

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0011D

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 2 27DEC17 DMA EL		6519.50	10.10DR	
26SEP17	S 2 27DEC17 DMA EL		6521.00	10.10DR	
26SEP17	S 1 27DEC17 DMA EL		6523.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6410.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6440.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6442.00	5.05DR	
26SEP17	S 2 27DEC17 DMA EL		6443.00	10.10DR	
	CMX Copper 25,000 CMX				
26SEP17	B 5 DEC17 II	296.200		10.50DR	
26SEP17	B 2 DEC17 II	296.400		4.20DR	
26SEP17	B 4 DEC17 II	296.300		8.40DR	
26SEP17	B 5 DEC17 II	292.650		10.50DR	
26SEP17	B 2 DEC17 II	292.700		4.20DR	
26SEP17	B 4 DEC17 II	292.600		8.40DR	
	Commission				96.70DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	5 DEC17	296.200	299.000		3,500.00CR
26SEP17	2 DEC17	296.300	299.050		1,375.00CR
26SEP17	2 DEC17	296.400	299.050		1,325.00CR
26SEP17	2 DEC17	296.300	299.050		1,375.00CR
26SEP17	5 DEC17	292.650	297.000		5,437.50CR
26SEP17	2 DEC17	292.700	296.950		2,125.00CR
26SEP17	2 DEC17	292.600	296.950		2,175.00CR
26SEP17	2 DEC17	292.600	296.950		2,175.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	3 26SEP17	5950.88	5790.50		12,028.50DR
20JUL17	1 26SEP17	5950.88	5791.50		3,984.50DR
20JUL17	1 26SEP17	5950.88	5791.50		3,984.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				311,798.60DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,997.50DR
	CMX Copper Futures				19,487.50CR
	COMMISSIONS				96.70DR
	CARRIED FORWARD BALANCE				312,405.30DR

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	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 2 27DEC17 DMA EL		6504.50	10.10DR	
26SEP17	S 3 27DEC17 DMA EL		6507.50	15.15DR	
	CMX Copper 25,000 CMX				
26SEP17	B 5 DEC17 II	295.600		10.50DR	
26SEP17	B 6 MAR18 II	297.650		12.60DR	
	Commission				48.35DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	5 MAR18	297.650	307.150		11,875.00CR
26SEP17	1 MAR18	297.650	307.200		2,387.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				115,869.80DR
	SETTLEMENTS				
	CMX Copper Futures				14,262.50CR
	COMMISSIONS				48.35DR
	CARRIED FORWARD BALANCE				101,655.65DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		6436.00	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6438.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6439.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6440.50	5.05DR	
26SEP17	S 2 27DEC17 DMA EL		6441.50	10.10DR	
26SEP17	S 1 27DEC17 DMA EL		6429.50	5.05DR	
26SEP17	S 1 27DEC17 DMA EL		6435.00	5.05DR	
26SEP17	S 2 27DEC17 DMA EL		6442.50	10.10DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 II 292.550			4.20DR	
26SEP17	B 3 DEC17 II 292.450			6.30DR	
26SEP17	B 2 DEC17 II 292.600			4.20DR	
26SEP17	B 4 DEC17 II 292.650			8.40DR	
26SEP17	B 3 MAR18 II 294.450			6.30DR	
26SEP17	B 1 MAR18 II 294.650			2.10DR	
26SEP17	B 3 MAR18 II 294.600			6.30DR	
26SEP17	B 1 MAR18 II 294.550			2.10DR	
26SEP17	B 1 MAR18 II 294.500			2.10DR	
26SEP17	B 2 MAR18 II 294.200			4.20DR	
	Commission				96.70DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	292.450	290.600		925.00DR
26SEP17	2 DEC17	292.550	290.600		975.00DR
26SEP17	1 DEC17	292.450	290.600		462.50DR
26SEP17	2 DEC17	292.600	290.650		975.00DR
26SEP17	4 DEC17	292.650	290.650		2,000.00DR
26SEP17	2 MAR18	294.600	312.650		9,025.00CR
26SEP17	2 MAR18	294.450	312.600		9,075.00CR
26SEP17	1 MAR18	294.450	312.700		4,562.50CR
26SEP17	1 MAR18	294.650	312.500		4,462.50CR
26SEP17	1 MAR18	294.600	312.500		4,475.00CR
26SEP17	1 MAR18	294.550	312.350		4,450.00CR
26SEP17	1 MAR18	294.500	312.350		4,462.50CR
26SEP17	2 MAR18	294.200	312.350		9,075.00CR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	2 26SEP17	5950.88	5791.50		7,969.00DR
20JUL17	2 26SEP17	5950.88	5791.50		7,969.00DR
20JUL17	1 26SEP17	5950.88	5791.50		3,984.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				141,697.85DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,922.50DR
	CMX Copper Futures				44,250.00CR
	COMMISSIONS				96.70DR
	CARRIED FORWARD BALANCE				117,467.05DR

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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SAP0011H

26th September 2017

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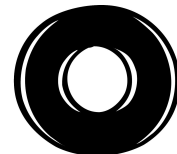
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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 26SEP17	5950.88	5786.00		4,122.00DR
20JUL17	1 26SEP17	5950.88	5786.00		4,122.00DR
20JUL17	2 26SEP17	5950.88	5786.00		8,244.00DR
20JUL17	1 26SEP17	5950.88	5786.00		4,122.00DR
20JUL17	1 26SEP17	5950.88	5827.00		3,097.00DR
20JUL17	1 26SEP17	5950.88	5827.00		3,097.00DR
20JUL17	1 26SEP17	5950.88	5827.50		3,084.50DR
20JUL17	1 26SEP17	5950.88	5827.50		3,084.50DR
20JUL17	1 26SEP17	5950.88	5828.50		3,059.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				183,192.86DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				36,032.50DR
	CARRIED FORWARD BALANCE				219,225.36DR

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SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB011

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,883,537.98DR
	SETTLEMENTS		
	CMX Copper Futures		78,000.00CR
	LME Copper Grade A 25 tonnes. This Futures		75,952.50DR
	COMMISSIONS		241.75DR
	CARRIED FORWARD BALANCE		1,881,732.23DR

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SAP0025M

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 26SEP17	5950.88	5797.50		3,834.50DR
20JUL17	1 26SEP17	5950.88	5796.50		3,859.50DR
20JUL17	1 26SEP17	5950.88	5796.50		3,859.50DR
20JUL17	1 26SEP17	5950.88	5793.00		3,947.00DR
20JUL17	1 26SEP17	5950.88	5795.00		3,897.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				458,321.98DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				19,397.50DR
	CARRIED FORWARD BALANCE				477,719.48DR

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	DMA	EL	6479.50		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6477.50		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6493.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6479.00		1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6407.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6411.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6411.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6412.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6409.50	1.56DR	
26SEP17	S	5	27DEC17	DMA	EL		6410.50	7.80DR	
26SEP17	S	1	27DEC17	DMA	EL		6410.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6417.00	1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6417.50	3.12DR	
26SEP17	S	1	27DEC17	DMA	EL		6418.00	1.56DR	
	CMX Copper 25,000 CMX								
26SEP17	S	4	DEC17		GX		294.550	9.28DR	
26SEP17	S	5	DEC17		GX		294.450	11.60DR	
26SEP17	S	2	DEC17		GX		295.150	4.64DR	
26SEP17	B	2	DEC17		GX	291.350		4.64DR	
26SEP17	B	3	DEC17		GX	291.300		6.96DR	
26SEP17	B	2	DEC17		GX	291.100		4.64DR	
26SEP17	B	13	DEC17		GX	291.250		30.16DR	
26SEP17	B	4	DEC17		GX	291.200		9.28DR	
26SEP17	B	9	DEC17		GX	291.500		20.88DR	
	Commission								133.28DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			291.250	294.550		1,650.00CR
26SEP17		2	DEC17			291.350	294.550		1,600.00CR
26SEP17		3	DEC17			291.300	294.450		2,362.50CR
26SEP17		2	DEC17			291.250	294.450		1,600.00CR
26SEP17		2	DEC17			291.200	295.150		1,975.00CR
26SEP17		2	DEC17			291.100	293.000		950.00CR
26SEP17		2	DEC17			291.250	293.000		875.00CR
26SEP17		2	DEC17			291.250	293.200		975.00CR
26SEP17		3	DEC17			291.250	293.200		1,462.50CR
26SEP17		2	DEC17			291.250	293.100		925.00CR
26SEP17		2	DEC17			291.200	292.600		700.00CR
26SEP17		1	DEC17			291.500	292.600		275.00CR
26SEP17		1	DEC17			291.500	292.600		275.00CR
26SEP17		1	DEC17			291.500	292.600		275.00CR
26SEP17		3	DEC17			291.500	292.600		825.00CR
26SEP17		1	DEC17			291.500	292.600		275.00CR
26SEP17		2	DEC17			291.500	292.600		550.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								168,780.00DR
	SETTLEMENTS								
	CMX Copper Futures								17,550.00CR
	COMMISSIONS								133.28DR
	CARRIED FORWARD BALANCE								151,363.28DR

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	DMA	EL	6479.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6479.50		1.56DR	
26SEP17	B	8	27DEC17	DMA	EL	6480.00		12.48DR	
26SEP17	B	1	27DEC17	DMA	EL	6497.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6501.50		1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6496.00	1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6501.00	3.12DR	
26SEP17	S	1	27DEC17	DMA	EL		6503.50	1.56DR	
26SEP17	S	3	27DEC17	DMA	EL		6504.50	4.68DR	
26SEP17	S	1	27DEC17	DMA	EL		6516.50	1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6517.50	3.12DR	
26SEP17	S	1	27DEC17	DMA	EL		6522.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6525.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6510.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6512.00	1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6500.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6505.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6508.50		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6512.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6513.50		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6498.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6499.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6501.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6503.00		3.12DR	
26SEP17	B	2	27DEC17	DMA	EL	6507.00		3.12DR	
26SEP17	B	2	27DEC17	DMA	EL	6508.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6509.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6502.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6502.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6503.50		1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6415.00	1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6407.00	3.12DR	
26SEP17	S	2	27DEC17	DMA	EL		6407.50	3.12DR	
26SEP17	S	1	27DEC17	DMA	EL		6408.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6409.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6410.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6416.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6416.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6409.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6410.00	1.56DR	
26SEP17	S	7	27DEC17	DMA	EL		6411.00	10.92DR	
26SEP17	S	1	27DEC17	DMA	EL		6404.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6417.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6419.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6420.00	1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6418.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6424.50		3.12DR	
	CMX Copper 25,000 CMX								
26SEP17	S	9	DEC17		GX		294.600	20.88DR	
26SEP17	S	5	DEC17		GX		294.500	11.60DR	
26SEP17	S	8	DEC17		GX		294.550	18.56DR	
26SEP17	S	3	DEC17		GX		295.350	6.96DR	
26SEP17	S	1	DEC17		GX		295.900	2.32DR	
26SEP17	B	2	DEC17		GX	295.200		4.64DR	
26SEP17	B	2	DEC17		GX	295.450		4.64DR	
26SEP17	B	7	DEC17		GX	295.550		16.24DR	
26SEP17	B	2	DEC17		GX	295.500		4.64DR	
26SEP17	B	3	DEC17		GX	295.400		6.96DR	
26SEP17	B	2	DEC17		GX	296.500		4.64DR	
26SEP17	B	4	DEC17		GX	296.150		9.28DR	
26SEP17	B	2	DEC17		GX	296.100		4.64DR	

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26SEP17	B	3	DEC17	GX	296.350		6.96DR	
26SEP17	B	2	DEC17	GX	295.900		4.64DR	
26SEP17	B	2	DEC17	GX	295.800		4.64DR	
26SEP17	S	2	DEC17	GX		296.000	4.64DR	
26SEP17	S	2	DEC17	GX		296.050	4.64DR	
26SEP17	S	6	DEC17	GX		295.850	13.92DR	
26SEP17	S	4	DEC17	GX		295.750	9.28DR	
26SEP17	S	5	DEC17	GX		295.800	11.60DR	
26SEP17	S	2	DEC17	GX		295.450	4.64DR	
26SEP17	S	4	DEC17	GX		295.650	9.28DR	
26SEP17	S	4	DEC17	GX		295.400	9.28DR	
26SEP17	S	7	DEC17	GX		295.550	16.24DR	
26SEP17	S	5	DEC17	GX		295.600	11.60DR	
26SEP17	S	8	DEC17	GX		295.500	18.56DR	
26SEP17	B	2	DEC17	GX	291.450		4.64DR	
26SEP17	B	2	DEC17	GX	291.500		4.64DR	
26SEP17	B	2	DEC17	GX	291.150		4.64DR	
26SEP17	B	11	DEC17	GX	291.100		25.52DR	
26SEP17	B	2	DEC17	GX	291.200		4.64DR	
26SEP17	B	2	DEC17	GX	291.350		4.64DR	
26SEP17	B	19	DEC17	GX	291.250		44.08DR	
26SEP17	B	2	DEC17	GX	291.000		4.64DR	
26SEP17	B	4	DEC17	GX	291.600		9.28DR	
26SEP17	B	5	DEC17	GX	291.550		11.60DR	
26SEP17	S	2	DEC17	GX		291.650	4.64DR	
26SEP17	S	5	DEC17	GX		291.950	11.60DR	
	Commission							495.92DR
	SETTLEMENTS							
	CMX Copper 25,000 CMX							
26SEP17		2	DEC17		295.200	294.600		300.00DR
26SEP17		2	DEC17		295.450	294.600		425.00DR
26SEP17		5	DEC17		295.550	294.600		1,187.50DR
26SEP17		2	DEC17		295.550	294.550		500.00DR
26SEP17		2	DEC17		295.500	294.500		500.00DR
26SEP17		2	DEC17		295.400	294.500		450.00DR
26SEP17		1	DEC17		295.400	294.550		212.50DR
26SEP17		1	DEC17		296.500	294.500		500.00DR
26SEP17		1	DEC17		296.500	294.550		487.50DR
26SEP17		2	DEC17		296.150	294.550		800.00DR
26SEP17		2	DEC17		296.150	294.550		800.00DR
26SEP17		2	DEC17		296.100	295.500		300.00DR
26SEP17		2	DEC17		296.350	295.550		400.00DR
26SEP17		1	DEC17		296.350	295.350		250.00DR
26SEP17		2	DEC17		295.900	295.350		275.00DR
26SEP17		1	DEC17		295.800	295.900		25.00CR
26SEP17		1	DEC17		295.800	295.850		12.50CR
26SEP17		1	DEC17		291.450	296.000		1,137.50CR
26SEP17		1	DEC17		291.450	296.000		1,137.50CR
26SEP17		2	DEC17		291.550	296.050		2,250.00CR
26SEP17		1	DEC17		291.500	295.850		1,087.50CR
26SEP17		1	DEC17		291.500	295.850		1,087.50CR
26SEP17		1	DEC17		291.250	295.850		1,150.00CR
26SEP17		2	DEC17		291.250	295.850		2,300.00CR
26SEP17		2	DEC17		291.100	295.650		2,275.00CR
26SEP17		2	DEC17		291.150	295.750		2,300.00CR
26SEP17		2	DEC17		291.100	295.750		2,325.00CR
26SEP17		5	DEC17		291.100	295.800		5,875.00CR
26SEP17		2	DEC17		291.100	295.400		2,150.00CR
26SEP17		2	DEC17		291.200	295.450		2,125.00CR
26SEP17		2	DEC17		291.250	295.500		2,125.00CR
26SEP17		2	DEC17		291.350	295.600		2,125.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025U

26th September 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	291.250	295.600		1,087.50CR
26SEP17	2 DEC17	291.250	295.650		2,200.00CR
26SEP17	2 DEC17	291.250	295.400		2,075.00CR
26SEP17	1 DEC17	291.250	295.500		1,062.50CR
26SEP17	1 DEC17	291.250	295.500		1,062.50CR
26SEP17	2 DEC17	291.250	295.550		2,150.00CR
26SEP17	2 DEC17	291.250	295.550		2,150.00CR
26SEP17	1 DEC17	291.250	295.550		1,075.00CR
26SEP17	2 DEC17	291.250	295.600		2,175.00CR
26SEP17	2 DEC17	291.000	295.500		2,250.00CR
26SEP17	2 DEC17	291.600	291.650		25.00CR
26SEP17	2 DEC17	291.600	291.950		175.00CR
26SEP17	3 DEC17	291.550	291.950		300.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				103,891.42DR
	SETTLEMENTS				
	CMX Copper Futures				41,887.50CR
	COMMISSIONS				495.92DR
	CARRIED FORWARD BALANCE				62,499.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025V

26th September 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	DMA	EL	6479.50		5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6481.50		5.00DR	
26SEP17	B	2	27DEC17	DMA	EL	6482.00		10.00DR	
26SEP17	S	1	27DEC17	DMA	EL		6481.00	5.00DR	
26SEP17	S	2	27DEC17	DMA	EL		6502.50	10.00DR	
26SEP17	S	2	27DEC17	DMA	EL		6503.50	10.00DR	
26SEP17	S	1	27DEC17	DMA	EL		6504.50	5.00DR	
26SEP17	S	5	27DEC17	DMA	EL		6520.00	25.00DR	
26SEP17	B	2	27DEC17	DMA	EL	6480.00		10.00DR	
26SEP17	S	5	27DEC17	DMA	EL		6410.00	25.00DR	
26SEP17	S	5	27DEC17	DMA	EL		6419.50	25.00DR	
26SEP17	B	3	27DEC17	DMA	EL	6416.00		15.00DR	
26SEP17	B	2	27DEC17	DMA	EL	6417.00		10.00DR	
26SEP17	S	5	27DEC17	DMA	EL		6433.00	25.00DR	
	CMX Copper 25,000 CMX								
26SEP17	S	3	DEC17		GX		294.550		
26SEP17	S	8	DEC17		GX		294.600		
26SEP17	B	4	DEC17		GX	295.450			
26SEP17	B	4	DEC17		GX	295.500			
26SEP17	B	3	DEC17		GX	295.550			
26SEP17	B	11	DEC17		GX	296.250			
26SEP17	B	11	DEC17		GX	291.150			
26SEP17	B	11	DEC17		GX	291.600			
26SEP17	S	4	DEC17		GX		291.600		
26SEP17	S	7	DEC17		GX		291.550		
26SEP17	B	9	DEC17		GX	292.200			
26SEP17	B	2	DEC17		GX	292.250			
	Commission								185.00DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		4	DEC17			295.450	294.600		850.00DR
26SEP17		3	DEC17			295.500	294.550		712.50DR
26SEP17		1	DEC17			295.500	294.600		225.00DR
26SEP17		1	DEC17			295.550	294.600		237.50DR
26SEP17		2	DEC17			295.550	294.600		475.00DR
26SEP17		4	DEC17			296.250	291.600		4,650.00DR
26SEP17		3	DEC17			296.250	291.550		3,525.00DR
26SEP17		1	DEC17			296.250	291.550		1,175.00DR
26SEP17		3	DEC17			296.250	291.550		3,525.00DR
26SEP17		4	DEC17			291.150	296.900		5,750.00CR
26SEP17		7	DEC17			291.150	296.900		10,062.50CR
26SEP17		11	DEC17			291.600	294.650		8,387.50CR
26SEP17		9	DEC17			292.200	294.250		4,612.50CR
26SEP17		2	DEC17			292.250	294.250		1,000.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								96,900.50DR
	SETTLEMENTS								
	CMX Copper Futures								14,437.50CR
	COMMISSIONS								185.00DR
	CARRIED FORWARD BALANCE								82,648.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	DMA	EL	6478.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6479.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6480.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6483.00		3.12DR	
26SEP17	S	1	27DEC17	DMA	EL		6502.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6504.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6516.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6519.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6521.00	1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6512.00		3.12DR	
26SEP17	B	2	27DEC17	DMA	EL	6507.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6504.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6507.50		3.12DR	
26SEP17	B	2	27DEC17	DMA	EL	6493.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6488.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6460.50		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6461.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6461.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6462.00		1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6407.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6409.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6410.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6413.00	1.56DR	
26SEP17	S	3	27DEC17	DMA	EL		6410.00	4.68DR	
26SEP17	S	1	27DEC17	DMA	EL		6408.50	1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6418.00	3.12DR	
	CMX Copper 25,000 CMX								
26SEP17	S	2	DEC17		GX		294.650	4.54DR	
26SEP17	S	4	DEC17		GX		294.550	9.08DR	
26SEP17	S	3	DEC17		GX		294.700	6.81DR	
26SEP17	S	2	DEC17		GX		294.500	4.54DR	
26SEP17	B	2	DEC17		GX	295.550		4.54DR	
26SEP17	B	2	DEC17		GX	296.400		4.54DR	
26SEP17	B	2	DEC17		GX	296.600		4.54DR	
26SEP17	S	2	DEC17		GX		296.450	4.54DR	
26SEP17	S	1	DEC17		GX		296.500	2.27DR	
26SEP17	B	4	DEC17		GX	296.350		9.08DR	
26SEP17	B	2	DEC17		GX	296.250		4.54DR	
26SEP17	S	2	DEC17		GX		296.250	4.54DR	
26SEP17	B	2	DEC17		GX	296.300		4.54DR	
26SEP17	S	1	DEC17		GX		296.100	2.27DR	
26SEP17	B	1	DEC17		GX	295.900		2.27DR	
26SEP17	S	5	DEC17		GX		296.000	11.35DR	
26SEP17	S	4	DEC17		GX		295.750	9.08DR	
26SEP17	S	1	DEC17		GX		295.500	2.27DR	
26SEP17	B	3	DEC17		GX	295.400		6.81DR	
26SEP17	S	2	DEC17		GX		295.650	4.54DR	
26SEP17	S	5	DEC17		GX		295.800	11.35DR	
26SEP17	S	2	DEC17		GX		295.000	4.54DR	
26SEP17	S	2	DEC17		GX		295.150	4.54DR	
26SEP17	S	2	DEC17		GX		294.900	4.54DR	
26SEP17	S	5	DEC17		GX		293.650	11.35DR	
26SEP17	S	4	DEC17		GX		293.600	9.08DR	
26SEP17	S	2	DEC17		GX		293.500	4.54DR	
26SEP17	S	1	DEC17		GX		293.450	2.27DR	
26SEP17	B	1	DEC17		GX	293.250		2.27DR	
26SEP17	B	4	DEC17		GX	291.200		9.08DR	
26SEP17	B	2	DEC17		GX	291.050		4.54DR	
26SEP17	B	2	DEC17		GX	291.350		4.54DR	
26SEP17	B	5	DEC17		GX	291.250		11.35DR	
26SEP17	B	3	DEC17		GX	291.150		6.81DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025W

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B 1 DEC17 GX	291.450		2.27DR	
26SEP17	B 4 DEC17 GX	291.550		9.08DR	
26SEP17	S 1 DEC17 GX		291.750	2.27DR	
26SEP17	B 1 DEC17 GX	291.700		2.27DR	
26SEP17	S 2 DEC17 GX		291.500	4.54DR	
26SEP17	B 2 DEC17 GX	291.600		4.54DR	
26SEP17	S 2 DEC17 GX		291.450	4.54DR	
26SEP17	B 2 DEC17 GX	291.650		4.54DR	
26SEP17	S 2 DEC17 GX		291.350	4.54DR	
26SEP17	B 3 DEC17 GX	291.750		6.81DR	
26SEP17	S 1 DEC17 GX		292.150	2.27DR	
26SEP17	B 1 DEC17 GX	292.050		2.27DR	
	Commission				302.03DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	295.550	294.650		450.00DR
26SEP17	2 DEC17	295.400	294.550		425.00DR
26SEP17	2 DEC17	296.400	294.550		925.00DR
26SEP17	2 DEC17	296.600	294.700		950.00DR
26SEP17	1 DEC17	296.350	294.700		412.50DR
26SEP17	1 DEC17	296.350	294.500		462.50DR
26SEP17	1 DEC17	296.250	294.500		437.50DR
26SEP17	1 DEC17	296.350	296.450		25.00CR
26SEP17	1 DEC17	296.350	296.450		25.00CR
26SEP17	1 DEC17	296.250	296.500		62.50CR
26SEP17	2 DEC17	296.300	296.250		25.00DR
26SEP17	1 DEC17	295.900	296.100		50.00CR
26SEP17	1 DEC17	295.400	296.000		150.00CR
26SEP17	1 DEC17	293.250	296.000		687.50CR
26SEP17	1 DEC17	291.200	296.000		1,200.00CR
26SEP17	1 DEC17	291.200	296.000		1,200.00CR
26SEP17	1 DEC17	291.200	296.000		1,200.00CR
26SEP17	1 DEC17	291.200	295.750		1,137.50CR
26SEP17	1 DEC17	291.050	295.750		1,175.00CR
26SEP17	1 DEC17	291.050	295.750		1,175.00CR
26SEP17	1 DEC17	291.250	295.750		1,125.00CR
26SEP17	2 DEC17	291.250	295.800		2,275.00CR
26SEP17	1 DEC17	291.350	295.500		1,037.50CR
26SEP17	1 DEC17	291.350	295.650		1,075.00CR
26SEP17	1 DEC17	291.250	295.650		1,100.00CR
26SEP17	1 DEC17	291.250	295.800		1,137.50CR
26SEP17	1 DEC17	291.150	295.800		1,162.50CR
26SEP17	1 DEC17	291.150	295.800		1,162.50CR
26SEP17	1 DEC17	291.150	295.000		962.50CR
26SEP17	1 DEC17	291.450	295.000		887.50CR
26SEP17	2 DEC17	291.550	295.150		1,800.00CR
26SEP17	2 DEC17	291.550	294.900		1,675.00CR
26SEP17	1 DEC17	291.600	293.650		512.50CR
26SEP17	1 DEC17	291.750	293.650		475.00CR
26SEP17	1 DEC17	291.700	293.650		487.50CR
26SEP17	1 DEC17	291.650	293.650		500.00CR
26SEP17	1 DEC17	291.600	293.600		500.00CR
26SEP17	1 DEC17	291.650	293.600		487.50CR
26SEP17	1 DEC17	291.750	293.650		475.00CR
26SEP17	1 DEC17	291.750	293.600		462.50CR
26SEP17	1 DEC17	292.050	293.600		387.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				24,611.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0025W

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CMX Copper Futures				23,687.50CR
	COMMISSIONS				302.03DR
	CARRIED FORWARD BALANCE				47,996.79CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB025

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,298,132.96DR
	SETTLEMENTS		
	CMX Copper Futures		97,562.50CR
	LME Copper Grade A 25 tonnes. This Futures		19,397.50DR
	COMMISSIONS		1,116.23DR
	CARRIED FORWARD BALANCE		2,221,084.19DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0038A

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		6513.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		6464.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		6408.50	5.00DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	295.950		3.36DR	
26SEP17	B 2 DEC17 TTR GX	293.700		3.36DR	
26SEP17	B 2 DEC17 TTR GX	291.150		3.36DR	
	Commission				25.08DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				100,982.09DR
	COMMISSIONS				25.08DR
	CARRIED FORWARD BALANCE				101,007.17DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
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SAPAB038

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		132,042.76DR
	COMMISSIONS		25.08DR
	CARRIED FORWARD BALANCE		132,067.84DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083LB

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5785.50	5780.00		137.50DR
27JUN17	1 26SEP17	5858.16	5780.00		1,954.00DR
27JUN17	1 26SEP17	5858.16	5781.00		1,929.00DR
27JUN17	1 26SEP17	5858.16	5783.50		1,866.50DR
27JUN17	1 26SEP17	5858.16	5783.00		1,879.00DR
27JUN17	1 26SEP17	5858.16	5786.50		1,791.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				119,029.57CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				9,557.50DR
	CARRIED FORWARD BALANCE				109,472.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB083

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		118,604.57CR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		9,557.50DR
	CARRIED FORWARD BALANCE		109,047.07CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		6461.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6463.00	1.56DR	
26SEP17	S	4	27DEC17	TTR	EL		6442.00	6.24DR	
26SEP17	S	1	27DEC17	TTR	EL		6441.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6437.00	3.12DR	
26SEP17	S	3	27DEC17	TTR	EL		6436.50	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6435.50	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6431.50	3.12DR	
26SEP17	S	17	27DEC17	TTR	EL		6431.00	26.52DR	
26SEP17	S	1	27DEC17	TTR	EL		6432.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6434.00	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6435.00	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6436.00	1.56DR	
26SEP17	S	7	27DEC17	TTR	EL		6440.00	10.92DR	
26SEP17	S	5	27DEC17	TTR	EL		6441.50	7.80DR	
26SEP17	S	4	27DEC17	TTR	EL		6439.00	6.24DR	
26SEP17	S	6	27DEC17	TTR	EL		6410.00	9.36DR	
26SEP17	S	2	27DEC17	TTR	EL		6411.00	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6412.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6413.50	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6441.50	1.56DR	
	CMX Copper 25,000 CMX								
26SEP17	B	2	DEC17	TTR	GX	293.650		4.40DR	
26SEP17	B	2	DEC17	TTR	GX	293.700		4.40DR	
26SEP17	B	2	DEC17	TTR	GX	292.500		4.40DR	
26SEP17	B	28	DEC17	TTR	GX	292.200		61.60DR	
26SEP17	B	15	DEC17	TTR	GX	292.250		33.00DR	
26SEP17	B	4	DEC17	TTR	GX	292.300		8.80DR	
26SEP17	B	4	DEC17	TTR	GX	292.350		8.80DR	
26SEP17	B	6	DEC17	TTR	GX	292.400		13.20DR	
26SEP17	B	11	DEC17	TTR	GX	292.450		24.20DR	
26SEP17	B	17	DEC17	TTR	GX	292.600		37.40DR	
26SEP17	B	11	DEC17	TTR	GX	292.650		24.20DR	
26SEP17	B	12	DEC17	TTR	GX	292.700		26.40DR	
26SEP17	B	7	DEC17	TTR	GX	292.550		15.40DR	
26SEP17	B	9	DEC17	TTR	GX	291.200		19.80DR	
26SEP17	B	6	DEC17	TTR	GX	291.250		13.20DR	
26SEP17	B	2	DEC17	TTR	GX	291.300		4.40DR	
26SEP17	B	2	DEC17	TTR	GX	291.400		4.40DR	
26SEP17	B	3	DEC17	TTR	GX	291.350		6.60DR	
	Commission								416.00DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		1	DEC17			293.650	309.650		4,000.00CR
26SEP17		1	DEC17			293.700	309.650		3,987.50CR
26SEP17		1	DEC17			293.650	309.550		3,975.00CR
26SEP17		1	DEC17			293.700	309.600		3,975.00CR
26SEP17		1	DEC17			292.700	309.600		4,225.00CR
26SEP17		2	DEC17			292.700	309.550		8,425.00CR
26SEP17		2	DEC17			292.700	309.500		8,400.00CR
26SEP17		3	DEC17			292.700	309.600		12,675.00CR
26SEP17		1	DEC17			292.650	309.600		4,237.50CR
26SEP17		1	DEC17			292.650	309.550		4,225.00CR
26SEP17		1	DEC17			292.600	309.550		4,237.50CR
26SEP17		3	DEC17			292.600	309.650		12,787.50CR
26SEP17		2	DEC17			292.500	309.650		8,575.00CR
26SEP17		3	DEC17			292.450	309.650		12,900.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	292.450	309.600		4,287.50CR
26SEP17	2 DEC17	292.450	309.650		8,600.00CR
26SEP17	1 DEC17	292.450	309.600		4,287.50CR
26SEP17	1 DEC17	292.450	309.650		4,300.00CR
26SEP17	1 DEC17	292.400	309.650		4,312.50CR
26SEP17	1 DEC17	292.400	309.600		4,300.00CR
26SEP17	1 DEC17	292.200	309.600		4,350.00CR
26SEP17	10 DEC17	292.200	309.650		43,625.00CR
26SEP17	1 DEC17	292.200	309.600		4,350.00CR
26SEP17	2 DEC17	292.250	309.650		8,700.00CR
26SEP17	3 DEC17	292.200	309.600		13,050.00CR
26SEP17	3 DEC17	292.200	309.650		13,087.50CR
26SEP17	3 DEC17	292.250	309.650		13,050.00CR
26SEP17	2 DEC17	292.250	309.600		8,675.00CR
26SEP17	6 DEC17	292.250	309.650		26,100.00CR
26SEP17	1 DEC17	292.200	309.600		4,350.00CR
26SEP17	2 DEC17	292.200	309.550		8,675.00CR
26SEP17	5 DEC17	292.200	309.600		21,750.00CR
26SEP17	1 DEC17	292.200	309.550		4,337.50CR
26SEP17	1 DEC17	292.200	309.600		4,350.00CR
26SEP17	1 DEC17	292.250	309.550		4,325.00CR
26SEP17	1 DEC17	292.250	309.600		4,337.50CR
26SEP17	2 DEC17	292.300	309.550		8,625.00CR
26SEP17	2 DEC17	292.300	309.300		8,500.00CR
26SEP17	2 DEC17	292.400	309.500		8,550.00CR
26SEP17	4 DEC17	292.350	309.500		17,150.00CR
26SEP17	2 DEC17	292.400	309.500		8,550.00CR
26SEP17	2 DEC17	292.450	309.550		8,550.00CR
26SEP17	1 DEC17	292.450	309.500		4,262.50CR
26SEP17	1 DEC17	292.600	309.500		4,225.00CR
26SEP17	3 DEC17	292.600	309.550		12,712.50CR
26SEP17	2 DEC17	292.700	309.550		8,425.00CR
26SEP17	3 DEC17	292.600	309.550		12,712.50CR
26SEP17	2 DEC17	292.600	309.600		8,500.00CR
26SEP17	2 DEC17	292.650	296.150		1,750.00CR
26SEP17	2 DEC17	292.550	296.250		1,850.00CR
26SEP17	2 DEC17	292.550	296.200		1,825.00CR
26SEP17	2 DEC17	292.600	296.200		1,800.00CR
26SEP17	2 DEC17	292.600	296.150		1,775.00CR
26SEP17	1 DEC17	292.550	296.300		937.50CR
26SEP17	2 DEC17	292.650	296.050		1,700.00CR
26SEP17	2 DEC17	292.650	296.000		1,675.00CR
26SEP17	1 DEC17	292.650	295.900		812.50CR
26SEP17	1 DEC17	292.700	295.900		800.00CR
26SEP17	2 DEC17	292.650	296.000		1,675.00CR
26SEP17	1 DEC17	292.700	296.050		837.50CR
26SEP17	2 DEC17	292.550	296.050		1,750.00CR
26SEP17	3 DEC17	291.200	296.050		3,637.50CR
26SEP17	5 DEC17	291.200	296.050		6,062.50CR
26SEP17	2 DEC17	291.250	296.050		2,400.00CR
26SEP17	1 DEC17	291.200	296.050		1,212.50CR
26SEP17	2 DEC17	291.250	296.050		2,400.00CR
26SEP17	2 DEC17	291.250	296.050		2,400.00CR
26SEP17	2 DEC17	291.300	296.050		2,375.00CR
26SEP17	1 DEC17	291.350	296.050		1,175.00CR
26SEP17	1 DEC17	291.350	295.950		1,150.00CR
26SEP17	2 DEC17	291.400	295.950		2,275.00CR
26SEP17	1 DEC17	291.350	295.950		1,150.00CR
<u>MATCH OUTS</u>					
LME Copper Grade A 25 tonnes. This is a LME registered client contract					
26JUN17	1 26SEP17	5799.50	5829.00		737.50CR
26JUN17	1 26SEP17	5805.00	5824.50		487.50CR
26JUN17	1 26SEP17	5806.00	5830.00		600.00CR
26JUN17	1 26SEP17	5806.00	5833.50		687.50CR

Group No: SAPAB089

Page 2 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089A

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	5807.00	5805.00		50.00DR
26JUN17	1 26SEP17	5807.00	5807.00		0.00
26JUN17	3 26SEP17	5809.50	5807.00		187.50DR
26JUN17	1 26SEP17	5809.50	5810.00		12.50CR
29JUN17	1 26SEP17	5940.20	5808.00		3,305.00DR
29JUN17	1 26SEP17	5940.20	5809.00		3,280.00DR
29JUN17	1 26SEP17	5940.20	5808.00		3,305.00DR
29JUN17	1 26SEP17	5940.20	5809.00		3,280.00DR
29JUN17	1 26SEP17	5940.20	5810.00		3,255.00DR
29JUN17	1 26SEP17	5940.20	5809.00		3,280.00DR
29JUN17	2 26SEP17	5940.20	5810.00		6,510.00DR
29JUN17	1 26SEP17	5940.20	5811.00		3,230.00DR
29JUN17	1 26SEP17	5940.20	5812.50		3,192.50DR
29JUN17	1 26SEP17	5940.20	5837.00		2,580.00DR
29JUN17	1 26SEP17	5940.20	5801.50		3,467.50DR
29JUN17	1 26SEP17	5940.20	5802.50		3,442.50DR
29JUN17	1 26SEP17	5940.20	5801.50		3,467.50DR
29JUN17	2 26SEP17	5940.20	5802.50		6,885.00DR
29JUN17	4 26SEP17	5940.20	5799.50		14,070.00DR
29JUN17	1 26SEP17	5940.20	5800.50		3,492.50DR
29JUN17	1 26SEP17	5940.20	5797.00		3,580.00DR
29JUN17	2 26SEP17	5940.20	5798.00		7,110.00DR
29JUN17	1 26SEP17	5940.20	5795.00		3,630.00DR
29JUN17	1 26SEP17	5940.20	5792.50		3,692.50DR
29JUN17	1 26SEP17	5940.20	5795.00		3,630.00DR
29JUN17	2 26SEP17	5940.20	5793.50		7,335.00DR
29JUN17	2 26SEP17	5940.20	5787.00		7,660.00DR
29JUN17	10 26SEP17	5940.20	5783.50		39,175.00DR
29JUN17	1 26SEP17	5940.20	5780.50		3,992.50DR
29JUN17	3 26SEP17	5940.20	5781.50		11,902.50DR
29JUN17	1 26SEP17	5940.20	5782.00		3,955.00DR
29JUN17	1 26SEP17	5940.20	5781.50		3,967.50DR
29JUN17	4 26SEP17	5940.20	5782.50		15,770.00DR
TOTALS					
BROUGHT FORWARD BALANCE					43,927.27DR
SETTLEMENTS					
LME Copper Grade A 25 tonnes. This Futures					183,155.00DR
CMX Copper Futures					470,012.50CR
COMMISSIONS					416.00DR
CARRIED FORWARD BALANCE					242,514.23CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089D

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 22 19DEC17 IFC UD		6409.93	59.40DR	
26SEP17	B 1 22DEC17 IFC UD	6409.87		2.70DR	
26SEP17	B 1 27DEC17 TTR EL	6496.00		5.55DR	
26SEP17	B 1 27DEC17 TTR EL	6508.00		5.55DR	
26SEP17	B 1 27DEC17 TTR EL	6506.00		5.55DR	
26SEP17	B 1 27DEC17 TTR EL	6503.00		5.55DR	
26SEP17	B 5 27DEC17 TTR EL	6495.00		27.75DR	
26SEP17	B 1 27DEC17 TTR EL	6493.50		5.55DR	
26SEP17	S 1 27DEC17 TTR EL		6458.50	5.55DR	
26SEP17	S 1 27DEC17 TTR EL		6459.50	5.55DR	
26SEP17	S 1 27DEC17 TTR EL		6435.00	5.55DR	
26SEP17	S 2 27DEC17 TTR EL		6432.00	11.10DR	
26SEP17	S 1 27DEC17 TTR EL		6434.50	5.55DR	
26SEP17	S 1 27DEC17 TTR EL		6435.50	5.55DR	
26SEP17	S 1 27DEC17 TTR EL		6439.50	5.55DR	
26SEP17	S 2 27DEC17 TTR EL		6438.50	11.10DR	
26SEP17	S 2 27DEC17 TTR EL		6436.50	11.10DR	
26SEP17	S 2 27DEC17 TTR EL		6440.50	11.10DR	
26SEP17	S 2 27DEC17 TTR EL		6433.50	11.10DR	
26SEP17	S 5 27DEC17 TTR EL		6432.50	27.75DR	
26SEP17	S 1 27DEC17 TTR EL		6431.50	5.55DR	
26SEP17	S 4 27DEC17 TTR EL		6430.50	22.20DR	
26SEP17	S 2 27DEC17 TTR EL		6429.50	11.10DR	
26SEP17	S 2 27DEC17 TTR EL		6428.50	11.10DR	
26SEP17	S 1 27DEC17 DMA EL		6428.50	5.55DR	
26SEP17	B 21 27DEC17 IFC UD	6413.20		56.70DR	
	CMX Copper 25,000 CMX				
26SEP17	S 2 DEC17 TTR GX		295.250	4.40DR	
26SEP17	S 2 DEC17 TTR GX		295.800	4.40DR	
26SEP17	S 3 DEC17 TTR GX		295.700	6.60DR	
26SEP17	S 2 DEC17 TTR GX		295.600	4.40DR	
26SEP17	S 10 DEC17 TTR GX		295.200	22.00DR	
26SEP17	S 1 DEC17 TTR GX		295.150	2.20DR	
26SEP17	S 2 DEC17 TTR GX		295.100	4.40DR	
26SEP17	B 1 DEC17 TTR GX	293.500		2.20DR	
26SEP17	B 3 DEC17 TTR GX	293.450		6.60DR	
26SEP17	B 4 DEC17 TTR GX	292.400		8.80DR	
26SEP17	B 4 DEC17 TTR GX	292.600		8.80DR	
26SEP17	B 2 DEC17 TTR GX	292.500		4.40DR	
26SEP17	B 5 DEC17 TTR GX	292.450		11.00DR	
26SEP17	B 3 DEC17 TTR GX	292.550		6.60DR	
26SEP17	B 2 DEC17 TTR GX	292.650		4.40DR	
26SEP17	B 4 DEC17 TTR GX	292.350		8.80DR	
26SEP17	B 8 DEC17 TTR GX	292.250		17.60DR	
26SEP17	B 7 DEC17 TTR GX	292.300		15.40DR	
26SEP17	B 7 DEC17 TTR GX	292.200		15.40DR	
26SEP17	B 2 DEC17 TTR GX	292.100		4.40DR	
26SEP17	B 10 DEC17 TTR GX	292.150		22.00DR	
26SEP17	B 6 DEC17 TTR GX	292.050		13.20DR	
	Commission				544.35DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	293.450	295.250		900.00CR
26SEP17	1 DEC17	293.500	295.800		575.00CR
26SEP17	1 DEC17	293.450	295.800		587.50CR
26SEP17	2 DEC17	292.600	295.700		1,550.00CR
26SEP17	1 DEC17	292.400	295.700		825.00CR

Group No: SAPAB089

Page 1 of 2

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TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	292.400	295.600		800.00CR
26SEP17	1 DEC17	292.200	295.600		850.00CR
26SEP17	2 DEC17	292.200	295.200		1,500.00CR
26SEP17	2 DEC17	292.250	295.200		1,475.00CR
26SEP17	2 DEC17	292.300	295.200		1,450.00CR
26SEP17	2 DEC17	292.350	295.200		1,425.00CR
26SEP17	2 DEC17	292.400	295.200		1,400.00CR
26SEP17	1 DEC17	292.450	295.150		675.00CR
26SEP17	2 DEC17	292.450	295.100		1,325.00CR
26SEP17	2 DEC17	292.550	300.150		3,800.00CR
26SEP17	2 DEC17	292.600	300.050		3,725.00CR
26SEP17	2 DEC17	292.500	299.700		3,600.00CR
26SEP17	2 DEC17	292.450	299.750		3,650.00CR
26SEP17	1 DEC17	292.550	299.750		1,800.00CR
26SEP17	2 DEC17	292.650	299.700		3,525.00CR
26SEP17	1 DEC17	292.350	297.500		1,287.50CR
26SEP17	1 DEC17	292.350	296.950		1,150.00CR
26SEP17	2 DEC17	292.250	297.500		2,625.00CR
26SEP17	2 DEC17	292.300	297.400		2,550.00CR
26SEP17	2 DEC17	292.250	297.450		2,600.00CR
26SEP17	2 DEC17	292.250	297.400		2,575.00CR
26SEP17	2 DEC17	292.300	297.400		2,550.00CR
26SEP17	1 DEC17	292.300	297.350		1,262.50CR
26SEP17	2 DEC17	292.200	297.250		2,525.00CR
26SEP17	2 DEC17	292.200	297.200		2,500.00CR
26SEP17	2 DEC17	292.150	297.200		2,525.00CR
26SEP17	2 DEC17	292.150	297.250		2,550.00CR
26SEP17	1 DEC17	292.150	297.250		1,275.00CR
26SEP17	2 DEC17	292.150	297.200		2,525.00CR
26SEP17	1 DEC17	292.150	297.150		1,250.00CR
26SEP17	1 DEC17	292.050	297.150		1,275.00CR
26SEP17	1 DEC17	292.050	297.100		1,262.50CR
26SEP17	1 DEC17	292.100	297.100		1,250.00CR
26SEP17	1 DEC17	292.100	297.150		1,262.50CR
26SEP17	1 DEC17	292.150	297.150		1,250.00CR
26SEP17	1 DEC17	292.150	297.100		1,237.50CR
26SEP17	1 DEC17	292.050	297.100		1,262.50CR
26SEP17	1 DEC17	292.050	297.150		1,275.00CR
26SEP17	2 DEC17	292.050	297.100		2,525.00CR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					167,154.05DR
SETTLEMENTS					
CMX Copper Futures					79,787.50CR
COMMISSIONS					544.35DR
CARRIED FORWARD BALANCE					87,910.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

26th September 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		6511.50	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6512.50	11.10DR	
26SEP17	S	3	27DEC17	TTR	EL		6505.00	16.65DR	
26SEP17	S	1	27DEC17	TTR	EL		6491.50	5.55DR	
26SEP17	S	1	27DEC17	TTR	EL		6461.50	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6462.50	11.10DR	
26SEP17	S	1	27DEC17	TTR	EL		6465.50	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6461.00	11.10DR	
26SEP17	S	1	27DEC17	TTR	EL		6463.00	5.55DR	
26SEP17	S	1	27DEC17	TTR	EL		6464.50	5.55DR	
26SEP17	S	8	27DEC17	TTR	EL		6464.00	44.40DR	
26SEP17	S	1	27DEC17	TTR	EL		6452.00	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6451.00	11.10DR	
26SEP17	S	2	27DEC17	TTR	EL		6447.50	11.10DR	
26SEP17	S	3	27DEC17	TTR	EL		6435.00	16.65DR	
26SEP17	S	7	27DEC17	TTR	EL		6436.00	38.85DR	
26SEP17	S	1	27DEC17	TTR	EL		6439.50	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6438.50	11.10DR	
26SEP17	S	1	27DEC17	TTR	EL		6446.00	5.55DR	
26SEP17	S	1	27DEC17	TTR	EL		6442.50	5.55DR	
26SEP17	S	2	27DEC17	TTR	EL		6441.50	11.10DR	
26SEP17	S	3	27DEC17	TTR	EL		6440.50	16.65DR	
	CMX Copper 25,000 CMX								
26SEP17	B	4	DEC17	TTR	GX	295.900		8.80DR	
26SEP17	B	2	DEC17	TTR	GX	295.950		4.40DR	
26SEP17	B	3	DEC17	TTR	GX	295.550		6.60DR	
26SEP17	B	3	DEC17	TTR	GX	295.600		6.60DR	
26SEP17	B	1	DEC17	TTR	GX	295.450		2.20DR	
26SEP17	B	2	DEC17	TTR	GX	295.000		4.40DR	
26SEP17	B	2	DEC17	TTR	GX	293.800		4.40DR	
26SEP17	B	6	DEC17	TTR	GX	293.600		13.20DR	
26SEP17	B	11	DEC17	TTR	GX	293.750		24.20DR	
26SEP17	B	16	DEC17	TTR	GX	293.700		35.20DR	
26SEP17	B	2	DEC17	TTR	GX	293.250		4.40DR	
26SEP17	B	4	DEC17	TTR	GX	293.150		8.80DR	
26SEP17	B	4	DEC17	TTR	GX	293.000		8.80DR	
26SEP17	B	18	DEC17	TTR	GX	292.400		39.60DR	
26SEP17	B	2	DEC17	TTR	GX	292.450		4.40DR	
26SEP17	B	3	DEC17	TTR	GX	292.500		6.60DR	
26SEP17	B	5	DEC17	TTR	GX	292.550		11.00DR	
26SEP17	B	2	DEC17	TTR	GX	292.850		4.40DR	
26SEP17	B	2	DEC17	TTR	GX	292.750		4.40DR	
26SEP17	B	4	DEC17	TTR	GX	292.600		8.80DR	
26SEP17	B	9	DEC17	TTR	GX	292.650		19.80DR	
	Commission								497.40DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			295.900	296.500		300.00CR
26SEP17		2	DEC17			295.900	296.650		375.00CR
26SEP17		2	DEC17			295.950	296.650		350.00CR
26SEP17		1	DEC17			295.550	296.650		275.00CR
26SEP17		1	DEC17			295.600	296.650		262.50CR
26SEP17		2	DEC17			295.550	296.700		575.00CR
26SEP17		2	DEC17			295.600	296.700		550.00CR
26SEP17		1	DEC17			295.450	296.650		300.00CR
26SEP17		1	DEC17			295.000	296.650		412.50CR
26SEP17		1	DEC17			295.000	296.700		425.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089E

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	2 DEC17	293.600	296.700		1,550.00CR
26SEP17	4 DEC17	293.700	296.700		3,000.00CR
26SEP17	4 DEC17	293.700	296.700		3,000.00CR
26SEP17	2 DEC17	293.700	296.700		1,500.00CR
26SEP17	1 DEC17	293.750	296.700		737.50CR
26SEP17	2 DEC17	293.800	296.650		1,425.00CR
26SEP17	2 DEC17	293.600	296.650		1,525.00CR
26SEP17	2 DEC17	293.600	296.650		1,525.00CR
26SEP17	2 DEC17	293.700	296.600		1,450.00CR
26SEP17	2 DEC17	293.700	296.650		1,475.00CR
26SEP17	6 DEC17	293.750	296.650		4,375.00CR
26SEP17	2 DEC17	293.750	296.700		1,475.00CR
26SEP17	2 DEC17	293.750	296.650		1,450.00CR
26SEP17	2 DEC17	293.700	296.650		1,475.00CR
26SEP17	1 DEC17	293.250	296.750		875.00CR
26SEP17	1 DEC17	293.250	296.750		875.00CR
26SEP17	4 DEC17	293.150	296.750		3,600.00CR
26SEP17	1 DEC17	293.000	296.800		950.00CR
26SEP17	2 DEC17	293.000	296.750		1,875.00CR
26SEP17	1 DEC17	293.000	296.800		950.00CR
26SEP17	1 DEC17	292.650	296.800		1,037.50CR
26SEP17	2 DEC17	292.400	293.500		550.00CR
26SEP17	4 DEC17	292.400	293.500		1,100.00CR
26SEP17	2 DEC17	292.400	293.450		525.00CR
26SEP17	4 DEC17	292.400	293.500		1,100.00CR
26SEP17	2 DEC17	292.400	293.450		525.00CR
26SEP17	1 DEC17	292.400	293.350		237.50CR
26SEP17	3 DEC17	292.400	293.350		712.50CR
26SEP17	2 DEC17	292.450	293.350		450.00CR
26SEP17	2 DEC17	292.500	293.300		400.00CR
26SEP17	1 DEC17	292.550	293.300		187.50CR
26SEP17	1 DEC17	292.500	293.300		200.00CR
26SEP17	2 DEC17	292.550	293.300		375.00CR
26SEP17	1 DEC17	292.600	293.300		175.00CR
26SEP17	1 DEC17	292.550	293.300		187.50CR
26SEP17	1 DEC17	292.600	293.300		175.00CR
26SEP17	1 DEC17	292.550	293.300		187.50CR
26SEP17	2 DEC17	292.850	293.300		225.00CR
26SEP17	2 DEC17	292.750	293.350		300.00CR
26SEP17	2 DEC17	292.650	293.300		325.00CR
26SEP17	2 DEC17	292.650	293.250		300.00CR
26SEP17	2 DEC17	292.650	293.250		300.00CR
26SEP17	1 DEC17	292.600	293.300		175.00CR
26SEP17	1 DEC17	292.600	293.300		175.00CR
26SEP17	2 DEC17	292.650	293.300		325.00CR
TOTALS					
BROUGHT FORWARD BALANCE					23,632.65CR
SETTLEMENTS					
CMX Copper Futures					49,137.50CR
COMMISSIONS					497.40DR
CARRIED FORWARD BALANCE					72,272.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0089T

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 40 30NOV17 IFC UD		6399.03	108.00DR	
26SEP17	S 1 27DEC17 TTR EL		6465.50	1.56DR	
26SEP17	S 1 27DEC17 TTR EL		6460.50	1.56DR	
26SEP17	S 1 27DEC17 TTR EL		6461.00	1.56DR	
26SEP17	S 1 27DEC17 TTR EL		6464.00	1.56DR	
26SEP17	S 1 27DEC17 TTR EL		6463.50	1.56DR	
26SEP17	S 7 27DEC17 TTR EL		6430.50	10.92DR	
26SEP17	S 3 27DEC17 TTR EL		6431.50	4.68DR	
26SEP17	S 1 27DEC17 TTR EL		6417.50	1.56DR	
26SEP17	S 1 27DEC17 TTR EL		6418.00	1.56DR	
26SEP17	B 1 27DEC17 TTR EL	6424.00		1.56DR	
26SEP17	B 2 27DEC17 TTR EL	6423.00		3.12DR	
26SEP17	B 3 27DEC17 TTR EL	6419.00		4.68DR	
26SEP17	B 4 27DEC17 TTR EL	6421.50		6.24DR	
26SEP17	B 1 27DEC17 TTR EL	6418.50		1.56DR	
26SEP17	B 3 27DEC17 TTR EL	6414.00		4.68DR	
26SEP17	B 1 27DEC17 TTR EL	6413.50		1.56DR	
26SEP17	B 2 27DEC17 TTR EL	6415.00		3.12DR	
26SEP17	B 3 27DEC17 TTR EL	6416.50		4.68DR	
26SEP17	B 40 27DEC17 IFC UD	6413.20		108.00DR	
26SEP17	S 3 27DEC17 DMA EL		6418.00	4.68DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	293.800		4.40DR	
26SEP17	B 2 DEC17 TTR GX	293.550		4.40DR	
26SEP17	B 3 DEC17 TTR GX	293.600		6.60DR	
26SEP17	B 2 DEC17 TTR GX	293.750		4.40DR	
26SEP17	B 2 DEC17 TTR GX	293.700		4.40DR	
26SEP17	B 14 DEC17 TTR GX	292.150		30.80DR	
26SEP17	B 6 DEC17 TTR GX	292.200		13.20DR	
26SEP17	B 2 DEC17 TTR GX	292.250		4.40DR	
26SEP17	B 11 DEC17 TTR GX	291.550		24.20DR	
26SEP17	S 4 DEC17 TTR GX		291.850	8.80DR	
26SEP17	S 3 DEC17 TTR GX		291.800	6.60DR	
26SEP17	S 6 DEC17 TTR GX		291.650	13.20DR	
26SEP17	S 9 DEC17 TTR GX		291.750	19.80DR	
26SEP17	S 2 DEC17 TTR GX		291.600	4.40DR	
26SEP17	S 2 DEC17 TTR GX		291.500	4.40DR	
26SEP17	S 10 DEC17 TTR GX		291.450	22.00DR	
26SEP17	S 8 DEC17 TTR GX		291.550	17.60DR	
	Commission				472.00DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	293.800	291.850		975.00DR
26SEP17	2 DEC17	293.600	291.850		875.00DR
26SEP17	2 DEC17	293.550	291.800		875.00DR
26SEP17	1 DEC17	293.600	291.800		450.00DR
26SEP17	2 DEC17	293.750	291.650		1,050.00DR
26SEP17	2 DEC17	293.700	291.650		1,025.00DR
26SEP17	2 DEC17	292.150	291.650		250.00DR
26SEP17	5 DEC17	292.150	291.750		500.00DR
26SEP17	4 DEC17	292.150	291.750		400.00DR
26SEP17	2 DEC17	292.150	291.600		275.00DR
26SEP17	1 DEC17	292.150	291.450		175.00DR
26SEP17	6 DEC17	292.200	291.450		1,125.00DR
26SEP17	1 DEC17	292.250	291.450		200.00DR
26SEP17	1 DEC17	292.250	291.500		187.50DR
26SEP17	1 DEC17	291.550	291.500		12.50DR

