302.650	297.150		2,750.00DR
06OCT17	4 DEC17	302.900	297.150		5,750.00DR
06OCT17	1 DEC17	302.800	297.150		1,412.50DR
06OCT17	2 DEC17	302.600	297.150		2,725.00DR
06OCT17	2 DEC17	302.650	297.150		2,750.00DR
06OCT17	2 DEC17	302.900	297.150		2,875.00DR
06OCT17	2 DEC17	302.850	297.150		2,850.00DR
06OCT17	5 DEC17	302.900	297.150		7,187.50DR
06OCT17	2 DEC17	302.700	297.200		2,750.00DR
06OCT17	4 DEC17	302.650	297.200		5,450.00DR
06OCT17	1 DEC17	302.550	297.200		1,337.50DR
06OCT17	1 DEC17	302.550	293.400		2,287.50DR
06OCT17	2 DEC17	302.450	293.400		4,525.00DR
06OCT17	1 DEC17	302.500	293.400		2,275.00DR
06OCT17	4 DEC17	302.350	293.400		8,950.00DR
06OCT17	2 DEC17	302.400	293.400		4,500.00DR

TOTALS

BROUGHT FORWARD BALANCE	**110,548.26CR**
SETTLEMENTS	
CMX Copper Futures	**105,925.00DR**
COMMISSIONS	**267.92DR**
CARRIED FORWARD BALANCE	**4,355.34CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB089

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**2,898,502.00CR**
	SETTLEMENTS		
	CMX Copper Futures		**209,562.50DR**
	COMMISSIONS		**619.42DR**
	CARRIED FORWARD BALANCE		**2,688,320.08CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5867.50	5843.50		600.00DR
06JUL17	1 06OCT17	5864.50	5843.50		525.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**925,774.85CR**
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				**1,125.00DR**
	CARRIED FORWARD BALANCE				**924,649.85CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	B 2 03NOV17 IFC UD	6637.28		5.40DR	
06OCT17	S 2 08NOV17 IFC UD		6641.30	5.40DR	
	Commission				10.80DR

TOTALS

BROUGHT FORWARD BALANCE	**89,972.85CR**
COMMISSIONS	**10.80DR**
CARRIED FORWARD BALANCE	**89,962.05CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	1 06OCT17	5853.00	6470.02		15,425.50CR
03OCT17	1 06OCT17	5852.50	6470.02		15,438.00CR
03OCT17	1 06OCT17	5852.00	6470.02		15,450.50CR
03OCT17	1 06OCT17	5850.00	6470.02		15,500.50CR
03OCT17	1 06OCT17	5849.50	6470.02		15,513.00CR
03OCT17	1 06OCT17	5840.00	6470.02		15,750.50CR
03OCT17	1 06OCT17	5840.00	6470.02		15,750.50CR
03OCT17	1 06OCT17	5839.50	6470.02		15,763.00CR
03OCT17	1 06OCT17	5839.00	6470.02		15,775.50CR
03OCT17	1 06OCT17	5839.00	6470.02		15,775.50CR

TOTALS

BROUGHT FORWARD BALANCE	**255,548.80DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**156,142.50CR**
CARRIED FORWARD BALANCE	**99,406.30DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0097Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	15	19DEC17	IFC	UD		6662.00	40.50DR	
06OCT17	S	10	21DEC17	IFC	UD		6661.50	27.00DR	
06OCT17	S	35	28DEC17	IFC	UD		6663.83	94.50DR	
06OCT17	B	60	04JAN18	IFC	UD	6666.37		162.00DR	
06OCT17	S	1	05JAN18	TTR	EL		6691.00	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6690.50	3.12DR	
06OCT17	S	1	05JAN18	TTR	EL		6692.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6695.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6693.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6693.00	1.56DR	
06OCT17	S	3	05JAN18	TTR	EL		6692.50	4.68DR	
06OCT17	S	1	05JAN18	TTR	EL		6701.50	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6703.50	3.12DR	
06OCT17	S	1	05JAN18	TTR	EL		6705.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6708.00	1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6699.00		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6697.00		3.12DR	
06OCT17	S	3	05JAN18	TTR	EL		6707.00	4.68DR	
06OCT17	S	3	05JAN18	TTR	EL		6706.00	4.68DR	
06OCT17	S	2	05JAN18	TTR	EL		6705.00	3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6699.50		1.56DR	
06OCT17	B	3	05JAN18	TTR	EL	6697.50		4.68DR	
06OCT17	B	1	05JAN18	TTR	EL	6695.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6695.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6694.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6693.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6694.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6698.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6692.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6690.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6689.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6688.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6687.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6684.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6685.00		1.56DR	
06OCT17	S	1	05JAN18	DMA	EL		6699.00	1.56DR	
06OCT17	B	1	05JAN18	DMA	EL	6693.00		1.56DR	
06OCT17	B	1	05JAN18	DMA	EL	6687.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6685.50		1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6684.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6663.00	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6659.00	3.12DR	
06OCT17	S	4	05JAN18	TTR	EL		6661.50	6.24DR	
06OCT17	S	1	05JAN18	TTR	EL		6662.00	1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6663.50		1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6658.50	1.56DR	
06OCT17	S	7	05JAN18	TTR	EL		6660.00	10.92DR	
06OCT17	S	6	05JAN18	TTR	EL		6660.50	9.36DR	
06OCT17	S	4	05JAN18	TTR	EL		6655.50	6.24DR	
	CMX Copper 25,000 CMX							
06OCT17	B	2	DEC17	TTR	GX	304.100		4.60DR	
06OCT17	B	6	DEC17	TTR	GX	304.050		13.80DR	
06OCT17	B	2	DEC17	TTR	GX	304.200		4.60DR	
06OCT17	B	2	DEC17	TTR	GX	304.250		4.60DR	
06OCT17	B	10	DEC17	TTR	GX	304.150		23.00DR	
06OCT17	B	2	DEC17	TTR	GX	304.650		4.60DR	
06OCT17	B	2	DEC17	TTR	GX	304.550		4.60DR	
06OCT17	B	1	DEC17	TTR	GX	305.050		2.30DR	
06OCT17	B	1	DEC17	TTR	GX	304.950		2.30DR	
06OCT17	B	1	DEC17	TTR	GX	304.900		2.30DR	
06OCT17	B	1	DEC17	TTR	GX	305.150		2.30DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B 1 DEC17	TTR	GX		305.100		2.30DR	
06OCT17	B 1 DEC17	TTR	GX		304.450		2.30DR	
06OCT17	S 1 DEC17	TTR	GX			304.450	2.30DR	
06OCT17	B 6 DEC17	TTR	GX		304.800		13.80DR	
06OCT17	B 6 DEC17	TTR	GX		304.700		13.80DR	
06OCT17	B 7 DEC17	TTR	GX		304.750		16.10DR	
06OCT17	S 4 DEC17	TTR	GX			304.500	9.20DR	
06OCT17	S 8 DEC17	TTR	GX			304.400	18.40DR	
06OCT17	S 15 DEC17	TTR	GX			304.250	34.50DR	
06OCT17	S 1 DEC17	TTR	GX			304.100	2.30DR	
06OCT17	S 6 DEC17	TTR	GX			304.150	13.80DR	
06OCT17	S 8 DEC17	TTR	GX			304.050	18.40DR	
06OCT17	S 1 DEC17	TTR	GX			303.850	2.30DR	
06OCT17	S 2 DEC17	TTR	GX			303.800	4.60DR	
06OCT17	S 2 DEC17	TTR	GX			303.900	4.60DR	
06OCT17	S 3 DEC17	TTR	GX			303.950	6.90DR	
06OCT17	S 1 DEC17	TTR	GX			302.600	2.30DR	
06OCT17	B 2 DEC17	TTR	GX		302.600		4.60DR	
06OCT17	B 14 DEC17	TTR	GX		302.750		32.20DR	
06OCT17	B 7 DEC17	TTR	GX		302.700		16.10DR	
06OCT17	B 21 DEC17	TTR	GX		302.650		48.30DR	
06OCT17	B 3 DEC17	TTR	GX		302.550		6.90DR	
06OCT17	B 9 DEC17	TTR	GX		302.450		20.70DR	
	Commission							809.82DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17	2 DEC17				304.150	304.500		175.00CR
06OCT17	2 DEC17				304.100	304.400		150.00CR
06OCT17	1 DEC17				304.050	304.400		87.50CR
06OCT17	1 DEC17				304.050	304.400		87.50CR
06OCT17	1 DEC17				304.050	304.450		100.00CR
06OCT17	2 DEC17				304.050	304.500		225.00CR
06OCT17	1 DEC17				304.050	304.400		87.50CR
06OCT17	1 DEC17				304.150	304.400		62.50CR
06OCT17	1 DEC17				304.150	304.400		62.50CR
06OCT17	1 DEC17				304.150	304.400		62.50CR
06OCT17	2 DEC17				304.200	304.250		25.00CR
06OCT17	2 DEC17				304.250	304.250		0.00
06OCT17	2 DEC17				304.150	304.250		50.00CR
06OCT17	2 DEC17				304.150	304.150		0.00
06OCT17	1 DEC17				304.150	304.150		0.00
06OCT17	2 DEC17				304.650	304.250		200.00DR
06OCT17	2 DEC17				304.550	304.250		150.00DR
06OCT17	2 DEC17				304.700	304.250		225.00DR
06OCT17	1 DEC17				305.050	304.250		200.00DR
06OCT17	1 DEC17				304.950	304.250		175.00DR
06OCT17	1 DEC17				304.900	304.250		162.50DR
06OCT17	1 DEC17				305.150	304.100		262.50DR
06OCT17	1 DEC17				305.100	304.150		237.50DR
06OCT17	2 DEC17				304.750	304.150		300.00DR
06OCT17	4 DEC17				304.800	304.050		750.00DR
06OCT17	1 DEC17				304.450	304.050		100.00DR
06OCT17	1 DEC17				304.800	304.050		187.50DR
06OCT17	1 DEC17				304.800	304.050		187.50DR
06OCT17	1 DEC17				304.750	304.050		175.00DR
06OCT17	1 DEC17				304.750	303.950		200.00DR
06OCT17	1 DEC17				304.750	303.900		212.50DR
06OCT17	1 DEC17				304.750	303.850		225.00DR
06OCT17	2 DEC17				304.700	303.800		450.00DR
06OCT17	1 DEC17				304.700	303.900		200.00DR
06OCT17	1 DEC17				304.700	303.950		187.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	304.750	303.950		200.00DR
06OCT17	1 DEC17	302.750	302.600		37.50DR
06OCT17	5 DEC17	302.750	296.550		7,750.00DR
06OCT17	2 DEC17	302.750	297.050		2,850.00DR
06OCT17	4 DEC17	302.700	297.000		5,700.00DR
06OCT17	2 DEC17	302.600	297.000		2,800.00DR
06OCT17	2 DEC17	302.650	297.050		2,800.00DR
06OCT17	1 DEC17	302.700	296.800		1,475.00DR
06OCT17	1 DEC17	302.700	298.750		987.50DR
06OCT17	1 DEC17	302.750	298.750		1,000.00DR
06OCT17	1 DEC17	302.750	298.900		962.50DR
06OCT17	1 DEC17	302.650	298.900		937.50DR
06OCT17	1 DEC17	302.650	298.850		950.00DR
06OCT17	1 DEC17	302.750	298.850		975.00DR
06OCT17	1 DEC17	302.750	296.150		1,650.00DR
06OCT17	2 DEC17	302.750	296.150		3,300.00DR
06OCT17	2 DEC17	302.650	296.150		3,250.00DR
06OCT17	2 DEC17	302.650	294.000		4,325.00DR
06OCT17	2 DEC17	302.650	295.550		3,550.00DR
06OCT17	2 DEC17	302.650	295.500		3,575.00DR
06OCT17	4 DEC17	302.650	295.550		7,100.00DR
06OCT17	1 DEC17	302.700	295.550		1,787.50DR
06OCT17	1 DEC17	302.650	295.450		1,800.00DR
06OCT17	2 DEC17	302.650	295.500		3,575.00DR
06OCT17	1 DEC17	302.650	295.500		1,787.50DR
06OCT17	1 DEC17	302.650	295.450		1,800.00DR
06OCT17	1 DEC17	302.550	295.450		1,775.00DR
06OCT17	2 DEC17	302.550	295.300		3,625.00DR
06OCT17	7 DEC17	302.450	295.300		12,512.50DR
06OCT17	1 DEC17	302.450	295.300		1,787.50DR
06OCT17	1 DEC17	302.450	295.300		1,787.50DR

TOTALS

BROUGHT FORWARD BALANCE	**942,366.24CR**
SETTLEMENTS	
CMX Copper Futures	**92,025.00DR**
COMMISSIONS	**809.82DR**
CARRIED FORWARD BALANCE	**849,531.42CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	20	19DEC17	IFC	UD		6662.00	54.00DR	
06OCT17	S	25	21DEC17	IFC	UD		6661.50	67.50DR	
06OCT17	S	35	27DEC17	IFC	UD		6663.50	94.50DR	
06OCT17	S	35	28DEC17	IFC	UD		6663.83	94.50DR	
06OCT17	B	115	04JAN18	IFC	UD	6666.37		310.50DR	
06OCT17	S	1	05JAN18	TTR	EL		6691.00	1.56DR	
06OCT17	S	4	05JAN18	TTR	EL		6692.50	6.24DR	
06OCT17	S	1	05JAN18	TTR	EL		6711.50	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6712.50	3.12DR	
06OCT17	S	2	05JAN18	TTR	EL		6712.00	3.12DR	
06OCT17	S	4	05JAN18	TTR	EL		6710.50	6.24DR	
06OCT17	S	1	05JAN18	TTR	EL		6709.50	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6701.00	3.12DR	
06OCT17	S	7	05JAN18	TTR	EL		6706.50	10.92DR	
06OCT17	S	2	05JAN18	TTR	EL		6705.50	3.12DR	
06OCT17	S	2	05JAN18	TTR	EL		6714.00	3.12DR	
06OCT17	S	1	05JAN18	TTR	EL		6713.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6713.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6724.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6723.50	1.56DR	
06OCT17	S	4	05JAN18	TTR	EL		6723.00	6.24DR	
06OCT17	S	1	05JAN18	TTR	EL		6721.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6722.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6719.50	1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6714.50	3.12DR	
06OCT17	S	2	05JAN18	TTR	EL		6708.00	3.12DR	
06OCT17	S	3	05JAN18	TTR	EL		6708.50	4.68DR	
06OCT17	S	3	05JAN18	TTR	EL		6706.00	4.68DR	
06OCT17	S	2	05JAN18	TTR	EL		6707.00	3.12DR	
06OCT17	S	3	05JAN18	TTR	EL		6702.50	4.68DR	
06OCT17	B	1	05JAN18	TTR	EL	6701.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6708.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6710.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6714.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6713.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6711.00		3.12DR	
06OCT17	B	2	05JAN18	TTR	EL	6708.00		3.12DR	
06OCT17	B	2	05JAN18	TTR	EL	6707.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6709.00		1.56DR	
06OCT17	B	5	05JAN18	TTR	EL	6710.50		7.80DR	
06OCT17	B	4	05JAN18	TTR	EL	6707.50		6.24DR	
06OCT17	B	1	05JAN18	TTR	EL	6706.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6700.00		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6700.50		3.12DR	
06OCT17	B	4	05JAN18	TTR	EL	6699.50		6.24DR	
06OCT17	B	1	05JAN18	TTR	EL	6699.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6693.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6698.00		3.12DR	
06OCT17	B	3	05JAN18	TTR	EL	6690.00		4.68DR	
06OCT17	B	1	05JAN18	TTR	EL	6688.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6686.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6685.00		3.12DR	
06OCT17	S	1	05JAN18	DMA	EL		6712.50	1.56DR	
06OCT17	B	1	05JAN18	DMA	EL	6699.00		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6685.50		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6678.50		1.56DR	
06OCT17	S	2	05JAN18	TTR	EL		6675.00	3.12DR	
06OCT17	S	1	05JAN18	TTR	EL		6676.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6670.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6667.00	1.56DR	
06OCT17	S	3	05JAN18	TTR	EL		6660.50	4.68DR	
06OCT17	S	1	05JAN18	TTR	EL		6662.00	1.56DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S	6	05JAN18	TTR	EL		6661.00	9.36DR	
06OCT17	S	3	05JAN18	TTR	EL		6661.50	4.68DR	
06OCT17	S	4	05JAN18	TTR	EL		6674.50	6.24DR	
06OCT17	B	1	05JAN18	TTR	EL	6659.00		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6658.50		1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6658.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6659.00	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6666.50	1.56DR	
06OCT17	S	1	05JAN18	DMA	EL		6660.50	1.56DR	
06OCT17	S	1	05JAN18	DMA	EL		6663.00	1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6662.50		1.56DR	
06OCT17	S	3	05JAN18	TTR	EL		6665.50	4.68DR	
06OCT17	S	4	05JAN18	TTR	EL		6655.00	6.24DR	
06OCT17	S	2	05JAN18	TTR	EL		6655.50	3.12DR	
06OCT17	S	1	05JAN18	TTR	EL		6667.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6668.00	1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6663.00		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6662.00		3.12DR	
06OCT17	B	2	05JAN18	TTR	EL	6660.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6659.50		1.56DR	
06OCT17	B	1	05JAN18	TTR	EL	6657.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6656.50		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6653.50		1.56DR	
06OCT17	B	2	05JAN18	TTR	EL	6654.00		3.12DR	
06OCT17	B	2	05JAN18	TTR	EL	6652.00		3.12DR	
06OCT17	S	5	05JAN18	TTR	EL		6652.00	7.80DR	
06OCT17	S	5	05JAN18	TTR	EL		6658.00	7.80DR	
06OCT17	S	6	05JAN18	TTR	EL		6660.00	9.36DR	
06OCT17	S	5	05JAN18	TTR	EL		6659.50	7.80DR	
06OCT17	B	2	05JAN18	TTR	EL	6667.00		3.12DR	
06OCT17	B	1	05JAN18	TTR	EL	6666.50		1.56DR	
06OCT17	S	3	05JAN18	TTR	EL		6665.00	4.68DR	
06OCT17	S	8	05JAN18	TTR	EL		6664.00	12.48DR	
06OCT17	S	8	05JAN18	TTR	EL		6663.00	12.48DR	
06OCT17	S	3	05JAN18	TTR	EL		6663.50	4.68DR	
06OCT17	S	1	05JAN18	TTR	EL		6662.50	1.56DR	
06OCT17	S	1	05JAN18	TTR	EL		6654.50	1.56DR	
06OCT17	S	1	05JAN18	DMA	EL		6667.00	1.56DR	
06OCT17	S	1	05JAN18	DMA	EL		6655.50	1.56DR	
	CMX Copper 25,000 CMX								
06OCT17	B	2	DEC17	TTR	GX	304.100		4.60DR	
06OCT17	B	4	DEC17	TTR	GX	304.200		9.20DR	
06OCT17	B	2	DEC17	TTR	GX	304.150		4.60DR	
06OCT17	B	3	DEC17	TTR	GX	304.350		6.90DR	
06OCT17	B	4	DEC17	TTR	GX	305.000		9.20DR	
06OCT17	S	2	DEC17	TTR	GX		304.750	4.60DR	
06OCT17	B	2	DEC17	TTR	GX	304.550		4.60DR	
06OCT17	B	2	DEC17	TTR	GX	304.500		4.60DR	
06OCT17	B	16	DEC17	TTR	GX	304.950		36.80DR	
06OCT17	B	4	DEC17	TTR	GX	305.150		9.20DR	
06OCT17	B	8	DEC17	TTR	GX	305.100		18.40DR	
06OCT17	B	6	DEC17	TTR	GX	305.050		13.80DR	
06OCT17	B	4	DEC17	TTR	GX	305.550		9.20DR	
06OCT17	B	1	DEC17	TTR	GX	305.500		2.30DR	
06OCT17	B	13	DEC17	TTR	GX	305.450		29.90DR	
06OCT17	B	4	DEC17	TTR	GX	305.350		9.20DR	
06OCT17	B	2	DEC17	TTR	GX	305.300		4.60DR	
06OCT17	B	12	DEC17	TTR	GX	304.850		27.60DR	
06OCT17	B	4	DEC17	TTR	GX	304.800		9.20DR	
06OCT17	B	23	DEC17	TTR	GX	304.750		52.90DR	
06OCT17	B	2	DEC17	TTR	GX	304.650		4.60DR	
06OCT17	B	4	DEC17	TTR	GX	304.600		9.20DR	
06OCT17	S	8	DEC17	TTR	GX		305.000	18.40DR	
06OCT17	S	2	DEC17	TTR	GX		305.200	4.60DR	
06OCT17	S	11	DEC17	TTR	GX		304.950	25.30DR	
06OCT17	S	14	DEC17	TTR	GX		305.050	32.20DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 10	DEC17	TTR	GX		304.900	23.00DR	
06OCT17	S 2	DEC17	TTR	GX		304.850	4.60DR	
06OCT17	S 1	DEC17	TTR	GX		304.800	2.30DR	
06OCT17	S 6	DEC17	TTR	GX		304.600	13.80DR	
06OCT17	S 5	DEC17	TTR	GX		304.550	11.50DR	
06OCT17	S 2	DEC17	TTR	GX		304.450	4.60DR	
06OCT17	S 2	DEC17	TTR	GX		304.400	4.60DR	
06OCT17	S 2	DEC17	TTR	GX		304.300	4.60DR	
06OCT17	S 8	DEC17	TTR	GX		304.500	18.40DR	
06OCT17	S 7	DEC17	TTR	GX		304.150	16.10DR	
06OCT17	S 3	DEC17	TTR	GX		304.000	6.90DR	
06OCT17	S 4	DEC17	TTR	GX		303.950	9.20DR	
06OCT17	S 4	DEC17	TTR	GX		303.900	9.20DR	
06OCT17	S 9	DEC17	TTR	GX		303.850	20.70DR	
06OCT17	B 2	DEC17	TTR	GX	304.000		4.60DR	
06OCT17	B 2	DEC17	TTR	GX	303.400		4.60DR	
06OCT17	B 3	DEC17	TTR	GX	303.150		6.90DR	
06OCT17	B 21	DEC17	TTR	GX	302.700		48.30DR	
06OCT17	B 8	DEC17	TTR	GX	303.350		18.40DR	
06OCT17	B 6	DEC17	TTR	GX	303.300		13.80DR	
06OCT17	S 2	DEC17	TTR	GX		302.500	4.60DR	
06OCT17	B 10	DEC17	TTR	GX	302.750		23.00DR	
06OCT17	S 2	DEC17	TTR	GX		302.750	4.60DR	
06OCT17	B 5	DEC17	TTR	GX	303.050		11.50DR	
06OCT17	B 4	DEC17	TTR	GX	303.000		9.20DR	
06OCT17	S 2	DEC17	TTR	GX		302.850	4.60DR	
06OCT17	S 4	DEC17	TTR	GX		302.800	9.20DR	
06OCT17	S 6	DEC17	TTR	GX		302.700	13.80DR	
06OCT17	S 6	DEC17	TTR	GX		302.600	13.80DR	
06OCT17	S 3	DEC17	TTR	GX		302.550	6.90DR	
06OCT17	S 4	DEC17	TTR	GX		302.450	9.20DR	
06OCT17	S 4	DEC17	TTR	GX		302.400	9.20DR	
06OCT17	S 3	DEC17	TTR	GX		302.350	6.90DR	
06OCT17	B 11	DEC17	TTR	GX	302.250		25.30DR	
06OCT17	B 6	DEC17	TTR	GX	302.550		13.80DR	
06OCT17	B 17	DEC17	TTR	GX	302.650		39.10DR	
06OCT17	B 13	DEC17	TTR	GX	302.600		29.90DR	
06OCT17	S 2	DEC17	TTR	GX		303.000	4.60DR	
06OCT17	S 2	DEC17	TTR	GX		302.950	4.60DR	
06OCT17	B 13	DEC17	TTR	GX	302.900		29.90DR	
06OCT17	B 24	DEC17	TTR	GX	302.850		55.20DR	
06OCT17	B 23	DEC17	TTR	GX	302.800		52.90DR	
06OCT17	B 14	DEC17	TTR	GX	302.450		32.20DR	
06OCT17	B 2	DEC17	TTR	GX	302.400		4.60DR	
06OCT17	B 1	DEC17	TTR	GX	302.350		2.30DR	
	Commission							1,973.50DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17	1	DEC17			304.100	304.750		162.50CR
06OCT17	1	DEC17			304.100	304.750		162.50CR
06OCT17	2	DEC17			304.200	304.500		150.00CR
06OCT17	1	DEC17			304.200	304.450		62.50CR
06OCT17	1	DEC17			304.200	304.500		75.00CR
06OCT17	2	DEC17			304.150	304.600		225.00CR
06OCT17	3	DEC17			304.350	304.900		412.50CR
06OCT17	1	DEC17			305.000	304.900		25.00DR
06OCT17	1	DEC17			305.000	305.000		0.00
06OCT17	1	DEC17			305.100	305.000		25.00DR
06OCT17	2	DEC17			305.050	305.000		25.00DR
06OCT17	1	DEC17			305.100	305.000		25.00DR
06OCT17	2	DEC17			305.050	305.000		25.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	305.000	305.050		12.50CR
06OCT17	1 DEC17	305.000	305.000		0.00
06OCT17	4 DEC17	304.950	305.050		100.00CR
06OCT17	2 DEC17	304.950	305.200		125.00CR
06OCT17	2 DEC17	304.950	305.050		50.00CR
06OCT17	2 DEC17	304.950	305.050		50.00CR
06OCT17	2 DEC17	304.950	304.950		0.00
06OCT17	2 DEC17	305.100	304.950		75.00DR
06OCT17	1 DEC17	304.500	304.950		112.50CR
06OCT17	2 DEC17	304.550	304.950		200.00CR
06OCT17	1 DEC17	304.500	304.950		112.50CR
06OCT17	3 DEC17	304.750	304.950		150.00CR
06OCT17	1 DEC17	304.750	304.900		37.50CR
06OCT17	1 DEC17	304.750	304.900		37.50CR
06OCT17	1 DEC17	304.750	305.050		75.00CR
06OCT17	3 DEC17	304.750	305.050		225.00CR
06OCT17	1 DEC17	304.750	305.050		75.00CR
06OCT17	4 DEC17	304.750	304.900		150.00CR
06OCT17	2 DEC17	304.750	304.850		50.00CR
06OCT17	1 DEC17	304.750	304.800		12.50CR
06OCT17	1 DEC17	304.750	304.600		37.50DR
06OCT17	1 DEC17	304.950	304.600		87.50DR
06OCT17	2 DEC17	304.950	304.600		175.00DR
06OCT17	1 DEC17	304.950	304.550		100.00DR
06OCT17	4 DEC17	305.150	304.550		600.00DR
06OCT17	1 DEC17	305.100	304.450		162.50DR
06OCT17	2 DEC17	305.100	304.400		350.00DR
06OCT17	1 DEC17	305.100	304.150		237.50DR
06OCT17	2 DEC17	305.050	304.150		450.00DR
06OCT17	2 DEC17	305.550	304.300		625.00DR
06OCT17	2 DEC17	305.550	304.500		525.00DR
06OCT17	2 DEC17	305.450	304.500		475.00DR
06OCT17	1 DEC17	305.450	304.500		237.50DR
06OCT17	2 DEC17	305.450	304.150		650.00DR
06OCT17	2 DEC17	305.450	304.150		650.00DR
06OCT17	4 DEC17	305.450	303.850		1,600.00DR
06OCT17	1 DEC17	305.500	304.000		375.00DR
06OCT17	2 DEC17	305.450	304.000		725.00DR
06OCT17	2 DEC17	305.350	303.950		700.00DR
06OCT17	2 DEC17	305.350	303.950		700.00DR
06OCT17	1 DEC17	305.300	303.900		350.00DR
06OCT17	1 DEC17	305.300	303.900		350.00DR
06OCT17	1 DEC17	304.850	303.900		237.50DR
06OCT17	1 DEC17	304.800	303.900		225.00DR
06OCT17	1 DEC17	304.800	303.850		237.50DR
06OCT17	4 DEC17	304.850	303.850		1,000.00DR
06OCT17	2 DEC17	304.850	302.700		1,075.00DR
06OCT17	2 DEC17	304.850	302.600		1,125.00DR
06OCT17	2 DEC17	304.850	302.500		1,175.00DR
06OCT17	1 DEC17	304.850	302.600		562.50DR
06OCT17	1 DEC17	304.800	302.600		550.00DR
06OCT17	1 DEC17	304.800	302.750		512.50DR
06OCT17	1 DEC17	304.750	302.750		500.00DR
06OCT17	2 DEC17	304.750	302.850		950.00DR
06OCT17	2 DEC17	304.750	302.800		975.00DR
06OCT17	2 DEC17	304.650	302.800		925.00DR
06OCT17	4 DEC17	304.600	302.700		1,900.00DR
06OCT17	2 DEC17	304.000	302.600		700.00DR
06OCT17	3 DEC17	303.350	302.550		600.00DR
06OCT17	1 DEC17	303.350	302.450		225.00DR
06OCT17	2 DEC17	303.400	302.450		475.00DR
06OCT17	1 DEC17	303.350	302.450		225.00DR
06OCT17	1 DEC17	303.350	302.400		237.50DR
06OCT17	1 DEC17	303.150	302.400		187.50DR
06OCT17	2 DEC17	303.150	302.350		400.00DR
06OCT17	2 DEC17	303.050	302.400		325.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	302.850	302.350		125.00DR
06OCT17	2 DEC17	302.850	303.000		75.00CR
06OCT17	2 DEC17	302.850	302.950		50.00CR
06OCT17	1 DEC17	302.850	298.850		1,000.00DR
06OCT17	2 DEC17	302.850	298.800		2,025.00DR
06OCT17	2 DEC17	302.700	298.050		2,325.00DR
06OCT17	2 DEC17	302.750	298.150		2,300.00DR
06OCT17	2 DEC17	302.750	298.050		2,350.00DR
06OCT17	2 DEC17	302.700	298.150		2,275.00DR
06OCT17	3 DEC17	302.700	296.400		4,725.00DR
06OCT17	7 DEC17	302.700	296.300		11,200.00DR
06OCT17	2 DEC17	302.750	296.250		3,250.00DR
06OCT17	3 DEC17	302.700	296.250		4,837.50DR
06OCT17	1 DEC17	302.650	296.250		1,600.00DR
06OCT17	3 DEC17	302.700	296.250		4,837.50DR
06OCT17	1 DEC17	302.700	296.300		1,600.00DR
06OCT17	2 DEC17	302.750	296.300		3,225.00DR
06OCT17	3 DEC17	302.850	296.300		4,912.50DR
06OCT17	2 DEC17	302.800	296.250		3,275.00DR
06OCT17	1 DEC17	302.800	296.200		1,650.00DR
06OCT17	2 DEC17	302.900	296.200		3,350.00DR
06OCT17	2 DEC17	302.850	296.050		3,400.00DR
06OCT17	2 DEC17	302.850	296.200		3,325.00DR
06OCT17	2 DEC17	302.800	296.400		3,200.00DR
06OCT17	1 DEC17	302.750	296.500		1,562.50DR
06OCT17	1 DEC17	303.350	296.500		1,712.50DR
06OCT17	1 DEC17	303.300	296.200		1,775.00DR
06OCT17	1 DEC17	303.350	296.200		1,787.50DR
06OCT17	3 DEC17	303.300	296.300		5,250.00DR
06OCT17	2 DEC17	303.300	296.600		3,350.00DR
06OCT17	2 DEC17	302.600	296.300		3,150.00DR
06OCT17	1 DEC17	302.450	296.250		1,550.00DR
06OCT17	3 DEC17	302.450	296.250		4,650.00DR
06OCT17	2 DEC17	302.450	296.300		3,075.00DR
06OCT17	1 DEC17	302.600	296.300		1,575.00DR
06OCT17	1 DEC17	302.600	296.300		1,575.00DR
06OCT17	1 DEC17	302.650	296.600		1,512.50DR
06OCT17	2 DEC17	302.650	296.650		3,000.00DR
06OCT17	1 DEC17	302.650	296.600		1,512.50DR
06OCT17	3 DEC17	302.650	296.550		4,575.00DR
06OCT17	1 DEC17	302.650	296.550		1,525.00DR
06OCT17	1 DEC17	302.750	296.550		1,550.00DR
06OCT17	2 DEC17	302.450	296.550		2,950.00DR
06OCT17	2 DEC17	302.600	298.250		2,175.00DR
06OCT17	1 DEC17	303.000	297.950		1,262.50DR
06OCT17	1 DEC17	302.850	297.950		1,225.00DR
06OCT17	1 DEC17	302.850	298.000		1,212.50DR
06OCT17	2 DEC17	302.900	298.000		2,450.00DR
06OCT17	2 DEC17	302.900	297.950		2,475.00DR
06OCT17	2 DEC17	302.900	297.900		2,500.00DR
06OCT17	2 DEC17	302.900	297.950		2,475.00DR
06OCT17	1 DEC17	302.900	297.950		1,237.50DR
06OCT17	1 DEC17	302.450	297.950		1,125.00DR
06OCT17	1 DEC17	302.450	298.000		1,112.50DR
06OCT17	1 DEC17	302.550	298.000		1,137.50DR
06OCT17	1 DEC17	302.550	297.950		1,150.00DR
06OCT17	1 DEC17	302.550	297.950		1,150.00DR
06OCT17	1 DEC17	302.550	297.900		1,162.50DR
06OCT17	2 DEC17	302.450	297.900		2,275.00DR
06OCT17	1 DEC17	303.000	297.900		1,275.00DR
06OCT17	1 DEC17	303.000	297.950		1,262.50DR
06OCT17	3 DEC17	303.050	297.950		3,825.00DR
06OCT17	1 DEC17	303.000	297.950		1,262.50DR
06OCT17	1 DEC17	302.850	297.950		1,225.00DR
06OCT17	4 DEC17	302.250	297.950		4,300.00DR
06OCT17	1 DEC17	302.250	297.950		1,075.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	2 DEC17	302.250	297.900		2,175.00DR
06OCT17	2 DEC17	302.250	297.800		2,225.00DR
06OCT17	2 DEC17	302.250	297.750		2,250.00DR
06OCT17	1 DEC17	302.550	297.750		1,200.00DR
06OCT17	1 DEC17	302.550	297.800		1,187.50DR
06OCT17	2 DEC17	302.650	297.800		2,425.00DR
06OCT17	1 DEC17	302.650	297.850		1,200.00DR
06OCT17	1 DEC17	302.650	297.800		1,212.50DR
06OCT17	1 DEC17	302.650	297.850		1,200.00DR
06OCT17	3 DEC17	302.650	297.800		3,637.50DR
06OCT17	1 DEC17	302.600	297.850		1,187.50DR
06OCT17	4 DEC17	302.600	297.950		4,650.00DR
06OCT17	2 DEC17	302.600	297.850		2,375.00DR
06OCT17	1 DEC17	302.800	297.850		1,237.50DR
06OCT17	1 DEC17	302.800	297.950		1,212.50DR
06OCT17	2 DEC17	302.900	297.950		2,475.00DR
06OCT17	1 DEC17	302.850	297.950		1,225.00DR
06OCT17	4 DEC17	302.850	298.000		4,850.00DR
06OCT17	6 DEC17	302.800	298.100		7,050.00DR
06OCT17	4 DEC17	302.800	297.950		4,850.00DR
06OCT17	2 DEC17	302.800	297.900		2,450.00DR
06OCT17	1 DEC17	302.800	297.850		1,237.50DR
06OCT17	1 DEC17	302.850	297.850		1,250.00DR
06OCT17	1 DEC17	302.800	297.850		1,237.50DR
06OCT17	2 DEC17	302.800	297.850		2,475.00DR
06OCT17	2 DEC17	302.450	297.850		2,300.00DR
06OCT17	1 DEC17	302.400	297.850		1,137.50DR
06OCT17	1 DEC17	302.400	297.850		1,137.50DR
06OCT17	1 DEC17	302.350	297.850		1,125.00DR

TOTALS

BROUGHT FORWARD BALANCE	**1,041,398.14CR**
SETTLEMENTS	
CMX Copper Futures	**245,687.50DR**
COMMISSIONS	**1,973.50DR**
CARRIED FORWARD BALANCE	**793,737.14CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB097

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**6,091,856.68CR**
	SETTLEMENTS		
	CMX Copper Futures		**337,712.50DR**
	LME Copper Grade A 25 tonnes. This Futures		**155,017.50CR**
	COMMISSIONS		**2,794.12DR**
	CARRIED FORWARD BALANCE		**5,906,367.56CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	5	30OCT17	SGL UD		6684.82	13.50DR	
06OCT17	B	5	05JAN18	DMA EL	6648.50		25.00DR	
06OCT17	B	5	05JAN18	DMA EL	6651.00		25.00DR	
06OCT17	B	5	05JAN18	SGL UD	6711.40		13.50DR	
	CMX Copper 25,000 CMX							
06OCT17	B	20	DEC17	GX	302.600		46.00DR	
06OCT17	S	20	MAR18	GX		304.550	46.00DR	
	Commission							169.00DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		2	DEC17		302.600	288.500		7,050.00DR
06OCT17		1	DEC17		302.600	288.450		3,537.50DR
06OCT17		1	DEC17		302.600	289.100		3,375.00DR
06OCT17		1	DEC17		302.600	290.050		3,137.50DR
06OCT17		1	DEC17		302.600	290.000		3,150.00DR
06OCT17		1	DEC17		302.600	290.000		3,150.00DR
06OCT17		1	DEC17		302.600	290.000		3,150.00DR
06OCT17		1	DEC17		302.600	289.950		3,162.50DR
06OCT17		1	DEC17		302.600	289.450		3,287.50DR
06OCT17		10	DEC17		302.600	289.100		33,750.00DR
	INTER ACCOUNT TRANSFER							
06OCT17	SAP0101 Fund Received 06/10/17							500,000.00CR

TOTALS

BROUGHT FORWARD BALANCE	**5,665,367.15CR**
SETTLEMENTS	
CMX Copper Futures	**66,750.00DR**
CASH PAYMENTS/RECEIPTS	**500,000.00CR**
COMMISSIONS	**169.00DR**
CARRIED FORWARD BALANCE	**6,098,448.15CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB101

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**5,665,367.15CR**
	SETTLEMENTS		
	CMX Copper Futures		**66,750.00DR**
	CASH PAYMENTS/RECEIPTS		**500,000.00CR**
	COMMISSIONS		**169.00DR**
	CARRIED FORWARD BALANCE		**6,098,448.15CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103K

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
15SEP17	3 06OCT17	5850.00	6472.72		46,704.00CR
15SEP17	1 06OCT17	5841.50	6472.72		15,780.50CR
15SEP17	1 06OCT17	5842.00	6472.72		15,768.00CR
15SEP17	1 06OCT17	5844.00	6472.72		15,718.00CR
15SEP17	1 06OCT17	5843.00	6472.72		15,743.00CR
15SEP17	1 06OCT17	5840.00	6472.72		15,818.00CR
15SEP17	1 06OCT17	5839.00	6472.72		15,843.00CR
15SEP17	1 06OCT17	5853.00	6472.72		15,493.00CR
15SEP17	1 06OCT17	5853.00	6472.72		15,493.00CR
15SEP17	1 06OCT17	5869.00	6472.72		15,093.00CR
15SEP17	1 06OCT17	5868.50	6472.72		15,105.50CR
15SEP17	1 06OCT17	5843.00	6472.72		15,743.00CR
15SEP17	1 06OCT17	5839.00	6472.72		15,843.00CR

TOTALS

BROUGHT FORWARD BALANCE	**207,027.30DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**234,145.00CR**
CARRIED FORWARD BALANCE	**27,117.70CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0103 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB103

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**207,027.30DR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**234,145.00CR**
	CARRIED FORWARD BALANCE		**27,117.70CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0107B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	2 06OCT17	5822.81	5848.50		1,284.50CR
10JUL17	1 06OCT17	5822.81	5848.50		642.25CR
10JUL17	1 06OCT17	5822.81	5849.50		667.25CR
10JUL17	1 06OCT17	5822.81	5849.50		667.25CR

TOTALS

BROUGHT FORWARD BALANCE	**103,086.35DR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**3,261.25CR**
CARRIED FORWARD BALANCE	**99,825.10DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0107 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB107

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**103,086.35DR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**3,261.25CR**
	CARRIED FORWARD BALANCE		**99,825.10DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5846.00	5841.50		112.50DR
06JUL17	1 06OCT17	5848.50	5840.50		200.00DR
06JUL17	1 06OCT17	5855.00	5843.50		287.50DR
06JUL17	1 06OCT17	5853.00	5843.50		237.50DR
06JUL17	1 06OCT17	5836.00	5843.50		187.50CR
10JUL17	1 06OCT17	5822.81	5844.00		529.75CR
10JUL17	4 06OCT17	5822.81	5844.50		2,169.00CR
10JUL17	1 06OCT17	5822.81	5819.50		82.75DR
10JUL17	1 06OCT17	5822.81	5820.50		57.75DR
10JUL17	1 06OCT17	5822.81	5825.00		54.75CR
10JUL17	1 06OCT17	5822.81	5825.00		54.75CR
10JUL17	1 06OCT17	5822.81	5828.00		129.75CR

TOTALS

BROUGHT FORWARD BALANCE	**33,860.75CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**2,147.50CR**
CARRIED FORWARD BALANCE	**36,008.25CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0122 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB122

