

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	6529.50		5.05DR	
020CT17	B 2 02JAN18 DMA EL	6531.00		10.10DR	
020CT17	B 1 02JAN18 DMA EL	6510.00		5.05DR	
020CT17	B 1 02JAN18 DMA EL	6519.00		5.05DR	
020CT17	B 1 02JAN18 DMA EL	6519.50		5.05DR	
020CT17	S 1 02JAN18 DMA EL		6529.00	5.05DR	
	CMX Copper 25,000 CMX				
020CT17	S 5 DEC17 II		297.000	10.50DR	
020CT17	S 2 DEC17 II		296.900	4.20DR	
020CT17	B 2 DEC17 II	296.800		4.20DR	
020CT17	S 2 DEC17 II		296.250	4.20DR	
020CT17	S 2 DEC17 II		295.900	4.20DR	
020CT17	S 2 DEC17 II		296.350	4.20DR	
	Commission				66.85DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
020CT17	2 DEC17	296.800	297.000		100.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				271,803.95DR
	SETTLEMENTS				
	CMX Copper Futures				100.00CR
	COMMISSIONS				66.85DR
	CARRIED FORWARD BALANCE				271,770.80DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	S 18 040CT17 IFC UD		6446.25	48.60DR	
020CT17	S 3 200CT17 IFC UD		6462.25	8.10DR	
020CT17	S 5 250CT17 IFC UD		6465.79	13.50DR	
020CT17	B 12 10NOV17 IFC UD	6473.91		32.40DR	
020CT17	S 10 14NOV17 IFC UD		6475.14	27.00DR	
020CT17	B 5 16NOV17 IFC UD	6475.20		13.50DR	
020CT17	S 18 21NOV17 IFC UD		6477.75	48.60DR	
020CT17	S 10 24NOV17 IFC UD		6479.40	27.00DR	
020CT17	B 5 29NOV17 IFC UD	6482.35		13.50DR	
020CT17	B 5 30NOV17 IFC UD	6482.90		13.50DR	
020CT17	B 6 01DEC17 IFC UD	6483.45		16.20DR	
020CT17	B 10 06DEC17 IFC UD	6484.62		27.00DR	
020CT17	B 10 08DEC17 IFC UD	6485.45		27.00DR	
020CT17	B 5 15DEC17 IFC UD	6488.37		13.50DR	
020CT17	B 6 18DEC17 IFC UD	6489.62		16.20DR	
	Commission				345.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				488,411.65DR
	COMMISSIONS				345.60DR
	CARRIED FORWARD BALANCE				488,757.25DR

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SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,100,635.42DR
	SETTLEMENTS		
	CMX Copper Futures		100.00CR
	COMMISSIONS		412.45DR
	CARRIED FORWARD BALANCE		1,100,947.87DR

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SAP0025U

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 4 02JAN18 DMA EL	6525.50		6.24DR	
020CT17	B 5 02JAN18 DMA EL	6526.00		7.80DR	
020CT17	B 1 02JAN18 DMA EL	6537.50		1.56DR	
020CT17	B 1 02JAN18 DMA EL	6538.00		1.56DR	
020CT17	B 1 02JAN18 DMA EL	6539.00		1.56DR	
020CT17	B 1 02JAN18 DMA EL	6540.50		1.56DR	
020CT17	B 1 02JAN18 DMA EL	6528.50		1.56DR	
020CT17	B 1 02JAN18 DMA EL	6539.50		1.56DR	
	CMX Copper 25,000 CMX				
020CT17	S 4 DEC17 GX		297.250	9.28DR	
020CT17	S 3 DEC17 GX		297.300	6.96DR	
020CT17	S 4 DEC17 GX		297.200	9.28DR	
020CT17	S 22 DEC17 GX		296.650	51.04DR	
	Commission				99.96DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				67,116.42DR
	COMMISSIONS				99.96DR
	CARRIED FORWARD BALANCE				67,216.38DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

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2nd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,968,120.88DR
	COMMISSIONS		99.96DR
	CARRIED FORWARD BALANCE		1,968,220.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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2nd October 2017

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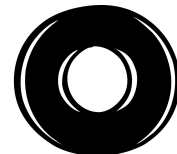
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 1 02JAN18 TTR EL	6498.00		5.00DR	
020CT17	S 1 02JAN18 TTR EL		6478.00	5.00DR	
	CMX Copper 25,000 CMX				
020CT17	S 2 DEC17 TTR GX		295.400	3.36DR	
020CT17	B 2 DEC17 TTR GX	294.350		3.36DR	
	Commission				16.72DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
020CT17	2 DEC17	294.350	295.400		525.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				110,922.44DR
	SETTLEMENTS				
	CMX Copper Futures				525.00CR
	COMMISSIONS				16.72DR
	CARRIED FORWARD BALANCE				110,414.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB038

2nd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		146,391.86DR
	SETTLEMENTS		
	CMX Copper Futures		525.00CR
	COMMISSIONS		16.72DR
	CARRIED FORWARD BALANCE		145,883.58DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0089A

2nd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 1 02JAN18 TTR EL	6534.50		1.56DR	
020CT17	B 2 02JAN18 TTR EL	6536.50		3.12DR	
020CT17	B 2 02JAN18 TTR EL	6535.50		3.12DR	
	CMX Copper 25,000 CMX				
020CT17	S 6 DEC17 TTR GX		297.200	13.20DR	
020CT17	S 4 DEC17 TTR GX		297.150	8.80DR	
020CT17	B 2 DEC17 TTR GX	294.850		4.40DR	
	Commission				34.20DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
020CT17	2 DEC17	294.850	297.150		1,150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,861,787.17CR
	SETTLEMENTS				
	CMX Copper Futures				1,150.00CR
	COMMISSIONS				34.20DR
	CARRIED FORWARD BALANCE				2,862,902.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0089D

2nd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	S 10 28DEC17 IFC UD		6490.84	27.00DR	
020CT17	B 10 29DEC17 IFC UD	6491.47		27.00DR	
020CT17	B 1 02JAN18 TTR EL	6525.00		5.55DR	
020CT17	B 3 02JAN18 TTR EL	6525.50		16.65DR	
020CT17	B 6 02JAN18 TTR EL	6526.00		33.30DR	
020CT17	B 1 02JAN18 TTR EL	6531.00		5.55DR	
020CT17	B 2 02JAN18 TTR EL	6528.00		11.10DR	
020CT17	B 2 02JAN18 TTR EL	6527.50		11.10DR	
020CT17	B 2 02JAN18 TTR EL	6529.00		11.10DR	
020CT17	B 6 02JAN18 TTR EL	6529.50		33.30DR	
020CT17	B 1 02JAN18 TTR EL	6531.50		5.55DR	
020CT17	B 1 02JAN18 TTR EL	6533.50		5.55DR	
020CT17	B 2 02JAN18 TTR EL	6534.50		11.10DR	
020CT17	B 3 02JAN18 TTR EL	6537.00		16.65DR	
020CT17	B 2 02JAN18 TTR EL	6536.00		11.10DR	
020CT17	B 3 02JAN18 TTR EL	6535.00		16.65DR	
020CT17	B 5 02JAN18 TTR EL	6530.00		27.75DR	
020CT17	B 3 02JAN18 TTR EL	6527.00		16.65DR	
020CT17	B 3 02JAN18 TTR EL	6532.50		16.65DR	
020CT17	B 2 02JAN18 TTR EL	6532.00		11.10DR	
020CT17	B 2 02JAN18 TTR EL	6530.50		11.10DR	
	CMX Copper 25,000 CMX				
020CT17	S 15 DEC17 TTR GX		296.650	33.00DR	
020CT17	S 7 DEC17 TTR GX		296.600	15.40DR	
020CT17	S 2 DEC17 TTR GX		297.100	4.40DR	
020CT17	S 3 DEC17 TTR GX		297.050	6.60DR	
020CT17	S 2 DEC17 TTR GX		297.000	4.40DR	
020CT17	S 8 DEC17 TTR GX		296.750	17.60DR	
020CT17	S 1 DEC17 TTR GX		296.700	2.20DR	
020CT17	S 15 DEC17 TTR GX		296.900	33.00DR	
020CT17	S 14 DEC17 TTR GX		296.950	30.80DR	
020CT17	S 12 DEC17 TTR GX		296.800	26.40DR	
020CT17	S 13 DEC17 TTR GX		296.850	28.60DR	
020CT17	S 7 DEC17 TTR GX		297.150	15.40DR	
020CT17	S 9 DEC17 TTR GX		297.200	19.80DR	
020CT17	S 2 DEC17 TTR GX		297.250	4.40DR	
	Commission				573.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				79,453.50DR
	COMMISSIONS				573.50DR
	CARRIED FORWARD BALANCE				80,027.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0089T

2nd October 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 1 29DEC17 SGL UD 6484.95			2.70DR	
020CT17	B 2 02JAN18 TTR EL 6531.50			3.12DR	
020CT17	B 1 02JAN18 TTR EL 6531.00			1.56DR	
020CT17	B 2 02JAN18 TTR EL 6532.50			3.12DR	
020CT17	B 1 02JAN18 TTR EL 6529.00			1.56DR	
020CT17	B 4 02JAN18 TTR EL 6530.50			6.24DR	
020CT17	B 2 02JAN18 TTR EL 6532.00			3.12DR	
020CT17	S 1 02JAN18 TTR EL 6497.50		6497.50	1.56DR	
020CT17	S 1 02JAN18 TTR EL 6497.00		6497.00	1.56DR	
020CT17	S 1 02JAN18 TTR EL 6499.00		6499.00	1.56DR	
020CT17	S 1 02JAN18 TTR EL 6500.50		6500.50	1.56DR	
020CT17	S 3 02JAN18 TTR EL 6504.00		6504.00	4.68DR	
020CT17	S 2 02JAN18 TTR EL 6505.00		6505.00	3.12DR	
020CT17	S 1 02JAN18 TTR EL 6503.00		6503.00	1.56DR	
020CT17	S 1 02JAN18 SGL UD 6486.47		6486.47	2.70DR	
020CT17	S 1 02JAN18 TTR EL 6498.50		6498.50	1.56DR	
	CMX Copper 25,000 CMX				
020CT17	S 3 DEC17 TTR GX 297.000		297.000	6.60DR	
020CT17	S 17 DEC17 TTR GX 296.950		296.950	37.40DR	
020CT17	S 2 DEC17 TTR GX 296.850		296.850	4.40DR	
020CT17	S 4 DEC17 TTR GX 296.900		296.900	8.80DR	
020CT17	B 4 DEC17 TTR GX 295.300	295.300		8.80DR	
020CT17	B 3 DEC17 TTR GX 295.350	295.350		6.60DR	
020CT17	B 4 DEC17 TTR GX 295.650	295.650		8.80DR	
020CT17	B 9 DEC17 TTR GX 295.600	295.600		19.80DR	
020CT17	B 4 DEC17 TTR GX 295.400	295.400		8.80DR	
	Commission				151.28DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
020CT17	4 DEC17	295.300	296.950		1,650.00CR
020CT17	2 DEC17	295.350	297.000		825.00CR
020CT17	1 DEC17	295.400	297.000		400.00CR
020CT17	1 DEC17	295.400	296.950		387.50CR
020CT17	1 DEC17	295.350	296.950		400.00CR
020CT17	7 DEC17	295.600	296.950		2,362.50CR
020CT17	2 DEC17	295.650	296.950		650.00CR
020CT17	2 DEC17	295.650	296.950		650.00CR
020CT17	2 DEC17	295.600	296.850		625.00CR
020CT17	2 DEC17	295.400	296.900		750.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				44,559.96DR
	SETTLEMENTS				
	CMX Copper Futures				8,700.00CR
	COMMISSIONS				151.28DR
	CARRIED FORWARD BALANCE				36,011.24DR

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SUITE A, 105 PICCADILLY
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	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		3,048,799.59CR
	SETTLEMENTS		
	CMX Copper Futures		9,850.00CR
	COMMISSIONS		758.98DR
	CARRIED FORWARD BALANCE		3,057,890.61CR

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SAP0097Q

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020CT17	B	2	02JAN18	TTR	EL	6536.50		3.12DR	
020CT17	B	1	02JAN18	TTR	EL	6532.00		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6533.50		1.56DR	
020CT17	B	2	02JAN18	TTR	EL	6533.00		3.12DR	
020CT17	B	2	02JAN18	TTR	EL	6534.50		3.12DR	
020CT17	B	2	02JAN18	TTR	EL	6535.00		3.12DR	
020CT17	B	1	02JAN18	TTR	EL	6526.00		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6523.00		1.56DR	
020CT17	B	3	02JAN18	TTR	EL	6525.00		4.68DR	
020CT17	B	1	02JAN18	TTR	EL	6524.50		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6531.50		1.56DR	
020CT17	B	2	02JAN18	TTR	EL	6532.50		3.12DR	
020CT17	B	4	02JAN18	TTR	EL	6526.50		6.24DR	
020CT17	B	1	02JAN18	TTR	EL	6513.50		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6513.00		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6511.50		1.56DR	
020CT17	B	2	02JAN18	DMA	EL	6534.00		3.12DR	
020CT17	B	1	02JAN18	DMA	EL	6525.00		1.56DR	
020CT17	B	1	02JAN18	DMA	EL	6512.00		1.56DR	
020CT17	S	2	02JAN18	TTR	EL		6466.00	3.12DR	
020CT17	B	1	02JAN18	TTR	EL	6466.00		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6467.00		1.56DR	
020CT17	S	2	02JAN18	TTR	EL		6488.50	3.12DR	
020CT17	S	1	02JAN18	TTR	EL		6492.50	1.56DR	
020CT17	S	1	02JAN18	TTR	EL		6491.50	1.56DR	
020CT17	S	2	02JAN18	TTR	EL		6493.00	3.12DR	
020CT17	S	3	02JAN18	TTR	EL		6495.50	4.68DR	
020CT17	S	2	02JAN18	TTR	EL		6499.00	3.12DR	
020CT17	S	1	02JAN18	TTR	EL		6502.50	1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6502.00		1.56DR	
020CT17	B	1	02JAN18	TTR	EL	6501.00		1.56DR	
020CT17	S	2	02JAN18	TTR	EL		6496.00	3.12DR	
020CT17	S	2	02JAN18	TTR	EL		6498.00	3.12DR	
020CT17	B	1	02JAN18	TTR	EL	6499.50		1.56DR	
020CT17	S	6	02JAN18	TTR	EL		6497.00	9.36DR	
020CT17	S	4	02JAN18	TTR	EL		6500.00	6.24DR	
020CT17	S	1	02JAN18	TTR	EL		6494.00	1.56DR	
020CT17	S	1	02JAN18	DMA	EL		6501.50	1.56DR	
	CMX Copper 25,000 CMX								
020CT17	S	4	DEC17	TTR	GX		297.100	9.20DR	
020CT17	S	10	DEC17	TTR	GX		297.050	23.00DR	
020CT17	S	7	DEC17	TTR	GX		297.000	16.10DR	
020CT17	S	12	DEC17	TTR	GX		296.950	27.60DR	
020CT17	S	11	DEC17	TTR	GX		296.700	25.30DR	
020CT17	S	2	DEC17	TTR	GX		296.550	4.60DR	
020CT17	S	2	DEC17	TTR	GX		296.600	4.60DR	
020CT17	S	7	DEC17	TTR	GX		296.650	16.10DR	
020CT17	S	2	DEC17	TTR	GX		296.350	4.60DR	
020CT17	S	9	DEC17	TTR	GX		296.150	20.70DR	
020CT17	B	2	DEC17	TTR	GX	293.850		4.60DR	
020CT17	S	2	DEC17	TTR	GX		294.000	4.60DR	
020CT17	B	2	DEC17	TTR	GX	294.900		4.60DR	
020CT17	B	7	DEC17	TTR	GX	295.100		16.10DR	
020CT17	B	6	DEC17	TTR	GX	295.200		13.80DR	
020CT17	B	1	DEC17	TTR	GX	295.450		2.30DR	
020CT17	B	1	DEC17	TTR	GX	295.600		2.30DR	
020CT17	S	2	DEC17	TTR	GX		295.550	4.60DR	
020CT17	S	2	DEC17	TTR	GX		295.500	4.60DR	
020CT17	B	1	DEC17	TTR	GX	295.550		2.30DR	
020CT17	B	4	DEC17	TTR	GX	295.500		9.20DR	
020CT17	B	6	DEC17	TTR	GX	295.350		13.80DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0097Q 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	B	17	DEC17	TTR	GX	295.400		39.10DR	
02OCT17	B	6	DEC17	TTR	GX	295.300		13.80DR	
02OCT17	B	8	DEC17	TTR	GX	295.250		18.40DR	
	Commission								407.30DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
02OCT17	2	DEC17				293.850	297.000		1,575.00CR
02OCT17	2	DEC17				294.900	297.050		1,075.00CR
02OCT17	2	DEC17				295.100	297.050		975.00CR
02OCT17	2	DEC17				295.100	297.100		1,000.00CR
02OCT17	3	DEC17				295.100	297.050		1,462.50CR
02OCT17	1	DEC17				295.200	297.050		462.50CR
02OCT17	1	DEC17				295.200	297.100		475.00CR
02OCT17	1	DEC17				295.300	297.100		450.00CR
02OCT17	1	DEC17				295.300	297.050		437.50CR
02OCT17	1	DEC17				295.200	297.050		462.50CR
02OCT17	1	DEC17				295.200	297.000		450.00CR
02OCT17	2	DEC17				295.200	296.950		875.00CR
02OCT17	1	DEC17				295.300	297.000		425.00CR
02OCT17	1	DEC17				295.250	297.000		437.50CR
02OCT17	2	DEC17				295.250	296.950		850.00CR
02OCT17	2	DEC17				295.250	297.000		875.00CR
02OCT17	2	DEC17				295.300	296.950		825.00CR
02OCT17	4	DEC17				295.350	296.950		1,600.00CR
02OCT17	2	DEC17				295.400	296.950		775.00CR
02OCT17	2	DEC17				295.400	296.700		650.00CR
02OCT17	1	DEC17				295.500	296.700		300.00CR
02OCT17	1	DEC17				295.500	296.700		300.00CR
02OCT17	1	DEC17				295.450	296.700		312.50CR
02OCT17	1	DEC17				295.600	296.700		275.00CR
02OCT17	1	DEC17				295.500	296.700		300.00CR
02OCT17	1	DEC17				295.400	296.700		325.00CR
02OCT17	1	DEC17				295.400	296.700		325.00CR
02OCT17	1	DEC17				295.550	296.700		287.50CR
02OCT17	1	DEC17				295.500	296.700		300.00CR
02OCT17	1	DEC17				295.350	296.550		300.00CR
02OCT17	1	DEC17				295.250	296.550		325.00CR
02OCT17	1	DEC17				295.350	296.600		312.50CR
02OCT17	1	DEC17				295.400	296.600		300.00CR
02OCT17	2	DEC17				295.400	296.650		625.00CR
02OCT17	2	DEC17				295.400	296.650		625.00CR
02OCT17	2	DEC17				295.400	296.650		625.00CR
02OCT17	1	DEC17				295.400	296.650		312.50CR
02OCT17	2	DEC17				295.400	296.350		475.00CR
02OCT17	1	DEC17				295.400	296.150		187.50CR
02OCT17	1	DEC17				295.300	296.150		212.50CR
02OCT17	2	DEC17				295.250	296.150		450.00CR
	TOTALS								
	BROUGHT FORWARD BALANCE								1,004,507.18CR
	SETTLEMENTS								
	CMX Copper Futures								23,612.50CR
	COMMISSIONS								407.30DR
	CARRIED FORWARD BALANCE								1,027,712.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
02OCT17	B	1	02JAN18	TTR	EL	6523.00		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6526.00		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6530.50		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6525.00		1.56DR	
02OCT17	B	3	02JAN18	TTR	EL	6513.00		4.68DR	
02OCT17	B	2	02JAN18	TTR	EL	6516.00		3.12DR	
02OCT17	B	1	02JAN18	TTR	EL	6514.50		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6497.50		1.56DR	
02OCT17	B	2	02JAN18	TTR	EL	6498.00		3.12DR	
02OCT17	B	1	02JAN18	TTR	EL	6495.50		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6499.50		1.56DR	
02OCT17	B	3	02JAN18	TTR	EL	6500.50		4.68DR	
02OCT17	S	1	02JAN18	TTR	EL		6502.00	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6503.50	1.56DR	
02OCT17	S	2	02JAN18	TTR	EL		6501.50	3.12DR	
02OCT17	S	2	02JAN18	TTR	EL		6505.50	3.12DR	
02OCT17	S	1	02JAN18	TTR	EL		6506.50	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6506.00	1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6505.50		1.56DR	
02OCT17	B	1	02JAN18	TTR	EL	6504.50		1.56DR	
02OCT17	B	4	02JAN18	TTR	EL	6501.50		6.24DR	
02OCT17	B	3	02JAN18	TTR	EL	6503.50		4.68DR	
02OCT17	B	2	02JAN18	TTR	EL	6501.00		3.12DR	
02OCT17	B	1	02JAN18	TTR	EL	6502.50		1.56DR	
02OCT17	B	2	02JAN18	TTR	EL	6502.00		3.12DR	
02OCT17	S	1	02JAN18	TTR	EL		6479.00	1.56DR	
02OCT17	S	3	02JAN18	TTR	EL		6483.50	4.68DR	
02OCT17	S	3	02JAN18	TTR	EL		6483.00	4.68DR	
02OCT17	S	3	02JAN18	TTR	EL		6482.00	4.68DR	
02OCT17	S	4	02JAN18	TTR	EL		6481.00	6.24DR	
02OCT17	S	1	02JAN18	TTR	EL		6482.50	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6484.00	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6484.50	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6486.50	1.56DR	
02OCT17	S	2	02JAN18	TTR	EL		6488.00	3.12DR	
02OCT17	S	4	02JAN18	TTR	EL		6486.00	6.24DR	
02OCT17	S	1	02JAN18	TTR	EL		6492.50	1.56DR	
02OCT17	B	4	02JAN18	TTR	EL	6492.00		6.24DR	
02OCT17	S	1	02JAN18	TTR	EL		6491.00	1.56DR	
02OCT17	B	2	02JAN18	TTR	EL	6490.50		3.12DR	
02OCT17	B	2	02JAN18	TTR	EL	6486.50		3.12DR	
02OCT17	B	6	02JAN18	TTR	EL	6487.00		9.36DR	
02OCT17	B	1	02JAN18	TTR	EL	6488.50		1.56DR	
02OCT17	B	5	02JAN18	TTR	EL	6485.00		7.80DR	
02OCT17	B	2	02JAN18	TTR	EL	6483.00		3.12DR	
02OCT17	B	4	02JAN18	TTR	EL	6486.00		6.24DR	
02OCT17	B	8	02JAN18	TTR	EL	6485.50		12.48DR	
02OCT17	B	1	02JAN18	TTR	EL	6481.50		1.56DR	
02OCT17	B	2	02JAN18	TTR	EL	6481.00		3.12DR	
02OCT17	S	5	02JAN18	TTR	EL		6465.00	7.80DR	
02OCT17	S	1	02JAN18	TTR	EL		6464.00	1.56DR	
02OCT17	S	2	02JAN18	TTR	EL		6468.50	3.12DR	
02OCT17	S	2	02JAN18	TTR	EL		6471.50	3.12DR	
02OCT17	S	1	02JAN18	TTR	EL		6473.50	1.56DR	
02OCT17	S	8	02JAN18	TTR	EL		6474.00	12.48DR	
02OCT17	S	1	02JAN18	TTR	EL		6476.50	1.56DR	
02OCT17	S	6	02JAN18	TTR	EL		6474.50	9.36DR	
02OCT17	S	2	02JAN18	TTR	EL		6476.00	3.12DR	
02OCT17	B	2	02JAN18	TTR	EL	6477.00		3.12DR	
02OCT17	B	5	02JAN18	TTR	EL	6478.50		7.80DR	
02OCT17	B	2	02JAN18	TTR	EL	6478.00		3.12DR	
02OCT17	B	2	02JAN18	TTR	EL	6482.00		3.12DR	