Group No: SAPAB089

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	2 DEC17	291.550	291.450		50.00DR
26SEP17	8 DEC17	291.550	291.550		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				117,043.86DR
	SETTLEMENTS				
	CMX Copper Futures				8,425.00DR
	COMMISSIONS				472.00DR
	CARRIED FORWARD BALANCE				125,940.86DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPAB089

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		734,138.40CR
	SETTLEMENTS		
	CMX Copper Futures		590,512.50CR
	LME Copper Grade A 25 tonnes. This Futures		183,155.00DR
	COMMISSIONS		1,929.75DR
	CARRIED FORWARD BALANCE		1,139,566.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0090B

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
29JUN17	4 26SEP17	5940.20	5786.50		15,370.00DR
29JUN17	1 26SEP17	5940.20	5785.50		3,867.50DR
29JUN17	1 26SEP17	5940.20	5784.00		3,905.00DR
29JUN17	2 26SEP17	5940.20	5787.50		7,635.00DR
29JUN17	1 26SEP17	5940.20	5786.50		3,842.50DR
29JUN17	2 26SEP17	5940.20	5787.50		7,635.00DR
29JUN17	1 26SEP17	5940.20	5788.50		3,792.50DR
29JUN17	1 26SEP17	5940.20	5788.50		3,792.50DR
29JUN17	1 26SEP17	5940.20	5788.50		3,792.50DR
29JUN17	1 26SEP17	5940.20	5789.50		3,767.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				123,157.62DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				57,400.00DR
	CARRIED FORWARD BALANCE				180,557.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0090 ARBS
SUITE A, 105 PICCADILLY
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W1J 7NJ

SAPAB090

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
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Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		123,157.62DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		57,400.00DR
	CARRIED FORWARD BALANCE		180,557.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0097B 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	5	10NOV17	IFC	UD		6387.75	13.50DR	
26SEP17	S	9	22NOV17	IFC	UD		6394.44	24.30DR	
26SEP17	B	11	07DEC17	IFC	UD	6403.24		29.70DR	
26SEP17	B	1	08DEC17	IFC	UD	6403.82		2.70DR	
26SEP17	B	2	18DEC17	IFC	UD	6409.55		5.40DR	
	Commission								75.60DR
	<u>MATCH OUTS</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
10AUG17		1	26SEP17			5805.00	6404.58		14,989.50CR
10AUG17		1	26SEP17			5804.50	6404.58		15,002.00CR
10AUG17		1	26SEP17			5804.50	6404.58		15,002.00CR
10AUG17		1	26SEP17			5804.50	6404.58		15,002.00CR
10AUG17		1	26SEP17			5804.00	6404.58		15,014.50CR
10AUG17		1	26SEP17			5806.50	6404.58		14,952.00CR
10AUG17		1	26SEP17			5807.50	6404.58		14,927.00CR
10AUG17		1	26SEP17			5807.50	6404.58		14,927.00CR
10AUG17		1	26SEP17			5807.50	6404.58		14,927.00CR
21AUG17		1	26SEP17			5807.00	6564.75		18,943.75CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								204,573.90CR
	SETTLEMENTS								
	LME Copper Grade A 25 tonnes. This Futures								153,686.75CR
	COMMISSIONS								75.60DR
	CARRIED FORWARD BALANCE								358,185.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0097C

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 10 28SEP17 IFC UD		6353.00	27.00DR	
26SEP17	S 10 29SEP17 IFC UD		6353.89	27.00DR	
26SEP17	B 10 24NOV17 IFC UD	6395.79		27.00DR	
26SEP17	B 5 29NOV17 IFC UD	6398.66		13.50DR	
26SEP17	B 5 30NOV17 IFC UD	6399.23		13.50DR	
	Commission				108.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				250,776.95DR
	COMMISSIONS				108.00DR
	CARRIED FORWARD BALANCE				250,884.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097D

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
26SEP17	B 14 DEC17 GX	1303.3		32.20DR	
26SEP17	B 6 DEC17 GX	1303.2		13.80DR	
	Commission				46.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				558.90CR
	COMMISSIONS				46.00DR
	CARRIED FORWARD BALANCE				512.90CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	6509.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6511.00		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6513.00		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6512.00		4.68DR	
26SEP17	B	2	27DEC17	TTR	EL	6512.50		3.12DR	
26SEP17	S	2	27DEC17	TTR	EL		6514.00	3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6516.50		1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6514.50	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6513.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6511.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6497.50		1.56DR	
26SEP17	B	3	27DEC17	TTR	EL	6500.00		4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6499.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6499.00		3.12DR	
26SEP17	B	2	27DEC17	TTR	EL	6496.50		3.12DR	
26SEP17	B	4	27DEC17	TTR	EL	6500.50		6.24DR	
26SEP17	B	2	27DEC17	TTR	EL	6501.50		3.12DR	
26SEP17	B	5	27DEC17	TTR	EL	6501.00		7.80DR	
26SEP17	B	5	27DEC17	TTR	EL	6498.00		7.80DR	
26SEP17	B	5	27DEC17	TTR	EL	6502.50		7.80DR	
26SEP17	B	6	27DEC17	TTR	EL	6506.50		9.36DR	
26SEP17	B	5	27DEC17	TTR	EL	6507.00		7.80DR	
26SEP17	S	1	27DEC17	TTR	EL		6505.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6490.50		1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6482.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6483.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6483.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6481.50		1.56DR	
26SEP17	S	5	27DEC17	TTR	EL		6465.50	7.80DR	
26SEP17	S	1	27DEC17	TTR	EL		6460.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6459.00	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6458.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6456.00	1.56DR	
26SEP17	S	5	27DEC17	TTR	EL		6456.50	7.80DR	
26SEP17	S	1	27DEC17	TTR	EL		6460.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6463.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6464.00	3.12DR	
26SEP17	S	17	27DEC17	TTR	EL		6466.00	26.52DR	
26SEP17	S	6	27DEC17	TTR	EL		6461.00	9.36DR	
26SEP17	S	1	27DEC17	TTR	EL		6462.00	1.56DR	
26SEP17	S	7	27DEC17	TTR	EL		6465.00	10.92DR	
26SEP17	S	1	27DEC17	TTR	EL		6407.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6411.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6413.00	1.56DR	
26SEP17	S	5	27DEC17	TTR	EL		6417.50	7.80DR	
26SEP17	S	1	27DEC17	TTR	EL		6420.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6423.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6420.50	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6422.00	4.68DR	
26SEP17	S	2	27DEC17	TTR	EL		6421.00	3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6424.50		1.56DR	
26SEP17	B	5	27DEC17	TTR	EL	6422.50		7.80DR	
26SEP17	B	5	27DEC17	TTR	EL	6419.00		7.80DR	
26SEP17	B	2	27DEC17	TTR	EL	6420.50		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6419.50		4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6412.50		1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6415.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6418.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6417.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6415.50		1.56DR	
26SEP17	B	5	27DEC17	TTR	EL	6413.00		7.80DR	
26SEP17	B	12	27DEC17	TTR	EL	6416.00		18.72DR	

Group No: SAPAB097

Page 1 of 4

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Copper 25,000 CMX				
26SEP17	S 2 DEC17 TTR GX		295.950	4.60DR	
26SEP17	S 7 DEC17 TTR GX		296.050	16.10DR	
26SEP17	S 10 DEC17 TTR GX		296.000	23.00DR	
26SEP17	B 6 DEC17 TTR GX	296.000		13.80DR	
26SEP17	B 2 DEC17 TTR GX	295.950		4.60DR	
26SEP17	B 3 DEC17 TTR GX	295.900		6.90DR	
26SEP17	S 7 DEC17 TTR GX		295.300	16.10DR	
26SEP17	S 14 DEC17 TTR GX		295.350	32.20DR	
26SEP17	S 4 DEC17 TTR GX		295.500	9.20DR	
26SEP17	S 16 DEC17 TTR GX		295.450	36.80DR	
26SEP17	S 14 DEC17 TTR GX		295.400	32.20DR	
26SEP17	S 11 DEC17 TTR GX		295.550	25.30DR	
26SEP17	S 13 DEC17 TTR GX		295.750	29.90DR	
26SEP17	S 12 DEC17 TTR GX		295.800	27.60DR	
26SEP17	B 2 DEC17 TTR GX	295.550		4.60DR	
26SEP17	S 2 DEC17 TTR GX		295.050	4.60DR	
26SEP17	B 2 DEC17 TTR GX	294.550		4.60DR	
26SEP17	S 2 DEC17 TTR GX		294.450	4.60DR	
26SEP17	B 22 DEC17 TTR GX	293.800		50.60DR	
26SEP17	B 8 DEC17 TTR GX	293.450		18.40DR	
26SEP17	B 14 DEC17 TTR GX	293.350		32.20DR	
26SEP17	B 2 DEC17 TTR GX	293.700		4.60DR	
26SEP17	B 4 DEC17 TTR GX	293.550		9.20DR	
26SEP17	B 13 DEC17 TTR GX	293.600		29.90DR	
26SEP17	B 3 DEC17 TTR GX	293.650		6.90DR	
26SEP17	B 44 DEC17 TTR GX	293.750		101.20DR	
26SEP17	B 4 DEC17 TTR GX	291.200		9.20DR	
26SEP17	B 2 DEC17 TTR GX	291.450		4.60DR	
26SEP17	B 2 DEC17 TTR GX	291.550		4.60DR	
26SEP17	B 3 DEC17 TTR GX	291.750		6.90DR	
26SEP17	B 13 DEC17 TTR GX	291.700		29.90DR	
26SEP17	S 2 DEC17 TTR GX		291.900	4.60DR	
26SEP17	S 11 DEC17 TTR GX		291.850	25.30DR	
26SEP17	S 13 DEC17 TTR GX		291.700	29.90DR	
26SEP17	S 2 DEC17 TTR GX		291.750	4.60DR	
26SEP17	S 2 DEC17 TTR GX		291.500	4.60DR	
26SEP17	B 13 DEC17 TTR GX	291.500		29.90DR	
26SEP17	S 2 DEC17 TTR GX		291.600	4.60DR	
26SEP17	S 9 DEC17 TTR GX		291.650	20.70DR	
26SEP17	S 18 DEC17 TTR GX		291.550	41.40DR	
26SEP17	S 11 DEC17 TTR GX		291.450	25.30DR	
26SEP17	S 11 DEC17 TTR GX		291.350	25.30DR	
	Commission				1,081.62DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	296.000	295.950		25.00DR
26SEP17	1 DEC17	296.000	296.000		0.00
26SEP17	1 DEC17	296.000	296.000		0.00
26SEP17	2 DEC17	296.000	296.000		0.00
26SEP17	2 DEC17	295.950	296.050		50.00CR
26SEP17	2 DEC17	295.900	296.050		75.00CR
26SEP17	1 DEC17	295.900	296.050		37.50CR
26SEP17	2 DEC17	295.550	296.000		225.00CR
26SEP17	1 DEC17	294.550	296.000		362.50CR
26SEP17	1 DEC17	294.550	296.000		362.50CR
26SEP17	2 DEC17	293.750	296.050		1,150.00CR
26SEP17	2 DEC17	293.750	296.000		1,125.00CR
26SEP17	2 DEC17	293.750	295.750		1,000.00CR
26SEP17	1 DEC17	293.750	295.800		512.50CR
26SEP17	4 DEC17	293.750	295.350		1,600.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	7 DEC17	293.800	295.350		2,712.50CR
26SEP17	4 DEC17	293.800	295.450		1,650.00CR
26SEP17	9 DEC17	293.750	295.450		3,825.00CR
26SEP17	2 DEC17	293.750	295.300		775.00CR
26SEP17	5 DEC17	293.800	295.300		1,875.00CR
26SEP17	3 DEC17	293.800	295.350		1,162.50CR
26SEP17	1 DEC17	293.800	295.450		412.50CR
26SEP17	2 DEC17	293.800	295.500		850.00CR
26SEP17	2 DEC17	293.750	295.500		875.00CR
26SEP17	9 DEC17	293.750	295.400		3,712.50CR
26SEP17	2 DEC17	293.450	295.400		975.00CR
26SEP17	1 DEC17	293.450	295.450		500.00CR
26SEP17	1 DEC17	293.450	295.450		500.00CR
26SEP17	2 DEC17	293.450	295.400		975.00CR
26SEP17	1 DEC17	293.450	295.400		487.50CR
26SEP17	1 DEC17	293.450	295.550		525.00CR
26SEP17	3 DEC17	293.350	295.550		1,650.00CR
26SEP17	7 DEC17	293.350	295.550		3,850.00CR
26SEP17	4 DEC17	293.350	295.750		2,400.00CR
26SEP17	2 DEC17	293.550	295.750		1,100.00CR
26SEP17	5 DEC17	293.600	295.750		2,687.50CR
26SEP17	6 DEC17	293.600	295.800		3,300.00CR
26SEP17	2 DEC17	293.650	295.800		1,075.00CR
26SEP17	2 DEC17	293.700	295.800		1,050.00CR
26SEP17	1 DEC17	293.750	295.800		512.50CR
26SEP17	2 DEC17	293.750	295.050		650.00CR
26SEP17	2 DEC17	293.750	294.450		350.00CR
26SEP17	2 DEC17	293.750	291.900		925.00DR
26SEP17	2 DEC17	293.750	291.850		950.00DR
26SEP17	1 DEC17	293.550	291.850		425.00DR
26SEP17	1 DEC17	293.550	291.850		425.00DR
26SEP17	2 DEC17	293.600	291.850		875.00DR
26SEP17	1 DEC17	293.650	291.850		450.00DR
26SEP17	2 DEC17	293.750	291.850		950.00DR
26SEP17	2 DEC17	291.200	291.850		325.00CR
26SEP17	2 DEC17	291.200	291.650		225.00CR
26SEP17	2 DEC17	291.450	291.650		100.00CR
26SEP17	3 DEC17	291.500	291.650		112.50CR
26SEP17	8 DEC17	291.500	291.700		400.00CR
26SEP17	2 DEC17	291.550	291.700		75.00CR
26SEP17	3 DEC17	291.750	291.700		37.50DR
26SEP17	2 DEC17	291.700	291.750		25.00CR
26SEP17	2 DEC17	291.700	291.500		100.00DR
26SEP17	9 DEC17	291.700	291.550		337.50DR
26SEP17	2 DEC17	291.500	291.550		25.00CR
26SEP17	2 DEC17	293.250	291.550		850.00DR
26SEP17	4 DEC17	293.250	291.550		1,700.00DR
26SEP17	2 DEC17	293.250	291.600		825.00DR
26SEP17	2 DEC17	293.250	291.650		800.00DR
26SEP17	1 DEC17	293.250	291.550		425.00DR
26SEP17	2 DEC17	293.250	291.450		900.00DR
26SEP17	2 DEC17	293.150	291.450		850.00DR
26SEP17	2 DEC17	293.400	291.450		975.00DR
26SEP17	2 DEC17	293.600	291.450		1,075.00DR
26SEP17	3 DEC17	293.450	291.450		1,500.00DR
26SEP17	11 DEC17	293.150	291.350		4,950.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				981,178.62CR
	SETTLEMENTS				
	CMX Copper Futures				27,875.00CR
	COMMISSIONS				1,081.62DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097Q

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				1,007,972.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	6509.50		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6511.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6513.00		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6511.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6509.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6505.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6504.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6502.50		3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6515.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6517.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6517.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6510.50		1.56DR	
26SEP17	B	4	27DEC17	TTR	EL	6508.00		6.24DR	
26SEP17	B	1	27DEC17	TTR	EL	6500.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6498.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6497.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6496.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6499.50		3.12DR	
26SEP17	B	2	27DEC17	TTR	EL	6498.50		3.12DR	
26SEP17	B	2	27DEC17	TTR	EL	6503.50		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6501.50		4.68DR	
26SEP17	B	3	27DEC17	TTR	EL	6503.00		4.68DR	
26SEP17	B	3	27DEC17	TTR	EL	6506.50		4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6504.00		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6505.00		3.12DR	
26SEP17	B	7	27DEC17	TTR	EL	6506.00		10.92DR	
26SEP17	B	3	27DEC17	TTR	EL	6507.00		4.68DR	
26SEP17	B	3	27DEC17	TTR	EL	6507.50		4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6508.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6507.50	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6510.50	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6514.50	1.56DR	
26SEP17	B	6	27DEC17	TTR	EL	6512.00		9.36DR	
26SEP17	S	1	27DEC17	TTR	EL		6504.50	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6505.00	4.68DR	
26SEP17	S	4	27DEC17	TTR	EL		6505.50	6.24DR	
26SEP17	S	1	27DEC17	TTR	EL		6496.00	1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6492.50		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6495.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6494.00		1.56DR	
26SEP17	B	4	27DEC17	TTR	EL	6491.50		6.24DR	
26SEP17	B	2	27DEC17	TTR	EL	6491.00		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6490.50		4.68DR	
26SEP17	B	4	27DEC17	TTR	EL	6489.00		6.24DR	
26SEP17	B	2	27DEC17	TTR	EL	6487.00		3.12DR	
26SEP17	B	2	27DEC17	TTR	EL	6497.50		3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6466.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6464.50	3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6512.50		1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6457.00	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6457.50	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6458.00	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6461.00	1.56DR	
26SEP17	S	4	27DEC17	TTR	EL		6463.50	6.24DR	
26SEP17	S	1	27DEC17	TTR	EL		6465.50	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6461.50	4.68DR	
26SEP17	S	3	27DEC17	TTR	EL		6462.00	4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6459.00		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6457.00		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6456.00		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6454.50		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6455.00		1.56DR	

Group No: SAPAB097

Page 1 of 7

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TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	9	27DEC17	TTR	EL	6455.50		14.04DR	
26SEP17	B	1	27DEC17	TTR	EL	6456.50		1.56DR	
26SEP17	B	3	27DEC17	TTR	EL	6452.50		4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6440.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6436.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6438.50	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6439.00	4.68DR	
26SEP17	S	3	27DEC17	TTR	EL		6437.50	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6442.00	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6442.50	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6443.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6441.00	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6430.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6433.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6432.50	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6433.00	4.68DR	
26SEP17	S	2	27DEC17	TTR	EL		6438.00	3.12DR	
26SEP17	S	2	27DEC17	TTR	EL		6434.00	3.12DR	
26SEP17	S	3	27DEC17	TTR	EL		6439.50	4.68DR	
26SEP17	S	2	27DEC17	TTR	EL		6437.00	3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6440.00		1.56DR	
26SEP17	B	1	27DEC17	TTR	EL	6444.50		1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6428.50	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6429.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6416.00	1.56DR	
26SEP17	S	3	27DEC17	TTR	EL		6410.00	4.68DR	
26SEP17	S	1	27DEC17	TTR	EL		6407.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6409.00	1.56DR	
26SEP17	S	1	27DEC17	TTR	EL		6412.00	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6411.50	3.12DR	
26SEP17	S	1	27DEC17	TTR	EL		6410.50	1.56DR	
26SEP17	S	2	27DEC17	TTR	EL		6416.50	3.12DR	
26SEP17	S	5	27DEC17	TTR	EL		6414.50	7.80DR	
26SEP17	S	1	27DEC17	DMA	EL		6416.00	1.56DR	
26SEP17	S	4	27DEC17	TTR	EL		6409.50	6.24DR	
26SEP17	S	2	27DEC17	TTR	EL		6407.50	3.12DR	
26SEP17	S	2	27DEC17	TTR	EL		6408.50	3.12DR	
26SEP17	B	2	27DEC17	TTR	EL	6414.50		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6413.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6418.00		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6416.00		4.68DR	
26SEP17	B	4	27DEC17	TTR	EL	6417.50		6.24DR	
26SEP17	S	5	27DEC17	TTR	EL		6415.50	7.80DR	
26SEP17	B	5	27DEC17	TTR	EL	6415.00		7.80DR	
26SEP17	B	4	27DEC17	TTR	EL	6415.50		6.24DR	
26SEP17	B	3	27DEC17	TTR	EL	6416.50		4.68DR	
26SEP17	B	6	27DEC17	TTR	EL	6417.00		9.36DR	
26SEP17	B	10	27DEC17	TTR	EL	6418.50		15.60DR	
26SEP17	S	3	27DEC17	TTR	EL		6411.00	4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6411.50		1.56DR	
26SEP17	B	3	27DEC17	TTR	EL	6419.00		4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6424.50		1.56DR	
26SEP17	B	4	27DEC17	TTR	EL	6424.00		6.24DR	
26SEP17	B	2	27DEC17	TTR	EL	6423.50		3.12DR	
26SEP17	B	1	27DEC17	TTR	EL	6425.50		1.56DR	
26SEP17	B	2	27DEC17	TTR	EL	6426.50		3.12DR	
26SEP17	B	4	27DEC17	TTR	EL	6426.00		6.24DR	
26SEP17	B	2	27DEC17	TTR	EL	6428.00		3.12DR	
26SEP17	B	3	27DEC17	TTR	EL	6427.00		4.68DR	
26SEP17	B	1	27DEC17	TTR	EL	6427.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6414.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6413.50		1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6415.50	3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6415.50		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6429.50		1.56DR	

CMX Copper 25,000 CMX

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	18	DEC17	TTR	GX		296.000	41.40DR	
26SEP17	B	2	DEC17	TTR	GX	296.050		4.60DR	
26SEP17	S	3	DEC17	TTR	GX		296.100	6.90DR	
26SEP17	S	2	DEC17	TTR	GX		296.150	4.60DR	
26SEP17	B	2	DEC17	TTR	GX	296.150		4.60DR	
26SEP17	S	2	DEC17	TTR	GX		296.200	4.60DR	
26SEP17	S	5	DEC17	TTR	GX		295.900	11.50DR	
26SEP17	S	2	DEC17	TTR	GX		295.500	4.60DR	
26SEP17	S	9	DEC17	TTR	GX		295.350	20.70DR	
26SEP17	S	4	DEC17	TTR	GX		295.400	9.20DR	
26SEP17	S	7	DEC17	TTR	GX		295.450	16.10DR	
26SEP17	S	9	DEC17	TTR	GX		295.550	20.70DR	
26SEP17	S	12	DEC17	TTR	GX		295.600	27.60DR	
26SEP17	S	3	DEC17	TTR	GX		295.850	6.90DR	
26SEP17	S	10	DEC17	TTR	GX		295.650	23.00DR	
26SEP17	S	15	DEC17	TTR	GX		295.700	34.50DR	
26SEP17	S	10	DEC17	TTR	GX		295.750	23.00DR	
26SEP17	S	20	DEC17	TTR	GX		295.800	46.00DR	
26SEP17	B	2	DEC17	TTR	GX	295.750		4.60DR	
26SEP17	B	4	DEC17	TTR	GX	295.850		9.20DR	
26SEP17	B	2	DEC17	TTR	GX	295.900		4.60DR	
26SEP17	B	2	DEC17	TTR	GX	296.100		4.60DR	
26SEP17	S	4	DEC17	TTR	GX		295.950	9.20DR	
26SEP17	B	8	DEC17	TTR	GX	295.600		18.40DR	
26SEP17	B	6	DEC17	TTR	GX	295.650		13.80DR	
26SEP17	B	6	DEC17	TTR	GX	295.550		13.80DR	
26SEP17	S	2	DEC17	TTR	GX		295.200	4.60DR	
26SEP17	S	13	DEC17	TTR	GX		295.050	29.90DR	
26SEP17	S	6	DEC17	TTR	GX		295.000	13.80DR	
26SEP17	S	2	DEC17	TTR	GX		294.900	4.60DR	
26SEP17	S	8	DEC17	TTR	GX		294.950	18.40DR	
26SEP17	S	4	DEC17	TTR	GX		294.850	9.20DR	
26SEP17	S	5	DEC17	TTR	GX		295.100	11.50DR	
26SEP17	S	2	DEC17	TTR	GX		295.250	4.60DR	
26SEP17	B	2	DEC17	TTR	GX	293.850		4.60DR	
26SEP17	B	3	DEC17	TTR	GX	293.750		6.90DR	
26SEP17	B	2	DEC17	TTR	GX	293.400		4.60DR	
26SEP17	B	6	DEC17	TTR	GX	293.450		13.80DR	
26SEP17	B	3	DEC17	TTR	GX	293.500		6.90DR	
26SEP17	B	3	DEC17	TTR	GX	293.550		6.90DR	
26SEP17	B	6	DEC17	TTR	GX	293.800		13.80DR	
26SEP17	B	13	DEC17	TTR	GX	293.600		29.90DR	
26SEP17	B	2	DEC17	TTR	GX	293.650		4.60DR	
26SEP17	B	8	DEC17	TTR	GX	293.700		18.40DR	
26SEP17	S	2	DEC17	TTR	GX		293.800	4.60DR	
26SEP17	S	2	DEC17	TTR	GX		293.550	4.60DR	
26SEP17	S	2	DEC17	TTR	GX		293.500	4.60DR	
26SEP17	S	21	DEC17	TTR	GX		293.400	48.30DR	
26SEP17	S	4	DEC17	TTR	GX		293.450	9.20DR	
26SEP17	S	8	DEC17	TTR	GX		293.350	18.40DR	
26SEP17	S	1	DEC17	TTR	GX		293.250	2.30DR	
26SEP17	S	5	DEC17	TTR	GX		293.300	11.50DR	
26SEP17	B	12	DEC17	TTR	GX	292.550		27.60DR	
26SEP17	B	7	DEC17	TTR	GX	292.600		16.10DR	
26SEP17	B	7	DEC17	TTR	GX	292.700		16.10DR	
26SEP17	B	4	DEC17	TTR	GX	292.750		9.20DR	
26SEP17	B	5	DEC17	TTR	GX	292.650		11.50DR	
26SEP17	B	3	DEC17	TTR	GX	292.200		6.90DR	
26SEP17	B	8	DEC17	TTR	GX	292.300		18.40DR	
26SEP17	B	4	DEC17	TTR	GX	292.400		9.20DR	
26SEP17	B	5	DEC17	TTR	GX	292.450		11.50DR	
26SEP17	B	8	DEC17	TTR	GX	292.500		18.40DR	
26SEP17	B	2	DEC17	TTR	GX	292.850		4.60DR	
26SEP17	S	4	DEC17	TTR	GX		292.700	9.20DR	
26SEP17	B	4	DEC17	TTR	GX	292.100		9.20DR	
26SEP17	S	2	DEC17	TTR	GX		291.200	4.60DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	4	DEC17	TTR	GX	291.100		9.20DR	
26SEP17	B	5	DEC17	TTR	GX	291.300		11.50DR	
26SEP17	B	12	DEC17	TTR	GX	291.500		27.60DR	
26SEP17	B	11	DEC17	TTR	GX	291.400		25.30DR	
26SEP17	B	13	DEC17	TTR	GX	291.450		29.90DR	
26SEP17	B	13	DEC17	TTR	GX	291.200		29.90DR	
26SEP17	B	4	DEC17	TTR	GX	291.050		9.20DR	
26SEP17	B	7	DEC17	TTR	GX	291.150		16.10DR	
26SEP17	S	20	DEC17	TTR	GX		291.600	46.00DR	
26SEP17	S	10	DEC17	TTR	GX		291.450	23.00DR	
26SEP17	S	23	DEC17	TTR	GX		291.500	52.90DR	
26SEP17	S	2	DEC17	TTR	GX		291.350	4.60DR	
26SEP17	B	8	DEC17	TTR	GX	291.250		18.40DR	
26SEP17	S	2	DEC17	TTR	GX		291.300	4.60DR	
26SEP17	S	26	DEC17	TTR	GX		291.650	59.80DR	
26SEP17	S	15	DEC17	TTR	GX		291.550	34.50DR	
26SEP17	S	10	DEC17	TTR	GX		291.900	23.00DR	
26SEP17	S	13	DEC17	TTR	GX		292.000	29.90DR	
26SEP17	S	7	DEC17	TTR	GX		291.950	16.10DR	
26SEP17	S	2	DEC17	TTR	GX		292.100	4.60DR	
26SEP17	B	4	DEC17	TTR	GX	292.150		9.20DR	
26SEP17	S	2	DEC17	TTR	GX		292.150	4.60DR	
26SEP17	S	15	DEC17	TTR	GX		292.050	34.50DR	
	Commission								1,825.10DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
26SEP17		1	DEC17			296.050	295.850		50.00DR
26SEP17		1	DEC17			296.050	295.900		37.50DR
26SEP17		2	DEC17			296.150	295.900		125.00DR
26SEP17		2	DEC17			295.750	296.000		125.00CR
26SEP17		4	DEC17			295.850	296.000		150.00CR
26SEP17		2	DEC17			295.900	296.000		50.00CR
26SEP17		2	DEC17			296.100	296.000		50.00DR
26SEP17		4	DEC17			295.550	296.000		450.00CR
26SEP17		4	DEC17			295.600	296.000		400.00CR
26SEP17		2	DEC17			295.600	295.950		175.00CR
26SEP17		2	DEC17			295.600	295.700		50.00CR
26SEP17		1	DEC17			295.650	295.750		25.00CR
26SEP17		3	DEC17			295.650	295.800		112.50CR
26SEP17		2	DEC17			295.650	295.700		25.00CR
26SEP17		2	DEC17			295.550	295.600		25.00CR
26SEP17		1	DEC17			293.700	295.650		487.50CR
26SEP17		1	DEC17			293.700	295.700		500.00CR
26SEP17		1	DEC17			293.850	295.700		462.50CR
26SEP17		1	DEC17			293.850	295.550		425.00CR
26SEP17		1	DEC17			293.700	295.550		462.50CR
26SEP17		1	DEC17			293.700	295.550		462.50CR
26SEP17		1	DEC17			293.750	295.550		450.00CR
26SEP17		1	DEC17			293.750	295.650		475.00CR
26SEP17		1	DEC17			293.800	295.650		462.50CR
26SEP17		1	DEC17			293.800	296.100		575.00CR
26SEP17		1	DEC17			293.750	296.100		587.50CR
26SEP17		1	DEC17			293.600	296.100		625.00CR
26SEP17		1	DEC17			293.600	296.150		637.50CR
26SEP17		1	DEC17			293.600	296.150		637.50CR
26SEP17		2	DEC17			293.600	296.200		1,300.00CR
26SEP17		2	DEC17			293.600	295.700		1,050.00CR
26SEP17		1	DEC17			293.600	295.900		575.00CR
26SEP17		1	DEC17			293.400	295.900		625.00CR
26SEP17		1	DEC17			293.400	295.650		562.50CR
26SEP17		1	DEC17			293.450	295.650		550.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	3 DEC17	293.450	295.700		1,687.50CR
26SEP17	2 DEC17	293.450	295.700		1,125.00CR
26SEP17	2 DEC17	293.500	295.800		1,150.00CR
26SEP17	1 DEC17	293.550	295.800		562.50CR
26SEP17	1 DEC17	293.500	295.800		575.00CR
26SEP17	2 DEC17	293.550	295.800		1,125.00CR
26SEP17	2 DEC17	293.700	295.800		1,050.00CR
26SEP17	1 DEC17	293.800	295.800		500.00CR
26SEP17	1 DEC17	293.800	295.750		487.50CR
26SEP17	1 DEC17	293.800	295.750		487.50CR
26SEP17	1 DEC17	293.800	295.500		425.00CR
26SEP17	1 DEC17	293.600	295.500		475.00CR
26SEP17	2 DEC17	293.600	295.450		925.00CR
26SEP17	2 DEC17	293.600	295.400		900.00CR
26SEP17	2 DEC17	293.650	295.350		850.00CR
26SEP17	1 DEC17	293.700	295.350		412.50CR
26SEP17	1 DEC17	293.700	295.350		412.50CR
26SEP17	4 DEC17	292.550	295.350		2,800.00CR
26SEP17	1 DEC17	292.600	295.350		687.50CR
26SEP17	1 DEC17	292.600	295.550		737.50CR
26SEP17	1 DEC17	292.550	295.550		750.00CR
26SEP17	1 DEC17	292.550	295.450		725.00CR
26SEP17	2 DEC17	292.500	295.450		1,475.00CR
26SEP17	2 DEC17	292.450	295.400		1,475.00CR
26SEP17	2 DEC17	292.550	295.450		1,450.00CR
26SEP17	2 DEC17	292.600	295.600		1,500.00CR
26SEP17	2 DEC17	292.550	295.600		1,525.00CR
26SEP17	2 DEC17	292.500	295.550		1,525.00CR
26SEP17	1 DEC17	292.550	295.550		750.00CR
26SEP17	1 DEC17	292.550	295.600		762.50CR
26SEP17	1 DEC17	292.600	295.600		750.00CR
26SEP17	1 DEC17	292.600	295.600		750.00CR
26SEP17	1 DEC17	292.500	295.600		775.00CR
26SEP17	1 DEC17	292.500	295.600		775.00CR
26SEP17	1 DEC17	292.600	295.600		750.00CR
26SEP17	3 DEC17	292.700	295.650		2,212.50CR
26SEP17	2 DEC17	292.700	295.850		1,575.00CR
26SEP17	2 DEC17	292.700	295.650		1,475.00CR
26SEP17	2 DEC17	292.750	295.700		1,475.00CR
26SEP17	1 DEC17	292.750	295.750		750.00CR
26SEP17	1 DEC17	292.650	295.750		775.00CR
26SEP17	1 DEC17	292.650	295.750		775.00CR
26SEP17	1 DEC17	292.750	295.750		750.00CR
26SEP17	1 DEC17	292.650	295.750		775.00CR
26SEP17	2 DEC17	292.650	295.800		1,575.00CR
26SEP17	2 DEC17	292.300	295.750		1,725.00CR
26SEP17	2 DEC17	292.150	295.800		1,825.00CR
26SEP17	2 DEC17	292.300	295.800		1,750.00CR
26SEP17	2 DEC17	292.200	295.800		1,800.00CR
26SEP17	1 DEC17	292.200	295.950		937.50CR
26SEP17	1 DEC17	292.300	295.950		912.50CR
26SEP17	2 DEC17	292.300	295.050		1,375.00CR
26SEP17	1 DEC17	292.300	295.100		700.00CR
26SEP17	3 DEC17	292.400	295.100		2,025.00CR
26SEP17	1 DEC17	292.400	295.200		700.00CR
26SEP17	1 DEC17	292.450	295.200		687.50CR
26SEP17	2 DEC17	292.450	295.000		1,275.00CR
26SEP17	2 DEC17	292.500	295.050		1,275.00CR
26SEP17	2 DEC17	292.850	295.050		1,100.00CR
26SEP17	4 DEC17	292.100	295.050		2,950.00CR
26SEP17	3 DEC17	291.450	295.050		2,700.00CR
26SEP17	1 DEC17	291.450	295.000		887.50CR
26SEP17	2 DEC17	291.500	295.000		1,750.00CR
26SEP17	1 DEC17	291.500	295.000		875.00CR
26SEP17	1 DEC17	291.500	294.950		862.50CR
26SEP17	2 DEC17	291.450	294.950		1,750.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	291.500	294.900		850.00CR
26SEP17	2 DEC17	291.500	294.950		1,725.00CR
26SEP17	1 DEC17	291.500	294.900		850.00CR
26SEP17	3 DEC17	291.450	294.950		2,625.00CR
26SEP17	1 DEC17	291.450	294.850		850.00CR
26SEP17	2 DEC17	291.150	294.850		1,850.00CR
26SEP17	1 DEC17	291.100	294.850		937.50CR
26SEP17	1 DEC17	291.100	295.100		1,000.00CR
26SEP17	2 DEC17	291.050	295.250		2,100.00CR
26SEP17	2 DEC17	291.250	293.800		1,275.00CR
26SEP17	2 DEC17	291.200	293.450		1,125.00CR
26SEP17	2 DEC17	291.200	293.550		1,175.00CR
26SEP17	1 DEC17	291.200	293.500		575.00CR
26SEP17	1 DEC17	291.150	293.500		587.50CR
26SEP17	1 DEC17	291.150	293.400		562.50CR
26SEP17	1 DEC17	291.100	293.400		575.00CR
26SEP17	1 DEC17	291.100	293.300		550.00CR
26SEP17	2 DEC17	291.200	293.300		1,050.00CR
26SEP17	1 DEC17	291.250	293.400		537.50CR
26SEP17	1 DEC17	291.250	293.400		537.50CR
26SEP17	2 DEC17	291.300	293.400		1,050.00CR
26SEP17	3 DEC17	291.300	293.350		1,537.50CR
26SEP17	1 DEC17	291.250	293.350		525.00CR
26SEP17	1 DEC17	291.250	293.400		537.50CR
26SEP17	4 DEC17	291.500	293.400		1,900.00CR
26SEP17	2 DEC17	291.450	293.400		975.00CR
26SEP17	3 DEC17	291.400	293.400		1,500.00CR
26SEP17	1 DEC17	291.400	293.350		487.50CR
26SEP17	1 DEC17	291.400	293.400		500.00CR
26SEP17	1 DEC17	291.400	293.350		487.50CR
26SEP17	4 DEC17	291.400	293.400		2,000.00CR
26SEP17	1 DEC17	291.400	293.450		512.50CR
26SEP17	1 DEC17	291.450	293.450		500.00CR
26SEP17	2 DEC17	291.200	293.350		1,075.00CR
26SEP17	1 DEC17	291.200	293.250		512.50CR
26SEP17	2 DEC17	291.200	293.300		1,050.00CR
26SEP17	1 DEC17	291.200	292.700		375.00CR
26SEP17	1 DEC17	291.050	292.700		412.50CR
26SEP17	1 DEC17	291.050	292.700		412.50CR
26SEP17	1 DEC17	291.150	292.700		387.50CR
26SEP17	2 DEC17	291.150	291.200		25.00CR
26SEP17	2 DEC17	291.250	291.450		100.00CR
26SEP17	2 DEC17	292.150	291.500		325.00DR
26SEP17	2 DEC17	293.300	291.450		925.00DR
26SEP17	2 DEC17	293.350	291.450		950.00DR
26SEP17	2 DEC17	293.250	291.450		900.00DR
26SEP17	3 DEC17	293.200	291.500		1,275.00DR
26SEP17	2 DEC17	293.200	291.550		825.00DR
26SEP17	2 DEC17	293.200	291.600		800.00DR
26SEP17	8 DEC17	293.200	291.650		3,100.00DR
26SEP17	2 DEC17	293.150	291.650		750.00DR
26SEP17	2 DEC17	293.200	291.650		775.00DR
26SEP17	2 DEC17	293.150	291.650		750.00DR
26SEP17	5 DEC17	293.150	291.600		1,937.50DR
26SEP17	2 DEC17	293.250	291.600		825.00DR
26SEP17	3 DEC17	293.150	291.550		1,200.00DR
26SEP17	2 DEC17	293.150	291.600		775.00DR
26SEP17	1 DEC17	293.050	291.600		362.50DR
26SEP17	1 DEC17	293.050	291.600		362.50DR
26SEP17	1 DEC17	292.950	291.600		337.50DR
26SEP17	1 DEC17	292.950	291.550		350.00DR
26SEP17	1 DEC17	292.950	291.550		350.00DR
TOTALS					

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0097R

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				1,099,907.34CR
	SETTLEMENTS				
	CMX Copper Futures				108,675.00CR
	COMMISSIONS				1,825.10DR
	CARRIED FORWARD BALANCE				1,206,757.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
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SAPAB097

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		5,075,131.71CR
	SETTLEMENTS		
	CMX Copper Futures		136,550.00CR
	LME Copper Grade A 25 tonnes. This Futures		153,686.75CR
	COMMISSIONS		3,136.32DR
	CARRIED FORWARD BALANCE		5,362,232.14CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0101A

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 15 28SEP17 JPM UD		6438.00	40.50DR	
26SEP17	S 20 29SEP17 SGL UD		6354.76	54.00DR	
26SEP17	S 20 29SEP17 IFC UD		6353.89	54.00DR	
26SEP17	B 20 30OCT17 SGL UD	6382.06		54.00DR	
26SEP17	B 15 27DEC17 JPM UD	6486.70		40.50DR	
26SEP17	B 20 27DEC17 IFC UD	6413.20		54.00DR	
	Commission				297.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,360,094.20CR
	COMMISSIONS				297.00DR
	CARRIED FORWARD BALANCE				2,359,797.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0101 ARBS
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SAPAB101

26th Sep 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
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Regulation Authority. Subject to regulation by the Financial
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Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		2,360,094.20CR
	COMMISSIONS		297.00DR
	CARRIED FORWARD BALANCE		2,359,797.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118B

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	7	28SEP17	IFC	UD		6353.00	18.90DR	
26SEP17	S	6	29SEP17	IFC	UD		6353.89	16.20DR	
26SEP17	B	3	27OCT17	IFC	UD	6377.45		8.10DR	
26SEP17	B	5	31OCT17	IFC	UD	6380.45		13.50DR	
26SEP17	B	5	03NOV17	IFC	UD	6382.70		13.50DR	
	Commission								70.20DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								794,381.10DR
	COMMISSIONS								70.20DR
	CARRIED FORWARD BALANCE								794,451.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0118D

26th September 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
05SEP17	1 26SEP17	6873.05	5791.00		27,051.25DR
05SEP17	1 26SEP17	6873.05	5790.50		27,063.75DR
05SEP17	1 26SEP17	6873.05	5789.00		27,101.25DR
05SEP17	1 26SEP17	6873.05	5784.00		27,226.25DR
05SEP17	1 26SEP17	6873.05	5785.00		27,201.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				198,237.62CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				135,643.75DR
	CARRIED FORWARD BALANCE				62,593.87CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118E

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 5 28SEP17 IFC UD		6353.00	13.50DR	
26SEP17	S 5 29SEP17 IFC UD		6353.89	13.50DR	
26SEP17	B 5 130CT17 IFC UD	6366.62		13.50DR	
26SEP17	B 5 27OCT17 IFC UD	6377.45		13.50DR	
	Commission				54.00DR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				103,276.99DR
	COMMISSIONS				54.00DR
	CARRIED FORWARD BALANCE				103,330.99DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0118I 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 6 28SEP17 IFC UD		6353.00	16.20DR	
26SEP17	S 5 29SEP17 IFC UD		6353.89	13.50DR	
26SEP17	B 1 31OCT17 IFC UD	6380.45		2.70DR	
26SEP17	B 10 03NOV17 IFC UD	6382.70		27.00DR	
	Commission				59.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				665,726.41DR
	COMMISSIONS				59.40DR
	CARRIED FORWARD BALANCE				665,785.81DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
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SAPAB118

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
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of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,071,383.81DR
	SETTLEMENTS		
	LME Copper Grade A 25 tonnes. This Futures		135,643.75DR
	COMMISSIONS		183.60DR
	CARRIED FORWARD BALANCE		1,207,211.16DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0122A

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	6479.50		10.40DR	
26SEP17	B	2	27DEC17	TTR	EL	6484.00		10.40DR	
26SEP17	B	1	27DEC17	TTR	EL	6485.00		5.20DR	
26SEP17	B	1	27DEC17	TTR	EL	6490.00		5.20DR	
26SEP17	B	1	27DEC17	TTR	EL	6492.00		5.20DR	
26SEP17	B	3	27DEC17	TTR	EL	6493.00		15.60DR	
	CMX Copper 25,000 CMX								
26SEP17	S	4	MAR18	TTR	GX		296.350	9.00DR	
26SEP17	S	2	MAR18	TTR	GX		296.600	4.50DR	
26SEP17	S	2	MAR18	TTR	GX		296.550	4.50DR	
26SEP17	S	3	MAR18	TTR	GX		296.650	6.75DR	
26SEP17	S	2	MAR18	TTR	GX		296.900	4.50DR	
26SEP17	S	2	MAR18	TTR	GX		296.950	4.50DR	
26SEP17	S	7	MAR18	TTR	GX		297.050	15.75DR	
	Commission								101.50DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								65,106.25CR
	COMMISSIONS								101.50DR
	CARRIED FORWARD BALANCE								65,004.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0122 ARBS
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SAPAB122

26th Sep 2017

COB Global

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available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		65,106.25CR
	COMMISSIONS		101.50DR
	CARRIED FORWARD BALANCE		65,004.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	6511.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6511.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6510.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6509.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6508.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6507.50		4.75DR	
26SEP17	B	2	27DEC17	TTR	EL	6504.50		9.50DR	
26SEP17	B	1	27DEC17	TTR	EL	6498.00		4.75DR	
26SEP17	B	3	27DEC17	TTR	EL	6502.50		14.25DR	
26SEP17	B	2	27DEC17	TTR	EL	6503.00		9.50DR	
26SEP17	B	4	27DEC17	TTR	EL	6504.00		19.00DR	
26SEP17	B	3	27DEC17	TTR	EL	6505.50		14.25DR	
26SEP17	B	1	27DEC17	TTR	EL	6499.50		4.75DR	
26SEP17	B	5	27DEC17	TTR	EL	6500.00		23.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6501.50		4.75DR	
26SEP17	B	5	27DEC17	TTR	EL	6500.50		23.75DR	
26SEP17	B	4	27DEC17	TTR	EL	6502.00		19.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6501.00		9.50DR	
26SEP17	S	1	27DEC17	TTR	EL		6505.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6507.00	4.75DR	
26SEP17	S	2	27DEC17	TTR	EL		6491.00	9.50DR	
26SEP17	S	2	27DEC17	TTR	EL		6491.50	9.50DR	
26SEP17	S	1	27DEC17	TTR	EL		6493.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6493.50	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6492.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6488.00	4.75DR	
26SEP17	S	3	27DEC17	TTR	EL		6489.00	14.25DR	
26SEP17	S	1	27DEC17	TTR	EL		6477.00	4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6477.50		4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6468.00	4.75DR	
26SEP17	B	1	27DEC17	DMA	EL	6513.00		4.75DR	
26SEP17	S	1	27DEC17	DMA	EL		6493.00	4.75DR	
26SEP17	S	1	27DEC17	DMA	EL		6489.00	4.75DR	
26SEP17	S	4	27DEC17	TTR	EL		6463.50	19.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6467.00	4.75DR	
26SEP17	S	3	27DEC17	TTR	EL		6459.50	14.25DR	
26SEP17	S	4	27DEC17	TTR	EL		6458.50	19.00DR	
26SEP17	S	2	27DEC17	TTR	EL		6457.00	9.50DR	
26SEP17	S	1	27DEC17	TTR	EL		6462.00	4.75DR	
26SEP17	S	3	27DEC17	TTR	EL		6464.00	14.25DR	
26SEP17	S	4	27DEC17	TTR	EL		6464.50	19.00DR	
26SEP17	S	3	27DEC17	TTR	EL		6465.00	14.25DR	
26SEP17	S	2	27DEC17	TTR	EL		6465.50	9.50DR	
26SEP17	S	13	27DEC17	TTR	EL		6462.50	61.75DR	
26SEP17	S	9	27DEC17	TTR	EL		6466.00	42.75DR	
26SEP17	S	9	27DEC17	TTR	EL		6461.50	42.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6454.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6445.00		4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6460.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6455.50	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6409.00	4.75DR	
26SEP17	S	1	27DEC17	DMA	EL		6466.50	4.75DR	
26SEP17	S	3	27DEC17	TTR	EL		6408.00	14.25DR	
26SEP17	S	3	27DEC17	TTR	EL		6411.00	14.25DR	
26SEP17	S	2	27DEC17	TTR	EL		6410.00	9.50DR	
26SEP17	S	1	27DEC17	TTR	EL		6410.50	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6413.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6414.00	4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6417.00	4.75DR	
26SEP17	S	9	27DEC17	TTR	EL		6417.50	42.75DR	
26SEP17	S	3	27DEC17	TTR	EL		6418.50	14.25DR	
26SEP17	S	3	27DEC17	TTR	EL		6418.00	14.25DR	

