

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	B 2 04JAN18 DMA EL 6524.00			10.10DR	
04OCT17	S 2 04JAN18 DMA EL		6523.50	10.10DR	
04OCT17	S 1 04JAN18 DMA EL		6513.50	5.05DR	
04OCT17	S 1 04JAN18 DMA EL		6514.50	5.05DR	
04OCT17	S 3 04JAN18 DMA EL		6515.50	15.15DR	
	CMX Copper 25,000 CMX				
04OCT17	B 2 DEC17 II 295.950			4.20DR	
04OCT17	B 7 DEC17 II 296.000			14.70DR	
04OCT17	B 2 DEC17 II 295.900			4.20DR	
	Commission				68.55DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	5 DEC17 296.000	297.000			1,250.00CR
04OCT17	2 DEC17 295.950	296.600			325.00CR
04OCT17	2 DEC17 296.000	296.900			450.00CR
04OCT17	2 DEC17 295.900	296.950			525.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	2 04OCT17 5901.00	5897.00			200.00DR
04JUL17	1 04OCT17 5897.00	5892.00			125.00DR
04JUL17	1 04OCT17 5897.00	5892.00			125.00DR
04JUL17	1 04OCT17 5895.00	5893.00			50.00DR
04JUL17	2 04OCT17 5895.00	5893.00			100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				272,280.00DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				600.00DR
	CMX Copper Futures				2,550.00CR
	COMMISSIONS				68.55DR
	CARRIED FORWARD BALANCE				270,398.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0011E

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
09AUG17	1 040CT17	5882.00	6441.80		13,995.00CR
09AUG17	1 040CT17	5882.00	6441.80		13,995.00CR
09AUG17	1 040CT17	5881.00	6441.80		14,020.00CR
020CT17	2 040CT17	5876.50	6446.25		28,487.50CR
020CT17	1 040CT17	5876.00	6446.25		14,256.25CR
020CT17	2 040CT17	5880.00	6446.25		28,312.50CR
020CT17	1 040CT17	5879.50	6446.25		14,168.75CR
020CT17	2 040CT17	5880.00	6446.25		28,312.50CR
020CT17	1 040CT17	5879.50	6446.25		14,168.75CR
020CT17	1 040CT17	5880.00	6446.25		14,156.25CR
020CT17	1 040CT17	5883.00	6446.25		14,081.25CR
020CT17	1 040CT17	5882.50	6446.25		14,093.75CR
020CT17	1 040CT17	5878.50	6446.25		14,193.75CR
020CT17	1 040CT17	5877.00	6446.25		14,231.25CR
020CT17	1 040CT17	5875.00	6446.25		14,281.25CR
020CT17	1 040CT17	5872.50	6446.25		14,343.75CR
020CT17	1 040CT17	5871.50	6446.25		14,368.75CR
020CT17	1 040CT17	5892.00	6446.25		13,856.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				488,757.25DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				297,322.50CR
	CARRIED FORWARD BALANCE				191,434.75DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5901.00	5878.00		575.00DR
04JUL17	1 04OCT17	5901.00	5878.00		575.00DR
04JUL17	1 04OCT17	5896.00	5877.00		475.00DR
04JUL17	2 04OCT17	5896.00	5877.00		950.00DR
20JUL17	1 04OCT17	5954.74	5886.50		1,706.00DR
20JUL17	1 04OCT17	5954.74	5886.00		1,718.50DR
20JUL17	3 04OCT17	5954.74	5888.00		5,005.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				67,575.95DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				11,005.00DR
	CARRIED FORWARD BALANCE				78,580.95DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	2 040CT17	5954.74	5885.00		3,487.00DR
20JUL17	1 040CT17	5954.74	5885.00		1,743.50DR
20JUL17	2 040CT17	5954.74	5888.00		3,337.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				89,472.60DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				8,567.50DR
	CARRIED FORWARD BALANCE				98,040.10DR

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	2 040CT17	5954.74	5894.00		3,037.00DR
20JUL17	2 040CT17	5954.74	5893.00		3,087.00DR
20JUL17	1 040CT17	5954.74	5893.00		1,543.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				195,765.36DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				7,667.50DR
	CARRIED FORWARD BALANCE				203,432.86DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SUITE A, 105 PICCADILLY
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SAPAB011

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,114,398.04DR
	SETTLEMENTS		
	CMX Copper Futures		2,550.00CR
	LME Copper Grade A 25 tonnes. This Futures		269,482.50CR
	COMMISSIONS		68.55DR
	CARRIED FORWARD BALANCE		842,434.09DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP00250

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 04OCT17	5883.00	5879.50		87.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 436,573.20DR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 87.50DR CARRIED FORWARD BALANCE 436,660.70DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 5 04JAN18 DMA EL		6515.00	7.80DR	
04OCT17	S 5 04JAN18 DMA EL		6507.50	7.80DR	
04OCT17	S 5 04JAN18 DMA EL		6509.00	7.80DR	
	CMX Copper 25,000 CMX				
04OCT17	B 11 DEC17 GX	296.000		25.52DR	
04OCT17	B 11 DEC17 GX	295.650		25.52DR	
04OCT17	B 11 DEC17 GX	295.700		25.52DR	
	Commission				99.96DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	1 DEC17	296.000	292.600		850.00DR
04OCT17	1 DEC17	296.000	292.900		775.00DR
04OCT17	6 DEC17	296.000	292.750		4,875.00DR
04OCT17	1 DEC17	296.000	292.900		775.00DR
04OCT17	2 DEC17	296.000	292.950		1,525.00DR
04OCT17	4 DEC17	295.650	293.100		2,550.00DR
04OCT17	4 DEC17	295.650	293.250		2,400.00DR
04OCT17	1 DEC17	295.650	293.000		662.50DR
04OCT17	2 DEC17	295.650	293.300		1,175.00DR
04OCT17	6 DEC17	295.700	294.900		1,200.00DR
04OCT17	2 DEC17	295.700	294.400		650.00DR
04OCT17	2 DEC17	295.700	294.500		600.00DR
04OCT17	1 DEC17	295.700	294.600		275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				152,603.72DR
	SETTLEMENTS				
	CMX Copper Futures				18,312.50DR
	COMMISSIONS				99.96DR
	CARRIED FORWARD BALANCE				171,016.18DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 2 04JAN18 DMA EL		6507.50	3.12DR	
04OCT17	S 1 04JAN18 DMA EL		6509.50	1.56DR	
04OCT17	S 1 04JAN18 DMA EL		6511.00	1.56DR	
04OCT17	S 5 04JAN18 DMA EL		6515.00	7.80DR	
04OCT17	S 3 04JAN18 DMA EL		6515.50	4.68DR	
04OCT17	S 2 04JAN18 DMA EL		6517.50	3.12DR	
04OCT17	S 3 04JAN18 DMA EL		6508.00	4.68DR	
04OCT17	S 3 04JAN18 DMA EL		6509.00	4.68DR	
	CMX Copper 25,000 CMX				
04OCT17	B 4 DEC17 GX 295.800			9.28DR	
04OCT17	B 2 DEC17 GX 295.850			4.64DR	
04OCT17	B 4 DEC17 GX 296.100			9.28DR	
04OCT17	B 7 DEC17 GX 296.050			16.24DR	
04OCT17	B 11 DEC17 GX 296.000			25.52DR	
04OCT17	B 10 DEC17 GX 295.700			23.20DR	
04OCT17	B 6 DEC17 GX 295.650			13.92DR	
	Commission				133.28DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	2 DEC17 295.800	294.650			575.00DR
04OCT17	2 DEC17 295.700	294.650			525.00DR
04OCT17	3 DEC17 295.700	294.450			937.50DR
04OCT17	2 DEC17 295.800	294.250			775.00DR
04OCT17	2 DEC17 295.850	294.200			825.00DR
04OCT17	2 DEC17 296.100	294.250			925.00DR
04OCT17	2 DEC17 296.100	294.200			950.00DR
04OCT17	3 DEC17 296.050	294.200			1,387.50DR
04OCT17	2 DEC17 296.050	294.200			925.00DR
04OCT17	2 DEC17 296.050	294.200			925.00DR
04OCT17	4 DEC17 296.000	294.200			1,800.00DR
04OCT17	3 DEC17 296.000	294.200			1,350.00DR
04OCT17	1 DEC17 296.000	294.000			500.00DR
04OCT17	1 DEC17 296.000	294.050			487.50DR
04OCT17	2 DEC17 296.000	292.250			1,875.00DR
04OCT17	2 DEC17 295.650	293.750			950.00DR
04OCT17	2 DEC17 295.700	293.550			1,075.00DR
04OCT17	3 DEC17 295.700	293.450			1,687.50DR
04OCT17	2 DEC17 295.650	293.450			1,100.00DR
04OCT17	2 DEC17 295.650	293.350			1,150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				67,216.38DR
	SETTLEMENTS				
	CMX Copper Futures				20,725.00DR
	COMMISSIONS				133.28DR
	CARRIED FORWARD BALANCE				88,074.66DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

4th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 5 04JAN18 DMA EL		6515.00	25.00DR	
04OCT17	S 2 04JAN18 DMA EL		6508.50	10.00DR	
04OCT17	S 3 04JAN18 DMA EL		6510.50	15.00DR	
	CMX Copper 25,000 CMX				
04OCT17	B 11 DEC17 GX 296.000				
04OCT17	B 4 DEC17 GX 295.700				
04OCT17	B 7 DEC17 GX 295.750				
	Commission				50.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	4 DEC17	296.000	292.350		3,650.00DR
04OCT17	1 DEC17	296.000	292.350		912.50DR
04OCT17	1 DEC17	296.000	292.350		912.50DR
04OCT17	1 DEC17	296.000	292.350		912.50DR
04OCT17	1 DEC17	296.000	292.350		912.50DR
04OCT17	3 DEC17	296.000	292.350		2,737.50DR
04OCT17	4 DEC17	295.700	293.350		2,350.00DR
04OCT17	5 DEC17	295.750	293.350		3,000.00DR
04OCT17	2 DEC17	295.750	293.350		1,200.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				89,608.00DR
	SETTLEMENTS				
	CMX Copper Futures				16,587.50DR
	COMMISSIONS				50.00DR
	CARRIED FORWARD BALANCE				106,245.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025W

4th October 2017

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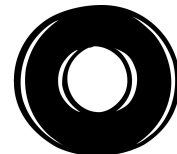
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 3 04JAN18 DMA EL		6515.00	4.68DR	
04OCT17	S 2 04JAN18 DMA EL		6516.00	3.12DR	
	CMX Copper 25,000 CMX				
04OCT17	B 7 DEC17 GX	296.000		15.89DR	
04OCT17	B 4 DEC17 GX	296.050		9.08DR	
	Commission				32.77DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	7 DEC17	296.000	293.700		4,025.00DR
04OCT17	2 DEC17	296.050	293.600		1,225.00DR
04OCT17	2 DEC17	296.050	293.500		1,275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				48,243.42CR
	SETTLEMENTS				
	CMX Copper Futures				6,525.00DR
	COMMISSIONS				32.77DR
	CARRIED FORWARD BALANCE				41,685.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPAB025

4th Oct 2017

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,952,770.09DR
	SETTLEMENTS		
	CMX Copper Futures		62,150.00DR
	LME Copper Grade A 25 tonnes. This Futures		87.50DR
	COMMISSIONS		316.01DR
	CARRIED FORWARD BALANCE		2,015,323.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 1 04JAN18 TTR EL		6513.50	5.00DR	
	CMX Copper 25,000 CMX				
04OCT17	B 2 DEC17 TTR GX	295.900		3.36DR	
	Commission				8.36DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5878.00	5887.00		225.00CR
04JUL17	1 04OCT17	5878.00	5886.50		212.50CR
04JUL17	1 04OCT17	5910.00	5887.50		562.50DR
04JUL17	1 04OCT17	5896.50	5888.50		200.00DR
04JUL17	1 04OCT17	5892.50	5880.50		300.00DR
06JUL17	1 04OCT17	5850.77	5874.50		593.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				105,371.82DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				31.75DR
	COMMISSIONS				8.36DR
	CARRIED FORWARD BALANCE				105,411.93DR

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SAP038AA

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 040CT17	5911.00	5887.00		600.00DR
04JUL17	1 040CT17	5896.50	5887.00		237.50DR
06JUL17	1 040CT17	5850.77	5886.50		893.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33,519.42DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				55.75CR
	CARRIED FORWARD BALANCE				33,463.67DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB038

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		138,976.24DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		24.00CR
	COMMISSIONS		8.36DR
	CARRIED FORWARD BALANCE		138,960.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0086A

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5878.00	5889.00		275.00CR
04JUL17	1 04OCT17	5886.00	5887.00		25.00CR
04JUL17	1 04OCT17	5894.50	5887.00		187.50DR
04JUL17	1 04OCT17	5894.50	5880.50		350.00DR
04JUL17	1 04OCT17	5891.50	5879.50		300.00DR
04JUL17	1 04OCT17	5891.50	5879.50		300.00DR
04JUL17	1 04OCT17	5892.50	5879.50		325.00DR
04JUL17	1 04OCT17	5892.50	5878.00		362.50DR
04JUL17	3 04OCT17	5893.50	5878.00		1,162.50DR
04JUL17	3 04OCT17	5893.50	5878.00		1,162.50DR
04JUL17	1 04OCT17	5893.50	5879.50		350.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,154.70DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,200.00DR
	CARRIED FORWARD BALANCE				13,354.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086L

4th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
14AUG17	2 040CT17	5880.00	6382.75		25,137.50CR
14AUG17	1 040CT17	5882.00	6382.75		12,518.75CR
14AUG17	1 040CT17	5885.50	6382.75		12,431.25CR
14AUG17	1 040CT17	5888.50	6382.75		12,356.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5887.50	6749.27		21,544.25CR
30AUG17	1 040CT17	5895.50	6749.27		21,344.25CR
30AUG17	1 040CT17	5895.50	6749.27		21,344.25CR
30AUG17	1 040CT17	5895.50	6749.27		21,344.25CR
30AUG17	1 040CT17	5894.50	6749.27		21,369.25CR
30AUG17	1 040CT17	5894.50	6749.27		21,369.25CR
30AUG17	2 040CT17	5894.50	6749.27		42,738.50CR
30AUG17	2 040CT17	5891.00	6749.27		42,913.50CR
30AUG17	1 040CT17	5894.50	6749.27		21,369.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				681,447.44DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				383,857.50CR
	CARRIED FORWARD BALANCE				297,589.94DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086N

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
30AUG17	1 040CT17	5883.00	6749.27		21,656.75CR
30AUG17	1 040CT17	5886.50	6749.27		21,569.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5888.50	6749.27		21,519.25CR
30AUG17	1 040CT17	5895.50	6749.27		21,344.25CR
30AUG17	1 040CT17	5894.50	6749.27		21,369.25CR
30AUG17	2 040CT17	5894.50	6749.27		42,738.50CR
30AUG17	1 040CT17	5891.00	6749.27		21,456.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				455,537.95DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				214,692.50CR
	CARRIED FORWARD BALANCE				240,845.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB086

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,146,140.09DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		594,350.00CR
	CARRIED FORWARD BALANCE		551,790.09DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0089A 4th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29AUG17	5 040CT17	6774.84	5876.50		112,292.50DR
29AUG17	1 040CT17	6774.84	5878.00		22,421.00DR
29AUG17	2 040CT17	6774.84	5875.50		44,967.00DR
29AUG17	2 040CT17	6774.84	5874.50		45,017.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,481,277.51CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				224,697.50DR
	CARRIED FORWARD BALANCE				3,256,580.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
040CT17	S 7 02JAN18 IFC UD		6520.38	18.90DR	
040CT17	S 1 04JAN18 TTR EL		6524.00	5.55DR	
040CT17	B 1 04JAN18 TTR EL	6518.00		5.55DR	
040CT17	S 1 04JAN18 TTR EL		6506.50	5.55DR	
040CT17	S 1 04JAN18 TTR EL		6513.00	5.55DR	
040CT17	S 2 04JAN18 TTR EL		6512.00	11.10DR	
040CT17	S 1 04JAN18 TTR EL		6522.00	5.55DR	
040CT17	S 1 04JAN18 TTR EL		6529.50	5.55DR	
040CT17	S 1 04JAN18 TTR EL		6532.50	5.55DR	
040CT17	S 1 04JAN18 TTR EL		6511.50	5.55DR	
040CT17	B 7 04JAN18 IFC UD	6521.20		18.90DR	
040CT17	S 3 04JAN18 TTR EL		6510.50	16.65DR	
	CMX Copper 25,000 CMX				
040CT17	B 2 DEC17 TTR GX	296.450		4.40DR	
040CT17	S 2 DEC17 TTR GX		296.250	4.40DR	
040CT17	B 2 DEC17 TTR GX	295.700		4.40DR	
040CT17	B 2 DEC17 TTR GX	295.900		4.40DR	
040CT17	B 4 DEC17 TTR GX	295.850		8.80DR	
040CT17	B 2 DEC17 TTR GX	296.400		4.40DR	
040CT17	B 2 DEC17 TTR GX	296.550		4.40DR	
040CT17	B 2 DEC17 TTR GX	296.700		4.40DR	
040CT17	B 2 DEC17 TTR GX	296.800		4.40DR	
040CT17	S 1 DEC17 TTR GX		296.300	2.20DR	
040CT17	B 2 DEC17 TTR GX	295.800		4.40DR	
040CT17	B 7 DEC17 TTR GX	295.750		15.40DR	
	Commission				175.95DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
040CT17	2 DEC17	296.450	296.250		100.00DR
040CT17	1 DEC17	295.700	296.300		150.00CR
040CT17	1 DEC17	295.700	293.550		537.50DR
040CT17	2 DEC17	295.900	293.550		1,175.00DR
040CT17	1 DEC17	295.850	293.550		575.00DR
040CT17	2 DEC17	295.850	292.300		1,775.00DR
040CT17	1 DEC17	295.850	292.350		875.00DR
040CT17	1 DEC17	296.400	292.350		1,012.50DR
040CT17	1 DEC17	296.400	292.850		887.50DR
040CT17	1 DEC17	296.550	292.850		925.00DR
040CT17	1 DEC17	296.550	292.900		912.50DR
040CT17	1 DEC17	296.700	292.900		950.00DR
040CT17	1 DEC17	296.700	292.850		962.50DR
040CT17	2 DEC17	296.800	292.900		1,950.00DR
040CT17	2 DEC17	295.800	292.900		1,450.00DR
040CT17	2 DEC17	295.750	292.950		1,400.00DR
040CT17	5 DEC17	295.750	293.000		3,437.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				79,095.00DR
	SETTLEMENTS				
	CMX Copper Futures				18,775.00DR
	COMMISSIONS				175.95DR
	CARRIED FORWARD BALANCE				98,045.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	B 1 04JAN18 TTR EL	6525.50		5.55DR	
04OCT17	B 5 04JAN18 TTR EL	6527.00		27.75DR	
04OCT17	S 2 04JAN18 TTR EL		6508.00	11.10DR	
04OCT17	S 3 04JAN18 TTR EL		6509.00	16.65DR	
04OCT17	B 4 04JAN18 TTR EL	6526.00		22.20DR	
04OCT17	S 1 04JAN18 TTR EL		6512.50	5.55DR	
04OCT17	S 4 04JAN18 TTR EL		6513.50	22.20DR	
	CMX Copper 25,000 CMX				
04OCT17	S 11 DEC17 TTR GX		296.700	24.20DR	
04OCT17	B 2 DEC17 TTR GX	295.700		4.40DR	
04OCT17	B 8 DEC17 TTR GX	295.750		17.60DR	
04OCT17	B 1 DEC17 TTR GX	295.800		2.20DR	
04OCT17	S 6 DEC17 TTR GX		296.650	13.20DR	
04OCT17	S 4 DEC17 TTR GX		296.600	8.80DR	
04OCT17	S 1 DEC17 TTR GX		296.550	2.20DR	
04OCT17	B 10 DEC17 TTR GX	295.950		22.00DR	
04OCT17	B 1 DEC17 TTR GX	295.900		2.20DR	
	Commission				207.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	2 DEC17	295.750	296.700		475.00CR
04OCT17	2 DEC17	295.700	296.700		500.00CR
04OCT17	6 DEC17	295.750	296.700		1,425.00CR
04OCT17	1 DEC17	295.800	296.700		225.00CR
04OCT17	2 DEC17	295.950	296.650		350.00CR
04OCT17	2 DEC17	295.950	296.650		350.00CR
04OCT17	2 DEC17	295.950	296.600		325.00CR
04OCT17	2 DEC17	295.950	296.650		350.00CR
04OCT17	2 DEC17	295.950	296.600		325.00CR
04OCT17	1 DEC17	295.900	296.550		162.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				267,408.05CR
	SETTLEMENTS				
	CMX Copper Futures				4,487.50CR
	COMMISSIONS				207.80DR
	CARRIED FORWARD BALANCE				271,687.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 3 03JAN18 IFC UD		6520.69	8.10DR	
04OCT17	S 3 04JAN18 TTR EL		6501.00	4.68DR	
04OCT17	S 2 04JAN18 TTR EL		6525.50	3.12DR	
04OCT17	B 1 04JAN18 TTR EL	6520.50		1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6517.50	1.56DR	
04OCT17	S 3 04JAN18 TTR EL		6515.50	4.68DR	
04OCT17	S 1 04JAN18 TTR EL		6514.00	1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6512.50	3.12DR	
04OCT17	S 2 04JAN18 TTR EL		6513.00	3.12DR	
04OCT17	S 4 04JAN18 TTR EL		6511.00	6.24DR	
04OCT17	S 5 04JAN18 TTR EL		6510.00	7.80DR	
04OCT17	S 1 04JAN18 TTR EL		6509.00	1.56DR	
04OCT17	B 3 04JAN18 IFC UD	6521.20		8.10DR	
04OCT17	S 2 04JAN18 TTR EL		6508.00	3.12DR	
04OCT17	S 1 04JAN18 TTR EL		6508.50	1.56DR	
	CMX Copper 25,000 CMX				
04OCT17	B 6 DEC17 TTR GX	295.400		13.20DR	
04OCT17	B 2 DEC17 TTR GX	296.500		4.40DR	
04OCT17	B 2 DEC17 TTR GX	296.550		4.40DR	
04OCT17	B 1 DEC17 TTR GX	296.450		2.20DR	
04OCT17	S 2 DEC17 TTR GX		296.300	4.40DR	
04OCT17	B 2 DEC17 TTR GX	296.150		4.40DR	
04OCT17	B 6 DEC17 TTR GX	296.000		13.20DR	
04OCT17	B 7 DEC17 TTR GX	295.900		15.40DR	
04OCT17	B 4 DEC17 TTR GX	295.950		8.80DR	
04OCT17	B 2 DEC17 TTR GX	295.850		4.40DR	
04OCT17	B 5 DEC17 TTR GX	295.800		11.00DR	
04OCT17	B 13 DEC17 TTR GX	295.750		28.60DR	
04OCT17	B 5 DEC17 TTR GX	295.650		11.00DR	
04OCT17	B 4 DEC17 TTR GX	295.700		8.80DR	
	Commission				194.08DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	2 DEC17	295.400	296.300		450.00CR
04OCT17	2 DEC17	295.400	309.400		7,000.00CR
04OCT17	2 DEC17	295.400	309.850		7,225.00CR
04OCT17	1 DEC17	296.500	309.800		3,325.00CR
04OCT17	1 DEC17	296.550	309.800		3,312.50CR
04OCT17	1 DEC17	296.500	309.750		3,312.50CR
04OCT17	1 DEC17	296.550	309.750		3,300.00CR
04OCT17	1 DEC17	296.450	309.800		3,337.50CR
04OCT17	2 DEC17	296.150	309.800		6,825.00CR
04OCT17	2 DEC17	296.000	309.850		6,925.00CR
04OCT17	3 DEC17	296.000	309.850		10,387.50CR
04OCT17	1 DEC17	296.000	309.900		3,475.00CR
04OCT17	1 DEC17	295.950	309.850		3,475.00CR
04OCT17	1 DEC17	295.950	309.900		3,487.50CR
04OCT17	1 DEC17	295.900	309.900		3,500.00CR
04OCT17	2 DEC17	295.900	309.850		6,975.00CR
04OCT17	1 DEC17	295.900	309.850		3,487.50CR
04OCT17	1 DEC17	295.900	309.850		3,487.50CR
04OCT17	1 DEC17	295.900	307.600		2,925.00CR
04OCT17	1 DEC17	295.950	307.600		2,912.50CR
04OCT17	1 DEC17	295.900	307.400		2,875.00CR
04OCT17	1 DEC17	295.950	307.400		2,862.50CR
04OCT17	1 DEC17	295.850	307.350		2,875.00CR
04OCT17	1 DEC17	295.850	307.350		2,875.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

4th October 2017

COB GLOBAL

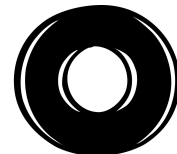
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	2 DEC17	295.800	307.350		5,775.00CR
04OCT17	2 DEC17	295.800	307.400		5,800.00CR
04OCT17	1 DEC17	295.750	307.300		2,887.50CR
04OCT17	2 DEC17	295.750	303.550		3,900.00CR
04OCT17	2 DEC17	295.750	303.600		3,925.00CR
04OCT17	4 DEC17	295.750	303.700		7,950.00CR
04OCT17	3 DEC17	295.750	303.650		5,925.00CR
04OCT17	1 DEC17	295.800	298.900		775.00CR
04OCT17	1 DEC17	295.750	298.900		787.50CR
04OCT17	2 DEC17	295.700	299.000		1,650.00CR
04OCT17	2 DEC17	295.650	299.050		1,700.00CR
04OCT17	2 DEC17	295.650	299.000		1,675.00CR
04OCT17	1 DEC17	295.650	299.100		862.50CR
04OCT17	2 DEC17	295.700	297.450		875.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				34,010.02DR
	SETTLEMENTS				
	CMX Copper Futures				145,100.00CR
	COMMISSIONS				194.08DR
	CARRIED FORWARD BALANCE				110,895.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,674,211.47CR
	SETTLEMENTS		
	CMX Copper Futures		130,812.50CR
	LME Copper Grade A 25 tonnes. This Futures		224,697.50DR
	COMMISSIONS		577.83DR
	CARRIED FORWARD BALANCE		3,579,748.64CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097G

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5901.50	5903.00		37.50CR
04JUL17	1 04OCT17	5901.50	5904.50		75.00CR
04JUL17	1 04OCT17	5904.00	5904.00		0.00
04JUL17	1 04OCT17	5901.50	5888.00		337.50DR
04JUL17	1 04OCT17	5900.50	5889.50		275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				333,275.60CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				500.00DR
	CARRIED FORWARD BALANCE				332,775.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
04OCT17	B	3	04JAN18	TTR	EL	6521.50		4.68DR	
04OCT17	B	2	04JAN18	TTR	EL	6522.00		3.12DR	
04OCT17	B	1	04JAN18	TTR	EL	6512.00		1.56DR	
04OCT17	S	1	04JAN18	TTR	EL		6511.50	1.56DR	
04OCT17	S	1	04JAN18	TTR	EL		6512.50	1.56DR	
04OCT17	S	14	04JAN18	TTR	EL		6510.50	21.84DR	
04OCT17	S	1	04JAN18	TTR	EL		6508.50	1.56DR	
04OCT17	S	1	04JAN18	TTR	EL		6503.00	1.56DR	
04OCT17	S	3	04JAN18	TTR	EL		6507.00	4.68DR	
04OCT17	S	2	04JAN18	TTR	EL		6508.00	3.12DR	
04OCT17	S	1	04JAN18	TTR	EL		6506.00	1.56DR	
04OCT17	S	3	04JAN18	TTR	EL		6505.00	4.68DR	
04OCT17	S	1	04JAN18	TTR	EL		6504.00	1.56DR	
04OCT17	S	3	04JAN18	TTR	EL		6503.50	4.68DR	
04OCT17	S	8	04JAN18	TTR	EL		6501.50	12.48DR	
04OCT17	S	2	04JAN18	TTR	EL		6501.00	3.12DR	
04OCT17	S	1	04JAN18	TTR	EL		6500.50	1.56DR	
04OCT17	S	2	04JAN18	TTR	EL		6490.50	3.12DR	
04OCT17	S	9	04JAN18	TTR	EL		6495.00	14.04DR	
04OCT17	S	1	04JAN18	TTR	EL		6517.50	1.56DR	
04OCT17	S	3	04JAN18	TTR	EL		6526.50	4.68DR	
04OCT17	S	1	04JAN18	TTR	EL		6527.50	1.56DR	
04OCT17	S	1	04JAN18	DMA	EL		6489.50	1.56DR	
04OCT17	S	2	04JAN18	DMA	EL		6504.00	3.12DR	
04OCT17	S	1	04JAN18	TTR	EL		6521.00	1.56DR	
04OCT17	S	3	04JAN18	TTR	EL		6520.00	4.68DR	
04OCT17	S	1	04JAN18	TTR	EL		6513.00	1.56DR	
	CMX Copper 25,000 CMX								
04OCT17	S	1	DEC17	TTR	GX		296.550	2.30DR	
04OCT17	S	2	DEC17	TTR	GX		296.450	4.60DR	
04OCT17	S	8	DEC17	TTR	GX		296.500	18.40DR	
04OCT17	B	23	DEC17	TTR	GX	295.850		52.90DR	
04OCT17	B	2	DEC17	TTR	GX	295.900		4.60DR	
04OCT17	B	2	DEC17	TTR	GX	296.100		4.60DR	
04OCT17	B	3	DEC17	TTR	GX	296.000		6.90DR	
04OCT17	B	2	DEC17	TTR	GX	296.050		4.60DR	
04OCT17	B	11	DEC17	TTR	GX	295.450		25.30DR	
04OCT17	B	7	DEC17	TTR	GX	295.750		16.10DR	
04OCT17	B	4	DEC17	TTR	GX	295.700		9.20DR	
04OCT17	B	5	DEC17	TTR	GX	295.650		11.50DR	
04OCT17	B	7	DEC17	TTR	GX	295.600		16.10DR	
04OCT17	B	10	DEC17	TTR	GX	295.550		23.00DR	
04OCT17	B	6	DEC17	TTR	GX	295.500		13.80DR	
04OCT17	B	12	DEC17	TTR	GX	295.400		27.60DR	
04OCT17	B	4	DEC17	TTR	GX	294.950		9.20DR	
04OCT17	B	2	DEC17	TTR	GX	295.100		4.60DR	
04OCT17	B	21	DEC17	TTR	GX	295.150		48.30DR	
04OCT17	B	2	DEC17	TTR	GX	296.200		4.60DR	
04OCT17	B	4	DEC17	TTR	GX	296.550		9.20DR	
04OCT17	B	5	DEC17	TTR	GX	296.600		11.50DR	
04OCT17	B	2	DEC17	TTR	GX	296.300		4.60DR	
04OCT17	B	6	DEC17	TTR	GX	296.250		13.80DR	
04OCT17	B	3	DEC17	TTR	GX	295.950		6.90DR	
	Commission								466.52DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
04OCT17		2	DEC17			295.850	296.500		325.00CR

Group No: SAPAB097

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	4 DEC17	295.850	296.500		650.00CR
04OCT17	1 DEC17	295.850	296.550		175.00CR
04OCT17	2 DEC17	295.850	296.450		300.00CR
04OCT17	2 DEC17	295.850	296.500		325.00CR
04OCT17	2 DEC17	295.850	292.200		1,825.00DR
04OCT17	2 DEC17	295.850	292.200		1,825.00DR
04OCT17	2 DEC17	295.850	292.250		1,800.00DR
04OCT17	2 DEC17	295.850	292.350		1,750.00DR
04OCT17	2 DEC17	295.850	292.600		1,625.00DR
04OCT17	1 DEC17	295.850	292.700		787.50DR
04OCT17	1 DEC17	295.850	292.550		825.00DR
04OCT17	2 DEC17	295.900	292.550		1,675.00DR
04OCT17	2 DEC17	296.100	292.550		1,775.00DR
04OCT17	1 DEC17	296.000	292.550		862.50DR
04OCT17	2 DEC17	296.000	292.600		1,700.00DR
04OCT17	2 DEC17	296.050	292.550		1,750.00DR
04OCT17	2 DEC17	295.750	292.550		1,600.00DR
04OCT17	2 DEC17	295.750	292.600		1,575.00DR
04OCT17	1 DEC17	295.750	292.750		750.00DR
04OCT17	1 DEC17	295.400	292.750		662.50DR
04OCT17	1 DEC17	295.400	292.750		662.50DR
04OCT17	2 DEC17	295.450	292.650		1,400.00DR
04OCT17	1 DEC17	295.400	292.700		675.00DR
04OCT17	6 DEC17	295.450	292.700		4,125.00DR
04OCT17	3 DEC17	295.450	292.800		1,987.50DR
04OCT17	1 DEC17	295.500	292.800		675.00DR
04OCT17	2 DEC17	295.500	292.550		1,475.00DR
04OCT17	2 DEC17	295.550	292.500		1,525.00DR
04OCT17	1 DEC17	295.550	292.500		762.50DR
04OCT17	1 DEC17	295.550	292.450		775.00DR
04OCT17	1 DEC17	295.500	292.450		762.50DR
04OCT17	2 DEC17	295.550	292.550		1,500.00DR
04OCT17	2 DEC17	295.550	292.400		1,575.00DR
04OCT17	3 DEC17	295.600	292.450		2,362.50DR
04OCT17	2 DEC17	295.700	293.250		1,225.00DR
04OCT17	1 DEC17	295.750	293.350		600.00DR
04OCT17	1 DEC17	295.750	293.350		600.00DR
04OCT17	2 DEC17	295.700	293.350		1,175.00DR
04OCT17	1 DEC17	295.650	293.350		575.00DR
04OCT17	4 DEC17	295.650	293.250		2,400.00DR
04OCT17	2 DEC17	295.600	293.350		1,125.00DR
04OCT17	2 DEC17	295.600	293.250		1,175.00DR
04OCT17	2 DEC17	295.550	293.250		1,150.00DR
04OCT17	1 DEC17	295.500	293.250		562.50DR
04OCT17	1 DEC17	295.500	293.300		550.00DR
04OCT17	1 DEC17	295.400	293.300		525.00DR
04OCT17	1 DEC17	295.400	293.350		512.50DR
04OCT17	1 DEC17	295.400	293.350		512.50DR
04OCT17	5 DEC17	295.400	293.350		2,562.50DR
04OCT17	1 DEC17	295.400	296.150		187.50CR
04OCT17	1 DEC17	294.950	296.150		300.00CR
04OCT17	3 DEC17	294.950	296.200		937.50CR
04OCT17	1 DEC17	295.100	296.200		275.00CR
04OCT17	1 DEC17	295.100	296.200		275.00CR
04OCT17	1 DEC17	295.150	296.200		262.50CR
04OCT17	3 DEC17	295.150	296.100		712.50CR
04OCT17	4 DEC17	295.150	296.600		1,450.00CR
04OCT17	2 DEC17	295.150	296.500		675.00CR
04OCT17	2 DEC17	295.150	296.650		750.00CR
04OCT17	2 DEC17	295.150	296.450		650.00CR
04OCT17	1 DEC17	295.150	296.450		325.00CR
04OCT17	1 DEC17	295.150	296.550		350.00CR
04OCT17	1 DEC17	295.150	296.550		350.00CR
04OCT17	1 DEC17	295.150	296.350		300.00CR
04OCT17	3 DEC17	295.150	296.350		900.00CR
04OCT17	2 DEC17	296.200	296.450		125.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	3 DEC17	296.550	296.450		75.00DR
04OCT17	1 DEC17	296.550	296.550		0.00
04OCT17	1 DEC17	296.600	296.550		12.50DR
04OCT17	2 DEC17	296.600	296.550		25.00DR
04OCT17	2 DEC17	296.600	296.600		0.00
04OCT17	2 DEC17	296.300	296.550		125.00CR
04OCT17	4 DEC17	296.250	296.550		300.00CR
04OCT17	2 DEC17	296.250	296.550		150.00CR
04OCT17	3 DEC17	295.950	296.550		450.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,025,802.26CR
	SETTLEMENTS				
	CMX Copper Futures				46,787.50DR
	COMMISSIONS				466.52DR
	CARRIED FORWARD BALANCE				978,548.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

4th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 1 04JAN18 TTR EL		6504.00	1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6504.50	3.12DR	
04OCT17	S 2 04JAN18 TTR EL		6507.50	3.12DR	
04OCT17	S 1 04JAN18 TTR EL		6487.00	1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6486.50	1.56DR	
04OCT17	B 1 04JAN18 TTR EL	6487.00		1.56DR	
04OCT17	S 7 04JAN18 TTR EL		6495.00	10.92DR	
04OCT17	S 1 04JAN18 TTR EL		6502.50	1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6512.50	1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6511.50	3.12DR	
04OCT17	B 1 04JAN18 TTR EL	6503.50		1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6509.50	3.12DR	
04OCT17	S 1 04JAN18 TTR EL		6508.00	1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6508.50	1.56DR	
04OCT17	S 4 04JAN18 TTR EL		6507.00	6.24DR	
04OCT17	S 1 04JAN18 TTR EL		6505.50	1.56DR	
04OCT17	S 5 04JAN18 TTR EL		6506.00	7.80DR	
04OCT17	S 2 04JAN18 TTR EL		6506.50	3.12DR	
04OCT17	B 3 04JAN18 TTR EL	6503.00		4.68DR	
04OCT17	S 1 04JAN18 TTR EL		6497.00	1.56DR	
04OCT17	B 1 04JAN18 TTR EL	6504.00		1.56DR	
04OCT17	B 1 04JAN18 TTR EL	6518.50		1.56DR	
04OCT17	S 3 04JAN18 TTR EL		6526.50	4.68DR	
04OCT17	S 1 04JAN18 TTR EL		6527.50	1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6529.50	1.56DR	
04OCT17	S 1 04JAN18 TTR EL		6534.50	1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6528.50	3.12DR	
04OCT17	B 1 04JAN18 DMA EL	6503.50		1.56DR	
04OCT17	S 4 04JAN18 DMA EL		6504.00	6.24DR	
04OCT17	S 1 04JAN18 TTR EL		6526.00	1.56DR	
04OCT17	B 1 04JAN18 TTR EL	6516.00		1.56DR	
04OCT17	B 3 04JAN18 TTR EL	6515.50		4.68DR	
04OCT17	B 2 04JAN18 TTR EL	6514.00		3.12DR	
04OCT17	B 2 04JAN18 TTR EL	6514.50		3.12DR	
04OCT17	B 1 04JAN18 TTR EL	6515.00		1.56DR	
04OCT17	S 2 04JAN18 TTR EL		6513.50	3.12DR	
04OCT17	B 2 04JAN18 TTR EL	6524.50		3.12DR	
04OCT17	B 6 04JAN18 TTR EL	6526.50		9.36DR	
04OCT17	B 1 04JAN18 TTR EL	6524.00		1.56DR	
04OCT17	B 2 04JAN18 TTR EL	6523.00		3.12DR	
04OCT17	B 1 04JAN18 TTR EL	6528.50		1.56DR	
04OCT17	B 2 04JAN18 TTR EL	6527.00		3.12DR	
04OCT17	B 1 04JAN18 DMA EL	6515.50		1.56DR	
04OCT17	S 1 04JAN18 DMA EL		6526.00	1.56DR	
04OCT17	S 4 04JAN18 TTR EL		6521.50	6.24DR	
04OCT17	S 2 04JAN18 TTR EL		6518.50	3.12DR	
04OCT17	S 10 04JAN18 TTR EL		6522.00	15.60DR	
04OCT17	S 3 04JAN18 TTR EL		6522.50	4.68DR	
04OCT17	S 10 04JAN18 TTR EL		6519.50	15.60DR	
04OCT17	S 4 04JAN18 TTR EL		6520.00	6.24DR	
04OCT17	S 2 04JAN18 TTR EL		6515.50	3.12DR	
04OCT17	S 6 04JAN18 TTR EL		6516.00	9.36DR	
04OCT17	S 2 04JAN18 TTR EL		6517.50	3.12DR	
04OCT17	S 4 04JAN18 TTR EL		6517.00	6.24DR	
04OCT17	S 5 04JAN18 TTR EL		6516.50	7.80DR	
04OCT17	S 10 04JAN18 TTR EL		6515.00	15.60DR	
04OCT17	S 4 04JAN18 TTR EL		6513.00	6.24DR	
04OCT17	S 7 04JAN18 TTR EL		6514.50	10.92DR	
04OCT17	S 4 04JAN18 TTR EL		6511.00	6.24DR	
04OCT17	S 6 04JAN18 TTR EL		6510.50	9.36DR	
04OCT17	S 13 04JAN18 TTR EL		6510.00	20.28DR	
	CMX Copper 25,000 CMX				

