

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0011D 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 5 03JAN18 DMA EL		6456.00	25.25DR	
03OCT17	S 1 03JAN18 DMA EL		6466.00	5.05DR	
03OCT17	S 2 03JAN18 DMA EL		6467.00	10.10DR	
03OCT17	S 2 03JAN18 DMA EL		6467.50	10.10DR	
	CMX Copper 25,000 CMX				
03OCT17	B 11 DEC17 II	293.350		23.10DR	
03OCT17	B 11 DEC17 II	293.850		23.10DR	
	Commission				96.70DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	1 DEC17	293.350	293.200		37.50DR
03OCT17	4 DEC17	293.350	293.200		150.00DR
03OCT17	5 DEC17	293.850	293.850		0.00
03OCT17	1 DEC17	293.850	293.850		0.00
03OCT17	5 DEC17	293.850	293.850		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				271,770.80DR
	SETTLEMENTS				
	CMX Copper Futures				412.50DR
	COMMISSIONS				96.70DR
	CARRIED FORWARD BALANCE				272,280.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011F

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 5 03JAN18 DMA EL		6456.00	25.25DR	
	CMX Copper 25,000 CMX				
03OCT17	B 8 DEC17 II	293.350		16.80DR	
03OCT17	B 3 DEC17 II	293.400		6.30DR	
	Commission				48.35DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
03OCT17	1 DEC17	293.350	292.950		100.00DR
03OCT17	4 DEC17	293.350	293.500		150.00CR
03OCT17	1 DEC17	293.350	293.500		37.50CR
03OCT17	1 DEC17	293.350	293.350		0.00
03OCT17	1 DEC17	293.350	293.350		0.00
03OCT17	1 DEC17	293.400	293.350		12.50DR
03OCT17	2 DEC17	293.400	293.450		25.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 03OCT17	5954.25	5916.00		956.25DR
20JUL17	1 03OCT17	5954.25	5916.50		943.75DR
20JUL17	1 03OCT17	5954.25	5925.50		718.75DR
20JUL17	2 03OCT17	5954.25	5925.00		1,462.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,546.35DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,081.25DR
	CMX Copper Futures				100.00CR
	COMMISSIONS				48.35DR
	CARRIED FORWARD BALANCE				67,575.95DR

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 1 03JAN18 DMA EL		6463.50	5.05DR	
030CT17	S 1 03JAN18 DMA EL		6464.00	5.05DR	
030CT17	S 1 03JAN18 DMA EL		6465.00	5.05DR	
030CT17	S 1 03JAN18 DMA EL		6466.00	5.05DR	
030CT17	S 1 03JAN18 DMA EL		6467.00	5.05DR	
	CMX Copper 25,000 CMX				
030CT17	B 2 DEC17 II 293.850			4.20DR	
030CT17	B 2 DEC17 II 293.800			4.20DR	
030CT17	B 3 DEC17 II 293.750			6.30DR	
030CT17	B 4 DEC17 II 293.700			8.40DR	
	Commission				48.35DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	1 DEC17 293.850	290.650			800.00DR
030CT17	1 DEC17 293.850	290.650			800.00DR
030CT17	2 DEC17 293.800	290.700			1,550.00DR
030CT17	1 DEC17 293.750	290.700			762.50DR
030CT17	2 DEC17 293.700	290.700			1,500.00DR
030CT17	1 DEC17 293.750	290.600			787.50DR
030CT17	1 DEC17 293.750	290.250			875.00DR
030CT17	1 DEC17 293.700	290.450			812.50DR
030CT17	1 DEC17 293.700	290.000			925.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				80,611.75DR
	SETTLEMENTS				
	CMX Copper Futures				8,812.50DR
	COMMISSIONS				48.35DR
	CARRIED FORWARD BALANCE				89,472.60DR

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3rd October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
030CT17	B	1	03JAN18	TTR	EL	6512.50		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	6513.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	6512.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	6529.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	6522.50		5.00DR	
	CMX Copper 25,000 CMX								
030CT17	S	1	DEC17	TTR	GX		296.000	2.32DR	
030CT17	S	2	DEC17	TTR	GX		296.800	4.64DR	
030CT17	S	5	DEC17	TTR	GX		296.050	11.60DR	
030CT17	S	3	DEC17	TTR	GX		296.500	6.96DR	
	Commission								50.52DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								0.00
	COMMISSIONS								50.52DR
	CARRIED FORWARD BALANCE								50.52DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,100,947.87DR
	SETTLEMENTS		
	CMX Copper Futures		9,125.00DR
	LME Copper Grade A 25 tonnes. This Futures		4,081.25DR
	COMMISSIONS		243.92DR
	CARRIED FORWARD BALANCE		1,114,398.04DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025O

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
23AUG17	1 030CT17	5927.50	6548.03		15,513.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				452,086.45DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				15,513.25CR
	CARRIED FORWARD BALANCE				436,573.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0025V 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	B 3 03JAN18 DMA EL	6510.00		15.00DR	
030CT17	B 2 03JAN18 DMA EL	6522.00		10.00DR	
030CT17	S 2 03JAN18 DMA EL		6519.50	10.00DR	
030CT17	S 3 03JAN18 DMA EL		6506.50	15.00DR	
	CMX Copper 25,000 CMX				
030CT17	B 1 DEC17 GX	293.050			
030CT17	S 1 DEC17 GX		293.000		
	Commission				50.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	1 DEC17	293.050	293.000		12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				89,545.50DR
	SETTLEMENTS				
	CMX Copper Futures				12.50DR
	COMMISSIONS				50.00DR
	CARRIED FORWARD BALANCE				89,608.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB025

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,968,220.84DR
	SETTLEMENTS		
	CMX Copper Futures		12.50DR
	LME Copper Grade A 25 tonnes. This Futures		15,513.25CR
	COMMISSIONS		50.00DR
	CARRIED FORWARD BALANCE		1,952,770.09DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0038A

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		6458.50	5.00DR	
030CT17	S 1 03JAN18 TTR EL		6480.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		6480.50	5.00DR	
030CT17	S 1 03JAN18 TTR EL		6481.00	5.00DR	
030CT17	B 1 03JAN18 TTR EL	6482.50		5.00DR	
030CT17	B 1 03JAN18 TTR EL	6502.00		5.00DR	
	CMX Copper 25,000 CMX				
030CT17	B 2 DEC17 TTR GX	293.500		3.36DR	
030CT17	B 6 DEC17 TTR GX	294.750		10.08DR	
030CT17	S 2 DEC17 TTR GX		294.600	3.36DR	
030CT17	S 2 DEC17 TTR GX		295.600	3.36DR	
	Commission				50.16DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	2 DEC17	293.500	294.600		550.00CR
030CT17	2 DEC17	294.750	295.600		425.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 030CT17	5891.95	5945.50		1,338.75CR
04JUL17	1 030CT17	5891.95	5954.00		1,551.25CR
04JUL17	1 030CT17	5891.95	5913.00		526.25CR
04JUL17	1 030CT17	5891.95	5920.00		701.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				110,414.16DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				4,117.50CR
	CMX Copper Futures				975.00CR
	COMMISSIONS				50.16DR
	CARRIED FORWARD BALANCE				105,371.82DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP038AA

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
04JUL17	1 030CT17	5891.95	5945.50		1,338.75CR
04JUL17	1 030CT17	5891.95	5913.00		526.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				35,384.42DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,865.00CR
	CARRIED FORWARD BALANCE				33,519.42DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
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3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		145,883.58DR
	SETTLEMENTS		
	CMX Copper Futures		975.00CR
	LME Copper Grade A 25 tonnes. This Futures		5,982.50CR
	COMMISSIONS		50.16DR
	CARRIED FORWARD BALANCE		138,976.24DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0054M

3rd October 2017

COB GLOBAL

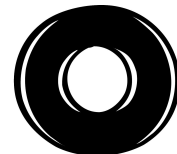
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	B 10 14DEC17 IFC UD	6515.38		27.00DR	
03OCT17	B 10 18DEC17 IFC UD	6516.96		27.00DR	
03OCT17	S 20 28DEC17 IFC UD		6517.68	54.00DR	
	Commission				108.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				303,811.55DR
	COMMISSIONS				108.00DR
	CARRIED FORWARD BALANCE				303,919.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054 ARBS
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SAPAB054

3rd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		303,811.55DR
	COMMISSIONS		108.00DR
	CARRIED FORWARD BALANCE		303,919.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 6 03JAN18 TTR EL		6463.50	9.36DR	
	CMX Copper 25,000 CMX				
03OCT17	B 12 DEC17 TTR GX	293.700		26.40DR	
03OCT17	B 1 DEC17 TTR GX	293.750		2.20DR	
	Commission				37.96DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
03OCT17	3 DEC17	293.700	296.250		1,912.50CR
03OCT17	2 DEC17	293.700	296.300		1,300.00CR
03OCT17	2 DEC17	293.700	296.400		1,350.00CR
03OCT17	2 DEC17	293.700	296.450		1,375.00CR
03OCT17	2 DEC17	293.700	296.400		1,350.00CR
03OCT17	1 DEC17	293.700	296.250		637.50CR
03OCT17	1 DEC17	293.750	296.250		625.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
25SEP17	1 03OCT17	5917.00	6410.46		12,336.50CR
25SEP17	1 03OCT17	5918.00	6410.46		12,311.50CR
25SEP17	1 03OCT17	5917.00	6410.46		12,336.50CR
25SEP17	1 03OCT17	5916.00	6410.46		12,361.50CR
25SEP17	2 03OCT17	5916.00	6410.46		24,723.00CR
25SEP17	1 03OCT17	5916.50	6410.46		12,349.00CR
25SEP17	1 03OCT17	5916.50	6410.46		12,349.00CR
25SEP17	2 03OCT17	5916.50	6410.46		24,698.00CR
25SEP17	2 03OCT17	5923.50	6410.46		24,348.00CR
25SEP17	1 03OCT17	5924.00	6410.46		12,161.50CR
25SEP17	4 03OCT17	5925.50	6410.46		48,496.00CR
25SEP17	1 03OCT17	5925.00	6410.46		12,136.50CR
25SEP17	2 03OCT17	5925.50	6410.46		24,248.00CR
25SEP17	10 03OCT17	5924.50	6410.46		121,490.00CR
25SEP17	4 03OCT17	5925.50	6410.46		48,496.00CR
25SEP17	1 03OCT17	5924.50	6410.46		12,149.00CR
25SEP17	5 03OCT17	5923.50	6410.46		60,870.00CR
25SEP17	3 03OCT17	5923.50	6410.46		36,522.00CR
25SEP17	1 03OCT17	5923.50	6410.46		12,174.00CR
25SEP17	2 03OCT17	5922.00	6410.46		24,423.00CR
25SEP17	3 03OCT17	5921.00	6410.46		36,709.50CR
25SEP17	1 03OCT17	5923.50	6410.46		12,174.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,862,902.97CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				609,862.50CR
	CMX Copper Futures				8,550.00CR
	COMMISSIONS				37.96DR
	CARRIED FORWARD BALANCE				3,481,277.51CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

3rd October 2017

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 28 02JAN18 IFC UD		6519.65	75.60DR	
030CT17	S 1 03JAN18 TTR EL		6463.50	5.55DR	
030CT17	S 1 03JAN18 TTR EL		6463.00	5.55DR	
030CT17	S 1 03JAN18 TTR EL		6462.00	5.55DR	
030CT17	S 4 03JAN18 TTR EL		6458.00	22.20DR	
030CT17	S 3 03JAN18 TTR EL		6462.50	16.65DR	
030CT17	S 5 03JAN18 TTR EL		6464.00	27.75DR	
030CT17	S 2 03JAN18 TTR EL		6468.00	11.10DR	
030CT17	S 1 03JAN18 TTR EL		6461.50	5.55DR	
030CT17	S 2 03JAN18 TTR EL		6464.50	11.10DR	
030CT17	S 1 03JAN18 TTR EL		6450.00	5.55DR	
030CT17	S 1 03JAN18 TTR EL		6447.50	5.55DR	
030CT17	S 3 03JAN18 TTR EL		6445.00	16.65DR	
030CT17	S 1 03JAN18 TTR EL		6452.00	5.55DR	
030CT17	S 1 03JAN18 TTR EL		6457.50	5.55DR	
030CT17	S 1 03JAN18 TTR EL		6468.50	5.55DR	
030CT17	B 28 03JAN18 IFC UD	6520.20		75.60DR	
	CMX Copper 25,000 CMX				
030CT17	B 2 DEC17 TTR GX	293.800		4.40DR	
030CT17	B 3 DEC17 TTR GX	293.500		6.60DR	
030CT17	B 13 DEC17 TTR GX	293.700		28.60DR	
030CT17	B 3 DEC17 TTR GX	293.900		6.60DR	
030CT17	B 8 DEC17 TTR GX	293.650		17.60DR	
030CT17	B 7 DEC17 TTR GX	293.750		15.40DR	
030CT17	B 2 DEC17 TTR GX	293.100		4.40DR	
030CT17	B 2 DEC17 TTR GX	293.000		4.40DR	
030CT17	B 6 DEC17 TTR GX	292.850		13.20DR	
030CT17	B 1 DEC17 TTR GX	292.900		2.20DR	
030CT17	B 2 DEC17 TTR GX	293.200		4.40DR	
030CT17	B 8 DEC17 TTR GX	293.450		17.60DR	
030CT17	B 5 DEC17 TTR GX	293.950		11.00DR	
	Commission				443.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	2 DEC17	293.800	293.250		275.00DR
030CT17	2 DEC17	293.750	293.250		250.00DR
030CT17	5 DEC17	293.700	293.250		562.50DR
030CT17	1 DEC17	293.650	293.150		125.00DR
030CT17	1 DEC17	293.650	293.100		137.50DR
030CT17	2 DEC17	293.500	293.350		75.00DR
030CT17	2 DEC17	293.450	293.000		225.00DR
030CT17	3 DEC17	293.450	292.950		375.00DR
030CT17	1 DEC17	293.450	293.450		0.00
030CT17	1 DEC17	293.500	293.450		12.50DR
030CT17	2 DEC17	293.650	293.450		100.00DR
030CT17	2 DEC17	293.650	293.400		125.00DR
030CT17	2 DEC17	293.700	293.000		350.00DR
030CT17	1 DEC17	293.750	293.300		112.50DR
030CT17	5 DEC17	293.700	293.300		500.00DR
030CT17	1 DEC17	293.700	293.250		112.50DR
030CT17	2 DEC17	293.750	294.600		425.00CR
030CT17	2 DEC17	293.950	294.200		125.00CR
030CT17	2 DEC17	293.900	294.200		150.00CR
030CT17	1 DEC17	293.900	294.150		62.50CR
030CT17	1 DEC17	293.650	294.150		125.00CR
030CT17	1 DEC17	293.650	294.300		162.50CR
030CT17	2 DEC17	293.750	294.250		250.00CR

Group No: SAPAB089

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	2 DEC17	293.100	294.100		500.00CR
03OCT17	2 DEC17	293.000	294.100		550.00CR
03OCT17	2 DEC17	292.850	294.100		625.00CR
03OCT17	4 DEC17	292.850	294.050		1,200.00CR
03OCT17	1 DEC17	292.900	294.050		287.50CR
03OCT17	2 DEC17	293.200	294.050		425.00CR
03OCT17	2 DEC17	293.450	293.700		125.00CR
03OCT17	2 DEC17	293.950	293.550		200.00DR
03OCT17	1 DEC17	293.950	293.550		100.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				80,027.00DR
	SETTLEMENTS				
	CMX Copper Futures				1,375.00CR
	COMMISSIONS				443.00DR
	CARRIED FORWARD BALANCE				79,095.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 2 03JAN18 TTR EL		6468.50	11.10DR	
03OCT17	S 1 03JAN18 TTR EL		6470.00	5.55DR	
03OCT17	S 2 03JAN18 TTR EL		6464.50	11.10DR	
03OCT17	S 1 03JAN18 DMA EL		6465.00	5.55DR	
	CMX Copper 25,000 CMX				
03OCT17	B 4 DEC17 TTR GX	293.950		8.80DR	
03OCT17	B 2 DEC17 TTR GX	294.050		4.40DR	
03OCT17	B 2 DEC17 TTR GX	293.750		4.40DR	
03OCT17	B 5 DEC17 TTR GX	293.800		11.00DR	
	Commission				61.90DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
03OCT17	1 DEC17	293.950	293.000		237.50DR
03OCT17	3 DEC17	293.950	292.950		750.00DR
03OCT17	2 DEC17	294.050	292.100		975.00DR
03OCT17	2 DEC17	293.750	292.100		825.00DR
03OCT17	3 DEC17	293.800	292.100		1,275.00DR
03OCT17	1 DEC17	293.800	292.050		437.50DR
03OCT17	1 DEC17	293.800	292.100		425.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				272,394.95CR
	SETTLEMENTS				
	CMX Copper Futures				4,925.00DR
	COMMISSIONS				61.90DR
	CARRIED FORWARD BALANCE				267,408.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		6478.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6484.00	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6508.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6517.00		1.56DR	
030CT17	B 2 03JAN18 TTR EL	6517.50		3.12DR	
030CT17	B 3 03JAN18 TTR EL	6524.50		4.68DR	
030CT17	B 1 03JAN18 TTR EL	6521.00		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6520.00		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6525.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6527.50	1.56DR	
	CMX Copper 25,000 CMX				
030CT17	B 2 DEC17 TTR GX	294.500		4.40DR	
030CT17	B 2 DEC17 TTR GX	294.650		4.40DR	
030CT17	B 2 DEC17 TTR GX	295.800		4.40DR	
030CT17	B 1 DEC17 TTR GX	295.850		2.20DR	
030CT17	S 2 DEC17 TTR GX		296.300	4.40DR	
030CT17	S 4 DEC17 TTR GX		296.250	8.80DR	
030CT17	S 4 DEC17 TTR GX		296.600	8.80DR	
030CT17	S 3 DEC17 TTR GX		296.550	6.60DR	
030CT17	S 2 DEC17 TTR GX		296.400	4.40DR	
030CT17	S 3 DEC17 TTR GX		296.350	6.60DR	
030CT17	B 2 DEC17 TTR GX	296.550		4.40DR	
030CT17	B 2 DEC17 TTR GX	296.650		4.40DR	
030CT17	B 1 DEC17 TTR GX	296.600		2.20DR	
	Commission				86.28DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	2 DEC17	294.500	296.300		900.00CR
030CT17	2 DEC17	294.650	296.250		800.00CR
030CT17	2 DEC17	295.800	296.250		225.00CR
030CT17	1 DEC17	295.850	296.550		175.00CR
030CT17	2 DEC17	296.550	296.600		25.00CR
030CT17	1 DEC17	296.650	296.600		12.50DR
030CT17	1 DEC17	296.650	296.600		12.50DR
030CT17	1 DEC17	296.600	296.550		12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				36,011.24DR
	SETTLEMENTS				
	CMX Copper Futures				2,087.50CR
	COMMISSIONS				86.28DR
	CARRIED FORWARD BALANCE				34,010.02DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB089

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,057,890.61CR
	SETTLEMENTS		
	CMX Copper Futures		7,087.50CR
	LME Copper Grade A 25 tonnes. This Futures		609,862.50CR
	COMMISSIONS		629.14DR
	CARRIED FORWARD BALANCE		3,674,211.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096H

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096H				6,134.95CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,683.70DR
	CASH PAYMENTS/RECEIPTS				6,134.95CR
	CARRIED FORWARD BALANCE				24,548.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096I

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096I				85.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				85.00DR
	CASH PAYMENTS/RECEIPTS				85.00CR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096N

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096N				255.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				255.00DR
	CASH PAYMENTS/RECEIPTS				255.00CR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB096

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		31,023.70DR
	CASH PAYMENTS/RECEIPTS		6,474.95CR
	CARRIED FORWARD BALANCE		24,548.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097B

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUL17	2 030CT17	5914.50	6323.71		20,460.50CR
26JUL17	1 030CT17	5917.50	6323.71		10,155.25CR
26JUL17	1 030CT17	5915.50	6323.71		10,205.25CR
26JUL17	1 030CT17	5913.50	6323.71		10,255.25CR
26JUL17	1 030CT17	5919.00	6323.71		10,117.75CR
26JUL17	1 030CT17	5918.00	6323.71		10,142.75CR
26JUL17	1 030CT17	5916.00	6323.71		10,192.75CR
26JUL17	1 030CT17	5914.50	6323.71		10,230.25CR
26JUL17	1 030CT17	5914.50	6323.71		10,230.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				944,784.85CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				101,990.00CR
	CARRIED FORWARD BALANCE				1,046,774.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097M

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 10 06OCT17 IFC UD		6470.02	27.00DR	
03OCT17	B 5 29NOV17 IFC UD	6509.00		13.50DR	
03OCT17	B 5 30NOV17 IFC UD	6509.60		13.50DR	
	Commission				54.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				416,642.30DR
	COMMISSIONS				54.00DR
	CARRIED FORWARD BALANCE				416,696.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 50 19DEC17 IFC UD		6517.35	135.00DR	
030CT17	B 50 29DEC17 IFC UD	6518.43		135.00DR	
030CT17	S 1 03JAN18 TTR EL		6450.00	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6447.00	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6447.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6445.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6442.50	1.56DR	
030CT17	S 3 03JAN18 TTR EL		6467.00	4.68DR	
030CT17	S 1 03JAN18 TTR EL		6467.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6468.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6482.50		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6485.00		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6481.00		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6480.00		1.56DR	
030CT17	B 3 03JAN18 TTR EL	6483.00		4.68DR	
030CT17	B 2 03JAN18 TTR EL	6482.00		3.12DR	
030CT17	B 1 03JAN18 TTR EL	6488.50		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6487.50		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6485.50		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6488.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6489.00		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6491.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6492.50	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6491.50		1.56DR	
030CT17	B 2 03JAN18 TTR EL	6490.00		3.12DR	
030CT17	S 2 03JAN18 TTR EL		6519.00	3.12DR	
030CT17	S 1 03JAN18 TTR EL		6513.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6507.00		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6506.50		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6505.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6505.00		1.56DR	
030CT17	B 2 03JAN18 TTR EL	6508.00		3.12DR	
030CT17	B 1 03JAN18 TTR EL	6502.50		1.56DR	
030CT17	B 8 03JAN18 TTR EL	6502.00		12.48DR	
030CT17	B 3 03JAN18 TTR EL	6501.00		4.68DR	
030CT17	B 7 03JAN18 TTR EL	6496.00		10.92DR	
030CT17	B 1 03JAN18 TTR EL	6496.50		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6497.50		1.56DR	
030CT17	B 5 03JAN18 TTR EL	6495.00		7.80DR	
030CT17	B 2 03JAN18 TTR EL	6494.50		3.12DR	
030CT17	B 1 03JAN18 DMA EL	6483.00		1.56DR	
030CT17	S 1 03JAN18 DMA EL		6483.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6499.00		1.56DR	
030CT17	B 2 03JAN18 TTR EL	6511.50		3.12DR	
030CT17	B 1 03JAN18 TTR EL	6514.50		1.56DR	
030CT17	B 1 03JAN18 TTR EL	6512.50		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6527.00	1.56DR	
030CT17	B 1 03JAN18 TTR EL	6518.50		1.56DR	
030CT17	S 1 03JAN18 TTR EL		6519.50	1.56DR	
030CT17	S 3 03JAN18 TTR EL		6515.50	4.68DR	
030CT17	S 1 03JAN18 TTR EL		6512.50	1.56DR	
030CT17	S 1 03JAN18 TTR EL		6517.00	1.56DR	
030CT17	S 2 03JAN18 TTR EL		6514.50	3.12DR	
030CT17	S 2 03JAN18 DMA EL		6514.00	3.12DR	
030CT17	S 2 03JAN18 DMA EL		6514.50	3.12DR	
	CMX Copper 25,000 CMX				
030CT17	B 2 DEC17 TTR GX	293.100		4.60DR	
030CT17	B 2 DEC17 TTR GX	293.050		4.60DR	
030CT17	B 2 DEC17 TTR GX	292.950		4.60DR	
030CT17	B 3 DEC17 TTR GX	292.850		6.90DR	
030CT17	B 2 DEC17 TTR GX	292.800		4.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

3rd October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B	11	DEC17	TTR	GX	293.850		25.30DR	
030CT17	S	2	DEC17	TTR	GX		294.750	4.60DR	
030CT17	S	3	DEC17	TTR	GX		294.550	6.90DR	
030CT17	S	15	DEC17	TTR	GX		294.600	34.50DR	
030CT17	S	6	DEC17	TTR	GX		294.650	13.80DR	
030CT17	S	11	DEC17	TTR	GX		294.700	25.30DR	
030CT17	B	11	DEC17	TTR	GX	294.950		25.30DR	
030CT17	S	2	DEC17	TTR	GX		294.800	4.60DR	
030CT17	B	2	DEC17	TTR	GX	294.850		4.60DR	
030CT17	B	2	DEC17	TTR	GX	295.050		4.60DR	
030CT17	B	2	DEC17	TTR	GX	295.300		4.60DR	
030CT17	S	2	DEC17	TTR	GX		295.050	4.60DR	
030CT17	S	5	DEC17	TTR	GX		295.150	11.50DR	
030CT17	B	2	DEC17	TTR	GX	296.250		4.60DR	
030CT17	B	3	DEC17	TTR	GX	296.200		6.90DR	
030CT17	S	4	DEC17	TTR	GX		295.700	9.20DR	
030CT17	S	7	DEC17	TTR	GX		295.400	16.10DR	
030CT17	S	18	DEC17	TTR	GX		295.550	41.40DR	
030CT17	S	3	DEC17	TTR	GX		295.500	6.90DR	
030CT17	S	15	DEC17	TTR	GX		295.300	34.50DR	
030CT17	S	3	DEC17	TTR	GX		295.350	6.90DR	
030CT17	S	2	DEC17	TTR	GX		295.200	4.60DR	
030CT17	S	9	DEC17	TTR	GX		295.250	20.70DR	
030CT17	S	6	DEC17	TTR	GX		295.000	13.80DR	
030CT17	S	5	DEC17	TTR	GX		295.450	11.50DR	
030CT17	S	2	DEC17	TTR	GX		295.600	4.60DR	
030CT17	S	2	DEC17	TTR	GX		296.000	4.60DR	
030CT17	S	2	DEC17	TTR	GX		296.150	4.60DR	
030CT17	S	3	DEC17	TTR	GX		296.050	6.90DR	
030CT17	B	2	DEC17	TTR	GX	296.600		4.60DR	
030CT17	S	2	DEC17	TTR	GX		296.300	4.60DR	
030CT17	B	2	DEC17	TTR	GX	296.350		4.60DR	
030CT17	B	3	DEC17	TTR	GX	296.100		6.90DR	
030CT17	B	3	DEC17	TTR	GX	295.950		6.90DR	
030CT17	B	4	DEC17	TTR	GX	296.150		9.20DR	
030CT17	B	16	DEC17	TTR	GX	296.050		36.80DR	
	Commission								872.62DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
030CT17		2	DEC17			293.100	294.650		775.00CR
030CT17		2	DEC17			293.050	294.600		775.00CR
030CT17		1	DEC17			292.950	294.750		450.00CR
030CT17		1	DEC17			292.950	294.750		450.00CR
030CT17		1	DEC17			292.850	294.650		450.00CR
030CT17		1	DEC17			292.800	294.650		462.50CR
030CT17		1	DEC17			292.850	294.600		437.50CR
030CT17		1	DEC17			292.850	294.550		425.00CR
030CT17		1	DEC17			292.800	294.600		450.00CR
030CT17		2	DEC17			293.850	294.550		350.00CR
030CT17		4	DEC17			293.850	294.600		750.00CR
030CT17		2	DEC17			293.850	294.600		375.00CR
030CT17		3	DEC17			293.850	294.600		562.50CR
030CT17		2	DEC17			294.950	294.600		175.00DR
030CT17		2	DEC17			294.950	294.650		150.00DR
030CT17		7	DEC17			294.950	294.700		437.50DR
030CT17		2	DEC17			294.850	294.700		75.00DR
030CT17		2	DEC17			295.050	294.700		175.00DR
030CT17		1	DEC17			295.300	294.800		125.00DR
030CT17		1	DEC17			295.300	294.800		125.00DR
030CT17		2	DEC17			296.250	295.150		550.00DR
030CT17		2	DEC17			296.200	295.000		600.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0097Q 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 DEC17	295.950	295.000		237.50DR
030CT17	1 DEC17	296.050	295.000		262.50DR
030CT17	2 DEC17	296.050	295.050		500.00DR
030CT17	1 DEC17	296.150	295.150		250.00DR
030CT17	1 DEC17	296.200	295.150		262.50DR
030CT17	1 DEC17	296.100	295.150		237.50DR
030CT17	2 DEC17	296.600	295.700		450.00DR
030CT17	1 DEC17	296.350	295.700		162.50DR
030CT17	1 DEC17	296.350	295.700		162.50DR
030CT17	2 DEC17	296.100	295.400		350.00DR
030CT17	2 DEC17	295.950	295.400		275.00DR
030CT17	1 DEC17	296.050	295.400		162.50DR
030CT17	1 DEC17	296.050	295.400		162.50DR
030CT17	1 DEC17	296.150	295.400		187.50DR
030CT17	2 DEC17	296.150	295.550		300.00DR
030CT17	1 DEC17	296.050	295.550		125.00DR
030CT17	1 DEC17	296.050	295.550		125.00DR
030CT17	1 DEC17	296.050	295.550		125.00DR
030CT17	2 DEC17	296.050	295.550		250.00DR
030CT17	1 DEC17	296.050	295.550		125.00DR
030CT17	5 DEC17	296.050	295.550		625.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,027,712.38CR
	SETTLEMENTS				
	CMX Copper Futures				1,037.50DR
	COMMISSIONS				872.62DR
	CARRIED FORWARD BALANCE				1,025,802.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	S 35 19DEC17 IFC UD		6517.35	94.50DR	
03OCT17	B 25 29DEC17 IFC UD	6518.43		67.50DR	
03OCT17	B 10 02JAN18 IFC UD	6519.85		27.00DR	
03OCT17	S 2 03JAN18 TTR EL		6468.00	3.12DR	
03OCT17	S 1 03JAN18 TTR EL		6481.50	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6482.00	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6482.50	1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6489.50		1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6491.50		1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6497.00		1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6505.50	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6502.50	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6508.50	1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6507.50		1.56DR	
03OCT17	B 4 03JAN18 TTR EL	6509.00		6.24DR	
03OCT17	B 4 03JAN18 TTR EL	6508.50		6.24DR	
03OCT17	B 1 03JAN18 TTR EL	6511.00		1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6512.50		1.56DR	
03OCT17	B 2 03JAN18 TTR EL	6511.50		3.12DR	
03OCT17	B 3 03JAN18 TTR EL	6503.50		4.68DR	
03OCT17	B 2 03JAN18 TTR EL	6501.00		3.12DR	
03OCT17	B 5 03JAN18 TTR EL	6502.50		7.80DR	
03OCT17	B 1 03JAN18 TTR EL	6495.00		1.56DR	
03OCT17	B 4 03JAN18 TTR EL	6496.00		6.24DR	
03OCT17	B 1 03JAN18 TTR EL	6496.50		1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6491.00		1.56DR	
03OCT17	B 4 03JAN18 TTR EL	6492.50		6.24DR	
03OCT17	B 1 03JAN18 TTR EL	6499.00		1.56DR	
03OCT17	B 2 03JAN18 TTR EL	6497.50		3.12DR	
03OCT17	B 3 03JAN18 TTR EL	6512.00		4.68DR	
03OCT17	B 3 03JAN18 TTR EL	6508.00		4.68DR	
03OCT17	B 2 03JAN18 TTR EL	6531.50		3.12DR	
03OCT17	B 3 03JAN18 TTR EL	6528.50		4.68DR	
03OCT17	B 3 03JAN18 TTR EL	6529.00		4.68DR	
03OCT17	B 1 03JAN18 TTR EL	6530.00		1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6529.50		1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6531.00	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6532.00	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6531.50	1.56DR	
03OCT17	S 3 03JAN18 TTR EL		6526.00	4.68DR	
03OCT17	S 2 03JAN18 TTR EL		6524.50	3.12DR	
03OCT17	S 1 03JAN18 TTR EL		6516.00	1.56DR	
03OCT17	S 1 03JAN18 TTR EL		6513.50	1.56DR	
03OCT17	B 1 03JAN18 TTR EL	6524.50		1.56DR	
03OCT17	B 2 03JAN18 TTR EL	6521.50		3.12DR	
03OCT17	B 5 03JAN18 TTR EL	6520.50		7.80DR	
03OCT17	B 3 03JAN18 TTR EL	6520.00		4.68DR	
03OCT17	B 3 03JAN18 TTR EL	6516.50		4.68DR	
03OCT17	B 3 03JAN18 TTR EL	6517.50		4.68DR	
03OCT17	B 1 03JAN18 TTR EL	6514.00		1.56DR	
03OCT17	B 2 03JAN18 TTR EL	6522.00		3.12DR	
03OCT17	B 7 03JAN18 TTR EL	6518.00		10.92DR	
03OCT17	S 6 03JAN18 TTR EL		6525.00	9.36DR	
03OCT17	B 1 03JAN18 DMA EL	6490.00		1.56DR	
03OCT17	B 1 03JAN18 DMA EL	6499.00		1.56DR	
03OCT17	B 2 03JAN18 TTR EL	6515.50		3.12DR	
03OCT17	S 2 03JAN18 TTR EL		6522.50	3.12DR	
03OCT17	S 1 03JAN18 TTR EL		6520.50	1.56DR	
03OCT17	S 3 03JAN18 TTR EL		6519.50	4.68DR	
03OCT17	S 7 03JAN18 TTR EL		6520.00	10.92DR	
03OCT17	S 1 03JAN18 TTR EL		6521.00	1.56DR	
03OCT17	S 7 03JAN18 TTR EL		6525.50	10.92DR	

Group No: SAPAB097

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S	1	03JAN18	TTR	EL		6527.50	1.56DR	
030CT17	S	4	03JAN18	TTR	EL		6526.50	6.24DR	
030CT17	S	4	03JAN18	TTR	EL		6527.00	6.24DR	
030CT17	S	1	03JAN18	TTR	EL		6524.00	1.56DR	
030CT17	B	1	03JAN18	TTR	EL	6525.00		1.56DR	
030CT17	B	3	03JAN18	TTR	EL	6518.50		4.68DR	
030CT17	B	2	03JAN18	TTR	EL	6526.00		3.12DR	
030CT17	B	2	03JAN18	TTR	EL	6524.00		3.12DR	
030CT17	B	2	03JAN18	TTR	EL	6523.50		3.12DR	
030CT17	S	2	03JAN18	TTR	EL		6516.50	3.12DR	
030CT17	S	5	03JAN18	TTR	EL		6515.00	7.80DR	
030CT17	S	1	03JAN18	TTR	EL		6513.00	1.56DR	
030CT17	S	2	03JAN18	TTR	EL		6514.00	3.12DR	
030CT17	S	2	03JAN18	DMA	EL		6520.00	3.12DR	
CMX Copper 25,000 CMX									
030CT17	B	1	DEC17	TTR	GX	293.850		2.30DR	
030CT17	B	1	DEC17	TTR	GX	293.800		2.30DR	
030CT17	B	2	DEC17	TTR	GX	293.900		4.60DR	
030CT17	B	2	DEC17	TTR	GX	294.500		4.60DR	
030CT17	B	2	DEC17	TTR	GX	294.550		4.60DR	
030CT17	B	2	DEC17	TTR	GX	294.650		4.60DR	
030CT17	B	1	DEC17	TTR	GX	294.750		2.30DR	
030CT17	S	2	DEC17	TTR	GX		295.200	4.60DR	
030CT17	B	2	DEC17	TTR	GX	295.200		4.60DR	
030CT17	S	6	DEC17	TTR	GX		294.950	13.80DR	
030CT17	B	2	DEC17	TTR	GX	295.550		4.60DR	
030CT17	B	2	DEC17	TTR	GX	295.450		4.60DR	
030CT17	B	2	DEC17	TTR	GX	295.850		4.60DR	
030CT17	S	11	DEC17	TTR	GX		295.800	25.30DR	
030CT17	S	9	DEC17	TTR	GX		295.950	20.70DR	
030CT17	S	4	DEC17	TTR	GX		295.650	9.20DR	
030CT17	S	1	DEC17	TTR	GX		295.500	2.30DR	
030CT17	S	8	DEC17	TTR	GX		295.550	18.40DR	
030CT17	S	9	DEC17	TTR	GX		295.600	20.70DR	
030CT17	S	10	DEC17	TTR	GX		295.300	23.00DR	
030CT17	S	5	DEC17	TTR	GX		295.250	11.50DR	
030CT17	S	2	DEC17	TTR	GX		295.000	4.60DR	
030CT17	S	4	DEC17	TTR	GX		295.050	9.20DR	
030CT17	S	8	DEC17	TTR	GX		295.100	18.40DR	
030CT17	S	5	DEC17	TTR	GX		295.450	11.50DR	
030CT17	S	2	DEC17	TTR	GX		295.400	4.60DR	
030CT17	S	2	DEC17	TTR	GX		295.350	4.60DR	
030CT17	S	4	DEC17	TTR	GX		296.000	9.20DR	
030CT17	S	13	DEC17	TTR	GX		295.850	29.90DR	
030CT17	S	2	DEC17	TTR	GX		295.900	4.60DR	
030CT17	S	6	DEC17	TTR	GX		296.900	13.80DR	
030CT17	S	2	DEC17	TTR	GX		296.800	4.60DR	
030CT17	S	14	DEC17	TTR	GX		296.850	32.20DR	
030CT17	B	2	DEC17	TTR	GX	296.900		4.60DR	
030CT17	B	2	DEC17	TTR	GX	296.850		4.60DR	
030CT17	B	7	DEC17	TTR	GX	296.800		16.10DR	
030CT17	S	5	DEC17	TTR	GX		296.450	11.50DR	
030CT17	S	3	DEC17	TTR	GX		296.350	6.90DR	
030CT17	S	2	DEC17	TTR	GX		296.050	4.60DR	
030CT17	S	15	DEC17	TTR	GX		296.400	34.50DR	
030CT17	B	6	DEC17	TTR	GX	296.450		13.80DR	
030CT17	S	2	DEC17	TTR	GX		296.500	4.60DR	
030CT17	S	7	DEC17	TTR	GX		296.200	16.10DR	
030CT17	S	15	DEC17	TTR	GX		296.250	34.50DR	
030CT17	B	4	DEC17	TTR	GX	296.350		9.20DR	
030CT17	B	5	DEC17	TTR	GX	296.250		11.50DR	
030CT17	B	23	DEC17	TTR	GX	296.300		52.90DR	
030CT17	B	7	DEC17	TTR	GX	296.500		16.10DR	
030CT17	B	26	DEC17	TTR	GX	296.550		59.80DR	
030CT17	B	26	DEC17	TTR	GX	296.600		59.80DR	
030CT17	S	22	DEC17	TTR	GX		296.300	50.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S	2	DEC17	TTR	GX		296.650	4.60DR	
030CT17	S	4	DEC17	TTR	GX		296.600	9.20DR	
030CT17	S	9	DEC17	TTR	GX		296.550	20.70DR	
030CT17	B	4	DEC17	TTR	GX	296.150		9.20DR	
030CT17	B	7	DEC17	TTR	GX	296.100		16.10DR	
030CT17	B	11	DEC17	TTR	GX	296.050		25.30DR	
	Commission								1,282.04DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
030CT17		1	DEC17			293.850	295.200		337.50CR
030CT17		1	DEC17			293.800	295.200		350.00CR
030CT17		2	DEC17			293.900	294.950		525.00CR
030CT17		2	DEC17			294.500	294.950		225.00CR
030CT17		2	DEC17			294.550	294.950		200.00CR
030CT17		2	DEC17			294.650	295.100		225.00CR
030CT17		1	DEC17			294.750	295.050		75.00CR
030CT17		1	DEC17			295.200	295.050		37.50DR
030CT17		1	DEC17			295.200	295.100		25.00DR
030CT17		2	DEC17			295.550	295.300		125.00DR
030CT17		2	DEC17			295.450	295.550		50.00CR
030CT17		2	DEC17			295.850	295.950		50.00CR
030CT17		8	DEC17			296.600	295.800		1,600.00DR
030CT17		1	DEC17			296.550	295.800		187.50DR
030CT17		2	DEC17			296.550	295.800		375.00DR
030CT17		2	DEC17			296.900	295.850		525.00DR
030CT17		2	DEC17			296.850	295.850		500.00DR
030CT17		2	DEC17			296.800	295.850		475.00DR
030CT17		1	DEC17			296.800	295.850		237.50DR
030CT17		4	DEC17			296.800	295.850		950.00DR
030CT17		2	DEC17			296.550	295.950		300.00DR
030CT17		1	DEC17			296.550	296.000		137.50DR
030CT17		1	DEC17			296.550	296.000		137.50DR
030CT17		1	DEC17			296.550	295.950		150.00DR
030CT17		1	DEC17			296.550	295.900		162.50DR
030CT17		1	DEC17			296.550	295.950		150.00DR
030CT17		1	DEC17			296.550	295.950		150.00DR
030CT17		2	DEC17			296.600	295.950		325.00DR
030CT17		2	DEC17			296.600	295.650		475.00DR
030CT17		1	DEC17			296.600	295.600		250.00DR
030CT17		2	DEC17			296.550	295.650		450.00DR
030CT17		1	DEC17			296.600	295.600		250.00DR
030CT17		2	DEC17			296.550	295.600		475.00DR
030CT17		1	DEC17			296.550	295.600		237.50DR
030CT17		1	DEC17			296.550	295.550		250.00DR
030CT17		1	DEC17			296.550	295.500		262.50DR
030CT17		1	DEC17			296.550	295.550		250.00DR
030CT17		2	DEC17			296.450	295.550		450.00DR
030CT17		2	DEC17			296.450	295.550		450.00DR
030CT17		1	DEC17			296.350	295.600		187.50DR
030CT17		1	DEC17			296.350	295.600		187.50DR
030CT17		1	DEC17			296.450	295.600		212.50DR
030CT17		1	DEC17			296.450	295.600		212.50DR
030CT17		3	DEC17			296.500	295.450		787.50DR
030CT17		1	DEC17			296.500	295.300		300.00DR
030CT17		2	DEC17			296.300	295.300		500.00DR
030CT17		1	DEC17			296.300	295.250		262.50DR
030CT17		2	DEC17			296.300	295.300		500.00DR
030CT17		2	DEC17			296.300	295.250		525.00DR
030CT17		2	DEC17			296.300	295.300		500.00DR
030CT17		1	DEC17			296.300	295.300		250.00DR
030CT17		2	DEC17			296.300	295.250		525.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	2 DEC17	296.350	295.000		675.00DR
03OCT17	2 DEC17	296.300	295.050		625.00DR
03OCT17	1 DEC17	296.300	295.100		300.00DR
03OCT17	4 DEC17	296.250	295.100		1,150.00DR
03OCT17	1 DEC17	296.250	295.450		200.00DR
03OCT17	1 DEC17	296.300	295.450		212.50DR
03OCT17	2 DEC17	296.300	295.400		450.00DR
03OCT17	2 DEC17	296.300	295.350		475.00DR
03OCT17	2 DEC17	296.300	296.000		150.00DR
03OCT17	1 DEC17	296.300	295.850		112.50DR
03OCT17	1 DEC17	296.550	295.850		175.00DR
03OCT17	1 DEC17	296.500	295.900		150.00DR
03OCT17	2 DEC17	296.500	296.900		200.00CR
03OCT17	4 DEC17	296.550	296.900		350.00CR
03OCT17	2 DEC17	296.550	296.850		150.00CR
03OCT17	7 DEC17	296.600	296.850		437.50CR
03OCT17	2 DEC17	296.600	296.800		100.00CR
03OCT17	3 DEC17	296.600	296.850		187.50CR
03OCT17	2 DEC17	296.150	296.850		350.00CR
03OCT17	2 DEC17	296.150	296.550		200.00CR
03OCT17	6 DEC17	296.100	296.400		450.00CR
03OCT17	1 DEC17	296.100	296.450		87.50CR
03OCT17	3 DEC17	296.050	296.450		300.00CR
03OCT17	1 DEC17	296.050	296.400		87.50CR
03OCT17	2 DEC17	296.050	296.400		175.00CR
03OCT17	1 DEC17	296.050	296.450		100.00CR
03OCT17	3 DEC17	296.050	296.350		225.00CR
03OCT17	1 DEC17	296.050	296.400		87.50CR
TOTALS					
BROUGHT FORWARD BALANCE					1,164,810.32CR
SETTLEMENTS					
CMX Copper Futures					14,450.00DR
COMMISSIONS					1,282.04DR
CARRIED FORWARD BALANCE					1,149,078.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB097

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,276,195.25CR
	SETTLEMENTS		
	CMX Copper Futures		15,487.50DR
	LME Copper Grade A 25 tonnes. This Futures		101,990.00CR
	COMMISSIONS		2,208.66DR
	CARRIED FORWARD BALANCE		6,360,489.09CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
25SEP17	9 030CT17	3120.00	3125.00		1,125.00CR
25SEP17	1 030CT17	3120.00	3127.00		175.00CR
25SEP17	1 030CT17	3119.99	3127.00		175.25CR
25SEP17	9 030CT17	3115.00	3127.00		2,700.00CR
25SEP17	1 030CT17	3115.00	3123.00		200.00CR
25SEP17	9 030CT17	3117.00	3123.00		1,350.00CR
25SEP17	10 030CT17	3123.00	3128.00		1,250.00CR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP0101A to SAP0101FX1				250,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,977,629.95CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				6,975.25CR
	CASH PAYMENTS/RECEIPTS				250,000.00DR
	CARRIED FORWARD BALANCE				5,734,605.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB101