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**33,860.75CR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**2,147.50CR**
	CARRIED FORWARD BALANCE		**36,008.25CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	S	1	NOV17	TTR	GX		49.74	2.40DR	
06OCT17	B	1	NOV17	TTR	GX	49.73		2.40DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	70	19DEC17	IFC	UD		6662.00	189.00DR	
06OCT17	S	25	21DEC17	IFC	UD		6661.50	67.50DR	
06OCT17	S	35	27DEC17	IFC	UD		6663.50	94.50DR	
06OCT17	S	5	28DEC17	IFC	UD		6663.83	13.50DR	
06OCT17	B	15	03JAN18	IFC	UD	6666.03		40.50DR	
06OCT17	B	120	04JAN18	IFC	UD	6666.37		324.00DR	
06OCT17	S	1	05JAN18	TTR	EL		6693.00	4.75DR	
06OCT17	S	5	05JAN18	TTR	EL		6692.50	23.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6693.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6695.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6698.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6699.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6694.00	4.75DR	
06OCT17	S	6	05JAN18	TTR	EL		6692.00	28.50DR	
06OCT17	S	3	05JAN18	TTR	EL		6691.00	14.25DR	
06OCT17	B	1	05JAN18	TTR	EL	6693.50		4.75DR	
06OCT17	S	2	05JAN18	TTR	EL		6701.50	9.50DR	
06OCT17	S	1	05JAN18	TTR	EL		6701.00	4.75DR	
06OCT17	S	2	05JAN18	TTR	EL		6710.50	9.50DR	
06OCT17	S	3	05JAN18	TTR	EL		6713.00	14.25DR	
06OCT17	S	1	05JAN18	TTR	EL		6715.00	4.75DR	
06OCT17	S	4	05JAN18	TTR	EL		6712.00	19.00DR	
06OCT17	S	1	05JAN18	TTR	EL		6707.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6705.00	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6700.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6719.00	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6716.50	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6708.00	4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6707.00	4.75DR	
06OCT17	S	3	05JAN18	TTR	EL		6706.50	14.25DR	
06OCT17	S	1	05JAN18	TTR	EL		6704.50	4.75DR	
06OCT17	S	2	05JAN18	TTR	EL		6704.00	9.50DR	
06OCT17	S	4	05JAN18	TTR	EL		6702.50	19.00DR	
06OCT17	S	1	05JAN18	TTR	EL		6703.00	4.75DR	
06OCT17	B	1	05JAN18	TTR	EL	6715.50		4.75DR	
06OCT17	B	1	05JAN18	TTR	EL	6711.00		4.75DR	
06OCT17	B	3	05JAN18	TTR	EL	6707.00		14.25DR	
06OCT17	B	4	05JAN18	TTR	EL	6701.00		19.00DR	
06OCT17	B	1	05JAN18	TTR	EL	6700.00		4.75DR	
06OCT17	B	1	05JAN18	TTR	EL	6699.00		4.75DR	
06OCT17	B	4	05JAN18	TTR	EL	6696.50		19.00DR	
06OCT17	B	1	05JAN18	TTR	EL	6696.00		4.75DR	
06OCT17	B	2	05JAN18	TTR	EL	6698.00		9.50DR	
06OCT17	B	2	05JAN18	TTR	EL	6697.00		9.50DR	
06OCT17	B	3	05JAN18	TTR	EL	6698.50		14.25DR	
06OCT17	B	2	05JAN18	TTR	EL	6689.50		9.50DR	
06OCT17	B	1	05JAN18	TTR	EL	6690.50		4.75DR	
06OCT17	B	1	05JAN18	TTR	EL	6689.00		4.75DR	
06OCT17	B	2	05JAN18	TTR	EL	6688.50		9.50DR	
06OCT17	B	2	05JAN18	TTR	EL	6688.00		9.50DR	
06OCT17	B	3	05JAN18	TTR	EL	6687.00		14.25DR	
06OCT17	B	1	05JAN18	TTR	EL	6683.50		4.75DR	
06OCT17	B	2	05JAN18	TTR	EL	6684.50		9.50DR	
06OCT17	S	1	05JAN18	DMA	EL		6694.00	4.75DR	
06OCT17	S	1	05JAN18	DMA	EL		6713.50	4.75DR	
06OCT17	S	1	05JAN18	DMA	EL		6714.50	4.75DR	
06OCT17	B	1	05JAN18	DMA	EL	6688.50		4.75DR	
06OCT17	S	1	05JAN18	TTR	EL		6670.50	4.75DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 1	05JAN18	TTR	EL		6667.50	4.75DR	
06OCT17	S 4	05JAN18	TTR	EL		6668.50	19.00DR	
06OCT17	S 2	05JAN18	TTR	EL		6661.00	9.50DR	
06OCT17	S 2	05JAN18	TTR	EL		6662.50	9.50DR	
06OCT17	S 1	05JAN18	TTR	EL		6663.50	4.75DR	
06OCT17	S 2	05JAN18	TTR	EL		6659.50	9.50DR	
06OCT17	S 4	05JAN18	TTR	EL		6661.50	19.00DR	
06OCT17	S 11	05JAN18	TTR	EL		6660.50	52.25DR	
06OCT17	S 3	05JAN18	TTR	EL		6664.00	14.25DR	
06OCT17	S 2	05JAN18	TTR	EL		6658.50	9.50DR	
06OCT17	S 3	05JAN18	TTR	EL		6660.00	14.25DR	
06OCT17	S 1	05JAN18	TTR	EL		6667.00	4.75DR	
06OCT17	S 4	05JAN18	TTR	EL		6665.00	19.00DR	
06OCT17	S 2	05JAN18	TTR	EL		6666.00	9.50DR	
06OCT17	S 16	05JAN18	TTR	EL		6664.50	76.00DR	
06OCT17	S 5	05JAN18	TTR	EL		6658.00	23.75DR	
06OCT17	S 5	05JAN18	TTR	EL		6657.00	23.75DR	
06OCT17	S 1	05JAN18	TTR	EL		6653.50	4.75DR	
06OCT17	B 1	05JAN18	TTR	EL	6654.50		4.75DR	
06OCT17	B 1	05JAN18	TTR	EL	6654.00		4.75DR	
06OCT17	S 1	05JAN18	TTR	EL		6654.50	4.75DR	
06OCT17	S 7	05JAN18	TTR	EL		6653.00	33.25DR	
06OCT17	S 9	05JAN18	TTR	EL		6654.00	42.75DR	
06OCT17	S 2	05JAN18	TTR	EL		6656.00	9.50DR	
06OCT17	S 4	05JAN18	TTR	EL		6655.00	19.00DR	
06OCT17	S 1	05JAN18	TTR	EL		6657.50	4.75DR	
06OCT17	S 3	05JAN18	TTR	EL		6659.00	14.25DR	
06OCT17	S 7	05JAN18	DMA	EL		6655.00	33.25DR	
06OCT17	S 1	05JAN18	DMA	EL		6660.00	4.75DR	
06OCT17	S 1	05JAN18	DMA	EL		6654.00	4.75DR	
06OCT17	S 1	05JAN18	DMA	EL		6657.50	4.75DR	
	CMX Copper 25,000 CMX							
06OCT17	B 15	DEC17	TTR	GX	304.150		36.00DR	
06OCT17	B 2	DEC17	TTR	GX	304.350		4.80DR	
06OCT17	B 5	DEC17	TTR	GX	304.450		12.00DR	
06OCT17	B 1	DEC17	TTR	GX	304.500		2.40DR	
06OCT17	B 6	DEC17	TTR	GX	304.250		14.40DR	
06OCT17	B 7	DEC17	TTR	GX	304.100		16.80DR	
06OCT17	B 9	DEC17	TTR	GX	304.200		21.60DR	
06OCT17	S 1	DEC17	TTR	GX		304.150	2.40DR	
06OCT17	B 1	DEC17	TTR	GX	304.550		2.40DR	
06OCT17	B 2	DEC17	TTR	GX	304.950		4.80DR	
06OCT17	S 1	DEC17	TTR	GX		304.950	2.40DR	
06OCT17	B 4	DEC17	TTR	GX	305.000		9.60DR	
06OCT17	B 7	DEC17	TTR	GX	305.050		16.80DR	
06OCT17	B 6	DEC17	TTR	GX	305.100		14.40DR	
06OCT17	B 4	DEC17	TTR	GX	304.800		9.60DR	
06OCT17	B 2	DEC17	TTR	GX	305.350		4.80DR	
06OCT17	B 2	DEC17	TTR	GX	305.250		4.80DR	
06OCT17	B 4	DEC17	TTR	GX	304.900		9.60DR	
06OCT17	B 2	DEC17	TTR	GX	304.850		4.80DR	
06OCT17	B 8	DEC17	TTR	GX	304.750		19.20DR	
06OCT17	B 6	DEC17	TTR	GX	304.600		14.40DR	
06OCT17	B 17	DEC17	TTR	GX	304.650		40.80DR	
06OCT17	S 2	DEC17	TTR	GX		305.250	4.80DR	
06OCT17	S 2	DEC17	TTR	GX		305.050	4.80DR	
06OCT17	S 2	DEC17	TTR	GX		304.900	4.80DR	
06OCT17	B 4	DEC17	TTR	GX	305.200		9.60DR	
06OCT17	B 2	DEC17	TTR	GX	305.150		4.80DR	
06OCT17	S 2	DEC17	TTR	GX		304.850	4.80DR	
06OCT17	S 4	DEC17	TTR	GX		304.600	9.60DR	
06OCT17	S 4	DEC17	TTR	GX		304.650	9.60DR	
06OCT17	S 3	DEC17	TTR	GX		304.550	7.20DR	
06OCT17	S 2	DEC17	TTR	GX		304.500	4.80DR	
06OCT17	S 5	DEC17	TTR	GX		304.400	12.00DR	
06OCT17	S 1	DEC17	TTR	GX		304.250	2.40DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 21	DEC17	TTR	GX		304.450	50.40DR	
06OCT17	S 10	DEC17	TTR	GX		304.100	24.00DR	
06OCT17	S 7	DEC17	TTR	GX		304.050	16.80DR	
06OCT17	S 6	DEC17	TTR	GX		304.000	14.40DR	
06OCT17	S 2	DEC17	TTR	GX		303.950	4.80DR	
06OCT17	S 4	DEC17	TTR	GX		303.800	9.60DR	
06OCT17	S 2	DEC17	TTR	GX		303.900	4.80DR	
06OCT17	S 2	DEC17	TTR	GX		303.850	4.80DR	
06OCT17	B 2	DEC17	TTR	GX	303.200		4.80DR	
06OCT17	B 8	DEC17	TTR	GX	303.050		19.20DR	
06OCT17	B 3	DEC17	TTR	GX	303.100		7.20DR	
06OCT17	B 1	DEC17	TTR	GX	303.150		2.40DR	
06OCT17	S 2	DEC17	TTR	GX		302.700	4.80DR	
06OCT17	B 13	DEC17	TTR	GX	302.750		31.20DR	
06OCT17	B 2	DEC17	TTR	GX	303.000		4.80DR	
06OCT17	B 27	DEC17	TTR	GX	302.700		64.80DR	
06OCT17	B 16	DEC17	TTR	GX	302.650		38.40DR	
06OCT17	B 2	DEC17	TTR	GX	302.950		4.80DR	
06OCT17	B 20	DEC17	TTR	GX	302.900		48.00DR	
06OCT17	B 7	DEC17	TTR	GX	302.800		16.80DR	
06OCT17	B 33	DEC17	TTR	GX	302.850		79.20DR	
06OCT17	B 20	DEC17	TTR	GX	302.350		48.00DR	
06OCT17	B 34	DEC17	TTR	GX	302.400		81.60DR	
06OCT17	B 6	DEC17	TTR	GX	302.500		14.40DR	
06OCT17	B 7	DEC17	TTR	GX	302.450		16.80DR	
06OCT17	B 17	DEC17	TTR	GX	302.550		40.80DR	
06OCT17	B 15	DEC17	TTR	GX	302.600		36.00DR	
	Commission							2,734.90DR
	SETTLEMENTS							
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	1	NOV17			49.73	49.74		10.00CR
	CMX Copper 25,000 CMX							
06OCT17	1	DEC17			304.150	304.150		0.00
06OCT17	1	DEC17			304.150	304.950		200.00CR
06OCT17	2	DEC17			304.150	305.250		550.00CR
06OCT17	2	DEC17			304.150	305.050		450.00CR
06OCT17	2	DEC17			304.150	304.900		375.00CR
06OCT17	1	DEC17			304.150	304.850		175.00CR
06OCT17	1	DEC17			304.200	304.850		162.50CR
06OCT17	4	DEC17			304.200	304.600		400.00CR
06OCT17	4	DEC17			304.150	304.650		500.00CR
06OCT17	2	DEC17			304.150	304.550		200.00CR
06OCT17	1	DEC17			304.200	304.550		87.50CR
06OCT17	1	DEC17			304.200	304.500		75.00CR
06OCT17	1	DEC17			304.250	304.500		62.50CR
06OCT17	1	DEC17			304.200	304.450		62.50CR
06OCT17	1	DEC17			304.250	304.450		50.00CR
06OCT17	2	DEC17			304.350	304.450		50.00CR
06OCT17	2	DEC17			304.450	304.450		0.00
06OCT17	1	DEC17			304.450	304.450		0.00
06OCT17	2	DEC17			304.450	304.450		0.00
06OCT17	1	DEC17			304.500	304.450		12.50DR
06OCT17	4	DEC17			304.250	304.400		150.00CR
06OCT17	1	DEC17			304.100	304.400		75.00CR
06OCT17	1	DEC17			304.100	304.250		37.50CR
06OCT17	2	DEC17			304.100	304.450		175.00CR
06OCT17	3	DEC17			304.100	304.450		262.50CR
06OCT17	1	DEC17			304.200	304.450		62.50CR
06OCT17	2	DEC17			304.600	304.450		75.00DR
06OCT17	2	DEC17			304.600	304.450		75.00DR
06OCT17	1	DEC17			304.550	304.450		25.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	304.600	304.100		125.00DR
06OCT17	1 DEC17	304.650	304.100		137.50DR
06OCT17	4 DEC17	304.650	304.100		550.00DR
06OCT17	4 DEC17	305.050	304.100		950.00DR
06OCT17	2 DEC17	305.100	304.050		525.00DR
06OCT17	2 DEC17	305.000	304.050		475.00DR
06OCT17	1 DEC17	304.950	304.050		225.00DR
06OCT17	1 DEC17	304.950	304.000		237.50DR
06OCT17	1 DEC17	304.900	304.000		225.00DR
06OCT17	1 DEC17	304.900	303.800		275.00DR
06OCT17	1 DEC17	305.000	303.800		300.00DR
06OCT17	1 DEC17	305.000	304.050		237.50DR
06OCT17	1 DEC17	305.050	304.050		250.00DR
06OCT17	1 DEC17	305.050	304.000		262.50DR
06OCT17	2 DEC17	305.100	304.000		550.00DR
06OCT17	1 DEC17	305.200	304.000		300.00DR
06OCT17	1 DEC17	305.200	303.950		312.50DR
06OCT17	1 DEC17	305.100	303.950		287.50DR
06OCT17	1 DEC17	305.050	303.850		300.00DR
06OCT17	1 DEC17	305.100	303.800		325.00DR
06OCT17	1 DEC17	304.750	303.800		237.50DR
06OCT17	1 DEC17	304.750	303.900		212.50DR
06OCT17	1 DEC17	304.800	303.900		225.00DR
06OCT17	1 DEC17	304.800	303.850		237.50DR
06OCT17	2 DEC17	304.800	302.700		1,050.00DR
06OCT17	2 DEC17	304.750	293.200		5,775.00DR
06OCT17	2 DEC17	304.650	293.250		5,700.00DR
06OCT17	1 DEC17	304.650	293.300		2,837.50DR
06OCT17	1 DEC17	305.350	293.300		3,012.50DR
06OCT17	1 DEC17	305.350	293.300		3,012.50DR
06OCT17	2 DEC17	305.250	293.300		5,975.00DR
06OCT17	2 DEC17	304.900	293.300		5,800.00DR
06OCT17	2 DEC17	304.850	293.300		5,775.00DR
06OCT17	1 DEC17	304.750	293.300		2,862.50DR
06OCT17	1 DEC17	304.750	293.600		2,787.50DR
06OCT17	1 DEC17	304.750	293.600		2,787.50DR
06OCT17	2 DEC17	304.650	293.600		5,525.00DR
06OCT17	2 DEC17	304.650	293.550		5,550.00DR
06OCT17	1 DEC17	304.650	293.600		2,762.50DR
06OCT17	1 DEC17	304.650	293.750		2,725.00DR
06OCT17	1 DEC17	304.750	293.750		2,750.00DR
06OCT17	1 DEC17	304.600	293.750		2,712.50DR
06OCT17	1 DEC17	304.650	293.750		2,725.00DR
06OCT17	1 DEC17	304.650	293.950		2,675.00DR
06OCT17	1 DEC17	304.650	293.950		2,675.00DR
06OCT17	1 DEC17	305.150	293.900		2,812.50DR
06OCT17	2 DEC17	305.200	293.900		5,650.00DR
06OCT17	1 DEC17	305.150	293.900		2,812.50DR
06OCT17	2 DEC17	303.200	293.900		4,650.00DR
06OCT17	2 DEC17	303.050	293.950		4,550.00DR
06OCT17	1 DEC17	303.050	293.900		2,287.50DR
06OCT17	4 DEC17	303.050	294.050		9,000.00DR
06OCT17	1 DEC17	303.100	294.050		2,262.50DR
06OCT17	1 DEC17	303.050	294.050		2,250.00DR
06OCT17	2 DEC17	303.100	294.050		4,525.00DR
06OCT17	3 DEC17	302.700	294.050		6,487.50DR
06OCT17	2 DEC17	302.700	294.100		4,300.00DR
06OCT17	2 DEC17	302.700	294.000		4,350.00DR
06OCT17	3 DEC17	302.700	294.050		6,487.50DR
06OCT17	1 DEC17	302.750	294.050		2,175.00DR
06OCT17	1 DEC17	302.750	294.000		2,187.50DR
06OCT17	1 DEC17	302.700	294.000		2,175.00DR
06OCT17	1 DEC17	302.700	294.100		2,150.00DR
06OCT17	1 DEC17	302.700	295.550		1,787.50DR
06OCT17	1 DEC17	302.750	295.550		1,800.00DR
06OCT17	2 DEC17	302.750	295.600		3,575.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	2 DEC17	302.750	295.550		3,600.00DR
06OCT17	1 DEC17	302.750	295.650		1,775.00DR
06OCT17	1 DEC17	302.800	295.650		1,787.50DR
06OCT17	2 DEC17	302.800	295.700		3,550.00DR
06OCT17	1 DEC17	302.800	295.700		1,775.00DR
06OCT17	1 DEC17	302.800	296.000		1,700.00DR
06OCT17	1 DEC17	302.850	296.000		1,712.50DR
06OCT17	1 DEC17	302.850	296.000		1,712.50DR
06OCT17	1 DEC17	302.900	296.000		1,725.00DR
06OCT17	1 DEC17	302.900	296.200		1,675.00DR
06OCT17	1 DEC17	302.800	296.000		1,700.00DR
06OCT17	1 DEC17	302.900	296.000		1,725.00DR
06OCT17	1 DEC17	302.900	296.100		1,700.00DR
06OCT17	2 DEC17	302.850	296.050		3,400.00DR
06OCT17	4 DEC17	302.850	296.150		6,700.00DR
06OCT17	2 DEC17	302.900	296.200		3,350.00DR
06OCT17	1 DEC17	302.850	296.200		1,662.50DR
06OCT17	5 DEC17	302.850	296.400		8,062.50DR
06OCT17	1 DEC17	302.850	296.400		1,612.50DR
06OCT17	1 DEC17	302.850	296.500		1,587.50DR
06OCT17	1 DEC17	302.900	296.500		1,600.00DR
06OCT17	1 DEC17	302.900	296.400		1,625.00DR
06OCT17	1 DEC17	302.850	296.400		1,612.50DR
06OCT17	1 DEC17	303.150	296.400		1,687.50DR
06OCT17	1 DEC17	302.500	296.550		1,487.50DR
06OCT17	1 DEC17	302.500	296.600		1,475.00DR
06OCT17	5 DEC17	302.550	296.550		7,500.00DR
06OCT17	1 DEC17	302.500	296.550		1,487.50DR
06OCT17	2 DEC17	302.600	296.550		3,025.00DR
06OCT17	1 DEC17	302.650	296.550		1,525.00DR
06OCT17	3 DEC17	302.650	296.700		4,462.50DR
06OCT17	4 DEC17	302.700	296.700		6,000.00DR
06OCT17	2 DEC17	302.750	296.700		3,025.00DR
06OCT17	2 DEC17	302.750	296.700		3,025.00DR
06OCT17	1 DEC17	302.750	296.800		1,487.50DR
06OCT17	4 DEC17	302.700	296.800		5,900.00DR
06OCT17	1 DEC17	302.700	296.800		1,475.00DR
06OCT17	2 DEC17	302.700	296.750		2,975.00DR
06OCT17	1 DEC17	302.700	296.800		1,475.00DR
06OCT17	2 DEC17	302.650	296.800		2,925.00DR
06OCT17	3 DEC17	302.650	297.050		4,200.00DR
06OCT17	4 DEC17	302.550	297.050		5,500.00DR
06OCT17	2 DEC17	302.650	297.050		2,800.00DR
06OCT17	1 DEC17	302.600	297.050		1,387.50DR
06OCT17	1 DEC17	303.000	297.050		1,487.50DR
06OCT17	1 DEC17	303.000	297.000		1,500.00DR
06OCT17	1 DEC17	302.600	297.000		1,400.00DR
06OCT17	2 DEC17	302.600	296.950		2,825.00DR
06OCT17	1 DEC17	302.600	296.900		1,425.00DR
06OCT17	2 DEC17	302.700	296.900		2,900.00DR
06OCT17	1 DEC17	302.650	296.900		1,437.50DR
06OCT17	2 DEC17	302.600	297.000		2,800.00DR
06OCT17	1 DEC17	302.600	297.050		1,387.50DR
06OCT17	2 DEC17	302.650	297.050		2,800.00DR
06OCT17	2 DEC17	302.900	297.050		2,925.00DR
06OCT17	2 DEC17	302.650	297.050		2,800.00DR
06OCT17	1 DEC17	302.950	297.050		1,475.00DR
06OCT17	1 DEC17	302.950	297.150		1,450.00DR
06OCT17	1 DEC17	302.900	297.150		1,437.50DR
06OCT17	1 DEC17	302.900	297.300		1,400.00DR
06OCT17	1 DEC17	302.850	297.300		1,387.50DR
06OCT17	1 DEC17	302.850	297.700		1,287.50DR
06OCT17	1 DEC17	302.900	297.700		1,300.00DR
06OCT17	1 DEC17	302.900	297.800		1,275.00DR
06OCT17	1 DEC17	302.850	297.800		1,262.50DR
06OCT17	2 DEC17	302.850	298.050		2,400.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	3 DEC17	302.900	298.050		3,637.50DR
06OCT17	1 DEC17	302.850	298.050		1,200.00DR
06OCT17	1 DEC17	302.850	298.000		1,212.50DR
06OCT17	2 DEC17	302.900	298.850		2,025.00DR
06OCT17	1 DEC17	302.900	295.250		1,912.50DR
06OCT17	1 DEC17	302.850	295.250		1,900.00DR
06OCT17	1 DEC17	302.850	295.200		1,912.50DR
06OCT17	4 DEC17	302.850	295.200		7,650.00DR
06OCT17	1 DEC17	302.850	295.200		1,912.50DR
06OCT17	1 DEC17	302.800	295.250		1,887.50DR
06OCT17	1 DEC17	302.850	295.200		1,912.50DR
06OCT17	1 DEC17	302.850	295.250		1,900.00DR
06OCT17	2 DEC17	302.550	295.300		3,625.00DR
06OCT17	2 DEC17	302.550	295.400		3,575.00DR
06OCT17	2 DEC17	302.550	295.350		3,600.00DR
06OCT17	2 DEC17	302.350	295.350		3,500.00DR
06OCT17	1 DEC17	302.350	295.400		1,737.50DR
06OCT17	1 DEC17	302.350	295.400		1,737.50DR
06OCT17	2 DEC17	302.350	295.400		3,475.00DR
06OCT17	2 DEC17	302.400	295.500		3,450.00DR
06OCT17	1 DEC17	302.400	295.450		1,737.50DR
06OCT17	2 DEC17	302.400	295.450		3,475.00DR
06OCT17	2 DEC17	302.350	295.050		3,650.00DR
06OCT17	1 DEC17	302.400	294.800		1,900.00DR
06OCT17	1 DEC17	302.450	294.850		1,900.00DR
06OCT17	1 DEC17	302.350	294.850		1,875.00DR
06OCT17	1 DEC17	302.350	294.800		1,887.50DR
06OCT17	2 DEC17	302.350	294.850		3,750.00DR
06OCT17	2 DEC17	302.350	294.800		3,775.00DR
06OCT17	1 DEC17	302.450	294.850		1,900.00DR
06OCT17	1 DEC17	302.400	294.850		1,887.50DR
06OCT17	1 DEC17	302.450	294.800		1,912.50DR
06OCT17	2 DEC17	302.400	294.850		3,775.00DR
06OCT17	1 DEC17	302.350	294.850		1,875.00DR
06OCT17	2 DEC17	302.400	294.850		3,775.00DR
06OCT17	1 DEC17	302.350	294.850		1,875.00DR
06OCT17	1 DEC17	302.400	294.850		1,887.50DR
06OCT17	1 DEC17	302.450	294.850		1,900.00DR
06OCT17	2 DEC17	302.450	294.800		3,825.00DR
06OCT17	1 DEC17	302.400	294.800		1,900.00DR
06OCT17	1 DEC17	302.400	294.800		1,900.00DR
06OCT17	1 DEC17	302.350	294.800		1,887.50DR
06OCT17	1 DEC17	302.350	294.150		2,050.00DR
06OCT17	1 DEC17	302.350	294.150		2,050.00DR
06OCT17	1 DEC17	302.350	294.200		2,037.50DR
06OCT17	1 DEC17	302.400	294.200		2,050.00DR
06OCT17	1 DEC17	302.400	295.550		1,712.50DR
06OCT17	1 DEC17	302.400	295.550		1,712.50DR
06OCT17	2 DEC17	302.400	295.600		3,400.00DR
06OCT17	1 DEC17	302.400	295.450		1,737.50DR
06OCT17	1 DEC17	302.400	295.450		1,737.50DR
06OCT17	2 DEC17	302.400	295.450		3,475.00DR
06OCT17	2 DEC17	302.400	297.850		2,275.00DR
06OCT17	1 DEC17	302.400	297.850		1,137.50DR
06OCT17	2 DEC17	302.400	297.850		2,275.00DR
06OCT17	2 DEC17	302.400	297.900		2,250.00DR
06OCT17	2 DEC17	302.400	297.950		2,225.00DR
06OCT17	1 DEC17	302.400	297.950		1,112.50DR
06OCT17	1 DEC17	302.400	297.950		1,112.50DR
06OCT17	3 DEC17	302.500	297.950		3,412.50DR
06OCT17	1 DEC17	302.450	297.950		1,125.00DR
06OCT17	2 DEC17	302.550	297.950		2,300.00DR
06OCT17	1 DEC17	302.600	297.900		1,175.00DR
06OCT17	4 DEC17	302.600	297.950		4,650.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	TOTALS				
	BROUGHT FORWARD BALANCE				**1,119,078.80CR**
	SETTLEMENTS				
	CMX Copper Futures				**477,100.00DR**
	NYM Light Sweet Crude Oil Futures				**10.00CR**
	COMMISSIONS				**2,734.90DR**
	CARRIED FORWARD BALANCE				**639,253.90CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	B	35	04JAN18	IFC	UD	6666.17		94.50DR	
06OCT17	B	2	05JAN18	TTR	EL	6692.00		9.50DR	
06OCT17	B	1	05JAN18	TTR	EL	6691.00		4.75DR	
06OCT17	B	1	05JAN18	TTR	EL	6694.00		4.75DR	
06OCT17	B	1	05JAN18	DMA	EL	6693.00		4.75DR	
06OCT17	S	35	05JAN18	IFC	UD		6666.30	94.50DR	
	CMX Copper 25,000 CMX							
06OCT17	S	2	DEC17	TTR	GX		304.200	4.80DR	
06OCT17	S	2	DEC17	TTR	GX		304.100	4.80DR	
06OCT17	S	2	DEC17	TTR	GX		304.300	4.80DR	
06OCT17	S	5	DEC17	TTR	GX		304.250	12.00DR	
	Commission							239.15DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		2	DEC17			295.750	304.200		4,225.00CR
06OCT17		2	DEC17			295.750	304.250		4,250.00CR
06OCT17		2	DEC17			295.750	304.100		4,175.00CR
06OCT17		1	DEC17			295.800	304.300		2,125.00CR
06OCT17		1	DEC17			295.750	304.300		2,137.50CR
06OCT17		1	DEC17			295.750	304.250		2,125.00CR
06OCT17		2	DEC17			295.800	304.250		4,225.00CR

TOTALS

BROUGHT FORWARD BALANCE	**142,173.25CR**
SETTLEMENTS	
CMX Copper Futures	**23,262.50CR**
COMMISSIONS	**239.15DR**
CARRIED FORWARD BALANCE	**165,196.60CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	26	01DEC17	IFC UD		6652.50	70.20DR	
06OCT17	S	9	04DEC17	IFC UD		6654.50	24.30DR	
06OCT17	B	10	08DEC17	IFC UD	6657.37		27.00DR	
06OCT17	S	6	11DEC17	IFC UD		6659.17	16.20DR	
06OCT17	S	4	12DEC17	IFC UD		6659.83	10.80DR	
06OCT17	B	6	15DEC17	IFC UD	6661.10		16.20DR	
06OCT17	B	4	19DEC17	IFC UD	6662.20		10.80DR	
06OCT17	B	5	20DEC17	IFC UD	6662.70		13.50DR	
06OCT17	B	5	27DEC17	IFC UD	6663.70		13.50DR	
06OCT17	B	10	02JAN18	IFC UD	6665.70		27.00DR	
06OCT17	B	5	04JAN18	IFC UD	6666.37		13.50DR	
06OCT17	B	1	05JAN18	TTR EL	6698.50		4.75DR	
06OCT17	B	1	05JAN18	TTR EL	6697.00		4.75DR	
06OCT17	B	1	05JAN18	TTR EL	6696.00		4.75DR	
06OCT17	B	1	05JAN18	TTR EL	6694.00		4.75DR	
06OCT17	B	1	05JAN18	TTR EL	6689.50		4.75DR	
06OCT17	B	2	05JAN18	TTR EL	6651.00		9.50DR	
06OCT17	S	1	05JAN18	TTR EL		6663.50	4.75DR	
06OCT17	S	1	05JAN18	TTR EL		6651.50	4.75DR	
	CMX Copper 25,000 CMX							
06OCT17	S	2	DEC17	TTR GX		304.550	4.80DR	
06OCT17	S	2	DEC17	TTR GX		304.500	4.80DR	
06OCT17	S	2	DEC17	TTR GX		304.450	4.80DR	
06OCT17	S	2	DEC17	TTR GX		304.300	4.80DR	
06OCT17	S	3	DEC17	TTR GX		304.100	7.20DR	
06OCT17	S	4	DEC17	TTR GX		302.400	9.60DR	
06OCT17	B	1	DEC17	TTR GX	302.700		2.40DR	
06OCT17	B	1	DEC17	TTR GX	302.650		2.40DR	
06OCT17	B	2	DEC17	TTR GX	302.250		4.80DR	
	Commission							331.35DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		1	DEC17		302.700	304.550		462.50CR
06OCT17		1	DEC17		302.650	304.550		475.00CR
06OCT17		2	DEC17		302.250	304.500		1,125.00CR

TOTALS

BROUGHT FORWARD BALANCE	**288,161.40CR**
SETTLEMENTS	
CMX Copper Futures	**2,062.50CR**
COMMISSIONS	**331.35DR**
CARRIED FORWARD BALANCE	**289,892.55CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0127 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB127

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,549,413.45CR**
	SETTLEMENTS		
	CMX Copper Futures		**451,775.00DR**
	NYM Light Sweet Crude Oil Futures		**10.00CR**
	COMMISSIONS		**3,305.40DR**
	CARRIED FORWARD BALANCE		**1,094,343.05CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0128A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	S	1	05JAN18	TTR EL		6650.50	3.44DR	
06OCT17	S	1	05JAN18	TTR EL		6651.50	3.44DR	
06OCT17	S	5	05JAN18	TTR EL		6652.50	17.20DR	
06OCT17	S	3	05JAN18	TTR EL		6655.00	10.32DR	
06OCT17	S	3	05JAN18	TTR EL		6654.50	10.32DR	
06OCT17	S	2	05JAN18	TTR EL		6653.50	6.88DR	
06OCT17	S	1	05JAN18	DMA EL		6653.50	3.44DR	
06OCT17	S	5	05JAN18	DMA EL		6655.00	17.20DR	
	CMX Copper 25,000 CMX							
06OCT17	B	2	DEC17	TTR GX	302.250			
06OCT17	B	11	DEC17	TTR GX	302.300			
06OCT17	B	6	DEC17	TTR GX	302.450			
06OCT17	B	4	DEC17	TTR GX	302.350			
06OCT17	B	23	DEC17	TTR GX	302.400			
	Commission							72.24DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
06OCT17		2	DEC17		302.400	303.100		350.00CR
06OCT17		2	DEC17		302.400	302.400		0.00
06OCT17		1	DEC17		302.250	297.850		1,100.00DR
06OCT17		1	DEC17		302.250	302.700		112.50CR
06OCT17		1	DEC17		302.300	297.850		1,112.50DR
06OCT17		1	DEC17		302.300	298.150		1,037.50DR
06OCT17		1	DEC17		302.300	303.050		187.50CR
06OCT17		1	DEC17		302.300	298.150		1,037.50DR
06OCT17		1	DEC17		302.300	300.300		500.00DR
06OCT17		1	DEC17		302.300	302.750		112.50CR
06OCT17		1	DEC17		302.300	297.850		1,112.50DR
06OCT17		1	DEC17		302.350	297.850		1,125.00DR
06OCT17		1	DEC17		302.300	303.050		187.50CR
06OCT17		1	DEC17		302.350	303.150		200.00CR
06OCT17		1	DEC17		302.300	300.350		487.50DR
06OCT17		1	DEC17		302.300	303.150		212.50CR
06OCT17		1	DEC17		302.300	303.200		225.00CR
06OCT17		2	DEC17		302.400	303.150		375.00CR
06OCT17		1	DEC17		302.400	303.200		200.00CR
06OCT17		2	DEC17		302.400	303.000		300.00CR
06OCT17		1	DEC17		302.400	302.450		12.50CR
06OCT17		1	DEC17		302.400	303.150		187.50CR
06OCT17		1	DEC17		302.400	303.100		175.00CR
06OCT17		1	DEC17		302.400	297.800		1,150.00DR
06OCT17		1	DEC17		302.400	302.450		12.50CR
06OCT17		1	DEC17		302.400	303.050		162.50CR
06OCT17		1	DEC17		302.450	303.050		150.00CR
06OCT17		1	DEC17		302.400	300.300		525.00DR
06OCT17		1	DEC17		302.400	302.400		0.00
06OCT17		1	DEC17		302.450	303.150		175.00CR
06OCT17		1	DEC17		302.450	303.050		150.00CR
06OCT17		1	DEC17		302.400	301.350		262.50DR
06OCT17		1	DEC17		302.400	302.800		100.00CR
06OCT17		2	DEC17		302.450	302.700		125.00CR
06OCT17		1	DEC17		302.400	301.400		250.00DR
06OCT17		1	DEC17		302.450	297.900		1,137.50DR
06OCT17		1	DEC17		302.400	300.800		400.00DR
06OCT17		1	DEC17		302.350	300.800		387.50DR
06OCT17		1	DEC17		302.350	303.100		187.50CR
06OCT17		1	DEC17		302.400	303.100		175.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0128A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	302.400	302.750		87.50CR

TOTALS

BROUGHT FORWARD BALANCE	**1,499,263.84CR**
SETTLEMENTS	
CMX Copper Futures	**7,462.50DR**
COMMISSIONS	**72.24DR**
CARRIED FORWARD BALANCE	**1,491,729.10CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0128 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB128

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,499,263.84CR**
	SETTLEMENTS		
	CMX Copper Futures		**7,462.50DR**
	COMMISSIONS		**72.24DR**
	CARRIED FORWARD BALANCE		**1,491,729.10CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001A

6th October 2017

COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description		Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY					
	CME Euro FX 125,000 CME					
06OCT17	B 2 DEC17	GX	1.17365		0.30DR	
06OCT17	S 2 DEC17	GX		1.17705	0.30DR	
	CME Sterling 62,500 CME					
06OCT17	B 5 DEC17	GX	1.30910		0.75DR	
	Commission					1.35DR
	SETTLEMENTS					
	CME Euro FX 125,000 CME					
06OCT17	2 DEC17		1.17365	1.17705		850.00CR

TOTALS

BROUGHT FORWARD BALANCE	**98,870.48CR**
SETTLEMENTS	
CME Euro FX Futures	**850.00CR**
COMMISSIONS	**1.35DR**
CARRIED FORWARD BALANCE	**99,719.13CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH

6th October 2017

COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CASH RECEIPTS				
06OCT17	CASH RECEIPT				1,564,346.55CR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0045 Fund Received 06/10/17				175,000.00DR
06OCT17	SAP0045 to SAP0045FX1				85,000.00CR
06OCT17	SAP0094 Fund Received 06/10/17				500,000.00DR
06OCT17	SAP0101 Fund Received 06/10/17				500,000.00DR
06OCT17	SAP0103 Fund Received 06/10/17				199,950.00DR
06OCT17	SAP0103 Fund Received 06/10/17				154,950.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**5,137,456.90CR**
	CASH PAYMENTS/RECEIPTS				**119,446.55CR**
	CARRIED FORWARD BALANCE				**5,256,903.45CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ
UK

SAPG0001

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**9,252,794.10CR**
	SETTLEMENTS		
	CME Euro FX Futures		**850.00CR**
	CASH PAYMENTS/RECEIPTS		**119,446.55CR**
	COMMISSIONS		**1.35DR**
	CARRIED FORWARD BALANCE		**9,373,089.30CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Gold 100 CMX				
06OCT17	S 10 NOV17 1180.0 Put II		0.2	21.00DR	200.00CR
	Commission				21.00DR

TOTALS

BROUGHT FORWARD BALANCE	**12,298.00CR**
OPTION PREMIUMS	**200.00CR**
COMMISSIONS	**21.00DR**
CARRIED FORWARD BALANCE	**12,477.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011I

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	3 Month Euro (Euribor) 2,500 IFL							
06OCT17	B	50	MAR18	TTR EL	100.3100			
06OCT17	S	50	JUN18	TTR EL		100.2900		
06OCT17	S	50	SEP18	TTR EL		100.2500		
06OCT17	B	50	DEC18	TTR EL	100.2000			
	SETTLEMENTS							
	3 Month Euro (Euribor) 2,500 IFL							
06OCT17		1	SEP18		100.2600	100.2500		25.00DR
06OCT17		1	SEP18		100.2600	100.2500		25.00DR
06OCT17		3	SEP18		100.2600	100.2500		75.00DR
06OCT17		45	SEP18		100.2600	100.2500		1,125.00DR
06OCT17		1	DEC18		100.2000	100.2150		37.50CR
06OCT17		1	DEC18		100.2000	100.2150		37.50CR
06OCT17		3	DEC18		100.2000	100.2150		112.50CR
06OCT17		45	DEC18		100.2000	100.2150		1,687.50CR

TOTALS

BROUGHT FORWARD BALANCE	**0.00**
SETTLEMENTS	
3 Month Euro (Euribor) Futures	**625.00CR**
CARRIED FORWARD BALANCE	**625.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011J

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	Euro-Bund 1,000 ERX				
06OCT17	B 2 DEC17 TTR EL	160.970			
06OCT17	B 1 DEC17 TTR EL	160.960			
06OCT17	B 2 DEC17 TTR EL	160.730			
06OCT17	S 1 DEC17 TTR EL		161.210		
06OCT17	S 1 DEC17 TTR EL		161.260		
06OCT17	S 1 DEC17 TTR EL		161.170		
06OCT17	B 3 DEC17 TTR EL	161.190			
06OCT17	S 3 DEC17 TTR EL		161.120		
	SETTLEMENTS				
	Euro-Bund 1,000 ERX				
06OCT17	1 DEC17	160.970	161.210		240.00CR
06OCT17	1 DEC17	160.970	161.260		290.00CR
06OCT17	1 DEC17	160.960	161.170		210.00CR
06OCT17	2 DEC17	160.730	161.120		780.00CR
06OCT17	1 DEC17	161.190	161.120		70.00DR
06OCT17	1 DEC17	161.190	160.940		250.00DR
06OCT17	1 DEC17	161.190	160.740		450.00DR

TOTALS

BROUGHT FORWARD BALANCE	**14,733.86CR**
SETTLEMENTS	
Euro-Bund Futures	**750.00CR**
CARRIED FORWARD BALANCE	**15,483.86CR**

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
06OCT17	B 1 DEC17 TTR II	151.1800		2.10DR	
06OCT17	S 1 DEC17 TTR II		152.0700	2.10DR	
	Commission				4.20DR
	SETTLEMENTS				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
06OCT17	1 DEC17	151.1800	152.0700		656.25CR

TOTALS

BROUGHT FORWARD BALANCE	**132,607.42CR**
SETTLEMENTS	
CBT 30 Year Us Treasury Bonds Futures	**656.25CR**
COMMISSIONS	**4.20DR**
CARRIED FORWARD BALANCE	**133,259.47CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0011
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0011

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**12,068.88CR**
	SETTLEMENTS		
	3 Month Euro (Euribor) Futures		**625.00CR**
	Euro-Bund Futures		**750.00CR**
	CARRIED FORWARD BALANCE		**13,443.88CR**

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,129,547.08CR**
	OPTION PREMIUMS		**200.00CR**
	SETTLEMENTS		
	CBT 30 Year Us Treasury Bonds Futures		**656.25CR**
	COMMISSIONS		**25.20DR**
	CARRIED FORWARD BALANCE		**1,130,378.13CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME E-mini S&P 500 EOM Options 50 CME				
06OCT17	S 50 NOV17 1800.00 Put GX		0.50	75.00DR	1,250.00CR
	Commission				75.00DR

TOTALS

BROUGHT FORWARD BALANCE	**49,311.08CR**
OPTION PREMIUMS	**1,250.00CR**
COMMISSIONS	**75.00DR**
CARRIED FORWARD BALANCE	**50,486.08CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	ODAX OPTION 5 ERX				
06OCT17	S 1 MAR18 13800.00 Call DMA EL		109.70	0.68DR	548.50CR
	Commission				0.68DR

TOTALS

BROUGHT FORWARD BALANCE	**24,768.36CR**
OPTION PREMIUMS	**548.50CR**
COMMISSIONS	**0.68DR**
CARRIED FORWARD BALANCE	**25,316.18CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	IEU WTI Crude Oil Futures 1,000 IPE								
06OCT17	B	1	DEC17	DMA	EL	50.93		1.65DR	
06OCT17	S	1	DEC18	DMA	EL		51.21	1.65DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17	B	2	DEC17		GX	50.94		4.70DR	
06OCT17	B	1	DEC17		GX	49.66		2.35DR	
06OCT17	S	1	DEC17		GX		49.67	2.35DR	
06OCT17	B	1	DEC17		GX	49.71		2.35DR	
06OCT17	B	1	DEC17	56.00 Call	GX	0.14		2.35DR	140.00DR
06OCT17	B	1	APR18		GX	50.88		2.35DR	
06OCT17	S	1	SEP18		GX		50.74	2.35DR	
06OCT17	S	2	DEC18		GX		51.21	4.70DR	
06OCT17	S	2	DEC18		GX		51.19	4.70DR	
06OCT17	S	1	DEC18		GX		51.30	2.35DR	
06OCT17	B	1	DEC18		GX	50.62		2.35DR	
06OCT17	B	1	DEC18		GX	50.29		2.35DR	
06OCT17	S	1	DEC18		GX		50.20	2.35DR	
06OCT17	B	1	DEC18		GX	50.09		2.35DR	
06OCT17	S	1	DEC18		GX		50.19	2.35DR	
06OCT17	B	2	DEC19		GX	50.44		4.70DR	
06OCT17	B	1	DEC19		GX	50.50		2.35DR	
06OCT17	S	1	DEC19		GX		49.97	2.35DR	
06OCT17	S	1	DEC19		GX		49.74	2.35DR	
	IEU Brent Crude 1,000 IPE								
06OCT17	S	1	DEC17	DMA	EL		56.85	1.65DR	
06OCT17	B	1	DEC18	DMA	EL	55.22		1.65DR	
	Commission								60.65DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17		1	DEC17			50.94	49.67		1,270.00DR
06OCT17		1	DEC17			50.94	51.04		100.00CR
06OCT17		1	DEC17			49.66	51.45		1,790.00CR
06OCT17		1	DEC17			49.71	51.60		1,890.00CR
06OCT17		1	DEC17	56.00 Call		0.14	0.41		0.00
06OCT17		1	APR18			50.88	50.78		100.00DR
06OCT17		1	SEP18			50.88	50.74		140.00DR
06OCT17		1	DEC18			50.62	51.19		570.00CR
06OCT17		1	DEC18			50.29	51.21		920.00CR
06OCT17		1	DEC18			50.09	51.21		1,120.00CR
06OCT17		1	DEC18			51.75	51.19		560.00DR
06OCT17		1	DEC18			51.75	51.30		450.00DR
06OCT17		1	DEC18			51.70	50.20		1,500.00DR
06OCT17		1	DEC18			51.51	50.19		1,320.00DR
06OCT17		1	DEC19			50.44	49.97		470.00DR
06OCT17		1	DEC19			50.44	49.74		700.00DR

TOTALS

BROUGHT FORWARD BALANCE	**88,408.51CR**
OPTION PREMIUMS	**140.00DR**
SETTLEMENTS	
NYM Light Sweet Crude Oil Futures	**120.00DR**
COMMISSIONS	**60.65DR**
CARRIED FORWARD BALANCE	**88,087.86CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0023

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**32,129.93CR**
	OPTION PREMIUMS		**548.50CR**
	COMMISSIONS		**0.68DR**
	CARRIED FORWARD BALANCE		**32,677.75CR**

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**137,646.02CR**
	OPTION PREMIUMS		**1,110.00CR**
	SETTLEMENTS		
	NYM Light Sweet Crude Oil Futures		**120.00DR**
	COMMISSIONS		**135.65DR**
	CARRIED FORWARD BALANCE		**138,500.37CR**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025 to SAP0025E				2,977.21DR
06OCT17	SAP0025 to SAP0025F				3,597.20DR
06OCT17	SAP0025 to SAP0025G				704.65DR
06OCT17	SAP0025 to SAP0025H				4,522.16DR
06OCT17	SAP0025 to SAP0025I				360.00DR
06OCT17	SAP0025 to SAP0025K				1,095.00DR
06OCT17	SAP0025 to SAP0025R				93.00DR
06OCT17	SAP0025A to SAP0025				287,295.45CR
06OCT17	SAP0025B to SAP0025				431,983.90CR
06OCT17	SAP0025C to SAP0025				190,817.41CR
06OCT17	SAP0025D to SAP0025				363,290.67CR
06OCT17	SAP0025I to SAP0025				2,020.46CR
06OCT17	SAP0025J to SAP0025				2,118.30CR
06OCT17	SAP0025L to SAP0025				22,661.00CR
06OCT17	SAP0025M to SAP0025				22,541.00CR
06OCT17	SAP0025N to SAP0025				19,120.00CR
06OCT17	SAP0025O to SAP0025				1,254.00CR
06OCT17	SAP0025P to SAP0025				20,111.26CR
06OCT17	SAP0025T to SAP0025				37,781.00CR
06OCT17	SAP0025U to SAP0025				27,931.00CR
06OCT17	SAP0025V to SAP0025				19,056.00CR
06OCT17	SAP0025W to SAP0025				310.00CR
06OCT17	SAP0025Z to SAP0025				3,307.10CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**17,054.18DR**
	CASH PAYMENTS/RECEIPTS				**1,438,249.33CR**
	CARRIED FORWARD BALANCE				**1,421,195.15CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	B 2 NOV17 GX	50.72		4.54DR	
	Commission				4.54DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	1 NOV17	50.72	50.48		240.00DR
06OCT17	1 NOV17	50.72	50.49		230.00DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 06OCT17	5835.00	5962.55		3,188.75CR
10AUG17	1 06OCT17	5833.50	6409.44		14,398.50CR
10AUG17	1 06OCT17	5841.00	6409.44		14,211.00CR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025A to SAP0025				287,295.45DR

TOTALS

BROUGHT FORWARD BALANCE	**48,017.62CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**31,798.25CR**
NYM Light Sweet Crude Oil Futures	**470.00DR**
CASH PAYMENTS/RECEIPTS	**287,295.45DR**
COMMISSIONS	**4.54DR**
CARRIED FORWARD BALANCE	**207,954.12DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06OCT17	B 2 05JAN18 DMA EL	6714.00		11.10DR	
	CMX Copper 25,000 CMX				
06OCT17	S 4 DEC17 GX		305.100	9.08DR	
	Commission				20.18DR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
19JUL17	1 06OCT17	5962.95	5820.00		3,573.75DR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025B to SAP0025				431,983.90DR

TOTALS

BROUGHT FORWARD BALANCE	**231,445.91CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**3,573.75DR**
CASH PAYMENTS/RECEIPTS	**431,983.90DR**
COMMISSIONS	**20.18DR**
CARRIED FORWARD BALANCE	**204,131.92DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025C to SAP0025				190,817.41DR

TOTALS

BROUGHT FORWARD BALANCE	**59,309.22CR**
CASH PAYMENTS/RECEIPTS	**190,817.41DR**
CARRIED FORWARD BALANCE	**131,508.19DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Silver 5,000 CMX				
06OCT17	B 1 DEC17 GX	1660.5		2.10DR	
06OCT17	B 1 DEC17 GX	1659.0		2.10DR	
06OCT17	B 1 DEC17 GX	1656.5		2.10DR	
	Commission				6.30DR
	MATCH OUTS				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 06OCT17	9644.86	9120.00		3,149.16DR
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025D to SAP0025				363,290.67DR

TOTALS

BROUGHT FORWARD BALANCE	**200,359.41CR**
SETTLEMENTS	
LME Nickel 6 tonnes. This is an LME Futures	**3,149.16DR**
CASH PAYMENTS/RECEIPTS	**363,290.67DR**
COMMISSIONS	**6.30DR**
CARRIED FORWARD BALANCE	**166,086.72DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025E				2,977.21CR

TOTALS

BROUGHT FORWARD BALANCE	**2,847.17DR**
CASH PAYMENTS/RECEIPTS	**2,977.21CR**
CARRIED FORWARD BALANCE	**130.04CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025F				3,597.20CR

TOTALS

BROUGHT FORWARD BALANCE	**3,532.18DR**
CASH PAYMENTS/RECEIPTS	**3,597.20CR**
CARRIED FORWARD BALANCE	**65.02CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025G				704.65CR

TOTALS

BROUGHT FORWARD BALANCE	**704.65DR**
CASH PAYMENTS/RECEIPTS	**704.65CR**
CARRIED FORWARD BALANCE	**0.00**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025H				4,522.16CR

TOTALS

BROUGHT FORWARD BALANCE	**4,522.16DR**
CASH PAYMENTS/RECEIPTS	**4,522.16CR**
CARRIED FORWARD BALANCE	**0.00**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025I

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	3 Month Euro (Euribor) 2,500 IFL							
06OCT17	B	200	MAR18	DMA EL	100.3050		120.00DR	
06OCT17	S	129	MAR18	DMA EL		100.3100	77.40DR	
06OCT17	S	71	MAR18	DMA EL		100.3050	42.60DR	
06OCT17	S	200	JUN18	DMA EL		100.2850	120.00DR	
06OCT17	B	129	JUN18	DMA EL	100.2900		77.40DR	
06OCT17	B	71	JUN18	DMA EL	100.2850		42.60DR	
06OCT17	B	15	SEP18	DMA EL	100.2600		9.00DR	
06OCT17	B	285	SEP18	DMA EL	100.2550		171.00DR	
06OCT17	S	132	DEC18	DMA EL		100.2100	79.20DR	
06OCT17	S	468	DEC18	DMA EL		100.2050	280.80DR	
06OCT17	B	51	MAR19	DMA EL	100.1500		30.60DR	
06OCT17	B	15	MAR19	DMA EL	100.1450		9.00DR	
06OCT17	B	234	MAR19	DMA EL	100.1400		140.40DR	
	Commission							1,200.00DR
	SETTLEMENTS							
	3 Month Euro (Euribor) 2,500 IFL							
06OCT17		1	MAR18		100.3050	100.3100		12.50CR
06OCT17		2	MAR18		100.3050	100.3100		25.00CR
06OCT17		45	MAR18		100.3050	100.3100		562.50CR
06OCT17		52	MAR18		100.3050	100.3100		650.00CR
06OCT17		11	MAR18		100.3050	100.3050		0.00
06OCT17		1	MAR18		100.3050	100.3050		0.00
06OCT17		7	MAR18		100.3050	100.3050		0.00
06OCT17		5	MAR18		100.3050	100.3050		0.00
06OCT17		23	MAR18		100.3050	100.3100		287.50CR
06OCT17		6	MAR18		100.3050	100.3100		75.00CR
06OCT17		1	MAR18		100.3050	100.3050		0.00
06OCT17		43	MAR18		100.3050	100.3050		0.00
06OCT17		1	MAR18		100.3050	100.3050		0.00
06OCT17		1	MAR18		100.3050	100.3050		0.00
06OCT17		1	MAR18		100.3050	100.3050		0.00
06OCT17		1	JUN18		100.2900	100.2850		12.50DR
06OCT17		2	JUN18		100.2900	100.2850		25.00DR
06OCT17		9	JUN18		100.2900	100.2850		112.50DR
06OCT17		36	JUN18		100.2900	100.2850		450.00DR
06OCT17		35	JUN18		100.2900	100.2850		437.50DR
06OCT17		1	JUN18		100.2900	100.2850		12.50DR
06OCT17		16	JUN18		100.2900	100.2850		200.00DR
06OCT17		11	JUN18		100.2850	100.2850		0.00
06OCT17		1	JUN18		100.2850	100.2850		0.00
06OCT17		3	JUN18		100.2850	100.2850		0.00
06OCT17		4	JUN18		100.2850	100.2850		0.00
06OCT17		5	JUN18		100.2850	100.2850		0.00
06OCT17		23	JUN18		100.2900	100.2850		287.50DR
06OCT17		6	JUN18		100.2900	100.2850		75.00DR
06OCT17		1	JUN18		100.2850	100.2850		0.00
06OCT17		44	JUN18		100.2850	100.2850		0.00
06OCT17		1	JUN18		100.2850	100.2850		0.00
06OCT17		1	JUN18		100.2850	100.2850		0.00

TOTALS

BROUGHT FORWARD BALANCE	**720.00DR**
COMMISSIONS	**1,200.00DR**
CARRIED FORWARD BALANCE	**1,920.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025I

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025 to SAP0025I				360.00CR
06OCT17	SAP0025I to SAP0025				2,020.46DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**3,520.46CR**
	CASH PAYMENTS/RECEIPTS				**1,660.46DR**
	CARRIED FORWARD BALANCE				**1,860.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025J

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	B 4 NOV17 48.00 Put GX	0.35		8.40DR	1,400.00DR
06OCT17	S 2 NOV17 49.00 Put GX		0.69	4.20DR	1,380.00CR
06OCT17	S 1 NOV17 49.00 Put GX		0.70	2.10DR	700.00CR
06OCT17	B 2 NOV17 47.00 Put GX	0.17		4.20DR	340.00DR
06OCT17	S 1 NOV17 46.00 Put GX		0.07	2.10DR	70.00CR
	Commission				21.00DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	1 NOV17 49.00 Put	0.28	0.69		0.00
06OCT17	1 NOV17 49.00 Put	0.29	0.69		0.00
06OCT17	1 NOV17 49.00 Put	0.29	0.70		0.00
06OCT17	1 NOV17 47.00 Put	0.17	0.13		0.00
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025J to SAP0025				2,118.30DR

TOTALS

BROUGHT FORWARD BALANCE	**2,531.20CR**
OPTION PREMIUMS	**410.00CR**
CASH PAYMENTS/RECEIPTS	**2,118.30DR**
COMMISSIONS	**21.00DR**
CARRIED FORWARD BALANCE	**801.90CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025K

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025K				1,095.00CR

TOTALS

BROUGHT FORWARD BALANCE	**1,095.00DR**
CASH PAYMENTS/RECEIPTS	**1,095.00CR**
CARRIED FORWARD BALANCE	**0.00**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025P

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025P to SAP0025				20,111.26DR

TOTALS

BROUGHT FORWARD BALANCE	**14,223.76CR**
CASH PAYMENTS/RECEIPTS	**20,111.26DR**
CARRIED FORWARD BALANCE	**5,887.50DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	**INTER ACCOUNT TRANSFER** SAP0025 to SAP0025R				93.00CR

TOTALS

BROUGHT FORWARD BALANCE	**93.00DR**
CASH PAYMENTS/RECEIPTS	**93.00CR**
CARRIED FORWARD BALANCE	**0.00**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025Z

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	S 1 NOV17 49.00 Put GX		0.49	2.27DR	490.00CR
06OCT17	S 1 NOV17 49.00 Put GX		0.71	2.27DR	710.00CR
06OCT17	B 2 NOV17 48.00 Put GX	0.36		4.54DR	720.00DR
06OCT17	B 4 NOV17 48.00 Put GX	0.35		9.08DR	1,400.00DR
06OCT17	S 2 NOV17 49.00 Put GX		0.69	4.54DR	1,380.00CR
06OCT17	B 4 NOV17 47.00 Put GX	0.16		9.08DR	640.00DR
06OCT17	S 2 NOV17 48.00 Put GX		0.35	4.54DR	700.00CR
06OCT17	S 2 NOV17 48.00 Put GX		0.37	4.54DR	740.00CR
06OCT17	B 4 NOV17 47.00 Put GX	0.17		9.08DR	680.00DR
06OCT17	B 1 NOV17 47.00 Put GX	0.15		2.27DR	150.00DR
06OCT17	B 1 NOV17 48.00 Put GX	0.31		2.27DR	310.00DR
06OCT17	S 2 NOV17 47.00 Put GX		0.13	4.54DR	260.00CR
	Commission				59.02DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	2 NOV17 48.00 Put	0.36	0.35		0.00
06OCT17	2 NOV17 48.00 Put	0.35	0.37		0.00
06OCT17	2 NOV17 47.00 Put	0.16	0.13		0.00
06OCT17	1 NOV17 49.00 Put	0.31	0.49		0.00
06OCT17	1 NOV17 49.00 Put	0.26	0.71		0.00
06OCT17	1 NOV17 49.00 Put	0.48	0.69		0.00
06OCT17	1 NOV17 49.00 Put	0.46	0.69		0.00
06OCT17	1 NOV17 47.00 Put	0.16	0.10		0.00
06OCT17	1 NOV17 47.00 Put	0.16	0.10		0.00
06OCT17	1 NOV17 47.00 Put	0.17	0.07		0.00
06OCT17	3 NOV17 47.00 Put	0.17	0.07		0.00
06OCT17	1 NOV17 47.00 Put	0.15	0.09		0.00
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0025Z to SAP0025				3,307.10DR

TOTALS

BROUGHT FORWARD BALANCE	**3,942.00CR**
OPTION PREMIUMS	**380.00CR**
CASH PAYMENTS/RECEIPTS	**3,307.10DR**
COMMISSIONS	**59.02DR**
CARRIED FORWARD BALANCE	**955.88CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0025

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**5,845.71DR**
	COMMISSIONS		**1,200.00DR**
	CARRIED FORWARD BALANCE		**7,045.71DR**