Group No: SAPAB097

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

4th October 2017

COB GLOBAL

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Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
040CT17	B	3	DEC17	TTR	GX	295.600		6.90DR	
040CT17	B	12	DEC17	TTR	GX	295.550		27.60DR	
040CT17	B	2	DEC17	TTR	GX	294.800		4.60DR	
040CT17	B	1	DEC17	TTR	GX	294.750		2.30DR	
040CT17	B	15	DEC17	TTR	GX	295.150		34.50DR	
040CT17	S	2	DEC17	TTR	GX		295.500	4.60DR	
040CT17	B	11	DEC17	TTR	GX	295.700		25.30DR	
040CT17	B	21	DEC17	TTR	GX	295.650		48.30DR	
040CT17	S	2	DEC17	TTR	GX		295.600	4.60DR	
040CT17	S	8	DEC17	TTR	GX		295.550	18.40DR	
040CT17	B	1	DEC17	TTR	GX	295.500		2.30DR	
040CT17	B	2	DEC17	TTR	GX	295.200		4.60DR	
040CT17	S	4	DEC17	TTR	GX		295.650	9.20DR	
040CT17	S	2	DEC17	TTR	GX		295.750	4.60DR	
040CT17	B	2	DEC17	TTR	GX	296.200		4.60DR	
040CT17	S	2	DEC17	TTR	GX		296.200	4.60DR	
040CT17	B	7	DEC17	TTR	GX	296.650		16.10DR	
040CT17	B	1	DEC17	TTR	GX	296.600		2.30DR	
040CT17	B	3	DEC17	TTR	GX	296.850		6.90DR	
040CT17	B	11	DEC17	TTR	GX	296.550		25.30DR	
040CT17	S	3	DEC17	TTR	GX		296.100	6.90DR	
040CT17	S	8	DEC17	TTR	GX		296.050	18.40DR	
040CT17	S	11	DEC17	TTR	GX		296.150	25.30DR	
040CT17	S	2	DEC17	TTR	GX		296.600	4.60DR	
040CT17	S	10	DEC17	TTR	GX		296.650	23.00DR	
040CT17	S	5	DEC17	TTR	GX		296.550	11.50DR	
040CT17	S	6	DEC17	TTR	GX		296.500	13.80DR	
040CT17	S	2	DEC17	TTR	GX		296.750	4.60DR	
040CT17	S	5	DEC17	TTR	GX		296.700	11.50DR	
040CT17	B	6	DEC17	TTR	GX	296.400		13.80DR	
040CT17	B	28	DEC17	TTR	GX	296.350		64.40DR	
040CT17	B	3	DEC17	TTR	GX	296.300		6.90DR	
040CT17	B	30	DEC17	TTR	GX	296.250		69.00DR	
040CT17	B	9	DEC17	TTR	GX	296.150		20.70DR	
040CT17	B	21	DEC17	TTR	GX	296.100		48.30DR	
040CT17	B	17	DEC17	TTR	GX	296.050		39.10DR	
040CT17	S	2	DEC17	TTR	GX		295.900	4.60DR	
040CT17	B	25	DEC17	TTR	GX	296.000		57.50DR	
040CT17	B	27	DEC17	TTR	GX	295.950		62.10DR	
040CT17	B	13	DEC17	TTR	GX	295.900		29.90DR	
040CT17	B	9	DEC17	TTR	GX	295.850		20.70DR	
040CT17	B	19	DEC17	TTR	GX	295.800		43.70DR	
040CT17	B	28	DEC17	TTR	GX	295.750		64.40DR	
	Commission								1,201.54DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
040CT17		1	DEC17			296.050	295.650		100.00DR
040CT17		1	DEC17			295.950	295.650		75.00DR
040CT17		1	DEC17			295.950	295.500		112.50DR
040CT17		1	DEC17			296.050	295.500		137.50DR
040CT17		1	DEC17			295.850	295.550		75.00DR
040CT17		1	DEC17			295.900	295.550		87.50DR
040CT17		1	DEC17			295.900	295.550		87.50DR
040CT17		1	DEC17			295.850	295.550		75.00DR
040CT17		2	DEC17			295.850	295.550		150.00DR
040CT17		1	DEC17			295.850	295.600		62.50DR
040CT17		1	DEC17			295.550	295.600		12.50CR
040CT17		1	DEC17			295.550	295.550		0.00
040CT17		1	DEC17			295.550	295.550		0.00
040CT17		2	DEC17			295.550	295.650		50.00CR
040CT17		2	DEC17			295.550	295.750		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	2 DEC17	295.550	296.200		325.00CR
04OCT17	3 DEC17	295.600	296.150		412.50CR
04OCT17	3 DEC17	295.550	296.150		450.00CR
04OCT17	4 DEC17	295.700	296.150		450.00CR
04OCT17	2 DEC17	295.700	296.050		175.00CR
04OCT17	4 DEC17	295.650	296.050		400.00CR
04OCT17	1 DEC17	295.650	296.100		112.50CR
04OCT17	2 DEC17	295.150	296.100		475.00CR
04OCT17	2 DEC17	295.150	296.050		450.00CR
04OCT17	1 DEC17	294.800	296.150		337.50CR
04OCT17	1 DEC17	294.800	296.550		437.50CR
04OCT17	1 DEC17	294.750	296.550		450.00CR
04OCT17	2 DEC17	295.150	296.500		675.00CR
04OCT17	2 DEC17	295.150	296.600		725.00CR
04OCT17	4 DEC17	295.150	296.650		1,500.00CR
04OCT17	2 DEC17	295.150	296.700		775.00CR
04OCT17	1 DEC17	295.150	296.650		375.00CR
04OCT17	2 DEC17	295.650	296.650		500.00CR
04OCT17	2 DEC17	295.900	296.650		375.00CR
04OCT17	1 DEC17	295.800	296.650		212.50CR
04OCT17	1 DEC17	295.800	296.500		175.00CR
04OCT17	1 DEC17	295.750	296.500		187.50CR
04OCT17	1 DEC17	295.750	296.550		200.00CR
04OCT17	2 DEC17	295.650	296.550		450.00CR
04OCT17	2 DEC17	295.650	296.500		425.00CR
04OCT17	2 DEC17	295.750	296.750		500.00CR
04OCT17	3 DEC17	295.750	296.700		712.50CR
04OCT17	1 DEC17	295.750	295.900		37.50CR
04OCT17	1 DEC17	295.800	295.900		25.00CR
04OCT17	1 DEC17	295.800	292.000		950.00DR
04OCT17	1 DEC17	295.750	292.000		937.50DR
04OCT17	2 DEC17	295.850	292.000		1,925.00DR
04OCT17	2 DEC17	295.950	291.950		2,000.00DR
04OCT17	3 DEC17	295.900	292.050		2,887.50DR
04OCT17	1 DEC17	295.900	292.100		950.00DR
04OCT17	1 DEC17	295.700	292.100		900.00DR
04OCT17	2 DEC17	295.650	292.150		1,750.00DR
04OCT17	4 DEC17	295.650	292.050		3,600.00DR
04OCT17	1 DEC17	295.700	292.050		912.50DR
04OCT17	1 DEC17	295.700	292.050		912.50DR
04OCT17	2 DEC17	295.700	292.050		1,825.00DR
04OCT17	1 DEC17	295.650	292.050		900.00DR
04OCT17	1 DEC17	295.650	293.700		487.50DR
04OCT17	2 DEC17	295.650	293.700		975.00DR
04OCT17	1 DEC17	295.500	293.750		437.50DR
04OCT17	1 DEC17	295.200	293.750		362.50DR
04OCT17	1 DEC17	295.200	293.600		400.00DR
04OCT17	1 DEC17	295.800	293.600		550.00DR
04OCT17	1 DEC17	295.800	293.700		525.00DR
04OCT17	1 DEC17	296.150	293.700		612.50DR
04OCT17	1 DEC17	296.150	293.800		587.50DR
04OCT17	1 DEC17	296.200	293.800		600.00DR
04OCT17	1 DEC17	296.200	293.850		587.50DR
04OCT17	2 DEC17	296.550	293.850		1,350.00DR
04OCT17	2 DEC17	296.550	294.300		1,125.00DR
04OCT17	2 DEC17	296.550	294.600		975.00DR
04OCT17	2 DEC17	296.650	294.600		1,025.00DR
04OCT17	4 DEC17	296.650	294.650		2,000.00DR
04OCT17	1 DEC17	296.650	294.700		487.50DR
04OCT17	1 DEC17	296.850	294.700		537.50DR
04OCT17	1 DEC17	296.850	294.650		550.00DR
04OCT17	1 DEC17	296.600	294.700		475.00DR
04OCT17	1 DEC17	296.850	294.650		550.00DR
04OCT17	4 DEC17	296.550	294.600		1,950.00DR
04OCT17	1 DEC17	296.550	294.500		512.50DR
04OCT17	1 DEC17	295.950	294.500		362.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC17	295.950	294.350		400.00DR
04OCT17	1 DEC17	295.950	294.350		400.00DR
04OCT17	1 DEC17	295.950	294.150		450.00DR
04OCT17	1 DEC17	296.000	294.150		462.50DR
04OCT17	1 DEC17	295.950	294.150		450.00DR
04OCT17	1 DEC17	295.950	294.150		450.00DR
04OCT17	1 DEC17	296.000	294.150		462.50DR
04OCT17	4 DEC17	296.350	294.200		2,150.00DR
04OCT17	4 DEC17	296.350	294.200		2,150.00DR
04OCT17	1 DEC17	296.150	294.200		487.50DR
04OCT17	2 DEC17	296.150	294.200		975.00DR
04OCT17	11 DEC17	296.350	294.200		5,912.50DR
04OCT17	2 DEC17	296.350	294.200		1,075.00DR
04OCT17	2 DEC17	296.350	294.200		1,075.00DR
04OCT17	1 DEC17	296.350	294.200		537.50DR
04OCT17	1 DEC17	296.400	294.200		550.00DR
04OCT17	1 DEC17	296.400	294.200		550.00DR
04OCT17	2 DEC17	296.400	294.350		1,025.00DR
04OCT17	1 DEC17	296.400	294.350		512.50DR
04OCT17	1 DEC17	296.400	294.350		512.50DR
04OCT17	2 DEC17	296.350	294.250		1,050.00DR
04OCT17	1 DEC17	296.300	294.250		512.50DR
04OCT17	2 DEC17	296.350	294.250		1,050.00DR
04OCT17	2 DEC17	296.300	294.250		1,025.00DR
04OCT17	6 DEC17	296.250	294.000		3,375.00DR
04OCT17	2 DEC17	296.250	293.750		1,250.00DR
04OCT17	2 DEC17	296.250	293.800		1,225.00DR
04OCT17	2 DEC17	296.250	293.800		1,225.00DR
04OCT17	1 DEC17	296.250	293.750		625.00DR
04OCT17	2 DEC17	296.250	293.800		1,225.00DR
04OCT17	2 DEC17	296.250	293.700		1,275.00DR
04OCT17	2 DEC17	296.250	293.700		1,275.00DR
04OCT17	2 DEC17	296.250	293.550		1,350.00DR
04OCT17	1 DEC17	296.250	293.600		662.50DR
04OCT17	4 DEC17	296.250	292.450		3,800.00DR
04OCT17	2 DEC17	296.250	292.500		1,875.00DR
04OCT17	2 DEC17	296.250	292.650		1,800.00DR
04OCT17	4 DEC17	296.100	292.650		3,450.00DR
04OCT17	3 DEC17	296.100	292.650		2,587.50DR
04OCT17	2 DEC17	296.050	292.600		1,725.00DR
04OCT17	2 DEC17	296.000	292.600		1,700.00DR
04OCT17	1 DEC17	296.000	292.700		825.00DR
04OCT17	1 DEC17	296.000	292.700		825.00DR
04OCT17	3 DEC17	296.000	292.600		2,550.00DR
04OCT17	1 DEC17	296.000	292.500		875.00DR
04OCT17	1 DEC17	295.900	292.500		850.00DR
04OCT17	2 DEC17	296.000	292.600		1,700.00DR
04OCT17	2 DEC17	296.100	292.650		1,725.00DR
04OCT17	2 DEC17	296.100	292.800		1,650.00DR
04OCT17	2 DEC17	296.050	292.800		1,625.00DR
04OCT17	1 DEC17	296.100	292.650		862.50DR
04OCT17	1 DEC17	296.050	292.650		850.00DR
04OCT17	1 DEC17	296.150	292.650		875.00DR
04OCT17	2 DEC17	296.150	292.650		1,750.00DR
04OCT17	1 DEC17	296.150	292.650		875.00DR
04OCT17	4 DEC17	296.100	292.650		3,450.00DR
04OCT17	1 DEC17	296.100	292.650		862.50DR
04OCT17	2 DEC17	296.100	292.650		1,725.00DR
04OCT17	1 DEC17	296.100	292.650		862.50DR
04OCT17	1 DEC17	296.050	292.650		850.00DR
04OCT17	2 DEC17	296.050	296.050		0.00
04OCT17	2 DEC17	296.000	296.000		0.00
04OCT17	2 DEC17	296.050	296.050		0.00
04OCT17	1 DEC17	296.050	296.000		12.50DR
04OCT17	2 DEC17	296.050	295.900		75.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

4th October 2017

COB GLOBAL

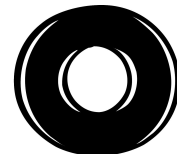
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	2 DEC17	296.050	295.950		50.00DR
04OCT17	4 DEC17	296.000	296.200		200.00CR
04OCT17	1 DEC17	296.000	296.150		37.50CR
04OCT17	3 DEC17	295.950	296.150		150.00CR
04OCT17	4 DEC17	295.950	296.050		100.00CR
04OCT17	2 DEC17	295.950	296.450		250.00CR
04OCT17	1 DEC17	295.950	296.500		137.50CR
04OCT17	2 DEC17	295.950	296.400		225.00CR
04OCT17	2 DEC17	295.950	296.450		250.00CR
04OCT17	1 DEC17	295.950	296.700		187.50CR
04OCT17	1 DEC17	296.000	296.700		175.00CR
04OCT17	2 DEC17	296.000	296.750		375.00CR
04OCT17	3 DEC17	296.000	296.600		450.00CR
04OCT17	2 DEC17	295.950	296.400		225.00CR
04OCT17	2 DEC17	295.750	296.400		325.00CR
04OCT17	2 DEC17	295.750	296.300		275.00CR
04OCT17	1 DEC17	295.750	296.350		150.00CR
04OCT17	2 DEC17	295.800	296.350		275.00CR
04OCT17	2 DEC17	295.900	296.350		225.00CR
04OCT17	2 DEC17	295.900	296.550		325.00CR
04OCT17	2 DEC17	295.850	296.650		400.00CR
04OCT17	3 DEC17	295.800	296.150		262.50CR
04OCT17	4 DEC17	295.750	296.150		400.00CR
04OCT17	1 DEC17	295.750	296.550		200.00CR
04OCT17	1 DEC17	295.800	296.550		187.50CR
04OCT17	1 DEC17	295.800	298.900		775.00CR
04OCT17	4 DEC17	295.750	298.900		3,150.00CR
04OCT17	2 DEC17	295.750	298.700		1,475.00CR
04OCT17	1 DEC17	295.800	298.600		700.00CR
04OCT17	1 DEC17	295.800	298.600		700.00CR
04OCT17	2 DEC17	295.800	298.750		1,475.00CR
04OCT17	2 DEC17	295.750	298.700		1,475.00CR
04OCT17	2 DEC17	295.800	298.800		1,500.00CR
04OCT17	1 DEC17	295.750	298.850		775.00CR
TOTALS					
BROUGHT FORWARD BALANCE					1,149,078.28CR
SETTLEMENTS					
CMX Copper Futures					90,375.00DR
COMMISSIONS					1,201.54DR
CARRIED FORWARD BALANCE					1,057,501.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPAB097

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,360,489.09CR
	SETTLEMENTS		
	CMX Copper Futures		137,162.50DR
	LME Copper Grade A 25 tonnes. This Futures		500.00DR
	COMMISSIONS		1,668.06DR
	CARRIED FORWARD BALANCE		6,221,158.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0107B

4th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 040CT17	5822.12	5877.50		1,384.50CR
10JUL17	1 040CT17	5822.12	5877.50		1,384.50CR
10JUL17	1 040CT17	5822.12	5876.00		1,347.00CR
10JUL17	1 040CT17	5822.12	5876.50		1,359.50CR
10JUL17	1 040CT17	5822.12	5876.50		1,359.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				108,780.93DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				6,835.00CR
	CARRIED FORWARD BALANCE				101,945.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107 ARBS
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SAPAB107

4th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		108,780.93DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		6,835.00CR
	CARRIED FORWARD BALANCE		101,945.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118B

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	1 040CT17	5882.50	6446.25		14,093.75CR
020CT17	1 040CT17	5881.50	6446.25		14,118.75CR
020CT17	1 040CT17	5885.00	6446.25		14,031.25CR
020CT17	1 040CT17	5885.00	6446.25		14,031.25CR
020CT17	1 040CT17	5884.00	6446.25		14,056.25CR
020CT17	1 040CT17	5892.00	6446.25		13,856.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				530,042.70DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				84,187.50CR
	CARRIED FORWARD BALANCE				445,855.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118E

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5902.00	5887.50		362.50DR
04JUL17	1 04OCT17	5898.00	5888.50		237.50DR
04JUL17	1 04OCT17	5897.00	5885.50		287.50DR
04JUL17	1 04OCT17	5895.00	5885.50		237.50DR
04JUL17	1 04OCT17	5893.00	5889.50		87.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,914.01CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,212.50DR
	CARRIED FORWARD BALANCE				94,701.51CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118I

4th October 2017

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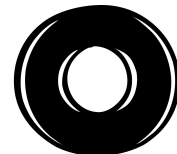
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	1 040CT17	5881.50	6446.25		14,118.75CR
020CT17	1 040CT17	5882.50	6446.25		14,093.75CR
020CT17	1 040CT17	5881.50	6446.25		14,118.75CR
020CT17	1 040CT17	5880.50	6446.25		14,143.75CR
020CT17	1 040CT17	5885.00	6446.25		14,031.25CR
020CT17	1 040CT17	5891.50	6446.25		13,868.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				393,604.46DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				84,375.00CR
	CARRIED FORWARD BALANCE				309,229.46DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
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SAPAB118

4th Oct 2017

COB Global

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		101,608.79CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		167,350.00CR
	CARRIED FORWARD BALANCE		268,958.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
04OCT17	B	5	04JAN18	TTR	EL	6522.50		23.75DR	
04OCT17	B	1	04JAN18	TTR	EL	6523.00		4.75DR	
04OCT17	B	2	04JAN18	TTR	EL	6527.50		9.50DR	
04OCT17	B	1	04JAN18	TTR	EL	6528.00		4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6511.00	4.75DR	
04OCT17	S	3	04JAN18	TTR	EL		6504.00	14.25DR	
04OCT17	S	1	04JAN18	TTR	EL		6503.50	4.75DR	
04OCT17	S	3	04JAN18	TTR	EL		6496.00	14.25DR	
04OCT17	S	2	04JAN18	TTR	EL		6497.50	9.50DR	
04OCT17	S	6	04JAN18	TTR	EL		6501.00	28.50DR	
04OCT17	S	7	04JAN18	TTR	EL		6495.00	33.25DR	
04OCT17	S	1	04JAN18	TTR	EL		6499.50	4.75DR	
04OCT17	S	3	04JAN18	TTR	EL		6508.50	14.25DR	
04OCT17	S	5	04JAN18	TTR	EL		6506.50	23.75DR	
04OCT17	S	2	04JAN18	TTR	EL		6512.00	9.50DR	
04OCT17	S	3	04JAN18	TTR	EL		6511.50	14.25DR	
04OCT17	S	3	04JAN18	TTR	EL		6513.00	14.25DR	
04OCT17	S	7	04JAN18	TTR	EL		6510.50	33.25DR	
04OCT17	S	1	04JAN18	TTR	EL		6509.50	4.75DR	
04OCT17	S	2	04JAN18	TTR	EL		6507.50	9.50DR	
04OCT17	S	3	04JAN18	TTR	EL		6496.50	14.25DR	
04OCT17	S	11	04JAN18	TTR	EL		6502.00	52.25DR	
04OCT17	S	8	04JAN18	TTR	EL		6503.00	38.00DR	
04OCT17	S	4	04JAN18	TTR	EL		6505.00	19.00DR	
04OCT17	S	1	04JAN18	TTR	EL		6506.00	4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6528.50	4.75DR	
04OCT17	S	5	04JAN18	TTR	EL		6527.50	23.75DR	
04OCT17	S	3	04JAN18	TTR	EL		6529.00	14.25DR	
04OCT17	S	1	04JAN18	TTR	EL		6532.00	4.75DR	
04OCT17	S	1	04JAN18	DMA	EL		6505.00	4.75DR	
04OCT17	S	7	04JAN18	DMA	EL		6504.00	33.25DR	
04OCT17	S	1	04JAN18	DMA	EL		6506.00	4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6512.50	4.75DR	
04OCT17	B	1	04JAN18	TTR	EL	6523.50		4.75DR	
04OCT17	B	4	04JAN18	TTR	EL	6526.00		19.00DR	
04OCT17	B	1	04JAN18	TTR	EL	6525.00		4.75DR	
04OCT17	B	3	04JAN18	TTR	EL	6519.50		14.25DR	
04OCT17	B	1	04JAN18	TTR	EL	6521.00		4.75DR	
04OCT17	B	1	04JAN18	TTR	EL	6516.00		4.75DR	
04OCT17	B	1	04JAN18	TTR	EL	6515.50		4.75DR	
04OCT17	B	1	04JAN18	TTR	EL	6514.50		4.75DR	
04OCT17	B	1	04JAN18	DMA	EL	6525.00		4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6521.50	4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6520.50	4.75DR	
04OCT17	S	2	04JAN18	TTR	EL		6520.00	9.50DR	
04OCT17	S	2	04JAN18	TTR	EL		6522.50	9.50DR	
04OCT17	S	5	04JAN18	TTR	EL		6522.00	23.75DR	
04OCT17	S	2	04JAN18	TTR	EL		6514.50	9.50DR	
04OCT17	S	1	04JAN18	TTR	EL		6514.00	4.75DR	
04OCT17	S	3	04JAN18	TTR	EL		6513.50	14.25DR	
04OCT17	S	3	04JAN18	TTR	EL		6515.50	14.25DR	
04OCT17	S	1	04JAN18	TTR	EL		6516.00	4.75DR	
04OCT17	S	1	04JAN18	TTR	EL		6518.00	4.75DR	
04OCT17	S	7	04JAN18	TTR	EL		6517.00	33.25DR	
04OCT17	S	7	04JAN18	TTR	EL		6515.00	33.25DR	
04OCT17	S	7	04JAN18	TTR	EL		6510.00	33.25DR	
04OCT17	S	1	04JAN18	DMA	EL		6520.00	4.75DR	
04OCT17	S	2	04JAN18	DMA	EL		6513.00	9.50DR	
	CMX Copper 25,000 CMX								
04OCT17	S	4	DEC17	TTR	GX		296.750	9.60DR	
04OCT17	S	3	DEC17	TTR	GX		296.700	7.20DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	B	12	DEC17	TTR	GX	295.150		28.80DR	
04OCT17	B	9	DEC17	TTR	GX	295.400		21.60DR	
04OCT17	B	21	DEC17	TTR	GX	295.450		50.40DR	
04OCT17	B	3	DEC17	TTR	GX	295.300		7.20DR	
04OCT17	B	7	DEC17	TTR	GX	295.200		16.80DR	
04OCT17	B	3	DEC17	TTR	GX	295.350		7.20DR	
04OCT17	B	15	DEC17	TTR	GX	295.600		36.00DR	
04OCT17	B	15	DEC17	TTR	GX	295.850		36.00DR	
04OCT17	B	3	DEC17	TTR	GX	295.800		7.20DR	
04OCT17	B	9	DEC17	TTR	GX	295.250		21.60DR	
04OCT17	B	21	DEC17	TTR	GX	295.500		50.40DR	
04OCT17	B	22	DEC17	TTR	GX	295.550		52.80DR	
04OCT17	B	11	DEC17	TTR	GX	295.700		26.40DR	
04OCT17	B	10	DEC17	TTR	GX	295.650		24.00DR	
04OCT17	B	6	DEC17	TTR	GX	296.600		14.40DR	
04OCT17	B	7	DEC17	TTR	GX	296.650		16.80DR	
04OCT17	B	4	DEC17	TTR	GX	296.700		9.60DR	
04OCT17	B	2	DEC17	TTR	GX	296.750		4.80DR	
04OCT17	B	3	DEC17	TTR	GX	296.850		7.20DR	
04OCT17	B	13	DEC17	TTR	GX	295.900		31.20DR	
04OCT17	S	2	DEC17	TTR	GX		296.650	4.80DR	
04OCT17	S	8	DEC17	TTR	GX		296.600	19.20DR	
04OCT17	S	2	DEC17	TTR	GX		296.550	4.80DR	
04OCT17	S	3	DEC17	TTR	GX		296.450	7.20DR	
04OCT17	S	4	DEC17	TTR	GX		296.300	9.60DR	
04OCT17	S	2	DEC17	TTR	GX		296.350	4.80DR	
04OCT17	S	14	DEC17	TTR	GX		296.500	33.60DR	
04OCT17	S	2	DEC17	TTR	GX		296.050	4.80DR	
04OCT17	S	4	DEC17	TTR	GX		296.150	9.60DR	
04OCT17	S	2	DEC17	TTR	GX		296.100	4.80DR	
04OCT17	S	2	DEC17	TTR	GX		295.950	4.80DR	
04OCT17	B	1	DEC17	TTR	GX	296.500		2.40DR	
04OCT17	B	8	DEC17	TTR	GX	296.300		19.20DR	
04OCT17	B	16	DEC17	TTR	GX	296.350		38.40DR	
04OCT17	B	3	DEC17	TTR	GX	296.400		7.20DR	
04OCT17	B	5	DEC17	TTR	GX	296.050		12.00DR	
04OCT17	B	3	DEC17	TTR	GX	296.150		7.20DR	
04OCT17	B	2	DEC17	TTR	GX	296.200		4.80DR	
04OCT17	B	20	DEC17	TTR	GX	296.100		48.00DR	
04OCT17	B	26	DEC17	TTR	GX	296.000		62.40DR	
04OCT17	B	17	DEC17	TTR	GX	295.950		40.80DR	
04OCT17	B	19	DEC17	TTR	GX	295.750		45.60DR	
Commission									1,671.70DR
SETTLEMENTS									
CMX Copper 25,000 CMX									
04OCT17		2	DEC17			295.950	296.500		275.00CR
04OCT17		6	DEC17			295.850	296.500		975.00CR
04OCT17		2	DEC17			295.900	296.450		275.00CR
04OCT17		1	DEC17			295.900	296.500		150.00CR
04OCT17		1	DEC17			295.850	296.500		162.50CR
04OCT17		1	DEC17			295.900	296.500		150.00CR
04OCT17		2	DEC17			295.850	296.700		425.00CR
04OCT17		2	DEC17			296.100	296.750		325.00CR
04OCT17		1	DEC17			295.900	296.750		212.50CR
04OCT17		1	DEC17			295.900	296.600		175.00CR
04OCT17		1	DEC17			295.700	296.600		225.00CR
04OCT17		1	DEC17			295.700	296.750		262.50CR
04OCT17		1	DEC17			295.700	296.700		250.00CR
04OCT17		2	DEC17			295.700	296.500		400.00CR
04OCT17		2	DEC17			295.700	296.600		450.00CR
04OCT17		1	DEC17			295.700	296.650		237.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0127A

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC17	295.700	296.650		237.50CR
04OCT17	2 DEC17	295.500	296.600		550.00CR
04OCT17	2 DEC17	295.500	296.600		550.00CR
04OCT17	2 DEC17	295.500	296.550		525.00CR
04OCT17	1 DEC17	295.500	296.450		237.50CR
04OCT17	2 DEC17	295.500	296.300		400.00CR
04OCT17	1 DEC17	295.500	296.300		200.00CR
04OCT17	1 DEC17	295.500	296.300		200.00CR
04OCT17	1 DEC17	295.550	296.350		200.00CR
04OCT17	1 DEC17	295.500	296.350		212.50CR
04OCT17	1 DEC17	295.550	296.500		237.50CR
04OCT17	1 DEC17	295.600	296.050		112.50CR
04OCT17	1 DEC17	295.550	296.050		125.00CR
04OCT17	1 DEC17	295.600	296.150		137.50CR
04OCT17	1 DEC17	295.550	296.150		150.00CR
04OCT17	1 DEC17	295.550	296.150		150.00CR
04OCT17	1 DEC17	295.600	296.150		137.50CR
04OCT17	1 DEC17	295.600	296.100		125.00CR
04OCT17	1 DEC17	295.550	296.100		137.50CR
04OCT17	2 DEC17	295.600	295.950		175.00CR
04OCT17	2 DEC17	295.600	293.550		1,025.00DR
04OCT17	1 DEC17	295.550	293.550		500.00DR
04OCT17	3 DEC17	295.550	293.550		1,500.00DR
04OCT17	3 DEC17	295.550	293.500		1,537.50DR
04OCT17	2 DEC17	295.600	293.500		1,050.00DR
04OCT17	2 DEC17	295.650	293.500		1,075.00DR
04OCT17	1 DEC17	295.650	293.600		512.50DR
04OCT17	1 DEC17	295.600	293.600		500.00DR
04OCT17	2 DEC17	295.600	293.650		975.00DR
04OCT17	1 DEC17	295.600	293.700		475.00DR
04OCT17	1 DEC17	295.550	293.700		462.50DR
04OCT17	2 DEC17	295.550	293.800		875.00DR
04OCT17	1 DEC17	295.550	293.650		475.00DR
04OCT17	1 DEC17	295.500	293.500		500.00DR
04OCT17	1 DEC17	295.500	293.500		500.00DR
04OCT17	1 DEC17	295.550	293.500		512.50DR
04OCT17	1 DEC17	295.550	293.500		512.50DR
04OCT17	2 DEC17	295.500	293.550		975.00DR
04OCT17	1 DEC17	295.550	293.550		500.00DR
04OCT17	1 DEC17	295.400	293.550		462.50DR
04OCT17	3 DEC17	295.400	293.600		1,350.00DR
04OCT17	1 DEC17	295.400	293.650		437.50DR
04OCT17	1 DEC17	295.400	293.650		437.50DR
04OCT17	1 DEC17	295.400	293.700		425.00DR
04OCT17	2 DEC17	295.450	293.500		975.00DR
04OCT17	2 DEC17	295.150	293.500		825.00DR
04OCT17	2 DEC17	295.150	293.500		825.00DR
04OCT17	2 DEC17	295.150	293.950		600.00DR
04OCT17	2 DEC17	295.150	293.900		625.00DR
04OCT17	1 DEC17	295.150	293.950		300.00DR
04OCT17	2 DEC17	295.150	293.800		675.00DR
04OCT17	1 DEC17	295.150	293.700		362.50DR
04OCT17	1 DEC17	295.200	293.700		375.00DR
04OCT17	1 DEC17	295.200	293.600		400.00DR
04OCT17	3 DEC17	295.250	293.600		1,237.50DR
04OCT17	2 DEC17	295.250	293.600		825.00DR
04OCT17	3 DEC17	295.200	293.550		1,237.50DR
04OCT17	1 DEC17	295.300	293.550		437.50DR
04OCT17	1 DEC17	295.300	293.600		425.00DR
04OCT17	2 DEC17	295.450	293.600		925.00DR
04OCT17	2 DEC17	295.400	293.600		900.00DR
04OCT17	2 DEC17	295.450	293.500		975.00DR
04OCT17	2 DEC17	295.500	293.500		1,000.00DR
04OCT17	1 DEC17	295.450	293.550		475.00DR
04OCT17	2 DEC17	295.450	293.350		1,050.00DR
04OCT17	2 DEC17	295.450	293.300		1,075.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	2 DEC17	295.450	293.600		925.00DR
04OCT17	4 DEC17	295.450	293.550		1,900.00DR
04OCT17	1 DEC17	295.450	293.600		462.50DR
04OCT17	2 DEC17	295.450	294.400		525.00DR
04OCT17	1 DEC17	295.450	294.450		250.00DR
04OCT17	1 DEC17	295.300	294.450		212.50DR
04OCT17	2 DEC17	295.200	294.600		300.00DR
04OCT17	2 DEC17	295.250	294.600		325.00DR
04OCT17	1 DEC17	295.350	294.650		175.00DR
04OCT17	2 DEC17	295.350	294.650		350.00DR
04OCT17	1 DEC17	295.750	294.750		250.00DR
04OCT17	1 DEC17	295.750	294.750		250.00DR
04OCT17	1 DEC17	295.750	294.700		262.50DR
04OCT17	1 DEC17	295.750	294.700		262.50DR
04OCT17	2 DEC17	295.750	294.650		550.00DR
04OCT17	2 DEC17	295.800	294.500		650.00DR
04OCT17	1 DEC17	295.650	294.500		287.50DR
04OCT17	1 DEC17	295.650	294.700		237.50DR
04OCT17	1 DEC17	295.600	294.700		225.00DR
04OCT17	2 DEC17	295.850	294.800		525.00DR
04OCT17	2 DEC17	295.900	294.850		525.00DR
04OCT17	2 DEC17	295.950	294.800		575.00DR
04OCT17	2 DEC17	295.900	294.800		550.00DR
04OCT17	3 DEC17	296.000	294.850		862.50DR
04OCT17	1 DEC17	296.000	293.650		587.50DR
04OCT17	2 DEC17	295.900	293.650		1,125.00DR
04OCT17	1 DEC17	295.950	293.650		575.00DR
04OCT17	1 DEC17	295.950	293.650		575.00DR
04OCT17	2 DEC17	296.000	293.650		1,175.00DR
04OCT17	1 DEC17	296.000	293.650		587.50DR
04OCT17	2 DEC17	295.950	293.650		1,150.00DR
04OCT17	1 DEC17	295.850	293.700		537.50DR
04OCT17	2 DEC17	295.850	292.300		1,775.00DR
04OCT17	1 DEC17	295.850	292.250		900.00DR
04OCT17	1 DEC17	295.800	292.250		887.50DR
04OCT17	2 DEC17	295.750	292.200		1,775.00DR
04OCT17	2 DEC17	295.250	292.250		1,500.00DR
04OCT17	3 DEC17	295.500	292.450		2,287.50DR
04OCT17	1 DEC17	295.550	292.450		775.00DR
04OCT17	1 DEC17	295.650	292.450		800.00DR
04OCT17	1 DEC17	295.550	292.450		775.00DR
04OCT17	1 DEC17	295.650	292.450		800.00DR
04OCT17	1 DEC17	295.700	292.450		812.50DR
04OCT17	1 DEC17	295.700	292.600		775.00DR
04OCT17	1 DEC17	295.650	292.600		762.50DR
04OCT17	1 DEC17	295.650	292.650		750.00DR
04OCT17	1 DEC17	295.650	292.650		750.00DR
04OCT17	1 DEC17	296.600	292.650		987.50DR
04OCT17	2 DEC17	296.600	292.700		1,950.00DR
04OCT17	1 DEC17	296.600	292.750		962.50DR
04OCT17	2 DEC17	296.600	292.050		2,275.00DR
04OCT17	2 DEC17	296.650	292.500		2,075.00DR
04OCT17	2 DEC17	296.650	292.550		2,050.00DR
04OCT17	1 DEC17	296.650	292.550		1,025.00DR
04OCT17	1 DEC17	296.700	292.550		1,037.50DR
04OCT17	1 DEC17	296.700	292.650		1,012.50DR
04OCT17	2 DEC17	296.650	292.650		2,000.00DR
04OCT17	1 DEC17	296.700	292.650		1,012.50DR
04OCT17	1 DEC17	296.700	292.600		1,025.00DR
04OCT17	1 DEC17	296.850	292.600		1,062.50DR
04OCT17	2 DEC17	296.750	292.550		2,100.00DR
04OCT17	2 DEC17	296.850	292.450		2,200.00DR
04OCT17	1 DEC17	295.900	292.450		862.50DR
04OCT17	1 DEC17	295.950	292.450		875.00DR
04OCT17	1 DEC17	296.350	292.600		937.50DR
04OCT17	1 DEC17	296.500	292.600		975.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC17	296.350	292.600		937.50DR
04OCT17	1 DEC17	296.350	292.750		900.00DR
04OCT17	2 DEC17	296.300	292.750		1,775.00DR
04OCT17	2 DEC17	296.300	292.650		1,825.00DR
04OCT17	4 DEC17	296.300	292.650		3,650.00DR
04OCT17	2 DEC17	296.350	292.650		1,850.00DR
04OCT17	2 DEC17	296.350	292.600		1,875.00DR
04OCT17	1 DEC17	296.350	292.650		925.00DR
04OCT17	2 DEC17	296.350	292.700		1,825.00DR
04OCT17	1 DEC17	296.350	292.650		925.00DR
04OCT17	2 DEC17	296.350	292.700		1,825.00DR
04OCT17	1 DEC17	296.350	292.650		925.00DR
04OCT17	2 DEC17	296.350	292.750		1,800.00DR
04OCT17	3 DEC17	296.400	292.800		2,700.00DR
04OCT17	2 DEC17	295.950	292.800		1,575.00DR
04OCT17	2 DEC17	295.950	292.550		1,700.00DR
04OCT17	3 DEC17	296.050	292.550		2,625.00DR
04OCT17	1 DEC17	296.100	292.550		887.50DR
04OCT17	2 DEC17	296.050	292.450		1,800.00DR
04OCT17	1 DEC17	296.000	292.450		887.50DR
04OCT17	2 DEC17	296.000	292.450		1,775.00DR
04OCT17	1 DEC17	296.000	292.450		887.50DR
04OCT17	3 DEC17	296.000	292.400		2,700.00DR
04OCT17	2 DEC17	296.000	292.400		1,800.00DR
04OCT17	3 DEC17	295.950	292.450		2,625.00DR
04OCT17	2 DEC17	296.100	292.650		1,725.00DR
04OCT17	2 DEC17	296.100	292.700		1,700.00DR
04OCT17	1 DEC17	296.150	292.700		862.50DR
04OCT17	1 DEC17	296.100	292.700		850.00DR
04OCT17	1 DEC17	296.150	292.700		862.50DR
04OCT17	1 DEC17	296.100	292.700		850.00DR
04OCT17	1 DEC17	296.150	292.700		862.50DR
04OCT17	1 DEC17	296.100	292.700		850.00DR
04OCT17	2 DEC17	296.100	292.700		1,700.00DR
04OCT17	1 DEC17	296.100	292.600		875.00DR
04OCT17	2 DEC17	296.200	292.600		1,800.00DR
04OCT17	2 DEC17	296.100	292.600		1,750.00DR
04OCT17	2 DEC17	296.100	292.500		1,800.00DR
04OCT17	1 DEC17	296.100	292.550		887.50DR
04OCT17	1 DEC17	296.100	292.600		875.00DR
04OCT17	1 DEC17	296.100	292.600		875.00DR
04OCT17	2 DEC17	296.000	292.650		1,675.00DR
04OCT17	1 DEC17	296.000	292.800		800.00DR
04OCT17	1 DEC17	296.000	292.800		800.00DR
04OCT17	2 DEC17	296.000	292.750		1,625.00DR
04OCT17	2 DEC17	296.000	292.750		1,625.00DR
04OCT17	2 DEC17	296.000	292.700		1,650.00DR
04OCT17	1 DEC17	295.950	292.700		812.50DR
04OCT17	4 DEC17	295.750	293.250		2,500.00DR
04OCT17	5 DEC17	295.750	293.200		3,187.50DR
04OCT17	2 DEC17	295.750	293.350		1,200.00DR
TOTALS					
BROUGHT FORWARD BALANCE					1,325,398.20CR
SETTLEMENTS					
CMX Copper Futures					162,650.00DR
COMMISSIONS					1,671.70DR
CARRIED FORWARD BALANCE					1,161,076.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 2 04JAN18 TTR EL		6511.50	9.50DR	
04OCT17	S 5 04JAN18 TTR EL		6510.50	23.75DR	
04OCT17	S 1 04JAN18 TTR EL		6508.50	4.75DR	
04OCT17	S 2 04JAN18 TTR EL		6506.00	9.50DR	
04OCT17	S 1 04JAN18 TTR EL		6507.50	4.75DR	
04OCT17	S 2 04JAN18 TTR EL		6519.50	9.50DR	
04OCT17	S 5 04JAN18 DMA EL		6504.00	23.75DR	
04OCT17	S 1 04JAN18 TTR EL		6512.50	4.75DR	
04OCT17	B 2 04JAN18 TTR EL	6522.50		9.50DR	
04OCT17	S 3 04JAN18 TTR EL		6521.00	14.25DR	
04OCT17	S 1 04JAN18 TTR EL		6522.50	4.75DR	
04OCT17	S 3 04JAN18 TTR EL		6522.00	14.25DR	
04OCT17	S 2 04JAN18 TTR EL		6513.50	9.50DR	
04OCT17	S 3 04JAN18 TTR EL		6515.50	14.25DR	
04OCT17	S 1 04JAN18 TTR EL		6514.50	4.75DR	
04OCT17	S 5 04JAN18 TTR EL		6510.00	23.75DR	
	CMX Copper 25,000 CMX				
04OCT17	B 4 DEC17 TTR GX	295.850		9.60DR	
04OCT17	B 11 DEC17 TTR GX	295.900		26.40DR	
04OCT17	B 5 DEC17 TTR GX	295.650		12.00DR	
04OCT17	B 11 DEC17 TTR GX	295.550		26.40DR	
04OCT17	B 2 DEC17 TTR GX	296.250		4.80DR	
04OCT17	B 3 DEC17 TTR GX	296.400		7.20DR	
04OCT17	B 2 DEC17 TTR GX	295.950		4.80DR	
04OCT17	S 4 DEC17 TTR GX		296.450	9.60DR	
04OCT17	B 4 DEC17 TTR GX	296.300		9.60DR	
04OCT17	B 11 DEC17 TTR GX	296.350		26.40DR	
04OCT17	B 8 DEC17 TTR GX	296.050		19.20DR	
04OCT17	B 5 DEC17 TTR GX	296.000		12.00DR	
04OCT17	B 10 DEC17 TTR GX	295.750		24.00DR	
04OCT17	B 5 DEC17 TTR GX	295.800		12.00DR	
	Commission				389.25DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	4 DEC17	295.850	296.450		600.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				43,538.55CR
	SETTLEMENTS				
	CMX Copper Futures				600.00CR
	COMMISSIONS				389.25DR
	CARRIED FORWARD BALANCE				43,749.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0127F

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 1 04JAN18 TTR EL		6518.00	4.75DR	
04OCT17	S 3 04JAN18 TTR EL		6513.50	14.25DR	
04OCT17	S 1 04JAN18 TTR EL		6512.50	4.75DR	
	CMX Copper 25,000 CMX				
04OCT17	B 2 DEC17 TTR GX	296.150		4.80DR	
04OCT17	B 2 DEC17 TTR GX	295.900		4.80DR	
04OCT17	B 7 DEC17 TTR GX	295.950		16.80DR	
	Commission				50.15DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	2 DEC17	296.150	307.250		5,550.00CR
04OCT17	2 DEC17	295.900	304.950		4,525.00CR
04OCT17	2 DEC17	295.950	304.800		4,425.00CR
04OCT17	2 DEC17	295.950	304.900		4,475.00CR
04OCT17	3 DEC17	295.950	304.400		6,337.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				262,949.20CR
	SETTLEMENTS				
	CMX Copper Futures				25,312.50CR
	COMMISSIONS				50.15DR
	CARRIED FORWARD BALANCE				288,211.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB127

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,631,885.95CR
	SETTLEMENTS		
	CMX Copper Futures		136,737.50DR
	COMMISSIONS		2,111.10DR
	CARRIED FORWARD BALANCE		1,493,037.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011I
4th October 2017
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	3 Month Euro (Euribor) 2,500 IFL				
04OCT17	B 50 SEP18 TTR EL	100.2600			
04OCT17	S 50 DEC18 TTR EL		100.2150		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Euro-Bund 1,000 ERX				
040CT17	B 1 DEC17 TTR EL	161.240			
040CT17	B 1 DEC17 TTR EL	161.230			
	<u>SETTLEMENTS</u>				
	Euro-Bund 1,000 ERX				
040CT17	1 DEC17	161.240	160.980		260.00DR
040CT17	1 DEC17	161.230	160.940		290.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				15,283.86CR
	SETTLEMENTS				
	Euro-Bund Futures				550.00DR
	CARRIED FORWARD BALANCE				14,733.86CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
040CT17	S 1 DEC17 TTR II		153.0000	2.10DR	
040CT17	B 1 DEC17 TTR II	152.1000		2.10DR	
040CT17	B 1 DEC17 TTR II	152.1100		2.10DR	
	Commission				6.30DR
	<u>SETTLEMENTS</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
040CT17	1 DEC17	152.1000	153.0000		687.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				133,805.42CR
	SETTLEMENTS				
	CBT 30 Year Us Treasury Bonds Futures				687.50CR
	COMMISSIONS				6.30DR
	CARRIED FORWARD BALANCE				134,486.62CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0011

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		12,618.88CR
	SETTLEMENTS		
	Euro-Bund Futures		550.00DR
	CARRIED FORWARD BALANCE		12,068.88CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,145,097.58CR
	SETTLEMENTS		
	CBT 30 Year Us Treasury Bonds Futures		687.50CR
	COMMISSIONS		6.30DR
	CARRIED FORWARD BALANCE		1,145,778.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
04OCT17	S 2 DEC18 DMA EL		50.44	3.30DR	
04OCT17	B 2 DEC19 DMA EL	49.75		3.30DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	B 1 NOV17 56.00 Call GX	0.03		2.35DR	30.00DR
04OCT17	S 1 NOV17 54.00 Call GX		0.08	2.35DR	80.00CR
04OCT17	B 1 NOV17 55.00 Call GX	0.05		2.35DR	50.00DR
04OCT17	B 1 DEC17 GX	50.41		2.35DR	
04OCT17	B 1 DEC17 GX	50.43		2.35DR	
04OCT17	B 1 DEC17 GX	50.45		2.35DR	
04OCT17	B 1 DEC17 GX	50.22		2.35DR	
04OCT17	B 1 DEC17 GX	50.19		2.35DR	
04OCT17	B 1 DEC17 54.00 Call GX	0.60		2.35DR	600.00DR
04OCT17	S 1 JAN18 GX		50.71	2.35DR	
04OCT17	S 1 JAN18 GX		50.50	2.35DR	
04OCT17	S 1 JAN18 GX		50.48	2.35DR	
04OCT17	B 1 APR18 GX	51.28		2.35DR	
04OCT17	S 1 JUN18 GX		50.90	2.35DR	
04OCT17	S 1 JUN18 GX		50.89	2.35DR	
04OCT17	B 1 JUN18 GX	51.14		2.35DR	
04OCT17	S 1 JUL18 GX		51.07	2.35DR	
04OCT17	B 1 JUL18 GX	50.91		2.35DR	
04OCT17	S 1 AUG18 GX		50.84	2.35DR	
04OCT17	S 1 SEP18 GX		50.98	2.35DR	
04OCT17	S 1 DEC18 GX		50.42	2.35DR	
04OCT17	S 1 DEC18 GX		50.44	2.35DR	
04OCT17	B 1 DEC18 GX	50.44		2.35DR	
04OCT17	S 1 DEC18 GX		50.78	2.35DR	
04OCT17	B 1 DEC18 GX	50.64		2.35DR	
04OCT17	B 1 DEC19 GX	49.74		2.35DR	
04OCT17	B 1 DEC19 GX	50.00		2.35DR	
04OCT17	S 1 DEC19 GX		49.94	2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
04OCT17	B 1 NOV17 GX	2.92000		2.35DR	
04OCT17	S 1 NOV17 GX		2.91500	2.35DR	
	IEU Brent Crude 1,000 IPE				
04OCT17	B 2 DEC18 DMA EL	54.44		3.30DR	
04OCT17	S 2 DEC19 DMA EL		54.02	3.30DR	
	Commission				83.70DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17 54.00 Call	0.38	0.08		0.00
04OCT17	1 DEC17	50.41	49.85		560.00DR
04OCT17	1 DEC17	50.43	49.96		470.00DR
04OCT17	1 DEC17	50.45	49.96		490.00DR
04OCT17	1 DEC17	50.22	50.82		600.00CR
04OCT17	1 DEC17	50.19	50.72		530.00CR
04OCT17	1 DEC17 54.00 Call	0.60	0.95		0.00
04OCT17	1 APR18	51.28	51.21		70.00DR
04OCT17	1 JUN18	51.14	50.90		240.00DR
04OCT17	1 JUL18	50.91	51.07		160.00CR
04OCT17	1 AUG18	52.32	50.84		1,480.00DR
04OCT17	1 SEP18	51.25	50.98		270.00DR
04OCT17	1 DEC18	50.44	50.42		20.00DR
04OCT17	1 DEC18	50.64	50.44		200.00DR
04OCT17	1 DEC18	51.74	50.78		960.00DR
04OCT17	1 DEC19	49.74	49.94		200.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0023C

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 NOV17	2.92000	2.91500		50.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 92,809.26CR OPTION PREMIUMS 600.00DR SETTLEMENTS NYM Henry Hub Natural Gas Futures 50.00DR NYM Light Sweet Crude Oil Futures 3,270.00DR COMMISSIONS 83.70DR CARRIED FORWARD BALANCE 88,805.56CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0023

4th Oct 2017

COB Global

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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		142,046.77CR
	OPTION PREMIUMS		600.00DR
	SETTLEMENTS		
	NYM Henry Hub Natural Gas Futures		50.00DR
	NYM Light Sweet Crude Oil Futures		3,270.00DR
	COMMISSIONS		83.70DR
	CARRIED FORWARD BALANCE		138,043.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 OCT17 6500.0 Put DMA EL Commission		1.0	50.00DR	500.00CR 50.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 10,032.80CR OPTION PREMIUMS 500.00CR COMMISSIONS 50.00DR CARRIED FORWARD BALANCE 10,482.80CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0024B

4th October 2017

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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 OCT17 6500.0 Put DMA EL Commission		1.0	50.00DR	500.00CR 50.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 122,547.00CR OPTION PREMIUMS 500.00CR COMMISSIONS 50.00DR CARRIED FORWARD BALANCE 122,997.00CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0024

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		132,579.80CR
	OPTION PREMIUMS		1,000.00CR
	COMMISSIONS		100.00DR
	CARRIED FORWARD BALANCE		133,479.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025A

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 04OCT17	5888.50	5961.59		1,827.25CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 49,173.61CR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 1,827.25CR CARRIED FORWARD BALANCE 51,000.86CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> LME Zinc 25 tonnes. This is an LME registered client contract				
	B 2 04JAN18 DMA EL Commission	3281.00		11.10DR	11.10DR
19JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract				
	1 04OCT17	5888.50	5961.59		1,827.25CR
	1 04OCT17	5888.50	5961.79		1,832.25CR
	1 04OCT17	5889.50	5961.79		1,807.25CR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				238,920.42CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				5,466.75CR
	COMMISSIONS				11.10DR
	CARRIED FORWARD BALANCE				244,376.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025C

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10AUG17	1 040CT17	5890.00	6408.25		12,956.25CR
10AUG17	1 040CT17	5888.00	6408.25		13,006.25CR
10AUG17	1 040CT17	5890.00	6408.25		12,956.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33,037.41CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				38,918.75CR
	CARRIED FORWARD BALANCE				71,956.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
19JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 04OCT17	5891.50	5961.79		1,757.25CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 201,610.46CR SETTLEMENTS LME Copper Grade A 25 tonnes. This Futures 1,757.25CR CARRIED FORWARD BALANCE 203,367.71CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025J