Group No: SAPAB097

Page 1 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	B	5	02JAN18	TTR	EL	6483.50		7.80DR	
02OCT17	B	8	02JAN18	TTR	EL	6484.50		12.48DR	
02OCT17	B	2	02JAN18	TTR	EL	6480.00		3.12DR	
02OCT17	B	2	02JAN18	TTR	EL	6480.50		3.12DR	
02OCT17	B	1	02JAN18	TTR	EL	6473.00		1.56DR	
02OCT17	B	3	02JAN18	TTR	EL	6475.00		4.68DR	
02OCT17	B	3	02JAN18	TTR	EL	6476.00		4.68DR	
02OCT17	B	2	02JAN18	TTR	EL	6473.50		3.12DR	
02OCT17	B	1	02JAN18	TTR	EL	6469.50		1.56DR	
02OCT17	B	2	02JAN18	TTR	EL	6472.00		3.12DR	
02OCT17	S	1	02JAN18	TTR	EL		6489.00	1.56DR	
02OCT17	S	6	02JAN18	TTR	EL		6490.00	9.36DR	
02OCT17	S	1	02JAN18	TTR	EL		6491.50	1.56DR	
02OCT17	S	2	02JAN18	TTR	EL		6493.50	3.12DR	
02OCT17	S	3	02JAN18	TTR	EL		6500.50	4.68DR	
02OCT17	S	3	02JAN18	TTR	EL		6496.00	4.68DR	
02OCT17	S	3	02JAN18	TTR	EL		6498.50	4.68DR	
02OCT17	S	7	02JAN18	TTR	EL		6499.00	10.92DR	
02OCT17	S	1	02JAN18	TTR	EL		6499.50	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6501.00	1.56DR	
02OCT17	S	5	02JAN18	TTR	EL		6500.00	7.80DR	
02OCT17	S	15	02JAN18	TTR	EL		6498.00	23.40DR	
02OCT17	S	1	02JAN18	TTR	EL		6495.00	1.56DR	
02OCT17	S	1	02JAN18	TTR	EL		6494.00	1.56DR	
02OCT17	S	2	02JAN18	TTR	EL		6496.50	3.12DR	
02OCT17	S	1	02JAN18	TTR	EL		6495.50	1.56DR	
02OCT17	B	7	02JAN18	TTR	EL	6500.00		10.92DR	
02OCT17	B	1	02JAN18	TTR	EL	6499.00		1.56DR	
02OCT17	S	4	02JAN18	TTR	EL		6504.50	6.24DR	
02OCT17	S	3	02JAN18	TTR	EL		6504.00	4.68DR	
02OCT17	S	3	02JAN18	DMA	EL		6489.00	4.68DR	
02OCT17	S	1	02JAN18	DMA	EL		6500.50	1.56DR	
02OCT17	S	1	02JAN18	DMA	EL		6474.50	1.56DR	
CMX Copper 25,000 CMX									
02OCT17	S	2	DEC17	TTR	GX		296.900	4.60DR	
02OCT17	S	3	DEC17	TTR	GX		296.600	6.90DR	
02OCT17	S	4	DEC17	TTR	GX		296.650	9.20DR	
02OCT17	S	2	DEC17	TTR	GX		296.050	4.60DR	
02OCT17	S	4	DEC17	TTR	GX		296.150	9.20DR	
02OCT17	S	7	DEC17	TTR	GX		296.100	16.10DR	
02OCT17	S	2	DEC17	TTR	GX		295.150	4.60DR	
02OCT17	S	4	DEC17	TTR	GX		295.200	9.20DR	
02OCT17	S	2	DEC17	TTR	GX		295.400	4.60DR	
02OCT17	S	5	DEC17	TTR	GX		295.350	11.50DR	
02OCT17	B	3	DEC17	TTR	GX	295.700		6.90DR	
02OCT17	B	3	DEC17	TTR	GX	295.750		6.90DR	
02OCT17	B	2	DEC17	TTR	GX	295.850		4.60DR	
02OCT17	S	5	DEC17	TTR	GX		295.750	11.50DR	
02OCT17	S	6	DEC17	TTR	GX		295.550	13.80DR	
02OCT17	S	8	DEC17	TTR	GX		295.600	18.40DR	
02OCT17	S	9	DEC17	TTR	GX		295.650	20.70DR	
02OCT17	B	2	DEC17	TTR	GX	294.450		4.60DR	
02OCT17	B	6	DEC17	TTR	GX	294.600		13.80DR	
02OCT17	B	7	DEC17	TTR	GX	294.550		16.10DR	
02OCT17	B	20	DEC17	TTR	GX	294.650		46.00DR	
02OCT17	B	2	DEC17	TTR	GX	294.700		4.60DR	
02OCT17	B	4	DEC17	TTR	GX	294.750		9.20DR	
02OCT17	B	2	DEC17	TTR	GX	294.850		4.60DR	
02OCT17	B	7	DEC17	TTR	GX	294.800		16.10DR	
02OCT17	S	2	DEC17	TTR	GX		295.100	4.60DR	
02OCT17	B	2	DEC17	TTR	GX	295.100		4.60DR	
02OCT17	B	4	DEC17	TTR	GX	295.050		9.20DR	
02OCT17	S	6	DEC17	TTR	GX		295.050	13.80DR	
02OCT17	S	18	DEC17	TTR	GX		294.900	41.40DR	
02OCT17	S	3	DEC17	TTR	GX		295.000	6.90DR	
02OCT17	S	6	DEC17	TTR	GX		294.950	13.80DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

2nd October 2017

COB GLOBAL

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Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
020CT17	B	10	DEC17	TTR	GX	293.850		23.00DR	
020CT17	B	2	DEC17	TTR	GX	293.950		4.60DR	
020CT17	B	5	DEC17	TTR	GX	294.000		11.50DR	
020CT17	B	3	DEC17	TTR	GX	294.150		6.90DR	
020CT17	B	2	DEC17	TTR	GX	294.100		4.60DR	
020CT17	B	2	DEC17	TTR	GX	294.300		4.60DR	
020CT17	B	28	DEC17	TTR	GX	294.250		64.40DR	
020CT17	B	4	DEC17	TTR	GX	294.400		9.20DR	
020CT17	B	5	DEC17	TTR	GX	294.350		11.50DR	
020CT17	S	6	DEC17	TTR	GX		294.500	13.80DR	
020CT17	S	16	DEC17	TTR	GX		294.550	36.80DR	
020CT17	S	13	DEC17	TTR	GX		294.700	29.90DR	
020CT17	S	4	DEC17	TTR	GX		294.750	9.20DR	
020CT17	S	26	DEC17	TTR	GX		294.800	59.80DR	
020CT17	S	30	DEC17	TTR	GX		294.850	69.00DR	
020CT17	S	4	DEC17	TTR	GX		294.650	9.20DR	
020CT17	S	4	DEC17	TTR	GX		294.350	9.20DR	
020CT17	S	5	DEC17	TTR	GX		294.400	11.50DR	
020CT17	S	11	DEC17	TTR	GX		294.450	25.30DR	
020CT17	S	6	DEC17	TTR	GX		294.300	13.80DR	
020CT17	S	5	DEC17	TTR	GX		294.250	11.50DR	
020CT17	B	6	DEC17	TTR	GX	294.900		13.80DR	
020CT17	B	10	DEC17	TTR	GX	294.950		23.00DR	
020CT17	B	8	DEC17	TTR	GX	295.000		18.40DR	
020CT17	S	2	DEC17	TTR	GX		295.250	4.60DR	
020CT17	B	7	DEC17	TTR	GX	295.250		16.10DR	
020CT17	B	40	DEC17	TTR	GX	295.350		92.00DR	
020CT17	B	15	DEC17	TTR	GX	295.450		34.50DR	
020CT17	B	2	DEC17	TTR	GX	295.550		4.60DR	
020CT17	B	18	DEC17	TTR	GX	295.400		41.40DR	
020CT17	B	9	DEC17	TTR	GX	295.150		20.70DR	
020CT17	B	9	DEC17	TTR	GX	295.300		20.70DR	
020CT17	B	7	DEC17	TTR	GX	295.200		16.10DR	
020CT17	S	19	DEC17	TTR	GX		295.500	43.70DR	
020CT17	S	14	DEC17	TTR	GX		295.450	32.20DR	
020CT17	B	15	DEC17	TTR	GX	295.600		34.50DR	
020CT17	B	14	DEC17	TTR	GX	295.500		32.20DR	
	Commission								1,641.04DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
020CT17		2	DEC17			295.400	296.900		750.00CR
020CT17		2	DEC17			295.400	296.650		625.00CR
020CT17		2	DEC17			295.400	296.600		600.00CR
020CT17		1	DEC17			295.500	296.600		275.00CR
020CT17		1	DEC17			295.500	296.650		287.50CR
020CT17		1	DEC17			295.600	296.650		262.50CR
020CT17		2	DEC17			295.600	296.050		225.00CR
020CT17		1	DEC17			295.600	296.100		125.00CR
020CT17		1	DEC17			295.500	296.100		150.00CR
020CT17		1	DEC17			295.500	296.150		162.50CR
020CT17		1	DEC17			295.600	296.100		125.00CR
020CT17		1	DEC17			295.600	296.100		125.00CR
020CT17		3	DEC17			295.700	296.150		337.50CR
020CT17		1	DEC17			295.750	296.100		87.50CR
020CT17		2	DEC17			295.750	296.100		175.00CR
020CT17		1	DEC17			295.850	295.550		75.00DR
020CT17		1	DEC17			295.850	295.600		62.50DR
020CT17		1	DEC17			294.450	295.500		262.50CR
020CT17		1	DEC17			294.450	295.550		275.00CR
020CT17		2	DEC17			294.600	295.500		450.00CR
020CT17		1	DEC17			294.650	295.500		212.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

2nd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 DEC17	294.650	295.500		212.50CR
02OCT17	2 DEC17	294.650	295.550		450.00CR
02OCT17	1 DEC17	294.650	295.750		275.00CR
02OCT17	4 DEC17	294.650	295.500		850.00CR
02OCT17	1 DEC17	294.650	295.500		212.50CR
02OCT17	5 DEC17	294.650	295.450		1,000.00CR
02OCT17	1 DEC17	294.550	295.450		225.00CR
02OCT17	2 DEC17	294.550	295.200		325.00CR
02OCT17	1 DEC17	294.600	295.150		137.50CR
02OCT17	1 DEC17	294.600	295.200		150.00CR
02OCT17	1 DEC17	294.600	295.150		137.50CR
02OCT17	1 DEC17	294.600	295.200		150.00CR
02OCT17	2 DEC17	294.550	295.450		450.00CR
02OCT17	2 DEC17	294.550	295.400		425.00CR
02OCT17	1 DEC17	294.650	295.450		200.00CR
02OCT17	1 DEC17	294.650	295.450		200.00CR
02OCT17	2 DEC17	294.650	295.350		350.00CR
02OCT17	1 DEC17	294.650	295.500		212.50CR
02OCT17	2 DEC17	294.700	295.500		400.00CR
02OCT17	2 DEC17	294.750	295.600		425.00CR
02OCT17	2 DEC17	294.750	295.350		300.00CR
02OCT17	1 DEC17	294.800	295.350		137.50CR
02OCT17	1 DEC17	294.800	295.650		212.50CR
02OCT17	1 DEC17	294.800	295.650		212.50CR
02OCT17	1 DEC17	294.800	295.750		237.50CR
02OCT17	1 DEC17	294.850	295.750		225.00CR
02OCT17	1 DEC17	294.850	295.750		225.00CR
02OCT17	1 DEC17	294.950	295.750		200.00CR
02OCT17	1 DEC17	294.950	295.600		162.50CR
02OCT17	1 DEC17	294.950	295.600		162.50CR
02OCT17	1 DEC17	294.950	295.550		150.00CR
02OCT17	1 DEC17	294.800	295.550		187.50CR
02OCT17	1 DEC17	294.800	295.600		200.00CR
02OCT17	1 DEC17	294.800	295.650		212.50CR
02OCT17	1 DEC17	295.100	295.650		137.50CR
02OCT17	1 DEC17	295.100	295.600		125.00CR
02OCT17	1 DEC17	295.050	295.600		137.50CR
02OCT17	1 DEC17	295.050	295.500		112.50CR
02OCT17	1 DEC17	295.000	295.500		125.00CR
02OCT17	1 DEC17	295.000	295.650		162.50CR
02OCT17	1 DEC17	294.950	295.650		175.00CR
02OCT17	2 DEC17	294.950	295.650		350.00CR
02OCT17	1 DEC17	295.200	295.650		112.50CR
02OCT17	1 DEC17	295.200	295.500		75.00CR
02OCT17	1 DEC17	294.900	295.500		150.00CR
02OCT17	1 DEC17	294.900	294.900		0.00
02OCT17	1 DEC17	295.050	294.900		37.50DR
02OCT17	1 DEC17	295.050	295.100		12.50CR
02OCT17	1 DEC17	294.900	295.100		50.00CR
02OCT17	1 DEC17	294.900	294.950		12.50CR
02OCT17	1 DEC17	293.850	294.950		275.00CR
02OCT17	2 DEC17	293.850	294.450		300.00CR
02OCT17	2 DEC17	293.850	294.650		400.00CR
02OCT17	1 DEC17	293.850	294.900		262.50CR
02OCT17	1 DEC17	293.850	294.900		262.50CR
02OCT17	2 DEC17	293.850	294.850		500.00CR
02OCT17	1 DEC17	293.850	294.850		250.00CR
02OCT17	1 DEC17	293.950	294.850		225.00CR
02OCT17	1 DEC17	293.950	294.750		200.00CR
02OCT17	1 DEC17	294.000	294.750		187.50CR
02OCT17	1 DEC17	294.000	294.700		175.00CR
02OCT17	1 DEC17	294.000	294.700		175.00CR
02OCT17	2 DEC17	294.000	294.850		425.00CR
02OCT17	2 DEC17	294.150	294.850		350.00CR
02OCT17	1 DEC17	294.150	294.850		175.00CR
02OCT17	1 DEC17	294.100	294.850		187.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 DEC17	294.100	294.850		187.50CR
02OCT17	4 DEC17	294.250	294.850		600.00CR
02OCT17	4 DEC17	294.250	294.900		650.00CR
02OCT17	2 DEC17	294.250	294.950		350.00CR
02OCT17	1 DEC17	294.250	295.050		200.00CR
02OCT17	5 DEC17	294.250	295.050		1,000.00CR
02OCT17	4 DEC17	294.250	294.900		650.00CR
02OCT17	1 DEC17	294.300	294.900		150.00CR
02OCT17	1 DEC17	294.300	294.850		137.50CR
02OCT17	1 DEC17	294.250	294.900		162.50CR
02OCT17	1 DEC17	294.250	294.850		150.00CR
02OCT17	6 DEC17	294.250	294.800		825.00CR
02OCT17	3 DEC17	294.350	294.800		337.50CR
02OCT17	2 DEC17	294.400	294.800		200.00CR
02OCT17	2 DEC17	294.400	294.900		250.00CR
02OCT17	2 DEC17	294.350	294.900		275.00CR
02OCT17	1 DEC17	294.950	295.000		12.50CR
02OCT17	2 DEC17	294.900	295.000		50.00CR
02OCT17	1 DEC17	294.950	294.800		37.50DR
02OCT17	1 DEC17	295.000	294.800		50.00DR
02OCT17	1 DEC17	295.000	294.850		37.50DR
02OCT17	1 DEC17	294.950	294.850		25.00DR
02OCT17	4 DEC17	295.000	294.850		150.00DR
02OCT17	2 DEC17	295.150	294.800		175.00DR
02OCT17	2 DEC17	295.150	294.700		225.00DR
02OCT17	2 DEC17	295.150	294.550		300.00DR
02OCT17	3 DEC17	295.300	294.550		562.50DR
02OCT17	1 DEC17	295.300	294.850		112.50DR
02OCT17	1 DEC17	295.300	294.850		112.50DR
02OCT17	1 DEC17	295.500	294.850		162.50DR
02OCT17	1 DEC17	295.500	294.850		162.50DR
02OCT17	1 DEC17	295.450	294.800		162.50DR
02OCT17	2 DEC17	295.450	294.950		250.00DR
02OCT17	4 DEC17	295.450	294.800		650.00DR
02OCT17	4 DEC17	295.450	294.700		750.00DR
02OCT17	3 DEC17	295.400	294.700		525.00DR
02OCT17	2 DEC17	295.400	294.450		475.00DR
02OCT17	3 DEC17	295.400	294.500		675.00DR
02OCT17	1 DEC17	295.350	294.500		212.50DR
02OCT17	2 DEC17	295.350	294.550		400.00DR
02OCT17	2 DEC17	295.350	294.550		400.00DR
02OCT17	2 DEC17	295.350	294.550		400.00DR
02OCT17	2 DEC17	295.350	294.500		425.00DR
02OCT17	5 DEC17	295.350	294.550		1,000.00DR
02OCT17	2 DEC17	295.350	294.700		325.00DR
02OCT17	2 DEC17	295.350	294.750		300.00DR
02OCT17	2 DEC17	295.450	294.800		325.00DR
02OCT17	4 DEC17	295.350	294.800		550.00DR
02OCT17	3 DEC17	295.350	294.850		375.00DR
02OCT17	2 DEC17	295.350	294.650		350.00DR
02OCT17	5 DEC17	295.350	294.450		1,125.00DR
02OCT17	1 DEC17	295.350	294.300		262.50DR
02OCT17	1 DEC17	295.350	294.300		262.50DR
02OCT17	1 DEC17	295.350	294.350		250.00DR
02OCT17	3 DEC17	295.250	294.350		675.00DR
02OCT17	4 DEC17	295.250	294.400		850.00DR
02OCT17	1 DEC17	295.350	294.400		237.50DR
02OCT17	2 DEC17	295.350	294.450		450.00DR
02OCT17	2 DEC17	295.350	294.300		525.00DR
02OCT17	2 DEC17	295.450	294.300		575.00DR
02OCT17	2 DEC17	295.550	294.250		650.00DR
02OCT17	2 DEC17	295.500	294.250		625.00DR
02OCT17	1 DEC17	295.400	294.250		287.50DR
02OCT17	2 DEC17	295.400	295.450		25.00CR
02OCT17	1 DEC17	295.400	295.250		37.50DR
02OCT17	1 DEC17	295.300	295.250		12.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097R

2nd October 2017

COB GLOBAL

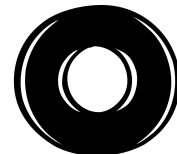
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 DEC17	295.300	295.500		50.00CR
02OCT17	1 DEC17	295.200	295.500		75.00CR
02OCT17	1 DEC17	295.200	295.450		62.50CR
02OCT17	1 DEC17	295.150	295.450		75.00CR
02OCT17	2 DEC17	295.150	291.900		1,625.00DR
02OCT17	1 DEC17	295.300	291.900		850.00DR
02OCT17	1 DEC17	295.200	291.900		825.00DR
02OCT17	1 DEC17	295.300	291.900		850.00DR
02OCT17	2 DEC17	295.200	291.900		1,650.00DR
02OCT17	1 DEC17	295.600	291.900		925.00DR
02OCT17	5 DEC17	295.600	291.950		4,562.50DR
02OCT17	3 DEC17	295.600	292.000		2,700.00DR
02OCT17	6 DEC17	295.500	292.000		5,250.00DR
TOTALS					
BROUGHT FORWARD BALANCE					1,175,663.86CR
SETTLEMENTS					
CMX Copper Futures					9,212.50DR
COMMISSIONS					1,641.04DR
CARRIED FORWARD BALANCE					1,164,810.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB097

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,263,843.59CR
	SETTLEMENTS		
	CMX Copper Futures		14,400.00CR
	COMMISSIONS		2,048.34DR
	CARRIED FORWARD BALANCE		6,276,195.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118B

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	S 6 040CT17 IFC UD		6446.25	16.20DR	
020CT17	B 6 08DEC17 IFC UD	6485.45		16.20DR	
	Commission				32.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				530,010.30DR
	COMMISSIONS				32.40DR
	CARRIED FORWARD BALANCE				530,042.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118I

2nd October 2017

COB GLOBAL

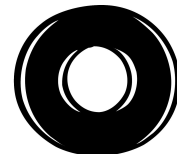
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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	S 6 040CT17 IFC UD		6446.25	16.20DR	
020CT17	B 6 10NOV17 IFC UD	6473.91		16.20DR	
	Commission				32.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				393,572.06DR
	COMMISSIONS				32.40DR
	CARRIED FORWARD BALANCE				393,604.46DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB118

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		101,673.59CR
	COMMISSIONS		64.80DR
	CARRIED FORWARD BALANCE		101,608.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