Group No: SAPAB127

Page 1 of 5

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	2	27DEC17	TTR	EL		6419.00	9.50DR	
26SEP17	S	4	27DEC17	TTR	EL		6421.00	19.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6422.50	4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6421.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6422.50		4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6424.00	4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6419.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6420.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6419.00		4.75DR	
26SEP17	B	4	27DEC17	TTR	EL	6418.50		19.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6418.00		4.75DR	
26SEP17	B	3	27DEC17	TTR	EL	6417.00		14.25DR	
26SEP17	B	3	27DEC17	TTR	EL	6416.00		14.25DR	
26SEP17	B	2	27DEC17	TTR	EL	6414.50		9.50DR	
26SEP17	B	4	27DEC17	TTR	EL	6415.00		19.00DR	
26SEP17	B	3	27DEC17	TTR	EL	6416.50		14.25DR	
26SEP17	B	1	27DEC17	TTR	EL	6424.50		4.75DR	
26SEP17	S	2	27DEC17	TTR	EL		6423.00	9.50DR	
26SEP17	B	1	27DEC17	TTR	EL	6426.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6429.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6428.00		4.75DR	
26SEP17	B	3	27DEC17	TTR	EL	6427.00		14.25DR	
26SEP17	B	4	27DEC17	TTR	EL	6427.50		19.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6417.00		4.75DR	
26SEP17	B	1	27DEC17	DMA	EL	6416.00		4.75DR	
26SEP17	B	1	27DEC17	DMA	EL	6415.50		4.75DR	
26SEP17	S	1	27DEC17	DMA	EL		6415.50	4.75DR	
CMX Copper 25,000 CMX									
26SEP17	S	2	DEC17	TTR	GX		296.000	4.80DR	
26SEP17	S	6	DEC17	TTR	GX		295.900	14.40DR	
26SEP17	S	3	DEC17	TTR	GX		295.800	7.20DR	
26SEP17	S	4	DEC17	TTR	GX		295.850	9.60DR	
26SEP17	S	1	DEC17	TTR	GX		295.750	2.40DR	
26SEP17	S	1	DEC17	TTR	GX		295.700	2.40DR	
26SEP17	S	17	DEC17	TTR	GX		295.650	40.80DR	
26SEP17	S	9	DEC17	TTR	GX		295.600	21.60DR	
26SEP17	S	8	DEC17	TTR	GX		295.400	19.20DR	
26SEP17	S	12	DEC17	TTR	GX		295.550	28.80DR	
26SEP17	S	11	DEC17	TTR	GX		295.500	26.40DR	
26SEP17	S	14	DEC17	TTR	GX		295.450	33.60DR	
26SEP17	B	2	DEC17	TTR	GX	295.650		4.80DR	
26SEP17	B	2	DEC17	TTR	GX	295.600		4.80DR	
26SEP17	B	5	DEC17	TTR	GX	294.950		12.00DR	
26SEP17	B	9	DEC17	TTR	GX	295.050		21.60DR	
26SEP17	B	4	DEC17	TTR	GX	295.000		9.60DR	
26SEP17	B	10	DEC17	TTR	GX	294.850		24.00DR	
26SEP17	B	1	DEC17	TTR	GX	294.900		2.40DR	
26SEP17	B	2	DEC17	TTR	GX	293.950		4.80DR	
26SEP17	B	13	DEC17	TTR	GX	293.850		31.20DR	
26SEP17	B	4	DEC17	TTR	GX	293.900		9.60DR	
26SEP17	B	4	DEC17	TTR	GX	293.450		9.60DR	
26SEP17	B	9	DEC17	TTR	GX	293.500		21.60DR	
26SEP17	B	1	DEC17	TTR	GX	293.350		2.40DR	
26SEP17	B	15	DEC17	TTR	GX	293.650		36.00DR	
26SEP17	B	17	DEC17	TTR	GX	293.700		40.80DR	
26SEP17	B	24	DEC17	TTR	GX	293.750		57.60DR	
26SEP17	B	18	DEC17	TTR	GX	293.800		43.20DR	
26SEP17	B	19	DEC17	TTR	GX	293.600		45.60DR	
26SEP17	S	2	DEC17	TTR	GX		293.400	4.80DR	
26SEP17	S	2	DEC17	TTR	GX		292.850	4.80DR	
26SEP17	B	6	DEC17	TTR	GX	293.400		14.40DR	
26SEP17	B	4	DEC17	TTR	GX	293.550		9.60DR	
26SEP17	B	2	DEC17	TTR	GX	291.250		4.80DR	
26SEP17	B	2	DEC17	TTR	GX	291.150		4.80DR	
26SEP17	B	8	DEC17	TTR	GX	291.100		19.20DR	
26SEP17	B	7	DEC17	TTR	GX	291.200		16.80DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	2	DEC17	TTR	GX	291.450		4.80DR	
26SEP17	B	1	DEC17	TTR	GX	291.400		2.40DR	
26SEP17	B	6	DEC17	TTR	GX	291.500		14.40DR	
26SEP17	B	23	DEC17	TTR	GX	291.550		55.20DR	
26SEP17	B	5	DEC17	TTR	GX	291.750		12.00DR	
26SEP17	B	5	DEC17	TTR	GX	291.650		12.00DR	
26SEP17	B	8	DEC17	TTR	GX	291.600		19.20DR	
26SEP17	S	2	DEC17	TTR	GX		291.800	4.80DR	
26SEP17	B	2	DEC17	TTR	GX	291.900		4.80DR	
26SEP17	S	4	DEC17	TTR	GX		291.700	9.60DR	
26SEP17	B	10	DEC17	TTR	GX	291.700		24.00DR	
26SEP17	S	12	DEC17	TTR	GX		291.650	28.80DR	
26SEP17	S	11	DEC17	TTR	GX		291.600	26.40DR	
26SEP17	S	4	DEC17	TTR	GX		291.450	9.60DR	
26SEP17	S	17	DEC17	TTR	GX		291.500	40.80DR	
26SEP17	S	9	DEC17	TTR	GX		291.550	21.60DR	
26SEP17	S	2	DEC17	TTR	GX		291.900	4.80DR	
26SEP17	B	2	DEC17	TTR	GX	292.000		4.80DR	
26SEP17	S	3	DEC17	TTR	GX		292.100	7.20DR	
26SEP17	S	7	DEC17	TTR	GX		292.000	16.80DR	
26SEP17	S	12	DEC17	TTR	GX		292.050	28.80DR	
	Commission								1,970.05DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			295.650	296.000		175.00CR
26SEP17		2	DEC17			295.600	295.900		150.00CR
26SEP17		1	DEC17			295.050	295.900		212.50CR
26SEP17		1	DEC17			295.000	295.900		225.00CR
26SEP17		1	DEC17			294.950	295.850		225.00CR
26SEP17		2	DEC17			294.950	295.900		475.00CR
26SEP17		1	DEC17			294.950	295.850		225.00CR
26SEP17		1	DEC17			294.950	295.800		212.50CR
26SEP17		2	DEC17			295.050	295.800		375.00CR
26SEP17		2	DEC17			295.050	295.850		400.00CR
26SEP17		2	DEC17			295.050	295.400		175.00CR
26SEP17		2	DEC17			295.050	295.600		275.00CR
26SEP17		1	DEC17			295.000	295.650		162.50CR
26SEP17		1	DEC17			295.000	295.650		162.50CR
26SEP17		1	DEC17			295.000	295.750		187.50CR
26SEP17		2	DEC17			294.850	295.450		300.00CR
26SEP17		2	DEC17			294.850	295.500		325.00CR
26SEP17		2	DEC17			294.850	295.550		350.00CR
26SEP17		4	DEC17			294.850	295.600		750.00CR
26SEP17		1	DEC17			294.900	295.550		162.50CR
26SEP17		1	DEC17			293.750	295.550		450.00CR
26SEP17		2	DEC17			293.750	295.600		925.00CR
26SEP17		2	DEC17			293.750	295.550		900.00CR
26SEP17		1	DEC17			293.750	295.650		475.00CR
26SEP17		2	DEC17			293.800	295.650		925.00CR
26SEP17		6	DEC17			293.850	295.650		2,700.00CR
26SEP17		4	DEC17			293.850	295.650		1,800.00CR
26SEP17		1	DEC17			293.900	295.700		450.00CR
26SEP17		1	DEC17			293.900	295.650		437.50CR
26SEP17		1	DEC17			293.850	295.650		450.00CR
26SEP17		1	DEC17			293.850	295.600		437.50CR
26SEP17		2	DEC17			293.750	295.400		825.00CR
26SEP17		4	DEC17			293.800	295.400		1,600.00CR
26SEP17		5	DEC17			293.750	295.450		2,125.00CR
26SEP17		1	DEC17			293.950	295.450		375.00CR
26SEP17		1	DEC17			293.950	295.500		387.50CR
26SEP17		1	DEC17			293.850	295.450		400.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	293.750	295.450		425.00CR
26SEP17	2 DEC17	293.750	295.500		875.00CR
26SEP17	2 DEC17	293.800	295.500		850.00CR
26SEP17	2 DEC17	293.900	295.550		825.00CR
26SEP17	1 DEC17	293.750	295.450		425.00CR
26SEP17	1 DEC17	293.550	295.450		475.00CR
26SEP17	1 DEC17	293.550	295.500		487.50CR
26SEP17	1 DEC17	293.650	295.500		462.50CR
26SEP17	3 DEC17	293.650	295.550		1,425.00CR
26SEP17	1 DEC17	293.600	295.550		487.50CR
26SEP17	1 DEC17	293.600	295.500		475.00CR
26SEP17	1 DEC17	293.500	295.450		487.50CR
26SEP17	1 DEC17	293.500	295.500		500.00CR
26SEP17	1 DEC17	293.450	295.450		500.00CR
26SEP17	2 DEC17	293.450	293.400		25.00DR
26SEP17	1 DEC17	293.450	292.850		150.00DR
26SEP17	1 DEC17	293.500	292.850		162.50DR
26SEP17	1 DEC17	293.500	291.800		425.00DR
26SEP17	1 DEC17	293.500	291.800		425.00DR
26SEP17	4 DEC17	293.500	291.700		1,800.00DR
26SEP17	1 DEC17	293.350	291.600		437.50DR
26SEP17	2 DEC17	293.400	291.450		975.00DR
26SEP17	2 DEC17	293.400	291.500		950.00DR
26SEP17	2 DEC17	293.700	291.500		1,100.00DR
26SEP17	5 DEC17	293.700	291.600		2,625.00DR
26SEP17	1 DEC17	293.700	291.550		537.50DR
26SEP17	1 DEC17	293.700	291.600		525.00DR
26SEP17	1 DEC17	293.650	291.600		512.50DR
26SEP17	2 DEC17	293.600	291.600		1,000.00DR
26SEP17	6 DEC17	293.650	291.650		3,000.00DR
26SEP17	4 DEC17	293.700	291.650		2,050.00DR
26SEP17	1 DEC17	293.650	291.650		500.00DR
26SEP17	1 DEC17	293.650	291.650		500.00DR
26SEP17	2 DEC17	293.650	291.550		1,050.00DR
26SEP17	1 DEC17	293.750	291.600		537.50DR
26SEP17	1 DEC17	293.750	291.450		575.00DR
26SEP17	2 DEC17	293.800	291.500		1,150.00DR
26SEP17	2 DEC17	293.800	291.550		1,125.00DR
26SEP17	1 DEC17	293.750	291.550		550.00DR
26SEP17	1 DEC17	293.750	291.500		562.50DR
26SEP17	1 DEC17	293.800	291.450		587.50DR
26SEP17	3 DEC17	293.800	291.500		1,725.00DR
26SEP17	2 DEC17	293.750	291.500		1,125.00DR
26SEP17	4 DEC17	293.700	291.500		2,200.00DR
26SEP17	1 DEC17	293.750	291.500		562.50DR
26SEP17	2 DEC17	293.800	291.550		1,125.00DR
26SEP17	1 DEC17	293.600	291.550		512.50DR
26SEP17	2 DEC17	293.600	291.900		850.00DR
26SEP17	4 DEC17	293.600	292.000		1,600.00DR
26SEP17	2 DEC17	293.600	292.050		775.00DR
26SEP17	2 DEC17	293.600	292.050		775.00DR
26SEP17	3 DEC17	293.600	292.100		1,125.00DR
26SEP17	1 DEC17	293.600	292.050		387.50DR
26SEP17	2 DEC17	293.400	292.050		675.00DR
26SEP17	1 DEC17	293.550	292.050		375.00DR
26SEP17	1 DEC17	293.550	292.000		387.50DR
26SEP17	1 DEC17	291.100	292.050		237.50CR
26SEP17	1 DEC17	291.100	292.000		225.00CR
26SEP17	1 DEC17	291.250	292.000		187.50CR
26SEP17	1 DEC17	291.250	292.050		200.00CR
26SEP17	2 DEC17	291.200	292.050		425.00CR
TOTALS					
BROUGHT FORWARD BALANCE					1,174,063.65CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127A

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	SETTLEMENTS				
	CMX Copper Futures				6,875.00DR
	COMMISSIONS				1,970.05DR
	CARRIED FORWARD BALANCE				1,165,218.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	6513.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6517.00		4.75DR	
26SEP17	S	1	27DEC17	TTR	EL		6511.50	4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6498.50		4.75DR	
26SEP17	B	4	27DEC17	TTR	EL	6498.00		19.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6502.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6503.50		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6508.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6506.00		4.75DR	
26SEP17	B	1	27DEC17	TTR	EL	6500.00		4.75DR	
26SEP17	B	4	27DEC17	TTR	EL	6499.50		19.00DR	
	CMX Copper 25,000 CMX								
26SEP17	S	2	DEC17	TTR	GX		295.900	4.80DR	
26SEP17	S	1	DEC17	TTR	GX		296.200	2.40DR	
26SEP17	S	1	DEC17	TTR	GX		296.250	2.40DR	
26SEP17	B	2	DEC17	TTR	GX	295.950		4.80DR	
26SEP17	S	11	DEC17	TTR	GX		295.350	26.40DR	
26SEP17	S	2	DEC17	TTR	GX		295.600	4.80DR	
26SEP17	S	2	DEC17	TTR	GX		295.750	4.80DR	
26SEP17	S	1	DEC17	TTR	GX		295.700	2.40DR	
26SEP17	S	8	DEC17	TTR	GX		295.400	19.20DR	
26SEP17	S	7	DEC17	TTR	GX		295.450	16.80DR	
	Commission								169.55DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			295.950	295.900		25.00DR
26SEP17		1	DEC17			293.800	296.200		600.00CR
26SEP17		1	DEC17			293.800	296.250		612.50CR
26SEP17		1	DEC17			293.800	295.350		387.50CR
26SEP17		2	DEC17			293.850	295.350		750.00CR
26SEP17		2	DEC17			293.900	295.350		725.00CR
26SEP17		2	DEC17			293.850	295.350		750.00CR
26SEP17		1	DEC17			293.950	295.350		350.00CR
26SEP17		2	DEC17			293.750	295.350		800.00CR
26SEP17		1	DEC17			293.800	295.350		387.50CR
26SEP17		1	DEC17			293.800	295.400		400.00CR
26SEP17		1	DEC17			293.850	295.400		387.50CR
26SEP17		1	DEC17			293.850	295.450		400.00CR
26SEP17		1	DEC17			293.750	295.450		425.00CR
26SEP17		2	DEC17			293.850	295.450		800.00CR
26SEP17		1	DEC17			293.850	295.600		437.50CR
26SEP17		1	DEC17			293.900	295.600		425.00CR
26SEP17		2	DEC17			293.450	295.750		1,150.00CR
26SEP17		1	DEC17			293.450	295.700		562.50CR
26SEP17		2	DEC17			293.450	295.450		1,000.00CR
26SEP17		6	DEC17			293.450	295.400		2,925.00CR
26SEP17		1	DEC17			293.250	295.450		550.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								3,787.60CR
	SETTLEMENTS								
	CMX Copper Futures								14,800.00CR
	COMMISSIONS								169.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127B

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				18,418.05CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0127F

26th September 2017

COB GLOBAL

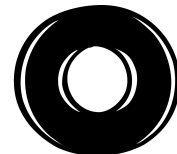
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 2 27DEC17 TTR EL		6482.00	9.50DR	
26SEP17	S 1 27DEC17 TTR EL		6476.50	4.75DR	
26SEP17	S 1 27DEC17 TTR EL		6463.50	4.75DR	
26SEP17	S 1 27DEC17 TTR EL		6464.50	4.75DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	294.600		4.80DR	
26SEP17	B 2 DEC17 TTR GX	294.550		4.80DR	
26SEP17	B 2 DEC17 TTR GX	294.350		4.80DR	
26SEP17	B 2 DEC17 TTR GX	293.700		4.80DR	
26SEP17	B 3 DEC17 TTR GX	293.750		7.20DR	
	Commission				50.15DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	294.600	311.800		8,600.00CR
26SEP17	2 DEC17	294.550	314.500		9,975.00CR
26SEP17	2 DEC17	294.350	315.250		10,450.00CR
26SEP17	1 DEC17	293.750	315.150		5,350.00CR
26SEP17	1 DEC17	293.750	315.100		5,337.50CR
26SEP17	2 DEC17	293.700	315.100		10,700.00CR
26SEP17	1 DEC17	293.750	315.050		5,325.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				122,475.10CR
	SETTLEMENTS				
	CMX Copper Futures				55,737.50CR
	COMMISSIONS				50.15DR
	CARRIED FORWARD BALANCE				178,162.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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SAPAB127

26th Sep 2017

COB Global

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Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,300,326.35CR
	SETTLEMENTS		
	CMX Copper Futures		63,662.50CR
	COMMISSIONS		2,189.75DR
	CARRIED FORWARD BALANCE		1,361,799.10CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001A

26th September 2017

COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>BUSINESS DONE TODAY</u> CME Sterling 62,500 CME B 5 DEC17 Commission GX	1.34490		0.75DR	0.75DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 97,278.57CR COMMISSIONS 0.75DR CARRIED FORWARD BALANCE 97,277.82CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH
26th September 2017

COB GLOBAL

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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available from us on request.

GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>CASH PAYMENTS</u> CASH PAYMENT				150,000.00DR
26SEP17	<u>INTER ACCOUNT TRANSFER</u> SAP0088 Fund Withdrawal 26/09/17				150,000.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				0.00
	CARRIED FORWARD BALANCE				0.00

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
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SAPG0001

26th Sep 2017

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		774,011.19CR
	CARRIED FORWARD BALANCE		774,011.19CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		9,321,312.15CR
	COMMISSIONS		0.75DR
	CARRIED FORWARD BALANCE		9,321,311.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011B

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>BUSINESS DONE TODAY</u> NYM Light Sweet Crude Oil 1,000 NYM S 25 NOV17 65.00 Call II Commission		0.01	52.50DR	250.00CR 52.50DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 12,100.50CR OPTION PREMIUMS 250.00CR COMMISSIONS 52.50DR CARRIED FORWARD BALANCE 12,298.00CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0011J

26th September 2017

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EUR EURO

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	Euro-Bund 1,000 ERX				
26SEP17	B 2 DEC17 TTR EL	161.870			
26SEP17	B 1 DEC17 TTR EL	161.810			
26SEP17	S 1 DEC17 TTR EL		161.640		
26SEP17	S 1 DEC17 TTR EL		161.630		
26SEP17	S 1 DEC17 TTR EL		161.650		
	<u>SETTLEMENTS</u>				
	Euro-Bund 1,000 ERX				
26SEP17	1 DEC17	161.870	161.640		230.00DR
26SEP17	1 DEC17	161.870	161.630		240.00DR
26SEP17	1 DEC17	161.810	161.650		160.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				13,293.86CR
	SETTLEMENTS				
	Euro-Bund Futures				630.00DR
	CARRIED FORWARD BALANCE				12,663.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0011J 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
26SEP17	S 1 DEC17 TTR II		154.2700	2.10DR	
26SEP17	S 1 DEC17 TTR II		154.2800	2.10DR	
26SEP17	S 2 DEC17 TTR II		155.0000	4.20DR	
26SEP17	S 1 DEC17 TTR II		154.2900	2.10DR	
26SEP17	B 1 DEC17 TTR II	154.1300		2.10DR	
26SEP17	B 1 DEC17 TTR II	154.1200		2.10DR	
26SEP17	B 1 DEC17 TTR II	154.1400		2.10DR	
26SEP17	B 1 DEC17 TTR II	154.1700		2.10DR	
26SEP17	B 1 DEC17 TTR II	154.1900		2.10DR	
	Commission				21.00DR
	<u>SETTLEMENTS</u>				
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
26SEP17	1 DEC17	154.1300	154.2700		437.50CR
26SEP17	1 DEC17	154.1200	154.2800		500.00CR
26SEP17	1 DEC17	154.1400	155.0000		562.50CR
26SEP17	1 DEC17	154.1700	155.0000		468.75CR
26SEP17	1 DEC17	154.1900	154.2900		312.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				132,524.67CR
	SETTLEMENTS				
	CBT 30 Year Us Treasury Bonds Futures				2,281.25CR
	COMMISSIONS				21.00DR
	CARRIED FORWARD BALANCE				134,784.92CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
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SAPG0011

26th Sep 2017

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MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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EUR EURO

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		10,628.88CR
	SETTLEMENTS		
	Euro-Bund Futures		630.00DR
	CARRIED FORWARD BALANCE		9,998.88CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,396,832.28CR
	OPTION PREMIUMS		250.00CR
	SETTLEMENTS		
	CBT 30 Year Us Treasury Bonds Futures		2,281.25CR
	COMMISSIONS		73.50DR
	CARRIED FORWARD BALANCE		1,399,290.03CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0023C

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	B 1 NOV17 GX 51.84			2.35DR	
26SEP17	B 1 NOV17 55.00 Call GX 0.38			2.35DR	380.00DR
26SEP17	S 2 DEC17 GX		52.41	4.70DR	
26SEP17	S 1 DEC17 GX		52.14	2.35DR	
26SEP17	S 1 DEC17 55.00 Call GX 0.96			2.35DR	960.00CR
26SEP17	S 1 APR18 GX		52.71	2.35DR	
26SEP17	S 1 JUN18 GX		52.42	2.35DR	
26SEP17	B 1 SEP18 GX	52.11		2.35DR	
26SEP17	B 2 DEC18 GX	51.74		4.70DR	
26SEP17	S 1 DEC18 GX		51.76	2.35DR	
26SEP17	B 4 DEC18 GX	51.75		9.40DR	
26SEP17	S 1 DEC18 GX		51.85	2.35DR	
26SEP17	B 1 DEC18 GX	51.70		2.35DR	
26SEP17	S 1 JUN19 GX		51.13	2.35DR	
26SEP17	S 2 DEC19 GX		50.72	4.70DR	
26SEP17	B 1 DEC19 GX	50.74		2.35DR	
26SEP17	B 1 DEC19 GX	50.68		2.35DR	
26SEP17	B 1 DEC19 GX	50.86		2.35DR	
26SEP17	S 1 DEC19 GX		50.83	2.35DR	
26SEP17	S 1 DEC20 GX		50.44	2.35DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	B 1 JAN18 GX	3.25600		2.35DR	
26SEP17	S 1 MAY18 GX		2.89200	2.35DR	
	Commission				65.80DR
	<u>SETTLEMENTS</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	1 NOV17	51.84	47.72		4,120.00DR
26SEP17	1 DEC18	51.75	51.76		10.00CR
26SEP17	1 DEC18	51.75	51.85		100.00CR
26SEP17	1 DEC19	50.74	50.72		20.00DR
26SEP17	1 DEC19	50.68	50.72		40.00CR
26SEP17	1 DEC19	50.86	50.83		30.00DR
26SEP17	1 DEC20	49.63	50.44		810.00CR
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	1 JAN18	3.25600	3.44000		1,840.00CR
26SEP17	1 MAY18	2.94900	2.89200		570.00DR
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
17AUG17	B 1 OCT17 1250.0 Put GX 4.2				
18AUG17	B 1 OCT17 1250.0 Put GX 3.0				
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				103,486.98CR
	OPTION PREMIUMS				580.00CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				1,270.00CR
	NYM Light Sweet Crude Oil Futures				3,210.00DR
	COMMISSIONS				65.80DR
	CARRIED FORWARD BALANCE				102,061.18CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0023

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		152,723.49CR
	OPTION PREMIUMS		580.00CR
	SETTLEMENTS		
	NYM Henry Hub Natural Gas Futures		1,270.00CR
	NYM Light Sweet Crude Oil Futures		3,210.00DR
	COMMISSIONS		65.80DR
	CARRIED FORWARD BALANCE		151,297.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025A

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
26SEP17	B 1 DEC17 GX 295.700			2.27DR	
26SEP17	B 1 DEC17 GX 295.650			2.27DR	
26SEP17	B 1 DEC17 GX 291.100			2.27DR	
	Commission				6.81DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	1 DEC17 295.700	309.150			3,362.50CR
26SEP17	1 DEC17 295.650	309.150			3,375.00CR
26SEP17	1 DEC17 291.100	309.150			4,512.50CR
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
05SEP17	S 10 OCT17 1150.0 Put GX		0.2		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				37,846.67CR
	SETTLEMENTS				
	CMX Copper Futures				11,250.00CR
	COMMISSIONS				6.81DR
	CARRIED FORWARD BALANCE				49,089.86CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025B

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
29AUG17	S 10 OCT17 1170.0 Put GX		0.2		
29AUG17	S 20 OCT17 1165.0 Put GX		0.2		
29AUG17	S 10 OCT17 1145.0 Put GX		0.1		
29AUG17	S 10 OCT17 1155.0 Put GX		0.2		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				253,400.99CR
	CARRIED FORWARD BALANCE				253,400.99CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0025I
26th September 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
29AUG17	S 20 OCT17 1155.0 Put GX		0.2		
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,520.46CR
	CARRIED FORWARD BALANCE				3,520.46CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	B	2	27DEC17	CQG	EL	2127.00		10.90DR	
26SEP17	S	2	27DEC17	CQG	EL		2132.50	10.90DR	
26SEP17	S	2	27DEC17	CQG	EL		2130.50	10.90DR	
26SEP17	B	2	27DEC17	DMA	EL	2123.00		10.90DR	
26SEP17	B	2	27DEC17	CQG	EL	2126.00		10.90DR	
	Commission								54.50DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								12,216.56CR
	COMMISSIONS								54.50DR
	CARRIED FORWARD BALANCE								12,162.06CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0025Z

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description						Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
26SEP17	B	1	NOV17	49.00	Put	GX	0.27		2.27DR	270.00DR
26SEP17	B	1	NOV17	49.00	Put	GX	0.28		2.27DR	280.00DR
26SEP17	B	2	NOV17	49.00	Put	GX	0.29		4.54DR	580.00DR
26SEP17	B	1	NOV17	46.50	Put	GX	0.08		2.27DR	80.00DR
26SEP17	B	10	NOV17	43.50	Put	GX	0.02		22.70DR	200.00DR
26SEP17	S	9	NOV17	47.00	Put	GX		0.10	20.43DR	900.00CR
26SEP17	S	6	NOV17	46.50	Put	GX		0.08	13.62DR	480.00CR
26SEP17	B	1	NOV17	49.00	Put	GX	0.31		2.27DR	310.00DR
26SEP17	S	10	NOV17	45.00	Put	GX		0.04	22.70DR	400.00CR
26SEP17	S	4	NOV17	59.00	Call	GX		0.06	9.08DR	240.00CR
26SEP17	S	1	NOV17	46.50	Put	GX		0.07	2.27DR	70.00CR
26SEP17	B	1	NOV17	58.00	Call	GX	0.09		2.27DR	90.00DR
	Commission									106.69DR
	<u>SETTLEMENTS</u>									
	NYM Light Sweet Crude Oil 1,000 NYM									
26SEP17		1	NOV17	46.50	Put		0.08	0.08		0.00
26SEP17		1	NOV17	58.00	Call		0.09	0.06		0.00
26SEP17		6	NOV17	43.50	Put		0.02	0.06		0.00
26SEP17		2	NOV17	43.50	Put		0.02	0.05		0.00
26SEP17		2	NOV17	43.50	Put		0.02	0.05		0.00
26SEP17		1	NOV17	47.00	Put		0.24	0.10		0.00
26SEP17		1	NOV17	47.00	Put		0.24	0.10		0.00
26SEP17		1	NOV17	47.00	Put		0.23	0.10		0.00
26SEP17		1	NOV17	47.00	Put		0.15	0.10		0.00
	<u>TOTALS</u>									
	BROUGHT FORWARD BALANCE									1,379.99CR
	OPTION PREMIUMS									280.00CR
	COMMISSIONS									106.69DR
	CARRIED FORWARD BALANCE									1,553.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
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SAPG0025

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		897,186.62CR
	OPTION PREMIUMS		280.00CR
	SETTLEMENTS		
	CMX Copper Futures		11,250.00CR
	COMMISSIONS		168.00DR
	CARRIED FORWARD BALANCE		908,548.62CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>							
	CNX Nifty (India) Index 2 SIM							
26SEP17	B	2	SEP17	EL	9846.00		2.80DR	
26SEP17	B	9	SEP17	EL	9850.00		12.60DR	
26SEP17	S	2	SEP17	EL		9849.50	2.80DR	
26SEP17	S	7	SEP17	EL		9849.00	9.80DR	
26SEP17	S	2	SEP17	EL		9848.50	2.80DR	
	NYM Light Sweet Crude Oil 1,000 NYM							
26SEP17	B	1	NOV17	TTR	52.14		1.22DR	
26SEP17	B	2	NOV17	TTR	52.16		2.44DR	
26SEP17	B	1	NOV17	TTR	51.94		1.22DR	
26SEP17	B	1	NOV17	TTR	52.10		1.22DR	
26SEP17	B	1	NOV17	TTR	51.96		1.22DR	
26SEP17	S	1	NOV17	TTR		51.95	1.22DR	
26SEP17	S	1	NOV17	TTR		52.01	1.22DR	
26SEP17	S	3	NOV17	TTR		51.74	3.66DR	
26SEP17	S	1	NOV17	TTR		51.73	1.22DR	
26SEP17	B	1	NOV17	TTR	51.75		1.22DR	
26SEP17	B	1	NOV17	TTR	52.00		1.22DR	
26SEP17	B	2	NOV17	TTR	51.91		2.44DR	
26SEP17	S	1	NOV17	TTR		51.88	1.22DR	
	CMX Gold 100 CMX							
26SEP17	S	1	DEC17	TTR		1315.9	1.33DR	
26SEP17	S	1	DEC17	TTR		1315.7	1.33DR	
26SEP17	S	1	DEC17	TTR		1316.5	1.33DR	
26SEP17	S	4	DEC17	TTR		1316.4	5.32DR	
26SEP17	S	3	DEC17	TTR		1316.2	3.99DR	
26SEP17	S	2	DEC17	TTR		1316.1	2.66DR	
26SEP17	S	2	DEC17	TTR		1313.8	2.66DR	
26SEP17	S	1	DEC17	TTR		1314.8	1.33DR	
26SEP17	S	1	DEC17	TTR		1314.9	1.33DR	
26SEP17	S	1	DEC17	TTR		1315.1	1.33DR	
26SEP17	B	1	DEC17	TTR	1312.7		1.33DR	
26SEP17	B	1	DEC17	TTR	1311.7		1.33DR	
26SEP17	S	1	DEC17	TTR		1311.7	1.33DR	
26SEP17	B	2	DEC17	TTR	1311.1		2.66DR	
26SEP17	B	1	DEC17	TTR	1311.0		1.33DR	
26SEP17	B	1	DEC17	TTR	1310.5		1.33DR	
26SEP17	S	1	DEC17	TTR		1308.5	1.33DR	
26SEP17	B	3	DEC17	TTR	1308.5		3.99DR	
26SEP17	B	1	DEC17	TTR	1308.2		1.33DR	
26SEP17	B	2	DEC17	TTR	1309.8		2.66DR	
26SEP17	B	1	DEC17	TTR	1310.2		1.33DR	
26SEP17	B	2	DEC17	TTR	1309.7		2.66DR	
26SEP17	B	2	DEC17	TTR	1309.4		2.66DR	
26SEP17	B	6	DEC17	TTR	1309.5		7.98DR	
26SEP17	B	4	DEC17	TTR	1309.3		5.32DR	
26SEP17	B	5	DEC17	TTR	1309.9		6.65DR	
26SEP17	B	1	DEC17	TTR	1310.0		1.33DR	
26SEP17	B	1	DEC17	TTR	1304.9		1.33DR	
26SEP17	B	1	DEC17	TTR	1305.0		1.33DR	
26SEP17	B	2	DEC17	TTR	1306.3		2.66DR	
26SEP17	S	1	DEC17	TTR		1306.7	1.33DR	
26SEP17	B	1	DEC17	TTR	1306.6		1.33DR	
26SEP17	B	1	DEC17	TTR	1306.4		1.33DR	
26SEP17	B	1	DEC17	TTR	1307.1		1.33DR	
26SEP17	B	2	DEC17	TTR	1307.0		2.66DR	
26SEP17	B	2	DEC17	TTR	1306.9		2.66DR	
26SEP17	B	2	DEC17	TTR	1306.8		2.66DR	
26SEP17	B	4	DEC17	TTR	1306.7		5.32DR	
26SEP17	B	2	DEC17	TTR	1303.1		2.66DR	
26SEP17	B	2	DEC17	TTR	1303.2		2.66DR	
26SEP17	B	1	DEC17	TTR	1302.7		1.33DR	
26SEP17	S	1	DEC17	TTR		1304.0	1.33DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	1	DEC17	TTR	II		1304.2	1.33DR	
26SEP17	S	3	DEC17	TTR	II		1304.3	3.99DR	
26SEP17	S	2	DEC17	TTR	II		1305.2	2.66DR	
26SEP17	S	2	DEC17	TTR	II		1304.5	2.66DR	
26SEP17	S	1	DEC17	TTR	II		1304.4	1.33DR	
26SEP17	S	1	DEC17	TTR	II		1304.1	1.33DR	
26SEP17	S	1	DEC17	TTR	II		1303.8	1.33DR	
26SEP17	B	1	DEC17	TTR	II	1302.9		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1302.8		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1302.4		1.33DR	
26SEP17	B	3	DEC17	TTR	II	1302.2		3.99DR	
26SEP17	B	1	DEC17	TTR	II	1302.3		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1302.0		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1301.4		1.33DR	
26SEP17	B	2	DEC17	TTR	II	1299.5		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1299.2		1.33DR	
26SEP17	S	1	DEC17	TTR	II		1301.0	1.33DR	
26SEP17	S	2	DEC17	TTR	II		1300.8	2.66DR	
26SEP17	S	1	DEC17	TTR	II		1301.6	1.33DR	
26SEP17	S	1	DEC17	TTR	II		1301.2	1.33DR	
26SEP17	S	1	DEC17	TTR	II		1301.4	1.33DR	
26SEP17	S	2	DEC17	TTR	II		1302.1	2.66DR	
26SEP17	S	4	DEC17	TTR	II		1302.2	5.32DR	
26SEP17	S	4	DEC17	TTR	II		1302.5	5.32DR	
26SEP17	S	5	DEC17	TTR	II		1302.3	6.65DR	
26SEP17	S	5	DEC17	TTR	II		1302.4	6.65DR	
26SEP17	S	2	DEC17	TTR	II		1302.7	2.66DR	
26SEP17	S	3	DEC17	TTR	II		1302.8	3.99DR	
26SEP17	S	3	DEC17	TTR	II		1303.2	3.99DR	
26SEP17	S	1	DEC17	TTR	II		1303.1	1.33DR	
	CMX Silver 5,000 CMX								
26SEP17	S	4	DEC17	TTR	II		1726.5	5.32DR	
26SEP17	S	6	DEC17	TTR	II		1726.0	7.98DR	
26SEP17	S	1	DEC17	TTR	II		1723.0	1.33DR	
26SEP17	B	1	DEC17	TTR	II	1720.5		1.33DR	
26SEP17	B	2	DEC17	TTR	II	1719.5		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1720.0		1.33DR	
26SEP17	B	5	DEC17	TTR	II	1719.0		6.65DR	
26SEP17	B	2	DEC17	TTR	II	1718.0		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1718.5		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1712.0		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1711.5		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1700.5		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1702.5		1.33DR	
26SEP17	B	1	DEC17	TTR	II	1694.5		1.33DR	
26SEP17	B	2	DEC17	TTR	II	1698.0		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1695.0		1.33DR	
26SEP17	B	2	DEC17	TTR	II	1695.5		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1696.5		1.33DR	
26SEP17	B	2	DEC17	TTR	II	1692.0		2.66DR	
26SEP17	B	1	DEC17	TTR	II	1689.5		1.33DR	
26SEP17	S	2	DEC17	TTR	II		1693.5	2.66DR	
	Commission								281.63DR
	SETTLEMENTS								
	CNX Nifty (India) Index 2 SIM								
26SEP17		1	SEP17			9846.00	9849.50		7.00CR
26SEP17		1	SEP17			9846.00	9849.00		6.00CR
26SEP17		2	SEP17			9850.00	9849.00		4.00DR
26SEP17		1	SEP17			9850.00	9849.50		1.00DR
26SEP17		4	SEP17			9850.00	9849.00		8.00DR
26SEP17		2	SEP17			9850.00	9848.50		6.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034E

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	1 NOV17	52.14	51.95		190.00DR
26SEP17	1 NOV17	52.16	52.01		150.00DR
26SEP17	1 NOV17	52.16	51.74		420.00DR
26SEP17	1 NOV17	51.94	51.74		200.00DR
26SEP17	1 NOV17	52.10	51.74		360.00DR
26SEP17	1 NOV17	51.96	51.73		230.00DR
26SEP17	1 NOV17	51.75	51.88		130.00CR
26SEP17	1 NOV17	52.00	50.21		1,790.00DR
26SEP17	2 NOV17	51.91	50.21		3,400.00DR
	CMX Gold 100 CMX				
26SEP17	1 DEC17	1312.7	1315.9		320.00CR
26SEP17	1 DEC17	1311.7	1315.7		400.00CR
26SEP17	1 DEC17	1311.1	1316.4		530.00CR
26SEP17	1 DEC17	1311.1	1316.5		540.00CR
26SEP17	1 DEC17	1311.0	1316.4		540.00CR
26SEP17	1 DEC17	1310.5	1316.4		590.00CR
26SEP17	1 DEC17	1309.7	1316.4		670.00CR
26SEP17	2 DEC17	1308.5	1316.2		1,540.00CR
26SEP17	1 DEC17	1308.5	1316.2		770.00CR
26SEP17	1 DEC17	1308.2	1316.1		790.00CR
26SEP17	1 DEC17	1309.3	1316.1		680.00CR
26SEP17	1 DEC17	1309.5	1313.8		430.00CR
26SEP17	1 DEC17	1309.9	1313.8		390.00CR
26SEP17	1 DEC17	1309.9	1314.8		490.00CR
26SEP17	1 DEC17	1309.8	1314.9		510.00CR
26SEP17	1 DEC17	1309.8	1315.1		530.00CR
26SEP17	1 DEC17	1310.2	1311.7		150.00CR
26SEP17	1 DEC17	1309.9	1308.5		140.00DR
26SEP17	1 DEC17	1309.9	1306.7		320.00DR
26SEP17	1 DEC17	1309.7	1302.3		740.00DR
26SEP17	2 DEC17	1309.4	1302.2		1,440.00DR
26SEP17	1 DEC17	1309.5	1304.3		520.00DR
26SEP17	1 DEC17	1309.5	1304.3		520.00DR
26SEP17	1 DEC17	1309.5	1304.0		550.00DR
26SEP17	1 DEC17	1309.5	1303.2		630.00DR
26SEP17	1 DEC17	1309.5	1304.2		530.00DR
26SEP17	1 DEC17	1309.3	1304.3		500.00DR
26SEP17	1 DEC17	1309.3	1304.5		480.00DR
26SEP17	1 DEC17	1309.3	1305.2		410.00DR
26SEP17	1 DEC17	1309.9	1305.2		470.00DR
26SEP17	1 DEC17	1310.0	1304.5		550.00DR
26SEP17	1 DEC17	1304.9	1304.4		50.00DR
26SEP17	1 DEC17	1305.0	1304.1		90.00DR
26SEP17	1 DEC17	1306.3	1303.8		250.00DR
26SEP17	1 DEC17	1306.3	1301.0		530.00DR
26SEP17	2 DEC17	1306.7	1300.8		1,180.00DR
26SEP17	1 DEC17	1306.6	1301.6		500.00DR
26SEP17	1 DEC17	1306.4	1301.2		520.00DR
26SEP17	1 DEC17	1306.7	1301.4		530.00DR
26SEP17	1 DEC17	1307.1	1302.1		500.00DR
26SEP17	1 DEC17	1307.0	1302.2		480.00DR
26SEP17	1 DEC17	1307.0	1302.1		490.00DR
26SEP17	1 DEC17	1306.9	1302.2		470.00DR
26SEP17	1 DEC17	1306.8	1302.4		440.00DR
26SEP17	1 DEC17	1306.9	1302.5		440.00DR
26SEP17	1 DEC17	1306.8	1302.5		430.00DR
26SEP17	1 DEC17	1306.7	1302.8		390.00DR
26SEP17	1 DEC17	1302.2	1302.7		50.00CR
26SEP17	1 DEC17	1303.1	1302.5		60.00DR
26SEP17	1 DEC17	1303.2	1302.5		70.00DR
26SEP17	1 DEC17	1303.1	1302.8		30.00DR
26SEP17	1 DEC17	1303.2	1302.3		90.00DR
26SEP17	1 DEC17	1302.7	1302.3		40.00DR
26SEP17	1 DEC17	1302.9	1302.3		60.00DR
26SEP17	1 DEC17	1302.8	1302.3		50.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0034E 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	1302.4	1302.4		0.00
26SEP17	2 DEC17	1302.2	1302.4		40.00CR
26SEP17	1 DEC17	1302.3	1302.4		10.00CR
26SEP17	1 DEC17	1302.0	1302.7		70.00CR
26SEP17	1 DEC17	1301.4	1302.8		140.00CR
26SEP17	2 DEC17	1299.5	1303.2		740.00CR
26SEP17	1 DEC17	1299.2	1303.1		390.00CR
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1720.5	1726.5		300.00CR
26SEP17	1 DEC17	1719.5	1726.5		350.00CR
26SEP17	1 DEC17	1719.0	1726.5		375.00CR
26SEP17	1 DEC17	1719.0	1726.5		375.00CR
26SEP17	1 DEC17	1719.0	1726.0		350.00CR
26SEP17	1 DEC17	1719.5	1726.0		325.00CR
26SEP17	1 DEC17	1719.0	1726.0		350.00CR
26SEP17	1 DEC17	1720.0	1726.0		300.00CR
26SEP17	1 DEC17	1719.0	1726.0		350.00CR
26SEP17	1 DEC17	1718.0	1726.0		400.00CR
26SEP17	1 DEC17	1718.0	1723.0		250.00CR
26SEP17	1 DEC17	1718.5	1693.5		1,250.00DR
26SEP17	1 DEC17	1712.0	1693.5		925.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				6,169.32DR
	SETTLEMENTS				
	CMX Silver Futures				1,550.00CR
	CMX Gold Futures				4,180.00DR
	NYM Light Sweet Crude Oil Futures				6,610.00DR
	CNX Nifty (India) Index Futures				6.00DR
	COMMISSIONS				281.63DR
	CARRIED FORWARD BALANCE				15,696.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0034G 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX/British Pound Futures 125,000 CME				
26SEP17	B 1 DEC17 II	0.878850		2.45DR	
26SEP17	B 1 DEC17 II	0.880100		2.45DR	
	Commission				4.90DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				3,547.65DR
	COMMISSIONS				4.90DR
	CARRIED FORWARD BALANCE				3,552.55DR

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	B 1 NOV17 II	52.02		1.68DR	
	CBT 30 Year Us Treasury Bonds 1,000 CBT				
26SEP17	B 1 DEC17 II	154.3000		1.50DR	
26SEP17	B 1 DEC17 II	155.0300		1.50DR	
	CBT 2 Year Treasury Notes 2,000 CBT				
26SEP17	B 30 DEC17 II	107.2950		45.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		3132.50	4.60DR	
26SEP17	S 1 27DEC17 DMA EL		3133.00	4.60DR	
	Commission				58.88DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,306,030.20CR
	COMMISSIONS				58.88DR
	CARRIED FORWARD BALANCE				1,305,971.32CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0034H

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		10640.00	4.60DR	
26SEP17	S 1 27DEC17 DMA EL		10630.00	4.60DR	
26SEP17	S 4 27DEC17 DMA EL		10580.00	18.40DR	
26SEP17	B 2 27DEC17 DMA EL	10590.00		9.20DR	
26SEP17	S 1 27DEC17 DMA EL		10615.00	4.60DR	
26SEP17	S 1 27DEC17 DMA EL		10605.00	4.60DR	
26SEP17	B 1 27DEC17 DMA EL	10595.00		4.60DR	
26SEP17	B 1 27DEC17 DMA EL	10600.00		4.60DR	
26SEP17	S 2 27DEC17 DMA EL		10515.00	9.20DR	
26SEP17	S 1 27DEC17 DMA EL		10535.00	4.60DR	
26SEP17	B 2 27DEC17 DMA EL	10500.00		9.20DR	
	Commission				78.20DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2729.00	2728.00		25.00DR
26JUN17	1 26SEP17	2729.00	2728.00		25.00DR
26JUN17	1 26SEP17	2709.00	2713.00		100.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				23,396.56DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				50.00CR
	COMMISSIONS				78.20DR
	CARRIED FORWARD BALANCE				23,424.76DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034L

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
26SEP17	S 1 DEC17 CQG II		1.18445	1.21DR	
26SEP17	B 1 DEC17 CQG II	1.18510		1.21DR	
	CME Sterling 62,500 CME				
26SEP17	B 2 DEC17 CQG II	1.34620		2.42DR	
	Commission				4.84DR
	<u>SETTLEMENTS</u>				
	CME Euro FX 125,000 CME				
26SEP17	1 DEC17	1.18510	1.18445		81.25DR
	CME Sterling 62,500 CME				
26SEP17	1 DEC17	1.34620	1.33240		862.50DR
26SEP17	1 DEC17	1.34620	1.33240		862.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				94,798.49DR
	SETTLEMENTS				
	CME Sterling Futures				1,725.00DR
	CME Euro FX Futures				81.25DR
	COMMISSIONS				4.84DR
	CARRIED FORWARD BALANCE				96,609.58DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0034Q

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
26SEP17	B 4 SEP17 EL	9867.50		6.92DR	
26SEP17	B 10 SEP17 EL	9848.50		17.30DR	
26SEP17	B 5 SEP17 EL	9849.00		8.65DR	
26SEP17	B 4 SEP17 EL	9855.50		6.92DR	
	ICE US Dollar Index 1,000 FIN				
26SEP17	B 1 DEC17 TTR EL	92.755		1.27DR	
	Commission				41.06DR
	<u>SETTLEMENTS</u>				
	CNX Nifty (India) Index 2 SIM				
26SEP17	1 SEP17	9867.50	10000.50		266.00CR
26SEP17	1 SEP17	9867.50	10000.00		265.00CR
26SEP17	2 SEP17	9867.50	10000.50		532.00CR
26SEP17	10 SEP17	9848.50	10000.00		3,030.00CR
26SEP17	5 SEP17	9849.00	10000.00		1,510.00CR
26SEP17	4 SEP17	9855.50	10000.00		1,156.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,219,662.81DR
	SETTLEMENTS				
	CNX Nifty (India) Index Futures				6,759.00CR
	COMMISSIONS				41.06DR
	CARRIED FORWARD BALANCE				1,212,944.87DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th Sep 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		16,153.55DR
	COMMISSIONS		4.90DR
	CARRIED FORWARD BALANCE		16,158.45DR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		643,353.71CR
	SETTLEMENTS		
	CME Euro FX Futures		81.25DR
	CME Sterling Futures		1,725.00DR
	CMX Gold Futures		4,180.00DR
	CMX Silver Futures		1,550.00CR
	CNX Nifty (India) Index Futures		6,753.00CR
	LME Zinc 25 tonnes. This is an LME Futures		50.00CR
	NYM Light Sweet Crude Oil Futures		6,610.00DR
	COMMISSIONS		464.61DR
	CARRIED FORWARD BALANCE		638,645.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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backoffice@sapiencap.com

SAP00380

26th September 2017

COB GLOBAL

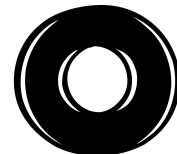
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
26SEP17	S 2 DEC18 TTR EL		51.90	3.36DR	
26SEP17	B 2 DEC19 TTR EL	51.05		3.36DR	
	IEU Brent Crude 1,000 IPE				
26SEP17	B 2 DEC18 TTR EL	55.97		3.36DR	
26SEP17	S 2 DEC19 TTR EL		55.22	3.36DR	
	Commission				13.44DR
	<u>SETTLEMENTS</u>				
	IEU WTI Crude Oil Futures 1,000 IPE				
26SEP17	1 DEC18	51.20	51.90		700.00CR
26SEP17	1 DEC18	51.20	51.90		700.00CR
26SEP17	1 DEC19	51.05	50.60		450.00DR
26SEP17	1 DEC19	51.05	50.81		240.00DR
	IEU Brent Crude 1,000 IPE				
26SEP17	1 DEC18	55.97	55.06		910.00DR
26SEP17	1 DEC18	55.97	55.06		910.00DR
26SEP17	1 DEC19	54.79	55.22		430.00CR
26SEP17	1 DEC19	54.93	55.22		290.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,995.89CR
	SETTLEMENTS				
	IEU Brent Crude Futures				1,100.00DR
	IEU WTI Crude Oil Futures Futures				710.00CR
	COMMISSIONS				13.44DR
	CARRIED FORWARD BALANCE				63,592.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th Sep 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		186,868.26CR
	SETTLEMENTS		
	IEU Brent Crude Futures		1,100.00DR
	IEU WTI Crude Oil Futures Futures		710.00CR
	COMMISSIONS		13.44DR
	CARRIED FORWARD BALANCE		186,464.82CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045B

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		3120.00	6.00DR	
26SEP17	S 5 27DEC17 DMA EL		3120.50	30.00DR	
	Commission				36.00DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
11SEP17	1 26SEP17	2249.54	2248.00		38.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				62,472.45DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				38.50DR
	COMMISSIONS				36.00DR
	CARRIED FORWARD BALANCE				62,546.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
27JUN17	1 26SEP17	9260.80	9035.00		1,354.80DR
27JUN17	1 26SEP17	9260.80	9035.00		1,354.80DR
27JUN17	1 26SEP17	9260.80	9035.00		1,354.80DR
27JUN17	1 26SEP17	9260.80	9035.00		1,354.80DR
27JUN17	1 26SEP17	9260.80	9040.00		1,324.80DR
27JUN17	1 26SEP17	9260.80	9025.00		1,414.80DR
27JUN17	1 26SEP17	9260.80	9025.00		1,414.80DR
27JUN17	1 26SEP17	9260.80	9025.00		1,414.80DR
27JUN17	1 26SEP17	9260.80	9025.00		1,414.80DR
27JUN17	1 26SEP17	9260.80	9025.00		1,414.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				35,528.91CR
	SETTLEMENTS				
	LME Nickel 6 tonnes. This is an LME Futures				13,818.00DR
	CARRIED FORWARD BALANCE				21,710.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		2486.50	5.50DR	
26SEP17	B 2 27DEC17 DMA EL	2490.50		11.00DR	
26SEP17	B 1 27DEC17 DMA EL	2487.00		5.50DR	
26SEP17	B 2 27DEC17 DMA EL	2488.50		11.00DR	
26SEP17	B 1 27DEC17 DMA EL	2491.00		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	2493.00		5.50DR	
26SEP17	B 2 27DEC17 DMA EL	2467.00		11.00DR	
26SEP17	B 2 27DEC17 DMA EL	2468.00		11.00DR	
26SEP17	B 2 27DEC17 DMA EL	2484.00		11.00DR	
26SEP17	B 1 27DEC17 DMA EL	2481.50		5.50DR	
26SEP17	B 2 27DEC17 DMA EL	2483.00		11.00DR	
	CMX Silver 5,000 CMX				
26SEP17	S 4 DEC17 GX		1726.5	11.40DR	
26SEP17	B 1 DEC17 GX	1685.5		2.85DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		3126.50	5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3129.00	5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3132.00	5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3132.50	5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3129.00		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3129.50		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3130.00		5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3128.00	5.50DR	
26SEP17	S 2 27DEC17 DMA EL		3143.00	11.00DR	
26SEP17	S 1 27DEC17 DMA EL		3144.50	5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3146.50	5.50DR	
26SEP17	B 2 27DEC17 DMA EL	3138.00		11.00DR	
26SEP17	B 1 27DEC17 DMA EL	3138.50		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3142.00		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3144.50		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3140.00		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3117.00		5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3109.50	5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3107.00		5.50DR	
26SEP17	B 1 27DEC17 DMA EL	3105.50		5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3130.50	5.50DR	
26SEP17	S 1 27DEC17 DMA EL		3131.50	5.50DR	
	Commission				239.75DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1685.5	1726.5		2,050.00CR
26SEP17	1 DEC17	1781.0	1726.5		2,725.00DR
26SEP17	1 DEC17	1781.0	1726.5		2,725.00DR
26SEP17	1 DEC17	1780.5	1726.5		2,700.00DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2727.00	2707.00		500.00DR
28JUN17	1 26SEP17	2727.00	2733.80		170.00CR
28JUN17	1 26SEP17	2714.00	2733.80		495.00CR
28JUN17	1 26SEP17	2707.00	2733.80		670.00CR
28JUN17	1 26SEP17	2707.50	2733.80		657.50CR
28JUN17	1 26SEP17	2708.00	2733.80		645.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0045G

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
28JUN17	1 26SEP17	2708.50	2733.80		632.50CR
28JUN17	1 26SEP17	2709.50	2733.80		607.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				469,154.34DR
	SETTLEMENTS				
	CMX Silver Futures				6,100.00DR
	LME Zinc 25 tonnes. This is an LME Futures				3,377.50CR
	COMMISSIONS				239.75DR
	CARRIED FORWARD BALANCE				472,116.59DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 TTR EL 2127.00			5.50DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		6473.00	5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6408.50			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6410.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6410.50			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6418.50			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6417.50			5.50DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL 10420.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10430.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10440.00			5.50DR	
26SEP17	B 4 27DEC17 TTR EL 10445.00			22.00DR	
26SEP17	B 1 27DEC17 TTR EL 10435.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10480.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10475.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10490.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10495.00			5.50DR	
26SEP17	B 2 27DEC17 TTR EL 10510.00			11.00DR	
26SEP17	B 2 27DEC17 TTR EL 10500.00			11.00DR	
26SEP17	B 1 27DEC17 DMA EL 10500.00			5.50DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 TTR GX 1695.5			2.85DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		3117.00	5.50DR	
26SEP17	B 1 27DEC17 TTR EL 3115.00			5.50DR	
	Commission				145.85DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				7,010.28CR
	COMMISSIONS				145.85DR
	CARRIED FORWARD BALANCE				6,864.43CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th Sep 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		281,563.96CR
	SETTLEMENTS		
	CMX Silver Futures		6,100.00DR
	LME Lead 25 tonnes. This is an Futures		38.50DR
	LME Nickel 6 tonnes. This is an LME Futures		13,818.00DR
	LME Zinc 25 tonnes. This is an LME Futures		3,377.50CR
	COMMISSIONS		421.60DR
	CARRIED FORWARD BALANCE		264,563.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
26SEP17	B 1 DEC17 CQG GX 295.700	295.700		2.75DR	
26SEP17	B 1 DEC17 CQG GX 294.600	294.600		2.75DR	
	Commission				5.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				122,697.11CR
	COMMISSIONS				5.50DR
	CARRIED FORWARD BALANCE				122,691.61CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
26SEP17	S 2 DEC17 GX		1315.9	5.00DR	
26SEP17	S 4 DEC17 GX		1315.8	10.00DR	
26SEP17	S 1 DEC17 GX		1315.7	2.50DR	
26SEP17	S 2 DEC17 GX		1315.1	5.00DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 GX		1723.0	2.50DR	
26SEP17	S 2 DEC17 GX		1723.5	5.00DR	
26SEP17	B 1 DEC17 GX	1723.5		2.50DR	
26SEP17	S 1 DEC17 GX		1693.0	2.50DR	
	Commission				35.00DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1723.5	1723.5		0.00
26SEP17	1 DEC17	1698.5	1723.0		1,225.00CR
26SEP17	1 DEC17	1699.5	1723.5		1,200.00CR
26SEP17	1 DEC17	1699.5	1693.0		325.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				108,366.00CR
	SETTLEMENTS				
	CMX Silver Futures				2,100.00CR
	COMMISSIONS				35.00DR
	CARRIED FORWARD BALANCE				110,431.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Gold 100 CMX				
26SEP17	S 3 DEC17 GX		1315.8	7.50DR	
26SEP17	S 3 DEC17 GX		1315.9	7.50DR	
26SEP17	S 4 DEC17 GX		1315.7	10.00DR	
	Commission				25.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				79,463.30CR
	COMMISSIONS				25.00DR
	CARRIED FORWARD BALANCE				79,438.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	B 2 OCT17 CQG GX 2.93400			5.00DR	
26SEP17	B 9 OCT17 CQG GX 2.93500			22.50DR	
26SEP17	B 1 OCT17 CQG GX 2.93600			2.50DR	
26SEP17	B 3 OCT17 CQG GX 2.93800			7.50DR	
26SEP17	B 2 OCT17 CQG GX 2.93700			5.00DR	
26SEP17	S 1 OCT17 CQG GX 2.94000		2.94000	2.50DR	
26SEP17	S 1 OCT17 CQG GX 2.93900		2.93900	2.50DR	
26SEP17	S 3 OCT17 CQG GX 2.94300		2.94300	7.50DR	
26SEP17	S 1 OCT17 CQG GX 2.94400		2.94400	2.50DR	
26SEP17	S 3 OCT17 CQG GX 2.94500		2.94500	7.50DR	
26SEP17	S 5 OCT17 CQG GX 2.94600		2.94600	12.50DR	
26SEP17	S 1 OCT17 CQG GX 2.94800		2.94800	2.50DR	
26SEP17	S 2 OCT17 CQG GX 2.95200		2.95200	5.00DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 CQG GX 1713.0			2.50DR	
26SEP17	B 2 DEC17 CQG GX 1712.5			5.00DR	
26SEP17	S 1 DEC17 CQG GX 1706.0		1706.0	2.50DR	
26SEP17	B 1 DEC17 CQG GX 1705.0			2.50DR	
26SEP17	B 1 DEC17 CQG GX 1704.5			2.50DR	
26SEP17	B 1 DEC17 CQG GX 1703.0			2.50DR	
26SEP17	B 1 DEC17 CQG GX 1696.0			2.50DR	
	INR/USD FUTURES 500 CME				
26SEP17	B 1 SEP17 CQG GX 152.9600			2.50DR	
26SEP17	B 1 SEP17 CQG GX 152.9500			2.50DR	
26SEP17	B 1 SEP17 CQG GX 152.9400			2.50DR	
26SEP17	B 1 SEP17 CQG GX 153.0200			2.50DR	
26SEP17	B 1 SEP17 CQG GX 153.0100			2.50DR	
26SEP17	B 1 SEP17 CQG GX 152.9900			2.50DR	
26SEP17	S 1 SEP17 CQG GX 152.7900		152.7900	2.50DR	
26SEP17	S 1 SEP17 CQG GX 152.9000		152.9000	2.50DR	
26SEP17	B 1 SEP17 CQG GX 152.7900			2.50DR	
26SEP17	S 1 SEP17 CQG GX 152.7400		152.7400	2.50DR	
26SEP17	S 1 SEP17 CQG GX 152.7500		152.7500	2.50DR	
26SEP17	S 2 SEP17 CQG GX 152.7600		152.7600	5.00DR	
26SEP17	S 1 SEP17 CQG GX 152.8900		152.8900	2.50DR	
26SEP17	B 1 OCT17 CQG GX 152.3400			2.50DR	
26SEP17	B 1 OCT17 CQG GX 151.9700			2.50DR	
26SEP17	B 2 OCT17 CQG GX 151.9800			5.00DR	
26SEP17	S 1 OCT17 CQG GX 152.2000		152.2000	2.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 2 02NOV17 SGL UD 3146.50		3146.50	5.40DR	
26SEP17	S 2 27DEC17 CQG EL 3119.50		3119.50	10.90DR	
26SEP17	B 2 27DEC17 SGL UD 3137.15			5.40DR	
	Commission				174.20DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	1 OCT17 2.93400 2.94000		2.94000		60.00CR
26SEP17	1 OCT17 2.93500 2.93900		2.93900		40.00CR
26SEP17	1 OCT17 2.93500 2.94300		2.94300		80.00CR
26SEP17	1 OCT17 2.93400 2.94300		2.94300		90.00CR
26SEP17	1 OCT17 2.93500 2.94300		2.94300		80.00CR
26SEP17	1 OCT17 2.93500 2.94500		2.94500		100.00CR
26SEP17	2 OCT17 2.93500 2.94600		2.94600		220.00CR
26SEP17	1 OCT17 2.93500 2.94500		2.94500		100.00CR
26SEP17	1 OCT17 2.93500 2.94400		2.94400		90.00CR
26SEP17	1 OCT17 2.93500 2.94500		2.94500		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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backoffice@sapiencap.com