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	S 4 NOV17 55.00 Call GX		0.03	8.40DR	120.00CR
04OCT17	B 1 NOV17 53.00 Call GX	0.12		2.10DR	120.00DR
04OCT17	B 1 NOV17 54.50 Call GX	0.04		2.10DR	40.00DR
04OCT17	S 1 NOV17 48.00 Put GX		0.27	2.10DR	270.00CR
04OCT17	B 1 NOV17 47.50 Put GX	0.19		2.10DR	190.00DR
	Commission				16.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17 54.50 Call	0.04	0.10		0.00
04OCT17	1 NOV17 53.00 Call	0.12	0.23		0.00
04OCT17	1 NOV17 48.00 Put	0.37	0.27		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,096.40CR
	OPTION PREMIUMS				40.00CR
	COMMISSIONS				16.80DR
	CARRIED FORWARD BALANCE				2,119.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	S 10 NOV17 56.00 Call GX		0.03	22.70DR	300.00CR
04OCT17	B 19 NOV17 58.00 Call GX	0.01		43.13DR	190.00DR
04OCT17	S 4 NOV17 53.00 Call GX		0.11	9.08DR	440.00CR
04OCT17	B 2 NOV17 52.00 Call GX	0.23		4.54DR	460.00DR
	Commission				79.45DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	6 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	4 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.06		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.05		0.00
04OCT17	1 NOV17 58.00 Call	0.01	0.07		0.00
04OCT17	2 NOV17 58.00 Call	0.01	0.07		0.00
04OCT17	1 NOV17 53.00 Call	0.38	0.11		0.00
04OCT17	1 NOV17 53.00 Call	0.56	0.11		0.00
04OCT17	1 NOV17 53.00 Call	0.54	0.11		0.00
04OCT17	1 NOV17 53.00 Call	0.41	0.11		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,889.06CR
	OPTION PREMIUMS				90.00CR
	COMMISSIONS				79.45DR
	CARRIED FORWARD BALANCE				3,899.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0025

4th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		516,623.24CR
	OPTION PREMIUMS		130.00CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		47,970.00CR
	COMMISSIONS		107.35DR
	CARRIED FORWARD BALANCE		564,615.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CNX Nifty (India) Index 2 SIM							
04OCT17	S	5	OCT17	EL		9893.00	7.00DR	
04OCT17	S	5	OCT17	EL		9895.50	7.00DR	
04OCT17	B	3	OCT17	EL	9884.50		4.20DR	
04OCT17	B	7	OCT17	EL	9885.00		9.80DR	
04OCT17	S	10	OCT17	EL		9904.00	14.00DR	
04OCT17	S	10	OCT17	EL		9912.00	14.00DR	
04OCT17	S	10	OCT17	EL		9920.00	14.00DR	
04OCT17	S	10	OCT17	EL		9903.50	14.00DR	
04OCT17	S	1	OCT17	EL		9926.00	1.40DR	
04OCT17	S	2	OCT17	EL		9923.50	2.80DR	
04OCT17	S	1	OCT17	EL		9925.50	1.40DR	
04OCT17	S	6	OCT17	EL		9924.00	8.40DR	
04OCT17	S	4	OCT17	EL		9924.50	5.60DR	
04OCT17	S	5	OCT17	EL		9925.00	7.00DR	
04OCT17	S	1	OCT17	EL		9921.00	1.40DR	
04OCT17	S	1	OCT17	EL		9920.50	1.40DR	
04OCT17	S	3	OCT17	EL		9923.00	4.20DR	
04OCT17	S	1	OCT17	EL		9942.00	1.40DR	
04OCT17	B	30	OCT17	EL	9933.00		42.00DR	
04OCT17	S	2	OCT17	EL		9932.00	2.80DR	
04OCT17	S	3	OCT17	EL		9932.50	4.20DR	
04OCT17	B	20	OCT17	EL	9932.50		28.00DR	
04OCT17	S	4	OCT17	EL		9933.00	5.60DR	
04OCT17	S	1	OCT17	EL		9934.50	1.40DR	
04OCT17	S	4	OCT17	EL		9933.50	5.60DR	
04OCT17	S	11	OCT17	EL		9934.00	15.40DR	
04OCT17	S	2	OCT17	EL		9935.00	2.80DR	
04OCT17	B	4	OCT17	EL	9953.50		5.60DR	
04OCT17	B	6	OCT17	EL	9954.00		8.40DR	
	NYM Light Sweet Crude Oil 1,000 NYM							
04OCT17	B	3	NOV17	TTR	II	50.07	3.66DR	
04OCT17	B	1	NOV17	TTR	II	50.11	1.22DR	
04OCT17	B	2	NOV17	TTR	II	50.08	2.44DR	
04OCT17	B	1	NOV17	TTR	II	50.12	1.22DR	
04OCT17	S	1	NOV17	TTR	II		50.43	1.22DR
04OCT17	S	3	NOV17	TTR	II		50.41	3.66DR
04OCT17	S	1	NOV17	TTR	II		50.42	1.22DR
04OCT17	S	1	NOV17	TTR	II		50.28	1.22DR
04OCT17	B	1	NOV17	TTR	II	50.27	1.22DR	
04OCT17	B	1	NOV17	TTR	II	50.30	1.22DR	
04OCT17	B	1	NOV17	TTR	II	50.53	1.22DR	
04OCT17	S	1	NOV17	TTR	II		50.50	1.22DR
04OCT17	B	1	NOV17	TTR	II	50.48	1.22DR	
04OCT17	S	1	NOV17	TTR	II		50.49	1.22DR
04OCT17	B	1	NOV17	TTR	II	50.51	1.22DR	
04OCT17	S	1	NOV17	TTR	II		50.52	1.22DR
04OCT17	S	2	NOV17	TTR	II		50.54	2.44DR
04OCT17	S	1	NOV17	TTR	II		50.57	1.22DR
04OCT17	S	1	NOV17	TTR	II		50.59	1.22DR
04OCT17	S	1	NOV17	TTR	II		50.62	1.22DR
04OCT17	S	1	NOV17	TTR	II		50.66	1.22DR
04OCT17	S	3	NOV17	TTR	II		50.64	3.66DR
04OCT17	S	1	NOV17	TTR	II		50.61	1.22DR
04OCT17	S	3	NOV17	TTR	II		50.60	3.66DR
04OCT17	S	2	NOV17	TTR	II		50.65	2.44DR
04OCT17	S	1	NOV17	TTR	II		50.29	1.22DR
04OCT17	S	1	NOV17	TTR	II		50.45	1.22DR
04OCT17	S	2	NOV17	TTR	II		50.13	2.44DR
04OCT17	B	1	NOV17	TTR	II	49.89	1.22DR	
04OCT17	B	2	NOV17	TTR	II	49.86	2.44DR	
04OCT17	B	1	NOV17	TTR	II	49.85	1.22DR	
04OCT17	B	1	NOV17	TTR	II	50.20	1.22DR	
04OCT17	B	1	NOV17	TTR	II	50.03	1.22DR	

Group No: SAPG0034

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

4th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	B	3	NOV17	TTR	II	50.06		3.66DR	
04OCT17	B	1	NOV17	TTR	II	50.05		1.22DR	
04OCT17	B	4	NOV17	TTR	II	50.04		4.88DR	
	CMX Gold 100 CMX								
04OCT17	B	1	DEC17	TTR	II	1279.8		1.33DR	
04OCT17	B	3	DEC17	TTR	II	1279.7		3.99DR	
04OCT17	B	2	DEC17	TTR	II	1278.7		2.66DR	
04OCT17	B	5	DEC17	TTR	II	1278.6		6.65DR	
04OCT17	B	1	DEC17	TTR	II	1278.2		1.33DR	
04OCT17	S	1	DEC17	TTR	II		1278.9	1.33DR	
04OCT17	B	1	DEC17	TTR	II	1277.8		1.33DR	
04OCT17	B	1	DEC17	TTR	II	1277.9		1.33DR	
04OCT17	B	1	DEC17	TTR	II	1278.0		1.33DR	
04OCT17	B	2	DEC17	TTR	II	1278.5		2.66DR	
04OCT17	B	3	DEC17	TTR	II	1278.8		3.99DR	
04OCT17	B	3	DEC17	TTR	II	1278.9		3.99DR	
04OCT17	B	3	DEC17	TTR	II	1279.1		3.99DR	
04OCT17	B	1	DEC17	TTR	II	1279.2		1.33DR	
04OCT17	B	1	DEC17	TTR	II	1279.3		1.33DR	
04OCT17	B	4	DEC17	TTR	II	1279.4		5.32DR	
04OCT17	B	2	DEC17	TTR	II	1279.5		2.66DR	
04OCT17	B	3	DEC17	TTR	II	1279.6		3.99DR	
04OCT17	B	1	DEC17	TTR	II	1280.3		1.33DR	
04OCT17	B	1	DEC17	TTR	II	1280.1		1.33DR	
04OCT17	B	6	DEC17	TTR	II	1280.5		7.98DR	
04OCT17	B	1	DEC17	TTR	II	1281.4		1.33DR	
04OCT17	S	1	DEC17	TTR	II		1283.6	1.33DR	
04OCT17	S	2	DEC17	TTR	II		1283.9	2.66DR	
04OCT17	S	1	DEC17	TTR	II		1279.7	1.33DR	
04OCT17	S	1	DEC17	TTR	II		1279.5	1.33DR	
04OCT17	B	1	DEC17	TTR	II	1278.3		1.33DR	
04OCT17	B	13	DEC17	TTR	II	1278.4		17.29DR	
04OCT17	S	1	DEC17	TTR	II		1278.8	1.33DR	
04OCT17	S	2	DEC17	TTR	II		1278.6	2.66DR	
04OCT17	S	2	DEC17	TTR	II		1278.5	2.66DR	
04OCT17	B	2	DEC17	TTR	II	1277.7		2.66DR	
04OCT17	B	3	DEC17	TTR	II	1277.6		3.99DR	
04OCT17	B	1	DEC17	TTR	II	1277.5		1.33DR	
04OCT17	B	1	DEC17	TTR	II	1276.1		1.33DR	
04OCT17	S	3	DEC17	TTR	II		1278.2	3.99DR	
04OCT17	B	1	DEC17	TTR	II	1275.2		1.33DR	
04OCT17	S	1	DEC17	TTR	II		1277.1	1.33DR	
04OCT17	S	1	DEC17	TTR	II		1277.5	1.33DR	
04OCT17	S	5	DEC17	TTR	II		1277.3	6.65DR	
04OCT17	S	1	DEC17	TTR	II		1277.4	1.33DR	
	NYM Henry Hub Natural Gas 10,000 NYM								
04OCT17	B	3	NOV17	TTR	II	2.91000		3.66DR	
04OCT17	B	3	NOV17	TTR	II	2.91100		3.66DR	
04OCT17	B	1	NOV17	TTR	II	2.91300		1.22DR	
04OCT17	B	1	NOV17	TTR	II	2.91700		1.22DR	
04OCT17	B	1	NOV17	TTR	II	2.93500		1.22DR	
04OCT17	S	1	NOV17	TTR	II		2.95100	1.22DR	
04OCT17	S	1	NOV17	TTR	II		2.95200	1.22DR	
04OCT17	S	3	NOV17	TTR	II		2.95000	3.66DR	
04OCT17	S	1	NOV17	TTR	II		2.95300	1.22DR	
04OCT17	S	1	NOV17	TTR	II		2.96200	1.22DR	
04OCT17	S	1	NOV17	TTR	II		2.96100	1.22DR	
04OCT17	S	1	NOV17	TTR	II		2.96900	1.22DR	
	NYM Heating Oil 42,000 NYM								
04OCT17	S	1	NOV17	TTR	II		176.64	1.22DR	
	CMX Silver 5,000 CMX								
04OCT17	B	1	DEC17	TTR	II	1675.5		1.33DR	
04OCT17	S	1	DEC17	TTR	II		1680.0	1.33DR	
04OCT17	S	1	DEC17	TTR	II		1682.5	1.33DR	
04OCT17	S	1	DEC17	TTR	II		1682.0	1.33DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S 1 DEC17 TTR II		1681.5	1.33DR	
04OCT17	B 2 DEC17 TTR II	1677.0		2.66DR	
04OCT17	B 2 DEC17 TTR II	1677.5		2.66DR	
04OCT17	B 1 DEC17 TTR II	1679.5		1.33DR	
04OCT17	B 1 DEC17 TTR II	1680.5		1.33DR	
04OCT17	B 2 DEC17 TTR II	1680.0		2.66DR	
04OCT17	S 1 DEC17 TTR II		1683.5	1.33DR	
04OCT17	S 1 DEC17 TTR II		1685.0	1.33DR	
04OCT17	S 1 DEC17 TTR II		1686.0	1.33DR	
04OCT17	S 2 DEC17 TTR II		1686.5	2.66DR	
04OCT17	S 3 DEC17 TTR II		1687.0	3.99DR	
04OCT17	S 1 DEC17 TTR II		1688.5	1.33DR	
04OCT17	S 1 DEC17 TTR II		1688.0	1.33DR	
04OCT17	S 1 DEC17 TTR II		1689.0	1.33DR	
04OCT17	S 1 DEC17 TTR II		1689.5	1.33DR	
04OCT17	S 1 DEC17 TTR II		1691.0	1.33DR	
04OCT17	B 1 DEC17 TTR II	1679.0		1.33DR	
04OCT17	B 1 DEC17 TTR II	1678.5		1.33DR	
04OCT17	B 9 DEC17 TTR II	1678.0		11.97DR	
04OCT17	B 1 DEC17 TTR II	1676.5		1.33DR	
04OCT17	B 2 DEC17 TTR II	1676.0		2.66DR	
04OCT17	B 1 DEC17 TTR II	1675.0		1.33DR	
04OCT17	B 1 DEC17 TTR II	1673.5		1.33DR	
04OCT17	B 1 DEC17 TTR II	1674.0		1.33DR	
04OCT17	B 3 DEC17 TTR II	1670.5		3.99DR	
04OCT17	S 3 DEC17 TTR II		1665.0	3.99DR	
04OCT17	S 1 DEC17 TTR II		1664.0	1.33DR	
	Commission				516.06DR
	SETTLEMENTS				
	CNX Nifty (India) Index 2 SIM				
04OCT17	3 OCT17	9884.50	9893.00		51.00CR
04OCT17	2 OCT17	9885.00	9893.00		32.00CR
04OCT17	5 OCT17	9885.00	9895.50		105.00CR
04OCT17	7 OCT17	9932.50	9904.00		399.00DR
04OCT17	3 OCT17	9933.00	9904.00		174.00DR
04OCT17	10 OCT17	9933.00	9912.00		420.00DR
04OCT17	10 OCT17	9933.00	9920.00		260.00DR
04OCT17	7 OCT17	9933.00	9903.50		413.00DR
04OCT17	3 OCT17	9932.50	9903.50		174.00DR
04OCT17	1 OCT17	9932.50	9923.50		18.00DR
04OCT17	1 OCT17	9932.50	9924.50		16.00DR
04OCT17	1 OCT17	9932.50	9925.00		15.00DR
04OCT17	1 OCT17	9932.50	9926.00		13.00DR
04OCT17	1 OCT17	9932.50	9923.50		18.00DR
04OCT17	5 OCT17	9932.50	9924.00		85.00DR
04OCT17	2 OCT17	9953.50	9925.00		114.00DR
04OCT17	1 OCT17	9953.50	9925.50		56.00DR
04OCT17	1 OCT17	9953.50	9924.50		58.00DR
04OCT17	1 OCT17	9954.00	9924.00		60.00DR
04OCT17	1 OCT17	9954.00	9924.50		59.00DR
04OCT17	1 OCT17	9954.00	9925.00		58.00DR
04OCT17	1 OCT17	9954.00	9924.50		59.00DR
04OCT17	1 OCT17	9954.00	9925.00		58.00DR
04OCT17	1 OCT17	9954.00	9923.00		62.00DR
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17	50.07	50.41		340.00CR
04OCT17	1 NOV17	50.07	50.43		360.00CR
04OCT17	1 NOV17	50.07	50.41		340.00CR
04OCT17	1 NOV17	50.08	50.41		330.00CR
04OCT17	1 NOV17	50.11	50.42		310.00CR
04OCT17	1 NOV17	50.08	50.28		200.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 NOV17	50.12	50.50		380.00CR
04OCT17	1 NOV17	50.27	50.49		220.00CR
04OCT17	1 NOV17	50.30	50.54		240.00CR
04OCT17	1 NOV17	50.53	50.52		10.00DR
04OCT17	1 NOV17	50.48	50.54		60.00CR
04OCT17	1 NOV17	50.51	50.57		60.00CR
04OCT17	1 NOV17	49.89	50.59		700.00CR
04OCT17	1 NOV17	49.86	50.62		760.00CR
04OCT17	1 NOV17	49.86	50.66		800.00CR
04OCT17	1 NOV17	49.85	50.65		800.00CR
04OCT17	1 NOV17	50.20	50.64		440.00CR
04OCT17	2 NOV17	50.06	50.64		1,160.00CR
04OCT17	1 NOV17	50.03	50.61		580.00CR
04OCT17	1 NOV17	50.06	50.60		540.00CR
04OCT17	1 NOV17	50.05	50.60		550.00CR
04OCT17	1 NOV17	50.04	50.60		560.00CR
04OCT17	1 NOV17	50.04	50.65		610.00CR
04OCT17	1 NOV17	50.04	50.29		250.00CR
04OCT17	1 NOV17	50.04	50.45		410.00CR
	CMX Gold 100 CMX				
04OCT17	1 DEC17	1280.5	1278.9		160.00DR
04OCT17	2 DEC17	1279.7	1278.2		300.00DR
04OCT17	1 DEC17	1279.8	1283.6		380.00CR
04OCT17	1 DEC17	1279.7	1283.9		420.00CR
04OCT17	1 DEC17	1279.6	1283.9		430.00CR
04OCT17	1 DEC17	1278.8	1279.7		90.00CR
04OCT17	1 DEC17	1278.6	1279.5		90.00CR
04OCT17	1 DEC17	1278.6	1278.8		20.00CR
04OCT17	1 DEC17	1278.6	1278.6		0.00
04OCT17	1 DEC17	1278.6	1278.6		0.00
04OCT17	2 DEC17	1278.7	1278.5		40.00DR
04OCT17	1 DEC17	1278.6	1278.2		40.00DR
04OCT17	1 DEC17	1278.4	1277.1		130.00DR
04OCT17	1 DEC17	1278.2	1277.3		90.00DR
04OCT17	1 DEC17	1277.8	1277.3		50.00DR
04OCT17	1 DEC17	1277.9	1277.3		60.00DR
04OCT17	1 DEC17	1278.0	1277.5		50.00DR
04OCT17	2 DEC17	1278.4	1277.3		220.00DR
04OCT17	1 DEC17	1278.4	1277.4		100.00DR
04OCT17	3 DEC17	1278.4	1273.5		1,470.00DR
04OCT17	1 DEC17	1278.4	1272.9		550.00DR
04OCT17	1 DEC17	1278.4	1273.6		480.00DR
04OCT17	1 DEC17	1278.4	1273.8		460.00DR
04OCT17	1 DEC17	1278.4	1273.5		490.00DR
04OCT17	2 DEC17	1278.5	1275.5		600.00DR
04OCT17	1 DEC17	1278.4	1275.6		280.00DR
04OCT17	1 DEC17	1278.9	1275.8		310.00DR
04OCT17	1 DEC17	1278.8	1275.8		300.00DR
04OCT17	1 DEC17	1278.8	1276.2		260.00DR
04OCT17	1 DEC17	1278.9	1276.4		250.00DR
04OCT17	1 DEC17	1278.9	1276.4		250.00DR
04OCT17	1 DEC17	1279.1	1275.6		350.00DR
04OCT17	1 DEC17	1279.1	1277.1		200.00DR
04OCT17	1 DEC17	1279.1	1276.8		230.00DR
04OCT17	1 DEC17	1279.2	1274.7		450.00DR
04OCT17	1 DEC17	1279.3	1274.5		480.00DR
04OCT17	1 DEC17	1279.4	1274.5		490.00DR
04OCT17	1 DEC17	1279.4	1274.5		490.00DR
04OCT17	1 DEC17	1279.4	1274.4		500.00DR
04OCT17	1 DEC17	1279.5	1274.4		510.00DR
04OCT17	1 DEC17	1279.4	1274.7		470.00DR
04OCT17	1 DEC17	1279.5	1274.6		490.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
04OCT17	1 NOV17	2.91000	2.95100		410.00CR
04OCT17	1 NOV17	2.91000	2.95000		400.00CR
04OCT17	1 NOV17	2.91100	2.95000		390.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 NOV17	2.91000	2.95200		420.00CR
04OCT17	1 NOV17	2.91100	2.95000		390.00CR
04OCT17	1 NOV17	2.91100	2.95300		420.00CR
04OCT17	1 NOV17	2.91300	2.96200		490.00CR
04OCT17	1 NOV17	2.91700	2.96100		440.00CR
04OCT17	1 NOV17	2.93500	2.96900		340.00CR
	CMX Silver 5,000 CMX				
04OCT17	1 DEC17	1675.5	1680.0		225.00CR
04OCT17	1 DEC17	1678.0	1682.5		225.00CR
04OCT17	1 DEC17	1678.0	1682.0		200.00CR
04OCT17	1 DEC17	1677.5	1681.5		200.00CR
04OCT17	1 DEC17	1677.0	1683.5		325.00CR
04OCT17	1 DEC17	1677.0	1685.0		400.00CR
04OCT17	1 DEC17	1677.5	1686.0		425.00CR
04OCT17	1 DEC17	1678.0	1686.5		425.00CR
04OCT17	1 DEC17	1678.0	1686.5		425.00CR
04OCT17	1 DEC17	1679.5	1687.0		375.00CR
04OCT17	1 DEC17	1680.5	1687.0		325.00CR
04OCT17	1 DEC17	1680.0	1687.0		350.00CR
04OCT17	1 DEC17	1680.0	1688.5		425.00CR
04OCT17	1 DEC17	1679.0	1688.0		450.00CR
04OCT17	1 DEC17	1678.5	1689.0		525.00CR
04OCT17	1 DEC17	1678.0	1689.5		575.00CR
04OCT17	1 DEC17	1678.0	1691.0		650.00CR
04OCT17	3 DEC17	1678.0	1665.0		1,950.00DR
04OCT17	1 DEC17	1676.5	1664.0		625.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				11,165.31DR
	SETTLEMENTS				
	CMX Silver Futures				3,950.00CR
	NYM Henry Hub Natural Gas Futures				3,700.00CR
	CMX Gold Futures				10,170.00DR
	NYM Light Sweet Crude Oil Futures				10,990.00CR
	CNX Nifty (India) Index Futures				2,401.00DR
	COMMISSIONS				516.06DR
	CARRIED FORWARD BALANCE				5,612.37DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034G

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
04OCT17	CBT Mini-Sized Dow 5 CBT				
	S 1 DEC17 II		22610.00	1.50DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 1 13DEC17 IFC UD		10594.40	2.70DR	
04OCT17	B 1 29DEC17 IFC UD	10610.40		2.70DR	
04OCT17	S 1 04JAN18 DMA EL		10800.00	4.60DR	
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
04OCT17	B 3 NOV17 153.0000 Put II	1.1300		4.50DR	3,609.39DR
04OCT17	S 1 DEC17 II		152.2800	1.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		3290.50	4.60DR	
04OCT17	B 1 04JAN18 DMA EL	3292.50		4.60DR	
	Commission				26.70DR
	<u>SETTLEMENTS</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
04OCT17	2 NOV17 153.0000 Put	1.1300	0.5400		0.00
04OCT17	1 NOV17 153.0000 Put	1.1300	0.5400		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,276,373.13CR
	OPTION PREMIUMS				3,609.39DR
	COMMISSIONS				26.70DR
	CARRIED FORWARD BALANCE				1,272,737.04CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		2605.00	4.60DR	
04OCT17	S 1 04JAN18 DMA EL		2607.00	4.60DR	
04OCT17	S 1 04JAN18 DMA EL		2608.00	4.60DR	
04OCT17	B 1 04JAN18 DMA EL	2591.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	2600.50		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	2576.50		4.60DR	
04OCT17	B 2 04JAN18 DMA EL	2577.50		9.20DR	
04OCT17	S 2 04JAN18 DMA EL		2606.50	9.20DR	
04OCT17	S 1 04JAN18 DMA EL		2591.00	4.60DR	
04OCT17	B 1 04JAN18 DMA EL	2574.50		4.60DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 2 27DEC17 IFC UD		10607.53	5.40DR	
04OCT17	B 2 28DEC17 IFC UD	10609.47		5.40DR	
04OCT17	B 1 04JAN18 DMA EL	10765.00		4.60DR	
04OCT17	S 1 04JAN18 DMA EL		10820.00	4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10665.00		4.60DR	
04OCT17	S 1 04JAN18 DMA EL		10665.00	4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10650.00		4.60DR	
04OCT17	S 1 04JAN18 DMA EL		10725.00	4.60DR	
04OCT17	S 1 04JAN18 DMA EL		10805.00	4.60DR	
04OCT17	S 4 04JAN18 DMA EL		10795.00	18.40DR	
04OCT17	S 3 04JAN18 DMA EL		10810.00	13.80DR	
04OCT17	S 1 04JAN18 DMA EL		10770.00	4.60DR	
04OCT17	S 1 04JAN18 DMA EL		10735.00	4.60DR	
04OCT17	B 2 04JAN18 DMA EL	10735.00		9.20DR	
04OCT17	B 1 04JAN18 DMA EL	10730.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10725.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10670.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10685.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10690.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10695.00		4.60DR	
04OCT17	B 2 04JAN18 DMA EL	10700.00		9.20DR	
04OCT17	B 1 04JAN18 DMA EL	10705.00		4.60DR	
04OCT17	B 1 04JAN18 DMA EL	10660.00		4.60DR	
04OCT17	B 2 04JAN18 DMA EL	10675.00		9.20DR	
04OCT17	B 2 04JAN18 DMA EL	10680.00		9.20DR	
04OCT17	B 4 04JAN18 DMA EL	10655.00		18.40DR	
04OCT17	B 2 04JAN18 DMA EL	10620.00		9.20DR	
04OCT17	S 4 04JAN18 DMA EL		10600.00	18.40DR	
04OCT17	S 4 04JAN18 DMA EL		10605.00	18.40DR	
04OCT17	S 4 04JAN18 DMA EL		10590.00	18.40DR	
	Commission				296.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9120.00	9165.00		270.00CR
04JUL17	1 04OCT17	9130.00	9195.00		390.00CR
04JUL17	1 04OCT17	9130.00	9215.00		510.00CR
04JUL17	1 04OCT17	9120.00	9180.00		360.00CR
04JUL17	1 04OCT17	9135.00	9175.00		240.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2781.00	2769.00		300.00DR
04JUL17	1 04OCT17	2767.00	2776.00		225.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2770.50	2776.00		137.50CR
04JUL17	1 04OCT17	2769.00	2778.00		225.00CR
04JUL17	1 04OCT17	2781.00	2777.00		100.00DR
04JUL17	1 04OCT17	2779.00	2777.00		50.00DR
04JUL17	1 04OCT17	2779.50	2778.50		25.00DR
04JUL17	1 04OCT17	2779.00	2779.00		0.00
04JUL17	1 04OCT17	2776.50	2782.00		137.50CR
04JUL17	1 04OCT17	2776.50	2783.50		175.00CR
04JUL17	1 04OCT17	2798.00	2783.00		375.00DR
04JUL17	1 04OCT17	2798.00	2784.00		350.00DR
04JUL17	1 04OCT17	2800.00	2785.50		362.50DR
04JUL17	1 04OCT17	2800.00	2786.50		337.50DR
04JUL17	1 04OCT17	2781.00	2784.00		75.00CR
04JUL17	1 04OCT17	2781.00	2779.00		50.00DR
04JUL17	1 04OCT17	2781.00	2785.00		100.00CR
04JUL17	1 04OCT17	2781.50	2786.50		125.00CR
04JUL17	1 04OCT17	2780.00	2786.50		162.50CR
04JUL17	1 04OCT17	2780.00	2786.50		162.50CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					18,688.36DR
SETTLEMENTS					
LME Zinc 25 tonnes. This is an LME Futures					425.00DR
LME Nickel 6 tonnes. This is an LME Futures					1,770.00CR
COMMISSIONS					296.00DR
CARRIED FORWARD BALANCE					17,639.36DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034L

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> CME E-mini Japanese Yen 6,250,000 CME S 1 DEC17 CQG II Commission		0.089200	1.21DR	1.21DR
04OCT17	<u>SETTLEMENTS</u> CME E-mini Japanese Yen 6,250,000 CME 1 DEC17	0.088800	0.089200		250.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 94,327.39DR SETTLEMENTS CME E-mini Japanese Yen Futures 250.00CR COMMISSIONS 1.21DR CARRIED FORWARD BALANCE 94,078.60DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034Q

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
04OCT17	B 10 OCT17 EL	9881.00		17.30DR	
04OCT17	B 10 OCT17 EL	9884.00		17.30DR	
04OCT17	B 2 OCT17 EL	9881.50		3.46DR	
04OCT17	B 5 OCT17 EL	9883.00		8.65DR	
04OCT17	B 13 OCT17 EL	9885.00		22.49DR	
	IEU Gas Oil 100 IPE				
04OCT17	S 1 NOV17 TTR EL		523.000	1.57DR	
	IEU Brent Crude 1,000 IPE				
04OCT17	B 2 DEC17 TTR EL	55.95		3.14DR	
	Commission				73.91DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,195,677.50DR
	COMMISSIONS				73.91DR
	CARRIED FORWARD BALANCE				1,195,751.41DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		637,865.26CR
	OPTION PREMIUMS		3,609.39DR
	SETTLEMENTS		
	CME E-mini Japanese Yen Futures		250.00CR
	CMX Gold Futures		10,170.00DR
	CMX Silver Futures		3,950.00CR
	CNX Nifty (India) Index Futures		2,401.00DR
	LME Nickel 6 tonnes. This is an LME Futures		1,770.00CR
	LME Zinc 25 tonnes. This is an LME Futures		425.00DR
	NYM Henry Hub Natural Gas Futures		3,700.00CR
	NYM Light Sweet Crude Oil Futures		10,990.00CR
	COMMISSIONS		913.88DR
	CARRIED FORWARD BALANCE		641,005.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	S 2 DEC17 TTR GX		1282.8	4.60DR	
040CT17	S 2 DEC17 TTR GX		1283.3	4.60DR	
	CME Japanese Yen 12,500,000 CME				
040CT17	B 4 DEC17 TTR GX	89.285		9.00DR	
	Commission				18.20DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
040CT17	2 DEC17	1276.6	1282.8		1,240.00CR
040CT17	2 DEC17	1276.6	1283.3		1,340.00CR
	CME Japanese Yen 12,500,000 CME				
040CT17	1 DEC17	89.285	89.315		37.50CR
040CT17	3 DEC17	89.285	88.965		1,200.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				115,800.70DR
	SETTLEMENTS				
	CME Japanese Yen Futures				1,162.50DR
	CMX Gold Futures				2,580.00CR
	COMMISSIONS				18.20DR
	CARRIED FORWARD BALANCE				114,401.40DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
040CT17	S 2 DEC18 TTR EL		50.34	3.36DR	
040CT17	S 1 DEC18 TTR EL		50.40	1.68DR	
040CT17	S 1 DEC18 TTR EL		50.73	1.68DR	
040CT17	S 1 JUN19 TTR EL		50.11	1.68DR	
040CT17	S 2 JUN19 TTR EL		50.39	3.36DR	
040CT17	B 2 DEC19 TTR EL	49.69		3.36DR	
040CT17	S 1 DEC19 TTR EL		49.81	1.68DR	
040CT17	B 1 DEC19 TTR EL	49.78		1.68DR	
040CT17	S 1 DEC19 TTR EL		49.94	1.68DR	
040CT17	B 1 DEC19 TTR EL	50.09		1.68DR	
040CT17	B 1 DEC19 TTR EL	49.86		1.68DR	
040CT17	S 1 DEC19 TTR EL		49.93	1.68DR	
040CT17	S 1 DEC19 TTR EL		49.92	1.68DR	
040CT17	S 1 DEC19 TTR EL		49.98	1.68DR	
040CT17	S 1 DEC19 TTR EL		50.00	1.68DR	
040CT17	B 1 DEC19 TTR EL	50.12		1.68DR	
040CT17	B 1 DEC19 TTR EL	50.11		1.68DR	
040CT17	S 2 DEC19 TTR EL		50.01	3.36DR	
040CT17	S 2 DEC19 TTR EL		50.02	3.36DR	
040CT17	B 1 DEC20 TTR EL	49.83		1.68DR	
040CT17	B 1 DEC20 TTR EL	49.80		1.68DR	
040CT17	B 1 DEC20 TTR EL	49.86		1.68DR	
040CT17	B 1 DEC20 TTR EL	49.85		1.68DR	
040CT17	B 1 DEC20 TTR EL	49.90		1.68DR	
040CT17	B 1 DEC20 TTR EL	49.92		1.68DR	
040CT17	B 2 DEC20 TTR EL	49.93		3.36DR	
040CT17	B 2 DEC20 TTR EL	49.94		3.36DR	
	IEU Brent Crude 1,000 IPE				
040CT17	B 2 DEC18 TTR EL	54.23		3.36DR	
040CT17	B 1 DEC18 TTR EL	54.30		1.68DR	
040CT17	B 1 DEC18 TTR EL	54.65		1.68DR	
040CT17	B 1 JUN19 TTR EL	54.21		1.68DR	
040CT17	B 2 JUN19 TTR EL	54.45		3.36DR	
040CT17	S 2 DEC19 TTR EL		53.87	3.36DR	
040CT17	B 1 DEC19 TTR EL	54.03		1.68DR	
040CT17	S 1 DEC19 TTR EL		53.98	1.68DR	
040CT17	B 1 DEC19 TTR EL	54.14		1.68DR	
040CT17	S 1 DEC19 TTR EL		54.06	1.68DR	
040CT17	B 2 DEC19 TTR EL	54.11		3.36DR	
040CT17	B 1 DEC19 TTR EL	54.18		1.68DR	
040CT17	S 1 DEC19 TTR EL		54.32	1.68DR	
040CT17	S 2 DEC19 TTR EL		54.31	3.36DR	
040CT17	B 3 DEC19 TTR EL	54.20		5.04DR	
040CT17	B 2 DEC19 TTR EL	54.21		3.36DR	
040CT17	S 1 DEC20 TTR EL		54.43	1.68DR	
040CT17	S 1 DEC20 TTR EL		54.38	1.68DR	
040CT17	S 2 DEC20 TTR EL		54.44	3.36DR	
040CT17	S 1 DEC20 TTR EL		54.50	1.68DR	
040CT17	S 1 DEC20 TTR EL		54.52	1.68DR	
040CT17	S 2 DEC20 TTR EL		54.57	3.36DR	
040CT17	S 2 DEC20 TTR EL		54.58	3.36DR	
	Commission				114.24DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
040CT17	1 DEC18	51.19	50.34		850.00DR
040CT17	1 DEC18	51.25	50.34		910.00DR
040CT17	1 DEC18	51.42	50.40		1,020.00DR
040CT17	1 DEC18	51.42	50.73		690.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP00380

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 JUN19	49.17	50.11		940.00CR
04OCT17	1 JUN19	49.17	50.39		1,220.00CR
04OCT17	1 JUN19	51.40	50.39		1,010.00DR
04OCT17	1 DEC19	49.69	49.81		120.00CR
04OCT17	1 DEC19	49.69	49.94		250.00CR
04OCT17	1 DEC19	49.78	49.93		150.00CR
04OCT17	1 DEC19	50.09	49.92		170.00DR
04OCT17	1 DEC19	49.86	49.98		120.00CR
04OCT17	1 DEC19	50.12	50.00		120.00DR
04OCT17	1 DEC19	50.11	50.01		100.00DR
04OCT17	1 DEC20	49.83	51.11		1,280.00CR
04OCT17	1 DEC20	49.80	51.04		1,240.00CR
04OCT17	1 DEC20	49.86	51.02		1,160.00CR
04OCT17	1 DEC20	49.85	51.01		1,160.00CR
04OCT17	1 DEC20	49.90	51.01		1,110.00CR
04OCT17	1 DEC20	49.92	50.98		1,060.00CR
04OCT17	1 DEC20	49.93	50.98		1,050.00CR
04OCT17	1 DEC20	49.93	51.05		1,120.00CR
04OCT17	1 DEC20	49.94	51.05		1,110.00CR
	IEU Brent Crude 1,000 IPE				
04OCT17	1 DEC18	54.23	55.07		840.00CR
04OCT17	1 DEC18	54.23	55.15		920.00CR
04OCT17	1 DEC18	54.30	55.23		930.00CR
04OCT17	1 DEC18	54.65	55.23		580.00CR
04OCT17	1 JUN19	54.21	52.92		1,290.00DR
04OCT17	1 JUN19	54.45	52.92		1,530.00DR
04OCT17	1 JUN19	54.45	55.53		1,080.00CR
04OCT17	1 DEC19	54.03	53.87		160.00DR
04OCT17	1 DEC19	54.14	53.87		270.00DR
04OCT17	1 DEC19	54.11	53.98		130.00DR
04OCT17	1 DEC19	54.11	54.31		200.00CR
04OCT17	1 DEC19	54.18	54.06		120.00DR
04OCT17	1 DEC19	54.20	54.32		120.00CR
04OCT17	1 DEC19	54.20	54.31		110.00CR
04OCT17	1 DEC20	55.11	54.43		680.00DR
04OCT17	1 DEC20	54.87	54.38		490.00DR
04OCT17	1 DEC20	54.85	54.44		410.00DR
04OCT17	1 DEC20	54.83	54.44		390.00DR
04OCT17	1 DEC20	54.83	54.50		330.00DR
04OCT17	1 DEC20	54.80	54.52		280.00DR
04OCT17	1 DEC20	54.80	54.57		230.00DR
04OCT17	1 DEC20	54.82	54.57		250.00DR
04OCT17	1 DEC20	54.82	54.58		240.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				60,860.53CR
	SETTLEMENTS				
	IEU Brent Crude Futures				2,020.00DR
	IEU WTI Crude Oil Futures Futures				8,220.00CR
	COMMISSIONS				114.24DR
	CARRIED FORWARD BALANCE				66,946.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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4th Oct 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		183,775.58CR
	SETTLEMENTS		
	CME Japanese Yen Futures		1,162.50DR
	CMX Gold Futures		2,580.00CR
	IEU Brent Crude Futures		2,020.00DR
	IEU WTI Crude Oil Futures Futures		8,220.00CR
	COMMISSIONS		132.44DR
	CARRIED FORWARD BALANCE		191,260.64CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
04OCT17	B 1 DEC17 GX	1677.5		2.85DR	
04OCT17	B 4 DEC17 GX	1678.0		11.40DR	
	Commission				14.25DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2292.00	2290.00		50.00DR
04JUL17	1 04OCT17	2291.00	2295.50		112.50CR
04JUL17	1 04OCT17	2300.50	2298.00		62.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
21JUL17	1 04OCT17	2754.00	2783.50		737.50CR
21JUL17	1 04OCT17	2754.00	2783.00		725.00CR
21JUL17	1 04OCT17	2754.00	2785.00		775.00CR
21JUL17	1 04OCT17	2754.00	2784.50		762.50CR
21JUL17	1 04OCT17	2754.00	2783.50		737.50CR
21JUL17	1 04OCT17	2754.00	2783.50		737.50CR
21JUL17	1 04OCT17	2754.00	2783.50		737.50CR
21JUL17	1 04OCT17	2754.00	2783.00		725.00CR
21JUL17	1 04OCT17	2754.00	2774.00		500.00CR
21JUL17	1 04OCT17	2754.00	2774.00		500.00CR
21JUL17	1 04OCT17	2754.00	2780.00		650.00CR
21JUL17	1 04OCT17	2754.00	2786.50		812.50CR
21JUL17	1 04OCT17	2754.00	2786.00		800.00CR
21JUL17	1 04OCT17	2754.00	2785.00		775.00CR
21JUL17	1 04OCT17	2754.00	2785.50		787.50CR
21JUL17	1 04OCT17	2754.00	2787.50		837.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				120,440.41CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				
	LME Zinc 25 tonnes. This is an LME Futures				11,600.00CR
	COMMISSIONS				14.25DR
	CARRIED FORWARD BALANCE				132,026.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAPG0045

4th Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		347,336.26CR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		
	LME Zinc 25 tonnes. This is an LME Futures		11,600.00CR
	COMMISSIONS		14.25DR
	CARRIED FORWARD BALANCE		358,922.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	B 1 DEC17 GX 1278.2			2.50DR	
040CT17	B 1 DEC17 GX 1278.5			2.50DR	
040CT17	B 1 DEC17 GX 1278.7			2.50DR	
040CT17	B 2 DEC17 GX 1278.1			5.00DR	
	Commission				12.50DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
040CT17	1 DEC17 1278.2	1286.6			840.00CR
040CT17	1 DEC17 1278.5	1292.8			1,430.00CR
040CT17	1 DEC17 1278.7	1293.0			1,430.00CR
040CT17	1 DEC17 1278.1	1291.4			1,330.00CR
040CT17	1 DEC17 1278.1	1291.1			1,300.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,657.50CR
	SETTLEMENTS				
	CMX Gold Futures				6,330.00CR
	COMMISSIONS				12.50DR
	CARRIED FORWARD BALANCE				19,975.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
04OCT17	B 1 DEC17 GX 1279.7			2.30DR	
04OCT17	B 2 DEC17 GX 1280.1			4.60DR	
04OCT17	B 1 DEC17 GX 1279.6			2.30DR	
04OCT17	B 2 DEC17 GX 1279.3			4.60DR	
04OCT17	B 1 DEC17 GX 1274.7			2.30DR	
04OCT17	B 1 DEC17 GX 1275.3			2.30DR	
04OCT17	B 1 DEC17 GX 1275.6			2.30DR	
04OCT17	B 1 DEC17 GX 1274.8			2.30DR	
04OCT17	B 1 DEC17 GX 1276.5			2.30DR	
	Commission				25.30DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
04OCT17	1 DEC17 1279.7	1293.4			1,370.00CR
04OCT17	1 DEC17 1280.1	1293.3			1,320.00CR
04OCT17	1 DEC17 1280.1	1293.3			1,320.00CR
04OCT17	1 DEC17 1279.6	1293.2			1,360.00CR
04OCT17	1 DEC17 1279.3	1293.1			1,380.00CR
04OCT17	1 DEC17 1279.3	1299.5			2,020.00CR
04OCT17	1 DEC17 1274.7	1299.4			2,470.00CR
04OCT17	1 DEC17 1275.3	1299.6			2,430.00CR
04OCT17	1 DEC17 1275.6	1311.6			3,600.00CR
04OCT17	1 DEC17 1274.8	1311.8			3,700.00CR
04OCT17	1 DEC17 1276.5	1290.2			1,370.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				163,396.80CR
	SETTLEMENTS				
	CMX Gold Futures				22,340.00CR
	COMMISSIONS				25.30DR
	CARRIED FORWARD BALANCE				185,711.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	B 1 DEC17 GX 1278.1			2.50DR	
040CT17	B 2 DEC17 GX 1278.6			5.00DR	
040CT17	B 1 DEC17 GX 1278.7			2.50DR	
040CT17	B 1 DEC17 GX 1279.0			2.50DR	
040CT17	B 1 DEC17 GX 1279.1			2.50DR	
040CT17	B 1 DEC17 GX 1278.9			2.50DR	
040CT17	B 1 DEC17 GX 1280.3			2.50DR	
040CT17	B 2 DEC17 GX 1279.8			5.00DR	
	Commission				25.00DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
040CT17	1 DEC17 1278.1	1315.9			3,780.00CR
040CT17	1 DEC17 1278.6	1315.7			3,710.00CR
040CT17	1 DEC17 1278.6	1315.7			3,710.00CR
040CT17	1 DEC17 1278.7	1315.8			3,710.00CR
040CT17	1 DEC17 1279.0	1315.8			3,680.00CR
040CT17	1 DEC17 1279.1	1315.8			3,670.00CR
040CT17	1 DEC17 1278.9	1315.9			3,700.00CR
040CT17	1 DEC17 1280.3	1315.9			3,560.00CR
040CT17	1 DEC17 1279.8	1315.7			3,590.00CR
040CT17	1 DEC17 1279.8	1315.7			3,590.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				88,310.90CR
	SETTLEMENTS				
	CMX Gold Futures				36,700.00CR
	COMMISSIONS				25.00DR
	CARRIED FORWARD BALANCE				124,985.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC . backoffice@sapiencap.com	SAP0054H 4th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	B 3 NOV17 CQG GX 2.91200			7.50DR	
040CT17	B 1 NOV17 CQG GX 2.91500			2.50DR	
040CT17	B 2 NOV17 CQG GX 2.91600			5.00DR	
040CT17	S 1 NOV17 CQG GX 2.95800		2.95800	2.50DR	
040CT17	S 1 NOV17 CQG GX 2.96300		2.96300	2.50DR	
040CT17	S 1 NOV17 CQG GX 2.96900		2.96900	2.50DR	
040CT17	S 1 NOV17 CQG GX 2.97500		2.97500	2.50DR	
040CT17	S 2 NOV17 CQG GX 2.96500		2.96500	5.00DR	
	CMX Silver 5,000 CMX				
040CT17	S 1 DEC17 CQG GX 1677.5		1677.5	2.50DR	
040CT17	S 1 DEC17 CQG GX 1679.0		1679.0	2.50DR	
040CT17	B 2 DEC17 CQG GX 1680.0	1680.0		5.00DR	
040CT17	S 2 DEC17 CQG GX 1684.5		1684.5	5.00DR	
040CT17	B 1 DEC17 CQG GX 1675.0	1675.0		2.50DR	
040CT17	B 1 DEC17 CQG GX 1673.5	1673.5		2.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
040CT17	B 1 02NOV17 SGL UD 3286.25	3286.25		2.70DR	
040CT17	B 1 04JAN18 CQG EL 3276.00	3276.00		5.45DR	
040CT17	S 1 04JAN18 SGL UD		3273.60	2.70DR	
	Commission				60.85DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	1 NOV17	2.91200	2.95800		460.00CR
040CT17	1 NOV17	2.91200	2.96300		510.00CR
040CT17	1 NOV17	2.91200	2.96900		570.00CR
040CT17	1 NOV17	2.91500	2.97500		600.00CR
040CT17	1 NOV17	2.91600	2.96500		490.00CR
040CT17	1 NOV17	2.91600	2.96500		490.00CR
	CMX Silver 5,000 CMX				
040CT17	1 DEC17	1680.0	1677.5		125.00DR
040CT17	1 DEC17	1680.0	1679.0		50.00DR
040CT17	1 DEC17	1675.0	1684.5		475.00CR
040CT17	1 DEC17	1673.5	1684.5		550.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				74,260.05DR
	SETTLEMENTS				
	CMX Silver Futures				850.00CR
	NYM Henry Hub Natural Gas Futures				3,120.00CR
	COMMISSIONS				60.85DR
	CARRIED FORWARD BALANCE				70,350.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	B 1 DEC17 CQG GX 1275.0	1275.0		2.75DR	
040CT17	B 1 DEC17 CQG GX 1274.9	1274.9		2.75DR	
	Commission				5.50DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
040CT17	1 DEC17 1275.0	1275.0	1291.8		1,680.00CR
040CT17	1 DEC17 1274.9	1274.9	1293.4		1,850.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				123,111.65CR
	SETTLEMENTS				
	CMX Gold Futures				3,530.00CR
	COMMISSIONS				5.50DR
	CARRIED FORWARD BALANCE				126,636.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 2 04JAN18 TTR EL	2148.00		10.90DR	
04OCT17	S 1 04JAN18 TTR EL		2156.00	5.45DR	
04OCT17	S 1 04JAN18 TTR EL		2160.00	5.45DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	S 3 NOV17 TTR GX		50.39	6.90DR	
04OCT17	B 1 NOV17 TTR GX	50.38		2.30DR	
04OCT17	B 1 NOV17 TTR GX	50.34		2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 TTR EL	10770.00		5.45DR	
04OCT17	S 1 04JAN18 TTR EL		10705.00	5.45DR	
	CMX Silver 5,000 CMX				
04OCT17	S 1 DEC17 TTR GX		1680.0	2.30DR	
04OCT17	B 1 DEC17 TTR GX	1678.5		2.30DR	
	Commission				48.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17	50.38	50.39		10.00CR
04OCT17	1 NOV17	50.34	50.39		50.00CR
	CMX Silver 5,000 CMX				
04OCT17	1 DEC17	1678.5	1680.0		75.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				139,655.58CR
	SETTLEMENTS				
	CMX Silver Futures				75.00CR
	NYM Light Sweet Crude Oil Futures				60.00CR
	COMMISSIONS				48.80DR
	CARRIED FORWARD BALANCE				139,741.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		677,073.49CR
	SETTLEMENTS		
	CMX Gold Futures		68,900.00CR
	CMX Silver Futures		925.00CR
	NYM Henry Hub Natural Gas Futures		3,120.00CR
	NYM Light Sweet Crude Oil Futures		60.00CR
	COMMISSIONS		177.95DR
	CARRIED FORWARD BALANCE		749,900.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0072B