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		5,977,629.95CR
	SETTLEMENTS		
	LME Zinc 25 tonnes. This is an LME Futures		6,975.25CR
	CASH PAYMENTS/RECEIPTS		250,000.00DR
	CARRIED FORWARD BALANCE		5,734,605.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 20 08DEC17 IFC UD		6513.00	54.00DR	
030CT17	S 10 19DEC17 IFC UD		6517.35	27.00DR	
030CT17	B 30 29DEC17 IFC UD	6518.43		81.00DR	
030CT17	S 1 03JAN18 TTR EL		6451.00	4.75DR	
030CT17	S 2 03JAN18 TTR EL		6450.00	9.50DR	
030CT17	S 2 03JAN18 TTR EL		6448.50	9.50DR	
030CT17	S 1 03JAN18 TTR EL		6448.00	4.75DR	
030CT17	S 4 03JAN18 TTR EL		6443.50	19.00DR	
030CT17	S 1 03JAN18 TTR EL		6442.50	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6443.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6444.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6444.50	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6445.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6452.50	4.75DR	
030CT17	S 4 03JAN18 TTR EL		6451.50	19.00DR	
030CT17	S 5 03JAN18 TTR EL		6453.50	23.75DR	
030CT17	S 5 03JAN18 TTR EL		6454.50	23.75DR	
030CT17	S 2 03JAN18 TTR EL		6455.00	9.50DR	
030CT17	S 2 03JAN18 TTR EL		6455.50	9.50DR	
030CT17	S 2 03JAN18 TTR EL		6457.00	9.50DR	
030CT17	S 1 03JAN18 TTR EL		6458.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6459.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6468.50	4.75DR	
030CT17	S 2 03JAN18 TTR EL		6467.50	9.50DR	
030CT17	S 1 03JAN18 TTR EL		6467.00	4.75DR	
030CT17	S 2 03JAN18 TTR EL		6463.50	9.50DR	
030CT17	S 1 03JAN18 TTR EL		6462.50	4.75DR	
030CT17	S 3 03JAN18 TTR EL		6464.50	14.25DR	
030CT17	S 1 03JAN18 TTR EL		6464.00	4.75DR	
030CT17	S 2 03JAN18 TTR EL		6457.50	9.50DR	
030CT17	S 1 03JAN18 TTR EL		6460.00	4.75DR	
030CT17	S 3 03JAN18 TTR EL		6469.50	14.25DR	
030CT17	S 1 03JAN18 TTR EL		6463.00	4.75DR	
030CT17	S 1 03JAN18 TTR EL		6465.00	4.75DR	
030CT17	S 3 03JAN18 TTR EL		6468.00	14.25DR	
030CT17	B 3 03JAN18 TTR EL	6485.00		14.25DR	
030CT17	B 1 03JAN18 TTR EL	6484.00		4.75DR	
030CT17	B 1 03JAN18 TTR EL	6480.50		4.75DR	
030CT17	B 1 03JAN18 TTR EL	6481.50		4.75DR	
030CT17	S 1 03JAN18 TTR EL		6507.00	4.75DR	
030CT17	B 2 03JAN18 TTR EL	6504.50		9.50DR	
030CT17	B 1 03JAN18 TTR EL	6510.00		4.75DR	
030CT17	B 1 03JAN18 TTR EL	6508.50		4.75DR	
030CT17	B 1 03JAN18 TTR EL	6511.00		4.75DR	
030CT17	B 5 03JAN18 TTR EL	6496.50		23.75DR	
030CT17	B 4 03JAN18 TTR EL	6496.00		19.00DR	
030CT17	B 11 03JAN18 TTR EL	6495.00		52.25DR	
030CT17	B 2 03JAN18 TTR EL	6494.00		9.50DR	
030CT17	B 2 03JAN18 TTR EL	6490.50		9.50DR	
030CT17	S 1 03JAN18 DMA EL		6518.50	4.75DR	
030CT17	B 2 03JAN18 TTR EL	6489.00		9.50DR	
030CT17	B 2 03JAN18 TTR EL	6490.00		9.50DR	
030CT17	B 1 03JAN18 TTR EL	6495.50		4.75DR	
030CT17	B 1 03JAN18 TTR EL	6493.00		4.75DR	
030CT17	B 5 03JAN18 TTR EL	6499.50		23.75DR	
030CT17	B 5 03JAN18 TTR EL	6511.50		23.75DR	
030CT17	B 2 03JAN18 TTR EL	6508.00		9.50DR	
030CT17	B 4 03JAN18 TTR EL	6507.00		19.00DR	
030CT17	B 4 03JAN18 TTR EL	6529.00		19.00DR	
030CT17	B 2 03JAN18 TTR EL	6528.00		9.50DR	
030CT17	B 1 03JAN18 TTR EL	6527.00		4.75DR	
030CT17	B 3 03JAN18 TTR EL	6526.00		14.25DR	

Group No: SAPAB127

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S	1	03JAN18	TTR	EL		6521.50	4.75DR	
030CT17	S	1	03JAN18	TTR	EL		6519.00	4.75DR	
030CT17	S	1	03JAN18	TTR	EL		6516.50	4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6514.00		4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6512.00		4.75DR	
030CT17	B	2	03JAN18	TTR	EL	6513.00		9.50DR	
030CT17	B	1	03JAN18	TTR	EL	6515.00		4.75DR	
030CT17	S	11	03JAN18	TTR	EL		6527.00	52.25DR	
030CT17	S	3	03JAN18	TTR	EL		6524.50	14.25DR	
030CT17	S	1	03JAN18	TTR	EL		6525.50	4.75DR	
030CT17	S	1	03JAN18	TTR	EL		6521.00	4.75DR	
030CT17	S	1	03JAN18	TTR	EL		6522.00	4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6522.50		4.75DR	
030CT17	S	2	03JAN18	TTR	EL		6514.00	9.50DR	
030CT17	S	3	03JAN18	TTR	EL		6516.00	14.25DR	
030CT17	S	1	03JAN18	TTR	EL		6514.50	4.75DR	
	CMX Copper 25,000 CMX								
030CT17	B	8	DEC17	TTR	GX	293.100		19.20DR	
030CT17	B	5	DEC17	TTR	GX	293.050		12.00DR	
030CT17	S	2	DEC17	TTR	GX		292.950	4.80DR	
030CT17	B	2	DEC17	TTR	GX	292.750		4.80DR	
030CT17	B	7	DEC17	TTR	GX	292.800		16.80DR	
030CT17	B	7	DEC17	TTR	GX	292.850		16.80DR	
030CT17	B	2	DEC17	TTR	GX	292.950		4.80DR	
030CT17	B	4	DEC17	TTR	GX	293.150		9.60DR	
030CT17	B	8	DEC17	TTR	GX	293.200		19.20DR	
030CT17	B	8	DEC17	TTR	GX	293.250		19.20DR	
030CT17	B	18	DEC17	TTR	GX	293.300		43.20DR	
030CT17	B	5	DEC17	TTR	GX	293.350		12.00DR	
030CT17	B	3	DEC17	TTR	GX	293.400		7.20DR	
030CT17	B	7	DEC17	TTR	GX	293.500		16.80DR	
030CT17	B	3	DEC17	TTR	GX	293.850		7.20DR	
030CT17	B	2	DEC17	TTR	GX	293.650		4.80DR	
030CT17	B	8	DEC17	TTR	GX	293.750		19.20DR	
030CT17	B	4	DEC17	TTR	GX	293.450		9.60DR	
030CT17	B	3	DEC17	TTR	GX	293.600		7.20DR	
030CT17	B	8	DEC17	TTR	GX	293.950		19.20DR	
030CT17	B	8	DEC17	TTR	GX	293.700		19.20DR	
030CT17	B	3	DEC17	TTR	GX	293.800		7.20DR	
030CT17	B	10	DEC17	TTR	GX	293.900		24.00DR	
030CT17	B	1	DEC17	TTR	GX	294.000		2.40DR	
030CT17	S	2	DEC17	TTR	GX		294.750	4.80DR	
030CT17	S	4	DEC17	TTR	GX		294.700	9.60DR	
030CT17	S	2	DEC17	TTR	GX		294.650	4.80DR	
030CT17	S	2	DEC17	TTR	GX		294.500	4.80DR	
030CT17	S	3	DEC17	TTR	GX		294.550	7.20DR	
030CT17	S	2	DEC17	TTR	GX		295.650	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.600	4.80DR	
030CT17	S	5	DEC17	TTR	GX		295.900	12.00DR	
030CT17	S	14	DEC17	TTR	GX		295.300	33.60DR	
030CT17	S	5	DEC17	TTR	GX		295.200	12.00DR	
030CT17	S	2	DEC17	TTR	GX		294.900	4.80DR	
030CT17	S	3	DEC17	TTR	GX		294.950	7.20DR	
030CT17	S	8	DEC17	TTR	GX		295.000	19.20DR	
030CT17	S	32	DEC17	TTR	GX		295.250	76.80DR	
030CT17	S	2	DEC17	TTR	GX		295.150	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.400	4.80DR	
030CT17	S	9	DEC17	TTR	GX		295.450	21.60DR	
030CT17	S	1	DEC17	TTR	GX		295.850	2.40DR	
030CT17	S	2	DEC17	TTR	GX		295.750	4.80DR	
030CT17	S	10	DEC17	TTR	GX		295.800	24.00DR	
030CT17	S	4	DEC17	TTR	GX		296.800	9.60DR	
030CT17	S	7	DEC17	TTR	GX		296.850	16.80DR	
030CT17	S	1	DEC17	TTR	GX		296.750	2.40DR	
030CT17	S	1	DEC17	TTR	GX		296.700	2.40DR	
030CT17	S	9	DEC17	TTR	GX		296.650	21.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B	4	DEC17	TTR	GX	296.500		9.60DR	
030CT17	B	4	DEC17	TTR	GX	296.300		9.60DR	
030CT17	S	2	DEC17	TTR	GX		296.050	4.80DR	
030CT17	S	13	DEC17	TTR	GX		296.000	31.20DR	
030CT17	S	4	DEC17	TTR	GX		296.100	9.60DR	
030CT17	S	1	DEC17	TTR	GX		296.250	2.40DR	
030CT17	S	2	DEC17	TTR	GX		296.150	4.80DR	
030CT17	B	2	DEC17	TTR	GX	296.550		4.80DR	
030CT17	B	26	DEC17	TTR	GX	296.600		62.40DR	
030CT17	B	2	DEC17	TTR	GX	296.350		4.80DR	
030CT17	B	2	DEC17	TTR	GX	296.450		4.80DR	
030CT17	B	3	DEC17	TTR	GX	296.400		7.20DR	
030CT17	S	2	DEC17	TTR	GX		296.450	4.80DR	
030CT17	B	2	DEC17	TTR	GX	296.200		4.80DR	
030CT17	B	4	DEC17	TTR	GX	296.150		9.60DR	
030CT17	B	3	DEC17	TTR	GX	296.100		7.20DR	
030CT17	B	1	DEC17	TTR	GX	296.000		2.40DR	
030CT17	B	6	DEC17	TTR	GX	296.050		14.40DR	
	Commission								1,773.95DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
030CT17		2	DEC17			293.150	292.950		100.00DR
030CT17		1	DEC17			293.100	294.750		412.50CR
030CT17		2	DEC17			293.100	294.700		800.00CR
030CT17		1	DEC17			293.100	294.750		412.50CR
030CT17		2	DEC17			293.100	294.700		800.00CR
030CT17		2	DEC17			293.050	294.650		800.00CR
030CT17		2	DEC17			293.100	294.500		700.00CR
030CT17		2	DEC17			293.050	294.550		750.00CR
030CT17		1	DEC17			293.050	294.550		375.00CR
030CT17		1	DEC17			292.800	295.650		712.50CR
030CT17		1	DEC17			292.850	295.650		700.00CR
030CT17		2	DEC17			292.850	295.600		1,375.00CR
030CT17		1	DEC17			292.800	295.900		775.00CR
030CT17		1	DEC17			292.800	295.800		750.00CR
030CT17		1	DEC17			292.800	295.900		775.00CR
030CT17		1	DEC17			292.800	295.800		750.00CR
030CT17		2	DEC17			292.750	295.900		1,575.00CR
030CT17		1	DEC17			292.800	295.900		775.00CR
030CT17		1	DEC17			292.800	295.250		612.50CR
030CT17		2	DEC17			292.850	295.250		1,200.00CR
030CT17		2	DEC17			292.850	295.250		1,200.00CR
030CT17		1	DEC17			292.950	295.250		575.00CR
030CT17		1	DEC17			292.950	295.250		575.00CR
030CT17		5	DEC17			293.250	295.250		2,500.00CR
030CT17		1	DEC17			293.150	295.250		525.00CR
030CT17		1	DEC17			293.150	295.250		525.00CR
030CT17		1	DEC17			293.200	295.250		512.50CR
030CT17		1	DEC17			293.200	295.250		512.50CR
030CT17		2	DEC17			293.200	295.250		1,025.00CR
030CT17		2	DEC17			293.200	295.200		1,000.00CR
030CT17		1	DEC17			293.200	295.250		512.50CR
030CT17		1	DEC17			293.200	295.250		512.50CR
030CT17		1	DEC17			293.300	295.300		500.00CR
030CT17		1	DEC17			293.300	295.300		500.00CR
030CT17		1	DEC17			293.350	295.300		487.50CR
030CT17		1	DEC17			293.350	295.300		487.50CR
030CT17		4	DEC17			293.300	295.300		2,000.00CR
030CT17		2	DEC17			293.250	295.300		1,025.00CR
030CT17		1	DEC17			293.300	295.300		500.00CR
030CT17		2	DEC17			293.300	295.250		975.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 DEC17	293.250	295.300		512.50CR
030CT17	1 DEC17	293.300	295.250		487.50CR
030CT17	2 DEC17	293.300	295.300		1,000.00CR
030CT17	6 DEC17	293.300	295.250		2,925.00CR
030CT17	3 DEC17	293.350	295.200		1,387.50CR
030CT17	1 DEC17	293.400	295.000		400.00CR
030CT17	3 DEC17	293.500	295.000		1,125.00CR
030CT17	2 DEC17	293.400	295.000		800.00CR
030CT17	2 DEC17	293.500	294.950		725.00CR
030CT17	1 DEC17	293.450	294.900		362.50CR
030CT17	1 DEC17	293.500	294.900		350.00CR
030CT17	1 DEC17	293.500	294.950		362.50CR
030CT17	1 DEC17	293.450	295.000		387.50CR
030CT17	1 DEC17	293.750	295.000		312.50CR
030CT17	2 DEC17	293.750	295.250		750.00CR
030CT17	1 DEC17	293.800	295.250		362.50CR
030CT17	2 DEC17	293.950	295.150		600.00CR
030CT17	2 DEC17	293.950	295.450		750.00CR
030CT17	3 DEC17	293.900	295.450		1,162.50CR
030CT17	1 DEC17	293.900	295.400		375.00CR
030CT17	1 DEC17	293.950	295.400		362.50CR
030CT17	1 DEC17	293.950	295.450		375.00CR
030CT17	2 DEC17	293.900	295.450		775.00CR
030CT17	1 DEC17	293.850	295.450		400.00CR
030CT17	2 DEC17	293.850	296.000		1,075.00CR
030CT17	2 DEC17	293.700	296.000		1,150.00CR
030CT17	2 DEC17	293.700	296.000		1,150.00CR
030CT17	2 DEC17	293.750	296.000		1,125.00CR
030CT17	2 DEC17	293.650	296.000		1,175.00CR
030CT17	1 DEC17	293.700	296.000		575.00CR
030CT17	1 DEC17	293.700	295.800		525.00CR
030CT17	2 DEC17	293.750	295.800		1,025.00CR
030CT17	1 DEC17	293.750	295.850		525.00CR
030CT17	2 DEC17	293.450	295.800		1,175.00CR
030CT17	2 DEC17	293.600	295.750		1,075.00CR
030CT17	1 DEC17	293.600	295.800		550.00CR
030CT17	2 DEC17	293.950	295.800		925.00CR
030CT17	2 DEC17	293.700	296.850		1,575.00CR
030CT17	2 DEC17	293.800	296.800		1,500.00CR
030CT17	2 DEC17	293.900	296.800		1,450.00CR
030CT17	1 DEC17	293.900	296.850		737.50CR
030CT17	1 DEC17	293.900	296.850		737.50CR
030CT17	1 DEC17	294.000	296.850		712.50CR
030CT17	2 DEC17	296.150	296.850		350.00CR
030CT17	1 DEC17	296.500	296.750		62.50CR
030CT17	1 DEC17	296.500	296.650		37.50CR
030CT17	1 DEC17	296.500	296.700		50.00CR
030CT17	1 DEC17	296.500	296.650		37.50CR
030CT17	2 DEC17	296.400	296.650		125.00CR
030CT17	2 DEC17	296.300	296.650		175.00CR
030CT17	1 DEC17	296.100	296.650		137.50CR
030CT17	2 DEC17	296.300	296.650		175.00CR
030CT17	2 DEC17	296.600	296.100		250.00DR
030CT17	2 DEC17	296.600	296.050		275.00DR
030CT17	2 DEC17	296.600	296.000		300.00DR
030CT17	2 DEC17	296.600	296.100		250.00DR
030CT17	1 DEC17	296.600	296.150		112.50DR
030CT17	1 DEC17	296.600	296.250		87.50DR
030CT17	1 DEC17	296.600	296.150		112.50DR
030CT17	2 DEC17	296.600	296.450		75.00DR
030CT17	4 DEC17	296.600	293.200		3,400.00DR
030CT17	2 DEC17	296.600	293.250		1,675.00DR
030CT17	4 DEC17	296.600	293.300		3,300.00DR
030CT17	1 DEC17	296.600	293.350		812.50DR
030CT17	1 DEC17	296.550	293.450		775.00DR
030CT17	1 DEC17	296.550	293.400		787.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 DEC17	296.600	293.400		800.00DR
03OCT17	1 DEC17	296.600	293.450		787.50DR
03OCT17	2 DEC17	296.350	293.450		1,450.00DR
03OCT17	2 DEC17	296.450	293.450		1,500.00DR
03OCT17	1 DEC17	296.400	293.450		737.50DR
03OCT17	2 DEC17	296.200	293.450		1,375.00DR
03OCT17	2 DEC17	296.050	293.450		1,300.00DR
03OCT17	2 DEC17	296.150	293.450		1,350.00DR
03OCT17	2 DEC17	296.050	293.450		1,300.00DR
03OCT17	2 DEC17	296.100	293.450		1,325.00DR
03OCT17	1 DEC17	296.000	293.500		625.00DR
03OCT17	1 DEC17	296.050	293.500		637.50DR
03OCT17	1 DEC17	296.050	293.500		637.50DR
TOTALS					
	BROUGHT FORWARD BALANCE				1,284,847.15CR
	SETTLEMENTS				
	CMX Copper Futures				42,325.00CR
	COMMISSIONS				1,773.95DR
	CARRIED FORWARD BALANCE				1,325,398.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
030CT17	B	5	29DEC17	IFC	UD	6518.23		13.50DR	
030CT17	S	5	02JAN18	IFC	UD		6519.45	13.50DR	
030CT17	S	1	03JAN18	TTR	EL		6443.50	4.75DR	
030CT17	S	2	03JAN18	TTR	EL		6452.50	9.50DR	
030CT17	S	2	03JAN18	TTR	EL		6451.50	9.50DR	
030CT17	S	2	03JAN18	TTR	EL		6469.00	9.50DR	
030CT17	S	3	03JAN18	TTR	EL		6470.50	14.25DR	
030CT17	B	1	03JAN18	TTR	EL	6494.50		4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6498.00		4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6499.00		4.75DR	
030CT17	B	2	03JAN18	TTR	EL	6505.00		9.50DR	
030CT17	B	1	03JAN18	TTR	EL	6509.00		4.75DR	
030CT17	B	2	03JAN18	TTR	EL	6508.00		9.50DR	
030CT17	B	1	03JAN18	TTR	EL	6511.00		4.75DR	
030CT17	S	1	03JAN18	TTR	EL		6525.00	4.75DR	
030CT17	S	2	03JAN18	TTR	EL		6524.50	9.50DR	
030CT17	S	2	03JAN18	TTR	EL		6523.50	9.50DR	
030CT17	B	1	03JAN18	TTR	EL	6520.00		4.75DR	
030CT17	B	2	03JAN18	TTR	EL	6521.00		9.50DR	
030CT17	B	1	03JAN18	TTR	EL	6517.50		4.75DR	
030CT17	B	1	03JAN18	TTR	EL	6519.00		4.75DR	
030CT17	B	1	03JAN18	DMA	EL	6511.00		4.75DR	
030CT17	S	2	03JAN18	TTR	EL		6519.00	9.50DR	
030CT17	S	1	03JAN18	TTR	EL		6516.00	4.75DR	
030CT17	S	2	03JAN18	TTR	EL		6513.00	9.50DR	
	CMX Copper 25,000 CMX								
030CT17	B	2	DEC17	TTR	GX	292.850		4.80DR	
030CT17	B	8	DEC17	TTR	GX	293.200		19.20DR	
030CT17	B	1	DEC17	TTR	GX	293.300		2.40DR	
030CT17	B	2	DEC17	TTR	GX	293.950		4.80DR	
030CT17	B	4	DEC17	TTR	GX	294.000		9.60DR	
030CT17	B	5	DEC17	TTR	GX	294.050		12.00DR	
030CT17	S	2	DEC17	TTR	GX		295.200	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.350	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.400	4.80DR	
030CT17	S	4	DEC17	TTR	GX		295.700	9.60DR	
030CT17	S	2	DEC17	TTR	GX		295.900	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.800	4.80DR	
030CT17	S	2	DEC17	TTR	GX		295.850	4.80DR	
030CT17	S	3	DEC17	TTR	GX		295.950	7.20DR	
030CT17	S	3	DEC17	TTR	GX		296.000	7.20DR	
030CT17	B	11	DEC17	TTR	GX	296.550		26.40DR	
030CT17	S	2	DEC17	TTR	GX		296.400	4.80DR	
030CT17	S	4	DEC17	TTR	GX		296.450	9.60DR	
030CT17	S	2	DEC17	TTR	GX		296.250	4.80DR	
030CT17	S	3	DEC17	TTR	GX		296.350	7.20DR	
030CT17	B	4	DEC17	TTR	GX	296.300		9.60DR	
030CT17	B	2	DEC17	TTR	GX	296.100		4.80DR	
030CT17	B	4	DEC17	TTR	GX	296.000		9.60DR	
030CT17	B	1	DEC17	TTR	GX	296.050		2.40DR	
	Commission								378.05DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
030CT17		2	DEC17			292.850	295.200		1,175.00CR
030CT17		2	DEC17			293.200	295.350		1,075.00CR
030CT17		2	DEC17			293.200	295.400		1,100.00CR
030CT17		2	DEC17			293.200	295.700		1,250.00CR

Group No: SAPAB127

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

3rd October 2017

COB GLOBAL

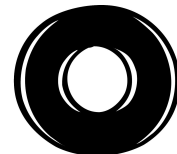
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 DEC17	293.200	295.700		625.00CR
03OCT17	1 DEC17	293.200	295.700		625.00CR
03OCT17	1 DEC17	293.300	295.950		662.50CR
03OCT17	1 DEC17	294.000	295.900		475.00CR
03OCT17	1 DEC17	293.950	295.900		487.50CR
03OCT17	1 DEC17	293.950	295.850		475.00CR
03OCT17	1 DEC17	294.000	295.800		450.00CR
03OCT17	1 DEC17	294.050	295.800		437.50CR
03OCT17	1 DEC17	294.050	295.850		450.00CR
03OCT17	2 DEC17	294.000	295.950		975.00CR
03OCT17	3 DEC17	294.050	296.000		1,462.50CR
03OCT17	2 DEC17	296.550	296.400		75.00DR
03OCT17	4 DEC17	296.550	296.450		100.00DR
03OCT17	2 DEC17	296.550	296.250		150.00DR
03OCT17	3 DEC17	296.550	296.350		150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				32,666.60CR
	SETTLEMENTS				
	CMX Copper Futures				11,250.00CR
	COMMISSIONS				378.05DR
	CARRIED FORWARD BALANCE				43,538.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0127 ARBS
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SAPAB127

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,580,462.95CR
	SETTLEMENTS		
	CMX Copper Futures		53,575.00CR
	COMMISSIONS		2,152.00DR
	CARRIED FORWARD BALANCE		1,631,885.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAP0001
3rd October 2017
COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				48.12DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				85,390.32CR
	INTEREST				48.12DR
	CARRIED FORWARD BALANCE				85,342.20CR

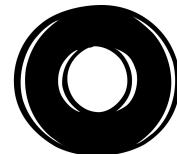
GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTER ACCOUNT TRANSFER</u> SAP0001 Fund Withdrawal 03/10/17				250,000.00DR
030CT17	SEP'17 INTEREST ADJUSTMENT				5.42DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				774,011.19CR
	CASH PAYMENTS/RECEIPTS				250,005.42DR
	CARRIED FORWARD BALANCE				524,005.77CR

JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>FX TRANSACTION</u> FX 2,875.30 USD SOLD	0.0000	113.0300		325,000CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				315,049DR
	FX TRANSACTION				325,000CR
	CARRIED FORWARD BALANCE				9,951CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAP0001

3rd October 2017

COB GLOBAL

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>FX TRANSACTION</u> FX 325,000 JPY BOUGHT	113.0300	0.0000		2,875.30DR
030CT17	<u>INTER ACCOUNT TRANSFER</u> SEP'17 INTEREST ADJUSTMENT				84.98DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,017,443.19CR
	CASH PAYMENTS/RECEIPTS				84.98DR
	FX TRANSACTION				2,875.30DR
	CARRIED FORWARD BALANCE				4,014,482.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAP0001A

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>BUSINESS DONE TODAY</u> CME Sterling 62,500 CME B 5 DEC17 Commission GX	1.32680		0.75DR	0.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 98,871.98CR COMMISSIONS 0.75DR CARRIED FORWARD BALANCE 98,871.23CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH
3rd October 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>CASH PAYMENTS</u> CASH PAYMENT				250,000.00DR
030CT17	<u>INTER ACCOUNT TRANSFER</u> SAP0001 Fund Withdrawal 03/10/17				250,000.00CR
030CT17	SEP'17 INTEREST ADJUSTMENT				5.42CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5.42DR
	CASH PAYMENTS/RECEIPTS				5.42CR
	CARRIED FORWARD BALANCE				0.00

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>CASH PAYMENTS</u> CASH PAYMENT				145,050.00DR
030CT17	<u>INTER ACCOUNT TRANSFER</u> SAP0045 to SAP0045C DGCX				225,390.05CR
030CT17	SAP0045FX1 to SAP0045				32,456.15DR
030CT17	SAP0054 Fund Withdrawal 02/10/17				200,000.00CR
030CT17	SAP0096S DGCX to SAP0096				34.95DR
030CT17	SAP0101A to SAP0101FX1				250,000.00CR
030CT17	SAP0103 Fund Received 03/10/17				104,950.00DR
030CT17	SAP0107 Fund Withdrawal 02/10/17				300,000.00CR
030CT17	SAP094AK to SAP094AJ-DGCX				46,456.85CR
030CT17	SAP096AA DGCX to SAP0096				6,928.90DR
030CT17	SEP'17 INTEREST ADJUSTMENT				84.98CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,544,895.02CR
	CASH PAYMENTS/RECEIPTS				732,511.88CR
	CARRIED FORWARD BALANCE				5,277,406.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SUITE A, 105 PICCADILLY
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SAPG0001

3rd Oct 2017

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		85,390.32CR
	INTEREST		48.12DR
	CARRIED FORWARD BALANCE		85,342.20CR

GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		774,005.77CR
	CASH PAYMENTS/RECEIPTS		250,000.00DR
	CARRIED FORWARD BALANCE		524,005.77CR

JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		315,049DR
	FX TRANSACTION		325,000CR
	CARRIED FORWARD BALANCE		9,951CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		8,663,194.00CR
	CASH PAYMENTS/RECEIPTS		732,426.90CR
	FX TRANSACTION		2,875.30DR
	COMMISSIONS		0.75DR
	CARRIED FORWARD BALANCE		9,392,744.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011J

3rd October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Euro-Bund 1,000 ERX				
030CT17	S 1 DEC17 TTR EL		161.140		
030CT17	S 1 DEC17 TTR EL		161.170		
030CT17	B 6 DEC17 TTR EL	160.770			
030CT17	S 6 DEC17 TTR EL		161.080		
	<u>SETTLEMENTS</u>				
	Euro-Bund 1,000 ERX				
030CT17	1 DEC17	160.770	161.140		370.00CR
030CT17	1 DEC17	160.770	161.170		400.00CR
030CT17	4 DEC17	160.770	161.080		1,240.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,273.86CR
	SETTLEMENTS				
	Euro-Bund Futures				2,010.00CR
	CARRIED FORWARD BALANCE				15,283.86CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
030CT17	B 2 DEC17 TTR II	152.1500		4.20DR	
	Commission				4.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				133,809.62CR
	COMMISSIONS				4.20DR
	CARRIED FORWARD BALANCE				133,805.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0011

3rd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		10,608.88CR
	SETTLEMENTS		
	Euro-Bund Futures		2,010.00CR
	CARRIED FORWARD BALANCE		12,618.88CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,145,101.78CR
	COMMISSIONS		4.20DR
	CARRIED FORWARD BALANCE		1,145,097.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
030CT17	S 1 JUN18 DMA EL		51.06	1.65DR	
030CT17	B 1 DEC18 DMA EL	50.58		1.65DR	
030CT17	S 1 DEC18 DMA EL		50.69	1.65DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	S 1 DEC18 GX		50.55	2.35DR	
030CT17	B 1 DEC19 GX	49.86		2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	B 1 NOV17 GX	2.91400		2.35DR	
030CT17	S 1 NOV17 GX		2.90000	2.35DR	
	IEU Brent Crude 1,000 IPE				
030CT17	B 1 JUN18 DMA EL	55.03		1.65DR	
030CT17	S 1 DEC18 DMA EL		54.47	1.65DR	
030CT17	B 1 DEC18 DMA EL	54.64		1.65DR	
	CBT Mini Soybean 1,000 CBT				
030CT17	S 1 NOV17 GX		9.54500	2.92DR	
	Commission				22.22DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
030CT17	1 JUN18	51.57	51.06		510.00DR
030CT17	1 DEC18	50.58	50.69		110.00CR
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 DEC18	51.49	50.55		940.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	1 NOV17	2.91400	2.90000		140.00DR
	IEU Brent Crude 1,000 IPE				
030CT17	1 JUN18	55.03	55.37		340.00CR
030CT17	1 DEC18	54.64	54.47		170.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				94,141.48CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				140.00DR
	IEU Brent Crude Futures				170.00CR
	NYM Light Sweet Crude Oil Futures				940.00DR
	IEU WTI Crude Oil Futures Futures				400.00DR
	COMMISSIONS				22.22DR
	CARRIED FORWARD BALANCE				92,809.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0023

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		143,378.99CR
	SETTLEMENTS		
	IEU Brent Crude Futures		170.00CR
	IEU WTI Crude Oil Futures Futures		400.00DR
	NYM Henry Hub Natural Gas Futures		140.00DR
	NYM Light Sweet Crude Oil Futures		940.00DR
	COMMISSIONS		22.22DR
	CARRIED FORWARD BALANCE		142,046.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0024A

3rd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				22.41DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				54,369.12CR
	INTEREST				22.41DR
	CARRIED FORWARD BALANCE				54,346.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0024
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0024

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		58,019.12CR
	INTEREST		22.41DR
	CARRIED FORWARD BALANCE		57,996.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	1 030CT17	9642.82	9315.00		1,966.92DR
19JUL17	1 030CT17	9642.82	9335.00		1,846.92DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				52,987.45CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				3,813.84DR
	CARRIED FORWARD BALANCE				49,173.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025B

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	B 2 03JAN18 DMA EL	3281.00		11.10DR	11.10DR
	Commission				
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
19JUL17	2 03OCT17	9642.82	9330.00		3,753.84DR
19JUL17	1 03OCT17	9642.82	9330.00		1,876.92DR
19JUL17	1 03OCT17	9642.82	9330.00		1,876.92DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				246,439.20CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				7,507.68DR
	COMMISSIONS				11.10DR
	CARRIED FORWARD BALANCE				238,920.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025D

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	B 3 03JAN18 DMA EL	3281.00		15.15DR	
03OCT17	B 1 03JAN18 DMA EL	3282.00		5.05DR	
	Commission				20.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				201,630.66CR
	COMMISSIONS				20.20DR
	CARRIED FORWARD BALANCE				201,610.46CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025J

3rd October 2017

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USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
030CT17	B	1	NOV17	48.00	Put	GX	0.24		2.10DR	240.00DR
030CT17	S	1	NOV17	49.00	Put	GX		0.45	2.10DR	450.00CR
030CT17	B	1	NOV17	49.00	Put	GX	0.43		2.10DR	430.00DR
030CT17	S	1	NOV17	48.00	Put	GX		0.23	2.10DR	230.00CR
030CT17	S	1	NOV17	47.00	Put	GX		0.13	2.10DR	130.00CR
030CT17	S	2	NOV17	54.00	Call	GX		0.13	4.20DR	260.00CR
030CT17	B	1	NOV17	53.00	Call	GX	0.25		2.10DR	250.00DR
	Commission									16.80DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
030CT17		1	NOV17	49.00	Put		0.43	0.45		0.00
030CT17		1	NOV17	48.00	Put		0.24	0.23		0.00
030CT17		1	NOV17	53.00	Call		0.25	0.19		0.00
030CT17		1	NOV17	54.00	Call		0.13	0.13		0.00
030CT17		1	NOV17	54.00	Call		0.14	0.13		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									1,963.20CR
	OPTION PREMIUMS									150.00CR
	COMMISSIONS									16.80DR
	CARRIED FORWARD BALANCE									2,096.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025Z

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
03OCT17	S 1 NOV17 47.00 Put GX		0.13	2.27DR	130.00CR
03OCT17	B 1 NOV17 48.00 Put GX	0.24		2.27DR	240.00DR
03OCT17	S 1 NOV17 46.50 Put GX		0.09	2.27DR	90.00CR
	Commission				6.81DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,915.87CR
	OPTION PREMIUMS				20.00DR
	COMMISSIONS				6.81DR
	CARRIED FORWARD BALANCE				3,889.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0025

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		527,869.67CR
	OPTION PREMIUMS		130.00CR
	SETTLEMENTS		
	LME Nickel 6 tonnes. This is an LME Futures		11,321.52DR
	COMMISSIONS		54.91DR
	CARRIED FORWARD BALANCE		516,623.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0030A

3rd October 2017

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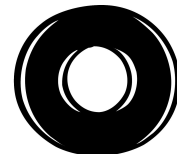
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				45.16DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				107,005.69CR
	INTEREST				45.16DR
	CARRIED FORWARD BALANCE				106,960.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0030
SUITE A, 105 PICCADILLY
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SAPG0030

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		107,005.69CR
	INTEREST		45.16DR
	CARRIED FORWARD BALANCE		106,960.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CNX Nifty (India) Index 2 SIM				
030CT17	S 10 OCT17 EL		9857.00	14.00DR	
030CT17	S 5 OCT17 EL		9853.00	7.00DR	
030CT17	S 5 OCT17 EL		9861.00	7.00DR	
030CT17	S 10 OCT17 EL		9852.50	14.00DR	
030CT17	S 2 OCT17 EL		9851.50	2.80DR	
030CT17	S 10 OCT17 EL		9852.00	14.00DR	
030CT17	S 10 OCT17 EL		9845.00	14.00DR	
030CT17	S 10 OCT17 EL		9849.00	14.00DR	
030CT17	S 5 OCT17 EL		9850.00	7.00DR	
030CT17	S 5 OCT17 EL		9858.00	7.00DR	
030CT17	S 9 OCT17 EL		9896.00	12.60DR	
030CT17	S 1 OCT17 EL		9895.50	1.40DR	
030CT17	S 3 OCT17 EL		9893.50	4.20DR	
030CT17	S 6 OCT17 EL		9893.00	8.40DR	
030CT17	S 4 OCT17 EL		9892.50	5.60DR	
030CT17	S 6 OCT17 EL		9892.00	8.40DR	
030CT17	S 3 OCT17 EL		9891.50	4.20DR	
030CT17	S 12 OCT17 EL		9891.00	16.80DR	
030CT17	S 7 OCT17 EL		9890.50	9.80DR	
030CT17	S 7 OCT17 EL		9890.00	9.80DR	
030CT17	S 7 OCT17 EL		9887.50	9.80DR	
030CT17	S 1 OCT17 EL		9887.00	1.40DR	
030CT17	S 12 OCT17 EL		9889.00	16.80DR	
030CT17	S 14 OCT17 EL		9888.50	19.60DR	
030CT17	B 3 OCT17 EL	9879.50		4.20DR	
030CT17	B 4 OCT17 EL	9880.50		5.60DR	
030CT17	B 11 OCT17 EL	9881.00		15.40DR	
030CT17	B 18 OCT17 EL	9879.00		25.20DR	
030CT17	B 4 OCT17 EL	9878.50		5.60DR	
030CT17	B 10 OCT17 EL	9881.50		14.00DR	
030CT17	B 10 OCT17 EL	9873.50		14.00DR	
030CT17	B 20 OCT17 EL	9865.00		28.00DR	
030CT17	B 10 OCT17 EL	9861.50		14.00DR	
030CT17	B 10 OCT17 EL	9862.00		14.00DR	
030CT17	B 10 OCT17 EL	9868.00		14.00DR	
030CT17	B 3 OCT17 EL	9870.50		4.20DR	
030CT17	B 4 OCT17 EL	9871.50		5.60DR	
030CT17	B 3 OCT17 EL	9872.00		4.20DR	
030CT17	B 10 OCT17 EL	9854.00		14.00DR	
030CT17	S 30 OCT17 EL		9855.00	42.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 1 NOV17 TTR II	50.48		1.22DR	
030CT17	S 1 NOV17 TTR II		50.53	1.22DR	
030CT17	S 3 NOV17 TTR II		50.54	3.66DR	
030CT17	B 5 NOV17 TTR II	50.47		6.10DR	
030CT17	B 4 NOV17 TTR II	50.46		4.88DR	
030CT17	S 3 NOV17 TTR II		50.47	3.66DR	
030CT17	S 1 NOV17 TTR II		50.48	1.22DR	
030CT17	S 1 NOV17 TTR II		50.38	1.22DR	
030CT17	S 1 NOV17 TTR II		50.33	1.22DR	
030CT17	S 1 NOV17 TTR II		50.29	1.22DR	
030CT17	S 1 NOV17 TTR II		50.31	1.22DR	
030CT17	B 2 NOV17 TTR II	50.30		2.44DR	
030CT17	S 1 NOV17 TTR II		50.24	1.22DR	
030CT17	B 3 NOV17 TTR II	50.24		3.66DR	
030CT17	B 1 NOV17 TTR II	50.33		1.22DR	
030CT17	S 1 NOV17 TTR II		50.51	1.22DR	
030CT17	S 1 NOV17 TTR II		50.44	1.22DR	
030CT17	S 1 NOV17 TTR II		50.60	1.22DR	
030CT17	S 1 NOV17 TTR II		50.70	1.22DR	
030CT17	S 1 NOV17 TTR II		50.67	1.22DR	
030CT17	B 1 NOV17 TTR II	50.41		1.22DR	
030CT17	B 1 NOV17 TTR II	50.54		1.22DR	