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**533,501.24CR**
	OPTION PREMIUMS		**790.00CR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**28,224.50CR**
	LME Nickel 6 tonnes. This is an LME Futures		**3,149.16DR**
	NYM Light Sweet Crude Oil Futures		**470.00DR**
	CASH PAYMENTS/RECEIPTS		**150,654.00CR**
	COMMISSIONS		**111.04DR**
	CARRIED FORWARD BALANCE		**709,439.54CR**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS **LME Lead 25 tonnes. This is an** **LME registered client contract**				
11JUL17	1 06OCT17	2295.50	2303.82		208.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**21,156.66DR**
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				**208.00CR**
	CARRIED FORWARD BALANCE				**20,948.66DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CNX Nifty (India) Index 2 SIM							
06OCT17	S 10 OCT17			EL		9958.00	14.00DR	
06OCT17	S 10 OCT17			EL		9968.00	14.00DR	
06OCT17	S 10 OCT17			EL		9977.00	14.00DR	
06OCT17	S 10 OCT17			EL		9989.00	14.00DR	
06OCT17	S 5 OCT17			EL		9985.50	7.00DR	
06OCT17	S 6 OCT17			EL		9985.00	8.40DR	
06OCT17	S 10 OCT17			EL		9998.00	14.00DR	
06OCT17	B 4 OCT17			EL	9998.50		5.60DR	
06OCT17	B 7 OCT17			EL	9999.00		9.80DR	
06OCT17	S 2 OCT17			EL		9993.00	2.80DR	
06OCT17	S 6 OCT17			EL		9993.50	8.40DR	
06OCT17	S 3 OCT17			EL		9992.00	4.20DR	
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	B 2 NOV17	TTR		II	50.74		2.44DR	
06OCT17	B 4 NOV17	TTR		II	50.72		4.88DR	
06OCT17	B 4 NOV17	TTR		II	50.71		4.88DR	
06OCT17	B 1 NOV17	TTR		II	50.66		1.22DR	
06OCT17	B 1 NOV17	TTR		II	50.64		1.22DR	
06OCT17	B 1 NOV17	TTR		II	50.61		1.22DR	
06OCT17	B 2 NOV17	TTR		II	50.62		2.44DR	
06OCT17	B 1 NOV17	TTR		II	50.57		1.22DR	
06OCT17	B 7 NOV17	TTR		II	50.56		8.54DR	
06OCT17	B 1 NOV17	TTR		II	50.63		1.22DR	
06OCT17	S 1 NOV17	TTR		II		50.24	1.22DR	
06OCT17	S 1 NOV17	TTR		II		50.21	1.22DR	
06OCT17	B 1 NOV17	TTR		II	50.08		1.22DR	
06OCT17	B 2 NOV17	TTR		II	50.05		2.44DR	
06OCT17	B 1 NOV17	TTR		II	49.34		1.22DR	
	CMX Gold 100 CMX							
06OCT17	S 2 DEC17	TTR		II		1269.7	2.66DR	
06OCT17	S 3 DEC17	TTR		II		1270.8	3.99DR	
06OCT17	S 4 DEC17	TTR		II		1271.2	5.32DR	
06OCT17	S 3 DEC17	TTR		II		1270.7	3.99DR	
06OCT17	S 5 DEC17	TTR		II		1271.5	6.65DR	
06OCT17	B 1 DEC17	TTR		II	1268.8		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1267.7		1.33DR	
06OCT17	B 2 DEC17	TTR		II	1268.3		2.66DR	
06OCT17	B 1 DEC17	TTR		II	1267.9		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1268.1		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1267.6		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1267.0		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1265.7		1.33DR	
06OCT17	B 2 DEC17	TTR		II	1264.7		2.66DR	
06OCT17	B 1 DEC17	TTR		II	1264.6		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1264.0		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1263.7		1.33DR	
06OCT17	B 4 DEC17	TTR		II	1263.5		5.32DR	
06OCT17	S 1 DEC17	TTR		II		1265.6	1.33DR	
06OCT17	S 1 DEC17	TTR		II		1265.9	1.33DR	
06OCT17	S 1 DEC17	TTR		II		1267.7	1.33DR	
06OCT17	S 1 DEC17	TTR		II		1268.0	1.33DR	
06OCT17	S 1 DEC17	TTR		II		1270.5	1.33DR	
06OCT17	S 2 DEC17	TTR		II		1270.4	2.66DR	
06OCT17	S 1 DEC17	TTR		II		1273.4	1.33DR	
06OCT17	S 2 DEC17	TTR		II		1274.6	2.66DR	
06OCT17	S 2 DEC17	TTR		II		1274.9	2.66DR	
06OCT17	S 1 DEC17	TTR		II		1275.3	1.33DR	
06OCT17	B 1 DEC17	TTR		II	1275.8		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1276.0		1.33DR	
06OCT17	B 1 DEC17	TTR		II	1275.5		1.33DR	
06OCT17	B 3 DEC17	TTR		II	1275.9		3.99DR	
06OCT17	S 1 DEC17	TTR		II		1276.3	1.33DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	NYM Henry Hub Natural Gas 10,000 NYM							
06OCT17	B	2	NOV17	TTR II	2.90400		2.44DR	
06OCT17	B	2	NOV17	TTR II	2.90300		2.44DR	
06OCT17	B	2	NOV17	TTR II	2.90100		2.44DR	
06OCT17	B	1	NOV17	TTR II	2.90600		1.22DR	
	CMX Silver 5,000 CMX							
06OCT17	B	1	DEC17	TTR II	1662.0		1.33DR	
06OCT17	B	1	DEC17	TTR II	1662.5		1.33DR	
06OCT17	S	3	DEC17	TTR II		1664.5	3.99DR	
06OCT17	B	2	DEC17	TTR II	1663.0		2.66DR	
06OCT17	B	2	DEC17	TTR II	1663.5		2.66DR	
06OCT17	B	1	DEC17	TTR II	1660.5		1.33DR	
06OCT17	B	2	DEC17	TTR II	1661.0		2.66DR	
06OCT17	B	1	DEC17	TTR II	1658.5		1.33DR	
06OCT17	B	2	DEC17	TTR II	1657.5		2.66DR	
06OCT17	B	1	DEC17	TTR II	1657.0		1.33DR	
06OCT17	B	1	DEC17	TTR II	1655.0		1.33DR	
06OCT17	B	2	DEC17	TTR II	1650.5		2.66DR	
06OCT17	B	1	DEC17	TTR II	1645.0		1.33DR	
06OCT17	B	1	DEC17	TTR II	1645.5		1.33DR	
06OCT17	B	1	DEC17	TTR II	1643.0		1.33DR	
06OCT17	B	1	DEC17	TTR II	1642.5		1.33DR	
06OCT17	S	1	DEC17	TTR II		1643.0	1.33DR	
06OCT17	B	3	DEC17	TTR II	1650.0		3.99DR	
06OCT17	S	1	DEC17	TTR II		1656.5	1.33DR	
06OCT17	S	2	DEC17	TTR II		1657.0	2.66DR	
06OCT17	S	1	DEC17	TTR II		1657.5	1.33DR	
06OCT17	S	2	DEC17	TTR II		1663.5	2.66DR	
06OCT17	S	2	DEC17	TTR II		1663.0	2.66DR	
06OCT17	S	3	DEC17	TTR II		1664.0	3.99DR	
06OCT17	S	1	DEC17	TTR II		1666.0	1.33DR	
06OCT17	S	2	DEC17	TTR II		1667.0	2.66DR	
06OCT17	S	3	DEC17	TTR II		1668.0	3.99DR	
06OCT17	S	3	DEC17	TTR II		1667.5	3.99DR	
06OCT17	S	4	DEC17	TTR II		1669.0	5.32DR	
06OCT17	S	1	DEC17	TTR II		1672.0	1.33DR	
06OCT17	S	1	DEC17	TTR II		1673.5	1.33DR	
06OCT17	B	1	DEC17	TTR II	1673.5		1.33DR	
06OCT17	B	2	DEC17	TTR II	1674.0		2.66DR	
06OCT17	S	1	DEC17	TTR II		1678.5	1.33DR	
06OCT17	S	1	DEC17	TTR II		1679.0	1.33DR	
06OCT17	S	1	DEC17	TTR II		1679.5	1.33DR	
	Commission							312.96DR
	SETTLEMENTS							
	CNX Nifty (India) Index 2 SIM							
06OCT17		4	OCT17		9998.50	9958.00		324.00DR
06OCT17		6	OCT17		9999.00	9958.00		492.00DR
06OCT17		1	OCT17		9999.00	9968.00		62.00DR
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17		1	NOV17		50.72	50.24		480.00DR
06OCT17		1	NOV17		50.74	50.21		530.00DR
06OCT17		1	NOV17		50.74	50.48		260.00DR
06OCT17		2	NOV17		50.72	50.49		460.00DR
06OCT17		1	NOV17		50.71	50.49		220.00DR
06OCT17		1	NOV17		50.71	50.52		190.00DR
06OCT17		1	NOV17		50.71	50.54		170.00DR
06OCT17		1	NOV17		50.72	50.64		80.00DR
06OCT17		1	NOV17		50.71	50.26		450.00DR
06OCT17		1	NOV17		50.66	50.27		390.00DR
06OCT17		1	NOV17		50.64	50.25		390.00DR
06OCT17		1	NOV17		50.62	50.13		490.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 NOV17	50.61	50.13		480.00DR
06OCT17	1 NOV17	50.62	51.37		750.00CR
06OCT17	1 NOV17	50.56	51.28		720.00CR
06OCT17	1 NOV17	50.56	51.72		1,160.00CR
06OCT17	1 NOV17	50.56	51.71		1,150.00CR
06OCT17	1 NOV17	50.56	51.68		1,120.00CR
06OCT17	1 NOV17	50.56	51.52		960.00CR
06OCT17	1 NOV17	50.57	51.48		910.00CR
06OCT17	1 NOV17	50.56	51.43		870.00CR
06OCT17	1 NOV17	50.56	51.44		880.00CR
06OCT17	1 NOV17	50.63	50.13		500.00DR
06OCT17	1 NOV17	50.08	50.13		50.00CR
06OCT17	1 NOV17	50.05	50.39		340.00CR
06OCT17	1 NOV17	50.05	50.81		760.00CR
	CMX Gold 100 CMX				
06OCT17	1 DEC17	1268.8	1269.7		90.00CR
06OCT17	1 DEC17	1267.7	1269.7		200.00CR
06OCT17	1 DEC17	1268.3	1271.2		290.00CR
06OCT17	1 DEC17	1268.3	1270.8		250.00CR
06OCT17	1 DEC17	1267.9	1270.8		290.00CR
06OCT17	1 DEC17	1268.1	1270.8		270.00CR
06OCT17	1 DEC17	1267.6	1271.2		360.00CR
06OCT17	1 DEC17	1267.0	1271.2		420.00CR
06OCT17	1 DEC17	1265.7	1271.2		550.00CR
06OCT17	2 DEC17	1264.7	1270.7		1,200.00CR
06OCT17	1 DEC17	1263.5	1270.7		720.00CR
06OCT17	1 DEC17	1263.5	1271.5		800.00CR
06OCT17	1 DEC17	1264.6	1271.5		690.00CR
06OCT17	1 DEC17	1264.0	1271.5		750.00CR
06OCT17	1 DEC17	1263.5	1271.5		800.00CR
06OCT17	1 DEC17	1263.7	1271.5		780.00CR
06OCT17	1 DEC17	1263.5	1265.6		210.00CR
06OCT17	1 DEC17	1275.8	1265.9		990.00DR
06OCT17	1 DEC17	1276.0	1267.7		830.00DR
06OCT17	1 DEC17	1275.5	1268.0		750.00DR
06OCT17	1 DEC17	1275.9	1270.5		540.00DR
06OCT17	2 DEC17	1275.9	1270.4		1,100.00DR
06OCT17	1 DEC17	1279.6	1273.4		620.00DR
06OCT17	1 DEC17	1279.6	1274.6		500.00DR
06OCT17	1 DEC17	1280.3	1274.6		570.00DR
06OCT17	2 DEC17	1280.5	1274.9		1,120.00DR
06OCT17	1 DEC17	1280.5	1275.3		520.00DR
06OCT17	1 DEC17	1280.5	1276.3		420.00DR
	CMX Silver 5,000 CMX				
06OCT17	1 DEC17	1663.0	1664.0		50.00CR
06OCT17	1 DEC17	1662.0	1664.5		125.00CR
06OCT17	1 DEC17	1661.0	1664.5		175.00CR
06OCT17	1 DEC17	1662.5	1664.5		100.00CR
06OCT17	1 DEC17	1663.5	1664.0		25.00CR
06OCT17	1 DEC17	1663.0	1663.5		25.00CR
06OCT17	1 DEC17	1663.5	1643.0		1,025.00DR
06OCT17	1 DEC17	1660.5	1657.0		175.00DR
06OCT17	1 DEC17	1661.0	1656.5		225.00DR
06OCT17	1 DEC17	1657.5	1657.0		25.00DR
06OCT17	1 DEC17	1658.5	1657.5		50.00DR
06OCT17	1 DEC17	1657.5	1663.0		275.00CR
06OCT17	1 DEC17	1657.0	1663.5		325.00CR
06OCT17	1 DEC17	1655.0	1663.0		400.00CR
06OCT17	1 DEC17	1650.5	1664.0		675.00CR
06OCT17	1 DEC17	1650.5	1666.0		775.00CR
06OCT17	2 DEC17	1650.0	1667.0		1,700.00CR
06OCT17	1 DEC17	1645.0	1669.0		1,200.00CR
06OCT17	1 DEC17	1645.5	1669.0		1,175.00CR
06OCT17	1 DEC17	1643.0	1668.0		1,250.00CR
06OCT17	1 DEC17	1642.5	1667.5		1,250.00CR
06OCT17	1 DEC17	1650.0	1667.5		875.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	1674.0	1668.0		300.00DR
06OCT17	1 DEC17	1673.5	1668.0		275.00DR
06OCT17	1 DEC17	1674.0	1667.5		325.00DR
06OCT17	1 DEC17	1731.5	1669.0		3,125.00DR
06OCT17	1 DEC17	1688.5	1669.0		975.00DR
06OCT17	1 DEC17	1688.5	1672.0		825.00DR
06OCT17	1 DEC17	1704.5	1673.5		1,550.00DR
06OCT17	1 DEC17	1703.5	1678.5		1,250.00DR
06OCT17	1 DEC17	1703.5	1679.0		1,225.00DR
06OCT17	1 DEC17	1711.5	1679.5		1,600.00DR

TOTALS

BROUGHT FORWARD BALANCE	**47,469.25DR**
SETTLEMENTS	
CMX Silver Futures	**2,550.00DR**
CMX Gold Futures	**710.00CR**
NYM Light Sweet Crude Oil Futures	**4,580.00CR**
CNX Nifty (India) Index Futures	**878.00DR**
COMMISSIONS	**312.96DR**
CARRIED FORWARD BALANCE	**45,920.21DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CBT Mini-Sized Dow 5 CBT				
06OCT17	S 2 DEC17 II		22726.00	3.00DR	
06OCT17	S 1 DEC17 II		22706.00	1.50DR	
06OCT17	B 2 DEC17 II	22694.00		3.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
06OCT17	S 1 05JAN18 DMA EL		10650.00	4.60DR	
	Commission				12.10DR
	SETTLEMENTS				
	CBT Mini-Sized Dow 5 CBT				
06OCT17	2 DEC17	22694.00	22726.00		320.00CR
	OPTIONS ABANDONED				
	CME E-MINI S&P 500 WEEK 1 OPTIONS 50 CME				
29SEP17	S 2 OCT17 2500.00 Put II		6.25		

TOTALS

BROUGHT FORWARD BALANCE	**1,273,834.22CR**
SETTLEMENTS	
CBT Mini-Sized Dow Futures	**320.00CR**
COMMISSIONS	**12.10DR**
CARRIED FORWARD BALANCE	**1,274,142.12CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Nickel 6 tonnes. This is an LME registered client contract							
06OCT17	S	2	05JAN18	DMA EL		10650.00	9.20DR	
06OCT17	S	2	05JAN18	DMA EL		10655.00	9.20DR	
06OCT17	S	1	05JAN18	DMA EL		10645.00	4.60DR	
06OCT17	B	2	05JAN18	DMA EL	10615.00		9.20DR	
06OCT17	B	1	05JAN18	DMA EL	10565.00		4.60DR	
06OCT17	B	1	05JAN18	DMA EL	10570.00		4.60DR	
06OCT17	S	1	05JAN18	DMA EL		10570.00	4.60DR	
06OCT17	B	1	05JAN18	DMA EL	10540.00		4.60DR	
	Commission							50.60DR
	MATCH OUTS							
	LME Lead 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2281.00	2259.00		550.00DR
06JUL17		1	06OCT17		2281.00	2287.00		150.00CR
06JUL17		1	06OCT17		2289.00	2260.00		725.00DR
06JUL17		1	06OCT17		2289.00	2259.00		750.00DR
06JUL17		1	06OCT17		2288.00	2260.50		687.50DR
07JUL17		1	06OCT17		2288.00	2301.00		325.00CR
07JUL17		1	06OCT17		2296.00	2301.00		125.00CR
07JUL17		1	06OCT17		2297.00	2301.00		100.00CR
07JUL17		1	06OCT17		2289.00	2301.00		300.00CR
07JUL17		1	06OCT17		2289.00	2284.00		125.00DR
07JUL17		1	06OCT17		2288.00	2284.00		100.00DR
07JUL17		1	06OCT17		2288.00	2284.00		100.00DR
07JUL17		1	06OCT17		2286.00	2283.50		62.50DR
07JUL17		1	06OCT17		2287.00	2284.00		75.00DR
07JUL17		1	06OCT17		2280.00	2287.00		175.00CR
07JUL17		1	06OCT17		2288.00	2288.00		0.00
07JUL17		1	06OCT17		2290.00	2293.50		87.50CR
07JUL17		1	06OCT17		2291.00	2297.00		150.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		9105.00	9110.00		30.00CR
07JUL17		1	06OCT17		9005.00	9105.00		600.00CR
07JUL17		1	06OCT17		9045.00	9105.00		360.00CR
07JUL17		1	06OCT17		9020.00	8980.00		240.00DR
07JUL17		1	06OCT17		9020.00	9035.00		90.00CR
07JUL17		1	06OCT17		9005.00	8980.00		150.00DR
07JUL17		1	06OCT17		8975.00	8980.00		30.00CR
11JUL17		1	06OCT17		8980.00	9129.00		894.00CR
11JUL17		1	06OCT17		8975.00	9129.00		924.00CR
11JUL17		1	06OCT17		9005.00	9129.00		744.00CR
11JUL17		1	06OCT17		8940.00	9129.00		1,134.00CR
13JUL17		1	06OCT17		8945.00	9206.00		1,566.00CR
13JUL17		1	06OCT17		9035.00	9206.00		1,026.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2786.50	2781.00		137.50DR
06JUL17		1	06OCT17		2789.50	2792.50		75.00CR
06JUL17		1	06OCT17		2787.00	2781.00		150.00DR
06JUL17		1	06OCT17		2787.00	2755.00		800.00DR
06JUL17		1	06OCT17		2786.50	2755.00		787.50DR
06JUL17		1	06OCT17		2760.00	2755.50		112.50DR
07JUL17		1	06OCT17		2786.00	2760.50		637.50DR
07JUL17		1	06OCT17		2799.00	2764.50		862.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2793.50	2786.00		187.50DR
07JUL17	1 06OCT17	2785.00	2802.00		425.00CR
07JUL17	1 06OCT17	2779.00	2782.00		75.00CR
07JUL17	1 06OCT17	2779.00	2774.50		112.50DR

TOTALS

BROUGHT FORWARD BALANCE	**18,435.76DR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**1,762.50DR**
LME Zinc 25 tonnes. This is an LME Futures	**3,212.50DR**
LME Nickel 6 tonnes. This is an LME Futures	**7,008.00CR**
COMMISSIONS	**50.60DR**
CARRIED FORWARD BALANCE	**16,453.36DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Eurodollar 2,500 CME				
06OCT17	B 10 DEC18 CQG II	98.0700		7.10DR	
06OCT17	S 10 DEC18 CQG II		98.0900	7.10DR	
	Commission				14.20DR
	SETTLEMENTS				
	CME Eurodollar 2,500 CME				
06OCT17	10 DEC18	98.0700	98.0900		500.00CR

TOTALS

BROUGHT FORWARD BALANCE	**92,864.10DR**
SETTLEMENTS	
CME Eurodollar Futures	**500.00CR**
COMMISSIONS	**14.20DR**
CARRIED FORWARD BALANCE	**92,378.30DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CBT 10 Year Treasury Notes 1,000 CBT							
06OCT17	S 5	DEC17	TTR	II		124.2500	7.50DR	
06OCT17	B 5	DEC17	TTR	II	124.2500		7.50DR	
	CBT 2 Year Treasury Notes 2,000 CBT							
06OCT17	B 10	DEC17	TTR	II	107.2400		15.00DR	
06OCT17	S 5	DEC17	TTR	II		107.2400	7.50DR	
06OCT17	S 5	DEC17	TTR	II		107.2500	7.50DR	
	ICE US Dollar Index 1,000 FIN							
06OCT17	B 1	DEC17	TTR	EL	94.005		1.27DR	
06OCT17	S 1	DEC17	TTR	EL		93.745	1.27DR	
06OCT17	S 1	DEC17	TTR	EL		93.750	1.27DR	
	Commission							48.81DR
	SETTLEMENTS							
	CBT 10 Year Treasury Notes 1,000 CBT							
06OCT17	5	DEC17			124.2500	124.2500		0.00
	CBT 2 Year Treasury Notes 2,000 CBT							
06OCT17	5	DEC17			107.2400	107.2400		0.00
06OCT17	5	DEC17			107.2400	107.2500		312.50CR
	ICE US Dollar Index 1,000 FIN							
06OCT17	1	DEC17			94.005	93.745		260.00DR
06OCT17	1	DEC17			92.755	93.750		995.00CR

TOTALS

BROUGHT FORWARD BALANCE	**1,194,158.37DR**
SETTLEMENTS	
ICE US Dollar Index Futures	**735.00CR**
CBT 2 Year Treasury Notes Futures	**312.50CR**
COMMISSIONS	**48.81DR**
CARRIED FORWARD BALANCE	**1,193,159.68DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0034

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**602,257.43CR**
	SETTLEMENTS		
	CBT 2 Year Treasury Notes Futures		**312.50CR**
	CBT Mini-Sized Dow Futures		**320.00CR**
	CME Eurodollar Futures		**500.00CR**
	CMX Gold Futures		**710.00CR**
	CMX Silver Futures		**2,550.00DR**
	CNX Nifty (India) Index Futures		**878.00DR**
	ICE US Dollar Index Futures		**735.00CR**
	LME Lead 25 tonnes. This is an Futures		**1,554.50DR**
	LME Nickel 6 tonnes. This is an LME Futures		**7,008.00CR**
	LME Zinc 25 tonnes. This is an LME Futures		**3,212.50DR**
	NYM Light Sweet Crude Oil Futures		**4,580.00CR**
	COMMISSIONS		**438.67DR**
	CARRIED FORWARD BALANCE		**607,789.26CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	Gold 1,000 TCM				
06OCT17	S 9 AUG18 TTR EL		4597	2,610DR	
06OCT17	B 2 AUG18 TTR EL	4596		580DR	
06OCT17	S 28 AUG18 TTR EL		4596	8,120DR	
06OCT17	S 3 AUG18 TTR EL		4593	870DR	
	Commission				12,180DR
	SETTLEMENTS				
	Gold 1,000 TCM				
06OCT17	2 AUG18	4596	4596		0
	TOTALS				
	BROUGHT FORWARD BALANCE				**13,447,035CR**
	COMMISSIONS				**12,180DR**
	CARRIED FORWARD BALANCE				**13,434,855CR**

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Gold 100 CMX				
06OCT17	B 1 DEC17 TTR GX	1270.7		2.30DR	
	CME Japanese Yen 12,500,000 CME				
06OCT17	S 1 DEC17 TTR GX		88.865	2.25DR	
	Commission				4.55DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**114,455.95DR**
	COMMISSIONS				**4.55DR**
	CARRIED FORWARD BALANCE				**114,460.50DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0038O

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	IEU WTI Crude Oil Futures 1,000 IPE								
06OCT17	B	1	DEC18	TTR	EL	50.31		1.68DR	
06OCT17	B	3	DEC18	TTR	EL	50.29		5.04DR	
06OCT17	B	2	DEC18	TTR	EL	50.21		3.36DR	
06OCT17	B	2	DEC18	TTR	EL	50.18		3.36DR	
06OCT17	S	1	JUN19	TTR	EL		49.97	1.68DR	
06OCT17	S	3	JUN19	TTR	EL		49.98	5.04DR	
06OCT17	S	1	DEC19	TTR	EL		49.72	1.68DR	
06OCT17	S	1	DEC19	TTR	EL		49.68	1.68DR	
06OCT17	S	2	DEC19	TTR	EL		49.73	3.36DR	
	IEU Brent Crude 1,000 IPE								
06OCT17	S	4	DEC18	TTR	EL		54.24	6.72DR	
06OCT17	S	2	DEC18	TTR	EL		54.16	3.36DR	
06OCT17	S	2	DEC18	TTR	EL		54.15	3.36DR	
06OCT17	B	1	JUN19	TTR	EL	53.96		1.68DR	
06OCT17	B	3	JUN19	TTR	EL	53.99		5.04DR	
06OCT17	B	1	DEC19	TTR	EL	53.82		1.68DR	
06OCT17	B	1	DEC19	TTR	EL	53.80		1.68DR	
06OCT17	B	1	DEC19	TTR	EL	53.85		1.68DR	
06OCT17	B	1	DEC19	TTR	EL	53.83		1.68DR	
	Commission								53.76DR
	SETTLEMENTS								
	IEU WTI Crude Oil Futures 1,000 IPE								
06OCT17		1	JUN19			51.40	49.97		1,430.00DR
06OCT17		1	JUN19			51.34	49.98		1,360.00DR
06OCT17		1	JUN19			51.34	49.98		1,360.00DR
06OCT17		1	JUN19			51.52	49.98		1,540.00DR
	IEU Brent Crude 1,000 IPE								
06OCT17		1	JUN19			53.96	55.53		1,570.00CR
06OCT17		1	JUN19			53.99	55.48		1,490.00CR
06OCT17		1	JUN19			53.99	55.48		1,490.00CR
06OCT17		1	JUN19			53.99	55.65		1,660.00CR

TOTALS

BROUGHT FORWARD BALANCE	**66,946.29CR**
SETTLEMENTS	
IEU Brent Crude Futures	**6,210.00CR**
IEU WTI Crude Oil Futures Futures	**5,690.00DR**
COMMISSIONS	**53.76DR**
CARRIED FORWARD BALANCE	**67,412.53CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0038
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0038

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**13,447,035CR**
	COMMISSIONS		**12,180DR**
	CARRIED FORWARD BALANCE		**13,434,855CR**

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**191,206.09CR**
	SETTLEMENTS		
	IEU Brent Crude Futures		**6,210.00CR**
	IEU WTI Crude Oil Futures Futures		**5,690.00DR**
	COMMISSIONS		**58.31DR**
	CARRIED FORWARD BALANCE		**191,667.78CR**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0045 Fund Received 06/10/17				175,000.00CR
06OCT17	SAP0045 to SAP0045FX1				85,000.00DR

TOTALS

BROUGHT FORWARD BALANCE	**236,500.86CR**
CASH PAYMENTS/RECEIPTS	**90,000.00CR**
CARRIED FORWARD BALANCE	**326,500.86CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Lead 25 tonnes. This is an							
	LME registered client contract							
06OCT17	B 2	05JAN18	DMA	EL	2543.50		12.00DR	
06OCT17	B 3	05JAN18	DMA	EL	2549.00		18.00DR	
06OCT17	B 1	05JAN18	DMA	EL	2549.50		6.00DR	
06OCT17	B 4	05JAN18	DMA	EL	2547.00		24.00DR	
	Commission							60.00DR
	MATCH OUTS							
	LME Lead 25 tonnes. This is an							
	LME registered client contract							
11SEP17	1	06OCT17			2253.38	2283.50		753.00CR

TOTALS

BROUGHT FORWARD BALANCE	**21,341.45DR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**753.00CR**
COMMISSIONS	**60.00DR**
CARRIED FORWARD BALANCE	**20,648.45DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5858.00	5861.50		87.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2781.00	2759.00		550.00DR
21JUL17	1 06OCT17	2781.00	2754.01		674.75DR
21JUL17	1 06OCT17	2780.00	2754.01		649.75DR
21JUL17	1 06OCT17	2780.00	2754.01		649.75DR
21JUL17	1 06OCT17	2782.00	2754.01		699.75DR
21JUL17	1 06OCT17	2781.50	2754.01		687.25DR
21JUL17	1 06OCT17	2781.00	2754.01		674.75DR
21JUL17	1 06OCT17	2783.00	2754.01		724.75DR
21JUL17	1 06OCT17	2783.00	2754.01		724.75DR
21JUL17	1 06OCT17	2779.50	2754.01		637.25DR
21JUL17	1 06OCT17	2759.50	2754.01		137.25DR
21JUL17	1 06OCT17	2759.50	2754.01		137.25DR
21JUL17	1 06OCT17	2760.00	2754.01		149.75DR
21JUL17	1 06OCT17	2776.50	2754.01		562.25DR

TOTALS

BROUGHT FORWARD BALANCE	**131,310.41CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**87.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**7,659.25DR**
CARRIED FORWARD BALANCE	**123,738.66CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0045
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0045

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**358,206.26CR**
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		**87.50CR**
	LME Lead 25 tonnes. This is an Futures		**753.00CR**
	LME Zinc 25 tonnes. This is an LME Futures		**7,659.25DR**
	CASH PAYMENTS/RECEIPTS		**90,000.00CR**
	COMMISSIONS		**60.00DR**
	CARRIED FORWARD BALANCE		**441,327.51CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description		Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY					
	CMX Gold 100 CMX					
06OCT17	S 1 DEC17	GX		1270.7	2.50DR	
06OCT17	S 3 DEC17	GX		1270.9	7.50DR	
06OCT17	S 1 DEC17	GX		1271.0	2.50DR	
06OCT17	B 1 DEC17	GX	1271.2		2.50DR	
06OCT17	B 1 DEC17	GX	1273.7		2.50DR	
06OCT17	S 1 DEC17	GX		1273.8	2.50DR	
06OCT17	B 1 DEC17	GX	1276.7		2.50DR	
06OCT17	B 1 DEC17	GX	1276.1		2.50DR	
06OCT17	B 1 DEC17	GX	1276.8		2.50DR	
06OCT17	B 1 DEC17	GX	1275.7		2.50DR	
	Commission					30.00DR
	SETTLEMENTS					
	CMX Gold 100 CMX					
06OCT17	1 DEC17		1271.2	1270.9		30.00DR
06OCT17	1 DEC17		1273.7	1270.9		280.00DR
06OCT17	1 DEC17		1276.7	1270.7		600.00DR
06OCT17	1 DEC17		1276.1	1270.9		520.00DR
06OCT17	1 DEC17		1276.8	1271.0		580.00DR
06OCT17	1 DEC17		1275.7	1273.8		190.00DR

TOTALS

BROUGHT FORWARD BALANCE	**19,975.00CR**
SETTLEMENTS	
CMX Gold Futures	**2,200.00DR**
COMMISSIONS	**30.00DR**
CARRIED FORWARD BALANCE	**17,745.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Gold 100 CMX				
06OCT17	S 1 DEC17 GX		1270.8	2.50DR	
06OCT17	S 1 DEC17 GX		1270.9	2.50DR	
06OCT17	S 3 DEC17 GX		1271.0	7.50DR	
06OCT17	S 2 DEC17 GX		1271.2	5.00DR	
06OCT17	S 2 DEC17 GX		1270.2	5.00DR	
06OCT17	B 1 DEC17 GX	1263.3		2.50DR	
06OCT17	B 1 DEC17 GX	1263.7		2.50DR	
06OCT17	B 1 DEC17 GX	1273.0		2.50DR	
06OCT17	B 1 DEC17 GX	1275.0		2.50DR	
06OCT17	B 1 DEC17 GX	1273.6		2.50DR	
06OCT17	B 1 DEC17 GX	1276.5		2.50DR	
06OCT17	B 1 DEC17 GX	1276.6		2.50DR	
06OCT17	B 1 DEC17 GX	1276.8		2.50DR	
06OCT17	B 1 DEC17 GX	1277.3		2.50DR	
	Commission				45.00DR
	SETTLEMENTS				
	CMX Gold 100 CMX				
06OCT17	1 DEC17	1263.3	1271.0		770.00CR
06OCT17	1 DEC17	1263.7	1270.8		710.00CR
06OCT17	1 DEC17	1273.0	1271.0		200.00DR
06OCT17	1 DEC17	1275.0	1270.9		410.00DR
06OCT17	1 DEC17	1273.6	1271.0		260.00DR
06OCT17	1 DEC17	1276.5	1271.2		530.00DR
06OCT17	1 DEC17	1276.6	1271.2		540.00DR
06OCT17	1 DEC17	1276.8	1270.2		660.00DR
06OCT17	1 DEC17	1277.3	1270.2		710.00DR

TOTALS

BROUGHT FORWARD BALANCE	**138,368.50CR**
SETTLEMENTS	
CMX Gold Futures	**1,830.00DR**
COMMISSIONS	**45.00DR**
CARRIED FORWARD BALANCE	**136,493.50CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Henry Hub Natural Gas 10,000 NYM								
06OCT17	B	2	NOV17	CQG	GX	2.90400		5.00DR	
06OCT17	B	4	NOV17	CQG	GX	2.90500		10.00DR	
	CMX Silver 5,000 CMX								
06OCT17	B	1	DEC17	CQG	GX	1663.0		2.50DR	
06OCT17	B	1	DEC17	CQG	GX	1661.5		2.50DR	
06OCT17	S	2	DEC17	CQG	GX		1664.0	5.00DR	
06OCT17	B	1	DEC17	CQG	GX	1659.5		2.50DR	
06OCT17	B	1	DEC17	CQG	GX	1660.0		2.50DR	
06OCT17	B	1	DEC17	CQG	GX	1641.5		2.50DR	
06OCT17	S	1	DEC17	CQG	GX		1657.5	2.50DR	
06OCT17	S	1	DEC17	CQG	GX		1671.5	2.50DR	
06OCT17	S	1	DEC17	CQG	GX		1672.0	2.50DR	
	INR/USD FUTURES 500 CME								
06OCT17	B	1	OCT17	CQG	GX	152.0500		2.50DR	
06OCT17	S	1	OCT17	CQG	GX		152.3100	2.50DR	
	Commission								45.00DR
	SETTLEMENTS								
	CMX Silver 5,000 CMX								
06OCT17		1	DEC17			1663.0	1664.0		50.00CR
06OCT17		1	DEC17			1661.5	1664.0		125.00CR
06OCT17		1	DEC17			1659.5	1657.5		100.00DR
06OCT17		1	DEC17			1660.0	1671.5		575.00CR
06OCT17		1	DEC17			1641.5	1672.0		1,525.00CR
	INR/USD FUTURES 500 CME								
06OCT17		1	OCT17			152.0500	152.3100		130.00CR

TOTALS

BROUGHT FORWARD BALANCE	**72,265.90DR**
SETTLEMENTS	
CMX Silver Futures	**2,175.00CR**
INR/USD FUTURES Futures	**130.00CR**
COMMISSIONS	**45.00DR**
CARRIED FORWARD BALANCE	**70,005.90DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054I

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Gold 100 CMX							
06OCT17	B 1 DEC17	CQG	GX		1270.0		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1269.8		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1269.9		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1270.5		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1270.6		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1263.3		2.75DR	
06OCT17	B 1 DEC17	CQG	GX		1263.6		2.75DR	
	Commission							19.25DR
	SETTLEMENTS							
	CMX Gold 100 CMX							
06OCT17	1 DEC17				1270.0	1294.3		2,430.00CR
06OCT17	1 DEC17				1269.8	1292.8		2,300.00CR
06OCT17	1 DEC17				1269.9	1280.1		1,020.00CR
06OCT17	1 DEC17				1270.5	1282.1		1,160.00CR
06OCT17	1 DEC17				1270.6	1299.2		2,860.00CR
06OCT17	1 DEC17				1263.3	1299.2		3,590.00CR
06OCT17	1 DEC17				1263.6	1299.9		3,630.00CR

TOTALS

BROUGHT FORWARD BALANCE	**128,342.40CR**
SETTLEMENTS	
CMX Gold Futures	**16,990.00CR**
COMMISSIONS	**19.25DR**
CARRIED FORWARD BALANCE	**145,313.15CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Gold 100 CMX							
06OCT17	B	1	DEC17	TTR GX	1271.3		2.30DR	
06OCT17	B	3	DEC17	TTR GX	1274.4		6.90DR	
06OCT17	B	1	DEC17	TTR GX	1267.7		2.30DR	
06OCT17	S	1	DEC17	TTR GX		1275.3	2.30DR	
	CMX Silver 5,000 CMX							
06OCT17	S	3	DEC17	TTR GX		1666.0	6.90DR	
06OCT17	S	1	DEC17	TTR GX		1685.0	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	S	1	05JAN18	TTR EL		3288.50	5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3277.50		5.45DR	
06OCT17	S	1	05JAN18	TTR EL		3285.00	5.45DR	
06OCT17	S	1	05JAN18	TTR EL		3287.00	5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3281.50		5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3278.50		5.45DR	
06OCT17	S	1	05JAN18	TTR EL		3270.50	5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3266.00		5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3256.00		5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3251.50		5.45DR	
06OCT17	S	1	05JAN18	TTR EL		3240.00	5.45DR	
06OCT17	B	1	05JAN18	TTR EL	3237.00		5.45DR	
06OCT17	S	1	05JAN18	TTR EL		3244.50	5.45DR	
06OCT17	S	1	05JAN18	DMA EL		3238.50	5.45DR	
	Commission							99.30DR
	SETTLEMENTS							
	CMX Gold 100 CMX							
06OCT17		1	DEC17		1271.3	1275.3		400.00CR
06OCT17		2	DEC17		1274.4	1329.1		10,940.00CR
06OCT17		1	DEC17		1274.4	1331.2		5,680.00CR
06OCT17		1	DEC17		1267.7	1331.2		6,350.00CR
	CMX Silver 5,000 CMX							
06OCT17		1	DEC17		1799.5	1666.0		6,675.00DR
06OCT17		1	DEC17		1775.0	1666.0		5,450.00DR
06OCT17		1	DEC17		1775.0	1666.0		5,450.00DR
06OCT17		1	DEC17		1785.0	1685.0		5,000.00DR

TOTALS

BROUGHT FORWARD BALANCE	**147,953.68CR**
SETTLEMENTS	
CMX Silver Futures	**22,575.00DR**
CMX Gold Futures	**23,370.00CR**
COMMISSIONS	**99.30DR**
CARRIED FORWARD BALANCE	**148,649.38CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0054

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**757,900.94CR**
	SETTLEMENTS		
	CMX Gold Futures		**36,330.00CR**
	CMX Silver Futures		**20,400.00DR**
	INR/USD FUTURES Futures		**130.00CR**
	COMMISSIONS		**238.55DR**
	CARRIED FORWARD BALANCE		**773,722.39CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	1 06OCT17	5841.00	6468.82		15,695.50CR
08AUG17	1 06OCT17	5841.50	6468.82		15,683.00CR
08AUG17	1 06OCT17	5840.50	6468.82		15,708.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**10,988.30CR**
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				**47,086.50CR**
	CARRIED FORWARD BALANCE				**58,074.80CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP083XA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
06OCT17	B	1	05JAN18	TTR	EL	2158.00		5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2149.00		5.00DR	
06OCT17	B	2	05JAN18	TTR	EL	2148.50		10.00DR	
06OCT17	B	2	05JAN18	TTR	EL	2147.00		10.00DR	
06OCT17	B	2	05JAN18	TTR	EL	2148.00		10.00DR	
06OCT17	S	1	05JAN18	TTR	EL		2144.00	5.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17	B	1	NOV17	TTR	GX	50.71		1.57DR	
06OCT17	B	5	NOV17	TTR	GX	50.68		7.85DR	
06OCT17	B	2	NOV17	TTR	GX	50.66		3.14DR	
06OCT17	B	2	NOV17	TTR	GX	50.70		3.14DR	
06OCT17	B	1	NOV17	TTR	GX	50.60		1.57DR	
06OCT17	B	1	NOV17	TTR	GX	50.54		1.57DR	
06OCT17	S	1	NOV17	TTR	GX		50.74	1.57DR	
06OCT17	S	3	NOV17	TTR	GX		50.75	4.71DR	
06OCT17	B	4	NOV17	TTR	GX	50.55		6.28DR	
06OCT17	B	1	NOV17	TTR	GX	50.45		1.57DR	
06OCT17	S	2	NOV17	TTR	GX		50.26	3.14DR	
06OCT17	S	3	NOV17	TTR	GX		50.29	4.71DR	
06OCT17	S	1	NOV17	TTR	GX		50.32	1.57DR	
06OCT17	S	2	NOV17	TTR	GX		50.34	3.14DR	
06OCT17	S	1	NOV17	TTR	GX		49.75	1.57DR	
06OCT17	S	3	NOV17	TTR	GX		49.73	4.71DR	
06OCT17	S	1	NOV17	TTR	GX		49.53	1.57DR	
06OCT17	B	1	NOV17	TTR	GX	49.48		1.57DR	
06OCT17	B	1	NOV17	TTR	GX	49.46		1.57DR	
06OCT17	B	1	NOV17	TTR	GX	49.37		1.57DR	
06OCT17	S	2	NOV17	TTR	GX		49.55	3.14DR	
06OCT17	B	2	NOV17	TTR	GX	49.36		3.14DR	
06OCT17	S	1	NOV17	TTR	GX		49.37	1.57DR	
06OCT17	S	1	NOV17	TTR	GX		49.36	1.57DR	
06OCT17	S	2	NOV17	TTR	GX		49.39	3.14DR	
06OCT17	B	2	NOV17	TTR	GX	49.39		3.14DR	
06OCT17	B	1	NOV17	TTR	GX	49.40		1.57DR	
06OCT17	B	1	NOV17	TTR	GX	49.38		1.57DR	
06OCT17	B	1	NOV17	TTR	GX	49.35		1.57DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
06OCT17	B	1	05JAN18	TTR	EL	6707.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		6707.50	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
06OCT17	S	1	05JAN18	TTR	EL		2580.00	5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2579.00		5.00DR	
06OCT17	S	2	05JAN18	TTR	EL		2573.50	10.00DR	
06OCT17	S	1	05JAN18	TTR	EL		2574.00	5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2573.00		5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2563.50		5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2564.00		5.00DR	
06OCT17	B	2	05JAN18	TTR	EL	2559.00		10.00DR	
06OCT17	S	2	05JAN18	TTR	EL		2550.00	10.00DR	
06OCT17	S	1	05JAN18	TTR	EL		2540.00	5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2529.50		5.00DR	
06OCT17	B	1	05JAN18	TTR	EL	2525.50		5.00DR	
06OCT17	S	1	05JAN18	TTR	EL		2519.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	TTR	EL	10565.00		5.00DR	
	CMX Silver 5,000 CMX								

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B 1 DEC17	TTR	GX		1638.5		1.68DR	
06OCT17	S 1 DEC17	TTR	GX			1656.0	1.68DR	
06OCT17	S 1 DEC17	TTR	GX			1685.0	1.68DR	
06OCT17	S 1 DEC17	TTR	GX			1687.0	1.68DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B 2 02NOV17	IFC	UD		3249.50		5.40DR	
06OCT17	S 1 05JAN18	DMA	EL			3287.50	5.00DR	
06OCT17	S 1 05JAN18	DMA	EL			3286.00	5.00DR	
06OCT17	S 1 05JAN18	TTR	EL			3270.00	5.00DR	
06OCT17	B 1 05JAN18	TTR	EL		3254.50		5.00DR	
06OCT17	S 1 05JAN18	TTR	EL			3269.50	5.00DR	
06OCT17	B 1 05JAN18	TTR	EL		3255.00		5.00DR	
06OCT17	B 1 05JAN18	TTR	EL		3240.50		5.00DR	
06OCT17	B 1 05JAN18	TTR	EL		3239.00		5.00DR	
06OCT17	S 2 05JAN18	IFC	UD			3234.80	5.40DR	
06OCT17	B 1 05JAN18	DMA	EL		3238.50		5.00DR	
	Commission							281.02DR
	SETTLEMENTS							
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	1 NOV17				50.71	50.74		30.00CR
06OCT17	1 NOV17				50.70	50.75		50.00CR
06OCT17	2 NOV17				50.68	50.75		140.00CR
06OCT17	2 NOV17				50.68	50.26		840.00DR
06OCT17	1 NOV17				50.68	50.29		390.00DR
06OCT17	2 NOV17				50.66	50.29		740.00DR
06OCT17	1 NOV17				50.70	50.32		380.00DR
06OCT17	1 NOV17				50.60	50.34		260.00DR
06OCT17	1 NOV17				50.55	50.34		210.00DR
06OCT17	1 NOV17				50.55	49.73		820.00DR
06OCT17	1 NOV17				50.55	49.73		820.00DR
06OCT17	1 NOV17				50.54	49.75		790.00DR
06OCT17	1 NOV17				50.55	49.73		820.00DR
06OCT17	1 NOV17				50.45	49.53		920.00DR
06OCT17	1 NOV17				49.48	49.55		70.00CR
06OCT17	1 NOV17				49.46	49.55		90.00CR
06OCT17	1 NOV17				49.37	49.37		0.00
06OCT17	1 NOV17				49.36	49.39		30.00CR
06OCT17	1 NOV17				49.36	49.36		0.00
06OCT17	1 NOV17				49.39	49.39		0.00
	CMX Silver 5,000 CMX							
06OCT17	1 DEC17				1638.5	1656.0		875.00CR
06OCT17	1 DEC17				1687.5	1685.0		125.00DR
06OCT17	1 DEC17				1680.0	1687.0		350.00CR
	MATCH OUTS							
	LME Aluminium 25 Tonnes. This is an LME registered client contract.							
07JUL17	1 06OCT17				1936.00	1944.50		212.50CR
07JUL17	1 06OCT17				1935.50	1934.50		25.00DR
19JUL17	2 06OCT17				1940.00	1917.37		1,131.50DR
19JUL17	1 06OCT17				1938.00	1917.37		515.75DR
19JUL17	1 06OCT17				1938.00	1917.37		515.75DR
19JUL17	1 06OCT17				1938.00	1917.37		515.75DR
19JUL17	1 06OCT17				1937.50	1917.37		503.25DR
19JUL17	1 06OCT17				1936.00	1917.57		460.75DR
19JUL17	1 06OCT17				1933.00	1917.57		385.75DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	1 06OCT17	5962.95	5838.00		3,123.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2264.50	2262.00		62.50DR
06JUL17	1 06OCT17	2258.00	2261.00		75.00CR
06JUL17	1 06OCT17	2264.00	2261.00		75.00DR
06JUL17	1 06OCT17	2264.50	2261.00		87.50DR
06JUL17	1 06OCT17	2273.50	2258.50		375.00DR
06JUL17	1 06OCT17	2273.50	2259.00		362.50DR
06JUL17	1 06OCT17	2279.00	2258.50		512.50DR
06JUL17	1 06OCT17	2280.00	2259.00		525.00DR
06JUL17	1 06OCT17	2289.00	2260.00		725.00DR
06JUL17	1 06OCT17	2289.00	2258.00		775.00DR
06JUL17	1 06OCT17	2285.50	2262.00		587.50DR
06JUL17	1 06OCT17	2287.00	2259.00		700.00DR
06JUL17	1 06OCT17	2285.50	2257.00		712.50DR
06JUL17	1 06OCT17	2285.00	2262.00		575.00DR
07JUL17	1 06OCT17	2285.00	2290.00		125.00CR
07JUL17	1 06OCT17	2285.00	2290.00		125.00CR
07JUL17	1 06OCT17	2289.50	2290.00		12.50CR
07JUL17	2 06OCT17	2290.00	2290.00		0.00
07JUL17	1 06OCT17	2299.00	2290.00		225.00DR
07JUL17	1 06OCT17	2301.00	2290.00		275.00DR
07JUL17	1 06OCT17	2300.00	2305.00		125.00CR
07JUL17	1 06OCT17	2295.00	2305.00		250.00CR
07JUL17	1 06OCT17	2295.00	2302.50		187.50CR
07JUL17	1 06OCT17	2294.50	2301.50		175.00CR
07JUL17	1 06OCT17	2294.50	2301.00		162.50CR
07JUL17	1 06OCT17	2297.00	2301.00		100.00CR
07JUL17	1 06OCT17	2296.00	2301.00		125.00CR
07JUL17	1 06OCT17	2296.00	2284.00		300.00DR
07JUL17	1 06OCT17	2290.00	2284.00		150.00DR
07JUL17	1 06OCT17	2289.50	2283.50		150.00DR
07JUL17	1 06OCT17	2288.00	2282.00		150.00DR
07JUL17	1 06OCT17	2289.00	2282.00		175.00DR
07JUL17	1 06OCT17	2283.00	2282.00		25.00DR
07JUL17	1 06OCT17	2281.50	2290.00		212.50CR
07JUL17	1 06OCT17	2280.00	2284.00		100.00CR
07JUL17	1 06OCT17	2276.00	2287.00		275.00CR
07JUL17	1 06OCT17	2296.50	2294.50		50.00DR
07JUL17	1 06OCT17	2295.50	2295.00		12.50DR
07JUL17	1 06OCT17	2296.50	2298.50		50.00CR
07JUL17	1 06OCT17	2295.50	2300.00		112.50CR
07JUL17	1 06OCT17	2296.00	2299.50		87.50CR
07JUL17	1 06OCT17	2295.50	2301.00		137.50CR
07JUL17	1 06OCT17	2295.50	2291.50		100.00DR
07JUL17	1 06OCT17	2298.00	2295.00		75.00DR
07JUL17	1 06OCT17	2299.00	2295.00		100.00DR
07JUL17	1 06OCT17	2299.00	2295.00		100.00DR
07JUL17	1 06OCT17	2299.00	2296.00		75.00DR
19JUL17	1 06OCT17	2298.50	2214.94		2,089.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	8995.00	9115.00		720.00CR
07JUL17	1 06OCT17	8965.00	9005.00		240.00CR
19JUL17	1 06OCT17	8955.00	9643.86		4,133.16CR
19JUL17	1 06OCT17	8940.00	9643.86		4,223.16CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2768.50	2754.50		350.00DR
06JUL17	1 06OCT17	2768.00	2754.50		337.50DR
06JUL17	1 06OCT17	2767.50	2756.50		275.00DR
06JUL17	1 06OCT17	2767.50	2766.00		37.50DR
06JUL17	1 06OCT17	2789.50	2771.50		450.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2799.00	2772.50		662.50DR
06JUL17	1 06OCT17	2798.00	2769.50		712.50DR
06JUL17	1 06OCT17	2799.00	2771.00		700.00DR
06JUL17	1 06OCT17	2795.50	2794.00		37.50DR
06JUL17	1 06OCT17	2788.00	2799.00		275.00CR
06JUL17	1 06OCT17	2786.00	2787.50		37.50CR
06JUL17	1 06OCT17	2787.50	2789.50		50.00CR
06JUL17	1 06OCT17	2784.50	2788.50		100.00CR
06JUL17	1 06OCT17	2773.50	2788.50		375.00CR
06JUL17	1 06OCT17	2774.00	2770.00		100.00DR
06JUL17	1 06OCT17	2774.00	2773.50		12.50DR
07JUL17	1 06OCT17	2781.00	2795.00		350.00CR
07JUL17	1 06OCT17	2779.50	2793.00		337.50CR
07JUL17	1 06OCT17	2782.00	2793.00		275.00CR
07JUL17	1 06OCT17	2781.00	2793.00		300.00CR
07JUL17	1 06OCT17	2781.00	2793.00		300.00CR
07JUL17	1 06OCT17	2784.00	2802.00		450.00CR
07JUL17	1 06OCT17	2783.50	2804.50		525.00CR
07JUL17	1 06OCT17	2781.00	2805.00		600.00CR
07JUL17	1 06OCT17	2785.00	2797.50		312.50CR
07JUL17	1 06OCT17	2775.00	2797.00		550.00CR
07JUL17	1 06OCT17	2780.00	2797.00		425.00CR
07JUL17	1 06OCT17	2782.00	2787.50		137.50CR
07JUL17	1 06OCT17	2783.00	2788.00		125.00CR
19JUL17	1 06OCT17	2772.50	2744.98		688.00DR
19JUL17	1 06OCT17	2770.00	2744.98		625.50DR

TOTALS

BROUGHT FORWARD BALANCE	**223,593.09CR**
SETTLEMENTS	
CMX Silver Futures	**1,100.00CR**
LME Lead 25 tonnes. This is an Futures	**7,689.00DR**
LME Copper Grade A 25 tonnes. This Futures	**3,123.75DR**
LME Zinc 25 tonnes. This is an LME Futures	**536.50CR**
LME Nickel 6 tonnes. This is an LME Futures	**9,316.32CR**
NYM Light Sweet Crude Oil Futures	**6,580.00DR**
LME Aluminium 25 Tonnes. This is an Futures	**3,841.00DR**
COMMISSIONS	**281.02DR**
CARRIED FORWARD BALANCE	**213,031.14CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2283.50	2276.50		175.00DR
07JUL17	1 06OCT17	2284.50	2286.50		50.00CR
07JUL17	1 06OCT17	2282.50	2290.50		200.00CR
19SEP17	1 06OCT17	2298.50	2401.78		2,582.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2778.00	2792.50		362.50CR
07JUL17	1 06OCT17	2776.00	2786.00		250.00CR
07JUL17	1 06OCT17	2781.50	2780.50		25.00DR
19SEP17	1 06OCT17	3114.19	2788.00		8,154.75DR

TOTALS

BROUGHT FORWARD BALANCE	**18,604.70CR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**2,657.00CR**
LME Zinc 25 tonnes. This is an LME Futures	**7,567.25DR**
CARRIED FORWARD BALANCE	**13,694.45CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Tin 5 tonnes. This is an LME registered client contract							
06OCT17	B	1	05JAN18	DMA EL	20615.00		5.00DR	
06OCT17	S	1	05JAN18	DMA EL		20590.00	5.00DR	
06OCT17	S	1	05JAN18	DMA EL		20570.00	5.00DR	
06OCT17	B	1	05JAN18	DMA EL	20605.00		5.00DR	
06OCT17	S	1	05JAN18	DMA EL		20605.00	5.00DR	
06OCT17	B	1	05JAN18	DMA EL	20590.00		5.00DR	
	Commission							30.00DR
	MATCH OUTS							
	LME Nickel 6 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		9080.00	9170.00		540.00CR
07JUL17		1	06OCT17		9070.00	9110.00		240.00CR
19SEP17		1	06OCT17		11081.61	9075.00		12,039.66DR
	LME Tin 5 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		19715.00	19890.00		875.00CR
06JUL17		1	06OCT17		19900.00	19920.00		100.00CR
07JUL17		1	06OCT17		19900.00	19930.00		150.00CR
07JUL17		1	06OCT17		19900.00	19675.00		1,125.00DR
07JUL17		1	06OCT17		19870.00	19675.00		975.00DR
19SEP17		1	06OCT17		19625.00	20740.67		5,578.35CR
19SEP17		1	06OCT17		19725.00	20740.67		5,078.35CR

TOTALS

BROUGHT FORWARD BALANCE	**36,187.20CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**9,681.70CR**
LME Nickel 6 tonnes. This is an LME Futures	**11,259.66DR**
COMMISSIONS	**30.00DR**
CARRIED FORWARD BALANCE	**34,579.24CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Tin 5 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	DMA	EL	21020.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21025.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	21005.00		5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		21020.00	10.00DR	
06OCT17	B	1	05JAN18	DMA	EL	21015.00		5.00DR	
	Commission								30.00DR
	MATCH OUTS								
	LME Tin 5 tonnes. This is an LME registered client contract								
07JUL17		1	06OCT17			19910.00	19875.00		175.00DR
07JUL17		1	06OCT17			19880.00	19925.00		225.00CR