4th October 2017

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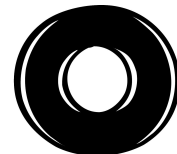
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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> FTSE 100 (European) Option 10 LTO S 50 OCT17 6500.0 Put DMA EL Commission		1.0	50.00DR	500.00CR 50.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 63,910.40CR OPTION PREMIUMS 500.00CR COMMISSIONS 50.00DR CARRIED FORWARD BALANCE 64,360.40CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		63,910.40CR
	OPTION PREMIUMS		500.00CR
	COMMISSIONS		50.00DR
	CARRIED FORWARD BALANCE		64,360.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1923.00	1921.00		50.00DR
04JUL17	1 04OCT17	1922.50	1920.50		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,675.20DR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				100.00DR
	CARRIED FORWARD BALANCE				31,775.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	S 1 04JAN18 TTR EL		2152.00	5.00DR	
04OCT17	S 1 04JAN18 TTR EL		2151.00	5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2139.00		5.00DR	
04OCT17	S 1 04JAN18 DMA EL		2164.50	5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2158.50		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2151.50		5.00DR	
04OCT17	S 2 04JAN18 TTR EL		2160.00	10.00DR	
04OCT17	S 1 04JAN18 TTR EL		2164.00	5.00DR	
04OCT17	S 2 04JAN18 TTR EL		2163.50	10.00DR	
04OCT17	B 1 04JAN18 TTR EL	2158.00		5.00DR	
04OCT17	B 2 04JAN18 TTR EL	2160.00		10.00DR	
04OCT17	B 2 04JAN18 TTR EL	2161.50		10.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	B 1 NOV17 TTR GX	50.06		1.57DR	
04OCT17	B 16 NOV17 TTR GX	50.05		25.12DR	
04OCT17	S 2 NOV17 TTR GX		50.14	3.14DR	
04OCT17	S 2 NOV17 TTR GX		50.13	3.14DR	
04OCT17	S 2 NOV17 TTR GX		50.18	3.14DR	
04OCT17	S 4 NOV17 TTR GX		50.27	6.28DR	
04OCT17	B 6 NOV17 TTR GX	50.10		9.42DR	
04OCT17	S 4 NOV17 TTR GX		50.33	6.28DR	
04OCT17	S 1 NOV17 TTR GX		50.36	1.57DR	
04OCT17	S 4 NOV17 TTR GX		50.38	6.28DR	
04OCT17	S 3 NOV17 TTR GX		50.41	4.71DR	
04OCT17	S 1 NOV17 TTR GX		50.65	1.57DR	
04OCT17	S 1 NOV17 TTR GX		50.60	1.57DR	
04OCT17	S 2 NOV17 TTR GX		50.59	3.14DR	
04OCT17	B 2 NOV17 TTR GX	50.59		3.14DR	
04OCT17	S 1 NOV17 TTR GX		50.50	1.57DR	
04OCT17	S 2 NOV17 TTR GX		50.49	3.14DR	
04OCT17	S 1 NOV17 TTR GX		50.53	1.57DR	
04OCT17	B 2 NOV17 TTR GX	50.38		3.14DR	
04OCT17	B 1 NOV17 TTR GX	50.34		1.57DR	
04OCT17	B 7 NOV17 TTR GX	50.13		10.99DR	
04OCT17	B 1 NOV17 TTR GX	49.87		1.57DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	B 2 04JAN18 TTR EL	6498.00		10.00DR	
04OCT17	S 1 04JAN18 DMA EL		6498.00	5.00DR	
04OCT17	S 1 04JAN18 DMA EL		6499.50	5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 02NOV17 IFC UD	2555.57		2.70DR	
04OCT17	S 1 04JAN18 TTR EL		2606.00	5.00DR	
04OCT17	S 1 04JAN18 TTR EL		2608.00	5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2612.00		5.00DR	
04OCT17	S 1 04JAN18 TTR EL		2605.00	5.00DR	
04OCT17	B 2 04JAN18 TTR EL	2602.00		10.00DR	
04OCT17	B 1 04JAN18 TTR EL	2596.00		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2590.00		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2590.50		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	2585.00		5.00DR	
04OCT17	B 2 04JAN18 TTR EL	2576.50		10.00DR	
04OCT17	B 1 04JAN18 TTR EL	2570.50		5.00DR	
04OCT17	B 2 04JAN18 TTR EL	2576.00		10.00DR	
04OCT17	S 2 04JAN18 TTR EL		2606.50	10.00DR	
04OCT17	B 1 04JAN18 TTR EL	2562.00		5.00DR	
04OCT17	S 1 04JAN18 TTR EL		2585.00	5.00DR	
04OCT17	S 1 04JAN18 TTR EL		2587.00	5.00DR	
04OCT17	S 2 04JAN18 TTR EL		2590.00	10.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

4th October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S	1	04JAN18	TTR	EL		2592.00	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		2593.50	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		2584.50	5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	2567.00		5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	2556.50		5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	2556.00		5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	2557.00		5.00DR	
04OCT17	B	3	04JAN18	TTR	EL	2548.00		15.00DR	
04OCT17	S	1	04JAN18	TTR	EL		2549.00	5.00DR	
04OCT17	S	3	04JAN18	TTR	EL		2550.50	15.00DR	
04OCT17	S	1	04JAN18	TTR	EL		2549.50	5.00DR	
04OCT17	S	1	04JAN18	IFC	UD		2559.80	2.70DR	
04OCT17	S	1	04JAN18	TTR	EL		2548.50	5.00DR	
04OCT17	S	1	04JAN18	DMA	EL		2547.50	5.00DR	
	CMX Silver 5,000 CMX								
04OCT17	B	1	DEC17	TTR	GX	1680.0		1.68DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
04OCT17	S	1	04JAN18	TTR	EL		3283.00	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3284.00	5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	3281.50		5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3295.00	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3295.50	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3294.00	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3291.00	5.00DR	
04OCT17	S	1	04JAN18	TTR	EL		3298.00	5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	3278.00		5.00DR	
04OCT17	B	3	04JAN18	TTR	EL	3280.50		15.00DR	
04OCT17	B	1	04JAN18	TTR	EL	3281.00		5.00DR	
04OCT17	B	4	04JAN18	TTR	EL	3280.00		20.00DR	
04OCT17	B	1	04JAN18	TTR	EL	3279.00		5.00DR	
04OCT17	B	1	04JAN18	TTR	EL	3279.50		5.00DR	
	Commission								500.70DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
04OCT17		1	NOV17			50.05	50.14		90.00CR
04OCT17		1	NOV17			50.05	50.14		90.00CR
04OCT17		2	NOV17			50.05	50.13		160.00CR
04OCT17		2	NOV17			50.05	50.18		260.00CR
04OCT17		1	NOV17			50.06	50.27		210.00CR
04OCT17		1	NOV17			50.05	50.27		220.00CR
04OCT17		2	NOV17			50.05	50.27		440.00CR
04OCT17		2	NOV17			50.05	50.33		560.00CR
04OCT17		2	NOV17			50.05	50.33		560.00CR
04OCT17		1	NOV17			50.05	50.36		310.00CR
04OCT17		2	NOV17			50.05	50.38		660.00CR
04OCT17		2	NOV17			50.10	50.38		560.00CR
04OCT17		2	NOV17			50.10	50.41		620.00CR
04OCT17		1	NOV17			50.10	50.41		310.00CR
04OCT17		1	NOV17			50.10	50.65		550.00CR
04OCT17		1	NOV17			50.13	50.60		470.00CR
04OCT17		1	NOV17			50.13	50.59		460.00CR
04OCT17		1	NOV17			50.59	50.59		0.00
04OCT17		1	NOV17			50.59	50.50		90.00DR
04OCT17		2	NOV17			50.38	50.49		220.00CR
04OCT17		1	NOV17			50.34	50.53		190.00CR
04OCT17		1	NOV17			50.13	50.42		290.00CR
04OCT17		1	NOV17			50.13	50.44		310.00CR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an								

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	1921.50	1923.00		37.50CR
04JUL17	1 04OCT17	1921.00	1923.00		50.00CR
04JUL17	1 04OCT17	1920.50	1924.50		100.00CR
04JUL17	1 04OCT17	1920.00	1929.00		225.00CR
04JUL17	1 04OCT17	1916.50	1929.00		312.50CR
04JUL17	1 04OCT17	1924.00	1929.50		137.50CR
19JUL17	1 04OCT17	1917.12	1929.50		309.50CR
19JUL17	1 04OCT17	1917.12	1929.50		309.50CR
19JUL17	1 04OCT17	1917.12	1929.50		309.50CR
19JUL17	1 04OCT17	1917.12	1930.00		322.00CR
19JUL17	1 04OCT17	1917.12	1928.50		284.50CR
19JUL17	1 04OCT17	1917.12	1929.00		297.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5879.50	5884.50		125.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2319.50	2311.50		200.00DR
04JUL17	2 04OCT17	2320.00	2311.50		425.00DR
04JUL17	1 04OCT17	2311.00	2311.50		12.50CR
04JUL17	1 04OCT17	2306.00	2306.00		0.00
04JUL17	1 04OCT17	2304.00	2308.00		100.00CR
04JUL17	1 04OCT17	2305.00	2288.50		412.50DR
04JUL17	1 04OCT17	2303.50	2295.50		200.00DR
04JUL17	1 04OCT17	2310.00	2301.00		225.00DR
04JUL17	1 04OCT17	2293.50	2305.00		287.50CR
04JUL17	1 04OCT17	2305.50	2295.50		250.00DR
04JUL17	1 04OCT17	2300.00	2303.00		75.00CR
19JUL17	1 04OCT17	2298.50	2214.75		2,093.75DR
19JUL17	1 04OCT17	2296.00	2214.75		2,031.25DR
19JUL17	1 04OCT17	2296.50	2214.75		2,043.75DR
19JUL17	1 04OCT17	2294.00	2214.75		1,981.25DR
19JUL17	2 04OCT17	2289.00	2214.75		3,712.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9120.00	9200.00		480.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2779.50	2770.00		237.50DR
04JUL17	1 04OCT17	2779.50	2770.50		225.00DR
04JUL17	1 04OCT17	2779.50	2772.50		175.00DR
04JUL17	1 04OCT17	2780.00	2773.50		162.50DR
04JUL17	1 04OCT17	2781.00	2773.50		187.50DR
04JUL17	1 04OCT17	2781.00	2772.50		212.50DR
04JUL17	1 04OCT17	2781.00	2772.50		212.50DR
04JUL17	1 04OCT17	2781.00	2773.00		200.00DR
04JUL17	1 04OCT17	2780.50	2768.50		300.00DR
04JUL17	1 04OCT17	2780.50	2776.00		112.50DR
04JUL17	2 04OCT17	2780.00	2778.50		75.00DR
04JUL17	1 04OCT17	2780.00	2777.50		62.50DR
04JUL17	1 04OCT17	2780.00	2777.50		62.50DR
04JUL17	1 04OCT17	2780.00	2781.50		37.50CR
04JUL17	1 04OCT17	2779.50	2781.50		50.00CR
04JUL17	1 04OCT17	2780.00	2783.00		75.00CR
04JUL17	1 04OCT17	2770.50	2781.50		275.00CR
04JUL17	1 04OCT17	2769.50	2783.50		350.00CR
04JUL17	1 04OCT17	2770.00	2783.00		325.00CR
04JUL17	1 04OCT17	2772.00	2784.50		312.50CR
04JUL17	1 04OCT17	2773.00	2786.50		337.50CR
04JUL17	1 04OCT17	2775.00	2785.00		250.00CR
04JUL17	1 04OCT17	2776.50	2784.50		200.00CR
04JUL17	1 04OCT17	2776.50	2784.50		200.00CR

Group No: SAPG0083

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2777.50	2786.50		225.00CR
04JUL17	1 04OCT17	2785.50	2788.50		75.00CR
04JUL17	1 04OCT17	2778.50	2797.00		462.50CR
04JUL17	1 04OCT17	2777.00	2800.50		587.50CR
04JUL17	1 04OCT17	2777.50	2796.50		475.00CR
04JUL17	1 04OCT17	2777.00	2794.50		437.50CR
04JUL17	1 04OCT17	2775.50	2794.00		462.50CR
04JUL17	1 04OCT17	2775.00	2794.50		487.50CR
04JUL17	1 04OCT17	2775.00	2790.00		375.00CR
19JUL17	1 04OCT17	2780.00	2744.55		886.25DR
19JUL17	2 04OCT17	2781.00	2744.55		1,822.50DR
19JUL17	2 04OCT17	2780.50	2744.55		1,797.50DR
19JUL17	1 04OCT17	2796.00	2744.55		1,286.25DR
19JUL17	1 04OCT17	2792.00	2744.55		1,186.25DR
19JUL17	3 04OCT17	2793.20	2744.55		3,648.75DR
19JUL17	1 04OCT17	2785.50	2744.55		1,023.75DR
TOTALS					
BROUGHT FORWARD BALANCE					230,367.87CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					13,100.00DR
LME Copper Grade A 25 tonnes. This Futures					125.00CR
LME Zinc 25 tonnes. This is an LME Futures					7,876.25DR
LME Nickel 6 tonnes. This is an LME Futures					480.00CR
NYM Light Sweet Crude Oil Futures					7,450.00CR
LME Aluminium 25 Tonnes. This is an Futures					2,694.50CR
COMMISSIONS					500.70DR
CARRIED FORWARD BALANCE					219,640.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL	10670.00		5.00DR	
04OCT17	S 1 04JAN18 DMA EL		10675.00	5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9135.00	9120.00		90.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20010.00	20085.00		375.00CR
04JUL17	1 04OCT17	20055.00	20010.00		225.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,554.70CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00CR
	LME Nickel 6 tonnes. This is an LME Futures				90.00DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				18,604.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YO

4th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
19SEP17	LME Nickel 6 tonnes. This is an LME registered client contract 1 040CT17	11080.13	9190.00		11,340.78DR
04JUL17	LME Tin 5 tonnes. This is an LME registered client contract 1 040CT17	20005.00	20005.00		0.00
19SEP17	1 040CT17	20060.00	20744.00		3,420.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,732.84CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,420.00CR
	LME Nickel 6 tonnes. This is an LME Futures				11,340.78DR
	CARRIED FORWARD BALANCE				22,812.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		20795.00	5.00DR	
04OCT17	B 1 04JAN18 DMA EL	20800.00		5.00DR	
	Commission				10.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,445.00CR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				8,435.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	S 1 NOV17 TTR GX		50.11	1.57DR	
040CT17	S 1 NOV17 TTR GX		50.40	1.57DR	
040CT17	B 1 DEC17 TTR GX	50.41		1.57DR	
040CT17	B 1 DEC17 TTR GX	50.70		1.57DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	S 1 NOV17 TTR GX		2.91700	1.57DR	
040CT17	S 2 NOV17 TTR GX		2.96500	3.14DR	
040CT17	S 2 NOV17 TTR GX		2.95500	3.14DR	
040CT17	S 3 NOV17 TTR GX		2.93100	4.71DR	
040CT17	B 1 DEC17 TTR GX	3.10500		1.57DR	
040CT17	B 2 DEC17 TTR GX	3.14900		3.14DR	
040CT17	B 2 DEC17 TTR GX	3.13600		3.14DR	
040CT17	B 3 DEC17 TTR GX	3.11600		4.71DR	
	Commission				31.40DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	1 NOV17	46.99	50.11		3,120.00CR
040CT17	1 NOV17	49.08	50.40		1,320.00CR
040CT17	1 DEC17	50.41	44.16		6,250.00DR
040CT17	1 DEC17	50.70	47.36		3,340.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	1 NOV17	3.00800	2.91700		910.00DR
040CT17	1 NOV17	3.17000	2.96500		2,050.00DR
040CT17	1 NOV17	3.17400	2.96500		2,090.00DR
040CT17	1 NOV17	3.17400	2.95500		2,190.00DR
040CT17	1 NOV17	3.17600	2.95500		2,210.00DR
040CT17	1 NOV17	3.19900	2.93100		2,680.00DR
040CT17	1 NOV17	3.20000	2.93100		2,690.00DR
040CT17	1 NOV17	3.19600	2.93100		2,650.00DR
040CT17	1 DEC17	3.10500	3.16600		610.00CR
040CT17	1 DEC17	3.14900	3.31300		1,640.00CR
040CT17	1 DEC17	3.14900	3.31400		1,650.00CR
040CT17	1 DEC17	3.13600	3.31400		1,780.00CR
040CT17	1 DEC17	3.13600	3.31600		1,800.00CR
040CT17	1 DEC17	3.11600	3.33900		2,230.00CR
040CT17	1 DEC17	3.11600	3.34000		2,240.00CR
040CT17	1 DEC17	3.11600	3.33900		2,230.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,024.47CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				3,290.00DR
	NYM Light Sweet Crude Oil Futures				5,150.00DR
	COMMISSIONS				31.40DR
	CARRIED FORWARD BALANCE				54,553.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 1 04JAN18 TTR EL	2147.00		5.00DR	
	CME Euro FX 125,000 CME				
04OCT17	S 1 DEC17 TTR GX		1.18140	1.19DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 2 04JAN18 TTR EL	2576.50		10.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 TTR EL	10665.00		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	10660.00		5.00DR	
04OCT17	S 1 04JAN18 TTR EL		10575.00	5.00DR	
04OCT17	S 1 04JAN18 TTR EL		10570.00	5.00DR	
04OCT17	B 1 04JAN18 DMA EL	10570.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 TTR EL		3292.50	5.00DR	
04OCT17	S 1 04JAN18 TTR EL		3294.00	5.00DR	
04OCT17	B 1 04JAN18 TTR EL	3295.00		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	3294.50		5.00DR	
04OCT17	B 1 04JAN18 TTR EL	3295.50		5.00DR	
04OCT17	S 1 04JAN18 TTR EL		3282.00	5.00DR	
04OCT17	S 2 04JAN18 TTR EL		3284.00	10.00DR	
	Commission				81.19DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2298.00	2291.00		175.00DR
04JUL17	1 04OCT17	2292.00	2290.50		37.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,867.56CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				212.50DR
	COMMISSIONS				81.19DR
	CARRIED FORWARD BALANCE				91,573.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL 10750.00	10750.00		5.00DR	
04OCT17	B 1 04JAN18 DMA EL 10650.00	10650.00		5.00DR	
04OCT17	S 1 04JAN18 DMA EL		10620.00	5.00DR	
	Commission				15.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,147.10CR
	COMMISSIONS				15.00DR
	CARRIED FORWARD BALANCE				8,132.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		320,251.21CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		2,594.50CR
	LME Copper Grade A 25 tonnes. This Futures		125.00CR
	LME Lead 25 tonnes. This is an Futures		13,312.50DR
	LME Nickel 6 tonnes. This is an LME Futures		10,950.78DR
	LME Tin 5 tonnes. This is an LME Futures		3,570.00CR
	LME Zinc 25 tonnes. This is an LME Futures		7,876.25DR
	NYM Henry Hub Natural Gas Futures		3,290.00DR
	NYM Light Sweet Crude Oil Futures		2,300.00CR
	COMMISSIONS		648.29DR
	CARRIED FORWARD BALANCE		292,762.89CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1916.00	1925.50		237.50CR
04JUL17	1 04OCT17	1916.00	1923.00		175.00CR
04JUL17	2 04OCT17	1916.00	1923.00		350.00CR
04JUL17	1 04OCT17	1916.00	1922.50		162.50CR
04JUL17	3 04OCT17	1916.50	1922.50		450.00CR
04JUL17	1 04OCT17	1916.50	1925.50		225.00CR
04JUL17	1 04OCT17	1917.50	1926.00		212.50CR
04JUL17	1 04OCT17	1918.00	1925.50		187.50CR
10JUL17	1 04OCT17	1918.00	1896.30		542.50DR
10JUL17	1 04OCT17	1918.50	1896.30		555.00DR
10JUL17	1 04OCT17	1918.00	1896.30		542.50DR
10JUL17	2 04OCT17	1917.00	1896.30		1,035.00DR
10JUL17	2 04OCT17	1915.50	1896.30		960.00DR
10JUL17	2 04OCT17	1916.00	1896.30		985.00DR
10JUL17	4 04OCT17	1915.50	1896.30		1,920.00DR
10JUL17	1 04OCT17	1927.00	1896.30		767.50DR
10JUL17	1 04OCT17	1926.50	1896.30		755.00DR
10JUL17	2 04OCT17	1926.00	1896.30		1,485.00DR
10JUL17	2 04OCT17	1929.50	1896.30		1,660.00DR
10JUL17	1 04OCT17	1929.50	1896.30		830.00DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
10JUL17	1 04OCT17	5906.50	5821.72		2,119.50DR
10JUL17	1 04OCT17	5905.00	5821.72		2,082.00DR
10JUL17	1 04OCT17	5891.00	5821.72		1,732.00DR
10JUL17	1 04OCT17	5890.50	5821.72		1,719.50DR
18JUL17	1 04OCT17	5892.50	6001.21		2,717.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2292.00	2328.00		900.00CR
04JUL17	2 04OCT17	2292.00	2328.50		1,825.00CR
04JUL17	1 04OCT17	2292.00	2328.50		912.50CR
04JUL17	2 04OCT17	2292.00	2328.00		1,800.00CR
04JUL17	1 04OCT17	2292.50	2328.00		887.50CR
04JUL17	1 04OCT17	2293.00	2328.00		875.00CR
04JUL17	1 04OCT17	2293.00	2320.50		687.50CR
04JUL17	1 04OCT17	2293.00	2322.50		737.50CR
04JUL17	1 04OCT17	2293.00	2318.00		625.00CR
04JUL17	1 04OCT17	2293.50	2318.00		612.50CR
04JUL17	1 04OCT17	2293.50	2316.50		575.00CR
04JUL17	1 04OCT17	2295.00	2317.00		550.00CR
04JUL17	2 04OCT17	2294.50	2320.00		1,275.00CR
04JUL17	1 04OCT17	2299.50	2318.50		475.00CR
04JUL17	1 04OCT17	2299.00	2318.50		487.50CR
04JUL17	1 04OCT17	2299.50	2318.50		475.00CR
04JUL17	1 04OCT17	2299.50	2318.00		462.50CR
04JUL17	1 04OCT17	2299.00	2318.00		475.00CR
04JUL17	2 04OCT17	2299.50	2317.00		875.00CR
04JUL17	1 04OCT17	2299.50	2316.00		412.50CR
04JUL17	1 04OCT17	2299.50	2316.00		412.50CR
04JUL17	1 04OCT17	2298.00	2315.50		437.50CR
04JUL17	1 04OCT17	2298.00	2315.00		425.00CR
04JUL17	1 04OCT17	2298.00	2314.50		412.50CR
04JUL17	1 04OCT17	2294.00	2313.00		475.00CR
04JUL17	1 04OCT17	2293.50	2313.50		500.00CR
04JUL17	1 04OCT17	2293.00	2314.50		537.50CR
04JUL17	1 04OCT17	2296.50	2318.00		537.50CR
04JUL17	1 04OCT17	2296.50	2317.00		512.50CR
04JUL17	1 04OCT17	2296.50	2317.00		512.50CR
04JUL17	1 04OCT17	2297.00	2313.00		400.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2297.00	2312.50		387.50CR
04JUL17	2 04OCT17	2297.50	2312.50		750.00CR
04JUL17	1 04OCT17	2298.50	2312.00		337.50CR
04JUL17	1 04OCT17	2298.50	2312.50		350.00CR
04JUL17	1 04OCT17	2300.50	2312.50		300.00CR
04JUL17	1 04OCT17	2308.00	2312.50		112.50CR
04JUL17	1 04OCT17	2306.00	2313.00		175.00CR
04JUL17	1 04OCT17	2303.50	2314.50		275.00CR
04JUL17	1 04OCT17	2302.50	2305.50		75.00CR
04JUL17	1 04OCT17	2302.50	2305.50		75.00CR
04JUL17	1 04OCT17	2302.50	2303.50		25.00CR
04JUL17	1 04OCT17	2302.50	2303.50		25.00CR
04JUL17	1 04OCT17	2302.00	2303.00		25.00CR
04JUL17	1 04OCT17	2295.50	2304.00		212.50CR
04JUL17	1 04OCT17	2295.50	2318.50		575.00CR
04JUL17	1 04OCT17	2295.50	2317.00		537.50CR
04JUL17	1 04OCT17	2296.50	2298.50		50.00CR
04JUL17	1 04OCT17	2296.00	2298.00		50.00CR
04JUL17	1 04OCT17	2296.00	2296.00		0.00
04JUL17	1 04OCT17	2294.00	2297.50		87.50CR
04JUL17	1 04OCT17	2296.50	2295.00		37.50DR
18JUL17	1 04OCT17	2272.63	2295.00		559.25CR
18JUL17	1 04OCT17	2272.63	2295.00		559.25CR
18JUL17	1 04OCT17	2272.63	2296.50		596.75CR
18JUL17	1 04OCT17	2272.63	2295.50		571.75CR
TOTALS					
BROUGHT FORWARD BALANCE					1,019,219.99CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					27,762.00CR
LME Copper Grade A 25 tonnes. This Futures					4,935.25DR
LME Aluminium 25 Tonnes. This is an Futures					10,037.50DR
CARRIED FORWARD BALANCE					1,032,009.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086D

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5876.00	5879.00		75.00CR
04JUL17	1 04OCT17	5873.00	5894.50		537.50CR
04JUL17	1 04OCT17	5874.50	5894.00		487.50CR
04JUL17	1 04OCT17	5885.00	5895.00		250.00CR
04JUL17	1 04OCT17	5885.00	5895.00		250.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,482.90CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,600.00CR
	CARRIED FORWARD BALANCE				8,082.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086E

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5876.50	5879.00		62.50CR
04JUL17	1 04OCT17	5873.50	5896.00		562.50CR
04JUL17	1 04OCT17	5873.50	5896.00		562.50CR
04JUL17	1 04OCT17	5890.00	5892.50		62.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2784.50	2779.50		125.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,428.20CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,250.00CR
	LME Zinc 25 tonnes. This is an LME Futures				125.00DR
	CARRIED FORWARD BALANCE				7,553.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086F

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5873.50	5895.50		550.00CR
04JUL17	1 04OCT17	5885.50	5901.00		387.50CR
04JUL17	1 04OCT17	5884.00	5900.50		412.50CR
04JUL17	1 04OCT17	5903.00	5897.50		137.50DR
04JUL17	1 04OCT17	5900.50	5893.00		187.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20010.00	20050.00		200.00CR
04JUL17	1 04OCT17	20005.00	20040.00		175.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,245.05CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				375.00CR
	LME Copper Grade A 25 tonnes. This Futures				1,025.00CR
	CARRIED FORWARD BALANCE				24,645.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086G

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5882.00	5879.50		62.50DR
04JUL17	1 04OCT17	5874.00	5892.50		462.50CR
04JUL17	1 04OCT17	5887.00	5885.00		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,723.40CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				350.00CR
	CARRIED FORWARD BALANCE				7,073.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086H

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5874.50	5896.50		550.00CR
04JUL17	1 04OCT17	5874.00	5895.00		525.00CR
04JUL17	1 04OCT17	5873.50	5892.50		475.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20050.00	20040.00		50.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				33,606.75CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				50.00DR
	LME Copper Grade A 25 tonnes. This Futures				1,550.00CR
	CARRIED FORWARD BALANCE				35,106.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0086

4th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		308,680.10CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		10,037.50DR
	LME Copper Grade A 25 tonnes. This Futures		839.75CR
	LME Lead 25 tonnes. This is an Futures		27,762.00CR
	LME Tin 5 tonnes. This is an LME Futures		325.00CR
	LME Zinc 25 tonnes. This is an LME Futures		125.00DR
	CARRIED FORWARD BALANCE		327,444.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0087A

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
17JUL17	1 04OCT17	5991.56	5880.50		2,776.50DR
17JUL17	1 04OCT17	5991.56	5880.50		2,776.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				51,635.58CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				5,553.00DR
	CARRIED FORWARD BALANCE				46,082.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0087
SUITE A, 105 PICCADILLY
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SAPG0087

4th Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		51,635.58CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		5,553.00DR
	CARRIED FORWARD BALANCE		46,082.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089B

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	S 50 DEC17 TTR GX		1278.8	110.00DR	
040CT17	S 24 DEC17 TTR GX		1279.1	52.80DR	
040CT17	S 6 DEC17 TTR GX		1279.0	13.20DR	
040CT17	B 5 DEC17 TTR GX	1279.0		11.00DR	
	CMX Silver 5,000 CMX				
040CT17	B 59 DEC17 TTR GX	1677.5		129.80DR	
040CT17	B 11 DEC17 TTR GX	1678.0		24.20DR	
040CT17	B 30 DEC17 TTR GX	1678.5		66.00DR	
040CT17	B 15 DEC17 TTR GX	1679.0		33.00DR	
	Commission				440.00DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
040CT17	5 DEC17	1279.0	1278.8		100.00DR
040CT17	6 DEC17	1264.9	1278.8		8,340.00CR
040CT17	10 DEC17	1264.9	1278.8		13,900.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				341,075.58CR
	SETTLEMENTS				
	CMX Gold Futures				22,140.00CR
	COMMISSIONS				440.00DR
	CARRIED FORWARD BALANCE				362,775.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089R

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 6 02NOV17 SGL UD	3288.50		16.20DR	
04OCT17	S 6 27DEC17 SGL UD		3276.13	16.20DR	
	Commission				32.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				271,193.61DR
	COMMISSIONS				32.40DR
	CARRIED FORWARD BALANCE				271,226.01DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089
SUITE A, 105 PICCADILLY
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4th Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		69,201.97CR
	SETTLEMENTS		
	CMX Gold Futures		22,140.00CR
	COMMISSIONS		472.40DR
	CARRIED FORWARD BALANCE		90,869.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 1 04JAN18 DMA EL	2157.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2158.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2158.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2159.00		4.35DR	
	CMX Copper 25,000 CMX				
04OCT17	B 1 DEC17 GX	296.400		1.73DR	
04OCT17	B 2 DEC17 GX	295.000		3.46DR	
04OCT17	B 2 DEC17 GX	294.900		3.46DR	
04OCT17	B 1 DEC17 GX	295.050		1.73DR	
04OCT17	B 3 DEC17 GX	296.000		5.19DR	
04OCT17	B 1 DEC17 GX	295.700		1.73DR	
04OCT17	B 2 DEC17 GX	295.550		3.46DR	
04OCT17	B 1 DEC17 GX	295.500		1.73DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		2606.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2571.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2571.50		8.70DR	
04OCT17	B 2 04JAN18 DMA EL	2570.00		8.70DR	
04OCT17	B 2 04JAN18 DMA EL	2570.50		8.70DR	
04OCT17	B 2 04JAN18 DMA EL	2573.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2574.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2576.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2577.00		8.70DR	
04OCT17	B 2 04JAN18 DMA EL	2572.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2573.50		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2575.50		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2562.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2559.00		4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		10770.00	4.35DR	
	CMX Silver 5,000 CMX				
04OCT17	S 10 DEC17 GX		1665.0	17.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 2 04JAN18 DMA EL	3290.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	3290.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3289.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3295.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	3281.50		8.70DR	
04OCT17	S 1 04JAN18 DMA EL		3295.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3297.50	4.35DR	
04OCT17	S 2 04JAN18 DMA EL		3301.00	8.70DR	
04OCT17	S 1 04JAN18 DMA EL		3304.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3304.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3289.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3290.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3286.50	4.35DR	
04OCT17	S 3 04JAN18 DMA EL		3291.00	13.05DR	
04OCT17	S 1 04JAN18 DMA EL		3293.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3292.50	4.35DR	
04OCT17	S 2 04JAN18 DMA EL		3297.00	8.70DR	
04OCT17	S 1 04JAN18 DMA EL		3300.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3282.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3276.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3279.50		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	3277.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	3278.50		4.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	B 1 04JAN18 DMA EL	3277.50		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	3279.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	3280.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	3281.00		8.70DR	
	Commission				309.49DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
04OCT17	1 DEC17	296.000	292.950		762.50DR
04OCT17	1 DEC17	296.000	293.150		712.50DR
04OCT17	1 DEC17	296.400	293.150		812.50DR
04OCT17	1 DEC17	295.000	293.850		287.50DR
04OCT17	1 DEC17	295.000	293.750		312.50DR
04OCT17	1 DEC17	294.900	293.600		325.00DR
04OCT17	1 DEC17	294.900	293.650		312.50DR
	CMX Silver 5,000 CMX				
04OCT17	1 DEC17	1783.5	1665.0		5,925.00DR
04OCT17	1 DEC17	1782.0	1665.0		5,850.00DR
04OCT17	1 DEC17	1776.5	1665.0		5,575.00DR
04OCT17	1 DEC17	1775.5	1665.0		5,525.00DR
04OCT17	1 DEC17	1774.0	1665.0		5,450.00DR
04OCT17	1 DEC17	1769.5	1665.0		5,225.00DR
04OCT17	1 DEC17	1770.5	1665.0		5,275.00DR
04OCT17	1 DEC17	1771.5	1665.0		5,325.00DR
04OCT17	1 DEC17	1768.0	1665.0		5,150.00DR
04OCT17	1 DEC17	1763.0	1665.0		4,900.00DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1916.00	1923.00		175.00CR
04JUL17	1 04OCT17	1915.50	1915.50		0.00
04JUL17	1 04OCT17	1915.50	1928.00		312.50CR
12JUL17	1 04OCT17	1915.50	1887.86		691.00DR
12JUL17	1 04OCT17	1916.50	1887.86		716.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2307.50	2299.80		192.50DR
04JUL17	1 04OCT17	2307.50	2299.80		192.50DR
04JUL17	1 04OCT17	2309.50	2299.80		242.50DR
04JUL17	1 04OCT17	2307.00	2299.80		180.00DR
04JUL17	1 04OCT17	2300.50	2307.50		175.00CR
04JUL17	1 04OCT17	2299.50	2299.00		12.50DR
04JUL17	1 04OCT17	2305.00	2296.00		225.00DR
04JUL17	1 04OCT17	2296.50	2297.00		12.50CR
04JUL17	1 04OCT17	2294.50	2301.00		162.50CR
04JUL17	1 04OCT17	2294.00	2306.50		312.50CR
04JUL17	1 04OCT17	2318.50	2306.00		312.50DR
04JUL17	1 04OCT17	2319.50	2307.50		300.00DR
11JUL17	1 04OCT17	2303.54	2305.00		36.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
25JUL17	1 04OCT17	9150.00	9996.25		5,077.50CR
25JUL17	1 04OCT17	9170.00	9996.25		4,957.50CR
25JUL17	1 04OCT17	9165.00	9996.25		4,987.50CR
25JUL17	1 04OCT17	9150.00	9996.25		5,077.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2779.50	2773.00		162.50DR
04JUL17	1 04OCT17	2780.00	2777.00		75.00DR

Group No: SAPG0094

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2791.00	2778.50		312.50DR
04JUL17	1 04OCT17	2770.00	2779.50		237.50CR
04JUL17	1 04OCT17	2778.50	2774.50		100.00DR
04JUL17	1 04OCT17	2776.50	2786.00		237.50CR
04JUL17	1 04OCT17	2774.50	2788.00		337.50CR
11JUL17	1 04OCT17	2774.50	2819.22		1,118.00CR
11JUL17	1 04OCT17	2775.00	2819.22		1,105.50CR
11JUL17	1 04OCT17	2791.00	2819.22		705.50CR
11JUL17	1 04OCT17	2788.50	2819.22		768.00CR
11JUL17	1 04OCT17	2780.50	2819.22		968.00CR
11JUL17	1 04OCT17	2780.00	2819.22		980.50CR
11JUL17	1 04OCT17	2779.00	2819.42		1,010.50CR
11JUL17	1 04OCT17	2779.50	2819.42		998.00CR
12JUL17	1 04OCT17	2780.00	2831.89		1,297.25CR
12JUL17	1 04OCT17	2780.00	2831.89		1,297.25CR
12JUL17	1 04OCT17	2769.50	2831.89		1,559.75CR
12JUL17	1 04OCT17	2769.50	2831.89		1,559.75CR
12JUL17	1 04OCT17	2773.00	2831.89		1,472.25CR
TOTALS					
BROUGHT FORWARD BALANCE					173,875.37CR
SETTLEMENTS					
CMX Silver Futures					54,200.00DR
LME Lead 25 tonnes. This is an Futures					958.50DR
LME Zinc 25 tonnes. This is an LME Futures					15,002.75CR
CMX Copper Futures					3,525.00DR
LME Nickel 6 tonnes. This is an LME Futures					20,100.00CR
LME Aluminium 25 Tonnes. This is an Futures					919.50DR
COMMISSIONS					309.49DR
CARRIED FORWARD BALANCE					149,065.63CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	S 1 04JAN18 DMA EL		2152.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2152.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2155.00	4.35DR	
	CMX Copper 25,000 CMX				
04OCT17	B 1 DEC17 GX 294.800			1.73DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		2605.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2608.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2569.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2575.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2575.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2581.50		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2582.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2576.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2572.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2571.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2571.50		8.70DR	
04OCT17	S 1 04JAN18 DMA EL		2606.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2607.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2572.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2574.50		8.70DR	
04OCT17	B 2 04JAN18 DMA EL	2570.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2556.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2556.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2559.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2555.50		4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		3276.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3282.00		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3295.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3296.50	4.35DR	
04OCT17	S 2 04JAN18 DMA EL		3297.00	8.70DR	
04OCT17	S 1 04JAN18 DMA EL		3298.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3300.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3301.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3293.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3294.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3290.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3291.50	4.35DR	
04OCT17	S 2 04JAN18 DMA EL		3292.50	8.70DR	
04OCT17	B 1 04JAN18 DMA EL	3279.00		4.35DR	
	Commission				188.78DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
04OCT17	1 DEC17	294.800	293.950		212.50DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
11JUL17	1 04OCT17	1916.50	1899.13		434.25DR
	LME Lead 25 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2294.00	2299.80		145.00CR
04JUL17	1 04OCT17	2298.00	2299.80		45.00CR
04JUL17	1 04OCT17	2296.00	2299.80		95.00CR
04JUL17	1 04OCT17	2299.50	2299.80		7.50CR
04JUL17	1 04OCT17	2299.00	2287.00		300.00DR
04JUL17	1 04OCT17	2297.50	2289.00		212.50DR
11JUL17	1 04OCT17	2293.00	2303.14		253.50CR
12JUL17	1 04OCT17	2294.00	2326.38		809.50CR
12JUL17	1 04OCT17	2294.00	2326.38		809.50CR
12JUL17	1 04OCT17	2295.00	2326.38		784.50CR
12JUL17	1 04OCT17	2294.00	2326.38		809.50CR
12JUL17	1 04OCT17	2294.00	2326.38		809.50CR
12JUL17	1 04OCT17	2293.00	2326.38		834.50CR
12JUL17	1 04OCT17	2294.50	2326.38		797.00CR
12JUL17	1 04OCT17	2298.00	2326.38		709.50CR
12JUL17	1 04OCT17	2297.00	2326.38		734.50CR
12JUL17	1 04OCT17	2296.00	2326.38		759.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
28JUL17	1 04OCT17	9140.00	10191.25		6,307.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2781.50	2781.50		0.00
04JUL17	1 04OCT17	2792.00	2782.00		250.00DR
04JUL17	1 04OCT17	2792.00	2783.50		212.50DR
04JUL17	1 04OCT17	2782.00	2783.50		37.50CR
04JUL17	1 04OCT17	2782.00	2784.50		62.50CR
04JUL17	1 04OCT17	2781.50	2785.50		100.00CR
04JUL17	1 04OCT17	2780.50	2788.00		187.50CR
04JUL17	1 04OCT17	2780.50	2790.00		237.50CR
04JUL17	1 04OCT17	2780.00	2794.50		362.50CR
12JUL17	1 04OCT17	2831.89	2795.00		922.25DR
12JUL17	1 04OCT17	2831.89	2794.50		934.75DR
TOTALS					
BROUGHT FORWARD BALANCE					698,433.48DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					7,891.00CR
LME Zinc 25 tonnes. This is an LME Futures					1,332.00DR
CMX Copper Futures					212.50DR
LME Nickel 6 tonnes. This is an LME Futures					6,307.50CR
LME Aluminium 25 Tonnes. This is an Futures					434.25DR
COMMISSIONS					188.78DR
CARRIED FORWARD BALANCE					686,402.51DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094H

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		2605.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2576.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2589.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2571.50		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2602.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2570.00		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2589.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2593.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2548.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2545.50		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2547.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2550.00	4.35DR	
	Commission				52.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				40,537.03DR
	COMMISSIONS				52.20DR
	CARRIED FORWARD BALANCE				40,589.23DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094J
4th October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03AUG17	1 04OCT17	6342.75	5876.00		11,668.75DR
03AUG17	1 04OCT17	6342.75	5876.00		11,668.75DR
03AUG17	2 04OCT17	6342.75	5876.00		23,337.50DR
03AUG17	1 04OCT17	6342.75	5876.00		11,668.75DR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2301.00	2315.00		350.00CR
04JUL17	1 04OCT17	2301.00	2315.00		350.00CR
04JUL17	1 04OCT17	2301.00	2315.00		350.00CR
04JUL17	2 04OCT17	2301.00	2315.00		700.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,066,685.12CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,750.00CR
	LME Copper Grade A 25 tonnes. This Futures				58,343.75DR
	CARRIED FORWARD BALANCE				1,010,091.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094L

4th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	2 04OCT17	1921.50	1922.00		25.00CR
04JUL17	1 04OCT17	1921.50	1922.00		12.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				204,443.45DR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				37.50CR
	CARRIED FORWARD BALANCE				204,405.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	B 10 NOV17 TTR GX 50.05			23.00DR	
04OCT17	B 1 NOV17 TTR GX 50.07			2.30DR	
04OCT17	B 1 NOV17 TTR GX 50.06			2.30DR	
04OCT17	B 1 NOV17 TTR GX 50.15			2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.14		50.14	2.30DR	
04OCT17	B 3 NOV17 TTR GX 50.09			6.90DR	
04OCT17	B 1 NOV17 TTR GX 50.12			2.30DR	
04OCT17	B 1 NOV17 TTR GX 50.10			2.30DR	
04OCT17	B 2 NOV17 TTR GX 50.11			4.60DR	
04OCT17	S 1 NOV17 TTR GX 50.31		50.31	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.30		50.30	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.35		50.35	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.33		50.33	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.61		50.61	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.62		50.62	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.66		50.66	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.60		50.60	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.64		50.64	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.52		50.52	2.30DR	
04OCT17	S 3 NOV17 TTR GX 50.51		50.51	6.90DR	
04OCT17	S 1 NOV17 TTR GX 50.55		50.55	2.30DR	
04OCT17	B 1 NOV17 TTR GX 49.90	49.90		2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.03		50.03	2.30DR	
04OCT17	S 1 NOV17 TTR GX 50.21		50.21	2.30DR	
04OCT17	S 2 NOV17 TTR GX 50.15		50.15	4.60DR	
04OCT17	S 1 NOV17 TTR GX 50.10		50.10	2.30DR	
04OCT17	B 2 NOV17 TTR GX 50.04	50.04		4.60DR	
	CMX Copper 25,000 CMX				
04OCT17	B 1 DEC17 TTR GX 296.050	296.050		2.30DR	
04OCT17	B 1 DEC17 TTR GX 296.350	296.350		2.30DR	
04OCT17	B 1 DEC17 TTR GX 296.650	296.650		2.30DR	
04OCT17	S 1 DEC17 TTR GX 296.400		296.400	2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 TTR EL 10680.00	10680.00		4.35DR	
04OCT17	B 1 04JAN18 TTR EL 10675.00	10675.00		4.35DR	
04OCT17	B 1 04JAN18 TTR EL 10655.00	10655.00		4.35DR	
04OCT17	B 1 04JAN18 TTR EL 10640.00	10640.00		4.35DR	
04OCT17	S 1 04JAN18 TTR EL 10795.00		10795.00	4.35DR	
04OCT17	S 3 04JAN18 TTR EL 10800.00		10800.00	13.05DR	
04OCT17	S 1 04JAN18 TTR EL 10805.00		10805.00	4.35DR	
04OCT17	B 1 04JAN18 TTR EL 10725.00	10725.00		4.35DR	
04OCT17	B 2 04JAN18 TTR EL 10730.00	10730.00		8.70DR	
04OCT17	B 2 04JAN18 TTR EL 10735.00	10735.00		8.70DR	
04OCT17	B 1 04JAN18 TTR EL 10665.00	10665.00		4.35DR	
04OCT17	B 2 04JAN18 TTR EL 10650.00	10650.00		8.70DR	
04OCT17	B 1 04JAN18 TTR EL 10625.00	10625.00		4.35DR	
04OCT17	B 2 04JAN18 TTR EL 10620.00	10620.00		8.70DR	
04OCT17	S 2 04JAN18 TTR EL 10595.00		10595.00	8.70DR	
04OCT17	S 2 04JAN18 TTR EL 10590.00		10590.00	8.70DR	
04OCT17	S 1 04JAN18 TTR EL 10585.00		10585.00	4.35DR	
04OCT17	S 2 04JAN18 TTR EL 10570.00		10570.00	8.70DR	
04OCT17	S 1 04JAN18 DMA EL 10570.00		10570.00	4.35DR	
	CMX Silver 5,000 CMX				
04OCT17	B 1 DEC17 TTR GX 1664.0	1664.0		2.30DR	
04OCT17	B 2 DEC17 TTR GX 1667.5	1667.5		4.60DR	
04OCT17	B 3 DEC17 TTR GX 1664.5	1664.5		6.90DR	
04OCT17	S 1 DEC17 TTR GX 1664.0		1664.0	2.30DR	
04OCT17	S 1 DEC17 TTR GX 1669.5		1669.5	2.30DR	
04OCT17	S 1 DEC17 TTR GX 1664.5		1664.5	2.30DR	
04OCT17	S 2 DEC17 TTR GX 1663.0		1663.0	4.60DR	
	Commission				255.20DR