Group No: SAPG0034

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B 2 NOV17 TTR II	50.53		2.44DR	
030CT17	B 6 NOV17 TTR II	50.39		7.32DR	
030CT17	B 11 NOV17 TTR II	50.35		13.42DR	
030CT17	B 2 NOV17 TTR II	50.34		2.44DR	
030CT17	B 2 NOV17 TTR II	50.36		2.44DR	
030CT17	B 3 NOV17 TTR II	50.43		3.66DR	
030CT17	B 1 NOV17 TTR II	50.42		1.22DR	
030CT17	B 7 NOV17 TTR II	50.45		8.54DR	
030CT17	B 24 NOV17 TTR II	50.44		29.28DR	
030CT17	S 3 NOV17 TTR II		50.42	3.66DR	
030CT17	S 3 NOV17 TTR II		50.43	3.66DR	
030CT17	S 1 NOV17 TTR II		50.45	1.22DR	
	CMX Gold 100 CMX				
030CT17	B 1 DEC17 TTR II	1272.6		1.33DR	
030CT17	S 3 DEC17 TTR II		1272.2	3.99DR	
030CT17	S 1 DEC17 TTR II		1271.4	1.33DR	
030CT17	S 1 DEC17 TTR II		1271.6	1.33DR	
030CT17	S 1 DEC17 TTR II		1272.6	1.33DR	
030CT17	S 3 DEC17 TTR II		1273.2	3.99DR	
030CT17	S 2 DEC17 TTR II		1272.4	2.66DR	
030CT17	S 2 DEC17 TTR II		1272.5	2.66DR	
030CT17	S 3 DEC17 TTR II		1272.8	3.99DR	
030CT17	S 4 DEC17 TTR II		1273.1	5.32DR	
030CT17	S 2 DEC17 TTR II		1273.0	2.66DR	
030CT17	S 3 DEC17 TTR II		1273.3	3.99DR	
030CT17	S 2 DEC17 TTR II		1273.4	2.66DR	
030CT17	B 2 DEC17 TTR II	1273.3		2.66DR	
030CT17	S 1 DEC17 TTR II		1272.9	1.33DR	
030CT17	S 1 DEC17 TTR II		1273.6	1.33DR	
030CT17	S 1 DEC17 TTR II		1273.8	1.33DR	
030CT17	B 1 DEC17 TTR II	1272.8		1.33DR	
030CT17	B 2 DEC17 TTR II	1272.7		2.66DR	
030CT17	S 7 DEC17 TTR II		1273.5	9.31DR	
030CT17	S 2 DEC17 TTR II		1275.5	2.66DR	
030CT17	S 2 DEC17 TTR II		1275.8	2.66DR	
030CT17	S 1 DEC17 TTR II		1276.2	1.33DR	
030CT17	S 2 DEC17 TTR II		1276.4	2.66DR	
030CT17	S 2 DEC17 TTR II		1275.6	2.66DR	
030CT17	S 1 DEC17 TTR II		1277.1	1.33DR	
030CT17	S 1 DEC17 TTR II		1276.8	1.33DR	
030CT17	B 1 DEC17 TTR II	1274.4		1.33DR	
030CT17	S 3 DEC17 TTR II		1274.5	3.99DR	
030CT17	S 2 DEC17 TTR II		1274.4	2.66DR	
030CT17	S 2 DEC17 TTR II		1274.7	2.66DR	
030CT17	S 1 DEC17 TTR II		1274.6	1.33DR	
	NYM Heating Oil 42,000 NYM				
030CT17	B 1 NOV17 TTR II	175.52		1.22DR	
030CT17	B 1 NOV17 TTR II	174.47		1.22DR	
	CMX Silver 5,000 CMX				
030CT17	S 3 DEC17 TTR II		1659.0	3.99DR	
030CT17	S 1 DEC17 TTR II		1668.0	1.33DR	
030CT17	S 2 DEC17 TTR II		1668.5	2.66DR	
030CT17	S 1 DEC17 TTR II		1666.0	1.33DR	
030CT17	B 1 DEC17 TTR II	1665.0		1.33DR	
030CT17	S 1 DEC17 TTR II		1664.5	1.33DR	
	Commission				675.02DR
	SETTLEMENTS				
	CNX Nifty (India) Index 2 SIM				
030CT17	4 OCT17	9881.00	9853.00		224.00DR
030CT17	6 OCT17	9881.00	9857.00		288.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	4 OCT17	9879.00	9857.00		176.00DR
03OCT17	1 OCT17	9879.00	9853.00		52.00DR
03OCT17	1 OCT17	9879.00	9861.00		36.00DR
03OCT17	1 OCT17	9879.00	9855.00		48.00DR
03OCT17	1 OCT17	9879.50	9855.00		49.00DR
03OCT17	2 OCT17	9879.50	9861.00		74.00DR
03OCT17	1 OCT17	9880.50	9861.00		39.00DR
03OCT17	1 OCT17	9879.00	9861.00		36.00DR
03OCT17	4 OCT17	9879.00	9855.00		192.00DR
03OCT17	3 OCT17	9880.50	9855.00		153.00DR
03OCT17	1 OCT17	9881.00	9855.00		52.00DR
03OCT17	5 OCT17	9879.00	9855.00		240.00DR
03OCT17	1 OCT17	9878.50	9855.00		47.00DR
03OCT17	1 OCT17	9879.00	9855.00		48.00DR
03OCT17	3 OCT17	9878.50	9855.00		141.00DR
03OCT17	9 OCT17	9881.50	9852.50		522.00DR
03OCT17	1 OCT17	9881.50	9852.00		59.00DR
03OCT17	1 OCT17	9873.50	9852.50		42.00DR
03OCT17	1 OCT17	9873.50	9852.00		43.00DR
03OCT17	2 OCT17	9873.50	9851.50		88.00DR
03OCT17	1 OCT17	9873.50	9845.00		57.00DR
03OCT17	5 OCT17	9873.50	9852.00		215.00DR
03OCT17	3 OCT17	9865.00	9852.00		78.00DR
03OCT17	7 OCT17	9865.00	9845.00		280.00DR
03OCT17	1 OCT17	9865.00	9849.00		32.00DR
03OCT17	2 OCT17	9865.00	9845.00		80.00DR
03OCT17	7 OCT17	9865.00	9849.00		224.00DR
03OCT17	2 OCT17	9861.50	9849.00		50.00DR
03OCT17	5 OCT17	9861.50	9850.00		115.00DR
03OCT17	3 OCT17	9861.50	9858.00		21.00DR
03OCT17	2 OCT17	9862.00	9858.00		16.00DR
03OCT17	2 OCT17	9862.00	9892.00		120.00CR
03OCT17	6 OCT17	9862.00	9896.00		408.00CR
03OCT17	3 OCT17	9868.00	9896.00		168.00CR
03OCT17	1 OCT17	9868.00	9895.50		55.00CR
03OCT17	3 OCT17	9868.00	9893.50		153.00CR
03OCT17	3 OCT17	9868.00	9893.00		150.00CR
03OCT17	3 OCT17	9870.50	9893.00		135.00CR
03OCT17	4 OCT17	9871.50	9892.50		168.00CR
03OCT17	3 OCT17	9872.00	9892.00		120.00CR
03OCT17	1 OCT17	9854.00	9892.00		76.00CR
03OCT17	3 OCT17	9854.00	9891.50		225.00CR
03OCT17	6 OCT17	9854.00	9891.00		444.00CR
NYM Light Sweet Crude Oil 1,000 NYM					
03OCT17	1 NOV17	50.44	50.54		100.00CR
03OCT17	1 NOV17	50.46	50.54		80.00CR
03OCT17	1 NOV17	50.45	50.53		80.00CR
03OCT17	1 NOV17	50.46	50.54		80.00CR
03OCT17	2 NOV17	50.47	50.47		0.00
03OCT17	1 NOV17	50.47	50.47		0.00
03OCT17	1 NOV17	50.47	50.48		10.00CR
03OCT17	1 NOV17	50.46	50.42		40.00DR
03OCT17	1 NOV17	50.45	50.42		30.00DR
03OCT17	1 NOV17	50.39	50.38		10.00DR
03OCT17	1 NOV17	50.35	50.33		20.00DR
03OCT17	1 NOV17	50.35	50.29		60.00DR
03OCT17	1 NOV17	50.35	50.31		40.00DR
03OCT17	1 NOV17	50.35	50.24		110.00DR
03OCT17	1 NOV17	50.35	50.51		160.00CR
03OCT17	1 NOV17	50.35	50.44		90.00CR
03OCT17	1 NOV17	50.35	50.60		250.00CR
03OCT17	1 NOV17	50.35	50.70		350.00CR
03OCT17	1 NOV17	50.35	50.67		320.00CR
03OCT17	1 NOV17	50.35	50.43		80.00CR
03OCT17	1 NOV17	50.36	50.43		70.00CR
03OCT17	1 NOV17	50.39	50.42		30.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 NOV17	50.39	50.43		40.00CR
030CT17	1 NOV17	50.43	50.45		20.00CR
030CT17	1 NOV17	50.43	50.28		150.00DR
030CT17	1 NOV17	50.39	50.28		110.00DR
030CT17	2 NOV17	50.44	50.28		320.00DR
030CT17	1 NOV17	50.45	50.28		170.00DR
030CT17	1 NOV17	50.44	50.29		150.00DR
030CT17	2 NOV17	50.44	50.30		280.00DR
030CT17	1 NOV17	50.45	50.30		150.00DR
030CT17	1 NOV17	50.48	50.30		180.00DR
030CT17	1 NOV17	50.47	50.30		170.00DR
030CT17	1 NOV17	50.46	50.29		170.00DR
030CT17	1 NOV17	50.44	50.28		160.00DR
030CT17	1 NOV17	50.44	50.29		150.00DR
030CT17	1 NOV17	50.44	50.40		40.00DR
030CT17	1 NOV17	50.44	50.38		60.00DR
030CT17	2 NOV17	50.44	50.42		40.00DR
030CT17	1 NOV17	50.44	50.44		0.00
030CT17	1 NOV17	50.44	50.43		10.00DR
030CT17	1 NOV17	50.44	50.45		10.00CR
030CT17	1 NOV17	50.44	50.50		60.00CR
030CT17	1 NOV17	50.44	50.49		50.00CR
030CT17	1 NOV17	50.44	50.47		30.00CR
030CT17	1 NOV17	50.44	50.46		20.00CR
030CT17	1 NOV17	50.44	50.46		20.00CR
030CT17	1 NOV17	50.45	50.47		20.00CR
030CT17	1 NOV17	50.30	50.53		230.00CR
030CT17	1 NOV17	50.30	50.54		240.00CR
030CT17	1 NOV17	50.24	50.52		280.00CR
030CT17	2 NOV17	50.24	50.52		560.00CR
030CT17	1 NOV17	50.33	50.52		190.00CR
030CT17	1 NOV17	50.53	50.47		60.00DR
030CT17	1 NOV17	50.44	50.47		30.00CR
030CT17	1 NOV17	50.44	50.46		20.00CR
030CT17	1 NOV17	50.41	50.46		50.00CR
030CT17	1 NOV17	50.54	50.46		80.00DR
030CT17	1 NOV17	50.53	50.48		50.00DR
030CT17	1 NOV17	50.39	50.48		90.00CR
030CT17	1 NOV17	50.39	50.47		80.00CR
030CT17	1 NOV17	50.35	50.47		120.00CR
030CT17	1 NOV17	50.34	50.49		150.00CR
030CT17	1 NOV17	50.34	50.50		160.00CR
030CT17	1 NOV17	50.36	50.48		120.00CR
030CT17	1 NOV17	50.43	50.47		40.00CR
030CT17	1 NOV17	50.42	50.53		110.00CR
030CT17	1 NOV17	50.45	50.45		0.00
030CT17	1 NOV17	50.44	50.54		100.00CR
030CT17	1 NOV17	50.45	50.51		60.00CR
030CT17	1 NOV17	50.44	50.47		30.00CR
CMX Gold 100 CMX					
030CT17	1 DEC17	1272.6	1272.2		40.00DR
030CT17	2 DEC17	1273.3	1272.2		220.00DR
030CT17	1 DEC17	1272.8	1271.4		140.00DR
030CT17	1 DEC17	1272.7	1271.6		110.00DR
030CT17	1 DEC17	1272.7	1272.6		10.00DR
030CT17	1 DEC17	1274.4	1273.2		120.00DR
030CT17	1 DEC17	1287.1	1273.2		1,390.00DR
030CT17	1 DEC17	1287.3	1273.2		1,410.00DR
030CT17	1 DEC17	1287.4	1273.4		1,400.00DR
030CT17	1 DEC17	1287.4	1273.3		1,410.00DR
030CT17	1 DEC17	1287.1	1273.3		1,380.00DR
030CT17	2 DEC17	1287.0	1273.5		2,700.00DR
030CT17	1 DEC17	1287.1	1273.5		1,360.00DR
030CT17	1 DEC17	1287.8	1272.4		1,540.00DR
030CT17	1 DEC17	1287.2	1272.4		1,480.00DR
030CT17	1 DEC17	1286.8	1272.5		1,430.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034E

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 DEC17	1286.7	1272.5		1,420.00DR
030CT17	1 DEC17	1286.6	1272.8		1,380.00DR
030CT17	1 DEC17	1288.6	1272.8		1,580.00DR
030CT17	1 DEC17	1288.8	1272.8		1,600.00DR
030CT17	1 DEC17	1288.8	1273.0		1,580.00DR
030CT17	1 DEC17	1288.6	1273.1		1,550.00DR
030CT17	1 DEC17	1289.0	1273.1		1,590.00DR
030CT17	1 DEC17	1288.7	1273.1		1,560.00DR
030CT17	1 DEC17	1288.8	1273.1		1,570.00DR
030CT17	1 DEC17	1288.9	1273.0		1,590.00DR
030CT17	1 DEC17	1288.2	1273.3		1,490.00DR
030CT17	1 DEC17	1287.7	1273.4		1,430.00DR
	NYM Heating Oil 42,000 NYM				
030CT17	1 NOV17	175.52	177.17		693.00CR
030CT17	1 NOV17	174.47	179.56		2,137.80CR
	CMX Silver 5,000 CMX				
030CT17	1 DEC17	1665.0	1659.0		300.00DR
030CT17	1 DEC17	1782.5	1659.0		6,175.00DR
030CT17	1 DEC17	1783.0	1659.0		6,200.00DR
030CT17	1 DEC17	1778.0	1668.0		5,500.00DR
030CT17	1 DEC17	1776.5	1668.5		5,400.00DR
030CT17	1 DEC17	1777.5	1668.5		5,450.00DR
030CT17	1 DEC17	1777.0	1666.0		5,550.00DR
030CT17	1 DEC17	1777.5	1664.5		5,650.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				61,158.91CR
	SETTLEMENTS				
	CMX Silver Futures				40,225.00DR
	NYM Heating Oil Futures				2,830.80CR
	CMX Gold Futures				34,480.00DR
	NYM Light Sweet Crude Oil Futures				1,820.00CR
	CNX Nifty (India) Index Futures				1,595.00DR
	COMMISSIONS				675.02DR
	CARRIED FORWARD BALANCE				11,165.31DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034G

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
03OCT17	CME E-Mini S&P 500 50 CME B 4 DEC17 II	2529.00		6.32DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	10730.00		4.60DR	
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
03OCT17	B 1 DEC17 II	152.0200		1.50DR	
03OCT17	S 1 DEC17 II		152.1700	1.50DR	
03OCT17	S 1 DEC17 II		152.1800	1.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	3270.00		4.60DR	
	Commission				20.02DR
	<u>SETTLEMENTS</u>				
03OCT17	CME E-Mini S&P 500 50 CME 4 DEC17	2529.00	2489.50		7,900.00DR
03OCT17	CBT 30 Year Us Treasury Bonds 1,000 CBT 1 DEC17	152.0200	152.1700		468.75CR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	9355.00	9400.00		270.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2778.00	2789.00		275.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,283,279.40CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				275.00CR
	CME E-Mini S&P 500 Futures				7,900.00DR
	LME Nickel 6 tonnes. This is an LME Futures				270.00CR
	CBT 30 Year Us Treasury Bonds Futures				468.75CR
	COMMISSIONS				20.02DR
	CARRIED FORWARD BALANCE				1,276,373.13CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034H

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2520.50	4.60DR	
03OCT17	S 2 03JAN18 DMA EL		2520.00	9.20DR	
03OCT17	B 1 03JAN18 DMA EL	2527.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	2528.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	2528.50		4.60DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	10495.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10530.00		4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10495.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10530.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10555.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10585.00	4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10680.00		4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10660.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10695.00	4.60DR	
03OCT17	S 2 03JAN18 DMA EL		10740.00	9.20DR	
03OCT17	S 1 03JAN18 DMA EL		10745.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10730.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10750.00	4.60DR	
03OCT17	S 2 03JAN18 DMA EL		10760.00	9.20DR	
03OCT17	S 1 03JAN18 DMA EL		10765.00	4.60DR	
03OCT17	B 2 03JAN18 DMA EL	10675.00		9.20DR	
03OCT17	B 3 03JAN18 DMA EL	10670.00		13.80DR	
03OCT17	S 1 03JAN18 DMA EL		10755.00	4.60DR	
03OCT17	B 2 03JAN18 DMA EL	10580.00		9.20DR	
03OCT17	B 2 03JAN18 DMA EL	10585.00		9.20DR	
03OCT17	B 1 03JAN18 DMA EL	10590.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10595.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10620.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10625.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10635.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10645.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10565.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	10575.00		4.60DR	
03OCT17	S 3 03JAN18 DMA EL		10655.00	13.80DR	
03OCT17	B 3 03JAN18 DMA EL	10650.00		13.80DR	
03OCT17	B 3 03JAN18 DMA EL	10660.00		13.80DR	
03OCT17	S 1 03JAN18 DMA EL		10665.00	4.60DR	
03OCT17	S 2 03JAN18 DMA EL		10670.00	9.20DR	
03OCT17	B 5 03JAN18 DMA EL	10665.00		23.00DR	
03OCT17	S 2 03JAN18 DMA EL		10550.00	9.20DR	
03OCT17	S 1 03JAN18 DMA EL		10565.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10575.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		10600.00	4.60DR	
03OCT17	S 5 03JAN18 DMA EL		10700.00	23.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		3223.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3225.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3229.00	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3235.50	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3237.00	4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3255.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3256.00		4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3240.50	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3253.50	4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3260.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3258.50		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3257.00		4.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	B 1 03JAN18 DMA EL	3257.50		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3275.00		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3275.50		4.60DR	
03OCT17	B 1 03JAN18 DMA EL	3276.00		4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3272.50	4.60DR	
03OCT17	S 1 03JAN18 DMA EL		3275.00	4.60DR	
	Commission				395.60DR
	MATCH OUTS				
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2308.00	2315.00		175.00CR
03JUL17	1 03OCT17	2323.50	2318.00		137.50DR
03JUL17	1 03OCT17	2322.50	2319.00		87.50DR
03JUL17	1 03OCT17	2334.00	2320.00		350.00DR
03JUL17	1 03OCT17	2332.50	2317.50		375.00DR
03JUL17	1 03OCT17	2331.00	2318.00		325.00DR
03JUL17	1 03OCT17	2331.50	2321.50		250.00DR
03JUL17	1 03OCT17	2304.00	2335.00		775.00CR
03JUL17	1 03OCT17	2300.00	2298.50		37.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2807.50	2791.00		412.50DR
03JUL17	1 03OCT17	2786.00	2792.00		150.00CR
03JUL17	1 03OCT17	2786.00	2779.50		162.50DR
03JUL17	1 03OCT17	2781.00	2779.50		37.50DR
03JUL17	1 03OCT17	2775.50	2780.00		112.50CR
03JUL17	1 03OCT17	2774.00	2780.00		150.00CR
03JUL17	1 03OCT17	2774.00	2784.00		250.00CR
03JUL17	1 03OCT17	2771.00	2784.00		325.00CR
03JUL17	1 03OCT17	2807.50	2802.00		137.50DR
03JUL17	1 03OCT17	2807.00	2807.50		12.50CR
03JUL17	1 03OCT17	2807.00	2808.00		25.00CR
03JUL17	1 03OCT17	2807.50	2784.00		587.50DR
03JUL17	1 03OCT17	2808.00	2782.00		650.00DR
03JUL17	1 03OCT17	2808.00	2783.00		625.00DR
03JUL17	1 03OCT17	2808.00	2784.50		587.50DR
03JUL17	1 03OCT17	2773.00	2791.50		462.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				15,967.76DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				612.50DR
	LME Zinc 25 tonnes. This is an LME Futures				1,712.50DR
	COMMISSIONS				395.60DR
	CARRIED FORWARD BALANCE				18,688.36DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0034L

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
03OCT17	CME Eurodollar 2,500 CME S 25 OCT17 98.5000 Call CQG II		0.0150	17.75DR	937.50CR
03OCT17	CME E-mini Japanese Yen 6,250,000 CME B 1 DEC17 CQG II Commission	0.088800		1.21DR	18.96DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,245.93DR
	OPTION PREMIUMS				937.50CR
	COMMISSIONS				18.96DR
	CARRIED FORWARD BALANCE				94,327.39DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034Q

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU Gas Oil 100 IPE				
030CT17	B 1 NOV17 TTR EL	524.250		1.57DR	
030CT17	B 1 NOV17 TTR EL	519.250		1.57DR	
	IEU Brent Crude 1,000 IPE				
030CT17	S 2 DEC17 TTR EL		56.06	3.14DR	
030CT17	S 2 DEC17 TTR EL		55.93	3.14DR	
	Commission				9.42DR
	<u>SETTLEMENTS</u>				
	IEU Gas Oil 100 IPE				
030CT17	1 NOV17	524.250	522.500		175.00DR
030CT17	1 NOV17	519.250	530.250		1,100.00CR
	IEU Brent Crude 1,000 IPE				
030CT17	1 DEC17	55.03	56.06		1,030.00CR
030CT17	1 DEC17	55.03	56.06		1,030.00CR
030CT17	1 DEC17	55.86	55.93		70.00CR
030CT17	1 DEC17	55.86	55.93		70.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,198,793.08DR
	SETTLEMENTS				
	IEU Brent Crude Futures				2,200.00CR
	IEU Gas Oil Futures				925.00CR
	COMMISSIONS				9.42DR
	CARRIED FORWARD BALANCE				1,195,677.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
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SAPG0034

3rd Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	TOTALS		
	BROUGHT FORWARD BALANCE		715,782.23CR
	OPTION PREMIUMS		937.50CR
	SETTLEMENTS		
	CBT 30 Year Us Treasury Bonds Futures		468.75CR
	CME E-Mini S&P 500 Futures		7,900.00DR
	CMX Gold Futures		34,480.00DR
	CMX Silver Futures		40,225.00DR
	CNX Nifty (India) Index Futures		1,595.00DR
	IEU Brent Crude Futures		2,200.00CR
	IEU Gas Oil Futures		925.00CR
	LME Lead 25 tonnes. This is an Futures		612.50DR
	LME Nickel 6 tonnes. This is an LME Futures		270.00CR
	LME Zinc 25 tonnes. This is an LME Futures		1,437.50DR
	NYM Heating Oil Futures		2,830.80CR
	NYM Light Sweet Crude Oil Futures		1,820.00CR
	COMMISSIONS		1,119.02DR
	CARRIED FORWARD BALANCE		637,865.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

JPY Japanese Yen

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>BUSINESS DONE TODAY</u> Gold 1,000 TCM S 12 AUG18 TTR EL Commission		4609	3,480DR	3,480DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 13,489,995CR COMMISSIONS 3,480DR CARRIED FORWARD BALANCE 13,486,515CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
030CT17	S 2 DEC18 TTR EL		50.56	3.36DR	
030CT17	S 1 JUN19 TTR EL		50.19	1.68DR	
030CT17	S 1 JUN19 TTR EL		50.20	1.68DR	
030CT17	S 1 JUN19 TTR EL		50.16	1.68DR	
030CT17	S 1 JUN19 TTR EL		50.17	1.68DR	
030CT17	B 1 DEC19 TTR EL	50.09		1.68DR	
030CT17	B 1 DEC19 TTR EL	50.06		1.68DR	
030CT17	B 1 DEC19 TTR EL	49.93		1.68DR	
030CT17	B 1 DEC19 TTR EL	49.98		1.68DR	
030CT17	S 2 DEC19 TTR EL		49.85	3.36DR	
030CT17	B 1 DEC19 TTR EL	49.89		1.68DR	
030CT17	B 1 DEC19 TTR EL	49.91		1.68DR	
030CT17	B 2 DEC20 TTR EL	49.77		3.36DR	
	IEU Brent Crude 1,000 IPE				
030CT17	B 2 DEC18 TTR EL	54.42		3.36DR	
030CT17	B 1 JUN19 TTR EL	54.28		1.68DR	
030CT17	B 1 JUN19 TTR EL	54.29		1.68DR	
030CT17	B 1 JUN19 TTR EL	54.25		1.68DR	
030CT17	B 1 JUN19 TTR EL	54.26		1.68DR	
030CT17	S 1 DEC19 TTR EL		54.20	1.68DR	
030CT17	S 1 DEC19 TTR EL		54.17	1.68DR	
030CT17	S 1 DEC19 TTR EL		54.10	1.68DR	
030CT17	S 1 DEC19 TTR EL		54.15	1.68DR	
030CT17	B 1 DEC19 TTR EL	54.06		1.68DR	
030CT17	B 1 DEC19 TTR EL	54.05		1.68DR	
030CT17	S 1 DEC19 TTR EL		54.07	1.68DR	
030CT17	S 1 DEC19 TTR EL		54.09	1.68DR	
030CT17	S 1 DEC20 TTR EL		54.33	1.68DR	
030CT17	S 1 DEC20 TTR EL		54.32	1.68DR	
	Commission				53.76DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
030CT17	1 DEC18	51.13	50.56		570.00DR
030CT17	1 DEC18	51.13	50.56		570.00DR
030CT17	1 JUN19	49.32	50.19		870.00CR
030CT17	1 JUN19	49.32	50.20		880.00CR
030CT17	1 JUN19	49.47	50.16		690.00CR
030CT17	1 JUN19	49.47	50.17		700.00CR
030CT17	1 DEC19	50.09	49.85		240.00DR
030CT17	1 DEC19	50.06	49.85		210.00DR
030CT17	1 DEC19	49.93	50.86		930.00CR
030CT17	1 DEC19	49.98	50.89		910.00CR
030CT17	1 DEC19	49.89	50.89		1,000.00CR
030CT17	1 DEC19	49.91	50.83		920.00CR
030CT17	1 DEC20	49.77	51.24		1,470.00CR
030CT17	1 DEC20	49.77	51.11		1,340.00CR
	IEU Brent Crude 1,000 IPE				
030CT17	1 DEC18	54.42	54.99		570.00CR
030CT17	1 DEC18	54.42	54.99		570.00CR
030CT17	1 JUN19	54.28	52.79		1,490.00DR
030CT17	1 JUN19	54.29	52.79		1,500.00DR
030CT17	1 JUN19	54.25	53.09		1,160.00DR
030CT17	1 JUN19	54.26	53.09		1,170.00DR
030CT17	1 DEC19	54.06	54.20		140.00CR
030CT17	1 DEC19	54.05	54.17		120.00CR
030CT17	1 DEC19	54.90	54.10		800.00DR
030CT17	1 DEC19	54.90	54.15		750.00DR
030CT17	1 DEC19	54.90	54.07		830.00DR

Group No: SAPG0038

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



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3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 DEC19	54.84	54.09		750.00DR
03OCT17	1 DEC20	55.24	54.33		910.00DR
03OCT17	1 DEC20	55.11	54.32		790.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				61,544.29CR
	SETTLEMENTS				
	IEU Brent Crude Futures				8,750.00DR
	IEU WTI Crude Oil Futures Futures				8,120.00CR
	COMMISSIONS				53.76DR
	CARRIED FORWARD BALANCE				60,860.53CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
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SAPG0038

3rd Oct 2017

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JPY Japanese Yen

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		13,489,995CR
	COMMISSIONS		3,480DR
	CARRIED FORWARD BALANCE		13,486,515CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		184,459.34CR
	SETTLEMENTS		
	IEU Brent Crude Futures		8,750.00DR
	IEU WTI Crude Oil Futures Futures		8,120.00CR
	COMMISSIONS		53.76DR
	CARRIED FORWARD BALANCE		183,775.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP0045 to SAP0045B				73,692.90DR
030CT17	SAP0045 to SAP0045C DGCX				225,390.05DR
030CT17	SAP0045 to SAP0045F				1,020.99DR
030CT17	SAP0045 to SAP0045G				259,072.00DR
030CT17	SAP0045 to SAP0045H				223.78DR
030CT17	SAP0045FX1 to SAP0045				32,456.15CR
030CT17	SAP0045I to SAP0045				24,680.84CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				738,763.59CR
	CASH PAYMENTS/RECEIPTS				502,262.73DR
	CARRIED FORWARD BALANCE				236,500.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0045 to SAP0045B				73,692.90CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,034.35DR
	CASH PAYMENTS/RECEIPTS				73,692.90CR
	CARRIED FORWARD BALANCE				21,341.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0045F

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0045 to SAP0045F				1,020.99CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,020.99DR
	CASH PAYMENTS/RECEIPTS				1,020.99CR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 19 02NOV17 IFC UD		3273.50	51.30DR	
03OCT17	S 2 03JAN18 DMA EL		3277.00	11.00DR	
03OCT17	S 6 03JAN18 DMA EL		3278.00	33.00DR	
03OCT17	S 1 03JAN18 DMA EL		3279.00	5.50DR	
03OCT17	S 1 03JAN18 DMA EL		3280.00	5.50DR	
03OCT17	S 1 03JAN18 DMA EL		3280.50	5.50DR	
03OCT17	S 1 03JAN18 DMA EL		3282.50	5.50DR	
03OCT17	S 2 03JAN18 DMA EL		3281.00	11.00DR	
03OCT17	S 2 03JAN18 DMA EL		3276.50	11.00DR	
03OCT17	S 3 03JAN18 DMA EL		3282.00	16.50DR	
03OCT17	B 19 03JAN18 IFC UD	3264.20		51.30DR	
	Commission				207.10DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
14SEP17	6 03OCT17	2073.25	2106.16		4,936.50CR
14SEP17	3 03OCT17	2073.25	2106.16		2,468.25CR
15SEP17	6 03OCT17	2060.66	2106.16		6,825.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2321.00	2315.00		150.00DR
27SEP17	10 03OCT17	2455.00	2486.00		7,750.00CR
27SEP17	5 03OCT17	2455.00	2517.50		7,812.50CR
27SEP17	5 03OCT17	2455.00	2517.50		7,812.50CR
29SEP17	1 03OCT17	2492.00	2517.50		637.50CR
29SEP17	30 03OCT17	2492.00	2517.50		19,125.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
29SEP17	21 03OCT17	3216.20	3088.05		67,278.75DR
29SEP17	18 03OCT17	3216.20	3138.55		34,942.50DR
29SEP17	21 03OCT17	3216.20	3182.00		17,955.00DR
29SEP17	2 03OCT17	3216.20	3202.50		685.00DR
29SEP17	29 03OCT17	3216.20	3202.50		9,932.50DR
29SEP17	6 03OCT17	3216.20	3148.00		10,230.00DR
29SEP17	2 03OCT17	3216.20	3141.75		3,722.50DR
29SEP17	12 03OCT17	3205.25	3141.75		19,050.00DR
	<u>INTER ACCOUNT TRANSFER</u>				
03OCT17	SAP0045 to SAP0045G				259,072.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,845.49DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				42,987.50CR
	LME Zinc 25 tonnes. This is an LME Futures				163,796.25DR
	LME Aluminium 25 Tonnes. This is an Futures				14,229.75CR
	CASH PAYMENTS/RECEIPTS				259,072.00CR
	COMMISSIONS				207.10DR
	CARRIED FORWARD BALANCE				120,440.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0045G

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0045 to SAP0045H				223.78CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,580.47CR
	CASH PAYMENTS/RECEIPTS				223.78CR
	CARRIED FORWARD BALANCE				37,804.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0045I to SAP0045				24,680.84DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,386.97DR
	CASH PAYMENTS/RECEIPTS				24,680.84DR
	CARRIED FORWARD BALANCE				26,067.81DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0045
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3rd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		647,056.26CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		14,229.75CR
	LME Lead 25 tonnes. This is an Futures		42,987.50CR
	LME Zinc 25 tonnes. This is an LME Futures		163,796.25DR
	CASH PAYMENTS/RECEIPTS		192,933.90DR
	COMMISSIONS		207.10DR
	CARRIED FORWARD BALANCE		347,336.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
03OCT17	SAP0054 Fund Withdrawal 02/10/17				200,000.00DR
03OCT17	SAP0054 to SAP0054N				50,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				183,706.00CR
	CASH PAYMENTS/RECEIPTS				250,000.00DR
	CARRIED FORWARD BALANCE				66,294.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX B 1 DEC17 Commission GX	1271.5		2.50DR	2.50DR
03OCT17	<u>SETTLEMENTS</u> CMX Gold 100 CMX 1 DEC17	1271.5	1286.9		1,540.00CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE SETTLEMENTS CMX Gold Futures COMMISSIONS CARRIED FORWARD BALANCE				12,120.00CR 1,540.00CR 2.50DR 13,657.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 1 NOV17 CQG GX 50.45	50.45		2.75DR	
030CT17	B 2 NOV17 CQG GX 50.46	50.46		5.50DR	
030CT17	S 1 NOV17 CQG GX 50.47		50.47	2.75DR	
030CT17	B 1 NOV17 CQG GX 50.48	50.48		2.75DR	
	Commission				13.75DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 NOV17 50.46	50.46	50.47		10.00CR
030CT17	1 NOV17 50.45	50.45	50.94		490.00CR
030CT17	1 NOV17 50.46	50.46	51.31		850.00CR
030CT17	1 NOV17 50.48	50.48	51.36		880.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				121,966.11CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				2,230.00CR
	COMMISSIONS				13.75DR
	CARRIED FORWARD BALANCE				124,182.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
030CT17	B 1 DEC17 GX 1272.2			2.30DR	
030CT17	B 4 DEC17 GX 1272.1			9.20DR	
030CT17	B 1 DEC17 GX 1271.8			2.30DR	
	Commission				13.80DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
030CT17	1 DEC17 1272.2	1292.0			1,980.00CR
030CT17	1 DEC17 1272.1	1292.7			2,060.00CR
030CT17	1 DEC17 1272.1	1292.8			2,070.00CR
030CT17	1 DEC17 1272.1	1293.0			2,090.00CR
030CT17	1 DEC17 1272.1	1293.0			2,090.00CR
030CT17	1 DEC17 1271.8	1293.5			2,170.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				150,950.60CR
	SETTLEMENTS				
	CMX Gold Futures				12,460.00CR
	COMMISSIONS				13.80DR
	CARRIED FORWARD BALANCE				163,396.80CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0054F

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>BUSINESS DONE TODAY</u> CMX Silver 5,000 CMX S 2 DEC17 Commission		1668.0	5.00DR	5.00DR
	<u>SETTLEMENTS</u> CMX Silver 5,000 CMX				
03OCT17	1 DEC17	1716.0	1668.0		2,400.00DR
03OCT17	1 DEC17	1715.0	1668.0		2,350.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				143,123.50CR
	SETTLEMENTS				
	CMX Silver Futures				4,750.00DR
	COMMISSIONS				5.00DR
	CARRIED FORWARD BALANCE				138,368.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 CQG EL	3262.50		5.45DR	
03OCT17	B 1 03JAN18 CQG EL	3262.00		5.45DR	
	Commission				10.90DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				74,249.15DR
	COMMISSIONS				10.90DR
	CARRIED FORWARD BALANCE				74,260.05DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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3rd October 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	B 1 15DEC17 IFC UD	2126.39		2.70DR	
030CT17	B 1 20DEC17 IFC UD	2127.75		2.70DR	
030CT17	S 1 28DEC17 IFC UD		2129.41	2.70DR	
030CT17	S 1 29DEC17 IFC UD		2129.64	2.70DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 1 NOV17 CQG GX	50.39		2.75DR	
030CT17	B 1 NOV17 CQG GX	50.38		2.75DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
030CT17	S 4 28DEC17 IFC UD		3264.00	10.80DR	
030CT17	B 4 29DEC17 IFC UD	3264.20		10.80DR	
	Commission				37.90DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 NOV17	50.39	50.65		260.00CR
030CT17	1 NOV17	50.38	52.05		1,670.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				121,219.55CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				1,930.00CR
	COMMISSIONS				37.90DR
	CARRIED FORWARD BALANCE				123,111.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	B 2 03JAN18 TTR EL	2120.00		10.90DR	
030CT17	S 1 03JAN18 TTR EL		2132.00	5.45DR	
030CT17	S 1 03JAN18 TTR EL		2133.00	5.45DR	
	CMX Gold 100 CMX				
030CT17	B 2 DEC17 TTR GX	1274.3		4.60DR	
030CT17	B 1 DEC17 TTR GX	1273.0		2.30DR	
030CT17	B 1 DEC17 TTR GX	1272.4		2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
030CT17	B 1 03JAN18 TTR EL	10490.00		5.45DR	
030CT17	B 1 03JAN18 TTR EL	10475.00		5.45DR	
030CT17	S 1 03JAN18 TTR EL		10720.00	5.45DR	
030CT17	S 1 03JAN18 TTR EL		10755.00	5.45DR	
030CT17	B 1 03JAN18 TTR EL	10675.00		5.45DR	
030CT17	B 1 03JAN18 TTR EL	10565.00		5.45DR	
030CT17	S 1 03JAN18 TTR EL		10630.00	5.45DR	
030CT17	S 1 03JAN18 TTR EL		10665.00	5.45DR	
	CMX Silver 5,000 CMX				
030CT17	S 2 DEC17 TTR GX		1665.0	4.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		3223.00	5.45DR	
030CT17	S 1 03JAN18 TTR EL		3238.50	5.45DR	
030CT17	S 1 03JAN18 TTR EL		3255.50	5.45DR	
030CT17	B 1 03JAN18 TTR EL	3260.50		5.45DR	
030CT17	B 1 03JAN18 TTR EL	3261.00		5.45DR	
030CT17	B 1 03JAN18 TTR EL	3263.00		5.45DR	
030CT17	S 1 03JAN18 TTR EL		3265.00	5.45DR	
030CT17	S 1 03JAN18 TTR EL		3259.00	5.45DR	
030CT17	S 1 03JAN18 DMA EL		3222.00	5.45DR	
030CT17	B 2 03JAN18 TTR EL	3275.50		10.90DR	
030CT17	B 1 03JAN18 TTR EL	3290.00		5.45DR	
	Commission				144.60DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
030CT17	1 DEC17	1274.3	1312.6		3,830.00CR
030CT17	1 DEC17	1274.3	1329.3		5,500.00CR
030CT17	1 DEC17	1273.0	1329.3		5,630.00CR
030CT17	1 DEC17	1272.4	1328.6		5,620.00CR
	CMX Silver 5,000 CMX				
030CT17	1 DEC17	1750.5	1665.0		4,275.00DR
030CT17	1 DEC17	1793.0	1665.0		6,400.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				129,895.18CR
	SETTLEMENTS				
	CMX Silver Futures				10,675.00DR
	CMX Gold Futures				20,580.00CR
	COMMISSIONS				144.60DR
	CARRIED FORWARD BALANCE				139,655.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0054K

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054N

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0054 to SAP0054N				50,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	CASH PAYMENTS/RECEIPTS				50,000.00CR
	CARRIED FORWARD BALANCE				50,000.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0054

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		853,986.94CR
	SETTLEMENTS		
	CMX Gold Futures		34,580.00CR
	CMX Silver Futures		15,425.00DR
	NYM Light Sweet Crude Oil Futures		4,160.00CR
	CASH PAYMENTS/RECEIPTS		200,000.00DR
	COMMISSIONS		228.45DR
	CARRIED FORWARD BALANCE		677,073.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0072B

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				47.51DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				112,383.49CR
	INTEREST				47.51DR
	CARRIED FORWARD BALANCE				112,335.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0072
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0072

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		112,383.49CR
	INTEREST		47.51DR
	CARRIED FORWARD BALANCE		112,335.98CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0077 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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DKK DANISH KRONER

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>FX TRANSACTION</u> FX 0.02 USD SOLD	0.0000	6.3280		0.13CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 0.13DR FX TRANSACTION 0.13CR CARRIED FORWARD BALANCE 0.00				

EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				55.42DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 128,224.98CR INTEREST 55.42DR CARRIED FORWARD BALANCE 128,169.56CR				

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>FX TRANSACTION</u> FX 0.13 DKK BOUGHT	6.3280	0.0000		0.02DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 45,993.14CR FX TRANSACTION 0.02DR CARRIED FORWARD BALANCE 45,993.12CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0077
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0077

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

DKK DANISH KRONER

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		0.13DR
	FX TRANSACTION		0.13CR
	CARRIED FORWARD BALANCE		0.00

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		128,224.98CR
	INTEREST		55.42DR
	CARRIED FORWARD BALANCE		128,169.56CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		45,993.14CR
	FX TRANSACTION		0.02DR
	CARRIED FORWARD BALANCE		45,993.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

3rd October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 030CT17	6001.00	5916.50		2,112.50DR
18JUL17	1 030CT17	6001.00	5918.00		2,075.00DR
18JUL17	1 030CT17	6001.00	5918.50		2,062.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				25,425.20DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				6,250.00DR
	CARRIED FORWARD BALANCE				31,675.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	S 1 03JAN18 TTR EL		2111.00	5.00DR	
030CT17	B 1 03JAN18 TTR EL	2104.50		5.00DR	
030CT17	S 2 03JAN18 TTR EL		2117.50	10.00DR	
030CT17	B 2 03JAN18 DMA EL	2118.00		10.00DR	
030CT17	S 2 03JAN18 TTR EL		2123.00	10.00DR	
030CT17	B 1 03JAN18 TTR EL	2117.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2118.00		5.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 3 NOV17 TTR GX	50.46		4.71DR	
030CT17	B 1 NOV17 TTR GX	50.38		1.57DR	
030CT17	B 2 NOV17 TTR GX	50.37		3.14DR	
030CT17	S 3 NOV17 TTR GX		50.54	4.71DR	
030CT17	S 3 NOV17 TTR GX		50.51	4.71DR	
030CT17	S 1 NOV17 TTR GX		50.58	1.57DR	
030CT17	S 1 NOV17 TTR GX		50.59	1.57DR	
030CT17	B 6 NOV17 TTR GX	50.44		9.42DR	
030CT17	B 4 NOV17 TTR GX	50.45		6.28DR	
030CT17	S 1 NOV17 TTR GX		50.48	1.57DR	
030CT17	S 2 NOV17 TTR GX		50.45	3.14DR	
030CT17	B 1 NOV17 TTR GX	50.62		1.57DR	
030CT17	B 1 NOV17 TTR GX	50.55		1.57DR	
030CT17	S 1 NOV17 TTR GX		50.42	1.57DR	
030CT17	S 8 NOV17 TTR GX		50.44	12.56DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
030CT17	B 13 02NOV17 IFC UD	2588.00		35.10DR	
030CT17	S 1 03JAN18 TTR EL		2521.00	5.00DR	
030CT17	S 3 03JAN18 TTR EL		2520.00	15.00DR	
030CT17	B 1 03JAN18 TTR EL	2506.50		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2506.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2503.50		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2504.50		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2503.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2502.50		5.00DR	
030CT17	S 1 03JAN18 DMA EL		2518.00	5.00DR	
030CT17	B 1 03JAN18 TTR EL	2492.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2490.50		5.00DR	
030CT17	B 2 03JAN18 TTR EL	2491.00		10.00DR	
030CT17	B 1 03JAN18 TTR EL	2489.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2493.50		5.00DR	
030CT17	B 2 03JAN18 TTR EL	2494.00		10.00DR	
030CT17	B 1 03JAN18 TTR EL	2500.00		5.00DR	
030CT17	B 3 03JAN18 TTR EL	2499.00		15.00DR	
030CT17	B 1 03JAN18 TTR EL	2496.00		5.00DR	
030CT17	S 1 03JAN18 TTR EL		2513.00	5.00DR	
030CT17	S 2 03JAN18 TTR EL		2518.00	10.00DR	
030CT17	S 1 03JAN18 TTR EL		2519.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		2519.50	5.00DR	
030CT17	S 2 03JAN18 TTR EL		2515.00	10.00DR	
030CT17	S 1 03JAN18 TTR EL		2534.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		2531.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		2534.50	5.00DR	
030CT17	S 2 03JAN18 TTR EL		2541.50	10.00DR	
030CT17	B 1 03JAN18 TTR EL	2565.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2547.00		5.00DR	
030CT17	S 1 03JAN18 TTR EL		2569.50	5.00DR	
030CT17	S 2 03JAN18 TTR EL		2585.00	10.00DR	
030CT17	S 3 03JAN18 TTR EL		2590.00	15.00DR	
030CT17	B 1 03JAN18 TTR EL	2588.50		5.00DR	
030CT17	S 1 03JAN18 TTR EL		2591.50	5.00DR	
030CT17	S 1 03JAN18 TTR EL		2592.00	5.00DR	

Group No: SAPG0083

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B	4	03JAN18	TTR	EL	2594.00		20.00DR	
030CT17	B	4	03JAN18	TTR	EL	2594.50		20.00DR	
030CT17	S	13	03JAN18	IFC	UD		2590.80	35.10DR	
030CT17	B	2	03JAN18	TTR	EL	2596.00		10.00DR	
030CT17	B	1	03JAN18	DMA	EL	2566.50		5.00DR	
030CT17	S	1	03JAN18	DMA	EL		2564.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
030CT17	S	10	02NOV17	IFC	UD		3273.50	27.00DR	
030CT17	S	1	03JAN18	TTR	EL		3229.50	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3229.00	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3228.50	5.00DR	
030CT17	S	2	03JAN18	TTR	EL		3227.50	10.00DR	
030CT17	S	1	03JAN18	TTR	EL		3228.00	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3224.00	5.00DR	
030CT17	S	2	03JAN18	TTR	EL		3235.00	10.00DR	
030CT17	S	1	03JAN18	TTR	EL		3234.50	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3240.50	5.00DR	
030CT17	S	2	03JAN18	TTR	EL		3237.00	10.00DR	
030CT17	S	1	03JAN18	TTR	EL		3241.50	5.00DR	
030CT17	S	2	03JAN18	TTR	EL		3241.00	10.00DR	
030CT17	B	1	03JAN18	TTR	EL	3241.00		5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3243.00	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3246.50	5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3253.00	5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3255.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3261.00		5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3261.00	5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3258.50		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3259.50		5.00DR	
030CT17	S	1	03JAN18	TTR	EL		3268.00	5.00DR	
030CT17	S	1	03JAN18	DMA	EL		3225.50	5.00DR	
030CT17	S	1	03JAN18	DMA	EL		3217.00	5.00DR	
030CT17	S	1	03JAN18	DMA	EL		3241.50	5.00DR	
030CT17	S	1	03JAN18	DMA	EL		3244.50	5.00DR	
030CT17	S	1	03JAN18	DMA	EL		3254.50	5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3286.50		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3289.50		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3288.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3286.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3274.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3287.00		5.00DR	
030CT17	B	1	03JAN18	DMA	EL	3287.50		5.00DR	
030CT17	B	1	03JAN18	DMA	EL	3291.00		5.00DR	
030CT17	B	1	03JAN18	DMA	EL	3289.00		5.00DR	
030CT17	B	1	03JAN18	TTR	EL	3274.50		5.00DR	
030CT17	B	3	03JAN18	TTR	EL	3262.00		15.00DR	
030CT17	B	1	03JAN18	TTR	EL	3264.50		5.00DR	
030CT17	B	3	03JAN18	TTR	EL	3264.00		15.00DR	
030CT17	B	2	03JAN18	TTR	EL	3270.00		10.00DR	
030CT17	B	1	03JAN18	TTR	EL	3278.00		5.00DR	
030CT17	B	10	03JAN18	IFC	UD	3264.20		27.00DR	
030CT17	B	1	03JAN18	DMA	EL	3270.50		5.00DR	
	Commission								788.86DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
030CT17		1	NOV17			50.44	50.44		0.00
030CT17		3	NOV17			50.46	50.54		240.00CR
030CT17		1	NOV17			50.38	50.51		130.00CR
030CT17		2	NOV17			50.37	50.51		280.00CR
030CT17		1	NOV17			50.44	50.58		140.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 NOV17	50.44	50.59		150.00CR
03OCT17	1 NOV17	50.44	50.48		40.00CR
03OCT17	1 NOV17	50.44	50.44		0.00
03OCT17	1 NOV17	50.44	50.44		0.00
03OCT17	2 NOV17	50.45	50.44		20.00DR
03OCT17	2 NOV17	50.45	50.45		0.00
03OCT17	1 NOV17	50.62	50.44		180.00DR
03OCT17	1 NOV17	50.55	50.44		110.00DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 03OCT17	1923.50	1929.50		150.00CR
03JUL17	1 03OCT17	1923.50	1929.00		137.50CR
03JUL17	1 03OCT17	1923.50	1928.00		112.50CR
03JUL17	1 03OCT17	1918.50	1928.00		237.50CR
03JUL17	1 03OCT17	1919.50	1927.50		200.00CR
19JUL17	1 03OCT17	1919.00	1916.69		57.75DR
19JUL17	1 03OCT17	1918.50	1916.69		45.25DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 03OCT17	5912.50	5937.00		612.50CR
19JUL17	1 03OCT17	5913.00	5961.30		1,207.50CR
19JUL17	1 03OCT17	5916.50	5961.30		1,120.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2305.00	2298.00		175.00DR
03JUL17	1 03OCT17	2300.50	2299.00		37.50DR
03JUL17	1 03OCT17	2314.50	2314.00		12.50DR
03JUL17	1 03OCT17	2314.00	2314.50		12.50CR
03JUL17	1 03OCT17	2334.50	2314.50		500.00DR
03JUL17	1 03OCT17	2331.50	2315.50		400.00DR
03JUL17	1 03OCT17	2331.50	2316.00		387.50DR
03JUL17	1 03OCT17	2332.50	2317.50		375.00DR
03JUL17	1 03OCT17	2333.00	2319.00		350.00DR
03JUL17	1 03OCT17	2333.50	2317.00		412.50DR
03JUL17	1 03OCT17	2333.00	2313.00		500.00DR
03JUL17	1 03OCT17	2333.00	2313.00		500.00DR
19JUL17	1 03OCT17	2214.55	2334.00		2,986.25CR
29SEP17	2 03OCT17	2491.65	2474.00		882.50DR
29SEP17	2 03OCT17	2491.65	2473.00		932.50DR
29SEP17	2 03OCT17	2488.00	2473.00		750.00DR
29SEP17	2 03OCT17	2492.00	2476.00		800.00DR
29SEP17	4 03OCT17	2492.00	2517.50		2,550.00CR
29SEP17	2 03OCT17	2492.00	2517.50		1,275.00CR
29SEP17	2 03OCT17	2492.00	2517.50		1,275.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	9345.00	9360.00		90.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2770.00	2776.50		162.50CR
03JUL17	1 03OCT17	2773.00	2786.00		325.00CR
03JUL17	1 03OCT17	2767.50	2784.00		412.50CR
03JUL17	1 03OCT17	2773.50	2784.50		275.00CR
03JUL17	1 03OCT17	2773.50	2788.00		362.50CR
03JUL17	1 03OCT17	2786.50	2782.50		100.00DR
03JUL17	1 03OCT17	2786.00	2791.00		125.00CR
03JUL17	1 03OCT17	2783.50	2772.50		275.00DR
03JUL17	1 03OCT17	2781.00	2772.00		225.00DR
03JUL17	1 03OCT17	2781.00	2783.00		50.00CR
03JUL17	1 03OCT17	2782.50	2784.50		50.00CR
03JUL17	1 03OCT17	2782.50	2780.50		50.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03JUL17	1 030CT17	2780.00	2783.50		87.50CR
03JUL17	1 030CT17	2778.50	2783.00		112.50CR
03JUL17	1 030CT17	2778.50	2780.50		50.00CR
03JUL17	1 030CT17	2778.50	2784.00		137.50CR
03JUL17	1 030CT17	2775.50	2772.50		75.00DR
03JUL17	1 030CT17	2768.50	2784.50		400.00CR
03JUL17	1 030CT17	2787.00	2782.50		112.50DR
03JUL17	1 030CT17	2779.50	2779.00		12.50DR
03JUL17	1 030CT17	2777.00	2784.00		175.00CR
03JUL17	1 030CT17	2771.50	2780.00		212.50CR
03JUL17	1 030CT17	2791.00	2780.00		275.00DR
03JUL17	1 030CT17	2791.00	2779.50		287.50DR
03JUL17	1 030CT17	2777.50	2782.50		125.00CR
03JUL17	1 030CT17	2809.50	2782.50		675.00DR
03JUL17	1 030CT17	2809.50	2784.00		637.50DR
19JUL17	1 030CT17	2744.74	2782.00		931.50CR
19JUL17	1 030CT17	2744.74	2781.50		919.00CR
19JUL17	1 030CT17	2744.74	2782.00		931.50CR
19JUL17	1 030CT17	2744.74	2782.00		931.50CR
19JUL17	2 030CT17	2744.74	2782.00		1,863.00CR
19JUL17	1 030CT17	2744.74	2784.00		981.50CR
19JUL17	1 030CT17	2744.74	2779.00		856.50CR
19JUL17	1 030CT17	2744.54	2790.50		1,149.00CR
19JUL17	1 030CT17	2744.54	2793.00		1,211.50CR
19JUL17	1 030CT17	2744.54	2790.00		1,136.50CR
19JUL17	1 030CT17	2744.54	2803.50		1,474.00CR
19JUL17	1 030CT17	2744.54	2809.00		1,611.50CR
19JUL17	1 030CT17	2744.54	2804.00		1,486.50CR
19JUL17	1 030CT17	2744.54	2803.00		1,461.50CR
05SEP17	1 030CT17	3137.00	3182.00		1,125.00CR
05SEP17	3 030CT17	3137.00	3182.00		3,375.00CR
29SEP17	2 030CT17	3074.69	3184.00		5,465.50CR
29SEP17	2 030CT17	3192.00	3184.00		400.00DR
TOTALS					
BROUGHT FORWARD BALANCE					198,790.48CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					1,083.75CR
LME Copper Grade A 25 tonnes. This Futures					2,940.00CR
LME Zinc 25 tonnes. This is an LME Futures					26,848.00CR
LME Nickel 6 tonnes. This is an LME Futures					90.00CR
NYM Light Sweet Crude Oil Futures					670.00CR
LME Aluminium 25 Tonnes. This is an Futures					734.50CR
COMMISSIONS					788.86DR
CARRIED FORWARD BALANCE					230,367.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YH