TOTALS

BROUGHT FORWARD BALANCE	**8,265.00CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**50.00CR**
COMMISSIONS	**30.00DR**
CARRIED FORWARD BALANCE	**8,285.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Copper 25,000 CMX				
06OCT17	S 1 DEC17 TTR GX		303.500	1.68DR	
	CME E-Mini S&P 500 50 CME				
06OCT17	S 1 DEC17 TTR GX		2546.25	1.59DR	
06OCT17	B 1 DEC17 TTR GX	2547.25		1.59DR	
	Commission				4.86DR
	SETTLEMENTS				
	CME E-Mini S&P 500 50 CME				
06OCT17	1 DEC17	2547.25	2546.25		50.00DR
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2264.50	2260.50		100.00DR
06JUL17	1 06OCT17	2288.00	2259.50		712.50DR
07JUL17	1 06OCT17	2264.00	2281.00		425.00CR
07JUL17	1 06OCT17	2284.50	2281.00		87.50DR
07JUL17	1 06OCT17	2285.50	2298.00		312.50CR
19SEP17	1 06OCT17	2285.50	2401.58		2,902.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
19SEP17	1 06OCT17	8930.00	11080.61		12,903.66CR
19SEP17	1 06OCT17	8945.00	11080.61		12,813.66CR
19SEP17	1 06OCT17	8975.00	11080.61		12,633.66CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2760.00	2768.50		212.50CR
19SEP17	1 06OCT17	2760.00	3113.99		8,849.75CR
19SEP17	1 06OCT17	2776.00	3113.99		8,449.75CR

TOTALS

BROUGHT FORWARD BALANCE	**72,454.17CR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**2,739.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**17,512.00CR**
CME E-Mini S&P 500 Futures	**50.00DR**
LME Nickel 6 tonnes. This is an LME Futures	**38,350.98CR**
COMMISSIONS	**4.86DR**
CARRIED FORWARD BALANCE	**131,001.79CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Tin 5 tonnes. This is an LME registered client contract				
06OCT17	B 1 05JAN18 DMA EL	20635.00		5.00DR	
06OCT17	S 1 05JAN18 DMA EL		20615.00	5.00DR	
06OCT17	B 1 05JAN18 DMA EL	20575.00		5.00DR	
	Commission				15.00DR
	MATCH OUTS				
	LME Nickel 6 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	9080.00	9100.00		120.00CR
19SEP17	1 06OCT17	8975.00	11080.61		12,633.66CR
	LME Tin 5 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	19710.00	19625.00		425.00DR
07JUL17	1 06OCT17	19615.00	19675.00		300.00CR
07JUL17	1 06OCT17	19685.00	19695.00		50.00CR
19SEP17	1 06OCT17	19660.00	20740.67		5,403.35CR

TOTALS

BROUGHT FORWARD BALANCE	**3,225.45CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**5,328.35CR**
LME Nickel 6 tonnes. This is an LME Futures	**12,753.66CR**
COMMISSIONS	**15.00DR**
CARRIED FORWARD BALANCE	**21,292.46CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0083
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0083

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**322,808.43CR**
	SETTLEMENTS		
	CME E-Mini S&P 500 Futures		**50.00DR**
	CMX Silver Futures		**1,100.00CR**
	LME Aluminium 25 Tonnes. This is an Futures		**3,841.00DR**
	LME Copper Grade A 25 tonnes. This Futures		**43,962.75CR**
	LME Lead 25 tonnes. This is an Futures		**2,292.50DR**
	LME Nickel 6 tonnes. This is an LME Futures		**49,161.30CR**
	LME Tin 5 tonnes. This is an LME Futures		**15,060.05CR**
	LME Zinc 25 tonnes. This is an LME Futures		**10,481.25CR**
	NYM Light Sweet Crude Oil Futures		**6,580.00DR**
	COMMISSIONS		**360.88DR**
	CARRIED FORWARD BALANCE		**429,449.40CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
06JUL17	2 06OCT17	1928.00	1925.50		125.00DR
06JUL17	1 06OCT17	1929.00	1925.50		87.50DR
06JUL17	1 06OCT17	1928.00	1925.50		62.50DR
06JUL17	1 06OCT17	1941.00	1926.00		375.00DR
06JUL17	1 06OCT17	1942.00	1926.00		400.00DR
06JUL17	1 06OCT17	1945.00	1926.50		462.50DR
07JUL17	1 06OCT17	1942.50	1926.50		400.00DR
07JUL17	2 06OCT17	1942.50	1926.00		825.00DR
07JUL17	1 06OCT17	1942.50	1926.00		412.50DR
07JUL17	1 06OCT17	1939.50	1926.00		337.50DR
07JUL17	1 06OCT17	1942.50	1924.00		462.50DR
07JUL17	1 06OCT17	1942.50	1924.00		462.50DR
07JUL17	2 06OCT17	1935.00	1924.00		550.00DR
07JUL17	1 06OCT17	1935.00	1928.50		162.50DR
07JUL17	1 06OCT17	1935.50	1929.00		162.50DR
07JUL17	1 06OCT17	1934.00	1928.50		137.50DR
07JUL17	1 06OCT17	1934.00	1929.00		125.00DR
07JUL17	1 06OCT17	1935.00	1930.00		125.00DR
07JUL17	1 06OCT17	1935.00	1929.00		150.00DR
07JUL17	2 06OCT17	1935.00	1929.00		300.00DR
07JUL17	1 06OCT17	1935.00	1929.50		137.50DR
07JUL17	3 06OCT17	1934.50	1929.00		412.50DR
07JUL17	1 06OCT17	1935.00	1929.00		150.00DR
07JUL17	1 06OCT17	1935.00	1938.00		75.00CR
07JUL17	2 06OCT17	1935.50	1938.00		125.00CR
07JUL17	1 06OCT17	1936.50	1938.50		50.00CR
07JUL17	4 06OCT17	1936.50	1938.00		150.00CR
07JUL17	1 06OCT17	1934.50	1937.50		75.00CR
07JUL17	1 06OCT17	1933.50	1937.00		87.50CR
07JUL17	1 06OCT17	1933.50	1937.50		100.00CR
07JUL17	2 06OCT17	1933.50	1937.50		200.00CR
07JUL17	1 06OCT17	1933.50	1937.00		87.50CR
07JUL17	1 06OCT17	1933.50	1937.50		100.00CR
07JUL17	2 06OCT17	1933.50	1938.50		250.00CR
07JUL17	4 06OCT17	1928.00	1939.00		1,100.00CR
07JUL17	1 06OCT17	1924.50	1938.00		337.50CR
07JUL17	1 06OCT17	1925.00	1938.00		325.00CR
07JUL17	2 06OCT17	1925.00	1939.00		700.00CR
18JUL17	3 06OCT17	1928.47	1939.00		789.75CR
18JUL17	2 06OCT17	1928.47	1937.00		426.50CR
18JUL17	1 06OCT17	1928.47	1936.50		200.75CR
18JUL17	2 06OCT17	1928.47	1935.50		351.50CR
18JUL17	1 06OCT17	1928.47	1939.50		275.75CR
18JUL17	1 06OCT17	1928.47	1940.50		300.75CR
18JUL17	2 06OCT17	1928.47	1940.50		601.50CR
18JUL17	4 06OCT17	1928.47	1941.00		1,253.00CR
18JUL17	1 06OCT17	1928.47	1941.00		313.25CR
18JUL17	1 06OCT17	1928.47	1944.50		400.75CR
18JUL17	2 06OCT17	1928.47	1939.00		526.50CR
18JUL17	2 06OCT17	1928.47	1939.00		526.50CR
18JUL17	4 06OCT17	1928.47	1942.50		1,403.00CR
18JUL17	2 06OCT17	1928.47	1939.50		551.50CR
18JUL17	1 06OCT17	1928.47	1942.50		350.75CR
18JUL17	2 06OCT17	1928.47	1942.50		701.50CR
18JUL17	4 06OCT17	1928.47	1931.50		303.00CR
18JUL17	1 06OCT17	1928.47	1933.50		125.75CR
18JUL17	1 06OCT17	1928.47	1933.50		125.75CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5852.50	5845.00		187.50DR
06JUL17	1 06OCT17	5852.50	5846.00		162.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	2 06OCT17	5852.50	5846.00		325.00DR
06JUL17	1 06OCT17	5851.00	5832.50		462.50DR
06JUL17	1 06OCT17	5851.00	5833.00		450.00DR
06JUL17	1 06OCT17	5843.50	5832.50		275.00DR
06JUL17	1 06OCT17	5844.50	5832.50		300.00DR
06JUL17	1 06OCT17	5847.00	5833.50		337.50DR
06JUL17	1 06OCT17	5847.00	5836.50		262.50DR
06JUL17	1 06OCT17	5845.50	5838.50		175.00DR
06JUL17	1 06OCT17	5838.50	5838.00		12.50DR
06JUL17	1 06OCT17	5840.00	5838.00		50.00DR
06JUL17	1 06OCT17	5835.50	5838.00		62.50CR
06JUL17	1 06OCT17	5835.50	5847.50		300.00CR
06JUL17	1 06OCT17	5838.00	5846.00		200.00CR
06JUL17	1 06OCT17	5838.00	5846.00		200.00CR
06JUL17	1 06OCT17	5837.50	5847.50		250.00CR
06JUL17	1 06OCT17	5836.50	5852.00		387.50CR
06JUL17	1 06OCT17	5835.50	5853.50		450.00CR
07JUL17	1 06OCT17	5835.50	5837.00		37.50CR
07JUL17	1 06OCT17	5833.00	5837.00		100.00CR
07JUL17	1 06OCT17	5835.00	5836.00		25.00CR
07JUL17	1 06OCT17	5835.00	5836.50		37.50CR
07JUL17	1 06OCT17	5834.00	5836.00		50.00CR
07JUL17	1 06OCT17	5845.50	5836.50		225.00DR
07JUL17	1 06OCT17	5840.50	5836.50		100.00DR
07JUL17	1 06OCT17	5841.00	5837.00		100.00DR
07JUL17	1 06OCT17	5855.00	5837.00		450.00DR
07JUL17	1 06OCT17	5855.00	5837.50		437.50DR
07JUL17	1 06OCT17	5855.00	5839.50		387.50DR
07JUL17	1 06OCT17	5859.50	5838.50		525.00DR
07JUL17	1 06OCT17	5859.50	5838.00		537.50DR
07JUL17	1 06OCT17	5859.00	5838.00		525.00DR
07JUL17	1 06OCT17	5859.50	5839.00		512.50DR
07JUL17	1 06OCT17	5861.00	5865.50		112.50CR
07JUL17	1 06OCT17	5861.00	5816.00		1,125.00DR
07JUL17	1 06OCT17	5865.00	5825.50		987.50DR
07JUL17	1 06OCT17	5865.00	5823.00		1,050.00DR
07JUL17	1 06OCT17	5869.00	5828.00		1,025.00DR
07JUL17	1 06OCT17	5869.00	5835.00		850.00DR
07JUL17	1 06OCT17	5868.50	5834.50		850.00DR
07JUL17	1 06OCT17	5868.50	5829.00		987.50DR
18JUL17	1 06OCT17	5868.50	6002.04		3,338.50CR
18JUL17	3 06OCT17	5868.00	6002.04		10,053.00CR
18JUL17	1 06OCT17	5865.50	6002.04		3,413.50CR
18JUL17	1 06OCT17	5863.00	6002.04		3,476.00CR
18JUL17	2 06OCT17	5863.00	6002.04		6,952.00CR
18JUL17	1 06OCT17	5865.50	6002.04		3,413.50CR
18JUL17	1 06OCT17	5867.00	6002.04		3,376.00CR
18JUL17	4 06OCT17	5866.00	6002.04		13,604.00CR
24JUL17	1 06OCT17	5837.00	6022.88		4,647.00CR
24JUL17	1 06OCT17	5836.50	6022.88		4,659.50CR
24JUL17	1 06OCT17	5837.50	6022.88		4,634.50CR
24JUL17	2 06OCT17	5829.00	6022.88		9,694.00CR
24JUL17	1 06OCT17	5828.50	6022.88		4,859.50CR
24JUL17	1 06OCT17	5827.50	6022.88		4,884.50CR
08AUG17	1 06OCT17	5827.50	6468.82		16,033.00CR
08AUG17	1 06OCT17	5822.50	6468.82		16,158.00CR
08AUG17	1 06OCT17	5821.00	6468.82		16,195.50CR
08AUG17	2 06OCT17	5820.50	6468.82		32,416.00CR
08AUG17	2 06OCT17	5821.00	6468.82		32,391.00CR
08AUG17	2 06OCT17	5818.00	6468.82		32,541.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2275.50	2268.50		175.00DR
06JUL17	1 06OCT17	2276.50	2268.00		212.50DR
06JUL17	1 06OCT17	2276.50	2269.00		187.50DR
06JUL17	1 06OCT17	2276.50	2268.00		212.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2275.00	2268.50		162.50DR
06JUL17	1 06OCT17	2276.00	2271.00		125.00DR
06JUL17	1 06OCT17	2276.00	2280.50		112.50CR
06JUL17	1 06OCT17	2273.50	2282.50		225.00CR
06JUL17	1 06OCT17	2273.50	2280.00		162.50CR
06JUL17	1 06OCT17	2273.50	2280.00		162.50CR
06JUL17	1 06OCT17	2271.50	2279.00		187.50CR
06JUL17	1 06OCT17	2270.50	2279.00		212.50CR
06JUL17	1 06OCT17	2271.50	2278.00		162.50CR
06JUL17	1 06OCT17	2271.50	2277.00		137.50CR
06JUL17	1 06OCT17	2271.50	2278.50		175.00CR
06JUL17	1 06OCT17	2271.50	2278.00		162.50CR
06JUL17	1 06OCT17	2272.00	2279.50		187.50CR
06JUL17	1 06OCT17	2261.00	2279.50		462.50CR
06JUL17	1 06OCT17	2257.00	2279.00		550.00CR
06JUL17	1 06OCT17	2258.50	2286.50		700.00CR
06JUL17	1 06OCT17	2261.00	2288.00		675.00CR
06JUL17	1 06OCT17	2261.50	2287.50		650.00CR
06JUL17	1 06OCT17	2264.50	2283.00		462.50CR
06JUL17	1 06OCT17	2264.50	2280.00		387.50CR
06JUL17	1 06OCT17	2264.50	2287.00		562.50CR
06JUL17	1 06OCT17	2264.50	2288.00		587.50CR
06JUL17	2 06OCT17	2264.50	2285.50		1,050.00CR
06JUL17	1 06OCT17	2264.50	2286.00		537.50CR
06JUL17	1 06OCT17	2264.50	2287.50		575.00CR
06JUL17	1 06OCT17	2263.50	2286.50		575.00CR
06JUL17	1 06OCT17	2264.00	2284.50		512.50CR
06JUL17	1 06OCT17	2264.00	2285.00		525.00CR
06JUL17	1 06OCT17	2268.50	2286.50		450.00CR
07JUL17	1 06OCT17	2256.50	2286.00		737.50CR
07JUL17	1 06OCT17	2261.00	2285.00		600.00CR
07JUL17	1 06OCT17	2260.50	2285.00		612.50CR
07JUL17	1 06OCT17	2271.50	2284.00		312.50CR
07JUL17	1 06OCT17	2274.00	2285.00		275.00CR
07JUL17	1 06OCT17	2275.00	2286.00		275.00CR
07JUL17	1 06OCT17	2276.50	2286.00		237.50CR
07JUL17	1 06OCT17	2274.00	2285.50		287.50CR
07JUL17	1 06OCT17	2281.00	2286.00		125.00CR
07JUL17	1 06OCT17	2276.50	2285.50		225.00CR
07JUL17	1 06OCT17	2285.00	2306.00		525.00CR
07JUL17	1 06OCT17	2282.00	2302.50		512.50CR
07JUL17	1 06OCT17	2287.50	2301.50		350.00CR
07JUL17	1 06OCT17	2288.00	2301.50		337.50CR
07JUL17	2 06OCT17	2285.50	2301.00		775.00CR
07JUL17	2 06OCT17	2284.50	2296.50		600.00CR
07JUL17	2 06OCT17	2286.50	2296.50		500.00CR
07JUL17	1 06OCT17	2286.50	2294.50		200.00CR
07JUL17	1 06OCT17	2286.50	2294.00		187.50CR
07JUL17	1 06OCT17	2286.50	2288.50		50.00CR
07JUL17	1 06OCT17	2288.00	2288.50		12.50CR
07JUL17	1 06OCT17	2285.50	2288.50		75.00CR
07JUL17	1 06OCT17	2287.00	2293.50		162.50CR
07JUL17	1 06OCT17	2280.00	2294.50		362.50CR
07JUL17	1 06OCT17	2284.50	2298.00		337.50CR
07JUL17	1 06OCT17	2284.50	2298.00		337.50CR
07JUL17	1 06OCT17	2284.50	2298.50		350.00CR
07JUL17	1 06OCT17	2285.00	2297.00		300.00CR
07JUL17	1 06OCT17	2285.00	2296.50		287.50CR
07JUL17	2 06OCT17	2285.00	2296.00		550.00CR
07JUL17	1 06OCT17	2285.00	2295.50		262.50CR
07JUL17	1 06OCT17	2286.00	2297.50		287.50CR
07JUL17	1 06OCT17	2283.00	2297.50		362.50CR
07JUL17	1 06OCT17	2288.50	2298.50		250.00CR
07JUL17	1 06OCT17	2289.00	2298.50		237.50CR
10JUL17	2 06OCT17	2289.00	2319.44		1,522.00CR
10JUL17	3 06OCT17	2292.50	2319.44		2,020.50CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 06OCT17	2293.00	2319.44		661.00CR
10JUL17	1 06OCT17	2292.50	2319.44		673.50CR
10JUL17	1 06OCT17	2292.50	2319.44		673.50CR
10JUL17	1 06OCT17	2293.00	2319.44		661.00CR
10JUL17	2 06OCT17	2301.50	2319.44		897.00CR
10JUL17	1 06OCT17	2284.00	2319.44		886.00CR
10JUL17	1 06OCT17	2290.00	2319.44		736.00CR
10JUL17	1 06OCT17	2286.00	2319.44		836.00CR
10JUL17	1 06OCT17	2286.50	2319.44		823.50CR
10JUL17	1 06OCT17	2286.00	2319.44		836.00CR
10JUL17	1 06OCT17	2286.00	2319.44		836.00CR
10JUL17	2 06OCT17	2287.50	2319.44		1,597.00CR
10JUL17	1 06OCT17	2288.50	2319.44		773.50CR
10JUL17	1 06OCT17	2287.00	2319.44		811.00CR
10JUL17	1 06OCT17	2299.50	2319.44		498.50CR
10JUL17	1 06OCT17	2300.00	2319.44		486.00CR
10JUL17	1 06OCT17	2301.00	2319.44		461.00CR
10JUL17	1 06OCT17	2299.00	2319.44		511.00CR
10JUL17	1 06OCT17	2281.00	2319.44		961.00CR
10JUL17	3 06OCT17	2283.00	2319.44		2,733.00CR
10JUL17	3 06OCT17	2284.50	2319.44		2,620.50CR
10JUL17	2 06OCT17	2284.00	2319.44		1,772.00CR
10JUL17	1 06OCT17	2286.00	2319.44		836.00CR
10JUL17	1 06OCT17	2286.00	2319.44		836.00CR

TOTALS

BROUGHT FORWARD BALANCE	**1,161,455.99CR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**48,933.50CR**
LME Copper Grade A 25 tonnes. This Futures	**215,277.50CR**
LME Aluminium 25 Tonnes. This is an Futures	**6,465.25CR**
CARRIED FORWARD BALANCE	**1,432,132.24CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5865.00	5841.50		587.50DR
06JUL17	1 06OCT17	5841.00	5841.00		0.00
07JUL17	1 06OCT17	5845.50	5848.50		75.00CR
07JUL17	1 06OCT17	5826.00	5860.00		850.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2295.50	2288.50		175.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2802.50	2792.00		262.50DR

TOTALS

BROUGHT FORWARD BALANCE	**10,032.90CR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**175.00DR**
LME Copper Grade A 25 tonnes. This Futures	**337.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**262.50DR**
CARRIED FORWARD BALANCE	**9,932.90CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5853.00	5864.50		287.50CR
06JUL17	1 06OCT17	5870.50	5869.50		25.00DR
06JUL17	1 06OCT17	5864.50	5851.50		325.00DR
06JUL17	1 06OCT17	5852.00	5837.50		362.50DR
06JUL17	1 06OCT17	5852.00	5838.00		350.00DR
06JUL17	1 06OCT17	5852.00	5839.00		325.00DR
07JUL17	1 06OCT17	5854.50	5848.00		162.50DR
07JUL17	1 06OCT17	5845.00	5834.00		275.00DR
07JUL17	1 06OCT17	5836.00	5818.00		450.00DR
07JUL17	1 06OCT17	5812.00	5818.00		150.00CR
07JUL17	1 06OCT17	5812.00	5838.00		650.00CR
07JUL17	1 06OCT17	5842.00	5848.00		150.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	19645.00	19675.00		150.00CR

TOTALS

BROUGHT FORWARD BALANCE	**9,715.70CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**150.00CR**
LME Copper Grade A 25 tonnes. This Futures	**1,037.50DR**
CARRIED FORWARD BALANCE	**8,828.20CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5863.00	5835.00		700.00DR
06JUL17	1 06OCT17	5852.00	5838.00		350.00DR
06JUL17	1 06OCT17	5852.50	5840.00		312.50DR
06JUL17	1 06OCT17	5840.50	5850.00		237.50CR
07JUL17	1 06OCT17	5850.00	5837.00		325.00DR
07JUL17	1 06OCT17	5829.00	5866.50		937.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19790.00	19805.00		75.00CR
06JUL17	1 06OCT17	19825.00	19820.00		25.00DR
06JUL17	1 06OCT17	19910.00	19930.00		100.00CR
06JUL17	1 06OCT17	19950.00	19910.00		200.00DR
06JUL17	1 06OCT17	19710.00	19725.00		75.00CR
06JUL17	1 06OCT17	19715.00	19710.00		25.00DR
07JUL17	1 06OCT17	19890.00	19965.00		375.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2789.50	2799.00		237.50CR

TOTALS

BROUGHT FORWARD BALANCE	**26,920.05CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**375.00CR**
LME Copper Grade A 25 tonnes. This Futures	**512.50DR**
LME Zinc 25 tonnes. This is an LME Futures	**237.50CR**
CARRIED FORWARD BALANCE	**27,020.05CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5851.00	5837.50		337.50DR
06JUL17	1 06OCT17	5860.00	5838.00		550.00DR
06JUL17	1 06OCT17	5857.00	5839.00		450.00DR
07JUL17	1 06OCT17	5847.00	5818.00		725.00DR
07JUL17	1 06OCT17	5847.00	5834.50		312.50DR
07JUL17	1 06OCT17	5840.00	5841.50		37.50CR
07JUL17	1 06OCT17	5812.00	5838.00		650.00CR
07JUL17	1 06OCT17	5835.00	5839.50		112.50CR
07JUL17	1 06OCT17	5831.50	5840.50		225.00CR
07JUL17	1 06OCT17	5839.00	5850.00		275.00CR
07JUL17	1 06OCT17	5835.50	5848.00		312.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2800.00	2789.50		262.50DR

TOTALS

BROUGHT FORWARD BALANCE	**8,773.40CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**762.50DR**
LME Zinc 25 tonnes. This is an LME Futures	**262.50DR**
CARRIED FORWARD BALANCE	**7,748.40CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5853.00	5836.00		425.00DR
06JUL17	1 06OCT17	5852.00	5841.50		262.50DR
07JUL17	1 06OCT17	5850.00	5840.00		250.00DR
07JUL17	1 06OCT17	5847.00	5866.50		487.50CR
07JUL17	1 06OCT17	5835.00	5862.00		675.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2285.50	2289.50		100.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	9000.00	8990.00		60.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19805.00	19820.00		75.00CR
06JUL17	1 06OCT17	19850.00	19810.00		200.00DR
06JUL17	1 06OCT17	19820.00	19845.00		125.00CR
06JUL17	1 06OCT17	19850.00	19840.00		50.00DR
06JUL17	1 06OCT17	19895.00	19880.00		75.00DR
07JUL17	1 06OCT17	19905.00	19875.00		150.00DR
07JUL17	1 06OCT17	19875.00	19645.00		1,150.00DR
07JUL17	1 06OCT17	19610.00	19630.00		100.00CR
07JUL17	1 06OCT17	19620.00	19675.00		275.00CR
07JUL17	1 06OCT17	19620.00	19920.00		1,500.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2780.00	2779.50		12.50DR
06JUL17	1 06OCT17	2785.50	2779.50		150.00DR

TOTALS

BROUGHT FORWARD BALANCE	**35,981.75CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**450.00CR**
LME Lead 25 tonnes. This is an Futures	**100.00CR**
LME Copper Grade A 25 tonnes. This Futures	**225.00CR**
LME Zinc 25 tonnes. This is an LME Futures	**162.50DR**
LME Nickel 6 tonnes. This is an LME Futures	**60.00DR**
CARRIED FORWARD BALANCE	**36,534.25CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0086

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**465,853.60CR**
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		**6,465.25CR**
	LME Copper Grade A 25 tonnes. This Futures		**213,527.50CR**
	LME Lead 25 tonnes. This is an Futures		**48,858.50CR**
	LME Nickel 6 tonnes. This is an LME Futures		**60.00DR**
	LME Tin 5 tonnes. This is an LME Futures		**975.00CR**
	LME Zinc 25 tonnes. This is an LME Futures		**450.00DR**
	CARRIED FORWARD BALANCE		**735,169.85CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	CMX Copper 25,000 CMX								
06OCT17	B	5	DEC17		GX	302.300		9.75DR	
06OCT17	B	5	DEC17		GX	302.250		9.75DR	
06OCT17	B	5	DEC17		GX	302.050		9.75DR	
	CMX Gold 100 CMX								
06OCT17	B	5	DEC17		GX	1262.9		9.75DR	
06OCT17	S	5	DEC17		GX		1267.1	9.75DR	
06OCT17	S	5	DEC17		GX		1275.4	9.75DR	
	Commission								58.50DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
06OCT17		5	DEC17			302.300	297.800		5,625.00DR
06OCT17		1	DEC17			302.250	301.850		100.00DR
06OCT17		4	DEC17			302.250	301.850		400.00DR
06OCT17		5	DEC17			302.050	301.900		187.50DR
	CMX Gold 100 CMX								
06OCT17		5	DEC17			1262.9	1267.1		2,100.00CR
06OCT17		5	DEC17			1298.3	1275.4		11,450.00DR
	MATCH OUTS								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
07JUL17		1	06OCT17			5832.00	5835.50		87.50CR
07JUL17		3	06OCT17			5832.00	5835.50		262.50CR
07JUL17		1	06OCT17			5832.00	5835.50		87.50CR
13JUL17		5	06OCT17			5813.00	5877.31		8,038.75CR
	OPTIONS ABANDONED								
	CME E-MINI S&P 500 WEEK 1 OPTIONS 50 CME								
25SEP17	S	5	OCT17	2470.00	Put GX		6.00		
25SEP17	S	5	OCT17	2470.00	Put GX		7.25		

TOTALS

BROUGHT FORWARD BALANCE	**243,965.24CR**
SETTLEMENTS	
LME Copper Grade A 25 tonnes. This Futures	**8,476.25CR**
CMX Copper Futures	**6,312.50DR**
CMX Gold Futures	**9,350.00DR**
COMMISSIONS	**58.50DR**
CARRIED FORWARD BALANCE	**236,720.49CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0088
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0088

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**244,418.94CR**
	SETTLEMENTS		
	CMX Copper Futures		**6,312.50DR**
	CMX Gold Futures		**9,350.00DR**
	LME Copper Grade A 25 tonnes. This Futures		**8,476.25CR**
	COMMISSIONS		**58.50DR**
	CARRIED FORWARD BALANCE		**237,174.19CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Gold 100 CMX							
06OCT17	B	1	DEC17	CQG GX	1270.2		2.20DR	
06OCT17	S	1	DEC17	CQG GX		1275.6	2.20DR	
06OCT17	S	1	DEC17	CQG GX		1277.0	2.20DR	
06OCT17	S	1	DEC17	CQG GX		1277.2	2.20DR	
06OCT17	S	1	DEC17	CQG GX		1277.7	2.20DR	
06OCT17	S	1	DEC17	CQG GX		1277.6	2.20DR	
	CMX Silver 5,000 CMX							
06OCT17	S	2	DEC17	CQG GX		1686.0	4.40DR	
	Commission							17.60DR
	SETTLEMENTS							
	CMX Gold 100 CMX							
06OCT17		1	DEC17		1270.2	1275.6		540.00CR
	CMX Silver 5,000 CMX							
06OCT17		1	DEC17		1746.0	1686.0		3,000.00DR
06OCT17		1	DEC17		1746.0	1686.0		3,000.00DR

TOTALS

BROUGHT FORWARD BALANCE	**271,228.21DR**
SETTLEMENTS	
CMX Silver Futures	**6,000.00DR**
CMX Gold Futures	**540.00CR**
COMMISSIONS	**17.60DR**
CARRIED FORWARD BALANCE	**276,705.81DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0089
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0089

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**90,867.37CR**
	SETTLEMENTS		
	CMX Gold Futures		**540.00CR**
	CMX Silver Futures		**6,000.00DR**
	COMMISSIONS		**17.60DR**
	CARRIED FORWARD BALANCE		**85,389.77CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0094 Fund Received 06/10/17				500,000.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**6,986,348.17CR**
	CASH PAYMENTS/RECEIPTS				**500,000.00CR**
	CARRIED FORWARD BALANCE				**7,486,348.17CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Gold 100 CMX							
06OCT17	B 1 DEC17			GX	1263.6		1.73DR	
06OCT17	B 1 DEC17			GX	1263.8		1.73DR	
	CMX Silver 5,000 CMX							
06OCT17	S 10 DEC17			GX		1636.5	17.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B 1 05JAN18		DMA	EL	3286.00		4.35DR	
06OCT17	B 3 05JAN18		DMA	EL	3288.00		13.05DR	
06OCT17	B 1 05JAN18		DMA	EL	3290.00		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3284.00		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3280.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3255.00		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3256.00		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3253.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3250.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3236.50		4.35DR	
06OCT17	S 1 05JAN18		DMA	EL		3245.00	4.35DR	
06OCT17	B 2 05JAN18		DMA	EL	3238.00		8.70DR	
06OCT17	B 1 05JAN18		DMA	EL	3239.00		4.35DR	
06OCT17	S 1 05JAN18		DMA	EL		3247.00	4.35DR	
06OCT17	S 1 05JAN18		DMA	EL		3248.00	4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3239.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3246.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3245.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3246.00		4.35DR	
06OCT17	B 2 05JAN18		DMA	EL	3245.00		8.70DR	
06OCT17	B 2 05JAN18		DMA	EL	3244.00		8.70DR	
06OCT17	B 1 05JAN18		DMA	EL	3238.50		4.35DR	
06OCT17	B 1 05JAN18		DMA	EL	3247.00		4.35DR	
	Commission							142.56DR
	SETTLEMENTS							
	CMX Silver 5,000 CMX							
06OCT17	1 DEC17				1754.5	1636.5		5,900.00DR
06OCT17	1 DEC17				1753.0	1636.5		5,825.00DR
06OCT17	1 DEC17				1752.5	1636.5		5,800.00DR
06OCT17	1 DEC17				1750.5	1636.5		5,700.00DR
06OCT17	2 DEC17				1748.0	1636.5		11,150.00DR
06OCT17	1 DEC17				1747.5	1636.5		5,550.00DR
06OCT17	1 DEC17				1742.0	1636.5		5,275.00DR
06OCT17	1 DEC17				1742.5	1636.5		5,300.00DR
06OCT17	1 DEC17				1744.5	1636.5		5,400.00DR
	MATCH OUTS							
	LME Aluminium 25 Tonnes. This is an LME registered client contract.							
06JUL17	1 06OCT17				1940.00	1945.50		137.50CR
06JUL17	1 06OCT17				1944.50	1943.50		25.00DR
06JUL17	1 06OCT17				1936.00	1940.00		100.00CR
06JUL17	1 06OCT17				1936.50	1940.50		100.00CR
06JUL17	1 06OCT17				1936.50	1942.00		137.50CR
06JUL17	1 06OCT17				1937.50	1941.50		100.00CR
06JUL17	1 06OCT17				1938.50	1942.50		100.00CR
06JUL17	1 06OCT17				1935.50	1944.50		225.00CR
06JUL17	1 06OCT17				1927.00	1936.50		237.50CR
07JUL17	1 06OCT17				1936.50	1945.50		225.00CR
07JUL17	1 06OCT17				1933.00	1935.50		62.50CR
07JUL17	1 06OCT17				1933.00	1939.00		150.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
11JUL17	1 06OCT17	1940.00	1899.32		1,017.00DR
11JUL17	1 06OCT17	1939.00	1899.32		992.00DR
11JUL17	1 06OCT17	1941.50	1899.32		1,054.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
12JUL17	1 06OCT17	2326.85	2282.50		1,108.75DR
12JUL17	1 06OCT17	2326.85	2269.00		1,446.25DR
12JUL17	1 06OCT17	2326.85	2270.50		1,408.75DR
12JUL17	1 06OCT17	2326.85	2273.00		1,346.25DR
13JUL17	1 06OCT17	2293.83	2271.50		558.25DR
13JUL17	1 06OCT17	2293.83	2269.50		608.25DR
13JUL17	1 06OCT17	2293.83	2269.50		608.25DR
13JUL17	1 06OCT17	2293.83	2296.50		66.75CR
17JUL17	1 06OCT17	2294.87	2294.00		21.75DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2782.50	2786.50		100.00CR
06JUL17	1 06OCT17	2781.50	2791.00		237.50CR
06JUL17	1 06OCT17	2781.50	2793.00		287.50CR
06JUL17	1 06OCT17	2781.50	2773.00		212.50DR
07JUL17	1 06OCT17	2781.50	2785.00		87.50CR
07JUL17	1 06OCT17	2786.00	2791.50		137.50CR
07JUL17	1 06OCT17	2793.00	2790.50		62.50DR
07JUL17	1 06OCT17	2771.00	2801.50		762.50CR
07JUL17	1 06OCT17	2770.50	2797.50		675.00CR
07JUL17	1 06OCT17	2783.00	2797.50		362.50CR
07JUL17	1 06OCT17	2782.50	2797.50		375.00CR
07JUL17	1 06OCT17	2781.50	2774.50		175.00DR
07JUL17	1 06OCT17	2760.50	2774.50		350.00CR
07JUL17	1 06OCT17	2767.00	2775.50		212.50CR
07JUL17	1 06OCT17	2776.50	2777.00		12.50CR
07JUL17	1 06OCT17	2785.00	2797.50		312.50CR
07JUL17	1 06OCT17	2785.00	2798.00		325.00CR
07JUL17	1 06OCT17	2785.00	2797.50		312.50CR
07JUL17	1 06OCT17	2781.00	2799.50		462.50CR
07JUL17	1 06OCT17	2781.00	2799.00		450.00CR
12JUL17	1 06OCT17	2779.00	2832.17		1,329.25CR
12JUL17	1 06OCT17	2779.50	2832.17		1,316.75CR
12JUL17	1 06OCT17	2776.50	2832.17		1,391.75CR
13JUL17	1 06OCT17	2776.00	2802.42		660.50CR
13JUL17	1 06OCT17	2772.50	2802.42		748.00CR
13JUL17	1 06OCT17	2777.00	2802.42		635.50CR
18JUL17	1 06OCT17	2785.50	2791.71		155.25CR
18JUL17	1 06OCT17	2784.50	2791.71		180.25CR

TOTALS

BROUGHT FORWARD BALANCE	**156,256.00CR**
SETTLEMENTS	
CMX Silver Futures	**55,900.00DR**
LME Lead 25 tonnes. This is an Futures	**7,039.75DR**
LME Zinc 25 tonnes. This is an LME Futures	**11,429.75CR**
LME Aluminium 25 Tonnes. This is an Futures	**1,513.50DR**
COMMISSIONS	**142.56DR**
CARRIED FORWARD BALANCE	**103,089.94CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Aluminium 25 Tonnes. This is an LME registered client contract.							
06OCT17	S	1	05JAN18	DMA EL		2163.00	4.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract							
06OCT17	B	1	05JAN18	DMA EL	2584.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2577.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2579.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2573.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2568.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2553.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2553.50		4.35DR	
06OCT17	B	4	05JAN18	DMA EL	2551.50		17.40DR	
06OCT17	B	1	05JAN18	DMA EL	2524.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2524.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2544.50		4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2542.50	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2545.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B	1	05JAN18	DMA EL	3291.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3282.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3281.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3282.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3250.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3251.00		4.35DR	
	Commission							100.05DR
	MATCH OUTS							
	LME Aluminium 25 Tonnes. This is an LME registered client contract.							
06JUL17		1	06OCT17		1940.50	1938.00		62.50DR
06JUL17		1	06OCT17		1937.50	1942.00		112.50CR
06JUL17		1	06OCT17		1937.50	1943.00		137.50CR
07JUL17		1	06OCT17		1929.00	1940.50		287.50CR
07JUL17		1	06OCT17		1929.50	1940.50		275.00CR
07JUL17		1	06OCT17		1928.00	1941.50		337.50CR
07JUL17		1	06OCT17		1928.00	1941.50		337.50CR
07JUL17		1	06OCT17		1928.00	1943.00		375.00CR
07JUL17		1	06OCT17		1932.50	1943.00		262.50CR
11JUL17		1	06OCT17		1899.72	1942.50		1,069.50CR
11JUL17		1	06OCT17		1899.72	1945.00		1,132.00CR
	LME Lead 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2278.00	2284.50		162.50CR
06JUL17		1	06OCT17		2285.00	2284.50		12.50DR
07JUL17		1	06OCT17		2285.00	2290.50		137.50CR
07JUL17		1	06OCT17		2288.50	2295.00		162.50CR
11JUL17		1	06OCT17		2288.50	2303.62		378.00CR
12JUL17		1	06OCT17		2287.00	2326.65		991.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2759.50	2759.00		12.50DR
06JUL17		1	06OCT17		2759.50	2763.00		87.50CR
06JUL17		1	06OCT17		2750.50	2766.50		400.00CR
06JUL17		1	06OCT17		2750.50	2766.50		400.00CR
06JUL17		1	06OCT17		2748.50	2767.00		462.50CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2785.00	2770.50		362.50DR
07JUL17	1 06OCT17	2784.50	2773.50		275.00DR
07JUL17	1 06OCT17	2785.00	2787.50		62.50CR
07JUL17	1 06OCT17	2785.00	2777.50		187.50DR
07JUL17	1 06OCT17	2784.50	2788.50		100.00CR
07JUL17	1 06OCT17	2783.00	2789.50		162.50CR
07JUL17	1 06OCT17	2782.00	2791.50		237.50CR
07JUL17	1 06OCT17	2780.00	2794.00		350.00CR
07JUL17	1 06OCT17	2780.00	2797.00		425.00CR
07JUL17	1 06OCT17	2780.50	2803.00		562.50CR
07JUL17	1 06OCT17	2778.50	2803.50		625.00CR
07JUL17	1 06OCT17	2778.00	2783.00		125.00CR
07JUL17	1 06OCT17	2786.00	2787.50		37.50CR
07JUL17	1 06OCT17	2787.00	2796.00		225.00CR
12JUL17	1 06OCT17	2832.17	2796.00		904.25DR
12JUL17	1 06OCT17	2832.17	2798.00		854.25DR
13JUL17	1 06OCT17	2802.42	2799.00		85.50DR
13JUL17	1 06OCT17	2802.42	2800.00		60.50DR
13JUL17	1 06OCT17	2802.42	2801.50		23.00DR
13JUL17	1 06OCT17	2802.42	2800.00		60.50DR
13JUL17	1 06OCT17	2802.42	2801.00		35.50DR
13JUL17	1 06OCT17	2802.42	2799.00		85.50DR

TOTALS

BROUGHT FORWARD BALANCE	**682,398.96DR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**1,819.25CR**
LME Zinc 25 tonnes. This is an LME Futures	**1,316.00CR**
LME Aluminium 25 Tonnes. This is an Futures	**4,264.00CR**
COMMISSIONS	**100.05DR**
CARRIED FORWARD BALANCE	**675,099.76DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Nickel 6 tonnes. This is an LME registered client contract				
06OCT17	S 1 05JAN18 DMA EL		10590.00	4.35DR	
06OCT17	S 1 05JAN18 DMA EL		10585.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
06OCT17	S 1 05JAN18 DMA EL		3263.50	4.35DR	
06OCT17	B 1 05JAN18 DMA EL	3243.00		4.35DR	
	Commission				17.40DR

TOTALS

BROUGHT FORWARD BALANCE	**40,632.73DR**
COMMISSIONS	**17.40DR**
CARRIED FORWARD BALANCE	**40,650.13DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094J

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 06OCT17	5842.50	5841.50		25.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19905.00	19710.00		975.00DR

TOTALS

BROUGHT FORWARD BALANCE	**979,526.37CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**975.00DR**
LME Copper Grade A 25 tonnes. This Futures	**25.00DR**
CARRIED FORWARD BALANCE	**978,526.37CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	2 06OCT17	5844.00	6468.82		31,241.00CR
08AUG17	2 06OCT17	5842.50	6468.82		31,316.00CR
08AUG17	1 06OCT17	5838.00	6468.82		15,770.50CR
09AUG17	5 06OCT17	6443.07	6468.82		3,218.75CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**190,632.10DR**
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				**81,546.25CR**
	CARRIED FORWARD BALANCE				**109,085.85DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0094M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000	**NYM**							
06OCT17	B	1	NOV17	TTR	GX	50.72		2.30DR	
06OCT17	B	2	NOV17	TTR	GX	50.71		4.60DR	
06OCT17	B	1	NOV17	TTR	GX	50.74		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.75		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.57		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.56		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.55		2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.80	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.81	2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.53		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.47		2.30DR	
06OCT17	B	2	NOV17	TTR	GX	50.51		4.60DR	
06OCT17	B	1	NOV17	TTR	GX	50.50		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.35		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	50.34		2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.26	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.18	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.24	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.34	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.29	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.33	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		50.35	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.76	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.71	2.30DR	
06OCT17	S	2	NOV17	TTR	GX		49.77	4.60DR	
06OCT17	S	1	NOV17	TTR	GX		49.75	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.73	2.30DR	
06OCT17	S	2	NOV17	TTR	GX		49.74	4.60DR	
06OCT17	S	1	NOV17	TTR	GX		49.55	2.30DR	
06OCT17	S	3	NOV17	TTR	GX		49.54	6.90DR	
06OCT17	S	1	NOV17	TTR	GX		49.49	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.48	2.30DR	
06OCT17	S	2	NOV17	TTR	GX		49.56	4.60DR	
06OCT17	S	2	NOV17	TTR	GX		49.59	4.60DR	
06OCT17	S	1	NOV17	TTR	GX		49.58	2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.57	2.30DR	
06OCT17	S	2	NOV17	TTR	GX		49.52	4.60DR	
06OCT17	B	1	NOV17	TTR	GX	49.52		2.30DR	
06OCT17	S	2	NOV17	TTR	GX		49.51	4.60DR	
06OCT17	B	2	NOV17	TTR	GX	49.42		4.60DR	
06OCT17	B	1	NOV17	TTR	GX	49.41		2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.42	2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.43		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.29		2.30DR	
06OCT17	B	4	NOV17	TTR	GX	49.16		9.20DR	
06OCT17	B	1	NOV17	TTR	GX	49.15		2.30DR	
06OCT17	B	2	NOV17	TTR	GX	49.14		4.60DR	
06OCT17	B	2	NOV17	TTR	GX	49.12		4.60DR	
06OCT17	B	1	NOV17	TTR	GX	49.19		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.18		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.17		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.24		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.25		2.30DR	
06OCT17	B	1	NOV17	TTR	GX	49.23		2.30DR	
06OCT17	S	1	NOV17	TTR	GX		49.44	2.30DR	
06OCT17	S	3	NOV17	TTR	GX		49.34	6.90DR	
06OCT17	S	2	NOV17	TTR	GX		49.38	4.60DR	
06OCT17	S	4	NOV17	TTR	GX		49.37	9.20DR	
06OCT17	S	6	NOV17	TTR	GX		49.39	13.80DR	
06OCT17	S	3	NOV17	TTR	GX		49.40	6.90DR	
06OCT17	S	1	NOV17	TTR	GX		49.41	2.30DR	
06OCT17	B	2	NOV17	TTR	GX	49.39		4.60DR	
06OCT17	B	5	NOV17	TTR	GX	49.38		11.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B 5	NOV17	TTR	GX	49.40		11.50DR	
06OCT17	B 1	NOV17	TTR	GX	49.37		2.30DR	
06OCT17	B 4	NOV17	TTR	GX	49.36		9.20DR	
	CMX Copper 25,000 CMX							
06OCT17	B 2	DEC17	TTR	GX	304.350		4.60DR	
06OCT17	B 1	DEC17	TTR	GX	304.450		2.30DR	
06OCT17	S 1	DEC17	TTR	GX		304.400	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		305.050	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		305.000	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		305.150	2.30DR	
06OCT17	S 2	DEC17	TTR	GX		305.300	4.60DR	
06OCT17	S 1	DEC17	TTR	GX		304.900	2.30DR	
06OCT17	B 1	DEC17	TTR	GX	302.950		2.30DR	
06OCT17	B 2	DEC17	TTR	GX	302.400		4.60DR	
	LME Nickel 6 tonnes. This is an LME registered client contract							
06OCT17	S 2	05JAN18	TTR	EL		10650.00	8.70DR	
06OCT17	S 1	05JAN18	TTR	EL		10570.00	4.35DR	
06OCT17	S 1	05JAN18	TTR	EL		10590.00	4.35DR	
06OCT17	S 1	05JAN18	TTR	EL		10585.00	4.35DR	
	CMX Silver 5,000 CMX							
06OCT17	B 1	DEC17	TTR	GX	1656.5		2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1657.5	2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1656.0		2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1658.5	2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1642.0		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1640.5		2.30DR	
06OCT17	B 3	DEC17	TTR	GX	1638.0		6.90DR	
06OCT17	B 1	DEC17	TTR	GX	1637.0		2.30DR	
06OCT17	S 2	DEC17	TTR	GX		1644.5	4.60DR	
06OCT17	S 1	DEC17	TTR	GX		1647.5	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1646.0	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1649.5	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1649.0	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1685.0	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1683.5	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1684.0	2.30DR	
06OCT17	S 2	DEC17	TTR	GX		1686.0	4.60DR	
06OCT17	S 1	DEC17	TTR	GX		1688.0	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1686.5	2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1681.5		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1680.5		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1681.0		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1680.0		2.30DR	
06OCT17	B 2	DEC17	TTR	GX	1679.0		4.60DR	
06OCT17	B 1	DEC17	TTR	GX	1678.0		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1675.0		2.30DR	
06OCT17	B 1	DEC17	TTR	GX	1674.0		2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1679.5	2.30DR	
06OCT17	S 1	DEC17	TTR	GX		1680.0	2.30DR	
	Commission							375.95DR
	SETTLEMENTS							
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	1	NOV17			50.71	50.80		90.00CR
06OCT17	1	NOV17			50.72	50.81		90.00CR
06OCT17	1	NOV17			50.71	50.26		450.00DR
06OCT17	1	NOV17			50.74	50.18		560.00DR
06OCT17	1	NOV17			50.75	50.24		510.00DR
06OCT17	1	NOV17			50.57	50.34		230.00DR
06OCT17	1	NOV17			50.56	50.29		270.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 NOV17	50.55	50.33		220.00DR
06OCT17	1 NOV17	50.53	50.35		180.00DR
06OCT17	1 NOV17	50.47	49.76		710.00DR
06OCT17	1 NOV17	50.51	49.71		800.00DR
06OCT17	1 NOV17	50.51	49.77		740.00DR
06OCT17	1 NOV17	50.50	49.77		730.00DR
06OCT17	1 NOV17	50.35	49.74		610.00DR
06OCT17	1 NOV17	50.34	49.75		590.00DR
06OCT17	1 NOV17	49.52	49.73		210.00CR
06OCT17	1 NOV17	49.40	49.74		340.00CR
06OCT17	1 NOV17	49.42	49.56		140.00CR
06OCT17	1 NOV17	49.42	49.55		130.00CR
06OCT17	1 NOV17	49.41	49.54		130.00CR
06OCT17	1 NOV17	49.43	49.54		110.00CR
06OCT17	1 NOV17	49.29	49.54		250.00CR
06OCT17	1 NOV17	49.16	49.51		350.00CR
06OCT17	1 NOV17	49.16	49.52		360.00CR
06OCT17	1 NOV17	49.16	49.49		330.00CR
06OCT17	1 NOV17	49.16	49.48		320.00CR
06OCT17	1 NOV17	49.15	49.56		410.00CR
06OCT17	1 NOV17	49.14	49.59		450.00CR
06OCT17	1 NOV17	49.14	49.59		450.00CR
06OCT17	1 NOV17	49.12	49.58		460.00CR
06OCT17	1 NOV17	49.12	49.57		450.00CR
06OCT17	1 NOV17	49.19	49.52		330.00CR
06OCT17	1 NOV17	49.18	49.51		330.00CR
06OCT17	1 NOV17	49.17	49.42		250.00CR
06OCT17	1 NOV17	49.24	49.39		150.00CR
06OCT17	1 NOV17	49.25	49.40		150.00CR
06OCT17	1 NOV17	49.23	49.40		170.00CR
06OCT17	1 NOV17	49.38	49.34		40.00DR
06OCT17	1 NOV17	49.38	49.34		40.00DR
06OCT17	1 NOV17	49.38	49.37		10.00DR
06OCT17	1 NOV17	49.38	49.44		60.00CR
06OCT17	1 NOV17	49.39	49.34		50.00DR
06OCT17	1 NOV17	49.39	49.37		20.00DR
06OCT17	1 NOV17	49.38	49.37		10.00DR
06OCT17	2 NOV17	49.40	49.38		40.00DR
06OCT17	1 NOV17	49.40	49.37		30.00DR
06OCT17	1 NOV17	49.40	49.39		10.00DR
06OCT17	3 NOV17	49.36	49.39		90.00CR
06OCT17	1 NOV17	49.37	49.39		20.00CR
06OCT17	1 NOV17	49.36	49.40		40.00CR
06OCT17	1 NOV17	50.41	49.41		1,000.00DR
	CMX Copper 25,000 CMX				
06OCT17	1 DEC17	304.350	304.400		12.50CR
06OCT17	1 DEC17	304.350	305.050		175.00CR
06OCT17	1 DEC17	304.450	305.000		137.50CR
06OCT17	1 DEC17	302.950	305.150		550.00CR
06OCT17	1 DEC17	302.400	305.300		725.00CR
06OCT17	1 DEC17	302.400	305.300		725.00CR
	CMX Silver 5,000 CMX				
06OCT17	1 DEC17	1656.5	1657.5		50.00CR
06OCT17	1 DEC17	1656.0	1658.5		125.00CR
06OCT17	1 DEC17	1642.0	1644.5		125.00CR
06OCT17	1 DEC17	1640.5	1644.5		200.00CR
06OCT17	1 DEC17	1638.0	1647.5		475.00CR
06OCT17	1 DEC17	1638.0	1646.0		400.00CR
06OCT17	1 DEC17	1638.0	1649.5		575.00CR
06OCT17	1 DEC17	1637.0	1649.0		600.00CR
06OCT17	1 DEC17	1681.5	1685.0		175.00CR
06OCT17	1 DEC17	1680.5	1683.5		150.00CR
06OCT17	1 DEC17	1681.0	1686.0		250.00CR
06OCT17	1 DEC17	1680.0	1684.0		200.00CR
06OCT17	1 DEC17	1679.0	1686.0		350.00CR
06OCT17	1 DEC17	1679.0	1688.0		450.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	1678.0	1686.5		425.00CR
06OCT17	1 DEC17	1675.0	1679.5		225.00CR
06OCT17	1 DEC17	1674.0	1680.0		300.00CR
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
08AUG17	1 06OCT17	5844.00	6468.82		15,620.50CR
08AUG17	1 06OCT17	5845.00	6468.82		15,595.50CR
08AUG17	1 06OCT17	5843.00	6468.82		15,645.50CR