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17	50.05	50.14		90.00CR
04OCT17	1 NOV17	50.05	50.31		260.00CR
04OCT17	1 NOV17	50.05	50.30		250.00CR
04OCT17	1 NOV17	50.05	50.35		300.00CR
04OCT17	1 NOV17	50.05	50.33		280.00CR
04OCT17	1 NOV17	50.05	50.61		560.00CR
04OCT17	1 NOV17	50.04	50.62		580.00CR
04OCT17	1 NOV17	50.05	50.66		610.00CR
04OCT17	1 NOV17	50.05	50.60		550.00CR
04OCT17	1 NOV17	50.05	50.64		590.00CR
04OCT17	1 NOV17	50.05	50.52		470.00CR
04OCT17	1 NOV17	50.07	50.51		440.00CR
04OCT17	1 NOV17	50.06	50.51		450.00CR
04OCT17	1 NOV17	50.15	50.51		360.00CR
04OCT17	1 NOV17	50.09	50.55		460.00CR
04OCT17	1 NOV17	50.09	50.03		60.00DR
04OCT17	1 NOV17	50.09	50.21		120.00CR
04OCT17	1 NOV17	50.12	50.15		30.00CR
04OCT17	1 NOV17	50.11	50.15		40.00CR
04OCT17	1 NOV17	50.10	50.10		0.00
	CMX Copper 25,000 CMX				
04OCT17	1 DEC17	296.050	296.400		87.50CR
	CMX Silver 5,000 CMX				
04OCT17	1 DEC17	1664.5	1664.0		25.00DR
04OCT17	1 DEC17	1664.0	1669.5		275.00CR
04OCT17	1 DEC17	1667.5	1664.5		150.00DR
04OCT17	1 DEC17	1667.5	1663.0		225.00DR
04OCT17	1 DEC17	1664.5	1663.0		75.00DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1921.00	1920.50		12.50DR
04JUL17	1 04OCT17	1920.50	1920.50		0.00
	TOTALS				
	BROUGHT FORWARD BALANCE				57,433.70DR
	SETTLEMENTS				
	CMX Silver Futures				200.00DR
	CMX Copper Futures				87.50CR
	NYM Light Sweet Crude Oil Futures				6,380.00CR
	LME Aluminium 25 Tonnes. This is an Futures				12.50DR
	COMMISSIONS				255.20DR
	CARRIED FORWARD BALANCE				51,433.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP00940

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1921.00	1922.00		25.00CR
04JUL17	4 04OCT17	1925.00	1922.00		300.00DR
04JUL17	1 04OCT17	1924.50	1922.00		62.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				362,949.60DR
	SETTLEMENTS				
	LME Aluminium 25 Tonnes. This is an Futures				337.50DR
	CARRIED FORWARD BALANCE				363,287.10DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094Q

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 04OCT17	1913.88	1923.00		228.00CR
20JUL17	1 04OCT17	1913.88	1929.50		390.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
20JUL17	1 04OCT17	2308.00	2210.05		2,448.75DR
20JUL17	1 04OCT17	2300.50	2210.25		2,256.25DR
20JUL17	1 04OCT17	2296.50	2210.25		2,156.25DR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20005.00	20045.00		200.00CR
04JUL17	1 04OCT17	20050.00	20065.00		75.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2796.50	2796.50		0.00
20JUL17	1 04OCT17	2725.34	2796.00		1,766.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,468.27DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				275.00CR
	LME Lead 25 tonnes. This is an Futures				6,861.25DR
	LME Zinc 25 tonnes. This is an LME Futures				1,766.50CR
	LME Aluminium 25 Tonnes. This is an Futures				618.50CR
	CARRIED FORWARD BALANCE				6,669.52DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

4th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 04OCT17	1913.88	1920.50		165.50CR
20JUL17	1 04OCT17	1913.88	1921.50		190.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2303.50	2305.50		50.00CR
04JUL17	1 04OCT17	2296.50	2299.00		62.50CR
20JUL17	1 04OCT17	2306.50	2210.05		2,411.25DR
20JUL17	1 04OCT17	2300.00	2210.05		2,248.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9125.00	9140.00		90.00CR
04JUL17	1 04OCT17	9115.00	9140.00		150.00CR
04JUL17	1 04OCT17	9275.00	9145.00		780.00DR
04JUL17	1 04OCT17	9230.00	9155.00		450.00DR
04JUL17	1 04OCT17	9180.00	9260.00		480.00CR
20JUL17	1 04OCT17	9487.75	9260.00		1,366.50DR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20005.00	20070.00		325.00CR
04JUL17	1 04OCT17	20005.00	20040.00		175.00CR
04JUL17	1 04OCT17	20020.00	20035.00		75.00CR
04JUL17	1 04OCT17	20110.00	20070.00		200.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2794.50	2797.00		62.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,224.49DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				375.00CR
	LME Lead 25 tonnes. This is an Futures				4,547.50DR
	LME Zinc 25 tonnes. This is an LME Futures				62.50CR
	LME Nickel 6 tonnes. This is an LME Futures				1,876.50DR
	LME Aluminium 25 Tonnes. This is an Futures				356.00CR
	CARRIED FORWARD BALANCE				8,854.99DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094S

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	S 1 04JAN18 DMA EL		2149.00	4.35DR	
04OCT17	S 2 04JAN18 DMA EL		2149.50	8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2159.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2156.50		8.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		2607.00	4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2590.50		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2591.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2592.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2590.00		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2612.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2614.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2561.50		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2583.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2592.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2582.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2564.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2556.50		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	2557.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	2548.50		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	2546.00		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2547.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2548.50	4.35DR	
	CMX Silver 5,000 CMX				
04OCT17	B 2 DEC17 GX	1678.0		3.46DR	
04OCT17	B 1 DEC17 GX	1673.5		1.73DR	
04OCT17	B 1 DEC17 GX	1674.5		1.73DR	
04OCT17	B 1 DEC17 GX	1666.5		1.73DR	
04OCT17	B 1 DEC17 GX	1666.0		1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL	3281.00		4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3276.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3278.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3292.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3279.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3297.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3298.00	4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3298.00		4.35DR	
04OCT17	B 1 04JAN18 DMA EL	3285.00		4.35DR	
04OCT17	B 2 04JAN18 DMA EL	3279.00		8.70DR	
04OCT17	B 1 04JAN18 DMA EL	3276.50		4.35DR	
	Commission				175.68DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 04OCT17	9195.00	9591.42		2,378.52CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2781.00	2781.00		0.00
04JUL17	1 04OCT17	2792.50	2783.50		225.00DR
04JUL17	1 04OCT17	2792.50	2781.00		287.50DR
04JUL17	1 04OCT17	2792.50	2787.00		137.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 040CT17	2792.00	2773.50		462.50DR
04JUL17	1 040CT17	2793.00	2774.50		462.50DR
04JUL17	1 040CT17	2779.50	2783.50		100.00CR
04JUL17	1 040CT17	2780.00	2784.50		112.50CR
04JUL17	1 040CT17	2780.00	2788.00		200.00CR
17JUL17	1 040CT17	2781.00	2813.00		800.00CR
17JUL17	1 040CT17	2781.00	2813.00		800.00CR
17JUL17	1 040CT17	2780.00	2813.00		825.00CR
17JUL17	1 040CT17	2780.50	2813.00		812.50CR
17JUL17	1 040CT17	2780.50	2813.00		812.50CR
17JUL17	1 040CT17	2773.50	2813.00		987.50CR
17JUL17	1 040CT17	2766.50	2813.00		1,162.50CR
TOTALS					
BROUGHT FORWARD BALANCE					20,951.13DR
SETTLEMENTS					
LME Zinc 25 tonnes. This is an LME Futures					5,037.50CR
LME Nickel 6 tonnes. This is an LME Futures					2,378.52CR
COMMISSIONS					175.68DR
CARRIED FORWARD BALANCE					13,710.79DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094U

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL 2572.50			4.35DR	
04OCT17	B 1 04JAN18 DMA EL 2573.00			4.35DR	
04OCT17	B 1 04JAN18 DMA EL 2547.00			4.35DR	
04OCT17	B 1 04JAN18 DMA EL 2544.50			4.35DR	
04OCT17	S 1 04JAN18 DMA EL		2548.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		3279.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL 3277.50			4.35DR	
04OCT17	B 1 04JAN18 DMA EL 3297.50			4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3294.00	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3295.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3296.50	4.35DR	
04OCT17	S 1 04JAN18 DMA EL		3298.50	4.35DR	
04OCT17	B 1 04JAN18 DMA EL 3285.50			4.35DR	
04OCT17	B 2 04JAN18 DMA EL 3285.00			8.70DR	
04OCT17	B 1 04JAN18 DMA EL 3280.00			4.35DR	
	Commission				69.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				52,861.58DR
	COMMISSIONS				69.60DR
	CARRIED FORWARD BALANCE				52,931.18DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> CMX Copper 25,000 CMX B 1 DEC17 TTR GX Commission	295.400		2.30DR	2.30DR
	<u>MATCH OUTS</u> LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04JUL17	1 04OCT17	1915.00	1923.00		200.00CR
04JUL17	1 04OCT17	1917.50	1922.00		112.50CR
04JUL17	1 04OCT17	1928.00	1925.50		62.50DR
04JUL17	1 04OCT17	1926.50	1922.50		100.00DR
04JUL17	1 04OCT17	1930.00	1921.50		212.50DR
12JUL17	1 04OCT17	1888.06	1917.00		723.50CR
12JUL17	1 04OCT17	1888.06	1919.00		773.50CR
12JUL17	1 04OCT17	1888.06	1921.00		823.50CR
17JUL17	1 04OCT17	1915.61	1922.00		159.75CR
17JUL17	1 04OCT17	1915.61	1921.00		134.75CR
28JUL17	1 04OCT17	1901.38	1928.00		665.50CR
08AUG17	1 04OCT17	1901.18	2023.55		3,059.25CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5912.00	5880.50		787.50DR
04JUL17	1 04OCT17	5894.50	5875.50		475.00DR
04JUL17	1 04OCT17	5894.50	5873.00		537.50DR
04JUL17	1 04OCT17	5910.00	5870.00		1,000.00DR
04JUL17	1 04OCT17	5891.00	5876.50		362.50DR
17JUL17	2 04OCT17	5991.36	5894.00		4,868.00DR
19JUL17	1 04OCT17	5961.79	5893.00		1,719.75DR
19JUL17	1 04OCT17	5961.79	5905.50		1,407.25DR
19JUL17	1 04OCT17	5961.79	5961.59		5.00DR
27JUL17	1 04OCT17	6324.45	5961.59		9,071.50DR
08AUG17	1 04OCT17	6467.55	5961.59		12,649.00DR
15AUG17	1 04OCT17	6363.32	5961.59		10,043.25DR
05SEP17	1 04OCT17	6363.12	6876.54		12,835.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2306.00	2325.50		487.50CR
04JUL17	1 04OCT17	2291.00	2296.50		137.50CR
04JUL17	1 04OCT17	2297.00	2297.00		0.00
04JUL17	1 04OCT17	2296.50	2296.50		0.00
04JUL17	1 04OCT17	2296.00	2297.00		25.00CR
04JUL17	1 04OCT17	2295.50	2296.50		25.00CR
04JUL17	1 04OCT17	2297.50	2297.00		12.50DR
14JUL17	1 04OCT17	2315.22	2326.58		284.00CR
19JUL17	4 04OCT17	2315.22	2214.75		10,047.00DR
19JUL17	1 04OCT17	2315.22	2214.75		2,511.75DR
28JUL17	1 04OCT17	2315.22	2316.80		39.50CR
28JUL17	1 04OCT17	2315.22	2316.80		39.50CR
28JUL17	1 04OCT17	2315.22	2317.00		44.50CR
08AUG17	1 04OCT17	2315.22	2375.21		1,499.75CR
08AUG17	1 04OCT17	2315.22	2375.21		1,499.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9175.00	9300.00		750.00CR
04JUL17	1 04OCT17	9200.00	9240.00		240.00CR
04JUL17	1 04OCT17	9200.00	9235.00		210.00CR
04JUL17	1 04OCT17	9195.00	9200.00		30.00CR
04JUL17	1 04OCT17	9195.00	9205.00		60.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	9195.00	9195.00		0.00
04JUL17	3 04OCT17	9195.00	9160.00		630.00DR
04JUL17	1 04OCT17	9195.00	9165.00		180.00DR
04JUL17	1 04OCT17	9195.00	9195.00		0.00
04JUL17	1 04OCT17	9185.00	9195.00		60.00CR
04JUL17	1 04OCT17	9190.00	9170.00		120.00DR
04JUL17	2 04OCT17	9180.00	9155.00		300.00DR
04JUL17	1 04OCT17	9180.00	9150.00		180.00DR
04JUL17	1 04OCT17	9175.00	9145.00		180.00DR
10JUL17	1 04OCT17	8997.75	9145.00		883.50CR
10JUL17	1 04OCT17	8997.75	9145.00		883.50CR
14JUL17	1 04OCT17	9569.45	9145.00		2,546.70DR
14JUL17	1 04OCT17	9569.45	9140.00		2,576.70DR
14JUL17	1 04OCT17	9569.45	9170.00		2,396.70DR
14JUL17	2 04OCT17	9569.45	9160.00		4,913.40DR
14JUL17	1 04OCT17	9569.45	9165.00		2,426.70DR
14JUL17	1 04OCT17	9569.45	9175.00		2,366.70DR
14JUL17	1 04OCT17	9569.45	9205.00		2,186.70DR
14JUL17	2 04OCT17	9569.45	9198.93		4,446.24DR
14JUL17	4 04OCT17	9569.45	9198.93		8,892.48DR
14JUL17	1 04OCT17	9569.45	9198.93		2,223.12DR
14JUL17	1 04OCT17	9569.45	9198.93		2,223.12DR
17JUL17	1 04OCT17	9569.45	9591.42		131.82CR
17JUL17	1 04OCT17	9569.45	9591.42		131.82CR
19JUL17	1 04OCT17	9569.45	9642.50		438.30CR
19JUL17	1 04OCT17	9569.45	9642.50		438.30CR
27JUL17	2 04OCT17	9569.45	10122.00		6,630.60CR
28JUL17	1 04OCT17	10035.00	10190.25		931.50CR
28JUL17	1 04OCT17	10035.00	10191.25		937.50CR
08AUG17	1 04OCT17	10191.25	10611.75		2,523.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20070.00	20050.00		100.00DR
04JUL17	1 04OCT17	20050.00	20065.00		75.00CR
14JUL17	1 04OCT17	20040.00	19818.38		1,108.10DR
28JUL17	1 04OCT17	20000.00	20635.84		3,179.20CR
08AUG17	1 04OCT17	19970.00	20235.11		1,325.55CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2801.00	2789.50		287.50DR
04JUL17	1 04OCT17	2795.50	2785.50		250.00DR
04JUL17	1 04OCT17	2789.50	2785.00		112.50DR
04JUL17	1 04OCT17	2778.00	2784.00		150.00CR
12JUL17	1 04OCT17	2787.00	2831.89		1,122.25CR
12JUL17	1 04OCT17	2794.50	2831.89		934.75CR
17JUL17	1 04OCT17	2788.50	2813.20		617.50CR
28JUL17	1 04OCT17	2771.71	2813.20		1,037.25CR
08AUG17	1 04OCT17	2922.08	2813.20		2,722.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				263,765.30CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				3,371.65CR
	LME Lead 25 tonnes. This is an Futures				8,489.25DR
	LME Copper Grade A 25 tonnes. This Futures				30,090.75DR
	LME Zinc 25 tonnes. This is an LME Futures				489.75CR
	LME Nickel 6 tonnes. This is an LME Futures				23,508.72DR
	LME Aluminium 25 Tonnes. This is an Futures				6,277.25CR
	COMMISSIONS				2.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				211,812.93CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
04OCT17	B 1 NOV17 GX 2.91500	2.91500		2.30DR	
04OCT17	S 1 NOV17 GX		2.97300	2.30DR	
04OCT17	S 1 NOV17 GX		2.96100	2.30DR	
04OCT17	S 1 NOV17 GX		2.93800	2.30DR	
04OCT17	S 1 NOV17 GX		2.93400	2.30DR	
04OCT17	S 2 NOV17 GX		2.93900	4.60DR	
	Commission				16.10DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
04OCT17	1 NOV17	2.91500	2.97300		580.00CR
04OCT17	1 NOV17	2.91500	2.96100		460.00CR
04OCT17	1 NOV17	2.91500	2.93800		230.00CR
04OCT17	1 NOV17	2.90800	2.93400		260.00CR
04OCT17	1 NOV17	2.90200	2.93900		370.00CR
04OCT17	1 NOV17	2.89400	2.93900		450.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,742.45CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				2,350.00CR
	COMMISSIONS				16.10DR
	CARRIED FORWARD BALANCE				21,076.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL	2589.50		4.85DR	
04OCT17	B 1 04JAN18 DMA EL	2590.00		4.85DR	
	Commission				9.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,268.57DR
	COMMISSIONS				9.70DR
	CARRIED FORWARD BALANCE				5,278.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 1 04JAN18 TTR EL	2145.00		4.35DR	
04OCT17	S 1 04JAN18 TTR EL		2151.50	4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2166.00		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2154.00		4.35DR	
04OCT17	S 1 04JAN18 TTR EL		2159.00	4.35DR	
04OCT17	S 1 04JAN18 TTR EL		2165.00	4.35DR	
	CMX Copper 25,000 CMX				
04OCT17	B 1 DEC17 TTR GX	296.200		2.35DR	
04OCT17	S 1 DEC17 TTR GX		295.650	2.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 TTR EL		2605.50	4.35DR	
04OCT17	S 1 04JAN18 TTR EL		2608.00	4.35DR	
04OCT17	B 2 04JAN18 TTR EL	2586.50		8.70DR	
04OCT17	S 1 04JAN18 TTR EL		2587.00	4.35DR	
04OCT17	S 2 04JAN18 TTR EL		2588.50	8.70DR	
04OCT17	S 1 04JAN18 TTR EL		2594.00	4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2567.00		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2565.50		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2551.50		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	2548.50		4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 TTR EL	3279.50		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	3299.50		4.35DR	
04OCT17	B 1 04JAN18 TTR EL	3295.00		4.35DR	
04OCT17	S 2 04JAN18 TTR EL		3283.00	8.70DR	
04OCT17	S 1 04JAN18 TTR EL		3284.50	4.35DR	
	Commission				109.10DR
	<u>SETTLEMENTS</u>				
04OCT17	CMX Copper 25,000 CMX				
	1 DEC17	296.200	295.650		137.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,926.35DR
	SETTLEMENTS				
	CMX Copper Futures				137.50DR
	COMMISSIONS				109.10DR
	CARRIED FORWARD BALANCE				43,172.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th Oct 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,229,656.71CR
	SETTLEMENTS		
	CMX Copper Futures		3,787.50DR
	CMX Silver Futures		54,400.00DR
	LME Aluminium 25 Tonnes. This is an Futures		5,585.50CR
	LME Copper Grade A 25 tonnes. This Futures		88,434.50DR
	LME Lead 25 tonnes. This is an Futures		11,215.50DR
	LME Nickel 6 tonnes. This is an LME Futures		3,400.80CR
	LME Tin 5 tonnes. This is an LME Futures		4,021.65CR
	LME Zinc 25 tonnes. This is an LME Futures		21,027.00CR
	NYM Henry Hub Natural Gas Futures		2,350.00CR
	NYM Light Sweet Crude Oil Futures		6,380.00CR
	COMMISSIONS		1,188.15DR
	CARRIED FORWARD BALANCE		6,113,396.01CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2784.50	2779.00		137.50DR
04JUL17	1 04OCT17	2765.00	2779.00		350.00CR
04JUL17	1 04OCT17	2784.50	2766.50		450.00DR
04JUL17	1 04OCT17	2784.50	2766.50		450.00DR
04JUL17	1 04OCT17	2775.00	2766.50		212.50DR
04JUL17	1 04OCT17	2770.00	2766.50		87.50DR
26SEP17	1 04OCT17	3154.25	2767.00		9,681.25DR
26SEP17	1 04OCT17	3154.25	2767.00		9,681.25DR
26SEP17	1 04OCT17	3154.25	2779.50		9,368.75DR
26SEP17	1 04OCT17	3154.25	2779.50		9,368.75DR
26SEP17	1 04OCT17	3154.25	2779.00		9,381.25DR
26SEP17	1 04OCT17	3154.25	2779.00		9,381.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				66,902.50DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				57,850.00DR
	CARRIED FORWARD BALANCE				124,752.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 040CT17	1908.94	1924.00		376.50CR
24JUL17	1 040CT17	1908.94	1923.50		364.00CR
24JUL17	1 040CT17	1908.94	1920.50		289.00CR
24JUL17	1 040CT17	1908.94	1920.50		289.00CR
24JUL17	1 040CT17	1908.94	1914.50		139.00CR
24JUL17	1 040CT17	1908.94	1924.00		376.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
24JUL17	1 040CT17	2309.00	2256.55		1,311.25DR
24JUL17	1 040CT17	2291.00	2256.55		861.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
24JUL17	1 040CT17	9175.00	9768.75		3,562.50CR
24JUL17	1 040CT17	9180.00	9768.75		3,532.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
24JUL17	1 040CT17	20221.05	19955.00		1,330.25DR
24JUL17	1 040CT17	20221.05	19955.00		1,330.25DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
24JUL17	1 040CT17	2779.50	2786.80		182.50CR
24JUL17	1 040CT17	2779.50	2786.80		182.50CR
24JUL17	2 040CT17	2780.00	2786.80		340.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				27,613.68CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,660.50DR
	LME Lead 25 tonnes. This is an Futures				2,172.50DR
	LME Zinc 25 tonnes. This is an LME Futures				705.00CR
	LME Nickel 6 tonnes. This is an LME Futures				7,095.00CR
	LME Aluminium 25 Tonnes. This is an Futures				1,834.00CR
	CARRIED FORWARD BALANCE				32,414.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	<u>MATCH OUTS</u> LME Zinc 25 tonnes. This is an LME registered client contract 1 04OCT17	2787.00	2787.00		0.00
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 8,518.20CR CARRIED FORWARD BALANCE 8,518.20CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract S 1 04JAN18 TTR EL Commission		6505.50	4.60DR	4.60DR
07JUL17	<u>MATCH OUTS</u> LME Copper Grade A 25 tonnes. This is a LME registered client contract 2 04OCT17	5886.00	5827.37		2,931.50DR
04JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 04OCT17	2301.00	2312.50		287.50CR
07JUL17	1 04OCT17 LME Nickel 6 tonnes. This is an LME registered client contract	2296.30	2317.00		517.50CR
07JUL17	3 04OCT17	8919.67	9180.00		4,685.94CR
07JUL17	2 04OCT17	8919.67	9160.00		2,883.96CR
07JUL17	1 04OCT17	8919.67	9160.00		1,441.98CR
07JUL17	1 04OCT17	8919.67	9170.00		1,501.98CR
07JUL17	1 04OCT17	8919.67	9175.00		1,531.98CR
07JUL17	1 04OCT17	8919.67	9160.00		1,441.98CR
07JUL17	1 04OCT17	8919.67	9205.00		1,711.98CR
07JUL17	1 04OCT17	8919.67	9210.00		1,741.98CR
04JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 04OCT17	2769.50	2769.50		0.00
13JUL17	1 04OCT17	2768.00	2801.97		849.25CR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				168,297.75DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				805.00CR
	LME Copper Grade A 25 tonnes. This Futures				2,931.50DR
	LME Zinc 25 tonnes. This is an LME Futures				849.25CR
	LME Nickel 6 tonnes. This is an LME Futures				16,941.78CR
	COMMISSIONS				4.60DR
	CARRIED FORWARD BALANCE				152,637.82DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUL17	1 04OCT17	9175.00	10034.00		5,154.00CR
26JUL17	1 04OCT17	9175.00	10034.00		5,154.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,961.58CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				10,308.00CR
	CARRIED FORWARD BALANCE				41,269.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
27JUL17	LME Nickel 6 tonnes. This is an LME registered client contract				
	1 040CT17	9135.00	10122.00		5,922.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 040CT17	2775.00	2781.00		150.00CR
04JUL17	1 040CT17	2800.00	2780.50		487.50DR
04JUL17	1 040CT17	2800.00	2785.50		362.50DR
28JUL17	1 040CT17	2780.00	2771.51		212.25DR
28JUL17	1 040CT17	2769.50	2771.51		50.25CR
28JUL17	1 040CT17	2774.50	2771.51		74.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				20,080.16DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				936.75DR
	LME Nickel 6 tonnes. This is an LME Futures				5,922.00CR
	CARRIED FORWARD BALANCE				15,094.91DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 04OCT17	1913.88	1924.50		265.50CR
20JUL17	1 04OCT17	1913.88	1921.00		178.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 04OCT17	5873.50	5883.50		250.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
20JUL17	1 04OCT17	2308.00	2210.05		2,448.75DR
20JUL17	1 04OCT17	2299.00	2210.05		2,223.75DR
20JUL17	1 04OCT17	2296.00	2210.25		2,143.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9135.00	9120.00		90.00DR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20055.00	20075.00		100.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
20JUL17	1 04OCT17	2725.34	2779.00		1,341.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,354.88DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				100.00CR
	LME Lead 25 tonnes. This is an Futures				6,816.25DR
	LME Copper Grade A 25 tonnes. This Futures				250.00CR
	LME Zinc 25 tonnes. This is an LME Futures				1,341.50CR
	LME Nickel 6 tonnes. This is an LME Futures				90.00DR
	LME Aluminium 25 Tonnes. This is an Futures				443.50CR
	CARRIED FORWARD BALANCE				14,126.13DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 2 04JAN18 DMA EL 2567.50			10.00DR	
04OCT17	S 2 04JAN18 DMA EL		2567.50	10.00DR	
04OCT17	B 2 04JAN18 DMA EL 2576.00			10.00DR	
04OCT17	B 1 04JAN18 DMA EL 2577.50			5.00DR	
04OCT17	B 1 04JAN18 DMA EL 2584.50			5.00DR	
04OCT17	B 1 04JAN18 DMA EL 2585.50			5.00DR	
04OCT17	S 3 04JAN18 DMA EL		2577.00	15.00DR	
04OCT17	S 3 04JAN18 DMA EL		2582.00	15.00DR	
04OCT17	S 1 04JAN18 DMA EL		2585.00	5.00DR	
04OCT17	B 2 04JAN18 DMA EL 2583.50			10.00DR	
04OCT17	B 1 04JAN18 DMA EL 2586.50			5.00DR	
04OCT17	S 2 04JAN18 DMA EL		2589.00	10.00DR	
04OCT17	S 2 04JAN18 DMA EL		2590.50	10.00DR	
04OCT17	B 1 04JAN18 DMA EL 2584.00			5.00DR	
04OCT17	B 3 04JAN18 DMA EL 2588.00			15.00DR	
04OCT17	B 1 04JAN18 DMA EL 2588.50			5.00DR	
04OCT17	B 2 04JAN18 DMA EL 2592.00			10.00DR	
04OCT17	B 1 04JAN18 DMA EL 2592.50			5.00DR	
04OCT17	S 1 04JAN18 DMA EL		2587.00	5.00DR	
04OCT17	S 1 04JAN18 DMA EL		2588.00	5.00DR	
04OCT17	S 1 04JAN18 DMA EL		2588.50	5.00DR	
04OCT17	S 4 04JAN18 DMA EL		2590.00	20.00DR	
04OCT17	B 2 04JAN18 DMA EL 2590.00			10.00DR	
04OCT17	B 1 04JAN18 DMA EL 2559.00			5.00DR	
04OCT17	S 1 04JAN18 DMA EL		2556.50	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL 10675.00			5.00DR	
04OCT17	S 1 04JAN18 DMA EL		10675.00	5.00DR	
04OCT17	B 3 04JAN18 DMA EL 10645.00			15.00DR	
04OCT17	S 2 04JAN18 DMA EL		10650.00	10.00DR	
04OCT17	B 2 04JAN18 DMA EL 10650.00			10.00DR	
04OCT17	B 3 04JAN18 DMA EL 10695.00			15.00DR	
04OCT17	B 2 04JAN18 DMA EL 10700.00			10.00DR	
04OCT17	S 5 04JAN18 DMA EL		10695.00	25.00DR	
04OCT17	S 1 04JAN18 DMA EL		10735.00	5.00DR	
04OCT17	S 2 04JAN18 DMA EL		10795.00	10.00DR	
04OCT17	S 3 04JAN18 DMA EL		10800.00	15.00DR	
04OCT17	B 1 04JAN18 DMA EL 10805.00			5.00DR	
04OCT17	B 4 04JAN18 DMA EL 10800.00			20.00DR	
04OCT17	B 2 04JAN18 DMA EL 10725.00			10.00DR	
04OCT17	S 4 04JAN18 DMA EL		10720.00	20.00DR	
04OCT17	B 2 04JAN18 DMA EL 10605.00			10.00DR	
04OCT17	S 2 04JAN18 DMA EL		10595.00	10.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
04OCT17	S 5 04JAN18 DMA EL		20785.00	25.00DR	
04OCT17	B 2 04JAN18 DMA EL 20770.00			10.00DR	
04OCT17	B 3 04JAN18 DMA EL 20780.00			15.00DR	
	Commission				460.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 04OCT17	1913.88	1925.50		290.50CR
20JUL17	1 04OCT17	1913.88	1921.00		178.00CR

Group No: SAPG0096

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Copper Grade A 25 tonnes. This LME registered client contract.				
04JUL17	1 04OCT17	5872.00	5883.50		287.50CR
04JUL17	1 04OCT17	5901.50	5904.50		75.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2308.50	2298.00		262.50DR
20JUL17	1 04OCT17	2296.00	2210.05		2,148.75DR
20JUL17	1 04OCT17	2307.50	2210.05		2,436.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	9135.00	9135.00		0.00
04JUL17	2 04OCT17	9215.00	9230.00		180.00CR
04JUL17	1 04OCT17	9210.00	9230.00		120.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	20010.00	20050.00		200.00CR
04JUL17	1 04OCT17	20010.00	20085.00		375.00CR
04JUL17	1 04OCT17	20010.00	20050.00		200.00CR
04JUL17	1 04OCT17	20010.00	20010.00		0.00
04JUL17	1 04OCT17	20055.00	20050.00		25.00DR
04JUL17	1 04OCT17	20055.00	20050.00		25.00DR
04JUL17	1 04OCT17	20055.00	20050.00		25.00DR
04JUL17	1 04OCT17	20035.00	20050.00		75.00CR
04JUL17	1 04OCT17	20055.00	20050.00		25.00DR
04JUL17	1 04OCT17	20060.00	20050.00		50.00DR
04JUL17	1 04OCT17	20050.00	20035.00		75.00DR
04JUL17	1 04OCT17	20025.00	20050.00		125.00CR
04JUL17	1 04OCT17	20035.00	20050.00		75.00CR
04JUL17	1 04OCT17	20015.00	20015.00		0.00
04JUL17	1 04OCT17	20025.00	20050.00		125.00CR
04JUL17	1 04OCT17	20025.00	20050.00		125.00CR
04JUL17	1 04OCT17	20005.00	20045.00		200.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2792.00	2796.50		112.50CR
04JUL17	1 04OCT17	2792.00	2796.50		112.50CR
04JUL17	1 04OCT17	2793.50	2796.50		75.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				20,565.30DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,275.00CR
	LME Lead 25 tonnes. This is an Futures				4,847.50DR
	LME Copper Grade A 25 tonnes. This Futures				362.50CR
	LME Zinc 25 tonnes. This is an LME Futures				300.00CR
	LME Nickel 6 tonnes. This is an LME Futures				300.00CR
	LME Aluminium 25 Tonnes. This is an Futures				468.50CR
	COMMISSIONS				460.00DR
	CARRIED FORWARD BALANCE				23,166.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AF

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	<u>BUSINESS DONE TODAY</u> LME Nickel 6 tonnes. This is an LME registered client contract B 1 04JAN18 DMA EL Commission	10745.00		5.00DR	5.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 110.44CR COMMISSIONS 5.00DR CARRIED FORWARD BALANCE 105.44CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AH

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
04OCT17	B 1 DEC17 II	1677.0		2.85DR	
04OCT17	B 4 DEC17 II	1677.5		11.40DR	
04OCT17	B 1 DEC17 II	1678.0		2.85DR	
04OCT17	B 1 DEC17 II	1668.5		2.85DR	
04OCT17	B 1 DEC17 II	1665.0		2.85DR	
04OCT17	B 2 DEC17 II	1664.5		5.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		3293.50	1.56DR	
04OCT17	S 1 04JAN18 DMA EL		3294.00	1.56DR	
04OCT17	S 1 04JAN18 DMA EL		3295.00	1.56DR	
04OCT17	S 1 04JAN18 DMA EL		3296.50	1.56DR	
	Commission				34.74DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,512.07CR
	COMMISSIONS				34.74DR
	CARRIED FORWARD BALANCE				23,477.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0096

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,436,121.46CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		2,746.00CR
	LME Copper Grade A 25 tonnes. This Futures		2,319.00DR
	LME Lead 25 tonnes. This is an Futures		13,031.25DR
	LME Nickel 6 tonnes. This is an LME Futures		40,476.78CR
	LME Tin 5 tonnes. This is an LME Futures		1,285.50DR
	LME Zinc 25 tonnes. This is an LME Futures		55,591.00DR
	COMMISSIONS		504.34DR
	CARRIED FORWARD BALANCE		1,406,613.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10AUG17	1 040CT17	2300.00	2356.42		1,410.50CR
10AUG17	1 040CT17	2300.00	2356.42		1,410.50CR
10AUG17	1 040CT17	2300.00	2356.42		1,410.50CR
10AUG17	2 040CT17	2300.00	2356.42		2,821.00CR
10AUG17	1 040CT17	2300.00	2356.42		1,410.50CR
10AUG17	2 040CT17	2300.00	2356.42		2,821.00CR
10AUG17	2 040CT17	2300.00	2356.42		2,821.00CR
10AUG17	1 040CT17	2300.50	2356.42		1,398.00CR
10AUG17	1 040CT17	2300.50	2356.42		1,398.00CR
10AUG17	1 040CT17	2300.50	2356.42		1,398.00CR
10AUG17	1 040CT17	2300.50	2356.42		1,398.00CR
10AUG17	1 040CT17	2302.50	2356.42		1,348.00CR
10AUG17	1 040CT17	2301.00	2356.42		1,385.50CR
10AUG17	1 040CT17	2301.00	2356.42		1,385.50CR
10AUG17	2 040CT17	2301.00	2356.42		2,771.00CR
10AUG17	1 040CT17	2301.00	2356.42		1,385.50CR
10AUG17	3 040CT17	2300.00	2356.42		4,231.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10AUG17	1 040CT17	2933.06	2778.50		3,864.00DR
10AUG17	1 040CT17	2933.06	2779.50		3,839.00DR
10AUG17	1 040CT17	2933.06	2781.00		3,801.50DR
10AUG17	1 040CT17	2933.06	2778.50		3,864.00DR
10AUG17	1 040CT17	2933.06	2778.00		3,876.50DR
10AUG17	2 040CT17	2933.06	2778.00		7,753.00DR
10AUG17	1 040CT17	2933.06	2779.50		3,839.00DR
10AUG17	1 040CT17	2933.06	2779.50		3,839.00DR
10AUG17	2 040CT17	2933.06	2779.00		7,703.00DR
10AUG17	5 040CT17	2933.06	2779.50		19,195.00DR
10AUG17	1 040CT17	2933.06	2781.00		3,801.50DR
10AUG17	1 040CT17	2933.06	2781.00		3,801.50DR
10AUG17	2 040CT17	2933.06	2781.00		7,603.00DR
10AUG17	1 040CT17	2933.06	2781.00		3,801.50DR
10AUG17	2 040CT17	2933.06	2781.00		7,603.00DR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				52,930.45DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				32,204.00CR
	LME Zinc 25 tonnes. This is an LME Futures				88,184.50DR
	CARRIED FORWARD BALANCE				108,910.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0097

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		52,930.45DR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		32,204.00CR
	LME Zinc 25 tonnes. This is an LME Futures		88,184.50DR
	CARRIED FORWARD BALANCE		108,910.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103B

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
040CT17	B 1 DEC17 GX	296.700		2.30DR	
040CT17	B 1 DEC17 GX	296.550		2.30DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	B 2 NOV17 GX	2.91600		4.60DR	
040CT17	B 2 NOV17 GX	2.91700		4.60DR	
	Commission				13.80DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
040CT17	1 DEC17	296.700	292.000		1,175.00DR
040CT17	1 DEC17	296.550	291.950		1,150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				72,722.65CR
	SETTLEMENTS				
	CMX Copper Futures				2,325.00DR
	COMMISSIONS				13.80DR
	CARRIED FORWARD BALANCE				70,383.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103C

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	S 1 NOV17 CQG GX		50.61	2.30DR	
04OCT17	S 1 NOV17 CQG GX		50.58	2.30DR	
04OCT17	S 2 NOV17 CQG GX		50.52	4.60DR	
04OCT17	B 1 NOV17 CQG GX	50.15		2.30DR	
04OCT17	B 1 NOV17 CQG GX	49.86		2.30DR	
	Commission				13.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
04OCT17	1 NOV17	50.15	50.61		460.00CR
04OCT17	1 NOV17	49.86	50.58		720.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				62,456.00CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				1,180.00CR
	COMMISSIONS				13.80DR
	CARRIED FORWARD BALANCE				63,622.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	B 1 NOV17 CQG GX 50.05			2.30DR	
040CT17	S 1 NOV17 CQG GX 50.50		50.50	2.30DR	
040CT17	S 1 NOV17 CQG GX 50.52		50.52	2.30DR	
040CT17	B 1 NOV17 CQG GX 50.14			2.30DR	
040CT17	B 1 NOV17 CQG GX 49.86			2.30DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
040CT17	B 1 NOV17 CQG GX 2.91800			2.30DR	
040CT17	B 1 NOV17 CQG GX 2.91500			2.30DR	
040CT17	B 2 NOV17 CQG GX 2.91700			4.60DR	
	CMX Silver 5,000 CMX				
040CT17	S 1 DEC17 CQG GX 1690.5		1690.5	2.30DR	
040CT17	B 1 DEC17 CQG GX 1673.0			2.30DR	
040CT17	B 1 DEC17 CQG GX 1661.5			2.30DR	
040CT17	S 1 DEC17 CQG GX 1665.5		1665.5	2.30DR	
	Commission				29.90DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	1 NOV17 50.05 50.50	50.05	50.50		450.00CR
040CT17	1 NOV17 50.14 50.52	50.14	50.52		380.00CR
040CT17	1 NOV17 49.86 50.28	49.86	50.28		420.00CR
	CMX Silver 5,000 CMX				
040CT17	1 DEC17 1673.0 1690.5	1673.0	1690.5		875.00CR
040CT17	1 DEC17 1661.5 1665.5	1661.5	1665.5		200.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				105,056.30CR
	SETTLEMENTS				
	CMX Silver Futures				1,075.00CR
	NYM Light Sweet Crude Oil Futures				1,250.00CR
	COMMISSIONS				29.90DR
	CARRIED FORWARD BALANCE				107,351.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL 10605.00			5.30DR	
	CMX Silver 5,000 CMX				
04OCT17	B 1 DEC17 GX 1678.0			2.30DR	
04OCT17	B 1 DEC17 GX 1678.5			2.30DR	
04OCT17	B 1 DEC17 GX 1664.0			2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL 3294.50			5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3283.50	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3284.00	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3284.50	5.30DR	
04OCT17	S 2 04JAN18 DMA EL		3287.00	10.60DR	
04OCT17	S 1 04JAN18 DMA EL		3289.00	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3294.50	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3295.00	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3295.50	5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3286.50	5.30DR	
04OCT17	B 1 04JAN18 DMA EL 3279.00			5.30DR	
04OCT17	B 2 04JAN18 DMA EL 3278.00			10.60DR	
04OCT17	B 3 04JAN18 DMA EL 3277.50			15.90DR	
04OCT17	B 3 04JAN18 DMA EL 3280.00			15.90DR	
04OCT17	B 1 04JAN18 DMA EL 3279.50			5.30DR	
04OCT17	S 1 04JAN18 DMA EL		3279.00	5.30DR	
	Commission				128.80DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
13JUL17	1 04OCT17 1928.00		1921.86		153.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17 2793.20		2778.50		367.50DR
04JUL17	1 04OCT17 2793.20		2787.50		142.50DR
04JUL17	1 04OCT17 2793.20		2784.00		230.00DR
04JUL17	1 04OCT17 2793.20		2784.00		230.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				40,281.57CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				970.00DR
	LME Aluminium 25 Tonnes. This is an Futures				153.50DR
	COMMISSIONS				128.80DR
	CARRIED FORWARD BALANCE				39,029.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2300.00	2299.00		25.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
31JUL17	4 04OCT17	9181.00	10202.00		24,504.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2780.50	2776.50		100.00DR
04JUL17	1 04OCT17	2780.50	2777.00		87.50DR
04JUL17	1 04OCT17	2780.50	2779.00		37.50DR
04JUL17	1 04OCT17	2793.20	2769.50		592.50DR
04JUL17	1 04OCT17	2793.20	2771.00		555.00DR
04JUL17	1 04OCT17	2793.20	2776.50		417.50DR
04JUL17	1 04OCT17	2793.20	2778.50		367.50DR
04JUL17	1 04OCT17	2788.50	2780.00		212.50DR
04JUL17	1 04OCT17	2781.00	2786.50		137.50CR
04JUL17	1 04OCT17	2781.00	2785.50		112.50CR
04JUL17	1 04OCT17	2781.50	2785.00		87.50CR
04JUL17	1 04OCT17	2781.00	2786.50		137.50CR
04JUL17	1 04OCT17	2780.50	2788.50		200.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				100,719.49CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				25.00DR
	LME Zinc 25 tonnes. This is an LME Futures				1,695.00DR
	LME Nickel 6 tonnes. This is an LME Futures				24,504.00CR
	CARRIED FORWARD BALANCE				123,503.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103J
4th October 2017
COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u> LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL	10805.00		5.30DR	
04OCT17	S 1 04JAN18 DMA EL Commission		10620.00	5.30DR	10.60DR
	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract				
07SEP17	1 04OCT17	12105.75	9210.00		17,374.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				111,065.29CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				17,374.50DR
	COMMISSIONS				10.60DR
	CARRIED FORWARD BALANCE				93,680.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0103

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,247,246.12CR
	SETTLEMENTS		
	CMX Copper Futures		2,325.00DR
	CMX Silver Futures		1,075.00CR
	LME Aluminium 25 Tonnes. This is an Futures		153.50DR
	LME Lead 25 tonnes. This is an Futures		25.00DR
	LME Nickel 6 tonnes. This is an LME Futures		7,129.50CR
	LME Zinc 25 tonnes. This is an LME Futures		2,665.00DR
	NYM Light Sweet Crude Oil Futures		2,430.00CR
	COMMISSIONS		196.90DR
	CARRIED FORWARD BALANCE		1,252,515.22CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0104A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
04OCT17	S 2 DEC17 GX		1277.0	5.20DR	
04OCT17	S 5 DEC17 GX		1277.8	13.00DR	
04OCT17	S 7 DEC17 GX		1278.8	18.20DR	
04OCT17	S 1 DEC17 GX		1279.0	2.60DR	
04OCT17	B 40 DEC17 GX	1278.1		104.00DR	
04OCT17	S 1 DEC17 GX		1283.0	2.60DR	
04OCT17	S 1 DEC17 GX		1285.0	2.60DR	
	Commission				148.20DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
04OCT17	2 DEC17	1278.1	1277.0		220.00DR
04OCT17	2 DEC17	1278.1	1277.8		60.00DR
04OCT17	3 DEC17	1278.1	1277.8		90.00DR
04OCT17	7 DEC17	1278.1	1278.8		490.00CR
04OCT17	1 DEC17	1278.1	1279.0		90.00CR
04OCT17	1 DEC17	1278.1	1283.0		490.00CR
04OCT17	1 DEC17	1278.1	1285.0		690.00CR
04OCT17	4 DEC17	1278.1	1339.3		24,480.00CR
04OCT17	2 DEC17	1278.1	1334.8		11,340.00CR
04OCT17	1 DEC17	1278.1	1335.4		5,730.00CR
04OCT17	3 DEC17	1278.1	1335.4		17,190.00CR
04OCT17	5 DEC17	1278.1	1337.3		29,600.00CR
04OCT17	2 DEC17	1278.1	1336.3		11,640.00CR
04OCT17	5 DEC17	1278.1	1337.3		29,600.00CR
04OCT17	1 DEC17	1278.1	1308.5		3,040.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,391,651.47CR
	SETTLEMENTS				
	CMX Gold Futures				134,010.00CR
	COMMISSIONS				148.20DR
	CARRIED FORWARD BALANCE				2,525,513.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0104