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2779.00	2778.50		12.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				12.50CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				12.50DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YL

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
03JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 03OCT17	2317.00	2314.50		62.50DR
03JUL17	LME Nickel 6 tonnes. This is an LME registered client contract 1 03OCT17	9380.00	9370.00		60.00DR
03JUL17	LME Tin 5 tonnes. This is an LME registered client contract 1 03OCT17	20100.00	20075.00		125.00DR
03JUL17		20100.00	20070.00		150.00DR
03JUL17		20175.00	20150.00		125.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,077.20CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				400.00DR
	LME Lead 25 tonnes. This is an Futures				62.50DR
	LME Nickel 6 tonnes. This is an LME Futures				60.00DR
	CARRIED FORWARD BALANCE				18,554.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083YO

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	9350.00	9360.00		60.00CR
19SEP17	1 030CT17	9335.00	11078.39		10,460.34CR
	LME Tin 5 tonnes. This is an LME registered client contract				
19SEP17	1 030CT17	20746.67	20150.00		2,983.35DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,195.85CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				2,983.35DR
	LME Nickel 6 tonnes. This is an LME Futures				10,520.34CR
	CARRIED FORWARD BALANCE				30,732.84CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZC 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	20070.00	20060.00		50.00DR
03JUL17	1 030CT17	20100.00	20120.00		100.00CR
03JUL17	1 030CT17	20150.00	20105.00		225.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,620.00CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				175.00DR
	CARRIED FORWARD BALANCE				8,445.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083ZG

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		6498.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		6497.50	5.00DR	
030CT17	B 1 03JAN18 TTR EL	6494.00		5.00DR	
030CT17	B 1 03JAN18 TTR EL	6493.00		5.00DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	S 1 NOV17 TTR GX		2.89500	1.57DR	
030CT17	B 1 DEC17 TTR GX	3.08500		1.57DR	
	Commission				23.14DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	1 NOV17	3.05400	2.89500		1,590.00DR
030CT17	1 DEC17	3.08500	3.20300		1,180.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,457.61CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				410.00DR
	COMMISSIONS				23.14DR
	CARRIED FORWARD BALANCE				63,024.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	S 2 03JAN18 TTR EL		2106.00	10.00DR	
030CT17	S 2 03JAN18 TTR EL		2110.00	10.00DR	
030CT17	S 1 03JAN18 TTR EL		2105.50	5.00DR	
030CT17	B 1 03JAN18 TTR EL	2120.50		5.00DR	
030CT17	B 1 03JAN18 TTR EL	2118.50		5.00DR	
030CT17	B 2 03JAN18 TTR EL	2120.00		10.00DR	
030CT17	B 1 03JAN18 TTR EL	2119.50		5.00DR	
030CT17	S 4 03JAN18 TTR EL		2133.00	20.00DR	
	CMX Copper 25,000 CMX				
030CT17	S 1 DEC17 TTR GX		296.100	1.68DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		2590.00	5.00DR	
030CT17	S 1 03JAN18 TTR EL		2591.50	5.00DR	
030CT17	B 2 03JAN18 TTR EL	2594.00		10.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
030CT17	S 1 03JAN18 TTR EL		10740.00	5.00DR	
030CT17	B 1 03JAN18 TTR EL	10545.00		5.00DR	
030CT17	S 1 03JAN18 TTR EL		10700.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
030CT17	S 2 02NOV17 SGL UD		3243.00	5.40DR	
030CT17	S 2 03JAN18 TTR EL		3225.00	10.00DR	
030CT17	S 1 03JAN18 TTR EL		3239.50	5.00DR	
030CT17	B 1 03JAN18 TTR EL	3264.00		5.00DR	
030CT17	B 2 03JAN18 SGL UD	3240.65		5.40DR	
030CT17	B 2 03JAN18 TTR EL	3279.50		10.00DR	
	Commission				147.48DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
030CT17	1 DEC17	293.750	296.100		587.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				91,427.54CR
	SETTLEMENTS				
	CMX Copper Futures				587.50CR
	COMMISSIONS				147.48DR
	CARRIED FORWARD BALANCE				91,867.56CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZU 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>BUSINESS DONE TODAY</u> LME Nickel 6 tonnes. This is an LME registered client contract				
	S 1 03JAN18 DMA EL Commission		10730.00	5.00DR	5.00DR
03JUL17	<u>MATCH OUTS</u> LME Nickel 6 tonnes. This is an LME registered client contract				
	1 03OCT17 LME Tin 5 tonnes. This is an LME registered client contract	9350.00	9365.00		90.00CR
03JUL17	1 03OCT17	20020.00	19975.00		225.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,287.10CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				225.00DR
	LME Nickel 6 tonnes. This is an LME Futures				90.00CR
	COMMISSIONS				5.00DR
	CARRIED FORWARD BALANCE				8,147.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
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SAPG0083

3rd Oct 2017

COB Global

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		288,229.95CR
	SETTLEMENTS		
	CMX Copper Futures		587.50CR
	LME Aluminium 25 Tonnes. This is an Futures		734.50CR
	LME Copper Grade A 25 tonnes. This Futures		3,310.00DR
	LME Lead 25 tonnes. This is an Futures		1,021.25CR
	LME Nickel 6 tonnes. This is an LME Futures		10,640.34CR
	LME Tin 5 tonnes. This is an LME Futures		3,783.35DR
	LME Zinc 25 tonnes. This is an LME Futures		26,835.50CR
	NYM Henry Hub Natural Gas Futures		410.00DR
	NYM Light Sweet Crude Oil Futures		670.00CR
	COMMISSIONS		964.48DR
	CARRIED FORWARD BALANCE		320,251.21CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

3rd October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 030CT17	1927.00	1926.00		25.00DR
03JUL17	1 030CT17	1923.00	1924.00		25.00CR
03JUL17	1 030CT17	1922.00	1924.00		50.00CR
03JUL17	1 030CT17	1923.00	1924.00		25.00CR
03JUL17	1 030CT17	1925.50	1928.00		62.50CR
03JUL17	1 030CT17	1922.50	1928.00		137.50CR
03JUL17	1 030CT17	1922.50	1923.50		25.00CR
03JUL17	1 030CT17	1922.50	1924.00		37.50CR
03JUL17	1 030CT17	1923.50	1926.00		62.50CR
03JUL17	1 030CT17	1923.00	1928.00		125.00CR
03JUL17	1 030CT17	1922.50	1928.00		137.50CR
03JUL17	1 030CT17	1925.50	1926.00		12.50CR
03JUL17	1 030CT17	1926.50	1926.00		12.50DR
03JUL17	1 030CT17	1925.50	1924.00		37.50DR
03JUL17	1 030CT17	1926.50	1924.00		62.50DR
03JUL17	1 030CT17	1926.50	1926.00		12.50DR
03JUL17	1 030CT17	1926.50	1926.00		12.50DR
03JUL17	1 030CT17	1924.50	1926.00		37.50CR
03JUL17	1 030CT17	1927.00	1926.00		25.00DR
03JUL17	1 030CT17	1926.50	1926.00		12.50DR
03JUL17	1 030CT17	1923.00	1926.50		87.50CR
03JUL17	1 030CT17	1922.50	1926.50		100.00CR
03JUL17	1 030CT17	1925.50	1927.00		37.50CR
03JUL17	1 030CT17	1926.00	1927.00		25.00CR
03JUL17	1 030CT17	1928.50	1927.00		37.50DR
03JUL17	1 030CT17	1928.50	1927.50		25.00DR
03JUL17	1 030CT17	1928.50	1928.00		12.50DR
03JUL17	5 030CT17	1921.50	1927.50		750.00CR
03JUL17	1 030CT17	1921.50	1928.00		162.50CR
03JUL17	1 030CT17	1921.50	1928.50		175.00CR
03JUL17	2 030CT17	1921.50	1928.50		350.00CR
03JUL17	1 030CT17	1921.50	1930.00		212.50CR
03JUL17	1 030CT17	1922.00	1930.00		200.00CR
03JUL17	1 030CT17	1922.00	1928.50		162.50CR
03JUL17	1 030CT17	1922.50	1929.00		162.50CR
03JUL17	1 030CT17	1922.50	1929.00		162.50CR
03JUL17	2 030CT17	1923.00	1929.50		325.00CR
03JUL17	1 030CT17	1923.00	1930.00		175.00CR
03JUL17	1 030CT17	1918.50	1930.00		287.50CR
03JUL17	1 030CT17	1918.50	1930.00		287.50CR
03JUL17	1 030CT17	1930.00	1930.00		0.00
18JUL17	1 030CT17	1927.84	1929.50		41.50CR
18JUL17	1 030CT17	1927.84	1929.00		29.00CR
18JUL17	1 030CT17	1927.84	1896.22		790.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 030CT17	5935.50	5930.50		125.00DR
03JUL17	1 030CT17	5937.50	5938.00		12.50CR
03JUL17	1 030CT17	5937.50	5954.50		425.00CR
03JUL17	1 030CT17	5935.00	5953.50		462.50CR
03JUL17	1 030CT17	5937.50	5938.50		25.00CR
03JUL17	1 030CT17	5943.50	5930.00		337.50DR
03JUL17	1 030CT17	5919.50	5953.00		837.50CR
03JUL17	1 030CT17	5918.00	5937.00		475.00CR
10JUL17	1 030CT17	5821.77	5938.00		2,905.75CR
10JUL17	1 030CT17	5821.77	5928.00		2,655.75CR
10JUL17	1 030CT17	5821.77	5943.50		3,043.25CR
10JUL17	2 030CT17	5821.77	5937.00		5,761.50CR
10JUL17	1 030CT17	5821.77	5953.00		3,280.75CR
10JUL17	1 030CT17	5821.77	5937.00		2,880.75CR
10JUL17	1 030CT17	5821.77	5935.50		2,843.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
10JUL17	1 030CT17	5821.77	5938.00		2,905.75CR
10JUL17	1 030CT17	5821.77	5928.00		2,655.75CR
10JUL17	1 030CT17	5821.77	5937.00		2,880.75CR
10JUL17	1 030CT17	5821.77	5935.00		2,830.75CR
10JUL17	1 030CT17	5821.77	5938.50		2,918.25CR
10JUL17	1 030CT17	5821.77	5953.00		3,280.75CR
10JUL17	1 030CT17	5821.77	5938.00		2,905.75CR
10JUL17	1 030CT17	5821.77	5931.50		2,743.25CR
10JUL17	1 030CT17	5821.77	5928.50		2,668.25CR
10JUL17	1 030CT17	5821.77	5954.50		3,318.25CR
10JUL17	1 030CT17	5821.77	5930.50		2,718.25CR
10JUL17	1 030CT17	5821.77	5954.00		3,305.75CR
10JUL17	1 030CT17	5821.77	5931.00		2,730.75CR
10JUL17	1 030CT17	5821.77	5937.00		2,880.75CR
10JUL17	1 030CT17	5821.77	5917.00		2,380.75CR
10JUL17	1 030CT17	5821.77	5918.50		2,418.25CR
10JUL17	1 030CT17	5821.57	5917.50		2,398.25CR
10JUL17	1 030CT17	5821.57	5926.50		2,623.25CR
10JUL17	1 030CT17	5821.57	5927.50		2,648.25CR
10JUL17	1 030CT17	5821.57	5930.00		2,710.75CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2291.00	2312.00		525.00CR
03JUL17	1 030CT17	2287.00	2311.50		612.50CR
03JUL17	1 030CT17	2286.50	2311.50		625.00CR
03JUL17	1 030CT17	2289.00	2311.50		562.50CR
03JUL17	1 030CT17	2291.00	2308.50		437.50CR
03JUL17	1 030CT17	2288.50	2308.50		500.00CR
03JUL17	1 030CT17	2288.50	2317.50		725.00CR
03JUL17	1 030CT17	2289.00	2317.50		712.50CR
03JUL17	1 030CT17	2287.50	2319.50		800.00CR
03JUL17	1 030CT17	2287.00	2319.50		812.50CR
03JUL17	1 030CT17	2291.00	2324.50		837.50CR
03JUL17	1 030CT17	2289.00	2327.50		962.50CR
03JUL17	1 030CT17	2288.00	2332.00		1,100.00CR
03JUL17	1 030CT17	2287.50	2331.00		1,087.50CR
03JUL17	1 030CT17	2291.00	2333.50		1,062.50CR
10JUL17	1 030CT17	2319.36	2333.00		341.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
20JUL17	1 030CT17	9487.09	9405.00		492.54DR
20JUL17	1 030CT17	9487.09	9380.00		642.54DR
20JUL17	1 030CT17	9487.09	9340.00		882.54DR
20JUL17	1 030CT17	9487.09	9355.00		792.54DR
20JUL17	1 030CT17	9487.09	9355.00		792.54DR
	TOTALS				
	BROUGHT FORWARD BALANCE				926,645.69CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				11,703.50CR
	LME Copper Grade A 25 tonnes. This Futures				81,068.50CR
	LME Nickel 6 tonnes. This is an LME Futures				3,602.70DR
	LME Aluminium 25 Tonnes. This is an Futures				3,405.00CR
	CARRIED FORWARD BALANCE				1,019,219.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086D

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 03OCT17	5921.50	5949.50		700.00CR
03JUL17	1 03OCT17	5915.00	5954.50		987.50CR
03JUL17	1 03OCT17	5912.00	5905.00		175.00DR
03JUL17	1 03OCT17	5910.50	5904.00		162.50DR
03JUL17	1 03OCT17	5911.50	5904.50		175.00DR
03JUL17	1 03OCT17	5911.00	5900.50		262.50DR
03JUL17	1 03OCT17	5905.00	5900.00		125.00DR
03JUL17	1 03OCT17	5950.00	5953.00		75.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2781.00	2789.50		212.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,407.90CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				862.50CR
	LME Zinc 25 tonnes. This is an LME Futures				212.50CR
	CARRIED FORWARD BALANCE				6,482.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086E

3rd October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 03OCT17	5913.00	5954.00		1,025.00CR
03JUL17	1 03OCT17	5911.00	5952.50		1,037.50CR
03JUL17	1 03OCT17	5913.00	5955.00		1,050.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	20000.00	20015.00		75.00CR
03JUL17	1 03OCT17	20000.00	19945.00		275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,515.70CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				200.00DR
	LME Copper Grade A 25 tonnes. This Futures				3,112.50CR
	CARRIED FORWARD BALANCE				6,428.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0086F 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 030CT17	5915.50	5955.00		987.50CR
03JUL17	1 030CT17	5910.50	5954.00		1,087.50CR
03JUL17	1 030CT17	5905.50	5919.50		350.00CR
03JUL17	1 030CT17	5912.50	5954.50		1,050.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	19925.00	20220.00		1,475.00CR
03JUL17	1 030CT17	20050.00	20130.00		400.00CR
03JUL17	1 030CT17	20100.00	19925.00		875.00DR
03JUL17	1 030CT17	20090.00	19925.00		825.00DR
03JUL17	1 030CT17	20090.00	20095.00		25.00CR
03JUL17	1 030CT17	20095.00	20085.00		50.00DR
03JUL17	1 030CT17	20200.00	20145.00		275.00DR
03JUL17	1 030CT17	20200.00	20110.00		450.00DR
03JUL17	1 030CT17	20200.00	20130.00		350.00DR
03JUL17	1 030CT17	20160.00	20150.00		50.00DR
03JUL17	1 030CT17	20150.00	20220.00		350.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				20,395.05CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				625.00DR
	LME Copper Grade A 25 tonnes. This Futures				3,475.00CR
	CARRIED FORWARD BALANCE				23,245.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086G

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 030CT17	5946.00	5950.00		100.00CR
03JUL17	1 030CT17	5915.00	5954.00		975.00CR
03JUL17	1 030CT17	5913.00	5949.00		900.00CR
03JUL17	1 030CT17	5913.00	5953.00		1,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,748.40CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				2,975.00CR
	CARRIED FORWARD BALANCE				6,723.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0086H

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 03OCT17	5918.00	5953.50		887.50CR
03JUL17	1 03OCT17	5913.00	5955.50		1,062.50CR
03JUL17	1 03OCT17	5912.00	5928.00		400.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2321.00	2315.00		150.00DR
03JUL17	1 03OCT17	2314.00	2319.50		137.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	20000.00	19970.00		150.00DR
03JUL17	1 03OCT17	20000.00	19970.00		150.00DR
03JUL17	1 03OCT17	20080.00	20035.00		225.00DR
03JUL17	1 03OCT17	20160.00	20120.00		200.00DR
03JUL17	1 03OCT17	20180.00	20145.00		175.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2775.00	2790.00		375.00CR
03JUL17	1 03OCT17	2790.50	2787.50		75.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,869.25CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				900.00DR
	LME Lead 25 tonnes. This is an Futures				12.50DR
	LME Copper Grade A 25 tonnes. This Futures				2,350.00CR
	LME Zinc 25 tonnes. This is an LME Futures				300.00CR
	CARRIED FORWARD BALANCE				33,606.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0086
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SAPG0086

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		204,555.80CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		3,405.00CR
	LME Copper Grade A 25 tonnes. This Futures		93,843.50CR
	LME Lead 25 tonnes. This is an Futures		11,691.00CR
	LME Nickel 6 tonnes. This is an LME Futures		3,602.70DR
	LME Tin 5 tonnes. This is an LME Futures		1,725.00DR
	LME Zinc 25 tonnes. This is an LME Futures		512.50CR
	CARRIED FORWARD BALANCE		308,680.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0088A 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				29.20DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE INTEREST CARRIED FORWARD BALANCE				73,741.33CR 29.20DR 73,712.13CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>BUSINESS DONE TODAY</u> CME Euro FX 125,000 CME S 5 DEC17 GX 1.17970 9.75DR				
030CT17	CME E-MINI S&P 500 WEEK 1 OPTIONS 50 CME S 5 OCT17 2530.00 Call GX 5.50 9.75DR				1,375.00CR
030CT17	CME E-Mini S&P 500 50 CME S 5 DEC17 GX 2530.00 9.75DR				29.25DR
	Commission				
030CT17	<u>SETTLEMENTS</u> CME Euro FX 125,000 CME 5 DEC17 1.18400 1.17970				2,687.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE OPTION PREMIUMS SETTLEMENTS CME Euro FX Futures COMMISSIONS CARRIED FORWARD BALANCE				289,239.99CR 1,375.00CR 2,687.50DR 29.25DR 287,898.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
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SAPG0088

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		73,753.33CR
	INTEREST		29.20DR
	CARRIED FORWARD BALANCE		73,724.13CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		289,693.69CR
	OPTION PREMIUMS		1,375.00CR
	SETTLEMENTS		
	CME Euro FX Futures		2,687.50DR
	COMMISSIONS		29.25DR
	CARRIED FORWARD BALANCE		288,351.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

3rd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03OCT17	S 3 03JAN18 DMA EL		2127.00	13.05DR	
03OCT17	S 6 03JAN18 DMA EL		2126.50	26.10DR	
	CMX Copper 25,000 CMX				
03OCT17	B 1 DEC17 GX 293.200			1.73DR	
03OCT17	B 1 DEC17 GX 293.250			1.73DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2547.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2547.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2554.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2558.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2568.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2570.00	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		2569.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		2585.00	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		2590.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		2591.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2589.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		2589.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		2590.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		2588.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		2584.00	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	10500.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	10485.00		4.35DR	
03OCT17	B 2 03JAN18 DMA EL	10490.00		8.70DR	
03OCT17	S 1 03JAN18 DMA EL		10710.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 10 02NOV17 SGL UD		3241.35	27.00DR	
03OCT17	S 20 02NOV17 IFC UD		3273.50	54.00DR	
03OCT17	S 2 03JAN18 DMA EL		3227.50	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3228.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3227.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3224.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3225.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3225.50	4.35DR	
03OCT17	S 3 03JAN18 DMA EL		3229.00	13.05DR	
03OCT17	S 1 03JAN18 DMA EL		3230.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3231.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3235.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3235.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3236.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3236.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3238.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3239.50	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3241.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3242.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3254.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3255.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3259.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3261.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3265.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3266.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3267.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3263.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3259.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3258.00	4.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	S	2	03JAN18	DMA	EL		3269.00	8.70DR	
03OCT17	S	2	03JAN18	DMA	EL		3268.00	8.70DR	
03OCT17	B	1	03JAN18	DMA	EL	3231.00		4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3222.50	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3226.50	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3242.00	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3262.00	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3262.50	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3268.50	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3264.50	4.35DR	
03OCT17	S	2	03JAN18	DMA	EL		3267.50	8.70DR	
03OCT17	S	2	03JAN18	DMA	EL		3266.00	8.70DR	
03OCT17	S	1	03JAN18	DMA	EL		3271.50	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3272.00	4.35DR	
03OCT17	S	1	03JAN18	DMA	EL		3275.00	4.35DR	
03OCT17	B	2	03JAN18	DMA	EL	3287.50		8.70DR	
03OCT17	B	10	03JAN18	SGL	UD	3237.15		27.00DR	
03OCT17	B	1	03JAN18	DMA	EL	3275.50		4.35DR	
03OCT17	B	5	03JAN18	DMA	EL	3278.00		21.75DR	
03OCT17	B	2	03JAN18	DMA	EL	3279.50		8.70DR	
03OCT17	B	20	03JAN18	IFC	UD	3264.20		54.00DR	
03OCT17	B	4	03JAN18	DMA	EL	3279.00		17.40DR	
03OCT17	B	3	03JAN18	DMA	EL	3281.00		13.05DR	
03OCT17	B	2	03JAN18	DMA	EL	3281.50		8.70DR	
03OCT17	B	2	03JAN18	DMA	EL	3280.00		8.70DR	
03OCT17	B	2	03JAN18	DMA	EL	3283.00		8.70DR	
	Commission								643.96DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
03OCT17		1	DEC17			293.200	292.950		62.50DR
03OCT17		1	DEC17			293.250	292.950		75.00DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
03JUL17		1	03OCT17			1920.00	1929.00		225.00CR
03JUL17		1	03OCT17			1919.50	1929.50		250.00CR
03JUL17		1	03OCT17			1921.50	1929.50		200.00CR
03JUL17		1	03OCT17			1920.00	1928.50		212.50CR
03JUL17		1	03OCT17			1918.50	1929.00		262.50CR
11JUL17		1	03OCT17			1899.23	1929.00		744.25CR
11JUL17		1	03OCT17			1899.23	1925.00		644.25CR
11JUL17		1	03OCT17			1899.23	1925.00		644.25CR
08SEP17		1	03OCT17			2082.23	2015.05		1,679.50DR
08SEP17		3	03OCT17			2082.23	2015.05		5,038.50DR
08SEP17		1	03OCT17			2082.23	2015.05		1,679.50DR
08SEP17		1	03OCT17			2082.23	2015.05		1,679.50DR
08SEP17		3	03OCT17			2082.23	2040.83		3,105.00DR
08SEP17		2	03OCT17			2082.23	2040.83		2,070.00DR
08SEP17		2	03OCT17			2082.23	2040.83		2,070.00DR
08SEP17		2	03OCT17			2082.23	2086.47		212.00CR
14SEP17		6	03OCT17			2073.25	2086.47		1,983.00CR
14SEP17		2	03OCT17			2073.25	2064.88		418.50DR
14SEP17		2	03OCT17			2073.25	2086.83		679.00CR
29SEP17		10	03OCT17			2110.45	2095.99		3,615.00DR
29SEP17		3	03OCT17			2110.45	2105.96		336.75DR
29SEP17		3	03OCT17			2080.00	2105.96		1,947.00CR
	LME Lead 25 tonnes. This is an LME registered client contract								
11JUL17		1	03OCT17			2333.50	2303.11		759.75DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
11JUL17	1 030CT17	2332.50	2303.11		734.75DR
21AUG17	2 030CT17	2335.66	2372.67		1,850.50CR
21AUG17	3 030CT17	2335.66	2509.10		13,008.00CR
19SEP17	7 030CT17	2318.23	2400.97		14,479.50CR
19SEP17	2 030CT17	2318.23	2400.97		4,137.00CR
19SEP17	2 030CT17	2318.23	2400.97		4,137.00CR
19SEP17	4 030CT17	2241.48	2400.97		15,949.00CR
20SEP17	10 030CT17	2241.48	2447.79		51,577.50CR
22SEP17	5 030CT17	2419.00	2447.79		3,598.75CR
22SEP17	2 030CT17	2418.00	2447.79		1,489.50CR
22SEP17	9 030CT17	2418.00	2447.79		6,702.75CR
22SEP17	1 030CT17	2418.00	2517.50		2,487.50CR
28SEP17	1 030CT17	2466.75	2517.50		1,268.75CR
29SEP17	12 030CT17	2518.70	2517.50		360.00DR
29SEP17	8 030CT17	2518.70	2517.50		240.00DR
29SEP17	11 030CT17	2518.70	2517.50		330.00DR
29SEP17	5 030CT17	2518.70	2517.50		150.00DR
29SEP17	5 030CT17	2518.70	2517.50		150.00DR
29SEP17	6 030CT17	2492.00	2517.50		3,825.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
25JUL17	1 030CT17	9410.00	9995.63		3,513.78CR
25JUL17	1 030CT17	9415.00	9995.63		3,483.78CR
25JUL17	1 030CT17	9415.00	9995.63		3,483.78CR
25JUL17	1 030CT17	9400.00	9995.63		3,573.78CR
29SEP17	15 030CT17	11292.39	10581.50		63,980.10DR
29SEP17	10 030CT17	11136.61	10581.50		33,306.60DR
29SEP17	2 030CT17	11136.61	10581.50		6,661.32DR
29SEP17	3 030CT17	11136.61	10415.50		12,979.98DR
29SEP17	10 030CT17	11173.37	10415.50		45,472.20DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2778.00	2781.00		75.00CR
03JUL17	1 030CT17	2778.00	2783.00		125.00CR
03JUL17	1 030CT17	2777.50	2784.00		162.50CR
11JUL17	1 030CT17	2776.50	2819.07		1,064.25CR
11JUL17	1 030CT17	2775.50	2819.07		1,089.25CR
11JUL17	1 030CT17	2808.50	2819.07		264.25CR
11JUL17	1 030CT17	2809.00	2819.07		251.75CR
11JUL17	1 030CT17	2809.50	2819.07		239.25CR
15SEP17	6 030CT17	3033.12	3088.25		8,269.50CR
26SEP17	4 030CT17	3131.00	3088.25		4,275.00DR
26SEP17	7 030CT17	3131.00	3138.75		1,356.25CR
26SEP17	2 030CT17	3131.00	3135.00		200.00CR
26SEP17	2 030CT17	3131.00	3138.00		350.00CR
29SEP17	6 030CT17	3216.20	3139.00		11,580.00DR
29SEP17	1 030CT17	3205.45	3215.80		258.75CR
	TOTALS				
	BROUGHT FORWARD BALANCE				217,053.41CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				121,786.25CR
	LME Zinc 25 tonnes. This is an LME Futures				2,149.25DR
	CMX Copper Futures				137.50DR
	LME Nickel 6 tonnes. This is an LME Futures				148,345.08DR
	LME Aluminium 25 Tonnes. This is an Futures				13,688.50DR
	COMMISSIONS				643.96DR
	CARRIED FORWARD BALANCE				173,875.37CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0094A

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03OCT17	S 1 03JAN18 DMA EL		2125.50	4.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2525.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2525.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2541.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2544.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2547.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2548.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2563.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2556.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2561.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2564.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2568.00	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	2596.50		4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2554.50	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	10475.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	10480.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	10435.00		4.35DR	
03OCT17	B 2 03JAN18 DMA EL	10455.00		8.70DR	
03OCT17	S 3 03JAN18 DMA EL		10700.00	13.05DR	
	CMX Silver 5,000 CMX				
03OCT17	B 1 DEC17 GX	1661.5		1.73DR	
03OCT17	B 1 DEC17 GX	1661.0		1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 20 02NOV17 IFC UD		3273.50	54.00DR	
03OCT17	S 1 03JAN18 DMA EL		3227.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3228.50	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3224.00	4.35DR	
03OCT17	S 3 03JAN18 DMA EL		3225.00	13.05DR	
03OCT17	S 1 03JAN18 DMA EL		3253.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3262.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3266.50	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3259.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3258.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3260.00	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3260.50	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3264.50	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3267.50	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3269.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3244.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3246.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3268.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3270.00	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3263.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3263.50	4.35DR	
03OCT17	S 2 03JAN18 DMA EL		3265.00	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3262.00	8.70DR	
03OCT17	S 2 03JAN18 DMA EL		3264.00	8.70DR	
03OCT17	S 1 03JAN18 DMA EL		3266.00	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3276.00		4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3270.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3279.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3280.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3287.50		4.35DR	
03OCT17	S 3 03JAN18 DMA EL		3275.00	13.05DR	

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03OCT17	B 1 03JAN18 DMA EL	3275.00		4.35DR	
03OCT17	B 20 03JAN18 IFC UD	3264.20		54.00DR	
	Commission				402.91DR
	MATCH OUTS				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 03OCT17	1921.00	1926.00		125.00CR
03JUL17	1 03OCT17	1920.50	1926.00		137.50CR
03JUL17	1 03OCT17	1922.50	1928.50		150.00CR
03JUL17	1 03OCT17	1921.50	1928.50		175.00CR
03JUL17	1 03OCT17	1920.00	1927.50		187.50CR
03JUL17	1 03OCT17	1919.00	1925.00		150.00CR
03JUL17	1 03OCT17	1918.50	1925.00		162.50CR
03JUL17	1 03OCT17	1916.00	1926.50		262.50CR
11JUL17	1 03OCT17	1899.23	1924.50		631.75CR
08SEP17	1 03OCT17	2082.23	2036.44		1,144.75DR
08SEP17	5 03OCT17	2082.23	2036.44		5,723.75DR
18SEP17	1 03OCT17	2065.75	2015.05		1,267.50DR
18SEP17	6 03OCT17	2065.75	2015.05		7,605.00DR
18SEP17	7 03OCT17	2065.75	2086.47		3,626.00CR
29SEP17	1 03OCT17	2110.50	2086.47		600.75DR
29SEP17	1 03OCT17	2110.50	2067.63		1,071.75DR
29SEP17	6 03OCT17	2080.00	2067.63		1,855.50DR
29SEP17	2 03OCT17	2080.00	2067.63		618.50DR
29SEP17	3 03OCT17	2080.00	2067.63		927.75DR
29SEP17	2 03OCT17	2080.00	2086.83		341.50CR
29SEP17	1 03OCT17	2080.00	2110.05		751.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
11JUL17	1 03OCT17	2303.11	2313.50		259.75CR
18SEP17	16 03OCT17	2312.69	2368.43		22,296.00CR
18SEP17	1 03OCT17	2241.48	2368.43		3,173.75CR
18SEP17	5 03OCT17	2241.48	2368.43		15,868.75CR
19SEP17	1 03OCT17	2241.48	2363.00		3,038.00CR
19SEP17	6 03OCT17	2241.48	2363.00		18,228.00CR
19SEP17	13 03OCT17	2264.84	2363.00		31,902.00CR
20SEP17	4 03OCT17	2264.84	2447.79		18,295.00CR
29SEP17	4 03OCT17	2518.70	2491.28		2,742.00DR
29SEP17	1 03OCT17	2492.00	2491.28		18.00DR
29SEP17	18 03OCT17	2492.00	2517.50		11,475.00CR
29SEP17	9 03OCT17	2492.00	2517.50		5,737.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	9400.00	9405.00		30.00CR
03JUL17	1 03OCT17	9395.00	9405.00		60.00CR
03JUL17	1 03OCT17	9415.00	9405.00		60.00DR
26JUL17	1 03OCT17	9415.00	10033.43		3,710.58CR
29SEP17	4 03OCT17	11136.61	10581.50		13,322.64DR
29SEP17	7 03OCT17	11173.37	10581.50		24,858.54DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2809.50	2781.50		700.00DR
03JUL17	1 03OCT17	2809.00	2806.00		75.00DR
03JUL17	1 03OCT17	2787.50	2779.50		200.00DR
03JUL17	1 03OCT17	2787.50	2782.50		125.00DR
03JUL17	1 03OCT17	2787.50	2781.00		162.50DR
03JUL17	1 03OCT17	2777.50	2782.00		112.50CR
03JUL17	1 03OCT17	2775.50	2780.00		112.50CR
03JUL17	1 03OCT17	2771.00	2782.00		275.00CR

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03JUL17	1 03OCT17	2809.00	2781.00		700.00DR
03JUL17	1 03OCT17	2809.00	2781.50		687.50DR
03JUL17	1 03OCT17	2809.00	2783.00		650.00DR
03JUL17	1 03OCT17	2809.50	2784.00		637.50DR
03JUL17	1 03OCT17	2808.50	2782.50		650.00DR
03JUL17	1 03OCT17	2809.00	2809.00		0.00
03JUL17	1 03OCT17	2809.50	2806.00		87.50DR
03JUL17	1 03OCT17	2769.50	2806.50		925.00CR
11JUL17	1 03OCT17	2819.27	2806.50		319.25DR
12JUL17	1 03OCT17	2831.75	2806.00		643.75DR
12JUL17	1 03OCT17	2831.75	2807.50		606.25DR
12JUL17	1 03OCT17	2831.75	2808.50		581.25DR
12JUL17	1 03OCT17	2831.75	2790.50		1,031.25DR
12JUL17	1 03OCT17	2831.75	2790.00		1,043.75DR
12JUL17	1 03OCT17	2831.75	2790.50		1,031.25DR
15SEP17	2 03OCT17	3033.12	2932.87		5,012.50DR
15SEP17	3 03OCT17	3033.12	2954.90		5,866.50DR
15SEP17	7 03OCT17	3033.12	2954.90		13,688.50DR
15SEP17	2 03OCT17	3033.12	3117.27		4,207.50CR
28SEP17	5 03OCT17	3183.00	3093.19		11,226.25DR
28SEP17	3 03OCT17	3183.00	3093.19		6,735.75DR
28SEP17	2 03OCT17	3183.00	3093.19		4,490.50DR
28SEP17	3 03OCT17	3183.00	3104.76		5,868.00DR
28SEP17	7 03OCT17	3183.00	3104.76		13,692.00DR
28SEP17	5 03OCT17	3183.00	3128.90		6,762.50DR
28SEP17	5 03OCT17	3183.00	3122.11		7,611.25DR
28SEP17	15 03OCT17	3183.00	3088.05		35,606.25DR
29SEP17	3 03OCT17	3184.00	3088.05		7,196.25DR
29SEP17	1 03OCT17	3183.00	3088.05		2,373.75DR
29SEP17	1 03OCT17	3216.20	3088.05		3,203.75DR
29SEP17	2 03OCT17	3216.20	3138.75		3,872.50DR
29SEP17	13 03OCT17	3216.20	3138.75		25,171.25DR
29SEP17	13 03OCT17	3216.20	3137.00		25,740.00DR
29SEP17	7 03OCT17	3216.20	3137.00		13,860.00DR
29SEP17	15 03OCT17	3216.20	3110.91		39,483.75DR
29SEP17	7 03OCT17	3216.20	3110.91		18,425.75DR
29SEP17	3 03OCT17	3216.20	3215.80		30.00DR
29SEP17	1 03OCT17	3216.20	3205.05		278.75DR
TOTALS					
BROUGHT FORWARD BALANCE					516,493.97DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					127,513.75CR
LME Zinc 25 tonnes. This is an LME Futures					260,495.00DR
LME Nickel 6 tonnes. This is an LME Futures					34,440.60DR
LME Aluminium 25 Tonnes. This is an Futures					14,114.75DR
COMMISSIONS					402.91DR
CARRIED FORWARD BALANCE					698,433.48DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094H

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2589.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2590.00	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	2594.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	2597.00		4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		3219.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3240.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3241.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3288.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3265.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3263.50		4.35DR	
	Commission				43.50DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
30AUG17	10 03OCT17	2076.80	2036.24		10,140.00DR
13SEP17	2 03OCT17	2084.99	2036.44		2,427.50DR
14SEP17	7 03OCT17	2073.25	2036.44		6,441.75DR
18SEP17	3 03OCT17	2065.75	2036.44		2,198.25DR
18SEP17	2 03OCT17	2065.75	2036.44		1,465.50DR
25SEP17	1 03OCT17	2126.34	2036.44		2,247.50DR
26SEP17	1 03OCT17	2102.00	2036.44		1,639.00DR
29SEP17	1 03OCT17	2103.76	2036.44		1,683.00DR
29SEP17	1 03OCT17	2105.51	2036.44		1,726.75DR
29SEP17	1 03OCT17	2105.26	2036.44		1,720.50DR
29SEP17	1 03OCT17	2105.01	2106.16		28.75CR
29SEP17	5 03OCT17	2110.45	2106.16		536.25DR
29SEP17	3 03OCT17	2105.76	2106.16		30.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
29SEP17	1 03OCT17	3184.50	3138.55		1,148.75DR
29SEP17	1 03OCT17	3185.00	3138.55		1,161.25DR
29SEP17	1 03OCT17	3180.00	3138.55		1,036.25DR
29SEP17	2 03OCT17	3205.25	3138.55		3,335.00DR
29SEP17	2 03OCT17	3205.25	3103.00		5,112.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,467.47CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				11,793.75DR
	LME Aluminium 25 Tonnes. This is an Futures				32,167.25DR
	COMMISSIONS				43.50DR
	CARRIED FORWARD BALANCE				40,537.03DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094J

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	4 030CT17	1912.29	1929.00		1,671.00CR
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	1 030CT17	1912.29	1929.00		417.75CR
21JUL17	1 030CT17	1912.29	1927.50		380.25CR
21JUL17	1 030CT17	1912.29	1927.50		380.25CR
21JUL17	8 030CT17	1912.29	1927.00		2,942.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03AUG17	1 030CT17	6342.20	5912.50		10,742.50DR
03AUG17	1 030CT17	6342.20	5912.50		10,742.50DR
03AUG17	1 030CT17	6342.20	5912.50		10,742.50DR
03AUG17	1 030CT17	6342.20	5912.50		10,742.50DR
03AUG17	1 030CT17	6342.20	5912.50		10,742.50DR
03AUG17	1 030CT17	6342.20	5918.00		10,605.00DR
03AUG17	1 030CT17	6342.20	5918.00		10,605.00DR
03AUG17	1 030CT17	6342.20	5918.00		10,605.00DR
03AUG17	2 030CT17	6342.20	5918.00		21,210.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,165,542.62CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				106,737.50DR
	LME Aluminium 25 Tonnes. This is an Futures				7,880.00CR
	CARRIED FORWARD BALANCE				1,066,685.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094L

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 030CT17	6001.00	5926.50		1,862.50DR
18JUL17	1 030CT17	6001.00	5916.00		2,125.00DR
18JUL17	1 030CT17	6001.00	5916.00		2,125.00DR
18JUL17	1 030CT17	6001.00	5918.50		2,062.50DR
18JUL17	1 030CT17	6001.00	5918.00		2,075.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				194,193.45DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				10,250.00DR
	CARRIED FORWARD BALANCE				204,443.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	S 1 NOV17 TTR GX		50.45	2.30DR	
030CT17	S 1 NOV17 TTR GX		50.54	2.30DR	
030CT17	S 1 NOV17 TTR GX		50.53	2.30DR	
030CT17	S 3 NOV17 TTR GX		50.55	6.90DR	
030CT17	S 1 NOV17 TTR GX		50.58	2.30DR	
030CT17	S 2 NOV17 TTR GX		50.59	4.60DR	
030CT17	S 1 NOV17 TTR GX		50.60	2.30DR	
030CT17	S 2 NOV17 TTR GX		50.64	4.60DR	
030CT17	S 3 NOV17 TTR GX		50.66	6.90DR	
030CT17	B 1 NOV17 TTR GX	50.47		2.30DR	
030CT17	B 1 NOV17 TTR GX	50.43		2.30DR	
030CT17	B 5 NOV17 TTR GX	50.45		11.50DR	
030CT17	S 2 NOV17 TTR GX		50.48	4.60DR	
030CT17	S 2 NOV17 TTR GX		50.41	4.60DR	
030CT17	S 1 NOV17 TTR GX		50.42	2.30DR	
030CT17	S 1 NOV17 TTR GX		50.47	2.30DR	
030CT17	S 1 NOV17 TTR GX		50.27	2.30DR	
030CT17	B 3 NOV17 TTR GX	50.27		6.90DR	
030CT17	B 2 NOV17 TTR GX	50.29		4.60DR	
030CT17	B 4 NOV17 TTR GX	50.28		9.20DR	
030CT17	B 4 NOV17 TTR GX	50.26		9.20DR	
030CT17	B 2 NOV17 TTR GX	50.33		4.60DR	
030CT17	S 1 NOV17 TTR GX		50.51	2.30DR	
030CT17	S 1 NOV17 TTR GX		50.68	2.30DR	
030CT17	B 3 NOV17 TTR GX	50.71		6.90DR	
030CT17	B 1 NOV17 TTR GX	50.70		2.30DR	
030CT17	B 2 NOV17 TTR GX	50.65		4.60DR	
030CT17	B 1 NOV17 TTR GX	50.61		2.30DR	
030CT17	B 2 NOV17 TTR GX	50.62		4.60DR	
030CT17	B 1 NOV17 TTR GX	50.60		2.30DR	
030CT17	B 1 NOV17 TTR GX	50.57		2.30DR	
030CT17	B 2 NOV17 TTR GX	50.59		4.60DR	
030CT17	B 2 NOV17 TTR GX	50.56		4.60DR	
030CT17	B 2 NOV17 TTR GX	50.55		4.60DR	
030CT17	B 1 NOV17 TTR GX	50.51		2.30DR	
030CT17	B 1 NOV17 TTR GX	50.42		2.30DR	
030CT17	B 3 NOV17 TTR GX	50.46		6.90DR	
030CT17	B 1 NOV17 TTR GX	50.41		2.30DR	
030CT17	S 1 NOV17 TTR GX		50.30	2.30DR	
030CT17	S 9 NOV17 TTR GX		50.43	20.70DR	
030CT17	S 9 NOV17 TTR GX		50.44	20.70DR	
030CT17	S 4 NOV17 TTR GX		50.46	9.20DR	
030CT17	B 5 NOV17 TTR GX	50.44		11.50DR	
	CMX Copper 25,000 CMX				
030CT17	B 1 DEC17 TTR GX	296.250		2.30DR	
	Commission				225.40DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 NOV17	50.65	50.45		200.00DR
030CT17	1 NOV17	50.44	50.54		100.00CR
030CT17	1 NOV17	50.44	50.53		90.00CR
030CT17	1 NOV17	50.47	50.55		80.00CR
030CT17	1 NOV17	50.46	50.55		90.00CR
030CT17	1 NOV17	50.45	50.55		100.00CR
030CT17	1 NOV17	50.45	50.48		30.00CR
030CT17	1 NOV17	50.45	50.58		130.00CR
030CT17	1 NOV17	50.43	50.59		160.00CR
030CT17	1 NOV17	50.44	50.59		150.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 NOV17	50.45	50.60		150.00CR
03OCT17	1 NOV17	50.45	50.64		190.00CR
03OCT17	1 NOV17	50.28	50.64		360.00CR
03OCT17	2 NOV17	50.27	50.66		780.00CR
03OCT17	1 NOV17	50.27	50.66		390.00CR
03OCT17	1 NOV17	50.29	50.48		190.00CR
03OCT17	2 NOV17	50.28	50.41		260.00CR
03OCT17	1 NOV17	50.29	50.42		130.00CR
03OCT17	1 NOV17	50.28	50.44		160.00CR
03OCT17	1 NOV17	50.26	50.44		180.00CR
03OCT17	1 NOV17	50.26	50.44		180.00CR
03OCT17	1 NOV17	50.26	50.46		200.00CR
03OCT17	1 NOV17	50.33	50.46		130.00CR
03OCT17	1 NOV17	50.33	50.47		140.00CR
03OCT17	1 NOV17	50.71	50.46		250.00DR
03OCT17	1 NOV17	50.71	50.43		280.00DR
03OCT17	1 NOV17	50.71	50.43		280.00DR
03OCT17	1 NOV17	50.70	50.27		430.00DR
03OCT17	1 NOV17	50.65	50.51		140.00DR
03OCT17	1 NOV17	50.61	50.44		170.00DR
03OCT17	1 NOV17	50.62	50.68		60.00CR
03OCT17	1 NOV17	50.62	50.30		320.00DR
03OCT17	1 NOV17	50.59	50.43		160.00DR
03OCT17	1 NOV17	50.60	50.43		170.00DR
03OCT17	1 NOV17	50.57	50.43		140.00DR
03OCT17	1 NOV17	50.59	50.43		160.00DR
03OCT17	1 NOV17	50.56	50.43		130.00DR
03OCT17	1 NOV17	50.56	50.43		130.00DR
03OCT17	1 NOV17	50.55	50.43		120.00DR
03OCT17	1 NOV17	50.55	50.44		110.00DR
03OCT17	1 NOV17	50.51	50.44		70.00DR
03OCT17	1 NOV17	50.42	50.44		20.00CR
03OCT17	1 NOV17	50.46	50.44		20.00DR
03OCT17	1 NOV17	50.46	50.46		0.00
	MATCH OUTS				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	1 03OCT17	6001.00	5917.00		2,100.00DR
18JUL17	1 03OCT17	6001.00	5920.00		2,025.00DR
18JUL17	1 03OCT17	6001.00	5919.50		2,037.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				52,395.80DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				6,162.50DR
	NYM Light Sweet Crude Oil Futures				1,350.00CR
	COMMISSIONS				225.40DR
	CARRIED FORWARD BALANCE				57,433.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP00940 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
18JUL17	2 030CT17	6001.00	5925.50		3,775.00DR
18JUL17	1 030CT17	6001.00	5915.50		2,137.50DR
18JUL17	1 030CT17	6001.00	5914.00		2,175.00DR
18JUL17	1 030CT17	6001.00	5918.50		2,062.50DR
18JUL17	1 030CT17	6001.00	5920.00		2,025.00DR
18JUL17	1 030CT17	6001.00	5917.00		2,100.00DR
18JUL17	1 030CT17	6001.00	5917.00		2,100.00DR
18JUL17	1 030CT17	6001.00	5917.50		2,087.50DR
18JUL17	1 030CT17	6001.00	5919.00		2,050.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				342,437.10DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				20,512.50DR
	CARRIED FORWARD BALANCE				362,949.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		10595.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		10670.00	4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
03OCT17	B 2 03JAN18 DMA EL	20700.00		8.70DR	
	Commission				17.40DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 03OCT17	5911.50	5914.00		62.50CR
03JUL17	1 03OCT17	5903.50	5913.50		250.00CR
03JUL17	1 03OCT17	5903.00	5921.00		450.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2314.50	2335.00		512.50CR
20JUL17	1 03OCT17	2210.04	2335.00		3,124.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	9355.00	9365.00		60.00CR
03JUL17	1 03OCT17	9355.00	9365.00		60.00CR
03JUL17	1 03OCT17	9355.00	9365.00		60.00CR
03JUL17	1 03OCT17	9355.00	9365.00		60.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	20125.00	20075.00		250.00DR
03JUL17	1 03OCT17	20150.00	19985.00		825.00DR
03JUL17	1 03OCT17	20155.00	20170.00		75.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2757.00	2790.50		837.50CR
20JUL17	1 03OCT17	2804.00	2724.91		1,977.25DR
20JUL17	1 03OCT17	2804.00	2724.91		1,977.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,972.87DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,000.00DR
	LME Lead 25 tonnes. This is an Futures				3,636.50CR
	LME Copper Grade A 25 tonnes. This Futures				762.50CR
	LME Zinc 25 tonnes. This is an LME Futures				3,117.00DR
	LME Nickel 6 tonnes. This is an LME Futures				240.00CR
	COMMISSIONS				17.40DR
	CARRIED FORWARD BALANCE				2,468.27DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