2nd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
020CT17	B	1	02JAN18	TTR	EL	6532.00		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6517.50		4.75DR	
020CT17	B	2	02JAN18	TTR	EL	6525.00		9.50DR	
020CT17	B	2	02JAN18	TTR	EL	6518.50		9.50DR	
020CT17	B	2	02JAN18	TTR	EL	6516.50		9.50DR	
020CT17	B	1	02JAN18	TTR	EL	6520.50		4.75DR	
020CT17	B	12	02JAN18	TTR	EL	6526.50		57.00DR	
020CT17	B	2	02JAN18	TTR	EL	6523.50		9.50DR	
020CT17	B	5	02JAN18	TTR	EL	6524.50		23.75DR	
020CT17	B	5	02JAN18	TTR	EL	6526.00		23.75DR	
020CT17	B	5	02JAN18	TTR	EL	6525.50		23.75DR	
020CT17	B	3	02JAN18	TTR	EL	6495.00		14.25DR	
020CT17	B	3	02JAN18	TTR	EL	6493.50		14.25DR	
020CT17	B	3	02JAN18	TTR	EL	6492.50		14.25DR	
020CT17	B	1	02JAN18	TTR	EL	6493.00		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6496.00		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6496.50		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6497.00		4.75DR	
020CT17	B	2	02JAN18	TTR	EL	6498.00		9.50DR	
020CT17	B	2	02JAN18	TTR	EL	6501.50		9.50DR	
020CT17	B	1	02JAN18	TTR	EL	6500.00		4.75DR	
020CT17	S	8	02JAN18	TTR	EL		6499.00	38.00DR	
020CT17	B	1	02JAN18	DMA	EL	6525.50		4.75DR	
020CT17	B	1	02JAN18	DMA	EL	6525.00		4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6484.50	4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6487.00	4.75DR	
020CT17	S	2	02JAN18	TTR	EL		6486.00	9.50DR	
020CT17	S	4	02JAN18	TTR	EL		6490.00	19.00DR	
020CT17	S	2	02JAN18	TTR	EL		6489.00	9.50DR	
020CT17	B	1	02JAN18	TTR	EL	6490.50		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6486.00		4.75DR	
020CT17	B	9	02JAN18	TTR	EL	6485.50		42.75DR	
020CT17	B	1	02JAN18	TTR	EL	6484.50		4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6465.50	4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6470.00		4.75DR	
020CT17	B	1	02JAN18	TTR	EL	6471.00		4.75DR	
020CT17	S	2	02JAN18	TTR	EL		6488.00	9.50DR	
020CT17	S	1	02JAN18	TTR	EL		6490.50	4.75DR	
020CT17	S	2	02JAN18	TTR	EL		6491.50	9.50DR	
020CT17	S	1	02JAN18	TTR	EL		6492.50	4.75DR	
020CT17	S	4	02JAN18	TTR	EL		6492.00	19.00DR	
020CT17	S	2	02JAN18	TTR	EL		6493.00	9.50DR	
020CT17	S	1	02JAN18	TTR	EL		6496.00	4.75DR	
020CT17	B	2	02JAN18	TTR	EL	6503.00		9.50DR	
020CT17	B	1	02JAN18	TTR	EL	6500.50		4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6500.00	4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6498.00	4.75DR	
020CT17	S	1	02JAN18	TTR	EL		6500.50	4.75DR	
020CT17	S	8	02JAN18	TTR	EL		6495.50	38.00DR	
020CT17	S	2	02JAN18	TTR	EL		6496.50	9.50DR	
020CT17	S	1	02JAN18	DMA	EL		6488.00	4.75DR	
020CT17	B	1	02JAN18	DMA	EL	6500.50		4.75DR	
	CMX Copper 25,000 CMX								
020CT17	S	2	DEC17	TTR	GX		296.950	4.80DR	
020CT17	B	1	DEC17	TTR	GX	296.700		2.40DR	
020CT17	S	6	DEC17	TTR	GX		296.600	14.40DR	
020CT17	S	18	DEC17	TTR	GX		296.700	43.20DR	
020CT17	S	39	DEC17	TTR	GX		296.650	93.60DR	
020CT17	S	6	DEC17	TTR	GX		296.550	14.40DR	
020CT17	S	2	DEC17	TTR	GX		296.500	4.80DR	
020CT17	S	4	DEC17	TTR	GX		296.300	9.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	S	10	DEC17	TTR	GX		296.250	24.00DR	
02OCT17	S	1	DEC17	TTR	GX		296.350	2.40DR	
02OCT17	S	1	DEC17	TTR	GX		296.400	2.40DR	
02OCT17	S	11	DEC17	TTR	GX		295.200	26.40DR	
02OCT17	S	7	DEC17	TTR	GX		295.250	16.80DR	
02OCT17	S	6	DEC17	TTR	GX		295.300	14.40DR	
02OCT17	S	4	DEC17	TTR	GX		295.350	9.60DR	
02OCT17	S	6	DEC17	TTR	GX		295.400	14.40DR	
02OCT17	S	2	DEC17	TTR	GX		295.500	4.80DR	
02OCT17	B	15	DEC17	TTR	GX	295.400		36.00DR	
02OCT17	B	2	DEC17	TTR	GX	294.750		4.80DR	
02OCT17	B	6	DEC17	TTR	GX	294.800		14.40DR	
02OCT17	B	3	DEC17	TTR	GX	294.850		7.20DR	
02OCT17	S	2	DEC17	TTR	GX		295.050	4.80DR	
02OCT17	S	14	DEC17	TTR	GX		294.850	33.60DR	
02OCT17	S	10	DEC17	TTR	GX		294.800	24.00DR	
02OCT17	B	2	DEC17	TTR	GX	293.900		4.80DR	
02OCT17	S	2	DEC17	TTR	GX		294.150	4.80DR	
02OCT17	S	2	DEC17	TTR	GX		294.200	4.80DR	
02OCT17	B	5	DEC17	TTR	GX	294.900		12.00DR	
02OCT17	B	9	DEC17	TTR	GX	294.950		21.60DR	
02OCT17	B	4	DEC17	TTR	GX	295.050		9.60DR	
02OCT17	B	8	DEC17	TTR	GX	295.100		19.20DR	
02OCT17	B	9	DEC17	TTR	GX	295.000		21.60DR	
02OCT17	B	2	DEC17	TTR	GX	295.150		4.80DR	
02OCT17	B	1	DEC17	TTR	GX	295.300		2.40DR	
02OCT17	S	2	DEC17	TTR	GX		295.550	4.80DR	
02OCT17	S	2	DEC17	TTR	GX		295.600	4.80DR	
02OCT17	S	7	DEC17	TTR	GX		295.450	16.80DR	
02OCT17	B	4	DEC17	TTR	GX	295.450		9.60DR	
02OCT17	B	2	DEC17	TTR	GX	295.350		4.80DR	
02OCT17	B	3	DEC17	TTR	GX	295.550		7.20DR	
02OCT17	B	12	DEC17	TTR	GX	295.200		28.80DR	
02OCT17	B	12	DEC17	TTR	GX	295.250		28.80DR	
	Commission								1,217.90DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
02OCT17		1	DEC17			296.700	296.950		62.50CR
02OCT17		1	DEC17			295.400	296.950		387.50CR
02OCT17		8	DEC17			295.400	296.650		2,500.00CR
02OCT17		3	DEC17			295.400	296.700		975.00CR
02OCT17		2	DEC17			295.450	296.700		625.00CR
02OCT17		3	DEC17			295.400	296.700		975.00CR
02OCT17		2	DEC17			294.750	296.700		975.00CR
02OCT17		3	DEC17			294.800	296.700		1,425.00CR
02OCT17		1	DEC17			294.800	296.650		462.50CR
02OCT17		1	DEC17			294.800	296.650		462.50CR
02OCT17		1	DEC17			294.800	296.650		462.50CR
02OCT17		2	DEC17			295.050	296.650		800.00CR
02OCT17		1	DEC17			294.900	296.650		437.50CR
02OCT17		2	DEC17			294.950	296.650		850.00CR
02OCT17		1	DEC17			295.000	296.650		412.50CR
02OCT17		1	DEC17			294.950	296.650		425.00CR
02OCT17		1	DEC17			295.000	296.700		425.00CR
02OCT17		1	DEC17			295.000	296.600		400.00CR
02OCT17		4	DEC17			294.950	296.600		1,650.00CR
02OCT17		1	DEC17			294.850	296.600		437.50CR
02OCT17		2	DEC17			294.850	296.550		850.00CR
02OCT17		2	DEC17			293.900	296.550		1,325.00CR
02OCT17		1	DEC17			294.900	296.550		412.50CR
02OCT17		1	DEC17			294.900	296.650		437.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	2 DEC17	294.900	296.650		875.00CR
02OCT17	1 DEC17	294.950	296.650		425.00CR
02OCT17	1 DEC17	294.950	296.650		425.00CR
02OCT17	2 DEC17	295.050	296.650		800.00CR
02OCT17	2 DEC17	295.000	296.650		825.00CR
02OCT17	6 DEC17	295.100	296.650		2,325.00CR
02OCT17	2 DEC17	295.100	296.700		800.00CR
02OCT17	2 DEC17	295.000	296.700		850.00CR
02OCT17	2 DEC17	295.000	296.650		825.00CR
02OCT17	2 DEC17	295.150	296.650		750.00CR
02OCT17	1 DEC17	295.250	296.650		350.00CR
02OCT17	1 DEC17	295.300	296.650		337.50CR
02OCT17	1 DEC17	295.450	296.550		275.00CR
02OCT17	1 DEC17	295.450	296.500		262.50CR
02OCT17	1 DEC17	295.250	296.500		312.50CR
02OCT17	2 DEC17	295.350	296.250		450.00CR
02OCT17	2 DEC17	295.550	296.250		350.00CR
02OCT17	1 DEC17	295.550	296.250		175.00CR
02OCT17	1 DEC17	295.250	296.250		250.00CR
02OCT17	2 DEC17	295.200	296.300		550.00CR
02OCT17	1 DEC17	295.250	296.300		262.50CR
02OCT17	1 DEC17	295.200	296.300		275.00CR
02OCT17	2 DEC17	295.250	296.250		500.00CR
02OCT17	1 DEC17	295.250	296.250		250.00CR
02OCT17	1 DEC17	295.200	296.250		262.50CR
02OCT17	1 DEC17	295.200	296.350		287.50CR
02OCT17	1 DEC17	295.200	296.400		300.00CR
02OCT17	2 DEC17	295.200	295.300		50.00CR
02OCT17	2 DEC17	295.200	295.300		50.00CR
02OCT17	2 DEC17	295.200	295.250		25.00CR
02OCT17	3 DEC17	295.250	295.200		37.50DR
02OCT17	1 DEC17	295.250	295.250		0.00
02OCT17	1 DEC17	295.250	295.200		12.50DR
TOTALS					
BROUGHT FORWARD BALANCE					1,253,465.05CR
SETTLEMENTS					
CMX Copper Futures					32,600.00CR
COMMISSIONS					1,217.90DR
CARRIED FORWARD BALANCE					1,284,847.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
02OCT17	B 1 02JAN18 TTR EL	6525.00		4.75DR	
02OCT17	B 4 02JAN18 TTR EL	6520.00		19.00DR	
02OCT17	B 2 02JAN18 TTR EL	6475.50		9.50DR	
02OCT17	B 3 02JAN18 TTR EL	6474.50		14.25DR	
02OCT17	S 1 02JAN18 TTR EL		6500.00	4.75DR	
02OCT17	S 1 02JAN18 TTR EL		6494.00	4.75DR	
02OCT17	S 2 02JAN18 TTR EL		6495.00	9.50DR	
02OCT17	S 1 02JAN18 TTR EL		6496.50	4.75DR	
	CMX Copper 25,000 CMX				
02OCT17	S 2 DEC17 TTR GX		296.600	4.80DR	
02OCT17	S 3 DEC17 TTR GX		296.400	7.20DR	
02OCT17	S 5 DEC17 TTR GX		296.350	12.00DR	
02OCT17	S 1 DEC17 TTR GX		296.300	2.40DR	
02OCT17	S 8 DEC17 TTR GX		294.400	19.20DR	
02OCT17	S 3 DEC17 TTR GX		294.350	7.20DR	
02OCT17	B 2 DEC17 TTR GX	295.400		4.80DR	
02OCT17	B 4 DEC17 TTR GX	295.200		9.60DR	
02OCT17	B 2 DEC17 TTR GX	295.250		4.80DR	
02OCT17	B 3 DEC17 TTR GX	295.300		7.20DR	
	Commission				150.45DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
02OCT17	2 DEC17	295.400	296.600		600.00CR
02OCT17	1 DEC17	295.200	296.400		300.00CR
02OCT17	1 DEC17	295.200	296.350		287.50CR
02OCT17	1 DEC17	295.200	296.400		300.00CR
02OCT17	1 DEC17	295.200	296.350		287.50CR
02OCT17	1 DEC17	295.250	296.400		287.50CR
02OCT17	2 DEC17	295.300	296.350		525.00CR
02OCT17	1 DEC17	295.250	296.350		275.00CR
02OCT17	1 DEC17	295.300	296.300		250.00CR
02OCT17	1 DEC17	295.500	294.400		275.00DR
02OCT17	1 DEC17	295.500	294.400		275.00DR
02OCT17	2 DEC17	295.500	294.400		550.00DR
02OCT17	2 DEC17	295.250	294.400		425.00DR
02OCT17	1 DEC17	295.200	294.400		200.00DR
02OCT17	1 DEC17	295.150	294.400		187.50DR
02OCT17	1 DEC17	295.200	294.350		212.50DR
02OCT17	2 DEC17	295.200	294.350		425.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				32,254.55CR
	SETTLEMENTS				
	CMX Copper Futures				562.50CR
	COMMISSIONS				150.45DR
	CARRIED FORWARD BALANCE				32,666.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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2nd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
02OCT17	S 1 02JAN18 TTR EL		6504.50	4.75DR	
02OCT17	S 4 02JAN18 TTR EL		6504.00	19.00DR	
02OCT17	S 1 02JAN18 TTR EL		6501.00	4.75DR	
02OCT17	S 3 02JAN18 TTR EL		6500.50	14.25DR	
02OCT17	S 1 02JAN18 TTR EL		6500.00	4.75DR	
	CMX Copper 25,000 CMX				
02OCT17	B 11 DEC17 TTR GX	295.750		26.40DR	
02OCT17	B 11 DEC17 TTR GX	295.600		26.40DR	
	Commission				100.30DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
02OCT17	1 DEC17	295.750	315.050		4,825.00CR
02OCT17	1 DEC17	295.750	315.050		4,825.00CR
02OCT17	2 DEC17	295.750	315.600		9,925.00CR
02OCT17	2 DEC17	295.750	315.150		9,700.00CR
02OCT17	2 DEC17	295.750	315.000		9,625.00CR
02OCT17	3 DEC17	295.750	315.050		14,475.00CR
02OCT17	2 DEC17	295.600	307.200		5,800.00CR
02OCT17	2 DEC17	295.600	307.150		5,775.00CR
02OCT17	1 DEC17	295.600	307.050		2,862.50CR
02OCT17	1 DEC17	295.600	307.050		2,862.50CR
02OCT17	2 DEC17	295.600	307.150		5,775.00CR
02OCT17	2 DEC17	295.600	307.050		5,725.00CR
02OCT17	1 DEC17	295.600	307.050		2,862.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				178,012.00CR
	SETTLEMENTS				
	CMX Copper Futures				85,037.50CR
	COMMISSIONS				100.30DR
	CARRIED FORWARD BALANCE				262,949.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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2nd Oct 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,463,731.60CR
	SETTLEMENTS		
	CMX Copper Futures		118,200.00CR
	COMMISSIONS		1,468.65DR
	CARRIED FORWARD BALANCE		1,580,462.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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SAP0001

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	<u>INTEREST</u> interest Aug17				24,514.21DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,041,957.40CR
	INTEREST				24,514.21DR
	CARRIED FORWARD BALANCE				4,017,443.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	<u>BUSINESS DONE TODAY</u> CME Sterling 62,500 CME B 5 DEC17 Commission GX	1.33440		0.75DR	0.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 98,872.73CR COMMISSIONS 0.75DR CARRIED FORWARD BALANCE 98,871.98CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
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5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH
2nd October 2017
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
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available from us on request.

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02 OCT 17	<u>CASH PAYMENTS</u> CASH PAYMENT				498,000.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,042,895.02CR
	CASH PAYMENTS/RECEIPTS				498,000.00DR
	CARRIED FORWARD BALANCE				4,544,895.02CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0001

2nd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		9,185,708.96CR
	CASH PAYMENTS/RECEIPTS		498,000.00DR
	INTEREST		24,514.21DR
	COMMISSIONS		0.75DR
	CARRIED FORWARD BALANCE		8,663,194.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011I
2nd October 2017
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
020CT17	S	5	120CT17	IFC	UD		6455.92	13.50DR	
020CT17	S	5	170CT17	IFC	UD		6460.75	13.50DR	
020CT17	S	5	200CT17	IFC	UD		6462.25	13.50DR	
020CT17	S	5	250CT17	IFC	UD		6465.79	13.50DR	
020CT17	S	5	08NOV17	IFC	UD		6473.00	13.50DR	
020CT17	B	5	14NOV17	IFC	UD	6475.34		13.50DR	
020CT17	S	5	16NOV17	IFC	UD		6475.00	13.50DR	
020CT17	B	3	17NOV17	IFC	UD	6475.75		8.10DR	
020CT17	B	5	29NOV17	IFC	UD	6482.35		13.50DR	
020CT17	B	2	30NOV17	IFC	UD	6482.90		5.40DR	
020CT17	B	5	08DEC17	IFC	UD	6485.45		13.50DR	
020CT17	B	10	15DEC17	IFC	UD	6488.37		27.00DR	
	Commission								162.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								253,050.95DR
	COMMISSIONS								162.00DR
	CARRIED FORWARD BALANCE								253,212.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
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SAPG0011

2nd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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Conduct Authority and limited regulation by the
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,145,263.78CR
	COMMISSIONS		162.00DR
	CARRIED FORWARD BALANCE		1,145,101.78CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0023C

2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
020CT17	S 1 NOV17 55.00 Call GX		0.14	2.35DR	140.00CR
020CT17	B 1 DEC17 55.00 Call GX	0.61		2.35DR	610.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
020CT17	B 1 JAN18 GX	3.23200		2.35DR	
020CT17	S 1 MAY18 GX		2.87400	2.35DR	
	Commission				9.40DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
020CT17	1 NOV17 55.00 Call	0.38	0.14		0.00
020CT17	1 DEC17 55.00 Call	0.61	0.96		0.00
	NYM Henry Hub Natural Gas 10,000 NYM				
020CT17	1 JAN18	3.23200	3.28400		520.00CR
020CT17	1 MAY18	2.90900	2.87400		350.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				94,450.88CR
	OPTION PREMIUMS				470.00DR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				170.00CR
	COMMISSIONS				9.40DR
	CARRIED FORWARD BALANCE				94,141.48CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0023

2nd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		143,688.39CR
	OPTION PREMIUMS		470.00DR
	SETTLEMENTS		
	NYM Henry Hub Natural Gas Futures		170.00CR
	COMMISSIONS		9.40DR
	CARRIED FORWARD BALANCE		143,378.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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2nd October 2017

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USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
020CT17	S	1	NOV17	53.00	Call	GX		0.49	2.10DR	490.00CR
020CT17	S	2	NOV17	47.00	Put	GX		0.08	4.20DR	160.00CR
020CT17	B	1	NOV17	55.00	Call	GX	0.15		2.10DR	150.00DR
020CT17	B	1	NOV17	52.00	Call	GX	0.39		2.10DR	390.00DR
020CT17	S	1	NOV17	53.00	Call	GX		0.20	2.10DR	200.00CR
020CT17	B	1	NOV17	52.00	Call	GX	0.36		2.10DR	360.00DR
020CT17	S	3	NOV17	53.00	Call	GX		0.19	6.30DR	570.00CR
020CT17	S	1	NOV17	55.00	Call	GX		0.06	2.10DR	60.00CR
020CT17	S	1	NOV17	53.00	Call	GX		0.23	2.10DR	230.00CR
020CT17	B	13	NOV17	56.50	Call	GX	0.03		27.30DR	390.00DR
020CT17	S	1	NOV17	53.00	Call	GX		0.25	2.10DR	250.00CR
020CT17	S	1	NOV17	54.50	Call	GX		0.10	2.10DR	100.00CR
020CT17	B	1	NOV17	54.00	Call	GX	0.14		2.10DR	140.00DR
020CT17	B	2	NOV17	54.00	Call	GX	0.13		4.20DR	260.00DR
020CT17	S	1	NOV17	54.50	Call	GX		0.09	2.10DR	90.00CR
	Commission									65.10DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
020CT17		1	NOV17	55.00	Call		0.15	0.06		0.00
020CT17		1	NOV17	53.00	Call		0.47	0.49		0.00
020CT17		1	NOV17	53.00	Call		0.47	0.20		0.00
020CT17		1	NOV17	53.00	Call		0.47	0.19		0.00
020CT17		1	NOV17	53.00	Call		0.47	0.19		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.11		0.00
020CT17		3	NOV17	56.50	Call		0.03	0.11		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.20		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
020CT17		1	NOV17	56.50	Call		0.03	0.13		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									1,568.30CR
	OPTION PREMIUMS									460.00CR
	COMMISSIONS									65.10DR
	CARRIED FORWARD BALANCE									1,963.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
020CT17	S	3	NOV17	56.00	Call	GX		0.05	6.81DR	150.00CR
020CT17	S	1	NOV17	50.00	Put	GX		0.81	2.27DR	810.00CR
020CT17	B	3	NOV17	47.00	Put	GX	0.16		6.81DR	480.00DR
020CT17	B	23	NOV17	59.00	Call	GX	0.01		52.21DR	230.00DR
020CT17	S	1	NOV17	53.00	Call	GX		0.20	2.27DR	200.00CR
020CT17	S	4	NOV17	45.00	Put	GX		0.05	9.08DR	200.00CR
020CT17	S	2	NOV17	50.00	Put	GX		0.84	4.54DR	1,680.00CR
020CT17	B	2	NOV17	48.00	Put	GX	0.27		4.54DR	540.00DR
020CT17	B	1	NOV17	49.00	Put	GX	0.48		2.27DR	480.00DR
020CT17	B	1	NOV17	49.00	Put	GX	0.46		2.27DR	460.00DR
020CT17	S	7	NOV17	56.00	Call	GX		0.04	15.89DR	280.00CR
020CT17	B	1	NOV17	53.00	Call	GX	0.25		2.27DR	250.00DR
	Commission									111.23DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
020CT17		1	NOV17	53.00	Call		0.25	0.20		0.00
020CT17		12	NOV17	59.00	Call		0.01	0.06		0.00
020CT17		3	NOV17	59.00	Call		0.01	0.07		0.00
020CT17		4	NOV17	59.00	Call		0.01	0.07		0.00
020CT17		1	NOV17	59.00	Call		0.01	0.06		0.00
020CT17		3	NOV17	59.00	Call		0.01	0.06		0.00
020CT17		1	NOV17	50.00	Put		0.32	0.81		0.00
020CT17		1	NOV17	50.00	Put		0.36	0.84		0.00
020CT17		1	NOV17	50.00	Put		0.33	0.84		0.00
020CT17		2	NOV17	47.00	Put		0.16	0.10		0.00
020CT17		1	NOV17	47.00	Put		0.16	0.10		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									3,147.10CR
	OPTION PREMIUMS									880.00CR
	COMMISSIONS									111.23DR
	CARRIED FORWARD BALANCE									3,915.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
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SAPG0025

2nd Oct 2017

COB Global

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of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		526,706.00CR
	OPTION PREMIUMS		1,340.00CR
	COMMISSIONS		176.33DR
	CARRIED FORWARD BALANCE		527,869.67CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
02OCT17	S 6 OCT17 EL		9864.00	8.40DR	
02OCT17	S 1 OCT17 EL		9862.00	1.40DR	
02OCT17	S 2 OCT17 EL		9863.00	2.80DR	
02OCT17	S 1 OCT17 EL		9861.00	1.40DR	
02OCT17	S 2 OCT17 EL		9861.50	2.80DR	
02OCT17	S 1 OCT17 EL		9860.50	1.40DR	
02OCT17	S 6 OCT17 EL		9860.00	8.40DR	
02OCT17	S 5 OCT17 EL		9859.50	7.00DR	
02OCT17	S 6 OCT17 EL		9859.00	8.40DR	
02OCT17	S 10 OCT17 EL		9869.50	14.00DR	
02OCT17	S 2 OCT17 EL		9873.50	2.80DR	
02OCT17	B 10 OCT17 EL	9832.00		14.00DR	
02OCT17	B 20 OCT17 EL	9832.50		28.00DR	
02OCT17	B 10 OCT17 EL	9830.50		14.00DR	
	NYM Heating Oil 42,000 NYM				
02OCT17	B 1 NOV17 TTR II	176.46		1.22DR	
	Commission				116.02DR
	<u>SETTLEMENTS</u>				
	CNX Nifty (India) Index 2 SIM				
02OCT17	5 OCT17	9830.50	9864.00		335.00CR
02OCT17	1 OCT17	9830.50	9863.00		65.00CR
02OCT17	1 OCT17	9830.50	9864.00		67.00CR
02OCT17	1 OCT17	9830.50	9862.00		63.00CR
02OCT17	1 OCT17	9832.00	9863.00		62.00CR
02OCT17	1 OCT17	9832.00	9861.50		59.00CR
02OCT17	1 OCT17	9832.00	9861.00		58.00CR
02OCT17	1 OCT17	9832.00	9861.50		59.00CR
02OCT17	1 OCT17	9832.00	9860.50		57.00CR
02OCT17	5 OCT17	9832.00	9860.00		280.00CR
02OCT17	1 OCT17	9832.50	9860.00		55.00CR
02OCT17	5 OCT17	9832.50	9859.50		270.00CR
02OCT17	6 OCT17	9832.50	9859.00		318.00CR
02OCT17	8 OCT17	9832.50	9869.50		592.00CR
02OCT17	2 OCT17	9830.50	9869.50		156.00CR
	NYM Heating Oil 42,000 NYM				
02OCT17	1 NOV17	176.46	177.52		445.20CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				58,333.73CR
	SETTLEMENTS				
	NYM Heating Oil Futures				445.20CR
	CNX Nifty (India) Index Futures				2,496.00CR
	COMMISSIONS				116.02DR
	CARRIED FORWARD BALANCE				61,158.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034G