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,390,677.60CR
	SETTLEMENTS		
	CMX Gold Futures		134,010.00CR
	COMMISSIONS		148.20DR
	CARRIED FORWARD BALANCE		2,524,539.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 1 19DEC17 IFC UD 2162.72			2.70DR	
04OCT17	B 2 27DEC17 IFC UD 2164.40			5.40DR	
04OCT17	S 3 29DEC17 IFC UD		2164.60	8.10DR	
04OCT17	B 1 04JAN18 OBJ EL 2148.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2149.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2158.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2160.00	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 27DEC17 IFC UD 2559.71			2.70DR	
04OCT17	S 1 28DEC17 IFC UD		2559.55	2.70DR	
04OCT17	B 1 04JAN18 OBJ EL 2544.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2549.00	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 OBJ EL 10760.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		10755.00	4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 10775.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 10650.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		10675.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		10735.00	4.30DR	
04OCT17	B 2 04JAN18 OBJ EL 10575.00			8.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	S 10 22DEC17 IFC UD		3302.00	27.00DR	
04OCT17	B 8 27DEC17 IFC UD 3302.20			21.60DR	
04OCT17	B 2 29DEC17 IFC UD 3302.20			5.40DR	
	Commission				135.80DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2317.00	2316.00		25.00DR
04JUL17	1 04OCT17	2317.00	2310.50		162.50DR
04JUL17	1 04OCT17	2316.00	2307.50		212.50DR
04JUL17	1 04OCT17	2306.00	2300.50		137.50DR
04JUL17	1 04OCT17	2303.00	2297.50		137.50DR
04JUL17	1 04OCT17	2308.50	2294.00		362.50DR
05JUL17	1 04OCT17	2308.00	2274.80		830.00DR
05JUL17	1 04OCT17	2306.50	2274.80		792.50DR
05JUL17	1 04OCT17	2307.00	2274.80		805.00DR
05JUL17	1 04OCT17	2300.00	2274.80		630.00DR
05JUL17	1 04OCT17	2298.00	2274.80		580.00DR
05JUL17	1 04OCT17	2298.00	2274.80		580.00DR
05JUL17	1 04OCT17	2298.50	2274.80		592.50DR
05JUL17	1 04OCT17	2294.00	2274.80		480.00DR
05JUL17	1 04OCT17	2317.00	2274.80		1,055.00DR
05JUL17	1 04OCT17	2307.00	2274.80		805.00DR
05JUL17	1 04OCT17	2305.50	2274.80		767.50DR
05JUL17	1 04OCT17	2305.50	2274.80		767.50DR
05JUL17	1 04OCT17	2299.00	2274.80		605.00DR
05JUL17	1 04OCT17	2296.00	2274.80		530.00DR
05JUL17	1 04OCT17	2296.50	2274.80		542.50DR
05JUL17	1 04OCT17	2293.50	2274.80		467.50DR
	LME Nickel 6 tonnes. This is an LME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

4th October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
04JUL17	1 040CT17	9195.00	9185.00		60.00DR
04JUL17	1 040CT17	9170.00	9180.00		60.00CR
04JUL17	1 040CT17	9170.00	9160.00		60.00DR
04JUL17	1 040CT17	9170.00	9195.00		150.00CR
04JUL17	1 040CT17	9155.00	9200.00		270.00CR
04JUL17	1 040CT17	9150.00	9205.00		330.00CR
04JUL17	1 040CT17	9185.00	9210.00		150.00CR
10JUL17	1 040CT17	8996.75	9220.00		1,339.50CR
10JUL17	1 040CT17	8996.75	9230.00		1,399.50CR
10JUL17	1 040CT17	8996.75	9220.00		1,339.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 040CT17	2780.50	2774.50		150.00DR
04JUL17	1 040CT17	2780.50	2775.00		137.50DR
04JUL17	1 040CT17	2781.50	2784.50		75.00CR
04JUL17	1 040CT17	2781.50	2785.00		87.50CR
04JUL17	1 040CT17	2781.50	2785.00		87.50CR
04JUL17	1 040CT17	2781.50	2786.00		112.50CR
04JUL17	1 040CT17	2781.50	2786.50		125.00CR
04JUL17	1 040CT17	2779.50	2786.50		175.00CR
04JUL17	1 040CT17	2780.50	2788.00		187.50CR
04JUL17	1 040CT17	2780.50	2789.50		225.00CR
04JUL17	1 040CT17	2780.00	2792.50		312.50CR
04JUL17	1 040CT17	2779.50	2796.50		425.00CR
04JUL17	1 040CT17	2779.50	2795.50		400.00CR
04JUL17	1 040CT17	2778.50	2796.00		437.50CR
04JUL17	1 040CT17	2780.50	2790.00		237.50CR
04JUL17	1 040CT17	2780.00	2790.00		250.00CR
04JUL17	1 040CT17	2778.00	2789.50		287.50CR
05JUL17	1 040CT17	2777.00	2781.26		106.50CR
05JUL17	1 040CT17	2780.00	2781.26		31.50CR
05JUL17	1 040CT17	2780.00	2781.26		31.50CR
05JUL17	1 040CT17	2780.50	2781.26		19.00CR
05JUL17	1 040CT17	2780.00	2781.26		31.50CR
05JUL17	2 040CT17	2780.00	2781.26		63.00CR
05JUL17	1 040CT17	2779.00	2781.26		56.50CR
05JUL17	1 040CT17	2774.00	2781.26		181.50CR
05JUL17	1 040CT17	2788.50	2781.26		181.00DR
05JUL17	1 040CT17	2788.50	2781.26		181.00DR
05JUL17	1 040CT17	2788.50	2781.26		181.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				664,602.25CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				11,867.50DR
	LME Zinc 25 tonnes. This is an LME Futures				3,115.50CR
	LME Nickel 6 tonnes. This is an LME Futures				4,918.50CR
	COMMISSIONS				135.80DR
	CARRIED FORWARD BALANCE				660,632.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 5 20DEC17 IFC UD 2163.00			13.50DR	
04OCT17	S 5 21DEC17 IFC UD		2163.00	13.50DR	
04OCT17	B 2 28DEC17 IFC UD 2164.60			5.40DR	
04OCT17	S 2 29DEC17 IFC UD		2164.60	5.40DR	
04OCT17	S 1 04JAN18 OBJ EL 2154.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2153.00			4.30DR	
04OCT17	B 2 04JAN18 OBJ EL 2143.50			8.60DR	
04OCT17	S 1 04JAN18 OBJ EL 2155.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2157.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2156.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2149.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2148.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2158.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2159.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2160.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2160.50			4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 21DEC17 IFC UD 2559.50			2.70DR	
04OCT17	B 1 22DEC17 IFC UD 2559.74			2.70DR	
04OCT17	B 12 27DEC17 IFC UD 2559.71			32.40DR	
04OCT17	S 12 28DEC17 IFC UD 2559.55			32.40DR	
04OCT17	S 1 28DEC17 IFC UD 2559.75			2.70DR	
04OCT17	B 1 29DEC17 IFC UD 2559.99			2.70DR	
04OCT17	B 1 02JAN18 IFC UD 2559.93			2.70DR	
04OCT17	S 1 03JAN18 IFC UD 2559.76			2.70DR	
04OCT17	B 1 04JAN18 OBJ EL 2609.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2611.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2577.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2577.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2574.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2569.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2584.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2591.00			4.30DR	
04OCT17	S 2 04JAN18 OBJ EL 2598.00			8.60DR	
04OCT17	B 1 04JAN18 OBJ EL 2600.50			4.30DR	
04OCT17	B 2 04JAN18 OBJ EL 2567.50			8.60DR	
04OCT17	S 1 04JAN18 OBJ EL 2569.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2584.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2590.50			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2590.00			4.30DR	
04OCT17	S 1 04JAN18 OBJ EL 2592.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2563.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2565.00			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2566.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2558.50			4.30DR	
04OCT17	B 2 04JAN18 OBJ EL 2555.00			8.60DR	
04OCT17	B 1 04JAN18 OBJ EL 2550.50			4.30DR	
04OCT17	B 1 04JAN18 OBJ EL 2545.50			4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 1 28DEC17 IFC UD 10608.47			2.70DR	
04OCT17	B 1 29DEC17 IFC UD 10610.40			2.70DR	
04OCT17	S 1 02JAN18 IFC UD 10613.13			2.70DR	
04OCT17	B 1 03JAN18 IFC UD 10615.07			2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 29NOV17 IFC UD 3305.29			2.70DR	
04OCT17	B 14 20DEC17 IFC UD 3306.50			37.80DR	
04OCT17	S 15 21DEC17 IFC UD 3301.80			40.50DR	

Group No: SAPG0112

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	B	14	28DEC17	IFC	UD	3302.00		37.80DR	
04OCT17	S	5	29DEC17	IFC	UD		3301.80	13.50DR	
04OCT17	S	9	03JAN18	IFC	UD		3301.80	24.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3286.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3294.50	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3284.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3279.50	4.30DR	
	Commission								471.10DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
04JUL17		1	04OCT17			1920.50	1926.00		137.50CR
04JUL17		1	04OCT17			1919.50	1926.50		175.00CR
05JUL17		1	04OCT17			1919.50	1928.66		229.00CR
05JUL17		2	04OCT17			1916.50	1928.66		608.00CR
05JUL17		1	04OCT17			1928.50	1928.66		4.00CR
	LME Lead 25 tonnes. This is an LME registered client contract								
04JUL17		1	04OCT17			2318.50	2313.00		137.50DR
04JUL17		1	04OCT17			2313.00	2313.00		0.00
04JUL17		1	04OCT17			2315.50	2315.00		12.50DR
04JUL17		1	04OCT17			2317.00	2316.00		25.00DR
04JUL17		1	04OCT17			2317.50	2316.00		37.50DR
04JUL17		2	04OCT17			2316.50	2312.00		225.00DR
04JUL17		1	04OCT17			2317.00	2310.50		162.50DR
04JUL17		1	04OCT17			2317.00	2314.00		75.00DR
04JUL17		1	04OCT17			2317.00	2314.50		62.50DR
04JUL17		1	04OCT17			2316.50	2315.00		37.50DR
04JUL17		1	04OCT17			2316.50	2310.00		162.50DR
04JUL17		1	04OCT17			2316.50	2310.50		150.00DR
04JUL17		1	04OCT17			2307.50	2307.00		12.50DR
04JUL17		1	04OCT17			2307.50	2291.00		412.50DR
04JUL17		1	04OCT17			2307.50	2291.00		412.50DR
04JUL17		1	04OCT17			2306.00	2291.00		375.00DR
04JUL17		2	04OCT17			2306.00	2290.00		800.00DR
04JUL17		1	04OCT17			2306.00	2291.50		362.50DR
04JUL17		1	04OCT17			2304.50	2290.00		362.50DR
04JUL17		1	04OCT17			2303.00	2290.50		312.50DR
04JUL17		1	04OCT17			2303.00	2290.00		325.00DR
04JUL17		1	04OCT17			2309.00	2288.50		512.50DR
04JUL17		1	04OCT17			2308.50	2288.00		512.50DR
04JUL17		1	04OCT17			2309.00	2289.00		500.00DR
04JUL17		1	04OCT17			2308.00	2289.00		475.00DR
04JUL17		1	04OCT17			2308.00	2289.50		462.50DR
04JUL17		1	04OCT17			2306.00	2288.00		450.00DR
04JUL17		1	04OCT17			2299.50	2288.50		275.00DR
04JUL17		1	04OCT17			2300.50	2288.50		300.00DR
04JUL17		1	04OCT17			2300.00	2289.00		275.00DR
04JUL17		1	04OCT17			2299.00	2301.00		50.00CR
04JUL17		1	04OCT17			2300.50	2290.00		262.50DR
04JUL17		1	04OCT17			2298.00	2306.50		212.50CR
04JUL17		1	04OCT17			2317.00	2304.00		325.00DR
04JUL17		1	04OCT17			2305.00	2304.00		25.00DR
04JUL17		1	04OCT17			2305.00	2304.50		12.50DR
04JUL17		1	04OCT17			2306.50	2304.50		50.00DR
04JUL17		1	04OCT17			2307.00	2295.00		300.00DR
04JUL17		1	04OCT17			2306.50	2296.50		250.00DR
04JUL17		1	04OCT17			2306.50	2300.00		162.50DR
04JUL17		1	04OCT17			2306.50	2300.00		162.50DR
04JUL17		1	04OCT17			2306.50	2297.00		237.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2306.00	2297.00		225.00DR
04JUL17	1 04OCT17	2306.00	2297.00		225.00DR
04JUL17	1 04OCT17	2299.00	2292.00		175.00DR
04JUL17	1 04OCT17	2296.00	2296.00		0.00
04JUL17	1 04OCT17	2293.50	2295.50		50.00CR
05JUL17	1 04OCT17	2293.50	2274.80		467.50DR
05JUL17	1 04OCT17	2296.50	2274.80		542.50DR
10JUL17	1 04OCT17	2319.45	2274.80		1,116.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
13JUL17	1 04OCT17	9195.00	9204.43		56.58CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2780.00	2771.00		225.00DR
04JUL17	1 04OCT17	2780.00	2772.00		200.00DR
04JUL17	1 04OCT17	2781.00	2769.50		287.50DR
04JUL17	1 04OCT17	2779.50	2772.50		175.00DR
04JUL17	1 04OCT17	2777.50	2773.00		112.50DR
04JUL17	2 04OCT17	2770.50	2773.00		125.00CR
04JUL17	1 04OCT17	2771.00	2773.00		50.00CR
04JUL17	1 04OCT17	2769.50	2773.00		87.50CR
04JUL17	1 04OCT17	2769.50	2773.50		100.00CR
04JUL17	2 04OCT17	2772.00	2773.50		75.00CR
04JUL17	1 04OCT17	2771.50	2773.50		50.00CR
04JUL17	1 04OCT17	2772.00	2769.00		75.00DR
04JUL17	1 04OCT17	2772.00	2773.50		37.50CR
04JUL17	1 04OCT17	2771.50	2774.00		62.50CR
04JUL17	1 04OCT17	2778.00	2768.50		237.50DR
04JUL17	1 04OCT17	2784.00	2769.00		375.00DR
04JUL17	1 04OCT17	2776.50	2768.50		200.00DR
04JUL17	1 04OCT17	2776.50	2768.50		200.00DR
04JUL17	1 04OCT17	2775.00	2769.50		137.50DR
04JUL17	1 04OCT17	2775.50	2769.50		150.00DR
04JUL17	1 04OCT17	2775.00	2773.50		37.50DR
04JUL17	1 04OCT17	2775.50	2773.50		50.00DR
04JUL17	2 04OCT17	2774.50	2773.50		50.00DR
04JUL17	1 04OCT17	2775.00	2774.50		12.50DR
04JUL17	1 04OCT17	2775.50	2775.00		12.50DR
04JUL17	1 04OCT17	2775.50	2775.50		0.00
04JUL17	1 04OCT17	2775.00	2777.50		62.50CR
04JUL17	1 04OCT17	2774.00	2777.50		87.50CR
04JUL17	1 04OCT17	2776.50	2778.50		50.00CR
04JUL17	1 04OCT17	2775.00	2777.50		62.50CR
04JUL17	2 04OCT17	2775.50	2777.50		100.00CR
04JUL17	1 04OCT17	2780.00	2779.50		12.50DR
04JUL17	1 04OCT17	2780.00	2779.50		12.50DR
04JUL17	1 04OCT17	2780.00	2781.50		37.50CR
04JUL17	1 04OCT17	2780.00	2784.00		100.00CR
04JUL17	1 04OCT17	2779.00	2784.50		137.50CR
04JUL17	1 04OCT17	2774.50	2784.50		250.00CR
04JUL17	1 04OCT17	2773.00	2784.50		287.50CR
04JUL17	1 04OCT17	2768.00	2771.50		87.50CR
04JUL17	1 04OCT17	2768.00	2773.50		137.50CR
04JUL17	1 04OCT17	2767.00	2774.00		175.00CR
04JUL17	1 04OCT17	2765.50	2780.00		362.50CR
04JUL17	1 04OCT17	2800.50	2787.00		337.50DR
04JUL17	1 04OCT17	2800.00	2788.50		287.50DR
04JUL17	1 04OCT17	2798.00	2785.00		325.00DR
04JUL17	1 04OCT17	2797.50	2785.50		300.00DR
04JUL17	1 04OCT17	2797.00	2786.50		262.50DR
04JUL17	1 04OCT17	2795.00	2789.50		137.50DR
04JUL17	1 04OCT17	2794.50	2790.00		112.50DR
04JUL17	1 04OCT17	2794.50	2790.00		112.50DR
04JUL17	1 04OCT17	2792.00	2793.50		37.50CR
04JUL17	1 04OCT17	2792.50	2793.50		25.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112C

4th October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	1 04OCT17	2792.50	2796.50		100.00CR
05JUL17	2 04OCT17	2793.00	2781.26		587.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,014,133.53DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				12,451.25DR
	LME Zinc 25 tonnes. This is an LME Futures				2,337.00DR
	LME Nickel 6 tonnes. This is an LME Futures				56.58CR
	LME Aluminium 25 Tonnes. This is an Futures				1,153.50CR
	COMMISSIONS				471.10DR
	CARRIED FORWARD BALANCE				1,028,182.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	B 1 18DEC17 IFC UD	2162.44		2.70DR	
04OCT17	B 3 19DEC17 IFC UD	2162.72		8.10DR	
04OCT17	S 3 21DEC17 IFC UD		2163.00	8.10DR	
04OCT17	S 1 27DEC17 IFC UD		2164.20	2.70DR	
04OCT17	B 3 28DEC17 IFC UD	2164.60		8.10DR	
04OCT17	S 3 29DEC17 IFC UD		2164.60	8.10DR	
04OCT17	S 1 02JAN18 IFC UD		2165.60	2.70DR	
04OCT17	B 1 03JAN18 IFC UD	2166.00		2.70DR	
04OCT17	B 1 04JAN18 OBJ EL	2148.00		4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2153.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2151.00	4.30DR	
04OCT17	B 4 04JAN18 OBJ EL	2144.50		17.20DR	
04OCT17	S 1 04JAN18 OBJ EL		2148.50	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2155.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2156.50	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2165.00	4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2156.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2155.00		4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2158.50	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2159.00	4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2150.00		4.30DR	
04OCT17	S 2 04JAN18 OBJ EL		2158.00	8.60DR	
04OCT17	S 1 04JAN18 OBJ EL		2157.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2167.00	4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2160.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2157.00		4.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	S 1 08DEC17 IFC UD		6514.50	2.70DR	
04OCT17	B 1 28DEC17 IFC UD	6519.05		2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 13 27DEC17 IFC UD	2559.71		35.10DR	
04OCT17	S 13 28DEC17 IFC UD		2559.55	35.10DR	
04OCT17	S 4 28DEC17 IFC UD		2559.75	10.80DR	
04OCT17	B 4 29DEC17 IFC UD	2559.99		10.80DR	
04OCT17	B 1 04JAN18 OBJ EL	2591.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2591.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2590.50		4.30DR	
04OCT17	B 2 04JAN18 OBJ EL	2589.50		8.60DR	
04OCT17	B 1 04JAN18 OBJ EL	2588.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2582.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2581.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2577.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2577.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2575.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2574.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2574.50		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2585.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2584.00		4.30DR	
04OCT17	B 1 04JAN18 OBJ EL	2584.50		4.30DR	
04OCT17	B 2 04JAN18 OBJ EL	2587.00		8.60DR	
04OCT17	B 1 04JAN18 OBJ EL	2586.50		4.30DR	
04OCT17	B 4 04JAN18 OBJ EL	2583.50		17.20DR	
04OCT17	B 1 04JAN18 OBJ EL	2570.00		4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2591.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2589.50	4.30DR	
04OCT17	S 2 04JAN18 OBJ EL		2592.00	8.60DR	
04OCT17	S 1 04JAN18 OBJ EL		2595.00	4.30DR	
04OCT17	S 1 04JAN18 OBJ EL		2598.00	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

4th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S	4	04JAN18	OBJ	EL		2606.00	17.20DR	
04OCT17	S	1	04JAN18	OBJ	EL		2606.50	4.30DR	
04OCT17	S	3	04JAN18	OBJ	EL		2605.00	12.90DR	
04OCT17	S	2	04JAN18	DMA	EL		2606.50	8.60DR	
04OCT17	S	2	04JAN18	DMA	EL		2606.00	8.60DR	
04OCT17	B	1	04JAN18	DMA	EL	2569.00		4.30DR	
04OCT17	B	1	04JAN18	DMA	EL	2571.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		2581.00	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2572.50		4.30DR	
04OCT17	B	2	04JAN18	OBJ	EL	2567.50		8.60DR	
04OCT17	S	1	04JAN18	OBJ	EL		2580.00	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		2580.50	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		2583.50	4.30DR	
04OCT17	S	2	04JAN18	OBJ	EL		2589.00	8.60DR	
04OCT17	S	1	04JAN18	OBJ	EL		2590.00	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		2591.50	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2573.00		4.30DR	
04OCT17	B	2	04JAN18	OBJ	EL	2572.00		8.60DR	
04OCT17	B	4	04JAN18	OBJ	EL	2570.50		17.20DR	
04OCT17	B	1	04JAN18	OBJ	EL	2565.50		4.30DR	
04OCT17	B	5	04JAN18	OBJ	EL	2571.50		21.50DR	
04OCT17	B	3	04JAN18	OBJ	EL	2567.00		12.90DR	
04OCT17	B	1	04JAN18	OBJ	EL	2564.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2563.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2561.00		4.30DR	
04OCT17	B	2	04JAN18	OBJ	EL	2558.00		8.60DR	
04OCT17	B	1	04JAN18	OBJ	EL	2556.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2556.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2555.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2554.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2550.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	2545.50		4.30DR	
04OCT17	S	2	04JAN18	OBJ	EL		2549.50	8.60DR	
04OCT17	S	3	04JAN18	OBJ	EL		2548.50	12.90DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
04OCT17	S	1	13DEC17	IFC	UD		3306.10	2.70DR	
04OCT17	S	2	15DEC17	IFC	UD		3306.21	5.40DR	
04OCT17	S	4	21DEC17	IFC	UD		3302.00	10.80DR	
04OCT17	B	6	22DEC17	IFC	UD	3302.20		16.20DR	
04OCT17	S	2	27DEC17	IFC	UD		3302.00	5.40DR	
04OCT17	B	3	28DEC17	IFC	UD	3302.20		8.10DR	
04OCT17	B	14	28DEC17	IFC	UD	3302.00		37.80DR	
04OCT17	S	5	29DEC17	IFC	UD		3301.80	13.50DR	
04OCT17	S	2	02JAN18	IFC	UD		3301.80	5.40DR	
04OCT17	S	7	03JAN18	IFC	UD		3301.80	18.90DR	
04OCT17	B	1	04JAN18	OBJ	EL	3281.50		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3284.50	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3289.50	4.30DR	
04OCT17	B	3	04JAN18	OBJ	EL	3295.00		12.90DR	
04OCT17	B	2	04JAN18	OBJ	EL	3290.50		8.60DR	
04OCT17	S	1	04JAN18	OBJ	EL		3278.00	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3279.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3275.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3285.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3291.00	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3292.00	4.30DR	
04OCT17	S	2	04JAN18	OBJ	EL		3293.50	8.60DR	
04OCT17	S	1	04JAN18	OBJ	EL		3292.50	4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3299.50	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3293.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3302.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3287.50	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3296.00		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3296.00	4.30DR	
04OCT17	S	2	04JAN18	OBJ	EL		3294.50	8.60DR	

Group No: SAPG0112

Page 2 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S	1	04JAN18	OBJ	EL		3291.50	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3290.00		4.30DR	
04OCT17	S	2	04JAN18	OBJ	EL		3282.50	8.60DR	
04OCT17	B	1	04JAN18	OBJ	EL	3282.00		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3282.50		4.30DR	
04OCT17	S	1	04JAN18	OBJ	EL		3282.00	4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3276.50		4.30DR	
04OCT17	B	1	04JAN18	OBJ	EL	3277.00		4.30DR	
	Commission								879.50DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
04JUL17		1	04OCT17			1923.50	1926.00		62.50CR
04JUL17		1	04OCT17			1920.00	1927.00		175.00CR
04JUL17		1	04OCT17			1919.50	1927.00		187.50CR
04JUL17		1	04OCT17			1919.50	1929.50		250.00CR
04JUL17		1	04OCT17			1919.50	1928.00		212.50CR
04JUL17		1	04OCT17			1917.00	1927.50		262.50CR
04JUL17		1	04OCT17			1916.50	1927.50		275.00CR
	LME Lead 25 tonnes. This is an LME registered client contract								
04JUL17		1	04OCT17			2319.00	2317.50		37.50DR
04JUL17		1	04OCT17			2317.50	2316.50		25.00DR
04JUL17		1	04OCT17			2317.00	2314.50		62.50DR
04JUL17		1	04OCT17			2312.50	2314.50		50.00CR
04JUL17		1	04OCT17			2313.00	2315.00		50.00CR
04JUL17		1	04OCT17			2312.00	2315.50		87.50CR
04JUL17		2	04OCT17			2312.00	2316.00		200.00CR
04JUL17		1	04OCT17			2307.50	2314.00		162.50CR
04JUL17		1	04OCT17			2307.50	2314.50		175.00CR
04JUL17		1	04OCT17			2306.00	2314.50		212.50CR
04JUL17		1	04OCT17			2306.00	2308.50		62.50CR
04JUL17		1	04OCT17			2303.00	2310.00		175.00CR
04JUL17		1	04OCT17			2308.50	2308.00		12.50DR
04JUL17		1	04OCT17			2308.50	2318.00		237.50CR
04JUL17		1	04OCT17			2308.00	2292.50		387.50DR
04JUL17		1	04OCT17			2308.00	2291.00		425.00DR
04JUL17		1	04OCT17			2308.00	2297.00		275.00DR
04JUL17		1	04OCT17			2307.50	2297.00		262.50DR
04JUL17		2	04OCT17			2299.50	2299.50		0.00
04JUL17		1	04OCT17			2300.00	2300.50		12.50CR
04JUL17		1	04OCT17			2299.50	2300.00		12.50CR
04JUL17		1	04OCT17			2300.00	2300.00		0.00
04JUL17		1	04OCT17			2298.50	2306.50		200.00CR
04JUL17		1	04OCT17			2300.50	2308.00		187.50CR
04JUL17		1	04OCT17			2298.00	2291.00		175.00DR
04JUL17		1	04OCT17			2298.00	2300.00		50.00CR
04JUL17		1	04OCT17			2297.50	2297.00		12.50DR
04JUL17		1	04OCT17			2298.00	2297.00		25.00DR
04JUL17		1	04OCT17			2298.50	2297.00		37.50DR
04JUL17		1	04OCT17			2298.50	2292.50		150.00DR
04JUL17		1	04OCT17			2298.00	2293.00		125.00DR
04JUL17		1	04OCT17			2294.00	2295.00		25.00CR
05JUL17		2	04OCT17			2305.00	2274.80		1,510.00DR
05JUL17		1	04OCT17			2304.50	2274.80		742.50DR
10JUL17		2	04OCT17			2306.50	2319.45		647.50CR
10JUL17		1	04OCT17			2306.00	2319.45		336.25CR
10JUL17		1	04OCT17			2307.50	2319.45		298.75CR
10JUL17		1	04OCT17			2307.00	2319.45		311.25CR
10JUL17		1	04OCT17			2307.00	2319.45		311.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 04OCT17	2305.50	2319.45		348.75CR
10JUL17	1 04OCT17	2293.50	2319.45		648.75CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
13JUL17	1 04OCT17	9204.43	9199.93		27.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 04OCT17	2781.00	2769.00		300.00DR
04JUL17	1 04OCT17	2780.50	2770.00		262.50DR
04JUL17	1 04OCT17	2780.50	2771.00		237.50DR
04JUL17	1 04OCT17	2780.50	2772.00		212.50DR
04JUL17	1 04OCT17	2780.50	2772.00		212.50DR
04JUL17	1 04OCT17	2780.50	2769.50		275.00DR
04JUL17	1 04OCT17	2781.00	2773.00		200.00DR
04JUL17	1 04OCT17	2779.50	2775.00		112.50DR
04JUL17	1 04OCT17	2779.00	2775.00		100.00DR
04JUL17	1 04OCT17	2779.50	2773.50		150.00DR
04JUL17	1 04OCT17	2779.50	2774.00		137.50DR
04JUL17	1 04OCT17	2778.50	2774.50		100.00DR
04JUL17	1 04OCT17	2780.50	2774.50		150.00DR
04JUL17	1 04OCT17	2772.00	2774.50		62.50CR
04JUL17	1 04OCT17	2772.00	2775.00		75.00CR
04JUL17	1 04OCT17	2775.50	2777.00		37.50CR
04JUL17	1 04OCT17	2774.00	2777.00		75.00CR
04JUL17	1 04OCT17	2772.50	2777.00		112.50CR
04JUL17	1 04OCT17	2771.50	2778.00		162.50CR
04JUL17	1 04OCT17	2771.50	2777.50		150.00CR
04JUL17	1 04OCT17	2775.00	2778.00		75.00CR
04JUL17	1 04OCT17	2771.50	2777.50		150.00CR
04JUL17	1 04OCT17	2768.50	2777.00		212.50CR
04JUL17	1 04OCT17	2766.50	2777.00		262.50CR
04JUL17	1 04OCT17	2765.50	2777.50		300.00CR
04JUL17	1 04OCT17	2778.00	2778.00		0.00
04JUL17	1 04OCT17	2784.00	2777.00		175.00DR
04JUL17	1 04OCT17	2777.00	2777.00		0.00
04JUL17	1 04OCT17	2779.00	2777.50		37.50DR
04JUL17	1 04OCT17	2779.00	2779.50		12.50CR
04JUL17	1 04OCT17	2776.50	2781.50		125.00CR
04JUL17	1 04OCT17	2774.00	2780.00		150.00CR
04JUL17	1 04OCT17	2774.00	2781.50		187.50CR
04JUL17	1 04OCT17	2780.50	2783.50		75.00CR
04JUL17	1 04OCT17	2780.00	2783.00		75.00CR
04JUL17	1 04OCT17	2780.00	2782.00		50.00CR
04JUL17	1 04OCT17	2781.00	2784.50		87.50CR
04JUL17	1 04OCT17	2770.00	2782.50		312.50CR
04JUL17	1 04OCT17	2773.00	2782.50		237.50CR
04JUL17	1 04OCT17	2772.00	2784.50		312.50CR
04JUL17	1 04OCT17	2772.00	2786.00		350.00CR
04JUL17	1 04OCT17	2773.00	2786.50		337.50CR
04JUL17	1 04OCT17	2772.50	2787.00		362.50CR
04JUL17	1 04OCT17	2773.00	2787.00		350.00CR
04JUL17	1 04OCT17	2773.00	2785.00		300.00CR
04JUL17	1 04OCT17	2768.00	2784.50		412.50CR
04JUL17	1 04OCT17	2768.00	2773.50		137.50CR
04JUL17	1 04OCT17	2766.00	2788.50		562.50CR
04JUL17	1 04OCT17	2765.50	2788.50		575.00CR
04JUL17	1 04OCT17	2796.00	2788.50		187.50DR
04JUL17	1 04OCT17	2799.00	2786.50		312.50DR
04JUL17	1 04OCT17	2799.50	2786.00		337.50DR
04JUL17	1 04OCT17	2800.50	2789.00		287.50DR
04JUL17	1 04OCT17	2800.50	2789.50		275.00DR
04JUL17	1 04OCT17	2800.00	2790.00		250.00DR
04JUL17	1 04OCT17	2800.00	2793.50		162.50DR
04JUL17	2 04OCT17	2801.00	2796.00		250.00DR
04JUL17	1 04OCT17	2801.00	2802.00		25.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04JUL17	2 040CT17	2800.00	2787.50		625.00DR
04JUL17	1 040CT17	2800.00	2800.50		12.50CR
04JUL17	1 040CT17	2800.00	2797.50		62.50DR
04JUL17	2 040CT17	2799.50	2794.00		275.00DR
04JUL17	2 040CT17	2797.00	2794.50		125.00DR
04JUL17	1 040CT17	2800.00	2794.00		150.00DR
04JUL17	1 040CT17	2800.50	2790.00		262.50DR
04JUL17	1 040CT17	2800.00	2790.00		250.00DR
04JUL17	1 040CT17	2795.00	2787.50		187.50DR
04JUL17	1 040CT17	2794.50	2787.00		187.50DR
05JUL17	1 040CT17	2794.50	2781.26		331.00DR
05JUL17	1 040CT17	2792.00	2781.26		268.50DR
05JUL17	1 040CT17	2792.50	2781.26		281.00DR
05JUL17	1 040CT17	2793.00	2781.26		293.50DR
05JUL17	1 040CT17	2792.00	2781.26		268.50DR
05JUL17	1 040CT17	2789.50	2781.26		206.00DR
05JUL17	1 040CT17	2788.50	2781.26		181.00DR
05JUL17	1 040CT17	2788.50	2781.26		181.00DR
05JUL17	1 040CT17	2791.00	2781.26		243.50DR
TOTALS					
BROUGHT FORWARD BALANCE					112,579.93DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					537.50CR
LME Zinc 25 tonnes. This is an LME Futures					2,379.00DR
LME Nickel 6 tonnes. This is an LME Futures					27.00DR
LME Aluminium 25 Tonnes. This is an Futures					1,425.00CR
COMMISSIONS					879.50DR
CARRIED FORWARD BALANCE					113,902.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0112G 4th October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
04OCT17	S	1	04JAN18	OBJ	EL		2152.00	1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	2145.00		1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	2155.50		1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		2158.50	1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	2149.50		1.56DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
04OCT17	B	1	04JAN18	OBJ	EL	3289.00		1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3295.00	1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	3295.00		1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3276.50	1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	3275.50		1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	3285.00		1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3279.50	1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3292.00	1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3295.50	1.56DR	
04OCT17	S	1	04JAN18	OBJ	EL		3291.50	1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	3283.50		1.56DR	
04OCT17	B	1	04JAN18	OBJ	EL	3282.00		1.56DR	
	Commission								26.52DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								3.12DR
	COMMISSIONS								26.52DR
	CARRIED FORWARD BALANCE								29.64DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112
4th Oct 2017
COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		370,784.27CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		2,578.50CR
	LME Lead 25 tonnes. This is an Futures		23,781.25DR
	LME Nickel 6 tonnes. This is an LME Futures		4,948.08CR
	LME Zinc 25 tonnes. This is an LME Futures		1,600.50DR
	COMMISSIONS		1,512.92DR
	CARRIED FORWARD BALANCE		351,416.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118A

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	S 2 06OCT17 IFC US		2143.75	5.40DR	
04OCT17	S 2 11OCT17 IFC US		2145.42	5.40DR	
04OCT17	B 1 12OCT17 IFC US	2145.95		2.70DR	
04OCT17	B 3 13OCT17 IFC US	2146.28		8.10DR	
04OCT17	S 2 17OCT17 IFC UD		2147.42	5.40DR	
04OCT17	S 2 25OCT17 IFC UD		2150.47	5.40DR	
04OCT17	B 2 26OCT17 IFC UD	2151.06		5.40DR	
04OCT17	B 2 01NOV17 IFC UD	2150.65		5.40DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04OCT17	B 2 17OCT17 IFC UD	6485.00		5.40DR	
04OCT17	B 1 25OCT17 IFC UD	6491.04		2.70DR	
04OCT17	S 3 26OCT17 IFC UD		6491.37	8.10DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 11OCT17 IFC UD		2552.90	2.70DR	
04OCT17	S 2 17OCT17 IFC US		2554.27	5.40DR	
04OCT17	B 2 20OCT17 IFC US	2554.84		5.40DR	
04OCT17	B 1 27OCT17 IFC UD	2555.34		2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 1 10OCT17 IFC US		10530.00	2.70DR	
04OCT17	B 1 12OCT17 IFC US	10533.75		2.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
04OCT17	B 1 10OCT17 IFC US	20927.57		2.70DR	
04OCT17	S 1 12OCT17 IFC US		20923.71	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 2 06OCT17 IFC US	3364.50		5.40DR	
04OCT17	B 3 11OCT17 IFC US	3355.64		8.10DR	
04OCT17	S 3 13OCT17 IFC US		3346.59	8.10DR	
04OCT17	S 2 20OCT17 IFC US		3326.30	5.40DR	
	Commission				113.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,312.90DR
	COMMISSIONS				113.40DR
	CARRIED FORWARD BALANCE				2,426.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118H

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
04OCT17	S 2 10OCT17 IFC US		2145.08	5.40DR	
04OCT17	B 1 11OCT17 IFC US	2145.62		2.70DR	
04OCT17	B 1 12OCT17 IFC US	2145.95		2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	S 1 11OCT17 IFC US		2552.90	2.70DR	
04OCT17	B 1 20OCT17 IFC US	2554.84		2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
04OCT17	B 2 10OCT17 IFC US	3360.07		5.40DR	
04OCT17	S 1 12OCT17 IFC US		3351.01	2.70DR	
04OCT17	B 1 17OCT17 IFC UD	3337.93		2.70DR	
04OCT17	S 1 20OCT17 IFC US		3326.30	2.70DR	
04OCT17	S 1 26OCT17 IFC UD		3320.57	2.70DR	
	Commission				32.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,533.12DR
	COMMISSIONS				32.40DR
	CARRIED FORWARD BALANCE				1,565.52DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0118

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,772.82DR
	COMMISSIONS		145.80DR
	CARRIED FORWARD BALANCE		4,918.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
040CT17	B 1 DEC17 TTR II 1278.7			2.50DR	
040CT17	B 1 DEC17 TTR II 1278.1			2.50DR	
	CMX Silver 5,000 CMX				
040CT17	S 6 DEC17 TTR II 1675.5		1675.5	15.00DR	
040CT17	B 1 DEC17 TTR II 1676.0	1676.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1676.5	1676.5		2.50DR	
040CT17	B 1 DEC17 TTR II 1677.0	1677.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1679.5	1679.5		2.50DR	
040CT17	B 2 DEC17 TTR II 1680.5	1680.5		5.00DR	
040CT17	S 2 DEC17 TTR II 1685.0		1685.0	5.00DR	
040CT17	S 1 DEC17 TTR II 1684.0		1684.0	2.50DR	
040CT17	S 5 DEC17 TTR II 1684.5		1684.5	12.50DR	
040CT17	S 1 DEC17 TTR II 1686.5		1686.5	2.50DR	
040CT17	S 1 DEC17 TTR II 1687.0		1687.0	2.50DR	
040CT17	S 2 DEC17 TTR II 1688.5		1688.5	5.00DR	
040CT17	B 1 DEC17 TTR II 1684.5	1684.5		2.50DR	
040CT17	B 1 DEC17 TTR II 1684.0	1684.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1683.0	1683.0		2.50DR	
040CT17	B 2 DEC17 TTR II 1682.0	1682.0		5.00DR	
040CT17	B 1 DEC17 TTR II 1681.5	1681.5		2.50DR	
040CT17	B 3 DEC17 TTR II 1678.5	1678.5		7.50DR	
040CT17	B 8 DEC17 TTR II 1678.0	1678.0		20.00DR	
040CT17	B 4 DEC17 TTR II 1677.5	1677.5		10.00DR	
040CT17	B 1 DEC17 TTR II 1673.0	1673.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1672.5	1672.5		2.50DR	
040CT17	B 3 DEC17 TTR II 1674.0	1674.0		7.50DR	
040CT17	B 3 DEC17 TTR II 1674.5	1674.5		7.50DR	
040CT17	B 8 DEC17 TTR II 1673.5	1673.5		20.00DR	
040CT17	B 1 DEC17 TTR II 1672.0	1672.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1670.0	1670.0		2.50DR	
040CT17	B 2 DEC17 TTR II 1670.5	1670.5		5.00DR	
040CT17	B 1 DEC17 TTR II 1668.0	1668.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1667.0	1667.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1664.5	1664.5		2.50DR	
040CT17	B 1 DEC17 TTR II 1664.0	1664.0		2.50DR	
040CT17	S 1 DEC17 TTR II 1668.5		1668.5	2.50DR	
040CT17	S 2 DEC17 TTR II 1669.0		1669.0	5.00DR	
040CT17	B 1 DEC17 TTR II 1661.0	1661.0		2.50DR	
040CT17	B 1 DEC17 TTR II 1660.5	1660.5		2.50DR	
040CT17	S 2 DEC17 TTR II 1664.5		1664.5	5.00DR	
	Commission				195.00DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
040CT17	1 DEC17	1676.0	1675.5		25.00DR
040CT17	1 DEC17	1676.5	1675.5		50.00DR
040CT17	1 DEC17	1677.5	1675.5		100.00DR
040CT17	2 DEC17	1677.5	1675.5		200.00DR
040CT17	1 DEC17	1677.0	1675.5		75.00DR
040CT17	1 DEC17	1678.0	1685.0		350.00CR
040CT17	1 DEC17	1678.0	1685.0		350.00CR
040CT17	1 DEC17	1678.0	1684.5		325.00CR
040CT17	1 DEC17	1678.5	1684.5		300.00CR
040CT17	1 DEC17	1679.5	1684.0		225.00CR
040CT17	2 DEC17	1680.5	1684.5		400.00CR
040CT17	1 DEC17	1684.5	1684.5		0.00
040CT17	1 DEC17	1684.0	1686.5		125.00CR
040CT17	1 DEC17	1683.0	1687.0		200.00CR
040CT17	1 DEC17	1682.0	1688.5		325.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC17	1682.0	1688.5		325.00CR
04OCT17	1 DEC17	1681.5	1668.5		650.00DR
04OCT17	1 DEC17	1678.5	1669.0		475.00DR
04OCT17	1 DEC17	1678.0	1669.0		450.00DR
04OCT17	2 DEC17	1678.0	1664.5		1,350.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				83,515.74CR
	SETTLEMENTS				
	CMX Silver Futures				450.00DR
	COMMISSIONS				195.00DR
	CARRIED FORWARD BALANCE				82,870.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

4th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II 115.7500			2.50DR	
040CT17	S 1 FEB18 CQG II 119.5750			2.50DR	
040CT17	S 1 FEB18 CQG II 119.6000			2.50DR	
040CT17	B 1 APR18 CQG II 120.3750			2.50DR	
040CT17	B 1 APR18 CQG II 120.9750			2.50DR	
040CT17	B 1 APR18 CQG II 120.3500			2.50DR	
040CT17	B 1 APR18 CQG II 120.2000			2.50DR	
040CT17	S 2 JUN18 CQG II 113.7500			5.00DR	
040CT17	S 2 JUN18 CQG II 113.6750			5.00DR	
040CT17	S 2 JUN18 CQG II 113.6500			5.00DR	
040CT17	B 1 AUG18 CQG II 111.3250			2.50DR	
040CT17	B 2 AUG18 CQG II 111.1000			5.00DR	
	CME Feeder Cattle 500 CME				
040CT17	B 1 NOV17 CQG II 155.0500			2.50DR	
040CT17	S 1 NOV17 CQG II 153.7750			2.50DR	
040CT17	S 2 JAN18 CQG II 152.8000			5.00DR	
040CT17	B 1 JAN18 CQG II 151.4250			2.50DR	
040CT17	B 1 JAN18 CQG II 151.3750			2.50DR	
040CT17	B 1 MAR18 CQG II 150.5500			2.50DR	
040CT17	S 1 MAR18 CQG II 149.3250			2.50DR	
	IEU Gas Oil 100 IPE				
040CT17	S 2 JAN18 CQG EL 515.500			5.00DR	
040CT17	S 2 JAN18 CQG EL 515.750			5.00DR	
040CT17	S 4 FEB18 CQG EL 511.000			10.00DR	
040CT17	B 2 FEB18 CQG EL 514.000			5.00DR	
040CT17	B 2 FEB18 CQG EL 514.250			5.00DR	
040CT17	S 4 MAR18 CQG EL 508.500			10.00DR	
040CT17	B 4 MAR18 CQG EL 509.000			10.00DR	
040CT17	S 4 MAR18 CQG EL 507.750			10.00DR	
040CT17	S 4 MAR18 CQG EL 510.000			10.00DR	
040CT17	S 6 MAR18 CQG EL 509.750			15.00DR	
040CT17	S 2 MAR18 CQG EL 509.500			5.00DR	
040CT17	B 4 APR18 CQG EL 506.000			10.00DR	
040CT17	B 4 APR18 CQG EL 505.250			10.00DR	
040CT17	B 4 APR18 CQG EL 507.500			10.00DR	
040CT17	B 4 MAY18 CQG EL 507.000			10.00DR	
040CT17	B 4 MAY18 CQG EL 506.750			10.00DR	
040CT17	B 8 MAY18 CQG EL 506.500			20.00DR	
040CT17	B 6 MAY18 CQG EL 505.250			15.00DR	
040CT17	B 2 MAY18 CQG EL 505.000			5.00DR	
040CT17	S 4 JUN18 CQG EL 505.250			10.00DR	
040CT17	S 4 JUN18 CQG EL 505.000			10.00DR	
040CT17	S 8 JUN18 CQG EL 504.750			20.00DR	
040CT17	S 8 JUL18 CQG EL 504.250			20.00DR	
040CT17	B 8 AUG18 CQG EL 504.500			20.00DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II 62.2750			2.50DR	
040CT17	S 2 FEB18 CQG II 67.7250			5.00DR	
040CT17	B 1 APR18 CQG II 72.2000			2.50DR	
	Commission				330.00DR
	<u>SETTLEMENTS</u>				
	CME Feeder Cattle 500 CME				
040CT17	1 NOV17	155.0500	153.7750		637.50DR
040CT17	1 JAN18	151.4250	152.8000		687.50CR
040CT17	1 JAN18	151.3750	152.8000		712.50CR
040CT17	1 MAR18	150.5500	149.3250		612.50DR
	IEU Gas Oil 100 IPE				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