SAP0054H

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 OCT17	2.93600	2.94600		100.00CR
26SEP17	2 OCT17	2.93800	2.94600		160.00CR
26SEP17	1 OCT17	2.93800	2.94800		100.00CR
26SEP17	1 OCT17	2.93700	2.95200		150.00CR
26SEP17	1 OCT17	2.93700	2.95200		150.00CR
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1713.0	1706.0		350.00DR
	INR/USD FUTURES 500 CME				
26SEP17	1 SEP17	152.9600	152.7600		100.00DR
26SEP17	1 SEP17	152.9500	152.7900		80.00DR
26SEP17	1 SEP17	152.9400	152.9000		20.00DR
26SEP17	1 SEP17	153.0200	152.7400		140.00DR
26SEP17	1 SEP17	153.0100	152.7500		130.00DR
26SEP17	1 SEP17	152.9900	152.7600		115.00DR
26SEP17	1 SEP17	152.7900	152.8900		50.00CR
26SEP17	1 OCT17	152.3400	152.2000		70.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				70,162.45DR
	SETTLEMENTS				
	CMX Silver Futures				350.00DR
	NYM Henry Hub Natural Gas Futures				1,620.00CR
	INR/USD FUTURES Futures				605.00DR
	COMMISSIONS				174.20DR
	CARRIED FORWARD BALANCE				69,671.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0054K 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 TTR EL	2156.00		5.45DR	
26SEP17	B 1 27DEC17 TTR EL	2153.50		5.45DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	S 1 OCT17 TTR GX		2.93900	2.30DR	
26SEP17	S 1 OCT17 TTR GX		2.93200	2.30DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 TTR GX	1709.5		2.30DR	
26SEP17	B 1 DEC17 TTR GX	1707.5		2.30DR	
26SEP17	B 1 DEC17 TTR GX	1701.5		2.30DR	
26SEP17	B 2 DEC17 TTR GX	1700.5		4.60DR	
26SEP17	B 2 DEC17 TTR GX	1702.0		4.60DR	
26SEP17	B 1 DEC17 TTR GX	1692.5		2.30DR	
26SEP17	B 20 DEC17 TTR GX	1690.0		46.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		3121.00	5.45DR	
26SEP17	S 1 27DEC17 TTR EL		3123.00	5.45DR	
26SEP17	B 1 27DEC17 TTR EL	3144.00		5.45DR	
26SEP17	B 1 27DEC17 TTR EL	3144.50		5.45DR	
26SEP17	S 1 27DEC17 DMA EL		3121.00	5.45DR	
	Commission				107.15DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	1 OCT17	2.99600	2.93900		570.00DR
26SEP17	1 OCT17	2.99600	2.93200		640.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				95,378.75CR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				1,210.00DR
	COMMISSIONS				107.15DR
	CARRIED FORWARD BALANCE				94,061.60CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0054L

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Euro FX 125,000 CME				
26SEP17	B 9 DEC17 GX	1.18535		22.05DR	
26SEP17	B 8 DEC17 GX	1.18225		19.60DR	
	Commission				41.65DR
	<u>SETTLEMENTS</u>				
	CME Euro FX 125,000 CME				
26SEP17	3 DEC17	1.18535	1.20065		5,737.50CR
26SEP17	3 DEC17	1.18535	1.20065		5,737.50CR
26SEP17	2 DEC17	1.18535	1.20105		3,925.00CR
26SEP17	1 DEC17	1.18535	1.20105		1,962.50CR
26SEP17	4 DEC17	1.18225	1.20115		9,450.00CR
26SEP17	3 DEC17	1.18225	1.19705		5,550.00CR
26SEP17	1 DEC17	1.18225	1.19690		1,831.25CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				245,329.95DR
	SETTLEMENTS				
	CME Euro FX Futures				34,193.75CR
	COMMISSIONS				41.65DR
	CARRIED FORWARD BALANCE				211,177.85DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0054

26th Sep 2017

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LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		841,332.16CR
	SETTLEMENTS		
	CME Euro FX Futures		34,193.75CR
	CMX Silver Futures		1,750.00CR
	INR/USD FUTURES Futures		605.00DR
	NYM Henry Hub Natural Gas Futures		410.00CR
	COMMISSIONS		388.50DR
	CARRIED FORWARD BALANCE		876,692.41CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083GA

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL 6499.00			5.00DR	
26SEP17	B 1 27DEC17 TTR EL 6438.50			5.00DR	
26SEP17	B 1 27DEC17 TTR EL 6422.50			5.00DR	
	CMX Gold 100 CMX				
26SEP17	B 1 DEC17 TTR GX 1304.3			1.68DR	
26SEP17	B 1 DEC17 TTR GX 1303.9			1.68DR	
26SEP17	B 2 DEC17 TTR GX 1304.1			3.36DR	
26SEP17	B 1 DEC17 TTR GX 1304.0			1.68DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL 10640.00			5.00DR	
26SEP17	B 3 27DEC17 TTR EL 10475.00			15.00DR	
26SEP17	B 5 27DEC17 TTR EL 10480.00			25.00DR	
26SEP17	S 1 27DEC17 TTR EL 10440.00		10440.00	5.00DR	
26SEP17	B 2 27DEC17 TTR EL 10445.00			10.00DR	
26SEP17	B 1 27DEC17 TTR EL 10525.00			5.00DR	
26SEP17	B 2 27DEC17 TTR EL 10520.00			10.00DR	
26SEP17	B 5 27DEC17 TTR EL 10515.00			25.00DR	
26SEP17	B 1 27DEC17 TTR EL 10500.00			5.00DR	
26SEP17	B 2 27DEC17 TTR EL 10485.00			10.00DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 TTR GX 1722.5		1722.5	1.68DR	
26SEP17	B 1 DEC17 TTR GX 1698.5			1.68DR	
26SEP17	B 1 DEC17 TTR GX 1682.0			1.68DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL 3127.00			5.00DR	
26SEP17	S 1 27DEC17 TTR EL 3112.00		3112.00	5.00DR	
	Commission				153.44DR
	<u>SETTLEMENTS</u>				
26SEP17	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1698.5	1722.5		1,200.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,123.36DR
	SETTLEMENTS				
	CMX Silver Futures				1,200.00CR
	COMMISSIONS				153.44DR
	CARRIED FORWARD BALANCE				18,076.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	S 1 27DEC17 TTR EL		2157.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2157.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2155.00	5.00DR	
26SEP17	B 3 27DEC17 TTR EL	2148.00		15.00DR	
26SEP17	B 2 27DEC17 TTR EL	2147.50		10.00DR	
26SEP17	B 2 27DEC17 TTR EL	2146.00		10.00DR	
26SEP17	S 1 27DEC17 TTR EL		2134.00	5.00DR	
26SEP17	S 3 27DEC17 TTR EL		2133.00	15.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	S 5 NOV17 TTR GX		52.29	7.85DR	
26SEP17	S 6 NOV17 TTR GX		52.26	9.42DR	
26SEP17	B 5 NOV17 TTR GX	52.31		7.85DR	
26SEP17	B 6 NOV17 TTR GX	52.29		9.42DR	
26SEP17	B 1 NOV17 TTR GX	52.32		1.57DR	
26SEP17	B 2 NOV17 TTR GX	52.30		3.14DR	
26SEP17	B 1 NOV17 TTR GX	52.16		1.57DR	
26SEP17	B 1 NOV17 TTR GX	52.13		1.57DR	
26SEP17	B 2 NOV17 TTR GX	52.11		3.14DR	
26SEP17	B 1 NOV17 TTR GX	52.18		1.57DR	
26SEP17	S 1 NOV17 TTR GX		52.02	1.57DR	
26SEP17	S 1 NOV17 TTR GX		52.00	1.57DR	
26SEP17	S 1 NOV17 TTR GX		51.97	1.57DR	
26SEP17	S 2 NOV17 TTR GX		52.01	3.14DR	
26SEP17	S 1 NOV17 TTR GX		51.85	1.57DR	
26SEP17	S 1 NOV17 TTR GX		51.86	1.57DR	
26SEP17	S 2 NOV17 TTR GX		52.04	3.14DR	
26SEP17	B 2 NOV17 TTR GX	52.25		3.14DR	
26SEP17	S 2 NOV17 TTR GX		51.75	3.14DR	
26SEP17	S 2 NOV17 TTR GX		51.77	3.14DR	
26SEP17	B 2 NOV17 TTR GX	51.65		3.14DR	
26SEP17	B 2 NOV17 TTR GX	51.69		3.14DR	
26SEP17	S 2 NOV17 TTR GX		51.93	3.14DR	
26SEP17	S 1 NOV17 TTR GX		51.92	1.57DR	
26SEP17	S 3 NOV17 TTR GX		51.94	4.71DR	
26SEP17	S 10 NOV17 TTR GX		51.99	15.70DR	
26SEP17	B 2 NOV17 TTR GX	51.90		3.14DR	
26SEP17	B 5 NOV17 TTR GX	51.85		7.85DR	
26SEP17	B 4 NOV17 TTR GX	51.83		6.28DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		2502.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2508.00	5.00DR	
26SEP17	B 2 27DEC17 TTR EL	2507.00		10.00DR	
26SEP17	B 1 27DEC17 TTR EL	2506.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2498.50		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2495.50		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2494.50		5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2505.50	5.00DR	
26SEP17	S 2 27DEC17 TTR EL		2498.00	10.00DR	
26SEP17	S 1 27DEC17 TTR EL		2497.00	5.00DR	
26SEP17	S 2 27DEC17 TTR EL		2499.00	10.00DR	
26SEP17	S 1 27DEC17 TTR EL		2500.00	5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2497.00		5.00DR	
26SEP17	S 2 27DEC17 TTR EL		2501.00	10.00DR	
26SEP17	S 2 27DEC17 TTR EL		2495.00	10.00DR	
26SEP17	S 1 27DEC17 DMA EL		2502.50	5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2486.00		5.00DR	
26SEP17	B 2 27DEC17 TTR EL	2488.50		10.00DR	
26SEP17	S 1 27DEC17 TTR EL		2477.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2477.00	5.00DR	
26SEP17	B 1 27DEC17 TTR EL	2469.00		5.00DR	

Group No: SAPG0083

Page 1 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083XA

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	1	27DEC17	TTR	EL	2468.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2483.00	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2484.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2482.00	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2481.00	10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2480.00	10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2478.00	10.00DR	
26SEP17	B	2	27DEC17	DMA	EL	2482.00		10.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	10655.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10615.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10590.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10625.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10565.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10530.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10480.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10490.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10435.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10430.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10495.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10500.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10455.00	5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	10455.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		3138.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3131.50	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3136.50		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3141.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3149.50	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3148.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3134.50		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3131.50		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3138.00		10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3146.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3142.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3145.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3144.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3147.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3145.50	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3140.50		5.00DR	
26SEP17	B	4	27DEC17	TTR	EL	3132.50		20.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3129.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3116.00		5.00DR	
26SEP17	S	1	27DEC17	DMA	EL		3145.50	5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3122.00		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3121.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3124.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3125.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3125.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3115.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3114.50	5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3111.00		10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3116.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3113.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3106.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3113.00		5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3132.00	10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3133.50	10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3128.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3128.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3125.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3120.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3115.00		5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	3	27DEC17	TTR	EL	3110.00		15.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3112.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3111.50		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3111.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3109.50	5.00DR	
26SEP17	S	3	27DEC17	TTR	EL		3110.00	15.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3113.50		10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3113.00	5.00DR	
	Commission								764.32DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17		5	NOV17			52.31	52.29		100.00DR
26SEP17		5	NOV17			52.29	52.26		150.00DR
26SEP17		1	NOV17			52.29	52.26		30.00DR
26SEP17		1	NOV17			52.32	52.02		300.00DR
26SEP17		1	NOV17			52.30	51.99		310.00DR
26SEP17		1	NOV17			52.30	52.00		300.00DR
26SEP17		1	NOV17			52.16	51.97		190.00DR
26SEP17		1	NOV17			52.13	51.99		140.00DR
26SEP17		1	NOV17			52.11	51.99		120.00DR
26SEP17		1	NOV17			52.11	52.01		100.00DR
26SEP17		1	NOV17			52.18	52.01		170.00DR
26SEP17		1	NOV17			52.25	51.85		400.00DR
26SEP17		1	NOV17			52.25	51.86		390.00DR
26SEP17		1	NOV17			51.65	51.94		290.00CR
26SEP17		1	NOV17			51.65	51.99		340.00CR
26SEP17		2	NOV17			51.69	52.04		700.00CR
26SEP17		2	NOV17			51.90	51.75		300.00DR
26SEP17		2	NOV17			51.85	51.77		160.00DR
26SEP17		2	NOV17			51.85	51.99		280.00CR
26SEP17		1	NOV17			51.85	51.99		140.00CR
26SEP17		1	NOV17			51.83	51.99		160.00CR
26SEP17		2	NOV17			51.83	51.93		200.00CR
26SEP17		1	NOV17			51.83	51.92		90.00CR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26JUN17		1	26SEP17			1866.50	1862.00		112.50DR
26JUN17		1	26SEP17			1865.00	1862.50		62.50DR
19JUL17		1	26SEP17			1915.24	1862.00		1,331.00DR
19JUL17		1	26SEP17			1915.24	1863.50		1,293.50DR
19JUL17		1	26SEP17			1915.24	1864.00		1,281.00DR
19JUL17		1	26SEP17			1915.24	1860.00		1,381.00DR
19JUL17		1	26SEP17			1915.24	1860.50		1,368.50DR
19JUL17		1	26SEP17			1915.24	1861.00		1,356.00DR
19JUL17		1	26SEP17			1915.24	1861.00		1,356.00DR
	LME Lead 25 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			2237.00	2250.50		337.50CR
26JUN17		1	26SEP17			2240.00	2240.50		12.50CR
19JUL17		1	26SEP17			2244.00	2213.18		770.50DR
19JUL17		2	26SEP17			2254.50	2213.18		2,066.00DR
19JUL17		1	26SEP17			2249.50	2213.18		908.00DR
19JUL17		1	26SEP17			2249.00	2213.18		895.50DR
19JUL17		1	26SEP17			2252.00	2213.18		970.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			2728.50	2727.50		25.00DR
26JUN17		1	26SEP17			2729.00	2728.00		25.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083XA

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	2707.50	2702.50		125.00DR
26JUN17	1 26SEP17	2708.50	2704.50		100.00DR
26JUN17	1 26SEP17	2717.00	2710.50		162.50DR
26JUN17	1 26SEP17	2717.00	2713.50		87.50DR
26JUN17	1 26SEP17	2718.00	2711.00		175.00DR
<u>TOTALS</u>					
BROUGHT FORWARD BALANCE					201,379.66CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					5,260.50DR
LME Zinc 25 tonnes. This is an LME Futures					700.00DR
NYM Light Sweet Crude Oil Futures					960.00DR
LME Aluminium 25 Tonnes. This is an Futures					9,542.00DR
COMMISSIONS					764.32DR
CARRIED FORWARD BALANCE					184,152.84CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YL

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	3138.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		3142.00	5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	9010.00	9015.00		30.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19330.00	19250.00		400.00DR
27JUN17	1 26SEP17	19295.00	19284.00		55.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,222.20CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				455.00DR
	LME Nickel 6 tonnes. This is an LME Futures				30.00CR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				18,787.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP083YO

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
26JUN17	LME Copper Grade A 25 tonnes. This is a LME registered client contract 1 26SEP17	5785.50	5787.00		37.50CR
27JUN17	LME Nickel 6 tonnes. This is an LME registered client contract 1 26SEP17	9260.80	9105.00		934.80DR
27JUN17	LME Tin 5 tonnes. This is an LME registered client contract 1 26SEP17	9260.80	9100.00		964.80DR
27JUN17	LME Tin 5 tonnes. This is an LME registered client contract 1 26SEP17	19375.00	19284.00		455.00DR
27JUN17	LME Tin 5 tonnes. This is an LME registered client contract 1 26SEP17	19340.00	19284.00		280.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				25,447.95CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				735.00DR
	LME Copper Grade A 25 tonnes. This Futures				37.50CR
	LME Nickel 6 tonnes. This is an LME Futures				1,899.60DR
	CARRIED FORWARD BALANCE				22,850.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZG 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CNX Nifty (India) Index 2 SIM				
26SEP17	S 1 SEP17 EL		9851.00	2.25DR	
26SEP17	S 5 SEP17 EL		9850.50	11.25DR	
26SEP17	S 6 SEP17 EL		9839.50	13.50DR	
26SEP17	B 2 SEP17 EL	9843.50		4.50DR	
26SEP17	B 2 SEP17 EL	9844.50		4.50DR	
26SEP17	B 8 SEP17 EL	9845.00		18.00DR	
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	B 1 NOV17 TTR GX	3.01000		1.57DR	
26SEP17	S 1 DEC17 TTR GX		3.16900	1.57DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 2 27DEC17 TTR EL	3135.00		10.00DR	
26SEP17	S 1 27DEC17 TTR EL		3140.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3142.00	5.00DR	
	Commission				77.14DR
	<u>SETTLEMENTS</u>				
	CNX Nifty (India) Index 2 SIM				
26SEP17	1 SEP17	9845.00	9851.00		12.00CR
26SEP17	5 SEP17	9845.00	9850.50		55.00CR
26SEP17	2 SEP17	9843.50	9839.50		16.00DR
26SEP17	2 SEP17	9844.50	9839.50		20.00DR
26SEP17	2 SEP17	9845.00	9839.50		22.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				74,017.52CR
	SETTLEMENTS				
	CNX Nifty (India) Index Futures				9.00CR
	COMMISSIONS				77.14DR
	CARRIED FORWARD BALANCE				73,949.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP083ZH

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 2 27DEC17 TTR EL	2156.00		10.00DR	
26SEP17	B 2 27DEC17 TTR EL	2153.00		10.00DR	
26SEP17	B 2 27DEC17 TTR EL	2126.00		10.00DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	295.200		3.36DR	
	CME Japanese Yen 12,500,000 CME				
26SEP17	S 1 DEC17 TTR GX		89.960	1.19DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		2491.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2499.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2499.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2502.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		2502.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL	10650.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	10645.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	10615.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	10600.00		5.00DR	
26SEP17	S 2 27DEC17 TTR EL		10630.00	10.00DR	
26SEP17	S 1 27DEC17 TTR EL		10490.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		10470.00	5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 2 02NOV17 SGL UD		3146.75	5.40DR	
26SEP17	S 2 27DEC17 TTR EL		3121.50	10.00DR	
26SEP17	B 2 27DEC17 TTR EL	3135.00		10.00DR	
26SEP17	S 1 27DEC17 TTR EL		3139.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3140.00	5.00DR	
26SEP17	B 1 27DEC17 TTR EL	3115.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	3114.00		5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3115.50	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3109.00	5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3114.50	5.00DR	
26SEP17	B 1 27DEC17 TTR EL	3116.00		5.00DR	
26SEP17	S 2 27DEC17 TTR EL		3109.50	10.00DR	
26SEP17	B 1 27DEC17 TTR EL	3112.00		5.00DR	
26SEP17	B 1 27DEC17 TTR EL	3113.00		5.00DR	
26SEP17	S 1 27DEC17 TTR EL		3111.00	5.00DR	
26SEP17	B 2 27DEC17 SGL UD	3137.40		5.40DR	
26SEP17	S 1 27DEC17 TTR EL		3113.00	5.00DR	
	Commission				200.35DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
27JUN17	2 26SEP17	1883.20	1865.50		885.00DR
27JUN17	2 26SEP17	1883.20	1865.50		885.00DR
27JUN17	1 26SEP17	1883.20	1863.50		492.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2720.00	2730.00		250.00CR
26JUN17	1 26SEP17	2726.00	2730.00		100.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP083ZH 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	2727.00	2730.00		75.00CR
26JUN17	1 26SEP17	2708.00	2729.50		537.50CR
26JUN17	1 26SEP17	2711.00	2729.50		462.50CR
26JUN17	1 26SEP17	2716.00	2730.00		350.00CR
19SEP17	1 26SEP17	3119.84	2708.50		10,283.50DR
19SEP17	1 26SEP17	3119.84	2714.00		10,146.00DR
19SEP17	1 26SEP17	3119.84	2706.00		10,346.00DR
19SEP17	1 26SEP17	3119.84	2708.00		10,296.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				78,950.04CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				39,296.50DR
	LME Aluminium 25 Tonnes. This is an Futures				2,262.50DR
	COMMISSIONS				200.35DR
	CARRIED FORWARD BALANCE				37,190.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0083
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LONDON
W1J 7NJ

SAPG0083

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		297,390.48CR
	SETTLEMENTS		
	CMX Silver Futures		1,200.00CR
	CNX Nifty (India) Index Futures		9.00CR
	LME Aluminium 25 Tonnes. This is an Futures		11,804.50DR
	LME Copper Grade A 25 tonnes. This Futures		37.50CR
	LME Lead 25 tonnes. This is an Futures		5,260.50DR
	LME Nickel 6 tonnes. This is an LME Futures		1,869.60DR
	LME Tin 5 tonnes. This is an LME Futures		1,190.00DR
	LME Zinc 25 tonnes. This is an LME Futures		39,996.50DR
	NYM Light Sweet Crude Oil Futures		960.00DR
	COMMISSIONS		1,205.25DR
	CARRIED FORWARD BALANCE		236,350.63CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUN17	1 26SEP17	1862.50	1872.00		237.50CR
26JUN17	1 26SEP17	1862.50	1871.50		225.00CR
26JUN17	2 26SEP17	1862.00	1873.00		550.00CR
26JUN17	1 26SEP17	1863.00	1873.00		250.00CR
26JUN17	1 26SEP17	1857.00	1873.00		400.00CR
26JUN17	1 26SEP17	1858.00	1874.50		412.50CR
26JUN17	1 26SEP17	1855.50	1874.50		475.00CR
26JUN17	1 26SEP17	1855.00	1860.50		137.50CR
26JUN17	1 26SEP17	1855.00	1860.50		137.50CR
26JUN17	1 26SEP17	1856.00	1862.50		162.50CR
27JUN17	1 26SEP17	1883.20	1862.50		517.50DR
27JUN17	1 26SEP17	1883.20	1862.00		530.00DR
10JUL17	1 26SEP17	1895.42	1862.00		835.50DR
10JUL17	1 26SEP17	1895.42	1863.00		810.50DR
10JUL17	1 26SEP17	1895.42	1864.00		785.50DR
10JUL17	1 26SEP17	1895.42	1863.50		798.00DR
10JUL17	2 26SEP17	1895.42	1863.00		1,621.00DR
10JUL17	1 26SEP17	1895.42	1863.50		798.00DR
10JUL17	1 26SEP17	1895.42	1863.50		798.00DR
10JUL17	1 26SEP17	1895.42	1863.50		798.00DR
10JUL17	2 26SEP17	1895.42	1858.00		1,871.00DR
10JUL17	2 26SEP17	1895.42	1867.00		1,421.00DR
10JUL17	3 26SEP17	1895.42	1864.00		2,356.50DR
18JUL17	1 26SEP17	1926.14	1865.00		1,528.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5784.50	5800.00		387.50CR
26JUN17	1 26SEP17	5804.50	5790.50		350.00DR
26JUN17	1 26SEP17	5798.00	5795.00		75.00DR
26JUN17	1 26SEP17	5797.00	5795.50		37.50DR
26JUN17	1 26SEP17	5797.00	5811.00		350.00CR
26JUN17	1 26SEP17	5797.00	5817.50		512.50CR
26JUN17	1 26SEP17	5796.50	5822.00		637.50CR
26JUN17	1 26SEP17	5796.00	5820.00		600.00CR
26JUN17	1 26SEP17	5795.50	5820.00		612.50CR
26JUN17	1 26SEP17	5795.50	5828.00		812.50CR
26JUN17	1 26SEP17	5794.50	5828.00		837.50CR
26JUN17	1 26SEP17	5794.50	5796.50		50.00CR
26JUN17	1 26SEP17	5792.00	5802.50		262.50CR
26JUN17	1 26SEP17	5792.00	5807.50		387.50CR
26JUN17	1 26SEP17	5784.50	5806.50		550.00CR
26JUN17	1 26SEP17	5784.00	5806.50		562.50CR
26JUN17	1 26SEP17	5784.00	5809.00		625.00CR
26JUN17	1 26SEP17	5783.50	5809.00		637.50CR
26JUN17	1 26SEP17	5782.00	5812.50		762.50CR
26JUN17	1 26SEP17	5782.00	5811.00		725.00CR
26JUN17	1 26SEP17	5782.00	5806.50		612.50CR
26JUN17	1 26SEP17	5781.00	5796.00		375.00CR
26JUN17	1 26SEP17	5781.00	5796.00		375.00CR
26JUN17	1 26SEP17	5781.00	5796.00		375.00CR
26JUN17	2 26SEP17	5780.50	5801.00		1,025.00CR
10JUL17	1 26SEP17	5782.00	5819.14		928.50CR
10JUL17	1 26SEP17	5780.00	5819.14		978.50CR
10JUL17	1 26SEP17	5784.00	5819.14		878.50CR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2224.50	2217.50		175.00DR
26JUN17	1 26SEP17	2224.50	2218.50		150.00DR
26JUN17	1 26SEP17	2222.50	2220.50		50.00DR
26JUN17	1 26SEP17	2222.00	2221.50		12.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

26th September 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	2224.00	2216.50		187.50DR
26JUN17	1 26SEP17	2218.00	2218.50		12.50CR
26JUN17	1 26SEP17	2224.00	2218.50		137.50DR
26JUN17	1 26SEP17	2222.00	2222.50		12.50CR
26JUN17	1 26SEP17	2223.00	2219.00		100.00DR
26JUN17	3 26SEP17	2251.50	2219.00		2,437.50DR
26JUN17	1 26SEP17	2251.00	2219.00		800.00DR
10JUL17	1 26SEP17	2318.25	2218.50		2,493.75DR
10JUL17	6 26SEP17	2318.25	2227.50		13,612.50DR
10JUL17	1 26SEP17	2318.25	2232.00		2,156.25DR
10JUL17	2 26SEP17	2318.25	2231.50		4,337.50DR
10JUL17	2 26SEP17	2318.25	2233.50		4,237.50DR
10JUL17	1 26SEP17	2318.25	2231.50		2,168.75DR
10JUL17	1 26SEP17	2318.25	2231.50		2,168.75DR
10JUL17	1 26SEP17	2318.25	2231.00		2,181.25DR
10JUL17	2 26SEP17	2318.25	2232.00		4,312.50DR
10JUL17	1 26SEP17	2318.25	2254.00		1,606.25DR
10JUL17	2 26SEP17	2318.25	2239.50		3,937.50DR
10JUL17	1 26SEP17	2318.25	2238.50		1,993.75DR
10JUL17	1 26SEP17	2318.25	2239.00		1,981.25DR
10JUL17	2 26SEP17	2318.25	2238.50		3,987.50DR
10JUL17	2 26SEP17	2318.25	2240.50		3,887.50DR
10JUL17	1 26SEP17	2318.25	2242.00		1,906.25DR
10JUL17	2 26SEP17	2318.25	2241.50		3,837.50DR
10JUL17	2 26SEP17	2318.25	2242.00		3,812.50DR
10JUL17	1 26SEP17	2318.25	2242.00		1,906.25DR
10JUL17	1 26SEP17	2318.25	2243.00		1,881.25DR
10JUL17	1 26SEP17	2318.25	2243.00		1,881.25DR
10JUL17	1 26SEP17	2318.25	2243.00		1,881.25DR
10JUL17	1 26SEP17	2318.25	2243.00		1,881.25DR
10JUL17	1 26SEP17	2318.25	2244.00		1,856.25DR
10JUL17	1 26SEP17	2318.25	2245.50		1,818.75DR
10JUL17	1 26SEP17	2318.25	2247.50		1,768.75DR
10JUL17	1 26SEP17	2318.25	2248.00		1,756.25DR
10JUL17	1 26SEP17	2318.25	2244.00		1,856.25DR
10JUL17	1 26SEP17	2318.25	2246.00		1,806.25DR
10JUL17	1 26SEP17	2318.25	2245.50		1,818.75DR
10JUL17	1 26SEP17	2318.25	2245.00		1,831.25DR
10JUL17	2 26SEP17	2318.25	2244.50		3,687.50DR
10JUL17	1 26SEP17	2318.25	2249.50		1,718.75DR
10JUL17	1 26SEP17	2318.25	2251.00		1,681.25DR
10JUL17	3 26SEP17	2318.25	2250.50		5,081.25DR
10JUL17	3 26SEP17	2318.25	2251.50		5,006.25DR
10JUL17	1 26SEP17	2318.25	2256.00		1,556.25DR
10JUL17	2 26SEP17	2318.25	2255.50		3,137.50DR
10JUL17	1 26SEP17	2318.25	2255.50		1,568.75DR
LME Nickel 6 tonnes. This is an LME registered client contract					
27JUN17	1 26SEP17	9025.00	9259.80		1,408.80CR
27JUN17	1 26SEP17	9035.00	9259.80		1,348.80CR
27JUN17	1 26SEP17	9030.00	9259.80		1,378.80CR
27JUN17	1 26SEP17	9030.00	9259.80		1,378.80CR
27JUN17	1 26SEP17	9035.00	9259.80		1,348.80CR
27JUN17	1 26SEP17	9015.00	9259.80		1,468.80CR
27JUN17	1 26SEP17	9015.00	9259.80		1,468.80CR
27JUN17	2 26SEP17	9020.00	9259.80		2,877.60CR
27JUN17	1 26SEP17	9020.00	9259.80		1,438.80CR
TOTALS					
BROUGHT FORWARD BALANCE					824,534.94CR
SETTLEMENTS					
LME Lead 25 tonnes. This is an Futures					116,025.00DR
LME Copper Grade A 25 tonnes. This Futures					14,398.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086C

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	LME Nickel 6 tonnes. This is an LME Futures				14,118.00CR
	LME Aluminium 25 Tonnes. This is an Futures				12,481.50DR
	CARRIED FORWARD BALANCE				724,544.44CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086D

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5838.00	5798.50		987.50DR
26JUN17	1 26SEP17	5802.00	5830.00		700.00CR
26JUN17	1 26SEP17	5799.50	5830.00		762.50CR
26JUN17	1 26SEP17	5799.50	5835.00		887.50CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2714.50	2736.50		550.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,240.90CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				1,362.50CR
	LME Zinc 25 tonnes. This is an LME Futures				550.00CR
	CARRIED FORWARD BALANCE				3,153.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5802.00	5830.00		700.00CR
26JUN17	1 26SEP17	5802.50	5834.50		800.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19305.00	19300.00		25.00DR
26JUN17	1 26SEP17	19220.00	19300.00		400.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,565.70CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				375.00CR
	LME Copper Grade A 25 tonnes. This Futures				1,500.00CR
	CARRIED FORWARD BALANCE				3,440.70CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5805.00	5807.50		62.50CR
26JUN17	1 26SEP17	5801.00	5830.00		725.00CR
26JUN17	1 26SEP17	5801.50	5833.00		787.50CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19360.00	19315.00		225.00DR
26JUN17	1 26SEP17	19285.00	19315.00		150.00CR
26JUN17	1 26SEP17	19270.00	19305.00		175.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				16,457.55CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				100.00CR
	LME Copper Grade A 25 tonnes. This Futures				1,575.00CR
	CARRIED FORWARD BALANCE				18,132.55CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0086G

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5801.00	5830.00		725.00CR
26JUN17	1 26SEP17	5802.00	5834.00		800.00CR
26JUN17	1 26SEP17	5800.50	5840.00		987.50CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	9100.00	9140.00		240.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,794.10DR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				2,512.50CR
	LME Nickel 6 tonnes. This is an LME Futures				240.00CR
	CARRIED FORWARD BALANCE				958.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5810.00	5816.00		150.00CR
26JUN17	1 26SEP17	5802.00	5807.00		125.00CR
26JUN17	1 26SEP17	5801.00	5830.00		725.00CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19305.00	19335.00		150.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2742.00	2709.00		825.00DR
26JUN17	1 26SEP17	2743.50	2718.50		625.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,006.75CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				150.00CR
	LME Copper Grade A 25 tonnes. This Futures				1,000.00CR
	LME Zinc 25 tonnes. This is an LME Futures				1,450.00DR
	CARRIED FORWARD BALANCE				28,706.75CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		83,985.55CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		12,481.50DR
	LME Copper Grade A 25 tonnes. This Futures		22,348.00CR
	LME Lead 25 tonnes. This is an Futures		116,025.00DR
	LME Nickel 6 tonnes. This is an LME Futures		14,358.00CR
	LME Tin 5 tonnes. This is an LME Futures		625.00CR
	LME Zinc 25 tonnes. This is an LME Futures		900.00DR
	CARRIED FORWARD BALANCE		8,089.95DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0088A

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

GBP BRITISH STERLING

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>INTER ACCOUNT TRANSFER</u> SAP0088 Fund Withdrawal 26/09/17				150,000.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 235,000.00CR CASH PAYMENTS/RECEIPTS 150,000.00DR CARRIED FORWARD BALANCE 85,000.00CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
26SEP17	B 5 DEC17 GX	291.400		9.75DR	
26SEP17	S 5 DEC17 GX		292.000	9.75DR	
	CME Euro FX 125,000 CME				
26SEP17	B 5 DEC17 GX	1.18400		9.75DR	
	CMX Gold 100 CMX				
26SEP17	B 5 OCT17 GX	1299.5		9.75DR	
26SEP17	B 5 OCT17 GX	1299.0		9.75DR	
26SEP17	B 5 OCT17 GX	1292.8		9.75DR	
26SEP17	S 5 OCT17 GX		1296.0	9.75DR	
26SEP17	S 5 OCT17 GX		1294.5	9.75DR	
26SEP17	S 5 OCT17 GX		1295.3	9.75DR	
26SEP17	B 5 DEC17 GX	1298.4		9.75DR	
26SEP17	B 5 DEC17 GX	1298.3		9.75DR	
	CME E-Mini S&P 500 50 CME				
26SEP17	S 5 DEC17 GX		2500.50	9.75DR	
26SEP17	B 5 DEC17 GX	2497.00		9.75DR	
26SEP17	B 5 DEC17 GX	2494.75		9.75DR	
	Commission				136.50DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	5 DEC17	291.400	292.000		750.00CR
	CMX Gold 100 CMX				
26SEP17	5 OCT17	1299.5	1296.0		1,750.00DR
26SEP17	5 OCT17	1299.0	1294.5		2,250.00DR
26SEP17	5 OCT17	1292.8	1295.3		1,250.00CR
26SEP17	2 OCT17	1322.6	1285.0		7,520.00DR
26SEP17	3 OCT17	1322.6	1285.0		11,280.00DR
26SEP17	5 OCT17	1321.4	1290.0		15,700.00DR
	CME E-Mini S&P 500 50 CME				
26SEP17	5 DEC17	2497.00	2500.50		875.00CR
26SEP17	5 DEC17	2494.75	2490.00		1,187.50DR
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
24AUG17	S 5 OCT17 1300.0 Call GX		11.7		
24AUG17	S 5 OCT17 1300.0 Call GX		12.0		
20SEP17	S 5 OCT17 1310.0 Call GX		4.3		
20SEP17	S 5 OCT17 1310.0 Call GX		4.8		
20SEP17	S 5 OCT17 1310.0 Call GX		5.0		
	<u>EXERCISED/ASSIGNED OPTIONS</u>				
	CMX Gold 100 CMX				
26SEP17	S 5 OCT17 EX		1285.0	9.75DR	
26SEP17	S 5 OCT17 EX		1290.0	9.75DR	
24AUG17	S 5 OCT17 1290.0 Call GX		15.8		
24AUG17	S 5 OCT17 1285.0 Call GX		18.3		
	Commission				19.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				413,636.74CR
	SETTLEMENTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0088A

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CMX Copper Futures				750.00CR
	CME E-Mini S&P 500 Futures				312.50DR
	CMX Gold Futures				37,250.00DR
	COMMISSIONS				156.00DR
	CARRIED FORWARD BALANCE				376,668.24CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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26th Sep 2017

COB Global

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ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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GBP BRITISH STERLING

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		235,000.00CR
	CASH PAYMENTS/RECEIPTS		150,000.00DR
	CARRIED FORWARD BALANCE		85,000.00CR

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		414,090.44CR
	SETTLEMENTS		
	CME E-Mini S&P 500 Futures		312.50DR
	CMX Copper Futures		750.00CR
	CMX Gold Futures		37,250.00DR
	COMMISSIONS		156.00DR
	CARRIED FORWARD BALANCE		377,121.94CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089R

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 CQG GX		1718.5	2.20DR	
26SEP17	S 1 DEC17 CQG GX		1718.0	2.20DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 3 27DEC17 CQG EL	3124.00		4.68DR	
	Commission				9.08DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1743.0	1718.5		1,225.00DR
26SEP17	1 DEC17	1743.0	1718.0		1,250.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				288,147.53DR
	SETTLEMENTS				
	CMX Silver Futures				2,475.00DR
	COMMISSIONS				9.08DR
	CARRIED FORWARD BALANCE				290,631.61DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th Sep 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		252,899.65CR
	SETTLEMENTS		
	CMX Silver Futures		2,475.00DR
	COMMISSIONS		9.08DR
	CARRIED FORWARD BALANCE		250,415.57CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	B	1	27DEC17	DMA	EL	2489.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	2467.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	2483.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	2484.00		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	2484.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	2485.00		4.35DR	
26SEP17	S	2	27DEC17	DMA	EL		2476.00	8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		2476.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		2479.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		2481.50	4.35DR	
26SEP17	S	2	27DEC17	DMA	EL		2482.00	8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		2480.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		2483.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		2483.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		2484.00	4.35DR	
	CMX Silver 5,000 CMX								
26SEP17	S	1	DEC17		GX		1726.5	1.73DR	
26SEP17	S	1	DEC17		GX		1725.5	1.73DR	
26SEP17	S	1	DEC17		GX		1726.0	1.73DR	
26SEP17	B	1	DEC17		GX	1696.0		1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	15	03OCT17	JPM	UD	3131.00		40.50DR	
26SEP17	S	1	27DEC17	DMA	EL		3121.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3125.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3127.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3138.00	4.35DR	
26SEP17	S	2	27DEC17	DMA	EL		3139.00	8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		3133.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3134.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3135.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3148.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3149.50	4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3117.50		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3119.00		8.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3119.50		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3120.00		8.70DR	
26SEP17	B	2	27DEC17	DMA	EL	3121.50		8.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3122.00		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3121.00		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3112.00		8.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3112.50		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3113.50		8.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3114.50		4.35DR	
26SEP17	B	5	27DEC17	DMA	EL	3115.50		21.75DR	
26SEP17	B	5	27DEC17	DMA	EL	3116.00		21.75DR	
26SEP17	B	3	27DEC17	DMA	EL	3116.50		13.05DR	
26SEP17	B	1	27DEC17	DMA	EL	3107.00		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3107.50		8.70DR	
26SEP17	B	3	27DEC17	DMA	EL	3110.50		13.05DR	
26SEP17	B	1	27DEC17	DMA	EL	3108.00		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3118.00		8.70DR	
26SEP17	B	2	27DEC17	DMA	EL	3106.00		8.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3115.00		4.35DR	
26SEP17	S	15	27DEC17	JPM	UD		3094.81	40.50DR	
26SEP17	S	2	27DEC17	DMA	EL		3109.00	8.70DR	
26SEP17	S	2	27DEC17	DMA	EL		3110.00	8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		3111.50	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3112.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3112.50	4.35DR	
26SEP17	S	2	27DEC17	DMA	EL		3109.50	8.70DR	

Group No: SAPG0094

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	3	27DEC17	DMA	EL		3110.50	13.05DR	
26SEP17	S	3	27DEC17	DMA	EL		3111.00	13.05DR	
26SEP17	S	1	27DEC17	DMA	EL		3113.50	4.35DR	
	Commission								457.67DR
	<u>SETTLEMENTS</u>								
	CMX Silver 5,000 CMX								
26SEP17		1	DEC17			1696.0	1726.5		1,525.00CR
26SEP17		1	DEC17			1790.5	1725.5		3,250.00DR
26SEP17		1	DEC17			1783.0	1726.0		2,850.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								283,225.25CR
	SETTLEMENTS								
	CMX Silver Futures								4,575.00DR
	COMMISSIONS								457.67DR
	CARRIED FORWARD BALANCE								278,192.58CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	2482.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	2483.50		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		2511.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	2487.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	2483.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	2485.50		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		2477.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		2482.00	4.35DR	
26SEP17	B 3 27DEC17 DMA EL	2484.00		13.05DR	
26SEP17	S 1 27DEC17 DMA EL		2484.00	4.35DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 GX		1723.0	1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		3123.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3126.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3135.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3137.50	4.35DR	
26SEP17	S 2 27DEC17 DMA EL		3139.00	8.70DR	
26SEP17	S 1 27DEC17 DMA EL		3149.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3149.50	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3148.50		4.35DR	
26SEP17	S 4 27DEC17 DMA EL		3146.50	17.40DR	
26SEP17	S 2 27DEC17 DMA EL		3148.50	8.70DR	
26SEP17	B 1 27DEC17 DMA EL	3117.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3117.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3118.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3120.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3105.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3109.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3111.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3112.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3111.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3112.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3113.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3114.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3114.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3115.00		4.35DR	
26SEP17	B 3 27DEC17 DMA EL	3115.50		13.05DR	
26SEP17	S 1 27DEC17 DMA EL		3112.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3106.00		4.35DR	
26SEP17	B 2 27DEC17 DMA EL	3107.50		8.70DR	
26SEP17	B 2 27DEC17 DMA EL	3108.50		8.70DR	
26SEP17	S 1 27DEC17 DMA EL		3109.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3111.00	4.35DR	
26SEP17	S 2 27DEC17 DMA EL		3112.50	8.70DR	
	Commission				236.63DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1783.5	1723.0		3,025.00DR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUN17	1 26SEP17	1862.50	1868.00		137.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094E

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	1857.50	1866.50		225.00CR
26JUN17	1 26SEP17	1857.00	1867.00		250.00CR
26JUN17	1 26SEP17	1855.50	1867.50		300.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
27JUN17	1 26SEP17	2287.10	2246.50		1,015.00DR
27JUN17	1 26SEP17	2287.10	2246.50		1,015.00DR
27JUN17	1 26SEP17	2287.10	2246.50		1,015.00DR
27JUN17	1 26SEP17	2287.10	2248.00		977.50DR
27JUN17	1 26SEP17	2287.10	2251.00		902.50DR
27JUN17	1 26SEP17	2287.10	2248.00		977.50DR
27JUN17	1 26SEP17	2287.10	2249.00		952.50DR
27JUN17	1 26SEP17	2287.10	2250.50		915.00DR
27JUN17	1 26SEP17	2287.10	2250.00		927.50DR
27JUN17	1 26SEP17	2287.10	2241.00		1,152.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
27JUN17	1 26SEP17	2711.50	2746.80		882.50CR
27JUN17	1 26SEP17	2710.50	2746.80		907.50CR
27JUN17	1 26SEP17	2717.00	2746.80		745.00CR
27JUN17	1 26SEP17	2717.50	2746.80		732.50CR
27JUN17	1 26SEP17	2715.00	2746.80		795.00CR
27JUN17	1 26SEP17	2717.50	2746.80		732.50CR
27JUN17	1 26SEP17	2715.50	2746.80		782.50CR
27JUN17	1 26SEP17	2716.00	2746.80		770.00CR
28JUN17	1 26SEP17	2715.50	2734.00		462.50CR
28JUN17	1 26SEP17	2715.00	2734.00		475.00CR
28JUN17	1 26SEP17	2715.00	2734.00		475.00CR
28JUN17	1 26SEP17	2714.50	2734.00		487.50CR
28JUN17	1 26SEP17	2714.50	2734.00		487.50CR
28JUN17	1 26SEP17	2710.50	2734.00		587.50CR
28JUN17	1 26SEP17	2711.50	2734.00		562.50CR
28JUN17	1 26SEP17	2711.50	2734.00		562.50CR
	TOTALS				
	BROUGHT FORWARD BALANCE				504,598.81DR
	SETTLEMENTS				
	CMX Silver Futures				3,025.00DR
	LME Lead 25 tonnes. This is an Futures				9,850.00DR
	LME Zinc 25 tonnes. This is an LME Futures				10,447.50CR
	LME Aluminium 25 Tonnes. This is an Futures				912.50CR
	COMMISSIONS				236.63DR
	CARRIED FORWARD BALANCE				506,350.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094G

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
26JUN17	LME Lead 25 tonnes. This is an LME registered client contract 1 26SEP17	2253.00	2250.50		62.50DR
26JUN17	LME Nickel 6 tonnes. This is an LME registered client contract 1 26SEP17	9030.00	9130.00		600.00CR
16AUG17	LME Zinc 25 tonnes. This is an LME registered client contract 1 26SEP17	2711.50	3116.26		10,119.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,257.92CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				62.50DR
	LME Zinc 25 tonnes. This is an LME Futures				10,119.00CR
	LME Nickel 6 tonnes. This is an LME Futures				600.00CR
	CARRIED FORWARD BALANCE				41,914.42CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094H 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	B	1	03OCT17	IFC	UD	2102.00		2.70DR	
26SEP17	B	1	27DEC17	DMA	EL	2148.00		4.35DR	
26SEP17	S	1	27DEC17	IFC	UD		2125.80	2.70DR	
	CMX Silver 5,000 CMX								
26SEP17	S	1	DEC17		GX		1726.0	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	DMA	EL		3142.00	4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3142.50		4.35DR	
	Commission								20.75DR
	<u>SETTLEMENTS</u>								
	CMX Silver 5,000 CMX								
26SEP17		1	DEC17			1743.5	1726.0		875.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								12,612.66CR
	SETTLEMENTS								
	CMX Silver Futures								875.00DR
	COMMISSIONS								20.75DR
	CARRIED FORWARD BALANCE								11,716.91CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094J

26th September 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
29JUN17	1 26SEP17	2314.70	2245.50		1,730.00DR
29JUN17	2 26SEP17	2314.70	2248.00		3,335.00DR
29JUN17	1 26SEP17	2314.70	2247.50		1,680.00DR
29JUN17	1 26SEP17	2314.70	2247.50		1,680.00DR
29JUN17	2 26SEP17	2314.70	2247.50		3,360.00DR
29JUN17	1 26SEP17	2314.70	2247.50		1,680.00DR
29JUN17	1 26SEP17	2314.70	2246.00		1,717.50DR
29JUN17	2 26SEP17	2314.70	2246.00		3,435.00DR
29JUN17	1 26SEP17	2314.70	2246.00		1,717.50DR
29JUN17	1 26SEP17	2314.70	2245.50		1,730.00DR
29JUN17	3 26SEP17	2314.70	2245.50		5,190.00DR
29JUN17	1 26SEP17	2314.70	2247.50		1,680.00DR
29JUN17	3 26SEP17	2314.70	2247.50		5,040.00DR
29JUN17	1 26SEP17	2314.70	2247.50		1,680.00DR
29JUN17	1 26SEP17	2314.70	2250.00		1,617.50DR
29JUN17	1 26SEP17	2314.70	2248.50		1,655.00DR
29JUN17	2 26SEP17	2314.70	2248.00		3,335.00DR
29JUN17	1 26SEP17	2314.70	2246.00		1,717.50DR
29JUN17	1 26SEP17	2314.70	2247.00		1,692.50DR
29JUN17	1 26SEP17	2314.70	2246.50		1,705.00DR
29JUN17	3 26SEP17	2314.70	2246.50		5,115.00DR
29JUN17	3 26SEP17	2314.70	2248.00		5,002.50DR
29JUN17	1 26SEP17	2314.70	2248.00		1,667.50DR
29JUN17	1 26SEP17	2314.70	2247.00		1,692.50DR
29JUN17	4 26SEP17	2314.70	2247.00		6,770.00DR
29JUN17	1 26SEP17	2314.70	2246.50		1,705.00DR
29JUN17	4 26SEP17	2314.70	2246.50		6,820.00DR
29JUN17	1 26SEP17	2314.70	2219.00		2,392.50DR
29JUN17	2 26SEP17	2314.70	2218.50		4,810.00DR
29JUN17	1 26SEP17	2314.70	2218.50		2,405.00DR
29JUN17	1 26SEP17	2314.70	2218.50		2,405.00DR
29JUN17	1 26SEP17	2314.70	2219.00		2,392.50DR
29JUN17	1 26SEP17	2314.70	2221.50		2,330.00DR
29JUN17	1 26SEP17	2314.70	2221.50		2,330.00DR
29JUN17	1 26SEP17	2314.70	2219.50		2,380.00DR
29JUN17	2 26SEP17	2314.70	2217.50		4,860.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				937,100.62CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				102,455.00DR
	CARRIED FORWARD BALANCE				834,645.62CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	S 1 27DEC17 TTR EL		2158.50	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	2127.00		4.35DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL	6499.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6441.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6425.50		4.35DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	292.650		4.60DR	
	CMX Gold 100 CMX				
26SEP17	B 1 DEC17 TTR GX	1303.4		2.30DR	
26SEP17	B 1 DEC17 TTR GX	1297.8		2.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL	10615.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	10630.00		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		10660.00	4.35DR	
26SEP17	S 2 27DEC17 TTR EL		10655.00	8.70DR	
26SEP17	S 1 27DEC17 TTR EL		10640.00	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		10620.00	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	10490.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	10480.00		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	10475.00		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	10465.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	10445.00		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	10450.00		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	10415.00		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	10505.00		8.70DR	
26SEP17	B 3 27DEC17 TTR EL	10500.00		13.05DR	
26SEP17	B 3 27DEC17 TTR EL	10495.00		13.05DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 TTR GX		1722.5	2.30DR	
26SEP17	B 1 DEC17 TTR GX	1698.5		2.30DR	
26SEP17	B 1 DEC17 TTR GX	1687.5		2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		3115.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3110.00		4.35DR	
	Commission				150.95DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	1 DEC17	292.650	295.150		625.00CR
26SEP17	1 DEC17	292.650	294.400		437.50CR
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1698.5	1722.5		1,200.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				211,061.85DR
	SETTLEMENTS				
	CMX Silver Futures				1,200.00CR
	CMX Copper Futures				1,062.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094L

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	COMMISSIONS				150.95DR
	CARRIED FORWARD BALANCE				208,950.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17	S	3	NOV17	TTR	GX		52.29	6.90DR	
26SEP17	S	3	NOV17	TTR	GX		52.28	6.90DR	
26SEP17	S	1	NOV17	TTR	GX		52.30	2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.31		2.30DR	
26SEP17	B	2	NOV17	TTR	GX	52.32		4.60DR	
26SEP17	B	1	NOV17	TTR	GX	52.29		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.28		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.00		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	51.97		2.30DR	
26SEP17	S	2	NOV17	TTR	GX		51.86	4.60DR	
26SEP17	S	1	NOV17	TTR	GX		52.08	2.30DR	
26SEP17	S	1	NOV17	TTR	GX		52.09	2.30DR	
26SEP17	S	1	NOV17	TTR	GX		52.15	2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.17		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.18		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.15		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.23		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.22		2.30DR	
26SEP17	B	3	NOV17	TTR	GX	52.26		6.90DR	
26SEP17	B	1	NOV17	TTR	GX	52.25		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	52.21		2.30DR	
26SEP17	S	1	NOV17	TTR	GX		51.93	2.30DR	
26SEP17	S	1	NOV17	TTR	GX		52.01	2.30DR	
26SEP17	S	1	NOV17	TTR	GX		51.99	2.30DR	
26SEP17	S	2	NOV17	TTR	GX		51.88	4.60DR	
26SEP17	S	3	NOV17	TTR	GX		51.89	6.90DR	
26SEP17	S	4	NOV17	TTR	GX		51.77	9.20DR	
26SEP17	S	1	NOV17	TTR	GX		51.76	2.30DR	
26SEP17	S	2	NOV17	TTR	GX		51.75	4.60DR	
26SEP17	S	4	NOV17	TTR	GX		51.74	9.20DR	
26SEP17	S	1	NOV17	TTR	GX		51.72	2.30DR	
26SEP17	S	1	NOV17	TTR	GX		51.70	2.30DR	
26SEP17	B	1	NOV17	TTR	GX	51.72		2.30DR	
26SEP17	B	2	NOV17	TTR	GX	51.68		4.60DR	
26SEP17	B	2	NOV17	TTR	GX	51.71		4.60DR	
26SEP17	B	1	NOV17	TTR	GX	51.65		2.30DR	
26SEP17	B	3	NOV17	TTR	GX	51.69		6.90DR	
26SEP17	B	4	NOV17	TTR	GX	51.67		9.20DR	
26SEP17	B	4	NOV17	TTR	GX	51.94		9.20DR	
26SEP17	B	2	NOV17	TTR	GX	52.01		4.60DR	
26SEP17	B	2	NOV17	TTR	GX	51.95		4.60DR	
26SEP17	B	2	NOV17	TTR	GX	51.92		4.60DR	
26SEP17	B	4	NOV17	TTR	GX	51.91		9.20DR	
26SEP17	B	1	NOV17	TTR	GX	51.89		2.30DR	
26SEP17	B	1	NOV17	TTR	GX	51.88		2.30DR	
26SEP17	S	1	NOV17	TTR	GX		51.80	2.30DR	
26SEP17	S	3	NOV17	TTR	GX		51.82	6.90DR	
26SEP17	S	2	NOV17	TTR	GX		51.94	4.60DR	
26SEP17	S	6	NOV17	TTR	GX		51.98	13.80DR	
26SEP17	S	2	NOV17	TTR	GX		51.90	4.60DR	
26SEP17	S	6	NOV17	TTR	GX		51.95	13.80DR	
26SEP17	S	4	NOV17	TTR	GX		51.96	9.20DR	
26SEP17	S	7	NOV17	TTR	GX		51.97	16.10DR	
26SEP17	S	7	NOV17	TTR	GX		51.92	16.10DR	
26SEP17	S	9	NOV17	TTR	GX		51.91	20.70DR	
26SEP17	B	2	NOV17	TTR	GX	51.87		4.60DR	
26SEP17	B	1	NOV17	TTR	GX	51.86		2.30DR	
26SEP17	B	4	NOV17	TTR	GX	51.85		9.20DR	
26SEP17	B	6	NOV17	TTR	GX	51.84		13.80DR	
26SEP17	B	1	NOV17	TTR	GX	51.82		2.30DR	
26SEP17	B	3	NOV17	TTR	GX	51.80		6.90DR	
26SEP17	S	1	NOV17	TTR	GX		51.78	2.30DR	
	CMX Copper 25,000 CMX								