2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT Mini-Sized Dow 5 CBT				
020CT17	S 1 DEC17 II		22421.00	1.50DR	
020CT17	S 1 DEC17 II		22425.00	1.50DR	
	CME E-Mini S&P 500 50 CME				
020CT17	B 5 DEC17 II	2522.25		7.90DR	
020CT17	B 1 DEC17 II	2523.75		1.58DR	
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
020CT17	B 2 NOV17 155.0000 Call II	0.3000		3.00DR	937.50DR
020CT17	S 2 NOV17 154.0000 Call II		0.4700	3.00DR	1,468.76CR
020CT17	S 1 NOV17 154.0000 Call II		0.4600	1.50DR	718.75CR
020CT17	S 2 DEC17 II		152.2100	3.00DR	
020CT17	S 1 DEC17 II		152.1800	1.50DR	
	Commission				24.48DR
	<u>SETTLEMENTS</u>				
	CME E-Mini S&P 500 50 CME				
020CT17	4 DEC17	2522.25	2486.00		7,250.00DR
020CT17	1 DEC17	2522.25	2489.50		1,637.50DR
020CT17	1 DEC17	2523.75	2489.50		1,712.50DR
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
020CT17	2 NOV17 155.0000 Call	0.3000	0.5600		0.00
020CT17	1 DEC17	154.3000	152.2100		2,281.25DR
020CT17	1 DEC17	155.0300	152.2100		2,437.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,297,372.62CR
	OPTION PREMIUMS				1,250.01CR
	SETTLEMENTS				
	CME E-Mini S&P 500 Futures				10,600.00DR
	CBT 30 Year Us Treasury Bonds Futures				4,718.75DR
	COMMISSIONS				24.48DR
	CARRIED FORWARD BALANCE				1,283,279.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0034L 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Eurodollar 2,500 CME				
020CT17	S 25 OCT17 98.5000 Put CQG II		0.0100	17.75DR	625.00CR
020CT17	B 5 DEC18 CQG II	98.0950		3.55DR	
020CT17	S 5 DEC18 CQG II		98.1050	3.55DR	
020CT17	B 20 DEC18 CQG II	98.1100		14.20DR	
020CT17	S 20 DEC18 CQG II		98.1200	14.20DR	
	Commission				53.25DR
	<u>SETTLEMENTS</u>				
	CME Eurodollar 2,500 CME				
020CT17	5 DEC18	98.1100	98.1050		62.50DR
020CT17	4 DEC18	98.1100	98.1200		100.00CR
020CT17	1 DEC18	98.1100	98.1200		25.00CR
020CT17	5 DEC18	98.0950	98.1200		312.50CR
020CT17	4 DEC18	98.1100	98.1200		100.00CR
020CT17	6 DEC18	98.1100	98.1200		150.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				96,442.68DR
	OPTION PREMIUMS				625.00CR
	SETTLEMENTS				
	CME Eurodollar Futures				625.00CR
	COMMISSIONS				53.25DR
	CARRIED FORWARD BALANCE				95,245.93DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034Q

2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
02OCT17	B 20 OCT17 EL	9831.00		34.60DR	
02OCT17	B 10 OCT17 EL	9831.50		17.30DR	
	IEU Gas Oil 100 IPE				
02OCT17	B 1 NOV17 TTR EL	527.500		1.57DR	
	IEU Brent Crude 1,000 IPE				
02OCT17	S 2 DEC17 TTR EL		55.68	3.14DR	
	CBT 2 Year Treasury Notes 2,000 CBT				
02OCT17	B 10 DEC17 TTR II	107.2600		15.00DR	
02OCT17	B 1 DEC17 TTR II	107.2525		1.50DR	
02OCT17	S 1 DEC17 TTR II		107.2600	1.50DR	
02OCT17	S 10 DEC17 TTR II		107.2675	15.00DR	
	Commission				89.61DR
	<u>SETTLEMENTS</u>				
	CNX Nifty (India) Index 2 SIM				
02OCT17	4 OCT17	9831.00	9850.50		156.00CR
02OCT17	2 OCT17	9831.00	9755.00		304.00DR
02OCT17	6 OCT17	9831.00	9754.00		924.00DR
	IEU Gas Oil 100 IPE				
02OCT17	1 NOV17	527.500	523.750		375.00DR
	IEU Brent Crude 1,000 IPE				
02OCT17	1 DEC17	55.29	55.68		390.00CR
02OCT17	1 DEC17	55.29	55.68		390.00CR
	CBT 2 Year Treasury Notes 2,000 CBT				
02OCT17	1 DEC17	107.2600	107.2600		0.00
02OCT17	9 DEC17	107.2600	107.2675		421.92CR
02OCT17	1 DEC17	107.2525	107.2675		93.75CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,198,552.14DR
	SETTLEMENTS				
	IEU Brent Crude Futures				780.00CR
	CBT 2 Year Treasury Notes Futures				515.67CR
	IEU Gas Oil Futures				375.00DR
	CNX Nifty (India) Index Futures				1,072.00DR
	COMMISSIONS				89.61DR
	CARRIED FORWARD BALANCE				1,198,793.08DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0034
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SAPG0034

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		726,094.46CR
	OPTION PREMIUMS		1,875.01CR
	SETTLEMENTS		
	CBT 2 Year Treasury Notes Futures		515.67CR
	CBT 30 Year Us Treasury Bonds Futures		4,718.75DR
	CME E-Mini S&P 500 Futures		10,600.00DR
	CME Eurodollar Futures		625.00CR
	CNX Nifty (India) Index Futures		1,424.00CR
	IEU Brent Crude Futures		780.00CR
	IEU Gas Oil Futures		375.00DR
	NYM Heating Oil Futures		445.20CR
	COMMISSIONS		283.36DR
	CARRIED FORWARD BALANCE		715,782.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038B

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
02OCT17	CMX Gold 100 CMX				
	B 4 DEC17 TTR GX 1276.6			9.20DR	
02OCT17	CME Japanese Yen 12,500,000 CME				
	S 4 DEC17 TTR GX 88.965			9.00DR	
	Commission				18.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				115,782.50DR
	COMMISSIONS				18.20DR
	CARRIED FORWARD BALANCE				115,800.70DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
020CT17	B 2 JUN19 TTR EL 50.23			3.36DR	
020CT17	B 2 JUN19 TTR EL 50.24			3.36DR	
020CT17	B 1 JUN19 TTR EL 50.20			1.68DR	
020CT17	S 2 DEC19 TTR EL 50.04			3.36DR	
020CT17	S 2 DEC19 TTR EL 50.08			3.36DR	
020CT17	S 1 DEC19 TTR EL 50.06			1.68DR	
	IEU Brent Crude 1,000 IPE				
020CT17	S 2 JUN19 TTR EL 54.40			3.36DR	
020CT17	S 3 JUN19 TTR EL 54.37			5.04DR	
020CT17	B 2 DEC19 TTR EL 54.19			3.36DR	
020CT17	B 2 DEC19 TTR EL 54.23			3.36DR	
020CT17	B 1 DEC19 TTR EL 54.22			1.68DR	
	Commission				33.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				61,577.89CR
	COMMISSIONS				33.60DR
	CARRIED FORWARD BALANCE				61,544.29CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038
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SAPG0038

2nd Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		184,511.14CR
	COMMISSIONS		51.80DR
	CARRIED FORWARD BALANCE		184,459.34CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0054K

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
020CT17	B 1 06DEC17 IFC UD 2093.75			2.70DR	
020CT17	S 1 07DEC17 IFC UD		2093.84	2.70DR	
020CT17	B 7 12DEC17 IFC UD	2095.46		18.90DR	
020CT17	S 3 13DEC17 IFC UD		2095.75	8.10DR	
020CT17	B 3 14DEC17 IFC UD	2096.24		8.10DR	
020CT17	S 2 18DEC17 IFC UD		2096.98	5.40DR	
020CT17	B 1 22DEC17 IFC UD	2098.25		2.70DR	
020CT17	S 6 27DEC17 IFC UD		2099.30	16.20DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
020CT17	B 2 06DEC17 IFC UD	2519.70		5.40DR	
020CT17	S 2 07DEC17 IFC UD		2519.56	5.40DR	
020CT17	B 3 13DEC17 IFC UD	2520.10		8.10DR	
020CT17	S 2 14DEC17 IFC UD		2520.16	5.40DR	
020CT17	B 2 15DEC17 IFC UD	2520.41		5.40DR	
020CT17	B 2 15DEC17 IFC UD	2520.21		5.40DR	
020CT17	S 3 18DEC17 IFC UD		2520.19	8.10DR	
020CT17	S 2 20DEC17 IFC UD		2520.30	5.40DR	
020CT17	B 1 22DEC17 IFC UD	2519.67		2.70DR	
020CT17	S 1 27DEC17 IFC UD		2519.05	2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
020CT17	S 2 22DEC17 IFC UD		10379.50	5.40DR	
020CT17	B 2 29DEC17 IFC UD	10387.18		5.40DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 1 06DEC17 IFC UD		3237.48	2.70DR	
020CT17	B 1 07DEC17 IFC UD	3237.75		2.70DR	
020CT17	S 2 12DEC17 IFC UD		3237.87	5.40DR	
020CT17	B 2 14DEC17 IFC UD	3238.20		5.40DR	
020CT17	S 6 15DEC17 IFC UD		3238.17	16.20DR	
020CT17	B 6 18DEC17 IFC UD	3238.87		16.20DR	
020CT17	B 2 18DEC17 IFC UD	3238.67		5.40DR	
020CT17	S 4 22DEC17 IFC UD		3236.00	10.80DR	
020CT17	S 2 22DEC17 IFC UD		3235.80	5.40DR	
020CT17	B 2 27DEC17 IFC UD	3236.20		5.40DR	
020CT17	B 2 29DEC17 IFC UD	3236.20		5.40DR	
	Commission				210.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				130,105.78CR
	COMMISSIONS				210.60DR
	CARRIED FORWARD BALANCE				129,895.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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2nd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		854,197.54CR
	COMMISSIONS		210.60DR
	CARRIED FORWARD BALANCE		853,986.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0088A 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
020CT17	CMX Copper 25,000 CMX				
	B 5 DEC17 GX 294.550			9.75DR	
020CT17	CME Euro FX 125,000 CME				
	B 5 DEC17 GX 1.17920			9.75DR	
020CT17	CME Sterling 62,500 CME				
	B 5 DEC17 GX 1.33400			9.75DR	
020CT17	S 5 DEC17 GX 1.33430		1.33430	9.75DR	
020CT17	B 5 DEC17 GX 1.33450			9.75DR	
	CMX Gold 100 CMX				
020CT17	B 5 DEC17 GX 1275.9			9.75DR	
020CT17	S 5 DEC17 GX 1278.7		1278.7	9.75DR	
	Commission				68.25DR
	<u>SETTLEMENTS</u>				
020CT17	CMX Copper 25,000 CMX				
	5 DEC17 294.550	298.300			4,687.50CR
020CT17	CME Sterling 62,500 CME				
	5 DEC17 1.33400	1.33430			93.75CR
020CT17	CMX Gold 100 CMX				
	5 DEC17 1275.9	1278.7			1,400.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				283,126.99CR
	SETTLEMENTS				
	CME Sterling Futures				93.75CR
	CMX Copper Futures				4,687.50CR
	CMX Gold Futures				1,400.00CR
	COMMISSIONS				68.25DR
	CARRIED FORWARD BALANCE				289,239.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
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SAPG0088

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		283,580.69CR
	SETTLEMENTS		
	CME Sterling Futures		93.75CR
	CMX Copper Futures		4,687.50CR
	CMX Gold Futures		1,400.00CR
	COMMISSIONS		68.25DR
	CARRIED FORWARD BALANCE		289,693.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0089B

2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
020CT17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 23 DEC17 TTR GX Commission		1272.4	50.60DR	50.60DR
020CT17	<u>SETTLEMENTS</u> CMX Gold 100 CMX 1 DEC17	1265.1	1272.4		730.00CR
020CT17	22 DEC17	1264.9	1272.4		16,500.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				323,896.18CR
	SETTLEMENTS				
	CMX Gold Futures				17,230.00CR
	COMMISSIONS				50.60DR
	CARRIED FORWARD BALANCE				341,075.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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2nd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		52,022.57CR
	SETTLEMENTS		
	CMX Gold Futures		17,230.00CR
	COMMISSIONS		50.60DR
	CARRIED FORWARD BALANCE		69,201.97CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094A

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 2 02NOV17 IFC UD		3242.00	5.40DR	
020CT17	B 2 29DEC17 IFC UD	3236.20		5.40DR	
	Commission				10.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				217,064.21CR
	COMMISSIONS				10.80DR
	CARRIED FORWARD BALANCE				217,053.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

2nd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 12 02NOV17 IFC UD		3242.00	32.40DR	
020CT17	B 4 28DEC17 IFC UD	3236.20		10.80DR	
020CT17	B 8 29DEC17 IFC UD	3236.20		21.60DR	
	Commission				64.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				516,429.17DR
	COMMISSIONS				64.80DR
	CARRIED FORWARD BALANCE				516,493.97DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094R 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
02OCT17	B 1 02JAN18 DMA EL 10370.00	10370.00		4.35DR	
02OCT17	B 1 02JAN18 DMA EL 10380.00	10380.00		4.35DR	
02OCT17	S 1 02JAN18 DMA EL		10460.00	4.35DR	
02OCT17	S 1 02JAN18 DMA EL		10450.00	4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
02OCT17	B 2 02JAN18 DMA EL 20755.00	20755.00		8.70DR	
	Commission				26.10DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				4,082.01DR
	COMMISSIONS				26.10DR
	CARRIED FORWARD BALANCE				4,108.11DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
14SEP17	<u>MATCH OUTS</u> LME Lead 25 tonnes. This is an LME registered client contract 2 020CT17	2282.93	2403.52		6,029.50CR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 1,174,786.50CR SETTLEMENTS LME Lead 25 tonnes. This is an Futures 6,029.50CR CARRIED FORWARD BALANCE 1,180,816.00CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AX

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 13 02NOV17 IFC UD		3242.00	35.10DR	
020CT17	B 3 15DEC17 IFC UD	3238.37		8.10DR	
020CT17	B 2 27DEC17 IFC UD	3236.20		5.40DR	
020CT17	B 8 29DEC17 IFC UD	3236.20		21.60DR	
	Commission				70.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,578.24DR
	COMMISSIONS				70.20DR
	CARRIED FORWARD BALANCE				3,648.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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2nd Oct 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		7,689,719.59CR
	SETTLEMENTS		
	LME Lead 25 tonnes. This is an Futures		6,029.50CR
	COMMISSIONS		171.90DR
	CARRIED FORWARD BALANCE		7,695,577.19CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

2nd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 2 05DEC17 IFC UD		3237.42	5.40DR	
020CT17	S 5 14DEC17 IFC UD		3238.00	13.50DR	
020CT17	S 3 21DEC17 IFC UD		3236.00	8.10DR	
020CT17	S 9 28DEC17 IFC UD		3236.00	24.30DR	
020CT17	B 19 29DEC17 IFC UD	3236.20		51.30DR	
	Commission				102.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				93,236.95CR
	COMMISSIONS				102.60DR
	CARRIED FORWARD BALANCE				93,134.35CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	6501.00		5.00DR	
020CT17	S 1 02JAN18 DMA EL		6482.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 2 02JAN18 DMA EL		3197.50	10.00DR	
020CT17	B 2 02JAN18 DMA EL	3211.00		10.00DR	
	Commission				30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,831.82DR
	COMMISSIONS				30.00DR
	CARRIED FORWARD BALANCE				13,861.82DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
02OCT17	S 1 02JAN18 DMA EL		2107.00	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
02OCT17	B 1 02JAN18 DMA EL	20715.00		5.00DR	
02OCT17	B 1 02JAN18 DMA EL	20670.00		5.00DR	
02OCT17	S 1 02JAN18 DMA EL		20700.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
02OCT17	B 1 02JAN18 DMA EL	3172.00		5.00DR	
	Commission				25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,088.76CR
	COMMISSIONS				25.00DR
	CARRIED FORWARD BALANCE				1,063.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPG0096

2nd Oct 2017

COB Global

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,369,073.16CR
	COMMISSIONS		157.60DR
	CARRIED FORWARD BALANCE		1,368,915.56CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0104A

2nd October 2017

COB GLOBAL

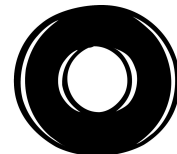
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
020CT17	S 2 DEC17 GX		1279.0	5.20DR	
020CT17	S 1 DEC17 GX		1279.1	2.60DR	
020CT17	S 1 DEC17 GX		1277.0	2.60DR	
020CT17	S 6 DEC17 GX		1278.1	15.60DR	
020CT17	S 1 DEC17 GX		1278.5	2.60DR	
020CT17	S 6 DEC17 GX		1278.2	15.60DR	
020CT17	S 1 DEC17 GX		1275.8	2.60DR	
020CT17	S 2 DEC17 GX		1273.9	5.20DR	
	Commission				52.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,948,895.87CR
	COMMISSIONS				52.00DR
	CARRIED FORWARD BALANCE				1,948,843.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
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SAPG0104

2nd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,947,922.00CR
	COMMISSIONS		52.00DR
	CARRIED FORWARD BALANCE		1,947,870.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
02OCT17	S 1 02JAN18 OBJ EL		2537.00	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
02OCT17	B 1 02JAN18 DMA EL	10340.00		4.30DR	
	Commission				8.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				994,047.13DR
	COMMISSIONS				8.60DR
	CARRIED FORWARD BALANCE				994,055.73DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112D

2nd October 2017

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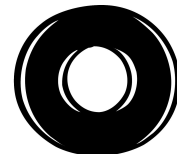
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
02OCT17	B 1 02JAN18 OBJ EL 2108.00	2108.00		4.30DR	
02OCT17	B 1 02JAN18 OBJ EL 2107.00	2107.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
02OCT17	B 2 02JAN18 OBJ EL 3166.00	3166.00		8.60DR	
	Commission				17.20DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				108,904.33DR
	COMMISSIONS				17.20DR
	CARRIED FORWARD BALANCE				108,921.53DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
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2nd Oct 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		375,370.57CR
	COMMISSIONS		25.80DR
	CARRIED FORWARD BALANCE		375,344.77CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II 114.7250			2.50DR	
020CT17	B 1 DEC17 CQG II 114.9000			2.50DR	
020CT17	S 1 DEC17 CQG II		113.5000	2.50DR	
020CT17	S 1 DEC17 CQG II		113.4000	2.50DR	
020CT17	S 1 FEB18 CQG II		118.1500	2.50DR	
020CT17	S 1 FEB18 CQG II		118.1250	2.50DR	
020CT17	S 1 FEB18 CQG II		118.5250	2.50DR	
020CT17	S 1 FEB18 CQG II		118.4750	2.50DR	
020CT17	B 2 FEB18 CQG II 117.2250			5.00DR	
020CT17	B 2 FEB18 CQG II 117.1500			5.00DR	
020CT17	B 1 APR18 CQG II 119.4500			2.50DR	
020CT17	B 1 APR18 CQG II 119.7000			2.50DR	
020CT17	S 1 APR18 CQG II		118.7750	2.50DR	
020CT17	S 1 APR18 CQG II		118.9500	2.50DR	
020CT17	S 1 APR18 CQG II		118.9000	2.50DR	
020CT17	B 2 JUN18 CQG II 111.7250			5.00DR	
020CT17	S 1 AUG18 CQG II		109.5750	2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 NOV17 CQG II 153.2500			2.50DR	
020CT17	S 1 NOV17 CQG II		151.6500	2.50DR	
020CT17	S 2 JAN18 CQG II		150.9500	5.00DR	
020CT17	B 1 JAN18 CQG II 149.4000			2.50DR	
020CT17	B 1 JAN18 CQG II 149.4500			2.50DR	
020CT17	B 1 MAR18 CQG II 148.4500			2.50DR	
020CT17	S 1 MAR18 CQG II		147.4500	2.50DR	
	IEU Gas Oil 100 IPE				
020CT17	B 2 JAN18 CQG EL 519.250			5.00DR	
020CT17	S 2 FEB18 CQG EL		517.000	5.00DR	
	CME Lean Hog 400 CME				
020CT17	B 1 DEC17 CQG II 62.5000			2.50DR	
020CT17	S 1 FEB18 CQG II		67.4250	2.50DR	
020CT17	S 1 FEB18 CQG II		67.4000	2.50DR	
020CT17	B 1 APR18 CQG II 71.8250			2.50DR	
020CT17	S 1 MAY18 CQG II		76.7750	2.50DR	
020CT17	B 1 JUN18 CQG II 79.6250			2.50DR	
020CT17	B 1 JUN18 CQG II 79.9500			2.50DR	
020CT17	S 2 JUL18 CQG II		80.3500	5.00DR	
020CT17	B 1 AUG18 CQG II 79.7500			2.50DR	
	Commission				105.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
020CT17	1 DEC17	114.7250	113.5000		490.00DR
020CT17	1 DEC17	114.9000	113.4000		600.00DR
020CT17	1 FEB18	117.2250	118.1500		370.00CR
020CT17	1 FEB18	117.2250	118.1250		360.00CR
020CT17	1 FEB18	117.1500	118.5250		550.00CR
020CT17	1 FEB18	117.1500	118.4750		530.00CR
020CT17	1 APR18	119.4500	118.7750		270.00DR
020CT17	1 APR18	119.7000	118.9500		300.00DR
	CME Feeder Cattle 500 CME				
020CT17	1 NOV17	153.2500	151.6500		800.00DR
020CT17	1 JAN18	149.4000	150.9500		775.00CR
020CT17	1 JAN18	149.4500	150.9500		750.00CR
020CT17	1 MAR18	148.4500	147.4500		500.00DR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				70.00CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				225.00CR
	CME Cattle Futures				150.00CR
	COMMISSIONS				105.00DR
	CARRIED FORWARD BALANCE				340.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119D

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Lean Hog 400 CME				
020CT17	S 1 JUN18 CQG II		79.8000	2.50DR	
020CT17	B 2 JUL18 CQG II	79.8500		5.00DR	
020CT17	S 1 AUG18 CQG II		79.0500	2.50DR	
	Commission				10.00DR
	<u>SETTLEMENTS</u>				
	CME Lean Hog 400 CME				
020CT17	1 JUN18	78.3750	79.8000		570.00CR
020CT17	2 JUL18	79.8500	78.4250		1,140.00DR
020CT17	1 AUG18	77.7500	79.0500		520.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,377.50DR
	SETTLEMENTS				
	CME Lean Hog Futures				50.00DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				5,437.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119E