4th October 2017

COB GLOBAL

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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 JAN18	521.500	515.500		600.00DR
04OCT17	1 JAN18	521.500	515.500		600.00DR
04OCT17	1 JAN18	521.500	515.750		575.00DR
04OCT17	1 JAN18	521.500	515.750		575.00DR
04OCT17	1 FEB18	514.000	511.000		300.00DR
04OCT17	1 FEB18	514.000	511.000		300.00DR
04OCT17	1 FEB18	514.250	511.000		325.00DR
04OCT17	1 FEB18	514.250	511.000		325.00DR
04OCT17	1 MAR18	509.000	508.500		50.00DR
04OCT17	1 MAR18	509.000	508.500		50.00DR
04OCT17	2 MAR18	509.000	508.500		100.00DR
04OCT17	3 MAY18	507.000	504.750		675.00DR
04OCT17	1 MAY18	507.000	504.750		225.00DR
04OCT17	4 MAY18	506.500	505.250		500.00DR
04OCT17	4 MAY18	506.750	506.250		200.00DR
04OCT17	4 MAY18	506.500	505.000		600.00DR
04OCT17	3 JUN18	520.500	505.250		4,575.00DR
04OCT17	1 JUN18	520.500	505.250		1,525.00DR
04OCT17	2 JUN18	503.000	504.750		350.00CR
04OCT17	2 JUN18	503.000	504.750		350.00CR
04OCT17	4 JUN18	503.500	505.000		600.00CR
04OCT17	4 JUN18	503.250	504.750		600.00CR
TOTALS					
BROUGHT FORWARD BALANCE					7,360.00CR
SETTLEMENTS					
CME Feeder Cattle Futures					150.00CR
IEU Gas Oil Futures					10,200.00DR
COMMISSIONS					330.00DR
CARRIED FORWARD BALANCE					3,020.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
040CT17	B	1	DEC17	CQG	II	115.1250		2.50DR
040CT17	S	2	FEB18	CQG	II		119.1500	5.00DR
040CT17	B	1	APR18	CQG	II	120.6750		2.50DR
040CT17	B	1	APR18	CQG	II	120.3500		2.50DR
040CT17	S	1	JUN18	CQG	II		114.0250	2.50DR
040CT17	S	1	JUN18	CQG	II		113.5750	2.50DR
040CT17	S	1	JUN18	CQG	II		113.8000	2.50DR
040CT17	S	1	AUG18	CQG	II		111.3250	2.50DR
040CT17	S	1	AUG18	CQG	II		111.4500	2.50DR
040CT17	B	1	AUG18	CQG	II	111.5000		2.50DR
040CT17	B	1	AUG18	CQG	II	111.5500		2.50DR
040CT17	B	1	AUG18	CQG	II	111.0750		2.50DR
040CT17	S	2	AUG18	CQG	II		111.5000	5.00DR
040CT17	B	1	OCT18	CQG	II	111.9250		2.50DR
040CT17	B	1	OCT18	CQG	II	112.0000		2.50DR
040CT17	S	1	OCT18	CQG	II		112.1000	2.50DR
040CT17	B	2	OCT18	CQG	II	112.0500		5.00DR
040CT17	B	1	OCT18	CQG	II	111.3500		2.50DR
040CT17	S	1	DEC18	CQG	II		112.3250	2.50DR
	CME Feeder Cattle 500 CME							
040CT17	B	1	NOV17	CQG	II	155.1500		2.50DR
040CT17	B	1	NOV17	CQG	II	155.1000		2.50DR
040CT17	S	1	NOV17	CQG	II		155.1750	2.50DR
040CT17	S	1	NOV17	CQG	II		154.8000	2.50DR
040CT17	S	1	NOV17	CQG	II		154.5000	2.50DR
040CT17	S	1	NOV17	CQG	II		154.3000	2.50DR
040CT17	S	1	NOV17	CQG	II		153.7000	2.50DR
040CT17	S	1	NOV17	CQG	II		153.8000	2.50DR
040CT17	B	1	NOV17	CQG	II	153.6500		2.50DR
040CT17	S	1	JAN18	CQG	II		152.8250	2.50DR
040CT17	S	1	JAN18	CQG	II		152.8500	2.50DR
040CT17	S	1	JAN18	CQG	II		152.7500	2.50DR
040CT17	B	1	JAN18	CQG	II	152.9000		2.50DR
040CT17	B	1	JAN18	CQG	II	152.3750		2.50DR
040CT17	B	1	JAN18	CQG	II	152.0750		2.50DR
040CT17	B	1	JAN18	CQG	II	152.2500		2.50DR
040CT17	B	1	JAN18	CQG	II	151.8500		2.50DR
040CT17	B	2	JAN18	CQG	II	151.4250		5.00DR
040CT17	B	1	JAN18	CQG	II	151.1000		2.50DR
040CT17	B	2	JAN18	CQG	II	151.3250		5.00DR
040CT17	S	1	JAN18	CQG	II		151.2500	2.50DR
040CT17	B	1	MAR18	CQG	II	150.5750		2.50DR
040CT17	S	1	MAR18	CQG	II		150.3750	2.50DR
040CT17	S	1	MAR18	CQG	II		150.0000	2.50DR
040CT17	S	1	MAR18	CQG	II		149.3500	2.50DR
040CT17	S	1	MAR18	CQG	II		149.0250	2.50DR
040CT17	B	1	MAR18	CQG	II	148.9750		2.50DR
040CT17	S	1	MAR18	CQG	II		149.2500	2.50DR
040CT17	B	1	APR18	CQG	II	150.0000		2.50DR
040CT17	S	1	APR18	CQG	II		150.1500	2.50DR
040CT17	S	1	APR18	CQG	II		149.3500	2.50DR
040CT17	S	1	APR18	CQG	II		148.8000	2.50DR
040CT17	B	1	MAY18	CQG	II	149.3500		2.50DR
040CT17	B	1	MAY18	CQG	II	148.4750		2.50DR
040CT17	S	1	MAY18	CQG	II		147.9250	2.50DR
040CT17	B	1	MAY18	CQG	II	148.1000		2.50DR
040CT17	B	1	AUG18	CQG	II	148.5250		2.50DR
040CT17	S	1	AUG18	CQG	II		148.8250	2.50DR
	CME Lean Hog 400 CME							
040CT17	B	1	DEC17	CQG	II	62.9000		2.50DR
040CT17	B	1	DEC17	CQG	II	62.2750		2.50DR
040CT17	S	1	DEC17	CQG	II		62.2500	2.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

4th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S 1 DEC17 CQG II		61.9500	2.50DR	
04OCT17	S 2 FEB18 CQG II		68.0750	5.00DR	
04OCT17	S 2 FEB18 CQG II		67.7250	5.00DR	
04OCT17	B 2 FEB18 CQG II	67.7000		5.00DR	
04OCT17	B 2 FEB18 CQG II	67.3500		5.00DR	
04OCT17	B 1 APR18 CQG II	72.4000		2.50DR	
04OCT17	B 1 APR18 CQG II	72.2000		2.50DR	
04OCT17	S 1 APR18 CQG II		72.1500	2.50DR	
04OCT17	S 1 APR18 CQG II		71.8000	2.50DR	
04OCT17	B 1 JUN18 CQG II	80.1250		2.50DR	
04OCT17	S 2 JUL18 CQG II		80.4500	5.00DR	
04OCT17	B 1 AUG18 CQG II	79.8000		2.50DR	
	Commission				205.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
04OCT17	1 AUG18	111.5000	111.5000		0.00
04OCT17	1 AUG18	111.5500	111.3250		90.00DR
04OCT17	1 AUG18	111.0750	111.4500		150.00CR
04OCT17	1 OCT18	112.0500	112.1000		20.00CR
	CME Feeder Cattle 500 CME				
04OCT17	1 NOV17	155.1500	155.1750		12.50CR
04OCT17	1 NOV17	155.1000	154.8000		150.00DR
04OCT17	1 NOV17	153.6500	154.5000		425.00CR
04OCT17	1 NOV17	153.1250	154.3000		587.50CR
04OCT17	1 JAN18	152.9000	152.8250		37.50DR
04OCT17	1 JAN18	152.3750	152.8500		237.50CR
04OCT17	1 JAN18	152.0750	152.7500		337.50CR
04OCT17	1 JAN18	152.2500	151.2500		500.00DR
04OCT17	1 JAN18	151.8500	149.5000		1,175.00DR
04OCT17	1 JAN18	151.3250	149.5750		875.00DR
04OCT17	1 MAR18	150.5750	150.3750		100.00DR
04OCT17	1 MAR18	148.9750	150.0000		512.50CR
04OCT17	1 MAR18	146.7000	149.3500		1,325.00CR
04OCT17	1 APR18	150.0000	150.1500		75.00CR
04OCT17	1 MAY18	149.3500	147.9250		712.50DR
04OCT17	1 AUG18	148.5250	148.8250		150.00CR
	CME Lean Hog 400 CME				
04OCT17	1 DEC17	62.9000	62.2500		260.00DR
04OCT17	1 DEC17	62.2750	61.9500		130.00DR
04OCT17	2 FEB18	67.7000	68.0750		300.00CR
04OCT17	1 FEB18	67.3500	67.7250		150.00CR
04OCT17	1 FEB18	67.3500	67.7250		150.00CR
04OCT17	1 APR18	72.4000	72.1500		100.00DR
04OCT17	1 APR18	72.2000	71.8000		160.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				5,437.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				112.50CR
	CME Cattle Futures				80.00CR
	CME Lean Hog Futures				50.00DR
	COMMISSIONS				205.00DR
	CARRIED FORWARD BALANCE				5,500.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II	115.4000		2.50DR	
040CT17	S 1 DEC17 CQG II		115.3250	2.50DR	
040CT17	B 1 DEC17 CQG II	115.8250		2.50DR	
040CT17	B 1 DEC17 CQG II	115.7000		2.50DR	
040CT17	B 1 DEC17 CQG II	115.5250		2.50DR	
040CT17	S 1 DEC17 CQG II		115.1500	2.50DR	
040CT17	S 1 FEB18 CQG II		119.1750	2.50DR	
040CT17	B 1 FEB18 CQG II	119.0250		2.50DR	
040CT17	S 1 FEB18 CQG II		119.5250	2.50DR	
040CT17	S 2 FEB18 CQG II		119.4750	5.00DR	
040CT17	B 1 FEB18 CQG II	119.6250		2.50DR	
040CT17	S 1 FEB18 CQG II		119.4500	2.50DR	
040CT17	B 1 FEB18 CQG II	119.2000		2.50DR	
040CT17	S 1 FEB18 CQG II		119.1000	2.50DR	
040CT17	B 1 APR18 CQG II	120.6750		2.50DR	
040CT17	B 1 APR18 CQG II	120.7500		2.50DR	
040CT17	S 1 APR18 CQG II		121.0250	2.50DR	
040CT17	B 1 APR18 CQG II	120.4250		2.50DR	
040CT17	B 1 APR18 CQG II	120.2000		2.50DR	
040CT17	B 1 APR18 CQG II	120.2250		2.50DR	
040CT17	S 1 JUN18 CQG II		113.8750	2.50DR	
040CT17	S 1 JUN18 CQG II		113.9250	2.50DR	
040CT17	S 1 JUN18 CQG II		113.6250	2.50DR	
040CT17	S 1 JUN18 CQG II		113.6500	2.50DR	
040CT17	S 1 JUN18 CQG II		113.7000	2.50DR	
040CT17	B 1 AUG18 CQG II	111.4250		2.50DR	
040CT17	S 1 AUG18 CQG II		111.4750	2.50DR	
040CT17	B 1 AUG18 CQG II	111.0000		2.50DR	
040CT17	B 1 AUG18 CQG II	111.0250		2.50DR	
040CT17	B 1 AUG18 CQG II	111.0750		2.50DR	
040CT17	B 1 OCT18 CQG II	112.0000		2.50DR	
040CT17	S 1 OCT18 CQG II		111.4750	2.50DR	
040CT17	S 1 OCT18 CQG II		111.5000	2.50DR	
	CME Feeder Cattle 500 CME				
040CT17	B 1 NOV17 CQG II	155.1500		2.50DR	
040CT17	S 1 NOV17 CQG II		153.4500	2.50DR	
040CT17	S 1 JAN18 CQG II		152.8250	2.50DR	
040CT17	S 1 JAN18 CQG II		152.4250	2.50DR	
040CT17	S 1 JAN18 CQG II		151.8500	2.50DR	
040CT17	B 1 JAN18 CQG II	151.5000		2.50DR	
040CT17	B 1 JAN18 CQG II	151.4500		2.50DR	
040CT17	B 1 JAN18 CQG II	151.1000		2.50DR	
040CT17	B 1 JAN18 CQG II	151.2500		2.50DR	
040CT17	B 1 MAR18 CQG II	150.1750		2.50DR	
040CT17	B 1 MAR18 CQG II	149.6000		2.50DR	
040CT17	S 1 MAR18 CQG II		149.4000	2.50DR	
040CT17	S 1 MAR18 CQG II		149.3500	2.50DR	
040CT17	S 1 MAR18 CQG II		149.1500	2.50DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II	62.7000		2.50DR	
040CT17	B 1 DEC17 CQG II	61.9000		2.50DR	
040CT17	S 1 DEC17 CQG II		61.9750	2.50DR	
040CT17	S 2 FEB18 CQG II		68.0250	5.00DR	
040CT17	S 2 FEB18 CQG II		67.5250	5.00DR	
040CT17	B 2 FEB18 CQG II	67.4000		5.00DR	
040CT17	B 1 APR18 CQG II	72.3750		2.50DR	
040CT17	B 1 APR18 CQG II	71.9500		2.50DR	
040CT17	S 1 APR18 CQG II		71.8000	2.50DR	
	Commission				150.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
04OCT17	1 DEC17	115.4000	115.3250		30.00DR
04OCT17	1 DEC17	115.8250	115.1500		270.00DR
04OCT17	1 FEB18	119.0250	119.1750		60.00CR
04OCT17	1 FEB18	119.6250	119.4750		60.00DR
04OCT17	1 FEB18	119.2000	119.5250		130.00CR
04OCT17	1 APR18	120.6750	121.0250		140.00CR
04OCT17	1 AUG18	111.4250	111.4750		20.00CR
04OCT17	1 AUG18	111.0000	110.7750		90.00DR
04OCT17	1 AUG18	111.0250	111.1500		50.00CR
04OCT17	1 OCT18	112.0000	111.4750		210.00DR
04OCT17	1 OCT18	111.5500	111.5000		20.00DR
	CME Feeder Cattle 500 CME				
04OCT17	1 NOV17	155.1500	153.4500		850.00DR
04OCT17	1 JAN18	151.5000	152.8250		662.50CR
04OCT17	1 JAN18	151.4500	152.4250		487.50CR
04OCT17	1 JAN18	151.1000	151.8500		375.00CR
04OCT17	1 MAR18	150.1750	149.4000		387.50DR
04OCT17	1 MAR18	149.6000	149.3500		125.00DR
	CME Lean Hog 400 CME				
04OCT17	1 DEC17	62.7000	61.9750		290.00DR
04OCT17	1 FEB18	67.4000	68.0250		250.00CR
04OCT17	1 FEB18	67.4000	68.0250		250.00CR
04OCT17	1 APR18	72.3750	71.8000		230.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,570.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				162.50CR
	CME Cattle Futures				280.00DR
	CME Lean Hog Futures				20.00DR
	COMMISSIONS				150.00DR
	CARRIED FORWARD BALANCE				2,857.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119G

4th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
04OCT17	B 1 04JAN18 DMA EL	2558.50		1.56DR	
04OCT17	S 1 04JAN18 DMA EL		2555.50	1.56DR	
	Commission				3.12DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,071.28CR
	COMMISSIONS				3.12DR
	CARRIED FORWARD BALANCE				10,068.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
04OCT17	S 1 04JAN18 DMA EL		10800.00	1.56DR	
04OCT17	B 1 04JAN18 DMA EL	10805.00		1.56DR	
	Commission				3.12DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,366.38CR
	COMMISSIONS				3.12DR
	CARRIED FORWARD BALANCE				7,363.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II 115.6750			2.50DR	
040CT17	S 1 DEC17 CQG II 115.1000			2.50DR	
040CT17	B 1 DEC17 CQG II 115.2250			2.50DR	
040CT17	B 1 DEC17 CQG II 114.9250			2.50DR	
040CT17	S 1 FEB18 CQG II 119.4500			2.50DR	
040CT17	S 1 FEB18 CQG II 119.3250			2.50DR	
040CT17	B 1 FEB18 CQG II 119.2000			2.50DR	
040CT17	S 1 FEB18 CQG II 119.2500			2.50DR	
040CT17	S 2 FEB18 CQG II 118.9000			5.00DR	
040CT17	B 1 APR18 CQG II 120.3500			2.50DR	
040CT17	B 1 APR18 CQG II 120.7500			2.50DR	
040CT17	B 1 APR18 CQG II 120.2500			2.50DR	
040CT17	B 1 APR18 CQG II 120.3250			2.50DR	
040CT17	S 2 JUN18 CQG II 113.7250			5.00DR	
040CT17	S 1 JUN18 CQG II 113.7500			2.50DR	
040CT17	S 1 JUN18 CQG II 113.7750			2.50DR	
040CT17	B 1 AUG18 CQG II 111.3250			2.50DR	
040CT17	S 1 AUG18 CQG II 111.5000			2.50DR	
040CT17	B 1 AUG18 CQG II 111.1750			2.50DR	
040CT17	B 1 OCT18 CQG II 112.0750			2.50DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II 62.7500			2.50DR	
040CT17	S 1 DEC17 CQG II 62.7500			2.50DR	
040CT17	B 1 DEC17 CQG II 62.8000			2.50DR	
040CT17	S 1 DEC17 CQG II 62.2250			2.50DR	
040CT17	B 1 DEC17 CQG II 62.5250			2.50DR	
040CT17	B 1 DEC17 CQG II 61.8500			2.50DR	
040CT17	S 1 DEC17 CQG II 62.1000			2.50DR	
040CT17	S 1 DEC17 CQG II 61.8750			2.50DR	
040CT17	S 1 FEB18 CQG II 68.0250			2.50DR	
040CT17	B 1 FEB18 CQG II 68.0250			2.50DR	
040CT17	S 1 FEB18 CQG II 68.0500			2.50DR	
040CT17	S 1 FEB18 CQG II 68.1000			2.50DR	
040CT17	B 2 FEB18 CQG II 67.7000			5.00DR	
040CT17	S 1 FEB18 CQG II 67.9000			2.50DR	
040CT17	S 1 FEB18 CQG II 67.8500			2.50DR	
040CT17	S 1 FEB18 CQG II 67.6250			2.50DR	
040CT17	B 1 FEB18 CQG II 67.6000			2.50DR	
040CT17	S 1 FEB18 CQG II 67.4750			2.50DR	
040CT17	B 1 FEB18 CQG II 67.6250			2.50DR	
040CT17	B 2 FEB18 CQG II 67.2750			5.00DR	
040CT17	B 1 APR18 CQG II 72.4000			2.50DR	
040CT17	S 1 APR18 CQG II 72.1750			2.50DR	
040CT17	B 1 APR18 CQG II 72.2000			2.50DR	
040CT17	B 1 APR18 CQG II 71.9750			2.50DR	
040CT17	S 1 APR18 CQG II 71.9000			2.50DR	
040CT17	S 1 APR18 CQG II 71.7750			2.50DR	
040CT17	B 1 JUN18 CQG II 79.9250			2.50DR	
040CT17	B 1 JUN18 CQG II 79.9750			2.50DR	
040CT17	S 2 JUL18 CQG II 80.1500			5.00DR	
040CT17	S 2 JUL18 CQG II 80.2750			5.00DR	
040CT17	B 1 AUG18 CQG II 79.4750			2.50DR	
040CT17	B 1 AUG18 CQG II 79.6000			2.50DR	
	Commission				145.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
040CT17	1 DEC17	115.6750	115.1000		230.00DR
040CT17	1 FEB18	119.2000	119.4500		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 AUG18 CME Lean Hog 400 CME	111.3250	111.5000		70.00CR
04OCT17	1 DEC17	62.7500	62.7500		0.00
04OCT17	1 DEC17	62.8000	62.2250		230.00DR
04OCT17	1 DEC17	62.5250	62.1000		170.00DR
04OCT17	1 DEC17	61.8500	61.8750		10.00CR
04OCT17	1 FEB18	68.0250	68.0250		0.00
04OCT17	1 FEB18	67.7000	68.0500		140.00CR
04OCT17	1 FEB18	67.7000	68.1000		160.00CR
04OCT17	1 FEB18	67.6000	67.9000		120.00CR
04OCT17	1 FEB18	67.6250	67.8500		90.00CR
04OCT17	1 FEB18	67.2750	67.6250		140.00CR
04OCT17	1 FEB18	67.2750	67.4750		80.00CR
04OCT17	1 APR18	72.4000	72.1750		90.00DR
04OCT17	1 APR18	72.2000	71.9000		120.00DR
04OCT17	1 APR18	71.9750	71.7750		80.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				60.00DR
	SETTLEMENTS				
	CME Cattle Futures				60.00DR
	CME Lean Hog Futures				50.00CR
	COMMISSIONS				145.00DR
	CARRIED FORWARD BALANCE				215.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119L

4th October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	B 1 JUN18 CQG II 50.88			2.50DR	
040CT17	B 1 JUN18 CQG II 51.09			2.50DR	
040CT17	B 1 JUN18 CQG II 51.05			2.50DR	
040CT17	B 1 JUN18 CQG II 51.00			2.50DR	
040CT17	B 1 JUN18 CQG II 51.02			2.50DR	
040CT17	B 1 JUN18 CQG II 51.18			2.50DR	
040CT17	B 1 JUN18 CQG II 51.24			2.50DR	
040CT17	B 1 JUN18 CQG II 51.32			2.50DR	
040CT17	B 1 JUN18 CQG II 51.20			2.50DR	
040CT17	B 2 JUN18 CQG II 51.21			5.00DR	
040CT17	S 2 DEC18 CQG II		50.46	5.00DR	
040CT17	S 1 DEC18 CQG II		50.44	2.50DR	
040CT17	S 1 DEC18 CQG II		50.59	2.50DR	
040CT17	S 1 DEC18 CQG II		50.57	2.50DR	
040CT17	S 1 DEC18 CQG II		50.58	2.50DR	
040CT17	S 1 DEC18 CQG II		50.56	2.50DR	
040CT17	S 3 DEC18 CQG II		50.52	7.50DR	
040CT17	S 3 DEC18 CQG II		50.54	7.50DR	
040CT17	S 2 DEC18 CQG II		50.71	5.00DR	
040CT17	S 2 DEC18 CQG II		50.70	5.00DR	
040CT17	S 2 DEC18 CQG II		50.69	5.00DR	
040CT17	S 2 DEC18 CQG II		50.79	5.00DR	
040CT17	S 3 DEC18 CQG II		50.73	7.50DR	
040CT17	S 2 DEC18 CQG II		50.74	5.00DR	
040CT17	B 2 JUN19 CQG II 50.04			5.00DR	
040CT17	B 2 JUN19 CQG II 50.07			5.00DR	
040CT17	B 3 JUN19 CQG II 50.06			7.50DR	
040CT17	B 2 JUN19 CQG II 50.09			5.00DR	
040CT17	B 2 JUN19 CQG II 50.25			5.00DR	
040CT17	B 1 JUN19 CQG II 50.28			2.50DR	
040CT17	S 1 DEC19 CQG II		49.82	2.50DR	
040CT17	B 1 DEC19 CQG II 49.87			2.50DR	
040CT17	B 1 DEC19 CQG II 49.89			2.50DR	
040CT17	S 2 DEC19 CQG II		49.80	5.00DR	
040CT17	B 1 DEC19 CQG II 49.97			2.50DR	
040CT17	B 1 DEC19 CQG II 49.95			2.50DR	
040CT17	S 1 DEC19 CQG II		50.00	2.50DR	
040CT17	B 1 DEC19 CQG II 50.01			2.50DR	
040CT17	B 1 DEC19 CQG II 49.99			2.50DR	
040CT17	B 1 DEC19 CQG II 50.00			2.50DR	
	IEU Brent Crude 1,000 IPE				
040CT17	S 3 JUN18 CQG EL 54.87			7.50DR	
040CT17	S 4 JUN18 CQG EL 54.88			10.00DR	
040CT17	S 1 JUN18 CQG EL 55.02			2.50DR	
040CT17	S 1 JUN18 CQG EL 54.98			2.50DR	
040CT17	S 3 JUN18 CQG EL 55.03			7.50DR	
040CT17	S 2 JUN18 CQG EL 55.12			5.00DR	
040CT17	S 1 JUN18 CQG EL 55.10			2.50DR	
040CT17	S 1 JUN18 CQG EL 55.14			2.50DR	
040CT17	S 1 JUN18 CQG EL 55.18			2.50DR	
040CT17	S 3 JUN18 CQG EL 55.21			7.50DR	
040CT17	S 1 JUN18 CQG EL 55.23			2.50DR	
040CT17	B 3 JUN18 CQG EL 55.18			7.50DR	
040CT17	S 1 JUN18 CQG EL 55.17			2.50DR	
040CT17	S 2 JUN18 CQG EL 55.16			5.00DR	
040CT17	S 3 JUN18 CQG EL 55.27			7.50DR	
040CT17	B 3 JUN18 CQG EL 54.94			7.50DR	
040CT17	B 4 JUN18 CQG EL 54.95			10.00DR	
040CT17	B 1 JUN18 CQG EL 54.91			2.50DR	
040CT17	B 2 JUN18 CQG EL 54.96			5.00DR	
040CT17	B 1 JUN18 CQG EL 55.07			2.50DR	
040CT17	B 1 JUN18 CQG EL 55.02			2.50DR	
040CT17	B 4 JUN18 CQG EL 55.08			10.00DR	

Group No: SAPG0119

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

4th October 2017

COB GLOBAL

Sapien Capital Limited
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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
040CT17	B	1	JUN18	CQG	EL	55.14		2.50DR	
040CT17	B	1	JUN18	CQG	EL	55.13		2.50DR	
040CT17	B	5	JUN18	CQG	EL	55.12		12.50DR	
040CT17	B	6	JUN18	CQG	EL	55.03		15.00DR	
040CT17	S	5	SEP18	CQG	EL		54.88	12.50DR	
040CT17	S	5	SEP18	CQG	EL		54.87	12.50DR	
040CT17	S	2	DEC18	CQG	EL		54.35	5.00DR	
040CT17	B	14	DEC18	CQG	EL	54.35		35.00DR	
040CT17	B	2	DEC18	CQG	EL	54.52		5.00DR	
040CT17	B	2	DEC18	CQG	EL	54.49		5.00DR	
040CT17	B	2	DEC18	CQG	EL	54.45		5.00DR	
040CT17	B	3	DEC18	CQG	EL	54.47		7.50DR	
040CT17	B	2	DEC18	CQG	EL	54.55		5.00DR	
040CT17	B	1	DEC18	CQG	EL	54.53		2.50DR	
040CT17	B	2	DEC18	CQG	EL	54.57		5.00DR	
040CT17	B	1	DEC18	CQG	EL	54.58		2.50DR	
040CT17	B	6	DEC18	CQG	EL	54.60		15.00DR	
040CT17	B	1	DEC18	CQG	EL	54.65		2.50DR	
040CT17	B	8	DEC18	CQG	EL	54.64		20.00DR	
040CT17	S	5	DEC18	CQG	EL		54.62	12.50DR	
040CT17	B	3	DEC18	CQG	EL	54.62		7.50DR	
040CT17	B	2	DEC18	CQG	EL	54.61		5.00DR	
040CT17	B	1	DEC18	CQG	EL	54.74		2.50DR	
040CT17	B	4	DEC18	CQG	EL	54.72		10.00DR	
040CT17	S	3	DEC18	CQG	EL		54.47	7.50DR	
040CT17	S	11	DEC18	CQG	EL		54.46	27.50DR	
040CT17	S	1	DEC18	CQG	EL		54.44	2.50DR	
040CT17	S	1	DEC18	CQG	EL		54.45	2.50DR	
040CT17	S	4	DEC18	CQG	EL		54.49	10.00DR	
040CT17	S	1	DEC18	CQG	EL		54.53	2.50DR	
040CT17	S	6	DEC18	CQG	EL		54.54	15.00DR	
040CT17	S	5	DEC18	CQG	EL		54.55	12.50DR	
040CT17	S	4	DEC18	CQG	EL		54.60	10.00DR	
040CT17	S	7	DEC18	CQG	EL		54.61	17.50DR	
040CT17	S	3	DEC18	CQG	EL		54.67	7.50DR	
040CT17	S	1	DEC18	CQG	EL		54.66	2.50DR	
040CT17	B	5	DEC18	CQG	EL	54.66		12.50DR	
040CT17	S	1	DEC18	CQG	EL		54.59	2.50DR	
040CT17	S	7	DEC18	CQG	EL		54.57	17.50DR	
040CT17	S	5	JUN19	CQG	EL		54.13	12.50DR	
040CT17	S	5	JUN19	CQG	EL		54.34	12.50DR	
040CT17	B	2	JUN19	CQG	EL	54.37		5.00DR	
040CT17	B	1	JUN19	CQG	EL	54.29		2.50DR	
040CT17	B	1	JUN19	CQG	EL	54.30		2.50DR	
040CT17	B	5	JUN19	CQG	EL	54.25		12.50DR	
040CT17	B	4	JUN19	CQG	EL	54.34		10.00DR	
040CT17	B	3	JUN19	CQG	EL	54.32		7.50DR	
040CT17	B	2	JUN19	CQG	EL	54.31		5.00DR	
040CT17	B	3	JUN19	CQG	EL	54.33		7.50DR	
040CT17	B	6	JUN19	CQG	EL	54.39		15.00DR	
040CT17	B	2	JUN19	CQG	EL	54.44		5.00DR	
040CT17	B	1	JUN19	CQG	EL	54.36		2.50DR	
040CT17	B	3	JUN19	CQG	EL	54.35		7.50DR	
040CT17	B	2	DEC19	CQG	EL	54.00		5.00DR	
040CT17	B	3	DEC19	CQG	EL	53.99		7.50DR	
040CT17	S	2	DEC19	CQG	EL		54.00	5.00DR	
040CT17	S	3	DEC19	CQG	EL		54.18	7.50DR	
040CT17	S	1	DEC19	CQG	EL		54.12	2.50DR	
040CT17	S	1	DEC19	CQG	EL		54.08	2.50DR	
040CT17	S	4	DEC19	CQG	EL		54.17	10.00DR	
040CT17	S	1	DEC19	CQG	EL		54.38	2.50DR	
040CT17	S	1	DEC19	CQG	EL		54.36	2.50DR	
040CT17	S	1	DEC19	CQG	EL		54.27	2.50DR	
040CT17	S	2	DEC19	CQG	EL		54.20	5.00DR	
040CT17	B	1	DEC19	CQG	EL	54.14		2.50DR	
040CT17	B	5	DEC19	CQG	EL	54.18		12.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

4th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S	8	DEC19	CQG	EL		54.21	20.00DR	
04OCT17	S	1	DEC19	CQG	EL		54.22	2.50DR	
04OCT17	B	2	DEC19	CQG	EL	54.26		5.00DR	
04OCT17	S	1	DEC19	CQG	EL		54.28	2.50DR	
04OCT17	S	2	DEC19	CQG	EL		54.24	5.00DR	
04OCT17	S	3	DEC20	CQG	EL		54.39	7.50DR	
04OCT17	B	3	DEC20	CQG	EL	54.53		7.50DR	
	Commission								850.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
04OCT17		1	DEC18			50.71	50.46		250.00DR
04OCT17		1	DEC18			50.68	50.46		220.00DR
04OCT17		1	DEC18			50.68	50.44		240.00DR
04OCT17		1	DEC18			50.70	50.59		110.00DR
04OCT17		1	DEC18			50.69	50.57		120.00DR
04OCT17		1	DEC18			50.69	50.58		110.00DR
04OCT17		1	DEC18			50.69	50.56		130.00DR
04OCT17		1	JUN19			50.06	50.18		120.00CR
04OCT17		1	JUN19			50.04	50.18		140.00CR
04OCT17		1	JUN19			50.04	50.18		140.00CR
04OCT17		1	JUN19			50.07	50.21		140.00CR
04OCT17		1	JUN19			50.07	50.21		140.00CR
04OCT17		1	JUN19			50.06	50.18		120.00CR
04OCT17		1	JUN19			50.06	50.19		130.00CR
04OCT17		1	JUN19			50.09	50.19		100.00CR
04OCT17		1	JUN19			50.09	50.19		100.00CR
04OCT17		1	JUN19			50.25	50.24		10.00DR
04OCT17		1	JUN19			50.25	50.23		20.00DR
04OCT17		1	JUN19			50.28	50.23		50.00DR
04OCT17		1	DEC19			49.87	49.82		50.00DR
04OCT17		1	DEC19			49.89	49.80		90.00DR
04OCT17		1	DEC19			49.97	49.80		170.00DR
04OCT17		1	DEC19			49.95	50.00		50.00CR
	IEU Brent Crude 1,000 IPE								
04OCT17		1	JUN18			55.18	54.87		310.00DR
04OCT17		2	JUN18			55.18	54.87		620.00DR
04OCT17		1	JUN18			54.94	54.88		60.00DR
04OCT17		1	JUN18			54.94	54.88		60.00DR
04OCT17		1	JUN18			54.94	54.88		60.00DR
04OCT17		1	JUN18			54.95	54.88		70.00DR
04OCT17		1	JUN18			54.95	55.02		70.00CR
04OCT17		1	JUN18			54.95	54.98		30.00CR
04OCT17		1	JUN18			54.95	55.03		80.00CR
04OCT17		1	JUN18			54.91	55.03		120.00CR
04OCT17		1	JUN18			54.96	55.03		70.00CR
04OCT17		1	JUN18			54.96	55.12		160.00CR
04OCT17		1	JUN18			55.07	55.12		50.00CR
04OCT17		1	JUN18			55.02	55.10		80.00CR
04OCT17		1	JUN18			55.03	55.14		110.00CR
04OCT17		1	JUN18			55.03	55.18		150.00CR
04OCT17		1	JUN18			55.03	55.16		130.00CR
04OCT17		2	JUN18			55.03	55.21		360.00CR
04OCT17		1	JUN18			55.08	55.21		130.00CR
04OCT17		1	JUN18			55.08	55.23		150.00CR
04OCT17		1	JUN18			55.08	55.17		90.00CR
04OCT17		1	JUN18			55.08	55.16		80.00CR
04OCT17		1	JUN18			55.14	55.27		130.00CR
04OCT17		1	JUN18			55.13	55.27		140.00CR
04OCT17		1	JUN18			55.12	55.27		150.00CR
04OCT17		1	JUN18			55.12	57.03		1,910.00CR
04OCT17		1	JUN18			55.12	57.03		1,910.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 JUN18	55.12	56.90		1,780.00CR
04OCT17	1 JUN18	55.12	56.90		1,780.00CR
04OCT17	1 JUN18	55.03	56.89		1,860.00CR
04OCT17	1 SEP18	55.39	54.88		510.00DR
04OCT17	1 SEP18	55.39	54.88		510.00DR
04OCT17	2 SEP18	55.52	54.88		1,280.00DR
04OCT17	1 SEP18	55.51	54.88		630.00DR
04OCT17	1 SEP18	55.51	54.87		640.00DR
04OCT17	2 SEP18	55.51	54.87		1,280.00DR
04OCT17	2 SEP18	55.52	54.87		1,300.00DR
04OCT17	1 DEC18	54.35	54.35		0.00
04OCT17	1 DEC18	54.35	54.35		0.00
04OCT17	1 DEC18	54.35	54.62		270.00CR
04OCT17	1 DEC18	54.35	54.62		270.00CR
04OCT17	1 DEC18	54.35	54.62		270.00CR
04OCT17	1 DEC18	54.35	54.62		270.00CR
04OCT17	1 DEC18	54.35	54.62		270.00CR
04OCT17	2 DEC18	54.35	54.46		220.00CR
04OCT17	1 DEC18	54.35	54.47		120.00CR
04OCT17	1 DEC18	54.35	54.47		120.00CR
04OCT17	1 DEC18	54.35	54.47		120.00CR
04OCT17	1 DEC18	54.35	54.49		140.00CR
04OCT17	1 DEC18	54.52	54.46		60.00DR
04OCT17	1 DEC18	54.52	54.46		60.00DR
04OCT17	1 DEC18	54.49	54.46		30.00DR
04OCT17	1 DEC18	54.49	54.46		30.00DR
04OCT17	1 DEC18	54.45	54.46		10.00CR
04OCT17	1 DEC18	54.45	54.46		10.00CR
04OCT17	1 DEC18	54.47	54.46		10.00DR
04OCT17	1 DEC18	54.47	54.46		10.00DR
04OCT17	1 DEC18	54.47	54.46		10.00DR
04OCT17	1 DEC18	54.55	54.44		110.00DR
04OCT17	1 DEC18	54.55	54.45		100.00DR
04OCT17	1 DEC18	54.53	54.49		40.00DR
04OCT17	1 DEC18	54.57	54.49		80.00DR
04OCT17	1 DEC18	54.57	54.49		80.00DR
04OCT17	1 DEC18	54.58	54.57		10.00DR
04OCT17	1 DEC18	54.61	54.57		40.00DR
04OCT17	1 DEC18	54.60	54.57		30.00DR
04OCT17	1 DEC18	54.60	54.53		70.00DR
04OCT17	1 DEC18	54.60	54.54		60.00DR
04OCT17	1 DEC18	54.60	54.54		60.00DR
04OCT17	2 DEC18	54.60	54.54		120.00DR
04OCT17	1 DEC18	54.64	54.54		100.00DR
04OCT17	1 DEC18	54.64	54.54		100.00DR
04OCT17	1 DEC18	54.64	54.55		90.00DR
04OCT17	1 DEC18	54.64	54.55		90.00DR
04OCT17	1 DEC18	54.64	54.55		90.00DR
04OCT17	1 DEC18	54.64	54.55		90.00DR
04OCT17	1 DEC18	54.64	54.55		90.00DR
04OCT17	1 DEC18	54.65	54.60		50.00DR
04OCT17	1 DEC18	54.64	54.60		40.00DR
04OCT17	1 DEC18	54.62	54.61		10.00DR
04OCT17	1 DEC18	54.62	54.61		10.00DR
04OCT17	1 DEC18	54.61	54.61		0.00
04OCT17	1 DEC18	54.74	54.60		140.00DR
04OCT17	1 DEC18	54.72	54.60		120.00DR
04OCT17	1 DEC18	54.72	54.61		110.00DR
04OCT17	1 DEC18	54.72	54.61		110.00DR
04OCT17	1 DEC18	54.72	54.61		110.00DR
04OCT17	1 DEC18	54.66	54.67		10.00CR
04OCT17	2 DEC18	54.66	54.67		20.00CR
04OCT17	1 DEC18	54.66	54.66		0.00
04OCT17	1 DEC18	54.66	54.59		70.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119L

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC18	56.14	54.57		1,570.00DR
04OCT17	1 DEC18	56.14	54.57		1,570.00DR
04OCT17	1 DEC18	56.23	54.57		1,660.00DR
04OCT17	1 JUN19	54.37	54.13		240.00DR
04OCT17	1 JUN19	54.34	54.13		210.00DR
04OCT17	1 JUN19	54.37	54.13		240.00DR
04OCT17	1 JUN19	54.29	54.13		160.00DR
04OCT17	1 JUN19	54.30	54.13		170.00DR
04OCT17	1 JUN19	54.25	54.34		90.00CR
04OCT17	1 JUN19	54.25	54.34		90.00CR
04OCT17	1 JUN19	54.25	54.34		90.00CR
04OCT17	1 JUN19	54.25	54.34		90.00CR
04OCT17	1 JUN19	54.25	54.34		90.00CR
04OCT17	1 JUN19	54.34	55.69		1,350.00CR
04OCT17	1 JUN19	54.34	55.70		1,360.00CR
04OCT17	1 JUN19	54.34	55.70		1,360.00CR
04OCT17	1 JUN19	54.32	55.70		1,380.00CR
04OCT17	1 JUN19	54.32	55.60		1,280.00CR
04OCT17	1 JUN19	54.32	55.60		1,280.00CR
04OCT17	1 JUN19	54.31	55.60		1,290.00CR
04OCT17	1 JUN19	54.31	55.60		1,290.00CR
04OCT17	1 JUN19	54.33	55.59		1,260.00CR
04OCT17	1 JUN19	54.33	55.60		1,270.00CR
04OCT17	1 JUN19	54.33	55.60		1,270.00CR
04OCT17	1 JUN19	54.39	55.60		1,210.00CR
04OCT17	1 JUN19	54.39	55.54		1,150.00CR
04OCT17	1 JUN19	54.39	55.54		1,150.00CR
04OCT17	1 JUN19	54.39	55.54		1,150.00CR
04OCT17	2 JUN19	54.39	55.54		2,300.00CR
04OCT17	1 JUN19	54.44	55.44		1,000.00CR
04OCT17	1 JUN19	54.44	55.44		1,000.00CR
04OCT17	1 JUN19	54.36	55.44		1,080.00CR
04OCT17	1 JUN19	54.35	55.44		1,090.00CR
04OCT17	1 JUN19	54.35	55.44		1,090.00CR
04OCT17	1 JUN19	54.35	55.28		930.00CR
04OCT17	1 DEC19	54.00	54.00		0.00
04OCT17	1 DEC19	54.00	54.00		0.00
04OCT17	1 DEC19	53.99	54.18		190.00CR
04OCT17	1 DEC19	53.99	54.18		190.00CR
04OCT17	1 DEC19	53.99	54.18		190.00CR
04OCT17	1 DEC19	54.18	54.17		10.00DR
04OCT17	1 DEC19	54.18	54.17		10.00DR
04OCT17	1 DEC19	54.18	54.12		60.00DR
04OCT17	1 DEC19	54.18	54.08		100.00DR
04OCT17	1 DEC19	54.14	54.17		30.00CR
04OCT17	1 DEC19	54.18	54.17		10.00DR
04OCT17	2 DEC19	54.26	54.21		100.00DR
04OCT17	1 DEC20	54.53	54.39		140.00DR
04OCT17	1 DEC20	54.53	54.39		140.00DR
04OCT17	1 DEC20	54.53	54.39		140.00DR
TOTALS					
BROUGHT FORWARD BALANCE					22,195.00DR
SETTLEMENTS					
IEU Brent Crude Futures					26,150.00CR
NYM Light Sweet Crude Oil Futures					390.00DR
COMMISSIONS					850.00DR
CARRIED FORWARD BALANCE					2,715.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II 115.3500			2.50DR	
040CT17	S 1 DEC17 CQG II 115.6000		115.6000	2.50DR	
040CT17	B 1 DEC17 CQG II 115.3750			2.50DR	
040CT17	S 1 DEC17 CQG II 115.2500		115.2500	2.50DR	
040CT17	B 1 DEC17 CQG II 115.8750			2.50DR	
040CT17	S 1 DEC17 CQG II 116.1250		116.1250	2.50DR	
040CT17	B 1 DEC17 CQG II 115.8250			2.50DR	
040CT17	S 1 DEC17 CQG II 115.8500		115.8500	2.50DR	
040CT17	B 1 DEC17 CQG II 115.7500			2.50DR	
040CT17	B 1 DEC17 CQG II 115.8000			2.50DR	
040CT17	S 1 DEC17 CQG II 115.1500		115.1500	2.50DR	
040CT17	B 1 DEC17 CQG II 115.1750			2.50DR	
040CT17	S 1 DEC17 CQG II 115.7500		115.7500	2.50DR	
040CT17	S 1 FEB18 CQG II 119.1250		119.1250	2.50DR	
040CT17	B 1 FEB18 CQG II 119.3000			2.50DR	
040CT17	S 1 FEB18 CQG II 119.1500		119.1500	2.50DR	
040CT17	B 1 FEB18 CQG II 118.9750			2.50DR	
040CT17	S 1 FEB18 CQG II 119.5000		119.5000	2.50DR	
040CT17	S 1 FEB18 CQG II 119.6000		119.6000	2.50DR	
040CT17	B 1 FEB18 CQG II 119.6500			2.50DR	
040CT17	S 1 FEB18 CQG II 119.5250		119.5250	2.50DR	
040CT17	S 1 FEB18 CQG II 119.5500		119.5500	2.50DR	
040CT17	S 1 FEB18 CQG II 119.6250		119.6250	2.50DR	
040CT17	B 1 FEB18 CQG II 119.1750			2.50DR	
040CT17	S 1 FEB18 CQG II 119.2250		119.2250	2.50DR	
040CT17	B 2 FEB18 CQG II 119.6250			5.00DR	
040CT17	B 1 APR18 CQG II 120.2750			2.50DR	
040CT17	B 1 APR18 CQG II 120.1250			2.50DR	
040CT17	S 1 APR18 CQG II 120.5000		120.5000	2.50DR	
040CT17	B 1 APR18 CQG II 120.8250			2.50DR	
040CT17	S 1 APR18 CQG II 120.8500		120.8500	2.50DR	
040CT17	B 1 APR18 CQG II 120.3500			2.50DR	
040CT17	B 1 APR18 CQG II 120.2250			2.50DR	
040CT17	S 2 JUN18 CQG II 113.5000		113.5000	5.00DR	
040CT17	S 1 JUN18 CQG II 113.7500		113.7500	2.50DR	
040CT17	B 1 JUN18 CQG II 113.8250			2.50DR	
040CT17	B 1 JUN18 CQG II 113.9250			2.50DR	
040CT17	B 1 JUN18 CQG II 114.0000			2.50DR	
040CT17	S 4 JUN18 CQG II 113.6500		113.6500	10.00DR	
040CT17	B 1 AUG18 CQG II 111.1250			2.50DR	
040CT17	B 1 AUG18 CQG II 111.3500			2.50DR	
040CT17	S 1 AUG18 CQG II 111.5250		111.5250	2.50DR	
040CT17	S 1 AUG18 CQG II 111.5500		111.5500	2.50DR	
040CT17	S 1 AUG18 CQG II 111.5000		111.5000	2.50DR	
040CT17	B 2 AUG18 CQG II 111.0000			5.00DR	
040CT17	B 1 AUG18 CQG II 111.0250			2.50DR	
040CT17	B 1 AUG18 CQG II 111.0750			2.50DR	
040CT17	B 2 OCT18 CQG II 112.1000			5.00DR	
040CT17	S 1 OCT18 CQG II 111.5250		111.5250	2.50DR	
040CT17	S 1 OCT18 CQG II 111.5000		111.5000	2.50DR	
040CT17	B 1 OCT18 CQG II 111.4500			2.50DR	
040CT17	S 1 DEC18 CQG II 112.4750		112.4750	2.50DR	
040CT17	S 1 DEC18 CQG II 112.3750		112.3750	2.50DR	
	CME Feeder Cattle 500 CME				
040CT17	S 1 NOV17 CQG II 155.0000		155.0000	2.50DR	
040CT17	B 1 NOV17 CQG II 154.7500			2.50DR	
040CT17	S 1 NOV17 CQG II 154.9500		154.9500	2.50DR	
040CT17	S 2 NOV17 CQG II 154.6000		154.6000	5.00DR	
040CT17	S 1 NOV17 CQG II 154.5750		154.5750	2.50DR	
040CT17	B 1 NOV17 CQG II 153.8250			2.50DR	
040CT17	S 1 NOV17 CQG II 153.7250		153.7250	2.50DR	
040CT17	B 1 JAN18 CQG II 152.7000			2.50DR	
040CT17	S 1 JAN18 CQG II 152.5500		152.5500	2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119M