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094M

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	1	DEC17	TTR	GX		296.250	2.30DR	
26SEP17	S	1	DEC17	TTR	GX		296.300	2.30DR	
26SEP17	B	1	DEC17	TTR	GX	296.250		2.30DR	
26SEP17	B	1	DEC17	TTR	GX	296.450		2.30DR	
26SEP17	B	1	DEC17	TTR	GX	295.250		2.30DR	
26SEP17	S	1	DEC17	TTR	GX		292.050	2.30DR	
26SEP17	S	1	DEC17	TTR	GX		292.100	2.30DR	
26SEP17	S	1	DEC17	TTR	GX		292.150	2.30DR	
	NYM Henry Hub Natural Gas 10,000 NYM								
26SEP17	S	1	OCT17	TTR	GX		2.92900	2.30DR	
26SEP17	S	1	OCT17	TTR	GX		2.91000	2.30DR	
26SEP17	S	2	OCT17	TTR	GX		2.90900	4.60DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		10610.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10520.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10535.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10530.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10465.00	4.35DR	
	Commission								380.55DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17		1	NOV17			52.32	52.29		30.00DR
26SEP17		1	NOV17			52.31	52.29		20.00DR
26SEP17		1	NOV17			52.32	52.29		30.00DR
26SEP17		1	NOV17			52.29	52.28		10.00DR
26SEP17		1	NOV17			52.28	52.28		0.00
26SEP17		1	NOV17			52.00	52.28		280.00CR
26SEP17		1	NOV17			51.94	52.30		360.00CR
26SEP17		1	NOV17			51.97	51.88		90.00DR
26SEP17		1	NOV17			52.17	51.86		310.00DR
26SEP17		1	NOV17			52.18	51.86		320.00DR
26SEP17		1	NOV17			52.15	51.89		260.00DR
26SEP17		1	NOV17			52.23	51.89		340.00DR
26SEP17		1	NOV17			52.22	51.90		320.00DR
26SEP17		3	NOV17			52.26	51.92		1,020.00DR
26SEP17		1	NOV17			52.25	51.95		300.00DR
26SEP17		1	NOV17			52.21	51.95		260.00DR
26SEP17		1	NOV17			51.72	51.96		240.00CR
26SEP17		1	NOV17			51.69	51.95		260.00CR
26SEP17		1	NOV17			51.69	51.96		270.00CR
26SEP17		1	NOV17			51.68	51.98		300.00CR
26SEP17		1	NOV17			51.68	51.98		300.00CR
26SEP17		1	NOV17			51.67	51.98		310.00CR
26SEP17		1	NOV17			51.67	52.08		410.00CR
26SEP17		1	NOV17			51.80	52.09		290.00CR
26SEP17		1	NOV17			51.80	52.15		350.00CR
26SEP17		1	NOV17			51.71	51.94		230.00CR
26SEP17		1	NOV17			51.71	51.92		210.00CR
26SEP17		1	NOV17			51.65	51.92		270.00CR
26SEP17		1	NOV17			51.67	51.91		240.00CR
26SEP17		1	NOV17			51.69	51.91		220.00CR
26SEP17		1	NOV17			51.67	51.93		260.00CR
26SEP17		1	NOV17			51.94	51.95		10.00CR
26SEP17		1	NOV17			51.94	51.98		40.00CR
26SEP17		1	NOV17			51.92	51.97		50.00CR
26SEP17		1	NOV17			51.91	52.01		100.00CR
26SEP17		1	NOV17			51.91	51.97		60.00CR
26SEP17		1	NOV17			51.91	51.98		70.00CR
26SEP17		1	NOV17			51.94	51.99		50.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094M

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 NOV17	52.01	51.88		130.00DR
26SEP17	1 NOV17	52.01	51.89		120.00DR
26SEP17	2 NOV17	51.95	51.77		360.00DR
26SEP17	1 NOV17	51.92	51.77		150.00DR
26SEP17	1 NOV17	51.91	51.77		140.00DR
26SEP17	1 NOV17	51.89	51.76		130.00DR
26SEP17	1 NOV17	51.85	51.74		110.00DR
26SEP17	1 NOV17	51.88	51.74		140.00DR
26SEP17	1 NOV17	51.87	51.74		130.00DR
26SEP17	1 NOV17	51.87	51.75		120.00DR
26SEP17	1 NOV17	51.86	51.75		110.00DR
26SEP17	1 NOV17	51.85	51.74		110.00DR
26SEP17	1 NOV17	51.85	51.72		130.00DR
26SEP17	1 NOV17	51.85	51.70		150.00DR
26SEP17	1 NOV17	51.84	51.80		40.00DR
26SEP17	3 NOV17	51.84	51.82		60.00DR
26SEP17	1 NOV17	51.84	51.94		100.00CR
26SEP17	1 NOV17	51.84	51.98		140.00CR
26SEP17	1 NOV17	51.82	51.90		80.00CR
26SEP17	1 NOV17	51.80	51.95		150.00CR
	CMX Copper 25,000 CMX				
26SEP17	1 DEC17	296.250	296.250		0.00
26SEP17	1 DEC17	296.450	296.300		37.50DR
26SEP17	1 DEC17	295.250	292.050		800.00DR
26SEP17	1 DEC17	293.750	292.100		412.50DR
26SEP17	1 DEC17	293.750	292.150		400.00DR
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	1 OCT17	2.96900	2.92900		400.00DR
26SEP17	1 OCT17	2.96200	2.90900		530.00DR
26SEP17	1 OCT17	2.92300	2.91000		130.00DR
26SEP17	1 OCT17	2.91300	2.90900		40.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				85,711.45DR
	SETTLEMENTS				
	NYM Henry Hub Natural Gas Futures				1,100.00DR
	CMX Copper Futures				1,650.00DR
	NYM Light Sweet Crude Oil Futures				210.00CR
	COMMISSIONS				380.55DR
	CARRIED FORWARD BALANCE				88,632.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP00940

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	6499.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	6439.50		8.70DR	
26SEP17	B	1	27DEC17	TTR	EL	6436.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	6437.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	6438.50		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	6422.50		8.70DR	
	CMX Gold 100 CMX								
26SEP17	B	2	DEC17	TTR	GX	1303.9		4.60DR	
26SEP17	B	6	DEC17	TTR	GX	1304.1		13.80DR	
26SEP17	B	2	DEC17	TTR	GX	1304.0		4.60DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	10595.00		8.70DR	
26SEP17	B	1	27DEC17	TTR	EL	10610.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10470.00		8.70DR	
26SEP17	B	11	27DEC17	TTR	EL	10475.00		47.85DR	
26SEP17	B	2	27DEC17	TTR	EL	10445.00		8.70DR	
26SEP17	B	4	27DEC17	TTR	EL	10430.00		17.40DR	
26SEP17	B	4	27DEC17	TTR	EL	10425.00		17.40DR	
26SEP17	B	2	27DEC17	TTR	EL	10450.00		8.70DR	
26SEP17	S	2	27DEC17	TTR	EL		10440.00	8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10515.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10520.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10525.00		8.70DR	
26SEP17	B	4	27DEC17	TTR	EL	10510.00		17.40DR	
26SEP17	B	2	27DEC17	TTR	EL	10495.00		8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		10495.00	4.35DR	
26SEP17	B	3	27DEC17	TTR	EL	10490.00		13.05DR	
26SEP17	B	2	27DEC17	TTR	EL	10500.00		8.70DR	
26SEP17	B	6	27DEC17	TTR	EL	10480.00		26.10DR	
26SEP17	B	1	27DEC17	TTR	EL	10485.00		4.35DR	
	CMX Silver 5,000 CMX								
26SEP17	S	2	DEC17	TTR	GX		1722.5	4.60DR	
26SEP17	B	2	DEC17	TTR	GX	1698.5		4.60DR	
26SEP17	B	2	DEC17	TTR	GX	1682.5		4.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	3127.00		8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		3126.50	4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3126.50		4.35DR	
26SEP17	S	2	27DEC17	TTR	EL		3112.00	8.70DR	
	Commission								345.65DR
	<u>SETTLEMENTS</u>								
	CMX Silver 5,000 CMX								
26SEP17		2	DEC17			1698.5	1722.5		2,400.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								348,524.15DR
	SETTLEMENTS								
	CMX Silver Futures								2,400.00CR
	COMMISSIONS								345.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP00940

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				346,469.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094Q

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUN17	1 26SEP17	1875.00	1869.00		150.00DR
26JUN17	1 26SEP17	1866.00	1875.00		225.00CR
26JUN17	1 26SEP17	1867.50	1875.00		187.50CR
26JUN17	1 26SEP17	1865.00	1875.00		250.00CR
20JUL17	1 26SEP17	1868.00	1911.23		1,080.75CR
20JUL17	1 26SEP17	1875.50	1911.43		898.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2227.00	2248.00		525.00CR
26JUN17	1 26SEP17	2247.00	2249.50		62.50CR
26JUN17	1 26SEP17	2238.00	2227.50		262.50DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
20JUL17	1 26SEP17	9105.00	9481.46		2,258.76CR
20JUL17	1 26SEP17	9025.00	9481.46		2,738.76CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19315.00	19285.00		150.00DR
20JUL17	1 26SEP17	19270.00	20112.68		4,213.40CR
20JUL17	1 26SEP17	19305.00	20112.68		4,038.40CR
20JUL17	1 26SEP17	19305.00	20112.68		4,038.40CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
20JUL17	1 26SEP17	2723.65	2716.00		191.25DR
20JUL17	1 26SEP17	2723.65	2714.00		241.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,220.58DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				12,140.20CR
	LME Lead 25 tonnes. This is an Futures				325.00CR
	LME Zinc 25 tonnes. This is an LME Futures				432.50DR
	LME Nickel 6 tonnes. This is an LME Futures				4,997.52CR
	LME Aluminium 25 Tonnes. This is an Futures				2,491.50CR
	CARRIED FORWARD BALANCE				9,698.86DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094R

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	6454.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		6455.00	4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	10620.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	10575.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		10575.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		10625.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	10430.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		10485.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	10500.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	10485.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		10505.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		10510.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	10465.00		4.35DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	20705.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		20680.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		20705.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	20625.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	20630.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		20650.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	20650.00		4.35DR	
26SEP17	B 3 27DEC17 DMA EL	20660.00		13.05DR	
26SEP17	S 2 27DEC17 DMA EL		20655.00	8.70DR	
26SEP17	S 2 27DEC17 DMA EL		20660.00	8.70DR	
26SEP17	B 3 27DEC17 DMA EL	20665.00		13.05DR	
26SEP17	S 2 27DEC17 DMA EL		20695.00	8.70DR	
26SEP17	B 1 27DEC17 DMA EL	20655.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		20690.00	4.35DR	
	Commission				147.90DR
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2245.50	2249.50		100.00CR
26JUN17	1 26SEP17	2247.00	2254.00		175.00CR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	9080.00	9045.00		210.00DR
26JUN17	1 26SEP17	9020.00	9105.00		510.00CR
20JUL17	1 26SEP17	9105.00	9481.46		2,258.76CR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19315.00	19340.00		125.00CR
26JUN17	1 26SEP17	19305.00	19300.00		25.00DR
26JUN17	1 26SEP17	19280.00	19235.00		225.00DR
26JUN17	1 26SEP17	19280.00	19380.00		500.00CR
20JUL17	1 26SEP17	19305.00	20112.68		4,038.40CR
20JUL17	1 26SEP17	19325.00	20112.68		3,938.40CR
20JUL17	1 26SEP17	19325.00	20112.68		3,938.40CR
20JUL17	1 26SEP17	19235.00	20112.68		4,388.40CR
20JUL17	1 26SEP17	19265.00	20112.68		4,238.40CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094R

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				29,822.63DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				20,917.00CR
	LME Lead 25 tonnes. This is an Futures				275.00CR
	LME Nickel 6 tonnes. This is an LME Futures				2,558.76CR
	COMMISSIONS				147.90DR
	CARRIED FORWARD BALANCE				6,219.77DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094S

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 19DEC17 IFC UD	2123.94		2.70DR	
26SEP17	S 1 21DEC17 IFC UD		2124.30	2.70DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 10 03OCT17 IFC UD	2479.18		27.00DR	
26SEP17	B 1 27DEC17 DMA EL	2484.00		4.35DR	
26SEP17	B 2 27DEC17 DMA EL	2488.50		8.70DR	
26SEP17	B 2 27DEC17 DMA EL	2490.00		8.70DR	
26SEP17	S 1 27DEC17 DMA EL		2502.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		2509.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		2510.00	4.35DR	
26SEP17	B 3 27DEC17 DMA EL	2494.00		13.05DR	
26SEP17	S 10 27DEC17 IFC UD		2487.80	27.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	10645.00		4.35DR	
26SEP17	B 2 27DEC17 DMA EL	10650.00		8.70DR	
26SEP17	B 1 27DEC17 DMA EL	10575.00		4.35DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 GX		1725.5	1.73DR	
26SEP17	B 1 DEC17 GX	1683.0		1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 21DEC17 IFC UD		3118.00	2.70DR	
26SEP17	S 1 27DEC17 DMA EL		3131.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3133.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3141.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3142.00	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3147.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3149.50	4.35DR	
26SEP17	S 2 27DEC17 DMA EL		3142.50	8.70DR	
26SEP17	S 1 27DEC17 DMA EL		3143.50	4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3144.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3120.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3136.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3113.00		4.35DR	
26SEP17	B 3 27DEC17 DMA EL	3118.50		13.05DR	
26SEP17	B 1 27DEC17 DMA EL	3119.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3119.50		4.35DR	
26SEP17	B 2 27DEC17 DMA EL	3120.00		8.70DR	
26SEP17	B 1 27DEC17 DMA EL	3112.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3115.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3114.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3115.00		4.35DR	
26SEP17	S 2 27DEC17 DMA EL		3133.00	8.70DR	
26SEP17	S 1 27DEC17 DMA EL		3129.00	4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3112.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		3113.50	4.35DR	
26SEP17	B 1 27DEC17 IFC UD	3116.20		2.70DR	
	Commission				259.66DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1683.0	1725.5		2,125.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094S

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				44,582.99DR
	SETTLEMENTS				
	CMX Silver Futures				2,125.00CR
	COMMISSIONS				259.66DR
	CARRIED FORWARD BALANCE				42,717.65DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0094U 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	B	5	030CT17	IFC	UD	2479.18		13.50DR	
26SEP17	B	2	15DEC17	IFC	UD	2489.52		5.40DR	
26SEP17	B	1	19DEC17	IFC	UD	2489.90		2.70DR	
26SEP17	S	3	21DEC17	IFC	UD		2489.13	8.10DR	
26SEP17	S	5	27DEC17	IFC	UD		2487.80	13.50DR	
	CMX Silver 5,000 CMX								
26SEP17	S	1	DEC17		GX		1723.0	1.73DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	4	030CT17	SGL	UD	3131.75		10.80DR	
26SEP17	S	1	20DEC17	IFC	UD		3119.00	2.70DR	
26SEP17	B	1	21DEC17	IFC	UD	3118.20		2.70DR	
26SEP17	B	1	27DEC17	DMA	EL	3136.00		4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3136.00	4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3109.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3112.00		4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3111.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		3115.00	4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3106.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3107.50		4.35DR	
26SEP17	B	2	27DEC17	DMA	EL	3115.00		8.70DR	
26SEP17	S	4	27DEC17	SGL	UD		3110.10	10.80DR	
	Commission								115.43DR
	<u>SETTLEMENTS</u>								
	CMX Silver 5,000 CMX								
26SEP17		1	DEC17			1697.5	1723.0		1,275.00CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								62,233.54DR
	SETTLEMENTS								
	CMX Silver Futures								1,275.00CR
	COMMISSIONS								115.43DR
	CARRIED FORWARD BALANCE								61,073.97DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094V

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	ICE Cotton No.2 500 FIN				
26SEP17	B 1 MAR18 EL	68.20000		2.30DR	
26SEP17	B 1 MAR18 EL	68.13000		2.30DR	
26SEP17	B 1 MAR18 EL	68.14000		2.30DR	
26SEP17	B 5 MAR18 EL	67.75000		11.50DR	
26SEP17	B 10 MAR18 EL	67.90000		23.00DR	
26SEP17	S 1 MAY18 EL		68.73000	2.30DR	
26SEP17	S 1 MAY18 EL		68.66000	2.30DR	
26SEP17	S 1 MAY18 EL		68.67000	2.30DR	
26SEP17	S 5 MAY18 EL		68.29000	11.50DR	
26SEP17	S 10 MAY18 EL		68.44000	23.00DR	
	Commission				82.80DR
	<u>SETTLEMENTS</u>				
	ICE Cotton No.2 500 FIN				
26SEP17	1 MAR18	68.20000	67.86000		170.00DR
26SEP17	1 MAR18	68.13000	67.86000		135.00DR
26SEP17	1 MAR18	68.14000	67.86000		140.00DR
26SEP17	1 MAR18	67.75000	67.86000		55.00CR
26SEP17	1 MAR18	67.75000	67.86000		55.00CR
26SEP17	1 MAR18	67.75000	67.89000		70.00CR
26SEP17	1 MAR18	67.75000	67.91000		80.00CR
26SEP17	1 MAR18	67.75000	67.91000		80.00CR
26SEP17	6 MAR18	67.90000	67.95000		150.00CR
26SEP17	2 MAR18	67.90000	67.95000		50.00CR
26SEP17	2 MAR18	67.90000	67.97000		70.00CR
26SEP17	1 MAY18	68.27000	68.73000		230.00CR
26SEP17	1 MAY18	68.27000	68.66000		195.00CR
26SEP17	1 MAY18	68.27000	68.67000		200.00CR
26SEP17	1 MAY18	68.27000	68.29000		10.00CR
26SEP17	1 MAY18	68.27000	68.29000		10.00CR
26SEP17	1 MAY18	68.30000	68.29000		5.00DR
26SEP17	1 MAY18	68.32000	68.29000		15.00DR
26SEP17	1 MAY18	68.32000	68.29000		15.00DR
26SEP17	3 MAY18	68.35000	68.44000		135.00CR
26SEP17	5 MAY18	68.35000	68.44000		225.00CR
26SEP17	2 MAY18	68.37000	68.44000		70.00CR
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
28JUN17	1 26SEP17	1861.50	1895.92		860.50CR
28JUN17	1 26SEP17	1861.50	1895.92		860.50CR
28JUN17	1 26SEP17	1861.50	1895.92		860.50CR
28JUN17	2 26SEP17	1861.50	1895.92		1,721.00CR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUL17	2 26SEP17	2254.50	2308.75		2,712.50CR
26JUL17	3 26SEP17	2254.50	2308.75		4,068.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
28JUN17	4 26SEP17	2734.00	2717.50		1,650.00DR
28JUN17	1 26SEP17	2734.00	2717.50		412.50DR
26JUL17	2 26SEP17	2803.00	2717.50		4,275.00DR
26JUL17	3 26SEP17	2803.00	2717.50		6,412.50DR
	<u>TOTALS</u>				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0094V

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BROUGHT FORWARD BALANCE				23,611.64CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				6,781.25CR
	LME Zinc 25 tonnes. This is an LME Futures				12,750.00DR
	ICE Cotton No.2 Futures				1,205.00CR
	LME Aluminium 25 Tonnes. This is an Futures				4,302.50CR
	COMMISSIONS				82.80DR
	CARRIED FORWARD BALANCE				23,067.59CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	S 8 20DEC17 IFC UD		2124.25	21.60DR	
26SEP17	B 8 21DEC17 IFC UD	2124.70		21.60DR	
26SEP17	B 9 27DEC17 TTR EL	2128.00		39.15DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 3 14DEC17 IFC UD		6407.06	8.10DR	
26SEP17	S 5 19DEC17 IFC UD		6409.93	13.50DR	
26SEP17	B 3 21DEC17 IFC UD	6409.20		8.10DR	
26SEP17	S 3 27DEC17 TTR EL		6479.00	13.05DR	
26SEP17	B 1 27DEC17 TTR EL	6480.50		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6479.00		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	6477.00		4.35DR	
26SEP17	S 1 27DEC17 DMA EL		6479.00	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6454.50		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6458.00		8.70DR	
26SEP17	S 1 27DEC17 TTR EL		6457.50	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6455.00	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6442.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6440.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6439.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6437.50		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6439.50		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	6435.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6429.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6429.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6427.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6418.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6424.50		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6416.50		8.70DR	
26SEP17	S 1 27DEC17 TTR EL		6412.00	4.35DR	
26SEP17	B 5 27DEC17 IFC UD	6413.20		13.50DR	
26SEP17	B 1 27DEC17 DMA EL	6418.50		4.35DR	
	CMX Copper 25,000 CMX				
26SEP17	B 1 DEC17 TTR GX	294.650		2.30DR	
26SEP17	S 1 DEC17 TTR GX		293.500	2.30DR	
26SEP17	B 1 DEC17 TTR GX	292.650		2.30DR	
26SEP17	B 4 DEC17 TTR GX	292.600		9.20DR	
26SEP17	B 2 DEC17 TTR GX	292.500		4.60DR	
26SEP17	B 1 DEC17 TTR GX	292.550		2.30DR	
26SEP17	B 1 DEC17 TTR GX	292.350		2.30DR	
26SEP17	B 1 DEC17 TTR GX	292.100		2.30DR	
26SEP17	B 1 DEC17 TTR GX	291.900		2.30DR	
26SEP17	B 1 DEC17 TTR GX	291.850		2.30DR	
26SEP17	B 1 DEC17 TTR GX	291.950		2.30DR	
26SEP17	B 1 DEC17 TTR GX	291.350		2.30DR	
26SEP17	B 1 DEC17 TTR GX	291.500		2.30DR	
	CMX Gold 100 CMX				
26SEP17	S 1 DEC17 TTR GX		1303.8	2.30DR	
26SEP17	S 1 DEC17 TTR GX		1303.7	2.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 22DEC17 IFC UD	2488.67		2.70DR	
26SEP17	B 1 27DEC17 TTR EL	2496.50		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		2466.50	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	2485.00		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		2484.00	4.35DR	
26SEP17	S 1 27DEC17 IFC UD		2487.80	2.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

26th September 2017

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	5	20DEC17	IFC	UD	10471.00		13.50DR	
26SEP17	S	5	21DEC17	IFC	UD		10470.75	13.50DR	
26SEP17	S	15	21DEC17	IFC	UD		10471.75	40.50DR	
26SEP17	S	6	22DEC17	IFC	UD		10472.50	16.20DR	
26SEP17	S	1	27DEC17	TTR	EL		10665.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10660.00		4.35DR	
26SEP17	S	2	27DEC17	TTR	EL		10660.00	8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		10620.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10640.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10640.00		4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10575.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10570.00		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	10660.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10480.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10485.00		4.35DR	
26SEP17	B	3	27DEC17	TTR	EL	10475.00		13.05DR	
26SEP17	B	5	27DEC17	TTR	EL	10465.00		21.75DR	
26SEP17	B	1	27DEC17	TTR	EL	10440.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10420.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10410.00		8.70DR	
26SEP17	B	1	27DEC17	TTR	EL	10415.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10455.00		8.70DR	
26SEP17	B	5	27DEC17	TTR	EL	10445.00		21.75DR	
26SEP17	B	2	27DEC17	TTR	EL	10550.00		8.70DR	
26SEP17	B	1	27DEC17	TTR	EL	10510.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10505.00		4.35DR	
26SEP17	B	4	27DEC17	TTR	EL	10500.00		17.40DR	
26SEP17	S	2	27DEC17	TTR	EL		10500.00	8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		10485.00	4.35DR	
26SEP17	S	4	27DEC17	TTR	EL		10490.00	17.40DR	
26SEP17	S	3	27DEC17	TTR	EL		10495.00	13.05DR	
26SEP17	B	21	27DEC17	IFC	UD	10481.00		56.70DR	
CMX Silver 5,000 CMX									
26SEP17	S	1	DEC17	TTR	GX		1722.0	2.30DR	
26SEP17	S	1	DEC17	TTR	GX		1723.0	2.30DR	
26SEP17	B	1	DEC17	TTR	GX	1712.0		2.30DR	
26SEP17	B	2	DEC17	TTR	GX	1697.5		4.60DR	
26SEP17	B	1	DEC17	TTR	GX	1696.0		2.30DR	
26SEP17	S	1	DEC17	TTR	GX		1694.5	2.30DR	
26SEP17	B	1	DEC17	TTR	GX	1692.0		2.30DR	
26SEP17	B	1	DEC17	TTR	GX	1687.0		2.30DR	
LME Tin 5 tonnes. This is an LME registered client contract									
26SEP17	B	1	15DEC17	IFC	UD	20743.50		2.70DR	
26SEP17	B	1	18DEC17	IFC	UD	20741.00		2.70DR	
26SEP17	S	2	21DEC17	IFC	UD		20726.33	5.40DR	
26SEP17	B	1	27DEC17	TTR	EL	20735.00		4.35DR	
26SEP17	S	2	27DEC17	TTR	EL		20720.00	8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	20730.00		8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		20655.00	4.35DR	
LME Zinc 25 tonnes. This is an LME registered client contract									
26SEP17	S	2	18DEC17	IFC	UD		3119.00	5.40DR	
26SEP17	B	2	21DEC17	IFC	UD	3118.20		5.40DR	
26SEP17	B	1	27DEC17	TTR	EL	3109.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3109.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3124.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3127.50		4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		3125.50	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		3124.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		3127.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		3129.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3126.50		4.35DR	
26SEP17	S	2	27DEC17	TTR	EL		3147.00	8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		3142.00	4.35DR	

Group No: SAPG0094

Page 2 of 6

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	1	27DEC17	TTR	EL		3138.50	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3133.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3132.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3122.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3120.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3121.00		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3128.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	3122.50		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3112.00		4.35DR	
26SEP17	B	1	27DEC17	DMA	EL	3109.50		4.35DR	
	Commission								844.55DR
	SETTLEMENTS								
	CMX Copper 25,000 CMX								
26SEP17		1	DEC17			294.650	293.500		287.50DR
	CMX Gold 100 CMX								
26SEP17		1	DEC17			1330.6	1303.8		2,680.00DR
26SEP17		1	DEC17			1330.6	1303.7		2,690.00DR
	CMX Silver 5,000 CMX								
26SEP17		1	DEC17			1712.0	1722.0		500.00CR
26SEP17		1	DEC17			1697.5	1723.0		1,275.00CR
26SEP17		1	DEC17			1697.5	1694.5		150.00DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26JUN17		1	26SEP17			1870.50	1869.00		37.50DR
26JUN17		1	26SEP17			1870.50	1868.50		50.00DR
26JUN17		1	26SEP17			1870.50	1862.00		212.50DR
26JUN17		1	26SEP17			1871.50	1862.50		225.00DR
26JUN17		1	26SEP17			1875.00	1862.50		312.50DR
26JUN17		1	26SEP17			1867.00	1861.00		150.00DR
26JUN17		1	26SEP17			1862.00	1860.00		50.00DR
26JUN17		1	26SEP17			1862.50	1866.00		87.50CR
26JUN17		1	26SEP17			1863.50	1867.50		100.00CR
29JUN17		1	26SEP17			1863.00	1914.69		1,292.25CR
29JUN17		1	26SEP17			1868.00	1914.69		1,167.25CR
29JUN17		1	26SEP17			1868.00	1914.69		1,167.25CR
30JUN17		1	26SEP17			1868.00	1918.69		1,267.25CR
28JUL17		1	26SEP17			1910.32	1898.62		292.50DR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26JUN17		1	26SEP17			5788.00	5789.00		25.00CR
26JUN17		1	26SEP17			5780.00	5789.00		225.00CR
26JUN17		1	26SEP17			5780.50	5787.50		175.00CR
26JUN17		1	26SEP17			5791.50	5796.00		112.50CR
26JUN17		1	26SEP17			5786.00	5788.50		62.50CR
26JUN17		1	26SEP17			5783.00	5787.50		112.50CR
26JUN17		1	26SEP17			5783.00	5782.00		25.00DR
26JUN17		1	26SEP17			5791.50	5799.00		187.50CR
26JUN17		1	26SEP17			5787.00	5794.50		187.50CR
26JUN17		1	26SEP17			5787.00	5788.00		25.00CR
26JUN17		1	26SEP17			5830.00	5790.50		987.50DR
26JUN17		1	26SEP17			5828.50	5786.50		1,050.00DR
26JUN17		1	26SEP17			5798.00	5788.50		237.50DR
26JUN17		1	26SEP17			5800.00	5787.50		312.50DR
26JUN17		1	26SEP17			5807.50	5786.50		525.00DR
26JUN17		1	26SEP17			5810.00	5796.00		350.00DR
26JUN17		1	26SEP17			5808.00	5786.50		537.50DR
26JUN17		1	26SEP17			5807.00	5788.00		475.00DR
26JUN17		1	26SEP17			5796.00	5790.00		150.00DR

Group No: SAPG0094

Page 3 of 6

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TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

26th September 2017

COB GLOBAL

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26JUN17	1 26SEP17	5794.50	5791.00		87.50DR
26JUN17	1 26SEP17	5792.50	5810.50		450.00CR
26JUN17	1 26SEP17	5795.00	5811.00		400.00CR
26JUN17	1 26SEP17	5794.50	5811.00		412.50CR
26JUN17	1 26SEP17	5792.00	5818.00		650.00CR
26JUN17	1 26SEP17	5785.00	5818.50		837.50CR
26JUN17	1 26SEP17	5784.50	5818.00		837.50CR
26JUN17	1 26SEP17	5782.00	5818.00		900.00CR
26JUN17	1 26SEP17	5782.50	5815.50		825.00CR
26JUN17	2 26SEP17	5782.00	5817.00		1,750.00CR
26JUN17	1 26SEP17	5780.00	5817.00		925.00CR
26JUN17	1 26SEP17	5780.50	5817.00		912.50CR
26JUN17	1 26SEP17	5793.50	5817.00		587.50CR
26JUN17	2 26SEP17	5789.50	5816.50		1,350.00CR
26JUN17	1 26SEP17	5803.00	5815.50		312.50CR
29JUN17	1 26SEP17	5940.20	5817.50		3,067.50DR
29JUN17	2 26SEP17	5940.20	5818.50		6,085.00DR
29JUN17	1 26SEP17	5940.20	5822.00		2,955.00DR
29JUN17	1 26SEP17	5940.20	5818.50		3,042.50DR
29JUN17	3 26SEP17	5940.20	5819.00		9,090.00DR
29JUN17	1 26SEP17	5940.20	5820.50		2,992.50DR
29JUN17	1 26SEP17	5940.00	5821.00		2,975.00DR
29JUN17	1 26SEP17	5940.00	5820.50		2,987.50DR
29JUN17	1 26SEP17	5940.00	5820.00		3,000.00DR
29JUN17	2 26SEP17	5940.00	5820.50		5,975.00DR
29JUN17	1 26SEP17	5940.00	5817.50		3,062.50DR
29JUN17	1 26SEP17	5940.00	5818.00		3,050.00DR
29JUN17	3 26SEP17	5940.20	5819.00		9,090.00DR
30JUN17	1 26SEP17	5936.89	5821.00		2,897.25DR
30JUN17	1 26SEP17	5936.89	5828.00		2,722.25DR
30JUN17	1 26SEP17	5936.89	5828.50		2,709.75DR
30JUN17	1 26SEP17	5936.89	5829.00		2,697.25DR
30JUN17	2 26SEP17	5936.89	5829.50		5,369.50DR
30JUN17	1 26SEP17	5936.89	5830.00		2,672.25DR
10JUL17	1 26SEP17	5819.34	5831.00		291.50CR
17JUL17	1 26SEP17	5988.02	5833.00		3,875.50DR
17JUL17	1 26SEP17	5987.82	5833.00		3,870.50DR
17JUL17	1 26SEP17	5987.82	5832.50		3,883.00DR
17JUL17	1 26SEP17	5987.82	5833.50		3,858.00DR
28JUL17	1 26SEP17	6314.60	5809.00		12,640.00DR
28JUL17	1 26SEP17	6314.60	5810.50		12,602.50DR
28JUL17	2 26SEP17	6314.60	5811.00		25,180.00DR
08AUG17	1 26SEP17	6463.45	5812.00		16,286.25DR
08AUG17	2 26SEP17	6463.45	5809.00		32,722.50DR
08AUG17	1 26SEP17	6463.45	5808.50		16,373.75DR
08AUG17	1 26SEP17	6463.45	5809.00		16,361.25DR
08AUG17	1 26SEP17	6463.45	5802.50		16,523.75DR
05SEP17	1 26SEP17	6463.45	6872.85		10,235.00CR
05SEP17	1 26SEP17	6437.50	6872.85		10,883.75CR
LME Lead 25 tonnes. This is an LME registered client contract					
26JUN17	1 26SEP17	2246.50	2217.50		725.00DR
26JUN17	1 26SEP17	2247.00	2218.00		725.00DR
26JUN17	1 26SEP17	2248.00	2222.00		650.00DR
26JUN17	1 26SEP17	2248.00	2219.00		725.00DR
26JUN17	1 26SEP17	2252.00	2220.50		787.50DR
26JUN17	1 26SEP17	2253.00	2218.50		862.50DR
26JUN17	1 26SEP17	2252.00	2217.50		862.50DR
29JUN17	1 26SEP17	2314.70	2217.50		2,430.00DR
29JUN17	1 26SEP17	2314.70	2217.50		2,430.00DR
29JUN17	1 26SEP17	2314.70	2217.50		2,430.00DR
29JUN17	1 26SEP17	2314.70	2217.50		2,430.00DR
29JUN17	1 26SEP17	2314.70	2218.00		2,417.50DR
29JUN17	1 26SEP17	2314.70	2222.50		2,305.00DR
29JUN17	1 26SEP17	2314.70	2249.50		1,630.00DR
29JUN17	1 26SEP17	2314.70	2218.00		2,417.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
29JUN17	1 26SEP17	2314.70	2254.50		1,505.00DR
29JUN17	2 26SEP17	2314.70	2254.00		3,035.00DR
29JUN17	1 26SEP17	2314.70	2250.50		1,605.00DR
29JUN17	1 26SEP17	2314.70	2250.00		1,617.50DR
14JUL17	1 26SEP17	2314.35	2242.00		1,808.75DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	9105.00	9085.00		120.00DR
26JUN17	1 26SEP17	9105.00	9120.00		90.00CR
26JUN17	1 26SEP17	9025.00	9130.00		630.00CR
26JUN17	2 26SEP17	9015.00	9130.00		1,380.00CR
26JUN17	2 26SEP17	9010.00	9130.00		1,440.00CR
26JUN17	1 26SEP17	9015.00	9135.00		720.00CR
26JUN17	1 26SEP17	9015.00	9105.00		540.00CR
26JUN17	1 26SEP17	9030.00	9110.00		480.00CR
26JUN17	1 26SEP17	9035.00	9110.00		450.00CR
29JUN17	1 26SEP17	9290.14	9105.00		1,110.84DR
29JUN17	1 26SEP17	9290.14	9105.00		1,110.84DR
29JUN17	1 26SEP17	9290.14	9100.00		1,140.84DR
29JUN17	1 26SEP17	9290.14	9095.00		1,170.84DR
10JUL17	2 26SEP17	8990.75	9095.00		1,251.00CR
21JUL17	1 26SEP17	9507.46	9085.00		2,534.76DR
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19320.00	19320.00		0.00
26JUN17	1 26SEP17	19270.00	19300.00		150.00CR
26JUN17	1 26SEP17	19300.00	19220.00		400.00DR
30JUN17	1 26SEP17	20000.00	19285.00		3,575.00DR
10JUL17	1 26SEP17	19909.33	19305.00		3,021.65DR
08AUG17	1 26SEP17	20239.84	19315.00		4,624.20DR
08AUG17	1 26SEP17	20240.84	20061.00		899.20DR
08AUG17	1 26SEP17	20239.84	20061.00		894.20DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2693.50	2699.50		150.00CR
26JUN17	1 26SEP17	2692.50	2742.50		1,250.00CR
26JUN17	1 26SEP17	2693.00	2730.00		925.00CR
26JUN17	1 26SEP17	2730.00	2730.00		0.00
26JUN17	2 26SEP17	2718.00	2727.50		475.00CR
26JUN17	1 26SEP17	2712.00	2716.00		100.00CR
26JUN17	1 26SEP17	2711.00	2715.00		100.00CR
26JUN17	1 26SEP17	2706.00	2714.50		212.50CR
05JUL17	1 26SEP17	2782.13	2711.50		1,765.75DR
28JUL17	1 26SEP17	2769.40	2712.50		1,422.50DR
08AUG17	1 26SEP17	2920.69	2711.50		5,229.75DR
	TOTALS				
	BROUGHT FORWARD BALANCE				74,064.09DR
	SETTLEMENTS				
	CMX Silver Futures				1,625.00CR
	LME Tin 5 tonnes. This is an LME Futures				13,264.25DR
	LME Lead 25 tonnes. This is an Futures				33,398.75DR
	LME Copper Grade A 25 tonnes. This Futures				211,682.50DR
	LME Zinc 25 tonnes. This is an LME Futures				5,205.50DR
	CMX Copper Futures				287.50DR
	LME Nickel 6 tonnes. This is an LME Futures				207.12DR
	CMX Gold Futures				5,370.00DR
	LME Aluminium 25 Tonnes. This is an Futures				3,751.50CR
	COMMISSIONS				844.55DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0094X

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				338,947.76DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AB

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	B	9	27DEC17	TTR	EL	2152.00		39.15DR	
26SEP17	B	1	27DEC17	TTR	EL	2152.50		4.35DR	
26SEP17	B	5	27DEC17	TTR	EL	2145.00		21.75DR	
26SEP17	B	2	27DEC17	TTR	EL	2143.50		8.70DR	
26SEP17	B	3	27DEC17	TTR	EL	2128.00		13.05DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	3	27DEC17	TTR	EL		6473.00	13.05DR	
26SEP17	S	4	27DEC17	TTR	EL		6514.50	17.40DR	
26SEP17	B	1	27DEC17	TTR	EL	6442.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	6438.50		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	6417.50		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	6418.50		8.70DR	
26SEP17	B	4	27DEC17	TTR	EL	6416.50		17.40DR	
	CMX Gold 100 CMX								
26SEP17	B	2	DEC17	TTR	GX	1298.6		4.60DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	B	2	27DEC17	TTR	EL	2489.50		8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		2477.00	4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		2484.50	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	2485.00		4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		10580.00	4.35DR	
26SEP17	B	3	27DEC17	TTR	EL	10615.00		13.05DR	
26SEP17	S	2	27DEC17	TTR	EL		10645.00	8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		10665.00	4.35DR	
26SEP17	S	4	27DEC17	TTR	EL		10660.00	17.40DR	
26SEP17	B	2	27DEC17	TTR	EL	10630.00		8.70DR	
26SEP17	S	6	27DEC17	TTR	EL		10555.00	26.10DR	
26SEP17	S	4	27DEC17	TTR	EL		10570.00	17.40DR	
26SEP17	S	2	27DEC17	TTR	EL		10565.00	8.70DR	
26SEP17	S	1	27DEC17	DMA	EL		10660.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10435.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10485.00		8.70DR	
26SEP17	B	4	27DEC17	TTR	EL	10490.00		17.40DR	
26SEP17	B	2	27DEC17	TTR	EL	10465.00		8.70DR	
26SEP17	B	8	27DEC17	TTR	EL	10475.00		34.80DR	
26SEP17	B	2	27DEC17	TTR	EL	10470.00		8.70DR	
26SEP17	B	1	27DEC17	TTR	EL	10450.00		4.35DR	
26SEP17	S	1	27DEC17	TTR	EL		10455.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10445.00		4.35DR	
26SEP17	B	6	27DEC17	TTR	EL	10440.00		26.10DR	
26SEP17	S	1	27DEC17	TTR	EL		10440.00	4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10540.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10525.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10510.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10500.00		8.70DR	
26SEP17	S	1	27DEC17	TTR	EL		10510.00	4.35DR	
26SEP17	B	5	27DEC17	TTR	EL	10480.00		21.75DR	
26SEP17	S	2	27DEC17	TTR	EL		10495.00	8.70DR	
26SEP17	S	3	27DEC17	TTR	EL		10490.00	13.05DR	
26SEP17	S	5	27DEC17	TTR	EL		10485.00	21.75DR	
	LME Tin 5 tonnes. This is an LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	20720.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	20735.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	20730.00		4.35DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AB

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26SEP17	S 1 27DEC17 TTR EL		20705.00	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		20675.00	4.35DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 TTR EL		3125.00	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		3112.50	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		3109.00	4.35DR	
	Commission				552.70DR
<u>TOTALS</u>					
	BROUGHT FORWARD BALANCE				103,174.15CR
	COMMISSIONS				552.70DR
	CARRIED FORWARD BALANCE				102,621.45CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 DMA EL 2150.00			4.85DR	
	CMX Gold 100 CMX				
26SEP17	S 1 DEC17 GX 1311.7			2.23DR	
26SEP17	S 1 DEC17 GX 1309.2			2.23DR	
26SEP17	S 1 DEC17 GX 1304.7			2.23DR	
	Commission				11.54DR
	<u>SETTLEMENTS</u>				
	CMX Gold 100 CMX				
26SEP17	1 DEC17 1317.8	1311.7			610.00DR
26SEP17	1 DEC17 1301.8	1309.2			740.00CR
26SEP17	1 DEC17 1295.5	1304.7			920.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,244.37DR
	SETTLEMENTS				
	CMX Gold Futures				1,050.00CR
	COMMISSIONS				11.54DR
	CARRIED FORWARD BALANCE				5,205.91DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AX

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	2503.00		1.56DR	
	CMX Silver 5,000 CMX				
26SEP17	S 1 DEC17 GX		1725.5	2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		3123.00	1.56DR	
26SEP17	S 1 27DEC17 DMA EL		3136.00	1.56DR	
	Commission				6.98DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1785.0	1725.5		2,975.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				306.08DR
	SETTLEMENTS				
	CMX Silver Futures				2,975.00DR
	COMMISSIONS				6.98DR
	CARRIED FORWARD BALANCE				3,288.06DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP094AY

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	S 1 18DEC17 IFC UD		2123.64	2.70DR	
26SEP17	S 2 20DEC17 IFC UD		2124.25	5.40DR	
26SEP17	B 3 21DEC17 IFC UD	2124.70		8.10DR	
26SEP17	B 1 22DEC17 IFC UD	2124.75		2.70DR	
26SEP17	S 8 27DEC17 TTR EL		2149.50	34.80DR	
26SEP17	S 2 27DEC17 TTR EL		2147.00	8.70DR	
26SEP17	S 7 27DEC17 TTR EL		2156.00	30.45DR	
26SEP17	S 3 27DEC17 TTR EL		2155.50	13.05DR	
26SEP17	S 1 27DEC17 TTR EL		2153.50	4.35DR	
26SEP17	S 2 27DEC17 TTR EL		2134.50	8.70DR	
26SEP17	S 5 27DEC17 TTR EL		2137.00	21.75DR	
26SEP17	S 1 27DEC17 TTR EL		2132.50	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		2133.50	4.35DR	
26SEP17	S 5 27DEC17 TTR EL		2133.00	21.75DR	
26SEP17	S 2 27DEC17 TTR EL		2134.00	8.70DR	
26SEP17	B 2 27DEC17 TTR EL	2132.00		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	2135.50		4.35DR	
26SEP17	S 2 27DEC17 TTR EL		2135.50	8.70DR	
26SEP17	B 4 27DEC17 TTR EL	2137.00		17.40DR	
26SEP17	B 1 27DEC17 TTR EL	2132.50		4.35DR	
26SEP17	B 3 27DEC17 TTR EL	2125.00		13.05DR	
26SEP17	B 2 27DEC17 TTR EL	2126.00		8.70DR	
26SEP17	S 1 27DEC17 IFC UD		2125.80	2.70DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 1 19DEC17 IFC UD		6409.93	2.70DR	
26SEP17	B 1 21DEC17 IFC UD	6409.20		2.70DR	
26SEP17	S 1 27DEC17 TTR EL		6478.50	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6479.00	4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6499.50		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	6506.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6482.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6460.00		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6458.00	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6456.00	4.35DR	
26SEP17	B 3 27DEC17 TTR EL	6437.50		13.05DR	
26SEP17	B 1 27DEC17 TTR EL	6442.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6439.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6439.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6435.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6433.50		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6434.50		8.70DR	
26SEP17	B 3 27DEC17 TTR EL	6427.00		13.05DR	
26SEP17	B 1 27DEC17 TTR EL	6425.00		4.35DR	
26SEP17	B 2 27DEC17 TTR EL	6424.00		8.70DR	
26SEP17	B 1 27DEC17 TTR EL	6422.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6415.00		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	6441.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6417.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	6418.50		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6411.50	4.35DR	
26SEP17	S 2 27DEC17 TTR EL		6412.50	8.70DR	
26SEP17	S 1 27DEC17 TTR EL		6417.00	4.35DR	
26SEP17	S 1 27DEC17 TTR EL		6416.50	4.35DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 TTR GX	291.850		4.70DR	
26SEP17	B 3 DEC17 TTR GX	291.800		7.05DR	
26SEP17	B 2 DEC17 TTR GX	291.350		4.70DR	
	CMX Gold 100 CMX				
26SEP17	B 2 DEC17 TTR GX	1314.3		4.70DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP094AY

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	2	DEC17	TTR	GX	1303.5		4.70DR	
26SEP17	B	2	DEC17	TTR	GX	1303.4		4.70DR	
26SEP17	S	2	DEC17	TTR	GX		1303.4	4.70DR	
26SEP17	B	2	DEC17	TTR	GX	1302.3		4.70DR	
26SEP17	B	5	DEC17	TTR	GX	1298.7		11.75DR	
26SEP17	S	1	DEC17	TTR	GX		1301.1	2.35DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	2490.00		4.35DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	B	4	18DEC17	IFC	UD	10469.17		10.80DR	
26SEP17	S	16	19DEC17	IFC	UD		10470.09	43.20DR	
26SEP17	B	16	20DEC17	IFC	UD	10472.00		43.20DR	
26SEP17	S	4	21DEC17	IFC	UD		10470.75	10.80DR	
26SEP17	S	10	21DEC17	IFC	UD		10471.75	27.00DR	
26SEP17	B	2	27DEC17	TTR	EL	10590.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10645.00		8.70DR	
26SEP17	S	4	27DEC17	TTR	EL		10665.00	17.40DR	
26SEP17	S	3	27DEC17	TTR	EL		10660.00	13.05DR	
26SEP17	S	7	27DEC17	TTR	EL		10655.00	30.45DR	
26SEP17	S	5	27DEC17	TTR	EL		10650.00	21.75DR	
26SEP17	S	3	27DEC17	TTR	EL		10630.00	13.05DR	
26SEP17	S	6	27DEC17	TTR	EL		10620.00	26.10DR	
26SEP17	B	4	27DEC17	TTR	EL	10630.00		17.40DR	
26SEP17	S	3	27DEC17	TTR	EL		10640.00	13.05DR	
26SEP17	S	1	27DEC17	TTR	EL		10555.00	4.35DR	
26SEP17	S	5	27DEC17	TTR	EL		10565.00	21.75DR	
26SEP17	S	5	27DEC17	TTR	EL		10570.00	21.75DR	
26SEP17	S	2	27DEC17	TTR	EL		10575.00	8.70DR	
26SEP17	B	3	27DEC17	TTR	EL	10570.00		13.05DR	
26SEP17	B	1	27DEC17	TTR	EL	10580.00		4.35DR	
26SEP17	S	4	27DEC17	TTR	EL		10615.00	17.40DR	
26SEP17	B	4	27DEC17	TTR	EL	10490.00		17.40DR	
26SEP17	B	4	27DEC17	TTR	EL	10485.00		17.40DR	
26SEP17	B	20	27DEC17	TTR	EL	10475.00		87.00DR	
26SEP17	B	22	27DEC17	TTR	EL	10480.00		95.70DR	
26SEP17	B	8	27DEC17	TTR	EL	10470.00		34.80DR	
26SEP17	B	9	27DEC17	TTR	EL	10465.00		39.15DR	
26SEP17	B	2	27DEC17	TTR	EL	10455.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10445.00		8.70DR	
26SEP17	B	7	27DEC17	TTR	EL	10450.00		30.45DR	
26SEP17	B	1	27DEC17	TTR	EL	10460.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10410.00		4.35DR	
26SEP17	B	1	27DEC17	TTR	EL	10405.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10415.00		8.70DR	
26SEP17	B	2	27DEC17	TTR	EL	10420.00		8.70DR	
26SEP17	B	3	27DEC17	TTR	EL	10435.00		13.05DR	
26SEP17	B	2	27DEC17	TTR	EL	10440.00		8.70DR	
26SEP17	B	5	27DEC17	TTR	EL	10500.00		21.75DR	
26SEP17	B	3	27DEC17	TTR	EL	10540.00		13.05DR	
26SEP17	B	3	27DEC17	TTR	EL	10550.00		13.05DR	
26SEP17	B	1	27DEC17	TTR	EL	10510.00		4.35DR	
26SEP17	B	2	27DEC17	TTR	EL	10505.00		8.70DR	
26SEP17	B	7	27DEC17	TTR	EL	10495.00		30.45DR	
26SEP17	S	1	27DEC17	TTR	EL		10475.00	4.35DR	
26SEP17	S	2	27DEC17	TTR	EL		10495.00	8.70DR	
26SEP17	S	4	27DEC17	TTR	EL		10490.00	17.40DR	
26SEP17	B	10	27DEC17	IFC	UD	10481.00		27.00DR	
26SEP17	S	1	27DEC17	DMA	EL		10480.00	4.35DR	
26SEP17	S	1	27DEC17	DMA	EL		10500.00	4.35DR	
	CMX Silver 5,000 CMX								
26SEP17	S	1	DEC17	TTR	GX		1722.0	2.35DR	
26SEP17	S	2	DEC17	TTR	GX		1724.0	4.70DR	
26SEP17	S	1	DEC17	TTR	GX		1722.5	2.35DR	

Group No: SAPG0094

Page 2 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY. THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP094AY

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B 3 DEC17 TTR GX	1698.0		7.05DR	
26SEP17	B 1 DEC17 TTR GX	1696.0		2.35DR	
26SEP17	S 1 DEC17 TTR GX		1696.5	2.35DR	
26SEP17	B 2 DEC17 TTR GX	1697.0		4.70DR	
26SEP17	B 1 DEC17 TTR GX	1694.5		2.35DR	
26SEP17	B 1 DEC17 TTR GX	1691.0		2.35DR	
26SEP17	B 2 DEC17 TTR GX	1690.5		4.70DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	B 1 21DEC17 IFC UD	20727.33		2.70DR	
26SEP17	B 1 27DEC17 TTR EL	20730.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	20715.00		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		20645.00	4.35DR	
26SEP17	S 1 27DEC17 IFC UD		20709.00	2.70DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 2 19DEC17 IFC UD		3119.00	5.40DR	
26SEP17	B 2 21DEC17 IFC UD	3118.20		5.40DR	
26SEP17	B 1 27DEC17 TTR EL	3124.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	3124.00		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	3127.50		4.35DR	
26SEP17	B 1 27DEC17 TTR EL	3126.00		4.35DR	
26SEP17	S 1 27DEC17 TTR EL		3112.50	4.35DR	
26SEP17	S 2 27DEC17 TTR EL		3111.00	8.70DR	
26SEP17	S 3 27DEC17 TTR EL		3115.00	13.05DR	
26SEP17	S 1 27DEC17 TTR EL		3114.50	4.35DR	
26SEP17	B 1 27DEC17 TTR EL	3108.50		4.35DR	
26SEP17	B 1 27DEC17 DMA EL	3110.50		4.35DR	
	Commission				1,529.90DR
	SETTLEMENTS				
	CMX Copper 25,000 CMX				
26SEP17	2 DEC17	291.850	294.100		1,125.00CR
	CMX Gold 100 CMX				
26SEP17	1 DEC17	1314.3	1303.4		1,090.00DR
26SEP17	1 DEC17	1314.3	1303.4		1,090.00DR
26SEP17	1 DEC17	1303.5	1301.1		240.00DR
26SEP17	1 DEC17	1303.5	1294.9		860.00DR
26SEP17	1 DEC17	1303.4	1297.2		620.00DR
26SEP17	1 DEC17	1303.4	1297.6		580.00DR
26SEP17	2 DEC17	1302.3	1302.2		20.00DR
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1697.0	1722.0		1,250.00CR
26SEP17	2 DEC17	1698.0	1724.0		2,600.00CR
26SEP17	1 DEC17	1698.0	1722.5		1,225.00CR
26SEP17	1 DEC17	1696.0	1696.5		25.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				529.40CR
	SETTLEMENTS				
	CMX Silver Futures				5,100.00CR
	CMX Copper Futures				1,125.00CR
	CMX Gold Futures				4,500.00DR
	COMMISSIONS				1,529.90DR
	CARRIED FORWARD BALANCE				724.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP094AY

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0094

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		6,233,701.58CR
	SETTLEMENTS		
	CMX Copper Futures		250.00CR
	CMX Gold Futures		8,820.00DR
	CMX Silver Futures		2,275.00CR
	ICE Cotton No.2 Futures		1,205.00CR
	LME Aluminium 25 Tonnes. This is an Futures		11,458.00CR
	LME Copper Grade A 25 tonnes. This Futures		211,682.50DR
	LME Lead 25 tonnes. This is an Futures		138,385.00DR
	LME Nickel 6 tonnes. This is an LME Futures		7,949.16CR
	LME Tin 5 tonnes. This is an LME Futures		19,792.95CR
	LME Zinc 25 tonnes. This is an LME Futures		2,178.50CR
	NYM Henry Hub Natural Gas Futures		1,100.00DR
	NYM Light Sweet Crude Oil Futures		210.00CR
	COMMISSIONS		5,143.66DR
	CARRIED FORWARD BALANCE		5,913,889.03CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096C