2nd October 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II 114.7000			2.50DR	
020CT17	B 1 DEC17 CQG II 114.8250			2.50DR	
020CT17	B 1 DEC17 CQG II 115.1000			2.50DR	
020CT17	B 1 DEC17 CQG II 113.5500			2.50DR	
020CT17	S 1 DEC17 CQG II 113.4250		113.4250	2.50DR	
020CT17	S 1 DEC17 CQG II 113.3750		113.3750	2.50DR	
020CT17	S 2 FEB18 CQG II 118.0750		118.0750	5.00DR	
020CT17	S 2 FEB18 CQG II 118.4000		118.4000	5.00DR	
020CT17	S 1 FEB18 CQG II 118.7750		118.7750	2.50DR	
020CT17	S 1 FEB18 CQG II 117.2750		117.2750	2.50DR	
020CT17	B 2 FEB18 CQG II 117.2000			5.00DR	
020CT17	B 1 FEB18 CQG II 117.0750			2.50DR	
020CT17	B 1 APR18 CQG II 119.4000			2.50DR	
020CT17	B 1 APR18 CQG II 119.6750			2.50DR	
020CT17	S 1 APR18 CQG II 120.4000		120.4000	2.50DR	
020CT17	B 1 APR18 CQG II 120.4750			2.50DR	
020CT17	S 1 APR18 CQG II 118.9750		118.9750	2.50DR	
020CT17	B 1 JUN18 CQG II 113.4250			2.50DR	
020CT17	S 1 JUN18 CQG II 113.4750		113.4750	2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 NOV17 CQG II 153.3500			2.50DR	
020CT17	S 1 NOV17 CQG II 153.4750		153.4750	2.50DR	
020CT17	B 1 NOV17 CQG II 151.9000			2.50DR	
020CT17	S 2 NOV17 CQG II 151.7000		151.7000	5.00DR	
020CT17	S 1 JAN18 CQG II 151.0750		151.0750	2.50DR	
020CT17	B 1 JAN18 CQG II 151.0250			2.50DR	
020CT17	B 1 JAN18 CQG II 152.0750			2.50DR	
020CT17	S 1 JAN18 CQG II 149.6250		149.6250	2.50DR	
020CT17	S 1 JAN18 CQG II 149.7750		149.7750	2.50DR	
020CT17	B 2 JAN18 CQG II 149.5000			5.00DR	
020CT17	B 2 JAN18 CQG II 149.4250			5.00DR	
020CT17	S 1 JAN18 CQG II 149.3500		149.3500	2.50DR	
020CT17	S 1 MAR18 CQG II 149.7250		149.7250	2.50DR	
020CT17	B 1 MAR18 CQG II 147.5250			2.50DR	
020CT17	S 1 MAR18 CQG II 147.3750		147.3750	2.50DR	
020CT17	S 1 MAR18 CQG II 147.3250		147.3250	2.50DR	
020CT17	B 1 MAR18 CQG II 147.3000			2.50DR	
	CME Lean Hog 400 CME				
020CT17	B 1 DEC17 CQG II 62.5500			2.50DR	
020CT17	S 1 DEC17 CQG II 62.2500		62.2500	2.50DR	
020CT17	S 2 FEB18 CQG II 67.4750		67.4750	5.00DR	
020CT17	B 2 FEB18 CQG II 67.1500			5.00DR	
020CT17	B 1 APR18 CQG II 71.8000			2.50DR	
020CT17	S 1 APR18 CQG II 71.7500		71.7500	2.50DR	
	CBT Wheat 5,000 CBT				
020CT17	B 1 DEC17 CQG II 4.41500			2.50DR	
020CT17	S 1 MAR18 CQG II 4.60500		4.60500	2.50DR	
	Commission				130.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
020CT17	1 DEC17	114.7000	113.4250		510.00DR
020CT17	1 DEC17	114.8250	113.3750		580.00DR
020CT17	2 FEB18	117.2000	118.0750		700.00CR
020CT17	1 FEB18	117.0750	118.4000		530.00CR
020CT17	1 APR18	119.4000	120.4000		400.00CR
020CT17	1 APR18	119.6750	118.9750		280.00DR
020CT17	1 JUN18	113.4250	113.4750		20.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119E 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
CME Feeder Cattle 500 CME					
020CT17	1 NOV17	153.3500	153.4750		62.50CR
020CT17	1 NOV17	151.9000	151.7000		100.00DR
020CT17	1 NOV17	157.5250	151.7000		2,912.50DR
020CT17	1 JAN18	151.0250	151.0750		25.00CR
020CT17	1 JAN18	152.0750	149.6250		1,225.00DR
020CT17	1 JAN18	149.5000	149.7750		137.50CR
020CT17	1 JAN18	149.5000	149.3500		75.00DR
020CT17	1 JAN18	149.4250	152.8500		1,712.50CR
020CT17	1 JAN18	149.4250	149.7750		175.00CR
020CT17	1 MAR18	147.5250	149.7250		1,100.00CR
020CT17	1 MAR18	147.3000	147.3750		37.50CR
020CT17	1 MAR18	146.8000	147.3250		262.50CR
CME Lean Hog 400 CME					
020CT17	1 DEC17	62.5500	62.2500		120.00DR
020CT17	1 FEB18	67.1500	67.4750		130.00CR
020CT17	1 FEB18	67.1500	67.4750		130.00CR
020CT17	1 APR18	71.8000	71.7500		20.00DR
CBT Wheat 5,000 CBT					
020CT17	1 DEC17	4.41500	4.61500		1,000.00CR
020CT17	1 MAR18	4.80750	4.60500		1,012.50DR
TOTALS					
BROUGHT FORWARD BALANCE					1,872.50DR
SETTLEMENTS					
CME Feeder Cattle Futures					800.00DR
CME Cattle Futures					280.00CR
CME Lean Hog Futures					120.00CR
CBT Wheat Futures					12.50DR
COMMISSIONS					130.00DR
CARRIED FORWARD BALANCE					2,415.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119F

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
020CT17	B 1 DEC17 II	295.600		2.50DR	
020CT17	S 1 DEC17 DMA II		295.050	2.50DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	2512.50		5.00DR	
020CT17	S 1 02JAN18 DMA EL		2522.50	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 1 02JAN18 DMA EL		3208.00	5.00DR	
020CT17	B 1 02JAN18 DMA EL	3214.00		5.00DR	
020CT17	S 4 02JAN18 DMA EL		3233.00	20.00DR	
020CT17	B 3 02JAN18 DMA EL	3229.50		15.00DR	
020CT17	B 1 02JAN18 DMA EL	3246.50		5.00DR	
	Commission				65.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
020CT17	1 DEC17	295.600	295.050		137.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,179.86CR
	SETTLEMENTS				
	CMX Copper Futures				137.50DR
	COMMISSIONS				65.00DR
	CARRIED FORWARD BALANCE				7,977.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119G

2nd October 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 2 02JAN18 DMA EL		3243.00	3.12DR	
020CT17	S 2 02JAN18 DMA EL		3244.00	3.12DR	
020CT17	B 1 02JAN18 DMA EL	3232.00		1.56DR	
020CT17	B 2 02JAN18 DMA EL	3235.00		3.12DR	
020CT17	B 1 02JAN18 DMA EL	3235.50		1.56DR	
	Commission				12.48DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				10,257.48CR
	COMMISSIONS				12.48DR
	CARRIED FORWARD BALANCE				10,245.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	2530.00		1.56DR	
020CT17	S 1 02JAN18 DMA EL		2529.50	1.56DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	10430.00		1.56DR	
020CT17	S 1 02JAN18 DMA EL		10450.00	1.56DR	
020CT17	S 1 02JAN18 DMA EL		10360.00	1.56DR	
020CT17	B 1 02JAN18 DMA EL	10375.00		1.56DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
020CT17	B 1 02JAN18 DMA EL	20670.00		1.56DR	
020CT17	S 1 02JAN18 DMA EL		20690.00	1.56DR	
020CT17	S 2 02JAN18 DMA EL		20725.00	3.12DR	
020CT17	B 2 02JAN18 DMA EL	20755.00		3.12DR	
020CT17	B 1 02JAN18 DMA EL	20685.00		1.56DR	
020CT17	S 1 02JAN18 DMA EL		20695.00	1.56DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
020CT17	S 1 02JAN18 DMA EL		3208.50	1.56DR	
020CT17	S 1 02JAN18 DMA EL		3212.00	1.56DR	
020CT17	B 2 02JAN18 DMA EL	3212.50		3.12DR	
020CT17	S 1 02JAN18 DMA EL		3213.50	1.56DR	
020CT17	B 1 02JAN18 DMA EL	3216.00		1.56DR	
020CT17	S 2 02JAN18 DMA EL		3233.00	3.12DR	
020CT17	S 2 02JAN18 DMA EL		3245.50	3.12DR	
020CT17	B 2 02JAN18 DMA EL	3235.50		3.12DR	
020CT17	B 1 02JAN18 DMA EL	3238.00		1.56DR	
020CT17	B 1 02JAN18 DMA EL	3247.50		1.56DR	
	Commission				43.68DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,459.98CR
	COMMISSIONS				43.68DR
	CARRIED FORWARD BALANCE				7,416.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119K

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II 114.9250			2.50DR	
020CT17	S 1 DEC17 CQG II 114.0250		114.0250	2.50DR	
020CT17	S 1 FEB18 CQG II 118.4750		118.4750	2.50DR	
020CT17	S 1 FEB18 CQG II 118.6000		118.6000	2.50DR	
020CT17	B 2 FEB18 CQG II 117.4500	117.4500		5.00DR	
020CT17	B 1 APR18 CQG II 119.7500	119.7500		2.50DR	
020CT17	S 1 APR18 CQG II 118.8250		118.8250	2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	S 1 NOV17 CQG II 153.7750		153.7750	2.50DR	
020CT17	B 1 NOV17 CQG II 154.0750	154.0750		2.50DR	
020CT17	B 1 NOV17 CQG II 154.5000	154.5000		2.50DR	
020CT17	S 1 NOV17 CQG II 154.4250		154.4250	2.50DR	
020CT17	B 1 NOV17 CQG II 152.4000	152.4000		2.50DR	
020CT17	S 1 NOV17 CQG II 151.9000		151.9000	2.50DR	
020CT17	B 1 JAN18 CQG II 151.2500	151.2500		2.50DR	
020CT17	S 1 JAN18 CQG II 151.6250		151.6250	2.50DR	
020CT17	B 1 JAN18 CQG II 151.8500	151.8500		2.50DR	
020CT17	S 1 JAN18 CQG II 152.1000		152.1000	2.50DR	
020CT17	B 1 JAN18 CQG II 152.0250	152.0250		2.50DR	
020CT17	S 1 JAN18 CQG II 151.7500		151.7500	2.50DR	
020CT17	S 1 JAN18 CQG II 150.1000		150.1000	2.50DR	
020CT17	S 1 JAN18 CQG II 149.6750		149.6750	2.50DR	
020CT17	B 2 JAN18 CQG II 149.7000	149.7000		5.00DR	
020CT17	S 1 MAR18 CQG II 149.5000		149.5000	2.50DR	
020CT17	B 1 MAR18 CQG II 149.4250	149.4250		2.50DR	
020CT17	B 1 MAR18 CQG II 147.4250	147.4250		2.50DR	
020CT17	S 1 MAR18 CQG II 147.4250		147.4250	2.50DR	
	CME Lean Hog 400 CME				
020CT17	B 1 DEC17 CQG II 62.5500	62.5500		2.50DR	
020CT17	S 2 FEB18 CQG II 67.4750		67.4750	5.00DR	
020CT17	B 1 APR18 CQG II 71.8000	71.8000		2.50DR	
020CT17	B 1 JUN18 CQG II 78.7500	78.7500		2.50DR	
020CT17	B 1 JUN18 CQG II 79.3500	79.3500		2.50DR	
020CT17	B 1 JUN18 CQG II 79.6250	79.6250		2.50DR	
020CT17	S 1 JUN18 CQG II 79.6000		79.6000	2.50DR	
020CT17	B 1 JUN18 CQG II 79.4750	79.4750		2.50DR	
020CT17	S 1 JUN18 CQG II 79.5250		79.5250	2.50DR	
020CT17	B 1 JUN18 CQG II 79.4250	79.4250		2.50DR	
020CT17	S 1 JUN18 CQG II 79.7750		79.7750	2.50DR	
020CT17	B 1 JUN18 CQG II 79.8250	79.8250		2.50DR	
020CT17	S 1 JUN18 CQG II 79.8000		79.8000	2.50DR	
020CT17	B 1 JUN18 CQG II 79.8000	79.8000		2.50DR	
020CT17	S 2 JUL18 CQG II 78.7750		78.7750	5.00DR	
020CT17	S 2 JUL18 CQG II 79.3500		79.3500	5.00DR	
020CT17	B 1 JUL18 CQG II 79.4500	79.4500		2.50DR	
020CT17	S 2 JUL18 CQG II 79.4000		79.4000	5.00DR	
020CT17	S 1 JUL18 CQG II 79.5750		79.5750	2.50DR	
020CT17	B 2 JUL18 CQG II 79.8000	79.8000		5.00DR	
020CT17	S 2 JUL18 CQG II 79.9750		79.9750	5.00DR	
020CT17	B 2 JUL18 CQG II 80.1250	80.1250		5.00DR	
020CT17	S 2 JUL18 CQG II 80.1750		80.1750	5.00DR	
020CT17	B 1 AUG18 CQG II 78.0250	78.0250		2.50DR	
020CT17	B 1 AUG18 CQG II 78.5750	78.5750		2.50DR	
020CT17	S 1 AUG18 CQG II 78.7250		78.7250	2.50DR	
020CT17	S 1 AUG18 CQG II 78.5750		78.5750	2.50DR	
020CT17	B 2 AUG18 CQG II 78.5500	78.5500		5.00DR	
020CT17	B 1 AUG18 CQG II 78.7500	78.7500		2.50DR	
020CT17	S 1 AUG18 CQG II 79.0000		79.0000	2.50DR	
020CT17	B 1 AUG18 CQG II 79.2000	79.2000		2.50DR	
020CT17	S 1 AUG18 CQG II 79.5000		79.5000	2.50DR	
020CT17	B 1 AUG18 CQG II 79.5250	79.5250		2.50DR	
	Commission				175.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119K

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Cattle 400 CME				
02OCT17	1 DEC17	114.9250	114.0250		360.00DR
02OCT17	1 FEB18	117.4500	118.4750		410.00CR
02OCT17	1 FEB18	117.4500	118.6000		460.00CR
02OCT17	1 APR18	119.7500	118.8250		370.00DR
	CME Feeder Cattle 500 CME				
02OCT17	1 NOV17	154.0750	153.7750		150.00DR
02OCT17	1 NOV17	154.5000	154.4250		37.50DR
02OCT17	1 NOV17	152.4000	151.9000		250.00DR
02OCT17	1 JAN18	151.2500	151.6250		187.50CR
02OCT17	1 JAN18	151.8500	152.1000		125.00CR
02OCT17	1 JAN18	152.0250	151.7500		137.50DR
02OCT17	1 JAN18	149.7000	150.1000		200.00CR
02OCT17	1 JAN18	149.7000	149.6750		12.50DR
02OCT17	1 MAR18	149.4250	149.5000		37.50CR
02OCT17	1 MAR18	147.4250	147.4250		0.00
	CME Lean Hog 400 CME				
02OCT17	1 JUN18	78.7500	79.6000		340.00CR
02OCT17	1 JUN18	79.3500	79.5250		70.00CR
02OCT17	1 JUN18	79.6250	79.7750		60.00CR
02OCT17	1 JUN18	79.4750	79.8000		130.00CR
02OCT17	1 JUL18	79.4500	78.7750		270.00DR
02OCT17	1 JUL18	79.8000	78.7750		410.00DR
02OCT17	1 JUL18	79.8000	79.3500		180.00DR
02OCT17	1 JUL18	80.1250	79.3500		310.00DR
02OCT17	1 JUL18	80.1250	79.4000		290.00DR
02OCT17	1 AUG18	78.0250	78.7250		280.00CR
02OCT17	1 AUG18	78.5750	78.5750		0.00
02OCT17	1 AUG18	78.5500	79.0000		180.00CR
02OCT17	1 AUG18	78.5500	79.5000		380.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				52.50CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				37.50DR
	CME Cattle Futures				140.00CR
	CME Lean Hog Futures				20.00DR
	COMMISSIONS				175.00DR
	CARRIED FORWARD BALANCE				40.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
020CT17	S 1 JUN18 CQG II		51.37	2.50DR	
020CT17	S 1 JUN18 CQG II		51.15	2.50DR	
020CT17	S 1 JUN18 CQG II		51.08	2.50DR	
020CT17	S 1 JUN18 CQG II		50.96	2.50DR	
020CT17	B 4 JUN18 CQG II	51.05		10.00DR	
020CT17	S 4 JUN18 CQG II		51.01	10.00DR	
020CT17	S 1 JUN18 CQG II		50.85	2.50DR	
020CT17	B 4 JUN18 CQG II	50.85		10.00DR	
020CT17	S 3 JUN18 CQG II		51.10	7.50DR	
020CT17	S 5 JUN18 CQG II		51.06	12.50DR	
020CT17	S 1 JUN18 CQG II		51.09	2.50DR	
020CT17	S 2 JUN18 CQG II		51.07	5.00DR	
020CT17	S 1 JUN18 CQG II		51.12	2.50DR	
020CT17	S 1 JUN18 CQG II		51.19	2.50DR	
020CT17	S 2 JUN18 CQG II		51.16	5.00DR	
020CT17	B 2 DEC18 CQG II	50.93		5.00DR	
020CT17	B 2 DEC18 CQG II	50.54		5.00DR	
020CT17	B 4 DEC18 CQG II	50.57		10.00DR	
020CT17	S 4 DEC18 CQG II		50.56	10.00DR	
020CT17	B 4 DEC18 CQG II	50.52		10.00DR	
020CT17	B 5 DEC18 CQG II	50.53		12.50DR	
020CT17	B 2 DEC18 CQG II	50.37		5.00DR	
020CT17	B 1 DEC18 CQG II	50.38		2.50DR	
020CT17	S 9 DEC18 CQG II		50.41	22.50DR	
020CT17	B 2 DEC18 CQG II	50.64		5.00DR	
020CT17	B 6 DEC18 CQG II	50.60		15.00DR	
020CT17	B 3 DEC18 CQG II	50.63		7.50DR	
020CT17	B 3 DEC18 CQG II	50.61		7.50DR	
020CT17	B 3 DEC18 CQG II	50.66		7.50DR	
020CT17	B 3 DEC18 CQG II	50.65		7.50DR	
020CT17	B 2 DEC18 CQG II	50.73		5.00DR	
020CT17	B 3 DEC18 CQG II	50.71		7.50DR	
020CT17	B 2 DEC18 CQG II	50.68		5.00DR	
020CT17	B 1 DEC18 CQG II	50.70		2.50DR	
020CT17	B 7 DEC18 CQG II	50.69		17.50DR	
020CT17	S 5 JUN19 CQG II		50.12	12.50DR	
020CT17	S 2 JUN19 CQG II		49.97	5.00DR	
020CT17	S 1 JUN19 CQG II		49.95	2.50DR	
020CT17	B 5 JUN19 CQG II	50.01		12.50DR	
020CT17	S 1 JUN19 CQG II		50.22	2.50DR	
020CT17	S 3 JUN19 CQG II		50.17	7.50DR	
020CT17	S 2 JUN19 CQG II		50.21	5.00DR	
020CT17	S 4 JUN19 CQG II		50.18	10.00DR	
020CT17	S 3 JUN19 CQG II		50.19	7.50DR	
020CT17	S 1 JUN19 CQG II		50.24	2.50DR	
020CT17	S 4 JUN19 CQG II		50.23	10.00DR	
020CT17	S 3 JUN19 CQG II		50.31	7.50DR	
020CT17	S 2 JUN19 CQG II		50.27	5.00DR	
020CT17	S 2 JUN19 CQG II		50.28	5.00DR	
020CT17	S 2 JUN19 CQG II		50.30	5.00DR	
020CT17	S 1 DEC19 CQG II		50.27	2.50DR	
020CT17	S 3 DEC19 CQG II		50.12	7.50DR	
020CT17	S 1 DEC19 CQG II		50.10	2.50DR	
020CT17	S 1 DEC19 CQG II		49.99	2.50DR	
020CT17	B 1 DEC19 CQG II	49.74		2.50DR	
020CT17	B 1 DEC19 CQG II	49.98		2.50DR	
020CT17	B 1 DEC19 CQG II	49.91		2.50DR	
020CT17	B 2 DEC19 CQG II	49.92		5.00DR	
020CT17	B 1 DEC19 CQG II	49.93		2.50DR	
020CT17	B 1 DEC19 CQG II	49.97		2.50DR	
020CT17	B 1 DEC19 CQG II	50.04		2.50DR	
020CT17	B 2 DEC19 CQG II	50.05		5.00DR	
	CME Lean Hog 400 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