3rd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
20JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract. 1 030CT17	1922.00	1913.45		213.75DR
03JUL17	LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 030CT17	5904.00	5903.00		25.00DR
03JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 030CT17	2308.50	2307.00		37.50DR
03JUL17		2306.00	2335.00		725.00CR
20JUL17		2210.04	2335.00		3,124.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	9350.00	9395.00		270.00CR
20JUL17	1 030CT17	9370.00	9485.09		690.54CR
20JUL17	1 030CT17	9350.00	9485.09		810.54CR
20JUL17	1 030CT17	9370.00	9486.09		696.54CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	19970.00	19990.00		100.00CR
03JUL17	1 030CT17	20070.00	20075.00		25.00CR
03JUL17	1 030CT17	20100.00	20065.00		175.00DR
03JUL17	1 030CT17	20125.00	19985.00		700.00DR
03JUL17	1 030CT17	20125.00	19970.00		775.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2757.00	2777.00		500.00CR
03JUL17	1 030CT17	2757.00	2778.00		525.00CR
20JUL17	1 030CT17	2755.00	2724.91		752.25DR
20JUL17	1 030CT17	2803.00	2724.91		1,952.25DR
20JUL17	1 030CT17	2803.00	2724.91		1,952.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,108.11DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,525.00DR
	LME Lead 25 tonnes. This is an Futures				3,811.50CR
	LME Copper Grade A 25 tonnes. This Futures				25.00DR
	LME Zinc 25 tonnes. This is an LME Futures				3,631.75DR
	LME Nickel 6 tonnes. This is an LME Futures				2,467.62CR
	LME Aluminium 25 Tonnes. This is an Futures				213.75DR
	CARRIED FORWARD BALANCE				3,224.49DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2542.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2563.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2564.00	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	2594.50		4.35DR	
03OCT17	B 2 03JAN18 DMA EL	2597.00		8.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		3226.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3228.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3229.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3230.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3236.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3288.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3288.50		4.35DR	
03OCT17	B 3 03JAN18 DMA EL	3289.50		13.05DR	
03OCT17	S 1 03JAN18 DMA EL		3282.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3283.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3262.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3263.50		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3278.00		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3281.50		4.35DR	
	Commission				95.70DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 03OCT17	1923.50	1928.50		125.00CR
06SEP17	2 03OCT17	2090.98	2105.96		749.00CR
06SEP17	1 03OCT17	2090.98	2105.96		374.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2313.50	2318.00		112.50CR
03JUL17	1 03OCT17	2310.50	2319.50		225.00CR
03JUL17	1 03OCT17	2306.00	2317.50		287.50CR
03JUL17	1 03OCT17	2308.50	2310.50		50.00CR
26SEP17	5 03OCT17	2479.18	2517.50		4,790.00CR
26SEP17	5 03OCT17	2479.18	2517.50		4,790.00CR
29SEP17	16 03OCT17	2492.00	2518.30		10,520.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
17JUL17	1 03OCT17	9405.00	9590.76		1,114.56CR
17JUL17	1 03OCT17	9405.00	9590.76		1,114.56CR
17JUL17	1 03OCT17	9405.00	9590.76		1,114.56CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2787.00	2793.00		150.00CR
03JUL17	1 03OCT17	2787.00	2794.00		175.00CR
03JUL17	1 03OCT17	2787.50	2787.50		0.00
03JUL17	1 03OCT17	2785.50	2787.50		50.00CR
03JUL17	1 03OCT17	2783.00	2787.50		112.50CR
03JUL17	1 03OCT17	2782.50	2774.00		212.50DR
03JUL17	1 03OCT17	2781.50	2783.50		50.00CR
03JUL17	1 03OCT17	2774.00	2784.00		250.00CR
03JUL17	1 03OCT17	2806.00	2785.00		525.00DR
17JUL17	1 03OCT17	2813.10	2787.00		652.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

3rd October 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
17JUL17	1 030CT17	2813.10	2791.00		552.50DR
17JUL17	1 030CT17	2813.10	2803.50		240.00DR
17JUL17	1 030CT17	2813.10	2804.50		215.00DR
17JUL17	1 030CT17	2813.10	2808.50		115.00DR
17JUL17	1 030CT17	2813.10	2782.00		777.50DR
17JUL17	1 030CT17	2813.10	2790.50		565.00DR
15SEP17	3 030CT17	3033.12	3088.05		4,119.75CR
28SEP17	1 030CT17	3183.00	3088.05		2,373.75DR
28SEP17	1 030CT17	3183.00	3138.55		1,111.25DR
28SEP17	5 030CT17	3183.00	3202.50		2,437.50CR
29SEP17	3 030CT17	3205.25	3137.00		5,118.75DR
29SEP17	1 030CT17	3205.25	3215.80		263.75CR
29SEP17	7 030CT17	3205.25	3215.80		1,846.25CR
TOTALS					
BROUGHT FORWARD BALANCE					43,218.61DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					20,775.00CR
LME Zinc 25 tonnes. This is an LME Futures					3,004.00DR
LME Nickel 6 tonnes. This is an LME Futures					3,343.68CR
LME Aluminium 25 Tonnes. This is an Futures					1,248.50CR
COMMISSIONS					95.70DR
CARRIED FORWARD BALANCE					20,951.13DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0094U

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2590.00	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2591.00	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	2588.00		4.35DR	
03OCT17	S 1 03JAN18 DMA EL		2592.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 3 03JAN18 DMA EL		3230.00	13.05DR	
03OCT17	S 1 03JAN18 DMA EL		3230.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3236.50		4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3234.50	4.35DR	
03OCT17	S 1 03JAN18 DMA EL		3236.50	4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3267.50		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3268.50		4.35DR	
03OCT17	B 1 03JAN18 DMA EL	3262.00		4.35DR	
03OCT17	B 2 03JAN18 DMA EL	3262.50		8.70DR	
03OCT17	B 4 03JAN18 DMA EL	3264.00		17.40DR	
	Commission				87.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
14SEP17	1 03OCT17	2073.25	2106.16		822.75CR
14SEP17	2 03OCT17	2073.25	2106.16		1,645.50CR
21SEP17	1 03OCT17	2148.16	2106.16		1,050.00DR
28SEP17	1 03OCT17	2107.50	2106.16		33.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
13SEP17	2 03OCT17	2318.69	2264.84		2,692.50DR
14SEP17	1 03OCT17	2318.69	2283.28		885.25DR
26SEP17	5 03OCT17	2479.18	2517.50		4,790.00CR
29SEP17	5 03OCT17	2492.00	2517.50		3,187.50CR
29SEP17	7 03OCT17	2492.00	2518.30		4,602.50CR
29SEP17	1 03OCT17	2492.00	2518.30		657.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	1 03OCT17	3131.75	3088.05		1,092.50DR
26SEP17	3 03OCT17	3131.75	3138.55		510.00CR
29SEP17	1 03OCT17	3205.25	3138.55		1,667.50DR
29SEP17	1 03OCT17	3205.25	3138.55		1,667.50DR
29SEP17	1 03OCT17	3205.25	3215.80		263.75CR
29SEP17	5 03OCT17	3205.25	3215.80		1,318.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				61,484.08DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				9,659.75CR
	LME Zinc 25 tonnes. This is an LME Futures				2,335.00DR
	LME Aluminium 25 Tonnes. This is an Futures				1,384.75CR
	COMMISSIONS				87.00DR
	CARRIED FORWARD BALANCE				52,861.58DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094U

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094V

3rd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
21SEP17	15 030CT17	2517.50	2367.11		56,396.25DR
21SEP17	10 030CT17	2517.50	2366.84		37,665.00DR
29SEP17	3 030CT17	2518.70	2492.00		2,002.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				30,884.79CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				96,063.75DR
	CARRIED FORWARD BALANCE				65,178.96DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	S 1 18DEC17 IFC UD		2127.21	2.70DR	
030CT17	B 1 21DEC17 IFC UD	2128.18		2.70DR	
030CT17	B 1 21DEC17 IFC UD	2127.98		2.70DR	
030CT17	S 1 29DEC17 IFC UD		2129.64	2.70DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
030CT17	S 1 13DEC17 IFC UD		6514.98	2.70DR	
030CT17	S 1 14DEC17 IFC UD		6515.38	2.70DR	
030CT17	B 1 22DEC17 IFC UD	6515.95		2.70DR	
030CT17	B 1 27DEC17 IFC UD	6517.72		2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
030CT17	S 1 18DEC17 IFC UD		2592.24	2.70DR	
030CT17	S 1 20DEC17 IFC UD		2592.50	2.70DR	
030CT17	B 2 21DEC17 IFC UD	2592.49		5.40DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
030CT17	S 10 19DEC17 IFC UD		10606.11	27.00DR	
030CT17	B 10 20DEC17 IFC UD	10608.00		27.00DR	
030CT17	B 6 20DEC17 IFC UD	10607.00		16.20DR	
030CT17	S 6 21DEC17 IFC UD		10607.00	16.20DR	
030CT17	S 5 21DEC17 IFC UD		10608.00	13.50DR	
030CT17	S 3 22DEC17 IFC UD		10609.00	8.10DR	
030CT17	B 3 27DEC17 IFC UD	10614.58		8.10DR	
030CT17	B 5 29DEC17 IFC UD	10616.42		13.50DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
030CT17	B 1 15DEC17 IFC UD	20843.75		2.70DR	
030CT17	B 1 21DEC17 IFC UD	20833.40		2.70DR	
030CT17	S 1 28DEC17 IFC UD		20812.60	2.70DR	
030CT17	S 1 29DEC17 IFC UD		20806.00	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
030CT17	S 1 18DEC17 IFC UD		3267.29	2.70DR	
030CT17	B 1 21DEC17 IFC UD	3264.20		2.70DR	
	Commission				178.20DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 030CT17	1924.00	1928.00		100.00CR
03JUL17	1 030CT17	1923.00	1926.00		75.00CR
03JUL17	1 030CT17	1927.00	1924.00		75.00DR
03JUL17	1 030CT17	1926.50	1924.00		62.50DR
03JUL17	1 030CT17	1922.00	1920.50		37.50DR
03JUL17	1 030CT17	1922.00	1927.00		125.00CR
12JUL17	1 030CT17	1887.97	1927.00		975.75CR
27JUL17	1 030CT17	1933.14	1927.50		141.00DR
27JUL17	1 030CT17	1933.14	1928.50		116.00DR
27JUL17	2 030CT17	1933.14	1929.50		182.00DR
27JUL17	1 030CT17	1933.14	1928.00		128.50DR
27JUL17	2 030CT17	1933.14	1928.00		257.00DR
27JUL17	1 030CT17	1933.14	1928.00		128.50DR
03AUG17	2 030CT17	1909.05	1928.00		947.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

3rd October 2017

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08AUG17	1 030CT17	2023.50	1928.00		2,387.50DR
08AUG17	1 030CT17	2023.50	1928.00		2,387.50DR
09AUG17	1 030CT17	2021.81	1928.00		2,345.25DR
09AUG17	1 030CT17	2021.81	1929.50		2,307.75DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 030CT17	5904.00	5938.00		850.00CR
14JUL17	1 030CT17	5922.63	5938.00		384.25CR
14JUL17	1 030CT17	5922.63	5938.00		384.25CR
14JUL17	1 030CT17	5922.63	5930.00		184.25CR
14JUL17	1 030CT17	5922.63	5936.50		346.75CR
14JUL17	1 030CT17	5922.63	5930.00		184.25CR
14JUL17	1 030CT17	5922.63	5936.50		346.75CR
14JUL17	1 030CT17	5922.63	5930.00		184.25CR
14JUL17	1 030CT17	5922.63	5934.00		284.25CR
14JUL17	1 030CT17	5922.63	5933.50		271.75CR
14JUL17	1 030CT17	5922.63	5952.50		746.75CR
14JUL17	1 030CT17	5922.63	5935.50		321.75CR
14JUL17	1 030CT17	5922.63	5953.00		759.25CR
14JUL17	1 030CT17	5922.63	5940.00		434.25CR
14JUL17	1 030CT17	5922.63	5936.50		346.75CR
14JUL17	1 030CT17	5922.63	5947.00		609.25CR
14JUL17	1 030CT17	5922.63	5936.50		346.75CR
14JUL17	1 030CT17	5922.63	5936.00		334.25CR
14JUL17	2 030CT17	5922.63	5938.00		768.50CR
14JUL17	1 030CT17	5922.63	5930.00		184.25CR
14JUL17	1 030CT17	5922.63	5936.50		346.75CR
14JUL17	1 030CT17	5922.63	5937.00		359.25CR
14JUL17	1 030CT17	5922.63	5938.00		384.25CR
14JUL17	1 030CT17	5922.63	5931.50		221.75CR
14JUL17	1 030CT17	5922.63	5938.00		384.25CR
14JUL17	1 030CT17	5922.63	5952.00		734.25CR
14JUL17	1 030CT17	5922.63	5934.00		284.25CR
14JUL17	1 030CT17	5922.63	5942.00		484.25CR
14JUL17	1 030CT17	5922.63	5933.00		259.25CR
14JUL17	1 030CT17	5922.63	5933.50		271.75CR
14JUL17	1 030CT17	5922.63	5929.00		159.25CR
14JUL17	1 030CT17	5922.63	5932.50		246.75CR
14JUL17	1 030CT17	5922.63	5935.00		309.25CR
14JUL17	2 030CT17	5922.63	5936.50		693.50CR
14JUL17	1 030CT17	5922.63	5937.00		359.25CR
14JUL17	1 030CT17	5922.63	5938.50		396.75CR
17JUL17	1 030CT17	5991.20	5930.00		1,530.00DR
17JUL17	2 030CT17	5991.20	5938.50		2,635.00DR
17JUL17	1 030CT17	5991.20	5942.00		1,230.00DR
17JUL17	1 030CT17	5991.20	5934.50		1,417.50DR
17JUL17	4 030CT17	5991.20	5937.50		5,370.00DR
17JUL17	2 030CT17	5991.20	5934.50		2,835.00DR
19JUL17	1 030CT17	5961.30	5946.00		382.50DR
19JUL17	1 030CT17	5961.30	5935.00		657.50DR
19JUL17	1 030CT17	5961.30	5940.00		532.50DR
19JUL17	2 030CT17	5961.30	5938.50		1,140.00DR
19JUL17	1 030CT17	5961.30	5934.50		670.00DR
19JUL17	1 030CT17	5961.30	5938.00		582.50DR
19JUL17	2 030CT17	5961.30	5938.50		1,140.00DR
19JUL17	1 030CT17	5961.30	5947.00		357.50DR
19JUL17	2 030CT17	5961.30	5938.00		1,165.00DR
19JUL17	1 030CT17	5961.30	5937.00		607.50DR
19JUL17	1 030CT17	5961.30	5931.00		757.50DR
19JUL17	2 030CT17	5961.30	5928.50		1,640.00DR
19JUL17	1 030CT17	5961.30	5929.00		807.50DR
19JUL17	1 030CT17	5961.30	5930.00		782.50DR
19JUL17	1 030CT17	5961.30	5955.00		157.50DR
19JUL17	1 030CT17	5961.30	5929.50		795.00DR
19JUL17	1 030CT17	5961.30	5931.50		745.00DR
19JUL17	1 030CT17	5961.30	5930.50		770.00DR

Group No: SAPG0094

Page 2 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



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19JUL17	1 030CT17	5961.30	5937.00		607.50DR
19JUL17	1 030CT17	5961.30	5932.00		732.50DR
19JUL17	1 030CT17	5961.30	5937.50		595.00DR
19JUL17	1 030CT17	5961.30	5929.50		795.00DR
19JUL17	1 030CT17	5961.30	5936.50		620.00DR
19JUL17	1 030CT17	5961.30	5936.00		632.50DR
19JUL17	1 030CT17	5961.30	5936.00		632.50DR
19JUL17	1 030CT17	5961.30	5927.50		845.00DR
19JUL17	1 030CT17	5961.30	5927.50		845.00DR
28JUL17	1 030CT17	6317.96	5937.00		9,524.00DR
28JUL17	1 030CT17	6317.96	5930.00		9,699.00DR
28JUL17	1 030CT17	6317.96	5950.50		9,186.50DR
28JUL17	1 030CT17	6317.96	5952.00		9,149.00DR
28JUL17	1 030CT17	6317.96	5951.50		9,161.50DR
28JUL17	2 030CT17	6317.96	5954.50		18,173.00DR
28JUL17	1 030CT17	6317.96	5953.50		9,111.50DR
28JUL17	1 030CT17	6317.96	5913.00		10,124.00DR
28JUL17	1 030CT17	6317.96	5928.00		9,749.00DR
28JUL17	1 030CT17	6317.96	5923.00		9,874.00DR
28JUL17	1 030CT17	6317.96	5923.50		9,861.50DR
28JUL17	1 030CT17	6317.96	5930.50		9,686.50DR
28JUL17	2 030CT17	6317.96	5929.00		19,448.00DR
03AUG17	2 030CT17	6342.20	5911.00		21,560.00DR
03AUG17	1 030CT17	6342.20	5926.50		10,392.50DR
03AUG17	1 030CT17	6342.20	5914.00		10,705.00DR
03AUG17	2 030CT17	6342.20	5916.00		21,310.00DR
03AUG17	2 030CT17	6342.20	5916.50		21,285.00DR
03AUG17	2 030CT17	6342.20	5917.00		21,260.00DR
03AUG17	2 030CT17	6342.20	5916.50		21,285.00DR
03AUG17	1 030CT17	6342.20	5915.50		10,667.50DR
03AUG17	1 030CT17	6342.20	5917.50		10,617.50DR
03AUG17	1 030CT17	6342.20	5915.50		10,667.50DR
08AUG17	1 030CT17	6467.12	5916.00		13,778.00DR
08AUG17	3 030CT17	6467.12	5916.50		41,296.50DR
08AUG17	1 030CT17	6467.12	5917.00		13,753.00DR
08AUG17	1 030CT17	6467.12	5915.50		13,790.50DR
08AUG17	2 030CT17	6467.12	5915.50		27,581.00DR
08AUG17	1 030CT17	6467.12	5914.50		13,815.50DR
08AUG17	2 030CT17	6467.12	5913.50		27,681.00DR
08AUG17	1 030CT17	6467.12	5913.00		13,853.00DR
08AUG17	2 030CT17	6467.12	5914.00		27,656.00DR
08AUG17	1 030CT17	6467.12	5914.00		13,828.00DR
08AUG17	1 030CT17	6467.12	5916.00		13,778.00DR
08AUG17	1 030CT17	6466.92	5916.00		13,773.00DR
09AUG17	1 030CT17	6441.37	5919.50		13,046.75DR
09AUG17	1 030CT17	6441.37	5919.50		13,046.75DR
09AUG17	1 030CT17	6441.37	5920.00		13,034.25DR
09AUG17	1 030CT17	6441.37	5925.50		12,896.75DR
09AUG17	1 030CT17	6441.37	5926.00		12,884.25DR
09AUG17	1 030CT17	6441.37	5924.50		12,921.75DR
09AUG17	2 030CT17	6441.37	5925.00		25,818.50DR
05SEP17	1 030CT17	6876.28	5925.50		23,769.50DR
05SEP17	1 030CT17	6876.28	5925.00		23,782.00DR
05SEP17	1 030CT17	6876.28	5928.50		23,694.50DR
05SEP17	1 030CT17	6876.28	5913.00		24,082.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2312.50	2334.50		550.00CR
03JUL17	1 030CT17	2292.50	2334.50		1,050.00CR
03JUL17	1 030CT17	2293.00	2333.00		1,000.00CR
14JUL17	1 030CT17	2286.50	2314.91		710.25CR
14JUL17	1 030CT17	2310.00	2314.91		122.75CR
14JUL17	1 030CT17	2288.00	2314.91		672.75CR
14JUL17	1 030CT17	2311.50	2314.91		85.25CR
14JUL17	1 030CT17	2311.00	2314.91		97.75CR
14JUL17	1 030CT17	2315.50	2314.91		14.75DR

Group No: SAPG0094

Page 3 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
08AUG17	1 030CT17	2315.50	2374.72		1,480.50CR
08AUG17	1 030CT17	2326.65	2374.72		1,201.75CR
08AUG17	1 030CT17	2316.80	2374.72		1,448.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	9415.00	9405.00		60.00DR
03JUL17	1 030CT17	9395.00	9375.00		120.00DR
03JUL17	1 030CT17	9410.00	9375.00		210.00DR
03JUL17	1 030CT17	9375.00	9410.00		210.00CR
03JUL17	1 030CT17	9405.00	9395.00		60.00DR
03JUL17	1 030CT17	9340.00	9375.00		210.00CR
03JUL17	1 030CT17	9340.00	9410.00		420.00CR
03JUL17	1 030CT17	9340.00	9415.00		450.00CR
03JUL17	1 030CT17	9340.00	9410.00		420.00CR
03JUL17	1 030CT17	9340.00	9400.00		360.00CR
10JUL17	1 030CT17	8996.88	9400.00		2,418.72CR
12JUL17	1 030CT17	9199.14	9400.00		1,205.16CR
12JUL17	1 030CT17	9199.14	9400.00		1,205.16CR
12JUL17	1 030CT17	9199.14	9385.00		1,115.16CR
12JUL17	2 030CT17	9199.14	9375.00		2,110.32CR
12JUL17	1 030CT17	9199.14	9410.00		1,265.16CR
12JUL17	3 030CT17	9199.14	9410.00		3,795.48CR
12JUL17	3 030CT17	9200.14	9415.00		3,867.48CR
12JUL17	1 030CT17	9200.14	9420.00		1,319.16CR
12JUL17	3 030CT17	9200.14	9420.00		3,957.48CR
12JUL17	3 030CT17	9200.14	9410.00		3,777.48CR
12JUL17	1 030CT17	9200.14	9410.00		1,259.16CR
12JUL17	1 030CT17	9200.14	9410.00		1,259.16CR
12JUL17	1 030CT17	9200.14	9400.00		1,199.16CR
12JUL17	1 030CT17	9200.14	9400.00		1,199.16CR
12JUL17	1 030CT17	9200.14	9405.00		1,229.16CR
14JUL17	1 030CT17	9568.73	9380.00		1,132.38DR
14JUL17	1 030CT17	9568.73	9360.00		1,252.38DR
14JUL17	7 030CT17	9568.73	9365.00		8,556.66DR
14JUL17	1 030CT17	9568.73	9345.00		1,342.38DR
14JUL17	1 030CT17	9568.73	9350.00		1,312.38DR
14JUL17	2 030CT17	9568.73	9350.00		2,624.76DR
14JUL17	1 030CT17	9568.73	9355.00		1,282.38DR
14JUL17	2 030CT17	9568.73	9355.00		2,564.76DR
14JUL17	1 030CT17	9568.73	9345.00		1,342.38DR
14JUL17	1 030CT17	9568.73	9340.00		1,372.38DR
14JUL17	3 030CT17	9568.73	9335.00		4,207.14DR
14JUL17	1 030CT17	9568.73	9325.00		1,462.38DR
19JUL17	1 030CT17	9642.82	9305.00		2,026.92DR
19JUL17	1 030CT17	9642.82	9320.00		1,936.92DR
19JUL17	1 030CT17	9642.82	9315.00		1,966.92DR
19JUL17	1 030CT17	9642.82	9305.00		2,026.92DR
26JUL17	2 030CT17	10034.43	9305.00		8,753.16DR
28JUL17	1 030CT17	10191.66	9300.00		5,349.96DR
28JUL17	2 030CT17	10191.66	9305.00		10,639.92DR
28JUL17	1 030CT17	10190.66	9305.00		5,313.96DR
28JUL17	3 030CT17	10191.66	9310.00		15,869.88DR
28JUL17	1 030CT17	10191.66	9315.00		5,259.96DR
28JUL17	1 030CT17	10191.66	9315.00		5,259.96DR
28JUL17	6 030CT17	10191.66	9315.00		31,559.76DR
28JUL17	1 030CT17	10190.66	9315.00		5,253.96DR
08AUG17	1 030CT17	10612.05	9330.00		7,692.30DR
08AUG17	1 030CT17	10612.05	9345.00		7,602.30DR
08AUG17	1 030CT17	10612.05	9350.00		7,572.30DR
08AUG17	1 030CT17	10611.05	9350.00		7,566.30DR
08AUG17	2 030CT17	10611.05	9360.00		15,012.60DR
08AUG17	1 030CT17	10611.05	9365.00		7,476.30DR
08AUG17	1 030CT17	10611.05	9360.00		7,506.30DR
08AUG17	1 030CT17	10611.05	9350.00		7,566.30DR
	LME Tin 5 tonnes. This is an LME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	registered client contract				
03JUL17	1 030CT17	20155.00	19995.00		800.00DR
12JUL17	1 030CT17	19911.00	20085.00		870.00CR
14JUL17	1 030CT17	19820.94	20115.00		1,470.30CR
17JUL17	1 030CT17	19938.50	20115.00		882.50CR
27JUL17	1 030CT17	20713.68	20200.00		2,568.40DR
28JUL17	1 030CT17	20638.16	20215.00		2,115.80DR
28JUL17	1 030CT17	20638.16	20170.00		2,340.80DR
08AUG17	1 030CT17	20235.89	20180.00		279.45DR
09AUG17	1 030CT17	20241.53	20100.00		707.65DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2766.00	2759.50		162.50DR
03JUL17	1 030CT17	2758.50	2780.50		550.00CR
03JUL17	1 030CT17	2757.50	2803.50		1,150.00CR
03JUL17	1 030CT17	2755.00	2805.00		1,250.00CR
12JUL17	1 030CT17	2803.50	2831.55		701.25CR
08AUG17	1 030CT17	2803.50	2921.70		2,955.00CR
08AUG17	1 030CT17	2803.00	2921.70		2,967.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,180,816.00CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				5,589.30DR
	LME Lead 25 tonnes. This is an Futures				8,404.25CR
	LME Copper Grade A 25 tonnes. This Futures				756,903.25DR
	LME Zinc 25 tonnes. This is an LME Futures				9,411.25CR
	LME Nickel 6 tonnes. This is an LME Futures				163,862.70DR
	LME Aluminium 25 Tonnes. This is an Futures				8,332.75DR
	COMMISSIONS				178.20DR
	CARRIED FORWARD BALANCE				263,765.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AI

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP094AI to SAP094AK				46,524.36DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				46,524.36CR
	CASH PAYMENTS/RECEIPTS				46,524.36DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AK

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	B 2 NOV17 GX 2.91500			4.60DR	
030CT17	B 1 NOV17 GX 2.90800			2.30DR	
030CT17	B 1 NOV17 GX 2.90200			2.30DR	
030CT17	B 1 NOV17 GX 2.89400			2.30DR	
	Commission				11.50DR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP094AI to SAP094AK				46,524.36CR
030CT17	SAP094AK to SAP094AJ-DGCX				46,456.85DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,686.44CR
	CASH PAYMENTS/RECEIPTS				67.51CR
	COMMISSIONS				11.50DR
	CARRIED FORWARD BALANCE				18,742.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AL

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
030CT17	S 1 03JAN18 DMA EL		2589.00	4.85DR	
030CT17	S 1 03JAN18 DMA EL		2588.00	4.85DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
030CT17	S 1 03JAN18 DMA EL		10665.00	4.85DR	
030CT17	B 1 03JAN18 DMA EL	10730.00		4.85DR	
030CT17	S 1 03JAN18 DMA EL		10720.00	4.85DR	
030CT17	S 1 03JAN18 DMA EL		10660.00	4.85DR	
030CT17	S 1 03JAN18 DMA EL		10700.00	4.85DR	
	Commission				33.95DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,234.62DR
	COMMISSIONS				33.95DR
	CARRIED FORWARD BALANCE				5,268.57DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP094AX 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
29SEP17	10 03OCT17	2492.00	2491.28		180.00DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
29SEP17	4 03OCT17	11136.61	10415.50		17,306.64DR
29SEP17	4 03OCT17	11136.61	10415.50		17,306.64DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,648.44DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				180.00DR
	LME Nickel 6 tonnes. This is an LME Futures				34,613.28DR
	CARRIED FORWARD BALANCE				38,441.72DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AY

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 TTR EL		10660.00	4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 TTR EL	20700.00		4.35DR	
	Commission				8.70DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				42,917.65DR
	COMMISSIONS				8.70DR
	CARRIED FORWARD BALANCE				42,926.35DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		7,695,577.19CR
	SETTLEMENTS		
	CMX Copper Futures		137.50DR
	LME Aluminium 25 Tonnes. This is an Futures		58,003.75DR
	LME Copper Grade A 25 tonnes. This Futures		899,828.25DR
	LME Lead 25 tonnes. This is an Futures		199,343.25CR
	LME Nickel 6 tonnes. This is an LME Futures		375,210.36DR
	LME Tin 5 tonnes. This is an LME Futures		8,114.30DR
	LME Zinc 25 tonnes. This is an LME Futures		277,114.50DR
	NYM Light Sweet Crude Oil Futures		1,350.00CR
	CASH PAYMENTS/RECEIPTS		46,456.85DR
	COMMISSIONS		1,748.22DR
	CARRIED FORWARD BALANCE		6,229,656.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0096 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTER ACCOUNT TRANSFER</u>				
03OCT17	SAP0096 to SAP0096F				3,956.82DR
03OCT17	SAP0096 to SAP0096B				5,350.70DR
03OCT17	SAP0096 to SAP0096G				8,859.20DR
03OCT17	SAP0096 to SAP0096H				6,134.95DR
03OCT17	SAP0096 to SAP0096I				85.00DR
03OCT17	SAP0096 to SAP0096N				255.00DR
03OCT17	SAP0096 to SAP0096Q				57,636.59DR
03OCT17	SAP0096 to SAP0096S				28,413.80DR
03OCT17	SAP0096 to SAP0096Y				400.00DR
03OCT17	SAP0096 to SAP096AG				238,501.90DR
03OCT17	SAP0096C to SAP0096				7,638.15CR
03OCT17	SAP0096J to SAP0096				682.75CR
03OCT17	SAP0096K to SAP0096				1,861.71CR
03OCT17	SAP0096P to SAP0096				2,991.97CR
03OCT17	SAP0096S DGCX to SAP0096				34.95CR
03OCT17	SAP0096T to SAP0096				920.23CR
03OCT17	SAP0096W to SAP0096				31,298.59CR
03OCT17	SAP0096X to SAP0096				29,468.47CR
03OCT17	SAP096AA DGCX to SAP0096				6,928.90CR
03OCT17	SAP096AA to SAP0096				92,409.84CR
03OCT17	SAP096AB to SAP0096				10,240.75CR
03OCT17	SAP096AC to SAP0096				5,114.25CR
03OCT17	SAP096AD to SAP0096				2,558.98CR
03OCT17	SAP096AE to SAP0096				1,709.95CR
03OCT17	SAP096AF to SAP0096				1,234.57CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,698,479.13CR
	CASH PAYMENTS/RECEIPTS				154,499.90DR
	CARRIED FORWARD BALANCE				1,543,979.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096B

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096B				5,350.70CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				260.70DR
	CASH PAYMENTS/RECEIPTS				5,350.70CR
	CARRIED FORWARD BALANCE				5,090.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096C to SAP0096				7,638.15DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 59,264.35DR CASH PAYMENTS/RECEIPTS 7,638.15DR CARRIED FORWARD BALANCE 66,902.50DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096F				3,956.82CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,656.86CR
	CASH PAYMENTS/RECEIPTS				3,956.82CR
	CARRIED FORWARD BALANCE				27,613.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096G

3rd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096G				8,859.20CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				341.00DR
	CASH PAYMENTS/RECEIPTS				8,859.20CR
	CARRIED FORWARD BALANCE				8,518.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096J

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096J to SAP0096				682.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				909.45DR
	CASH PAYMENTS/RECEIPTS				682.75DR
	CARRIED FORWARD BALANCE				1,592.20DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096K

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096K to SAP0096				1,861.71DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,861.71CR
	CASH PAYMENTS/RECEIPTS				1,861.71DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096P

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096P to SAP0096				2,991.97DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,991.97CR
	CASH PAYMENTS/RECEIPTS				2,991.97DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096Q

3rd October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTEREST</u> Negative interest ERX Sep 2017				46.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	INTEREST				46.40DR
	CARRIED FORWARD BALANCE				46.40DR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096Q				57,636.59CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				57,636.59DR
	CASH PAYMENTS/RECEIPTS				57,636.59CR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096S

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP0096S				28,413.80CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				93,134.35CR
	CASH PAYMENTS/RECEIPTS				28,413.80CR
	CARRIED FORWARD BALANCE				121,548.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096T

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096T to SAP0096				920.23DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				920.23CR
	CASH PAYMENTS/RECEIPTS				920.23DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0096W

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096W to SAP0096				31,298.59DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,298.59CR
	CASH PAYMENTS/RECEIPTS				31,298.59DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096X

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096X to SAP0096				29,468.47DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,468.47CR
	CASH PAYMENTS/RECEIPTS				29,468.47DR
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096Y

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 030CT17	1918.50	1928.50		250.00CR
03JUL17	1 030CT17	1918.00	1928.50		262.50CR
03JUL17	2 030CT17	1918.50	1928.50		500.00CR
13JUL17	3 030CT17	1921.97	1928.50		489.75CR
13JUL17	2 030CT17	1921.97	1928.50		326.50CR
13JUL17	1 030CT17	1921.97	1929.00		175.75CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
07JUL17	1 030CT17	5827.56	5938.00		2,761.00CR
07JUL17	1 030CT17	5827.56	5938.50		2,773.50CR
07JUL17	1 030CT17	5827.56	5932.50		2,623.50CR
07JUL17	1 030CT17	5827.56	5928.00		2,511.00CR
07JUL17	1 030CT17	5827.56	5935.00		2,686.00CR
07JUL17	1 030CT17	5827.56	5940.00		2,811.00CR
07JUL17	1 030CT17	5827.56	5930.00		2,561.00CR
07JUL17	1 030CT17	5827.56	5928.50		2,523.50CR
07JUL17	1 030CT17	5827.56	5929.50		2,548.50CR
07JUL17	1 030CT17	5827.56	5928.00		2,511.00CR
07JUL17	1 030CT17	5827.36	5916.50		2,228.50CR
07JUL17	1 030CT17	5827.36	5914.00		2,166.00CR
07JUL17	1 030CT17	5827.36	5926.00		2,466.00CR
07JUL17	1 030CT17	5827.36	5925.50		2,453.50CR
07JUL17	1 030CT17	5827.36	5930.00		2,566.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2291.00	2334.00		1,075.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
07JUL17	1 030CT17	8919.00	9305.00		2,316.00CR
07JUL17	1 030CT17	8919.00	9315.00		2,376.00CR
07JUL17	2 030CT17	8919.00	9330.00		4,932.00CR
07JUL17	1 030CT17	8919.00	9345.00		2,556.00CR
07JUL17	1 030CT17	8919.00	9350.00		2,586.00CR
07JUL17	1 030CT17	8919.00	9355.00		2,616.00CR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP0096 to SAP0096Y				400.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				227,349.25DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,075.00CR
	LME Copper Grade A 25 tonnes. This Futures				38,190.00CR
	LME Nickel 6 tonnes. This is an LME Futures				17,382.00CR
	LME Aluminium 25 Tonnes. This is an Futures				2,004.50CR
	CASH PAYMENTS/RECEIPTS				400.00CR
	CARRIED FORWARD BALANCE				168,297.75DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AA 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUL17	1 030CT17	1938.04	1929.50		213.50DR
26JUL17	1 030CT17	1938.04	1930.00		201.00DR
26JUL17	2 030CT17	1938.04	1928.50		477.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
29SEP17	6 030CT17	2487.78	2517.50		4,458.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 030CT17	2793.20	2789.50		92.50DR
04JUL17	1 030CT17	2793.20	2789.50		92.50DR
29AUG17	2 030CT17	3104.76	3117.27		625.50CR
29AUG17	3 030CT17	3104.76	3060.85		3,293.25DR
13SEP17	9 030CT17	3018.75	3137.00		26,606.25CR
14SEP17	5 030CT17	3003.75	3137.00		16,656.25CR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP096AA to SAP0096				92,409.84DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				79,395.17CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				4,458.00CR
	LME Zinc 25 tonnes. This is an LME Futures				40,409.75CR
	LME Aluminium 25 Tonnes. This is an Futures				891.50DR
	CASH PAYMENTS/RECEIPTS				92,409.84DR
	CARRIED FORWARD BALANCE				30,961.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AB

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP096AB to SAP0096				10,240.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,839.41DR
	CASH PAYMENTS/RECEIPTS				10,240.75DR
	CARRIED FORWARD BALANCE				20,080.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AC 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
20JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract. 1 030CT17	1923.00	1913.45		238.75DR
03JUL17	LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 030CT17	5906.50	5912.50		150.00CR
03JUL17	LME Lead 25 tonnes. This is an LME registered client contract 1 030CT17	2314.50	2333.00		462.50CR
20JUL17	LME Tin 5 tonnes. This is an LME registered client contract 1 030CT17	2210.04	2333.00		3,074.00CR
03JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 030CT17	20095.00	20070.00		125.00DR
03JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract 1 030CT17	2803.00	2781.00		550.00DR
20JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract 1 030CT17	2803.00	2724.91		1,952.25DR
	<u>INTER ACCOUNT TRANSFER</u>				
03OCT17	SAP096AC to SAP0096				5,114.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,061.13DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				125.00DR
	LME Lead 25 tonnes. This is an Futures				3,536.50CR
	LME Copper Grade A 25 tonnes. This Futures				150.00CR
	LME Zinc 25 tonnes. This is an LME Futures				2,502.25DR
	LME Aluminium 25 Tonnes. This is an Futures				238.75DR
	CASH PAYMENTS/RECEIPTS				5,114.25DR
	CARRIED FORWARD BALANCE				9,354.88DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

3rd October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
20JUL17	1 030CT17	1925.00	1913.45		288.75DR
20JUL17	1 030CT17	1926.00	1913.45		313.75DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03JUL17	1 030CT17	5929.00	5927.00		50.00DR
03JUL17	1 030CT17	5929.00	5924.00		125.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2317.50	2314.50		75.00DR
03JUL17	1 030CT17	2317.50	2313.50		100.00DR
03JUL17	2 030CT17	2308.50	2317.00		425.00CR
03JUL17	1 030CT17	2312.50	2332.50		500.00CR
03JUL17	1 030CT17	2300.00	2332.50		812.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	20070.00	20075.00		25.00CR
03JUL17	1 030CT17	20100.00	19950.00		750.00DR
03JUL17	1 030CT17	20000.00	19950.00		250.00DR
03JUL17	1 030CT17	20000.00	19955.00		225.00DR
03JUL17	1 030CT17	20000.00	20100.00		500.00CR
03JUL17	1 030CT17	20070.00	20115.00		225.00CR
03JUL17	2 030CT17	20070.00	20075.00		50.00CR
03JUL17	1 030CT17	20070.00	20070.00		0.00
03JUL17	1 030CT17	20115.00	20060.00		275.00DR
03JUL17	1 030CT17	20070.00	20060.00		50.00DR
03JUL17	1 030CT17	20070.00	20060.00		50.00DR
03JUL17	1 030CT17	20065.00	20060.00		25.00DR
03JUL17	1 030CT17	20060.00	20060.00		0.00
03JUL17	1 030CT17	20090.00	20085.00		25.00DR
03JUL17	1 030CT17	20090.00	20085.00		25.00DR
03JUL17	2 030CT17	20125.00	20085.00		400.00DR
03JUL17	1 030CT17	20125.00	20085.00		200.00DR
03JUL17	1 030CT17	20115.00	20100.00		75.00DR
03JUL17	1 030CT17	20075.00	20100.00		125.00CR
03JUL17	1 030CT17	20100.00	20100.00		0.00
03JUL17	1 030CT17	20100.00	20085.00		75.00DR
03JUL17	1 030CT17	20100.00	20075.00		125.00DR
03JUL17	1 030CT17	20150.00	20160.00		50.00CR
03JUL17	1 030CT17	20175.00	20180.00		25.00CR
03JUL17	1 030CT17	20155.00	20180.00		125.00CR
03JUL17	1 030CT17	20155.00	20180.00		125.00CR
03JUL17	1 030CT17	20155.00	20150.00		25.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2803.50	2781.50		550.00DR
03JUL17	1 030CT17	2803.50	2760.00		1,087.50DR
20JUL17	1 030CT17	2758.50	2724.91		839.75DR
20JUL17	1 030CT17	2770.00	2724.91		1,127.25DR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP096AD to SAP0096				2,558.98DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,861.82DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				1,325.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AD

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Lead 25 tonnes. This is an Futures				1,562.50CR
	LME Copper Grade A 25 tonnes. This Futures				175.00DR
	LME Zinc 25 tonnes. This is an LME Futures				3,604.50DR
	LME Aluminium 25 Tonnes. This is an Futures				602.50DR
	CASH PAYMENTS/RECEIPTS				2,558.98DR
	CARRIED FORWARD BALANCE				20,565.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AE

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2314.00	2314.50		12.50CR
03JUL17	1 030CT17	2314.00	2314.50		12.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	20100.00	20085.00		75.00DR
03JUL17	1 030CT17	20100.00	20085.00		75.00DR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP096AE to SAP0096				1,709.95DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,828.25CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00DR
	LME Lead 25 tonnes. This is an Futures				25.00CR
	CASH PAYMENTS/RECEIPTS				1,709.95DR
	CARRIED FORWARD BALANCE				6.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AF