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 2 28SEP17 IFC UD	2477.75		5.40DR	
26SEP17	S 1 29SEP17 IFC UD		2477.84	2.70DR	
26SEP17	S 1 05OCT17 IFC UD		2479.55	2.70DR	
26SEP17	B 4 10OCT17 IFC UD	2480.71		10.80DR	
26SEP17	S 3 13OCT17 IFC UD		2481.09	8.10DR	
26SEP17	S 1 17OCT17 IFC UD		2481.86	2.70DR	
26SEP17	B 5 16NOV17 IFC UD	2486.08		13.50DR	
26SEP17	S 5 01DEC17 IFC UD		2487.26	13.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 2 28SEP17 IFC UD	3168.00		5.40DR	
26SEP17	B 4 29SEP17 IFC UD	3163.00		10.80DR	
26SEP17	B 6 04OCT17 IFC UD	3154.25		16.20DR	
26SEP17	S 3 05OCT17 IFC UD		3151.13	8.10DR	
26SEP17	S 1 06OCT17 IFC UD		3148.22	2.70DR	
26SEP17	S 3 10OCT17 IFC UD		3145.30	8.10DR	
26SEP17	S 4 19OCT17 IFC UD		3127.30	10.80DR	
26SEP17	S 1 20OCT17 IFC UD		3126.80	2.70DR	
26SEP17	B 5 16NOV17 IFC UD	3118.96		13.50DR	
26SEP17	S 5 01DEC17 IFC UD		3118.38	13.50DR	
26SEP17	S 18 14DEC17 IFC UD		3119.00	48.60DR	
26SEP17	B 4 15DEC17 IFC UD	3119.20		10.80DR	
26SEP17	B 14 18DEC17 IFC UD	3119.20		37.80DR	
26SEP17	B 3 18DEC17 IFC UD	3119.00		8.10DR	
26SEP17	S 3 19DEC17 IFC UD		3118.80	8.10DR	
	Commission				264.60DR
	<u>MATCH OUTS</u>				
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2743.00	2737.50		137.50DR
26JUN17	1 26SEP17	2742.50	2737.50		125.00DR
26JUN17	1 26SEP17	2733.00	2737.50		112.50CR
26JUN17	1 26SEP17	2732.50	2737.50		125.00CR
26JUN17	1 26SEP17	2732.00	2737.50		137.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				5,699.25CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				112.50CR
	COMMISSIONS				264.60DR
	CARRIED FORWARD BALANCE				5,547.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
24JUL17	1 26SEP17	1862.00	1906.37		1,109.25CR
24JUL17	1 26SEP17	1862.00	1906.37		1,109.25CR
24JUL17	1 26SEP17	1861.00	1906.37		1,134.25CR
24JUL17	1 26SEP17	1861.00	1906.37		1,134.25CR
24JUL17	1 26SEP17	1861.50	1906.57		1,126.75CR
24JUL17	1 26SEP17	1861.50	1906.57		1,126.75CR
24JUL17	1 26SEP17	1867.00	1906.57		989.25CR
	LME Lead 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2254.00	2249.50		112.50DR
26JUN17	1 26SEP17	2254.00	2249.50		112.50DR
26JUN17	1 26SEP17	2251.50	2243.50		200.00DR
24JUL17	1 26SEP17	2255.52	2243.50		300.50DR
24JUL17	1 26SEP17	2255.52	2251.50		100.50DR
24JUL17	1 26SEP17	2255.52	2248.50		175.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2711.50	2717.50		150.00CR
26JUN17	1 26SEP17	2711.00	2717.50		162.50CR
24JUL17	2 26SEP17	2784.17	2717.50		3,333.50DR
24JUL17	1 26SEP17	2784.17	2714.50		1,741.75DR
24JUL17	1 26SEP17	2784.17	2714.00		1,754.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,587.13CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				1,001.50DR
	LME Zinc 25 tonnes. This is an LME Futures				6,517.00DR
	LME Aluminium 25 Tonnes. This is an Futures				7,729.75CR
	CARRIED FORWARD BALANCE				8,798.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0096S

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	B	2	130CT17	IFC	UD	2481.29		5.40DR	
26SEP17	B	1	170CT17	IFC	UD	2482.06		2.70DR	
26SEP17	S	3	190CT17	IFC	UD		2482.18	8.10DR	
26SEP17	B	1	200CT17	IFC	UD	2482.52		2.70DR	
26SEP17	B	4	250CT17	IFC	UD	2483.19		10.80DR	
26SEP17	S	5	01DEC17	IFC	UD		2487.26	13.50DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	3	060CT17	IFC	UD	3148.42		8.10DR	
26SEP17	B	6	250CT17	IFC	UD	3125.50		16.20DR	
26SEP17	B	1	260CT17	IFC	UD	3125.00		2.70DR	
26SEP17	B	10	30NOV17	IFC	UD	3118.62		27.00DR	
26SEP17	S	20	01DEC17	IFC	UD		3118.38	54.00DR	
26SEP17	S	3	05DEC17	IFC	UD		3118.50	8.10DR	
26SEP17	S	6	06DEC17	IFC	UD		3118.58	16.20DR	
26SEP17	B	3	20DEC17	IFC	UD	3119.20		8.10DR	
26SEP17	B	6	22DEC17	IFC	UD	3117.20		16.20DR	
26SEP17	S	4	27DEC17	DMA	EL		3147.50	20.00DR	
	Commission								219.80DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								93,670.75CR
	COMMISSIONS								219.80DR
	CARRIED FORWARD BALANCE								93,450.95CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUN17	1 26SEP17	1857.50	1866.00		212.50CR
26JUN17	1 26SEP17	1857.50	1867.00		237.50CR
26JUN17	1 26SEP17	1857.50	1864.00		162.50CR
26JUN17	1 26SEP17	1857.50	1865.00		187.50CR
26JUN17	1 26SEP17	1856.00	1865.00		225.00CR
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26JUN17	1 26SEP17	5794.50	5789.00		137.50DR
26JUN17	1 26SEP17	5795.50	5796.50		25.00CR
26JUN17	1 26SEP17	5794.50	5790.00		112.50DR
26JUN17	1 26SEP17	5794.50	5811.00		412.50CR
26JUN17	1 26SEP17	5794.50	5817.00		562.50CR
03JUL17	1 26SEP17	5926.77	5816.00		2,769.25DR
03JUL17	2 26SEP17	5926.77	5821.00		5,288.50DR
03JUL17	1 26SEP17	5926.77	5819.50		2,681.75DR
03JUL17	1 26SEP17	5926.77	5820.50		2,656.75DR
03JUL17	1 26SEP17	5926.77	5831.50		2,381.75DR
03JUL17	1 26SEP17	5926.77	5832.50		2,356.75DR
03JUL17	1 26SEP17	5926.77	5833.00		2,344.25DR
03JUL17	1 26SEP17	5926.57	5811.00		2,889.25DR
	LME Tin 5 tonnes. This is an LME registered client contract				
03JUL17	1 26SEP17	20197.43	19310.00		4,437.15DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				248,832.50DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				4,437.15DR
	LME Copper Grade A 25 tonnes. This Futures				22,618.25DR
	LME Aluminium 25 Tonnes. This is an Futures				1,025.00CR
	CARRIED FORWARD BALANCE				274,862.90DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26JUN17	1 26SEP17	1857.00	1861.80		120.00CR
26JUN17	2 26SEP17	1857.00	1861.80		240.00CR
26JUN17	2 26SEP17	1855.50	1861.80		315.00CR
26JUN17	2 26SEP17	1862.00	1861.80		10.00DR
04JUL17	3 26SEP17	1927.20	1861.80		4,905.00DR
04JUL17	2 26SEP17	1927.20	1868.00		2,960.00DR
	LME Lead 25 tonnes. This is an LME registered client contract				
04JUL17	1 26SEP17	2299.59	2248.00		1,289.75DR
04JUL17	1 26SEP17	2299.59	2250.00		1,239.75DR
04JUL17	1 26SEP17	2299.59	2248.50		1,277.25DR
04JUL17	1 26SEP17	2299.59	2248.50		1,277.25DR
	LME Nickel 6 tonnes. This is an LME registered client contract				
26JUL17	1 26SEP17	9040.00	10029.43		5,936.58CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2718.00	2709.50		212.50DR
26JUN17	1 26SEP17	2718.00	2714.00		100.00DR
26JUN17	1 26SEP17	2717.50	2706.00		287.50DR
26JUN17	1 26SEP17	2713.50	2706.50		175.00DR
26JUN17	1 26SEP17	2712.00	2716.50		112.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				140,755.28CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,084.00DR
	LME Zinc 25 tonnes. This is an LME Futures				662.50DR
	LME Nickel 6 tonnes. This is an LME Futures				5,936.58CR
	LME Aluminium 25 Tonnes. This is an Futures				7,200.00DR
	CARRIED FORWARD BALANCE				133,745.36CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
27JUL17	LME Aluminium 25 Tonnes. This is an LME registered client contract. 1 26SEP17	1868.00	1931.07		1,576.75CR
27JUL17	LME Zinc 25 tonnes. This is an LME registered client contract 1 26SEP17	2794.69	2711.00		2,092.25DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				9,931.91DR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				2,092.25DR
	LME Aluminium 25 Tonnes. This is an Futures				1,576.75CR
	CARRIED FORWARD BALANCE				10,447.41DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19260.00	19310.00		250.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,242.63DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				250.00CR
	CARRIED FORWARD BALANCE				7,992.63DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 DMA EL	2143.50		5.00DR	
26SEP17	B 2 27DEC17 DMA EL	2144.00		10.00DR	
26SEP17	S 3 27DEC17 DMA EL		2138.00	15.00DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	6501.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		6500.00	5.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	10485.00		5.00DR	
26SEP17	B 1 27DEC17 DMA EL	10490.00		5.00DR	
26SEP17	B 1 27DEC17 DMA EL	10495.00		5.00DR	
26SEP17	S 3 27DEC17 DMA EL		10500.00	15.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		20745.00	5.00DR	
26SEP17	B 1 27DEC17 DMA EL	20715.00		5.00DR	
26SEP17	S 3 27DEC17 DMA EL		20705.00	15.00DR	
26SEP17	B 1 27DEC17 DMA EL	20625.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		20655.00	5.00DR	
26SEP17	B 2 27DEC17 DMA EL	20655.00		10.00DR	
26SEP17	B 1 27DEC17 DMA EL	20660.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 2 27DEC17 DMA EL	3137.50		10.00DR	
26SEP17	B 1 27DEC17 DMA EL	3138.00		5.00DR	
26SEP17	S 5 27DEC17 DMA EL		3134.00	25.00DR	
26SEP17	B 2 27DEC17 DMA EL	3136.50		10.00DR	
26SEP17	S 2 27DEC17 DMA EL		3139.50	10.00DR	
26SEP17	B 2 27DEC17 DMA EL	3150.00		10.00DR	
26SEP17	S 2 27DEC17 DMA EL		3146.00	10.00DR	
26SEP17	S 2 27DEC17 DMA EL		3147.50	10.00DR	
26SEP17	S 1 27DEC17 DMA EL		3148.50	5.00DR	
26SEP17	B 3 27DEC17 DMA EL	3148.50		15.00DR	
26SEP17	S 3 27DEC17 DMA EL		3144.50	15.00DR	
26SEP17	B 5 27DEC17 DMA EL	3140.00		25.00DR	
	Commission				270.00DR
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19315.00	19365.00		250.00CR
26JUN17	1 26SEP17	19360.00	19375.00		75.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				18,671.92DR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				325.00CR
	COMMISSIONS				270.00DR
	CARRIED FORWARD BALANCE				18,616.92DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP096AD

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP096AE 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	6420.50		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		6416.00	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	20750.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		20740.00	5.00DR	
26SEP17	B 1 27DEC17 DMA EL	20665.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		20660.00	5.00DR	
26SEP17	S 1 27DEC17 DMA EL		20705.00	5.00DR	
26SEP17	B 1 27DEC17 DMA EL	20635.00		5.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 2 27DEC17 DMA EL		3133.00	10.00DR	
26SEP17	B 2 27DEC17 DMA EL	3112.00		10.00DR	
	Commission				60.00DR
	<u>MATCH OUTS</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	19355.00	19235.00		600.00DR
26JUN17	1 26SEP17	19360.00	19280.00		400.00DR
26JUN17	1 26SEP17	19280.00	19365.00		425.00CR
26JUN17	1 26SEP17	19235.00	19375.00		700.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,056.15CR
	SETTLEMENTS				
	LME Tin 5 tonnes. This is an LME Futures				125.00CR
	COMMISSIONS				60.00DR
	CARRIED FORWARD BALANCE				2,121.15CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	20660.00		5.00DR	
26SEP17	S 1 27DEC17 DMA EL		20700.00	5.00DR	
	Commission				10.00DR
	<u>MATCH OUTS</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
20JUL17	1 26SEP17	5950.68	5801.50		3,729.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				82.91CR
	SETTLEMENTS				
	LME Copper Grade A 25 tonnes. This Futures				3,729.50DR
	COMMISSIONS				10.00DR
	CARRIED FORWARD BALANCE				3,656.59DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 TTR EL 6440.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6439.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6435.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6427.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 6417.50			5.50DR	
	CMX Gold 100 CMX				
26SEP17	B 2 DEC17 II 1300.4			5.70DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 4 27DEC17 TTR EL 10480.00			22.00DR	
26SEP17	B 6 27DEC17 TTR EL 10475.00			33.00DR	
26SEP17	B 1 27DEC17 TTR EL 10440.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10430.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10410.00			5.50DR	
26SEP17	B 3 27DEC17 TTR EL 10415.00			16.50DR	
26SEP17	B 3 27DEC17 TTR EL 10455.00			16.50DR	
26SEP17	B 2 27DEC17 TTR EL 10445.00			11.00DR	
26SEP17	B 1 27DEC17 TTR EL 10450.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10510.00			5.50DR	
26SEP17	B 1 27DEC17 TTR EL 10545.00			5.50DR	
26SEP17	B 3 27DEC17 TTR EL 10500.00			16.50DR	
26SEP17	B 4 27DEC17 TTR EL 10495.00			22.00DR	
26SEP17	B 3 27DEC17 TTR EL 10505.00			16.50DR	
26SEP17	B 2 27DEC17 TTR EL 10485.00			11.00DR	
26SEP17	B 3 27DEC17 TTR EL 10490.00			16.50DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 II 1691.0			2.85DR	
	Commission				250.55DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				209,504.89DR
	COMMISSIONS				250.55DR
	CARRIED FORWARD BALANCE				209,755.44DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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26th September 2017

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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Silver 5,000 CMX				
26SEP17	S 2 DEC17 II		1726.0	5.70DR	
26SEP17	S 1 DEC17 II		1727.0	2.85DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	3123.00		1.56DR	
	Commission				10.11DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17	1782.0	1726.0		2,800.00DR
26SEP17	1 DEC17	1780.5	1726.0		2,725.00DR
26SEP17	1 DEC17	1782.0	1727.0		2,750.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				64,919.44CR
	SETTLEMENTS				
	CMX Silver Futures				8,275.00DR
	COMMISSIONS				10.11DR
	CARRIED FORWARD BALANCE				56,634.33CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th Sep 2017

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USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,512,054.11CR
	SETTLEMENTS		
	CMX Silver Futures		8,275.00DR
	LME Aluminium 25 Tonnes. This is an Futures		3,131.50CR
	LME Copper Grade A 25 tonnes. This Futures		26,347.75DR
	LME Lead 25 tonnes. This is an Futures		6,085.50DR
	LME Nickel 6 tonnes. This is an LME Futures		5,936.58CR
	LME Tin 5 tonnes. This is an LME Futures		3,737.15DR
	LME Zinc 25 tonnes. This is an LME Futures		9,159.25DR
	COMMISSIONS		1,085.06DR
	CARRIED FORWARD BALANCE		1,466,432.48CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103B

26th September 2017

COB GLOBAL

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backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CMX Copper 25,000 CMX				
26SEP17	B 1 DEC17 GX 295.450			2.30DR	
26SEP17	B 1 DEC17 GX 295.700			2.30DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 GX 1714.5			2.30DR	
	Commission				6.90DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	1 DEC17 295.450	292.150			825.00DR
26SEP17	1 DEC17 295.700	292.250			862.50DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				74,659.25CR
	SETTLEMENTS				
	CMX Copper Futures				1,687.50DR
	COMMISSIONS				6.90DR
	CARRIED FORWARD BALANCE				72,964.85CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103C

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
26SEP17	CMX Copper 25,000 CMX				
	B 1 DEC17 CQG GX 295.350			2.30DR	
26SEP17	CMX Silver 5,000 CMX				
	S 1 DEC17 CQG GX 1726.0			2.30DR	
26SEP17	B 1 DEC17 CQG GX 1713.5			2.30DR	
26SEP17	B 1 DEC17 CQG GX 1692.0			2.30DR	
	Commission				9.20DR
	<u>SETTLEMENTS</u>				
26SEP17	CMX Copper 25,000 CMX				
	1 DEC17 295.350	293.700			412.50DR
26SEP17	CMX Silver 5,000 CMX				
	1 DEC17 1713.5	1726.0			625.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				63,287.90CR
	SETTLEMENTS				
	CMX Silver Futures				625.00CR
	CMX Copper Futures				412.50DR
	COMMISSIONS				9.20DR
	CARRIED FORWARD BALANCE				63,491.20CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103F

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL 2487.50			5.30DR	
26SEP17	B 2 27DEC17 DMA EL 2466.50			10.60DR	
26SEP17	B 1 27DEC17 DMA EL 2469.00			5.30DR	
26SEP17	B 4 27DEC17 DMA EL 2468.50			21.20DR	
26SEP17	B 1 27DEC17 DMA EL 2481.00			5.30DR	
26SEP17	B 1 27DEC17 DMA EL 2482.50			5.30DR	
	CMX Silver 5,000 CMX				
26SEP17	S 2 DEC17 GX 1726.5			4.60DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL 3122.00			5.30DR	
26SEP17	S 1 27DEC17 DMA EL 3143.00			5.30DR	
26SEP17	S 1 27DEC17 DMA EL 3145.00			5.30DR	
26SEP17	B 1 27DEC17 DMA EL 3132.50			5.30DR	
26SEP17	B 1 27DEC17 DMA EL 3119.00			5.30DR	
26SEP17	B 1 27DEC17 DMA EL 3119.50			5.30DR	
	Commission				89.40DR
	<u>SETTLEMENTS</u>				
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17 1783.5		1726.5		2,850.00DR
26SEP17	1 DEC17 1783.0		1726.5		2,825.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				19,975.90CR
	SETTLEMENTS				
	CMX Silver Futures				5,675.00DR
	COMMISSIONS				89.40DR
	CARRIED FORWARD BALANCE				14,211.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0103G 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>MATCH OUTS</u>				
	LME Lead 25 tonnes. This is an LME registered client contract				
27JUN17	1 26SEP17	2287.10	2252.00		877.50DR
27JUN17	1 26SEP17	2287.10	2254.00		827.50DR
27JUN17	1 26SEP17	2287.10	2254.00		827.50DR
27JUN17	1 26SEP17	2287.10	2253.00		852.50DR
27JUN17	1 26SEP17	2287.10	2253.00		852.50DR
27JUN17	1 26SEP17	2287.10	2253.50		840.00DR
27JUN17	1 26SEP17	2287.10	2252.00		877.50DR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2717.00	2715.00		50.00DR
27JUN17	1 26SEP17	2715.50	2746.80		782.50CR
27JUN17	1 26SEP17	2717.00	2746.80		745.00CR
27JUN17	1 26SEP17	2717.50	2746.80		732.50CR
27JUN17	1 26SEP17	2717.00	2746.80		745.00CR
27JUN17	1 26SEP17	2717.00	2746.80		745.00CR
27JUN17	1 26SEP17	2718.00	2746.80		720.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				31,435.50CR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				5,955.00DR
	LME Zinc 25 tonnes. This is an LME Futures				4,420.00CR
	CARRIED FORWARD BALANCE				29,900.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103H

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	B 1 OCT17 CQG GX 2.93400			2.30DR	
26SEP17	B 1 OCT17 CQG GX 2.93500			2.30DR	
26SEP17	S 1 OCT17 CQG GX 2.93900		2.93900	2.30DR	
26SEP17	S 1 OCT17 CQG GX 2.94000		2.94000	2.30DR	
	CMX Silver 5,000 CMX				
26SEP17	B 1 DEC17 CQG GX 1711.5			2.30DR	
26SEP17	S 1 DEC17 CQG GX 1706.0		1706.0	2.30DR	
26SEP17	B 1 DEC17 CQG GX 1702.5			2.30DR	
26SEP17	B 1 DEC17 CQG GX 1695.5			2.30DR	
	Commission				18.40DR
	<u>SETTLEMENTS</u>				
	NYM Henry Hub Natural Gas 10,000 NYM				
26SEP17	1 OCT17 2.93400	2.93400	2.93900		50.00CR
26SEP17	1 OCT17 2.93500	2.93500	2.94000		50.00CR
	CMX Silver 5,000 CMX				
26SEP17	1 DEC17 1711.5	1711.5	1706.0		275.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				96,350.70CR
	SETTLEMENTS				
	CMX Silver Futures				275.00DR
	NYM Henry Hub Natural Gas Futures				100.00CR
	COMMISSIONS				18.40DR
	CARRIED FORWARD BALANCE				96,157.30CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0103I
26th September 2017
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 DMA EL 2125.50			5.30DR	
	CMX Gold 100 CMX				
26SEP17	S 2 DEC17 GX 1315.7			4.60DR	
26SEP17	S 1 DEC17 GX 1315.8			2.30DR	
26SEP17	S 1 DEC17 GX 1314.3			2.30DR	
26SEP17	S 1 DEC17 GX 1314.6			2.30DR	
26SEP17	S 1 DEC17 GX 1313.9			2.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL 3149.00			5.30DR	
	Commission				24.40DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				68,564.66CR
	COMMISSIONS				24.40DR
	CARRIED FORWARD BALANCE				68,540.26CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0103J

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	B 1 27DEC17 DMA EL	2150.00		5.30DR	
26SEP17	S 1 27DEC17 DMA EL		2126.00	5.30DR	
	Commission				10.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				140,771.29CR
	COMMISSIONS				10.60DR
	CARRIED FORWARD BALANCE				140,760.69CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0103

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		976,463.66CR
	SETTLEMENTS		
	CMX Copper Futures		2,100.00DR
	CMX Silver Futures		5,325.00DR
	LME Lead 25 tonnes. This is an Futures		5,955.00DR
	LME Zinc 25 tonnes. This is an LME Futures		4,420.00CR
	NYM Henry Hub Natural Gas Futures		100.00CR
	COMMISSIONS		158.90DR
	CARRIED FORWARD BALANCE		967,444.76CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0104A

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	<u>BUSINESS DONE TODAY</u> CMX Gold 100 CMX S 5 DEC17 Commission GX		1312.1	13.00DR	13.00DR
	<u>TOTALS</u> BROUGHT FORWARD BALANCE 1,817,225.87CR COMMISSIONS 13.00DR CARRIED FORWARD BALANCE 1,817,212.87CR				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0104

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,816,252.00CR
	COMMISSIONS		13.00DR
	CARRIED FORWARD BALANCE		1,816,239.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112A

26th September 2017

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Aluminium 25 Tonnes. This is an LME registered client contract.				
26SEP17	S 1 27DEC17 OBJ EL		2158.00	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract				
26SEP17	S 3 02NOV17 IFC UD		2484.26	8.10DR	
26SEP17	B 3 22DEC17 IFC UD	2488.87		8.10DR	
26SEP17	S 1 27DEC17 OBJ EL		2491.50	4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		2496.00	4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		2498.00	4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		2508.50	4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		2511.50	4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	2497.50		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	2495.50		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	2492.50		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	2490.00		4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		2485.50	4.30DR	
	LME Nickel 6 tonnes. This is an LME registered client contract				
26SEP17	B 9 03OCT17 IFC UD	10399.50		24.30DR	
26SEP17	B 21 02NOV17 IFC UD	10426.93		56.70DR	
26SEP17	S 8 20DEC17 IFC UD		10470.00	21.60DR	
26SEP17	S 21 21DEC17 IFC UD		10470.75	56.70DR	
26SEP17	S 1 22DEC17 IFC UD		10471.50	2.70DR	
26SEP17	B 1 27DEC17 OBJ EL	10640.00		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	10620.00		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	10585.00		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	10580.00		4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		10600.00	4.30DR	
26SEP17	S 2 27DEC17 OBJ EL		10475.00	8.60DR	
26SEP17	S 1 27DEC17 OBJ EL		10470.00	4.30DR	
26SEP17	S 1 27DEC17 DMA EL		10465.00	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 OBJ EL		3121.50	4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	3125.50		4.30DR	
26SEP17	B 1 27DEC17 OBJ EL	3116.00		4.30DR	
26SEP17	S 1 27DEC17 OBJ EL		3117.50	4.30DR	
	Commission				281.40DR
	<u>MATCH OUTS</u>				
	LME Nickel 6 tonnes. This is an LME registered client contract				
29JUN17	1 26SEP17	9115.00	9288.14		1,038.84CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
26JUN17	1 26SEP17	2721.50	2728.50		175.00CR
26JUN17	1 26SEP17	2714.50	2728.00		337.50CR
29JUN17	1 26SEP17	2755.70	2728.50		680.00DR
29JUN17	1 26SEP17	2755.70	2728.00		692.50DR
29JUN17	1 26SEP17	2755.70	2728.00		692.50DR
29JUN17	1 26SEP17	2755.70	2728.00		692.50DR
29JUN17	1 26SEP17	2755.70	2728.00		692.50DR
29JUN17	1 26SEP17	2755.70	2728.50		680.00DR
29JUN17	1 26SEP17	2755.70	2728.50		680.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0112A
26th September 2017
COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				645,690.57CR
	SETTLEMENTS				
	LME Zinc 25 tonnes. This is an LME Futures				4,297.50DR
	LME Nickel 6 tonnes. This is an LME Futures				1,038.84CR
	COMMISSIONS				281.40DR
	CARRIED FORWARD BALANCE				642,150.51CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	B	2	27DEC17	OBJ	EL	2156.00		8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	2157.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2147.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2146.00		4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2140.00	8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	2129.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2127.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2133.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2125.00	4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	S	2	27DEC17	OBJ	EL		2496.00	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2489.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2494.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2498.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2498.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2492.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2508.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2504.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2504.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2495.00		4.30DR	
26SEP17	B	3	27DEC17	OBJ	EL	2503.00		12.90DR	
26SEP17	B	1	27DEC17	OBJ	EL	2499.00		4.30DR	
26SEP17	B	2	27DEC17	OBJ	EL	2497.50		8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	2496.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2495.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2494.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2491.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2491.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2486.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2502.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2503.50	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2504.00	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2505.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2506.00	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2495.50	8.60DR	
26SEP17	S	2	27DEC17	OBJ	EL		2496.50	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2497.50	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2494.00	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2487.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2487.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2491.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2484.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2470.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2472.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2469.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2464.50		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2485.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2489.50		4.30DR	
26SEP17	B	2	27DEC17	OBJ	EL	2487.50		8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	2486.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2483.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2485.00		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	2483.00		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	2483.50		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	2484.00		4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	OBJ	EL		3126.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3127.50	4.30DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112C

26th September 2017

COB GLOBAL

Sapien Capital Limited
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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	1	27DEC17	OBJ	EL		3127.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3134.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3132.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3136.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3133.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3131.00		4.30DR	
26SEP17	B	2	27DEC17	OBJ	EL	3132.00		8.60DR	
26SEP17	S	2	27DEC17	OBJ	EL		3143.50	8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	3112.50		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3115.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3110.00	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3129.50		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	3113.50		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	3113.00		4.30DR	
	Commission								356.90DR
	MATCH OUTS								
	LME Lead 25 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			2245.50	2250.50		125.00CR
26JUN17		1	26SEP17			2244.50	2255.50		275.00CR
26JUN17		1	26SEP17			2240.50	2255.50		375.00CR
26JUN17		1	26SEP17			2241.50	2252.50		275.00CR
26JUN17		1	26SEP17			2242.00	2249.00		175.00CR
26JUN17		1	26SEP17			2252.00	2251.00		25.00DR
26JUN17		1	26SEP17			2256.00	2252.50		87.50DR
26JUN17		1	26SEP17			2255.50	2242.50		325.00DR
26JUN17		1	26SEP17			2251.00	2242.00		225.00DR
26JUN17		1	26SEP17			2251.50	2243.00		212.50DR
26JUN17		1	26SEP17			2251.00	2250.50		12.50DR
28JUN17		1	26SEP17			2255.50	2297.55		1,051.25CR
	LME Nickel 6 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			9125.00	9135.00		60.00CR
	LME Zinc 25 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			2723.00	2732.00		225.00CR
26JUN17		1	26SEP17			2727.00	2723.00		100.00DR
26JUN17		1	26SEP17			2708.00	2722.50		362.50CR
26JUN17		2	26SEP17			2708.00	2715.00		350.00CR
26JUN17		2	26SEP17			2705.50	2713.00		375.00CR
28JUN17		1	26SEP17			2734.00	2714.00		500.00DR
28JUN17		2	26SEP17			2734.00	2715.50		925.00DR
28JUN17		1	26SEP17			2734.00	2704.00		750.00DR
28JUN17		1	26SEP17			2734.00	2704.00		750.00DR
28JUN17		1	26SEP17			2734.00	2711.00		575.00DR
28JUN17		1	26SEP17			2734.00	2710.50		587.50DR
	TOTALS								
	BROUGHT FORWARD BALANCE								1,014,561.48DR
	SETTLEMENTS								
	LME Lead 25 tonnes. This is an Futures								1,388.75CR
	LME Zinc 25 tonnes. This is an LME Futures								2,875.00DR
	LME Nickel 6 tonnes. This is an LME Futures								60.00CR
	COMMISSIONS								356.90DR
	CARRIED FORWARD BALANCE								1,016,344.63DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .
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SAP0112C

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	B	1	27DEC17	OBJ	EL	2156.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2155.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2146.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2139.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2138.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2128.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2132.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2131.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2125.00		4.30DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	OBJ	EL	6425.00		4.30DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	S	7	21DEC17	IFC	UD		2489.33	18.90DR	
26SEP17	B	7	22DEC17	IFC	UD	2488.87		18.90DR	
26SEP17	S	1	27DEC17	OBJ	EL		2496.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2498.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2489.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2494.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2497.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2495.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		2495.50	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2502.50	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2508.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2503.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2500.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2497.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	2495.00		4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2499.50	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		2500.00	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		2501.50	8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	2486.50		4.30DR	
26SEP17	S	3	27DEC17	OBJ	EL		2484.00	12.90DR	
26SEP17	S	1	27DEC17	OBJ	EL		2481.50	4.30DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	2	19DEC17	IFC	UD	3119.00		5.40DR	
26SEP17	S	2	21DEC17	IFC	UD		3117.80	5.40DR	
26SEP17	S	4	21DEC17	IFC	UD		3118.00	10.80DR	
26SEP17	B	4	22DEC17	IFC	UD	3117.20		10.80DR	
26SEP17	S	5	27DEC17	OBJ	EL		3122.00	21.50DR	
26SEP17	S	2	27DEC17	OBJ	EL		3122.50	8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		3124.00	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3116.00		4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		3126.00	8.60DR	
26SEP17	B	2	27DEC17	OBJ	EL	3127.50		8.60DR	
26SEP17	S	1	27DEC17	OBJ	EL		3135.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3137.50	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3139.50		4.30DR	
26SEP17	B	2	27DEC17	OBJ	EL	3134.50		8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	3129.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3150.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3132.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3143.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3141.50		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3113.50	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3114.00	4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3106.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3111.00	4.30DR	

Group No: SAPG0112

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

26th September 2017

COB GLOBAL

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+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	1	27DEC17	OBJ	EL	3114.00		4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3114.50	4.30DR	
26SEP17	S	2	27DEC17	OBJ	EL		3115.50	8.60DR	
26SEP17	S	5	27DEC17	OBJ	EL		3115.00	21.50DR	
26SEP17	S	1	27DEC17	OBJ	EL		3110.00	4.30DR	
26SEP17	S	1	27DEC17	OBJ	EL		3108.50	4.30DR	
26SEP17	S	3	27DEC17	OBJ	EL		3125.50	12.90DR	
26SEP17	B	1	27DEC17	OBJ	EL	3108.00		4.30DR	
26SEP17	B	2	27DEC17	OBJ	EL	3112.00		8.60DR	
26SEP17	B	1	27DEC17	OBJ	EL	3110.00		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3112.50		4.30DR	
26SEP17	B	1	27DEC17	OBJ	EL	3114.50		4.30DR	
26SEP17	B	4	27DEC17	OBJ	EL	3113.50		17.20DR	
26SEP17	B	2	27DEC17	DMA	EL	3113.50		8.60DR	
26SEP17	B	1	27DEC17	DMA	EL	3113.00		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	3109.50		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	3110.00		4.30DR	
26SEP17	B	1	27DEC17	DMA	EL	3108.00		4.30DR	
	Commission								461.50DR
	MATCH OUTS								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26JUN17		1	26SEP17			1872.00	1863.00		225.00DR
26JUN17		1	26SEP17			1861.00	1863.00		50.00CR
26JUN17		1	26SEP17			1859.50	1864.50		125.00CR
26JUN17		1	26SEP17			1859.50	1865.50		150.00CR
26JUN17		1	26SEP17			1859.50	1867.00		187.50CR
26JUN17		1	26SEP17			1859.50	1867.00		187.50CR
26JUN17		1	26SEP17			1859.50	1868.00		212.50CR
26JUN17		1	26SEP17			1859.50	1868.00		212.50CR
26JUN17		1	26SEP17			1859.50	1868.00		212.50CR
26JUN17		1	26SEP17			1859.50	1868.00		212.50CR
28JUN17		1	26SEP17			1896.12	1868.00		703.00DR
28JUN17		2	26SEP17			1896.12	1869.00		1,356.00DR
11JUL17		7	26SEP17			1896.12	1898.08		343.00CR
12JUL17		1	26SEP17			1896.12	1886.83		232.25DR
	LME Lead 25 tonnes. This is an LME registered client contract								
26JUN17		1	26SEP17			2250.00	2255.00		125.00CR
26JUN17		1	26SEP17			2250.50	2253.00		62.50CR
26JUN17		1	26SEP17			2249.50	2253.50		100.00CR
26JUN17		1	26SEP17			2249.50	2251.00		37.50CR
26JUN17		1	26SEP17			2253.50	2251.00		62.50DR
26JUN17		1	26SEP17			2253.00	2251.00		50.00DR
28JUN17		1	26SEP17			2250.00	2297.55		1,188.75CR
28JUN17		1	26SEP17			2246.50	2297.55		1,276.25CR
28JUN17		1	26SEP17			2244.00	2297.55		1,338.75CR
28JUN17		1	26SEP17			2243.50	2297.55		1,351.25CR
28JUN17		1	26SEP17			2237.00	2297.55		1,513.75CR
28JUN17		1	26SEP17			2253.50	2297.55		1,101.25CR
28JUN17		1	26SEP17			2253.50	2297.55		1,101.25CR
28JUN17		1	26SEP17			2253.00	2297.55		1,113.75CR
28JUN17		1	26SEP17			2253.00	2297.55		1,113.75CR
28JUN17		1	26SEP17			2253.50	2297.55		1,101.25CR
28JUN17		1	26SEP17			2253.00	2297.55		1,113.75CR
28JUN17		1	26SEP17			2251.50	2297.75		1,156.25CR
28JUN17		1	26SEP17			2250.50	2297.75		1,181.25CR
28JUN17		1	26SEP17			2251.50	2297.75		1,156.25CR
28JUN17		1	26SEP17			2251.50	2297.75		1,156.25CR
28JUN17		1	26SEP17			2251.50	2297.75		1,156.25CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0112D

26th September 2017

COB GLOBAL

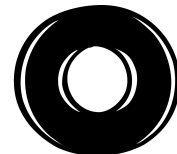
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
28JUN17	1 26SEP17	2252.50	2297.75		1,131.25CR
28JUN17	1 26SEP17	2252.00	2297.75		1,143.75CR
	LME Zinc 25 tonnes. This is an LME registered client contract				
28JUN17	1 26SEP17	2734.20	2728.00		155.00DR
28JUN17	1 26SEP17	2734.00	2728.00		150.00DR
28JUN17	1 26SEP17	2734.00	2719.00		375.00DR
28JUN17	1 26SEP17	2734.00	2706.00		700.00DR
28JUN17	3 26SEP17	2734.00	2705.50		2,137.50DR
28JUN17	1 26SEP17	2734.00	2704.00		750.00DR
28JUN17	1 26SEP17	2734.00	2705.50		712.50DR
28JUN17	1 26SEP17	2734.00	2712.50		537.50DR
28JUN17	1 26SEP17	2734.00	2710.50		587.50DR
28JUN17	1 26SEP17	2734.00	2710.50		587.50DR
	TOTALS				
	BROUGHT FORWARD BALANCE				121,507.08DR
	SETTLEMENTS				
	LME Lead 25 tonnes. This is an Futures				21,607.50CR
	LME Zinc 25 tonnes. This is an LME Futures				6,692.50DR
	LME Aluminium 25 Tonnes. This is an Futures				623.25DR
	COMMISSIONS				461.50DR
	CARRIED FORWARD BALANCE				107,676.83DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0112

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		342,526.09CR
	SETTLEMENTS		
	LME Aluminium 25 Tonnes. This is an Futures		623.25DR
	LME Lead 25 tonnes. This is an Futures		22,996.25CR
	LME Nickel 6 tonnes. This is an LME Futures		1,098.84CR
	LME Zinc 25 tonnes. This is an LME Futures		13,865.00DR
	COMMISSIONS		1,099.80DR
	CARRIED FORWARD BALANCE		351,033.13CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC
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SAP0113A

26th September 2017

COB GLOBAL

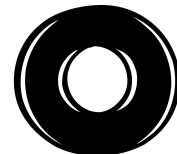
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>OPTIONS ABANDONED</u>				
	CMX Gold 100 CMX				
15AUG17	S 5 OCT17 1255.0 Put GX		9.2		
29AUG17	S 4 OCT17 1295.0 Put GX		7.2		
12SEP17	S 5 OCT17 1340.0 Call DMA GX		6.5		
	<u>EXERCISED/ASSIGNED OPTIONS</u>				
	CMX Gold 100 CMX				
26SEP17	B 5 OCT17 EX 1310.0	1310.0		11.50DR	
26SEP17	B 1 OCT17 EX 1295.0	1295.0		2.30DR	
29AUG17	S 1 OCT17 1295.0 Put GX		7.2		
05SEP17	S 5 OCT17 1310.0 Put GX		6.7		
	Commission				13.80DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				41,245.48CR
	COMMISSIONS				13.80DR
	CARRIED FORWARD BALANCE				41,231.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0113

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		41,245.48CR
	COMMISSIONS		13.80DR
	CARRIED FORWARD BALANCE		41,231.68CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118C

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		20735.00	5.80DR	
26SEP17	B 1 27DEC17 DMA EL	20750.00		5.80DR	
	Commission				11.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				915.20DR
	COMMISSIONS				11.60DR
	CARRIED FORWARD BALANCE				926.80DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0118H

26th September 2017

COB GLOBAL

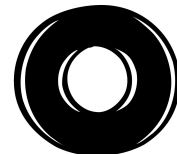
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	20750.00		5.80DR	
26SEP17	S 1 27DEC17 DMA EL		20740.00	5.80DR	
	Commission				11.60DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,475.12DR
	COMMISSIONS				11.60DR
	CARRIED FORWARD BALANCE				1,486.72DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

SAPG0118

26th Sep 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343

Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		4,703.22DR
	COMMISSIONS		23.20DR
	CARRIED FORWARD BALANCE		4,726.42DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

26th September 2017

COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Aluminium 25 Tonnes. This is an LME registered client contract.								
26SEP17	S	1	27DEC17	TTR	EL		2155.00	5.00DR	
26SEP17	S	3	27DEC17	TTR	EL		2153.50	15.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2154.00	10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	2146.50		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2128.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2129.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2131.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	2134.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	2132.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	2127.50		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	2127.00		10.00DR	
26SEP17	B	6	27DEC17	TTR	EL	2125.00		30.00DR	
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17	B	3	NOV17	TTR	II	52.31		7.50DR	
26SEP17	B	1	NOV17	TTR	II	52.16		2.50DR	
26SEP17	B	1	NOV17	TTR	II	52.15		2.50DR	
26SEP17	B	1	NOV17	TTR	II	52.11		2.50DR	
26SEP17	S	3	NOV17	TTR	II		51.76	7.50DR	
26SEP17	S	6	NOV17	TTR	II		51.73	15.00DR	
26SEP17	S	2	NOV17	TTR	II		51.74	5.00DR	
26SEP17	S	1	NOV17	TTR	II		51.72	2.50DR	
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	6499.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6500.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6499.50		10.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6500.50		10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		6524.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6526.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6519.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6518.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6518.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6514.50	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		6522.00	10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6519.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6517.00		10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6514.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6507.50		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6505.00		10.00DR	
26SEP17	S	4	27DEC17	TTR	EL		6517.00	20.00DR	
26SEP17	S	2	27DEC17	TTR	EL		6512.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		6510.00	5.00DR	
26SEP17	B	6	27DEC17	TTR	EL	6508.00		30.00DR	
26SEP17	B	4	27DEC17	TTR	EL	6507.00		20.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6457.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6457.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6455.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6454.50		10.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6455.50		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6451.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6446.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6443.50		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6453.00		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6451.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6452.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6435.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6434.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6434.50		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6441.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6442.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6441.00		5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	1	27DEC17	TTR	EL	6440.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6439.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6435.50		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6432.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6430.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	6426.00		10.00DR	
26SEP17	B	1	27DEC17	TTR	EL	6424.00		5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6434.50		5.00DR	
	LME Lead 25 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		2475.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2474.50	5.00DR	
26SEP17	S	4	27DEC17	TTR	EL		2471.00	20.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2470.50	10.00DR	
26SEP17	B	3	27DEC17	TTR	EL	2466.00		15.00DR	
26SEP17	B	1	27DEC17	TTR	EL	2465.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2484.00	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		2483.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		2479.50	5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	2484.50		10.00DR	
26SEP17	B	2	27DEC17	TTR	EL	2484.00		10.00DR	
	LME Nickel 6 tonnes. This is an LME registered client contract								
26SEP17	S	1	27DEC17	TTR	EL		10620.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10615.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10630.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10570.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10565.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10460.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10475.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10470.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10450.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10405.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10505.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10540.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10545.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		10530.00	5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10525.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10515.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	10495.00		5.00DR	
	CMX Silver 5,000 CMX								
26SEP17	S	9	DEC17	TTR	II		1726.5	22.50DR	
26SEP17	S	2	DEC17	TTR	II		1726.0	5.00DR	
26SEP17	S	4	DEC17	TTR	II		1723.5	10.00DR	
26SEP17	B	1	DEC17	TTR	II	1722.0		2.50DR	
26SEP17	B	2	DEC17	TTR	II	1719.0		5.00DR	
26SEP17	B	1	DEC17	TTR	II	1720.0		2.50DR	
26SEP17	B	4	DEC17	TTR	II	1719.5		10.00DR	
26SEP17	B	2	DEC17	TTR	II	1718.5		5.00DR	
26SEP17	B	1	DEC17	TTR	II	1714.0		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1715.0		2.50DR	
26SEP17	B	3	DEC17	TTR	II	1713.5		7.50DR	
26SEP17	B	1	DEC17	TTR	II	1705.0		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1701.5		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1700.0		2.50DR	
26SEP17	B	2	DEC17	TTR	II	1701.0		5.00DR	
26SEP17	B	11	DEC17	TTR	II	1700.5		27.50DR	
26SEP17	B	1	DEC17	TTR	II	1699.0		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1697.0		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1696.5		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1696.0		2.50DR	
26SEP17	B	3	DEC17	TTR	II	1695.5		7.50DR	
26SEP17	B	1	DEC17	TTR	II	1694.0		2.50DR	
26SEP17	B	1	DEC17	TTR	II	1693.0		2.50DR	
26SEP17	B	2	DEC17	TTR	II	1693.5		5.00DR	

Group No: SAPG0119

Page 2 of 4

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	1	DEC17	TTR	II	1698.0		2.50DR	
26SEP17	B	3	DEC17	TTR	II	1698.5		7.50DR	
26SEP17	B	2	DEC17	TTR	II	1695.0		5.00DR	
26SEP17	B	1	DEC17	TTR	II	1692.0		2.50DR	
26SEP17	B	2	DEC17	TTR	II	1682.0		5.00DR	
26SEP17	S	2	DEC17	TTR	II		1693.0	5.00DR	
26SEP17	S	6	DEC17	TTR	II		1693.5	15.00DR	
	LME Zinc 25 tonnes. This is an LME registered client contract								
26SEP17	B	1	27DEC17	TTR	EL	3135.00		5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3113.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3110.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3110.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3108.00	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3108.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3106.50	5.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3115.00	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3114.00	10.00DR	
26SEP17	S	4	27DEC17	TTR	EL		3114.50	20.00DR	
26SEP17	S	4	27DEC17	TTR	EL		3116.00	20.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3118.50	5.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3113.50	10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3117.50	10.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3124.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3126.00	5.00DR	
26SEP17	S	3	27DEC17	TTR	EL		3125.50	15.00DR	
26SEP17	S	2	27DEC17	TTR	EL		3130.50	10.00DR	
26SEP17	S	1	27DEC17	TTR	EL		3129.00	5.00DR	
26SEP17	S	5	27DEC17	TTR	EL		3128.50	25.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3120.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3116.50		5.00DR	
26SEP17	B	5	27DEC17	TTR	EL	3117.00		25.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3115.00		5.00DR	
26SEP17	B	7	27DEC17	TTR	EL	3113.50		35.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3114.00		5.00DR	
26SEP17	B	1	27DEC17	TTR	EL	3109.00		5.00DR	
26SEP17	B	2	27DEC17	TTR	EL	3110.00		10.00DR	
26SEP17	B	4	27DEC17	TTR	EL	3111.50		20.00DR	
26SEP17	B	3	27DEC17	TTR	EL	3114.50		15.00DR	
26SEP17	B	2	27DEC17	DMA	EL	3116.50		10.00DR	
26SEP17	B	1	27DEC17	DMA	EL	3109.00		5.00DR	
26SEP17	B	2	27DEC17	DMA	EL	3111.50		10.00DR	
26SEP17	B	1	27DEC17	DMA	EL	3111.00		5.00DR	
	Commission								1,210.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17		3	NOV17			52.31	51.73		1,740.00DR
26SEP17		1	NOV17			52.16	51.76		400.00DR
26SEP17		1	NOV17			52.15	51.76		390.00DR
26SEP17		1	NOV17			52.11	51.73		380.00DR
26SEP17		1	NOV17			50.54	51.73		1,190.00CR
26SEP17		1	NOV17			50.55	51.76		1,210.00CR
26SEP17		1	NOV17			50.57	51.73		1,160.00CR
26SEP17		1	NOV17			50.58	51.74		1,160.00CR
26SEP17		1	NOV17			50.49	51.74		1,250.00CR
26SEP17		1	NOV17			50.75	51.72		970.00CR
	CMX Silver 5,000 CMX								
26SEP17		1	DEC17			1722.0	1726.5		225.00CR
26SEP17		2	DEC17			1719.0	1726.5		750.00CR
26SEP17		1	DEC17			1720.0	1726.5		325.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119B

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	1719.5	1726.5		350.00CR
26SEP17	1 DEC17	1719.5	1726.5		350.00CR
26SEP17	1 DEC17	1719.5	1726.5		350.00CR
26SEP17	1 DEC17	1719.5	1726.5		350.00CR
26SEP17	1 DEC17	1718.5	1726.5		400.00CR
26SEP17	1 DEC17	1718.5	1726.0		375.00CR
26SEP17	1 DEC17	1714.0	1726.0		600.00CR
26SEP17	1 DEC17	1715.0	1723.5		425.00CR
26SEP17	3 DEC17	1713.5	1723.5		1,500.00CR
26SEP17	1 DEC17	1705.0	1693.0		600.00DR
26SEP17	1 DEC17	1701.5	1693.0		425.00DR
26SEP17	2 DEC17	1700.5	1693.5		700.00DR
26SEP17	1 DEC17	1700.5	1693.5		350.00DR
26SEP17	1 DEC17	1700.0	1693.5		325.00DR
26SEP17	2 DEC17	1701.0	1693.5		750.00DR
TOTALS					
BROUGHT FORWARD BALANCE					105,493.71CR
SETTLEMENTS					
CMX Silver Futures					2,850.00CR
NYM Light Sweet Crude Oil Futures					4,030.00CR
COMMISSIONS					1,210.00DR
CARRIED FORWARD BALANCE					111,163.71CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
26SEP17	B 1 DEC17 CQG II 113.4250			2.50DR	
26SEP17	S 1 DEC17 CQG II 114.0250		114.0250	2.50DR	
26SEP17	S 2 FEB18 CQG II 117.3000		117.3000	5.00DR	
26SEP17	B 1 FEB18 CQG II 117.5000	117.5000		2.50DR	
26SEP17	B 1 FEB18 CQG II 117.4750	117.4750		2.50DR	
26SEP17	B 1 APR18 CQG II 119.1750	119.1750		2.50DR	
26SEP17	S 1 APR18 CQG II 119.5250		119.5250	2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	S 1 NOV17 CQG II 151.5500		151.5500	2.50DR	
26SEP17	B 1 NOV17 CQG II 152.8750	152.8750		2.50DR	
26SEP17	B 2 JAN18 CQG II 148.5000	148.5000		5.00DR	
26SEP17	S 1 JAN18 CQG II 150.0000		150.0000	2.50DR	
26SEP17	S 1 JAN18 CQG II 149.9250		149.9250	2.50DR	
26SEP17	S 1 MAR18 CQG II 145.8000		145.8000	2.50DR	
26SEP17	B 1 MAR18 CQG II 147.1000	147.1000		2.50DR	
	IEU Gas Oil 100 IPE				
26SEP17	B 2 JAN18 CQG EL 529.750	529.750		5.00DR	
26SEP17	B 2 JAN18 CQG EL 529.000	529.000		5.00DR	
26SEP17	S 4 JAN18 CQG EL 532.250		532.250	10.00DR	
26SEP17	B 2 FEB18 CQG EL 528.750	528.750		5.00DR	
26SEP17	B 4 FEB18 CQG EL 525.000	525.000		10.00DR	
26SEP17	S 2 FEB18 CQG EL 527.250		527.250	5.00DR	
26SEP17	S 2 FEB18 CQG EL 526.500		526.500	5.00DR	
26SEP17	B 4 FEB18 CQG EL 529.500	529.500		10.00DR	
26SEP17	S 2 MAR18 CQG EL 525.750		525.750	5.00DR	
26SEP17	S 4 MAR18 CQG EL 524.250		524.250	10.00DR	
26SEP17	S 4 MAR18 CQG EL 522.000		522.000	10.00DR	
26SEP17	B 4 APR18 CQG EL 521.250	521.250		10.00DR	
26SEP17	S 8 JUN18 CQG EL 519.500		519.500	20.00DR	
26SEP17	S 4 JUN18 CQG EL 514.500		514.500	10.00DR	
26SEP17	B 8 JUL18 CQG EL 518.750	518.750		20.00DR	
26SEP17	B 4 JUL18 CQG EL 514.000	514.000		10.00DR	
26SEP17	B 4 JUL18 CQG EL 513.750	513.750		10.00DR	
26SEP17	S 4 AUG18 CQG EL 513.500		513.500	10.00DR	
	CME Lean Hog 400 CME				
26SEP17	B 1 DEC17 CQG II 57.8750	57.8750		2.50DR	
26SEP17	S 1 DEC17 CQG II 57.8250		57.8250	2.50DR	
26SEP17	S 1 FEB18 CQG II 63.1250		63.1250	2.50DR	
26SEP17	S 1 FEB18 CQG II 63.1750		63.1750	2.50DR	
26SEP17	B 2 FEB18 CQG II 62.9000	62.9000		5.00DR	
26SEP17	B 1 APR18 CQG II 68.9750	68.9750		2.50DR	
26SEP17	S 1 APR18 CQG II 68.7250		68.7250	2.50DR	
26SEP17	S 1 AUG18 CQG II 77.6500		77.6500	2.50DR	
26SEP17	B 1 OCT18 CQG II 65.0000	65.0000		2.50DR	
	Commission				235.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
26SEP17	1 DEC17 113.4250	113.4250	114.0250		240.00CR
26SEP17	1 FEB18 117.5000	117.5000	117.3000		80.00DR
26SEP17	1 FEB18 117.4750	117.4750	117.3000		70.00DR
26SEP17	1 APR18 119.1750	119.1750	119.5250		140.00CR
	CME Feeder Cattle 500 CME				
26SEP17	1 NOV17 152.8750	152.8750	151.5500		662.50DR
26SEP17	1 JAN18 148.5000	148.5000	150.0000		750.00CR
26SEP17	1 JAN18 148.5000	148.5000	149.9250		712.50CR
26SEP17	1 MAR18 147.1000	147.1000	145.8000		650.00DR
	IEU Gas Oil 100 IPE				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119C