2nd October 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	B	1	JUN18	CQG	II	79.7250		2.50DR	
02OCT17	B	3	JUN18	CQG	II	79.8000		7.50DR	
02OCT17	S	2	JUL18	CQG	II		79.7750	5.00DR	
02OCT17	S	2	JUL18	CQG	II		79.9500	5.00DR	
02OCT17	S	2	JUL18	CQG	II		80.1500	5.00DR	
02OCT17	S	2	JUL18	CQG	II		80.2000	5.00DR	
02OCT17	B	1	AUG18	CQG	II	79.0000		2.50DR	
02OCT17	B	1	AUG18	CQG	II	79.2000		2.50DR	
02OCT17	B	1	AUG18	CQG	II	79.5250		2.50DR	
02OCT17	B	1	AUG18	CQG	II	79.5500		2.50DR	
IEU Brent Crude 1,000 IPE									
02OCT17	B	1	JUN18	CQG	EL	55.64		2.50DR	
02OCT17	B	1	JUN18	CQG	EL	55.30		2.50DR	
02OCT17	B	1	JUN18	CQG	EL	55.16		2.50DR	
02OCT17	B	1	JUN18	CQG	EL	55.07		2.50DR	
02OCT17	B	2	JUN18	CQG	EL	55.06		5.00DR	
02OCT17	B	1	JUN18	CQG	EL	55.05		2.50DR	
02OCT17	B	1	JUN18	CQG	EL	54.95		2.50DR	
02OCT17	S	2	JUN18	CQG	EL		55.13	5.00DR	
02OCT17	S	2	JUN18	CQG	EL		55.18	5.00DR	
02OCT17	B	1	JUN18	CQG	EL	54.85		2.50DR	
02OCT17	B	3	JUN18	CQG	EL	54.84		7.50DR	
02OCT17	S	4	JUN18	CQG	EL		54.98	10.00DR	
02OCT17	B	4	JUN18	CQG	EL	55.14		10.00DR	
02OCT17	S	3	JUN18	CQG	EL		55.22	7.50DR	
02OCT17	S	4	JUN18	CQG	EL		55.25	10.00DR	
02OCT17	S	3	DEC18	CQG	EL		55.18	7.50DR	
02OCT17	S	2	DEC18	CQG	EL		54.85	5.00DR	
02OCT17	S	2	DEC18	CQG	EL		54.70	5.00DR	
02OCT17	S	1	DEC18	CQG	EL		54.71	2.50DR	
02OCT17	S	2	DEC18	CQG	EL		54.68	5.00DR	
02OCT17	S	2	DEC18	CQG	EL		54.62	5.00DR	
02OCT17	B	1	DEC18	CQG	EL	54.62		2.50DR	
02OCT17	S	6	DEC18	CQG	EL		54.63	15.00DR	
02OCT17	S	1	DEC18	CQG	EL		54.51	2.50DR	
02OCT17	S	1	DEC18	CQG	EL		54.52	2.50DR	
02OCT17	B	2	DEC18	CQG	EL	54.61		5.00DR	
02OCT17	B	2	DEC18	CQG	EL	54.60		5.00DR	
02OCT17	B	3	DEC18	CQG	EL	54.64		7.50DR	
02OCT17	S	1	DEC18	CQG	EL		54.40	2.50DR	
02OCT17	S	8	DEC18	CQG	EL		54.39	20.00DR	
02OCT17	B	9	DEC18	CQG	EL	54.46		22.50DR	
02OCT17	S	9	DEC18	CQG	EL		54.61	22.50DR	
02OCT17	B	7	DEC18	CQG	EL	54.65		17.50DR	
02OCT17	B	4	DEC18	CQG	EL	54.67		10.00DR	
02OCT17	B	5	DEC18	CQG	EL	54.68		12.50DR	
02OCT17	B	1	JUN19	CQG	EL	54.93		2.50DR	
02OCT17	B	2	JUN19	CQG	EL	54.94		5.00DR	
02OCT17	B	2	JUN19	CQG	EL	54.47		5.00DR	
02OCT17	B	3	JUN19	CQG	EL	54.45		7.50DR	
02OCT17	B	3	JUN19	CQG	EL	54.46		7.50DR	
02OCT17	S	1	JUN19	CQG	EL		54.42	2.50DR	
02OCT17	S	1	JUN19	CQG	EL		54.44	2.50DR	
02OCT17	S	2	JUN19	CQG	EL		54.36	5.00DR	
02OCT17	B	5	JUN19	CQG	EL	54.17		12.50DR	
02OCT17	S	5	JUN19	CQG	EL		54.22	12.50DR	
02OCT17	B	5	JUN19	CQG	EL	54.33		12.50DR	
02OCT17	S	8	JUN19	CQG	EL		54.39	20.00DR	
02OCT17	S	1	DEC19	CQG	EL		54.71	2.50DR	
02OCT17	B	1	DEC19	CQG	EL	54.41		2.50DR	
02OCT17	S	1	DEC19	CQG	EL		54.27	2.50DR	
02OCT17	S	3	DEC19	CQG	EL		54.28	7.50DR	
02OCT17	B	1	DEC19	CQG	EL	54.24		2.50DR	
02OCT17	B	2	DEC19	CQG	EL	54.25		5.00DR	
02OCT17	B	2	DEC19	CQG	EL	54.27		5.00DR	
02OCT17	B	1	DEC19	CQG	EL	54.15		2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	S 2 DEC19 CQG EL Commission		54.16	5.00DR	815.00DR
SETTLEMENTS					
NYM Light Sweet Crude Oil 1,000 NYM					
02OCT17	1 JUN18	51.05	51.37		320.00CR
02OCT17	1 JUN18	51.05	51.15		100.00CR
02OCT17	1 JUN18	51.05	51.10		50.00CR
02OCT17	1 JUN18	51.05	51.10		50.00CR
02OCT17	1 JUN18	50.85	51.08		230.00CR
02OCT17	1 JUN18	50.85	50.96		110.00CR
02OCT17	2 JUN18	50.85	51.06		420.00CR
02OCT17	1 JUN18	52.91	51.06		1,850.00DR
02OCT17	1 JUN18	52.92	51.06		1,860.00DR
02OCT17	1 JUN18	52.92	51.01		1,910.00DR
02OCT17	1 JUN18	52.92	51.01		1,910.00DR
02OCT17	1 JUN18	52.80	51.01		1,790.00DR
02OCT17	1 JUN18	52.57	51.01		1,560.00DR
02OCT17	1 JUN18	51.87	50.85		1,020.00DR
02OCT17	1 JUN18	51.80	51.10		700.00DR
02OCT17	1 JUN18	51.80	51.07		730.00DR
02OCT17	1 DEC18	50.93	50.56		370.00DR
02OCT17	1 DEC18	50.93	50.56		370.00DR
02OCT17	1 DEC18	50.71	50.56		150.00DR
02OCT17	1 DEC18	50.71	50.56		150.00DR
02OCT17	1 DEC18	50.69	50.41		280.00DR
02OCT17	1 DEC18	50.69	50.41		280.00DR
02OCT17	1 DEC18	50.69	50.41		280.00DR
02OCT17	1 DEC18	50.66	50.41		250.00DR
02OCT17	1 DEC18	50.66	50.41		250.00DR
02OCT17	1 DEC18	50.54	50.41		130.00DR
02OCT17	1 DEC18	50.54	50.41		130.00DR
02OCT17	1 DEC18	50.57	50.41		160.00DR
02OCT17	1 DEC18	50.57	52.19		1,620.00CR
02OCT17	1 DEC18	50.57	52.18		1,610.00CR
02OCT17	1 DEC18	50.57	52.20		1,630.00CR
02OCT17	2 DEC18	50.52	52.20		3,360.00CR
02OCT17	1 DEC18	50.52	52.20		1,680.00CR
02OCT17	1 DEC18	50.52	52.20		1,680.00CR
02OCT17	1 DEC18	50.53	52.20		1,670.00CR
02OCT17	1 DEC18	50.53	52.15		1,620.00CR
02OCT17	1 DEC18	50.53	52.15		1,620.00CR
02OCT17	1 DEC18	50.53	52.17		1,640.00CR
02OCT17	1 DEC18	50.53	52.10		1,570.00CR
02OCT17	1 DEC18	50.37	51.94		1,570.00CR
02OCT17	1 DEC18	50.37	51.94		1,570.00CR
02OCT17	1 DEC18	50.38	51.77		1,390.00CR
02OCT17	1 DEC18	50.64	51.31		670.00CR
02OCT17	1 DEC18	50.65	51.31		660.00CR
02OCT17	1 DEC18	50.64	51.23		590.00CR
02OCT17	1 DEC18	50.60	51.23		630.00CR
02OCT17	1 DEC18	50.60	51.23		630.00CR
02OCT17	1 DEC18	50.60	51.23		630.00CR
02OCT17	4 JUN19	50.01	50.12		440.00CR
02OCT17	1 JUN19	50.01	50.12		110.00CR
02OCT17	2 JUN19	50.75	49.97		1,560.00DR
02OCT17	1 JUN19	50.89	49.95		940.00DR
02OCT17	1 JUN19	51.53	50.22		1,310.00DR
02OCT17	1 JUN19	51.53	50.23		1,300.00DR
02OCT17	1 JUN19	51.45	50.23		1,220.00DR
02OCT17	1 JUN19	51.31	50.17		1,140.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 JUN19	51.34	50.17		1,170.00DR
02OCT17	1 JUN19	51.16	50.17		990.00DR
02OCT17	1 DEC19	49.74	50.27		530.00CR
02OCT17	1 DEC19	49.98	50.12		140.00CR
02OCT17	1 DEC19	49.91	50.12		210.00CR
02OCT17	1 DEC19	49.92	50.12		200.00CR
02OCT17	1 DEC19	49.92	50.10		180.00CR
02OCT17	1 DEC19	49.93	49.99		60.00CR
	IEU Brent Crude 1,000 IPE				
02OCT17	1 JUN18	55.64	55.13		510.00DR
02OCT17	1 JUN18	55.30	55.13		170.00DR
02OCT17	1 JUN18	55.16	55.18		20.00CR
02OCT17	1 JUN18	55.07	55.18		110.00CR
02OCT17	1 JUN18	55.06	54.98		80.00DR
02OCT17	1 JUN18	55.06	54.98		80.00DR
02OCT17	1 JUN18	55.05	54.98		70.00DR
02OCT17	1 JUN18	54.95	54.98		30.00CR
02OCT17	1 JUN18	54.85	55.22		370.00CR
02OCT17	1 JUN18	54.84	55.22		380.00CR
02OCT17	1 JUN18	54.84	55.22		380.00CR
02OCT17	1 JUN18	54.84	55.25		410.00CR
02OCT17	3 JUN18	55.14	55.25		330.00CR
02OCT17	1 JUN18	55.14	57.03		1,890.00CR
02OCT17	1 DEC18	54.62	55.18		560.00CR
02OCT17	2 DEC18	54.61	55.18		1,140.00CR
02OCT17	1 DEC18	54.60	54.85		250.00CR
02OCT17	1 DEC18	54.60	54.85		250.00CR
02OCT17	1 DEC18	54.64	54.70		60.00CR
02OCT17	1 DEC18	54.64	54.70		60.00CR
02OCT17	1 DEC18	54.64	54.71		70.00CR
02OCT17	1 DEC18	54.65	54.68		30.00CR
02OCT17	1 DEC18	54.65	54.68		30.00CR
02OCT17	2 DEC18	54.46	54.62		320.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.51		50.00CR
02OCT17	1 DEC18	54.65	54.52		130.00DR
02OCT17	1 DEC18	54.65	54.39		260.00DR
02OCT17	1 DEC18	54.65	54.40		250.00DR
02OCT17	1 DEC18	54.65	54.39		260.00DR
02OCT17	1 DEC18	54.65	54.39		260.00DR
02OCT17	3 DEC18	54.67	54.39		840.00DR
02OCT17	1 DEC18	54.67	54.39		280.00DR
02OCT17	1 DEC18	54.68	54.39		290.00DR
02OCT17	1 DEC18	54.68	54.61		70.00DR
02OCT17	3 DEC18	54.68	54.61		210.00DR
02OCT17	1 DEC18	56.14	54.61		1,530.00DR
02OCT17	1 DEC18	56.14	54.61		1,530.00DR
02OCT17	1 DEC18	56.15	54.61		1,540.00DR
02OCT17	1 DEC18	56.15	54.61		1,540.00DR
02OCT17	1 DEC18	56.14	54.61		1,530.00DR
02OCT17	1 JUN19	54.93	54.42		510.00DR
02OCT17	1 JUN19	54.94	54.44		500.00DR
02OCT17	1 JUN19	54.94	54.36		580.00DR
02OCT17	1 JUN19	54.47	54.36		110.00DR
02OCT17	1 JUN19	54.47	54.39		80.00DR
02OCT17	1 JUN19	54.46	54.39		70.00DR
02OCT17	1 JUN19	54.45	54.39		60.00DR
02OCT17	1 JUN19	54.45	54.22		230.00DR
02OCT17	1 JUN19	54.45	54.22		230.00DR
02OCT17	1 JUN19	54.46	54.22		240.00DR
02OCT17	1 JUN19	54.46	54.22		240.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119L

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 JUN19	54.17	54.22		50.00CR
02OCT17	1 JUN19	54.17	54.39		220.00CR
02OCT17	1 JUN19	54.17	54.39		220.00CR
02OCT17	1 JUN19	54.17	54.39		220.00CR
02OCT17	1 JUN19	54.17	54.39		220.00CR
02OCT17	1 JUN19	54.33	54.39		60.00CR
02OCT17	1 JUN19	54.33	55.61		1,280.00CR
02OCT17	1 JUN19	54.33	55.60		1,270.00CR
02OCT17	1 JUN19	54.33	55.60		1,270.00CR
02OCT17	1 JUN19	54.33	55.69		1,360.00CR
02OCT17	1 DEC19	54.41	54.71		300.00CR
02OCT17	1 DEC19	54.24	54.27		30.00CR
02OCT17	1 DEC19	54.27	54.28		10.00CR
02OCT17	1 DEC19	54.25	54.28		30.00CR
02OCT17	1 DEC19	54.25	54.28		30.00CR
02OCT17	1 DEC19	54.27	54.16		110.00DR
02OCT17	1 DEC19	54.15	54.16		10.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				20,810.00DR
	SETTLEMENTS				
	IEU Brent Crude Futures				50.00DR
	NYM Light Sweet Crude Oil Futures				5,150.00CR
	COMMISSIONS				815.00DR
	CARRIED FORWARD BALANCE				16,525.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II	115.2750		2.50DR	
020CT17	B 1 DEC17 CQG II	114.6500		2.50DR	
020CT17	B 1 DEC17 CQG II	114.8750		2.50DR	
020CT17	S 1 DEC17 CQG II		114.0500	2.50DR	
020CT17	B 1 DEC17 CQG II	113.4500		2.50DR	
020CT17	S 1 DEC17 CQG II		113.4500	2.50DR	
020CT17	S 1 FEB18 CQG II		118.5250	2.50DR	
020CT17	S 1 FEB18 CQG II		118.5500	2.50DR	
020CT17	S 1 FEB18 CQG II		118.3500	2.50DR	
020CT17	S 1 FEB18 CQG II		118.1000	2.50DR	
020CT17	S 1 FEB18 CQG II		118.5000	2.50DR	
020CT17	S 1 FEB18 CQG II		118.2750	2.50DR	
020CT17	B 2 FEB18 CQG II	117.5000		5.00DR	
020CT17	S 1 FEB18 CQG II		117.3250	2.50DR	
020CT17	B 1 FEB18 CQG II	117.2250		2.50DR	
020CT17	B 1 APR18 CQG II	119.8000		2.50DR	
020CT17	S 1 APR18 CQG II		119.8750	2.50DR	
020CT17	B 1 APR18 CQG II	119.7250		2.50DR	
020CT17	B 1 APR18 CQG II	119.6750		2.50DR	
020CT17	S 1 APR18 CQG II		119.5000	2.50DR	
020CT17	S 1 APR18 CQG II		119.8250	2.50DR	
020CT17	B 1 APR18 CQG II	119.8500		2.50DR	
020CT17	B 1 APR18 CQG II	119.5500		2.50DR	
020CT17	S 1 APR18 CQG II		119.5500	2.50DR	
020CT17	B 1 APR18 CQG II	118.8750		2.50DR	
020CT17	S 1 APR18 CQG II		119.0250	2.50DR	
020CT17	B 1 APR18 CQG II	118.8250		2.50DR	
020CT17	S 1 APR18 CQG II		118.9000	2.50DR	
020CT17	B 1 APR18 CQG II	118.9750		2.50DR	
020CT17	B 1 JUN18 CQG II	112.9500		2.50DR	
020CT17	S 1 JUN18 CQG II		112.8500	2.50DR	
020CT17	B 1 JUN18 CQG II	112.9000		2.50DR	
020CT17	S 1 JUN18 CQG II		112.9500	2.50DR	
020CT17	B 2 JUN18 CQG II	112.5750		5.00DR	
020CT17	S 1 JUN18 CQG II		111.9500	2.50DR	
020CT17	B 1 JUN18 CQG II	112.0500		2.50DR	
020CT17	S 1 JUN18 CQG II		111.9000	2.50DR	
020CT17	S 1 JUN18 CQG II		112.1000	2.50DR	
020CT17	B 1 AUG18 CQG II	110.3750		2.50DR	
020CT17	B 1 AUG18 CQG II	109.7000		2.50DR	
020CT17	S 1 AUG18 CQG II		109.6500	2.50DR	
020CT17	B 1 AUG18 CQG II	109.8000		2.50DR	
020CT17	S 1 OCT18 CQG II		111.8500	2.50DR	
020CT17	B 1 OCT18 CQG II	110.3750		2.50DR	
020CT17	S 1 OCT18 CQG II		110.6250	2.50DR	
020CT17	B 1 DEC18 CQG II	112.5000		2.50DR	
020CT17	S 1 DEC18 CQG II		112.0000	2.50DR	
020CT17	S 1 DEC18 CQG II		111.6000	2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 NOV17 CQG II	153.7750		2.50DR	
020CT17	B 2 NOV17 CQG II	154.0250		5.00DR	
020CT17	B 1 NOV17 CQG II	153.1250		2.50DR	
020CT17	B 1 NOV17 CQG II	153.3000		2.50DR	
020CT17	S 1 JAN18 CQG II		151.2000	2.50DR	
020CT17	S 1 JAN18 CQG II		151.4000	2.50DR	
020CT17	S 1 JAN18 CQG II		151.4750	2.50DR	
020CT17	S 1 JAN18 CQG II		150.6000	2.50DR	
020CT17	S 1 JAN18 CQG II		151.0000	2.50DR	
020CT17	B 1 JAN18 CQG II	149.5250		2.50DR	
020CT17	S 1 JAN18 CQG II		149.2250	2.50DR	
020CT17	S 1 MAR18 CQG II		148.5000	2.50DR	
020CT17	S 1 MAR18 CQG II		147.3500	2.50DR	
020CT17	B 1 MAR18 CQG II	147.2750		2.50DR	

Group No: SAPG0119

Page 1 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
020CT17	B	2	APR18	CQG	II	148.6500		5.00DR	
020CT17	B	1	APR18	CQG	II	147.3500		2.50DR	
020CT17	S	1	MAY18	CQG	II		148.5000	2.50DR	
020CT17	B	1	MAY18	CQG	II	148.8000		2.50DR	
020CT17	S	1	MAY18	CQG	II		148.7500	2.50DR	
020CT17	S	1	MAY18	CQG	II		148.8500	2.50DR	
020CT17	S	1	MAY18	CQG	II		148.2000	2.50DR	
020CT17	B	2	MAY18	CQG	II	146.9500		5.00DR	
020CT17	S	1	MAY18	CQG	II		146.5500	2.50DR	
020CT17	B	1	MAY18	CQG	II	146.6000		2.50DR	
020CT17	S	1	MAY18	CQG	II		146.6750	2.50DR	
020CT17	B	1	MAY18	CQG	II	146.8000		2.50DR	
020CT17	S	2	MAY18	CQG	II		146.8250	5.00DR	
020CT17	B	1	AUG18	CQG	II	149.0500		2.50DR	
020CT17	S	1	AUG18	CQG	II		149.4000	2.50DR	
020CT17	B	1	AUG18	CQG	II	149.3250		2.50DR	
020CT17	B	1	AUG18	CQG	II	149.4250		2.50DR	
020CT17	S	2	AUG18	CQG	II		147.5750	5.00DR	
020CT17	B	1	AUG18	CQG	II	147.3750		2.50DR	
020CT17	B	1	AUG18	CQG	II	147.1250		2.50DR	
020CT17	S	1	AUG18	CQG	II		147.2000	2.50DR	
020CT17	B	1	AUG18	CQG	II	147.2500		2.50DR	
020CT17	S	1	AUG18	CQG	II		147.4250	2.50DR	
	CME Lean Hog 400 CME								
020CT17	B	1	DEC17	CQG	II	59.7250		2.50DR	
020CT17	S	1	DEC17	CQG	II		59.9750	2.50DR	
020CT17	B	1	DEC17	CQG	II	60.4750		2.50DR	
020CT17	S	1	DEC17	CQG	II		60.8500	2.50DR	
020CT17	S	1	DEC17	CQG	II		61.8500	2.50DR	
020CT17	S	1	DEC17	CQG	II		62.5250	2.50DR	
020CT17	B	1	DEC17	CQG	II	62.2250		2.50DR	
020CT17	B	1	DEC17	CQG	II	61.7250		2.50DR	
020CT17	B	1	DEC17	CQG	II	62.5000		2.50DR	
020CT17	S	2	FEB18	CQG	II		65.0500	5.00DR	
020CT17	B	2	FEB18	CQG	II	65.0250		5.00DR	
020CT17	S	1	FEB18	CQG	II		65.6500	2.50DR	
020CT17	B	1	FEB18	CQG	II	65.9500		2.50DR	
020CT17	B	1	FEB18	CQG	II	66.7000		2.50DR	
020CT17	B	1	FEB18	CQG	II	67.0750		2.50DR	
020CT17	S	1	FEB18	CQG	II		66.5500	2.50DR	
020CT17	S	2	FEB18	CQG	II		66.9000	5.00DR	
020CT17	S	1	FEB18	CQG	II		67.4000	2.50DR	
020CT17	B	1	APR18	CQG	II	70.0000		2.50DR	
020CT17	S	1	APR18	CQG	II		69.8750	2.50DR	
020CT17	S	1	APR18	CQG	II		71.3000	2.50DR	
020CT17	B	1	APR18	CQG	II	71.5000		2.50DR	
020CT17	B	1	APR18	CQG	II	71.5750		2.50DR	
020CT17	S	1	APR18	CQG	II		71.8000	2.50DR	
020CT17	B	1	APR18	CQG	II	71.9250		2.50DR	
020CT17	B	1	MAY18	CQG	II	76.4250		2.50DR	
020CT17	S	2	MAY18	CQG	II		76.8000	5.00DR	
020CT17	B	1	MAY18	CQG	II	76.9000		2.50DR	
020CT17	B	1	MAY18	CQG	II	76.8000		2.50DR	
020CT17	S	1	MAY18	CQG	II		77.1500	2.50DR	
020CT17	S	1	JUN18	CQG	II		79.6500	2.50DR	
020CT17	B	1	JUN18	CQG	II	79.5750		2.50DR	
020CT17	B	1	JUN18	CQG	II	79.6000		2.50DR	
020CT17	S	1	JUN18	CQG	II		79.6000	2.50DR	
020CT17	B	1	JUN18	CQG	II	79.7000		2.50DR	
020CT17	S	1	JUN18	CQG	II		79.7750	2.50DR	
020CT17	B	1	JUN18	CQG	II	79.8500		2.50DR	
020CT17	B	2	JUL18	CQG	II	79.5000		5.00DR	
020CT17	B	1	JUL18	CQG	II	79.3750		2.50DR	
020CT17	S	1	JUL18	CQG	II		79.5000	2.50DR	
020CT17	S	2	JUL18	CQG	II		79.5250	5.00DR	
020CT17	B	3	JUL18	CQG	II	79.5750		7.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
020CT17	S 1 JUL18 CQG II		79.6750	2.50DR	
020CT17	S 1 JUL18 CQG II		79.6500	2.50DR	
020CT17	S 1 JUL18 CQG II		79.7000	2.50DR	
020CT17	B 2 JUL18 CQG II	79.7250		5.00DR	
020CT17	S 2 JUL18 CQG II		79.7250	5.00DR	
020CT17	B 1 JUL18 CQG II	79.7500		2.50DR	
020CT17	B 1 JUL18 CQG II	79.8000		2.50DR	
020CT17	S 1 JUL18 CQG II		79.8500	2.50DR	
020CT17	S 3 JUL18 CQG II		79.8250	7.50DR	
020CT17	B 3 JUL18 CQG II	79.8500		7.50DR	
020CT17	S 2 JUL18 CQG II		80.0000	5.00DR	
020CT17	B 1 JUL18 CQG II	80.0500		2.50DR	
020CT17	S 1 AUG18 CQG II		78.6750	2.50DR	
020CT17	S 1 AUG18 CQG II		78.5250	2.50DR	
020CT17	S 1 AUG18 CQG II		78.5750	2.50DR	
020CT17	B 1 AUG18 CQG II	78.6500		2.50DR	
020CT17	B 1 AUG18 CQG II	78.7000		2.50DR	
020CT17	S 1 AUG18 CQG II		78.8000	2.50DR	
020CT17	S 1 AUG18 CQG II		78.8500	2.50DR	
020CT17	B 2 AUG18 CQG II	78.8500		5.00DR	
020CT17	S 1 AUG18 CQG II		78.9250	2.50DR	
020CT17	S 1 AUG18 CQG II		78.9000	2.50DR	
020CT17	B 2 AUG18 CQG II	78.8750		5.00DR	
020CT17	S 1 AUG18 CQG II		78.9500	2.50DR	
020CT17	S 1 AUG18 CQG II		79.0000	2.50DR	
020CT17	B 3 AUG18 CQG II	79.0000		7.50DR	
020CT17	B 1 AUG18 CQG II	78.9750		2.50DR	
020CT17	S 2 AUG18 CQG II		79.0500	5.00DR	
020CT17	S 1 AUG18 CQG II		79.0750	2.50DR	
020CT17	B 1 AUG18 CQG II	79.2500		2.50DR	
020CT17	S 1 AUG18 CQG II		79.3750	2.50DR	
020CT17	B 1 OCT18 CQG II	65.7250		2.50DR	
020CT17	B 1 OCT18 CQG II	65.9500		2.50DR	
020CT17	S 1 OCT18 CQG II		65.9250	2.50DR	
020CT17	S 1 DEC18 CQG II		61.2000	2.50DR	
020CT17	B 1 DEC18 CQG II	60.9500		2.50DR	
	NYM Heating Oil 42,000 NYM				
020CT17	S 1 DEC17 CQG II		176.25	2.50DR	
020CT17	B 1 JAN18 CQG II	176.11		2.50DR	
	CME Random Length Lumber 110 CME				
020CT17	S 1 NOV17 CQG II		405.70	2.50DR	
020CT17	B 1 NOV17 CQG II	406.90		2.50DR	
020CT17	B 1 JAN18 CQG II	396.10		2.50DR	
020CT17	S 1 JAN18 CQG II		397.80	2.50DR	
	CBT Soybean Meal 100 CBT				
020CT17	S 1 JUL18 CQG II		3.2230	2.50DR	
020CT17	B 1 DEC18 CQG II	3.1980		2.50DR	
	CBT Oats 5,000 CBT				
020CT17	S 1 MAR18 CQG II		2.55750	2.50DR	
020CT17	B 1 MAY18 CQG II	2.54000		2.50DR	
	NYM RBOB Reformulated Gasoline Futures				
020CT17	S 1 DEC17 CQG II		155.3500	2.50DR	
020CT17	B 1 DEC17 CQG II	154.2900		2.50DR	
020CT17	B 1 JAN18 CQG II	155.4900		2.50DR	
020CT17	B 1 JAN18 CQG II	155.3000		2.50DR	
020CT17	S 1 JAN18 CQG II		154.3300	2.50DR	
020CT17	S 1 FEB18 CQG II		156.3200	2.50DR	
020CT17	B 1 FEB18 CQG II	156.2700		2.50DR	
020CT17	S 1 MAR18 CQG II		157.9300	2.50DR	
020CT17	S 1 MAR18 CQG II		157.3800	2.50DR	
020CT17	B 1 APR18 CQG II	173.6500		2.50DR	
020CT17	S 1 JUN18 CQG II		172.9600	2.50DR	
020CT17	B 1 JUN18 CQG II	173.0900		2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