TOTALS

BROUGHT FORWARD BALANCE	**57,967.15DR**
SETTLEMENTS	
CMX Silver Futures	**5,075.00CR**
LME Copper Grade A 25 tonnes. This Futures	**46,861.50CR**
CMX Copper Futures	**2,325.00CR**
NYM Light Sweet Crude Oil Futures	**1,190.00DR**
COMMISSIONS	**375.95DR**
CARRIED FORWARD BALANCE	**5,271.60DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5842.00	5849.50		187.50CR
08AUG17	4 06OCT17	5842.00	6468.82		62,682.00CR
08AUG17	5 06OCT17	5842.00	6468.82		78,352.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**334,849.60DR**
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				**141,222.00CR**
	CARRIED FORWARD BALANCE				**193,627.60DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 06OCT17	5825.50	5825.50		0.00
07JUL17	1 06OCT17	5813.00	5834.50		537.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2276.00	2277.00		25.00CR
07JUL17	1 06OCT17	2299.00	2291.00		200.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	9090.00	8930.00		960.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	19645.00	19835.00		950.00CR
07JUL17	1 06OCT17	19815.00	19895.00		400.00CR
07JUL17	1 06OCT17	19645.00	19675.00		150.00CR
07JUL17	1 06OCT17	19645.00	19675.00		150.00CR
07JUL17	1 06OCT17	19555.00	19645.00		450.00CR
20JUL17	1 06OCT17	20101.05	19610.00		2,455.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2771.00	2777.50		162.50CR
07JUL17	1 06OCT17	2785.50	2780.00		137.50DR
07JUL17	1 06OCT17	2782.00	2788.50		162.50CR

TOTALS

BROUGHT FORWARD BALANCE	**10,251.77DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**355.25DR**
LME Lead 25 tonnes. This is an Futures	**175.00DR**
LME Copper Grade A 25 tonnes. This Futures	**537.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**187.50CR**
LME Nickel 6 tonnes. This is an LME Futures	**960.00DR**
CARRIED FORWARD BALANCE	**11,017.02DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Lead 25 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	DMA	EL	2545.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		2551.00	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	2526.50		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		2525.50	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	DMA	EL	10560.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		10570.00	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	10540.00		4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	10600.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		10600.00	4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		10635.00	4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	DMA	EL	20930.00		4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	20950.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		20965.00	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	20850.00		4.35DR	
06OCT17	S	2	05JAN18	DMA	EL		21015.00	8.70DR	
06OCT17	S	2	05JAN18	DMA	EL		20995.00	8.70DR	
06OCT17	B	1	05JAN18	DMA	EL	20995.00		4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	21020.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		20775.00	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	20705.00		4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	20610.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		20605.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
06OCT17	S	1	05JAN18	DMA	EL		3279.50	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	3266.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		3252.00	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	3257.00		4.35DR	
06OCT17	S	1	05JAN18	DMA	EL		3257.50	4.35DR	
06OCT17	B	1	05JAN18	DMA	EL	3235.00		4.35DR	
	Commission								130.50DR
	MATCH OUTS								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
07JUL17		1	06OCT17			5814.00	5826.50		312.50CR
	LME Lead 25 tonnes. This is an LME registered client contract								
06JUL17		1	06OCT17			2286.00	2276.50		237.50DR
20JUL17		1	06OCT17			2210.88	2295.50		2,115.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract								
06JUL17		1	06OCT17			9110.00	9130.00		120.00CR
06JUL17		1	06OCT17			9090.00	9145.00		330.00CR
06JUL17		1	06OCT17			9120.00	9115.00		30.00DR
06JUL17		1	06OCT17			9090.00	9080.00		60.00DR
06JUL17		1	06OCT17			9080.00	9095.00		90.00CR
07JUL17		1	06OCT17			9110.00	9010.00		600.00DR
07JUL17		1	06OCT17			9080.00	9070.00		60.00DR
07JUL17		1	06OCT17			9005.00	9070.00		390.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19820.00	19845.00		125.00CR
06JUL17	1 06OCT17	19690.00	19845.00		775.00CR
06JUL17	1 06OCT17	19720.00	19790.00		350.00CR
06JUL17	1 06OCT17	19770.00	19770.00		0.00
06JUL17	1 06OCT17	19830.00	19840.00		50.00CR
06JUL17	1 06OCT17	19830.00	19855.00		125.00CR
06JUL17	1 06OCT17	19830.00	19820.00		50.00DR
06JUL17	1 06OCT17	19825.00	19755.00		350.00DR
06JUL17	1 06OCT17	19820.00	19845.00		125.00CR
06JUL17	1 06OCT17	19895.00	19855.00		200.00DR
06JUL17	1 06OCT17	19895.00	19900.00		25.00CR
06JUL17	1 06OCT17	19715.00	19900.00		925.00CR
07JUL17	1 06OCT17	19715.00	19910.00		975.00CR
07JUL17	1 06OCT17	19860.00	19895.00		175.00CR
07JUL17	1 06OCT17	19890.00	19895.00		25.00CR
07JUL17	1 06OCT17	19875.00	19910.00		175.00CR
20JUL17	1 06OCT17	19895.00	20100.05		1,025.25CR
20JUL17	1 06OCT17	19870.00	20100.05		1,150.25CR
20JUL17	1 06OCT17	19870.00	20100.05		1,150.25CR
20JUL17	1 06OCT17	19855.00	20100.05		1,225.25CR
20JUL17	1 06OCT17	19855.00	20100.05		1,225.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2769.50	2783.00		337.50CR
20JUL17	1 06OCT17	2781.00	2725.62		1,384.50DR

TOTALS

BROUGHT FORWARD BALANCE	**17,093.54DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**9,026.25CR**
LME Lead 25 tonnes. This is an Futures	**1,878.00CR**
LME Copper Grade A 25 tonnes. This Futures	**312.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**1,047.00DR**
LME Nickel 6 tonnes. This is an LME Futures	**180.00CR**
COMMISSIONS	**130.50DR**
CARRIED FORWARD BALANCE	**6,874.29DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Lead 25 tonnes. This is an LME registered client contract							
06OCT17	B	1	05JAN18	DMA EL	2558.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2560.00		4.35DR	
06OCT17	B	2	05JAN18	DMA EL	2559.00		8.70DR	
06OCT17	B	1	05JAN18	DMA EL	2554.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2551.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2552.00		4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2551.50	4.35DR	
06OCT17	S	2	05JAN18	DMA EL		2582.00	8.70DR	
06OCT17	B	1	05JAN18	DMA EL	2545.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2544.50		4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2548.00	4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2526.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2523.00		4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2527.50	4.35DR	
06OCT17	S	2	05JAN18	DMA EL		2528.00	8.70DR	
06OCT17	S	1	05JAN18	DMA EL		2529.50	4.35DR	
06OCT17	B	1	05JAN18	DMA EL	2527.00		4.35DR	
06OCT17	S	5	05JAN18	DMA EL		2529.00	21.75DR	
06OCT17	B	1	05JAN18	DMA EL	2541.50		4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2537.00	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2542.00	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2545.00	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		2546.00	4.35DR	
06OCT17	B	2	05JAN18	DMA EL	2542.50		8.70DR	
	CMX Silver 5,000 CMX							
06OCT17	S	1	DEC17	GX		1661.5	1.73DR	
06OCT17	S	1	DEC17	GX		1662.5	1.73DR	
06OCT17	S	2	DEC17	GX		1664.0	3.46DR	
06OCT17	B	1	DEC17	GX	1638.0		1.73DR	
06OCT17	B	1	DEC17	GX	1639.0		1.73DR	
06OCT17	B	2	DEC17	GX	1638.5		3.46DR	
06OCT17	S	1	DEC17	GX		1688.5	1.73DR	
06OCT17	S	1	DEC17	GX		1685.5	1.73DR	
06OCT17	S	2	DEC17	GX		1686.0	3.46DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	S	1	05JAN18	DMA EL		3292.50	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		3288.50	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		3290.00	4.35DR	
06OCT17	S	2	05JAN18	DMA EL		3293.00	8.70DR	
06OCT17	S	1	05JAN18	DMA EL		3292.00	4.35DR	
06OCT17	S	1	05JAN18	DMA EL		3286.00	4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3259.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3251.50		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3255.00		4.35DR	
06OCT17	B	2	05JAN18	DMA EL	3253.00		8.70DR	
06OCT17	B	1	05JAN18	DMA EL	3243.00		4.35DR	
06OCT17	B	1	05JAN18	DMA EL	3245.00		4.35DR	
	Commission							220.86DR
	SETTLEMENTS							
	CMX Silver 5,000 CMX							
06OCT17		1	DEC17		1638.5	1661.5		1,150.00CR
06OCT17		1	DEC17		1638.0	1662.5		1,225.00CR
06OCT17		1	DEC17		1639.0	1664.0		1,250.00CR
06OCT17		1	DEC17		1638.5	1664.0		1,275.00CR
06OCT17		1	DEC17		1673.5	1688.5		750.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	1674.5	1686.0		575.00CR
06OCT17	1 DEC17	1666.5	1685.5		950.00CR
06OCT17	1 DEC17	1666.0	1686.0		1,000.00CR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
07JUL17	1 06OCT17	1936.50	1941.50		125.00CR
07JUL17	1 06OCT17	1941.50	1933.50		200.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUL17	1 06OCT17	8970.00	10123.14		6,918.84CR
27JUL17	1 06OCT17	8975.00	10123.14		6,888.84CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2781.50	2787.00		137.50CR
06JUL17	1 06OCT17	2781.00	2752.00		725.00DR
06JUL17	1 06OCT17	2784.00	2757.50		662.50DR
06JUL17	1 06OCT17	2782.50	2759.00		587.50DR
06JUL17	1 06OCT17	2781.50	2767.00		362.50DR
06JUL17	1 06OCT17	2781.00	2769.00		300.00DR
06JUL17	1 06OCT17	2758.50	2768.00		237.50CR
06JUL17	1 06OCT17	2759.50	2773.50		350.00CR
06JUL17	1 06OCT17	2761.00	2769.50		212.50CR
06JUL17	1 06OCT17	2759.00	2770.50		287.50CR
07JUL17	1 06OCT17	2751.50	2802.50		1,275.00CR
07JUL17	1 06OCT17	2751.50	2806.00		1,362.50CR
07JUL17	1 06OCT17	2786.00	2804.50		462.50CR
07JUL17	1 06OCT17	2786.00	2769.50		412.50DR
07JUL17	1 06OCT17	2783.00	2773.00		250.00DR
07JUL17	1 06OCT17	2795.00	2774.00		525.00DR
07JUL17	1 06OCT17	2771.50	2797.50		650.00CR
07JUL17	1 06OCT17	2773.50	2800.00		662.50CR
07JUL17	1 06OCT17	2787.00	2800.50		337.50CR
17JUL17	1 06OCT17	2787.00	2813.20		655.00CR
17JUL17	1 06OCT17	2775.50	2813.20		942.50CR
17JUL17	1 06OCT17	2796.50	2813.20		417.50CR

TOTALS

BROUGHT FORWARD BALANCE	**16,861.25DR**
SETTLEMENTS	
CMX Silver Futures	**8,175.00CR**
LME Zinc 25 tonnes. This is an LME Futures	**4,165.00CR**
LME Nickel 6 tonnes. This is an LME Futures	**13,807.68CR**
LME Aluminium 25 Tonnes. This is an Futures	**75.00DR**
COMMISSIONS	**220.86DR**
CARRIED FORWARD BALANCE	**8,990.57CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Silver 5,000 CMX							
06OCT17	S 1 DEC17			GX		1683.5	1.73DR	
06OCT17	S 1 DEC17			GX		1684.0	1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	S 1 05JAN18	DMA		EL		3290.00	4.35DR	
06OCT17	S 1 05JAN18	DMA		EL		3291.00	4.35DR	
06OCT17	S 2 05JAN18	DMA		EL		3291.50	8.70DR	
06OCT17	S 1 05JAN18	DMA		EL		3292.00	4.35DR	
06OCT17	B 2 05JAN18	DMA		EL	3252.00		8.70DR	
06OCT17	B 1 05JAN18	DMA		EL	3235.50		4.35DR	
06OCT17	S 1 05JAN18	DMA		EL		3245.00	4.35DR	
06OCT17	B 2 05JAN18	DMA		EL	3237.50		8.70DR	
	Commission							51.31DR
	SETTLEMENTS							
	CMX Silver 5,000 CMX							
06OCT17	1 DEC17				1676.5	1683.5		350.00CR
06OCT17	1 DEC17				1676.5	1684.0		375.00CR
	MATCH OUTS							
	LME Zinc 25 tonnes. This is an LME registered client contract							
17JUL17	1 06OCT17				2813.40	2780.00		835.00DR
17JUL17	1 06OCT17				2813.40	2795.00		460.00DR
17JUL17	1 06OCT17				2813.40	2771.00		1,060.00DR

TOTALS

BROUGHT FORWARD BALANCE	**52,439.93DR**
SETTLEMENTS	
CMX Silver Futures	**725.00CR**
LME Zinc 25 tonnes. This is an LME Futures	**2,355.00DR**
COMMISSIONS	**51.31DR**
CARRIED FORWARD BALANCE	**54,121.24DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
06JUL17	1 06OCT17	1937.50	1926.50		275.00DR
06JUL17	1 06OCT17	1938.00	1924.50		337.50DR
06JUL17	1 06OCT17	1944.50	1924.00		512.50DR
06JUL17	1 06OCT17	1944.50	1927.00		437.50DR
06JUL17	1 06OCT17	1945.50	1930.50		375.00DR
07JUL17	1 06OCT17	1939.50	1930.50		225.00DR
07JUL17	1 06OCT17	1942.00	1929.00		325.00DR
07JUL17	1 06OCT17	1930.00	1937.50		187.50CR
07JUL17	1 06OCT17	1933.50	1938.00		112.50CR
07JUL17	1 06OCT17	1936.00	1938.00		50.00CR
07JUL17	1 06OCT17	1935.50	1937.50		50.00CR
12JUL17	1 06OCT17	1888.25	1938.00		1,243.75CR
12JUL17	1 06OCT17	1888.25	1937.00		1,218.75CR
17JUL17	1 06OCT17	1915.97	1937.50		538.25CR
17JUL17	1 06OCT17	1915.97	1937.00		525.75CR
19JUL17	2 06OCT17	1917.57	1937.50		996.50CR
19JUL17	1 06OCT17	1917.57	1937.50		498.25CR
19JUL17	1 06OCT17	1917.57	1939.50		548.25CR
21JUL17	1 06OCT17	1912.88	1936.00		578.00CR
21JUL17	2 06OCT17	1912.88	1937.00		1,206.00CR
21JUL17	1 06OCT17	1912.88	1938.00		628.00CR
21JUL17	1 06OCT17	1912.88	1937.50		615.50CR
28JUL17	1 06OCT17	1901.66	1940.00		958.50CR
28JUL17	1 06OCT17	1901.66	1939.50		946.00CR
08AUG17	1 06OCT17	2024.25	1942.00		2,056.25DR
08AUG17	1 06OCT17	2024.25	1942.50		2,043.75DR
08AUG17	1 06OCT17	2024.25	1939.00		2,131.25DR
09AUG17	1 06OCT17	2022.44	1939.50		2,073.50DR
09AUG17	1 06OCT17	2022.44	1934.50		2,198.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5839.00	5849.00		250.00CR
06JUL17	1 06OCT17	5841.00	5841.00		0.00
06JUL17	1 06OCT17	5843.50	5841.50		50.00DR
06JUL17	1 06OCT17	5840.50	5841.50		25.00CR
06JUL17	1 06OCT17	5839.50	5842.00		62.50CR
06JUL17	1 06OCT17	5834.50	5842.00		187.50CR
06JUL17	1 06OCT17	5841.00	5842.50		37.50CR
06JUL17	1 06OCT17	5838.00	5842.50		112.50CR
06JUL17	1 06OCT17	5835.00	5842.50		187.50CR
06JUL17	1 06OCT17	5837.50	5842.50		125.00CR
06JUL17	1 06OCT17	5838.00	5842.50		112.50CR
06JUL17	1 06OCT17	5837.50	5845.00		187.50CR
07JUL17	1 06OCT17	5861.00	5844.50		412.50DR
07JUL17	1 06OCT17	5866.00	5844.50		537.50DR
07JUL17	1 06OCT17	5866.00	5845.00		525.00DR
07JUL17	1 06OCT17	5866.00	5845.00		525.00DR
07JUL17	1 06OCT17	5815.00	5846.50		787.50CR
07JUL17	1 06OCT17	5815.00	5846.00		775.00CR
07JUL17	1 06OCT17	5814.00	5846.00		800.00CR
07JUL17	1 06OCT17	5825.00	5846.00		525.00CR
07JUL17	1 06OCT17	5839.50	5847.50		200.00CR
07JUL17	1 06OCT17	5834.50	5847.50		325.00CR
07JUL17	1 06OCT17	5833.50	5847.50		350.00CR
07JUL17	1 06OCT17	5840.50	5847.50		175.00CR
07JUL17	1 06OCT17	5833.50	5847.50		350.00CR
07JUL17	1 06OCT17	5833.50	5841.50		200.00CR
07JUL17	1 06OCT17	5834.50	5843.00		212.50CR
07JUL17	1 06OCT17	5834.00	5844.00		250.00CR
07JUL17	1 06OCT17	5834.00	5843.00		225.00CR
07JUL17	1 06OCT17	5834.00	5846.50		312.50CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	5838.50	5846.50		200.00CR
07JUL17	1 06OCT17	5837.50	5846.50		225.00CR
07JUL17	1 06OCT17	5834.50	5849.00		362.50CR
07JUL17	1 06OCT17	5833.50	5850.00		412.50CR
07JUL17	1 06OCT17	5836.00	5850.00		350.00CR
07JUL17	1 06OCT17	5834.50	5843.50		225.00CR
07JUL17	1 06OCT17	5834.50	5846.00		287.50CR
07JUL17	1 06OCT17	5831.00	5843.50		312.50CR
07JUL17	1 06OCT17	5827.50	5843.50		400.00CR
07JUL17	1 06OCT17	5829.50	5850.50		525.00CR
07JUL17	2 06OCT17	5823.00	5841.50		925.00CR
07JUL17	1 06OCT17	5822.50	5841.00		462.50CR
07JUL17	1 06OCT17	5838.00	5840.50		62.50CR
17JUL17	1 06OCT17	5992.27	5841.00		3,781.75DR
17JUL17	1 06OCT17	5992.27	5840.50		3,794.25DR
27JUL17	2 06OCT17	6325.25	5848.50		23,837.50DR
27JUL17	1 06OCT17	6325.25	5841.00		12,106.25DR
27JUL17	1 06OCT17	6325.25	5849.00		11,906.25DR
27JUL17	4 06OCT17	6325.25	5849.00		47,625.00DR
27JUL17	1 06OCT17	6325.25	5849.50		11,893.75DR
27JUL17	2 06OCT17	6325.25	5855.00		23,512.50DR
27JUL17	1 06OCT17	6325.45	5851.00		11,861.25DR
27JUL17	1 06OCT17	6325.45	5852.50		11,823.75DR
27JUL17	1 06OCT17	6325.25	5847.50		11,943.75DR
27JUL17	2 06OCT17	6325.25	5854.00		23,562.50DR
27JUL17	2 06OCT17	6325.25	5836.00		24,462.50DR
27JUL17	1 06OCT17	6325.25	5837.50		12,193.75DR
27JUL17	2 06OCT17	6325.25	5839.50		24,287.50DR
27JUL17	1 06OCT17	6325.25	5861.50		11,593.75DR
28JUL17	1 06OCT17	6319.70	5825.00		12,367.50DR
28JUL17	1 06OCT17	6319.70	5828.00		12,292.50DR
28JUL17	1 06OCT17	6319.70	5832.00		12,192.50DR
28JUL17	5 06OCT17	6319.70	5837.00		60,337.50DR
28JUL17	1 06OCT17	6319.70	5843.50		11,905.00DR
03AUG17	2 06OCT17	6343.85	5843.00		25,042.50DR
03AUG17	2 06OCT17	6343.85	5842.50		25,067.50DR
03AUG17	1 06OCT17	6343.85	5842.50		12,533.75DR
03AUG17	1 06OCT17	6343.85	5842.00		12,546.25DR
03AUG17	2 06OCT17	6343.85	5834.00		25,492.50DR
03AUG17	2 06OCT17	6343.85	5834.50		25,467.50DR
08AUG17	1 06OCT17	6469.02	5834.50		15,863.00DR
08AUG17	5 06OCT17	6469.02	5835.00		79,252.50DR
09AUG17	2 06OCT17	6443.27	5829.00		30,713.50DR
09AUG17	2 06OCT17	6443.27	5829.50		30,688.50DR
09AUG17	1 06OCT17	6443.27	5829.00		15,356.75DR
15AUG17	1 06OCT17	6364.34	5827.50		13,421.00DR
15AUG17	1 06OCT17	6364.34	5825.00		13,483.50DR
15AUG17	2 06OCT17	6364.34	5828.50		26,792.00DR
15AUG17	1 06OCT17	6364.34	5830.50		13,346.00DR
05SEP17	1 06OCT17	6877.66	5830.50		26,179.00DR
05SEP17	1 06OCT17	6877.66	5831.00		26,166.50DR
05SEP17	1 06OCT17	6877.66	5828.00		26,241.50DR
05SEP17	1 06OCT17	6877.66	5830.00		26,191.50DR
06SEP17	1 06OCT17	6878.87	5962.55		22,908.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2262.50	2273.00		262.50CR
06JUL17	1 06OCT17	2275.00	2272.50		62.50DR
06JUL17	1 06OCT17	2286.50	2279.00		187.50DR
06JUL17	1 06OCT17	2286.00	2285.00		25.00DR
28JUL17	1 06OCT17	2317.59	2288.50		727.25DR
08AUG17	1 06OCT17	2375.99	2295.00		2,024.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	9130.00	9125.00		30.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	2 06OCT17	9130.00	9125.00		60.00DR
06JUL17	2 06OCT17	9130.00	9125.00		60.00DR
06JUL17	1 06OCT17	9155.00	9125.00		180.00DR
06JUL17	1 06OCT17	9160.00	9125.00		210.00DR
06JUL17	2 06OCT17	9160.00	9130.00		360.00DR
06JUL17	1 06OCT17	9160.00	9085.00		450.00DR
06JUL17	1 06OCT17	9160.00	9085.00		450.00DR
06JUL17	1 06OCT17	9155.00	9095.00		360.00DR
06JUL17	1 06OCT17	9155.00	9095.00		360.00DR
06JUL17	3 06OCT17	9125.00	9085.00		720.00DR
06JUL17	1 06OCT17	9120.00	9085.00		210.00DR
06JUL17	1 06OCT17	9120.00	9080.00		240.00DR
06JUL17	1 06OCT17	9125.00	9080.00		270.00DR
06JUL17	1 06OCT17	9140.00	9080.00		360.00DR
06JUL17	1 06OCT17	9140.00	9090.00		300.00DR
06JUL17	1 06OCT17	9135.00	9095.00		240.00DR
06JUL17	1 06OCT17	9135.00	9080.00		330.00DR
07JUL17	1 06OCT17	9120.00	9070.00		300.00DR
07JUL17	1 06OCT17	9115.00	9065.00		300.00DR
07JUL17	1 06OCT17	9115.00	9050.00		390.00DR
07JUL17	1 06OCT17	9110.00	9055.00		330.00DR
07JUL17	1 06OCT17	9110.00	9050.00		360.00DR
07JUL17	1 06OCT17	9115.00	9050.00		390.00DR
07JUL17	1 06OCT17	9115.00	9055.00		360.00DR
07JUL17	1 06OCT17	9115.00	9060.00		330.00DR
07JUL17	1 06OCT17	9115.00	9065.00		300.00DR
07JUL17	2 06OCT17	9120.00	9030.00		1,080.00DR
07JUL17	1 06OCT17	9135.00	9030.00		630.00DR
07JUL17	1 06OCT17	9130.00	9025.00		630.00DR
07JUL17	1 06OCT17	9130.00	9025.00		630.00DR
07JUL17	1 06OCT17	9135.00	9025.00		660.00DR
07JUL17	3 06OCT17	9135.00	9020.00		2,070.00DR
07JUL17	2 06OCT17	9135.00	9020.00		1,380.00DR
07JUL17	1 06OCT17	9155.00	9020.00		810.00DR
07JUL17	1 06OCT17	9120.00	9020.00		600.00DR
07JUL17	2 06OCT17	9120.00	9020.00		1,200.00DR
07JUL17	1 06OCT17	9120.00	9020.00		600.00DR
07JUL17	1 06OCT17	9120.00	9025.00		570.00DR
07JUL17	4 06OCT17	9120.00	9025.00		2,280.00DR
07JUL17	1 06OCT17	9120.00	9030.00		540.00DR
07JUL17	1 06OCT17	9120.00	9035.00		510.00DR
07JUL17	1 06OCT17	9120.00	9030.00		540.00DR
07JUL17	2 06OCT17	9115.00	9040.00		900.00DR
07JUL17	2 06OCT17	9105.00	9055.00		600.00DR
07JUL17	1 06OCT17	9100.00	9025.00		450.00DR
07JUL17	1 06OCT17	9095.00	9025.00		420.00DR
07JUL17	1 06OCT17	9110.00	9025.00		510.00DR
07JUL17	1 06OCT17	9110.00	9020.00		540.00DR
07JUL17	1 06OCT17	9105.00	9020.00		510.00DR
07JUL17	1 06OCT17	9110.00	9020.00		540.00DR
07JUL17	2 06OCT17	9110.00	9020.00		1,080.00DR
07JUL17	1 06OCT17	9105.00	9020.00		510.00DR
07JUL17	5 06OCT17	9105.00	9020.00		2,550.00DR
07JUL17	1 06OCT17	9115.00	9020.00		570.00DR
07JUL17	1 06OCT17	9120.00	9020.00		600.00DR
07JUL17	1 06OCT17	9100.00	8920.00		1,080.00DR
07JUL17	1 06OCT17	9115.00	8920.00		1,170.00DR
07JUL17	1 06OCT17	9100.00	8920.00		1,080.00DR
07JUL17	3 06OCT17	9080.00	8920.00		2,880.00DR
07JUL17	1 06OCT17	9080.00	8925.00		930.00DR
07JUL17	1 06OCT17	9080.00	8925.00		930.00DR
07JUL17	1 06OCT17	9080.00	8945.00		810.00DR
07JUL17	2 06OCT17	9080.00	8950.00		1,560.00DR
07JUL17	2 06OCT17	9080.00	8960.00		1,440.00DR
07JUL17	1 06OCT17	9080.00	8970.00		660.00DR
07JUL17	1 06OCT17	9080.00	8970.00		660.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	9080.00	8970.00		660.00DR
12JUL17	2 06OCT17	9080.00	9201.50		1,458.00CR
12JUL17	1 06OCT17	9085.00	9201.50		699.00CR
12JUL17	1 06OCT17	9080.00	9201.50		729.00CR
12JUL17	2 06OCT17	9080.00	9201.50		1,458.00CR
12JUL17	1 06OCT17	9090.00	9201.50		669.00CR
12JUL17	1 06OCT17	9030.00	9201.50		1,029.00CR
19JUL17	1 06OCT17	9045.00	9643.86		3,593.16CR
19JUL17	5 06OCT17	9055.00	9643.86		17,665.80CR
19JUL17	1 06OCT17	8995.00	9643.86		3,893.16CR
19JUL17	1 06OCT17	8995.00	9643.86		3,893.16CR
19JUL17	2 06OCT17	8990.00	9643.86		7,846.32CR
19JUL17	2 06OCT17	8990.00	9643.86		7,846.32CR
19JUL17	1 06OCT17	8995.00	9643.86		3,893.16CR
19JUL17	1 06OCT17	8995.00	9643.86		3,893.16CR
19JUL17	1 06OCT17	8995.00	9643.86		3,893.16CR
19JUL17	1 06OCT17	9005.00	9643.86		3,833.16CR
19JUL17	2 06OCT17	9010.00	9643.86		7,606.32CR
26JUL17	2 06OCT17	9010.00	10035.14		12,301.68CR
26JUL17	2 06OCT17	9005.00	10035.14		12,361.68CR
26JUL17	1 06OCT17	9000.00	10035.14		6,210.84CR
27JUL17	1 06OCT17	9000.00	10123.14		6,738.84CR
27JUL17	1 06OCT17	9025.00	10123.14		6,588.84CR
27JUL17	1 06OCT17	9025.00	10123.14		6,588.84CR
27JUL17	1 06OCT17	9020.00	10123.14		6,618.84CR
28JUL17	1 06OCT17	9020.00	10192.43		7,034.58CR
28JUL17	1 06OCT17	9010.00	10192.43		7,094.58CR
28JUL17	2 06OCT17	9010.00	10192.43		14,189.16CR
28JUL17	2 06OCT17	9010.00	10192.43		14,189.16CR
28JUL17	1 06OCT17	9015.00	10192.43		7,064.58CR
28JUL17	2 06OCT17	9015.00	10192.43		14,129.16CR
03AUG17	1 06OCT17	9015.00	10363.14		8,088.84CR
08AUG17	2 06OCT17	9015.00	10612.14		19,165.68CR
08AUG17	3 06OCT17	9015.00	10612.14		28,748.52CR
08AUG17	1 06OCT17	9020.00	10612.14		9,552.84CR
09AUG17	1 06OCT17	9020.00	10739.67		10,318.02CR
09AUG17	1 06OCT17	9025.00	10739.67		10,288.02CR
10AUG17	1 06OCT17	9005.00	10961.94		11,741.64CR
10AUG17	1 06OCT17	9000.00	10961.94		11,771.64CR
10AUG17	1 06OCT17	8995.00	10961.94		11,801.64CR
10AUG17	1 06OCT17	8995.00	10961.94		11,801.64CR
10AUG17	1 06OCT17	8990.00	10961.94		11,831.64CR
10AUG17	1 06OCT17	8985.00	10961.94		11,861.64CR
10AUG17	1 06OCT17	8950.00	10961.94		12,071.64CR
10AUG17	1 06OCT17	8955.00	10961.94		12,041.64CR
22AUG17	1 06OCT17	8925.00	11386.39		14,768.34CR
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19835.00	19755.00		400.00DR
07JUL17	1 06OCT17	19655.00	19735.00		400.00CR
07JUL17	1 06OCT17	19650.00	19725.00		375.00CR
07JUL17	1 06OCT17	19630.00	19700.00		350.00CR
07JUL17	1 06OCT17	19610.00	19715.00		525.00CR
07JUL17	1 06OCT17	19555.00	19845.00		1,450.00CR
12JUL17	1 06OCT17	19906.00	19715.00		955.00DR
12JUL17	1 06OCT17	19905.00	19720.00		925.00DR
14JUL17	1 06OCT17	19817.25	19850.00		163.75CR
14JUL17	1 06OCT17	19817.25	19835.00		88.75CR
14JUL17	1 06OCT17	19817.25	19870.00		263.75CR
14JUL17	1 06OCT17	19816.25	19860.00		218.75CR
14JUL17	1 06OCT17	19817.25	19845.00		138.75CR
14JUL17	1 06OCT17	19817.25	19900.00		413.75CR
14JUL17	1 06OCT17	19816.25	19915.00		493.75CR
17JUL17	1 06OCT17	19934.33	19920.00		71.65DR
17JUL17	1 06OCT17	19934.33	19905.00		146.65DR
17JUL17	1 06OCT17	19933.33	19930.00		16.65DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
28JUL17	1 06OCT17	20634.21	19890.00		3,721.05DR
08AUG17	1 06OCT17	20233.53	19910.00		1,617.65DR
08AUG17	1 06OCT17	20233.53	19920.00		1,567.65DR
08AUG17	1 06OCT17	20233.53	19715.00		2,592.65DR
08AUG17	1 06OCT17	20234.53	19740.00		2,472.65DR
09AUG17	1 06OCT17	20238.37	19695.00		2,716.85DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2766.50	2752.00		362.50DR
06JUL17	1 06OCT17	2763.50	2766.50		75.00CR
06JUL17	1 06OCT17	2763.00	2778.50		387.50CR
06JUL17	1 06OCT17	2764.00	2782.00		450.00CR
06JUL17	1 06OCT17	2771.00	2787.50		412.50CR
06JUL17	1 06OCT17	2768.50	2781.50		325.00CR
06JUL17	1 06OCT17	2766.50	2786.00		487.50CR
06JUL17	1 06OCT17	2770.00	2785.00		375.00CR
06JUL17	1 06OCT17	2775.00	2783.00		200.00CR
06JUL17	1 06OCT17	2779.00	2783.50		112.50CR
07JUL17	1 06OCT17	2778.00	2785.00		175.00CR
07JUL17	1 06OCT17	2788.00	2783.00		125.00DR
07JUL17	1 06OCT17	2792.00	2781.50		262.50DR
14JUL17	1 06OCT17	2801.00	2784.72		407.00DR
14JUL17	1 06OCT17	2802.00	2784.72		432.00DR
17JUL17	1 06OCT17	2802.00	2813.40		285.00CR
17JUL17	1 06OCT17	2802.00	2813.40		285.00CR
21JUL17	1 06OCT17	2800.50	2754.21		1,157.25DR
28JUL17	1 06OCT17	2796.50	2772.09		610.25DR
08AUG17	1 06OCT17	2780.50	2922.22		3,543.00CR
08AUG17	1 06OCT17	2769.50	2922.22		3,818.00CR
08AUG17	1 06OCT17	2780.50	2922.22		3,543.00CR

TOTALS

BROUGHT FORWARD BALANCE	**1,410,753.50CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**12,322.20DR**
LME Lead 25 tonnes. This is an Futures	**2,764.50DR**
LME Copper Grade A 25 tonnes. This Futures	**862,559.25DR**
LME Zinc 25 tonnes. This is an LME Futures	**11,117.50CR**
LME Nickel 6 tonnes. This is an LME Futures	**344,243.04CR**
LME Aluminium 25 Tonnes. This is an Futures	**2,089.25DR**
CARRIED FORWARD BALANCE	**886,378.84CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AK

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description			Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY						
	CMX Copper 25,000 CMX						
06OCT17	B 1 DEC17		GX	304.350		2.30DR	
06OCT17	B 1 DEC17		GX	302.100		2.30DR	
06OCT17	B 1 DEC17		GX	302.400		2.30DR	
	NYM Henry Hub Natural Gas 10,000 NYM						
06OCT17	B 1 NOV17		GX	2.90500		2.30DR	
06OCT17	B 1 NOV17		GX	2.90300		2.30DR	
06OCT17	S 1 NOV17		GX		2.91100	2.30DR	
	Commission						13.80DR
	SETTLEMENTS						
	CMX Copper 25,000 CMX						
06OCT17	1 DEC17			304.350	301.300		762.50DR
06OCT17	1 DEC17			302.100	301.500		150.00DR
06OCT17	1 DEC17			302.400	303.000		150.00CR
	NYM Henry Hub Natural Gas 10,000 NYM						
06OCT17	1 NOV17			2.90500	2.91100		60.00CR

TOTALS

BROUGHT FORWARD BALANCE	**21,725.65CR**
SETTLEMENTS	
NYM Henry Hub Natural Gas Futures	**60.00CR**
CMX Copper Futures	**762.50DR**
COMMISSIONS	**13.80DR**
CARRIED FORWARD BALANCE	**21,009.35CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY **LME Aluminium 25 Tonnes. This is an LME registered client contract.**				
06OCT17	B 1 05JAN18 DMA EL	2157.50		4.85DR	
	Commission				4.85DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**5,287.97DR**
	COMMISSIONS				**4.85DR**
	CARRIED FORWARD BALANCE				**5,292.82DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CMX Silver 5,000 CMX				
06OCT17	B 1 DEC17 GX	1659.5		2.30DR	
06OCT17	B 1 DEC17 GX	1657.5		2.30DR	
	Commission				4.60DR

TOTALS

BROUGHT FORWARD BALANCE	**38,441.72DR**
COMMISSIONS	**4.60DR**
CARRIED FORWARD BALANCE	**38,446.32DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
06OCT17	S 1 05JAN18 TTR EL		2166.50	4.35DR	
06OCT17	S 1 05JAN18 DMA EL		2141.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2145.50		4.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
06OCT17	S 1 05JAN18 TTR EL		2580.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2555.00		4.35DR	
06OCT17	B 1 05JAN18 DMA EL	2582.00		4.35DR	
06OCT17	B 1 05JAN18 DMA EL	2547.50		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		2548.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2530.50		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2518.00		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		2537.50	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		2543.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2536.50		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	2534.50		4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
06OCT17	S 3 05JAN18 TTR EL		10650.00	13.05DR	
06OCT17	S 1 05JAN18 TTR EL		10560.00	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		10555.00	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		10565.00	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		10590.00	4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
06OCT17	B 1 05JAN18 TTR EL	20980.00		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	20985.00		4.35DR	
06OCT17	B 1 05JAN18 DMA EL	20975.00		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	20685.00		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	20600.00		4.35DR	
06OCT17	B 1 05JAN18 TTR EL	20595.00		4.35DR	
06OCT17	B 1 05JAN18 DMA EL	20595.00		4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
06OCT17	B 1 05JAN18 TTR EL	3290.50		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3281.00	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3277.00	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	3286.00		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3286.00	4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3280.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	3252.00		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3258.00	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	3251.50		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3246.50	4.35DR	
06OCT17	B 1 05JAN18 TTR EL	3243.50		4.35DR	
06OCT17	S 1 05JAN18 TTR EL		3245.00	4.35DR	
06OCT17	S 1 05JAN18 DMA EL		3244.50	4.35DR	
	Commission				178.35DR

TOTALS

BROUGHT FORWARD BALANCE	**43,244.90DR**
COMMISSIONS	**178.35DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				**43,423.25DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**7,302,175.74CR**
	SETTLEMENTS		
	CMX Copper Futures		**1,562.50CR**
	CMX Silver Futures		**41,925.00DR**
	LME Aluminium 25 Tonnes. This is an Futures		**586.25CR**
	LME Copper Grade A 25 tonnes. This Futures		**592,104.50DR**
	LME Lead 25 tonnes. This is an Futures		**6,282.00DR**
	LME Nickel 6 tonnes. This is an LME Futures		**357,270.72CR**
	LME Tin 5 tonnes. This is an LME Futures		**4,626.20DR**
	LME Zinc 25 tonnes. This is an LME Futures		**24,813.75CR**
	NYM Henry Hub Natural Gas Futures		**60.00CR**
	NYM Light Sweet Crude Oil Futures		**1,190.00DR**
	CASH PAYMENTS/RECEIPTS		**500,000.00CR**
	COMMISSIONS		**1,240.23DR**
	CARRIED FORWARD BALANCE		**7,539,101.03CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2780.00	2790.00		250.00CR
26SEP17	1 06OCT17	2759.00	3148.22		9,730.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				**87,716.50DR**
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				**9,980.50CR**
	CARRIED FORWARD BALANCE				**77,736.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 06OCT17	1927.00	1909.13		446.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 06OCT17	2286.50	2257.11		734.75DR
24JUL17	1 06OCT17	2286.50	2257.11		734.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 06OCT17	9140.00	9770.07		3,780.42CR
24JUL17	1 06OCT17	9115.00	9770.07		3,930.42CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 06OCT17	20215.26	19845.00		1,851.30DR
24JUL17	1 06OCT17	20215.26	19835.00		1,901.30DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	2 06OCT17	2787.29	2782.00		264.50DR
24JUL17	1 06OCT17	2787.29	2773.00		357.25DR

TOTALS

BROUGHT FORWARD BALANCE	**32,268.72CR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**3,752.60DR**
LME Lead 25 tonnes. This is an Futures	**1,469.50DR**
LME Zinc 25 tonnes. This is an LME Futures	**621.75DR**
LME Nickel 6 tonnes. This is an LME Futures	**7,710.84CR**
LME Aluminium 25 Tonnes. This is an Futures	**446.75DR**
CARRIED FORWARD BALANCE	**33,688.96CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	1 06OCT17	3148.42	2787.50		9,023.00DR
26SEP17	1 06OCT17	3148.42	2787.50		9,023.00DR
26SEP17	1 06OCT17	3148.42	2788.00		9,010.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**121,548.15CR**
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				**27,056.50DR**
	CARRIED FORWARD BALANCE				**94,491.65CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
18JUL17	10 06OCT17	1928.47	1929.00		132.50CR
18JUL17	1 06OCT17	1928.47	1936.50		200.75CR
18JUL17	1 06OCT17	1928.47	1936.50		200.75CR
18JUL17	1 06OCT17	1928.47	1937.00		213.25CR
18JUL17	5 06OCT17	1928.47	1937.00		1,066.25CR
19JUL17	2 06OCT17	1917.57	1937.00		971.50CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
06JUL17	1 06OCT17	5837.50	5843.00		137.50CR
06JUL17	1 06OCT17	5839.50	5848.00		212.50CR
07JUL17	1 06OCT17	5866.50	5837.00		737.50DR
07JUL17	1 06OCT17	5868.00	5838.00		750.00DR
07JUL17	1 06OCT17	5828.50	5836.00		187.50CR
13JUL17	1 06OCT17	5873.95	5837.00		923.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2268.00	2267.50		12.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	5 06OCT17	9150.00	9020.00		3,900.00DR
20JUL17	4 06OCT17	9140.00	9488.07		8,353.68CR
24JUL17	1 06OCT17	9140.00	9770.07		3,780.42CR
24JUL17	2 06OCT17	9115.00	9770.07		7,860.84CR
24JUL17	1 06OCT17	9105.00	9770.07		3,990.42CR
24JUL17	3 06OCT17	9105.00	9770.07		11,971.26CR
24JUL17	1 06OCT17	9080.00	9770.07		4,140.42CR
24JUL17	4 06OCT17	9015.00	9770.07		18,121.68CR
27JUL17	1 06OCT17	9015.00	10123.14		6,648.84CR
	LME Tin 5 tonnes. This is an LME registered client contract				
13JUL17	1 06OCT17	19810.00	19695.00		575.00DR
18JUL17	1 06OCT17	20012.89	19685.00		1,639.45DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2793.50	2785.00		212.50DR
14JUL17	1 06OCT17	2793.20	2784.92		207.00DR

TOTALS

BROUGHT FORWARD BALANCE	**143,903.94DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**2,214.45DR**
LME Lead 25 tonnes. This is an Futures	**12.50DR**
LME Copper Grade A 25 tonnes. This Futures	**1,873.75DR**
LME Zinc 25 tonnes. This is an LME Futures	**419.50DR**
LME Nickel 6 tonnes. This is an LME Futures	**60,967.56CR**
LME Aluminium 25 Tonnes. This is an Futures	**2,785.00CR**
CARRIED FORWARD BALANCE	**84,671.58DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
07JUL17	1 06OCT17	1927.00	1944.50		437.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	8990.00	8975.00		90.00DR
07JUL17	1 06OCT17	8995.00	8975.00		120.00DR
07JUL17	1 06OCT17	8950.00	8975.00		150.00CR
26JUL17	1 06OCT17	10036.14	8975.00		6,366.84DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2774.50	2758.50		400.00DR
26JUL17	1 06OCT17	2806.43	2758.50		1,198.25DR
26JUL17	2 06OCT17	2806.43	2760.00		2,321.50DR
26JUL17	1 06OCT17	2806.43	2759.50		1,173.25DR
26JUL17	1 06OCT17	2806.43	2789.50		423.25DR
26JUL17	1 06OCT17	2806.43	2801.50		123.25DR
26JUL17	1 06OCT17	2806.43	2799.50		173.25DR

TOTALS

BROUGHT FORWARD BALANCE	**39,037.33CR**
SETTLEMENTS	
LME Zinc 25 tonnes. This is an LME Futures	**5,812.75DR**
LME Nickel 6 tonnes. This is an LME Futures	**6,426.84DR**
LME Aluminium 25 Tonnes. This is an Futures	**437.50CR**
CARRIED FORWARD BALANCE	**27,235.24CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AB

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
27JUL17	1 06OCT17	2297.50	2306.36		221.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2786.50	2766.00		512.50DR
27JUL17	1 06OCT17	2797.67	2788.00		241.75DR

TOTALS

BROUGHT FORWARD BALANCE	**15,094.91DR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**221.50CR**
LME Zinc 25 tonnes. This is an LME Futures	**754.25DR**
CARRIED FORWARD BALANCE	**15,627.66DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Lead 25 tonnes. This is an LME registered client contract							
06OCT17	S	1	11OCT17	IFC US		2516.88	2.70DR	
06OCT17	S	1	17OCT17	IFC UD		2519.13	2.70DR	
06OCT17	B	1	20OCT17	IFC US	2520.11		2.70DR	
06OCT17	B	1	26OCT17	IFC UD	2521.34		2.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract							
06OCT17	B	1	19OCT17	IFC US	20656.50		2.70DR	
06OCT17	S	1	20OCT17	IFC US		20652.00	2.70DR	
06OCT17	B	1	25OCT17	IFC UD	20642.50		2.70DR	
06OCT17	S	1	26OCT17	IFC UD		20638.00	2.70DR	
06OCT17	B	1	05JAN18	DMA EL	20860.00		5.00DR	
06OCT17	S	1	05JAN18	DMA EL		20810.00	5.00DR	
06OCT17	B	1	05JAN18	DMA EL	20600.00		5.00DR	
06OCT17	S	1	05JAN18	DMA EL		20595.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B	1	11OCT17	IFC US	3293.67		2.70DR	
06OCT17	B	1	17OCT17	IFC UD	3278.33		2.70DR	
06OCT17	S	1	20OCT17	IFC US		3266.30	2.70DR	
06OCT17	S	1	26OCT17	IFC UD		3257.63	2.70DR	
	Commission							52.40DR
	MATCH OUTS							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
07JUL17		1	06OCT17		5827.50	5817.50		250.00DR
07JUL17		1	06OCT17		5813.00	5824.00		275.00CR
	LME Lead 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2285.00	2285.50		12.50CR
06JUL17		1	06OCT17		2262.00	2258.00		100.00DR
07JUL17		1	06OCT17		2285.00	2282.50		62.50DR
07JUL17		1	06OCT17		2276.50	2285.00		212.50CR
20JUL17		1	06OCT17		2210.88	2290.00		1,978.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract							
07JUL17		1	06OCT17		9005.00	8995.00		60.00DR
07JUL17		1	06OCT17		9010.00	8990.00		120.00DR
	LME Tin 5 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		19695.00	19900.00		1,025.00CR
07JUL17		1	06OCT17		19875.00	19740.00		675.00DR
07JUL17		1	06OCT17		19870.00	19855.00		75.00DR
07JUL17		1	06OCT17		19870.00	19870.00		0.00
	LME Zinc 25 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		2778.00	2786.00		200.00CR
06JUL17		1	06OCT17		2750.50	2764.00		337.50CR
07JUL17		1	06OCT17		2775.50	2776.50		25.00CR
20JUL17		1	06OCT17		2781.50	2725.62		1,397.00DR
	TOTALS							

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				**14,141.63DR**
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				**275.00CR**
	LME Lead 25 tonnes. This is an Futures				**2,040.50CR**
	LME Copper Grade A 25 tonnes. This Futures				**25.00CR**
	LME Zinc 25 tonnes. This is an LME Futures				**834.50DR**
	LME Nickel 6 tonnes. This is an LME Futures				**180.00DR**
	COMMISSIONS				**52.40DR**
	CARRIED FORWARD BALANCE				**12,868.03DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Lead 25 tonnes. This is an LME registered client contract							
06OCT17	S	2	13OCT17	IFC	US		2517.63	5.40DR	
06OCT17	S	1	19OCT17	IFC	US		2519.71	2.70DR	
06OCT17	B	3	20OCT17	IFC	US	2520.11		8.10DR	
06OCT17	S	2	24OCT17	IFC	UD		2520.73	5.40DR	
06OCT17	B	2	25OCT17	IFC	UD	2521.14		5.40DR	
	LME Nickel 6 tonnes. This is an LME registered client contract							
06OCT17	B	3	24OCT17	IFC	UD	10530.32		8.10DR	
06OCT17	S	3	25OCT17	IFC	UD		10530.38	8.10DR	
06OCT17	B	1	05JAN18	DMA	EL	10605.00		5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		10595.00	10.00DR	
06OCT17	B	1	05JAN18	DMA	EL	10620.00		5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract							
06OCT17	B	5	19OCT17	IFC	US	20656.50		13.50DR	
06OCT17	S	5	20OCT17	IFC	US		20652.00	13.50DR	
06OCT17	B	4	25OCT17	IFC	UD	20642.50		10.80DR	
06OCT17	S	4	26OCT17	IFC	UD		20638.00	10.80DR	
06OCT17	B	5	05JAN18	DMA	EL	21040.00		25.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21020.00	5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		21035.00	10.00DR	
06OCT17	S	2	05JAN18	DMA	EL		21030.00	10.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21025.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20970.00		5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		21010.00	10.00DR	
06OCT17	B	3	05JAN18	DMA	EL	20940.00		15.00DR	
06OCT17	B	3	05JAN18	DMA	EL	20850.00		15.00DR	
06OCT17	B	6	05JAN18	DMA	EL	20860.00		30.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20855.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20855.00		5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		20845.00	10.00DR	
06OCT17	S	3	05JAN18	DMA	EL		20850.00	15.00DR	
06OCT17	S	2	05JAN18	DMA	EL		20800.00	10.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20795.00		5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20800.00		5.00DR	
06OCT17	S	3	05JAN18	DMA	EL		20980.00	15.00DR	
06OCT17	S	2	05JAN18	DMA	EL		20990.00	10.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20980.00		5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20990.00		5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	21015.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20810.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20940.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21015.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20775.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20595.00		5.00DR	
06OCT17	B	4	05JAN18	DMA	EL	20600.00		20.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20705.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20610.00	5.00DR	
06OCT17	B	4	05JAN18	DMA	EL	20630.00		20.00DR	
06OCT17	S	2	05JAN18	DMA	EL		20615.00	10.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20620.00	5.00DR	
06OCT17	S	3	05JAN18	DMA	EL		20595.00	15.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20645.00		5.00DR	
06OCT17	S	2	05JAN18	DMA	EL		20640.00	10.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20645.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B	2	13OCT17	IFC	US	3286.00		5.40DR	
06OCT17	B	1	19OCT17	IFC	US	3270.50		2.70DR	
06OCT17	S	3	20OCT17	IFC	US		3266.30	8.10DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B	2	24OCT17	IFC UD	3261.83		5.40DR	
06OCT17	S	2	25OCT17	IFC UD		3259.30	5.40DR	
	Commission							488.80DR
	MATCH OUTS							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06JUL17		1	06OCT17		5837.00	5834.00		75.00DR
07JUL17		1	06OCT17		5827.00	5827.00		0.00
07JUL17		1	06OCT17		5827.00	5825.00		50.00DR
07JUL17		1	06OCT17		5825.00	5818.00		175.00DR
07JUL17		1	06OCT17		5868.00	5863.00		125.00DR
07JUL17		1	06OCT17		5867.00	5863.00		100.00DR
07JUL17		1	06OCT17		5866.00	5863.00		75.00DR
	LME Lead 25 tonnes. This is an LME registered client contract							
07JUL17		1	06OCT17		2285.50	2301.00		387.50CR
07JUL17		1	06OCT17		2285.50	2295.50		250.00CR
07JUL17		1	06OCT17		2300.00	2286.00		350.00DR
07JUL17		1	06OCT17		2300.00	2299.00		25.00DR
07JUL17		1	06OCT17		2300.00	2299.00		25.00DR
07JUL17		1	06OCT17		2302.00	2299.50		62.50DR
07JUL17		1	06OCT17		2305.50	2299.50		150.00DR
07JUL17		1	06OCT17		2305.50	2299.50		150.00DR
	LME Tin 5 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17		19900.00	19830.00		350.00DR
06JUL17		1	06OCT17		19820.00	19810.00		50.00DR
06JUL17		1	06OCT17		19810.00	19800.00		50.00DR
06JUL17		1	06OCT17		19870.00	19800.00		350.00DR
06JUL17		1	06OCT17		19880.00	19895.00		75.00CR
06JUL17		1	06OCT17		19900.00	19895.00		25.00DR
06JUL17		1	06OCT17		19840.00	19880.00		200.00CR
06JUL17		1	06OCT17		19840.00	19850.00		50.00CR
06JUL17		1	06OCT17		19860.00	19835.00		125.00DR
06JUL17		1	06OCT17		19840.00	19715.00		625.00DR
06JUL17		1	06OCT17		19840.00	19830.00		50.00DR
06JUL17		1	06OCT17		19715.00	19855.00		700.00CR
06JUL17		1	06OCT17		19840.00	19830.00		50.00DR
06JUL17		1	06OCT17		19715.00	19875.00		800.00CR
06JUL17		1	06OCT17		19830.00	19875.00		225.00CR
06JUL17		1	06OCT17		19890.00	19895.00		25.00CR
06JUL17		1	06OCT17		19870.00	19900.00		150.00CR
06JUL17		1	06OCT17		19895.00	19895.00		0.00
06JUL17		1	06OCT17		19895.00	19895.00		0.00
06JUL17		3	06OCT17		19895.00	19920.00		375.00CR
06JUL17		1	06OCT17		19900.00	19920.00		100.00CR
06JUL17		2	06OCT17		19900.00	19900.00		0.00
06JUL17		1	06OCT17		19865.00	19900.00		175.00CR
06JUL17		1	06OCT17		19900.00	19900.00		0.00
06JUL17		1	06OCT17		19920.00	19865.00		275.00DR
06JUL17		1	06OCT17		19725.00	19740.00		75.00CR
06JUL17		1	06OCT17		19930.00	19715.00		1,075.00DR
07JUL17		1	06OCT17		19720.00	19895.00		875.00CR
07JUL17		1	06OCT17		19900.00	19890.00		50.00DR
07JUL17		1	06OCT17		19920.00	19780.00		700.00DR
07JUL17		1	06OCT17		19905.00	19780.00		625.00DR
07JUL17		1	06OCT17		19890.00	19675.00		1,075.00DR
07JUL17		1	06OCT17		19880.00	19900.00		100.00CR
07JUL17		1	06OCT17		19900.00	19865.00		175.00DR
07JUL17		1	06OCT17		19900.00	19895.00		25.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	19895.00	19895.00		0.00
07JUL17	1 06OCT17	19910.00	19940.00		150.00CR
07JUL17	1 06OCT17	19910.00	19920.00		50.00CR
07JUL17	1 06OCT17	19925.00	19925.00		0.00
07JUL17	1 06OCT17	19925.00	19930.00		25.00CR
07JUL17	1 06OCT17	19905.00	19940.00		175.00CR
07JUL17	1 06OCT17	19920.00	19890.00		150.00DR
07JUL17	1 06OCT17	19840.00	19750.00		450.00DR
07JUL17	1 06OCT17	19755.00	19780.00		125.00CR
07JUL17	1 06OCT17	19740.00	19780.00		200.00CR
07JUL17	1 06OCT17	19740.00	19725.00		75.00DR
07JUL17	1 06OCT17	19740.00	19715.00		125.00DR
07JUL17	1 06OCT17	19685.00	19650.00		175.00DR
07JUL17	1 06OCT17	19650.00	19675.00		125.00CR
07JUL17	1 06OCT17	19640.00	19640.00		0.00
07JUL17	1 06OCT17	19675.00	19615.00		300.00DR
07JUL17	1 06OCT17	19625.00	19700.00		375.00CR
07JUL17	1 06OCT17	19620.00	19700.00		400.00CR
07JUL17	1 06OCT17	19865.00	19715.00		750.00DR
20JUL17	1 06OCT17	20101.05	19915.00		930.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2783.00	2785.00		50.00CR
07JUL17	1 06OCT17	2777.50	2785.00		187.50CR
07JUL17	1 06OCT17	2795.50	2777.00		462.50DR
07JUL17	1 06OCT17	2783.00	2781.00		50.00DR
07JUL17	1 06OCT17	2780.50	2794.50		350.00CR