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	2 JAN18	529.750	532.250		500.00CR
26SEP17	2 JAN18	529.000	532.250		650.00CR
26SEP17	2 FEB18	528.750	527.250		300.00DR
26SEP17	2 FEB18	525.000	526.500		300.00CR
26SEP17	2 FEB18	525.000	518.250		1,350.00DR
26SEP17	4 FEB18	529.500	517.250		4,900.00DR
26SEP17	2 MAR18	525.750	525.750		0.00
26SEP17	1 JUN18	515.000	519.500		450.00CR
26SEP17	2 JUN18	515.000	519.500		900.00CR
26SEP17	1 JUN18	515.000	519.500		450.00CR
26SEP17	4 JUN18	515.000	519.500		1,800.00CR
26SEP17	4 JUN18	518.250	514.500		1,500.00DR
26SEP17	2 JUL18	518.750	514.500		850.00DR
26SEP17	2 JUL18	518.750	514.500		850.00DR
26SEP17	1 JUL18	518.750	514.750		400.00DR
26SEP17	3 JUL18	518.750	514.750		1,200.00DR
26SEP17	4 JUL18	514.000	514.750		300.00CR
26SEP17	2 JUL18	513.750	517.500		750.00CR
26SEP17	1 JUL18	513.750	517.500		375.00CR
26SEP17	1 JUL18	513.750	517.500		375.00CR
26SEP17	4 AUG18	514.500	513.500		400.00DR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17	57.8750	57.8250		20.00DR
26SEP17	1 FEB18	62.9000	63.1250		90.00CR
26SEP17	1 FEB18	62.9000	63.1750		110.00CR
26SEP17	1 APR18	68.9750	68.7250		100.00DR
TOTALS					
	BROUGHT FORWARD BALANCE				9,910.00CR
	SETTLEMENTS				
	CME Feeder Cattle Futures				150.00CR
	CME Cattle Futures				230.00CR
	IEU Gas Oil Futures				4,900.00DR
	CME Lean Hog Futures				80.00CR
	COMMISSIONS				235.00DR
	CARRIED FORWARD BALANCE				5,235.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description				Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY							
	CME Cattle 400 CME							
26SEP17	S	1	DEC17	CQG	II	113.2500	2.50DR	
26SEP17	B	1	FEB18	CQG	II	116.7250	2.50DR	
26SEP17	S	1	APR18	CQG	II	118.7250	2.50DR	
26SEP17	S	1	APR18	CQG	II	118.9500	2.50DR	
26SEP17	B	1	APR18	CQG	II	119.2500	2.50DR	
26SEP17	B	1	JUN18	CQG	II	112.1250	2.50DR	
26SEP17	B	1	JUN18	CQG	II	111.7250	2.50DR	
26SEP17	B	1	JUN18	CQG	II	111.7750	2.50DR	
26SEP17	B	1	JUN18	CQG	II	111.8500	2.50DR	
26SEP17	S	1	JUN18	CQG	II	112.2500	2.50DR	
26SEP17	B	1	JUN18	CQG	II	111.6750	2.50DR	
26SEP17	S	1	AUG18	CQG	II	109.5500	2.50DR	
26SEP17	B	1	AUG18	CQG	II	109.3750	2.50DR	
26SEP17	B	1	AUG18	CQG	II	109.3000	2.50DR	
26SEP17	S	2	AUG18	CQG	II	109.3000	5.00DR	
26SEP17	B	1	AUG18	CQG	II	109.4000	2.50DR	
26SEP17	S	1	AUG18	CQG	II	110.0000	2.50DR	
26SEP17	S	1	OCT18	CQG	II	110.8250	2.50DR	
26SEP17	B	1	OCT18	CQG	II	110.8000	2.50DR	
26SEP17	B	1	OCT18	CQG	II	110.7000	2.50DR	
26SEP17	S	1	OCT18	CQG	II	110.7250	2.50DR	
26SEP17	S	1	OCT18	CQG	II	110.9000	2.50DR	
26SEP17	B	1	OCT18	CQG	II	111.3000	2.50DR	
26SEP17	S	1	OCT18	CQG	II	111.5250	2.50DR	
26SEP17	S	1	OCT18	CQG	II	111.5750	2.50DR	
26SEP17	S	1	DEC18	CQG	II	112.2000	2.50DR	
26SEP17	S	1	DEC18	CQG	II	112.1500	2.50DR	
26SEP17	B	2	DEC18	CQG	II	112.7000	5.00DR	
	CME Feeder Cattle 500 CME							
26SEP17	B	1	NOV17	CQG	II	152.4750	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.8500	2.50DR	
26SEP17	B	1	NOV17	CQG	II	151.9250	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.6500	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.7500	2.50DR	
26SEP17	B	1	NOV17	CQG	II	151.7500	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.5750	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.6000	2.50DR	
26SEP17	S	1	NOV17	CQG	II	151.6250	2.50DR	
26SEP17	B	1	NOV17	CQG	II	151.8250	2.50DR	
26SEP17	B	1	NOV17	CQG	II	152.5250	2.50DR	
26SEP17	B	1	NOV17	CQG	II	152.2500	2.50DR	
26SEP17	B	1	NOV17	CQG	II	152.3250	2.50DR	
26SEP17	S	1	NOV17	CQG	II	152.3500	2.50DR	
26SEP17	S	1	JAN18	CQG	II	149.4250	2.50DR	
26SEP17	B	1	JAN18	CQG	II	148.8750	2.50DR	
26SEP17	S	1	JAN18	CQG	II	148.9500	2.50DR	
26SEP17	B	1	JAN18	CQG	II	148.4750	2.50DR	
26SEP17	B	1	JAN18	CQG	II	148.6500	2.50DR	
26SEP17	S	1	JAN18	CQG	II	148.7500	2.50DR	
26SEP17	B	1	JAN18	CQG	II	148.6000	2.50DR	
26SEP17	B	3	JAN18	CQG	II	148.5000	7.50DR	
26SEP17	B	2	JAN18	CQG	II	148.5500	5.00DR	
26SEP17	S	1	JAN18	CQG	II	148.5500	2.50DR	
26SEP17	S	2	JAN18	CQG	II	148.8500	5.00DR	
26SEP17	S	1	JAN18	CQG	II	149.2000	2.50DR	
26SEP17	S	2	JAN18	CQG	II	149.6500	5.00DR	
26SEP17	S	2	JAN18	CQG	II	149.5000	5.00DR	
26SEP17	S	1	JAN18	CQG	II	149.5750	2.50DR	
26SEP17	B	1	JAN18	CQG	II	149.6250	2.50DR	
26SEP17	S	1	MAR18	CQG	II	145.6000	2.50DR	
26SEP17	S	1	MAR18	CQG	II	145.6250	2.50DR	
26SEP17	B	1	MAR18	CQG	II	146.0500	2.50DR	
26SEP17	S	1	MAR18	CQG	II	145.8250	2.50DR	

Group No: SAPG0119

Page 1 of 3

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119D

26th September 2017

COB GLOBAL

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Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B	1	MAR18	CQG	II	145.8000		2.50DR	
26SEP17	B	1	MAR18	CQG	II	146.4250		2.50DR	
26SEP17	B	1	MAR18	CQG	II	146.8750		2.50DR	
26SEP17	B	1	MAR18	CQG	II	146.7000		2.50DR	
26SEP17	S	1	APR18	CQG	II		145.9500	2.50DR	
26SEP17	B	1	MAY18	CQG	II	145.6000		2.50DR	
26SEP17	B	1	MAY18	CQG	II	146.7000		2.50DR	
26SEP17	S	1	MAY18	CQG	II		146.5500	2.50DR	
26SEP17	B	1	MAY18	CQG	II	146.4000		2.50DR	
26SEP17	S	1	MAY18	CQG	II		146.3500	2.50DR	
26SEP17	S	1	AUG18	CQG	II		147.1750	2.50DR	
26SEP17	B	1	AUG18	CQG	II	146.9500		2.50DR	
26SEP17	S	1	AUG18	CQG	II		146.8750	2.50DR	
26SEP17	B	1	AUG18	CQG	II	146.7500		2.50DR	
	CME Lean Hog 400 CME								
26SEP17	B	1	DEC17	CQG	II	57.8750		2.50DR	
26SEP17	S	1	FEB18	CQG	II		63.0250	2.50DR	
26SEP17	S	1	FEB18	CQG	II		63.0000	2.50DR	
26SEP17	B	1	APR18	CQG	II	68.8250		2.50DR	
26SEP17	B	1	JUN18	CQG	II	78.3750		2.50DR	
26SEP17	S	2	JUL18	CQG	II		78.4250	5.00DR	
26SEP17	B	1	AUG18	CQG	II	77.7500		2.50DR	
	Commission								230.00DR
	<u>SETTLEMENTS</u>								
	CME Cattle 400 CME								
26SEP17		1	DEC17			114.4250	113.2500		470.00DR
26SEP17		1	FEB18			116.7250	117.4000		270.00CR
26SEP17		1	APR18			119.2500	118.7250		210.00DR
26SEP17		1	JUN18			112.1250	112.2500		50.00CR
26SEP17		1	JUN18			111.7250	114.5500		1,130.00CR
26SEP17		1	JUN18			111.7750	112.1500		150.00CR
26SEP17		1	JUN18			111.8500	112.4000		220.00CR
26SEP17		1	AUG18			109.3750	109.5500		70.00CR
26SEP17		1	AUG18			109.3000	109.3000		0.00
26SEP17		1	AUG18			109.4000	109.3000		40.00DR
26SEP17		1	AUG18			112.0500	110.0000		820.00DR
26SEP17		1	OCT18			110.8000	110.8250		10.00CR
26SEP17		1	OCT18			110.7000	110.7250		10.00CR
26SEP17		1	OCT18			111.3000	110.9000		160.00DR
26SEP17		1	OCT18			112.9500	111.5250		570.00DR
26SEP17		1	DEC18			112.7000	112.2000		200.00DR
26SEP17		1	DEC18			112.7000	112.1500		220.00DR
	CME Feeder Cattle 500 CME								
26SEP17		1	NOV17			152.4750	151.8500		312.50DR
26SEP17		1	NOV17			151.9250	151.6500		137.50DR
26SEP17		1	NOV17			151.7500	151.7500		0.00
26SEP17		1	NOV17			151.8250	151.5750		125.00DR
26SEP17		1	NOV17			152.5250	151.6000		462.50DR
26SEP17		1	NOV17			152.2500	151.6250		312.50DR
26SEP17		1	NOV17			152.3250	152.3500		12.50CR
26SEP17		1	JAN18			148.8750	149.4250		275.00CR
26SEP17		1	JAN18			148.4750	148.9500		237.50CR
26SEP17		1	JAN18			148.5000	148.7500		125.00CR
26SEP17		1	JAN18			148.5000	148.8500		175.00CR
26SEP17		1	JAN18			148.6500	148.5500		50.00DR
26SEP17		1	JAN18			148.6000	148.8500		125.00CR
26SEP17		1	JAN18			148.5000	149.2000		350.00CR
26SEP17		1	JAN18			148.5500	149.6500		550.00CR
26SEP17		1	JAN18			148.5500	149.6500		550.00CR
26SEP17		1	JAN18			149.6250	149.5000		62.50DR
26SEP17		1	MAR18			146.0500	145.6000		225.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119D

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 MAR18	145.8000	145.6250		87.50DR
26SEP17	1 MAR18	146.4250	145.8250		300.00DR
26SEP17	1 MAY18	145.6000	146.5500		475.00CR
26SEP17	1 MAY18	146.7000	146.3500		175.00DR
26SEP17	1 MAY18	146.4000	149.5250		1,562.50CR
26SEP17	1 AUG18	146.9500	147.1750		112.50CR
26SEP17	1 AUG18	146.7500	146.8750		62.50CR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17	57.8750	59.8000		770.00CR
26SEP17	1 FEB18	64.3750	63.0250		540.00DR
26SEP17	1 FEB18	64.3750	63.0000		550.00DR
26SEP17	1 APR18	68.8250	69.8750		420.00CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,135.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				2,362.50CR
	CME Cattle Futures				780.00DR
	CME Lean Hog Futures				100.00CR
	COMMISSIONS				230.00DR
	CARRIED FORWARD BALANCE				4,682.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
26SEP17	S 1 FEB18 CQG II		117.0750	2.50DR	
26SEP17	B 1 FEB18 CQG II	116.9500		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.0000		2.50DR	
26SEP17	S 1 FEB18 CQG II		116.7750	2.50DR	
26SEP17	B 1 FEB18 CQG II	117.6000		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.5250		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.4250		2.50DR	
26SEP17	S 1 FEB18 CQG II		117.3000	2.50DR	
26SEP17	B 1 FEB18 CQG II	117.2000		2.50DR	
26SEP17	S 3 FEB18 CQG II		117.2500	7.50DR	
26SEP17	B 1 APR18 CQG II	119.0500		2.50DR	
26SEP17	S 1 APR18 CQG II		119.0000	2.50DR	
26SEP17	S 1 APR18 CQG II		119.0750	2.50DR	
26SEP17	B 1 APR18 CQG II	118.6750		2.50DR	
26SEP17	B 1 APR18 CQG II	119.0750		2.50DR	
26SEP17	S 1 APR18 CQG II		119.6000	2.50DR	
26SEP17	S 1 APR18 CQG II		119.5750	2.50DR	
26SEP17	S 1 APR18 CQG II		119.5000	2.50DR	
26SEP17	B 1 APR18 CQG II	119.3250		2.50DR	
26SEP17	B 1 APR18 CQG II	119.3000		2.50DR	
26SEP17	S 1 APR18 CQG II		119.2500	2.50DR	
26SEP17	B 1 APR18 CQG II	119.2500		2.50DR	
26SEP17	B 1 AUG18 CQG II	109.4750		2.50DR	
26SEP17	B 1 AUG18 CQG II	109.4000		2.50DR	
26SEP17	S 1 AUG18 CQG II		109.8750	2.50DR	
26SEP17	S 1 AUG18 CQG II		110.4250	2.50DR	
26SEP17	B 1 AUG18 CQG II	109.7750		2.50DR	
26SEP17	S 1 OCT18 CQG II		110.8500	2.50DR	
26SEP17	S 1 OCT18 CQG II		110.9000	2.50DR	
26SEP17	B 1 OCT18 CQG II	111.2000		2.50DR	
26SEP17	B 1 OCT18 CQG II	111.6500		2.50DR	
26SEP17	S 1 OCT18 CQG II		111.2000	2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	B 1 NOV17 CQG II	152.7750		2.50DR	
26SEP17	S 1 NOV17 CQG II		152.1500	2.50DR	
26SEP17	B 1 NOV17 CQG II	152.3250		2.50DR	
26SEP17	B 1 NOV17 CQG II	151.6750		2.50DR	
26SEP17	S 1 NOV17 CQG II		151.5750	2.50DR	
26SEP17	S 2 NOV17 CQG II		151.6000	5.00DR	
26SEP17	B 1 NOV17 CQG II	151.7250		2.50DR	
26SEP17	B 1 NOV17 CQG II	152.8500		2.50DR	
26SEP17	S 1 NOV17 CQG II		153.1500	2.50DR	
26SEP17	B 1 NOV17 CQG II	152.7000		2.50DR	
26SEP17	B 1 JAN18 CQG II	149.0500		2.50DR	
26SEP17	S 1 JAN18 CQG II		149.2750	2.50DR	
26SEP17	B 1 JAN18 CQG II	148.5000		2.50DR	
26SEP17	B 2 JAN18 CQG II	148.5250		5.00DR	
26SEP17	B 1 JAN18 CQG II	148.5500		2.50DR	
26SEP17	S 2 JAN18 CQG II		148.7250	5.00DR	
26SEP17	S 1 JAN18 CQG II		149.2500	2.50DR	
26SEP17	S 1 JAN18 CQG II		150.0000	2.50DR	
26SEP17	B 1 JAN18 CQG II	150.1500		2.50DR	
26SEP17	S 1 JAN18 CQG II		149.7750	2.50DR	
26SEP17	S 2 JAN18 CQG II		149.7500	5.00DR	
26SEP17	S 1 MAR18 CQG II		145.8250	2.50DR	
26SEP17	B 1 MAR18 CQG II	146.5000		2.50DR	
26SEP17	B 1 MAR18 CQG II	146.8000		2.50DR	
	CME Lean Hog 400 CME				
26SEP17	S 1 DEC17 CQG II		57.4750	2.50DR	
26SEP17	B 1 DEC17 CQG II	58.0250		2.50DR	
26SEP17	B 2 FEB18 CQG II	62.4500		5.00DR	
26SEP17	S 2 FEB18 CQG II		63.2000	5.00DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S 1 APR18 CQG II		68.2750	2.50DR	
26SEP17	B 1 APR18 CQG II	68.9750		2.50DR	
	Commission				175.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
26SEP17	1 FEB18	116.9500	117.0750		50.00CR
26SEP17	1 FEB18	117.0000	116.7750		90.00DR
26SEP17	1 FEB18	117.6000	117.2500		140.00DR
26SEP17	1 FEB18	117.5250	117.3000		90.00DR
26SEP17	1 FEB18	117.4250	117.2500		70.00DR
26SEP17	1 FEB18	117.2000	117.2500		20.00CR
26SEP17	1 APR18	119.0500	119.0000		20.00DR
26SEP17	1 APR18	118.6750	119.0750		160.00CR
26SEP17	1 APR18	119.0750	119.6000		210.00CR
26SEP17	1 APR18	119.3250	119.5750		100.00CR
26SEP17	1 APR18	119.3000	119.5000		80.00CR
26SEP17	1 APR18	119.2500	119.2500		0.00
26SEP17	1 AUG18	109.4750	109.8750		160.00CR
26SEP17	1 AUG18	109.4000	110.4250		410.00CR
26SEP17	1 OCT18	111.2000	110.8500		140.00DR
26SEP17	1 OCT18	111.6500	110.9000		300.00DR
	CME Feeder Cattle 500 CME				
26SEP17	1 NOV17	152.7750	152.1500		312.50DR
26SEP17	1 NOV17	152.3250	151.6000		362.50DR
26SEP17	1 NOV17	151.6750	151.5750		50.00DR
26SEP17	1 NOV17	151.7250	151.6000		62.50DR
26SEP17	1 NOV17	152.8500	153.1500		150.00CR
26SEP17	1 JAN18	149.0500	149.7500		350.00CR
26SEP17	1 JAN18	148.5000	149.2750		387.50CR
26SEP17	1 JAN18	148.5250	148.7250		100.00CR
26SEP17	1 JAN18	148.5250	148.7250		100.00CR
26SEP17	1 JAN18	148.5500	149.2500		350.00CR
26SEP17	1 JAN18	150.1500	150.0000		75.00DR
26SEP17	1 MAR18	146.5000	145.8250		337.50DR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17	58.0250	57.4750		220.00DR
26SEP17	1 FEB18	62.4500	63.2000		300.00CR
26SEP17	1 FEB18	62.4500	63.2000		300.00CR
26SEP17	1 APR18	68.9750	68.2750		280.00DR
	TOTALS				
	BROUGHT FORWARD BALANCE				3,400.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				237.50CR
	CME Cattle Futures				340.00CR
	CME Lean Hog Futures				100.00CR
	COMMISSIONS				175.00DR
	CARRIED FORWARD BALANCE				2,897.50DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119F

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	1	27DEC17	DMA	EL		6518.50	5.00DR	
26SEP17	S	1	27DEC17	DMA	EL		6520.00	5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6501.50		5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6502.00		5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6450.50		5.00DR	
26SEP17	B	2	27DEC17	DMA	EL	6440.50		10.00DR	
26SEP17	S	1	27DEC17	DMA	EL		6436.00	5.00DR	
26SEP17	S	2	27DEC17	DMA	EL		6439.00	10.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6446.50		5.00DR	
26SEP17	B	1	27DEC17	DMA	EL	6410.50		5.00DR	
26SEP17	B	2	27DEC17	DMA	EL	6408.00		10.00DR	
26SEP17	S	1	27DEC17	DMA	EL		6434.50	5.00DR	
	CMX Copper 25,000 CMX								
26SEP17	B	2	DEC17	DMA	II	296.150		5.00DR	
26SEP17	B	1	DEC17		II	296.250		2.50DR	
26SEP17	B	1	DEC17	DMA	II	296.250		2.50DR	
26SEP17	S	4	DEC17		II		295.600	10.00DR	
26SEP17	S	2	DEC17		II		291.300	5.00DR	
26SEP17	S	2	DEC17	DMA	II		291.400	5.00DR	
26SEP17	S	2	DEC17	DMA	II		291.500	5.00DR	
26SEP17	B	2	DEC17		II	291.500		5.00DR	
26SEP17	B	2	DEC17		II	291.450		5.00DR	
26SEP17	S	4	DEC17	DMA	II		291.100	10.00DR	
	Commission								130.00DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			296.150	295.600		275.00DR
26SEP17		1	DEC17			296.250	295.600		162.50DR
26SEP17		1	DEC17			296.250	295.600		162.50DR
26SEP17		2	DEC17			291.500	291.300		100.00DR
26SEP17		2	DEC17			291.450	291.400		25.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								11,982.50CR
	SETTLEMENTS								
	CMX Copper Futures								725.00DR
	COMMISSIONS								130.00DR
	CARRIED FORWARD BALANCE								11,127.50CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119G

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	1	27DEC17	DMA	EL		6518.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6520.00	1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6506.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6512.00		1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6438.00	1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6411.00		3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6409.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6411.50		1.56DR	
	CMX Copper 25,000 CMX								
26SEP17	B	2	DEC17	DMA	II	296.250		5.00DR	
26SEP17	B	2	DEC17		II	296.150		5.00DR	
26SEP17	S	2	DEC17	DMA	II		296.050	5.00DR	
26SEP17	S	2	DEC17		II		295.750	5.00DR	
26SEP17	B	1	DEC17	DMA	II	292.600		2.50DR	
26SEP17	B	1	DEC17		II	292.600		2.50DR	
26SEP17	S	2	DEC17	DMA	II		292.350	5.00DR	
26SEP17	B	1	DEC17	DMA	II	291.250		2.50DR	
26SEP17	S	1	DEC17	DMA	II		291.050	2.50DR	
26SEP17	S	4	DEC17		II		291.300	10.00DR	
26SEP17	S	2	DEC17		II		291.200	5.00DR	
	Commission								64.04DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			296.250	296.050		100.00DR
26SEP17		1	DEC17			296.150	295.750		100.00DR
26SEP17		1	DEC17			296.150	295.750		100.00DR
26SEP17		1	DEC17			292.600	292.350		62.50DR
26SEP17		1	DEC17			292.600	292.350		62.50DR
26SEP17		1	DEC17			291.250	291.050		50.00DR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								13,524.12CR
	SETTLEMENTS								
	CMX Copper Futures								475.00DR
	COMMISSIONS								64.04DR
	CARRIED FORWARD BALANCE								12,985.08CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119H

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		6521.00	1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6525.00	1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6505.00		1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6516.00	1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6500.00		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6501.00		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6431.50		1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6433.50	1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6439.50	1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6427.50	1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6413.00		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6411.00		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6428.50		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	6438.00		1.56DR	
	CMX Copper 25,000 CMX				
26SEP17	B 2 DEC17 DMA II	296.500		5.00DR	
26SEP17	B 1 DEC17 DMA II	296.300		2.50DR	
26SEP17	B 1 DEC17 DMA II	296.300		2.50DR	
26SEP17	S 1 DEC17 DMA II		295.500	2.50DR	
26SEP17	B 1 DEC17 DMA II	296.050		2.50DR	
26SEP17	B 1 DEC17 DMA II	296.000		2.50DR	
26SEP17	S 1 DEC17 DMA II		295.450	2.50DR	
26SEP17	S 1 DEC17 DMA II		295.550	2.50DR	
26SEP17	S 1 DEC17 DMA II		295.450	2.50DR	
26SEP17	S 2 DEC17 DMA II		295.550	5.00DR	
26SEP17	B 1 DEC17 DMA II	291.250		2.50DR	
26SEP17	S 2 DEC17 DMA II		291.400	5.00DR	
26SEP17	S 1 DEC17 DMA II		291.100	2.50DR	
26SEP17	S 2 DEC17 DMA II		291.300	5.00DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		20740.00	1.56DR	
26SEP17	B 1 27DEC17 DMA EL	20700.00		1.56DR	
26SEP17	B 1 27DEC17 DMA EL	20660.00		1.56DR	
26SEP17	S 1 27DEC17 DMA EL		20685.00	1.56DR	
	Commission				73.08DR
	<u>SETTLEMENTS</u>				
	CMX Copper 25,000 CMX				
26SEP17	1 DEC17	296.500	295.500		250.00DR
26SEP17	1 DEC17	296.500	295.550		237.50DR
26SEP17	1 DEC17	296.300	295.450		212.50DR
26SEP17	1 DEC17	296.300	295.550		187.50DR
26SEP17	1 DEC17	296.050	295.450		150.00DR
26SEP17	1 DEC17	296.000	295.550		112.50DR
26SEP17	1 DEC17	291.250	291.400		37.50CR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,641.12CR
	SETTLEMENTS				
	CMX Copper Futures				1,112.50DR
	COMMISSIONS				73.08DR
	CARRIED FORWARD BALANCE				5,455.54CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0119H

26th September 2017

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AUTHORISED AND REGULATED BY THE
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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC .	SAP0119I 26th September 2017 COB GLOBAL	Sapien Capital Limited Suite A, 105 Piccadilly London W1J 7NJ UK +44 (0) 20 7340 9081 backoffice@sapiencap.com AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY
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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>								
	LME Copper Grade A 25 tonnes. This is a LME registered client contract								
26SEP17	S	1	27DEC17	DMA	EL		6520.00	1.56DR	
26SEP17	S	1	27DEC17	DMA	EL		6524.00	1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6501.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6505.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6440.00		1.56DR	
26SEP17	B	1	27DEC17	DMA	EL	6450.00		1.56DR	
26SEP17	S	2	27DEC17	DMA	EL		6435.00	3.12DR	
26SEP17	B	1	27DEC17	DMA	EL	6415.00		1.56DR	
26SEP17	B	2	27DEC17	DMA	EL	6410.00		3.12DR	
	CMX Copper 25,000 CMX								
26SEP17	B	2	DEC17		II	296.400		5.00DR	
26SEP17	B	2	DEC17	DMA	II	296.250		5.00DR	
26SEP17	S	2	DEC17	DMA	II		295.500	5.00DR	
26SEP17	S	2	DEC17	DMA	II		295.700	5.00DR	
26SEP17	B	1	DEC17	DMA	II	291.250		2.50DR	
26SEP17	S	2	DEC17	DMA	II		291.500	5.00DR	
26SEP17	S	1	DEC17	DMA	II		291.250	2.50DR	
26SEP17	S	2	DEC17		II		291.000	5.00DR	
26SEP17	S	2	DEC17		II		291.500	5.00DR	
	Commission								57.16DR
	<u>SETTLEMENTS</u>								
	CMX Copper 25,000 CMX								
26SEP17		2	DEC17			296.400	295.500		450.00DR
26SEP17		2	DEC17			296.250	295.700		275.00DR
26SEP17		1	DEC17			291.250	291.500		62.50CR
	<u>TOTALS</u>								
	BROUGHT FORWARD BALANCE								23,226.82CR
	SETTLEMENTS								
	CMX Copper Futures								662.50DR
	COMMISSIONS								57.16DR
	CARRIED FORWARD BALANCE								22,507.16CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119J

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	LME Copper Grade A 25 tonnes. This is a LME registered client contract				
26SEP17	B 1 27DEC17 DMA EL	6431.50		1.56DR	
26SEP17	S 1 27DEC17 DMA EL		6409.50	1.56DR	
	LME Tin 5 tonnes. This is an LME registered client contract				
26SEP17	S 1 27DEC17 DMA EL		20645.00	1.56DR	
26SEP17	B 1 27DEC17 DMA EL	20655.00		1.56DR	
	Commission				6.24DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				6,935.62CR
	COMMISSIONS				6.24DR
	CARRIED FORWARD BALANCE				6,929.38CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	S 1 JUN18 CQG II		52.35	2.50DR	
26SEP17	S 2 JUN18 CQG II		52.34	5.00DR	
26SEP17	B 1 JUN18 CQG II	52.49		2.50DR	
26SEP17	B 2 JUN18 CQG II	52.48		5.00DR	
26SEP17	B 6 DEC18 CQG II	51.78		15.00DR	
26SEP17	S 6 DEC18 CQG II		51.98	15.00DR	
26SEP17	S 3 DEC19 CQG II		50.93	7.50DR	
26SEP17	B 3 DEC19 CQG II	51.16		7.50DR	
	IEU Brent Crude 1,000 IPE				
26SEP17	B 1 FEB18 CQG EL	57.15		2.50DR	
26SEP17	B 6 FEB18 CQG EL	57.13		15.00DR	
26SEP17	B 7 FEB18 CQG EL	57.19		17.50DR	
26SEP17	B 7 FEB18 CQG EL	57.41		17.50DR	
26SEP17	S 1 APR18 CQG EL		56.87	2.50DR	
26SEP17	S 7 APR18 CQG EL		56.86	17.50DR	
26SEP17	S 6 APR18 CQG EL		56.85	15.00DR	
26SEP17	S 7 APR18 CQG EL		56.92	17.50DR	
26SEP17	S 7 APR18 CQG EL		56.91	17.50DR	
26SEP17	S 1 APR18 CQG EL		57.11	2.50DR	
26SEP17	S 13 APR18 CQG EL		57.12	32.50DR	
26SEP17	B 1 JUN18 CQG EL	56.81		2.50DR	
26SEP17	B 2 JUN18 CQG EL	56.82		5.00DR	
26SEP17	B 3 JUN18 CQG EL	56.56		7.50DR	
26SEP17	B 4 JUN18 CQG EL	56.54		10.00DR	
26SEP17	B 3 JUN18 CQG EL	56.58		7.50DR	
26SEP17	B 3 JUN18 CQG EL	56.41		7.50DR	
26SEP17	B 1 JUN18 CQG EL	56.33		2.50DR	
26SEP17	B 4 JUN18 CQG EL	56.34		10.00DR	
26SEP17	B 1 JUN18 CQG EL	56.36		2.50DR	
26SEP17	B 4 JUN18 CQG EL	56.22		10.00DR	
26SEP17	S 4 JUN18 CQG EL		56.55	10.00DR	
26SEP17	B 4 JUN18 CQG EL	56.70		10.00DR	
26SEP17	B 3 JUN18 CQG EL	56.60		7.50DR	
26SEP17	B 1 JUN18 CQG EL	56.52		2.50DR	
26SEP17	B 8 JUN18 CQG EL	56.55		20.00DR	
26SEP17	B 7 JUN18 CQG EL	56.63		17.50DR	
26SEP17	B 7 JUN18 CQG EL	56.68		17.50DR	
26SEP17	B 1 JUN18 CQG EL	56.85		2.50DR	
26SEP17	B 6 JUN18 CQG EL	56.86		15.00DR	
26SEP17	S 1 JUN18 CQG EL		56.86	2.50DR	
26SEP17	S 2 JUN18 CQG EL		56.85	5.00DR	
26SEP17	S 2 DEC18 CQG EL		55.87	5.00DR	
26SEP17	S 1 DEC18 CQG EL		55.83	2.50DR	
26SEP17	S 4 DEC18 CQG EL		55.78	10.00DR	
26SEP17	S 2 DEC18 CQG EL		55.80	5.00DR	
26SEP17	S 6 DEC18 CQG EL		55.88	15.00DR	
26SEP17	S 6 DEC18 CQG EL		55.89	15.00DR	
26SEP17	S 3 DEC18 CQG EL		55.70	7.50DR	
26SEP17	S 3 DEC18 CQG EL		55.73	7.50DR	
26SEP17	S 1 DEC18 CQG EL		55.66	2.50DR	
26SEP17	B 2 DEC18 CQG EL	55.66		5.00DR	
26SEP17	S 2 DEC18 CQG EL		55.64	5.00DR	
26SEP17	S 5 DEC18 CQG EL		55.69	12.50DR	
26SEP17	S 3 DEC18 CQG EL		55.71	7.50DR	
26SEP17	S 3 DEC18 CQG EL		55.72	7.50DR	
26SEP17	S 9 DEC18 CQG EL		55.61	22.50DR	
26SEP17	S 3 DEC18 CQG EL		55.75	7.50DR	
26SEP17	B 3 DEC18 CQG EL	55.81		7.50DR	
26SEP17	B 9 DEC18 CQG EL	55.79		22.50DR	
26SEP17	B 2 DEC18 CQG EL	55.94		5.00DR	
26SEP17	S 9 DEC18 CQG EL		55.93	22.50DR	
26SEP17	B 2 DEC18 CQG EL	55.91		5.00DR	
26SEP17	S 1 DEC18 CQG EL		55.92	2.50DR	

Group No: SAPG0119

Page 1 of 7

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

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USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	1	DEC18	CQG	EL		55.85	2.50DR	
26SEP17	S	4	DEC18	CQG	EL		55.86	10.00DR	
26SEP17	B	1	DEC18	CQG	EL	55.96		2.50DR	
26SEP17	S	15	DEC18	CQG	EL		55.81	37.50DR	
26SEP17	S	5	DEC18	CQG	EL		55.82	12.50DR	
26SEP17	S	6	DEC18	CQG	EL		55.79	15.00DR	
26SEP17	S	4	DEC18	CQG	EL		56.07	10.00DR	
26SEP17	B	2	DEC18	CQG	EL	56.06		5.00DR	
26SEP17	B	4	DEC18	CQG	EL	56.07		10.00DR	
26SEP17	B	3	JUN19	CQG	EL	55.27		7.50DR	
26SEP17	B	1	JUN19	CQG	EL	55.19		2.50DR	
26SEP17	B	1	JUN19	CQG	EL	55.20		2.50DR	
26SEP17	B	4	JUN19	CQG	EL	55.28		10.00DR	
26SEP17	B	3	JUN19	CQG	EL	55.26		7.50DR	
26SEP17	B	2	JUN19	CQG	EL	55.22		5.00DR	
26SEP17	B	6	JUN19	CQG	EL	55.29		15.00DR	
26SEP17	B	6	JUN19	CQG	EL	55.21		15.00DR	
26SEP17	S	1	JUN19	CQG	EL		55.23	2.50DR	
26SEP17	B	11	JUN19	CQG	EL	55.30		27.50DR	
26SEP17	S	7	JUN19	CQG	EL		55.34	17.50DR	
26SEP17	B	3	JUN19	CQG	EL	55.33		7.50DR	
26SEP17	B	5	JUN19	CQG	EL	55.34		12.50DR	
26SEP17	S	5	JUN19	CQG	EL		55.35	12.50DR	
26SEP17	S	3	JUN19	CQG	EL		55.48	7.50DR	
26SEP17	S	2	JUN19	CQG	EL		55.47	5.00DR	
26SEP17	S	3	JUN19	CQG	EL		55.43	7.50DR	
26SEP17	S	2	JUN19	CQG	EL		55.44	5.00DR	
26SEP17	B	6	JUN19	CQG	EL	55.46		15.00DR	
26SEP17	B	1	JUN19	CQG	EL	55.43		2.50DR	
26SEP17	B	8	JUN19	CQG	EL	55.61		20.00DR	
26SEP17	B	2	JUN19	CQG	EL	55.62		5.00DR	
26SEP17	S	1	DEC19	CQG	EL		54.89	2.50DR	
26SEP17	S	1	DEC19	CQG	EL		54.88	2.50DR	
26SEP17	S	1	DEC19	CQG	EL		54.90	2.50DR	
26SEP17	S	1	DEC19	CQG	EL		54.87	2.50DR	
26SEP17	S	1	DEC19	CQG	EL		54.85	2.50DR	
26SEP17	B	2	DEC19	CQG	EL	55.03		5.00DR	
26SEP17	S	3	DEC19	CQG	EL		55.00	7.50DR	
26SEP17	S	3	DEC19	CQG	EL		54.95	7.50DR	
26SEP17	B	2	DEC19	CQG	EL	55.00		5.00DR	
26SEP17	B	1	DEC19	CQG	EL	54.97		2.50DR	
26SEP17	S	5	DEC19	CQG	EL		55.02	12.50DR	
26SEP17	B	6	DEC19	CQG	EL	55.01		15.00DR	
26SEP17	S	3	DEC19	CQG	EL		55.03	7.50DR	
26SEP17	B	5	DEC19	CQG	EL	55.15		12.50DR	
26SEP17	B	3	DEC19	CQG	EL	55.08		7.50DR	
26SEP17	S	1	DEC19	CQG	EL		55.11	2.50DR	
26SEP17	B	1	DEC19	CQG	EL	55.06		2.50DR	
26SEP17	S	3	DEC19	CQG	EL		55.17	7.50DR	
26SEP17	B	1	DEC19	CQG	EL	55.04		2.50DR	
26SEP17	B	4	DEC19	CQG	EL	55.05		10.00DR	
26SEP17	B	1	DEC19	CQG	EL	55.02		2.50DR	
26SEP17	S	3	DEC19	CQG	EL		55.31	7.50DR	
26SEP17	S	4	DEC19	CQG	EL		55.30	10.00DR	
26SEP17	S	2	DEC19	CQG	EL		55.29	5.00DR	
26SEP17	B	3	DEC20	CQG	EL	55.15		7.50DR	
26SEP17	B	2	DEC20	CQG	EL	55.22		5.00DR	
	Commission								1,070.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17		1	JUN18			52.49	52.35		140.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

COB GLOBAL

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+44 (0) 20 7340 9081
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 JUN18	52.48	52.34		140.00DR
26SEP17	1 JUN18	52.48	52.34		140.00DR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC18	51.78	51.98		200.00CR
26SEP17	1 DEC19	51.16	50.93		230.00DR
26SEP17	1 DEC19	51.16	50.93		230.00DR
26SEP17	1 DEC19	51.16	50.93		230.00DR
	IEU Brent Crude 1,000 IPE				
26SEP17	1 FEB18	57.15	54.70		2,450.00DR
26SEP17	2 FEB18	57.13	54.66		4,940.00DR
26SEP17	1 FEB18	57.13	54.66		2,470.00DR
26SEP17	1 FEB18	57.13	54.66		2,470.00DR
26SEP17	1 FEB18	57.13	54.84		2,290.00DR
26SEP17	1 FEB18	57.13	54.84		2,290.00DR
26SEP17	4 FEB18	57.19	55.09		8,400.00DR
26SEP17	3 FEB18	57.19	55.09		6,300.00DR
26SEP17	1 FEB18	57.41	55.63		1,780.00DR
26SEP17	1 FEB18	57.41	55.63		1,780.00DR
26SEP17	1 FEB18	57.41	55.68		1,730.00DR
26SEP17	1 FEB18	57.41	55.68		1,730.00DR
26SEP17	1 FEB18	57.41	55.68		1,730.00DR
26SEP17	1 FEB18	57.41	55.68		1,730.00DR
26SEP17	1 FEB18	57.41	55.68		1,730.00DR
26SEP17	1 APR18	54.64	56.87		2,230.00CR
26SEP17	1 APR18	54.64	56.86		2,220.00CR
26SEP17	2 APR18	54.60	56.86		4,520.00CR
26SEP17	1 APR18	54.60	56.86		2,260.00CR
26SEP17	1 APR18	54.60	56.86		2,260.00CR
26SEP17	1 APR18	54.65	56.86		2,210.00CR
26SEP17	1 APR18	54.65	56.86		2,210.00CR
26SEP17	1 APR18	54.65	56.85		2,200.00CR
26SEP17	1 APR18	54.65	56.85		2,200.00CR
26SEP17	2 APR18	54.78	56.85		4,140.00CR
26SEP17	2 APR18	54.64	56.85		4,420.00CR
26SEP17	3 APR18	55.00	56.91		5,730.00CR
26SEP17	2 APR18	54.99	56.91		3,840.00CR
26SEP17	1 APR18	54.99	56.91		1,920.00CR
26SEP17	1 APR18	54.99	56.92		1,930.00CR
26SEP17	3 APR18	54.99	56.92		5,790.00CR
26SEP17	3 APR18	55.00	56.92		5,760.00CR
26SEP17	1 APR18	55.00	56.91		1,910.00CR
26SEP17	2 APR18	55.41	57.12		3,420.00CR
26SEP17	1 APR18	55.42	57.12		1,700.00CR
26SEP17	1 APR18	55.42	57.12		1,700.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.11		1,650.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 APR18	55.46	57.12		1,660.00CR
26SEP17	1 JUN18	56.82	56.55		270.00DR
26SEP17	1 JUN18	56.81	56.55		260.00DR
26SEP17	1 JUN18	56.82	56.55		270.00DR
26SEP17	1 JUN18	56.55	56.55		0.00
26SEP17	1 JUN18	56.54	56.86		320.00CR
26SEP17	1 JUN18	56.56	56.85		290.00CR
26SEP17	1 JUN18	56.56	56.85		290.00CR
26SEP17	1 JUN18	56.56	54.90		1,660.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

COB GLOBAL

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	2 JUN18	56.55	55.06		2,980.00DR
26SEP17	2 JUN18	56.55	55.11		2,880.00DR
26SEP17	1 JUN18	56.55	54.76		1,790.00DR
26SEP17	1 JUN18	56.54	54.71		1,830.00DR
26SEP17	1 JUN18	56.54	54.71		1,830.00DR
26SEP17	1 JUN18	56.54	54.73		1,810.00DR
26SEP17	1 JUN18	56.58	54.70		1,880.00DR
26SEP17	1 JUN18	56.58	54.63		1,950.00DR
26SEP17	1 JUN18	56.58	54.78		1,800.00DR
26SEP17	1 JUN18	56.41	54.60		1,810.00DR
26SEP17	1 JUN18	56.41	54.60		1,810.00DR
26SEP17	1 JUN18	56.41	54.60		1,810.00DR
26SEP17	1 JUN18	56.33	54.75		1,580.00DR
26SEP17	3 JUN18	56.34	54.87		4,410.00DR
26SEP17	1 JUN18	56.34	54.78		1,560.00DR
26SEP17	1 JUN18	56.36	54.78		1,580.00DR
26SEP17	1 JUN18	56.22	54.78		1,440.00DR
26SEP17	1 JUN18	56.22	54.78		1,440.00DR
26SEP17	1 JUN18	56.22	54.78		1,440.00DR
26SEP17	1 JUN18	56.22	54.80		1,420.00DR
26SEP17	1 JUN18	56.70	54.62		2,080.00DR
26SEP17	1 JUN18	56.70	54.63		2,070.00DR
26SEP17	1 JUN18	56.70	54.63		2,070.00DR
26SEP17	1 JUN18	56.70	54.63		2,070.00DR
26SEP17	1 JUN18	56.60	54.63		1,970.00DR
26SEP17	1 JUN18	56.60	54.62		1,980.00DR
26SEP17	1 JUN18	56.60	54.62		1,980.00DR
26SEP17	1 JUN18	56.55	54.78		1,770.00DR
26SEP17	1 JUN18	56.52	54.78		1,740.00DR
26SEP17	1 JUN18	56.55	54.78		1,770.00DR
26SEP17	1 JUN18	56.63	54.95		1,680.00DR
26SEP17	1 JUN18	56.63	54.83		1,800.00DR
26SEP17	1 JUN18	56.63	54.84		1,790.00DR
26SEP17	1 JUN18	56.63	54.85		1,780.00DR
26SEP17	2 JUN18	56.63	54.85		3,560.00DR
26SEP17	1 JUN18	56.63	54.85		1,780.00DR
26SEP17	1 JUN18	56.68	54.89		1,790.00DR
26SEP17	2 JUN18	56.68	54.89		3,580.00DR
26SEP17	1 JUN18	56.68	55.27		1,410.00DR
26SEP17	1 JUN18	56.68	55.26		1,420.00DR
26SEP17	1 JUN18	56.68	55.26		1,420.00DR
26SEP17	1 JUN18	56.68	55.26		1,420.00DR
26SEP17	1 JUN18	56.86	55.26		1,600.00DR
26SEP17	1 JUN18	56.86	56.12		740.00DR
26SEP17	1 JUN18	56.86	56.12		740.00DR
26SEP17	1 JUN18	56.85	56.12		730.00DR
26SEP17	1 JUN18	56.86	56.12		740.00DR
26SEP17	2 JUN18	56.86	56.12		1,480.00DR
26SEP17	1 DEC18	55.66	55.88		220.00CR
26SEP17	1 DEC18	55.66	55.89		230.00CR
26SEP17	1 DEC18	55.81	55.88		70.00CR
26SEP17	1 DEC18	55.81	55.88		70.00CR
26SEP17	1 DEC18	55.81	55.87		60.00CR
26SEP17	1 DEC18	55.79	55.87		80.00CR
26SEP17	1 DEC18	55.79	55.89		100.00CR
26SEP17	1 DEC18	55.79	55.89		100.00CR
26SEP17	1 DEC18	55.79	55.89		100.00CR
26SEP17	1 DEC18	55.79	55.75		40.00DR
26SEP17	1 DEC18	55.79	55.83		40.00CR
26SEP17	1 DEC18	55.79	55.79		0.00
26SEP17	1 DEC18	55.79	55.78		10.00DR
26SEP17	1 DEC18	55.79	55.79		0.00
26SEP17	1 DEC18	55.94	55.78		160.00DR
26SEP17	1 DEC18	55.94	55.81		130.00DR
26SEP17	2 DEC18	55.91	55.81		200.00DR
26SEP17	1 DEC18	55.96	55.81		150.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC18	56.07	55.81		260.00DR
26SEP17	1 DEC18	56.07	55.81		260.00DR
26SEP17	1 DEC18	56.07	55.81		260.00DR
26SEP17	1 DEC18	56.06	55.81		250.00DR
26SEP17	1 DEC18	56.06	55.78		280.00DR
26SEP17	1 DEC18	56.07	55.78		290.00DR
26SEP17	2 DEC18	54.94	55.82		1,760.00CR
26SEP17	1 DEC18	54.75	55.82		1,070.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	2 DEC18	54.75	55.81		2,120.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.74	55.81		1,070.00CR
26SEP17	1 DEC18	54.74	55.81		1,070.00CR
26SEP17	1 DEC18	54.74	55.81		1,070.00CR
26SEP17	1 DEC18	54.86	55.89		1,030.00CR
26SEP17	1 DEC18	54.85	55.88		1,030.00CR
26SEP17	1 DEC18	54.85	55.88		1,030.00CR
26SEP17	1 DEC18	54.85	55.88		1,030.00CR
26SEP17	1 DEC18	54.85	55.89		1,040.00CR
26SEP17	1 DEC18	54.85	55.70		850.00CR
26SEP17	1 DEC18	54.74	55.70		960.00CR
26SEP17	1 DEC18	54.74	55.70		960.00CR
26SEP17	1 DEC18	54.74	55.73		990.00CR
26SEP17	2 DEC18	54.74	55.73		1,980.00CR
26SEP17	1 DEC18	54.74	55.66		920.00CR
26SEP17	1 DEC18	54.74	55.64		900.00CR
26SEP17	1 DEC18	54.74	55.64		900.00CR
26SEP17	1 DEC18	54.74	55.69		950.00CR
26SEP17	1 DEC18	54.74	55.69		950.00CR
26SEP17	1 DEC18	54.75	55.69		940.00CR
26SEP17	1 DEC18	54.76	55.69		930.00CR
26SEP17	1 DEC18	54.66	55.69		1,030.00CR
26SEP17	2 DEC18	54.77	55.71		1,880.00CR
26SEP17	1 DEC18	54.77	55.71		940.00CR
26SEP17	1 DEC18	54.74	55.72		980.00CR
26SEP17	2 DEC18	54.75	55.72		1,940.00CR
26SEP17	1 DEC18	54.75	55.61		860.00CR
26SEP17	1 DEC18	54.75	55.61		860.00CR
26SEP17	1 DEC18	54.76	55.61		850.00CR
26SEP17	1 DEC18	54.76	55.61		850.00CR
26SEP17	3 DEC18	54.78	55.61		2,490.00CR
26SEP17	1 DEC18	54.72	55.61		890.00CR
26SEP17	1 DEC18	54.75	55.61		860.00CR
26SEP17	1 DEC18	55.04	55.75		710.00CR
26SEP17	1 DEC18	55.04	55.75		710.00CR
26SEP17	1 DEC18	55.12	55.79		670.00CR
26SEP17	1 DEC18	55.11	55.79		680.00CR
26SEP17	1 DEC18	55.11	55.93		820.00CR
26SEP17	1 DEC18	55.11	55.93		820.00CR
26SEP17	2 DEC18	55.11	55.93		1,640.00CR
26SEP17	1 DEC18	55.11	55.93		820.00CR
26SEP17	1 DEC18	55.12	55.93		810.00CR
26SEP17	1 DEC18	55.12	55.93		810.00CR
26SEP17	1 DEC18	55.12	55.93		810.00CR
26SEP17	1 DEC18	55.10	55.93		830.00CR
26SEP17	1 DEC18	55.05	55.92		870.00CR
26SEP17	1 DEC18	55.05	55.86		810.00CR
26SEP17	1 DEC18	55.57	55.86		290.00CR
26SEP17	1 DEC18	55.58	55.85		270.00CR
26SEP17	1 DEC18	55.58	55.86		280.00CR
26SEP17	1 DEC18	55.57	55.86		290.00CR
26SEP17	1 DEC18	55.57	55.81		240.00CR
26SEP17	1 DEC18	55.65	55.81		160.00CR
26SEP17	1 DEC18	55.65	55.79		140.00CR
26SEP17	2 DEC18	55.65	55.82		340.00CR
26SEP17	1 DEC18	55.65	55.79		140.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC18	55.64	56.07		430.00CR
26SEP17	1 DEC18	55.64	56.07		430.00CR
26SEP17	1 DEC18	55.65	56.07		420.00CR
26SEP17	1 DEC18	55.64	56.07		430.00CR
26SEP17	1 JUN19	55.28	55.23		50.00DR
26SEP17	1 JUN19	55.28	55.34		60.00CR
26SEP17	1 JUN19	55.28	55.34		60.00CR
26SEP17	1 JUN19	55.27	55.34		70.00CR
26SEP17	1 JUN19	55.27	55.34		70.00CR
26SEP17	1 JUN19	55.27	55.34		70.00CR
26SEP17	1 JUN19	55.29	55.34		50.00CR
26SEP17	1 JUN19	55.29	55.34		50.00CR
26SEP17	1 JUN19	55.29	55.35		60.00CR
26SEP17	1 JUN19	55.21	55.35		140.00CR
26SEP17	1 JUN19	55.19	55.35		160.00CR
26SEP17	1 JUN19	55.20	55.35		150.00CR
26SEP17	1 JUN19	55.29	55.35		60.00CR
26SEP17	1 JUN19	55.28	55.48		200.00CR
26SEP17	1 JUN19	55.29	55.48		190.00CR
26SEP17	1 JUN19	55.30	55.48		180.00CR
26SEP17	1 JUN19	55.34	55.47		130.00CR
26SEP17	1 JUN19	55.34	55.47		130.00CR
26SEP17	1 JUN19	55.34	55.43		90.00CR
26SEP17	2 JUN19	55.26	55.43		340.00CR
26SEP17	1 JUN19	55.26	55.44		180.00CR
26SEP17	1 JUN19	55.22	55.44		220.00CR
26SEP17	1 JUN19	55.22	54.98		240.00DR
26SEP17	2 JUN19	55.30	54.96		680.00DR
26SEP17	1 JUN19	55.29	54.98		310.00DR
26SEP17	1 JUN19	55.30	54.96		340.00DR
26SEP17	1 JUN19	55.30	55.06		240.00DR
26SEP17	1 JUN19	55.30	55.07		230.00DR
26SEP17	1 JUN19	55.21	55.07		140.00DR
26SEP17	1 JUN19	55.21	55.09		120.00DR
26SEP17	1 JUN19	55.21	55.07		140.00DR
26SEP17	1 JUN19	55.21	55.02		190.00DR
26SEP17	1 JUN19	55.21	55.02		190.00DR
26SEP17	2 JUN19	55.30	55.02		560.00DR
26SEP17	1 JUN19	55.30	55.02		280.00DR
26SEP17	2 JUN19	55.30	55.16		280.00DR
26SEP17	1 JUN19	55.33	55.16		170.00DR
26SEP17	2 JUN19	55.33	55.54		420.00CR
26SEP17	1 JUN19	55.34	55.54		200.00CR
26SEP17	1 JUN19	55.34	55.53		190.00CR
26SEP17	1 JUN19	55.46	55.53		70.00CR
26SEP17	1 JUN19	55.46	55.54		80.00CR
26SEP17	1 JUN19	55.46	55.56		100.00CR
26SEP17	1 JUN19	55.46	55.50		40.00CR
26SEP17	1 JUN19	55.46	55.50		40.00CR
26SEP17	1 JUN19	55.46	55.50		40.00CR
26SEP17	1 JUN19	55.43	55.50		70.00CR
26SEP17	1 JUN19	55.61	55.50		110.00DR
26SEP17	1 JUN19	55.61	55.52		90.00DR
26SEP17	1 JUN19	55.61	55.52		90.00DR
26SEP17	3 JUN19	55.61	55.52		270.00DR
26SEP17	1 JUN19	55.61	55.62		10.00CR
26SEP17	1 JUN19	55.61	55.62		10.00CR
26SEP17	1 JUN19	55.62	55.61		10.00DR
26SEP17	1 JUN19	55.62	55.62		0.00
26SEP17	1 DEC19	55.01	54.89		120.00DR
26SEP17	1 DEC19	55.01	54.88		130.00DR
26SEP17	1 DEC19	55.03	54.90		130.00DR
26SEP17	1 DEC19	55.05	54.87		180.00DR
26SEP17	1 DEC19	55.05	54.85		200.00DR
26SEP17	1 DEC19	55.03	54.95		80.00DR
26SEP17	1 DEC19	55.15	55.00		150.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119L