2nd October 2017

COB GLOBAL

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Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	B 1 JUL18 CQG II	171.1100		2.50DR	
02OCT17	S 1 JUL18 CQG II		171.2900	2.50DR	
	White Sugar 50 LDN				
02OCT17	S 1 MAR18 CQG EL		371.70	2.50DR	
02OCT17	B 1 MAR18 CQG EL	373.20		2.50DR	
02OCT17	S 1 MAY18 CQG EL		376.50	2.50DR	
02OCT17	S 1 MAY18 CQG EL		382.40	2.50DR	
02OCT17	B 1 AUG18 CQG EL	384.90		2.50DR	
02OCT17	B 1 AUG18 CQG EL	388.90		2.50DR	
	Commission				550.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
02OCT17	1 DEC17	115.2750	114.0500		490.00DR
02OCT17	1 DEC17	114.6500	113.4500		480.00DR
02OCT17	1 FEB18	117.5000	118.5250		410.00CR
02OCT17	1 FEB18	117.5000	118.5500		420.00CR
02OCT17	1 FEB18	117.2250	118.3500		450.00CR
02OCT17	1 APR18	119.8000	119.8750		30.00CR
02OCT17	1 APR18	119.7250	119.5000		90.00DR
02OCT17	1 APR18	119.6750	119.8250		60.00CR
02OCT17	1 APR18	119.8500	119.5500		120.00DR
02OCT17	1 APR18	119.5500	119.0250		210.00DR
02OCT17	1 APR18	118.8750	118.9000		10.00CR
02OCT17	1 JUN18	112.9500	112.8500		40.00DR
02OCT17	1 JUN18	112.5750	112.9500		150.00CR
02OCT17	1 JUN18	112.9000	111.9500		380.00DR
02OCT17	1 JUN18	112.5750	111.9000		270.00DR
02OCT17	1 JUN18	112.0500	112.1000		20.00CR
02OCT17	1 AUG18	110.3750	109.6500		290.00DR
02OCT17	1 AUG18	109.7000	110.9000		480.00CR
02OCT17	1 AUG18	109.8000	110.9500		460.00CR
02OCT17	1 OCT18	110.3750	111.8500		590.00CR
02OCT17	1 OCT18	111.7250	110.6250		440.00DR
02OCT17	1 DEC18	112.5000	112.0000		200.00DR
02OCT17	1 DEC18	112.7500	111.6000		460.00DR
	CME Feeder Cattle 500 CME				
02OCT17	1 JAN18	149.5250	151.2000		837.50CR
02OCT17	1 JAN18	151.1500	151.4000		125.00CR
02OCT17	1 MAR18	147.2750	148.5000		612.50CR
02OCT17	1 MAR18	149.3750	147.3500		1,012.50DR
02OCT17	1 APR18	148.6500	149.6000		475.00CR
02OCT17	1 APR18	148.6500	147.0750		787.50DR
02OCT17	1 APR18	147.3500	149.6750		1,162.50CR
02OCT17	1 MAY18	148.8000	148.5000		150.00DR
02OCT17	1 MAY18	146.9500	148.7500		900.00CR
02OCT17	1 MAY18	146.9500	148.8500		950.00CR
02OCT17	1 MAY18	146.6000	148.2000		800.00CR
02OCT17	1 MAY18	146.8000	146.8250		12.50CR
02OCT17	1 MAY18	146.6000	146.5500		25.00DR
02OCT17	1 MAY18	148.0000	146.6750		662.50DR
02OCT17	1 MAY18	148.1750	146.8250		675.00DR
02OCT17	1 AUG18	149.0500	149.4000		175.00CR
02OCT17	1 AUG18	149.3250	147.5750		875.00DR
02OCT17	1 AUG18	149.4250	147.5750		925.00DR
02OCT17	1 AUG18	147.3750	147.2000		87.50DR
02OCT17	1 AUG18	147.1250	147.4250		150.00CR
	CME Lean Hog 400 CME				
02OCT17	1 DEC17	59.7250	59.9750		100.00CR
02OCT17	1 DEC17	60.4750	60.8500		150.00CR
02OCT17	1 DEC17	62.2250	61.8500		150.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

2nd October 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 DEC17	61.7250	62.5250		320.00CR
02OCT17	1 FEB18	65.0250	65.0500		10.00CR
02OCT17	1 FEB18	65.0250	65.0500		10.00CR
02OCT17	1 FEB18	65.9500	65.6500		120.00DR
02OCT17	1 FEB18	66.7000	66.9000		80.00CR
02OCT17	1 FEB18	67.0750	66.5500		210.00DR
02OCT17	1 APR18	70.0000	69.8750		50.00DR
02OCT17	1 APR18	71.5000	71.3000		80.00DR
02OCT17	1 APR18	71.5750	71.8000		90.00CR
02OCT17	1 MAY18	76.4250	76.8000		150.00CR
02OCT17	1 MAY18	76.9000	76.8000		40.00DR
02OCT17	1 MAY18	76.8000	77.1500		140.00CR
02OCT17	1 JUN18	79.5750	79.6500		30.00CR
02OCT17	1 JUN18	79.6000	79.6000		0.00
02OCT17	1 JUN18	79.7000	79.7750		30.00CR
02OCT17	1 JUL18	79.5000	79.5000		0.00
02OCT17	1 JUL18	79.5000	79.5250		10.00CR
02OCT17	1 JUL18	79.3750	79.5250		60.00CR
02OCT17	1 JUL18	79.5750	79.6750		40.00CR
02OCT17	1 JUL18	79.5750	79.6500		30.00CR
02OCT17	1 JUL18	79.5750	79.7000		50.00CR
02OCT17	1 JUL18	79.7250	79.7250		0.00
02OCT17	1 JUL18	79.7250	79.7250		0.00
02OCT17	1 JUL18	79.7500	79.8250		30.00CR
02OCT17	1 JUL18	79.8000	79.8250		10.00CR
02OCT17	1 JUL18	79.8500	79.8500		0.00
02OCT17	1 JUL18	79.8500	79.8250		10.00DR
02OCT17	1 JUL18	79.8500	80.0000		60.00CR
02OCT17	1 JUL18	80.0500	80.0000		20.00DR
02OCT17	1 AUG18	78.6500	78.6750		10.00CR
02OCT17	1 AUG18	78.7000	78.5250		70.00DR
02OCT17	1 AUG18	78.8500	78.5750		110.00DR
02OCT17	1 AUG18	78.8500	78.8000		20.00DR
02OCT17	1 AUG18	78.8750	78.8500		10.00DR
02OCT17	1 AUG18	78.8750	78.9250		20.00CR
02OCT17	1 AUG18	79.0000	78.9000		40.00DR
02OCT17	1 AUG18	79.0000	78.9500		20.00DR
02OCT17	1 AUG18	79.0000	79.0000		0.00
02OCT17	1 AUG18	78.9750	79.0500		30.00CR
02OCT17	1 AUG18	79.2500	79.0500		80.00DR
02OCT17	1 AUG18	77.8250	79.0750		500.00CR
02OCT17	1 AUG18	77.8250	79.3750		620.00CR
02OCT17	1 OCT18	65.7250	65.9250		80.00CR
02OCT17	1 DEC18	60.9500	61.2000		100.00CR
	CME Random Length Lumber 110 CME				
02OCT17	1 NOV17	406.90	405.70		132.00DR
02OCT17	1 JAN18	396.10	397.80		187.00CR
	NYM RBOB Reformulated Gasoline Futures				
02OCT17	1 DEC17	154.2900	155.3500		445.20CR
02OCT17	1 JAN18	155.4900	154.3300		487.20DR
02OCT17	1 JAN18	155.3000	157.9000		1,092.00CR
02OCT17	1 FEB18	156.2700	156.3200		21.00CR
02OCT17	1 JUN18	173.0900	172.9600		54.60DR
02OCT17	1 JUL18	171.1100	171.2900		75.60CR
	White Sugar 50 LDN				
02OCT17	1 MAR18	373.20	371.70		75.00DR
02OCT17	1 MAY18	370.60	376.50		295.00CR
02OCT17	1 AUG18	384.90	398.10		660.00CR
02OCT17	1 AUG18	388.90	381.30		380.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				8,028.00DR

Group No: SAPG0119

Page 5 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	White Sugar Futures				500.00CR
	CME Feeder Cattle Futures				1,000.00CR
	CME Cattle Futures				390.00DR
	NYM RBOB Reformulated Gasoline Futu Futures				1,092.00CR
	CME Random Length Lumber Futures				55.00CR
	CME Lean Hog Futures				1,730.00CR
	COMMISSIONS				550.00DR
	CARRIED FORWARD BALANCE				4,591.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II 115.2750			2.50DR	
020CT17	B 1 DEC17 CQG II 115.2250			2.50DR	
020CT17	S 1 DEC17 CQG II		114.0500	2.50DR	
020CT17	S 1 DEC17 CQG II		113.8250	2.50DR	
020CT17	B 1 DEC17 CQG II 113.4500			2.50DR	
020CT17	S 3 FEB18 CQG II		118.8750	7.50DR	
020CT17	S 1 FEB18 CQG II		118.1250	2.50DR	
020CT17	B 2 FEB18 CQG II 117.5000			5.00DR	
020CT17	B 2 FEB18 CQG II 117.3750			5.00DR	
020CT17	S 1 FEB18 CQG II		117.2000	2.50DR	
020CT17	S 1 APR18 CQG II		119.4250	2.50DR	
020CT17	B 1 APR18 CQG II 120.0750			2.50DR	
020CT17	B 1 APR18 CQG II 119.3750			2.50DR	
020CT17	S 1 APR18 CQG II		118.9000	2.50DR	
020CT17	S 1 APR18 CQG II		118.8250	2.50DR	
020CT17	B 1 JUN18 CQG II 112.5000			2.50DR	
020CT17	S 1 AUG18 CQG II		111.0500	2.50DR	
020CT17	B 1 AUG18 CQG II 109.7750			2.50DR	
020CT17	B 1 OCT18 CQG II 111.7250			2.50DR	
020CT17	B 1 OCT18 CQG II 110.5750			2.50DR	
020CT17	S 1 OCT18 CQG II		110.5750	2.50DR	
020CT17	S 1 DEC18 CQG II		111.6000	2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 NOV17 CQG II 153.1250			2.50DR	
020CT17	S 1 NOV17 CQG II		153.5750	2.50DR	
020CT17	S 1 JAN18 CQG II		150.6250	2.50DR	
020CT17	B 2 JAN18 CQG II 151.1250			5.00DR	
020CT17	B 1 JAN18 CQG II 149.7000			2.50DR	
020CT17	B 1 MAR18 CQG II 148.1500			2.50DR	
020CT17	S 1 MAR18 CQG II		148.6500	2.50DR	
020CT17	S 1 MAR18 CQG II		147.4250	2.50DR	
020CT17	S 1 APR18 CQG II		148.1250	2.50DR	
	CME Lean Hog 400 CME				
020CT17	S 1 DEC17 CQG II		62.3000	2.50DR	
020CT17	B 1 DEC17 CQG II 62.5000			2.50DR	
020CT17	B 1 DEC17 CQG II 61.9500			2.50DR	
020CT17	B 2 FEB18 CQG II 67.0000			5.00DR	
020CT17	S 1 FEB18 CQG II		67.4250	2.50DR	
020CT17	S 1 FEB18 CQG II		67.4000	2.50DR	
020CT17	S 1 FEB18 CQG II		67.1500	2.50DR	
020CT17	S 1 APR18 CQG II		71.0750	2.50DR	
020CT17	S 2 APR18 CQG II		71.5000	5.00DR	
020CT17	B 1 APR18 CQG II 71.8250			2.50DR	
020CT17	B 1 JUN18 CQG II 79.6750			2.50DR	
020CT17	S 1 JUN18 CQG II		79.5500	2.50DR	
020CT17	B 1 JUN18 CQG II 79.5750			2.50DR	
020CT17	B 1 JUN18 CQG II 79.6000			2.50DR	
020CT17	B 1 JUN18 CQG II 79.8250			2.50DR	
020CT17	S 1 JUL18 CQG II		79.4000	2.50DR	
020CT17	B 1 JUL18 CQG II 79.5000			2.50DR	
020CT17	S 2 JUL18 CQG II		79.9750	5.00DR	
020CT17	B 1 AUG18 CQG II 78.5750			2.50DR	
020CT17	B 1 AUG18 CQG II 78.5000			2.50DR	
020CT17	S 2 AUG18 CQG II		78.7000	5.00DR	
020CT17	B 1 AUG18 CQG II 79.2000			2.50DR	
	Commission				155.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 DEC17	115.2750	114.0500		490.00DR
02OCT17	1 DEC17	115.2250	113.8250		560.00DR
02OCT17	2 FEB18	117.5000	118.8750		1,100.00CR
02OCT17	1 FEB18	117.3750	118.8750		600.00CR
02OCT17	1 FEB18	117.3750	118.1250		300.00CR
02OCT17	1 APR18	120.0750	119.4250		260.00DR
02OCT17	1 APR18	119.3750	118.9000		190.00DR
02OCT17	1 AUG18	109.7750	111.0500		510.00CR
02OCT17	1 OCT18	111.7250	110.5750		460.00DR
	CME Feeder Cattle 500 CME				
02OCT17	1 NOV17	153.1250	153.5750		225.00CR
02OCT17	1 JAN18	151.1250	150.6250		250.00DR
02OCT17	1 JAN18	151.1250	149.6500		737.50DR
02OCT17	1 JAN18	149.7000	149.6500		25.00DR
02OCT17	1 MAR18	148.1500	148.6500		250.00CR
02OCT17	1 MAR18	149.0000	147.4250		787.50DR
	CME Lean Hog 400 CME				
02OCT17	1 DEC17	62.5000	62.3000		80.00DR
02OCT17	1 FEB18	67.0000	67.4250		170.00CR
02OCT17	1 FEB18	67.0000	67.4000		160.00CR
02OCT17	1 APR18	71.8250	71.0750		300.00DR
02OCT17	1 APR18	69.9000	71.5000		640.00CR
02OCT17	1 JUN18	79.6750	79.5500		50.00DR
02OCT17	1 JUL18	79.5000	79.4000		40.00DR
02OCT17	1 AUG18	78.5750	78.7000		50.00CR
02OCT17	1 AUG18	78.5000	78.7000		80.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				2,197.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				1,325.00DR
	CME Cattle Futures				550.00CR
	CME Lean Hog Futures				630.00CR
	COMMISSIONS				155.00DR
	CARRIED FORWARD BALANCE				2,497.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
020CT17	B 1 DEC17 CQG II 115.2750			2.50DR	
020CT17	B 1 DEC17 CQG II 114.8750			2.50DR	
020CT17	S 2 DEC17 CQG II		114.5500	5.00DR	
020CT17	S 1 FEB18 CQG II		118.9000	2.50DR	
020CT17	S 1 FEB18 CQG II		118.5500	2.50DR	
020CT17	B 1 FEB18 CQG II 118.2750			2.50DR	
020CT17	B 1 FEB18 CQG II 118.3000			2.50DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 JAN18 CQG II 149.5250			2.50DR	
020CT17	S 1 JAN18 CQG II		149.4250	2.50DR	
020CT17	S 1 MAR18 CQG II		147.3750	2.50DR	
020CT17	B 1 MAR18 CQG II 147.3250			2.50DR	
	CME Lean Hog 400 CME				
020CT17	S 1 FEB18 CQG II		65.4500	2.50DR	
020CT17	B 1 FEB18 CQG II 65.8750			2.50DR	
020CT17	S 1 FEB18 CQG II		65.8500	2.50DR	
020CT17	B 1 FEB18 CQG II 66.1750			2.50DR	
020CT17	B 1 APR18 CQG II 70.2750			2.50DR	
020CT17	S 1 APR18 CQG II		70.7000	2.50DR	
020CT17	B 1 APR18 CQG II 70.6750			2.50DR	
020CT17	S 1 APR18 CQG II		70.8750	2.50DR	
020CT17	S 1 APR18 CQG II		71.7250	2.50DR	
020CT17	B 1 MAY18 CQG II 76.9000			2.50DR	
	Commission				55.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
020CT17	1 DEC17	115.2750	114.5500		290.00DR
020CT17	1 DEC17	114.8750	114.5500		130.00DR
020CT17	1 FEB18	118.2750	118.9000		250.00CR
020CT17	1 FEB18	118.3000	118.5500		100.00CR
	CME Feeder Cattle 500 CME				
020CT17	1 JAN18	149.5250	149.4250		50.00DR
020CT17	1 MAR18	147.3250	147.3750		25.00CR
	CME Lean Hog 400 CME				
020CT17	1 FEB18	65.8750	65.4500		170.00DR
020CT17	1 FEB18	66.1750	65.8500		130.00DR
020CT17	1 APR18	70.2750	70.7000		170.00CR
020CT17	1 APR18	70.6750	70.8750		80.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,495.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				25.00DR
	CME Cattle Futures				70.00DR
	CME Lean Hog Futures				50.00DR
	COMMISSIONS				55.00DR
	CARRIED FORWARD BALANCE				2,695.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
020CT17	S 1 DEC17 CQG II		115.2000	2.50DR	
020CT17	B 1 DEC17 CQG II	114.9000		2.50DR	
020CT17	B 1 DEC17 CQG II	115.4000		2.50DR	
020CT17	S 2 DEC17 CQG II		114.5500	5.00DR	
020CT17	B 1 DEC17 CQG II	114.0500		2.50DR	
020CT17	S 1 DEC17 CQG II		114.1500	2.50DR	
020CT17	S 1 DEC17 CQG II		114.0750	2.50DR	
020CT17	B 1 FEB18 CQG II	118.4500		2.50DR	
020CT17	S 1 FEB18 CQG II		118.5000	2.50DR	
020CT17	S 2 FEB18 CQG II		119.0500	5.00DR	
020CT17	B 1 FEB18 CQG II	118.3000		2.50DR	
020CT17	B 2 FEB18 CQG II	118.2750		5.00DR	
020CT17	S 1 FEB18 CQG II		117.7000	2.50DR	
020CT17	S 1 FEB18 CQG II		117.6750	2.50DR	
020CT17	B 2 FEB18 CQG II	117.7750		5.00DR	
020CT17	B 2 FEB18 CQG II	117.5250		5.00DR	
020CT17	B 1 APR18 CQG II	120.3750		2.50DR	
020CT17	S 1 APR18 CQG II		119.5250	2.50DR	
020CT17	B 1 APR18 CQG II	118.8500		2.50DR	
020CT17	S 2 APR18 CQG II		118.9500	5.00DR	
	CME Feeder Cattle 500 CME				
020CT17	B 1 NOV17 CQG II	153.3000		2.50DR	
020CT17	S 1 NOV17 CQG II		153.7750	2.50DR	
020CT17	S 2 JAN18 CQG II		150.9500	5.00DR	
020CT17	B 2 JAN18 CQG II	151.2500		5.00DR	
020CT17	B 1 MAR18 CQG II	148.4500		2.50DR	
020CT17	S 1 MAR18 CQG II		148.7500	2.50DR	
	Commission				85.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
020CT17	1 DEC17	114.9000	115.2000		120.00CR
020CT17	1 DEC17	115.4000	114.5500		340.00DR
020CT17	1 DEC17	114.0500	114.5500		200.00CR
020CT17	1 DEC17	114.4250	114.1500		110.00DR
020CT17	1 FEB18	118.4500	118.5000		20.00CR
020CT17	1 FEB18	118.3000	119.0500		300.00CR
020CT17	1 FEB18	118.2750	119.0500		310.00CR
020CT17	1 FEB18	118.2750	117.7000		230.00DR
020CT17	1 FEB18	117.7750	117.6750		40.00DR
020CT17	1 FEB18	117.7750	117.3750		160.00DR
020CT17	1 APR18	120.3750	119.5250		340.00DR
020CT17	1 APR18	118.8500	118.9500		40.00CR
	CME Feeder Cattle 500 CME				
020CT17	1 NOV17	153.3000	153.7750		237.50CR
020CT17	1 JAN18	151.2500	150.9500		150.00DR
020CT17	1 JAN18	151.2500	150.9500		150.00DR
020CT17	1 MAR18	148.4500	148.7500		150.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				940.00CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				87.50CR
	CME Cattle Futures				230.00DR
	COMMISSIONS				85.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119Q