4th October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
040CT17	B	1	JAN18	CQG	II	152.5000		2.50DR	
040CT17	B	2	JAN18	CQG	II	152.1500		5.00DR	
040CT17	B	1	JAN18	CQG	II	152.1250		2.50DR	
040CT17	S	1	JAN18	CQG	II		151.9250	2.50DR	
040CT17	S	1	JAN18	CQG	II		151.9000	2.50DR	
040CT17	B	1	JAN18	CQG	II	151.5000		2.50DR	
040CT17	B	2	JAN18	CQG	II	151.2750		5.00DR	
040CT17	B	1	MAR18	CQG	II	149.7000		2.50DR	
040CT17	B	1	MAR18	CQG	II	149.6750		2.50DR	
040CT17	S	2	MAR18	CQG	II		149.4000	5.00DR	
040CT17	S	1	MAR18	CQG	II		149.1750	2.50DR	
040CT17	B	1	MAY18	CQG	II	148.1750		2.50DR	
040CT17	S	1	AUG18	CQG	II		148.9000	2.50DR	
	CME Lean Hog 400 CME								
040CT17	B	1	DEC17	CQG	II	62.7500		2.50DR	
040CT17	B	1	DEC17	CQG	II	62.2500		2.50DR	
040CT17	S	1	DEC17	CQG	II		62.4750	2.50DR	
040CT17	S	1	DEC17	CQG	II		62.0250	2.50DR	
040CT17	B	1	DEC17	CQG	II	61.9750		2.50DR	
040CT17	S	1	DEC17	CQG	II		61.9500	2.50DR	
040CT17	S	1	FEB18	CQG	II		67.9000	2.50DR	
040CT17	S	1	FEB18	CQG	II		67.9250	2.50DR	
040CT17	S	1	FEB18	CQG	II		67.7000	2.50DR	
040CT17	B	1	FEB18	CQG	II	67.8500		2.50DR	
040CT17	B	1	FEB18	CQG	II	67.6000		2.50DR	
040CT17	S	1	FEB18	CQG	II		67.5250	2.50DR	
040CT17	B	1	FEB18	CQG	II	67.3500		2.50DR	
040CT17	B	1	FEB18	CQG	II	67.3250		2.50DR	
040CT17	B	1	APR18	CQG	II	72.2750		2.50DR	
040CT17	S	1	APR18	CQG	II		71.8500	2.50DR	
040CT17	S	1	JUN18	CQG	II		80.4500	2.50DR	
040CT17	S	1	JUN18	CQG	II		80.0500	2.50DR	
040CT17	S	1	JUN18	CQG	II		80.1000	2.50DR	
040CT17	B	1	JUN18	CQG	II	79.9500		2.50DR	
040CT17	B	1	JUN18	CQG	II	80.0500		2.50DR	
040CT17	B	1	JUN18	CQG	II	80.1500		2.50DR	
040CT17	B	2	JUL18	CQG	II	80.4000		5.00DR	
040CT17	B	1	JUL18	CQG	II	80.2500		2.50DR	
040CT17	B	2	JUL18	CQG	II	80.1500		5.00DR	
040CT17	S	2	JUL18	CQG	II		80.2750	5.00DR	
040CT17	S	1	JUL18	CQG	II		80.3250	2.50DR	
040CT17	S	1	JUL18	CQG	II		80.5250	2.50DR	
040CT17	S	1	AUG18	CQG	II		79.5250	2.50DR	
040CT17	B	1	AUG18	CQG	II	79.4250		2.50DR	
040CT17	S	1	AUG18	CQG	II		79.4500	2.50DR	
040CT17	B	1	AUG18	CQG	II	79.6500		2.50DR	
040CT17	B	1	AUG18	CQG	II	79.6250		2.50DR	
040CT17	B	1	AUG18	CQG	II	79.7500		2.50DR	
040CT17	S	1	OCT18	CQG	II		66.6750	2.50DR	
040CT17	S	1	OCT18	CQG	II		67.2250	2.50DR	
040CT17	S	1	OCT18	CQG	II		67.6000	2.50DR	
	NYM Heating Oil 42,000 NYM								
040CT17	B	1	APR18	CQG	II	172.07		2.50DR	
040CT17	B	1	APR18	CQG	II	171.92		2.50DR	
040CT17	S	1	APR18	CQG	II		173.18	2.50DR	
040CT17	S	1	MAY18	CQG	II		170.80	2.50DR	
040CT17	S	1	MAY18	CQG	II		170.65	2.50DR	
040CT17	B	1	MAY18	CQG	II	171.88		2.50DR	
	White Sugar 50 LDN								
040CT17	S	1	MAR18	CQG	EL		372.20	2.50DR	
040CT17	B	1	MAY18	CQG	EL	379.80		2.50DR	
	Commission								335.00DR

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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
04OCT17	1 DEC17	115.3500	115.6000		100.00CR
04OCT17	1 DEC17	115.3750	115.2500		50.00DR
04OCT17	1 DEC17	115.8750	116.1250		100.00CR
04OCT17	1 DEC17	115.8250	115.8500		10.00CR
04OCT17	1 DEC17	115.7500	115.1500		240.00DR
04OCT17	1 DEC17	115.8000	115.7500		20.00DR
04OCT17	1 FEB18	119.3000	119.1250		70.00DR
04OCT17	1 FEB18	118.9750	119.1500		70.00CR
04OCT17	1 FEB18	119.6500	119.5000		60.00DR
04OCT17	1 FEB18	119.6250	119.6000		10.00DR
04OCT17	1 FEB18	119.1750	119.5250		140.00CR
04OCT17	1 FEB18	119.6250	119.5500		30.00DR
04OCT17	1 APR18	120.2750	120.5000		90.00CR
04OCT17	1 APR18	120.1250	120.8500		290.00CR
04OCT17	1 JUN18	113.8250	113.6500		70.00DR
04OCT17	1 JUN18	113.9250	113.5000		170.00DR
04OCT17	1 JUN18	114.0000	113.5000		200.00DR
04OCT17	1 AUG18	111.1250	111.5250		160.00CR
04OCT17	1 AUG18	111.3500	111.5500		80.00CR
04OCT17	1 AUG18	111.0000	111.5000		200.00CR
04OCT17	1 AUG18	111.0000	110.6000		160.00DR
04OCT17	1 AUG18	111.0250	111.0000		10.00DR
04OCT17	1 OCT18	112.1000	111.5250		230.00DR
04OCT17	1 OCT18	112.1000	111.5000		240.00DR
04OCT17	1 OCT18	111.4500	111.1500		120.00DR
04OCT17	1 DEC18	111.3500	112.4750		450.00CR
04OCT17	1 DEC18	111.9000	112.3750		190.00CR
	CME Feeder Cattle 500 CME				
04OCT17	1 NOV17	154.7500	155.0000		125.00CR
04OCT17	1 NOV17	153.8250	154.9500		562.50CR
04OCT17	1 NOV17	154.0250	154.6000		287.50CR
04OCT17	1 NOV17	153.1250	154.6000		737.50CR
04OCT17	1 NOV17	153.3000	154.5750		637.50CR
04OCT17	1 JAN18	152.7000	152.5500		75.00DR
04OCT17	1 JAN18	152.5000	151.9250		287.50DR
04OCT17	1 JAN18	152.1500	151.9000		125.00DR
04OCT17	1 JAN18	152.1500	150.6000		775.00DR
04OCT17	1 JAN18	152.1250	151.0000		562.50DR
04OCT17	1 JAN18	151.5000	149.2250		1,137.50DR
04OCT17	1 MAR18	149.7000	149.4000		150.00DR
04OCT17	1 MAR18	149.6750	149.4000		137.50DR
04OCT17	1 MAR18	145.8000	149.1750		1,687.50CR
04OCT17	1 AUG18	149.3750	148.9000		237.50DR
	CME Lean Hog 400 CME				
04OCT17	1 DEC17	62.7500	62.4750		110.00DR
04OCT17	1 DEC17	62.2500	62.0250		90.00DR
04OCT17	1 DEC17	61.9750	61.9500		10.00DR
04OCT17	1 FEB18	67.8500	67.9000		20.00CR
04OCT17	1 FEB18	67.6000	67.9250		130.00CR
04OCT17	1 FEB18	67.3500	67.7000		140.00CR
04OCT17	1 FEB18	67.3250	67.5250		80.00CR
04OCT17	1 APR18	72.2750	71.8500		170.00DR
04OCT17	1 JUN18	79.9500	80.4500		200.00CR
04OCT17	1 JUN18	80.0500	80.0500		0.00
04OCT17	1 JUN18	80.1500	80.1000		20.00DR
04OCT17	1 JUL18	80.4000	80.2750		50.00DR
04OCT17	1 JUL18	80.4000	80.2750		50.00DR
04OCT17	1 JUL18	80.1500	80.3250		70.00CR
04OCT17	1 JUL18	80.2500	80.5250		110.00CR
04OCT17	1 JUL18	80.1500	78.5750		630.00DR
04OCT17	1 AUG18	79.4250	79.5250		40.00CR
04OCT17	1 AUG18	79.6500	79.4500		80.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119M

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 OCT18	65.0000	66.6750		670.00CR
04OCT17	1 OCT18	65.9500	67.2250		510.00CR
	NYM Heating Oil 42,000 NYM				
04OCT17	1 APR18	172.07	173.18		466.20CR
04OCT17	1 MAY18	171.88	170.80		453.60DR
	White Sugar 50 LDN				
04OCT17	1 MAR18	363.50	372.20		435.00CR
04OCT17	1 MAY18	379.80	382.40		130.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				5,410.30DR
	SETTLEMENTS				
	White Sugar Futures				565.00CR
	CME Feeder Cattle Futures				550.00CR
	CME Cattle Futures				200.00CR
	NYM Heating Oil Futures				12.60CR
	CME Lean Hog Futures				760.00CR
	COMMISSIONS				335.00DR
	CARRIED FORWARD BALANCE				3,657.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II 115.3000			2.50DR	
040CT17	S 1 DEC17 CQG II 115.5500		115.5500	2.50DR	
040CT17	B 1 DEC17 CQG II 115.7500			2.50DR	
040CT17	B 1 DEC17 CQG II 115.6000			2.50DR	
040CT17	S 2 FEB18 CQG II 119.0000		119.0000	5.00DR	
040CT17	B 1 FEB18 CQG II 119.1500			2.50DR	
040CT17	S 2 FEB18 CQG II 119.5000		119.5000	5.00DR	
040CT17	B 1 APR18 CQG II 120.2750			2.50DR	
040CT17	S 1 APR18 CQG II 120.9000		120.9000	2.50DR	
040CT17	B 1 APR18 CQG II 120.3500			2.50DR	
040CT17	B 1 APR18 CQG II 120.4250			2.50DR	
040CT17	S 1 JUN18 CQG II 113.9250		113.9250	2.50DR	
040CT17	B 1 JUN18 CQG II 114.0250			2.50DR	
040CT17	S 2 JUN18 CQG II 113.7750		113.7750	5.00DR	
040CT17	S 1 JUN18 CQG II 113.8250		113.8250	2.50DR	
040CT17	B 1 AUG18 CQG II 111.4750			2.50DR	
040CT17	B 1 AUG18 CQG II 111.2000			2.50DR	
040CT17	B 1 OCT18 CQG II 111.9250			2.50DR	
040CT17	B 1 OCT18 CQG II 111.5750			2.50DR	
040CT17	B 1 OCT18 CQG II 111.4250			2.50DR	
040CT17	S 1 DEC18 CQG II 112.7250		112.7250	2.50DR	
040CT17	S 1 DEC18 CQG II 112.5250		112.5250	2.50DR	
040CT17	S 1 DEC18 CQG II 112.3750		112.3750	2.50DR	
	CME Feeder Cattle 500 CME				
040CT17	B 1 NOV17 CQG II 155.3000			2.50DR	
040CT17	S 1 NOV17 CQG II 154.3750		154.3750	2.50DR	
040CT17	S 1 NOV17 CQG II 153.6250		153.6250	2.50DR	
040CT17	S 1 JAN18 CQG II 152.9500		152.9500	2.50DR	
040CT17	S 1 JAN18 CQG II 152.3500		152.3500	2.50DR	
040CT17	S 1 JAN18 CQG II 152.7250		152.7250	2.50DR	
040CT17	B 2 JAN18 CQG II 151.9500			5.00DR	
040CT17	B 1 JAN18 CQG II 151.1750			2.50DR	
040CT17	B 1 JAN18 CQG II 151.1250			2.50DR	
040CT17	B 1 MAR18 CQG II 150.0750			2.50DR	
040CT17	B 1 MAR18 CQG II 150.4750			2.50DR	
040CT17	S 1 MAR18 CQG II 149.7250		149.7250	2.50DR	
040CT17	S 1 MAR18 CQG II 149.0750		149.0750	2.50DR	
040CT17	B 1 MAR18 CQG II 149.1750			2.50DR	
040CT17	S 1 APR18 CQG II 148.9500		148.9500	2.50DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II 62.7000			2.50DR	
040CT17	B 1 DEC17 CQG II 62.1000			2.50DR	
040CT17	S 1 DEC17 CQG II 61.9500		61.9500	2.50DR	
040CT17	S 1 DEC17 CQG II 61.8750		61.8750	2.50DR	
040CT17	S 2 FEB18 CQG II 68.0250		68.0250	5.00DR	
040CT17	S 1 FEB18 CQG II 67.5500		67.5500	2.50DR	
040CT17	B 1 FEB18 CQG II 67.3500			2.50DR	
040CT17	B 2 FEB18 CQG II 67.2750			5.00DR	
040CT17	B 1 APR18 CQG II 72.3750			2.50DR	
040CT17	S 1 APR18 CQG II 71.7750		71.7750	2.50DR	
040CT17	S 1 JUN18 CQG II 80.4250		80.4250	2.50DR	
040CT17	S 1 JUN18 CQG II 80.1500		80.1500	2.50DR	
040CT17	B 1 JUN18 CQG II 79.9750			2.50DR	
040CT17	B 1 JUN18 CQG II 79.9500			2.50DR	
040CT17	B 1 JUL18 CQG II 80.3750			2.50DR	
040CT17	B 1 JUL18 CQG II 80.4000			2.50DR	
040CT17	B 1 JUL18 CQG II 80.1500			2.50DR	
040CT17	S 1 JUL18 CQG II 80.0750		80.0750	2.50DR	
040CT17	S 1 JUL18 CQG II 80.2000		80.2000	2.50DR	
040CT17	S 1 AUG18 CQG II 79.5250		79.5250	2.50DR	
	Commission				160.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

4th October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
04OCT17	1 DEC17	115.3000	115.5500		100.00CR
04OCT17	1 FEB18	119.1500	119.0000		60.00DR
04OCT17	1 APR18	120.2750	120.9000		250.00CR
04OCT17	1 JUN18	114.0250	113.9250		40.00DR
04OCT17	1 AUG18	111.4750	111.1000		150.00DR
04OCT17	1 AUG18	111.2000	111.0750		50.00DR
04OCT17	1 DEC18	112.3000	112.7250		170.00CR
	CME Feeder Cattle 500 CME				
04OCT17	1 NOV17	155.3000	154.3750		462.50DR
04OCT17	1 JAN18	151.9500	152.9500		500.00CR
04OCT17	1 JAN18	151.9500	152.3500		200.00CR
04OCT17	1 JAN18	151.1750	152.7250		775.00CR
04OCT17	1 MAR18	150.0750	149.7250		175.00DR
04OCT17	1 MAR18	150.4750	149.0750		700.00DR
04OCT17	1 MAR18	149.1750	149.1500		12.50DR
	CME Lean Hog 400 CME				
04OCT17	1 DEC17	62.7000	61.9500		300.00DR
04OCT17	1 DEC17	62.1000	61.8750		90.00DR
04OCT17	1 FEB18	67.3500	68.0250		270.00CR
04OCT17	1 FEB18	67.2750	68.0250		300.00CR
04OCT17	1 FEB18	67.2750	67.5500		110.00CR
04OCT17	1 APR18	72.3750	71.7750		240.00DR
04OCT17	1 JUN18	79.9750	80.4250		180.00CR
04OCT17	1 JUN18	79.9500	80.1500		80.00CR
04OCT17	1 JUL18	80.3750	80.0750		120.00DR
04OCT17	1 JUL18	80.4000	80.2000		80.00DR
04OCT17	1 JUL18	80.1500	77.2500		1,160.00DR
04OCT17	1 AUG18	77.8250	79.5250		680.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				1,735.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				125.00CR
	CME Cattle Futures				220.00CR
	CME Lean Hog Futures				370.00DR
	COMMISSIONS				160.00DR
	CARRIED FORWARD BALANCE				1,920.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP01190

4th October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
04OCT17	S 1 MAR18 CQG II		298.150	2.50DR	
04OCT17	B 1 MAY18 CQG II	299.450		2.50DR	
	Commission				5.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	COMMISSIONS				5.00DR
	CARRIED FORWARD BALANCE				5.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

4th October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II 115.7250			2.50DR	
040CT17	S 1 DEC17 CQG II 115.8250		115.8250	2.50DR	
040CT17	B 1 DEC17 CQG II 115.6250			2.50DR	
040CT17	B 1 DEC17 CQG II 115.1250			2.50DR	
040CT17	S 2 DEC17 CQG II 115.1000		115.1000	5.00DR	
040CT17	B 1 DEC17 CQG II 115.3250			2.50DR	
040CT17	S 1 DEC17 CQG II 114.9000		114.9000	2.50DR	
040CT17	S 1 FEB18 CQG II 119.5000		119.5000	2.50DR	
040CT17	B 1 FEB18 CQG II 119.7000			2.50DR	
040CT17	S 1 FEB18 CQG II 119.5500		119.5500	2.50DR	
040CT17	S 1 FEB18 CQG II 119.1750		119.1750	2.50DR	
040CT17	B 2 FEB18 CQG II 119.2000			5.00DR	
040CT17	S 1 FEB18 CQG II 119.3750		119.3750	2.50DR	
040CT17	B 1 FEB18 CQG II 118.8500			2.50DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II 62.0000			2.50DR	
040CT17	B 1 DEC17 CQG II 61.9750			2.50DR	
040CT17	S 1 DEC17 CQG II 62.0500		62.0500	2.50DR	
040CT17	S 1 FEB18 CQG II 67.6000		67.6000	2.50DR	
040CT17	S 1 FEB18 CQG II 67.3750		67.3750	2.50DR	
040CT17	B 1 FEB18 CQG II 67.4000			2.50DR	
	Commission				55.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
040CT17	1 DEC17 115.7250	115.8250			40.00CR
040CT17	1 DEC17 115.6250	115.1000			210.00DR
040CT17	1 DEC17 115.1250	115.1000			10.00DR
040CT17	1 DEC17 115.3250	114.9000			170.00DR
040CT17	1 FEB18 119.7000	119.5000			80.00DR
040CT17	1 FEB18 119.2000	119.5500			140.00CR
040CT17	1 FEB18 119.2000	119.1750			10.00DR
040CT17	1 FEB18 118.8500	119.3750			210.00CR
	CME Lean Hog 400 CME				
040CT17	1 DEC17 62.0000	62.0500			20.00CR
040CT17	1 FEB18 67.4000	67.6000			80.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,475.00DR
	SETTLEMENTS				
	CME Cattle Futures				90.00DR
	CME Lean Hog Futures				100.00CR
	COMMISSIONS				55.00DR
	CARRIED FORWARD BALANCE				2,520.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

4th October 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
040CT17	B 1 DEC17 CQG II	115.6250		2.50DR	
040CT17	S 1 FEB18 CQG II		119.5500	2.50DR	
	CME Feeder Cattle 500 CME				
040CT17	B 1 NOV17 CQG II	155.0000		2.50DR	
040CT17	S 1 NOV17 CQG II		154.6500	2.50DR	
040CT17	S 1 JAN18 CQG II		152.6500	2.50DR	
040CT17	B 1 JAN18 CQG II	152.2250		2.50DR	
	CME Lean Hog 400 CME				
040CT17	B 1 DEC17 CQG II	62.8250		2.50DR	
040CT17	S 1 DEC17 CQG II		62.7000	2.50DR	
040CT17	B 1 DEC17 CQG II	62.7750		2.50DR	
040CT17	S 1 FEB18 CQG II		68.0750	2.50DR	
040CT17	B 1 FEB18 CQG II	67.9000		2.50DR	
040CT17	S 1 FEB18 CQG II		68.0500	2.50DR	
040CT17	S 1 FEB18 CQG II		67.8750	2.50DR	
040CT17	B 1 APR18 CQG II	72.2500		2.50DR	
	Commission				35.00DR
	<u>SETTLEMENTS</u>				
	CME Feeder Cattle 500 CME				
040CT17	1 NOV17	155.0000	154.6500		175.00DR
040CT17	1 JAN18	152.2250	152.6500		212.50CR
	CME Lean Hog 400 CME				
040CT17	1 DEC17	62.8250	62.7000		50.00DR
040CT17	1 FEB18	67.9000	68.0750		70.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				750.00CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				37.50CR
	CME Lean Hog Futures				20.00CR
	COMMISSIONS				35.00DR
	CARRIED FORWARD BALANCE				772.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

4th October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
04OCT17	B 1 DEC17 CQG II 115.5000			2.50DR	
04OCT17	S 1 DEC17 CQG II 115.1000		115.1000	2.50DR	
04OCT17	B 1 DEC17 CQG II 115.1750			2.50DR	
04OCT17	S 1 FEB18 CQG II 119.4000		119.4000	2.50DR	
04OCT17	B 1 FEB18 CQG II 119.2250			2.50DR	
04OCT17	S 2 FEB18 CQG II 119.2500		119.2500	5.00DR	
04OCT17	B 1 APR18 CQG II 120.7750			2.50DR	
04OCT17	B 1 APR18 CQG II 120.2500			2.50DR	
04OCT17	S 1 JUN18 CQG II 113.8750		113.8750	2.50DR	
04OCT17	S 1 JUN18 CQG II 113.7000		113.7000	2.50DR	
04OCT17	S 1 JUN18 CQG II 113.7250		113.7250	2.50DR	
04OCT17	B 1 AUG18 CQG II 111.4250			2.50DR	
04OCT17	S 1 AUG18 CQG II 111.4750		111.4750	2.50DR	
04OCT17	B 1 AUG18 CQG II 111.0000			2.50DR	
04OCT17	B 1 AUG18 CQG II 111.1250			2.50DR	
04OCT17	B 1 OCT18 CQG II 112.0500			2.50DR	
04OCT17	S 1 OCT18 CQG II 111.4500		111.4500	2.50DR	
	CME Feeder Cattle 500 CME				
04OCT17	B 1 NOV17 CQG II 154.7500			2.50DR	
04OCT17	S 1 NOV17 CQG II 155.0500		155.0500	2.50DR	
04OCT17	S 1 JAN18 CQG II 152.5500		152.5500	2.50DR	
04OCT17	B 1 JAN18 CQG II 152.6250			2.50DR	
04OCT17	B 1 JAN18 CQG II 151.2750			2.50DR	
04OCT17	S 1 MAR18 CQG II 149.2500		149.2500	2.50DR	
	IEU Gas Oil 100 IPE				
04OCT17	S 5 APR18 CQG EL 506.500		506.500	12.50DR	
04OCT17	B 5 MAY18 CQG EL 504.750			12.50DR	
04OCT17	B 15 MAY18 CQG EL 506.750			37.50DR	
04OCT17	S 5 MAY18 CQG EL 504.250		504.250	12.50DR	
04OCT17	S 15 JUN18 CQG EL 505.000		505.000	37.50DR	
04OCT17	B 5 JUN18 CQG EL 502.750			12.50DR	
	CME Lean Hog 400 CME				
04OCT17	B 1 DEC17 CQG II 61.9750			2.50DR	
04OCT17	S 1 DEC17 CQG II 62.4250		62.4250	2.50DR	
04OCT17	B 1 DEC17 CQG II 62.6000			2.50DR	
04OCT17	S 1 DEC17 CQG II 61.9000		61.9000	2.50DR	
04OCT17	S 2 FEB18 CQG II 67.4750		67.4750	5.00DR	
04OCT17	B 2 FEB18 CQG II 67.8250			5.00DR	
04OCT17	S 2 FEB18 CQG II 67.9500		67.9500	5.00DR	
04OCT17	S 1 FEB18 CQG II 67.5000		67.5000	2.50DR	
04OCT17	B 3 FEB18 CQG II 67.2750			7.50DR	
04OCT17	B 1 APR18 CQG II 72.0250			2.50DR	
04OCT17	S 1 APR18 CQG II 72.2000		72.2000	2.50DR	
04OCT17	B 1 APR18 CQG II 72.3000			2.50DR	
04OCT17	B 1 APR18 CQG II 71.8500			2.50DR	
04OCT17	S 1 APR18 CQG II 71.7500		71.7500	2.50DR	
04OCT17	S 1 APR18 CQG II 71.7750		71.7750	2.50DR	
	ICE Sugar No.11 1,120 FIN				
04OCT17	S 1 MAR18 CQG EL 14.13		14.13	2.50DR	
04OCT17	S 1 MAR18 CQG EL 14.22		14.22	2.50DR	
04OCT17	S 1 MAR18 CQG EL 14.27		14.27	2.50DR	
04OCT17	B 1 MAR18 CQG EL 14.35			2.50DR	
04OCT17	S 1 MAR18 CQG EL 14.29		14.29	2.50DR	
04OCT17	B 1 MAR18 CQG EL 14.29			2.50DR	
	Commission				250.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC17	115.5000	115.1000		160.00DR
04OCT17	1 FEB18	119.2250	119.4000		70.00CR
04OCT17	1 AUG18	111.4250	111.4750		20.00CR
04OCT17	1 OCT18	112.0500	111.4500		240.00DR
	CME Feeder Cattle 500 CME				
04OCT17	1 NOV17	154.7500	155.0500		150.00CR
04OCT17	1 JAN18	152.6250	152.5500		37.50DR
04OCT17	1 JAN18	151.2750	152.3000		512.50CR
	IEU Gas Oil 100 IPE				
04OCT17	3 APR18	507.500	506.500		300.00DR
04OCT17	1 APR18	507.500	506.500		100.00DR
04OCT17	1 APR18	507.250	506.500		75.00DR
04OCT17	2 MAY18	504.750	504.250		100.00DR
04OCT17	3 MAY18	504.750	504.250		150.00DR
04OCT17	3 MAY18	506.750	520.750		4,200.00CR
04OCT17	2 MAY18	506.750	505.750		200.00DR
04OCT17	2 MAY18	506.750	505.750		200.00DR
04OCT17	1 MAY18	506.750	505.500		125.00DR
04OCT17	2 MAY18	506.750	505.250		300.00DR
04OCT17	5 JUN18	502.750	505.000		1,125.00CR
04OCT17	5 JUN18	503.500	505.000		750.00CR
	CME Lean Hog 400 CME				
04OCT17	1 DEC17	61.9750	62.4250		180.00CR
04OCT17	1 DEC17	62.6000	61.9000		280.00DR
04OCT17	1 FEB18	67.8250	67.4750		140.00DR
04OCT17	1 FEB18	67.8250	67.4750		140.00DR
04OCT17	1 FEB18	67.2750	67.9500		270.00CR
04OCT17	1 FEB18	67.2750	67.9500		270.00CR
04OCT17	1 FEB18	67.2750	67.5000		90.00CR
04OCT17	1 APR18	72.0250	72.2000		70.00CR
04OCT17	1 APR18	72.3000	71.7500		220.00DR
04OCT17	1 APR18	71.8500	71.7750		30.00DR
	ICE Sugar No.11 1,120 FIN				
04OCT17	1 MAR18	14.35	14.13		246.40DR
04OCT17	1 MAR18	14.29	14.22		78.40DR
04OCT17	1 MAR18	14.06	14.27		235.20CR
04OCT17	1 MAR18	14.03	14.29		291.20CR
	TOTALS				
	BROUGHT FORWARD BALANCE				11,408.60DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				625.00CR
	CME Cattle Futures				310.00DR
	IEU Gas Oil Futures				4,525.00CR
	CME Lean Hog Futures				70.00CR
	ICE Sugar No.11 Futures				201.60CR
	COMMISSIONS				250.00DR
	CARRIED FORWARD BALANCE				6,547.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
040CT17	B 1 JUN18 CQG II	50.88		2.50DR	
040CT17	B 2 JUN18 CQG II	51.01		5.00DR	
040CT17	B 1 JUN18 CQG II	51.21		2.50DR	
040CT17	B 1 JUN18 CQG II	51.18		2.50DR	
040CT17	B 1 JUN18 CQG II	51.12		2.50DR	
040CT17	B 1 JUN18 CQG II	51.24		2.50DR	
040CT17	S 2 DEC18 CQG II		50.46	5.00DR	
040CT17	S 1 DEC18 CQG II		50.44	2.50DR	
040CT17	S 2 DEC18 CQG II		50.54	5.00DR	
040CT17	S 2 DEC18 CQG II		50.53	5.00DR	
040CT17	S 5 DEC18 CQG II		50.71	12.50DR	
040CT17	S 1 DEC18 CQG II		50.69	2.50DR	
040CT17	S 1 DEC18 CQG II		50.64	2.50DR	
040CT17	S 1 DEC18 CQG II		50.66	2.50DR	
040CT17	S 2 DEC18 CQG II		50.80	5.00DR	
040CT17	B 1 JUN19 CQG II	50.06		2.50DR	
040CT17	B 2 JUN19 CQG II	50.04		5.00DR	
040CT17	B 1 JUN19 CQG II	50.16		2.50DR	
040CT17	B 4 JUN19 CQG II	50.25		10.00DR	
040CT17	B 1 JUN19 CQG II	50.27		2.50DR	
040CT17	S 1 DEC19 CQG II		49.82	2.50DR	
040CT17	B 2 DEC19 CQG II	49.83		5.00DR	
040CT17	S 1 DEC19 CQG II		49.90	2.50DR	
040CT17	S 1 DEC19 CQG II		49.99	2.50DR	
040CT17	B 1 DEC19 CQG II	49.94		2.50DR	
040CT17	B 1 DEC19 CQG II	50.13		2.50DR	
	IEU Brent Crude 1,000 IPE				
040CT17	S 7 JUN18 CQG EL		54.88	17.50DR	
040CT17	S 2 JUN18 CQG EL		54.96	5.00DR	
040CT17	S 2 JUN18 CQG EL		55.12	5.00DR	
040CT17	S 1 JUN18 CQG EL		55.24	2.50DR	
040CT17	S 1 JUN18 CQG EL		55.04	2.50DR	
040CT17	S 1 JUN18 CQG EL		55.21	2.50DR	
040CT17	B 2 JUN18 CQG EL	54.94		5.00DR	
040CT17	B 4 JUN18 CQG EL	54.95		10.00DR	
040CT17	B 2 JUN18 CQG EL	55.03		5.00DR	
040CT17	B 3 JUN18 CQG EL	55.09		7.50DR	
040CT17	B 2 JUN18 CQG EL	55.10		5.00DR	
040CT17	B 1 JUN18 CQG EL	55.06		2.50DR	
040CT17	B 5 JUN18 CQG EL	55.08		12.50DR	
040CT17	B 5 JUN18 CQG EL	55.14		12.50DR	
040CT17	B 3 JUN18 CQG EL	54.99		7.50DR	
040CT17	S 10 SEP18 CQG EL		54.83	25.00DR	
040CT17	B 14 DEC18 CQG EL	54.35		35.00DR	
040CT17	B 4 DEC18 CQG EL	54.43		10.00DR	
040CT17	B 2 DEC18 CQG EL	54.55		5.00DR	
040CT17	B 1 DEC18 CQG EL	54.65		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.66		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.63		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.47		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.49		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.68		2.50DR	
040CT17	B 1 DEC18 CQG EL	54.67		2.50DR	
040CT17	S 1 DEC18 CQG EL		54.50	2.50DR	
040CT17	S 6 DEC18 CQG EL		54.45	15.00DR	
040CT17	S 9 DEC18 CQG EL		54.46	22.50DR	
040CT17	S 4 DEC18 CQG EL		54.62	10.00DR	
040CT17	S 2 DEC18 CQG EL		54.61	5.00DR	
040CT17	S 5 DEC18 CQG EL		54.63	12.50DR	
040CT17	S 2 DEC18 CQG EL		54.60	5.00DR	
040CT17	S 1 DEC18 CQG EL		54.59	2.50DR	
040CT17	B 5 DEC18 CQG EL	54.61		12.50DR	
040CT17	S 7 DEC18 CQG EL		54.67	17.50DR	

Group No: SAPG0119

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PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

4th October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	S	5	DEC18	CQG	EL		54.66	12.50DR	
04OCT17	S	2	DEC18	CQG	EL		54.53	5.00DR	
04OCT17	S	10	DEC18	CQG	EL		54.54	25.00DR	
04OCT17	S	5	JUN19	CQG	EL		54.13	12.50DR	
04OCT17	B	1	JUN19	CQG	EL	54.34		2.50DR	
04OCT17	B	1	JUN19	CQG	EL	54.30		2.50DR	
04OCT17	B	1	JUN19	CQG	EL	54.31		2.50DR	
04OCT17	B	4	JUN19	CQG	EL	54.24		10.00DR	
04OCT17	B	5	JUN19	CQG	EL	54.25		12.50DR	
04OCT17	B	2	JUN19	CQG	EL	54.26		5.00DR	
04OCT17	B	4	JUN19	CQG	EL	54.32		10.00DR	
04OCT17	B	4	JUN19	CQG	EL	54.33		10.00DR	
04OCT17	B	2	JUN19	CQG	EL	54.39		5.00DR	
04OCT17	B	3	JUN19	CQG	EL	54.41		7.50DR	
04OCT17	B	2	JUN19	CQG	EL	54.37		5.00DR	
04OCT17	B	2	JUN19	CQG	EL	54.38		5.00DR	
04OCT17	B	1	JUN19	CQG	EL	54.45		2.50DR	
04OCT17	B	7	JUN19	CQG	EL	54.44		17.50DR	
04OCT17	S	2	DEC19	CQG	EL		54.00	5.00DR	
04OCT17	S	2	DEC19	CQG	EL		54.05	5.00DR	
04OCT17	S	1	DEC19	CQG	EL		54.20	2.50DR	
04OCT17	S	1	DEC19	CQG	EL		54.08	2.50DR	
04OCT17	S	2	DEC19	CQG	EL		54.23	5.00DR	
04OCT17	S	2	DEC19	CQG	EL		54.21	5.00DR	
04OCT17	S	2	DEC19	CQG	EL		54.15	5.00DR	
04OCT17	S	2	DEC19	CQG	EL		54.22	5.00DR	
04OCT17	B	1	DEC19	CQG	EL	54.28		2.50DR	
04OCT17	B	1	DEC19	CQG	EL	54.30		2.50DR	
04OCT17	S	1	DEC19	CQG	EL		54.29	2.50DR	
04OCT17	S	2	DEC19	CQG	EL		54.26	5.00DR	
04OCT17	S	2	DEC19	CQG	EL		54.33	5.00DR	
04OCT17	B	2	DEC19	CQG	EL	54.21		5.00DR	
	Commission								610.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
04OCT17		1	DEC18			50.71	50.46		250.00DR
04OCT17		1	DEC18			50.66	50.46		200.00DR
04OCT17		1	DEC18			50.66	50.44		220.00DR
04OCT17		1	DEC18			50.66	50.53		130.00DR
04OCT17		1	JUN19			50.06	50.18		120.00CR
04OCT17		1	JUN19			50.04	50.18		140.00CR
04OCT17		1	JUN19			50.04	50.18		140.00CR
04OCT17		1	JUN19			50.25	50.20		50.00DR
04OCT17		1	JUN19			50.25	50.20		50.00DR
04OCT17		1	JUN19			50.25	50.21		40.00DR
04OCT17		1	JUN19			50.16	50.30		140.00CR
04OCT17		1	JUN19			50.25	50.28		30.00CR
04OCT17		1	JUN19			50.27	50.30		30.00CR
04OCT17		1	DEC19			49.83	49.82		10.00DR
04OCT17		1	DEC19			49.83	49.90		70.00CR
04OCT17		1	DEC19			49.94	49.99		50.00CR
	IEU Brent Crude 1,000 IPE								
04OCT17		1	JUN18			54.94	54.88		60.00DR
04OCT17		1	JUN18			54.94	54.88		60.00DR
04OCT17		1	JUN18			54.95	54.88		70.00DR
04OCT17		1	JUN18			54.95	54.88		70.00DR
04OCT17		1	JUN18			54.95	54.88		70.00DR
04OCT17		1	JUN18			55.03	54.88		150.00DR
04OCT17		1	JUN18			55.03	54.96		70.00DR
04OCT17		1	JUN18			55.09	54.96		130.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 JUN18	55.09	55.12		30.00CR
04OCT17	1 JUN18	55.09	55.12		30.00CR
04OCT17	1 JUN18	55.10	55.24		140.00CR
04OCT17	1 JUN18	55.10	55.04		60.00DR
04OCT17	1 JUN18	55.06	55.21		150.00CR
04OCT17	1 JUN18	55.08	56.54		1,460.00CR
04OCT17	1 JUN18	55.08	56.58		1,500.00CR
04OCT17	1 JUN18	55.08	56.84		1,760.00CR
04OCT17	1 JUN18	55.08	57.13		2,050.00CR
04OCT17	1 JUN18	55.08	56.87		1,790.00CR
04OCT17	1 JUN18	55.14	56.90		1,760.00CR
04OCT17	1 JUN18	55.14	56.90		1,760.00CR
04OCT17	1 JUN18	55.14	56.91		1,770.00CR
04OCT17	1 JUN18	55.14	56.88		1,740.00CR
04OCT17	1 JUN18	55.14	56.93		1,790.00CR
04OCT17	1 JUN18	54.99	57.10		2,110.00CR
04OCT17	1 JUN18	54.99	56.91		1,920.00CR
04OCT17	1 JUN18	54.99	56.92		1,930.00CR
04OCT17	1 SEP18	55.33	54.83		500.00DR
04OCT17	1 SEP18	55.33	54.83		500.00DR
04OCT17	1 SEP18	55.48	54.83		650.00DR
04OCT17	1 SEP18	55.48	54.83		650.00DR
04OCT17	1 SEP18	55.52	54.83		690.00DR
04OCT17	1 SEP18	55.52	54.83		690.00DR
04OCT17	1 SEP18	55.51	54.83		680.00DR
04OCT17	1 SEP18	55.51	54.83		680.00DR
04OCT17	1 SEP18	55.52	54.83		690.00DR
04OCT17	1 SEP18	55.51	54.83		680.00DR
04OCT17	1 DEC18	54.35	54.54		190.00CR
04OCT17	1 DEC18	54.35	54.50		150.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	1 DEC18	54.35	54.46		110.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	2 DEC18	54.35	54.46		220.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	1 DEC18	54.35	54.46		110.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	2 DEC18	54.35	54.46		220.00CR
04OCT17	1 DEC18	54.35	54.45		100.00CR
04OCT17	1 DEC18	54.43	54.46		30.00CR
04OCT17	1 DEC18	54.43	54.46		30.00CR
04OCT17	1 DEC18	54.43	54.46		30.00CR
04OCT17	1 DEC18	54.43	54.54		110.00CR
04OCT17	1 DEC18	54.55	54.54		10.00DR
04OCT17	1 DEC18	54.55	54.54		10.00DR
04OCT17	1 DEC18	54.65	54.54		110.00DR
04OCT17	1 DEC18	54.66	54.54		120.00DR
04OCT17	1 DEC18	54.63	54.54		90.00DR
04OCT17	1 DEC18	54.47	54.62		150.00CR
04OCT17	1 DEC18	54.49	54.62		130.00CR
04OCT17	1 DEC18	54.68	54.62		60.00DR
04OCT17	1 DEC18	54.67	54.61		60.00DR
04OCT17	1 DEC18	54.61	54.62		10.00CR
04OCT17	1 DEC18	54.61	54.61		0.00
04OCT17	1 DEC18	54.61	54.63		20.00CR
04OCT17	1 DEC18	54.61	54.63		20.00CR
04OCT17	1 DEC18	54.61	54.63		20.00CR
04OCT17	2 DEC18	55.91	54.63		2,560.00DR
04OCT17	1 DEC18	55.86	54.60		1,260.00DR
04OCT17	1 DEC18	55.95	54.60		1,350.00DR
04OCT17	1 DEC18	55.95	54.59		1,360.00DR
04OCT17	3 DEC18	55.91	54.67		3,720.00DR
04OCT17	2 DEC18	56.09	54.67		2,840.00DR
04OCT17	1 DEC18	56.09	54.67		1,420.00DR
04OCT17	1 DEC18	56.25	54.67		1,580.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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4th October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
04OCT17	1 DEC18	56.24	54.66		1,580.00DR
04OCT17	1 DEC18	56.24	54.66		1,580.00DR
04OCT17	2 DEC18	56.24	54.66		3,160.00DR
04OCT17	1 DEC18	56.12	54.66		1,460.00DR
04OCT17	1 DEC18	56.12	54.53		1,590.00DR
04OCT17	1 DEC18	55.70	54.53		1,170.00DR
04OCT17	1 DEC18	55.72	54.54		1,180.00DR
04OCT17	1 DEC18	55.63	54.54		1,090.00DR
04OCT17	1 DEC18	55.59	54.54		1,050.00DR
04OCT17	1 JUN19	54.34	54.13		210.00DR
04OCT17	1 JUN19	54.33	54.13		200.00DR
04OCT17	1 JUN19	54.33	54.13		200.00DR
04OCT17	1 JUN19	54.30	54.13		170.00DR
04OCT17	1 JUN19	54.31	54.13		180.00DR
04OCT17	1 JUN19	54.25	55.57		1,320.00CR
04OCT17	1 JUN19	54.26	55.57		1,310.00CR
04OCT17	1 JUN19	54.24	55.57		1,330.00CR
04OCT17	1 JUN19	54.25	55.56		1,310.00CR
04OCT17	1 JUN19	54.25	55.53		1,280.00CR
04OCT17	1 JUN19	54.24	55.53		1,290.00CR
04OCT17	1 JUN19	54.24	55.52		1,280.00CR
04OCT17	1 JUN19	54.24	55.66		1,420.00CR
04OCT17	1 JUN19	54.25	55.66		1,410.00CR
04OCT17	1 JUN19	54.25	55.66		1,410.00CR
04OCT17	1 JUN19	54.26	55.69		1,430.00CR
04OCT17	1 JUN19	54.32	55.69		1,370.00CR
04OCT17	1 JUN19	54.33	55.70		1,370.00CR
04OCT17	1 JUN19	54.32	55.70		1,380.00CR
04OCT17	2 JUN19	54.32	55.70		2,760.00CR
04OCT17	1 JUN19	54.33	55.70		1,370.00CR
04OCT17	1 JUN19	54.38	55.70		1,320.00CR
04OCT17	1 JUN19	54.39	55.58		1,190.00CR
04OCT17	1 JUN19	54.39	55.58		1,190.00CR
04OCT17	1 JUN19	54.41	55.58		1,170.00CR
04OCT17	1 JUN19	54.41	55.58		1,170.00CR
04OCT17	1 JUN19	54.37	55.44		1,070.00CR
04OCT17	1 JUN19	54.37	55.44		1,070.00CR
04OCT17	1 JUN19	54.38	55.44		1,060.00CR
04OCT17	2 JUN19	54.44	55.44		2,000.00CR
04OCT17	1 JUN19	54.44	55.29		850.00CR
04OCT17	1 JUN19	54.44	55.29		850.00CR
04OCT17	1 JUN19	54.45	55.25		800.00CR
04OCT17	1 JUN19	54.44	55.25		810.00CR
04OCT17	1 JUN19	54.44	55.25		810.00CR
04OCT17	1 JUN19	54.44	55.21		770.00CR
04OCT17	1 DEC19	54.28	54.00		280.00DR
04OCT17	1 DEC19	54.30	54.00		300.00DR
04OCT17	1 DEC19	54.21	54.05		160.00DR
04OCT17	1 DEC19	54.21	54.05		160.00DR
04OCT17	1 DEC19	55.09	54.21		880.00DR
04OCT17	1 DEC19	54.93	54.20		730.00DR
04OCT17	1 DEC19	54.90	54.08		820.00DR
04OCT17	1 DEC19	55.08	54.33		750.00DR
04OCT17	1 DEC19	55.06	54.23		830.00DR
TOTALS					
BROUGHT FORWARD BALANCE					20,720.00DR
SETTLEMENTS					
IEU Brent Crude Futures					22,680.00CR
NYM Light Sweet Crude Oil Futures					230.00DR
COMMISSIONS					610.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

4th October 2017

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				1,120.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119T

4th October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
04OCT17	B 1 DEC17 CQG GX 115.1000	115.1000		1.02DR	
04OCT17	S 2 FEB18 CQG GX 119.2000		119.2000	2.04DR	
04OCT17	B 1 APR18 CQG GX 120.7500	120.7500		1.02DR	
	Commission				4.08DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	COMMISSIONS				4.08DR
	CARRIED FORWARD BALANCE				4.08DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

4th Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		984,758.67CR
	SETTLEMENTS		
	CME Cattle Futures		240.00DR
	CME Feeder Cattle Futures		1,762.50CR
	CME Lean Hog Futures		560.00CR
	CMX Silver Futures		450.00DR
	ICE Sugar No.11 Futures		201.60CR
	IEU Brent Crude Futures		48,830.00CR
	IEU Gas Oil Futures		5,675.00DR
	NYM Heating Oil Futures		12.60CR
	NYM Light Sweet Crude Oil Futures		620.00DR
	White Sugar Futures		565.00CR
	COMMISSIONS		3,335.32DR
	CARRIED FORWARD BALANCE		1,026,370.05CR