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		10700.00	5.00DR	
03OCT17	B 1 03JAN18 DMA EL	10700.00		5.00DR	
03OCT17	S 1 03JAN18 DMA EL		10730.00	5.00DR	
	Commission				15.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 03OCT17	5942.00	5953.85		296.25CR
	<u>INTER ACCOUNT TRANSFER</u>				
03OCT17	SAP096AF to SAP0096				1,234.57DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,063.76CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				296.25CR
	CASH PAYMENTS/RECEIPTS				1,234.57DR
	COMMISSIONS				15.00DR
	CARRIED FORWARD BALANCE				110.44CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AG

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0096 to SAP096AG				238,501.90CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 271,004.79DR CASH PAYMENTS/RECEIPTS 238,501.90CR CARRIED FORWARD BALANCE 32,502.89DR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP096AH

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
18SEP17	14 030CT17	2241.48	2368.43		44,432.50CR
29SEP17	16 030CT17	2492.00	2517.50		10,200.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
29SEP17	7 030CT17	3189.00	3182.00		1,225.00DR
29SEP17	3 030CT17	3193.00	3182.00		825.00DR
29SEP17	7 030CT17	3193.00	3090.50		17,937.50DR
29SEP17	10 030CT17	3193.00	3090.50		25,625.00DR
29SEP17	9 030CT17	3216.20	3090.50		28,282.50DR
29SEP17	3 030CT17	3216.20	3110.91		7,896.75DR
29SEP17	3 030CT17	3216.20	3141.75		5,583.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				56,255.07CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				54,632.50CR
	LME Zinc 25 tonnes. This is an LME Futures				87,375.50DR
	CARRIED FORWARD BALANCE				23,512.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0096

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		0.00
	INTEREST		46.40DR
	CARRIED FORWARD BALANCE		46.40DR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,368,915.56CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		271.75CR
	LME Copper Grade A 25 tonnes. This Futures		38,461.25CR
	LME Lead 25 tonnes. This is an Futures		65,289.50CR
	LME Nickel 6 tonnes. This is an LME Futures		17,382.00CR
	LME Tin 5 tonnes. This is an LME Futures		1,600.00DR
	LME Zinc 25 tonnes. This is an LME Futures		53,072.50DR
	CASH PAYMENTS/RECEIPTS		488.90CR
	COMMISSIONS		15.00DR
	CARRIED FORWARD BALANCE		1,436,121.46CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097J

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
10AUG17	2 030CT17	2311.50	2356.10		2,230.00CR
10AUG17	1 030CT17	2313.50	2356.10		1,065.00CR
10AUG17	1 030CT17	2312.00	2356.10		1,102.50CR
10AUG17	1 030CT17	2311.50	2356.10		1,115.00CR
10AUG17	2 030CT17	2313.50	2356.10		2,130.00CR
10AUG17	1 030CT17	2312.50	2356.10		1,090.00CR
10AUG17	1 030CT17	2312.50	2356.10		1,090.00CR
10AUG17	2 030CT17	2312.50	2356.10		2,180.00CR
10AUG17	1 030CT17	2314.00	2356.10		1,052.50CR
10AUG17	1 030CT17	2313.50	2356.10		1,065.00CR
10AUG17	2 030CT17	2314.00	2356.10		2,105.00CR
10AUG17	1 030CT17	2314.50	2356.10		1,040.00CR
10AUG17	1 030CT17	2314.50	2356.10		1,040.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
10AUG17	1 030CT17	2932.87	2791.50		3,534.25DR
10AUG17	1 030CT17	2932.87	2792.00		3,521.75DR
10AUG17	1 030CT17	2932.87	2792.00		3,521.75DR
10AUG17	1 030CT17	2932.87	2792.00		3,521.75DR
10AUG17	3 030CT17	2932.87	2793.00		10,490.25DR
10AUG17	2 030CT17	2932.87	2790.00		7,143.50DR
10AUG17	1 030CT17	2932.87	2790.00		3,571.75DR
10AUG17	2 030CT17	2932.87	2790.00		7,143.50DR
10AUG17	5 030CT17	2932.87	2791.00		17,733.75DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				11,053.20DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				18,305.00CR
	LME Zinc 25 tonnes. This is an LME Futures				60,182.25DR
	CARRIED FORWARD BALANCE				52,930.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPG0097

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		11,053.20DR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		18,305.00CR
	LME Zinc 25 tonnes. This is an LME Futures		60,182.25DR
	CARRIED FORWARD BALANCE		52,930.45DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103A

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
030CT17	B 4 11DEC17 IFC UD	2125.31		10.80DR	
030CT17	S 1 27DEC17 IFC UD		2129.18	2.70DR	
030CT17	S 3 28DEC17 IFC UD		2129.41	8.10DR	
	Commission				21.60DR
	<u>INTER ACCOUNT TRANSFER</u>				
030CT17	SAP0103 Fund Received 03/10/17				104,950.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				371,826.87CR
	CASH PAYMENTS/RECEIPTS				104,950.00CR
	COMMISSIONS				21.60DR
	CARRIED FORWARD BALANCE				476,755.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103B

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 2 NOV17 GX	50.44		4.60DR	
030CT17	B 4 NOV17 GX	50.45		9.20DR	
	Commission				13.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 NOV17	50.44	50.20		240.00DR
030CT17	1 NOV17	50.45	50.29		160.00DR
030CT17	1 NOV17	50.44	50.30		140.00DR
030CT17	1 NOV17	50.45	50.30		150.00DR
030CT17	1 NOV17	50.45	50.46		10.00CR
030CT17	1 NOV17	50.45	50.48		30.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				73,386.45CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				650.00DR
	COMMISSIONS				13.80DR
	CARRIED FORWARD BALANCE				72,722.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
<u>BUSINESS DONE TODAY</u>					
NYM Light Sweet Crude Oil 1,000 NYM					
030CT17	B 1 NOV17 CQG GX 50.47			2.30DR	
030CT17	B 3 NOV17 CQG GX 50.45			6.90DR	
030CT17	S 1 NOV17 CQG GX 50.58		50.58	2.30DR	
030CT17	B 1 NOV17 CQG GX 50.46			2.30DR	
030CT17	S 1 NOV17 CQG GX 50.47		50.47	2.30DR	
030CT17	S 1 NOV17 CQG GX 50.49		50.49	2.30DR	
030CT17	S 1 NOV17 CQG GX 50.44		50.44	2.30DR	
030CT17	B 1 NOV17 CQG GX 50.28			2.30DR	
030CT17	B 1 NOV17 CQG GX 50.29			2.30DR	
030CT17	S 1 NOV17 CQG GX 50.43		50.43	2.30DR	
030CT17	B 3 NOV17 CQG GX 50.42			6.90DR	
	Commission				34.50DR
<u>SETTLEMENTS</u>					
NYM Light Sweet Crude Oil 1,000 NYM					
030CT17	1 NOV17 50.45	50.58			130.00CR
030CT17	1 NOV17 50.45	50.47			20.00CR
030CT17	1 NOV17 50.42	50.49			70.00CR
030CT17	1 NOV17 50.47	50.44			30.00DR
030CT17	1 NOV17 50.45	50.43			20.00DR
030CT17	1 NOV17 50.46	50.30			160.00DR
030CT17	1 NOV17 50.28	50.51			230.00CR
030CT17	1 NOV17 50.29	51.51			1,220.00CR
030CT17	1 NOV17 50.42	51.54			1,120.00CR
030CT17	1 NOV17 50.42	51.58			1,160.00CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					58,750.50CR
SETTLEMENTS					
NYM Light Sweet Crude Oil Futures					3,740.00CR
COMMISSIONS					34.50DR
CARRIED FORWARD BALANCE					62,456.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103D

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 1 NOV17 CQG GX 50.37	50.37		2.30DR	
030CT17	B 1 NOV17 CQG GX 50.38	50.38		2.30DR	
030CT17	S 1 NOV17 CQG GX 50.48		50.48	2.30DR	
030CT17	S 1 NOV17 CQG GX 50.44		50.44	2.30DR	
030CT17	B 2 NOV17 CQG GX 50.28	50.28		4.60DR	
030CT17	S 1 NOV17 CQG GX 50.45		50.45	2.30DR	
	Commission				16.10DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 NOV17	50.37	50.48		110.00CR
030CT17	1 NOV17	50.38	50.44		60.00CR
030CT17	1 NOV17	50.28	50.45		170.00CR
030CT17	1 NOV17	50.28	50.21		70.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				104,802.40CR
	SETTLEMENTS				
	NYM Light Sweet Crude Oil Futures				270.00CR
	COMMISSIONS				16.10DR
	CARRIED FORWARD BALANCE				105,056.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 02NOV17 IFC UD		3273.50	2.70DR	
03OCT17	B 1 28DEC17 IFC UD	3264.20		2.70DR	
	Commission				5.40DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
15SEP17	7 03OCT17	2312.69	2336.04		4,086.25CR
18SEP17	6 03OCT17	2312.69	2368.43		8,361.00CR
27SEP17	4 03OCT17	2455.00	2517.50		6,250.00CR
27SEP17	6 03OCT17	2455.00	2517.50		9,375.00CR
27SEP17	6 03OCT17	2450.71	2517.50		10,018.50CR
29SEP17	12 03OCT17	2492.00	2517.50		7,650.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
04JUL17	1 03OCT17	2793.20	2792.00		30.00DR
04JUL17	1 03OCT17	2793.20	2793.50		7.50CR
04JUL17	1 03OCT17	2793.20	2794.00		20.00CR
04JUL17	1 03OCT17	2793.20	2793.50		7.50CR
04JUL17	1 03OCT17	2793.20	2794.00		20.00CR
04JUL17	1 03OCT17	2793.20	2792.50		17.50DR
04JUL17	1 03OCT17	2793.20	2792.00		30.00DR
29SEP17	12 03OCT17	3184.65	3138.55		13,830.00DR
29SEP17	8 03OCT17	3184.65	3182.00		530.00DR
29SEP17	1 03OCT17	3189.00	3182.00		175.00DR
29SEP17	1 03OCT17	3189.00	3182.00		175.00DR
29SEP17	2 03OCT17	3189.00	3202.50		675.00CR
29SEP17	1 03OCT17	3205.25	3202.50		68.75DR
29SEP17	2 03OCT17	3205.25	3202.50		137.50DR
29SEP17	3 03OCT17	3205.25	3202.50		206.25DR
29SEP17	12 03OCT17	3205.25	3110.91		28,302.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,318.22CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				45,740.75CR
	LME Zinc 25 tonnes. This is an LME Futures				42,772.00DR
	COMMISSIONS				5.40DR
	CARRIED FORWARD BALANCE				40,281.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103G

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
14SEP17	9 030CT17	2073.25	2053.86		4,362.75DR
15SEP17	1 030CT17	2060.66	2053.86		170.00DR
15SEP17	6 030CT17	2060.66	2105.96		6,795.00CR
15SEP17	1 030CT17	2060.66	2112.58		1,298.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
18SEP17	3 030CT17	2312.69	2368.43		4,180.50CR
18SEP17	7 030CT17	2241.48	2368.43		22,216.25CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
29SEP17	7 030CT17	3183.00	3138.75		7,743.75DR
29SEP17	1 030CT17	3183.00	3182.00		25.00DR
29SEP17	3 030CT17	3187.00	3182.00		375.00DR
29SEP17	6 030CT17	3205.25	3182.00		3,487.50DR
29SEP17	5 030CT17	3205.25	3202.50		343.75DR
29SEP17	6 030CT17	3186.00	3202.50		2,475.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				80,262.49CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				26,396.75CR
	LME Zinc 25 tonnes. This is an LME Futures				9,500.00DR
	LME Aluminium 25 Tonnes. This is an Futures				3,560.25CR
	CARRIED FORWARD BALANCE				100,719.49CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103I
3rd October 2017
COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
030CT17	B 1 22DEC17 IFC UD	2592.07		2.70DR	
030CT17	S 1 28DEC17 IFC UD		2591.44	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
030CT17	S 2 28DEC17 IFC UD		3264.00	5.40DR	
030CT17	B 2 29DEC17 IFC UD	3264.20		5.40DR	
	Commission				16.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				89,871.86CR
	COMMISSIONS				16.20DR
	CARRIED FORWARD BALANCE				89,855.66CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03OCT17	B 1 10OCT17 IFC UD	2115.92		2.70DR	
03OCT17	S 1 07NOV17 IFC UD		2115.88	2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 28DEC17 IFC UD		2591.64	2.70DR	
03OCT17	B 1 29DEC17 IFC UD	2591.63		2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	S 2 08DEC17 IFC UD		10596.37	5.40DR	
03OCT17	B 1 18DEC17 IFC UD	10606.23		2.70DR	
03OCT17	B 1 19DEC17 IFC UD	10607.11		2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 5 02NOV17 SGL UD		3264.00	13.50DR	
03OCT17	S 8 02NOV17 IFC UD		3273.50	21.60DR	
03OCT17	S 1 07NOV17 IFC UD		3272.00	2.70DR	
03OCT17	B 1 09NOV17 IFC UD	3272.20		2.70DR	
03OCT17	B 2 01DEC17 IFC UD	3265.46		5.40DR	
03OCT17	S 2 04DEC17 IFC UD		3265.59	5.40DR	
03OCT17	S 2 03JAN18 DMA EL		3222.00	10.60DR	
03OCT17	S 1 03JAN18 DMA EL		3223.50	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3236.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3239.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3260.50	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3263.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3265.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3259.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3255.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3270.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3267.00	5.30DR	
03OCT17	S 1 03JAN18 DMA EL		3276.00	5.30DR	
03OCT17	B 5 03JAN18 SGL UD	3258.65		13.50DR	
03OCT17	B 8 03JAN18 IFC UD	3264.20		21.60DR	
	Commission				176.90DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2300.00	2311.00		275.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
29SEP17	17 03OCT17	3216.20	3138.75		32,916.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				143,883.44CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				275.00CR
	LME Zinc 25 tonnes. This is an LME Futures				32,916.25DR
	COMMISSIONS				176.90DR
	CARRIED FORWARD BALANCE				111,065.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103J

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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3rd Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,148,436.12CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		3,560.25CR
	LME Lead 25 tonnes. This is an Futures		72,412.50CR
	LME Zinc 25 tonnes. This is an LME Futures		85,188.25DR
	NYM Light Sweet Crude Oil Futures		3,360.00CR
	CASH PAYMENTS/RECEIPTS		104,950.00CR
	COMMISSIONS		284.50DR
	CARRIED FORWARD BALANCE		1,247,246.12CR

TRADING ADVICE AND SETTLEMENT STATEMENT

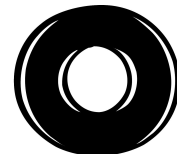


SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0104A 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
030CT17	S 1 DEC17 GX		1274.2	2.60DR	
030CT17	S 5 DEC17 GX		1273.0	13.00DR	
030CT17	B 32 DEC17 GX	1272.8		83.20DR	
030CT17	B 35 DEC17 GX	1273.1		91.00DR	
030CT17	S 1 DEC17 GX		1274.3	2.60DR	
	Commission				192.40DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
030CT17	1 DEC17	1272.8	1274.2		140.00CR
030CT17	5 DEC17	1272.8	1273.0		100.00CR
030CT17	1 DEC17	1272.8	1274.3		150.00CR
030CT17	1 DEC17	1272.8	1339.6		6,680.00CR
030CT17	7 DEC17	1272.8	1339.6		46,760.00CR
030CT17	3 DEC17	1272.8	1342.1		20,790.00CR
030CT17	2 DEC17	1272.8	1345.0		14,440.00CR
030CT17	3 DEC17	1272.8	1345.0		21,660.00CR
030CT17	1 DEC17	1272.8	1347.3		7,450.00CR
030CT17	1 DEC17	1272.8	1348.5		7,570.00CR
030CT17	5 DEC17	1272.8	1346.7		36,950.00CR
030CT17	2 DEC17	1272.8	1351.3		15,700.00CR
030CT17	1 DEC17	1273.1	1351.3		7,820.00CR
030CT17	2 DEC17	1273.1	1352.0		15,780.00CR
030CT17	1 DEC17	1273.1	1353.0		7,990.00CR
030CT17	1 DEC17	1273.1	1353.6		8,050.00CR
030CT17	1 DEC17	1273.1	1353.9		8,080.00CR
030CT17	1 DEC17	1273.1	1354.3		8,120.00CR
030CT17	3 DEC17	1273.1	1355.1		24,600.00CR
030CT17	1 DEC17	1273.1	1358.0		8,490.00CR
030CT17	3 DEC17	1273.1	1350.9		23,340.00CR
030CT17	1 DEC17	1273.1	1351.1		7,800.00CR
030CT17	4 DEC17	1273.1	1350.9		31,120.00CR
030CT17	1 DEC17	1273.1	1352.0		7,890.00CR
030CT17	2 DEC17	1273.1	1352.1		15,800.00CR
030CT17	1 DEC17	1273.1	1352.0		7,890.00CR
030CT17	1 DEC17	1273.1	1351.3		7,820.00CR
030CT17	1 DEC17	1273.1	1351.3		7,820.00CR
030CT17	10 DEC17	1273.1	1339.3		66,200.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,948,843.87CR
	SETTLEMENTS				
	CMX Gold Futures				443,000.00CR
	COMMISSIONS				192.40DR
	CARRIED FORWARD BALANCE				2,391,651.47CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
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SAPG0104

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,947,870.00CR
	SETTLEMENTS		
	CMX Gold Futures		443,000.00CR
	COMMISSIONS		192.40DR
	CARRIED FORWARD BALANCE		2,390,677.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0107

3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTER ACCOUNT TRANSFER</u> SAP0107 Fund Withdrawal 02/10/17				300,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				45,201.66DR
	CASH PAYMENTS/RECEIPTS				300,000.00DR
	CARRIED FORWARD BALANCE				345,201.66DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0107
SUITE A, 105 PICCADILLY
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SAPG0107

3rd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		49,101.66DR
	CASH PAYMENTS/RECEIPTS		300,000.00DR
	CARRIED FORWARD BALANCE		349,101.66DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 OBJ EL		2523.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2522.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2523.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2524.00	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2525.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2526.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2527.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2528.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 5 02NOV17 SGL UD		3242.50	13.50DR	
03OCT17	S 5 02NOV17 SGL UD		3242.25	13.50DR	
03OCT17	S 10 02NOV17 SGL UD		3242.75	27.00DR	
03OCT17	S 1 03JAN18 OBJ EL		3218.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		3217.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		3221.00	4.30DR	
03OCT17	S 3 03JAN18 OBJ EL		3220.00	12.90DR	
03OCT17	S 2 03JAN18 OBJ EL		3222.50	8.60DR	
03OCT17	S 2 03JAN18 OBJ EL		3224.50	8.60DR	
03OCT17	S 2 03JAN18 OBJ EL		3225.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		3241.00	4.30DR	
03OCT17	S 3 03JAN18 OBJ EL		3239.50	12.90DR	
03OCT17	S 1 03JAN18 OBJ EL		3240.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		3239.00	4.30DR	
03OCT17	S 2 03JAN18 DMA EL		3217.00	8.60DR	
03OCT17	B 5 03JAN18 SGL UD	3239.65		13.50DR	
03OCT17	B 5 03JAN18 SGL UD	3239.40		13.50DR	
03OCT17	B 10 03JAN18 SGL UD	3239.90		27.00DR	
03OCT17	B 1 03JAN18 OBJ EL	3268.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	3277.50		4.30DR	
03OCT17	B 16 03JAN18 OBJ EL	3278.00		68.80DR	
03OCT17	B 1 03JAN18 OBJ EL	3278.50		4.30DR	
03OCT17	B 4 03JAN18 OBJ EL	3280.00		17.20DR	
03OCT17	B 2 03JAN18 OBJ EL	3279.50		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	3282.00		4.30DR	
03OCT17	B 5 03JAN18 OBJ EL	3281.00		21.50DR	
03OCT17	B 2 03JAN18 DMA EL	3278.50		8.60DR	
03OCT17	B 1 03JAN18 DMA EL	3279.50		4.30DR	
03OCT17	B 2 03JAN18 DMA EL	3280.50		8.60DR	
03OCT17	B 2 03JAN18 DMA EL	3281.00		8.60DR	
	Commission				391.80DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03JUL17	1 03OCT17	1920.50	1921.50		25.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 03OCT17	2301.00	2317.00		400.00CR
03JUL17	1 03OCT17	2304.50	2319.00		362.50CR
03JUL17	1 03OCT17	2300.50	2318.00		437.50CR
03JUL17	1 03OCT17	2308.00	2319.50		287.50CR
03JUL17	1 03OCT17	2332.50	2330.00		62.50DR
05JUL17	1 03OCT17	2333.00	2274.80		1,455.00DR
05JUL17	1 03OCT17	2331.00	2274.80		1,405.00DR
05JUL17	1 03OCT17	2332.00	2274.80		1,430.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
05JUL17	1 030CT17	2331.50	2274.80		1,417.50DR
05JUL17	1 030CT17	2331.50	2274.80		1,417.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
05JUL17	1 030CT17	9159.85	9385.00		1,350.90CR
05JUL17	1 030CT17	9159.85	9390.00		1,380.90CR
05JUL17	1 030CT17	9159.85	9385.00		1,350.90CR
05JUL17	1 030CT17	9159.85	9390.00		1,380.90CR
05JUL17	1 030CT17	9159.85	9405.00		1,470.90CR
05JUL17	1 030CT17	9159.85	9415.00		1,530.90CR
05JUL17	2 030CT17	9159.85	9410.00		3,001.80CR
10JUL17	1 030CT17	8995.88	9415.00		2,514.72CR
10JUL17	1 030CT17	8995.88	9360.00		2,184.72CR
10JUL17	1 030CT17	8995.88	9310.00		1,884.72CR
10JUL17	1 030CT17	8995.88	9355.00		2,154.72CR
10JUL17	1 030CT17	8995.88	9360.00		2,184.72CR
10JUL17	1 030CT17	8995.88	9340.00		2,064.72CR
10JUL17	1 030CT17	8995.88	9340.00		2,064.72CR
28SEP17	9 030CT17	10399.50	10358.94		2,190.24DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2770.00	2786.50		412.50CR
03JUL17	1 030CT17	2772.00	2788.50		412.50CR
03JUL17	1 030CT17	2773.50	2788.00		362.50CR
03JUL17	1 030CT17	2769.00	2790.50		537.50CR
03JUL17	1 030CT17	2766.00	2790.00		600.00CR
03JUL17	1 030CT17	2769.00	2791.00		550.00CR
03JUL17	1 030CT17	2767.50	2785.00		437.50CR
03JUL17	1 030CT17	2767.00	2790.00		575.00CR
03JUL17	1 030CT17	2771.00	2789.50		462.50CR
03JUL17	1 030CT17	2771.50	2789.50		450.00CR
03JUL17	1 030CT17	2769.50	2792.00		562.50CR
03JUL17	1 030CT17	2767.50	2791.00		587.50CR
03JUL17	1 030CT17	2773.00	2790.50		437.50CR
03JUL17	1 030CT17	2785.00	2791.00		150.00CR
03JUL17	1 030CT17	2787.00	2791.00		100.00CR
03JUL17	1 030CT17	2786.00	2766.50		487.50DR
03JUL17	1 030CT17	2780.00	2783.00		75.00CR
03JUL17	1 030CT17	2777.00	2789.50		312.50CR
03JUL17	1 030CT17	2772.00	2782.00		250.00CR
03JUL17	1 030CT17	2772.00	2780.50		212.50CR
03JUL17	1 030CT17	2770.50	2773.00		62.50CR
03JUL17	1 030CT17	2780.00	2783.00		75.00CR
03JUL17	1 030CT17	2791.50	2784.50		175.00DR
03JUL17	1 030CT17	2790.50	2785.00		137.50DR
03JUL17	1 030CT17	2776.50	2791.00		362.50CR
03JUL17	1 030CT17	2778.00	2788.00		250.00CR
03JUL17	1 030CT17	2808.00	2776.50		787.50DR
03JUL17	1 030CT17	2806.50	2781.50		625.00DR
03JUL17	1 030CT17	2807.00	2793.00		350.00DR
03JUL17	1 030CT17	2808.00	2789.50		462.50DR
03JUL17	1 030CT17	2809.00	2782.50		662.50DR
03JUL17	1 030CT17	2809.00	2783.00		650.00DR
03JUL17	1 030CT17	2809.00	2783.00		650.00DR
03JUL17	1 030CT17	2809.00	2791.50		437.50DR
03JUL17	1 030CT17	2809.00	2792.00		425.00DR
03JUL17	1 030CT17	2809.50	2793.50		400.00DR
03JUL17	2 030CT17	2808.00	2791.00		850.00DR
03JUL17	1 030CT17	2808.00	2788.50		487.50DR
10JUL17	1 030CT17	2780.50	2791.00		262.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				645,426.55CR

Group No: SAPG0112

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112A

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,700.00DR
	LME Zinc 25 tonnes. This is an LME Futures				912.50CR
	LME Nickel 6 tonnes. This is an LME Futures				24,330.00CR
	LME Aluminium 25 Tonnes. This is an Futures				25.00CR
	COMMISSIONS				391.80DR
	CARRIED FORWARD BALANCE				664,602.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03OCT17	B 1 03JAN18 OBJ EL	2106.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2105.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2104.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2106.50	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2123.00	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2127.00	4.30DR	
03OCT17	B 1 03JAN18 DMA EL	2124.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2123.50	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2120.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2115.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2113.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2113.50	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2116.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2122.50		4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2117.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2120.50	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 2 03JAN18 OBJ EL		2515.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2523.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2522.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2522.50	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2520.50		4.30DR	
03OCT17	B 2 03JAN18 OBJ EL	2518.00		8.60DR	
03OCT17	B 2 03JAN18 OBJ EL	2519.00		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2517.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2515.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2513.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2513.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2507.00		4.30DR	
03OCT17	B 3 03JAN18 OBJ EL	2504.00		12.90DR	
03OCT17	B 1 03JAN18 DMA EL	2518.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2488.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2509.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2509.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2511.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2518.50	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2519.50	8.60DR	
03OCT17	S 2 03JAN18 OBJ EL		2520.00	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2520.50	4.30DR	
03OCT17	S 4 03JAN18 OBJ EL		2524.00	17.20DR	
03OCT17	S 1 03JAN18 OBJ EL		2524.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2521.50	4.30DR	
03OCT17	S 3 03JAN18 OBJ EL		2525.00	12.90DR	
03OCT17	S 1 03JAN18 OBJ EL		2530.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2531.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2532.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2552.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2563.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2566.50	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2555.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2552.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2551.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2546.00		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2555.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2562.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2568.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2584.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2585.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2586.00	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2587.00	8.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	S	1	03JAN18	OBJ	EL		2593.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		2591.00	4.30DR	
03OCT17	B	2	03JAN18	OBJ	EL	2592.50		8.60DR	
03OCT17	B	2	03JAN18	OBJ	EL	2597.00		8.60DR	
03OCT17	B	1	03JAN18	OBJ	EL	2596.50		4.30DR	
03OCT17	B	1	03JAN18	DMA	EL	2565.00		4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
03OCT17	S	1	03JAN18	OBJ	EL		10485.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
03OCT17	S	1	03JAN18	OBJ	EL		3225.00	4.30DR	
03OCT17	S	2	03JAN18	OBJ	EL		3225.50	8.60DR	
03OCT17	S	1	03JAN18	OBJ	EL		3226.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3227.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3230.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3236.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3238.50	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3239.50	4.30DR	
03OCT17	S	2	03JAN18	OBJ	EL		3262.00	8.60DR	
03OCT17	B	1	03JAN18	OBJ	EL	3262.50		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3259.50		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3267.50		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3258.50		4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3264.50	4.30DR	
03OCT17	S	2	03JAN18	OBJ	EL		3271.00	8.60DR	
03OCT17	B	1	03JAN18	OBJ	EL	3275.50		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3277.00		4.30DR	
03OCT17	B	3	03JAN18	OBJ	EL	3276.00		12.90DR	
03OCT17	B	1	03JAN18	OBJ	EL	3286.00		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3287.50		4.30DR	
03OCT17	B	3	03JAN18	OBJ	EL	3288.00		12.90DR	
03OCT17	B	1	03JAN18	OBJ	EL	3290.00		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3290.50		4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3288.50	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3288.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3287.50	4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3287.00		4.30DR	
03OCT17	B	1	03JAN18	DMA	EL	3287.50		4.30DR	
03OCT17	S	1	03JAN18	DMA	EL		3289.00	4.30DR	
03OCT17	S	1	03JAN18	DMA	EL		3288.50	4.30DR	
03OCT17	S	1	03JAN18	DMA	EL		3280.00	4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3266.50		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3265.50		4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3255.00	4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3262.50	4.30DR	
03OCT17	B	2	03JAN18	OBJ	EL	3261.50		8.60DR	
03OCT17	B	1	03JAN18	OBJ	EL	3272.00		4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3279.00		4.30DR	
03OCT17	B	2	03JAN18	OBJ	EL	3278.50		8.60DR	
03OCT17	B	2	03JAN18	OBJ	EL	3277.50		8.60DR	
03OCT17	B	2	03JAN18	OBJ	EL	3278.00		8.60DR	
03OCT17	B	1	03JAN18	DMA	EL	3267.00		4.30DR	
	Commission								
									584.80DR
	<u>MATCH OUTS</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
03JUL17		1	03OCT17			1921.00	1926.00		125.00CR
03JUL17		1	03OCT17			1917.50	1923.50		150.00CR
05JUL17		1	03OCT17			1928.72	1922.50		155.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
05JUL17	1 030CT17	1928.72	1919.50		230.50DR
05JUL17	1 030CT17	1928.72	1927.50		30.50DR
05JUL17	1 030CT17	1928.72	1927.50		30.50DR
	LME Lead 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2301.00	2305.50		112.50CR
03JUL17	1 030CT17	2301.00	2300.00		25.00DR
03JUL17	1 030CT17	2301.00	2298.00		75.00DR
03JUL17	1 030CT17	2302.00	2299.00		75.00DR
03JUL17	1 030CT17	2301.00	2313.00		300.00CR
03JUL17	1 030CT17	2301.00	2313.00		300.00CR
03JUL17	1 030CT17	2300.50	2313.00		312.50CR
03JUL17	1 030CT17	2301.00	2313.00		300.00CR
03JUL17	1 030CT17	2310.00	2314.50		112.50CR
03JUL17	1 030CT17	2309.50	2314.50		125.00CR
03JUL17	1 030CT17	2309.50	2315.00		137.50CR
03JUL17	1 030CT17	2309.50	2314.50		125.00CR
03JUL17	1 030CT17	2309.50	2315.00		137.50CR
03JUL17	1 030CT17	2308.00	2314.50		162.50CR
03JUL17	1 030CT17	2311.00	2315.50		112.50CR
03JUL17	1 030CT17	2311.00	2313.00		50.00CR
03JUL17	1 030CT17	2313.50	2313.50		0.00
03JUL17	1 030CT17	2314.00	2314.00		0.00
03JUL17	1 030CT17	2314.50	2316.00		37.50CR
03JUL17	1 030CT17	2312.50	2316.50		100.00CR
03JUL17	1 030CT17	2311.00	2316.50		137.50CR
03JUL17	1 030CT17	2311.00	2320.50		237.50CR
03JUL17	1 030CT17	2315.00	2321.00		150.00CR
03JUL17	1 030CT17	2323.00	2321.00		50.00DR
03JUL17	1 030CT17	2334.50	2320.50		350.00DR
03JUL17	1 030CT17	2335.50	2320.50		375.00DR
03JUL17	1 030CT17	2334.50	2320.00		362.50DR
03JUL17	1 030CT17	2334.50	2320.00		362.50DR
03JUL17	1 030CT17	2334.50	2317.00		437.50DR
03JUL17	1 030CT17	2334.00	2317.00		425.00DR
03JUL17	1 030CT17	2333.50	2314.00		487.50DR
03JUL17	1 030CT17	2335.50	2312.00		587.50DR
03JUL17	1 030CT17	2336.50	2312.00		612.50DR
03JUL17	1 030CT17	2336.00	2312.00		600.00DR
03JUL17	2 030CT17	2336.50	2312.00		1,225.00DR
03JUL17	1 030CT17	2336.50	2308.50		700.00DR
03JUL17	1 030CT17	2335.50	2312.50		575.00DR
03JUL17	1 030CT17	2332.50	2312.50		500.00DR
03JUL17	1 030CT17	2332.50	2311.50		525.00DR
03JUL17	1 030CT17	2333.00	2311.50		537.50DR
03JUL17	1 030CT17	2334.50	2309.50		625.00DR
03JUL17	1 030CT17	2333.50	2311.00		562.50DR
03JUL17	1 030CT17	2333.00	2319.00		350.00DR
03JUL17	2 030CT17	2332.00	2318.50		675.00DR
03JUL17	1 030CT17	2332.00	2320.00		300.00DR
03JUL17	1 030CT17	2331.00	2317.50		337.50DR
03JUL17	1 030CT17	2331.50	2318.00		337.50DR
03JUL17	1 030CT17	2332.00	2318.50		337.50DR
03JUL17	1 030CT17	2334.50	2326.00		212.50DR
05JUL17	1 030CT17	2275.00	2335.00		1,500.00CR
05JUL17	1 030CT17	2275.00	2332.00		1,425.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2768.50	2788.00		487.50CR
03JUL17	1 030CT17	2770.00	2781.50		287.50CR
03JUL17	1 030CT17	2765.50	2781.50		400.00CR
03JUL17	1 030CT17	2768.00	2783.00		375.00CR
03JUL17	1 030CT17	2767.50	2782.50		375.00CR
03JUL17	1 030CT17	2787.50	2776.00		287.50DR
03JUL17	1 030CT17	2765.50	2781.50		400.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03JUL17	1 03OCT17	2785.00	2773.00		300.00DR
03JUL17	1 03OCT17	2786.50	2786.00		12.50DR
03JUL17	1 03OCT17	2786.00	2783.50		62.50DR
03JUL17	1 03OCT17	2787.00	2790.00		75.00CR
03JUL17	1 03OCT17	2787.00	2783.00		100.00DR
03JUL17	1 03OCT17	2788.00	2772.00		400.00DR
03JUL17	1 03OCT17	2786.50	2780.50		150.00DR
03JUL17	1 03OCT17	2784.00	2772.50		287.50DR
03JUL17	1 03OCT17	2782.00	2773.00		225.00DR
03JUL17	1 03OCT17	2782.00	2784.00		50.00CR
03JUL17	1 03OCT17	2782.50	2775.50		175.00DR
03JUL17	1 03OCT17	2777.00	2793.50		412.50CR
03JUL17	1 03OCT17	2770.00	2794.00		600.00CR
03JUL17	1 03OCT17	2772.00	2794.00		550.00CR
03JUL17	1 03OCT17	2791.00	2794.00		75.00CR
03JUL17	1 03OCT17	2791.50	2791.00		12.50DR
03JUL17	1 03OCT17	2791.50	2792.50		25.00CR
03JUL17	1 03OCT17	2776.50	2788.00		287.50CR
03JUL17	1 03OCT17	2778.50	2776.00		62.50DR
03JUL17	1 03OCT17	2778.00	2776.00		50.00DR
03JUL17	1 03OCT17	2779.50	2780.00		12.50CR
03JUL17	1 03OCT17	2808.00	2780.00		700.00DR
03JUL17	1 03OCT17	2808.50	2780.00		712.50DR
03JUL17	1 03OCT17	2808.00	2779.00		725.00DR
03JUL17	1 03OCT17	2808.50	2779.50		725.00DR
03JUL17	1 03OCT17	2808.50	2782.00		662.50DR
03JUL17	1 03OCT17	2809.00	2780.00		725.00DR
03JUL17	1 03OCT17	2809.00	2780.50		712.50DR
03JUL17	1 03OCT17	2808.50	2782.00		662.50DR
03JUL17	1 03OCT17	2807.50	2782.50		625.00DR
03JUL17	1 03OCT17	2805.50	2782.00		587.50DR
03JUL17	1 03OCT17	2805.50	2782.00		587.50DR
03JUL17	1 03OCT17	2806.50	2781.50		625.00DR
03JUL17	1 03OCT17	2806.50	2781.50		625.00DR
03JUL17	1 03OCT17	2808.00	2782.50		637.50DR
03JUL17	1 03OCT17	2807.00	2782.00		625.00DR
03JUL17	1 03OCT17	2809.00	2776.50		812.50DR
03JUL17	1 03OCT17	2809.00	2783.50		637.50DR
03JUL17	1 03OCT17	2809.00	2783.00		650.00DR
03JUL17	1 03OCT17	2809.00	2784.00		625.00DR
03JUL17	1 03OCT17	2809.00	2784.50		612.50DR
03JUL17	1 03OCT17	2809.00	2781.00		700.00DR
03JUL17	1 03OCT17	2809.50	2783.00		662.50DR
03JUL17	1 03OCT17	2808.00	2783.00		625.00DR
05JUL17	1 03OCT17	2781.42	2788.00		164.50CR
05JUL17	1 03OCT17	2781.42	2791.00		239.50CR
TOTALS					
BROUGHT FORWARD BALANCE					994,055.73DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					6,750.00DR
LME Zinc 25 tonnes. This is an LME Futures					12,571.00DR
LME Aluminium 25 Tonnes. This is an Futures					172.00DR
COMMISSIONS					584.80DR
CARRIED FORWARD BALANCE					1,014,133.53DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
03OCT17	B 3 03JAN18 OBJ EL	2119.50		12.90DR	
03OCT17	B 1 03JAN18 OBJ EL	2120.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2121.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2123.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2123.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2131.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2144.00	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 3 03JAN18 OBJ EL		2522.50	12.90DR	
03OCT17	S 5 03JAN18 OBJ EL		2522.00	21.50DR	
03OCT17	S 1 03JAN18 OBJ EL		2515.50	4.30DR	
03OCT17	S 3 03JAN18 OBJ EL		2523.00	12.90DR	
03OCT17	S 2 03JAN18 OBJ EL		2521.50	8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2526.00		4.30DR	
03OCT17	B 2 03JAN18 OBJ EL	2525.50		8.60DR	
03OCT17	B 4 03JAN18 OBJ EL	2527.00		17.20DR	
03OCT17	B 2 03JAN18 OBJ EL	2528.00		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2524.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2523.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2519.00		4.30DR	
03OCT17	B 2 03JAN18 OBJ EL	2514.50		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2514.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2513.00		4.30DR	
03OCT17	B 2 03JAN18 OBJ EL	2510.50		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2505.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2506.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2501.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2500.00		4.30DR	
03OCT17	B 2 03JAN18 OBJ EL	2502.00		8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2501.00		4.30DR	
03OCT17	S 1 03JAN18 DMA EL		2522.50	4.30DR	
03OCT17	S 1 03JAN18 DMA EL		2521.00	4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2497.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2488.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2489.50		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2498.00		4.30DR	
03OCT17	B 1 03JAN18 OBJ EL	2499.50		4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2497.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2503.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2509.50	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2518.00	8.60DR	
03OCT17	S 2 03JAN18 OBJ EL		2518.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2519.00	4.30DR	
03OCT17	S 7 03JAN18 OBJ EL		2520.50	30.10DR	
03OCT17	S 1 03JAN18 OBJ EL		2520.00	4.30DR	
03OCT17	S 3 03JAN18 OBJ EL		2523.50	12.90DR	
03OCT17	S 2 03JAN18 OBJ EL		2521.00	8.60DR	
03OCT17	B 1 03JAN18 OBJ EL	2521.00		4.30DR	
03OCT17	S 4 03JAN18 OBJ EL		2524.00	17.20DR	
03OCT17	S 1 03JAN18 OBJ EL		2528.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2529.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2530.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2531.00	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2534.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2540.50	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2542.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2544.50	4.30DR	
03OCT17	S 2 03JAN18 OBJ EL		2548.50	8.60DR	
03OCT17	S 1 03JAN18 OBJ EL		2550.00	4.30DR	
03OCT17	S 1 03JAN18 OBJ EL		2550.50	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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3rd October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S	1	03JAN18	OBJ	EL		2553.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2554.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2558.50	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	2565.50		4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2562.00	4.30DR	
030CT17	B	2	03JAN18	DMA	EL	2506.00		8.60DR	
030CT17	S	1	03JAN18	OBJ	EL		2565.00	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	2554.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	2551.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	2552.50		4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2559.00	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2556.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2563.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		2572.00	4.30DR	
030CT17	S	3	03JAN18	OBJ	EL		2587.00	12.90DR	
030CT17	B	2	03JAN18	OBJ	EL	2597.00		8.60DR	
030CT17	B	1	03JAN18	DMA	EL	2565.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
030CT17	S	1	03JAN18	OBJ	EL		3231.50	4.30DR	
030CT17	S	2	03JAN18	OBJ	EL		3229.00	8.60DR	
030CT17	S	3	03JAN18	OBJ	EL		3229.50	12.90DR	
030CT17	S	1	03JAN18	OBJ	EL		3224.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3223.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3225.50	4.30DR	
030CT17	S	6	03JAN18	OBJ	EL		3227.00	25.80DR	
030CT17	S	2	03JAN18	OBJ	EL		3230.00	8.60DR	
030CT17	S	1	03JAN18	OBJ	EL		3228.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3233.00	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3239.50	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3239.00	4.30DR	
030CT17	S	2	03JAN18	OBJ	EL		3241.00	8.60DR	
030CT17	S	2	03JAN18	OBJ	EL		3240.50	8.60DR	
030CT17	S	1	03JAN18	OBJ	EL		3242.00	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3262.00	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3262.00		4.30DR	
030CT17	B	3	03JAN18	OBJ	EL	3261.00		12.90DR	
030CT17	B	2	03JAN18	OBJ	EL	3259.50		8.60DR	
030CT17	S	1	03JAN18	OBJ	EL		3258.50	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3255.50		4.30DR	
030CT17	S	3	03JAN18	OBJ	EL		3260.00	12.90DR	
030CT17	S	1	03JAN18	OBJ	EL		3270.00	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3267.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3267.00		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3256.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3257.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3275.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3276.50		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3279.00		4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3280.00	4.30DR	
030CT17	B	3	03JAN18	OBJ	EL	3286.00		12.90DR	
030CT17	B	4	03JAN18	OBJ	EL	3287.50		17.20DR	
030CT17	B	2	03JAN18	OBJ	EL	3289.50		8.60DR	
030CT17	B	1	03JAN18	OBJ	EL	3288.50		4.30DR	
030CT17	B	10	03JAN18	OBJ	EL	3288.00		43.00DR	
030CT17	B	2	03JAN18	OBJ	EL	3286.50		8.60DR	
030CT17	B	2	03JAN18	OBJ	EL	3285.00		8.60DR	
030CT17	B	2	03JAN18	OBJ	EL	3287.00		8.60DR	
030CT17	B	1	03JAN18	OBJ	EL	3283.50		4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3286.00	4.30DR	
030CT17	B	1	03JAN18	DMA	EL	3254.50		4.30DR	
030CT17	S	1	03JAN18	DMA	EL		3280.00	4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3266.00		4.30DR	
030CT17	B	1	03JAN18	OBJ	EL	3265.50		4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3265.00	4.30DR	
030CT17	S	1	03JAN18	OBJ	EL		3269.00	4.30DR	

Group No: SAPG0112

Page 2 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	S	1	03JAN18	OBJ	EL		3274.00	4.30DR	
03OCT17	B	1	03JAN18	OBJ	EL	3263.50		4.30DR	
03OCT17	B	2	03JAN18	OBJ	EL	3263.00		8.60DR	
03OCT17	B	1	03JAN18	OBJ	EL	3280.50		4.30DR	
03OCT17	S	1	03JAN18	OBJ	EL		3281.00	4.30DR	
03OCT17	B	1	03JAN18	DMA	EL	3290.00		4.30DR	
	Commission								872.90DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
03JUL17		1	03OCT17			1925.00	1926.50		37.50CR
03JUL17		1	03OCT17			1925.50	1927.00		37.50CR
03JUL17		1	03OCT17			1923.50	1927.00		87.50CR
03JUL17		1	03OCT17			1925.50	1923.50		50.00DR
03JUL17		1	03OCT17			1926.50	1923.50		75.00DR
03JUL17		1	03OCT17			1926.00	1916.00		250.00DR
03JUL17		1	03OCT17			1921.00	1920.50		12.50DR
03JUL17		1	03OCT17			1919.50	1925.00		137.50CR
03JUL17		1	03OCT17			1917.50	1925.50		200.00CR
03JUL17		1	03OCT17			1917.50	1926.00		212.50CR
03JUL17		1	03OCT17			1917.50	1926.50		225.00CR
03JUL17		1	03OCT17			1916.50	1926.50		250.00CR
03JUL17		1	03OCT17			1926.50	1927.00		12.50CR
03JUL17		1	03OCT17			1921.00	1927.00		150.00CR
03JUL17		1	03OCT17			1919.00	1928.00		225.00CR
03JUL17		1	03OCT17			1917.50	1928.00		262.50CR
05JUL17		2	03OCT17			1916.00	1928.52		626.00CR
05JUL17		1	03OCT17			1915.50	1928.52		325.50CR
10JUL17		3	03OCT17			1896.42	1928.52		2,407.50CR
	LME Lead 25 tonnes. This is an LME registered client contract								
03JUL17		1	03OCT17			2304.50	2297.00		187.50DR
03JUL17		1	03OCT17			2303.00	2298.00		125.00DR
03JUL17		1	03OCT17			2300.50	2297.00		87.50DR
03JUL17		1	03OCT17			2302.50	2299.50		75.00DR
03JUL17		1	03OCT17			2302.50	2298.00		112.50DR
03JUL17		1	03OCT17			2300.50	2299.50		25.00DR
03JUL17		1	03OCT17			2301.00	2315.00		350.00CR
03JUL17		1	03OCT17			2301.00	2314.00		325.00CR
03JUL17		1	03OCT17			2302.50	2313.50		275.00CR
03JUL17		1	03OCT17			2307.00	2313.50		162.50CR
03JUL17		1	03OCT17			2308.50	2313.50		125.00CR
03JUL17		1	03OCT17			2307.50	2314.00		162.50CR
03JUL17		1	03OCT17			2315.00	2314.00		25.00DR
03JUL17		1	03OCT17			2315.00	2315.00		0.00
03JUL17		1	03OCT17			2308.00	2315.50		187.50CR
03JUL17		1	03OCT17			2307.50	2316.50		225.00CR
03JUL17		1	03OCT17			2311.00	2321.00		250.00CR
03JUL17		1	03OCT17			2311.00	2321.50		262.50CR
03JUL17		2	03OCT17			2313.50	2321.50		400.00CR
03JUL17		1	03OCT17			2314.00	2321.00		175.00CR
03JUL17		1	03OCT17			2314.00	2320.50		162.50CR
03JUL17		1	03OCT17			2314.00	2320.00		150.00CR
03JUL17		1	03OCT17			2314.00	2318.50		112.50CR
03JUL17		1	03OCT17			2312.50	2318.50		150.00CR
03JUL17		1	03OCT17			2312.50	2318.50		150.00CR
03JUL17		1	03OCT17			2323.00	2318.50		112.50DR
03JUL17		1	03OCT17			2334.50	2317.00		437.50DR
03JUL17		1	03OCT17			2334.50	2317.00		437.50DR
03JUL17		1	03OCT17			2334.50	2317.50		425.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