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC19	55.15	55.00		150.00DR
26SEP17	1 DEC19	55.00	55.00		0.00
26SEP17	1 DEC19	55.00	54.95		50.00DR
26SEP17	1 DEC19	54.97	54.95		20.00DR
26SEP17	1 DEC19	55.01	55.02		10.00CR
26SEP17	1 DEC19	55.01	55.02		10.00CR
26SEP17	1 DEC19	55.01	55.02		10.00CR
26SEP17	1 DEC19	55.01	55.02		10.00CR
26SEP17	1 DEC19	55.15	55.02		130.00DR
26SEP17	2 DEC19	55.15	55.03		240.00DR
26SEP17	1 DEC19	55.08	55.03		50.00DR
26SEP17	1 DEC19	55.08	55.11		30.00CR
26SEP17	1 DEC19	55.08	55.17		90.00CR
26SEP17	1 DEC19	55.05	55.17		120.00CR
26SEP17	1 DEC19	55.06	55.17		110.00CR
26SEP17	1 DEC19	55.04	55.31		270.00CR
26SEP17	1 DEC19	55.05	55.31		260.00CR
26SEP17	1 DEC19	55.02	55.31		290.00CR
26SEP17	1 DEC19	55.25	55.30		50.00CR
26SEP17	1 DEC19	55.33	55.30		30.00DR
26SEP17	1 DEC19	55.34	55.30		40.00DR
26SEP17	1 DEC19	55.34	55.30		40.00DR
26SEP17	1 DEC19	55.22	55.29		70.00CR
26SEP17	1 DEC19	55.22	55.29		70.00CR
26SEP17	1 DEC20	55.15	54.99		160.00DR
26SEP17	2 DEC20	55.15	54.98		340.00DR
26SEP17	1 DEC20	55.22	55.11		110.00DR
26SEP17	1 DEC20	55.22	55.11		110.00DR
TOTALS					
BROUGHT FORWARD BALANCE					2,060.00CR
SETTLEMENTS					
IEU Brent Crude Futures					2,440.00CR
NYM Light Sweet Crude Oil Futures					90.00CR
COMMISSIONS					1,070.00DR
CARRIED FORWARD BALANCE					3,520.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
26SEP17	S 1 OCT17 CQG II		108.9000	2.50DR	
26SEP17	B 1 OCT17 CQG II	108.5750		2.50DR	
26SEP17	B 1 DEC17 CQG II	113.7750		2.50DR	
26SEP17	B 1 DEC17 CQG II	113.1750		2.50DR	
26SEP17	S 1 DEC17 CQG II		113.4750	2.50DR	
26SEP17	B 1 DEC17 CQG II	113.3750		2.50DR	
26SEP17	B 1 DEC17 CQG II	113.6500		2.50DR	
26SEP17	B 1 DEC17 CQG II	113.3500		2.50DR	
26SEP17	S 1 DEC17 CQG II		114.2000	2.50DR	
26SEP17	S 1 DEC17 CQG II		113.7250	2.50DR	
26SEP17	B 1 DEC17 CQG II	113.4000		2.50DR	
26SEP17	S 1 DEC17 CQG II		113.4500	2.50DR	
26SEP17	S 1 FEB18 CQG II		116.6500	2.50DR	
26SEP17	B 3 FEB18 CQG II	116.9500		7.50DR	
26SEP17	S 1 FEB18 CQG II		116.9500	2.50DR	
26SEP17	S 1 FEB18 CQG II		116.8250	2.50DR	
26SEP17	S 1 FEB18 CQG II		117.0000	2.50DR	
26SEP17	S 1 FEB18 CQG II		117.2750	2.50DR	
26SEP17	S 2 FEB18 CQG II		117.2500	5.00DR	
26SEP17	S 1 FEB18 CQG II		117.1500	2.50DR	
26SEP17	B 1 FEB18 CQG II	117.5750		2.50DR	
26SEP17	B 1 FEB18 CQG II	118.0250		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.9500		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.6250		2.50DR	
26SEP17	B 1 FEB18 CQG II	117.5250		2.50DR	
26SEP17	B 2 FEB18 CQG II	117.4250		5.00DR	
26SEP17	S 2 FEB18 CQG II		117.2250	5.00DR	
26SEP17	B 1 FEB18 CQG II	117.2250		2.50DR	
26SEP17	B 1 APR18 CQG II	118.7750		2.50DR	
26SEP17	S 3 APR18 CQG II		119.0000	7.50DR	
26SEP17	B 1 APR18 CQG II	118.9000		2.50DR	
26SEP17	B 1 APR18 CQG II	118.7500		2.50DR	
26SEP17	B 1 APR18 CQG II	119.1000		2.50DR	
26SEP17	B 1 APR18 CQG II	119.0750		2.50DR	
26SEP17	B 1 APR18 CQG II	119.0250		2.50DR	
26SEP17	S 1 APR18 CQG II		119.6000	2.50DR	
26SEP17	S 1 APR18 CQG II		119.7750	2.50DR	
26SEP17	S 1 APR18 CQG II		119.9500	2.50DR	
26SEP17	S 1 APR18 CQG II		119.6500	2.50DR	
26SEP17	S 1 APR18 CQG II		119.5750	2.50DR	
26SEP17	B 1 APR18 CQG II	119.5500		2.50DR	
26SEP17	S 1 APR18 CQG II		119.5000	2.50DR	
26SEP17	B 1 JUN18 CQG II	111.9500		2.50DR	
26SEP17	B 1 JUN18 CQG II	112.7250		2.50DR	
26SEP17	S 1 JUN18 CQG II		112.5750	2.50DR	
26SEP17	S 1 AUG18 CQG II		109.1750	2.50DR	
26SEP17	B 1 AUG18 CQG II	109.4000		2.50DR	
26SEP17	B 1 AUG18 CQG II	109.3250		2.50DR	
26SEP17	B 1 AUG18 CQG II	109.3000		2.50DR	
26SEP17	B 2 AUG18 CQG II	109.4750		5.00DR	
26SEP17	S 1 AUG18 CQG II		109.5750	2.50DR	
26SEP17	S 1 AUG18 CQG II		109.9750	2.50DR	
26SEP17	S 1 AUG18 CQG II		110.0500	2.50DR	
26SEP17	S 1 AUG18 CQG II		110.5250	2.50DR	
26SEP17	B 1 AUG18 CQG II	109.7500		2.50DR	
26SEP17	S 3 OCT18 CQG II		110.8000	7.50DR	
26SEP17	S 2 OCT18 CQG II		110.7250	5.00DR	
26SEP17	B 1 OCT18 CQG II	111.3000		2.50DR	
26SEP17	S 1 OCT18 CQG II		111.6250	2.50DR	
26SEP17	S 1 OCT18 CQG II		111.1500	2.50DR	
26SEP17	B 1 DEC18 CQG II	112.1500		2.50DR	
26SEP17	S 1 DEC18 CQG II		112.4000	2.50DR	
26SEP17	B 1 DEC18 CQG II	112.6000		2.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	B 2 DEC18 CQG II	112.7500		5.00DR	
	CME Feeder Cattle 500 CME				
26SEP17	S 1 OCT17 CQG II		151.3500	2.50DR	
26SEP17	B 1 OCT17 CQG II	149.7500		2.50DR	
26SEP17	B 1 OCT17 CQG II	149.8500		2.50DR	
26SEP17	S 2 OCT17 CQG II		149.8500	5.00DR	
26SEP17	B 1 OCT17 CQG II	150.2250		2.50DR	
26SEP17	S 1 OCT17 CQG II		151.8250	2.50DR	
26SEP17	B 2 OCT17 CQG II	150.8250		5.00DR	
26SEP17	S 1 OCT17 CQG II		150.7500	2.50DR	
26SEP17	B 1 NOV17 CQG II	152.4250		2.50DR	
26SEP17	S 1 NOV17 CQG II		152.3250	2.50DR	
26SEP17	S 1 NOV17 CQG II		152.3500	2.50DR	
26SEP17	S 1 NOV17 CQG II		151.4500	2.50DR	
26SEP17	S 1 NOV17 CQG II		151.7250	2.50DR	
26SEP17	S 1 NOV17 CQG II		151.7000	2.50DR	
26SEP17	B 1 NOV17 CQG II	151.6750		2.50DR	
26SEP17	B 2 NOV17 CQG II	151.7250		5.00DR	
26SEP17	S 1 NOV17 CQG II		152.0750	2.50DR	
26SEP17	B 2 NOV17 CQG II	152.5000		5.00DR	
26SEP17	S 1 NOV17 CQG II		152.7000	2.50DR	
26SEP17	B 1 NOV17 CQG II	152.5250		2.50DR	
26SEP17	S 1 JAN18 CQG II		149.3500	2.50DR	
26SEP17	B 1 JAN18 CQG II	149.2250		2.50DR	
26SEP17	B 1 JAN18 CQG II	148.6000		2.50DR	
26SEP17	S 1 JAN18 CQG II		148.7250	2.50DR	
26SEP17	S 1 JAN18 CQG II		149.6250	2.50DR	
26SEP17	B 1 JAN18 CQG II	150.6750		2.50DR	
26SEP17	S 1 MAR18 CQG II		146.2750	2.50DR	
26SEP17	B 1 MAR18 CQG II	147.1000		2.50DR	
26SEP17	B 1 APR18 CQG II	146.2750		2.50DR	
26SEP17	S 2 APR18 CQG II		147.0750	5.00DR	
26SEP17	B 1 MAY18 CQG II	146.6000		2.50DR	
	CME Lean Hog 400 CME				
26SEP17	B 1 DEC17 CQG II	57.8750		2.50DR	
26SEP17	S 2 DEC17 CQG II		58.1250	5.00DR	
26SEP17	B 1 DEC17 CQG II	58.0250		2.50DR	
26SEP17	B 1 DEC17 CQG II	57.9000		2.50DR	
26SEP17	B 1 FEB18 CQG II	62.9500		2.50DR	
26SEP17	S 1 FEB18 CQG II		62.8750	2.50DR	
26SEP17	B 1 FEB18 CQG II	63.0500		2.50DR	
26SEP17	S 1 FEB18 CQG II		63.0250	2.50DR	
26SEP17	S 1 FEB18 CQG II		63.2000	2.50DR	
26SEP17	B 1 JUN18 CQG II	77.8250		2.50DR	
26SEP17	S 2 JUL18 CQG II		77.9500	5.00DR	
26SEP17	B 1 AUG18 CQG II	77.3750		2.50DR	
	CBT Soybean Meal 100 CBT				
26SEP17	S 1 OCT17 CQG II		3.0790	2.50DR	
26SEP17	B 1 DEC17 CQG II	3.1220		2.50DR	
26SEP17	B 1 DEC17 CQG II	3.1210		2.50DR	
26SEP17	S 1 JAN18 CQG II		3.1420	2.50DR	
	White Sugar 50 LDN				
26SEP17	S 1 MAR18 CQG EL		369.10	2.50DR	
26SEP17	B 1 MAY18 CQG EL	379.30		2.50DR	
26SEP17	S 1 MAY18 CQG EL		372.20	2.50DR	
26SEP17	B 1 MAY18 CQG EL	371.90		2.50DR	
26SEP17	B 1 AUG18 CQG EL	381.70		2.50DR	
26SEP17	S 1 AUG18 CQG EL		381.70	2.50DR	
	Commission				340.00DR
	SETTLEMENTS				

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119M

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CME Cattle 400 CME				
26SEP17	1 OCT17	108.5750	108.9000		130.00CR
26SEP17	1 DEC17	113.7750	113.4750		120.00DR
26SEP17	1 DEC17	113.1750	114.2000		410.00CR
26SEP17	1 DEC17	113.3750	113.7250		140.00CR
26SEP17	1 DEC17	113.6500	113.4500		80.00DR
26SEP17	1 FEB18	116.9500	116.6500		120.00DR
26SEP17	1 FEB18	116.9500	116.9500		0.00
26SEP17	1 FEB18	116.9500	116.8250		50.00DR
26SEP17	1 FEB18	117.5750	117.0000		230.00DR
26SEP17	1 FEB18	118.0250	117.2500		310.00DR
26SEP17	1 FEB18	117.9500	117.2750		270.00DR
26SEP17	1 FEB18	117.6250	117.2500		150.00DR
26SEP17	1 FEB18	117.5250	117.1500		150.00DR
26SEP17	1 FEB18	117.4250	117.2250		80.00DR
26SEP17	1 FEB18	117.4250	117.2250		80.00DR
26SEP17	1 APR18	118.7750	119.0000		90.00CR
26SEP17	1 APR18	118.9000	119.0000		40.00CR
26SEP17	1 APR18	118.7500	119.0000		100.00CR
26SEP17	1 APR18	119.1000	119.6000		200.00CR
26SEP17	1 APR18	119.0750	119.7750		280.00CR
26SEP17	1 APR18	119.0250	119.9500		370.00CR
26SEP17	1 APR18	119.5500	119.6500		40.00CR
26SEP17	1 JUN18	111.9500	112.5750		250.00CR
26SEP17	1 JUN18	112.7250	114.6500		770.00CR
26SEP17	1 AUG18	109.4750	109.1750		120.00DR
26SEP17	1 AUG18	109.4000	109.5750		70.00CR
26SEP17	1 AUG18	109.3250	109.9750		260.00CR
26SEP17	1 AUG18	109.3000	110.0500		300.00CR
26SEP17	1 AUG18	109.4750	110.5250		420.00CR
26SEP17	1 OCT18	111.3000	110.8000		200.00DR
26SEP17	1 OCT18	112.9250	110.8000		850.00DR
26SEP17	1 OCT18	113.0000	110.8000		880.00DR
26SEP17	1 OCT18	111.3750	110.7250		260.00DR
26SEP17	1 OCT18	111.3250	110.7250		240.00DR
26SEP17	1 DEC18	112.1500	112.4000		100.00CR
26SEP17	1 DEC18	112.6000	114.0750		590.00CR
26SEP17	1 DEC18	112.7500	112.6500		40.00DR
26SEP17	1 DEC18	112.7500	112.6500		40.00DR
	CME Feeder Cattle 500 CME				
26SEP17	1 OCT17	150.8250	151.3500		262.50CR
26SEP17	1 OCT17	149.7500	149.8500		50.00CR
26SEP17	1 OCT17	149.8500	149.8500		0.00
26SEP17	1 OCT17	150.2250	151.8250		800.00CR
26SEP17	1 OCT17	150.8250	150.7500		37.50DR
26SEP17	1 NOV17	152.5000	152.3250		87.50DR
26SEP17	1 NOV17	152.4250	152.3500		37.50DR
26SEP17	1 NOV17	151.7250	151.4500		137.50DR
26SEP17	1 NOV17	151.6750	151.7250		25.00CR
26SEP17	1 NOV17	151.7250	151.7000		12.50DR
26SEP17	1 NOV17	152.5000	152.0750		212.50DR
26SEP17	1 NOV17	152.5250	152.7000		87.50CR
26SEP17	1 JAN18	149.2250	149.3500		62.50CR
26SEP17	1 JAN18	148.6000	148.7250		62.50CR
26SEP17	1 JAN18	150.6750	149.6250		525.00DR
26SEP17	1 MAR18	147.1000	146.2750		412.50DR
26SEP17	1 APR18	146.2750	147.0750		400.00CR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17	57.8750	58.1250		100.00CR
26SEP17	1 DEC17	58.0250	58.1250		40.00CR
26SEP17	1 DEC17	57.9000	56.6750		490.00DR
26SEP17	1 FEB18	62.9500	62.8750		30.00DR
26SEP17	1 FEB18	63.0500	63.0250		10.00DR
26SEP17	1 FEB18	61.9500	63.2000		500.00CR
26SEP17	1 AUG18	77.3750	78.0250		260.00CR
	CBT Soybean Meal 100 CBT				

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119M

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 OCT17	3.0410	3.0790		380.00CR
26SEP17	1 DEC17	3.1220	3.0740		480.00DR
26SEP17	1 DEC17	3.1210	3.0870		340.00DR
26SEP17	1 JAN18	3.1060	3.1420		360.00CR
	White Sugar 50 LDN				
26SEP17	1 MAR18	385.10	369.10		800.00DR
26SEP17	1 MAY18	379.30	372.20		355.00DR
26SEP17	1 AUG18	381.70	381.70		0.00
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				8,142.50DR
	SETTLEMENTS				
	White Sugar Futures				1,155.00DR
	CME Feeder Cattle Futures				287.50CR
	CME Cattle Futures				290.00CR
	CME Lean Hog Futures				370.00CR
	CBT Soybean Meal Futures				80.00DR
	COMMISSIONS				340.00DR
	CARRIED FORWARD BALANCE				8,770.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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SAP0119N

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
26SEP17	B 1 DEC17 CQG II 113.2750			2.50DR	
26SEP17	B 1 DEC17 CQG II 113.2500			2.50DR	
26SEP17	S 1 DEC17 CQG II		114.2750	2.50DR	
26SEP17	S 2 FEB18 CQG II		116.7750	5.00DR	
26SEP17	S 2 FEB18 CQG II		117.1500	5.00DR	
26SEP17	B 2 FEB18 CQG II 118.0250			5.00DR	
26SEP17	B 1 APR18 CQG II 118.8750			2.50DR	
26SEP17	S 1 APR18 CQG II		118.8250	2.50DR	
26SEP17	B 1 APR18 CQG II 119.0500			2.50DR	
26SEP17	S 1 APR18 CQG II		119.9750	2.50DR	
26SEP17	B 1 JUN18 CQG II 111.8750			2.50DR	
26SEP17	B 2 JUN18 CQG II 111.7750			5.00DR	
26SEP17	B 1 JUN18 CQG II 111.9500			2.50DR	
26SEP17	S 1 AUG18 CQG II		109.3500	2.50DR	
26SEP17	S 1 AUG18 CQG II		109.2750	2.50DR	
26SEP17	S 1 AUG18 CQG II		109.5000	2.50DR	
26SEP17	B 1 OCT18 CQG II 110.7000			2.50DR	
26SEP17	S 1 OCT18 CQG II		111.3750	2.50DR	
26SEP17	S 1 OCT18 CQG II		111.6500	2.50DR	
26SEP17	S 1 DEC18 CQG II		112.1500	2.50DR	
26SEP17	B 1 DEC18 CQG II 112.6250			2.50DR	
26SEP17	B 1 DEC18 CQG II 112.8500			2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	S 1 NOV17 CQG II		151.7000	2.50DR	
26SEP17	B 1 NOV17 CQG II 152.3000			2.50DR	
26SEP17	B 1 NOV17 CQG II 152.5250			2.50DR	
26SEP17	B 2 JAN18 CQG II 148.6000			5.00DR	
26SEP17	S 1 JAN18 CQG II		149.3500	2.50DR	
26SEP17	S 1 JAN18 CQG II		149.1500	2.50DR	
26SEP17	S 2 JAN18 CQG II		149.6500	5.00DR	
26SEP17	S 1 MAR18 CQG II		145.8500	2.50DR	
26SEP17	B 1 MAR18 CQG II 146.3750			2.50DR	
26SEP17	B 1 MAR18 CQG II 146.8500			2.50DR	
	CME Lean Hog 400 CME				
26SEP17	B 2 DEC17 CQG II 57.7500			5.00DR	
26SEP17	S 1 DEC17 CQG II		58.1000	2.50DR	
26SEP17	S 1 FEB18 CQG II		62.8500	2.50DR	
26SEP17	S 2 FEB18 CQG II		62.9750	5.00DR	
26SEP17	B 1 FEB18 CQG II 63.0750			2.50DR	
26SEP17	S 1 APR18 CQG II		68.5250	2.50DR	
26SEP17	B 2 APR18 CQG II 68.8500			5.00DR	
26SEP17	B 1 JUN18 CQG II 78.1250			2.50DR	
26SEP17	S 1 JUN18 CQG II		78.4000	2.50DR	
	Commission				125.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
26SEP17	1 DEC17	113.2750	114.2750		400.00CR
26SEP17	1 FEB18	118.0250	116.7750		500.00DR
26SEP17	1 FEB18	118.0250	116.7750		500.00DR
26SEP17	1 APR18	118.8750	118.8250		20.00DR
26SEP17	1 APR18	119.0500	119.9750		370.00CR
26SEP17	1 JUN18	111.8750	113.8250		780.00CR
26SEP17	1 JUN18	111.7750	114.1500		950.00CR
26SEP17	1 JUN18	111.7750	111.9500		70.00CR
26SEP17	1 AUG18	111.6000	109.3500		900.00DR
26SEP17	1 AUG18	109.1750	109.2750		40.00CR
26SEP17	1 OCT18	110.7000	111.3750		270.00CR
26SEP17	1 OCT18	113.0250	111.6500		550.00DR

Group No: SAPG0119

Page 1 of 2

PLEASE REPORT ANY DIFFERENCES IMMEDIATELY.THE FAILURE TO IMMEDIATELY EXERCISE YOUR RIGHT TO HAVE ERRORS CORRECTED WILL BE DEEMED YOUR AGREEMENT
STATEMENT IS CORRECT AND RATIFIED

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119N

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC18	112.6250	112.1500		190.00DR
26SEP17	1 DEC18	112.8500	114.2000		540.00CR
	CME Feeder Cattle 500 CME				
26SEP17	1 NOV17	152.3000	151.7000		300.00DR
26SEP17	1 JAN18	148.6000	149.3500		375.00CR
26SEP17	1 JAN18	148.6000	149.1500		275.00CR
26SEP17	1 MAR18	146.3750	145.8500		262.50DR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17	57.7500	58.1000		140.00CR
26SEP17	1 DEC17	57.7500	57.8250		30.00CR
26SEP17	1 FEB18	63.0750	62.8500		90.00DR
26SEP17	1 FEB18	62.8500	62.9750		50.00CR
26SEP17	1 FEB18	62.8250	62.9750		60.00CR
26SEP17	1 APR18	68.8500	68.5250		130.00DR
26SEP17	1 JUN18	78.1250	78.4000		110.00CR
	TOTALS				
	BROUGHT FORWARD BALANCE				3,817.50DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				87.50CR
	CME Cattle Futures				760.00CR
	CME Lean Hog Futures				170.00CR
	COMMISSIONS				125.00DR
	CARRIED FORWARD BALANCE				2,925.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119P

26th September 2017

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FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
26SEP17	B 1 DEC17 CQG II 113.4750			2.50DR	
26SEP17	S 1 DEC17 CQG II 113.7250		113.7250	2.50DR	
26SEP17	S 1 FEB18 CQG II 117.4000		117.4000	2.50DR	
26SEP17	B 1 FEB18 CQG II 117.4500			2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	B 1 NOV17 CQG II 152.3000			2.50DR	
26SEP17	S 1 NOV17 CQG II 151.7250		151.7250	2.50DR	
26SEP17	S 1 JAN18 CQG II 149.2750		149.2750	2.50DR	
26SEP17	B 1 JAN18 CQG II 148.7500			2.50DR	
	CME Lean Hog 400 CME				
26SEP17	B 1 DEC17 CQG II 57.0500			2.50DR	
26SEP17	S 1 DEC17 CQG II 57.6250		57.6250	2.50DR	
26SEP17	B 1 DEC17 CQG II 57.9750			2.50DR	
26SEP17	S 1 DEC17 CQG II 57.7750		57.7750	2.50DR	
26SEP17	B 1 DEC17 CQG II 57.9250			2.50DR	
26SEP17	B 1 DEC17 CQG II 57.7750			2.50DR	
26SEP17	S 2 DEC17 CQG II 57.7500		57.7500	5.00DR	
26SEP17	B 1 DEC17 CQG II 57.8500			2.50DR	
26SEP17	S 1 DEC17 CQG II 57.8500		57.8500	2.50DR	
26SEP17	S 1 FEB18 CQG II 62.2250		62.2250	2.50DR	
26SEP17	B 1 FEB18 CQG II 62.6250			2.50DR	
26SEP17	B 1 FEB18 CQG II 62.9250			2.50DR	
26SEP17	S 1 FEB18 CQG II 63.1000		63.1000	2.50DR	
26SEP17	S 2 FEB18 CQG II 63.0000		63.0000	5.00DR	
26SEP17	B 2 FEB18 CQG II 63.0000			5.00DR	
26SEP17	S 1 FEB18 CQG II 63.0750		63.0750	2.50DR	
26SEP17	B 1 FEB18 CQG II 63.1500			2.50DR	
	Commission				70.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
26SEP17	1 DEC17 113.4750	113.7250			100.00CR
26SEP17	1 FEB18 117.4500	117.4000			20.00DR
	CME Feeder Cattle 500 CME				
26SEP17	1 NOV17 152.3000	151.7250			287.50DR
26SEP17	1 JAN18 148.7500	149.2750			262.50CR
	CME Lean Hog 400 CME				
26SEP17	1 DEC17 57.0500	57.6250			230.00CR
26SEP17	1 DEC17 57.9750	57.7750			80.00DR
26SEP17	1 DEC17 57.9250	57.7500			70.00DR
26SEP17	1 DEC17 57.7750	57.7500			10.00DR
26SEP17	1 DEC17 57.8500	57.8500			0.00
26SEP17	1 FEB18 62.6250	62.2250			160.00DR
26SEP17	1 FEB18 62.9250	63.0000			30.00CR
26SEP17	1 FEB18 63.0000	63.1000			40.00CR
26SEP17	1 FEB18 63.0000	63.0000			0.00
26SEP17	1 FEB18 63.1500	63.0750			30.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				2,550.00DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				25.00DR
	CME Cattle Futures				80.00CR
	CME Lean Hog Futures				50.00DR
	COMMISSIONS				70.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

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SAP0119P

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	CARRIED FORWARD BALANCE				2,615.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119Q

26th September 2017

COB GLOBAL

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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	<u>BUSINESS DONE TODAY</u>				
	CME Cattle 400 CME				
26SEP17	B 1 DEC17 CQG II 113.1250			2.50DR	
26SEP17	S 1 DEC17 CQG II 113.3750		113.3750	2.50DR	
26SEP17	B 1 DEC17 CQG II 113.3000			2.50DR	
26SEP17	S 1 DEC17 CQG II 113.4000		113.4000	2.50DR	
26SEP17	B 1 DEC17 CQG II 113.4750			2.50DR	
26SEP17	S 1 DEC17 CQG II 113.7250		113.7250	2.50DR	
26SEP17	S 2 FEB18 CQG II 116.6500		116.6500	5.00DR	
26SEP17	B 2 FEB18 CQG II 116.8750			5.00DR	
26SEP17	S 2 FEB18 CQG II 116.9250		116.9250	5.00DR	
26SEP17	S 1 FEB18 CQG II 117.2000		117.2000	2.50DR	
26SEP17	B 2 FEB18 CQG II 117.2250			5.00DR	
26SEP17	S 1 FEB18 CQG II 117.3750		117.3750	2.50DR	
26SEP17	B 1 FEB18 CQG II 117.4250			2.50DR	
26SEP17	S 1 APR18 CQG II 118.9750		118.9750	2.50DR	
26SEP17	B 1 APR18 CQG II 118.7500			2.50DR	
26SEP17	S 1 APR18 CQG II 118.9500		118.9500	2.50DR	
26SEP17	B 2 APR18 CQG II 118.8500			5.00DR	
26SEP17	B 1 APR18 CQG II 119.0500			2.50DR	
26SEP17	S 1 APR18 CQG II 119.1000		119.1000	2.50DR	
26SEP17	B 1 JUN18 CQG II 112.0750			2.50DR	
26SEP17	S 1 JUN18 CQG II 111.8500		111.8500	2.50DR	
26SEP17	B 1 JUN18 CQG II 111.8250			2.50DR	
26SEP17	S 1 AUG18 CQG II 109.4000		109.4000	2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	B 1 NOV17 CQG II 152.8500			2.50DR	
26SEP17	B 1 NOV17 CQG II 152.9750			2.50DR	
26SEP17	S 2 JAN18 CQG II 150.0000		150.0000	5.00DR	
26SEP17	S 2 JAN18 CQG II 150.1250		150.1250	5.00DR	
26SEP17	B 1 MAR18 CQG II 147.2000			2.50DR	
26SEP17	B 1 MAR18 CQG II 147.2750			2.50DR	
	Commission				90.00DR
	<u>SETTLEMENTS</u>				
	CME Cattle 400 CME				
26SEP17	1 DEC17 113.1250 113.3750		113.3750		100.00CR
26SEP17	1 DEC17 113.3000 113.4000		113.4000		40.00CR
26SEP17	1 DEC17 113.4750 113.7250		113.7250		100.00CR
26SEP17	2 FEB18 116.8750 116.6500		116.6500		180.00DR
26SEP17	2 FEB18 117.2250 116.9250		116.9250		240.00DR
26SEP17	1 FEB18 117.4250 117.2000		117.2000		90.00DR
26SEP17	1 APR18 118.7500 118.9750		118.9750		90.00CR
26SEP17	1 APR18 118.8500 118.9500		118.9500		40.00CR
26SEP17	1 APR18 118.8500 119.1000		119.1000		100.00CR
26SEP17	1 JUN18 112.0750 111.8500		111.8500		90.00DR
26SEP17	1 JUN18 111.8250 114.9000		114.9000		1,230.00CR
26SEP17	1 AUG18 112.1750 109.4000		109.4000		1,110.00DR
	<u>TOTALS</u>				
	BROUGHT FORWARD BALANCE				1,080.00CR
	SETTLEMENTS				
	CME Cattle Futures				10.00DR
	COMMISSIONS				90.00DR
	CARRIED FORWARD BALANCE				980.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

.

SAP0119Q

26th September 2017

COB GLOBAL

Sapien Capital Limited
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

26th September 2017

COB GLOBAL

Sapien Capital Limited
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London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	CME Cattle 400 CME				
26SEP17	B 2 DEC17 CQG II	113.5500		5.00DR	
26SEP17	B 1 DEC17 CQG II	113.3500		2.50DR	
26SEP17	S 2 DEC17 CQG II		113.4000	5.00DR	
26SEP17	B 1 DEC17 CQG II	113.5250		2.50DR	
26SEP17	S 1 DEC17 CQG II		114.2500	2.50DR	
26SEP17	S 1 DEC17 CQG II		113.4500	2.50DR	
26SEP17	S 2 FEB18 CQG II		117.0750	5.00DR	
26SEP17	S 2 FEB18 CQG II		117.2750	5.00DR	
26SEP17	S 2 FEB18 CQG II		117.1250	5.00DR	
26SEP17	B 4 FEB18 CQG II	117.2000		10.00DR	
26SEP17	S 2 FEB18 CQG II		117.4250	5.00DR	
26SEP17	B 2 FEB18 CQG II	117.9250		5.00DR	
26SEP17	B 2 FEB18 CQG II	117.2750		5.00DR	
26SEP17	S 1 APR18 CQG II		118.9000	2.50DR	
26SEP17	S 1 APR18 CQG II		118.8750	2.50DR	
26SEP17	B 1 APR18 CQG II	119.1000		2.50DR	
26SEP17	B 1 APR18 CQG II	119.1500		2.50DR	
26SEP17	B 1 APR18 CQG II	119.0000		2.50DR	
26SEP17	S 2 APR18 CQG II		119.0500	5.00DR	
26SEP17	B 1 APR18 CQG II	119.3250		2.50DR	
26SEP17	S 1 APR18 CQG II		119.9250	2.50DR	
26SEP17	S 1 APR18 CQG II		119.3250	2.50DR	
26SEP17	B 2 JUN18 CQG II	111.9500		5.00DR	
26SEP17	B 2 JUN18 CQG II	111.8250		5.00DR	
26SEP17	S 1 AUG18 CQG II		109.4000	2.50DR	
26SEP17	S 1 AUG18 CQG II		109.3750	2.50DR	
	CME Feeder Cattle 500 CME				
26SEP17	B 1 JAN18 CQG II	149.2250		2.50DR	
26SEP17	S 2 MAR18 CQG II		146.1000	5.00DR	
26SEP17	B 1 APR18 CQG II	146.0750		2.50DR	
	IEU Gas Oil 100 IPE				
26SEP17	B 5 FEB18 CQG EL	525.250		12.50DR	
26SEP17	S 5 MAR18 CQG EL		522.000	12.50DR	
26SEP17	S 5 MAR18 CQG EL		522.250	12.50DR	
26SEP17	S 5 APR18 CQG EL		519.500	12.50DR	
26SEP17	B 5 APR18 CQG EL	519.000		12.50DR	
26SEP17	B 5 MAY18 CQG EL	517.250		12.50DR	
26SEP17	S 5 MAY18 CQG EL		516.750	12.50DR	
26SEP17	B 5 MAY18 CQG EL	516.750		12.50DR	
26SEP17	B 5 JUN18 CQG EL	514.500		12.50DR	
26SEP17	S 5 JUN18 CQG EL		514.500	12.50DR	
	ICE Sugar No.11 1,120 FIN				
26SEP17	B 1 MAR18 CQG EL	14.00		2.50DR	
26SEP17	S 1 MAR18 CQG EL		13.94	2.50DR	
26SEP17	B 1 MAR18 CQG EL	13.96		2.50DR	
26SEP17	B 1 MAR18 CQG EL	13.89		2.50DR	
26SEP17	B 1 MAR18 CQG EL	13.83		2.50DR	
26SEP17	S 1 MAR18 CQG EL		13.82	2.50DR	
26SEP17	B 1 MAR18 CQG EL	13.84		2.50DR	
26SEP17	S 2 MAR18 CQG EL		13.89	5.00DR	
26SEP17	S 1 MAR18 CQG EL		13.92	2.50DR	
	Commission				260.00DR
	SETTLEMENTS				
	CME Cattle 400 CME				
26SEP17	1 DEC17	113.5500	113.4000		60.00DR
26SEP17	1 DEC17	113.5500	113.4000		60.00DR
26SEP17	1 DEC17	113.3500	114.2500		360.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119R

26th September 2017

COB GLOBAL

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC17	113.5250	113.4500		30.00DR
26SEP17	1 FEB18	117.2000	117.0750		50.00DR
26SEP17	1 FEB18	117.2000	117.0750		50.00DR
26SEP17	2 FEB18	117.2000	117.2750		60.00CR
26SEP17	1 FEB18	117.9250	117.1250		320.00DR
26SEP17	1 FEB18	117.9250	117.1250		320.00DR
26SEP17	2 FEB18	117.2750	117.4250		120.00CR
26SEP17	1 APR18	119.1000	118.9000		80.00DR
26SEP17	1 APR18	119.1500	118.8750		110.00DR
26SEP17	1 APR18	119.0000	119.0500		20.00CR
26SEP17	1 APR18	119.3250	119.0500		110.00DR
26SEP17	1 APR18	118.9500	119.9250		390.00CR
26SEP17	1 APR18	119.3000	119.3250		10.00CR
26SEP17	2 JUN18	111.9500	113.1750		980.00CR
26SEP17	2 JUN18	111.8250	112.3250		400.00CR
26SEP17	1 AUG18	110.7250	109.4000		530.00DR
26SEP17	1 AUG18	109.6000	109.3750		90.00DR
	CME Feeder Cattle 500 CME				
26SEP17	1 JAN18	149.2250	152.5750		1,675.00CR
26SEP17	1 MAR18	149.0750	146.1000		1,487.50DR
26SEP17	1 MAR18	149.1000	146.1000		1,500.00DR
26SEP17	1 APR18	146.0750	149.5500		1,737.50CR
	IEU Gas Oil 100 IPE				
26SEP17	1 APR18	519.000	519.500		50.00CR
26SEP17	1 APR18	519.000	519.500		50.00CR
26SEP17	3 APR18	519.000	519.500		150.00CR
26SEP17	1 MAY18	517.250	516.750		50.00DR
26SEP17	1 MAY18	517.250	516.750		50.00DR
26SEP17	1 MAY18	517.250	516.750		50.00DR
26SEP17	1 MAY18	517.250	516.750		50.00DR
26SEP17	1 MAY18	517.250	516.750		50.00DR
26SEP17	4 MAY18	516.750	509.000		3,100.00DR
26SEP17	1 MAY18	516.750	509.750		700.00DR
26SEP17	2 JUN18	514.500	514.500		0.00
26SEP17	1 JUN18	514.500	514.500		0.00
26SEP17	1 JUN18	514.500	514.500		0.00
26SEP17	1 JUN18	514.500	514.500		0.00
	ICE Sugar No.11 1,120 FIN				
26SEP17	1 MAR18	14.00	13.94		67.20DR
26SEP17	1 MAR18	13.96	13.82		156.80DR
26SEP17	1 MAR18	13.89	13.89		0.00
26SEP17	1 MAR18	13.83	13.89		67.20CR
26SEP17	1 MAR18	13.84	13.92		89.60CR
	TOTALS				
	BROUGHT FORWARD BALANCE				6,601.10DR
	SETTLEMENTS				
	CME Feeder Cattle Futures				425.00CR
	CME Cattle Futures				530.00CR
	IEU Gas Oil Futures				3,800.00DR
	ICE Sugar No.11 Futures				67.20DR
	COMMISSIONS				260.00DR
	CARRIED FORWARD BALANCE				9,773.30DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
	BUSINESS DONE TODAY				
	NYM Light Sweet Crude Oil 1,000 NYM				
26SEP17	S 1 JUN18 CQG II		52.34	2.50DR	
26SEP17	B 1 DEC18 CQG II	51.79		2.50DR	
26SEP17	B 1 DEC18 CQG II	51.80		2.50DR	
26SEP17	S 1 DEC19 CQG II		50.92	2.50DR	
	IEU Brent Crude 1,000 IPE				
26SEP17	B 3 FEB18 CQG EL	57.14		7.50DR	
26SEP17	B 2 FEB18 CQG EL	57.11		5.00DR	
26SEP17	B 5 FEB18 CQG EL	57.19		12.50DR	
26SEP17	B 2 FEB18 CQG EL	57.40		5.00DR	
26SEP17	B 1 MAR18 CQG EL	57.13		2.50DR	
26SEP17	S 3 APR18 CQG EL		56.86	7.50DR	
26SEP17	S 3 APR18 CQG EL		56.85	7.50DR	
26SEP17	S 4 APR18 CQG EL		56.83	10.00DR	
26SEP17	S 5 APR18 CQG EL		56.92	12.50DR	
26SEP17	S 5 APR18 CQG EL		56.91	12.50DR	
26SEP17	S 4 APR18 CQG EL		57.11	10.00DR	
26SEP17	S 1 MAY18 CQG EL		56.83	2.50DR	
26SEP17	B 1 JUN18 CQG EL	56.89		2.50DR	
26SEP17	S 1 JUN18 CQG EL		56.72	2.50DR	
26SEP17	B 1 JUN18 CQG EL	56.55		2.50DR	
26SEP17	B 6 JUN18 CQG EL	56.56		15.00DR	
26SEP17	B 6 JUN18 CQG EL	56.53		15.00DR	
26SEP17	B 1 JUN18 CQG EL	56.63		2.50DR	
26SEP17	B 1 JUN18 CQG EL	56.38		2.50DR	
26SEP17	B 3 JUN18 CQG EL	56.33		7.50DR	
26SEP17	B 1 JUN18 CQG EL	56.36		2.50DR	
26SEP17	B 4 JUN18 CQG EL	56.59		10.00DR	
26SEP17	B 1 JUN18 CQG EL	56.65		2.50DR	
26SEP17	B 3 JUN18 CQG EL	56.62		7.50DR	
26SEP17	B 2 JUN18 CQG EL	56.60		5.00DR	
26SEP17	B 5 JUN18 CQG EL	56.68		12.50DR	
26SEP17	B 2 JUN18 CQG EL	56.85		5.00DR	
26SEP17	S 1 JUN18 CQG EL		56.87	2.50DR	
26SEP17	S 4 JUN18 CQG EL		56.86	10.00DR	
26SEP17	S 1 SEP18 CQG EL		56.19	2.50DR	
26SEP17	B 1 NOV18 CQG EL	55.90		2.50DR	
26SEP17	S 1 DEC18 CQG EL		55.91	2.50DR	
26SEP17	S 2 DEC18 CQG EL		55.83	5.00DR	
26SEP17	B 1 DEC18 CQG EL	55.80		2.50DR	
26SEP17	B 2 DEC18 CQG EL	55.82		5.00DR	
26SEP17	S 2 DEC18 CQG EL		55.75	5.00DR	
26SEP17	S 3 DEC18 CQG EL		55.76	7.50DR	
26SEP17	S 1 DEC18 CQG EL		55.81	2.50DR	
26SEP17	S 2 DEC18 CQG EL		55.82	5.00DR	
26SEP17	S 2 DEC18 CQG EL		55.78	5.00DR	
26SEP17	S 12 DEC18 CQG EL		55.80	30.00DR	
26SEP17	S 4 DEC18 CQG EL		55.90	10.00DR	
26SEP17	S 1 DEC18 CQG EL		55.89	2.50DR	
26SEP17	S 1 DEC18 CQG EL		55.70	2.50DR	
26SEP17	S 1 DEC18 CQG EL		55.69	2.50DR	
26SEP17	S 3 DEC18 CQG EL		55.66	7.50DR	
26SEP17	S 4 DEC18 CQG EL		55.64	10.00DR	
26SEP17	B 2 DEC18 CQG EL	55.73		5.00DR	
26SEP17	S 3 DEC18 CQG EL		55.72	7.50DR	
26SEP17	B 2 DEC18 CQG EL	55.81		5.00DR	
26SEP17	S 4 DEC18 CQG EL		55.79	10.00DR	
26SEP17	B 2 DEC18 CQG EL	55.94		5.00DR	
26SEP17	S 9 DEC18 CQG EL		55.87	22.50DR	
26SEP17	S 2 DEC18 CQG EL		55.92	5.00DR	
26SEP17	B 1 DEC18 CQG EL	55.99		2.50DR	
26SEP17	B 1 DEC18 CQG EL	56.00		2.50DR	
26SEP17	B 2 DEC18 CQG EL	56.06		5.00DR	
26SEP17	B 3 DEC18 CQG EL	56.08		7.50DR	

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED CLIENT ACC

SAP0119S

26th September 2017

COB GLOBAL

Sapien Capital Limited
Suite A, 105 Piccadilly
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AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

USD US DOLLARS

Date	Description					Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	S	2	DEC18	CQG	EL		56.07	5.00DR	
26SEP17	B	6	DEC18	CQG	EL	56.07		15.00DR	
26SEP17	B	3	JUN19	CQG	EL	55.27		7.50DR	
26SEP17	B	2	JUN19	CQG	EL	55.28		5.00DR	
26SEP17	S	3	JUN19	CQG	EL		55.27	7.50DR	
26SEP17	B	2	JUN19	CQG	EL	55.31		5.00DR	
26SEP17	B	2	JUN19	CQG	EL	55.43		5.00DR	
26SEP17	B	1	JUN19	CQG	EL	55.37		2.50DR	
26SEP17	B	1	JUN19	CQG	EL	55.25		2.50DR	
26SEP17	B	4	JUN19	CQG	EL	55.22		10.00DR	
26SEP17	B	4	JUN19	CQG	EL	55.29		10.00DR	
26SEP17	B	2	JUN19	CQG	EL	55.30		5.00DR	
26SEP17	S	5	JUN19	CQG	EL		55.34	12.50DR	
26SEP17	B	6	JUN19	CQG	EL	55.33		15.00DR	
26SEP17	B	2	JUN19	CQG	EL	55.34		5.00DR	
26SEP17	S	3	JUN19	CQG	EL		55.48	7.50DR	
26SEP17	S	2	JUN19	CQG	EL		55.47	5.00DR	
26SEP17	B	5	JUN19	CQG	EL	55.41		12.50DR	
26SEP17	B	3	JUN19	CQG	EL	55.61		7.50DR	
26SEP17	B	2	JUN19	CQG	EL	55.62		5.00DR	
26SEP17	S	6	JUN19	CQG	EL		55.61	15.00DR	
26SEP17	S	1	DEC19	CQG	EL		54.87	2.50DR	
26SEP17	S	3	DEC19	CQG	EL		54.95	7.50DR	
26SEP17	B	1	DEC19	CQG	EL	54.91		2.50DR	
26SEP17	B	2	DEC19	CQG	EL	54.97		5.00DR	
26SEP17	B	2	DEC19	CQG	EL	55.00		5.00DR	
26SEP17	B	2	DEC19	CQG	EL	55.03		5.00DR	
26SEP17	S	1	DEC19	CQG	EL		55.07	2.50DR	
26SEP17	S	5	DEC19	CQG	EL		55.05	12.50DR	
26SEP17	S	1	DEC19	CQG	EL		55.02	2.50DR	
26SEP17	B	3	DEC19	CQG	EL	55.01		7.50DR	
26SEP17	S	3	DEC19	CQG	EL		55.03	7.50DR	
26SEP17	B	3	DEC19	CQG	EL	55.15		7.50DR	
26SEP17	B	1	DEC19	CQG	EL	55.13		2.50DR	
26SEP17	S	3	DEC19	CQG	EL		55.20	7.50DR	
26SEP17	S	1	DEC19	CQG	EL		55.18	2.50DR	
26SEP17	S	1	DEC19	CQG	EL		55.19	2.50DR	
26SEP17	S	3	DEC19	CQG	EL		55.31	7.50DR	
26SEP17	B	3	DEC20	CQG	EL	55.22		7.50DR	
26SEP17	B	3	DEC20	CQG	EL	55.24		7.50DR	
	Commission								670.00DR
	SETTLEMENTS								
	NYM Light Sweet Crude Oil 1,000 NYM								
26SEP17		1	JUN18			51.09	52.34		1,250.00CR
26SEP17		1	DEC18			51.79	50.93		860.00DR
26SEP17		1	DEC18			51.80	50.92		880.00DR
26SEP17		1	DEC19			50.82	50.92		100.00CR
	IEU Brent Crude 1,000 IPE								
26SEP17		1	FEB18			57.14	54.64		2,500.00DR
26SEP17		1	FEB18			57.14	54.65		2,490.00DR
26SEP17		1	FEB18			57.14	54.65		2,490.00DR
26SEP17		1	FEB18			57.11	54.72		2,390.00DR
26SEP17		1	FEB18			57.11	55.09		2,020.00DR
26SEP17		1	FEB18			57.19	55.09		2,100.00DR
26SEP17		2	FEB18			57.19	55.09		4,200.00DR
26SEP17		2	FEB18			57.19	55.61		3,160.00DR
26SEP17		1	FEB18			57.40	55.61		1,790.00DR
26SEP17		1	FEB18			57.40	55.68		1,720.00DR
26SEP17		1	MAR18			57.13	55.40		1,730.00DR
26SEP17		1	APR18			54.58	56.86		2,280.00CR
26SEP17		1	APR18			54.58	56.86		2,280.00CR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 APR18	54.59	56.86		2,270.00CR
26SEP17	1 APR18	54.59	56.85		2,260.00CR
26SEP17	1 APR18	54.59	56.85		2,260.00CR
26SEP17	1 APR18	54.59	56.85		2,260.00CR
26SEP17	1 APR18	54.66	56.83		2,170.00CR
26SEP17	1 APR18	54.65	56.83		2,180.00CR
26SEP17	1 APR18	55.00	56.83		1,830.00CR
26SEP17	1 APR18	55.00	56.83		1,830.00CR
26SEP17	1 APR18	55.00	56.91		1,910.00CR
26SEP17	1 APR18	55.00	56.91		1,910.00CR
26SEP17	2 APR18	54.99	56.91		3,840.00CR
26SEP17	2 APR18	54.99	56.92		3,860.00CR
26SEP17	3 APR18	55.40	56.92		4,560.00CR
26SEP17	1 APR18	55.40	56.91		1,510.00CR
26SEP17	2 APR18	55.40	57.11		3,420.00CR
26SEP17	2 APR18	55.46	57.11		3,300.00CR
26SEP17	1 MAY18	55.26	56.83		1,570.00CR
26SEP17	1 JUN18	56.89	56.72		170.00DR
26SEP17	1 JUN18	56.53	56.87		340.00CR
26SEP17	2 JUN18	56.53	56.86		660.00CR
26SEP17	1 JUN18	56.56	56.86		300.00CR
26SEP17	1 JUN18	56.56	56.86		300.00CR
26SEP17	1 JUN18	56.56	55.10		1,460.00DR
26SEP17	1 JUN18	56.56	55.14		1,420.00DR
26SEP17	1 JUN18	56.55	55.00		1,550.00DR
26SEP17	1 JUN18	56.56	54.71		1,850.00DR
26SEP17	1 JUN18	56.56	54.71		1,850.00DR
26SEP17	1 JUN18	56.53	54.73		1,800.00DR
26SEP17	1 JUN18	56.53	54.60		1,930.00DR
26SEP17	1 JUN18	56.53	54.60		1,930.00DR
26SEP17	1 JUN18	56.63	54.60		2,030.00DR
26SEP17	1 JUN18	56.38	54.54		1,840.00DR
26SEP17	1 JUN18	56.33	54.74		1,590.00DR
26SEP17	1 JUN18	56.33	54.74		1,590.00DR
26SEP17	1 JUN18	56.33	54.75		1,580.00DR
26SEP17	1 JUN18	56.36	54.78		1,580.00DR
26SEP17	1 JUN18	56.59	54.56		2,030.00DR
26SEP17	1 JUN18	56.59	54.57		2,020.00DR
26SEP17	1 JUN18	56.59	54.57		2,020.00DR
26SEP17	1 JUN18	56.59	54.63		1,960.00DR
26SEP17	1 JUN18	56.65	54.78		1,870.00DR
26SEP17	1 JUN18	56.62	54.78		1,840.00DR
26SEP17	1 JUN18	56.62	54.78		1,840.00DR
26SEP17	1 JUN18	56.62	54.89		1,730.00DR
26SEP17	1 JUN18	56.60	54.89		1,710.00DR
26SEP17	1 JUN18	56.60	54.89		1,710.00DR
26SEP17	1 JUN18	56.68	55.27		1,410.00DR
26SEP17	1 JUN18	56.68	55.27		1,410.00DR
26SEP17	1 JUN18	56.68	55.20		1,480.00DR
26SEP17	1 JUN18	56.68	55.20		1,480.00DR
26SEP17	1 JUN18	56.68	55.20		1,480.00DR
26SEP17	1 JUN18	56.85	55.96		890.00DR
26SEP17	1 JUN18	56.85	55.96		890.00DR
26SEP17	1 SEP18	55.14	56.19		1,050.00CR
26SEP17	1 NOV18	55.90	55.09		810.00DR
26SEP17	1 DEC18	55.80	55.91		110.00CR
26SEP17	1 DEC18	55.82	55.90		80.00CR
26SEP17	1 DEC18	55.82	55.90		80.00CR
26SEP17	1 DEC18	55.73	55.83		100.00CR
26SEP17	1 DEC18	55.73	55.83		100.00CR
26SEP17	2 DEC18	55.81	55.75		120.00DR
26SEP17	2 DEC18	55.94	55.76		360.00DR
26SEP17	1 DEC18	55.99	55.76		230.00DR
26SEP17	1 DEC18	56.00	55.80		200.00DR
26SEP17	1 DEC18	56.08	55.80		280.00DR
26SEP17	1 DEC18	56.07	55.79		280.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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26th September 2017

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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	1 DEC18	56.06	55.79		270.00DR
26SEP17	1 DEC18	56.06	55.80		260.00DR
26SEP17	1 DEC18	56.08	55.81		270.00DR
26SEP17	1 DEC18	56.08	55.80		280.00DR
26SEP17	1 DEC18	56.07	55.80		270.00DR
26SEP17	1 DEC18	56.07	55.82		250.00DR
26SEP17	1 DEC18	56.07	55.82		250.00DR
26SEP17	2 DEC18	56.07	55.80		540.00DR
26SEP17	1 DEC18	54.75	55.78		1,030.00CR
26SEP17	1 DEC18	54.75	55.78		1,030.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.75	55.80		1,050.00CR
26SEP17	1 DEC18	54.72	55.90		1,180.00CR
26SEP17	1 DEC18	54.85	55.90		1,050.00CR
26SEP17	1 DEC18	54.85	55.89		1,040.00CR
26SEP17	1 DEC18	54.85	55.70		850.00CR
26SEP17	1 DEC18	54.85	55.69		840.00CR
26SEP17	2 DEC18	54.85	55.66		1,620.00CR
26SEP17	1 DEC18	54.85	55.66		810.00CR
26SEP17	1 DEC18	54.86	55.64		780.00CR
26SEP17	1 DEC18	54.85	55.64		790.00CR
26SEP17	1 DEC18	54.75	55.64		890.00CR
26SEP17	1 DEC18	54.74	55.64		900.00CR
26SEP17	1 DEC18	54.75	55.72		970.00CR
26SEP17	2 DEC18	54.75	55.72		1,940.00CR
26SEP17	1 DEC18	54.75	55.79		1,040.00CR
26SEP17	1 DEC18	54.76	55.79		1,030.00CR
26SEP17	1 DEC18	54.76	55.87		1,110.00CR
26SEP17	1 DEC18	54.72	55.87		1,150.00CR
26SEP17	1 DEC18	55.04	55.87		830.00CR
26SEP17	1 DEC18	55.04	55.87		830.00CR
26SEP17	1 DEC18	55.12	55.87		750.00CR
26SEP17	2 DEC18	55.11	55.87		1,520.00CR
26SEP17	2 DEC18	55.11	55.87		1,520.00CR
26SEP17	1 DEC18	55.12	55.92		800.00CR
26SEP17	1 DEC18	55.03	55.92		890.00CR
26SEP17	2 DEC18	55.03	56.07		2,080.00CR
26SEP17	1 JUN19	55.27	55.27		0.00
26SEP17	1 JUN19	55.27	55.27		0.00
26SEP17	1 JUN19	55.27	55.27		0.00
26SEP17	3 JUN19	55.29	55.34		150.00CR
26SEP17	2 JUN19	55.28	55.34		120.00CR
26SEP17	1 JUN19	55.33	55.48		150.00CR
26SEP17	2 JUN19	55.33	55.48		300.00CR
26SEP17	2 JUN19	55.31	55.47		320.00CR
26SEP17	1 JUN19	55.43	55.61		180.00CR
26SEP17	1 JUN19	55.43	55.61		180.00CR
26SEP17	1 JUN19	55.37	55.61		240.00CR
26SEP17	1 JUN19	55.25	55.61		360.00CR
26SEP17	2 JUN19	55.22	55.61		780.00CR
26SEP17	1 JUN19	55.22	54.87		350.00DR
26SEP17	1 JUN19	55.22	54.92		300.00DR
26SEP17	1 JUN19	55.29	54.92		370.00DR
26SEP17	1 JUN19	55.30	54.92		380.00DR
26SEP17	1 JUN19	55.30	54.78		520.00DR
26SEP17	1 JUN19	55.33	54.78		550.00DR
26SEP17	1 JUN19	55.33	54.78		550.00DR
26SEP17	1 JUN19	55.33	54.96		370.00DR
26SEP17	1 JUN19	55.34	54.96		380.00DR
26SEP17	1 JUN19	55.34	54.98		360.00DR
26SEP17	1 JUN19	55.41	54.96		450.00DR
26SEP17	1 JUN19	55.41	54.98		430.00DR
26SEP17	1 JUN19	55.41	55.06		350.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



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USD US DOLLARS

Date	Description	Bought Price	Sold Price	Commission and Fees	Amount Posted
26SEP17	2 JUN19	55.41	55.07		680.00DR
26SEP17	2 JUN19	55.61	55.07		1,080.00DR
26SEP17	1 JUN19	55.61	55.07		540.00DR
26SEP17	1 JUN19	55.62	55.11		510.00DR
26SEP17	1 JUN19	55.62	55.11		510.00DR
26SEP17	1 DEC19	54.91	54.87		40.00DR
26SEP17	2 DEC19	54.97	54.95		40.00DR
26SEP17	1 DEC19	55.00	54.95		50.00DR
26SEP17	1 DEC19	55.00	55.07		70.00CR
26SEP17	1 DEC19	55.03	55.05		20.00CR
26SEP17	1 DEC19	55.03	55.05		20.00CR
26SEP17	1 DEC19	55.01	55.05		40.00CR
26SEP17	1 DEC19	55.01	55.05		40.00CR
26SEP17	1 DEC19	55.01	55.05		40.00CR
26SEP17	1 DEC19	55.15	55.02		130.00DR
26SEP17	2 DEC19	55.15	55.03		240.00DR
26SEP17	1 DEC19	55.13	55.03		100.00DR
26SEP17	1 DEC19	55.35	55.20		150.00DR
26SEP17	1 DEC19	55.30	55.20		100.00DR
26SEP17	1 DEC19	55.40	55.20		200.00DR
26SEP17	1 DEC19	55.43	55.18		250.00DR
26SEP17	1 DEC19	55.44	55.19		250.00DR
26SEP17	1 DEC19	55.44	55.31		130.00DR
26SEP17	2 DEC19	55.43	55.31		240.00DR
26SEP17	1 DEC20	55.22	54.97		250.00DR
26SEP17	1 DEC20	55.22	54.97		250.00DR
26SEP17	1 DEC20	55.22	54.99		230.00DR
26SEP17	1 DEC20	55.24	55.02		220.00DR
26SEP17	1 DEC20	55.24	55.03		210.00DR
26SEP17	1 DEC20	55.24	55.03		210.00DR
TOTALS					
BROUGHT FORWARD BALANCE					5,425.00CR
SETTLEMENTS					
IEU Brent Crude Futures					7,020.00DR
NYM Light Sweet Crude Oil Futures					390.00DR
COMMISSIONS					670.00DR
CARRIED FORWARD BALANCE					2,655.00DR

TRADING ADVICE AND SETTLEMENT STATEMENT



SAPIEN CAPITAL LIMITED
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26th Sep 2017

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Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

USD US DOLLARS

Date	Description	Commission and Fees	Amount Posted
	<u>TOTALS</u>		
	BROUGHT FORWARD BALANCE		1,072,267.02CR
	SETTLEMENTS		
	CBT Soybean Meal Futures		80.00DR
	CME Cattle Futures		1,440.00CR
	CME Feeder Cattle Futures		3,525.00CR
	CME Lean Hog Futures		770.00CR
	CMX Copper Futures		2,975.00DR
	CMX Silver Futures		2,850.00CR
	ICE Sugar No.11 Futures		67.20DR
	IEU Brent Crude Futures		4,580.00DR
	IEU Gas Oil Futures		8,700.00DR
	NYM Light Sweet Crude Oil Futures		3,730.00CR
	White Sugar Futures		1,155.00DR
	COMMISSIONS		4,805.52DR
	CARRIED FORWARD BALANCE		1,062,219.30CR