TOTALS

BROUGHT FORWARD BALANCE	**25,713.10DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**3,080.25DR**
LME Lead 25 tonnes. This is an Futures	**125.00DR**
LME Copper Grade A 25 tonnes. This Futures	**600.00DR**
LME Zinc 25 tonnes. This is an LME Futures	**75.00CR**
COMMISSIONS	**488.80DR**
CARRIED FORWARD BALANCE	**29,932.15DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Lead 25 tonnes. This is an LME registered client contract								
06OCT17	S	1	11OCT17	IFC	US		2516.88	2.70DR	
06OCT17	B	1	20OCT17	IFC	US	2520.11		2.70DR	
06OCT17	B	1	24OCT17	IFC	UD	2520.73		2.70DR	
06OCT17	S	1	25OCT17	IFC	UD		2520.74	2.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
06OCT17	B	2	19OCT17	IFC	US	20656.50		5.40DR	
06OCT17	S	2	20OCT17	IFC	US		20652.00	5.40DR	
06OCT17	B	1	25OCT17	IFC	UD	20642.50		2.70DR	
06OCT17	S	1	26OCT17	IFC	UD		20638.00	2.70DR	
06OCT17	S	2	26OCT17	IFC	UD		20639.00	5.40DR	
06OCT17	B	2	27OCT17	IFC	UD	20636.50		5.40DR	
06OCT17	B	1	05JAN18	DMA	EL	20990.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21035.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		21000.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20850.00		5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20860.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20850.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20600.00		5.00DR	
06OCT17	B	2	05JAN18	DMA	EL	20605.00		10.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20615.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20610.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20585.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20590.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20620.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
06OCT17	B	1	11OCT17	IFC	US	3293.67		2.70DR	
06OCT17	S	1	20OCT17	IFC	US		3266.30	2.70DR	
06OCT17	B	1	24OCT17	IFC	UD	3261.83		2.70DR	
06OCT17	S	1	25OCT17	IFC	UD		3259.30	2.70DR	
	Commission								118.60DR
	MATCH OUTS								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
07JUL17		1	06OCT17			5827.00	5818.00		225.00DR
	LME Lead 25 tonnes. This is an LME registered client contract								
07JUL17		1	06OCT17			2277.00	2290.50		337.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract								
07JUL17		1	06OCT17			9000.00	8995.00		30.00DR
	LME Tin 5 tonnes. This is an LME registered client contract								
06JUL17		1	06OCT17			19895.00	19895.00		0.00
06JUL17		1	06OCT17			19910.00	19920.00		50.00CR
06JUL17		1	06OCT17			19930.00	19900.00		150.00DR
07JUL17		1	06OCT17			19905.00	19895.00		50.00DR
07JUL17		1	06OCT17			19865.00	19860.00		25.00DR
07JUL17		1	06OCT17			19840.00	19770.00		350.00DR
07JUL17		1	06OCT17			19745.00	19720.00		125.00DR
07JUL17		1	06OCT17			19620.00	19635.00		75.00CR
07JUL17		1	06OCT17			19700.00	19685.00		75.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
20JUL17	1 06OCT17	20101.05	19700.00		2,005.25DR
20JUL17	1 06OCT17	20101.05	19715.00		1,930.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	2781.50	2777.00		112.50DR

TOTALS

BROUGHT FORWARD BALANCE	**46.70DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**4,585.50DR**
LME Lead 25 tonnes. This is an Futures	**337.50CR**
LME Copper Grade A 25 tonnes. This Futures	**225.00DR**
LME Zinc 25 tonnes. This is an LME Futures	**112.50DR**
LME Nickel 6 tonnes. This is an LME Futures	**30.00DR**
COMMISSIONS	**118.60DR**
CARRIED FORWARD BALANCE	**4,780.80DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
06OCT17	B	1	24OCT17	IFC	UD	6631.25		2.70DR	
06OCT17	S	1	25OCT17	IFC	UD		6631.55	2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract							
06OCT17	S	2	18OCT17	IFC	US		2519.50	5.40DR	
06OCT17	B	2	20OCT17	IFC	US	2520.11		5.40DR	
	LME Nickel 6 tonnes. This is an LME registered client contract							
06OCT17	B	2	24OCT17	IFC	UD	10530.32		5.40DR	
06OCT17	S	2	25OCT17	IFC	UD		10530.38	5.40DR	
06OCT17	S	1	26OCT17	IFC	UD		10532.43	2.70DR	
06OCT17	B	1	27OCT17	IFC	UD	10534.48		2.70DR	
06OCT17	B	1	27OCT17	IFC	UD	10533.48		2.70DR	
06OCT17	S	1	31OCT17	IFC	UD		10536.70	2.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract							
06OCT17	S	1	18OCT17	IFC	US		20660.00	2.70DR	
06OCT17	B	1	19OCT17	IFC	US	20657.50		2.70DR	
06OCT17	B	1	05JAN18	DMA	EL	20605.00		5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20575.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20610.00	5.00DR	
06OCT17	B	1	05JAN18	DMA	EL	20595.00		5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20590.00	5.00DR	
06OCT17	S	1	05JAN18	DMA	EL		20600.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract							
06OCT17	B	2	18OCT17	IFC	US	3274.50		5.40DR	
06OCT17	S	2	20OCT17	IFC	US		3266.30	5.40DR	
	Commission							84.00DR
	MATCH OUTS							
	LME Copper Grade A 25 tonnes. This is a LME registered client contract							
20JUL17		1	06OCT17			5847.00	5955.30		2,707.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract							
06JUL17		1	06OCT17			9075.00	9090.00		90.00CR
	LME Tin 5 tonnes. This is an LME registered client contract							
20JUL17		1	06OCT17			19710.00	20100.05		1,950.25CR

TOTALS

BROUGHT FORWARD BALANCE	**4,370.71DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**1,950.25CR**
LME Copper Grade A 25 tonnes. This Futures	**2,707.50CR**
LME Nickel 6 tonnes. This is an LME Futures	**90.00CR**
COMMISSIONS	**84.00DR**
CARRIED FORWARD BALANCE	**293.04CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description			Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY						
	CMX Copper 25,000 CMX						
06OCT17	S 1 DEC17		II		305.450	2.85DR	
06OCT17	S 1 DEC17		II		305.500	2.85DR	
06OCT17	S 2 DEC17		II		305.150	5.70DR	
06OCT17	S 1 DEC17		II		305.250	2.85DR	
06OCT17	S 1 DEC17		II		305.100	2.85DR	
06OCT17	S 1 DEC17		II		305.000	2.85DR	
06OCT17	S 1 DEC17		II		305.050	2.85DR	
06OCT17	S 1 DEC17		II		304.800	2.85DR	
06OCT17	S 1 DEC17		II		304.750	2.85DR	
	CMX Silver 5,000 CMX						
06OCT17	B 2 DEC17		II	1637.0		5.70DR	
06OCT17	B 1 DEC17		II	1637.5		2.85DR	
06OCT17	B 1 DEC17		II	1636.5		2.85DR	
06OCT17	B 1 DEC17		II	1636.0		2.85DR	
06OCT17	S 2 DEC17		II		1684.0	5.70DR	
06OCT17	S 1 DEC17		II		1682.5	2.85DR	
	LME Zinc 25 tonnes. This is an LME registered client contract						
06OCT17	S 1 05JAN18	DMA	EL		3289.50	1.56DR	
06OCT17	S 1 05JAN18	DMA	EL		3290.00	1.56DR	
06OCT17	S 2 05JAN18	DMA	EL		3288.00	3.12DR	
06OCT17	S 1 05JAN18	DMA	EL		3278.50	1.56DR	
06OCT17	B 1 05JAN18	DMA	EL	3250.50		1.56DR	
06OCT17	S 1 05JAN18	DMA	EL		3249.00	1.56DR	
06OCT17	B 2 05JAN18	DMA	EL	3245.00		3.12DR	
06OCT17	B 4 05JAN18	DMA	EL	3244.50		6.24DR	
06OCT17	B 1 05JAN18	DMA	EL	3245.50		1.56DR	
	Commission						73.14DR
	SETTLEMENTS						
	CMX Silver 5,000 CMX						
06OCT17	1 DEC17			1637.0	1684.0		2,350.00CR
06OCT17	1 DEC17			1637.0	1684.0		2,350.00CR
06OCT17	1 DEC17			1637.5	1682.5		2,250.00CR

TOTALS

BROUGHT FORWARD BALANCE	**23,460.17CR**
SETTLEMENTS	
CMX Silver Futures	**6,950.00CR**
COMMISSIONS	**73.14DR**
CARRIED FORWARD BALANCE	**30,337.03CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0096
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0096

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,438,020.81CR**
	SETTLEMENTS		
	CMX Silver Futures		**6,950.00CR**
	LME Aluminium 25 Tonnes. This is an Futures		**2,775.75CR**
	LME Copper Grade A 25 tonnes. This Futures		**33.75CR**
	LME Lead 25 tonnes. This is an Futures		**992.50CR**
	LME Nickel 6 tonnes. This is an LME Futures		**62,131.56CR**
	LME Tin 5 tonnes. This is an LME Futures		**11,407.55DR**
	LME Zinc 25 tonnes. This is an LME Futures		**25,556.25DR**
	COMMISSIONS		**816.94DR**
	CARRIED FORWARD BALANCE		**1,473,123.63CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	INTER ACCOUNT TRANSFER				
06OCT17	SAP0103 Fund Received 06/10/17				199,950.00CR
06OCT17	SAP0103 Fund Received 06/10/17				154,950.00CR

TOTALS

BROUGHT FORWARD BALANCE	**616,705.27CR**
CASH PAYMENTS/RECEIPTS	**354,900.00CR**
CARRIED FORWARD BALANCE	**971,605.27CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	B 1 NOV17 GX	50.55		2.30DR	
	Commission				2.30DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**68,373.15CR**
	COMMISSIONS				**2.30DR**
	CARRIED FORWARD BALANCE				**68,370.85CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	NYM Light Sweet Crude Oil 1,000 NYM							
06OCT17	B	1	NOV17	CQG GX	50.61		2.30DR	
06OCT17	B	1	NOV17	CQG GX	50.59		2.30DR	
06OCT17	B	1	NOV17	CQG GX	50.55		2.30DR	
	CMX Silver 5,000 CMX							
06OCT17	S	1	DEC17	CQG GX		1668.5	2.30DR	
	Commission							9.20DR
	SETTLEMENTS							
	CMX Silver 5,000 CMX							
06OCT17		1	DEC17		1769.5	1668.5		5,050.00DR

TOTALS

BROUGHT FORWARD BALANCE	**62,603.00CR**
SETTLEMENTS	
CMX Silver Futures	**5,050.00DR**
COMMISSIONS	**9.20DR**
CARRIED FORWARD BALANCE	**57,543.80CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	B 1 NOV17 CQG GX	50.64		2.30DR	
06OCT17	B 1 NOV17 CQG GX	50.65		2.30DR	
06OCT17	B 1 NOV17 CQG GX	50.61		2.30DR	
06OCT17	B 1 NOV17 CQG GX	50.56		2.30DR	
	Commission				9.20DR

TOTALS		
BROUGHT FORWARD BALANCE		**105,653.00CR**
COMMISSIONS		**9.20DR**
CARRIED FORWARD BALANCE		**105,643.80CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Lead 25 tonnes. This is an LME registered client contract				
06OCT17	B 2 05JAN18 DMA EL	2526.50		10.60DR	
06OCT17	B 1 05JAN18 DMA EL	2522.00		5.30DR	
06OCT17	B 3 05JAN18 DMA EL	2523.00		15.90DR	
06OCT17	B 1 05JAN18 DMA EL	2511.00		5.30DR	
06OCT17	S 1 05JAN18 DMA EL		2540.50	5.30DR	
06OCT17	S 1 05JAN18 DMA EL		2542.00	5.30DR	
06OCT17	S 1 05JAN18 DMA EL		2544.50	5.30DR	
06OCT17	S 1 05JAN18 DMA EL		2545.00	5.30DR	
06OCT17	S 2 05JAN18 DMA EL		2545.50	10.60DR	
06OCT17	S 1 05JAN18 DMA EL		2546.50	5.30DR	
06OCT17	B 1 05JAN18 DMA EL	2507.00		5.30DR	
06OCT17	S 1 05JAN18 DMA EL		2540.00	5.30DR	
	CMX Silver 5,000 CMX				
06OCT17	S 1 DEC17 GX		1683.0	2.30DR	
06OCT17	S 1 DEC17 GX		1685.0	2.30DR	
06OCT17	S 1 DEC17 GX		1684.0	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
06OCT17	S 1 05JAN18 DMA EL		3283.00	5.30DR	
06OCT17	S 3 05JAN18 DMA EL		3283.50	15.90DR	
06OCT17	S 1 05JAN18 DMA EL		3277.00	5.30DR	
06OCT17	S 1 05JAN18 DMA EL		3278.00	5.30DR	
06OCT17	B 2 05JAN18 DMA EL	3255.50		10.60DR	
06OCT17	B 1 05JAN18 DMA EL	3257.00		5.30DR	
06OCT17	B 1 05JAN18 DMA EL	3237.00		5.30DR	
06OCT17	B 1 05JAN18 DMA EL	3240.00		5.30DR	
06OCT17	B 1 05JAN18 DMA EL	3239.50		5.30DR	
	Commission				155.30DR
	SETTLEMENTS				
	CMX Silver 5,000 CMX				
06OCT17	1 DEC17	1721.0	1683.0		1,900.00DR
06OCT17	1 DEC17	1721.0	1685.0		1,800.00DR
06OCT17	1 DEC17	1717.5	1684.0		1,675.00DR
	MATCH OUTS				
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2783.00	2770.50		312.50DR
06JUL17	1 06OCT17	2782.00	2768.00		350.00DR
13JUL17	1 06OCT17	2802.62	2770.50		803.00DR
13JUL17	1 06OCT17	2802.62	2770.00		815.50DR

TOTALS

BROUGHT FORWARD BALANCE	**44,506.12CR**
SETTLEMENTS	
CMX Silver Futures	**5,375.00DR**
LME Zinc 25 tonnes. This is an LME Futures	**2,281.00DR**
COMMISSIONS	**155.30DR**
CARRIED FORWARD BALANCE	**36,694.82CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2760.00	2766.00		150.00CR
06JUL17	1 06OCT17	2760.50	2768.00		187.50CR
06JUL17	1 06OCT17	2760.50	2786.00		637.50CR
06JUL17	1 06OCT17	2760.00	2797.50		937.50CR
06JUL17	1 06OCT17	2760.50	2802.00		1,037.50CR
07JUL17	1 06OCT17	2779.50	2805.50		650.00CR
07JUL17	1 06OCT17	2779.50	2807.00		687.50CR
07JUL17	1 06OCT17	2778.50	2801.00		562.50CR
07JUL17	1 06OCT17	2772.50	2800.00		687.50CR
07JUL17	1 06OCT17	2772.50	2800.50		700.00CR
13JUL17	1 06OCT17	2802.62	2798.00		115.50DR

TOTALS

BROUGHT FORWARD BALANCE	**132,902.49CR**
SETTLEMENTS	
LME Zinc 25 tonnes. This is an LME Futures	**6,122.00CR**
CARRIED FORWARD BALANCE	**139,024.49CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
06OCT17	B 1 05JAN18 DMA EL	2155.50		5.30DR	
	Commission				5.30DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
07JUL17	1 06OCT17	1937.00	1945.00		200.00CR
07SEP17	1 06OCT17	1926.50	2092.93		4,160.75CR
07SEP17	1 06OCT17	1925.00	2092.93		4,198.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2781.00	2790.00		225.00CR
07JUL17	1 06OCT17	2781.50	2788.00		162.50CR
07JUL17	1 06OCT17	2783.00	2788.00		125.00CR
07SEP17	1 06OCT17	2789.00	3124.94		8,398.50CR
07SEP17	1 06OCT17	2777.50	3124.94		8,686.00CR

TOTALS

BROUGHT FORWARD BALANCE	**62,806.19CR**
SETTLEMENTS	
LME Zinc 25 tonnes. This is an LME Futures	**17,597.00CR**
LME Aluminium 25 Tonnes. This is an Futures	**8,559.00CR**
COMMISSIONS	**5.30DR**
CARRIED FORWARD BALANCE	**88,956.89CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0103

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,371,738.77CR**
	SETTLEMENTS		
	CMX Silver Futures		**10,425.00DR**
	LME Aluminium 25 Tonnes. This is an Futures		**8,559.00CR**
	LME Zinc 25 tonnes. This is an LME Futures		**21,438.00CR**
	CASH PAYMENTS/RECEIPTS		**354,900.00CR**
	COMMISSIONS		**181.30DR**
	CARRIED FORWARD BALANCE		**1,746,029.47CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	LME Aluminium 25 Tonnes. This is an							
	LME registered client contract.							
06OCT17	B	1	05JAN18	OBJ EL	2157.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2156.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2154.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2155.50		4.30DR	
	LME Lead 25 tonnes. This is an							
	LME registered client contract							
06OCT17	S	1	05JAN18	OBJ EL		2580.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ EL		2580.00	4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2577.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2579.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2579.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ EL	2581.00		8.60DR	
06OCT17	B	1	05JAN18	OBJ EL	2575.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2564.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ EL	2561.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ EL		2545.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ EL		2543.50	4.30DR	
	Commission							68.80DR
	MATCH OUTS							
	LME Aluminium 25 Tonnes. This is an							
	LME registered client contract.							
06JUL17		1	06OCT17		1937.50	1932.00		137.50DR
06JUL17		1	06OCT17		1940.00	1932.50		187.50DR
06JUL17		1	06OCT17		1937.50	1932.50		125.00DR
06JUL17		1	06OCT17		1936.00	1932.50		87.50DR
06JUL17		1	06OCT17		1933.00	1932.50		12.50DR
06JUL17		1	06OCT17		1933.50	1932.50		25.00DR
07JUL17		1	06OCT17		1941.00	1932.50		212.50DR
07JUL17		1	06OCT17		1941.00	1933.50		187.50DR
07JUL17		1	06OCT17		1938.50	1933.50		125.00DR
07JUL17		1	06OCT17		1938.50	1935.00		87.50DR
07JUL17		1	06OCT17		1941.00	1941.00		0.00
07JUL17		1	06OCT17		1939.50	1942.50		75.00CR
07JUL17		1	06OCT17		1938.00	1942.50		112.50CR
07JUL17		1	06OCT17		1936.00	1943.50		187.50CR
07JUL17		1	06OCT17		1934.00	1943.50		237.50CR
07JUL17		1	06OCT17		1935.00	1947.00		300.00CR
07JUL17		1	06OCT17		1936.00	1946.50		262.50CR
07JUL17		1	06OCT17		1935.00	1940.00		125.00CR
07JUL17		1	06OCT17		1935.50	1932.50		75.00DR
07JUL17		1	06OCT17		1935.00	1941.50		162.50CR
07JUL17		1	06OCT17		1938.50	1941.50		75.00CR
	LME Lead 25 tonnes. This is an							
	LME registered client contract							
06JUL17		1	06OCT17		2268.50	2262.00		162.50DR
06JUL17		1	06OCT17		2272.00	2261.00		275.00DR
06JUL17		1	06OCT17		2269.50	2260.00		237.50DR
06JUL17		1	06OCT17		2289.00	2260.00		725.00DR
06JUL17		1	06OCT17		2288.50	2260.50		700.00DR
06JUL17		1	06OCT17		2290.00	2258.50		787.50DR
06JUL17		1	06OCT17		2285.00	2268.50		412.50DR
06JUL17		1	06OCT17		2284.00	2271.50		312.50DR
06JUL17		1	06OCT17		2278.00	2275.00		75.00DR
06JUL17		1	06OCT17		2280.00	2288.00		200.00CR
06JUL17		1	06OCT17		2282.00	2283.00		25.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2281.00	2281.00		0.00
06JUL17	1 06OCT17	2284.00	2286.00		50.00CR
07JUL17	1 06OCT17	2297.50	2281.50		400.00DR
07JUL17	1 06OCT17	2296.00	2287.00		225.00DR
07JUL17	1 06OCT17	2295.00	2304.00		225.00CR
07JUL17	1 06OCT17	2289.00	2284.50		112.50DR
07JUL17	1 06OCT17	2285.00	2284.50		12.50DR
07JUL17	1 06OCT17	2278.00	2281.50		87.50CR
07JUL17	1 06OCT17	2285.50	2282.00		87.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 06OCT17	9080.00	9065.00		90.00DR
10JUL17	1 06OCT17	9030.00	8997.50		195.00DR
10JUL17	1 06OCT17	9025.00	8997.50		165.00DR
10JUL17	1 06OCT17	8955.00	8997.50		255.00CR
10JUL17	1 06OCT17	8955.00	8997.50		255.00CR
10JUL17	1 06OCT17	8970.00	8997.50		165.00CR
10JUL17	1 06OCT17	8965.00	8997.50		195.00CR
10JUL17	1 06OCT17	8970.00	8997.50		165.00CR
10JUL17	3 06OCT17	8970.00	8997.50		495.00CR
10JUL17	2 06OCT17	8970.00	8997.50		330.00CR
12JUL17	2 06OCT17	8970.00	9201.50		2,778.00CR
18JUL17	10 06OCT17	8970.00	9772.96		48,177.60CR
18JUL17	2 06OCT17	8975.00	9772.96		9,575.52CR
18JUL17	1 06OCT17	8975.00	9772.96		4,787.76CR
18JUL17	2 06OCT17	8970.00	9772.96		9,635.52CR
18JUL17	1 06OCT17	8975.00	9772.96		4,787.76CR
18JUL17	3 06OCT17	8980.00	9772.96		14,273.28CR
18JUL17	1 06OCT17	8970.00	9772.96		4,817.76CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2747.50	2755.50		200.00CR
06JUL17	1 06OCT17	2792.00	2754.50		937.50DR
06JUL17	1 06OCT17	2790.50	2753.50		925.00DR
06JUL17	1 06OCT17	2790.00	2754.50		887.50DR
06JUL17	1 06OCT17	2790.00	2752.50		937.50DR
06JUL17	1 06OCT17	2797.50	2766.50		775.00DR
06JUL17	1 06OCT17	2790.50	2768.00		562.50DR
06JUL17	1 06OCT17	2790.50	2768.50		550.00DR
06JUL17	1 06OCT17	2790.50	2768.50		550.00DR
06JUL17	1 06OCT17	2786.50	2768.50		450.00DR
06JUL17	1 06OCT17	2785.50	2769.50		400.00DR
06JUL17	1 06OCT17	2786.00	2765.00		525.00DR
06JUL17	1 06OCT17	2788.00	2794.00		150.00CR
06JUL17	1 06OCT17	2788.50	2789.50		25.00CR
06JUL17	1 06OCT17	2789.00	2790.00		25.00CR
06JUL17	1 06OCT17	2801.00	2794.00		175.00DR
06JUL17	1 06OCT17	2782.50	2795.50		325.00CR
06JUL17	1 06OCT17	2780.00	2801.00		525.00CR
06JUL17	1 06OCT17	2780.50	2802.00		537.50CR
06JUL17	1 06OCT17	2782.00	2789.00		175.00CR
06JUL17	1 06OCT17	2779.50	2790.00		262.50CR
06JUL17	1 06OCT17	2782.00	2789.00		175.00CR
06JUL17	1 06OCT17	2781.00	2790.00		225.00CR
06JUL17	1 06OCT17	2782.00	2789.00		175.00CR
06JUL17	1 06OCT17	2782.50	2786.00		87.50CR
06JUL17	1 06OCT17	2783.50	2778.00		137.50DR
06JUL17	1 06OCT17	2781.00	2785.50		112.50CR
06JUL17	1 06OCT17	2781.00	2783.00		50.00CR
07JUL17	1 06OCT17	2792.50	2781.50		275.00DR
07JUL17	1 06OCT17	2791.50	2787.00		112.50DR
07JUL17	1 06OCT17	2790.00	2783.00		175.00DR
07JUL17	1 06OCT17	2790.00	2788.50		37.50DR
07JUL17	1 06OCT17	2783.00	2791.50		212.50CR
07JUL17	1 06OCT17	2785.00	2794.50		237.50CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2776.00	2795.50		487.50CR
07JUL17	1 06OCT17	2783.00	2795.50		312.50CR
07JUL17	1 06OCT17	2778.50	2798.50		500.00CR
07JUL17	1 06OCT17	2782.50	2799.50		425.00CR
07JUL17	1 06OCT17	2780.00	2797.50		437.50CR
07JUL17	1 06OCT17	2772.00	2799.50		687.50CR
07JUL17	1 06OCT17	2785.50	2777.00		212.50DR
07JUL17	1 06OCT17	2785.50	2784.00		37.50DR
13JUL17	1 06OCT17	2802.42	2777.50		623.00DR
13JUL17	1 06OCT17	2802.42	2783.00		485.50DR
13JUL17	1 06OCT17	2802.42	2775.50		673.00DR
13JUL17	1 06OCT17	2802.42	2787.00		385.50DR
13JUL17	1 06OCT17	2802.42	2789.00		335.50DR

TOTALS

BROUGHT FORWARD BALANCE	**662,972.24CR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**3,937.50DR**
LME Zinc 25 tonnes. This is an LME Futures	**4,815.00DR**
LME Nickel 6 tonnes. This is an LME Futures	**100,243.20CR**
LME Aluminium 25 Tonnes. This is an Futures	**275.00CR**
COMMISSIONS	**68.80DR**
CARRIED FORWARD BALANCE	**754,669.14CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Lead 25 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	OBJ	EL	2574.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2573.00	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2564.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2563.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2561.50		4.30DR	
06OCT17	B	3	05JAN18	OBJ	EL	2559.00		12.90DR	
06OCT17	B	2	05JAN18	OBJ	EL	2558.00		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2562.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2543.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2550.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2545.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2544.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2526.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2524.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2522.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2521.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2522.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2537.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2537.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2536.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2535.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
06OCT17	S	2	05JAN18	OBJ	EL		3286.00	8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3255.00		4.30DR	
	Commission								116.10DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
07JUL17		1	06OCT17			1941.00	1930.50		262.50DR
07JUL17		1	06OCT17			1941.00	1934.00		175.00DR
07JUL17		1	06OCT17			1941.00	1936.50		112.50DR
07JUL17		1	06OCT17			1941.00	1939.00		50.00DR
07JUL17		1	06OCT17			1941.00	1942.00		25.00CR
07JUL17		1	06OCT17			1939.50	1942.00		62.50CR
10JUL17		1	06OCT17			1935.00	1896.47		963.25DR
10JUL17		1	06OCT17			1932.50	1896.47		900.75DR
10JUL17		1	06OCT17			1930.50	1896.47		850.75DR
10JUL17		1	06OCT17			1928.00	1896.47		788.25DR
	LME Lead 25 tonnes. This is an LME registered client contract								
06JUL17		1	06OCT17			2264.50	2261.50		75.00DR
06JUL17		1	06OCT17			2264.50	2261.00		87.50DR
06JUL17		3	06OCT17			2265.00	2261.00		300.00DR
06JUL17		1	06OCT17			2272.00	2260.50		287.50DR
06JUL17		2	06OCT17			2269.50	2260.00		475.00DR
06JUL17		1	06OCT17			2273.50	2260.00		337.50DR
06JUL17		1	06OCT17			2273.50	2259.50		350.00DR
06JUL17		1	06OCT17			2273.50	2260.00		337.50DR
06JUL17		1	06OCT17			2272.50	2257.00		387.50DR
06JUL17		1	06OCT17			2278.50	2271.50		175.00DR
06JUL17		1	06OCT17			2278.50	2272.00		162.50DR
06JUL17		1	06OCT17			2278.50	2283.00		112.50CR
06JUL17		1	06OCT17			2280.50	2283.00		62.50CR
06JUL17		1	06OCT17			2281.50	2276.00		137.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2281.00	2276.50		112.50DR
06JUL17	1 06OCT17	2278.50	2277.00		37.50DR
06JUL17	1 06OCT17	2273.00	2277.50		112.50CR
06JUL17	1 06OCT17	2272.50	2279.00		162.50CR
06JUL17	1 06OCT17	2278.00	2275.00		75.00DR
06JUL17	1 06OCT17	2278.00	2275.00		75.00DR
06JUL17	1 06OCT17	2288.00	2275.50		312.50DR
06JUL17	1 06OCT17	2282.00	2281.00		25.00DR
06JUL17	1 06OCT17	2284.00	2276.50		187.50DR
06JUL17	1 06OCT17	2287.00	2287.50		12.50CR
07JUL17	1 06OCT17	2289.00	2281.50		187.50DR
07JUL17	1 06OCT17	2291.00	2281.50		237.50DR
07JUL17	1 06OCT17	2297.00	2281.50		387.50DR
07JUL17	1 06OCT17	2297.50	2281.50		400.00DR
07JUL17	1 06OCT17	2297.50	2282.00		387.50DR
07JUL17	1 06OCT17	2296.00	2283.50		312.50DR
07JUL17	1 06OCT17	2296.00	2285.50		262.50DR
07JUL17	1 06OCT17	2289.50	2287.50		50.00DR
07JUL17	1 06OCT17	2289.00	2290.50		37.50CR
07JUL17	1 06OCT17	2283.50	2303.50		500.00CR
07JUL17	1 06OCT17	2285.00	2303.00		450.00CR
07JUL17	1 06OCT17	2284.50	2305.50		525.00CR
07JUL17	1 06OCT17	2281.00	2305.50		612.50CR
07JUL17	1 06OCT17	2281.00	2305.50		612.50CR
07JUL17	1 06OCT17	2276.50	2305.00		712.50CR
07JUL17	1 06OCT17	2275.00	2304.00		725.00CR
07JUL17	1 06OCT17	2274.50	2304.00		737.50CR
07JUL17	1 06OCT17	2274.00	2304.00		750.00CR
07JUL17	1 06OCT17	2278.00	2305.00		675.00CR
07JUL17	1 06OCT17	2278.00	2304.50		662.50CR
07JUL17	1 06OCT17	2285.00	2303.00		450.00CR
07JUL17	1 06OCT17	2285.00	2301.50		412.50CR
07JUL17	1 06OCT17	2284.50	2302.00		437.50CR
07JUL17	1 06OCT17	2280.00	2302.00		550.00CR
07JUL17	1 06OCT17	2287.50	2302.50		375.00CR
07JUL17	1 06OCT17	2287.50	2301.00		337.50CR
07JUL17	1 06OCT17	2283.00	2285.00		50.00CR
07JUL17	1 06OCT17	2281.00	2282.00		25.00CR
07JUL17	1 06OCT17	2284.00	2286.00		50.00CR
07JUL17	1 06OCT17	2295.50	2299.00		87.50CR
07JUL17	1 06OCT17	2295.50	2299.50		100.00CR
07JUL17	1 06OCT17	2297.50	2299.00		37.50CR
07JUL17	1 06OCT17	2298.50	2284.00		362.50DR
07JUL17	1 06OCT17	2298.50	2287.00		287.50DR
07JUL17	1 06OCT17	2298.50	2290.50		200.00DR
10JUL17	1 06OCT17	2298.50	2319.44		523.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
13JUL17	1 06OCT17	9090.00	9206.00		696.00CR
13JUL17	1 06OCT17	8940.00	9206.00		1,596.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2774.00	2757.00		425.00DR
06JUL17	1 06OCT17	2785.00	2756.00		725.00DR
06JUL17	1 06OCT17	2784.00	2756.50		687.50DR
06JUL17	1 06OCT17	2784.00	2756.00		700.00DR
06JUL17	1 06OCT17	2784.00	2755.50		712.50DR
06JUL17	1 06OCT17	2792.00	2755.50		912.50DR
06JUL17	1 06OCT17	2792.00	2755.00		925.00DR
06JUL17	1 06OCT17	2791.50	2755.50		900.00DR
06JUL17	1 06OCT17	2790.00	2755.50		862.50DR
06JUL17	1 06OCT17	2790.50	2755.50		875.00DR
06JUL17	1 06OCT17	2790.50	2755.00		887.50DR
06JUL17	1 06OCT17	2790.00	2756.00		850.00DR
06JUL17	1 06OCT17	2790.00	2755.50		862.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2798.50	2754.50		1,100.00DR
06JUL17	2 06OCT17	2786.50	2754.50		1,600.00DR
06JUL17	1 06OCT17	2789.00	2753.50		887.50DR
06JUL17	1 06OCT17	2790.00	2755.00		875.00DR
06JUL17	1 06OCT17	2782.50	2754.00		712.50DR
06JUL17	1 06OCT17	2780.00	2754.00		650.00DR
06JUL17	1 06OCT17	2782.00	2756.50		637.50DR
06JUL17	1 06OCT17	2782.00	2757.00		625.00DR
06JUL17	1 06OCT17	2782.00	2758.50		587.50DR
06JUL17	1 06OCT17	2781.00	2758.50		562.50DR
06JUL17	1 06OCT17	2781.00	2760.00		525.00DR
06JUL17	1 06OCT17	2780.50	2760.00		512.50DR
06JUL17	1 06OCT17	2780.50	2761.50		475.00DR
06JUL17	1 06OCT17	2780.50	2760.00		512.50DR
06JUL17	2 06OCT17	2779.50	2771.00		425.00DR
06JUL17	1 06OCT17	2778.50	2773.50		125.00DR
06JUL17	1 06OCT17	2777.50	2783.00		137.50CR
06JUL17	1 06OCT17	2777.50	2796.50		475.00CR
06JUL17	1 06OCT17	2778.50	2794.00		387.50CR
06JUL17	1 06OCT17	2778.50	2794.50		400.00CR
06JUL17	1 06OCT17	2777.50	2794.50		425.00CR
06JUL17	1 06OCT17	2777.50	2795.50		450.00CR
06JUL17	1 06OCT17	2778.00	2795.50		437.50CR
06JUL17	1 06OCT17	2777.50	2799.00		537.50CR
06JUL17	1 06OCT17	2777.50	2799.00		537.50CR
06JUL17	1 06OCT17	2775.50	2801.00		637.50CR
06JUL17	1 06OCT17	2776.00	2801.50		637.50CR
06JUL17	1 06OCT17	2775.00	2799.00		600.00CR
06JUL17	1 06OCT17	2775.00	2794.00		475.00CR
06JUL17	1 06OCT17	2775.00	2790.00		375.00CR
06JUL17	1 06OCT17	2776.50	2790.50		350.00CR
06JUL17	1 06OCT17	2776.00	2788.50		312.50CR
06JUL17	1 06OCT17	2775.50	2789.00		337.50CR
06JUL17	1 06OCT17	2775.00	2762.50		312.50DR
06JUL17	1 06OCT17	2774.50	2790.00		387.50CR
06JUL17	1 06OCT17	2777.50	2790.00		312.50CR
06JUL17	1 06OCT17	2779.50	2793.50		350.00CR
06JUL17	1 06OCT17	2782.50	2788.50		150.00CR
06JUL17	1 06OCT17	2782.50	2788.50		150.00CR
06JUL17	1 06OCT17	2783.50	2789.00		137.50CR
06JUL17	1 06OCT17	2781.00	2787.50		162.50CR
06JUL17	1 06OCT17	2785.50	2786.00		12.50CR
06JUL17	1 06OCT17	2785.00	2786.00		25.00CR
07JUL17	1 06OCT17	2784.50	2774.50		250.00DR
07JUL17	1 06OCT17	2777.50	2774.50		75.00DR
07JUL17	1 06OCT17	2778.00	2775.00		75.00DR
07JUL17	1 06OCT17	2778.00	2774.50		87.50DR
07JUL17	1 06OCT17	2778.00	2774.50		87.50DR
07JUL17	1 06OCT17	2784.50	2775.50		225.00DR
07JUL17	2 06OCT17	2784.50	2776.50		400.00DR
07JUL17	1 06OCT17	2785.50	2776.50		225.00DR
07JUL17	1 06OCT17	2786.00	2781.50		112.50DR
07JUL17	1 06OCT17	2786.00	2787.00		25.00CR
07JUL17	1 06OCT17	2786.00	2784.50		37.50DR
07JUL17	1 06OCT17	2784.50	2784.50		0.00
07JUL17	1 06OCT17	2782.00	2787.50		137.50CR
07JUL17	1 06OCT17	2791.50	2789.00		62.50DR
07JUL17	1 06OCT17	2791.50	2789.50		50.00DR
07JUL17	1 06OCT17	2790.00	2790.50		12.50CR
07JUL17	1 06OCT17	2790.00	2788.50		37.50DR
07JUL17	1 06OCT17	2786.00	2788.50		62.50CR
07JUL17	1 06OCT17	2783.00	2788.50		137.50CR
07JUL17	1 06OCT17	2785.00	2789.00		100.00CR
07JUL17	1 06OCT17	2784.00	2791.50		187.50CR
07JUL17	3 06OCT17	2785.00	2795.50		787.50CR
07JUL17	1 06OCT17	2785.00	2796.00		275.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2785.00	2798.50		337.50CR
07JUL17	1 06OCT17	2782.50	2798.50		400.00CR
07JUL17	1 06OCT17	2782.50	2799.00		412.50CR
07JUL17	1 06OCT17	2782.50	2799.00		412.50CR
07JUL17	1 06OCT17	2782.50	2800.00		437.50CR
07JUL17	1 06OCT17	2782.50	2800.50		450.00CR
07JUL17	1 06OCT17	2777.50	2801.50		600.00CR
07JUL17	1 06OCT17	2777.50	2801.50		600.00CR
07JUL17	1 06OCT17	2777.50	2798.00		512.50CR
07JUL17	1 06OCT17	2773.50	2796.50		575.00CR
07JUL17	1 06OCT17	2773.50	2797.50		600.00CR
07JUL17	1 06OCT17	2777.50	2799.00		537.50CR
07JUL17	1 06OCT17	2780.00	2800.00		500.00CR
07JUL17	1 06OCT17	2778.00	2800.00		550.00CR
07JUL17	1 06OCT17	2778.00	2796.00		450.00CR
07JUL17	1 06OCT17	2776.50	2794.00		437.50CR
07JUL17	1 06OCT17	2798.00	2793.50		112.50DR
07JUL17	1 06OCT17	2794.00	2793.50		12.50DR
07JUL17	1 06OCT17	2794.00	2776.00		450.00DR
07JUL17	1 06OCT17	2795.00	2772.50		562.50DR
07JUL17	1 06OCT17	2796.00	2776.50		487.50DR
07JUL17	1 06OCT17	2793.50	2777.00		412.50DR
07JUL17	1 06OCT17	2792.50	2784.00		212.50DR
07JUL17	1 06OCT17	2793.00	2784.00		225.00DR
07JUL17	1 06OCT17	2791.50	2784.50		175.00DR
07JUL17	1 06OCT17	2790.50	2784.50		150.00DR
07JUL17	1 06OCT17	2790.00	2777.00		325.00DR
07JUL17	1 06OCT17	2789.00	2777.50		287.50DR
07JUL17	1 06OCT17	2788.50	2786.50		50.00DR
07JUL17	1 06OCT17	2787.50	2791.50		100.00CR
07JUL17	1 06OCT17	2786.50	2791.50		125.00CR
07JUL17	1 06OCT17	2785.50	2791.50		150.00CR
07JUL17	1 06OCT17	2785.50	2791.00		137.50CR
07JUL17	1 06OCT17	2785.00	2791.00		150.00CR
07JUL17	1 06OCT17	2783.50	2798.50		375.00CR
07JUL17	1 06OCT17	2783.00	2799.00		400.00CR
07JUL17	1 06OCT17	2785.00	2799.50		362.50CR
07JUL17	1 06OCT17	2782.50	2800.50		450.00CR
07JUL17	1 06OCT17	2782.00	2801.00		475.00CR
07JUL17	1 06OCT17	2782.00	2801.00		475.00CR
07JUL17	1 06OCT17	2778.50	2803.00		612.50CR
07JUL17	1 06OCT17	2779.50	2803.00		587.50CR
07JUL17	1 06OCT17	2779.00	2802.00		575.00CR
07JUL17	1 06OCT17	2782.50	2803.50		525.00CR
07JUL17	1 06OCT17	2782.50	2805.50		575.00CR
07JUL17	1 06OCT17	2782.00	2805.50		587.50CR
07JUL17	1 06OCT17	2781.00	2806.00		625.00CR
07JUL17	1 06OCT17	2780.00	2805.50		637.50CR
07JUL17	1 06OCT17	2778.50	2806.00		687.50CR
07JUL17	1 06OCT17	2781.50	2807.00		637.50CR
07JUL17	1 06OCT17	2780.50	2801.50		525.00CR
07JUL17	1 06OCT17	2796.00	2805.00		225.00CR
07JUL17	1 06OCT17	2773.00	2781.50		212.50CR
07JUL17	1 06OCT17	2772.00	2778.00		150.00CR
07JUL17	1 06OCT17	2772.50	2785.00		312.50CR
12JUL17	1 06OCT17	2832.17	2785.50		1,166.75DR
12JUL17	1 06OCT17	2832.17	2788.50		1,091.75DR
12JUL17	1 06OCT17	2832.17	2788.50		1,091.75DR
12JUL17	1 06OCT17	2832.17	2787.50		1,116.75DR
18JUL17	1 06OCT17	2791.71	2790.00		42.75DR
18JUL17	1 06OCT17	2791.71	2787.50		105.25DR