3rd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03JUL17	1 030CT17	2333.50	2318.00		387.50DR
03JUL17	1 030CT17	2333.50	2317.00		412.50DR
03JUL17	1 030CT17	2333.00	2317.00		400.00DR
03JUL17	1 030CT17	2333.00	2307.00		650.00DR
03JUL17	1 030CT17	2333.50	2312.50		525.00DR
03JUL17	1 030CT17	2333.50	2314.00		487.50DR
03JUL17	1 030CT17	2335.50	2314.00		537.50DR
03JUL17	1 030CT17	2335.50	2315.00		512.50DR
03JUL17	1 030CT17	2336.00	2317.00		475.00DR
03JUL17	1 030CT17	2336.00	2319.00		425.00DR
03JUL17	1 030CT17	2335.50	2320.00		387.50DR
03JUL17	1 030CT17	2332.50	2317.50		375.00DR
03JUL17	1 030CT17	2332.50	2326.00		162.50DR
03JUL17	1 030CT17	2333.00	2326.00		175.00DR
03JUL17	1 030CT17	2331.00	2330.00		25.00DR
03JUL17	1 030CT17	2332.00	2330.50		37.50DR
05JUL17	1 030CT17	2332.00	2274.80		1,430.00DR
05JUL17	1 030CT17	2331.50	2274.80		1,417.50DR
05JUL17	1 030CT17	2332.00	2274.80		1,430.00DR
05JUL17	1 030CT17	2331.50	2274.80		1,417.50DR
05JUL17	1 030CT17	2334.50	2274.80		1,492.50DR
05JUL17	1 030CT17	2333.00	2274.80		1,455.00DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
03JUL17	1 030CT17	2773.00	2787.50		362.50CR
03JUL17	1 030CT17	2773.00	2783.50		262.50CR
03JUL17	1 030CT17	2773.00	2788.00		375.00CR
03JUL17	1 030CT17	2773.00	2790.00		425.00CR
03JUL17	1 030CT17	2772.50	2792.00		487.50CR
03JUL17	1 030CT17	2773.00	2790.50		437.50CR
03JUL17	1 030CT17	2771.50	2781.50		250.00CR
03JUL17	1 030CT17	2774.00	2784.00		250.00CR
03JUL17	1 030CT17	2772.00	2788.00		400.00CR
03JUL17	1 030CT17	2770.00	2781.50		287.50CR
03JUL17	1 030CT17	2773.00	2790.00		425.00CR
03JUL17	1 030CT17	2772.50	2784.50		300.00CR
03JUL17	1 030CT17	2765.00	2789.00		600.00CR
03JUL17	1 030CT17	2765.50	2783.50		450.00CR
03JUL17	1 030CT17	2771.50	2785.00		337.50CR
03JUL17	1 030CT17	2770.00	2791.00		525.00CR
03JUL17	1 030CT17	2786.50	2773.00		337.50DR
03JUL17	1 030CT17	2771.50	2786.00		362.50CR
03JUL17	1 030CT17	2769.00	2786.00		425.00CR
03JUL17	1 030CT17	2769.50	2790.00		512.50CR
03JUL17	1 030CT17	2770.50	2790.00		487.50CR
03JUL17	1 030CT17	2786.50	2782.00		112.50DR
03JUL17	1 030CT17	2769.50	2790.00		512.50CR
03JUL17	1 030CT17	2768.00	2783.50		387.50CR
03JUL17	1 030CT17	2768.50	2783.50		375.00CR
03JUL17	1 030CT17	2774.00	2784.00		250.00CR
03JUL17	1 030CT17	2769.00	2773.00		100.00CR
03JUL17	1 030CT17	2768.00	2782.50		362.50CR
03JUL17	1 030CT17	2769.00	2783.00		350.00CR
03JUL17	1 030CT17	2791.50	2781.50		250.00DR
03JUL17	1 030CT17	2789.50	2790.00		12.50CR
03JUL17	1 030CT17	2785.00	2783.00		50.00DR
03JUL17	1 030CT17	2786.00	2784.50		37.50DR
03JUL17	1 030CT17	2787.00	2785.00		50.00DR
03JUL17	1 030CT17	2786.50	2783.50		75.00DR
03JUL17	1 030CT17	2786.50	2791.00		112.50CR
03JUL17	1 030CT17	2786.00	2788.50		62.50CR
03JUL17	1 030CT17	2782.00	2786.00		100.00CR
03JUL17	1 030CT17	2782.00	2777.00		125.00DR
03JUL17	1 030CT17	2781.50	2781.00		12.50DR
03JUL17	1 030CT17	2781.50	2780.50		25.00DR
03JUL17	1 030CT17	2782.00	2782.50		12.50CR

Group No: SAPG0112

Page 4 of 5

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03JUL17	1 030CT17	2783.50	2780.00		87.50DR
03JUL17	1 030CT17	2783.50	2780.00		87.50DR
03JUL17	1 030CT17	2783.00	2780.00		75.00DR
03JUL17	1 030CT17	2783.00	2779.00		100.00DR
03JUL17	1 030CT17	2782.50	2782.00		12.50DR
03JUL17	1 030CT17	2779.50	2782.00		62.50CR
03JUL17	1 030CT17	2779.50	2782.00		62.50CR
03JUL17	1 030CT17	2777.00	2782.00		125.00CR
03JUL17	1 030CT17	2775.50	2782.00		162.50CR
03JUL17	1 030CT17	2775.50	2781.00		137.50CR
03JUL17	1 030CT17	2776.00	2781.50		137.50CR
03JUL17	1 030CT17	2773.50	2782.00		212.50CR
03JUL17	1 030CT17	2772.00	2767.00		125.00DR
03JUL17	1 030CT17	2771.00	2781.50		262.50CR
03JUL17	1 030CT17	2770.50	2783.00		312.50CR
03JUL17	1 030CT17	2773.00	2783.00		250.00CR
03JUL17	1 030CT17	2779.00	2782.50		87.50CR
03JUL17	1 030CT17	2772.00	2782.50		262.50CR
03JUL17	1 030CT17	2772.00	2783.00		275.00CR
03JUL17	1 030CT17	2791.00	2783.00		200.00DR
03JUL17	1 030CT17	2791.50	2784.00		187.50DR
03JUL17	1 030CT17	2791.50	2784.00		187.50DR
03JUL17	1 030CT17	2792.00	2785.00		175.00DR
03JUL17	1 030CT17	2783.50	2785.50		50.00CR
03JUL17	1 030CT17	2782.00	2787.00		125.00CR
03JUL17	1 030CT17	2781.00	2791.00		250.00CR
03JUL17	1 030CT17	2779.50	2791.00		287.50CR
03JUL17	2 030CT17	2779.50	2792.00		625.00CR
03JUL17	1 030CT17	2771.50	2794.00		562.50CR
03JUL17	1 030CT17	2792.00	2782.00		250.00DR
03JUL17	1 030CT17	2791.00	2782.00		225.00DR
03JUL17	1 030CT17	2780.00	2791.00		275.00CR
03JUL17	1 030CT17	2779.00	2798.00		475.00CR
03JUL17	1 030CT17	2804.00	2799.00		125.00DR
03JUL17	1 030CT17	2803.50	2800.50		75.00DR
03JUL17	1 030CT17	2808.50	2795.00		337.50DR
03JUL17	1 030CT17	2809.00	2799.50		237.50DR
05JUL17	1 030CT17	2808.50	2781.22		682.00DR
05JUL17	1 030CT17	2807.50	2781.22		657.00DR
05JUL17	2 030CT17	2807.00	2781.22		1,289.00DR
05JUL17	1 030CT17	2808.00	2781.22		669.50DR
05JUL17	1 030CT17	2808.00	2781.22		669.50DR
05JUL17	1 030CT17	2807.00	2781.22		644.50DR
05JUL17	1 030CT17	2808.50	2781.22		682.00DR
05JUL17	1 030CT17	2778.50	2781.22		68.00CR
05JUL17	1 030CT17	2809.00	2781.22		694.50DR
05JUL17	1 030CT17	2808.00	2781.22		669.50DR
TOTALS					
BROUGHT FORWARD BALANCE					108,921.53DR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					13,042.50DR
LME Zinc 25 tonnes. This is an LME Futures					5,448.00CR
LME Aluminium 25 Tonnes. This is an Futures					4,809.00CR
COMMISSIONS					872.90DR
CARRIED FORWARD BALANCE					112,579.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		375,344.77CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		4,662.00CR
	LME Lead 25 tonnes. This is an Futures		25,492.50DR
	LME Nickel 6 tonnes. This is an LME Futures		24,330.00CR
	LME Zinc 25 tonnes. This is an LME Futures		6,210.50DR
	COMMISSIONS		1,849.50DR
	CARRIED FORWARD BALANCE		370,784.27CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
030CT17	B 1 NOV17 TTR II 2.88400			2.50DR	
030CT17	B 1 NOV17 TTR II 2.88700			2.50DR	
	CMX Silver 5,000 CMX				
030CT17	B 1 DEC17 TTR II 1659.5			2.50DR	
030CT17	B 1 DEC17 TTR II 1658.5			2.50DR	
030CT17	S 1 DEC17 TTR II 1667.5		1667.5	2.50DR	
030CT17	S 2 DEC17 TTR II 1668.5		1668.5	5.00DR	
030CT17	S 1 DEC17 TTR II 1669.0		1669.0	2.50DR	
030CT17	S 1 DEC17 TTR II 1668.0		1668.0	2.50DR	
	Commission				22.50DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
030CT17	1 DEC17 1659.5	1667.5			400.00CR
030CT17	1 DEC17 1658.5	1668.5			500.00CR
030CT17	1 DEC17 1768.0	1668.5			4,975.00DR
030CT17	1 DEC17 1742.5	1669.0			3,675.00DR
030CT17	1 DEC17 1743.0	1668.0			3,750.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
21AUG17	4 030CT17 11278.00	11175.50			2,460.00DR
29SEP17	3 030CT17 10418.24	10353.44			1,166.40DR
29SEP17	3 030CT17 10517.10	10353.44			2,945.88DR
29SEP17	6 030CT17 10412.00	10581.50			6,102.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
15SEP17	3 030CT17 3033.12	3152.02			8,917.50CR
15SEP17	2 030CT17 3033.12	3151.52			5,920.00CR
29SEP17	5 030CT17 3216.20	3151.52			8,085.00DR
29SEP17	5 030CT17 3205.25	3135.00			8,781.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				97,537.27CR
	SETTLEMENTS				
	CMX Silver Futures				11,500.00DR
	LME Zinc 25 tonnes. This is an LME Futures				2,028.75DR
	LME Nickel 6 tonnes. This is an LME Futures				470.28DR
	COMMISSIONS				22.50DR
	CARRIED FORWARD BALANCE				83,515.74CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
030CT17	B 1 DEC17 CQG II 113.7500			2.50DR	
030CT17	S 1 FEB18 CQG II 117.5500		117.5500	2.50DR	
030CT17	S 1 FEB18 CQG II 117.5250		117.5250	2.50DR	
030CT17	B 1 APR18 CQG II 119.1750			2.50DR	
030CT17	B 1 APR18 CQG II 119.2250			2.50DR	
030CT17	S 2 JUN18 CQG II 112.5500		112.5500	5.00DR	
030CT17	B 1 AUG18 CQG II 110.3750			2.50DR	
	CME Feeder Cattle 500 CME				
030CT17	B 1 NOV17 CQG II 152.3500			2.50DR	
030CT17	S 1 NOV17 CQG II 153.9250		153.9250	2.50DR	
030CT17	S 1 JAN18 CQG II 150.1250		150.1250	2.50DR	
030CT17	S 1 JAN18 CQG II 150.1750		150.1750	2.50DR	
030CT17	B 1 JAN18 CQG II 151.2500			2.50DR	
030CT17	B 1 JAN18 CQG II 151.3500			2.50DR	
030CT17	B 1 MAR18 CQG II 147.9500			2.50DR	
030CT17	S 1 MAR18 CQG II 149.0750		149.0750	2.50DR	
	IEU Gas Oil 100 IPE				
030CT17	B 2 JAN18 CQG EL 511.000			5.00DR	
030CT17	B 4 FEB18 CQG EL 514.250			10.00DR	
030CT17	B 4 FEB18 CQG EL 510.000			10.00DR	
030CT17	B 4 FEB18 CQG EL 511.250			10.00DR	
030CT17	S 2 FEB18 CQG EL 510.250		510.250	5.00DR	
030CT17	S 4 MAR18 CQG EL 511.750		511.750	10.00DR	
030CT17	S 4 MAR18 CQG EL 508.000		508.000	10.00DR	
030CT17	S 4 MAR18 CQG EL 507.500		507.500	10.00DR	
030CT17	S 8 MAR18 CQG EL 509.750		509.750	20.00DR	
030CT17	B 4 APR18 CQG EL 506.500			10.00DR	
030CT17	B 4 APR18 CQG EL 508.000			10.00DR	
030CT17	B 4 APR18 CQG EL 505.250			10.00DR	
030CT17	B 4 APR18 CQG EL 507.500			10.00DR	
030CT17	S 4 MAY18 CQG EL 504.750		504.750	10.00DR	
030CT17	S 4 MAY18 CQG EL 505.250		505.250	10.00DR	
030CT17	S 4 MAY18 CQG EL 506.250		506.250	10.00DR	
030CT17	S 4 MAY18 CQG EL 505.000		505.000	10.00DR	
030CT17	B 4 JUN18 CQG EL 503.000			10.00DR	
030CT17	B 4 JUN18 CQG EL 503.500			10.00DR	
030CT17	B 4 JUN18 CQG EL 503.250			10.00DR	
030CT17	S 4 JUL18 CQG EL 503.000		503.000	10.00DR	
	CME Lean Hog 400 CME				
030CT17	S 1 DEC17 CQG II 62.4000		62.4000	2.50DR	
030CT17	B 1 DEC17 CQG II 62.4000			2.50DR	
030CT17	B 1 DEC17 CQG II 61.7250			2.50DR	
030CT17	S 1 DEC17 CQG II 62.5250		62.5250	2.50DR	
030CT17	S 1 DEC17 CQG II 62.6500		62.6500	2.50DR	
030CT17	B 1 DEC17 CQG II 62.9000			2.50DR	
030CT17	B 1 DEC17 CQG II 62.9250			2.50DR	
030CT17	B 1 DEC17 CQG II 63.3500			2.50DR	
030CT17	B 1 DEC17 CQG II 62.8750			2.50DR	
030CT17	B 1 FEB18 CQG II 67.2500			2.50DR	
030CT17	S 3 FEB18 CQG II 67.2500		67.2500	7.50DR	
030CT17	B 2 FEB18 CQG II 67.3500			5.00DR	
030CT17	B 2 FEB18 CQG II 67.4250			5.00DR	
030CT17	S 2 FEB18 CQG II 67.8000		67.8000	5.00DR	
030CT17	S 2 FEB18 CQG II 67.8250		67.8250	5.00DR	
030CT17	S 2 FEB18 CQG II 68.2250		68.2250	5.00DR	
030CT17	S 2 FEB18 CQG II 67.8750		67.8750	5.00DR	
030CT17	B 1 APR18 CQG II 72.0250			2.50DR	
030CT17	S 1 APR18 CQG II 71.9750		71.9750	2.50DR	
030CT17	S 1 APR18 CQG II 72.0500		72.0500	2.50DR	
030CT17	B 1 APR18 CQG II 72.3000			2.50DR	
030CT17	B 1 APR18 CQG II 72.2250			2.50DR	
030CT17	B 1 APR18 CQG II 72.4000			2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B 1 APR18 CQG II Commission	72.0750		2.50DR	330.00DR
SETTLEMENTS					
CME Cattle 400 CME					
030CT17	1 APR18	119.1750	118.9000		110.00DR
030CT17	1 JUN18	111.7250	112.5500		330.00CR
030CT17	1 JUN18	111.7250	112.5500		330.00CR
030CT17	1 AUG18	110.3750	109.5750		320.00DR
CME Feeder Cattle 500 CME					
030CT17	1 NOV17	152.3500	153.9250		787.50CR
030CT17	1 JAN18	151.2500	150.1250		562.50DR
030CT17	1 JAN18	151.3500	150.1750		587.50DR
030CT17	1 MAR18	147.9500	149.0750		562.50CR
IEU Gas Oil 100 IPE					
030CT17	1 FEB18	514.250	510.250		400.00DR
030CT17	1 FEB18	514.250	510.250		400.00DR
030CT17	2 FEB18	514.250	527.000		2,550.00CR
030CT17	2 FEB18	510.000	527.250		3,450.00CR
030CT17	2 FEB18	510.000	517.000		1,400.00CR
CME Lean Hog 400 CME					
030CT17	1 DEC17	62.4000	62.4000		0.00
030CT17	1 DEC17	61.7250	62.5250		320.00CR
030CT17	1 DEC17	62.9000	62.6500		100.00DR
030CT17	1 FEB18	67.2500	67.2500		0.00
030CT17	1 FEB18	67.3500	67.2500		40.00DR
030CT17	1 FEB18	67.3500	67.2500		40.00DR
030CT17	1 FEB18	67.4250	67.8000		150.00CR
030CT17	1 FEB18	67.4250	67.8000		150.00CR
030CT17	1 APR18	72.0250	71.9750		20.00DR
030CT17	1 APR18	72.3000	72.0500		100.00DR
TOTALS					
BROUGHT FORWARD BALANCE					340.00CR
SETTLEMENTS					
CME Feeder Cattle Futures					200.00CR
CME Cattle Futures					230.00CR
IEU Gas Oil Futures					6,600.00CR
CME Lean Hog Futures					320.00CR
COMMISSIONS					330.00DR
CARRIED FORWARD BALANCE					7,360.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

3rd October 2017

COB GLOBAL

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backoffice@sapiencap.com

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
030CT17	S 1 DEC17 CQG II		113.1000	2.50DR	
030CT17	B 1 DEC17 CQG II	113.6750		2.50DR	
030CT17	B 2 FEB18 CQG II	117.0000		5.00DR	
030CT17	S 1 FEB18 CQG II		117.2250	2.50DR	
030CT17	S 2 FEB18 CQG II		117.4000	5.00DR	
030CT17	S 1 FEB18 CQG II		117.6000	2.50DR	
030CT17	B 1 FEB18 CQG II	118.3250		2.50DR	
030CT17	S 1 FEB18 CQG II		118.5500	2.50DR	
030CT17	S 1 APR18 CQG II		119.0250	2.50DR	
030CT17	B 1 APR18 CQG II	119.0000		2.50DR	
030CT17	B 1 APR18 CQG II	119.0250		2.50DR	
030CT17	B 1 APR18 CQG II	119.1750		2.50DR	
030CT17	S 1 APR18 CQG II		119.8250	2.50DR	
030CT17	B 1 APR18 CQG II	120.0000		2.50DR	
030CT17	S 1 AUG18 CQG II		111.1500	2.50DR	
030CT17	B 1 OCT18 CQG II	111.7000		2.50DR	
	CME Feeder Cattle 500 CME				
030CT17	S 1 NOV17 CQG II		152.4000	2.50DR	
030CT17	B 1 JAN18 CQG II	150.0000		2.50DR	
	CME Lean Hog 400 CME				
030CT17	B 1 DEC17 CQG II	61.7500		2.50DR	
030CT17	B 1 DEC17 CQG II	61.4750		2.50DR	
030CT17	S 1 DEC17 CQG II		62.0250	2.50DR	
030CT17	S 1 DEC17 CQG II		62.7000	2.50DR	
030CT17	B 1 DEC17 CQG II	63.2000		2.50DR	
030CT17	B 1 DEC17 CQG II	63.1250		2.50DR	
030CT17	S 3 FEB18 CQG II		67.1250	7.50DR	
030CT17	S 1 FEB18 CQG II		67.1000	2.50DR	
030CT17	B 1 FEB18 CQG II	67.1750		2.50DR	
030CT17	B 1 FEB18 CQG II	67.3000		2.50DR	
030CT17	B 2 FEB18 CQG II	67.4250		5.00DR	
030CT17	S 2 FEB18 CQG II		68.0750	5.00DR	
030CT17	S 2 FEB18 CQG II		67.9750	5.00DR	
030CT17	B 1 APR18 CQG II	71.9000		2.50DR	
030CT17	B 1 APR18 CQG II	71.9250		2.50DR	
030CT17	S 1 APR18 CQG II		71.8500	2.50DR	
030CT17	S 1 APR18 CQG II		71.9500	2.50DR	
030CT17	B 1 APR18 CQG II	72.3500		2.50DR	
030CT17	B 1 APR18 CQG II	72.0250		2.50DR	
	Commission				110.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
030CT17	1 DEC17	113.6750	113.1000		230.00DR
030CT17	1 FEB18	117.0000	117.2250		90.00CR
030CT17	1 FEB18	117.0000	117.4000		160.00CR
030CT17	1 FEB18	118.3250	117.4000		370.00DR
030CT17	1 APR18	119.0000	119.0250		10.00CR
030CT17	1 APR18	119.0250	119.8250		320.00CR
	CME Feeder Cattle 500 CME				
030CT17	1 NOV17	152.7000	152.4000		150.00DR
030CT17	1 JAN18	150.0000	149.7500		125.00DR
	CME Lean Hog 400 CME				
030CT17	1 DEC17	61.7500	62.0250		110.00CR
030CT17	1 DEC17	61.4750	62.7000		490.00CR
030CT17	1 FEB18	67.1750	67.1250		20.00DR
030CT17	1 FEB18	67.3000	67.1250		70.00DR
030CT17	1 FEB18	67.4250	67.1250		120.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119E

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 FEB18	67.4250	67.1000		130.00DR
03OCT17	1 APR18	71.9000	71.8500		20.00DR
03OCT17	1 APR18	71.9250	71.9500		10.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,415.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				275.00DR
	CME Cattle Futures				20.00DR
	CME Lean Hog Futures				250.00CR
	COMMISSIONS				110.00DR
	CARRIED FORWARD BALANCE				2,570.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119G 3rd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	6521.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		6527.50	1.56DR	
	CMX Copper 25,000 CMX				
03OCT17	S 1 DEC17 II		295.500	2.50DR	
03OCT17	B 1 DEC17 II	296.100		2.50DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2572.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	2560.50		1.56DR	
03OCT17	B 1 03JAN18 DMA EL	2590.50		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		2587.00	1.56DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		3275.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	3290.50		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		3287.50	1.56DR	
03OCT17	S 1 03JAN18 DMA EL		3288.50	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	3282.00		1.56DR	
03OCT17	B 1 03JAN18 DMA EL	3275.00		1.56DR	
	Commission				23.72DR
	<u>SETTLEMENTS</u>				
03OCT17	CMX Copper 25,000 CMX 1 DEC17	296.100	295.500		150.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,245.00CR
	SETTLEMENTS				
	CMX Copper Futures				150.00DR
	COMMISSIONS				23.72DR
	CARRIED FORWARD BALANCE				10,071.28CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

3rd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	6503.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		6499.00	1.56DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		2518.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	2522.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		2529.50	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	2532.50		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		2561.50	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	2553.00		1.56DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	10750.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		10740.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	10625.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		10575.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	10555.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		10585.00	1.56DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
03OCT17	S 1 03JAN18 DMA EL		20730.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	20750.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		20740.00	1.56DR	
03OCT17	S 1 03JAN18 DMA EL		20770.00	1.56DR	
03OCT17	S 1 03JAN18 DMA EL		20745.00	1.56DR	
03OCT17	B 2 03JAN18 DMA EL	20730.00		3.12DR	
03OCT17	B 2 03JAN18 DMA EL	20790.00		3.12DR	
03OCT17	B 1 03JAN18 DMA EL	20755.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		20780.00	1.56DR	
03OCT17	S 1 03JAN18 DMA EL		20785.00	1.56DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
03OCT17	B 1 03JAN18 DMA EL	3255.50		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		3269.00	1.56DR	
03OCT17	S 1 03JAN18 DMA EL		3247.00	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	3263.00		1.56DR	
03OCT17	S 1 03JAN18 DMA EL		3275.50	1.56DR	
03OCT17	B 1 03JAN18 DMA EL	3271.50		1.56DR	
	Commission				49.92DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,416.30CR
	COMMISSIONS				49.92DR
	CARRIED FORWARD BALANCE				7,366.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

3rd October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Lean Hog 400 CME				
030CT17	S 1 DEC17 CQG II		62.2000	2.50DR	
030CT17	B 1 DEC17 CQG II	62.4500		2.50DR	
030CT17	S 1 DEC17 CQG II		62.0250	2.50DR	
030CT17	B 1 DEC17 CQG II	61.9500		2.50DR	
030CT17	S 1 DEC17 CQG II		61.7250	2.50DR	
030CT17	B 1 DEC17 CQG II	61.7500		2.50DR	
030CT17	B 1 DEC17 CQG II	61.7250		2.50DR	
030CT17	B 1 DEC17 CQG II	61.5250		2.50DR	
030CT17	S 1 DEC17 CQG II		61.5250	2.50DR	
030CT17	S 1 DEC17 CQG II		61.5500	2.50DR	
030CT17	B 1 DEC17 CQG II	61.7000		2.50DR	
030CT17	S 1 DEC17 CQG II		62.6000	2.50DR	
030CT17	S 1 DEC17 CQG II		62.4000	2.50DR	
030CT17	B 1 DEC17 CQG II	62.3750		2.50DR	
030CT17	S 1 DEC17 CQG II		62.7000	2.50DR	
030CT17	B 1 DEC17 CQG II	62.8000		2.50DR	
030CT17	S 1 DEC17 CQG II		62.9250	2.50DR	
030CT17	B 1 DEC17 CQG II	62.9250		2.50DR	
030CT17	S 1 DEC17 CQG II		63.1500	2.50DR	
030CT17	B 1 DEC17 CQG II	63.1250		2.50DR	
030CT17	B 1 DEC17 CQG II	63.2250		2.50DR	
030CT17	B 1 DEC17 CQG II	63.0000		2.50DR	
030CT17	S 1 DEC17 CQG II		62.9500	2.50DR	
030CT17	S 1 DEC17 CQG II		62.7500	2.50DR	
030CT17	B 2 DEC17 CQG II	62.6500		5.00DR	
030CT17	S 2 FEB18 CQG II		67.3000	5.00DR	
030CT17	S 1 FEB18 CQG II		67.5000	2.50DR	
030CT17	B 1 FEB18 CQG II	67.4500		2.50DR	
030CT17	B 1 FEB18 CQG II	67.2250		2.50DR	
030CT17	B 1 FEB18 CQG II	67.1250		2.50DR	
030CT17	S 1 FEB18 CQG II		67.1250	2.50DR	
030CT17	S 1 FEB18 CQG II		67.1500	2.50DR	
030CT17	S 2 FEB18 CQG II		67.1000	5.00DR	
030CT17	B 1 FEB18 CQG II	67.1000		2.50DR	
030CT17	B 3 FEB18 CQG II	67.2000		7.50DR	
030CT17	S 2 FEB18 CQG II		67.1750	5.00DR	
030CT17	B 3 FEB18 CQG II	67.3250		7.50DR	
030CT17	S 1 FEB18 CQG II		67.3750	2.50DR	
030CT17	B 1 FEB18 CQG II	67.5500		2.50DR	
030CT17	S 1 FEB18 CQG II		67.6750	2.50DR	
030CT17	S 1 FEB18 CQG II		67.8500	2.50DR	
030CT17	B 1 FEB18 CQG II	67.9500		2.50DR	
030CT17	B 1 FEB18 CQG II	68.0000		2.50DR	
030CT17	S 1 FEB18 CQG II		67.9750	2.50DR	
030CT17	S 1 FEB18 CQG II		67.8750	2.50DR	
030CT17	S 1 FEB18 CQG II		68.1000	2.50DR	
030CT17	B 1 FEB18 CQG II	68.2250		2.50DR	
030CT17	S 1 FEB18 CQG II		68.2250	2.50DR	
030CT17	S 1 FEB18 CQG II		67.9500	2.50DR	
030CT17	B 1 FEB18 CQG II	67.9000		2.50DR	
030CT17	S 1 FEB18 CQG II		67.7000	2.50DR	
030CT17	B 2 FEB18 CQG II	67.7750		5.00DR	
030CT17	S 3 FEB18 CQG II		67.8250	7.50DR	
030CT17	S 1 APR18 CQG II		72.0000	2.50DR	
030CT17	B 1 APR18 CQG II	72.0000		2.50DR	
030CT17	B 1 APR18 CQG II	72.0750		2.50DR	
030CT17	S 1 APR18 CQG II		72.1250	2.50DR	
030CT17	B 1 APR18 CQG II	71.9500		2.50DR	
030CT17	S 1 APR18 CQG II		71.8500	2.50DR	
030CT17	B 1 APR18 CQG II	72.2250		2.50DR	
030CT17	S 1 APR18 CQG II		72.3000	2.50DR	
030CT17	B 1 APR18 CQG II	72.1750		2.50DR	
030CT17	S 1 APR18 CQG II		72.3750	2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

3rd October 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B	1	APR18	CQG	II	72.3750		2.50DR	
030CT17	B	1	APR18	CQG	II	72.1500		2.50DR	
030CT17	S	1	JUN18	CQG	II		79.8500	2.50DR	
030CT17	S	1	JUN18	CQG	II		79.9500	2.50DR	
030CT17	S	1	JUN18	CQG	II		80.0500	2.50DR	
030CT17	B	1	JUN18	CQG	II	79.8000		2.50DR	
030CT17	S	1	JUN18	CQG	II		80.1750	2.50DR	
030CT17	B	1	JUN18	CQG	II	80.2250		2.50DR	
030CT17	B	2	JUL18	CQG	II	80.0750		5.00DR	
030CT17	B	1	JUL18	CQG	II	80.1000		2.50DR	
030CT17	S	1	JUL18	CQG	II		79.8750	2.50DR	
030CT17	B	3	JUL18	CQG	II	80.1500		7.50DR	
030CT17	S	1	JUL18	CQG	II		80.0750	2.50DR	
030CT17	S	1	AUG18	CQG	II		79.4250	2.50DR	
030CT17	S	1	AUG18	CQG	II		79.5000	2.50DR	
030CT17	S	1	AUG18	CQG	II		79.3000	2.50DR	
030CT17	B	1	AUG18	CQG	II	79.1750		2.50DR	
030CT17	B	1	AUG18	CQG	II	79.2500		2.50DR	
030CT17	S	1	AUG18	CQG	II		79.4000	2.50DR	
	IEU Brent Crude 1,000 IPE								
030CT17	S	1	FEB18	CQG	EL		55.69	2.50DR	
030CT17	B	1	FEB18	CQG	EL	55.40		2.50DR	
030CT17	B	1	MAR18	CQG	EL	55.54		2.50DR	
030CT17	S	1	MAR18	CQG	EL		55.27	2.50DR	
	Commission								250.00DR
	SETTLEMENTS								
	CME Lean Hog 400 CME								
030CT17		1	DEC17			62.4500	62.2000		100.00DR
030CT17		1	DEC17			61.9500	62.0250		30.00CR
030CT17		1	DEC17			61.7500	61.7250		10.00DR
030CT17		1	DEC17			61.7250	61.5250		80.00DR
030CT17		1	DEC17			61.5250	61.5500		10.00CR
030CT17		1	DEC17			61.7000	62.6000		360.00CR
030CT17		1	DEC17			62.3750	62.4000		10.00CR
030CT17		1	DEC17			62.8000	62.7000		40.00DR
030CT17		1	DEC17			62.9250	62.9250		0.00
030CT17		1	DEC17			63.1250	63.1500		10.00CR
030CT17		1	DEC17			63.2250	62.9500		110.00DR
030CT17		1	DEC17			63.0000	62.7500		100.00DR
030CT17		2	FEB18			67.2000	67.3000		80.00CR
030CT17		1	FEB18			67.4500	67.5000		20.00CR
030CT17		1	FEB18			67.2250	67.1750		20.00DR
030CT17		1	FEB18			67.1250	67.1250		0.00
030CT17		1	FEB18			67.1000	67.1000		0.00
030CT17		1	FEB18			67.2000	67.1500		20.00DR
030CT17		1	FEB18			67.3250	67.1000		90.00DR
030CT17		1	FEB18			67.3250	67.1750		60.00DR
030CT17		1	FEB18			67.3250	67.3750		20.00CR
030CT17		1	FEB18			67.5500	67.6750		50.00CR
030CT17		1	FEB18			67.7750	67.8250		20.00CR
030CT17		1	FEB18			67.9500	67.8500		40.00DR
030CT17		1	FEB18			68.0000	67.9750		10.00DR
030CT17		1	FEB18			68.2250	67.8750		140.00DR
030CT17		1	FEB18			67.9000	68.1000		80.00CR
030CT17		1	FEB18			67.7750	68.2250		180.00CR
030CT17		1	APR18			72.0000	72.0000		0.00
030CT17		1	APR18			72.0750	72.1250		20.00CR
030CT17		1	APR18			71.9500	71.8500		40.00DR
030CT17		1	APR18			72.2250	72.3000		30.00CR
030CT17		1	APR18			72.1750	72.3750		80.00CR
030CT17		1	JUN18			79.8000	79.8500		20.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119K

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 JUN18	80.2250	79.9500		110.00DR
03OCT17	1 JUN18	79.4250	80.0500		250.00CR
03OCT17	1 JUN18	79.8250	80.1750		140.00CR
03OCT17	1 JUL18	80.0750	79.8750		80.00DR
03OCT17	1 JUL18	80.0750	80.0750		0.00
03OCT17	1 JUL18	80.1500	79.4000		300.00DR
03OCT17	1 JUL18	80.1500	79.5750		230.00DR
03OCT17	1 JUL18	80.1000	79.9750		50.00DR
03OCT17	1 JUL18	80.1500	79.9750		70.00DR
03OCT17	1 AUG18	79.1750	79.4250		100.00CR
03OCT17	1 AUG18	79.2500	79.5000		100.00CR
03OCT17	1 AUG18	78.7500	79.3000		220.00CR
03OCT17	1 AUG18	79.2000	79.4000		80.00CR
	IEU Brent Crude 1,000 IPE				
03OCT17	1 FEB18	55.40	55.69		290.00CR
03OCT17	1 MAR18	55.54	55.27		270.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				40.00DR
	SETTLEMENTS				
	IEU Brent Crude Futures				20.00CR
	CME Lean Hog Futures				210.00CR
	COMMISSIONS				250.00DR
	CARRIED FORWARD BALANCE				60.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 3 JUN18 CQG II 51.19			7.50DR	
030CT17	B 1 JUN18 CQG II 51.24			2.50DR	
030CT17	B 2 JUN18 CQG II 51.25			5.00DR	
030CT17	B 1 JUN18 CQG II 51.17			2.50DR	
030CT17	S 6 DEC18 CQG II 50.69		50.69	15.00DR	
030CT17	S 1 DEC18 CQG II 50.75		50.75	2.50DR	
030CT17	S 1 DEC18 CQG II 50.77		50.77	2.50DR	
030CT17	S 4 DEC18 CQG II 50.76		50.76	10.00DR	
030CT17	S 1 DEC18 CQG II 50.70		50.70	2.50DR	
030CT17	S 1 DEC18 CQG II 50.67		50.67	2.50DR	
030CT17	B 1 DEC19 CQG II 49.99	49.99		2.50DR	
030CT17	B 2 DEC19 CQG II 49.98	49.98		5.00DR	
030CT17	B 1 DEC19 CQG II 50.08	50.08		2.50DR	
030CT17	B 2 DEC19 CQG II 50.07	50.07		5.00DR	
030CT17	B 1 DEC19 CQG II 49.96	49.96		2.50DR	
	IEU Brent Crude 1,000 IPE				
030CT17	S 1 JUN18 CQG EL 55.16		55.16	2.50DR	
030CT17	S 2 JUN18 CQG EL 55.17		55.17	5.00DR	
030CT17	S 4 JUN18 CQG EL 55.14		55.14	10.00DR	
030CT17	S 3 JUN18 CQG EL 55.23		55.23	7.50DR	
030CT17	S 1 JUN18 CQG EL 55.24		55.24	2.50DR	
030CT17	S 2 JUN18 CQG EL 55.20		55.20	5.00DR	
030CT17	B 1 JUN18 CQG EL 55.06	55.06		2.50DR	
030CT17	B 3 JUN18 CQG EL 55.05	55.05		7.50DR	
030CT17	S 1 JUN18 CQG EL 55.01		55.01	2.50DR	
030CT17	B 4 JUN18 CQG EL 54.85	54.85		10.00DR	
030CT17	S 1 JUN18 CQG EL 55.19		55.19	2.50DR	
030CT17	B 1 DEC18 CQG EL 54.59	54.59		2.50DR	
030CT17	B 4 DEC18 CQG EL 54.58	54.58		10.00DR	
030CT17	B 2 DEC18 CQG EL 54.57	54.57		5.00DR	
030CT17	B 4 DEC18 CQG EL 54.55	54.55		10.00DR	
030CT17	B 4 DEC18 CQG EL 54.56	54.56		10.00DR	
030CT17	B 2 DEC18 CQG EL 54.68	54.68		5.00DR	
030CT17	B 5 DEC18 CQG EL 54.66	54.66		12.50DR	
030CT17	B 1 DEC18 CQG EL 54.62	54.62		2.50DR	
030CT17	S 1 DEC18 CQG EL 54.53		54.53	2.50DR	
030CT17	S 5 DEC18 CQG EL 54.52		54.52	12.50DR	
030CT17	B 1 DEC18 CQG EL 54.49	54.49		2.50DR	
030CT17	S 3 DEC18 CQG EL 54.37		54.37	7.50DR	
030CT17	S 6 DEC18 CQG EL 54.36		54.36	15.00DR	
030CT17	B 5 DEC18 CQG EL 54.63	54.63		12.50DR	
030CT17	S 1 JUN19 CQG EL 54.29		54.29	2.50DR	
030CT17	S 4 JUN19 CQG EL 54.28		54.28	10.00DR	
030CT17	B 3 JUN19 CQG EL 54.14	54.14		7.50DR	
030CT17	B 2 JUN19 CQG EL 54.13	54.13		5.00DR	
030CT17	S 1 DEC19 CQG EL 54.09		54.09	2.50DR	
030CT17	S 2 DEC19 CQG EL 54.10		54.10	5.00DR	
030CT17	S 2 DEC19 CQG EL 54.25		54.25	5.00DR	
030CT17	S 1 DEC19 CQG EL 54.22		54.22	2.50DR	
030CT17	S 1 DEC19 CQG EL 54.20		54.20	2.50DR	
030CT17	S 1 DEC19 CQG EL 54.19		54.19	2.50DR	
030CT17	B 2 DEC19 CQG EL 54.09	54.09		5.00DR	
030CT17	S 1 DEC19 CQG EL 54.18		54.18	2.50DR	
	Commission				290.00DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 JUN18 51.19	51.19	51.06		130.00DR
030CT17	1 JUN18 51.19	51.19	51.09		100.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

3rd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 JUN18	51.19	51.07		120.00DR
030CT17	1 JUN18	51.24	51.12		120.00DR
030CT17	1 JUN18	51.25	51.19		60.00DR
030CT17	1 JUN18	51.25	51.16		90.00DR
030CT17	1 JUN18	51.17	51.16		10.00DR
030CT17	1 DEC18	50.60	50.69		90.00CR
030CT17	1 DEC18	50.60	50.69		90.00CR
030CT17	1 DEC18	50.60	50.69		90.00CR
030CT17	1 DEC18	50.63	50.69		60.00CR
030CT17	1 DEC18	50.63	50.69		60.00CR
030CT17	1 DEC18	50.63	50.69		60.00CR
030CT17	1 DEC18	50.61	50.75		140.00CR
030CT17	1 DEC18	50.61	50.77		160.00CR
030CT17	1 DEC18	50.61	50.76		150.00CR
030CT17	1 DEC18	50.66	50.76		100.00CR
030CT17	1 DEC18	50.65	50.76		110.00CR
030CT17	1 DEC18	50.65	50.76		110.00CR
030CT17	1 DEC18	50.73	50.70		30.00DR
030CT17	1 DEC18	50.73	50.67		60.00DR
IEU Brent Crude 1,000 IPE					
030CT17	1 JUN18	55.06	55.17		110.00CR
030CT17	1 JUN18	55.05	55.16		110.00CR
030CT17	1 JUN18	55.05	55.17		120.00CR
030CT17	1 JUN18	55.05	55.14		90.00CR
030CT17	2 JUN18	54.85	55.14		580.00CR
030CT17	1 JUN18	54.85	55.14		290.00CR
030CT17	1 JUN18	54.85	55.23		380.00CR
030CT17	1 DEC18	54.58	54.52		60.00DR
030CT17	1 DEC18	54.58	54.53		50.00DR
030CT17	1 DEC18	54.58	54.52		60.00DR
030CT17	1 DEC18	54.59	54.52		70.00DR
030CT17	1 DEC18	54.57	54.52		50.00DR
030CT17	1 DEC18	54.58	54.52		60.00DR
030CT17	1 DEC18	54.57	54.36		210.00DR
030CT17	1 DEC18	54.55	54.36		190.00DR
030CT17	2 DEC18	54.55	54.37		360.00DR
030CT17	1 DEC18	54.55	54.37		180.00DR
030CT17	1 DEC18	54.56	54.36		200.00DR
030CT17	3 DEC18	54.56	54.36		600.00DR
030CT17	1 JUN19	54.14	54.29		150.00CR
030CT17	1 JUN19	54.14	54.28		140.00CR
030CT17	1 JUN19	54.14	54.28		140.00CR
030CT17	2 JUN19	54.13	54.28		300.00CR
030CT17	1 DEC19	54.09	54.10		10.00CR
030CT17	1 DEC19	54.09	54.09		0.00
030CT17	1 DEC19	55.06	54.10		960.00DR
030CT17	1 DEC19	55.05	54.25		800.00DR
030CT17	1 DEC19	55.11	54.25		860.00DR
030CT17	1 DEC19	55.11	54.22		890.00DR
030CT17	1 DEC19	55.09	54.20		890.00DR
030CT17	1 DEC19	55.09	54.19		900.00DR
030CT17	1 DEC19	55.09	54.18		910.00DR
TOTALS					
BROUGHT FORWARD BALANCE					16,525.00DR
SETTLEMENTS					
IEU Brent Crude Futures					5,880.00DR
NYM Light Sweet Crude Oil Futures					500.00CR
COMMISSIONS					290.00DR
CARRIED FORWARD BALANCE					22,195.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0119L