2nd October 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				712.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Feeder Cattle 500 CME				
020CT17	S 1 NOV17 CQG II		151.7750	2.50DR	
020CT17	B 1 NOV17 CQG II	151.5250		2.50DR	
020CT17	B 1 JAN18 CQG II	150.5000		2.50DR	
020CT17	B 1 JAN18 CQG II	149.5000		2.50DR	
020CT17	B 2 JAN18 CQG II	149.5750		5.00DR	
020CT17	S 1 JAN18 CQG II		149.4500	2.50DR	
020CT17	S 2 JAN18 CQG II		149.2250	5.00DR	
020CT17	S 1 MAR18 CQG II		148.1250	2.50DR	
020CT17	S 1 MAR18 CQG II		147.3750	2.50DR	
020CT17	S 1 MAR18 CQG II		147.4250	2.50DR	
020CT17	B 1 MAR18 CQG II	147.3750		2.50DR	
020CT17	B 1 MAR18 CQG II	147.2750		2.50DR	
	IEU Gas Oil 100 IPE				
020CT17	B 4 APR18 CQG EL	507.500		10.00DR	
020CT17	B 1 APR18 CQG EL	507.250		2.50DR	
020CT17	S 4 MAY18 CQG EL		505.750	10.00DR	
020CT17	S 1 MAY18 CQG EL		505.500	2.50DR	
	CME Lean Hog 400 CME				
020CT17	S 1 DEC17 CQG II		61.5500	2.50DR	
020CT17	B 1 DEC17 CQG II	61.9750		2.50DR	
020CT17	B 1 DEC17 CQG II	61.9500		2.50DR	
020CT17	B 1 FEB18 CQG II	66.2750		2.50DR	
020CT17	S 1 FEB18 CQG II		66.8000	2.50DR	
020CT17	S 1 FEB18 CQG II		66.9750	2.50DR	
020CT17	S 1 FEB18 CQG II		67.4750	2.50DR	
020CT17	B 1 FEB18 CQG II	67.4750		2.50DR	
020CT17	B 1 FEB18 CQG II	67.1250		2.50DR	
020CT17	S 2 FEB18 CQG II		67.1250	5.00DR	
020CT17	B 1 APR18 CQG II	71.5000		2.50DR	
020CT17	B 1 APR18 CQG II	71.8000		2.50DR	
020CT17	S 2 APR18 CQG II		71.7750	5.00DR	
020CT17	S 1 APR18 CQG II		71.8750	2.50DR	
020CT17	B 1 APR18 CQG II	71.9000		2.50DR	
020CT17	B 1 MAY18 CQG II	76.4750		2.50DR	
020CT17	B 1 MAY18 CQG II	76.8750		2.50DR	
020CT17	B 1 JUN18 CQG II	79.3750		2.50DR	
020CT17	S 1 JUN18 CQG II		79.6750	2.50DR	
020CT17	B 1 JUN18 CQG II	79.7750		2.50DR	
020CT17	B 1 JUN18 CQG II	79.8750		2.50DR	
020CT17	B 2 JUN18 CQG II	79.9000		5.00DR	
020CT17	S 4 JUN18 CQG II		79.8000	10.00DR	
020CT17	B 1 JUN18 CQG II	79.9250		2.50DR	
020CT17	S 2 JUL18 CQG II		79.3500	5.00DR	
020CT17	S 2 JUL18 CQG II		79.9250	5.00DR	
020CT17	S 2 JUL18 CQG II		80.0750	5.00DR	
020CT17	S 4 JUL18 CQG II		80.1250	10.00DR	
020CT17	B 8 JUL18 CQG II	80.2000		20.00DR	
020CT17	S 2 JUL18 CQG II		80.3000	5.00DR	
020CT17	B 1 AUG18 CQG II	78.5500		2.50DR	
020CT17	B 1 AUG18 CQG II	79.2000		2.50DR	
020CT17	B 1 AUG18 CQG II	79.3750		2.50DR	
020CT17	B 2 AUG18 CQG II	79.4500		5.00DR	
020CT17	S 4 AUG18 CQG II		79.6250	10.00DR	
020CT17	B 1 AUG18 CQG II	79.6500		2.50DR	
	ICE Sugar No.11 1,120 FIN				
020CT17	S 1 MAR18 CQG EL		14.43	2.50DR	
020CT17	B 1 MAR18 CQG EL	14.36		2.50DR	
	Commission				215.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CME Feeder Cattle 500 CME				
020CT17	1 NOV17	151.5250	151.7750		125.00CR
020CT17	1 JAN18	150.5000	149.4500		525.00DR
020CT17	1 JAN18	149.5000	149.2250		137.50DR
020CT17	1 JAN18	149.5750	149.2250		175.00DR
020CT17	1 JAN18	149.5750	150.8000		612.50CR
020CT17	1 MAR18	147.3750	148.1250		375.00CR
020CT17	1 MAR18	147.2750	147.3750		50.00CR
020CT17	1 MAR18	148.1500	147.4250		362.50DR
	CME Lean Hog 400 CME				
020CT17	1 DEC17	61.9750	61.5500		170.00DR
020CT17	1 FEB18	66.2750	66.8000		210.00CR
020CT17	1 FEB18	67.4750	66.9750		200.00DR
020CT17	1 FEB18	67.1250	67.4750		140.00CR
020CT17	1 APR18	71.5000	71.7750		110.00CR
020CT17	1 APR18	71.8000	71.7750		10.00DR
020CT17	1 APR18	71.9000	71.8750		10.00DR
020CT17	1 MAY18	76.4750	75.2500		490.00DR
020CT17	1 MAY18	76.8750	75.6250		500.00DR
020CT17	1 JUN18	79.3750	79.6750		120.00CR
020CT17	1 JUN18	79.7750	79.8000		10.00CR
020CT17	1 JUN18	79.8750	79.8000		30.00DR
020CT17	1 JUN18	79.9000	79.8000		40.00DR
020CT17	1 JUN18	79.9000	79.8000		40.00DR
020CT17	2 JUL18	80.2000	79.3500		680.00DR
020CT17	1 JUL18	80.2000	79.9250		110.00DR
020CT17	1 JUL18	80.2000	79.9250		110.00DR
020CT17	2 JUL18	80.2000	80.0750		100.00DR
020CT17	2 JUL18	80.2000	80.1250		60.00DR
020CT17	1 AUG18	78.5500	79.6250		430.00CR
020CT17	1 AUG18	79.2000	79.6250		170.00CR
020CT17	1 AUG18	79.3750	79.6250		100.00CR
020CT17	1 AUG18	79.4500	79.6250		70.00CR
020CT17	1 AUG18	79.4500	77.7750		670.00DR
	ICE Sugar No.11 1,120 FIN				
020CT17	1 MAR18	14.36	14.43		78.40CR
	TOTALS				
	BROUGHT FORWARD BALANCE				8,764.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				37.50DR
	CME Lean Hog Futures				1,860.00DR
	ICE Sugar No.11 Futures				78.40CR
	COMMISSIONS				215.00DR
	CARRIED FORWARD BALANCE				10,798.60DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

2nd October 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
020CT17	S 1 JUN18 CQG II		51.39	2.50DR	
020CT17	S 1 JUN18 CQG II		51.12	2.50DR	
020CT17	S 1 JUN18 CQG II		51.10	2.50DR	
020CT17	S 1 JUN18 CQG II		50.87	2.50DR	
020CT17	S 1 JUN18 CQG II		51.07	2.50DR	
020CT17	S 1 JUN18 CQG II		51.06	2.50DR	
020CT17	S 1 JUN18 CQG II		51.08	2.50DR	
020CT17	S 1 JUN18 CQG II		51.18	2.50DR	
020CT17	S 1 JUN18 CQG II		51.13	2.50DR	
020CT17	B 1 DEC18 CQG II	50.95		2.50DR	
020CT17	B 1 DEC18 CQG II	50.96		2.50DR	
020CT17	B 2 DEC18 CQG II	50.70		5.00DR	
020CT17	B 2 DEC18 CQG II	50.69		5.00DR	
020CT17	B 3 DEC18 CQG II	50.38		7.50DR	
020CT17	B 6 DEC18 CQG II	50.60		15.00DR	
020CT17	B 2 DEC18 CQG II	50.62		5.00DR	
020CT17	B 1 DEC18 CQG II	50.61		2.50DR	
020CT17	B 3 DEC18 CQG II	50.71		7.50DR	
020CT17	B 3 DEC18 CQG II	50.66		7.50DR	
020CT17	S 1 JUN19 CQG II		49.98	2.50DR	
020CT17	S 2 JUN19 CQG II		49.97	5.00DR	
020CT17	S 3 JUN19 CQG II		50.17	7.50DR	
020CT17	S 3 JUN19 CQG II		50.18	7.50DR	
020CT17	S 2 JUN19 CQG II		50.20	5.00DR	
020CT17	S 1 JUN19 CQG II		50.21	2.50DR	
020CT17	S 1 JUN19 CQG II		50.28	2.50DR	
020CT17	S 2 JUN19 CQG II		50.30	5.00DR	
020CT17	S 2 JUN19 CQG II		50.25	5.00DR	
020CT17	S 1 JUN19 CQG II		50.27	2.50DR	
020CT17	S 1 DEC19 CQG II		50.32	2.50DR	
020CT17	S 2 DEC19 CQG II		50.12	5.00DR	
020CT17	B 1 DEC19 CQG II	49.77		2.50DR	
020CT17	B 1 DEC19 CQG II	49.91		2.50DR	
020CT17	B 1 DEC19 CQG II	49.92		2.50DR	
020CT17	B 1 DEC19 CQG II	49.95		2.50DR	
020CT17	B 1 DEC19 CQG II	50.03		2.50DR	
020CT17	B 1 DEC19 CQG II	50.01		2.50DR	
	IEU Brent Crude 1,000 IPE				
020CT17	B 1 JUN18 CQG EL	55.64		2.50DR	
020CT17	B 1 JUN18 CQG EL	55.34		2.50DR	
020CT17	B 2 JUN18 CQG EL	55.16		5.00DR	
020CT17	B 1 JUN18 CQG EL	55.08		2.50DR	
020CT17	B 1 JUN18 CQG EL	55.06		2.50DR	
020CT17	S 4 JUN18 CQG EL		55.13	10.00DR	
020CT17	B 1 JUN18 CQG EL	54.82		2.50DR	
020CT17	B 2 JUN18 CQG EL	54.84		5.00DR	
020CT17	B 1 JUN18 CQG EL	54.85		2.50DR	
020CT17	S 4 JUN18 CQG EL		54.98	10.00DR	
020CT17	B 4 JUN18 CQG EL	55.14		10.00DR	
020CT17	S 4 JUN18 CQG EL		55.25	10.00DR	
020CT17	S 3 JUN18 CQG EL		55.24	7.50DR	
020CT17	S 3 DEC18 CQG EL		55.18	7.50DR	
020CT17	S 2 DEC18 CQG EL		54.88	5.00DR	
020CT17	S 2 DEC18 CQG EL		54.70	5.00DR	
020CT17	S 4 DEC18 CQG EL		54.71	10.00DR	
020CT17	S 2 DEC18 CQG EL		54.68	5.00DR	
020CT17	S 2 DEC18 CQG EL		54.66	5.00DR	
020CT17	S 2 DEC18 CQG EL		54.63	5.00DR	
020CT17	B 9 DEC18 CQG EL	54.60		22.50DR	
020CT17	S 1 DEC18 CQG EL		54.38	2.50DR	
020CT17	S 2 DEC18 CQG EL		54.37	5.00DR	
020CT17	S 5 DEC18 CQG EL		54.39	12.50DR	
020CT17	S 1 DEC18 CQG EL		54.40	2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	B	9	DEC18	CQG	EL	54.46		22.50DR	
02OCT17	S	9	DEC18	CQG	EL		54.61	22.50DR	
02OCT17	B	4	DEC18	CQG	EL	54.67		10.00DR	
02OCT17	B	5	DEC18	CQG	EL	54.68		12.50DR	
02OCT17	B	2	DEC18	CQG	EL	54.66		5.00DR	
02OCT17	B	3	DEC18	CQG	EL	54.65		7.50DR	
02OCT17	B	1	JUN19	CQG	EL	54.93		2.50DR	
02OCT17	B	2	JUN19	CQG	EL	54.94		5.00DR	
02OCT17	B	2	JUN19	CQG	EL	54.48		5.00DR	
02OCT17	B	3	JUN19	CQG	EL	54.47		7.50DR	
02OCT17	B	3	JUN19	CQG	EL	54.46		7.50DR	
02OCT17	B	3	JUN19	CQG	EL	54.45		7.50DR	
02OCT17	S	5	JUN19	CQG	EL		54.35	12.50DR	
02OCT17	B	1	JUN19	CQG	EL	54.16		2.50DR	
02OCT17	B	1	JUN19	CQG	EL	54.15		2.50DR	
02OCT17	B	3	JUN19	CQG	EL	54.17		7.50DR	
02OCT17	S	5	JUN19	CQG	EL		54.22	12.50DR	
02OCT17	B	5	JUN19	CQG	EL	54.33		12.50DR	
02OCT17	S	5	JUN19	CQG	EL		54.39	12.50DR	
02OCT17	S	1	DEC19	CQG	EL		54.71	2.50DR	
02OCT17	B	1	DEC19	CQG	EL	54.45		2.50DR	
02OCT17	S	1	DEC19	CQG	EL		54.27	2.50DR	
02OCT17	S	4	DEC19	CQG	EL		54.28	10.00DR	
02OCT17	B	1	DEC19	CQG	EL	54.30		2.50DR	
02OCT17	B	1	DEC19	CQG	EL	54.25		2.50DR	
02OCT17	S	2	DEC19	CQG	EL		54.15	5.00DR	
	Commission								515.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
02OCT17		1	JUN18			52.57	51.39		1,180.00DR
02OCT17		1	JUN18			52.55	51.12		1,430.00DR
02OCT17		1	JUN18			52.55	51.10		1,450.00DR
02OCT17		1	JUN18			52.55	50.87		1,680.00DR
02OCT17		1	JUN18			51.87	51.07		800.00DR
02OCT17		1	DEC18			50.95	51.94		990.00CR
02OCT17		1	DEC18			50.96	51.94		980.00CR
02OCT17		2	DEC18			50.70	51.94		2,480.00CR
02OCT17		1	DEC18			50.69	51.94		1,250.00CR
02OCT17		1	DEC18			50.69	51.94		1,250.00CR
02OCT17		1	DEC18			50.38	51.94		1,560.00CR
02OCT17		1	DEC18			50.38	51.92		1,540.00CR
02OCT17		1	DEC18			50.38	51.92		1,540.00CR
02OCT17		1	DEC18			50.60	51.92		1,320.00CR
02OCT17		1	DEC18			50.60	51.31		710.00CR
02OCT17		1	DEC18			50.60	51.31		710.00CR
02OCT17		1	JUN19			51.31	49.97		1,340.00DR
02OCT17		1	JUN19			51.31	49.98		1,330.00DR
02OCT17		1	JUN19			51.31	49.97		1,340.00DR
02OCT17		1	JUN19			51.31	50.17		1,140.00DR
02OCT17		1	JUN19			51.31	50.17		1,140.00DR
02OCT17		1	JUN19			51.34	50.17		1,170.00DR
02OCT17		1	DEC19			49.77	50.32		550.00CR
02OCT17		1	DEC19			49.91	50.12		210.00CR
02OCT17		1	DEC19			49.92	50.12		200.00CR
	IEU Brent Crude 1,000 IPE								
02OCT17		1	JUN18			55.64	55.13		510.00DR
02OCT17		1	JUN18			55.34	55.13		210.00DR
02OCT17		1	JUN18			55.16	55.13		30.00DR
02OCT17		1	JUN18			55.16	55.13		30.00DR
02OCT17		1	JUN18			55.08	54.98		100.00DR
02OCT17		1	JUN18			55.06	54.98		80.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

2nd October 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	1 JUN18	54.84	54.98		140.00CR
02OCT17	1 JUN18	54.82	54.98		160.00CR
02OCT17	1 JUN18	54.84	55.25		410.00CR
02OCT17	1 JUN18	54.85	55.25		400.00CR
02OCT17	2 JUN18	55.14	55.25		220.00CR
02OCT17	2 JUN18	55.14	55.24		200.00CR
02OCT17	1 DEC18	54.60	55.18		580.00CR
02OCT17	1 DEC18	54.60	55.18		580.00CR
02OCT17	1 DEC18	54.60	55.18		580.00CR
02OCT17	1 DEC18	54.60	54.88		280.00CR
02OCT17	1 DEC18	54.60	54.88		280.00CR
02OCT17	1 DEC18	54.60	54.70		100.00CR
02OCT17	1 DEC18	54.60	54.70		100.00CR
02OCT17	1 DEC18	54.60	54.71		110.00CR
02OCT17	1 DEC18	54.60	54.71		110.00CR
02OCT17	1 DEC18	54.46	54.71		250.00CR
02OCT17	1 DEC18	54.46	54.71		250.00CR
02OCT17	2 DEC18	54.46	54.68		440.00CR
02OCT17	1 DEC18	54.46	54.66		200.00CR
02OCT17	1 DEC18	54.46	54.66		200.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.63		170.00CR
02OCT17	1 DEC18	54.46	54.38		80.00DR
02OCT17	1 DEC18	54.68	54.39		290.00DR
02OCT17	1 DEC18	54.68	54.37		310.00DR
02OCT17	1 DEC18	54.67	54.37		300.00DR
02OCT17	2 DEC18	54.67	54.39		560.00DR
02OCT17	1 DEC18	54.67	54.39		280.00DR
02OCT17	1 DEC18	54.68	54.39		290.00DR
02OCT17	1 DEC18	54.68	54.40		280.00DR
02OCT17	1 DEC18	54.68	54.61		70.00DR
02OCT17	2 DEC18	54.66	54.61		100.00DR
02OCT17	2 DEC18	54.65	54.61		80.00DR
02OCT17	1 DEC18	54.65	54.61		40.00DR
02OCT17	2 DEC18	55.90	54.61		2,580.00DR
02OCT17	1 DEC18	55.91	54.61		1,300.00DR
02OCT17	1 JUN19	54.93	54.35		580.00DR
02OCT17	1 JUN19	54.94	54.35		590.00DR
02OCT17	1 JUN19	54.94	54.35		590.00DR
02OCT17	2 JUN19	54.47	54.35		240.00DR
02OCT17	1 JUN19	54.48	54.22		260.00DR
02OCT17	1 JUN19	54.48	54.22		260.00DR
02OCT17	1 JUN19	54.46	54.22		240.00DR
02OCT17	1 JUN19	54.47	54.22		250.00DR
02OCT17	1 JUN19	54.46	54.22		240.00DR
02OCT17	1 JUN19	54.46	54.39		70.00DR
02OCT17	1 JUN19	54.45	54.39		60.00DR
02OCT17	2 JUN19	54.45	54.39		120.00DR
02OCT17	1 JUN19	54.16	54.39		230.00CR
02OCT17	1 JUN19	54.15	55.26		1,110.00CR
02OCT17	1 JUN19	54.17	55.26		1,090.00CR
02OCT17	1 JUN19	54.17	55.26		1,090.00CR
02OCT17	1 JUN19	54.17	55.26		1,090.00CR
02OCT17	1 JUN19	54.33	55.26		930.00CR
02OCT17	1 JUN19	54.33	55.54		1,210.00CR
02OCT17	1 JUN19	54.33	55.57		1,240.00CR
02OCT17	1 JUN19	54.33	55.57		1,240.00CR
02OCT17	1 JUN19	54.33	55.57		1,240.00CR
02OCT17	1 DEC19	54.45	54.71		260.00CR
02OCT17	1 DEC19	54.30	54.27		30.00DR
02OCT17	1 DEC19	54.25	54.28		30.00CR
02OCT17	1 DEC19	55.20	54.28		920.00DR
02OCT17	1 DEC19	55.20	54.28		920.00DR
02OCT17	1 DEC19	55.20	54.28		920.00DR
02OCT17	1 DEC19	55.10	54.15		950.00DR
02OCT17	1 DEC19	55.15	54.15		1,000.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0119S

2nd October 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,825.00DR
	SETTLEMENTS				
	IEU Brent Crude Futures				930.00CR
	NYM Light Sweet Crude Oil Futures				1,290.00CR
	COMMISSIONS				515.00DR
	CARRIED FORWARD BALANCE				17,120.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0119

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		995,856.40CR
	SETTLEMENTS		
	CBT Wheat Futures		12.50DR
	CME Cattle Futures		430.00CR
	CME Feeder Cattle Futures		912.50DR
	CME Lean Hog Futures		500.00CR
	CME Random Length Lumber Futures		55.00CR
	CMX Copper Futures		137.50DR
	ICE Sugar No.11 Futures		78.40CR
	IEU Brent Crude Futures		880.00CR
	NYM Light Sweet Crude Oil Futures		6,440.00CR
	NYM RBOB Reformulated Gasoline Futu Futures		1,092.00CR
	White Sugar Futures		500.00CR
	COMMISSIONS		2,931.16DR
	CARRIED FORWARD BALANCE		1,001,838.14CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0120 2nd October 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTEREST</u>				
25SEP17	GROUP INTEREST FOR SAPG0120 FOR 25/09/17				0.02DR
26SEP17	GROUP INTEREST FOR SAPG0120 FOR 26/09/17				0.02DR
27SEP17	GROUP INTEREST FOR SAPG0120 FOR 27/09/17				0.02DR
28SEP17	GROUP INTEREST FOR SAPG0120 FOR 28/09/17				0.02DR
29SEP17	GROUP INTEREST FOR SAPG0120 FOR 29/09/17				0.07DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				134.11CR
	INTEREST				0.15DR
	CARRIED FORWARD BALANCE				133.96CR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>INTEREST</u>				
01SEP17	GROUP INTEREST FOR SAPG0120 FOR 01/09/17				17.71CR
04SEP17	GROUP INTEREST FOR SAPG0120 FOR 04/09/17				5.90CR
05SEP17	GROUP INTEREST FOR SAPG0120 FOR 05/09/17				0.02CR
06SEP17	GROUP INTEREST FOR SAPG0120 FOR 06/09/17				0.02CR
07SEP17	GROUP INTEREST FOR SAPG0120 FOR 07/09/17				0.02CR
08SEP17	GROUP INTEREST FOR SAPG0120 FOR 08/09/17				0.05CR
11SEP17	GROUP INTEREST FOR SAPG0120 FOR 11/09/17				0.01CR
12SEP17	GROUP INTEREST FOR SAPG0120 FOR 12/09/17				0.01CR
13SEP17	GROUP INTEREST FOR SAPG0120 FOR 13/09/17				0.01CR
14SEP17	GROUP INTEREST FOR SAPG0120 FOR 14/09/17				0.01CR
15SEP17	GROUP INTEREST FOR SAPG0120 FOR 15/09/17				0.04CR
18SEP17	GROUP INTEREST FOR SAPG0120 FOR 18/09/17				0.01CR
19SEP17	GROUP INTEREST FOR SAPG0120 FOR 19/09/17				0.01CR
20SEP17	GROUP INTEREST FOR SAPG0120 FOR 20/09/17				0.01CR
21SEP17	GROUP INTEREST FOR SAPG0120 FOR 21/09/17				0.01CR
22SEP17	GROUP INTEREST FOR SAPG0120 FOR 22/09/17				0.04CR
25SEP17	GROUP INTEREST FOR SAPG0120 FOR 25/09/17				0.01DR
26SEP17	GROUP INTEREST FOR SAPG0120 FOR 26/09/17				0.01DR
27SEP17	GROUP INTEREST FOR SAPG0120 FOR 27/09/17				0.01DR
28SEP17	GROUP INTEREST FOR SAPG0120 FOR 28/09/17				0.01DR
29SEP17	GROUP INTEREST FOR SAPG0120 FOR 29/09/17				0.02DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,920.22CR
	INTEREST				23.82CR
	CARRIED FORWARD BALANCE				2,944.04CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0120
SUITE A, 105 PICCADILLY
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SAPG0120

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		851.29DR
	INTEREST		0.15DR
	CARRIED FORWARD BALANCE		851.44DR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		89.68DR
	INTEREST		23.82CR
	CARRIED FORWARD BALANCE		65.86DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0123A

2nd October 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
02OCT17	<u>BUSINESS DONE TODAY</u>				
	CME E-MINI S&P 500 Week 3 Options 50 CME S 25 NOV17 1610.00 Put GX Commission		0.15	22.00DR	187.50CR 22.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 228.00CR OPTION PREMIUMS 187.50CR COMMISSIONS 22.00DR CARRIED FORWARD BALANCE 393.50CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0123
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0123

2nd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		228.00CR
	OPTION PREMIUMS		187.50CR
	COMMISSIONS		22.00DR
	CARRIED FORWARD BALANCE		393.50CR