TOTALS

BROUGHT FORWARD BALANCE **1,026,884.05DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				**3,886.00CR**
	LME Zinc 25 tonnes. This is an LME Futures				**1,840.00DR**
	LME Nickel 6 tonnes. This is an LME Futures				**2,292.00CR**
	LME Aluminium 25 Tonnes. This is an Futures				**4,015.50DR**
	COMMISSIONS				**116.10DR**
	CARRIED FORWARD BALANCE				**1,026,677.65DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Aluminium 25 Tonnes. This is an								
	LME registered client contract.								
06OCT17	B	1	05JAN18	OBJ	EL	2154.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2155.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2154.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2149.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2146.00	4.30DR	
06OCT17	S	1	05JAN18	DMA	EL		2137.50	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2150.50	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2147.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2152.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2140.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2145.00		4.30DR	
	LME Lead 25 tonnes. This is an								
	LME registered client contract								
06OCT17	S	5	05JAN18	OBJ	EL		2589.00	21.50DR	
06OCT17	B	1	05JAN18	OBJ	EL	2576.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2577.00		8.60DR	
06OCT17	B	3	05JAN18	OBJ	EL	2578.00		12.90DR	
06OCT17	B	2	05JAN18	OBJ	EL	2579.00		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2575.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2575.00		8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2574.00	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2574.00		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2572.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2573.00	4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2569.00		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2568.00		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2568.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2570.00		4.30DR	
06OCT17	B	3	05JAN18	OBJ	EL	2569.50		12.90DR	
06OCT17	B	2	05JAN18	OBJ	EL	2567.50		8.60DR	
06OCT17	B	2	05JAN18	OBJ	EL	2564.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2561.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2558.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2559.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2558.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2563.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2547.00		4.30DR	
06OCT17	S	1	05JAN18	DMA	EL		2547.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2543.50	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2544.50	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2545.00	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2545.50	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2546.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2540.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2532.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2526.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2525.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2524.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2526.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2522.00	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2512.50	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2516.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2518.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2521.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2520.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2519.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2522.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2523.00	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2525.50	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2528.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2531.50	4.30DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S	1	05JAN18	OBJ	EL		2529.50	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2527.00	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		2533.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2534.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2535.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2541.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2542.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2536.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2536.00		8.60DR	
06OCT17	S	1	05JAN18	DMA	EL		2529.00	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
06OCT17	S	1	05JAN18	OBJ	EL		10670.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
06OCT17	S	1	05JAN18	OBJ	EL		3288.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3291.00	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		3289.00	8.60DR	
06OCT17	S	1	05JAN18	OBJ	EL		3285.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3285.50	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		3279.50	8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3282.00		4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		3279.00	8.60DR	
06OCT17	B	2	05JAN18	OBJ	EL	3262.00		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3260.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3257.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3255.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3253.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3269.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3265.00	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3265.00		4.30DR	
06OCT17	S	1	05JAN18	DMA	EL		3281.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3240.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3243.00	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3240.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3243.00		4.30DR	
06OCT17	B	1	05JAN18	DMA	EL	3244.00		4.30DR	
	Commission								511.70DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
06JUL17		1	06OCT17			1939.50	1928.00		287.50DR
06JUL17		1	06OCT17			1939.00	1928.00		275.00DR
06JUL17		1	06OCT17			1938.50	1929.00		237.50DR
06JUL17		1	06OCT17			1942.00	1929.50		312.50DR
06JUL17		1	06OCT17			1940.00	1930.00		250.00DR
06JUL17		1	06OCT17			1926.50	1930.50		100.00CR
06JUL17		1	06OCT17			1932.50	1934.00		37.50CR
06JUL17		1	06OCT17			1932.00	1934.50		62.50CR
07JUL17		1	06OCT17			1940.50	1934.50		150.00DR
07JUL17		1	06OCT17			1941.00	1934.50		162.50DR
07JUL17		1	06OCT17			1940.00	1937.00		75.00DR
07JUL17		1	06OCT17			1939.00	1937.50		37.50DR
07JUL17		1	06OCT17			1938.50	1942.00		87.50CR
07JUL17		1	06OCT17			1936.00	1933.00		75.00DR
07JUL17		1	06OCT17			1935.50	1933.00		62.50DR
07JUL17		1	06OCT17			1935.50	1932.50		75.00DR
07JUL17		1	06OCT17			1935.00	1933.00		50.00DR
10JUL17		1	06OCT17			1935.50	1896.47		975.75DR
10JUL17		1	06OCT17			1935.00	1896.47		963.25DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 06OCT17	1935.00	1896.47		963.25DR
10JUL17	1 06OCT17	1935.00	1896.47		963.25DR
10JUL17	1 06OCT17	1935.00	1896.47		963.25DR
10JUL17	1 06OCT17	1935.00	1896.47		963.25DR
10JUL17	1 06OCT17	1935.50	1896.47		975.75DR
10JUL17	1 06OCT17	1931.00	1896.47		863.25DR
	LME Lead 25 tonnes. This is an				
	LME registered client contract				
06JUL17	1 06OCT17	2264.50	2261.00		87.50DR
06JUL17	1 06OCT17	2264.50	2261.00		87.50DR
06JUL17	1 06OCT17	2264.50	2261.50		75.00DR
06JUL17	1 06OCT17	2264.50	2261.00		87.50DR
06JUL17	1 06OCT17	2269.50	2261.00		212.50DR
06JUL17	1 06OCT17	2270.50	2260.50		250.00DR
06JUL17	1 06OCT17	2275.00	2260.50		362.50DR
06JUL17	1 06OCT17	2275.00	2260.00		375.00DR
06JUL17	2 06OCT17	2274.00	2260.00		700.00DR
06JUL17	1 06OCT17	2273.50	2260.00		337.50DR
06JUL17	1 06OCT17	2273.50	2259.50		350.00DR
06JUL17	1 06OCT17	2274.50	2259.50		375.00DR
06JUL17	1 06OCT17	2278.00	2258.50		487.50DR
06JUL17	1 06OCT17	2278.50	2258.50		500.00DR
06JUL17	1 06OCT17	2278.50	2259.50		475.00DR
06JUL17	1 06OCT17	2278.50	2260.00		462.50DR
06JUL17	1 06OCT17	2281.50	2260.00		537.50DR
06JUL17	1 06OCT17	2281.00	2260.00		525.00DR
06JUL17	1 06OCT17	2279.00	2259.50		487.50DR
06JUL17	1 06OCT17	2280.00	2260.00		500.00DR
06JUL17	1 06OCT17	2280.00	2257.00		575.00DR
06JUL17	2 06OCT17	2279.50	2257.00		1,125.00DR
06JUL17	1 06OCT17	2279.00	2257.50		537.50DR
06JUL17	1 06OCT17	2278.50	2257.00		537.50DR
06JUL17	1 06OCT17	2288.00	2257.00		775.00DR
06JUL17	1 06OCT17	2289.00	2268.00		525.00DR
06JUL17	1 06OCT17	2288.50	2274.50		350.00DR
06JUL17	1 06OCT17	2288.50	2286.00		62.50DR
06JUL17	1 06OCT17	2287.50	2257.50		750.00DR
06JUL17	1 06OCT17	2290.50	2283.00		187.50DR
06JUL17	1 06OCT17	2282.00	2281.00		25.00DR
07JUL17	1 06OCT17	2282.50	2282.00		12.50DR
07JUL17	1 06OCT17	2281.50	2281.50		0.00
07JUL17	1 06OCT17	2273.00	2281.00		200.00CR
07JUL17	1 06OCT17	2292.00	2281.00		275.00DR
07JUL17	1 06OCT17	2280.50	2282.00		37.50CR
07JUL17	1 06OCT17	2282.00	2282.00		0.00
07JUL17	1 06OCT17	2282.00	2283.50		37.50CR
07JUL17	1 06OCT17	2280.50	2284.00		87.50CR
07JUL17	1 06OCT17	2280.00	2280.50		12.50CR
07JUL17	1 06OCT17	2280.00	2285.50		137.50CR
07JUL17	1 06OCT17	2282.00	2287.00		125.00CR
07JUL17	1 06OCT17	2281.50	2289.00		187.50CR
07JUL17	1 06OCT17	2281.00	2290.00		225.00CR
07JUL17	1 06OCT17	2284.00	2290.00		150.00CR
07JUL17	1 06OCT17	2289.00	2304.00		375.00CR
07JUL17	1 06OCT17	2292.00	2306.00		350.00CR
07JUL17	1 06OCT17	2291.00	2306.00		375.00CR
07JUL17	2 06OCT17	2297.50	2304.00		325.00CR
07JUL17	1 06OCT17	2296.00	2305.00		225.00CR
07JUL17	1 06OCT17	2300.00	2305.00		125.00CR
07JUL17	1 06OCT17	2295.00	2305.00		250.00CR
07JUL17	1 06OCT17	2288.50	2284.00		112.50DR
07JUL17	1 06OCT17	2290.50	2284.00		162.50DR
07JUL17	1 06OCT17	2290.00	2284.50		137.50DR
07JUL17	1 06OCT17	2289.50	2284.50		125.00DR
07JUL17	1 06OCT17	2288.50	2284.50		100.00DR
07JUL17	1 06OCT17	2289.50	2284.50		125.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2289.50	2284.50		125.00DR
07JUL17	1 06OCT17	2289.00	2284.50		112.50DR
07JUL17	1 06OCT17	2289.00	2284.50		112.50DR
07JUL17	1 06OCT17	2287.00	2282.00		125.00DR
07JUL17	1 06OCT17	2285.00	2281.50		87.50DR
07JUL17	1 06OCT17	2284.50	2283.50		25.00DR
07JUL17	1 06OCT17	2285.00	2284.00		25.00DR
07JUL17	1 06OCT17	2281.00	2301.00		500.00CR
07JUL17	1 06OCT17	2281.00	2288.00		175.00CR
07JUL17	1 06OCT17	2276.50	2288.00		287.50CR
07JUL17	1 06OCT17	2275.00	2287.00		300.00CR
07JUL17	1 06OCT17	2274.00	2288.00		350.00CR
07JUL17	1 06OCT17	2273.00	2287.00		350.00CR
07JUL17	1 06OCT17	2274.00	2288.00		350.00CR
07JUL17	2 06OCT17	2286.50	2293.00		325.00CR
07JUL17	1 06OCT17	2285.50	2293.50		200.00CR
07JUL17	1 06OCT17	2283.00	2296.50		337.50CR
07JUL17	1 06OCT17	2280.00	2296.50		412.50CR
07JUL17	1 06OCT17	2280.50	2297.00		412.50CR
07JUL17	1 06OCT17	2300.00	2297.00		75.00DR
07JUL17	1 06OCT17	2299.50	2298.00		37.50DR
07JUL17	1 06OCT17	2287.50	2301.00		337.50CR
07JUL17	1 06OCT17	2286.50	2299.50		325.00CR
07JUL17	1 06OCT17	2286.50	2299.00		312.50CR
07JUL17	1 06OCT17	2284.50	2294.50		250.00CR
07JUL17	1 06OCT17	2283.00	2297.50		362.50CR
07JUL17	1 06OCT17	2281.00	2297.00		400.00CR
07JUL17	1 06OCT17	2282.00	2296.00		350.00CR
07JUL17	1 06OCT17	2284.50	2290.00		137.50CR
07JUL17	1 06OCT17	2295.50	2289.50		150.00DR
07JUL17	1 06OCT17	2296.50	2287.00		237.50DR
07JUL17	1 06OCT17	2298.00	2294.50		87.50DR
07JUL17	1 06OCT17	2298.00	2297.00		25.00DR
07JUL17	1 06OCT17	2298.00	2299.50		37.50CR
07JUL17	1 06OCT17	2297.00	2299.50		62.50CR
10JUL17	1 06OCT17	2295.50	2319.44		598.50CR
10JUL17	2 06OCT17	2297.00	2319.44		1,122.00CR
10JUL17	1 06OCT17	2297.00	2319.44		561.00CR
10JUL17	1 06OCT17	2299.00	2319.44		511.00CR
12JUL17	1 06OCT17	2326.85	2319.44		185.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	2761.00	2754.50		162.50DR
06JUL17	1 06OCT17	2771.00	2753.50		437.50DR
06JUL17	1 06OCT17	2779.00	2754.50		612.50DR
06JUL17	1 06OCT17	2784.00	2752.50		787.50DR
06JUL17	1 06OCT17	2784.00	2756.50		687.50DR
06JUL17	1 06OCT17	2785.50	2756.50		725.00DR
06JUL17	1 06OCT17	2792.50	2756.50		900.00DR
06JUL17	1 06OCT17	2792.00	2756.50		887.50DR
06JUL17	1 06OCT17	2792.00	2766.50		637.50DR
06JUL17	1 06OCT17	2792.00	2767.00		625.00DR
06JUL17	1 06OCT17	2791.00	2760.00		775.00DR
06JUL17	1 06OCT17	2789.50	2760.00		737.50DR
06JUL17	1 06OCT17	2790.00	2769.00		525.00DR
06JUL17	1 06OCT17	2790.00	2770.00		500.00DR
06JUL17	2 06OCT17	2790.00	2770.50		975.00DR
06JUL17	1 06OCT17	2790.50	2771.00		487.50DR
06JUL17	1 06OCT17	2790.50	2769.50		525.00DR
06JUL17	1 06OCT17	2790.50	2766.50		600.00DR
06JUL17	1 06OCT17	2790.00	2768.00		550.00DR
06JUL17	1 06OCT17	2790.00	2771.00		475.00DR
06JUL17	1 06OCT17	2787.50	2771.00		412.50DR
06JUL17	1 06OCT17	2797.50	2779.50		450.00DR
06JUL17	1 06OCT17	2797.50	2795.50		50.00DR
06JUL17	1 06OCT17	2797.50	2790.00		187.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06JUL17	1 06OCT17	2790.50	2789.00		37.50DR
06JUL17	1 06OCT17	2787.00	2762.50		612.50DR
06JUL17	1 06OCT17	2786.50	2790.00		87.50CR
06JUL17	1 06OCT17	2786.00	2790.00		100.00CR
06JUL17	1 06OCT17	2785.50	2790.00		112.50CR
06JUL17	1 06OCT17	2786.00	2792.00		150.00CR
06JUL17	1 06OCT17	2785.00	2793.50		212.50CR
06JUL17	1 06OCT17	2790.00	2790.50		12.50CR
06JUL17	1 06OCT17	2790.00	2786.50		87.50DR
06JUL17	1 06OCT17	2780.00	2783.50		87.50CR
06JUL17	1 06OCT17	2779.50	2782.00		62.50CR
06JUL17	1 06OCT17	2777.50	2782.50		125.00CR
06JUL17	1 06OCT17	2777.50	2785.50		200.00CR
06JUL17	1 06OCT17	2778.50	2785.50		175.00CR
07JUL17	1 06OCT17	2779.50	2774.00		137.50DR
07JUL17	1 06OCT17	2777.50	2774.50		75.00DR
07JUL17	1 06OCT17	2775.00	2775.00		0.00
07JUL17	1 06OCT17	2775.00	2775.00		0.00
07JUL17	1 06OCT17	2774.00	2774.00		0.00
07JUL17	1 06OCT17	2775.50	2774.50		25.00DR
07JUL17	1 06OCT17	2776.50	2774.50		50.00DR
07JUL17	1 06OCT17	2782.50	2774.50		200.00DR
07JUL17	1 06OCT17	2782.00	2774.50		187.50DR
07JUL17	1 06OCT17	2782.50	2782.50		0.00
07JUL17	1 06OCT17	2780.50	2783.00		62.50CR
07JUL17	2 06OCT17	2781.00	2784.50		175.00CR
07JUL17	1 06OCT17	2778.00	2784.50		162.50CR
07JUL17	1 06OCT17	2784.00	2787.50		87.50CR
07JUL17	1 06OCT17	2784.50	2788.50		100.00CR
07JUL17	1 06OCT17	2784.50	2795.50		275.00CR
07JUL17	1 06OCT17	2784.00	2798.50		362.50CR
07JUL17	1 06OCT17	2786.00	2799.00		325.00CR
07JUL17	1 06OCT17	2786.00	2800.00		350.00CR
07JUL17	1 06OCT17	2782.00	2800.00		450.00CR
07JUL17	1 06OCT17	2801.00	2800.50		12.50DR
07JUL17	1 06OCT17	2797.00	2801.50		112.50CR
07JUL17	1 06OCT17	2791.50	2801.50		250.00CR
07JUL17	1 06OCT17	2790.00	2800.50		262.50CR
07JUL17	1 06OCT17	2786.50	2798.00		287.50CR
07JUL17	1 06OCT17	2783.00	2796.50		337.50CR
07JUL17	1 06OCT17	2782.00	2797.50		387.50CR
07JUL17	1 06OCT17	2779.50	2799.00		487.50CR
07JUL17	1 06OCT17	2779.50	2797.00		437.50CR
07JUL17	1 06OCT17	2773.50	2777.00		87.50CR
07JUL17	1 06OCT17	2776.00	2784.00		200.00CR
07JUL17	1 06OCT17	2778.00	2780.00		50.00CR
07JUL17	1 06OCT17	2776.00	2777.50		37.50CR
07JUL17	1 06OCT17	2780.00	2775.50		112.50DR
07JUL17	1 06OCT17	2782.50	2783.00		12.50CR
07JUL17	1 06OCT17	2795.00	2779.00		400.00DR
07JUL17	1 06OCT17	2787.50	2788.50		25.00CR
07JUL17	1 06OCT17	2786.50	2789.50		75.00CR
07JUL17	1 06OCT17	2784.00	2789.00		125.00CR
07JUL17	1 06OCT17	2783.50	2789.50		150.00CR
07JUL17	1 06OCT17	2782.50	2790.00		187.50CR
07JUL17	1 06OCT17	2781.50	2791.00		237.50CR
07JUL17	1 06OCT17	2782.50	2796.00		337.50CR
07JUL17	1 06OCT17	2782.50	2798.50		400.00CR
07JUL17	1 06OCT17	2781.50	2801.00		487.50CR
07JUL17	1 06OCT17	2780.00	2799.00		475.00CR
07JUL17	1 06OCT17	2782.00	2801.00		475.00CR
07JUL17	1 06OCT17	2780.50	2805.00		612.50CR
07JUL17	1 06OCT17	2777.50	2805.00		687.50CR
07JUL17	1 06OCT17	2779.50	2804.00		612.50CR
07JUL17	1 06OCT17	2782.50	2782.50		0.00
07JUL17	1 06OCT17	2782.50	2782.00		12.50DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
07JUL17	1 06OCT17	2780.00	2786.00		150.00CR
07JUL17	1 06OCT17	2778.50	2788.00		237.50CR
10JUL17	1 06OCT17	2780.50	2780.30		5.00DR
10JUL17	1 06OCT17	2776.00	2780.30		107.50CR
10JUL17	1 06OCT17	2775.00	2780.30		132.50CR
10JUL17	1 06OCT17	2789.00	2780.30		217.50DR
10JUL17	1 06OCT17	2788.00	2780.30		192.50DR
10JUL17	1 06OCT17	2787.00	2780.30		167.50DR
10JUL17	1 06OCT17	2786.00	2780.30		142.50DR
10JUL17	1 06OCT17	2785.00	2780.30		117.50DR
10JUL17	1 06OCT17	2785.50	2780.30		130.00DR
10JUL17	1 06OCT17	2785.00	2780.30		117.50DR

TOTALS

BROUGHT FORWARD BALANCE	**139,284.33DR**
SETTLEMENTS	
LME Lead 25 tonnes. This is an Futures	**2,592.75DR**
LME Zinc 25 tonnes. This is an LME Futures	**4,612.50DR**
LME Aluminium 25 Tonnes. This is an Futures	**9,393.50DR**
COMMISSIONS	**511.70DR**
CARRIED FORWARD BALANCE	**156,394.78DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Lead 25 tonnes. This is an LME registered client contract								
06OCT17	S	1	05JAN18	OBJ	EL		2583.00	4.30DR	
06OCT17	B	3	05JAN18	OBJ	EL	2581.00		12.90DR	
06OCT17	S	1	05JAN18	OBJ	EL		2573.00	4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		2571.50	8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2569.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2568.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2568.00		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	2570.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	2569.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2563.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2550.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2545.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2520.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		2523.50	4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2527.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2538.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	2539.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
06OCT17	B	1	05JAN18	OBJ	EL	3267.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3265.00		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	3262.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3260.50		4.30DR	
06OCT17	B	2	05JAN18	OBJ	EL	3255.50		8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3252.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3251.50		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3257.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3256.00		4.30DR	
06OCT17	B	1	05JAN18	OBJ	EL	3255.00		4.30DR	
06OCT17	S	2	05JAN18	OBJ	EL		3241.50	8.60DR	
06OCT17	B	1	05JAN18	OBJ	EL	3243.50		4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3241.00	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3246.50	4.30DR	
06OCT17	S	1	05JAN18	OBJ	EL		3244.00	4.30DR	
	Commission								167.70DR

TOTALS

BROUGHT FORWARD BALANCE	**92.92DR**
COMMISSIONS	**167.70DR**
CARRIED FORWARD BALANCE	**260.62DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**329,609.54CR**
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		**13,134.00DR**
	LME Lead 25 tonnes. This is an Futures		**2,644.25DR**
	LME Nickel 6 tonnes. This is an LME Futures		**102,535.20CR**
	LME Zinc 25 tonnes. This is an LME Futures		**11,267.50DR**
	COMMISSIONS		**864.30DR**
	CARRIED FORWARD BALANCE		**404,234.69CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	1 06OCT17	1927.00	2143.75		5,418.75CR
04OCT17	1 06OCT17	1927.00	2143.75		5,418.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	1 06OCT17	3364.50	2773.50		14,775.00DR
04OCT17	1 06OCT17	3364.50	2773.50		14,775.00DR

TOTALS

BROUGHT FORWARD BALANCE	**2,426.30DR**
SETTLEMENTS	
LME Zinc 25 tonnes. This is an LME Futures	**29,550.00DR**
LME Aluminium 25 Tonnes. This is an Futures	**10,837.50CR**
CARRIED FORWARD BALANCE	**21,138.80DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 06OCT17	5827.50	5818.00		237.50DR
07JUL17	1 06OCT17	5811.50	5823.50		300.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
06JUL17	1 06OCT17	19875.00	19845.00		150.00DR
06JUL17	1 06OCT17	19890.00	19810.00		400.00DR
06JUL17	1 06OCT17	19900.00	19900.00		0.00
07JUL17	1 06OCT17	19915.00	19860.00		275.00DR
07JUL17	1 06OCT17	19620.00	19620.00		0.00
07JUL17	1 06OCT17	19695.00	19660.00		175.00DR

TOTALS

BROUGHT FORWARD BALANCE	**1,840.52DR**
SETTLEMENTS	
LME Tin 5 tonnes. This is an LME Futures	**1,000.00DR**
LME Copper Grade A 25 tonnes. This Futures	**62.50CR**
CARRIED FORWARD BALANCE	**2,778.02DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0118

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**5,193.62DR**
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		**10,837.50CR**
	LME Copper Grade A 25 tonnes. This Futures		**62.50CR**
	LME Tin 5 tonnes. This is an LME Futures		**1,000.00DR**
	LME Zinc 25 tonnes. This is an LME Futures		**29,550.00DR**
	CARRIED FORWARD BALANCE		**24,843.62DR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Gold 100 CMX							
06OCT17	B 2	DEC17	TTR	II	1270.0		5.00DR	
06OCT17	S 2	DEC17	TTR	II		1271.1	5.00DR	
06OCT17	B 1	DEC17	TTR	II	1269.5		2.50DR	
06OCT17	B 1	DEC17	TTR	II	1269.6		2.50DR	
	CMX Silver 5,000 CMX							
06OCT17	B 1	DEC17	TTR	II	1661.0		2.50DR	
06OCT17	B 2	DEC17	TTR	II	1661.5		5.00DR	
06OCT17	B 1	DEC17	TTR	II	1660.0		2.50DR	
06OCT17	S 5	DEC17	TTR	II		1664.5	12.50DR	
06OCT17	B 3	DEC17	TTR	II	1663.0		7.50DR	
06OCT17	B 3	DEC17	TTR	II	1644.5		7.50DR	
06OCT17	B 3	DEC17	TTR	II	1644.0		7.50DR	
06OCT17	B 2	DEC17	TTR	II	1643.5		5.00DR	
06OCT17	B 1	DEC17	TTR	II	1643.0		2.50DR	
06OCT17	B 1	DEC17	TTR	II	1639.5		2.50DR	
06OCT17	B 1	DEC17	TTR	II	1638.0		2.50DR	
06OCT17	B 1	DEC17	TTR	II	1637.5		2.50DR	
06OCT17	S 1	DEC17	TTR	II		1657.0	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1658.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1663.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1665.5	2.50DR	
06OCT17	S 3	DEC17	TTR	II		1668.0	7.50DR	
06OCT17	S 3	DEC17	TTR	II		1667.0	7.50DR	
06OCT17	S 3	DEC17	TTR	II		1667.5	7.50DR	
06OCT17	S 13	DEC17	TTR	II		1669.5	32.50DR	
06OCT17	S 3	DEC17	TTR	II		1670.0	7.50DR	
06OCT17	S 4	DEC17	TTR	II		1669.0	10.00DR	
06OCT17	S 3	DEC17	TTR	II		1670.5	7.50DR	
06OCT17	S 4	DEC17	TTR	II		1671.5	10.00DR	
06OCT17	S 2	DEC17	TTR	II		1672.0	5.00DR	
06OCT17	S 7	DEC17	TTR	II		1671.0	17.50DR	
06OCT17	S 1	DEC17	TTR	II		1668.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1680.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1683.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1686.5	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1687.0	2.50DR	
06OCT17	S 2	DEC17	TTR	II		1687.5	5.00DR	
06OCT17	S 1	DEC17	TTR	II		1688.0	2.50DR	
06OCT17	S 1	DEC17	TTR	II		1688.5	2.50DR	
06OCT17	B 1	DEC17	TTR	II	1677.5		2.50DR	
06OCT17	B 7	DEC17	TTR	II	1675.0		17.50DR	
06OCT17	B 3	DEC17	TTR	II	1675.5		7.50DR	
06OCT17	B 1	DEC17	TTR	II	1674.5		2.50DR	
06OCT17	B 1	DEC17	TTR	II	1678.0		2.50DR	
	Commission							252.50DR
	SETTLEMENTS							
	CMX Gold 100 CMX							
06OCT17	2	DEC17			1270.0	1271.1		220.00CR
	CMX Silver 5,000 CMX							
06OCT17	1	DEC17			1663.0	1664.5		75.00CR
06OCT17	1	DEC17			1663.0	1664.5		75.00CR
06OCT17	1	DEC17			1661.0	1664.5		175.00CR
06OCT17	1	DEC17			1661.5	1664.5		150.00CR
06OCT17	1	DEC17			1661.5	1664.5		150.00CR
06OCT17	1	DEC17			1660.0	1657.0		150.00DR
06OCT17	1	DEC17			1663.0	1658.5		225.00DR
06OCT17	1	DEC17			1644.0	1663.5		975.00CR
06OCT17	1	DEC17			1644.5	1670.0		1,275.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC17	1644.5	1667.0		1,125.00CR
06OCT17	1 DEC17	1644.5	1667.5		1,150.00CR
06OCT17	1 DEC17	1644.0	1665.5		1,075.00CR
06OCT17	1 DEC17	1644.0	1667.5		1,175.00CR
06OCT17	1 DEC17	1643.5	1667.0		1,175.00CR
06OCT17	1 DEC17	1643.5	1669.0		1,275.00CR
06OCT17	1 DEC17	1643.0	1669.0		1,300.00CR
06OCT17	1 DEC17	1639.5	1668.0		1,425.00CR
06OCT17	1 DEC17	1638.0	1670.0		1,600.00CR
06OCT17	1 DEC17	1637.5	1670.5		1,650.00CR
06OCT17	1 DEC17	1677.5	1671.0		325.00DR
06OCT17	1 DEC17	1675.5	1671.0		225.00DR
06OCT17	1 DEC17	1675.0	1671.0		200.00DR
06OCT17	1 DEC17	1675.0	1671.5		175.00DR
06OCT17	1 DEC17	1675.0	1670.5		225.00DR
06OCT17	2 DEC17	1675.0	1671.0		400.00DR
06OCT17	1 DEC17	1675.0	1671.5		175.00DR
06OCT17	1 DEC17	1675.0	1669.5		275.00DR
06OCT17	1 DEC17	1675.5	1669.5		300.00DR
06OCT17	1 DEC17	1675.5	1669.5		300.00DR
06OCT17	1 DEC17	1674.5	1669.5		250.00DR
06OCT17	1 DEC17	1678.0	1669.0		450.00DR
06OCT17	1 DEC17	1739.5	1669.5		3,500.00DR
06OCT17	1 DEC17	1739.0	1669.5		3,475.00DR
06OCT17	2 DEC17	1738.5	1669.5		6,900.00DR
06OCT17	1 DEC17	1731.5	1669.5		3,100.00DR
06OCT17	1 DEC17	1731.0	1668.0		3,150.00DR
06OCT17	1 DEC17	1716.5	1668.0		2,425.00DR
06OCT17	1 DEC17	1715.0	1667.0		2,400.00DR
06OCT17	1 DEC17	1715.5	1667.5		2,400.00DR
06OCT17	1 DEC17	1718.5	1669.5		2,450.00DR
06OCT17	2 DEC17	1697.5	1669.5		2,800.00DR
06OCT17	1 DEC17	1697.0	1669.5		1,375.00DR
06OCT17	1 DEC17	1697.5	1670.0		1,375.00DR
06OCT17	1 DEC17	1697.5	1669.0		1,425.00DR
06OCT17	1 DEC17	1697.5	1671.0		1,325.00DR
06OCT17	1 DEC17	1692.0	1670.5		1,075.00DR
06OCT17	1 DEC17	1692.5	1671.5		1,050.00DR
06OCT17	1 DEC17	1690.5	1671.5		950.00DR
06OCT17	1 DEC17	1690.5	1672.0		925.00DR
06OCT17	1 DEC17	1690.5	1672.0		925.00DR
06OCT17	1 DEC17	1690.5	1671.0		975.00DR
06OCT17	1 DEC17	1690.5	1668.5		1,100.00DR
06OCT17	1 DEC17	1689.5	1680.5		450.00DR
06OCT17	1 DEC17	1689.0	1683.5		275.00DR
06OCT17	1 DEC17	1688.5	1686.5		100.00DR
06OCT17	1 DEC17	1689.0	1687.0		100.00DR
06OCT17	1 DEC17	1708.5	1687.5		1,050.00DR
06OCT17	1 DEC17	1707.0	1687.5		975.00DR
06OCT17	1 DEC17	1707.0	1688.0		950.00DR
06OCT17	1 DEC17	1707.0	1688.5		925.00DR

TOTALS

BROUGHT FORWARD BALANCE	**55,818.74CR**
SETTLEMENTS	
CMX Silver Futures	**37,775.00DR**
CMX Gold Futures	**220.00CR**
COMMISSIONS	**252.50DR**
CARRIED FORWARD BALANCE	**18,011.24CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description			Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY						
	CME Cattle 400 CME						
06OCT17	B 1 DEC17	CQG	II	116.9500		2.50DR	
06OCT17	S 2 FEB18	CQG	II		120.6750	5.00DR	
06OCT17	B 1 APR18	CQG	II	121.7000		2.50DR	
06OCT17	B 1 APR18	CQG	II	121.5000		2.50DR	
06OCT17	B 1 APR18	CQG	II	121.5500		2.50DR	
06OCT17	S 1 JUN18	CQG	II		115.1750	2.50DR	
06OCT17	S 1 JUN18	CQG	II		115.2000	2.50DR	
06OCT17	S 1 JUN18	CQG	II		115.3250	2.50DR	
06OCT17	S 1 JUN18	CQG	II		115.3000	2.50DR	
06OCT17	B 1 AUG18	CQG	II	112.3250		2.50DR	
06OCT17	B 1 AUG18	CQG	II	112.4250		2.50DR	
	CME Feeder Cattle 500 CME						
06OCT17	B 1 NOV17	CQG	II	155.6000		2.50DR	
06OCT17	B 1 NOV17	CQG	II	155.9500		2.50DR	
06OCT17	S 1 NOV17	CQG	II		155.7000	2.50DR	
06OCT17	B 1 NOV17	CQG	II	155.7000		2.50DR	
06OCT17	S 1 JAN18	CQG	II		153.3000	2.50DR	
06OCT17	S 1 JAN18	CQG	II		153.2000	2.50DR	
06OCT17	S 2 JAN18	CQG	II		153.5000	5.00DR	
06OCT17	B 1 JAN18	CQG	II	153.4000		2.50DR	
06OCT17	B 1 JAN18	CQG	II	153.4250		2.50DR	
06OCT17	S 2 JAN18	CQG	II		153.7000	5.00DR	
06OCT17	B 1 MAR18	CQG	II	151.1000		2.50DR	
06OCT17	B 1 MAR18	CQG	II	151.0500		2.50DR	
06OCT17	S 1 MAR18	CQG	II		151.3750	2.50DR	
06OCT17	B 1 MAR18	CQG	II	151.7000		2.50DR	
	IEU Gas Oil 100 IPE						
06OCT17	S 2 FEB18	CQG	EL		514.250	5.00DR	
06OCT17	B 6 FEB18	CQG	EL	508.500		15.00DR	
06OCT17	B 4 FEB18	CQG	EL	509.750		10.00DR	
06OCT17	B 2 MAR18	CQG	EL	511.500		5.00DR	
06OCT17	B 16 MAR18	CQG	EL	504.500		40.00DR	
06OCT17	S 6 MAR18	CQG	EL		505.750	15.00DR	
06OCT17	S 4 MAR18	CQG	EL		507.000	10.00DR	
06OCT17	S 16 APR18	CQG	EL		501.750	40.00DR	
	CME Lean Hog 400 CME						
06OCT17	S 1 DEC17	CQG	II		60.9250	2.50DR	
06OCT17	B 1 DEC17	CQG	II	61.0750		2.50DR	
06OCT17	B 2 FEB18	CQG	II	66.2750		5.00DR	
06OCT17	S 2 FEB18	CQG	II		66.6750	5.00DR	
06OCT17	S 1 APR18	CQG	II		71.1750	2.50DR	
06OCT17	B 1 APR18	CQG	II	71.4500		2.50DR	
06OCT17	B 1 AUG18	CQG	II	79.3750		2.50DR	
06OCT17	S 1 OCT18	CQG	II		67.4250	2.50DR	
	Commission						235.00DR
	SETTLEMENTS						
	CME Feeder Cattle 500 CME						
06OCT17	1 NOV17			155.6000	155.7000		50.00CR
06OCT17	1 JAN18			153.4000	153.3000		50.00DR
06OCT17	1 JAN18			153.4250	153.2000		112.50DR
06OCT17	1 MAR18			151.1000	151.3750		137.50CR
	IEU Gas Oil 100 IPE						
06OCT17	2 FEB18			508.500	514.250		1,150.00CR
06OCT17	3 FEB18			508.500	518.500		3,000.00CR
06OCT17	1 FEB18			508.500	518.500		1,000.00CR
06OCT17	2 MAR18			511.500	505.750		1,150.00DR
06OCT17	4 MAR18			504.500	505.750		500.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119C

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	4 MAR18	504.500	507.000		1,000.00CR
06OCT17	4 MAR18	504.500	509.750		2,100.00CR
06OCT17	4 MAR18	504.500	509.750		2,100.00CR
06OCT17	4 APR18	508.000	501.750		2,500.00DR
06OCT17	4 APR18	505.250	501.750		1,400.00DR
06OCT17	4 APR18	507.500	501.750		2,300.00DR
06OCT17	1 APR18	506.000	501.750		425.00DR
06OCT17	2 APR18	506.000	501.750		850.00DR
06OCT17	1 APR18	506.000	501.750		425.00DR
	CME Lean Hog 400 CME				
06OCT17	1 DEC17	61.0750	60.9250		60.00DR
06OCT17	1 FEB18	66.2750	66.6750		160.00CR
06OCT17	1 FEB18	66.2750	66.6750		160.00CR
06OCT17	1 APR18	71.4500	71.1750		110.00DR
06OCT17	1 OCT18	65.0000	67.4250		970.00CR

TOTALS

BROUGHT FORWARD BALANCE	**5,990.00DR**
SETTLEMENTS	
CME Feeder Cattle Futures	**25.00CR**
IEU Gas Oil Futures	**1,800.00CR**
CME Lean Hog Futures	**1,120.00CR**
COMMISSIONS	**235.00DR**
CARRIED FORWARD BALANCE	**3,280.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	B 1 DEC17	CQG	II		116.5750		2.50DR	
06OCT17	B 1 DEC17	CQG	II		116.9750		2.50DR	
06OCT17	S 2 FEB18	CQG	II			120.3750	5.00DR	
06OCT17	S 1 FEB18	CQG	II			120.7750	2.50DR	
06OCT17	S 1 FEB18	CQG	II			120.7500	2.50DR	
06OCT17	S 1 APR18	CQG	II			121.4000	2.50DR	
06OCT17	B 1 APR18	CQG	II		121.4750		2.50DR	
06OCT17	B 1 APR18	CQG	II		121.6000		2.50DR	
06OCT17	S 1 JUN18	CQG	II			114.5750	2.50DR	
06OCT17	S 1 JUN18	CQG	II			114.8250	2.50DR	
06OCT17	S 1 JUN18	CQG	II			114.7750	2.50DR	
06OCT17	B 2 JUN18	CQG	II		114.6250		5.00DR	
06OCT17	B 1 JUN18	CQG	II		114.4000		2.50DR	
06OCT17	B 1 JUN18	CQG	II		114.6000		2.50DR	
06OCT17	B 1 AUG18	CQG	II		111.6000		2.50DR	
06OCT17	B 1 AUG18	CQG	II		111.9750		2.50DR	
06OCT17	B 1 AUG18	CQG	II		111.9500		2.50DR	
06OCT17	S 2 AUG18	CQG	II			111.7750	5.00DR	
06OCT17	S 1 AUG18	CQG	II			111.7250	2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B 1 NOV17	CQG	II		155.6500		2.50DR	
06OCT17	B 1 NOV17	CQG	II		156.0500		2.50DR	
06OCT17	B 1 NOV17	CQG	II		156.1000		2.50DR	
06OCT17	S 1 NOV17	CQG	II			155.5500	2.50DR	
06OCT17	S 1 NOV17	CQG	II			155.6000	2.50DR	
06OCT17	S 2 JAN18	CQG	II			153.3000	5.00DR	
06OCT17	S 2 JAN18	CQG	II			153.5750	5.00DR	
06OCT17	S 2 JAN18	CQG	II			153.7000	5.00DR	
06OCT17	B 1 JAN18	CQG	II		153.2500		2.50DR	
06OCT17	B 4 JAN18	CQG	II		153.3000		10.00DR	
06OCT17	B 1 JAN18	CQG	II		153.4250		2.50DR	
06OCT17	B 1 MAR18	CQG	II		151.1000		2.50DR	
06OCT17	B 1 MAR18	CQG	II		151.1250		2.50DR	
06OCT17	B 1 MAR18	CQG	II		151.2000		2.50DR	
06OCT17	S 1 MAR18	CQG	II			151.2000	2.50DR	
06OCT17	S 1 MAR18	CQG	II			151.1750	2.50DR	
06OCT17	S 1 MAR18	CQG	II			151.2500	2.50DR	
06OCT17	S 1 MAR18	CQG	II			151.3750	2.50DR	
06OCT17	S 1 APR18	CQG	II			150.5000	2.50DR	
06OCT17	B 1 APR18	CQG	II		150.5500		2.50DR	
06OCT17	S 1 APR18	CQG	II			150.4000	2.50DR	
06OCT17	B 1 APR18	CQG	II		150.6000		2.50DR	
06OCT17	B 1 APR18	CQG	II		150.5750		2.50DR	
06OCT17	S 1 APR18	CQG	II			151.2000	2.50DR	
06OCT17	B 1 MAY18	CQG	II		149.5250		2.50DR	
06OCT17	S 1 MAY18	CQG	II			149.6500	2.50DR	
06OCT17	S 1 MAY18	CQG	II			149.5000	2.50DR	
06OCT17	B 1 MAY18	CQG	II		149.4000		2.50DR	
06OCT17	S 2 MAY18	CQG	II			149.6250	5.00DR	
06OCT17	S 1 MAY18	CQG	II			149.7000	2.50DR	
06OCT17	S 2 MAY18	CQG	II			149.6750	5.00DR	
06OCT17	B 3 MAY18	CQG	II		149.5750		7.50DR	
06OCT17	B 1 MAY18	CQG	II		149.9250		2.50DR	
06OCT17	B 1 AUG18	CQG	II		150.4000		2.50DR	
06OCT17	S 1 AUG18	CQG	II			150.3000	2.50DR	
06OCT17	B 1 AUG18	CQG	II		150.3500		2.50DR	
06OCT17	B 2 AUG18	CQG	II		150.3000		5.00DR	
06OCT17	S 2 AUG18	CQG	II			150.3250	5.00DR	
	CME Lean Hog 400 CME							
06OCT17	B 1 DEC17	CQG	II		60.8750		2.50DR	
06OCT17	B 1 DEC17	CQG	II		60.8500		2.50DR	
06OCT17	S 1 FEB18	CQG	II			66.1750	2.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 1 FEB18 CQG II		66.4000	2.50DR	
06OCT17	B 1 JUN18 CQG II	79.7000		2.50DR	
06OCT17	S 1 JUN18 CQG II		79.6750	2.50DR	
06OCT17	S 1 JUN18 CQG II		79.7000	2.50DR	
06OCT17	B 1 JUN18 CQG II	79.4500		2.50DR	
06OCT17	S 1 JUN18 CQG II		79.8000	2.50DR	
06OCT17	B 2 JUL18 CQG II	80.2000		5.00DR	
06OCT17	S 1 AUG18 CQG II		79.6250	2.50DR	
06OCT17	S 1 AUG18 CQG II		79.4250	2.50DR	
06OCT17	B 1 AUG18 CQG II	79.8000		2.50DR	
	Commission				215.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
06OCT17	1 APR18	121.4750	121.4000		30.00DR
06OCT17	1 JUN18	114.6250	114.5750		20.00DR
06OCT17	1 JUN18	114.6250	114.8250		80.00CR
06OCT17	1 JUN18	114.4000	114.7750		150.00CR
06OCT17	1 JUN18	114.6000	114.0250		230.00DR
06OCT17	1 AUG18	111.6000	111.7750		70.00CR
06OCT17	1 AUG18	111.9750	111.7750		80.00DR
06OCT17	1 AUG18	111.9500	111.7250		90.00DR
	CME Feeder Cattle 500 CME				
06OCT17	1 NOV17	155.6500	155.5500		50.00DR
06OCT17	1 NOV17	156.0500	155.6000		225.00DR
06OCT17	1 JAN18	153.3000	153.3000		0.00
06OCT17	1 JAN18	153.3000	153.3000		0.00
06OCT17	1 JAN18	153.2500	153.5750		162.50CR
06OCT17	1 JAN18	153.3000	153.5750		137.50CR
06OCT17	1 JAN18	153.3000	153.7000		200.00CR
06OCT17	1 JAN18	153.4250	153.7000		137.50CR
06OCT17	1 MAR18	151.1000	151.2000		50.00CR
06OCT17	1 MAR18	151.1250	151.1750		25.00CR
06OCT17	1 MAR18	151.2000	151.2500		25.00CR
06OCT17	1 MAR18	150.5750	151.3750		400.00CR
06OCT17	1 APR18	150.5500	150.5000		25.00DR
06OCT17	1 APR18	150.6000	150.4000		100.00DR
06OCT17	1 APR18	150.5750	151.2000		312.50CR
06OCT17	1 MAY18	149.5250	149.6500		62.50CR
06OCT17	1 MAY18	149.4000	149.5000		50.00CR
06OCT17	1 MAY18	149.5750	149.6250		25.00CR
06OCT17	1 MAY18	149.5750	149.6250		25.00CR
06OCT17	1 MAY18	149.5750	149.6750		50.00CR
06OCT17	1 MAY18	149.9250	149.7000		112.50DR
06OCT17	1 MAY18	148.4750	149.6750		600.00CR
06OCT17	1 AUG18	150.4000	150.3000		50.00DR
06OCT17	1 AUG18	150.3000	150.3250		12.50CR
06OCT17	1 AUG18	150.3500	150.3250		12.50DR
06OCT17	1 AUG18	150.3000	150.3000		0.00
	CME Lean Hog 400 CME				
06OCT17	1 JUN18	79.7000	79.6750		10.00DR
06OCT17	1 JUN18	79.4500	79.7000		100.00CR
06OCT17	1 JUN18	80.1250	79.8000		130.00DR
06OCT17	1 JUL18	80.2000	80.4500		100.00CR
06OCT17	1 JUL18	80.2000	80.4500		100.00CR
06OCT17	1 AUG18	79.8000	79.6250		70.00DR
06OCT17	1 AUG18	79.8000	79.4250		150.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				**5,352.50DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119D

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Feeder Cattle Futures				**1,700.00CR**
	CME Cattle Futures				**150.00DR**
	CME Lean Hog Futures				**60.00DR**
	COMMISSIONS				**215.00DR**
	CARRIED FORWARD BALANCE				**4,077.50DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	B	1	DEC17	CQG	II	116.5750		2.50DR	
06OCT17	S	1	FEB18	CQG	II		120.2000	2.50DR	
06OCT17	B	1	FEB18	CQG	II	120.3500		2.50DR	
06OCT17	S	1	FEB18	CQG	II		120.5750	2.50DR	
06OCT17	S	2	FEB18	CQG	II		120.2250	5.00DR	
06OCT17	B	1	APR18	CQG	II	121.3500		2.50DR	
06OCT17	S	1	APR18	CQG	II		121.4500	2.50DR	
06OCT17	B	1	APR18	CQG	II	121.6000		2.50DR	
06OCT17	B	1	APR18	CQG	II	121.2250		2.50DR	
06OCT17	S	1	APR18	CQG	II		121.1500	2.50DR	
06OCT17	B	1	APR18	CQG	II	121.6500		2.50DR	
06OCT17	S	1	JUN18	CQG	II		114.5750	2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.6000		2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.5500		2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.3750		2.50DR	
06OCT17	S	2	JUN18	CQG	II		115.1750	5.00DR	
06OCT17	B	1	AUG18	CQG	II	111.6000		2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.6000	2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.7500	2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.8750	2.50DR	
06OCT17	B	1	AUG18	CQG	II	112.3250		2.50DR	
06OCT17	B	1	OCT18	CQG	II	112.3000		2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B	1	NOV17	CQG	II	155.9500		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.7250	2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.5250		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.5000	2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.6000	2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.7000		2.50DR	
06OCT17	B	2	NOV17	CQG	II	155.7500		5.00DR	
06OCT17	S	1	NOV17	CQG	II		155.7500	2.50DR	
06OCT17	S	1	JAN18	CQG	II		153.2500	2.50DR	
06OCT17	S	2	JAN18	CQG	II		153.5250	5.00DR	
06OCT17	B	1	JAN18	CQG	II	153.2500		2.50DR	
06OCT17	S	1	JAN18	CQG	II		153.3250	2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.1750		2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.2000		2.50DR	
06OCT17	B	2	JAN18	CQG	II	153.3000		5.00DR	
06OCT17	S	1	JAN18	CQG	II		153.5500	2.50DR	
06OCT17	S	2	JAN18	CQG	II		153.7000	5.00DR	
06OCT17	S	1	JAN18	CQG	II		153.8250	2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.7000		2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.2000	2.50DR	
06OCT17	B	2	MAR18	CQG	II	150.9750		5.00DR	
06OCT17	S	1	MAR18	CQG	II		151.1000	2.50DR	
06OCT17	B	1	MAR18	CQG	II	151.1000		2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.1750	2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.2500	2.50DR	
06OCT17	B	1	MAR18	CQG	II	151.7000		2.50DR	
06OCT17	S	1	APR18	CQG	II		150.1250	2.50DR	
06OCT17	B	1	APR18	CQG	II	150.8000		2.50DR	
06OCT17	S	1	APR18	CQG	II		150.5250	2.50DR	
06OCT17	B	1	APR18	CQG	II	150.5500		2.50DR	
06OCT17	B	1	APR18	CQG	II	150.6750		2.50DR	
06OCT17	B	1	MAY18	CQG	II	149.1750		2.50DR	
06OCT17	S	1	MAY18	CQG	II		149.5250	2.50DR	
	CME Lean Hog 400 CME							
06OCT17	B	1	DEC17	CQG	II	62.5500		2.50DR	
06OCT17	B	1	DEC17	CQG	II	61.3250		2.50DR	
06OCT17	B	1	DEC17	CQG	II	61.1000		2.50DR	
06OCT17	S	1	DEC17	CQG	II		60.8750	2.50DR	
06OCT17	B	1	DEC17	CQG	II	60.8250		2.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119E

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 1 DEC17	CQG	II			61.0750	2.50DR	
06OCT17	S 1 FEB18	CQG	II			67.4000	2.50DR	
06OCT17	S 1 FEB18	CQG	II			66.5500	2.50DR	
06OCT17	S 1 FEB18	CQG	II			66.5750	2.50DR	
06OCT17	B 1 FEB18	CQG	II		66.4250		2.50DR	
06OCT17	S 1 FEB18	CQG	II			66.4500	2.50DR	
06OCT17	B 1 FEB18	CQG	II		66.6750		2.50DR	
	Commission							185.00DR
	SETTLEMENTS							
	CME Cattle 400 CME							
06OCT17	1 FEB18				120.3500	120.2000		60.00DR
06OCT17	1 APR18				121.3500	121.4500		40.00CR
06OCT17	1 APR18				121.6000	121.1500		180.00DR
06OCT17	1 JUN18				114.6000	114.5750		10.00DR
06OCT17	1 JUN18				114.5500	115.1750		250.00CR
06OCT17	1 JUN18				114.3750	115.1750		320.00CR
06OCT17	1 AUG18				111.6000	111.6000		0.00
06OCT17	1 AUG18				112.3250	111.7500		230.00DR
06OCT17	1 AUG18				111.0750	111.8750		320.00CR
	CME Feeder Cattle 500 CME							
06OCT17	1 NOV17				155.9500	155.7250		112.50DR
06OCT17	1 NOV17				155.5250	155.5000		12.50DR
06OCT17	1 NOV17				155.7500	155.6000		75.00DR
06OCT17	1 NOV17				155.7000	155.7500		25.00CR
06OCT17	1 JAN18				153.2500	153.2500		0.00
06OCT17	1 JAN18				153.1750	153.5250		175.00CR
06OCT17	1 JAN18				153.2000	153.5250		162.50CR
06OCT17	1 JAN18				153.3000	153.3250		12.50CR
06OCT17	1 JAN18				153.3000	153.5500		125.00CR
06OCT17	1 JAN18				153.7000	153.7000		0.00
06OCT17	1 MAR18				150.9750	151.2000		112.50CR
06OCT17	1 MAR18				150.9750	151.1000		62.50CR
06OCT17	1 MAR18				151.1000	151.1750		37.50CR
06OCT17	1 MAR18				151.7000	151.2500		225.00DR
06OCT17	1 APR18				150.8000	150.1250		337.50DR
06OCT17	1 APR18				150.5500	150.5250		12.50DR
06OCT17	1 APR18				150.6750	149.5500		562.50DR
06OCT17	1 MAY18				149.1750	149.5250		175.00CR
	CME Lean Hog 400 CME							
06OCT17	1 DEC17				62.5500	60.8750		670.00DR
06OCT17	1 DEC17				61.3250	61.0750		100.00DR
06OCT17	1 FEB18				66.4250	67.4000		390.00CR
06OCT17	1 FEB18				66.6750	66.5500		50.00DR

TOTALS

BROUGHT FORWARD BALANCE	**3,350.00DR**
SETTLEMENTS	
CME Feeder Cattle Futures	**450.00DR**
CME Cattle Futures	**450.00CR**
CME Lean Hog Futures	**430.00DR**
COMMISSIONS	**185.00DR**
CARRIED FORWARD BALANCE	**3,965.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Tin 5 tonnes. This is an LME registered client contract				
06OCT17	B 1 05JAN18 DMA EL	21005.00		1.56DR	
06OCT17	S 1 05JAN18 DMA EL		21025.00	1.56DR	
06OCT17	S 2 05JAN18 DMA EL		20800.00	3.12DR	
06OCT17	B 2 05JAN18 DMA EL	20630.00		3.12DR	
	Commission				9.36DR

TOTALS

BROUGHT FORWARD BALANCE	**10,023.52CR**
COMMISSIONS	**9.36DR**
CARRIED FORWARD BALANCE	**10,014.16CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CMX Copper 25,000 CMX							
06OCT17	B 1 DEC17			II	305.200		2.50DR	
	CMX Gold 100 CMX							
06OCT17	S 1 DEC17			II		1270.8	2.50DR	
06OCT17	B 1 DEC17		DMA	II	1265.7		2.50DR	
06OCT17	S 1 DEC17		DMA	II		1271.9	2.50DR	
06OCT17	B 1 DEC17			II	1275.0		2.50DR	
	LME Tin 5 tonnes. This is an LME registered client contract							
06OCT17	B 1 05JAN18		DMA	EL	20990.00		1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	21000.00		1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		20975.00	1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20970.00		1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		21000.00	1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20835.00		1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20920.00		1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		20845.00	1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20850.00		1.56DR	
06OCT17	S 3 05JAN18		DMA	EL		21020.00	4.68DR	
06OCT17	B 1 05JAN18		DMA	EL	20810.00		1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20940.00		1.56DR	
06OCT17	B 1 05JAN18		DMA	EL	20965.00		1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		20965.00	1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		20635.00	1.56DR	
06OCT17	S 1 05JAN18		DMA	EL		20640.00	1.56DR	
	Commission							40.58DR
	SETTLEMENTS							
	CMX Gold 100 CMX							
06OCT17	1 DEC17				1265.7	1270.8		510.00CR
06OCT17	1 DEC17				1275.0	1271.9		310.00DR

TOTALS

BROUGHT FORWARD BALANCE	**8,440.50CR**
SETTLEMENTS	
CMX Gold Futures	**200.00CR**
COMMISSIONS	**40.58DR**
CARRIED FORWARD BALANCE	**8,599.92CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119K

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	B	1	DEC17	CQG	II	116.9000		2.50DR	
06OCT17	S	2	FEB18	CQG	II		120.7500	5.00DR	
06OCT17	B	1	APR18	CQG	II	121.6750		2.50DR	
06OCT17	B	1	APR18	CQG	II	121.5500		2.50DR	
06OCT17	S	1	JUN18	CQG	II		115.2250	2.50DR	
06OCT17	S	1	JUN18	CQG	II		115.2000	2.50DR	
06OCT17	B	1	AUG18	CQG	II	112.3250		2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B	1	OCT17	CQG	II	153.6500		2.50DR	
06OCT17	S	1	OCT17	CQG	II		153.7000	2.50DR	
06OCT17	B	1	OCT17	CQG	II	153.7500		2.50DR	
06OCT17	B	1	OCT17	CQG	II	153.7250		2.50DR	
06OCT17	S	2	OCT17	CQG	II		153.7500	5.00DR	
06OCT17	S	1	OCT17	CQG	II		153.9500	2.50DR	
06OCT17	B	2	OCT17	CQG	II	153.8250		5.00DR	
06OCT17	S	1	OCT17	CQG	II		153.8750	2.50DR	
06OCT17	B	1	NOV17	CQG	II	156.1000		2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.2000		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.6500	2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.3500	2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.3750		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.4500	2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.4250	2.50DR	
06OCT17	B	2	NOV17	CQG	II	155.4000		5.00DR	
06OCT17	B	1	NOV17	CQG	II	155.6000		2.50DR	
06OCT17	S	2	NOV17	CQG	II		155.5250	5.00DR	
06OCT17	B	1	NOV17	CQG	II	155.5250		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.5750	2.50DR	
06OCT17	S	2	JAN18	CQG	II		153.6500	5.00DR	
06OCT17	S	1	JAN18	CQG	II		153.0000	2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.3250		2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.2500		2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.3000		2.50DR	
06OCT17	B	1	MAR18	CQG	II	151.1500		2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.2250	2.50DR	
	CME Lean Hog 400 CME							
06OCT17	B	1	DEC17	CQG	II	61.7500		2.50DR	
06OCT17	S	1	DEC17	CQG	II		61.6250	2.50DR	
06OCT17	S	1	FEB18	CQG	II		67.4000	2.50DR	
06OCT17	B	1	FEB18	CQG	II	67.2250		2.50DR	
06OCT17	S	1	FEB18	CQG	II		67.3250	2.50DR	
06OCT17	B	1	FEB18	CQG	II	67.2000		2.50DR	
06OCT17	S	1	FEB18	CQG	II		67.1250	2.50DR	
06OCT17	B	1	FEB18	CQG	II	66.9500		2.50DR	
06OCT17	S	1	FEB18	CQG	II		66.8750	2.50DR	
06OCT17	B	1	FEB18	CQG	II	66.7750		2.50DR	
06OCT17	B	1	APR18	CQG	II	71.5750		2.50DR	
06OCT17	S	1	APR18	CQG	II		71.4750	2.50DR	
06OCT17	B	1	APR18	CQG	II	71.5000		2.50DR	
06OCT17	S	1	APR18	CQG	II		71.4250	2.50DR	
06OCT17	B	1	APR18	CQG	II	71.3000		2.50DR	
06OCT17	S	1	APR18	CQG	II		71.1750	2.50DR	
06OCT17	S	1	JUN18	CQG	II		79.8000	2.50DR	
06OCT17	S	1	JUN18	CQG	II		79.8250	2.50DR	
06OCT17	B	1	JUN18	CQG	II	79.6000		2.50DR	
06OCT17	B	1	JUL18	CQG	II	80.2000		2.50DR	
06OCT17	B	1	JUL18	CQG	II	80.2250		2.50DR	
06OCT17	B	2	JUL18	CQG	II	80.2500		5.00DR	
06OCT17	S	2	JUL18	CQG	II		80.1750	5.00DR	
06OCT17	S	1	AUG18	CQG	II		79.6000	2.50DR	
06OCT17	S	1	AUG18	CQG	II		79.6250	2.50DR	
06OCT17	B	1	AUG18	CQG	II	79.6000		2.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119K

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	Commission				170.00DR
	SETTLEMENTS				
	CME Feeder Cattle 500 CME				
06OCT17	1 OCT17	153.6500	153.7000		25.00CR
06OCT17	1 OCT17	153.7500	153.7500		0.00
06OCT17	1 OCT17	153.7250	153.7500		12.50CR
06OCT17	1 OCT17	153.8250	153.9500		62.50CR
06OCT17	1 OCT17	153.8250	153.8750		25.00CR
06OCT17	1 NOV17	156.1000	155.6500		225.00DR
06OCT17	1 NOV17	155.2000	155.3500		75.00CR
06OCT17	1 NOV17	155.3750	155.4500		37.50CR
06OCT17	1 NOV17	155.4000	155.4250		12.50CR
06OCT17	1 NOV17	155.4000	155.5250		62.50CR
06OCT17	1 NOV17	155.6000	155.5250		37.50DR
06OCT17	1 NOV17	155.5250	155.5750		25.00CR
06OCT17	1 JAN18	153.3250	153.6500		162.50CR
06OCT17	1 JAN18	153.2500	153.6500		200.00CR
06OCT17	1 JAN18	153.3000	153.0000		150.00DR
06OCT17	1 MAR18	151.1500	151.2250		37.50CR
	CME Lean Hog 400 CME				
06OCT17	1 DEC17	61.7500	61.6250		50.00DR
06OCT17	1 FEB18	67.2250	67.4000		70.00CR
06OCT17	1 FEB18	67.2000	67.3250		50.00CR
06OCT17	1 FEB18	66.9500	67.1250		70.00CR
06OCT17	1 FEB18	66.7750	66.8750		40.00CR
06OCT17	1 APR18	71.5750	71.4750		40.00DR
06OCT17	1 APR18	71.5000	71.4250		30.00DR
06OCT17	1 APR18	71.3000	71.1750		50.00DR
06OCT17	1 JUN18	79.6000	79.8000		80.00CR
06OCT17	1 JUN18	79.8000	79.8250		10.00CR
06OCT17	1 JUL18	80.2000	80.1750		10.00DR
06OCT17	1 JUL18	80.2250	80.1750		20.00DR
06OCT17	1 JUL18	80.2500	80.1750		30.00DR
06OCT17	1 JUL18	80.2500	80.1750		30.00DR
06OCT17	1 AUG18	79.6000	79.6000		0.00
06OCT17	1 AUG18	79.5250	79.6250		40.00CR