3rd October 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

3rd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT Soybeans 5,000 CBT				
030CT17	B 1 JUL18 CQG II 9.91000			2.50DR	
030CT17	B 1 JUL18 CQG II 9.92250			2.50DR	
030CT17	S 1 NOV18 CQG II		9.75500	2.50DR	
030CT17	S 1 NOV18 CQG II		9.76500	2.50DR	
	CME Cattle 400 CME				
030CT17	B 1 DEC17 CQG II 113.2250			2.50DR	
030CT17	B 1 DEC17 CQG II 113.0750			2.50DR	
030CT17	S 1 DEC17 CQG II		113.5750	2.50DR	
030CT17	S 1 DEC17 CQG II		113.6750	2.50DR	
030CT17	B 1 DEC17 CQG II 113.4750			2.50DR	
030CT17	B 1 DEC17 CQG II 113.4000			2.50DR	
030CT17	S 1 DEC17 CQG II		113.4000	2.50DR	
030CT17	S 1 DEC17 CQG II		113.7000	2.50DR	
030CT17	S 1 FEB18 CQG II		117.0250	2.50DR	
030CT17	S 1 FEB18 CQG II		116.9500	2.50DR	
030CT17	B 1 FEB18 CQG II 117.4250			2.50DR	
030CT17	S 2 FEB18 CQG II		117.2500	5.00DR	
030CT17	B 1 FEB18 CQG II 117.2000			2.50DR	
030CT17	B 2 FEB18 CQG II 117.4000			5.00DR	
030CT17	S 1 APR18 CQG II		119.0500	2.50DR	
030CT17	S 1 APR18 CQG II		119.0250	2.50DR	
030CT17	B 1 APR18 CQG II 119.0250			2.50DR	
030CT17	B 1 APR18 CQG II 119.1500			2.50DR	
030CT17	B 1 APR18 CQG II 119.8250			2.50DR	
030CT17	S 1 APR18 CQG II		120.4250	2.50DR	
030CT17	B 1 JUN18 CQG II 112.1500			2.50DR	
030CT17	B 1 JUN18 CQG II 112.1000			2.50DR	
030CT17	S 1 JUN18 CQG II		112.1500	2.50DR	
030CT17	S 1 JUN18 CQG II		112.4500	2.50DR	
030CT17	B 1 JUN18 CQG II 112.4250			2.50DR	
030CT17	S 1 JUN18 CQG II		113.1250	2.50DR	
030CT17	S 1 AUG18 CQG II		110.1500	2.50DR	
030CT17	B 1 AUG18 CQG II 110.4750			2.50DR	
030CT17	S 1 AUG18 CQG II		110.2500	2.50DR	
030CT17	S 1 AUG18 CQG II		110.6000	2.50DR	
030CT17	S 1 AUG18 CQG II		111.0000	2.50DR	
030CT17	B 1 AUG18 CQG II 111.3500			2.50DR	
030CT17	B 1 OCT18 CQG II 110.8500			2.50DR	
030CT17	S 1 OCT18 CQG II		111.2750	2.50DR	
030CT17	S 1 OCT18 CQG II		110.5250	2.50DR	
030CT17	S 1 OCT18 CQG II		111.1500	2.50DR	
030CT17	B 1 OCT18 CQG II 111.5750			2.50DR	
030CT17	B 1 DEC18 CQG II 111.3500			2.50DR	
030CT17	B 1 DEC18 CQG II 111.9000			2.50DR	
030CT17	B 1 DEC18 CQG II 112.0000			2.50DR	
	CME Feeder Cattle 500 CME				
030CT17	S 1 NOV17 CQG II		151.7250	2.50DR	
030CT17	B 1 NOV17 CQG II 152.7250			2.50DR	
030CT17	S 1 NOV17 CQG II		152.1500	2.50DR	
030CT17	S 1 NOV17 CQG II		152.3750	2.50DR	
030CT17	S 4 NOV17 CQG II		152.5000	10.00DR	
030CT17	S 1 NOV17 CQG II		152.5750	2.50DR	
030CT17	B 2 NOV17 CQG II 152.5500			5.00DR	
030CT17	S 1 NOV17 CQG II		152.8250	2.50DR	
030CT17	B 2 NOV17 CQG II 153.3250			5.00DR	
030CT17	S 1 NOV17 CQG II		154.0000	2.50DR	
030CT17	B 2 NOV17 CQG II 154.3500			5.00DR	
030CT17	B 1 NOV17 CQG II 153.9500			2.50DR	
030CT17	B 1 NOV17 CQG II 154.6500			2.50DR	
030CT17	B 1 NOV17 CQG II 154.2250			2.50DR	
030CT17	S 2 NOV17 CQG II		154.1250	5.00DR	
030CT17	B 1 JAN18 CQG II 149.5500			2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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3rd October 2017

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S	1	JAN18	CQG	II		150.5250	2.50DR	
030CT17	S	1	JAN18	CQG	II		150.2000	2.50DR	
030CT17	B	1	JAN18	CQG	II	149.8500		2.50DR	
030CT17	B	1	JAN18	CQG	II	149.9750		2.50DR	
030CT17	B	4	JAN18	CQG	II	150.0750		10.00DR	
030CT17	B	1	JAN18	CQG	II	150.1500		2.50DR	
030CT17	S	2	JAN18	CQG	II		150.2250	5.00DR	
030CT17	B	1	JAN18	CQG	II	150.4000		2.50DR	
030CT17	S	1	JAN18	CQG	II		151.0000	2.50DR	
030CT17	S	1	JAN18	CQG	II		151.0250	2.50DR	
030CT17	B	1	JAN18	CQG	II	151.6500		2.50DR	
030CT17	B	1	JAN18	CQG	II	151.5250		2.50DR	
030CT17	S	2	JAN18	CQG	II		151.8000	5.00DR	
030CT17	B	1	JAN18	CQG	II	151.7250		2.50DR	
030CT17	S	1	JAN18	CQG	II		151.6000	2.50DR	
030CT17	S	1	JAN18	CQG	II		151.3750	2.50DR	
030CT17	S	1	JAN18	CQG	II		152.3000	2.50DR	
030CT17	S	1	JAN18	CQG	II		151.9500	2.50DR	
030CT17	B	1	JAN18	CQG	II	151.7750		2.50DR	
030CT17	B	1	MAR18	CQG	II	148.0250		2.50DR	
030CT17	S	1	MAR18	CQG	II		149.4500	2.50DR	
030CT17	B	1	MAR18	CQG	II	149.2250		2.50DR	
030CT17	S	1	MAR18	CQG	II		149.9000	2.50DR	
030CT17	S	1	MAR18	CQG	II		149.5750	2.50DR	
030CT17	B	2	APR18	CQG	II	149.3750		5.00DR	
030CT17	S	1	MAY18	CQG	II		147.2250	2.50DR	
030CT17	B	1	MAY18	CQG	II	149.0000		2.50DR	
030CT17	S	1	MAY18	CQG	II		148.7500	2.50DR	
030CT17	B	1	AUG18	CQG	II	147.8250		2.50DR	
	CME Lean Hog 400 CME								
030CT17	S	1	DEC17	CQG	II		62.2000	2.50DR	
030CT17	B	1	DEC17	CQG	II	62.5250		2.50DR	
030CT17	B	1	DEC17	CQG	II	61.4750		2.50DR	
030CT17	S	1	DEC17	CQG	II		62.1500	2.50DR	
030CT17	B	1	DEC17	CQG	II	62.9000		2.50DR	
030CT17	B	1	DEC17	CQG	II	63.3500		2.50DR	
030CT17	B	1	DEC17	CQG	II	63.3000		2.50DR	
030CT17	S	1	DEC17	CQG	II		62.7000	2.50DR	
030CT17	B	1	DEC17	CQG	II	62.6750		2.50DR	
030CT17	S	2	DEC17	CQG	II		62.5250	5.00DR	
030CT17	B	2	FEB18	CQG	II	67.0250		5.00DR	
030CT17	S	2	FEB18	CQG	II		67.4000	5.00DR	
030CT17	S	1	FEB18	CQG	II		67.1500	2.50DR	
030CT17	S	1	FEB18	CQG	II		67.0750	2.50DR	
030CT17	B	2	FEB18	CQG	II	67.2250		5.00DR	
030CT17	B	2	FEB18	CQG	II	67.3500		5.00DR	
030CT17	S	2	FEB18	CQG	II		67.8000	5.00DR	
030CT17	S	2	FEB18	CQG	II		68.2000	5.00DR	
030CT17	S	2	FEB18	CQG	II		68.1500	5.00DR	
030CT17	B	2	FEB18	CQG	II	67.7000		5.00DR	
030CT17	S	2	FEB18	CQG	II		67.8250	5.00DR	
030CT17	B	2	FEB18	CQG	II	67.6250		5.00DR	
030CT17	S	1	APR18	CQG	II		71.9000	2.50DR	
030CT17	B	1	APR18	CQG	II	72.0000		2.50DR	
030CT17	B	1	APR18	CQG	II	71.9000		2.50DR	
030CT17	S	1	APR18	CQG	II		71.7750	2.50DR	
030CT17	S	1	APR18	CQG	II		71.9750	2.50DR	
030CT17	B	1	APR18	CQG	II	72.3250		2.50DR	
030CT17	B	1	APR18	CQG	II	72.3750		2.50DR	
030CT17	B	1	APR18	CQG	II	72.2500		2.50DR	
030CT17	B	1	APR18	CQG	II	72.1000		2.50DR	
030CT17	S	1	APR18	CQG	II		72.1000	2.50DR	
030CT17	B	1	APR18	CQG	II	72.1500		2.50DR	
030CT17	S	2	APR18	CQG	II		72.0750	5.00DR	
030CT17	B	1	MAY18	CQG	II	77.0000		2.50DR	
030CT17	S	1	MAY18	CQG	II		77.2500	2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

3rd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	S 1 JUN18 CQG II		80.0250	2.50DR	
030CT17	S 1 JUN18 CQG II		80.2750	2.50DR	
030CT17	S 1 JUN18 CQG II		80.1500	2.50DR	
030CT17	B 1 JUN18 CQG II	80.2500		2.50DR	
030CT17	S 1 JUL18 CQG II		80.0750	2.50DR	
030CT17	B 2 JUL18 CQG II	80.1500		5.00DR	
030CT17	B 2 JUL18 CQG II	80.3250		5.00DR	
030CT17	S 1 JUL18 CQG II		80.1250	2.50DR	
030CT17	B 1 JUL18 CQG II	80.4250		2.50DR	
030CT17	B 1 AUG18 CQG II	79.3500		2.50DR	
030CT17	S 1 AUG18 CQG II		79.6750	2.50DR	
030CT17	S 1 AUG18 CQG II		79.4250	2.50DR	
030CT17	B 2 AUG18 CQG II	79.2500		5.00DR	
030CT17	S 1 AUG18 CQG II		79.4500	2.50DR	
030CT17	S 1 AUG18 CQG II		79.6250	2.50DR	
	NYM Heating Oil 42,000 NYM				
030CT17	B 1 DEC17 CQG II	174.90		2.50DR	
030CT17	S 1 JAN18 CQG II		174.82	2.50DR	
030CT17	S 1 APR18 CQG II		170.97	2.50DR	
030CT17	B 1 APR18 CQG II	172.03		2.50DR	
030CT17	B 1 MAY18 CQG II	169.69		2.50DR	
030CT17	S 1 MAY18 CQG II		170.67	2.50DR	
	CME Random Length Lumber 110 CME				
030CT17	B 1 JAN18 CQG II	400.00		2.50DR	
030CT17	S 1 MAR18 CQG II		392.60	2.50DR	
	CBT Oats 5,000 CBT				
030CT17	B 1 MAR18 CQG II	2.55250		2.50DR	
030CT17	S 1 MAY18 CQG II		2.54500	2.50DR	
	NYM RBOB Reformulated Gasoline Futures				
030CT17	B 1 NOV17 CQG II	155.8000		2.50DR	
030CT17	S 1 NOV17 CQG II		156.0400	2.50DR	
030CT17	S 1 DEC17 CQG II		155.2800	2.50DR	
030CT17	B 1 DEC17 CQG II	155.4100		2.50DR	
030CT17	S 1 FEB18 CQG II		155.1400	2.50DR	
030CT17	B 1 MAR18 CQG II	157.8800		2.50DR	
030CT17	S 1 MAR18 CQG II		157.7900	2.50DR	
030CT17	B 2 MAR18 CQG II	156.9900		5.00DR	
030CT17	S 1 APR18 CQG II		174.4100	2.50DR	
030CT17	B 1 APR18 CQG II	174.3600		2.50DR	
030CT17	S 1 APR18 CQG II		173.6900	2.50DR	
	White Sugar 50 LDN				
030CT17	S 1 MAR18 CQG EL		373.00	2.50DR	
030CT17	B 2 MAR18 CQG EL	374.00		5.00DR	
030CT17	B 1 MAR18 CQG EL	373.90		2.50DR	
030CT17	S 1 MAR18 CQG EL		373.20	2.50DR	
030CT17	S 2 MAR18 CQG EL		371.70	5.00DR	
030CT17	S 1 MAR18 CQG EL		369.70	2.50DR	
030CT17	B 1 MAY18 CQG EL	381.70		2.50DR	
030CT17	S 2 MAY18 CQG EL		382.80	5.00DR	
030CT17	S 1 MAY18 CQG EL		382.70	2.50DR	
030CT17	B 1 MAY18 CQG EL	380.10		2.50DR	
030CT17	B 1 MAY18 CQG EL	378.00		2.50DR	
030CT17	B 1 AUG18 CQG EL	390.50		2.50DR	
030CT17	B 1 AUG18 CQG EL	389.70		2.50DR	
030CT17	S 1 AUG18 CQG EL		388.20	2.50DR	
030CT17	B 1 AUG18 CQG EL	388.20		2.50DR	
030CT17	S 1 OCT18 CQG EL		396.00	2.50DR	
030CT17	B 1 OCT18 CQG EL	393.50		2.50DR	
	Commission				530.00DR

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USD US DOLLARS

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	SETTLEMENTS				
	CME Cattle 400 CME				
030CT17	1 DEC17	113.2250	113.5750		140.00CR
030CT17	1 DEC17	113.0750	113.6750		240.00CR
030CT17	1 DEC17	113.4750	113.4000		30.00DR
030CT17	1 DEC17	113.4000	113.7000		120.00CR
030CT17	1 FEB18	117.4000	117.0250		150.00DR
030CT17	1 FEB18	117.4250	116.9500		190.00DR
030CT17	1 FEB18	117.2000	117.2500		20.00CR
030CT17	1 FEB18	117.4000	117.2500		60.00DR
030CT17	1 APR18	119.0250	119.0500		10.00CR
030CT17	1 APR18	119.1500	119.0250		50.00DR
030CT17	1 APR18	119.8250	120.4250		240.00CR
030CT17	1 JUN18	112.1500	112.1500		0.00
030CT17	1 JUN18	112.1000	112.4500		140.00CR
030CT17	1 JUN18	112.4250	113.1250		280.00CR
030CT17	1 AUG18	110.4750	110.1500		130.00DR
030CT17	1 AUG18	111.3500	110.2500		440.00DR
030CT17	1 OCT18	110.8500	111.2750		170.00CR
030CT17	1 OCT18	111.5750	110.5250		420.00DR
	CME Feeder Cattle 500 CME				
030CT17	1 NOV17	152.7250	151.7250		500.00DR
030CT17	1 NOV17	152.5500	152.1500		200.00DR
030CT17	1 NOV17	152.5500	152.3750		87.50DR
030CT17	1 NOV17	153.3250	152.5000		412.50DR
030CT17	1 NOV17	153.3250	152.5000		412.50DR
030CT17	1 NOV17	154.3500	152.5000		925.00DR
030CT17	1 NOV17	154.3500	152.5000		925.00DR
030CT17	1 NOV17	153.9500	152.5750		687.50DR
030CT17	1 NOV17	154.6500	152.8250		912.50DR
030CT17	1 NOV17	154.2250	154.1250		50.00DR
030CT17	1 NOV17	153.7750	154.0000		112.50CR
030CT17	1 NOV17	154.0250	154.1250		50.00CR
030CT17	1 JAN18	149.5500	150.5250		487.50CR
030CT17	1 JAN18	149.8500	150.2000		175.00CR
030CT17	1 JAN18	149.9750	150.2250		125.00CR
030CT17	1 JAN18	150.0750	150.2250		75.00CR
030CT17	1 JAN18	150.0750	151.0000		462.50CR
030CT17	1 JAN18	150.0750	151.0250		475.00CR
030CT17	1 JAN18	150.0750	151.8000		862.50CR
030CT17	1 JAN18	150.1500	151.8000		825.00CR
030CT17	1 JAN18	150.4000	151.6000		600.00CR
030CT17	1 JAN18	151.6500	151.3750		137.50DR
030CT17	1 JAN18	151.5250	152.3000		387.50CR
030CT17	1 JAN18	151.7250	151.9500		112.50CR
030CT17	1 JAN18	151.7750	151.4750		150.00DR
030CT17	1 MAR18	148.0250	149.4500		712.50CR
030CT17	1 MAR18	149.2250	149.9000		337.50CR
030CT17	1 MAR18	149.7000	149.5750		62.50DR
030CT17	2 APR18	149.3750	149.4250		50.00CR
030CT17	1 MAY18	149.0000	147.2250		887.50DR
030CT17	1 MAY18	147.6750	148.7500		537.50CR
	CME Lean Hog 400 CME				
030CT17	1 DEC17	62.5250	62.2000		130.00DR
030CT17	1 DEC17	61.4750	62.1500		270.00CR
030CT17	1 DEC17	62.9000	62.5250		150.00DR
030CT17	1 DEC17	63.3500	62.7000		260.00DR
030CT17	1 DEC17	63.3000	62.5250		310.00DR
030CT17	2 FEB18	67.0250	67.4000		300.00CR
030CT17	1 FEB18	67.2250	67.1500		30.00DR
030CT17	1 FEB18	67.2250	67.0750		60.00DR
030CT17	2 FEB18	67.3500	67.8000		360.00CR
030CT17	1 FEB18	67.7000	68.2000		200.00CR
030CT17	1 FEB18	67.7000	68.2000		200.00CR
030CT17	1 FEB18	67.6250	68.1500		210.00CR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	1 FEB18	67.6250	68.1500		210.00CR
030CT17	1 APR18	72.0000	71.9000		40.00DR
030CT17	1 APR18	71.9000	72.0750		70.00CR
030CT17	1 APR18	72.3250	71.7750		220.00DR
030CT17	1 APR18	72.3750	71.9750		160.00DR
030CT17	1 APR18	72.2500	72.1000		60.00DR
030CT17	1 APR18	72.1000	72.0750		10.00DR
030CT17	1 MAY18	77.0000	77.2500		100.00CR
030CT17	1 JUN18	80.2500	80.0250		90.00DR
030CT17	1 JUN18	77.8250	80.2750		980.00CR
030CT17	1 JUN18	78.5750	80.1500		630.00CR
030CT17	1 JUL18	80.3250	80.0750		100.00DR
030CT17	1 JUL18	80.1500	80.1250		10.00DR
030CT17	1 JUL18	80.1500	77.9500		880.00DR
030CT17	1 JUL18	80.3250	77.9500		950.00DR
030CT17	1 JUL18	80.4250	78.5750		740.00DR
030CT17	1 AUG18	79.3500	79.6750		130.00CR
030CT17	1 AUG18	79.2500	79.4250		70.00CR
030CT17	1 AUG18	79.2500	79.4500		80.00CR
030CT17	1 AUG18	77.8750	79.6250		700.00CR
NYM Heating Oil 42,000 NYM					
030CT17	1 DEC17	174.90	176.25		567.00CR
030CT17	1 JAN18	176.11	174.82		541.80DR
030CT17	1 APR18	172.03	170.97		445.20DR
030CT17	1 MAY18	169.69	170.67		411.60CR
CME Random Length Lumber 110 CME					
030CT17	1 JAN18	400.00	393.30		737.00DR
030CT17	1 MAR18	385.90	392.60		737.00CR
CBT Oats 5,000 CBT					
030CT17	1 MAR18	2.55250	2.55750		25.00CR
030CT17	1 MAY18	2.54000	2.54500		25.00CR
NYM RBOB Reformulated Gasoline Futures					
030CT17	1 NOV17	155.8000	156.0400		100.80CR
030CT17	1 DEC17	155.4100	155.2800		54.60DR
030CT17	1 FEB18	158.8500	155.1400		1,558.20DR
030CT17	1 MAR18	157.8800	157.7900		37.80DR
030CT17	1 MAR18	156.9900	157.9300		394.80CR
030CT17	1 MAR18	156.9900	157.3800		163.80CR
030CT17	1 APR18	174.3600	174.4100		21.00CR
030CT17	1 APR18	173.6500	173.6900		16.80CR
White Sugar 50 LDN					
030CT17	1 MAR18	374.00	373.00		50.00DR
030CT17	1 MAR18	374.00	373.20		40.00DR
030CT17	1 MAR18	373.90	371.70		110.00DR
030CT17	1 MAR18	362.00	371.70		485.00CR
030CT17	1 MAR18	361.70	369.70		400.00CR
030CT17	1 MAY18	381.70	382.80		55.00CR
030CT17	1 MAY18	380.10	382.80		135.00CR
030CT17	1 MAY18	378.00	382.70		235.00CR
030CT17	1 AUG18	390.50	388.20		115.00DR
030CT17	1 AUG18	389.70	380.90		440.00DR
030CT17	1 AUG18	388.20	382.30		295.00DR
030CT17	1 OCT18	393.50	396.00		125.00CR
TOTALS					
BROUGHT FORWARD BALANCE					4,591.00DR
SETTLEMENTS					
White Sugar Futures					385.00CR
CME Feeder Cattle Futures					37.50CR
CME Cattle Futures					110.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119M

3rd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	NYM Heating Oil Futures				8.40DR
	NYM RBOB Reformulated Gasoline Futu Futures				953.40DR
	CBT Oats Futures				50.00CR
	CME Random Length Lumber Futures				
	CME Lean Hog Futures				310.00CR
	COMMISSIONS				530.00DR
	CARRIED FORWARD BALANCE				5,410.30DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
030CT17	S 1 DEC17 CQG II		113.6000	2.50DR	
030CT17	B 1 DEC17 CQG II	114.8500		2.50DR	
030CT17	B 1 DEC17 CQG II	114.9500		2.50DR	
030CT17	B 1 FEB18 CQG II	117.3000		2.50DR	
030CT17	S 2 FEB18 CQG II		118.4250	5.00DR	
030CT17	S 1 FEB18 CQG II		118.6500	2.50DR	
030CT17	B 1 APR18 CQG II	119.1500		2.50DR	
030CT17	B 1 APR18 CQG II	119.9000		2.50DR	
030CT17	B 1 APR18 CQG II	120.1000		2.50DR	
030CT17	B 1 JUN18 CQG II	112.1250		2.50DR	
030CT17	S 1 JUN18 CQG II		112.4250	2.50DR	
030CT17	S 1 JUN18 CQG II		113.2500	2.50DR	
030CT17	S 1 JUN18 CQG II		113.3250	2.50DR	
030CT17	S 1 JUN18 CQG II		113.4750	2.50DR	
030CT17	S 1 AUG18 CQG II		109.9750	2.50DR	
030CT17	S 1 AUG18 CQG II		110.3750	2.50DR	
030CT17	B 2 AUG18 CQG II	111.0000		5.00DR	
030CT17	S 1 OCT18 CQG II		111.0000	2.50DR	
030CT17	B 1 OCT18 CQG II	111.0750		2.50DR	
030CT17	S 1 OCT18 CQG II		111.6000	2.50DR	
030CT17	B 1 DEC18 CQG II	111.8000		2.50DR	
030CT17	B 1 DEC18 CQG II	112.3000		2.50DR	
	CME Feeder Cattle 500 CME				
030CT17	S 1 NOV17 CQG II		152.6750	2.50DR	
030CT17	B 1 NOV17 CQG II	153.3000		2.50DR	
030CT17	S 1 NOV17 CQG II		153.7250	2.50DR	
030CT17	S 1 NOV17 CQG II		153.6750	2.50DR	
030CT17	S 1 JAN18 CQG II		149.9750	2.50DR	
030CT17	B 1 JAN18 CQG II	149.7750		2.50DR	
030CT17	B 1 JAN18 CQG II	150.2500		2.50DR	
030CT17	S 1 JAN18 CQG II		150.1250	2.50DR	
030CT17	S 1 JAN18 CQG II		150.9750	2.50DR	
030CT17	B 1 JAN18 CQG II	151.2750		2.50DR	
030CT17	B 2 JAN18 CQG II	151.1500		5.00DR	
030CT17	B 1 JAN18 CQG II	151.3000		2.50DR	
030CT17	B 1 MAR18 CQG II	147.8250		2.50DR	
030CT17	S 1 MAR18 CQG II		147.7250	2.50DR	
030CT17	B 1 MAR18 CQG II	147.8750		2.50DR	
030CT17	S 1 MAR18 CQG II		149.1000	2.50DR	
030CT17	S 1 MAR18 CQG II		149.0000	2.50DR	
030CT17	S 1 MAR18 CQG II		149.1500	2.50DR	
030CT17	S 1 MAR18 CQG II		150.1250	2.50DR	
030CT17	B 1 APR18 CQG II	149.1000		2.50DR	
030CT17	B 1 APR18 CQG II	149.9750		2.50DR	
	CME Lean Hog 400 CME				
030CT17	S 1 DEC17 CQG II		62.3500	2.50DR	
030CT17	B 1 DEC17 CQG II	61.9750		2.50DR	
030CT17	B 1 DEC17 CQG II	61.7000		2.50DR	
030CT17	B 1 DEC17 CQG II	61.5250		2.50DR	
030CT17	S 1 DEC17 CQG II		62.1500	2.50DR	
030CT17	S 1 DEC17 CQG II		62.2750	2.50DR	
030CT17	S 1 DEC17 CQG II		62.3750	2.50DR	
030CT17	S 1 DEC17 CQG II		62.4250	2.50DR	
030CT17	B 1 DEC17 CQG II	62.9250		2.50DR	
030CT17	B 1 DEC17 CQG II	63.1750		2.50DR	
030CT17	B 1 FEB18 CQG II	67.1750		2.50DR	
030CT17	S 1 FEB18 CQG II		67.2000	2.50DR	
030CT17	S 2 FEB18 CQG II		67.1000	5.00DR	
030CT17	S 2 FEB18 CQG II		67.1500	5.00DR	
030CT17	B 1 FEB18 CQG II	67.4000		2.50DR	
030CT17	B 1 FEB18 CQG II	67.2750		2.50DR	
030CT17	B 3 FEB18 CQG II	67.3750		7.50DR	

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
030CT17	B	2	FEB18	CQG	II	67.3000		5.00DR	
030CT17	S	2	FEB18	CQG	II		67.8250	5.00DR	
030CT17	S	1	FEB18	CQG	II		68.2500	2.50DR	
030CT17	S	2	FEB18	CQG	II		68.0000	5.00DR	
030CT17	B	1	FEB18	CQG	II	67.7750		2.50DR	
030CT17	B	1	APR18	CQG	II	71.8750		2.50DR	
030CT17	B	2	APR18	CQG	II	71.9000		5.00DR	
030CT17	S	2	APR18	CQG	II		71.9500	5.00DR	
030CT17	B	1	APR18	CQG	II	72.3000		2.50DR	
030CT17	S	1	APR18	CQG	II		72.3500	2.50DR	
030CT17	B	1	APR18	CQG	II	72.4000		2.50DR	
030CT17	S	2	APR18	CQG	II		72.0750	5.00DR	
030CT17	B	2	APR18	CQG	II	72.0500		5.00DR	
030CT17	S	1	JUN18	CQG	II		80.0000	2.50DR	
030CT17	S	1	JUN18	CQG	II		80.2000	2.50DR	
030CT17	B	1	JUN18	CQG	II	80.3500		2.50DR	
030CT17	B	1	JUN18	CQG	II	80.2000		2.50DR	
030CT17	S	1	JUN18	CQG	II		80.2500	2.50DR	
030CT17	S	1	JUL18	CQG	II		80.0500	2.50DR	
030CT17	B	1	JUL18	CQG	II	80.2000		2.50DR	
030CT17	S	1	JUL18	CQG	II		80.4250	2.50DR	
030CT17	S	1	JUL18	CQG	II		80.3000	2.50DR	
030CT17	B	1	AUG18	CQG	II	79.1750		2.50DR	
030CT17	B	1	AUG18	CQG	II	79.4750		2.50DR	
	Commission								245.00DR
	SETTLEMENTS								
	CME Cattle 400 CME								
030CT17		1	DEC17			114.8500	113.6000		500.00DR
030CT17		1	FEB18			117.3000	118.4250		450.00CR
030CT17		1	APR18			119.1500	118.8250		130.00DR
030CT17		1	JUN18			112.1250	112.4250		120.00CR
030CT17		1	JUN18			113.2250	113.2500		10.00CR
030CT17		1	JUN18			112.5000	113.3250		330.00CR
030CT17		1	AUG18			111.0000	109.9750		410.00DR
030CT17		1	AUG18			111.0000	110.3750		250.00DR
030CT17		1	OCT18			111.0750	111.0000		30.00DR
030CT17		1	OCT18			112.0000	111.6000		160.00DR
030CT17		1	DEC18			111.8000	111.6000		80.00DR
	CME Feeder Cattle 500 CME								
030CT17		1	NOV17			153.3000	152.6750		312.50DR
030CT17		1	NOV17			152.5250	153.7250		600.00CR
030CT17		1	NOV17			154.5000	153.6750		412.50DR
030CT17		1	JAN18			149.7750	149.9750		100.00CR
030CT17		1	JAN18			150.2500	150.1250		62.50DR
030CT17		1	JAN18			151.2750	150.9750		150.00DR
030CT17		1	JAN18			151.1500	152.4250		637.50CR
030CT17		1	JAN18			151.1500	152.4000		625.00CR
030CT17		1	MAR18			147.8250	147.7250		50.00DR
030CT17		1	MAR18			147.8750	149.1000		612.50CR
030CT17		1	MAR18			148.7000	149.0000		150.00CR
030CT17		1	APR18			149.1000	148.6500		225.00DR
030CT17		1	APR18			149.9750	148.1250		925.00DR
	CME Lean Hog 400 CME								
030CT17		1	DEC17			61.9750	62.3500		150.00CR
030CT17		1	DEC17			61.7000	62.1500		180.00CR
030CT17		1	DEC17			61.5250	62.2750		300.00CR
030CT17		1	DEC17			62.9250	62.3750		220.00DR
030CT17		1	DEC17			63.1750	62.4250		300.00DR
030CT17		1	FEB18			67.1750	67.2000		10.00CR
030CT17		1	FEB18			67.3750	67.1500		90.00DR
030CT17		1	FEB18			67.4000	67.1000		120.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

3rd October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	1 FEB18	67.2750	67.1000		70.00DR
03OCT17	1 FEB18	67.3750	67.1500		90.00DR
03OCT17	1 FEB18	67.3750	67.8250		180.00CR
03OCT17	1 FEB18	67.3000	67.8250		210.00CR
03OCT17	1 FEB18	67.3000	68.2500		380.00CR
03OCT17	1 FEB18	67.7750	68.0000		90.00CR
03OCT17	1 APR18	71.9000	72.0750		70.00CR
03OCT17	1 APR18	71.8750	71.9500		30.00CR
03OCT17	1 APR18	71.9000	71.9500		20.00CR
03OCT17	1 APR18	72.3000	72.3500		20.00CR
03OCT17	1 APR18	72.4000	72.0750		130.00DR
03OCT17	1 APR18	72.0500	71.5000		220.00DR
03OCT17	1 JUN18	80.3500	80.0000		140.00DR
03OCT17	1 JUN18	80.2000	80.2000		0.00
03OCT17	1 JUN18	78.0750	80.2500		870.00CR
03OCT17	1 JUL18	80.2000	80.0500		60.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				2,497.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				587.50CR
	CME Cattle Futures				650.00DR
	CME Lean Hog Futures				1,070.00CR
	COMMISSIONS				245.00DR
	CARRIED FORWARD BALANCE				1,735.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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3rd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
030CT17	B 1 DEC17 CQG II 62.6750			2.50DR	
030CT17	S 2 DEC17 CQG II 62.7000		62.7000	5.00DR	
030CT17	B 1 DEC17 CQG II 62.4250			2.50DR	
030CT17	B 2 DEC17 CQG II 62.3750			5.00DR	
030CT17	S 1 DEC17 CQG II 62.6250		62.6250	2.50DR	
030CT17	S 2 DEC17 CQG II 62.6500		62.6500	5.00DR	
030CT17	B 1 DEC17 CQG II 62.1500			2.50DR	
030CT17	B 1 DEC17 CQG II 62.2250			2.50DR	
030CT17	S 1 DEC17 CQG II 62.2750		62.2750	2.50DR	
030CT17	S 1 FEB18 CQG II 67.3750		67.3750	2.50DR	
030CT17	B 1 FEB18 CQG II 67.5000			2.50DR	
030CT17	S 1 FEB18 CQG II 67.9250		67.9250	2.50DR	
030CT17	B 1 FEB18 CQG II 67.9500			2.50DR	
030CT17	S 1 FEB18 CQG II 67.8750		67.8750	2.50DR	
030CT17	B 1 FEB18 CQG II 68.0250			2.50DR	
030CT17	B 1 FEB18 CQG II 68.1750			2.50DR	
030CT17	S 1 FEB18 CQG II 67.9500		67.9500	2.50DR	
030CT17	S 1 FEB18 CQG II 67.7000		67.7000	2.50DR	
030CT17	B 1 FEB18 CQG II 67.6750			2.50DR	
030CT17	B 1 FEB18 CQG II 67.7750			2.50DR	
030CT17	S 1 FEB18 CQG II 67.6500		67.6500	2.50DR	
030CT17	S 1 FEB18 CQG II 67.6250		67.6250	2.50DR	
030CT17	B 2 FEB18 CQG II 67.7000			5.00DR	
030CT17	S 1 FEB18 CQG II 67.4000		67.4000	2.50DR	
030CT17	S 1 FEB18 CQG II 67.5000		67.5000	2.50DR	
030CT17	B 1 FEB18 CQG II 67.5250			2.50DR	
030CT17	B 1 APR18 CQG II 72.2250			2.50DR	
030CT17	S 1 APR18 CQG II 72.2000		72.2000	2.50DR	
030CT17	B 1 APR18 CQG II 72.1750			2.50DR	
030CT17	S 1 APR18 CQG II 72.3000		72.3000	2.50DR	
030CT17	S 1 APR18 CQG II 72.3750		72.3750	2.50DR	
030CT17	B 1 APR18 CQG II 72.0500			2.50DR	
	Commission				90.00DR
	<u>SETTLEMENTS</u>				
	CME Lean Hog 400 CME				
030CT17	1 DEC17 62.3750	62.3750	62.6500		110.00CR
030CT17	1 DEC17 62.6750	62.6750	62.7000		10.00CR
030CT17	1 DEC17 62.4250	62.4250	62.7000		110.00CR
030CT17	1 DEC17 62.3750	62.3750	62.6250		100.00CR
030CT17	1 DEC17 62.1500	62.1500	62.6500		200.00CR
030CT17	1 DEC17 62.2250	62.2250	62.2750		20.00CR
030CT17	1 FEB18 67.5000	67.5000	67.3750		50.00DR
030CT17	1 FEB18 67.9500	67.9500	67.9250		10.00DR
030CT17	1 FEB18 68.0250	68.0250	67.8750		60.00DR
030CT17	1 FEB18 68.1750	68.1750	67.9500		90.00DR
030CT17	1 FEB18 67.6750	67.6750	67.7000		10.00CR
030CT17	1 FEB18 67.7750	67.7750	67.6500		50.00DR
030CT17	1 FEB18 67.7000	67.7000	67.6250		30.00DR
030CT17	1 FEB18 67.7000	67.7000	67.4000		120.00DR
030CT17	1 FEB18 67.5250	67.5250	67.5000		10.00DR
030CT17	1 APR18 72.2250	72.2250	72.2000		10.00DR
030CT17	1 APR18 72.1750	72.1750	72.3000		50.00CR
030CT17	1 APR18 72.0500	72.0500	72.3750		130.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,695.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

3rd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Lean Hog Futures				310.00CR
	COMMISSIONS				90.00DR
	CARRIED FORWARD BALANCE				2,475.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
030CT17	B 1 DEC17 CQG II 113.3500			2.50DR	
030CT17	S 1 FEB18 CQG II 117.1500		117.1500	2.50DR	
030CT17	S 1 FEB18 CQG II 117.1250		117.1250	2.50DR	
030CT17	B 1 APR18 CQG II 119.1250			2.50DR	
030CT17	S 1 JUN18 CQG II 112.4250		112.4250	2.50DR	
030CT17	B 1 JUN18 CQG II 113.6750			2.50DR	
030CT17	B 1 AUG18 CQG II 110.2250			2.50DR	
030CT17	S 1 AUG18 CQG II 111.2500		111.2500	2.50DR	
	CME Feeder Cattle 500 CME				
030CT17	B 1 NOV17 CQG II 153.9750			2.50DR	
030CT17	S 1 NOV17 CQG II 153.7250		153.7250	2.50DR	
030CT17	S 1 JAN18 CQG II 151.6500		151.6500	2.50DR	
030CT17	B 1 JAN18 CQG II 151.2250			2.50DR	
	CME Lean Hog 400 CME				
030CT17	B 1 DEC17 CQG II 61.5500			2.50DR	
030CT17	S 1 DEC17 CQG II 62.0500		62.0500	2.50DR	
030CT17	S 1 FEB18 CQG II 67.1250		67.1250	2.50DR	
030CT17	B 1 FEB18 CQG II 67.2000			2.50DR	
	Commission				40.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
030CT17	1 DEC17 113.3500	114.0750			290.00CR
030CT17	1 FEB18 117.5250	117.1500			150.00DR
030CT17	1 FEB18 117.5250	117.1250			160.00DR
030CT17	1 APR18 119.1250	118.9500			70.00DR
030CT17	1 JUN18 113.6750	112.4250			500.00DR
030CT17	1 AUG18 110.2250	111.2500			410.00CR
	CME Feeder Cattle 500 CME				
030CT17	1 NOV17 153.9750	153.7250			125.00DR
030CT17	1 JAN18 151.2250	151.6500			212.50CR
	CME Lean Hog 400 CME				
030CT17	1 DEC17 61.5500	62.0500			200.00CR
030CT17	1 FEB18 67.2000	67.1250			30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				712.50CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				87.50CR
	CME Cattle Futures				180.00DR
	CME Lean Hog Futures				170.00CR
	COMMISSIONS				40.00DR
	CARRIED FORWARD BALANCE				750.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	IEU Gas Oil 100 IPE				
030CT17	S 3 APR18 CQG EL		507.000	7.50DR	
030CT17	S 5 MAY18 CQG EL		505.250	12.50DR	
030CT17	B 3 MAY18 CQG EL	505.250		7.50DR	
030CT17	B 5 JUN18 CQG EL	503.500		12.50DR	
	CME Lean Hog 400 CME				
030CT17	B 1 DEC17 CQG II	61.5500		2.50DR	
030CT17	S 1 DEC17 CQG II		62.0000	2.50DR	
030CT17	B 1 DEC17 CQG II	63.3000		2.50DR	
030CT17	S 1 DEC17 CQG II		62.8000	2.50DR	
030CT17	B 1 DEC17 CQG II	62.3000		2.50DR	
030CT17	S 1 FEB18 CQG II		67.2250	2.50DR	
030CT17	B 1 FEB18 CQG II	67.3000		2.50DR	
030CT17	S 2 FEB18 CQG II		68.1500	5.00DR	
030CT17	B 2 FEB18 CQG II	67.7250		5.00DR	
030CT17	S 2 FEB18 CQG II		67.5500	5.00DR	
030CT17	B 1 APR18 CQG II	72.2500		2.50DR	
030CT17	S 1 APR18 CQG II		72.0750	2.50DR	
030CT17	B 1 APR18 CQG II	71.9750		2.50DR	
030CT17	S 1 MAY18 CQG II		77.0000	2.50DR	
030CT17	B 1 MAY18 CQG II	77.0500		2.50DR	
030CT17	B 1 JUN18 CQG II	79.8000		2.50DR	
030CT17	S 1 JUN18 CQG II		80.1250	2.50DR	
030CT17	S 1 JUN18 CQG II		80.0000	2.50DR	
030CT17	B 2 JUL18 CQG II	80.0750		5.00DR	
030CT17	S 1 AUG18 CQG II		79.3000	2.50DR	
	ICE Sugar No.11 1,120 FIN				
030CT17	B 1 MAR18 CQG EL	14.18		2.50DR	
030CT17	B 1 MAR18 CQG EL	14.11		2.50DR	
030CT17	S 1 MAR18 CQG EL		14.06	2.50DR	
030CT17	B 2 MAR18 CQG EL	14.06		5.00DR	
030CT17	S 2 MAR18 CQG EL		14.02	5.00DR	
030CT17	B 1 MAR18 CQG EL	14.03		2.50DR	
	Commission				120.00DR
	SETTLEMENTS				
	IEU Gas Oil 100 IPE				
030CT17	3 APR18	510.500	507.000		1,050.00DR
030CT17	3 MAY18	505.250	505.250		0.00
	CME Lean Hog 400 CME				
030CT17	1 DEC17	61.5500	62.0000		180.00CR
030CT17	1 DEC17	63.3000	62.8000		200.00DR
030CT17	1 FEB18	67.3000	67.2250		30.00DR
030CT17	1 FEB18	67.7250	68.1500		170.00CR
030CT17	1 FEB18	67.7250	68.1500		170.00CR
030CT17	1 APR18	72.2500	72.0750		70.00DR
030CT17	1 MAY18	77.0500	77.0000		20.00DR
030CT17	1 JUN18	79.8000	80.1250		130.00CR
030CT17	1 JUN18	78.4750	80.0000		610.00CR
030CT17	1 JUL18	80.0750	80.1250		20.00CR
030CT17	1 JUL18	80.0750	80.1250		20.00CR
030CT17	1 AUG18	79.6500	79.3000		140.00DR
	ICE Sugar No.11 1,120 FIN				
030CT17	1 MAR18	14.18	14.06		134.40DR
030CT17	1 MAR18	14.11	14.02		100.80DR
030CT17	1 MAR18	14.06	14.02		44.80DR
	TOTALS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119R

3rd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				10,798.60DR
	SETTLEMENTS				
	IEU Gas Oil Futures				1,050.00DR
	CME Lean Hog Futures				840.00CR
	ICE Sugar No.11 Futures				280.00DR
	COMMISSIONS				120.00DR
	CARRIED FORWARD BALANCE				11,408.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

3rd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	B 1 JUN18 CQG II 51.25			2.50DR	
030CT17	B 1 JUN18 CQG II 51.24			2.50DR	
030CT17	B 2 JUN18 CQG II 51.17			5.00DR	
030CT17	S 1 DEC18 CQG II		50.74	2.50DR	
030CT17	S 2 DEC18 CQG II		50.67	5.00DR	
030CT17	S 1 DEC18 CQG II		50.75	2.50DR	
030CT17	S 2 DEC18 CQG II		50.78	5.00DR	
030CT17	S 2 DEC18 CQG II		50.70	5.00DR	
030CT17	B 1 DEC19 CQG II 50.06			2.50DR	
030CT17	B 1 DEC19 CQG II 49.97			2.50DR	
030CT17	B 1 DEC19 CQG II 50.09			2.50DR	
030CT17	B 1 DEC19 CQG II 49.98			2.50DR	
	IEU Brent Crude 1,000 IPE				
030CT17	S 1 JUN18 CQG EL 55.21			2.50DR	
030CT17	S 1 JUN18 CQG EL 55.17			2.50DR	
030CT17	S 1 JUN18 CQG EL 55.24			2.50DR	
030CT17	S 1 JUN18 CQG EL 55.19			2.50DR	
030CT17	B 2 DEC18 CQG EL 54.58			5.00DR	
030CT17	B 1 DEC18 CQG EL 54.67			2.50DR	
030CT17	B 1 DEC18 CQG EL 54.68			2.50DR	
030CT17	B 1 DEC18 CQG EL 54.66			2.50DR	
030CT17	S 1 DEC18 CQG EL 54.52			2.50DR	
030CT17	B 4 DEC18 CQG EL 54.63			10.00DR	
030CT17	S 1 JUN19 CQG EL 54.40			2.50DR	
030CT17	B 1 JUN19 CQG EL 54.28			2.50DR	
030CT17	S 1 DEC19 CQG EL 54.14			2.50DR	
030CT17	S 1 DEC19 CQG EL 54.11			2.50DR	
030CT17	S 1 DEC19 CQG EL 54.25			2.50DR	
030CT17	S 1 DEC19 CQG EL 54.18			2.50DR	
	Commission				90.00DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
030CT17	1 JUN18 51.25	51.06			190.00DR
030CT17	1 JUN18 51.17	51.08			90.00DR
030CT17	1 JUN18 51.24	51.18			60.00DR
030CT17	1 JUN18 51.17	51.13			40.00DR
030CT17	1 DEC18 50.60	50.78			180.00CR
030CT17	1 DEC18 50.60	50.74			140.00CR
030CT17	1 DEC18 50.60	50.67			70.00CR
030CT17	1 DEC18 50.62	50.67			50.00CR
030CT17	1 DEC18 50.62	50.75			130.00CR
030CT17	1 DEC18 50.61	50.78			170.00CR
030CT17	1 DEC18 50.71	50.70			10.00DR
030CT17	1 DEC18 50.71	50.70			10.00DR
	IEU Brent Crude 1,000 IPE				
030CT17	1 DEC18 54.63	54.52			110.00DR
030CT17	1 JUN19 54.28	54.40			120.00CR
030CT17	1 DEC19 55.16	54.14			1,020.00DR
030CT17	1 DEC19 55.20	54.11			1,090.00DR
030CT17	1 DEC19 55.09	54.25			840.00DR
030CT17	1 DEC19 55.09	54.18			910.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				17,120.00DR
	SETTLEMENTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

3rd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	IEU Brent Crude Futures				3,850.00DR
	NYM Light Sweet Crude Oil Futures				340.00CR
	COMMISSIONS				90.00DR
	CARRIED FORWARD BALANCE				20,720.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,001,838.14CR
	SETTLEMENTS		
	CBT Oats Futures		50.00CR
	CME Cattle Futures		730.00DR
	CME Feeder Cattle Futures		637.50CR
	CME Lean Hog Futures		3,480.00CR
	CME Random Length Lumber Futures		
	CMX Copper Futures		150.00DR
	CMX Silver Futures		11,500.00DR
	ICE Sugar No.11 Futures		280.00DR
	IEU Brent Crude Futures		9,710.00DR
	IEU Gas Oil Futures		5,550.00CR
	LME Nickel 6 tonnes. This is an LME Futures		470.28DR
	LME Zinc 25 tonnes. This is an LME Futures		2,028.75DR
	NYM Heating Oil Futures		8.40DR
	NYM Light Sweet Crude Oil Futures		840.00CR
	NYM RBOB Reformulated Gasoline Futu Futures		953.40DR
	White Sugar Futures		385.00CR
	COMMISSIONS		2,191.14DR
	CARRIED FORWARD BALANCE		984,758.67CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0120A

3rd October 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
03OCT17	<u>INTEREST</u> Negative interest excess cash Sep 2017				40.47DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				985.40DR
	INTEREST				40.47DR
	CARRIED FORWARD BALANCE				1,025.87DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0120
SUITE A, 105 PICCADILLY
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3rd Oct 2017

COB Global

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		851.44DR
	INTEREST		40.47DR
	CARRIED FORWARD BALANCE		891.91DR