TOTALS

BROUGHT FORWARD BALANCE	**232.50DR**
SETTLEMENTS	
CME Feeder Cattle Futures	**325.00CR**
CME Lean Hog Futures	**100.00CR**
COMMISSIONS	**170.00DR**
CARRIED FORWARD BALANCE	**22.50CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17	B	7	JUN18	CQG	II	51.72		17.50DR	
06OCT17	B	1	JUN18	CQG	II	51.22		2.50DR	
06OCT17	B	1	JUN18	CQG	II	51.13		2.50DR	
06OCT17	S	1	JUN18	CQG	II		50.81	2.50DR	
06OCT17	B	3	JUN18	CQG	II	50.82		7.50DR	
06OCT17	B	1	JUN18	CQG	II	50.44		2.50DR	
06OCT17	B	2	JUN18	CQG	II	50.43		5.00DR	
06OCT17	B	1	JUN18	CQG	II	50.46		2.50DR	
06OCT17	B	3	JUN18	CQG	II	50.42		7.50DR	
06OCT17	B	4	JUN18	CQG	II	50.39		10.00DR	
06OCT17	B	1	JUN18	CQG	II	50.38		2.50DR	
06OCT17	S	3	JUN18	CQG	II		50.42	7.50DR	
06OCT17	S	14	DEC18	CQG	II		51.29	35.00DR	
06OCT17	S	3	DEC18	CQG	II		50.87	7.50DR	
06OCT17	S	2	DEC18	CQG	II		50.78	5.00DR	
06OCT17	S	1	DEC18	CQG	II		50.79	2.50DR	
06OCT17	S	2	DEC18	CQG	II		50.54	5.00DR	
06OCT17	B	2	DEC18	CQG	II	50.49		5.00DR	
06OCT17	S	5	DEC18	CQG	II		50.50	12.50DR	
06OCT17	S	4	DEC18	CQG	II		50.18	10.00DR	
06OCT17	S	1	DEC18	CQG	II		50.23	2.50DR	
06OCT17	S	1	DEC18	CQG	II		50.25	2.50DR	
06OCT17	S	5	DEC18	CQG	II		50.21	12.50DR	
06OCT17	S	3	DEC18	CQG	II		50.19	7.50DR	
06OCT17	S	10	DEC18	CQG	II		50.20	25.00DR	
06OCT17	B	3	DEC18	CQG	II	50.21		7.50DR	
06OCT17	B	2	DEC18	CQG	II	50.23		5.00DR	
06OCT17	B	5	JUN19	CQG	II	50.81		12.50DR	
06OCT17	B	3	JUN19	CQG	II	50.45		7.50DR	
06OCT17	B	2	JUN19	CQG	II	50.37		5.00DR	
06OCT17	B	1	JUN19	CQG	II	50.34		2.50DR	
06OCT17	B	3	JUN19	CQG	II	50.16		7.50DR	
06OCT17	B	5	JUN19	CQG	II	49.89		12.50DR	
06OCT17	B	2	DEC19	CQG	II	50.48		5.00DR	
06OCT17	S	1	DEC19	CQG	II		50.18	2.50DR	
06OCT17	S	1	DEC19	CQG	II		50.08	2.50DR	
06OCT17	S	1	DEC19	CQG	II		49.91	2.50DR	
06OCT17	S	1	DEC19	CQG	II		49.83	2.50DR	
06OCT17	B	2	DEC19	CQG	II	49.84		5.00DR	
06OCT17	B	1	DEC19	CQG	II	49.65		2.50DR	
06OCT17	B	2	DEC19	CQG	II	49.64		5.00DR	
06OCT17	B	1	DEC19	CQG	II	49.70		2.50DR	
06OCT17	B	3	DEC19	CQG	II	49.68		7.50DR	
06OCT17	S	2	DEC19	CQG	II		49.73	5.00DR	
	IEU Brent Crude 1,000 IPE								
06OCT17	B	1	JUN18	CQG	EL	55.95		2.50DR	
06OCT17	B	1	JUN18	CQG	EL	56.03		2.50DR	
06OCT17	B	1	JUN18	CQG	EL	55.88		2.50DR	
06OCT17	S	2	JUN18	CQG	EL		55.36	5.00DR	
06OCT17	S	1	JUN18	CQG	EL		55.35	2.50DR	
06OCT17	B	3	JUN18	CQG	EL	54.94		7.50DR	
06OCT17	S	1	JUN18	CQG	EL		54.56	2.50DR	
06OCT17	S	3	JUN18	CQG	EL		54.54	7.50DR	
06OCT17	S	3	JUN18	CQG	EL		54.58	7.50DR	
06OCT17	S	3	JUN18	CQG	EL		54.57	7.50DR	
06OCT17	S	2	JUN18	CQG	EL		54.84	5.00DR	
06OCT17	S	1	JUN18	CQG	EL		54.87	2.50DR	
06OCT17	S	1	JUN18	CQG	EL		54.75	2.50DR	
06OCT17	B	2	JUN18	CQG	EL	54.83		5.00DR	
06OCT17	B	1	JUN18	CQG	EL	54.71		2.50DR	
06OCT17	S	1	JUN18	CQG	EL		54.65	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		55.32	2.50DR	
06OCT17	S	2	DEC18	CQG	EL		55.41	5.00DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S	1	DEC18	CQG	EL		55.40	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		55.26	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		55.17	2.50DR	
06OCT17	B	4	DEC18	CQG	EL	54.78		10.00DR	
06OCT17	B	1	DEC18	CQG	EL	54.77		2.50DR	
06OCT17	S	3	DEC18	CQG	EL		54.44	7.50DR	
06OCT17	S	2	DEC18	CQG	EL		54.43	5.00DR	
06OCT17	B	1	DEC18	CQG	EL	54.19		2.50DR	
06OCT17	B	1	DEC18	CQG	EL	54.15		2.50DR	
06OCT17	B	3	DEC18	CQG	EL	54.17		7.50DR	
06OCT17	B	1	DEC18	CQG	EL	54.16		2.50DR	
06OCT17	B	2	DEC18	CQG	EL	54.04		5.00DR	
06OCT17	B	3	DEC18	CQG	EL	54.03		7.50DR	
06OCT17	B	2	DEC18	CQG	EL	54.06		5.00DR	
06OCT17	B	7	DEC18	CQG	EL	54.07		17.50DR	
06OCT17	B	3	DEC18	CQG	EL	54.24		7.50DR	
06OCT17	B	2	DEC18	CQG	EL	54.26		5.00DR	
06OCT17	S	1	DEC18	CQG	EL		54.21	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		54.18	2.50DR	
06OCT17	B	2	DEC18	CQG	EL	54.22		5.00DR	
06OCT17	S	1	DEC18	CQG	EL		54.29	2.50DR	
06OCT17	S	2	DEC18	CQG	EL		54.30	5.00DR	
06OCT17	S	1	DEC18	CQG	EL		54.19	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		54.15	2.50DR	
06OCT17	B	1	DEC18	CQG	EL	54.14		2.50DR	
06OCT17	B	1	DEC18	CQG	EL	54.12		2.50DR	
06OCT17	B	1	JUN19	CQG	EL	54.95		2.50DR	
06OCT17	B	2	JUN19	CQG	EL	55.03		5.00DR	
06OCT17	S	5	JUN19	CQG	EL		53.83	12.50DR	
06OCT17	B	1	JUN19	CQG	EL	53.97		2.50DR	
06OCT17	B	2	JUN19	CQG	EL	53.93		5.00DR	
06OCT17	B	1	JUN19	CQG	EL	53.91		2.50DR	
06OCT17	B	1	JUN19	CQG	EL	53.90		2.50DR	
06OCT17	S	1	DEC19	CQG	EL		54.72	2.50DR	
06OCT17	B	1	DEC19	CQG	EL	54.64		2.50DR	
06OCT17	S	2	DEC19	CQG	EL		54.31	5.00DR	
06OCT17	B	2	DEC19	CQG	EL	54.03		5.00DR	
06OCT17	S	1	DEC19	CQG	EL		53.94	2.50DR	
06OCT17	S	2	DEC19	CQG	EL		53.92	5.00DR	
06OCT17	S	2	DEC19	CQG	EL		53.68	5.00DR	
06OCT17	S	1	DEC19	CQG	EL		53.78	2.50DR	
06OCT17	S	1	DEC19	CQG	EL		53.83	2.50DR	
06OCT17	S	2	DEC19	CQG	EL		53.79	5.00DR	
06OCT17	S	1	DEC19	CQG	EL		53.85	2.50DR	
06OCT17	B	1	DEC19	CQG	EL	53.90		2.50DR	
06OCT17	B	1	DEC19	CQG	EL	53.79		2.50DR	
06OCT17	S	2	DEC19	CQG	EL		53.76	5.00DR	
	Commission								585.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17		1	JUN18			51.72	50.81		910.00DR
06OCT17		2	JUN18			51.72	50.42		2,600.00DR
06OCT17		1	JUN18			51.72	50.42		1,300.00DR
06OCT17		2	DEC18			50.49	51.29		1,600.00CR
06OCT17		1	DEC18			50.21	51.29		1,080.00CR
06OCT17		2	DEC18			50.21	51.29		2,160.00CR
06OCT17		2	DEC18			50.23	51.29		2,120.00CR
06OCT17		1	DEC19			50.48	50.18		300.00DR
06OCT17		1	DEC19			50.48	50.08		400.00DR
06OCT17		1	DEC19			49.84	49.91		70.00CR
06OCT17		1	DEC19			49.84	49.83		10.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	1 DEC19	49.65	49.73		80.00CR
06OCT17	1 DEC19	49.64	49.73		90.00CR
	IEU Brent Crude 1,000 IPE				
06OCT17	1 JUN18	55.95	55.36		590.00DR
06OCT17	1 JUN18	56.03	55.36		670.00DR
06OCT17	1 JUN18	55.88	55.35		530.00DR
06OCT17	1 JUN18	54.94	54.56		380.00DR
06OCT17	1 JUN18	54.94	54.58		360.00DR
06OCT17	1 JUN18	54.94	54.57		370.00DR
06OCT17	1 JUN18	54.83	54.54		290.00DR
06OCT17	1 JUN18	54.83	54.54		290.00DR
06OCT17	1 JUN18	54.71	54.54		170.00DR
06OCT17	1 DEC18	54.78	55.32		540.00CR
06OCT17	1 DEC18	54.78	55.41		630.00CR
06OCT17	1 DEC18	54.78	55.41		630.00CR
06OCT17	1 DEC18	54.78	55.40		620.00CR
06OCT17	1 DEC18	54.77	55.26		490.00CR
06OCT17	1 DEC18	54.19	55.17		980.00CR
06OCT17	1 DEC18	54.15	54.44		290.00CR
06OCT17	1 DEC18	54.17	54.44		270.00CR
06OCT17	1 DEC18	54.17	54.44		270.00CR
06OCT17	1 DEC18	54.17	54.43		260.00CR
06OCT17	1 DEC18	54.16	54.43		270.00CR
06OCT17	1 DEC18	54.04	54.21		170.00CR
06OCT17	1 DEC18	54.03	54.18		150.00CR
06OCT17	1 DEC18	54.03	54.30		270.00CR
06OCT17	1 DEC18	54.04	54.29		250.00CR
06OCT17	1 DEC18	54.03	54.30		270.00CR
06OCT17	1 DEC18	54.07	54.19		120.00CR
06OCT17	1 DEC18	54.07	54.15		80.00CR
06OCT17	1 JUN19	54.95	53.83		1,120.00DR
06OCT17	1 JUN19	55.03	53.83		1,200.00DR
06OCT17	1 JUN19	55.03	53.83		1,200.00DR
06OCT17	1 JUN19	53.97	53.83		140.00DR
06OCT17	1 JUN19	53.93	53.83		100.00DR
06OCT17	1 JUN19	53.93	55.25		1,320.00CR
06OCT17	1 JUN19	53.91	55.25		1,340.00CR
06OCT17	1 JUN19	53.90	55.25		1,350.00CR
06OCT17	1 DEC19	54.64	54.72		80.00CR
06OCT17	1 DEC19	54.03	54.31		280.00CR
06OCT17	1 DEC19	54.03	54.31		280.00CR
06OCT17	1 DEC19	53.90	53.94		40.00CR
06OCT17	1 DEC19	53.79	53.92		130.00CR

TOTALS

BROUGHT FORWARD BALANCE	**930.00DR**
SETTLEMENTS	
IEU Brent Crude Futures	**3,970.00CR**
NYM Light Sweet Crude Oil Futures	**1,680.00CR**
COMMISSIONS	**585.00DR**
CARRIED FORWARD BALANCE	**4,135.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CBT Soybeans 5,000 CBT							
06OCT17	S	2	JUL18	CQG	II		10.06500	5.00DR	
06OCT17	B	1	NOV18	CQG	II	9.88500		2.50DR	
06OCT17	B	1	NOV18	CQG	II	9.88750		2.50DR	
	CME Cattle 400 CME							
06OCT17	S	1	DEC17	CQG	II		116.6250	2.50DR	
06OCT17	B	1	DEC17	CQG	II	116.5500		2.50DR	
06OCT17	B	1	DEC17	CQG	II	116.9250		2.50DR	
06OCT17	S	1	DEC17	CQG	II		116.9750	2.50DR	
06OCT17	S	1	FEB18	CQG	II		120.2000	2.50DR	
06OCT17	B	1	FEB18	CQG	II	120.2500		2.50DR	
06OCT17	S	1	FEB18	CQG	II		120.4000	2.50DR	
06OCT17	S	1	FEB18	CQG	II		120.4250	2.50DR	
06OCT17	S	2	FEB18	CQG	II		120.7250	5.00DR	
06OCT17	B	2	FEB18	CQG	II	120.6500		5.00DR	
06OCT17	B	1	APR18	CQG	II	121.4000		2.50DR	
06OCT17	B	1	APR18	CQG	II	121.2000		2.50DR	
06OCT17	S	1	APR18	CQG	II		121.2500	2.50DR	
06OCT17	B	1	APR18	CQG	II	121.4750		2.50DR	
06OCT17	B	1	APR18	CQG	II	121.5250		2.50DR	
06OCT17	B	2	APR18	CQG	II	121.6000		5.00DR	
06OCT17	S	1	APR18	CQG	II		121.5000	2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.5750		2.50DR	
06OCT17	S	1	JUN18	CQG	II		114.4750	2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.4000		2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.4250		2.50DR	
06OCT17	S	2	JUN18	CQG	II		114.9000	5.00DR	
06OCT17	S	2	JUN18	CQG	II		115.2000	5.00DR	
06OCT17	B	1	AUG18	CQG	II	111.7250		2.50DR	
06OCT17	S	1	AUG18	CQG	II		112.1250	2.50DR	
06OCT17	S	2	AUG18	CQG	II		111.7750	5.00DR	
06OCT17	B	1	AUG18	CQG	II	111.5500		2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.3750	2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.6000	2.50DR	
06OCT17	B	1	AUG18	CQG	II	111.6750		2.50DR	
06OCT17	S	3	AUG18	CQG	II		111.7250	7.50DR	
06OCT17	B	1	AUG18	CQG	II	111.8500		2.50DR	
06OCT17	B	1	AUG18	CQG	II	112.0750		2.50DR	
06OCT17	B	1	AUG18	CQG	II	112.3250		2.50DR	
06OCT17	B	1	OCT18	CQG	II	112.3000		2.50DR	
06OCT17	B	2	OCT18	CQG	II	112.5500		5.00DR	
06OCT17	S	1	OCT18	CQG	II		112.1000	2.50DR	
06OCT17	B	1	OCT18	CQG	II	111.8750		2.50DR	
06OCT17	B	1	OCT18	CQG	II	112.1000		2.50DR	
06OCT17	S	1	OCT18	CQG	II		112.2500	2.50DR	
06OCT17	B	2	OCT18	CQG	II	112.2250		5.00DR	
06OCT17	S	3	OCT18	CQG	II		112.3250	7.50DR	
06OCT17	S	1	DEC18	CQG	II		113.3250	2.50DR	
06OCT17	S	1	DEC18	CQG	II		113.5250	2.50DR	
06OCT17	B	2	DEC18	CQG	II	113.1000		5.00DR	
	CME Feeder Cattle 500 CME							
06OCT17	S	1	NOV17	CQG	II		155.2250	2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.9250	2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.6500		2.50DR	
06OCT17	S	1	NOV17	CQG	II		155.5250	2.50DR	
06OCT17	S	2	JAN18	CQG	II		153.3750	5.00DR	
06OCT17	B	2	JAN18	CQG	II	153.2750		5.00DR	
06OCT17	B	1	JAN18	CQG	II	153.1000		2.50DR	
06OCT17	B	1	MAR18	CQG	II	150.7500		2.50DR	
06OCT17	B	1	MAR18	CQG	II	150.9250		2.50DR	
06OCT17	B	1	MAR18	CQG	II	150.9500		2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.1250	2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.0250	2.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	S 1 MAY18	CQG	II			149.2250	2.50DR	
06OCT17	B 1 MAY18	CQG	II		149.5750		2.50DR	
06OCT17	S 1 MAY18	CQG	II			149.4500	2.50DR	
06OCT17	B 1 MAY18	CQG	II		149.3250		2.50DR	
06OCT17	S 2 MAY18	CQG	II			149.6250	5.00DR	
06OCT17	B 1 MAY18	CQG	II		149.5250		2.50DR	
06OCT17	B 1 MAY18	CQG	II		149.8000		2.50DR	
06OCT17	B 1 AUG18	CQG	II		149.9000		2.50DR	
06OCT17	S 1 AUG18	CQG	II			150.3000	2.50DR	
06OCT17	B 1 AUG18	CQG	II		150.1250		2.50DR	
06OCT17	S 1 AUG18	CQG	II			150.0750	2.50DR	
06OCT17	B 2 AUG18	CQG	II		150.2500		5.00DR	
06OCT17	S 1 AUG18	CQG	II			150.2500	2.50DR	
06OCT17	S 1 AUG18	CQG	II			150.6000	2.50DR	
	CME Lean Hog 400 CME							
06OCT17	B 1 DEC17	CQG	II		62.4000		2.50DR	
06OCT17	S 1 DEC17	CQG	II			61.7250	2.50DR	
06OCT17	B 1 DEC17	CQG	II		60.9250		2.50DR	
06OCT17	S 1 DEC17	CQG	II			61.1500	2.50DR	
06OCT17	B 1 DEC17	CQG	II		61.1500		2.50DR	
06OCT17	S 2 FEB18	CQG	II			67.4000	5.00DR	
06OCT17	B 2 FEB18	CQG	II		66.7750		5.00DR	
06OCT17	S 1 FEB18	CQG	II			66.2500	2.50DR	
06OCT17	B 1 FEB18	CQG	II		66.4000		2.50DR	
06OCT17	S 1 FEB18	CQG	II			66.5250	2.50DR	
06OCT17	B 1 APR18	CQG	II		71.5500		2.50DR	
06OCT17	S 1 APR18	CQG	II			71.2000	2.50DR	
06OCT17	B 1 JUN18	CQG	II		79.6500		2.50DR	
06OCT17	S 1 JUN18	CQG	II			79.5750	2.50DR	
06OCT17	B 1 JUN18	CQG	II		79.5750		2.50DR	
06OCT17	B 1 AUG18	CQG	II		79.7000		2.50DR	
06OCT17	B 1 AUG18	CQG	II		79.7500		2.50DR	
06OCT17	S 1 AUG18	CQG	II			79.5750	2.50DR	
06OCT17	S 2 AUG18	CQG	II			79.4750	5.00DR	
06OCT17	B 1 AUG18	CQG	II		79.4250		2.50DR	
06OCT17	S 1 AUG18	CQG	II			79.5250	2.50DR	
06OCT17	S 2 OCT18	CQG	II			67.7500	5.00DR	
06OCT17	B 2 OCT18	CQG	II		67.6000		5.00DR	
	NYM Heating Oil 42,000 NYM							
06OCT17	S 1 JAN18	CQG	II			174.35	2.50DR	
06OCT17	S 1 JAN18	CQG	II			174.13	2.50DR	
06OCT17	B 1 JAN18	CQG	II		173.23		2.50DR	
06OCT17	S 1 FEB18	CQG	II			175.09	2.50DR	
06OCT17	B 1 FEB18	CQG	II		173.72		2.50DR	
06OCT17	B 1 FEB18	CQG	II		173.50		2.50DR	
06OCT17	B 1 FEB18	CQG	II		173.75		2.50DR	
06OCT17	S 1 FEB18	CQG	II			172.64	2.50DR	
06OCT17	B 1 MAR18	CQG	II		173.85		2.50DR	
06OCT17	S 1 MAR18	CQG	II			172.61	2.50DR	
06OCT17	S 1 JUL18	CQG	II			170.80	2.50DR	
06OCT17	B 1 JUL18	CQG	II		167.91		2.50DR	
06OCT17	S 1 JUL18	CQG	II			168.06	2.50DR	
06OCT17	B 1 AUG18	CQG	II		170.51		2.50DR	
06OCT17	S 1 AUG18	CQG	II			167.73	2.50DR	
06OCT17	B 1 AUG18	CQG	II		167.84		2.50DR	
	CBT Soybean Meal 100 CBT							
06OCT17	B 1 JUL18	CQG	II		3.2790		2.50DR	
06OCT17	S 1 DEC18	CQG	II			3.2420	2.50DR	
	CBT Oats 5,000 CBT							
06OCT17	S 1 MAR18	CQG	II			2.53750	2.50DR	
06OCT17	B 1 MAY18	CQG	II		2.52000		2.50DR	
	NYM RBOB Reformulated Gasoline Futu res							
06OCT17	S 1 JAN18	CQG	II			154.6700	2.50DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B	1 FEB18	CQG	II	155.4200		2.50DR	
06OCT17	S	1 MAR18	CQG	II		158.4100	2.50DR	
06OCT17	B	1 MAR18	CQG	II	158.3100		2.50DR	
06OCT17	B	1 MAR18	CQG	II	156.4200		2.50DR	
06OCT17	B	1 MAR18	CQG	II	156.5100		2.50DR	
06OCT17	S	1 MAR18	CQG	II		156.1200	2.50DR	
06OCT17	B	1 APR18	CQG	II	175.0200		2.50DR	
06OCT17	S	1 APR18	CQG	II		174.8000	2.50DR	
06OCT17	S	1 APR18	CQG	II		172.8500	2.50DR	
06OCT17	S	1 APR18	CQG	II		172.9300	2.50DR	
06OCT17	B	1 APR18	CQG	II	172.5900		2.50DR	
	Commission							380.00DR
	SETTLEMENTS							
	CBT Soybeans 5,000 CBT							
06OCT17		1 JUL18			9.91000	10.06500		775.00CR
06OCT17		1 JUL18			9.92250	10.06500		712.50CR
06OCT17		1 NOV18			9.88500	9.75500		650.00DR
06OCT17		1 NOV18			9.88750	9.76500		612.50DR
	CME Cattle 400 CME							
06OCT17		1 DEC17			116.5500	116.6250		30.00CR
06OCT17		1 DEC17			116.9250	116.9750		20.00CR
06OCT17		1 FEB18			120.2500	120.2000		20.00DR
06OCT17		1 FEB18			120.6500	120.4000		100.00DR
06OCT17		1 FEB18			120.6500	120.4250		90.00DR
06OCT17		1 APR18			121.4000	121.2500		60.00DR
06OCT17		1 APR18			121.2000	121.5000		120.00CR
06OCT17		1 JUN18			114.5750	114.4750		40.00DR
06OCT17		1 JUN18			114.4000	114.9000		200.00CR
06OCT17		1 JUN18			114.4250	114.9000		190.00CR
06OCT17		1 AUG18			111.7250	111.7750		20.00CR
06OCT17		1 AUG18			111.5500	112.1250		230.00CR
06OCT17		1 AUG18			111.6750	111.7250		20.00CR
06OCT17		1 AUG18			111.8500	111.7750		30.00DR
06OCT17		1 AUG18			112.0750	111.3750		280.00DR
06OCT17		1 AUG18			112.3250	111.6000		290.00DR
06OCT17		1 AUG18			111.0750	111.7250		260.00CR
06OCT17		1 AUG18			111.0250	111.7250		280.00CR
06OCT17		1 OCT18			112.3000	112.1000		80.00DR
06OCT17		1 OCT18			112.5500	112.2500		120.00DR
06OCT17		1 OCT18			112.5500	112.3250		90.00DR
06OCT17		1 OCT18			112.2250	112.3250		40.00CR
06OCT17		1 OCT18			111.8750	112.3250		180.00CR
06OCT17		1 DEC18			113.1000	113.3250		90.00CR
06OCT17		1 DEC18			113.1000	113.5250		170.00CR
	CME Feeder Cattle 500 CME							
06OCT17		1 NOV17			155.6500	155.2250		212.50DR
06OCT17		1 NOV17			154.8250	155.9250		550.00CR
06OCT17		1 NOV17			154.9500	155.5250		287.50CR
06OCT17		1 JAN18			153.2750	153.3750		50.00CR
06OCT17		1 JAN18			153.2750	153.3750		50.00CR
06OCT17		1 JAN18			153.1000	151.9500		575.00DR
06OCT17		1 MAR18			150.7500	151.1250		187.50CR
06OCT17		1 MAR18			150.9250	151.0250		50.00CR
06OCT17		1 MAY18			149.5750	149.2250		175.00DR
06OCT17		1 MAY18			149.3250	149.6250		150.00CR
06OCT17		1 MAY18			149.5250	149.4500		37.50DR
06OCT17		1 MAY18			149.8000	149.6250		87.50DR
06OCT17		1 AUG18			149.9000	150.3000		200.00CR
06OCT17		1 AUG18			150.2500	150.0750		87.50DR
06OCT17		1 AUG18			150.1250	150.2500		62.50CR
06OCT17		1 AUG18			150.2500	150.6000		175.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CME Lean Hog 400 CME				
06OCT17	1 DEC17	62.4000	61.7250		270.00DR
06OCT17	1 DEC17	60.9250	61.1500		90.00CR
06OCT17	1 FEB18	66.7750	67.4000		250.00CR
06OCT17	1 FEB18	66.7750	67.4000		250.00CR
06OCT17	1 FEB18	66.4000	66.2500		60.00DR
06OCT17	1 APR18	71.5500	71.2000		140.00DR
06OCT17	1 JUN18	79.6500	79.5750		30.00DR
06OCT17	1 AUG18	79.7000	79.5750		50.00DR
06OCT17	1 AUG18	79.7500	79.4750		110.00DR
06OCT17	1 AUG18	79.4250	79.4750		20.00CR
06OCT17	1 AUG18	77.8500	79.5250		670.00CR
06OCT17	1 OCT18	67.6000	67.7500		60.00CR
06OCT17	1 OCT18	67.6000	67.7500		60.00CR
	NYM Heating Oil 42,000 NYM				
06OCT17	1 JAN18	173.23	174.35		470.40CR
06OCT17	1 FEB18	173.72	175.09		575.40CR
06OCT17	1 FEB18	173.50	172.64		361.20DR
06OCT17	1 MAR18	173.85	172.61		520.80DR
06OCT17	1 JUL18	167.91	170.80		1,213.80CR
06OCT17	1 JUL18	170.60	168.06		1,066.80DR
06OCT17	1 AUG18	170.51	167.73		1,167.60DR
06OCT17	1 AUG18	167.84	170.36		1,058.40CR
	CBT Soybean Meal 100 CBT				
06OCT17	1 JUL18	3.2790	3.2230		560.00DR
06OCT17	1 DEC18	3.1980	3.2420		440.00CR
	NYM RBOB Reformulated Gasoline Futu res				
06OCT17	1 JAN18	160.3500	154.6700		2,385.60DR
06OCT17	1 FEB18	155.4200	158.8000		1,419.60CR
06OCT17	1 MAR18	158.3100	158.4100		42.00CR
06OCT17	1 MAR18	156.4200	156.1200		126.00DR
06OCT17	1 MAR18	156.5100	160.0900		1,503.60CR
06OCT17	1 APR18	175.0200	174.8000		92.40DR
06OCT17	1 APR18	172.5900	172.8500		109.20CR

TOTALS

BROUGHT FORWARD BALANCE	**1,431.80CR**
SETTLEMENTS	
CBT Soybeans Futures	**225.00CR**
CME Feeder Cattle Futures	**587.50CR**
CME Cattle Futures	**650.00CR**
NYM Heating Oil Futures	**201.60CR**
NYM RBOB Reformulated Gasoline Futu Futures	**470.40CR**
CME Lean Hog Futures	**740.00CR**
CBT Soybean Meal Futures	**120.00DR**
COMMISSIONS	**380.00DR**
CARRIED FORWARD BALANCE	**3,806.30CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119N

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	B	1	DEC17	CQG II	116.5500		2.50DR	
06OCT17	B	1	DEC17	CQG II	116.7000		2.50DR	
06OCT17	S	1	DEC17	CQG II		117.0250	2.50DR	
06OCT17	S	1	FEB18	CQG II		120.0750	2.50DR	
06OCT17	B	1	FEB18	CQG II	120.2750		2.50DR	
06OCT17	S	1	FEB18	CQG II		120.4500	2.50DR	
06OCT17	S	1	FEB18	CQG II		120.4750	2.50DR	
06OCT17	S	2	FEB18	CQG II		120.5250	5.00DR	
06OCT17	B	1	FEB18	CQG II	120.6750		2.50DR	
06OCT17	B	1	APR18	CQG II	121.2750		2.50DR	
06OCT17	S	1	APR18	CQG II		121.1750	2.50DR	
06OCT17	S	1	APR18	CQG II		121.4000	2.50DR	
06OCT17	B	1	APR18	CQG II	121.5000		2.50DR	
06OCT17	B	1	APR18	CQG II	121.5500		2.50DR	
06OCT17	B	1	APR18	CQG II	121.6500		2.50DR	
06OCT17	B	1	APR18	CQG II	121.5250		2.50DR	
06OCT17	S	1	JUN18	CQG II		114.6000	2.50DR	
06OCT17	B	1	JUN18	CQG II	114.5250		2.50DR	
06OCT17	B	1	JUN18	CQG II	114.5750		2.50DR	
06OCT17	B	1	JUN18	CQG II	114.4000		2.50DR	
06OCT17	S	1	JUN18	CQG II		115.1000	2.50DR	
06OCT17	S	1	JUN18	CQG II		115.1250	2.50DR	
06OCT17	S	2	JUN18	CQG II		115.3250	5.00DR	
06OCT17	B	1	AUG18	CQG II	111.6000		2.50DR	
06OCT17	S	1	AUG18	CQG II		111.7250	2.50DR	
06OCT17	S	1	AUG18	CQG II		111.7500	2.50DR	
06OCT17	B	1	AUG18	CQG II	112.2750		2.50DR	
06OCT17	B	1	AUG18	CQG II	112.4750		2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B	1	NOV17	CQG II	155.7000		2.50DR	
06OCT17	B	1	NOV17	CQG II	155.0250		2.50DR	
06OCT17	S	1	NOV17	CQG II		155.5750	2.50DR	
06OCT17	B	1	NOV17	CQG II	155.7250		2.50DR	
06OCT17	S	1	NOV17	CQG II		155.8500	2.50DR	
06OCT17	S	1	JAN18	CQG II		153.2000	2.50DR	
06OCT17	S	1	JAN18	CQG II		153.4250	2.50DR	
06OCT17	S	2	JAN18	CQG II		152.8000	5.00DR	
06OCT17	B	1	JAN18	CQG II	153.2750		2.50DR	
06OCT17	B	3	JAN18	CQG II	153.3000		7.50DR	
06OCT17	S	1	JAN18	CQG II		153.8000	2.50DR	
06OCT17	B	1	JAN18	CQG II	153.8250		2.50DR	
06OCT17	B	1	MAR18	CQG II	150.9500		2.50DR	
06OCT17	B	1	MAR18	CQG II	150.4250		2.50DR	
06OCT17	S	1	MAR18	CQG II		151.1500	2.50DR	
06OCT17	S	2	MAR18	CQG II		151.1750	5.00DR	
06OCT17	S	1	MAR18	CQG II		151.2500	2.50DR	
06OCT17	B	1	APR18	CQG II	150.8250		2.50DR	
	CME Lean Hog 400 CME							
06OCT17	B	1	DEC17	CQG II	60.9500		2.50DR	
06OCT17	S	1	FEB18	CQG II		66.2750	2.50DR	
06OCT17	B	1	APR18	CQG II	71.5000		2.50DR	
06OCT17	S	2	JUN18	CQG II		79.7000	5.00DR	
06OCT17	B	1	JUN18	CQG II	79.4500		2.50DR	
06OCT17	B	1	JUL18	CQG II	80.2500		2.50DR	
06OCT17	B	1	JUL18	CQG II	80.1250		2.50DR	
06OCT17	S	1	JUL18	CQG II		79.9750	2.50DR	
06OCT17	S	1	AUG18	CQG II		79.6250	2.50DR	
	Commission							155.00DR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119N

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
06OCT17	1 DEC17	116.5500	117.0250		190.00CR
06OCT17	1 FEB18	120.2750	120.0750		80.00DR
06OCT17	1 FEB18	120.6750	120.4500		90.00DR
06OCT17	1 APR18	121.2750	121.1750		40.00DR
06OCT17	1 APR18	121.5000	121.4000		40.00DR
06OCT17	1 JUN18	114.5250	114.6000		30.00CR
06OCT17	1 JUN18	114.5750	115.1000		210.00CR
06OCT17	1 JUN18	114.4000	115.1250		290.00CR
06OCT17	1 AUG18	111.6000	111.7250		50.00CR
06OCT17	1 AUG18	112.2750	111.7500		210.00DR
	CME Feeder Cattle 500 CME				
06OCT17	1 NOV17	155.7000	155.5750		62.50DR
06OCT17	1 NOV17	155.0250	155.8500		412.50CR
06OCT17	1 JAN18	153.3000	153.2000		50.00DR
06OCT17	1 JAN18	153.2750	153.4250		75.00CR
06OCT17	1 JAN18	153.3000	152.8000		250.00DR
06OCT17	1 JAN18	153.3000	152.8000		250.00DR
06OCT17	1 JAN18	153.8250	153.8000		12.50DR
06OCT17	1 MAR18	150.9500	151.1500		100.00CR
06OCT17	1 MAR18	150.4250	151.1750		375.00CR
06OCT17	1 APR18	150.8250	148.9500		937.50DR
	CME Lean Hog 400 CME				
06OCT17	1 JUN18	79.4500	79.7000		100.00CR
06OCT17	1 JUN18	78.5750	79.7000		450.00CR
06OCT17	1 JUL18	80.2500	79.9750		110.00DR
06OCT17	1 JUL18	80.1250	77.8000		930.00DR
06OCT17	1 AUG18	77.6750	79.6250		780.00CR

TOTALS

BROUGHT FORWARD BALANCE	**2,560.00DR**
COMMISSIONS	**155.00DR**
CARRIED FORWARD BALANCE	**2,715.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119O

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description			Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY						
	CMX Copper 25,000 CMX						
06OCT17	B 1 MAR18	CQG	II	304.450		2.50DR	
06OCT17	S 1 MAY18	CQG	II		305.750	2.50DR	
	Commission						5.00DR
	SETTLEMENTS						
	CMX Copper 25,000 CMX						
06OCT17	1 MAR18			304.450	298.150		1,575.00DR
06OCT17	1 MAY18			299.450	305.750		1,575.00CR

TOTALS

BROUGHT FORWARD BALANCE	**250.00DR**
COMMISSIONS	**5.00DR**
CARRIED FORWARD BALANCE	**255.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119P

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Lean Hog 400 CME				
06OCT17	S 1 FEB18 CQG II		66.8000	2.50DR	
06OCT17	B 1 APR18 CQG II	71.1750		2.50DR	
	Commission				5.00DR
	SETTLEMENTS				
	CME Lean Hog 400 CME				
06OCT17	1 FEB18	67.6000	66.8000		320.00DR
06OCT17	1 APR18	71.1750	71.7250		220.00CR

TOTALS

BROUGHT FORWARD BALANCE	**2,840.00DR**
SETTLEMENTS	
CME Lean Hog Futures	**100.00DR**
COMMISSIONS	**5.00DR**
CARRIED FORWARD BALANCE	**2,945.00DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119Q

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	S 1 JUN18	CQG	II			114.7000	2.50DR	
06OCT17	B 1 AUG18	CQG	II		111.7000		2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B 1 NOV17	CQG	II		155.6750		2.50DR	
06OCT17	B 1 JAN18	CQG	II		153.2750		2.50DR	
06OCT17	S 1 JAN18	CQG	II			153.6750	2.50DR	
06OCT17	S 1 MAR18	CQG	II			151.1250	2.50DR	
	Commission							15.00DR
	SETTLEMENTS							
	CME Feeder Cattle 500 CME							
06OCT17	1 JAN18				153.2750	153.6750		200.00CR

TOTALS

BROUGHT FORWARD BALANCE	**727.50CR**
SETTLEMENTS	
CME Feeder Cattle Futures	**200.00CR**
COMMISSIONS	**15.00DR**
CARRIED FORWARD BALANCE	**912.50CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	S	1	FEB18	CQG	II		120.0750	2.50DR	
06OCT17	B	1	FEB18	CQG	II	120.4000		2.50DR	
06OCT17	B	1	APR18	CQG	II	121.2750		2.50DR	
06OCT17	S	1	APR18	CQG	II		121.4750	2.50DR	
06OCT17	S	1	JUN18	CQG	II		114.4500	2.50DR	
06OCT17	B	1	JUN18	CQG	II	114.3250		2.50DR	
06OCT17	B	1	AUG18	CQG	II	111.5000		2.50DR	
06OCT17	S	1	AUG18	CQG	II		111.5500	2.50DR	
	CME Feeder Cattle 500 CME							
06OCT17	B	1	NOV17	CQG	II	155.7000		2.50DR	
06OCT17	B	1	NOV17	CQG	II	155.8250		2.50DR	
06OCT17	S	1	JAN18	CQG	II		153.3250	2.50DR	
06OCT17	B	1	JAN18	CQG	II	153.3000		2.50DR	
06OCT17	S	2	JAN18	CQG	II		153.6750	5.00DR	
06OCT17	S	1	JAN18	CQG	II		153.8250	2.50DR	
06OCT17	B	1	MAR18	CQG	II	151.1250		2.50DR	
06OCT17	S	1	MAR18	CQG	II		151.1750	2.50DR	
06OCT17	B	1	MAR18	CQG	II	151.6750		2.50DR	
	IEU Gas Oil 100 IPE							
06OCT17	S	5	MAY18	CQG	EL		511.500	12.50DR	
06OCT17	B	5	JUN18	CQG	EL	509.750		12.50DR	
	CME Lean Hog 400 CME							
06OCT17	S	1	DEC17	CQG	II		62.6250	2.50DR	
06OCT17	S	1	DEC17	CQG	II		61.1000	2.50DR	
06OCT17	B	2	FEB18	CQG	II	67.5000		5.00DR	
06OCT17	B	2	FEB18	CQG	II	66.3500		5.00DR	
06OCT17	S	1	APR18	CQG	II		71.7750	2.50DR	
06OCT17	S	1	APR18	CQG	II		71.2500	2.50DR	
06OCT17	B	1	JUN18	CQG	II	79.4750		2.50DR	
06OCT17	S	2	JUL18	CQG	II		80.0500	5.00DR	
06OCT17	B	1	AUG18	CQG	II	79.5000		2.50DR	
	ICE Sugar No.11 1,120 FIN							
06OCT17	B	1	MAR18	CQG	EL	14.23		2.50DR	
06OCT17	S	1	MAR18	CQG	EL		14.32	2.50DR	
06OCT17	B	1	MAR18	CQG	EL	14.06		2.50DR	
06OCT17	S	1	MAR18	CQG	EL		14.00	2.50DR	
06OCT17	B	1	MAR18	CQG	EL	14.00		2.50DR	
06OCT17	B	1	MAR18	CQG	EL	13.96		2.50DR	
06OCT17	B	1	MAR18	CQG	EL	13.92		2.50DR	
06OCT17	S	3	MAR18	CQG	EL		13.88	7.50DR	
06OCT17	B	2	MAR18	CQG	EL	13.86		5.00DR	
06OCT17	S	1	MAR18	CQG	EL		13.95	2.50DR	
06OCT17	S	1	MAR18	CQG	EL		13.94	2.50DR	
	Commission							135.00DR
	SETTLEMENTS							
	CME Cattle 400 CME							
06OCT17		1	FEB18		120.4000	120.0750		130.00DR
06OCT17		1	APR18		121.2750	121.4750		80.00CR
06OCT17		1	JUN18		114.3250	114.4500		50.00CR
06OCT17		1	AUG18		111.5000	111.5500		20.00CR
	CME Feeder Cattle 500 CME							
06OCT17		1	JAN18		153.3000	153.3250		12.50CR
06OCT17		1	MAR18		151.1250	151.1750		25.00CR
06OCT17		1	MAR18		151.6750	149.2500		1,212.50DR
	IEU Gas Oil 100 IPE							
06OCT17		5	MAY18		506.750	511.500		2,375.00CR

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119R

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	5 JUN18	509.750	505.000		2,375.00DR
	CME Lean Hog 400 CME				
06OCT17	1 DEC17	61.9500	62.6250		270.00CR
06OCT17	1 DEC17	62.3000	61.1000		480.00DR
06OCT17	1 FEB18	67.5000	67.1250		150.00DR
06OCT17	1 FEB18	67.5000	67.1250		150.00DR
06OCT17	2 FEB18	66.3500	67.5500		960.00CR
06OCT17	1 APR18	71.9750	71.7750		80.00DR
	ICE Sugar No.11 1,120 FIN				
06OCT17	1 MAR18	14.23	14.32		100.80CR
06OCT17	1 MAR18	14.06	14.00		67.20DR
06OCT17	1 MAR18	14.00	13.88		134.40DR
06OCT17	1 MAR18	13.96	13.88		89.60DR
06OCT17	1 MAR18	13.92	13.88		44.80DR
06OCT17	1 MAR18	13.86	13.95		100.80CR
06OCT17	1 MAR18	13.86	13.94		89.60CR

TOTALS

BROUGHT FORWARD BALANCE	**6,188.80DR**
SETTLEMENTS	
CME Feeder Cattle Futures	**1,175.00DR**
CME Cattle Futures	**20.00CR**
IEU Gas Oil Futures	
CME Lean Hog Futures	**370.00CR**
ICE Sugar No.11 Futures	**44.80DR**
COMMISSIONS	**135.00DR**
CARRIED FORWARD BALANCE	**7,153.60DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000 NYM								
06OCT17	B	4	JUN18	CQG	II	51.40		10.00DR	
06OCT17	B	3	JUN18	CQG	II	51.35		7.50DR	
06OCT17	B	1	JUN18	CQG	II	51.22		2.50DR	
06OCT17	S	3	JUN18	CQG	II		50.86	7.50DR	
06OCT17	B	3	JUN18	CQG	II	50.80		7.50DR	
06OCT17	S	3	JUN18	CQG	II		50.70	7.50DR	
06OCT17	B	1	JUN18	CQG	II	50.35		2.50DR	
06OCT17	B	3	JUN18	CQG	II	50.43		7.50DR	
06OCT17	B	3	JUN18	CQG	II	50.41		7.50DR	
06OCT17	S	3	JUN18	CQG	II		50.37	7.50DR	
06OCT17	S	4	DEC18	CQG	II		51.02	10.00DR	
06OCT17	S	5	DEC18	CQG	II		51.01	12.50DR	
06OCT17	S	5	DEC18	CQG	II		50.99	12.50DR	
06OCT17	S	3	DEC18	CQG	II		50.87	7.50DR	
06OCT17	B	3	DEC18	CQG	II	50.52		7.50DR	
06OCT17	B	2	DEC18	CQG	II	50.53		5.00DR	
06OCT17	S	5	DEC18	CQG	II		50.50	12.50DR	
06OCT17	B	5	DEC18	CQG	II	50.40		12.50DR	
06OCT17	S	1	DEC18	CQG	II		50.10	2.50DR	
06OCT17	S	1	DEC18	CQG	II		50.11	2.50DR	
06OCT17	S	5	DEC18	CQG	II		50.17	12.50DR	
06OCT17	S	3	DEC18	CQG	II		50.21	7.50DR	
06OCT17	S	2	DEC18	CQG	II		50.20	5.00DR	
06OCT17	B	5	DEC18	CQG	II	50.17		12.50DR	
06OCT17	B	5	JUN19	CQG	II	50.57		12.50DR	
06OCT17	B	3	JUN19	CQG	II	50.45		7.50DR	
06OCT17	B	2	DEC19	CQG	II	50.26		5.00DR	
06OCT17	S	1	DEC19	CQG	II		50.18	2.50DR	
06OCT17	S	2	DEC19	CQG	II		49.89	5.00DR	
06OCT17	B	2	DEC19	CQG	II	49.85		5.00DR	
06OCT17	S	2	DEC19	CQG	II		49.80	5.00DR	
06OCT17	B	1	DEC19	CQG	II	49.58		2.50DR	
06OCT17	B	2	DEC19	CQG	II	49.59		5.00DR	
06OCT17	B	2	DEC19	CQG	II	49.67		5.00DR	
06OCT17	S	2	DEC19	CQG	II		49.67	5.00DR	
	IEU Brent Crude 1,000 IPE								
06OCT17	S	3	JUN18	CQG	EL		55.36	7.50DR	
06OCT17	B	3	JUN18	CQG	EL	54.94		7.50DR	
06OCT17	S	1	JUN18	CQG	EL		54.49	2.50DR	
06OCT17	S	1	JUN18	CQG	EL		54.40	2.50DR	
06OCT17	B	1	JUN18	CQG	EL	54.47		2.50DR	
06OCT17	S	2	JUN18	CQG	EL		54.54	5.00DR	
06OCT17	S	1	JUN18	CQG	EL		54.57	2.50DR	
06OCT17	S	4	JUN18	CQG	EL		54.56	10.00DR	
06OCT17	B	5	DEC18	CQG	EL	54.78		12.50DR	
06OCT17	S	3	DEC18	CQG	EL		54.44	7.50DR	
06OCT17	S	2	DEC18	CQG	EL		54.43	5.00DR	
06OCT17	B	1	DEC18	CQG	EL	54.08		2.50DR	
06OCT17	B	1	DEC18	CQG	EL	53.98		2.50DR	
06OCT17	S	1	DEC18	CQG	EL		54.04	2.50DR	
06OCT17	B	2	DEC18	CQG	EL	54.03		5.00DR	
06OCT17	B	2	DEC18	CQG	EL	54.06		5.00DR	
06OCT17	B	3	DEC18	CQG	EL	54.07		7.50DR	
06OCT17	B	8	DEC18	CQG	EL	54.05		20.00DR	
06OCT17	S	1	DEC18	CQG	EL		54.21	2.50DR	
06OCT17	S	1	DEC18	CQG	EL		54.22	2.50DR	
06OCT17	S	2	JUN19	CQG	EL		53.81	5.00DR	
06OCT17	S	1	JUN19	CQG	EL		53.82	2.50DR	
06OCT17	S	2	JUN19	CQG	EL		53.83	5.00DR	
06OCT17	B	2	JUN19	CQG	EL	53.93		5.00DR	
06OCT17	B	1	JUN19	CQG	EL	53.94		2.50DR	
06OCT17	B	2	JUN19	CQG	EL	53.96		5.00DR	
06OCT17	S	2	DEC19	CQG	EL		54.31	5.00DR	

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
06OCT17	B 2 DEC19 CQG EL	54.03		5.00DR	
06OCT17	S 1 DEC19 CQG EL		53.84	2.50DR	
06OCT17	S 2 DEC19 CQG EL		53.69	5.00DR	
06OCT17	S 2 DEC19 CQG EL		53.81	5.00DR	
06OCT17	S 1 DEC19 CQG EL		53.85	2.50DR	
	Commission				415.00DR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
06OCT17	3 JUN18	51.40	50.86		1,620.00DR
06OCT17	1 JUN18	51.40	50.70		700.00DR
06OCT17	1 JUN18	51.35	50.70		650.00DR
06OCT17	1 JUN18	51.35	50.70		650.00DR
06OCT17	1 JUN18	51.35	50.37		980.00DR
06OCT17	1 JUN18	51.22	50.37		850.00DR
06OCT17	1 JUN18	50.80	50.37		430.00DR
06OCT17	3 DEC18	50.52	51.01		1,470.00CR
06OCT17	1 DEC18	50.53	51.01		480.00CR
06OCT17	1 DEC18	50.53	51.02		490.00CR
06OCT17	3 DEC18	50.40	51.02		1,860.00CR
06OCT17	1 DEC18	50.40	51.01		610.00CR
06OCT17	1 DEC18	50.40	50.99		590.00CR
06OCT17	1 DEC18	50.17	50.99		820.00CR
06OCT17	1 DEC18	50.17	50.99		820.00CR
06OCT17	2 DEC18	50.17	50.99		1,640.00CR
06OCT17	1 DEC18	50.17	50.87		700.00CR
06OCT17	1 DEC19	50.26	50.18		80.00DR
06OCT17	1 DEC19	50.26	49.89		370.00DR
06OCT17	1 DEC19	49.85	49.89		40.00CR
06OCT17	1 DEC19	49.85	49.80		50.00DR
06OCT17	1 DEC19	49.58	49.80		220.00CR
06OCT17	1 DEC19	49.59	49.67		80.00CR
06OCT17	1 DEC19	49.59	49.67		80.00CR
	IEU Brent Crude 1,000 IPE				
06OCT17	1 JUN18	54.94	55.36		420.00CR
06OCT17	1 JUN18	54.94	55.36		420.00CR
06OCT17	1 JUN18	54.94	55.36		420.00CR
06OCT17	1 JUN18	54.47	54.49		20.00CR
06OCT17	1 DEC18	54.78	54.44		340.00DR
06OCT17	1 DEC18	54.78	54.44		340.00DR
06OCT17	1 DEC18	54.78	54.43		350.00DR
06OCT17	1 DEC18	54.78	54.44		340.00DR
06OCT17	1 DEC18	54.78	54.43		350.00DR
06OCT17	1 DEC18	54.07	54.04		30.00DR
06OCT17	1 DEC18	54.08	54.21		130.00CR
06OCT17	1 DEC18	53.98	54.22		240.00CR
06OCT17	1 DEC18	54.03	55.40		1,370.00CR
06OCT17	1 DEC18	54.03	55.40		1,370.00CR
06OCT17	1 DEC18	54.05	55.40		1,350.00CR
06OCT17	1 JUN19	53.93	53.81		120.00DR
06OCT17	1 JUN19	53.93	53.81		120.00DR
06OCT17	1 JUN19	53.94	53.82		120.00DR
06OCT17	1 JUN19	53.96	53.83		130.00DR
06OCT17	1 JUN19	53.96	53.83		130.00DR
06OCT17	1 DEC19	54.03	54.31		280.00CR
06OCT17	1 DEC19	54.03	54.31		280.00CR

TOTALS

BROUGHT FORWARD BALANCE **6,050.00CR**
SETTLEMENTS

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	IEU Brent Crude Futures				**3,930.00CR**
	NYM Light Sweet Crude Oil Futures				**3,520.00CR**
	COMMISSIONS				**415.00DR**
	CARRIED FORWARD BALANCE				**13,085.00CR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119T

6th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
06OCT17	S	1	APR18	CQG GX		121.2750	1.02DR	
06OCT17	B	2	JUN18	CQG GX	114.4750		2.04DR	
06OCT17	S	1	AUG18	CQG GX		111.7500	1.02DR	
	CME Lean Hog 400 CME							
06OCT17	B	1	JUN18	CQG GX	79.7750		1.02DR	
06OCT17	S	2	JUL18	CQG GX		80.3750	2.04DR	
06OCT17	B	1	AUG18	CQG GX	79.7750		1.02DR	
	Commission							8.16DR
	SETTLEMENTS							
	CME Cattle 400 CME							
06OCT17		1	APR18		120.7500	121.2750		210.00CR
06OCT17		2	JUN18		114.4750	113.8500		500.00DR
06OCT17		1	AUG18		111.2250	111.7500		210.00CR

TOTALS

BROUGHT FORWARD BALANCE	**8.16DR**
SETTLEMENTS	
CME Cattle Futures	**80.00DR**
COMMISSIONS	**8.16DR**
CARRIED FORWARD BALANCE	**96.32DR**

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMEN STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT

SAPIEN CAPITAL LIMITED

SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

6th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		**1,002,421.17CR**
	SETTLEMENTS		
	CBT Soybean Meal Futures		**120.00DR**
	CBT Soybeans Futures		**225.00CR**
	CME Cattle Futures		**890.00CR**
	CME Feeder Cattle Futures		**1,212.50CR**
	CME Lean Hog Futures		**1,740.00CR**
	CMX Gold Futures		**420.00CR**
	CMX Silver Futures		**37,775.00DR**
	ICE Sugar No.11 Futures		**44.80DR**
	IEU Brent Crude Futures		**7,900.00CR**
	IEU Gas Oil Futures		**1,800.00CR**
	NYM Heating Oil Futures		**201.60CR**
	NYM Light Sweet Crude Oil Futures		**5,200.00CR**
	NYM RBOB Reformulated Gasoline Futu Futures		**470.40CR**
	COMMISSIONS		**2,810.60DR**
	CARRIED FORWARD BALANCE		**981,730.27CR**

Group No:

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS
YOU WILL BE BOUND BY THE TERMS OF THIS STATEMENT UNLESS YOU NOTIFY US WITHIN 2 BUSINESS DAYS FROM THE TIME OF POSTING OF ANY ERRORS